

1 **South Asian summer monsoon enhanced by the uplift of Iranian Plateau in**
2 **Middle Miocene**

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14

15 **ABSTRACT**

16 The South Asian summer monsoon (SASM) significantly intensified during the Middle
17 Miocene (17-12 Ma), but the driver of this change remains an open question. The uplift of the
18 Himalaya (HM) and the Iranian Plateau (IP), and global CO₂ variation are prominent factors
19 among suggested drivers. Particularly, the impact of high CO₂ levels on the Miocene SASM
20 has been little studied, despite the wide range of reconstructed CO₂ values around this period.
21 Here we investigate their effects on the SASM using the fully coupled Ocean-Atmosphere
22 Global Climate Model CESM1.2 through a series of 12 sensitivity experiments. Our
23 simulations show that the IP uplift plays a dominant role in the intensification of the SASM,
24 mainly in the region around northwestern India. The effect of the HM uplift is confined to the
25 range of the HM and its vicinity, producing orographic precipitation change. The topography
26 forcing overall out-competes CO₂ variation in driving the intensification of the SASM. In the
27 case of extremely strong CO₂ variation, the effects of these two factors are comparable in the
28 core SASM region, while in the western region, the topographic forcing is still the dominant
29 driver. We propose a thermodynamical process linking the uplift of the IP and the enhanced
30 SASM through the release of latent heat. Compared with reconstructions, the simulated
31 response of SASM to the IP uplift is in good agreement with observed precipitation and wind
32 filed, while the effects of the HM uplift and CO₂ variation are inadequate to interpret the proxies.

33
34 **Keywords:** South Asian summer monsoon, Middle Miocene, topographic change, CO₂
35 variation, thermal heating effect

36

37 **1. Introduction**

38 The Middle Miocene (17-12 Ma) was a period characterized by major climatic, tectonic,
39 CO₂ and environmental changes (Steinthorsdottir et al., 2021). Increasing evidence indicates
40 that the South Asian summer monsoon (SASM) was remarkably intensified in the Middle
41 Miocene (Clift et al., 2008; Clift and Webb, 2019; Gupta et al., 2015; Bialik et al., 2020; Bhatia
42 et al., 2021; Vogeli et al., 2017) although its inception was no later than the Early Miocene (Ali
43 et al. 2021, Licht, 2014; Farnsworth et al., 2019). However, the driving factor of its evolution
44 remains an issue of great debate. Besides the effect of geographic change (Ramstein et al., 1997;
45 Fluteau et al., 1999; Farnsworth et al., 2019; Thomason et al., 2021; Tardif et al., 2020, 2023;
46 Sarr et al., 2022), the growth of the Himalaya (HM)-Tibetan Plateau (TP; HM-TP) has
47 traditionally been called for the SASM development (Clift et al., 2008; Clift and Webb, 2019;
48 Manabe and Terpstra, 1974; Kutzbach et al., 1989; Prell and Kutzbach, 1992; Ramstein et al.,
49 1997; An et al., 2001; Kitoh, 2002; Chakraborty et al., 2006; Wu et al., 2012; Tada et al., 2016;
50 Tarif et al., 2020, 2023). The HM, which has long been regarded as the “southern TP” (Spicer,
51 2017), receives particular attention (Boos and Kuang, 2010; Wu et al., 2012; Zhang et al., 2015).
52 Recent geological evidence (Liu et al., 2016; Ding et al., 2017, 2022) suggests that, in contrast
53 to previous studies, the HM had risen to a height of 2.3 ± 0.9 km by the earliest Miocene,
54 reaching approximately 4 km by 19 Ma. From 15 Ma onwards, the HM projected significantly
55 above the average elevation of the plateau that had already attained its modern height before
56 the Miocene (Wang et al., 2014). The coincidence of the ongoing HM uplift above the TP since
57 15 Ma and the intensification of SASM appears to support the hypothesis that the evolution of
58 the SASM is predominantly driven by the formation of HM-TP.

59 However, this traditional view is challenged by many modeling studies that emphasize the
60 importance of peripheral mountain ranges (Chakraborty et al., 2006; Tardif et al., 2020, 2023;
61 Sarr et al., 2022; Liu et al., 2017; Tang et al., 2013; Chen et al., 2014; Acosta and Huber, 2020).
62 Notably, the Iranian Plateau (IP), which also underwent uplift during the same period as the
63 Miocene SASM enhancement around 15-12 Ma, is considered a critical factor (McQuarrie et
64 al., 2003; Mouthereau, 2011; Ballato et al., 2017; Bialik et al., 2020), although the evolution
65 history of the IP’s build-up remains hotly debated (Agard et al., 2011; McQuarrie et al., 2003;
66 Mouthereau, 2011; Ballato et al., 2017). Nevertheless, most studies suggest a Miocene age for
67 the uplift of most landforms. Geological evidence indicates that in the northern sectors of the
68 IP, the uplift likely occurred between 16.5-10.7 Ma (Ballato et al., 2017), particularly
69 accelerated after 12.4 Ma (Mouthereau, 2011) while in regions bordering the IP to the south,

70 uplift occurred between 15 and 5 Ma (Mouthereau, 2011). The Zagros orogen, a significant part
71 of the IP, developed in three distinct pulses within the last ~20 Ma (Agard et al., 2011;
72 Mouthereau, 2011). Therefore, there exists significant uncertainty regarding the growth of the
73 IP. The respective contributions of the IP and HM uplift to intensified SASM during the Middle
74 Miocene remain unclear.

75 Various mechanisms were proposed to explain the linkage between the uplift of the IP and
76 HM and the intensification of SASM rainfall. These include the mechanical blocking effect
77 (Tang et al., 2013), topographic thermal forcing (Chen et al., 2014; Wu et al., 2012; Liu et al.,
78 2017), and the role of gatekeeper to insulate the pool of high-enthalpy air in northern India from
79 westerly advection of cool and dry air (Acosta and Huber, 2020). However, most of these
80 modeling studies have examined the effects of IP and HM uplift using Atmospheric General
81 Circulation Model (AGCM) with modern geographies (Liu et al., 2017; Zhang et al., 2015;
82 Tang et al., 2013; Acosta and Huber, 2020), potentially overlooking two key factors: 1) the
83 neglect of air-sea interaction processes (Kitoh, 2002; Su et al., 2018); 2) the risk of
84 misinterpreting past changes due to the critical role of land-sea distribution in shaping the
85 paleoclimate features (Tardif et al., 2023; Ramstein et al., 1997). Therefore, we opt to use a
86 fully coupled Ocean-Atmosphere Global Climate Model (OAGCM) to revisit the response of
87 the SASM to the IP and HM uplift under Miocene boundary conditions despite requiring
88 additional computational resources.

89 The SASM is sensitive to changes in CO₂ concentration (Thomson et al., 2021). The effect
90 of CO₂ variation is overall estimated to be less than that of geography and/or topography
91 (Farnsworth et al., 2019; Thomson et al., 2021; Tardif et al., 2023), however, during the mid-
92 to-late Miocene, its contribution to rainfall change is comparable to that of orographic uplift
93 even when the CO₂ is set from 560 ppm to 280 ppm (Thomson et al., 2021). Proxy records
94 indicate that the early to middle Miocene was a warming period, which is known as the Middle
95 Miocene Climatic Optimum (~17-14 Ma), followed by a late Miocene cooling (Steinhorsdottir
96 et al., 2021). There is large uncertainty in estimated CO₂ variation in the Middle Miocene, with
97 a wide range of reconstructed values from ~180 ppmv to ~600 ppmv (Foster and Rohling,
98 2013; Pagani et al., 1999; Steinhorsdottir et al., 2021; The CenCO₂PIP, 2023, and reference
99 herein), even to more than 1000 ppmv (Rae et al., 2021) during the Middle Miocene Climatic
100 Optimum. Nevertheless, according to general concept, the atmospheric CO₂ concentration
101 peaked around 15 Ma and then declined (The CenCO₂PIP, 2023). Therefore, it is necessary to
102 re-examine the effect of CO₂ forcing on SASM rainfall based on the possible range of CO₂
103 variation.

104 In this study, we utilize a fully coupled OAGCM to explore the impact of IP and HM uplift
105 and the CO₂ variation on the SASM. Considering that the uplift of HM and IP predominantly
106 occurred after 15 Ma, roughly coinciding with pronounced CO₂ variations during 17-14 Ma,
107 we conduct two sets of sensitivity experiments based on Middle Miocene geography. The
108 topographic sensitivity experiments are placed into the context of the current understanding of
109 the regional tectonic and geographic settings while a set of CO₂ sensitivity experiments ranging
110 from 280 to 1000 ppmv is performed. The model configuration, Middle Miocene boundary
111 condition and experimental design are described in Section 2. In Section 3, we show the SASM
112 response to IP and HM uplift, and the effect of CO₂ forcing. The mechanisms responsible for
113 the monsoonal precipitation change are examined in Section 4. The implication of our results
114 to the evolution of the SASM in the Middle Miocene is discussed in Section 5 before giving
115 conclusions in Section 6.

116 **2. Data and Methods**

117 **2.1. Climate model**

118 The model used in this study is the Community Earth System Model (CESM), Version
119 1.2.1 of the National Center for Atmospheric Research. It includes the Community Atmosphere
120 Model (CAM4) (Neale et al., 2013), the Community Land Model (CLM4; Hunke and Lipscomb,
121 2010), the Parallel Ocean Program (POP2; Smith et al., 2010), the Community Ice Sheet Model
122 and the Community Ice code (Glimmer-CICE4). Both the Ice sheet Model and the dynamic
123 vegetation module (Lawrence et al., 2011) incorporated in CLM4 are switched off in this study.
124 The horizontal resolution used is 1.9°(latitude) × 2.5° (longitude) for CAM4 with 26 vertical
125 levels and CLM4 has identical horizontal resolution. CESM has been extensively used for
126 modern and the tectonic climate studies (Chen et al., 2014; Goldner et al., 2014; Frigola et al.,
127 2018). In general, this model simulates modern surface temperature distributions and equator-
128 to-pole temperature gradients well (Gent et al., 2011), although biases exist (Neale et al., 2013).
129 However, it strongly overestimates the Miocene meridional temperature gradient compared to
130 reconstructions, a thorny problem for Miocene modeling practice (Burls et al., 2021;
131 Steinthorsdottir et al., 2021) mainly caused by the inability of climate models to reproduce polar
132 amplified warmth (Krapp and Jungclaus, 2011; Herold et al., 2011; Goldner et al., 2014; Burls
133 et al., 2021). Nevertheless, the temperature biases in low latitudes are small, generally within
134 1°C (Burls et al., 2021).

135 **2.2. Boundary conditions**

136 Our Miocene experiments are configured with geography, topography, bathymetry and
137 vegetation cover from Frigola et al. (2018, henceforth F18), which provides boundary
138 conditions for modeling studies with a focus on the Middle Miocene. According to F18, the
139 most prominent geographic differences between the Middle Miocene and present day are the
140 opening of the Tethys, Indonesian and Panama seaways, the closure of the Bering Strait and
141 lower elevations of most of the highest regions of the globe. For instance, the African
142 topographies were reduced to 25% of its current elevation (Figs. 1a and b).

143 The topography of the Tibetan Plateau in F18 is set to its estimated Early to Middle
144 Miocene elevation. The southern and central plateau reached a near modern elevation, the
145 northern plateau is set to 3-4 km but its northward extend is reduced to reflect the rapid uplift
146 occurring in Pliocene (Harris, 2006, and the references therein). The HM reached to 60-80% of
147 its present height. As for the IP, here we lumped together all the mountain ranges west of the
148 Himalayan, including the Hindu Kush region and Pamir as the IP. In F18, the northern part of
149 the IP reached a near modern elevation as 1000-2000 m, but its southern part was lower than
150 1000 m.

151 The Miocene vegetation is prescribed as that in F18, which is a global gridded distribution
152 (Figure S1 in Supplementary Materials (SM)) merging previous reconstructions (See F18 for
153 more details). During the Miocene, vegetation types associated with lower latitudes today
154 encroached on higher latitudes. There was reduced presence of ice compared to modern
155 conditions, and ice-free regions were covered by tundra in Antarctica while cold mixed forests
156 spread over Greenland.

157 **2.3. Experimental design**

158 We first perform two simulations: the pre-industrial (piControl) and the Middle Miocene
159 (MMIO) simulation, which differ in their applied geography (Figs. 1a and b), bathymetry,
160 vegetation cover and the CO₂ concentrations while the solar constant, orbital configuration and
161 the concentrations of other greenhouse gases are kept at their modern values. The CO₂
162 concentration is set to 280 ppmv in the piControl (Eyring et al., 2016) and 400 ppmv in the
163 MMIO following the setting of F18. The choice of 400 ppmv is somewhat low but within the
164 range of published estimates (see details in F18 and Burls et al., 2021). Both simulations are
165 integrated to reach quasi-equilibrium, particularly the MMIO experiment is integrated ca 3000

166 years. The difference between MMIO and piControl provides the background information of
167 the simulated changes in the SASM between the two periods.

168 Starting from the MMIO simulation, we run a set of experiments with altered orography
169 in the HM and the IP. We examine the joint effects of the HM and IP on the SASM assuming
170 the HM and the IP rise simultaneously from flat (0%) to 100% of their reference height (Figs.
171 1c and d). The reference height is the modern altitude for the HM and the reconstructed Miocene
172 altitude for the IP. The experiments are referred as IP0HM0 and IP100HM100, respectively.
173 To further separate the climatic effect of the IP and HM uplift, we conduct another two
174 experiments: IP100HM0 and IP0HM100. In the former (latter) experiment, the HM (IP) is
175 absent while the IP (HM) reaches its reference height (Figs.1e and f). Combined with the
176 experiments of IP0HM0 and IP100HM100, the effect of elevated IP and HM is estimated (see
177 section 3.2). To further reveal the impact of the IP uplift on the SASM evolution, two other
178 experiments are conducted: IP50HM0 and IP50HM100, indicating that the IP is reduced by
179 half of its Miocene height while the HM is absent and fully uplifted, respectively.

180 To clarify the relative role of CO₂ forcing on SASM rainfall in the Middle Miocene, we
181 also run a set of CO₂ sensitivity experiments with the CO₂ setting to 280, 560, 800 and 1000
182 ppmv, referred to as MMIO280, MMIO560, MMIO800 and MMIO1000, respectively. The
183 high values as 800 and 1000 ppm are chosen because new reconstructions of CO₂ are generally
184 corresponded to 3 times the pre-industrial levels (Rae et al., 2021). These experiments share
185 the same boundary conditions as the MMIO simulation, differing only in CO₂ concentration.

186 The simulations considered in our study are listed in Table 1. The sensitivity experiments
187 are integrated from the MMIO equilibrium state for another 200 (500) years for the topography
188 (CO₂) sensitivity experiments to reach quasi-equilibrium. The final 50 years of these
189 simulations are used for analysis.

190 **2.4. South Asian Summer Monsoon indices**

191 The following indices are defined to illustrate features of the SASM changes.

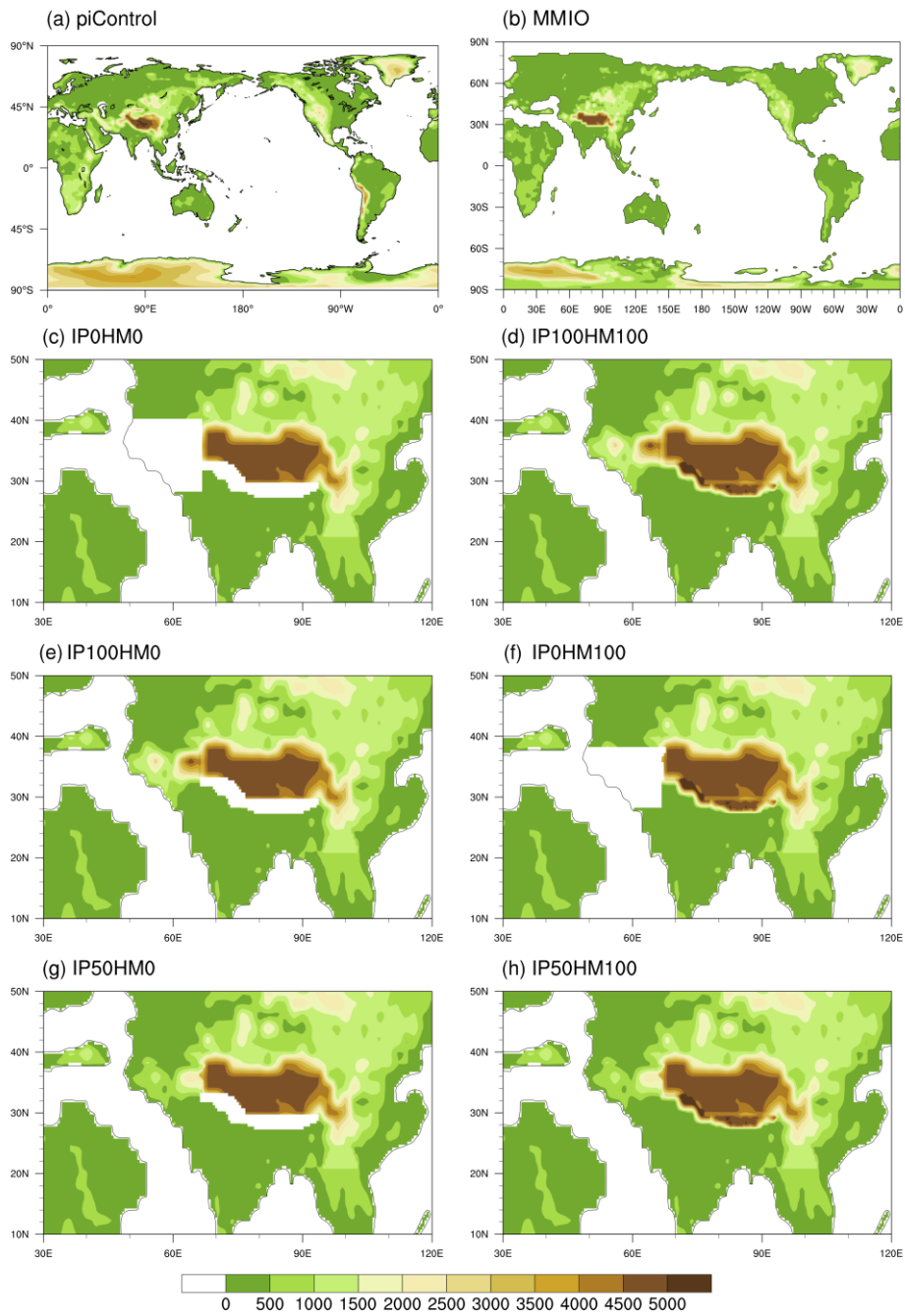
192 (1) All Indian rainfall (AIR): regional summer mean precipitation over the land points
193 within the domain of 7-30°N, 65-95°E. It represents the precipitation in the core region of the
194 SASM.

195 (2) Webster-Yang Index (WYI; Webster and Yang, 1992): meridional wind stress shear
196 between 850 hPa and 200 hPa averaged over 40-110°E, 0-20°N during June-August.

197 (3) Somali jet strength (SMJ; Sarr et al., 2022): Maximum intensity of the Somali jet over
198 the Arabian Sea (averaged over 30-60°E, 0-20°N) during June-August.

199 **2.5. Moisture budget analysis**

200 Moisture budget analysis (MDA) can decompose the precipitation change into changes in
201 evaporation and moisture advection (Chou et al. 2009). It relates the net precipitation
202 (precipitation minus evaporation; $P - E$) to the vertically integrated moisture flux convergence
203 (Chou et al., 2009). More details about MDA are given in SM 2. This method has been widely
204 applied to paleoclimate studies in recent years, such as distinguishing the physical processes
205 involved in precipitation changes in Mid-Holocene (Sun et al., 2023). Here, we apply MDA to
206 reveal the physical processes related to SASM precipitation responses to the uplift of IP-HM
207 and to CO₂ change.



208

209 **Figure 1.** Topography of (a) piControl, (b) MMIO and orographic sensitivity experiments,
 210 including (c) IP0HM0, (d) IP100HM100, (e) IP100HM0 and (f) IP0HM100, (g) IP50HM0, (h)
 211 IP50HM100 (The maps are plotted at $0.5^\circ \times 0.5^\circ$ resolution. The same maps but at $1.9^\circ \times 2.5^\circ$
 212 resolution are provided in the SM as Fig. S2)

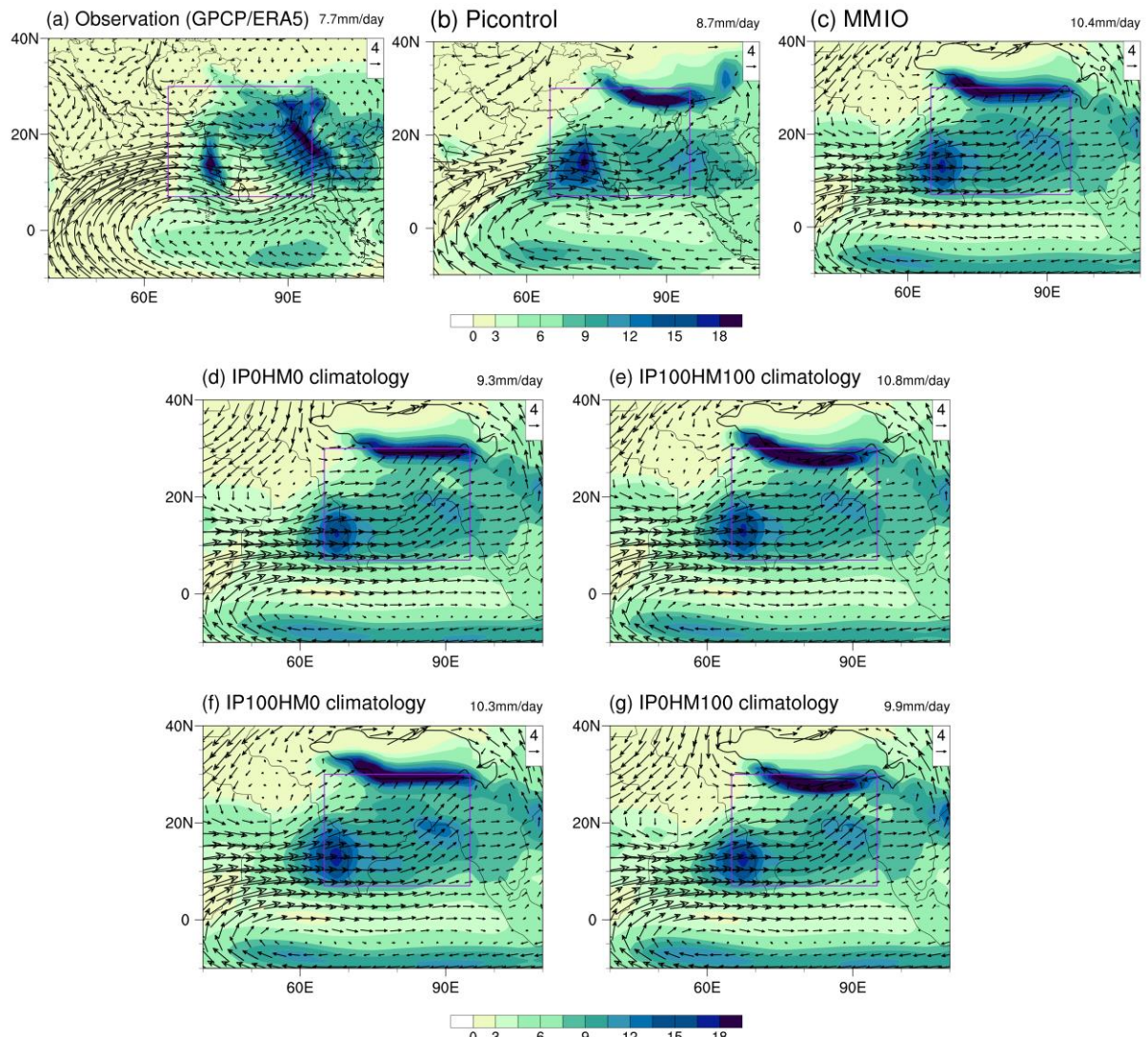
213 3. Results

214 3.1. Climatology of the SASM in the present day and Middle Miocene

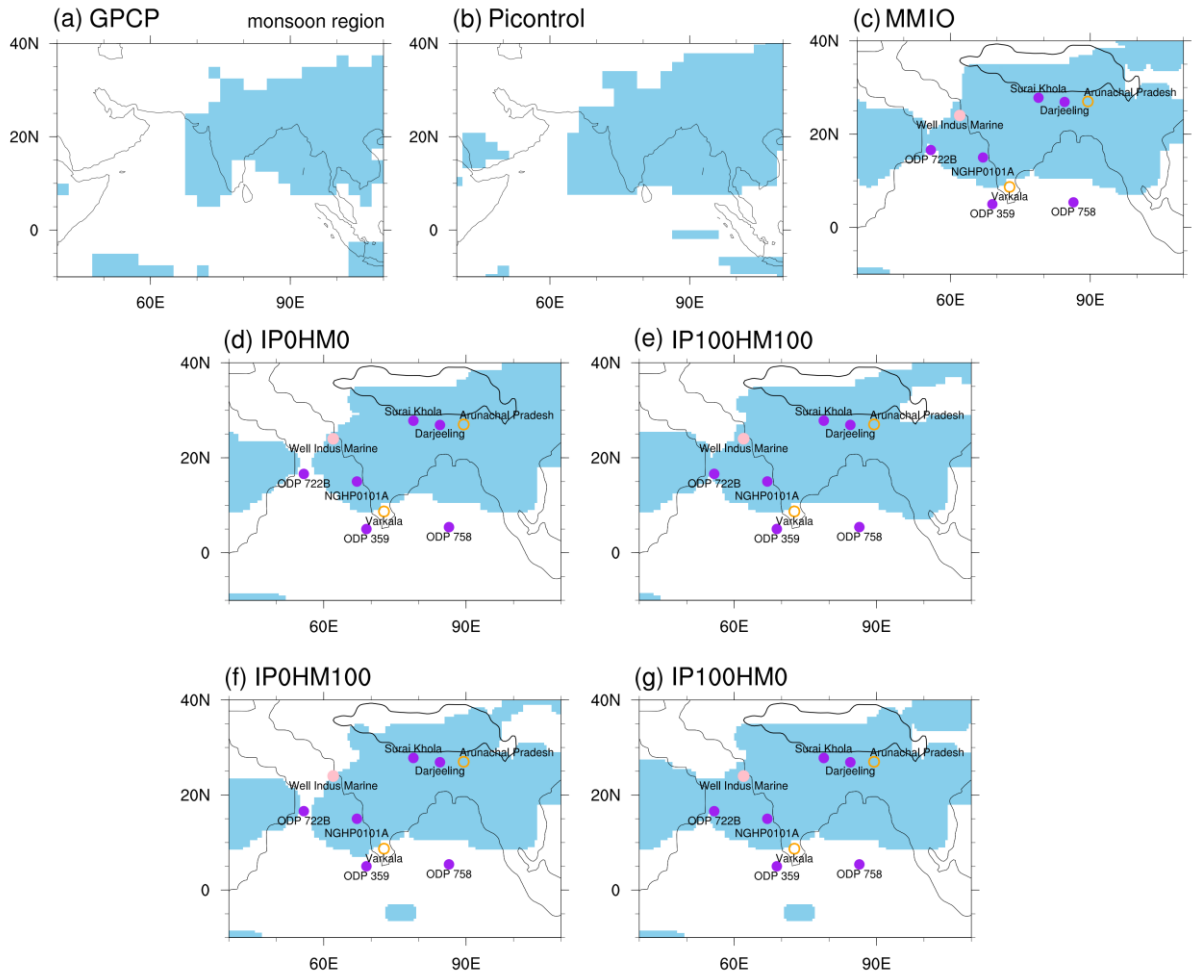
215 The CESM1.2 is one of the best models in simulating the present-day SASM (Anand et
216 al., 2018; Jin et al., 2020). The CESM1.2 reproduced the broad features of the SASM system
217 including the onshore flows and strong monsoonal precipitation when compared to the
218 observational datasets including GPCP (precipitation) and ERA5 (circulation) (Huffman et al.,
219 2009; Hersbach et al. 2020). The maximum centers of precipitation are reasonably captured
220 over the southern slope of the HM, the East Arabian Sea and Bay of Bengal despite biases in
221 intensity and extensions (Figs. 2a, b), which is largely due to the coarse spatial resolution
222 (Acosta and Huber, 2017; Anand et al., 2018; Botsyun et al., 2022a, b; Boos and Hurley, 2012).
223 Thus, we focus on the large-scale circulations and treat the local features with caution. The
224 regional summer mean precipitation, as measured by the AIR, is 7.7 mm day^{-1} in GPCP and
225 8.7 mm day^{-1} in the piControl experiment. The positive bias reflects an overestimation of
226 precipitation in the Western Ghats and at the HM foothills.

227 Compared with the piControl experiment, the MMIO simulation displays apparent
228 adjustment of the JJA mean low-level circulation. The westerlies pass Africa into the Indian
229 region, and a cyclonic circulation develops over the Arabian Sea, the cross-equatorial flow
230 weakens and displaces southward (Fig. 2c). There is considerable enhancement of monsoonal
231 precipitation in South Asia but not limited there (Fig. 2c). AIR in MMIO simulation is 10.4 mm
232 day^{-1} , which is $\sim 20\%$ higher than that in piControl experiment.

233 The wetter Miocene climate is also reflected by the widespread Africa-Asian monsoon,
234 which was suggested by previous modeling studies (Herold and Huber, 2011; Zhang et al.,
235 2015). Here a monsoon-like climate is defined as local summer-minus-winter precipitation
236 exceeding 2 mm day^{-1} and the local summer precipitation exceeding 55% of the annual total
237 (Wang and Ding, 2008). This monsoon index is determined by the intensity of summer
238 monsoonal precipitation in the region of the South Asian Monsoon (SAM). Compared with
239 present day, the domain of the SAM extends westward both in land and over the Arabian Sea
240 where it nearly connects the African monsoon (Fig. 3c). Interestingly, this characteristic is also
241 noted in the Miocene study of Fluteau et al. (1999), despite significant differences in the climate
242 model and paleogeography employed in the two studies. The distribution of the simulated SAM
243 is generally consistent with the proxies (Table 2), confirming the wide existence of SAM in the
244 Middle Miocene in terms of rainfall seasonality.



245
 246 **Figure 2.** Climatology of JJA (June-July-August) seasonal mean South Asia summer monsoon
 247 (SASM) precipitation (mm day^{-1}) and 850 hPa winds (vectors, m s^{-1}) from (a) observation
 248 precipitation from GPCP and circulation from ERA5), (b) Preindustrial control experiment and
 249 (c) MMIO experiment. (d) IP0HM0, (e) IP100HM100, (f) IP100HM0, (g) IP0HM100.
 250 Climatology is the average over 1979-2005 for the observation. As for the piControl and MMIO
 251 experiment, we select the last 50 and 100 years of simulation, respectively. All Indian rainfall
 252 (AIR) is shown at the top-right of each panel. AIR indicates precipitation over the land points
 253 within the purple square in each panel ($7\text{-}30^{\circ}\text{N}$, $65\text{-}95^{\circ}\text{E}$). The black contour in panel (c)-(g)
 254 indicates the altitude of 2500 m.



255

256 **Figure 3.** The monsoon domains (blue shading) in (a) GPCP, (b) piControl experiment, (c)
 257 MMIO experiment, (d) IP0HM0, (e) IP100HM100, (f) IP0HM100 and (g) IP100HM0
 258 experiments, which are defined by the regions where local summer-minus-winter precipitation
 259 exceeds 2 mm day^{-1} and the local summer precipitation exceeds 55% of the annual total. Dots
 260 in (c-g) represent reconstructions near the SASM region, purple solid dots denote enhanced
 261 SASM, orange circles denote no significant change and pink solid dots denote weakened SASM
 262 from middle to late Miocene. The black contour in panel (c)-(g) indicates the altitude of 2500
 263 m.

264 3.2. The effect of the HM and IP uplift

265 We first examine the effect of the joint uplift of the HM and IP (hereafter referred to as
 266 IP-HM). With the uplift of the IP-HM (Fig. 4a), a prominent cyclonic anomaly is built to the
 267 west of the IP with the intensified southwesterlies from Africa via the Arabian Sea into the
 268 northwestern India. Increased precipitation is found along the eastern flank of the cyclonic
 269 anomaly to the slopes of the western HM and northeastern IP. In the eastern part of the monsoon

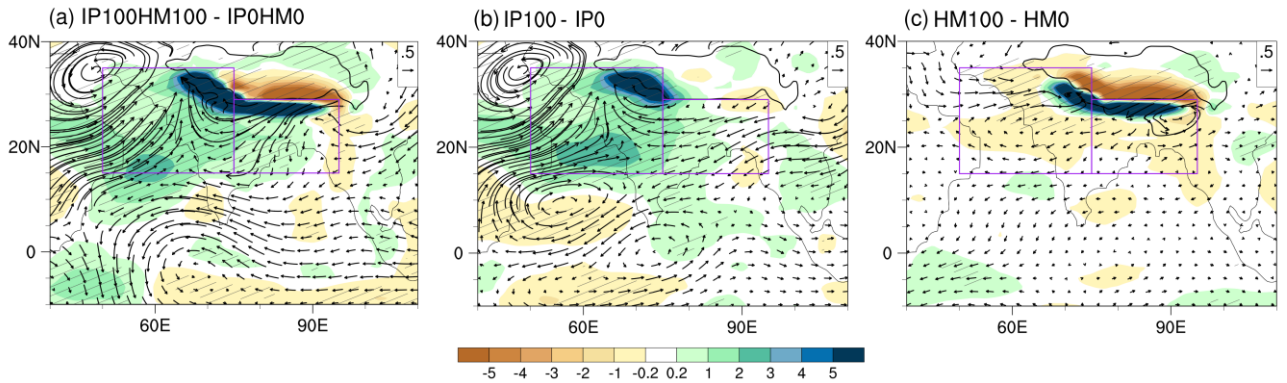
270 region, the enhanced precipitation occurs mainly along the southern edge of the HM while the
271 leeward side features a remarkably decreased precipitation, indicating the rain shadow effect.

272 Corresponding to the summer precipitation change in response to IP-HM uplift, the
273 domain of the SASM expands westward over the Arabian Sea and the Indian subcontinent (Figs.
274 3d-e). The western extension over land is about 65°E in the IP0HM0 experiment and reaches
275 60°E in the IP100HM100 experiment, indicating that the change of the SASM is significant in
276 the northwest of the Indian subcontinent. Interestingly, monsoonal signal exists in the IP0HM0
277 experiment, an analogue to the “early Miocene”, indicating that proto-monsoon exists by
278 having TP only, which is also found in previous studies (Sarr et al., 2022). At the site of ODP
279 722B, monsoonal signal is absent in IP0HM0 (Fig.3d), but present in IP100HM80 (MMIO, Fig.
280 3c) and IP100HM0 (Fig. 3e) when the IP-HM is uplifted.

281 We further separate the effect of the IP and HM uplift. The climate response to IP uplift
282 (IP100-IP0) is estimated as $((IP100HM0-IP0HM0)+(IP100HM100-IP0HM100))/2$. Similarly,
283 the effect of HM uplift HM100-HM0 is estimated as $((IP0HM100-IP0HM0)+(IP100HM100-IP100HM0))/2$. The changes in precipitation and low-level circulation much resemble that
284 attributing to the IP-HM uplift (Fig. 4a), indicating that by itself, the IP can sustain major parts
285 of the precipitation changes except over the central-eastern HM. The easterly anomaly across
286 the Indian subcontinent indicates that the westerly is blocked by elevated IP from north India,
287 facilitating moisture convergence and rainfall increase over the northern Indian continent. As a
288 result, the regional mean precipitation increases by 1.1 and 2.0 mm/day over the core (7-30°N,
289 65-95°E) and western regions (15-35°N, 50-75°E), respectively.

291 In contrast to the widespread effect of the IP on the SASM, the HM uplift only has a local
292 effect (Fig. 4c), which is mostly confined to the HM and its close vicinity, and the change in
293 low level circulation is noisy and weak. The precipitation strongly increases along the southern
294 slope of the HM and dramatically decreases on its leeward side, resembling the changes in
295 precipitation in the eastern region caused by the IP-HM uplift. As a result, there is little change
296 in the regional mean precipitation over the core and eastern regions (15-35°N, 75-95°E).
297 Specially, the changes in precipitation patterns and low-level circulation between IP100HM100
298 and MMIO (not shown) closely resemble that shown in Fig. 4c, albeit with reduced intensity,
299 indicating that further uplift of HM above the TP does not result in intensified SASM.

300 In summary, the joint influences of the IP-HM uplift on the SASM are the superimposed
 301 effect of the IP and HM. In the western region, i.e., from the Arabian Sea to the northwestern
 302 India and Pakistan, the IP plays a dominant role while in the eastern region, i.e., the east part of
 303 South Asia, the changes in the SASM mainly attribute to the HM uplift. And the western
 304 extension of the SASM domain over the Arabian Sea and the Indian subcontinent is mainly
 305 caused by the uplift of IP rather than HM (Figs. 3f-g).



306
 307 **Figure 4.** Precipitation (shaded, mm day⁻¹) and 850hPa wind differences between (a)
 308 IP100HM100 and IP0HM0 experiments; (b) IP100 and IP0 experiments; (c) HM100 and HM0
 309 experiments. Here $IP100-IP0 = ((IP100HM0-IP0HM0) + (IP100HM100-IP0HM100))/2$,
 310 $HM100-HM0 = ((IP0HM100-IP0HM0) + (IP100HM100-IP100HM0))/2$. The black contour in
 311 each panel indicates the altitude of 2500 m. Purple boxes represent west (15-35°N, 50-75°E)
 312 and east (15-29°N, 75-95°E) parts of the South Asian monsoon region. Slashes indicate
 313 values >95% confidence level based on the *Student's t* test.

314 3.3. The effects of the CO₂ forcing vs topographic forcing

315 To illustrate the effect of CO₂ forcing on SASM during the MMIO, we show the
 316 climatology of the SASM at low and high levels of CO₂ concentration based on MMIO28 and
 317 MMIO1000 experiments, respectively (Fig.5). The spatial circulation patterns in these two
 318 experiments are similar to that in the MMIO but the magnitudes change significantly (Fig.5a
 319 and b, Fig.2c). With the increase of CO₂, the meridional cross-equatorial flow slightly
 320 strengthens along the East Africa coast until 15°N but weakens to its east (Fig. 5c, d), leading
 321 to little change in the regional mean strength of this flow over the Arabian Sea. Meanwhile,
 322 precipitation enhances along the band of 15-25°N but decreases to its south, indicating a
 323 northward shift of the tropical rainfall belt. As the CO₂ rises from 280 ppm to 400 ppm, and
 324 subsequently to 1000 ppm, the AIR index correspondingly increases by 0.5 mm day⁻¹ and 1.2

325 mm day⁻¹, respectively. MBA (SM2) further reveals that the increased monsoonal precipitation
326 is primarily induced by enhanced thermodynamic conditions due to atmospheric warming,
327 while the contribution from the change in large-scale monsoon circulation plays a secondary
328 role (SM Fig S5c and d). For instance, the precipitation change between MMIO1000 and
329 MMIO in the core SASM region is 1.2mm/day, of which 0.6 is from the thermodynamical
330 processes related to changes in moisture and 0.25 mm/day from the dynamical processes related
331 to circulation change.

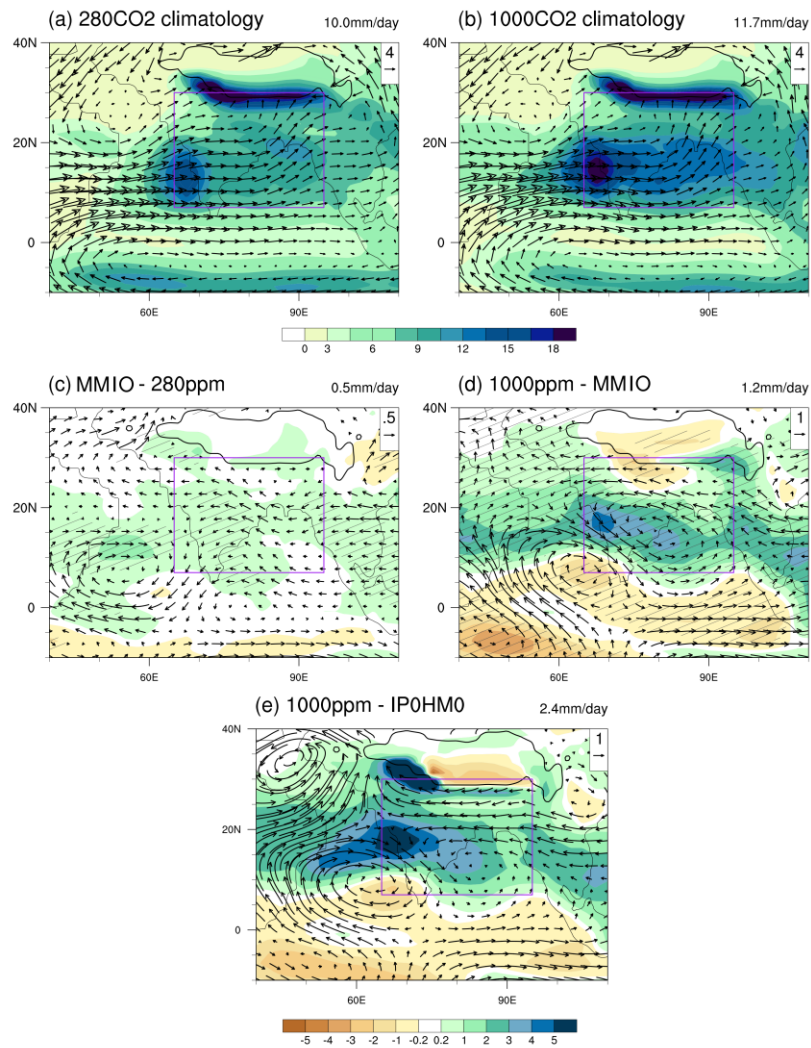
332 To compare the effect of CO₂ forcing versus topographic forcing on the SASM, we
333 examine the changes of precipitation and low-level circulations between MMIO1000 and
334 IPOHM0 experiments (Fig. 5e), which actually reflects the combined effects of the CO₂ forcing
335 (MMIO1000-MMIO) and IP-HM uplift (MMIO-IPOHM0). It is clear that the SASM changes
336 in Fig. 5e bear the features of Fig. 5d and Fig. 4a: precipitation enhancing along the band of 15-
337 25°N and reducing to its south in response to increased CO₂ and a prominent cyclonic anomaly
338 built to the west of the IP in response to the IP-HM uplift. Moisture budget analysis further
339 reveals that the enhanced precipitation of 3.2 mm day⁻¹ in the west part of the SAM region is
340 equally attributed to the vertical and horizontal moisture advection of 2.3 mm day⁻¹ (Fig. 6).
341 The moisture advection by anomalous meridional winds is the dominant contribution term,
342 which is actually the response to the IP uplift as we see in next section.

343 We further examine the impacts of CO₂ forcing and topographic forcing in terms of WYI,
344 SMJ, AIR (Sect. 2.4) and the mean precipitation over the western part of the SASM region
345 (Fig.7). Under the topographic forcing, WYI exhibits small changes, with the exception of a
346 relatively lower value in the IPOHM100 experiment. Concurrently, both precipitation and low-
347 level circulation indices increase in response to the IP uplift, indicating a quasi-circulation-
348 rainfall coupling relationship. With the increasing of CO₂ forcing, there is a noticeable decrease
349 in WYI, whereas AIR and precipitation in the western SAM region increase significantly,
350 indicating a decoupling relationship between large-scale circulation and monsoonal rainfall.
351 The cross-equator flow at lower level (Somali Jet) is insensitive to CO₂ change as already
352 shown in Fig.5.

353 The maximum difference of each index across the set of CO₂ or topographic sensitivity
354 experiments is defined as the effect of each driver. In terms of WYI (Fig. 7a), the effect of CO₂
355 forcing is ~150% greater than that of IP-HM forcing, with values of 2.5 m s⁻¹ vs 1.0 m s⁻¹.
356 According to the AIR, the influence of CO₂ forcing is ~1.5 mm day⁻¹, which is comparable to

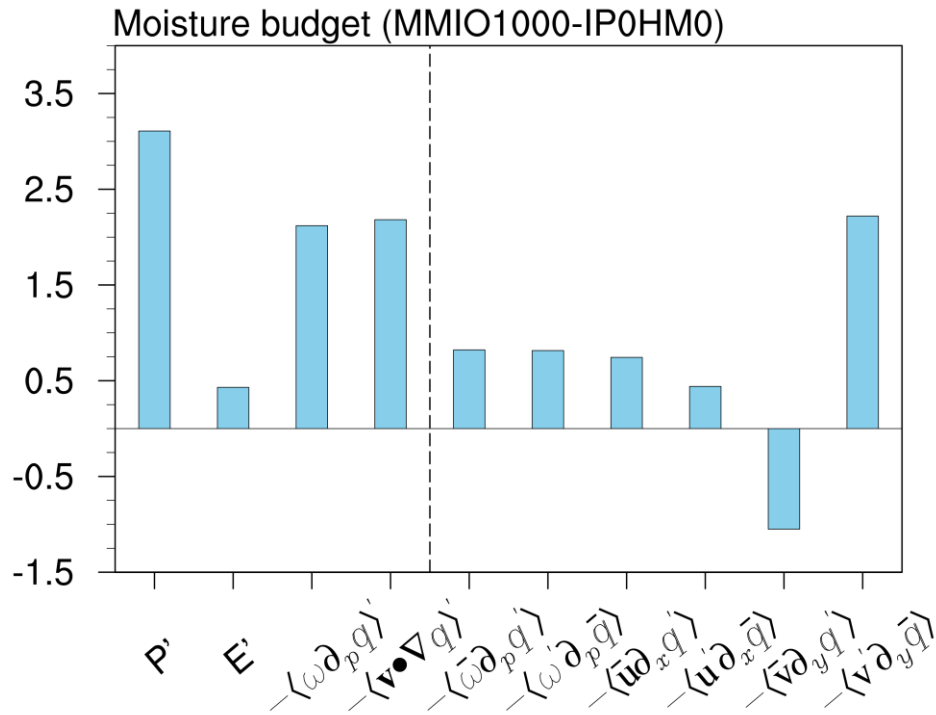
357 that of IP-HM forcing ($\sim 1.5 \text{ mm day}^{-1}$) but is larger than the individual contributions of IP
358 forcing ($\sim 1.0 \text{ mm day}^{-1}$) and HM forcing ($\sim 0.5 \text{ mm day}^{-1}$). In the western region, the effect of
359 CO_2 forcing is about 75% compared to that of IP forcing (~ 1.5 vs $\sim 2.0 \text{ mm day}^{-1}$). In summary,
360 CO_2 forcing is the dominant driver for large-scale monsoon circulation, while the uplift of the
361 IP exerts a more significant effect on regional circulation and the associated precipitation.

362 We note that the SASM response to CO_2 forcing in the Middle Miocene is very similar to
363 that of projecting future climate change. For instance, increased SASM precipitation occurring
364 with decreased WYI is also projected under abrupt quadrupling of CO_2 (Kong et al., 2022). The
365 low-level monsoon circulations are projected to slightly weaken, consistent with the little
366 change in the intensity of low-level cross-equator flow in our Miocene simulations (Fig.5 and
367 6). Based on an analysis across 20 climate models, Endo and Kitoh (2014) concluded that in a
368 warmer world, projected increase in SASM precipitation is mainly attributed to thermodynamic
369 processes. This finding aligns with our MBA result (Fig.5). The similarity in the SASM
370 response to changes in CO_2 implies the presence of a comparable physical mechanism operating
371 during the two warm periods.



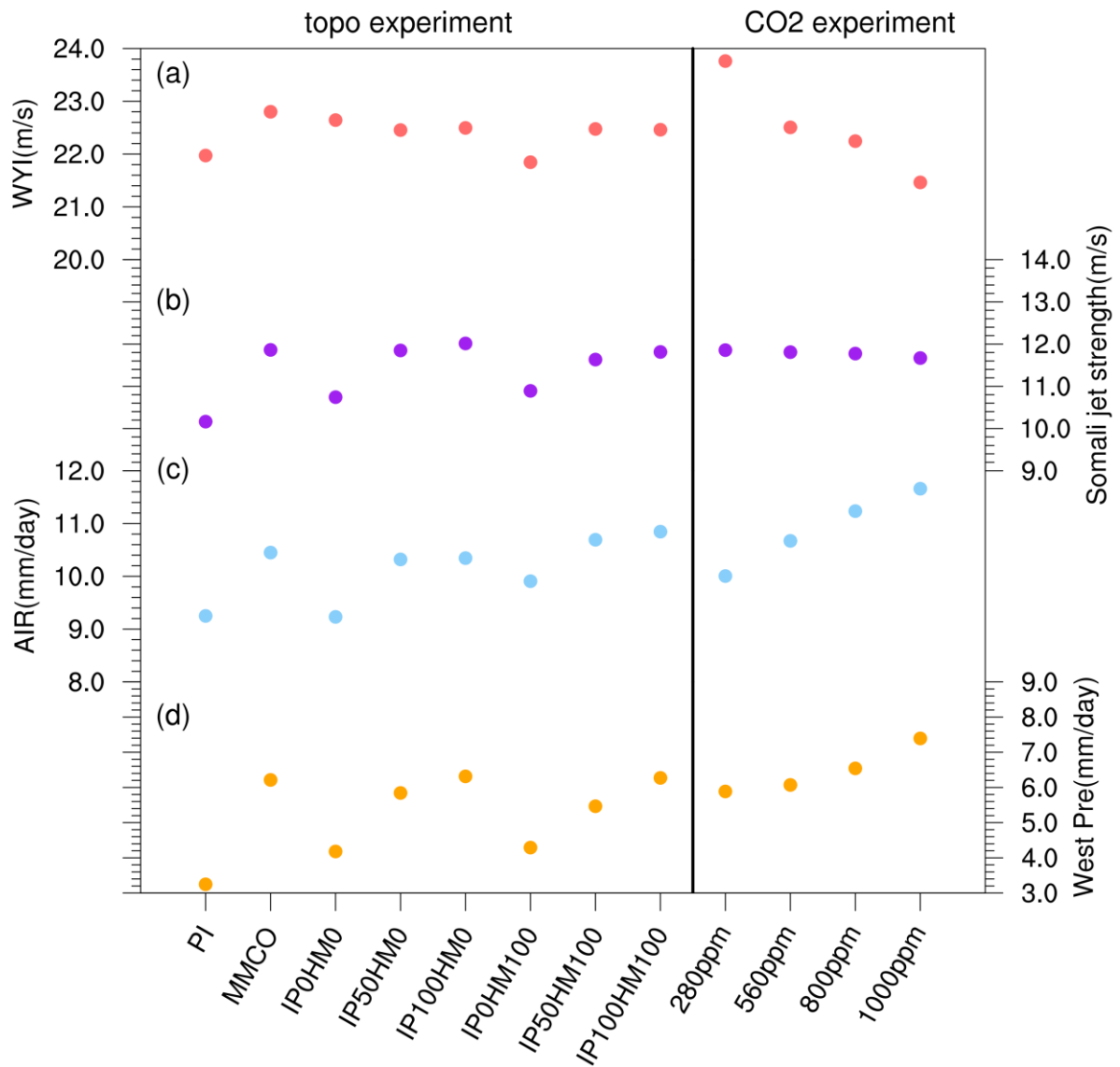
372

373 **Figure 5.** Climatology of JJA (June-July-August) mean South Asia summer monsoon (SASM)
 374 precipitation (mm day^{-1}) and 850 hPa winds (vectors, m s^{-1}) from (a) MMIO_280 experiments
 375 and (b) MMIO_1000 experiments. Precipitation (shaded, mm day^{-1}) and 850hPa wind
 376 differences (vector, m s^{-1}) between (c) MMCO and MMCO_280 experiments; (d)
 377 MMCO_1000 and MMCO experiments; (e)MMIO_1000 and IP0HM0 experiments.



378

379 **Figure 6.** Moisture budget for regional mean precipitation differences (mm day⁻¹) over the
 380 west part (15-35°N, 50-75°E) of the South Asian monsoon region between MMIO1000ppm
 381 and IP0HM0 experiments.



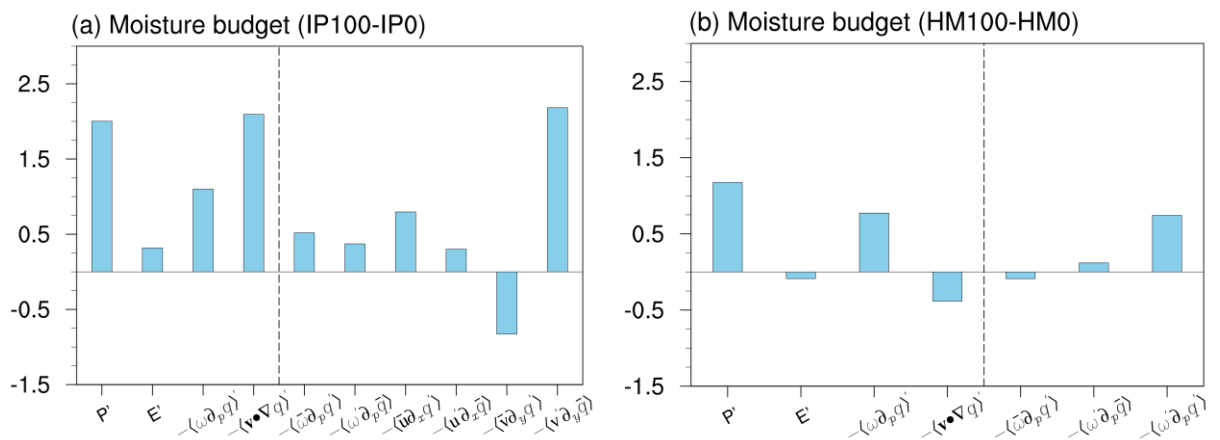
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383 **Figure 7.** South Asian summer monsoon circulation and precipitation response in sensitivity
 384 experiments. Left, topography experiments. Right, CO₂ experiments. (a) Webster-Yang Index
 385 (meridional wind stress shear between 850 hPa and 200 hPa averaged over 40-110°E, 0-20°N
 386 during June-August). (b) Maximum intensity of the Somali jet over the Arabian Sea (averaged
 387 over 30-60°E, 0-20°N during June-August). (c) Regional mean precipitation over the land
 388 points within the domain (7-30°N, 65-95°E), named All indian rainfall (AIR). (d) Precipitation
 389 over the western part of South Asian summer monsoon region.

390 4. Mechanisms of the IP uplift on the SASM precipitation

391 To understand the mechanism of increased precipitation caused by IP uplift and HM uplift,
 392 we first use the moisture budget decomposition to identify the major moisture contributors.
 393 Here we provide the main analysis results (Fig.8), more details are seen in SM2. To focus our

394 analyses on atmospheric dynamics, we neglect the contribution of evaporation, which is
 395 relatively small in our simulation despite the possibly important role for precipitation in the
 396 northwest India (Zhang et al., 2019). In response to IP uplift, the increased precipitation (2.0
 397 mm day⁻¹) is largely attributed to the horizontal moisture advection (2.1 mm day⁻¹), in particular
 398 the moisture advection by anomalous meridional winds, while the vertical advection plays a
 399 secondary role (1.1 mm day⁻¹). In response to HM uplift, precipitation change (ca 1.2 mm day⁻¹)
 400 is mainly caused by the vertical moisture advection (0.9 mm day⁻¹) and is offset by the
 401 horizontal moisture advection (-0.4 mm day⁻¹). Its dominant contributor is a nonlinear term
 402 involving strong interaction between the vertical motion anomalies and moisture change (See
 403 SM2).



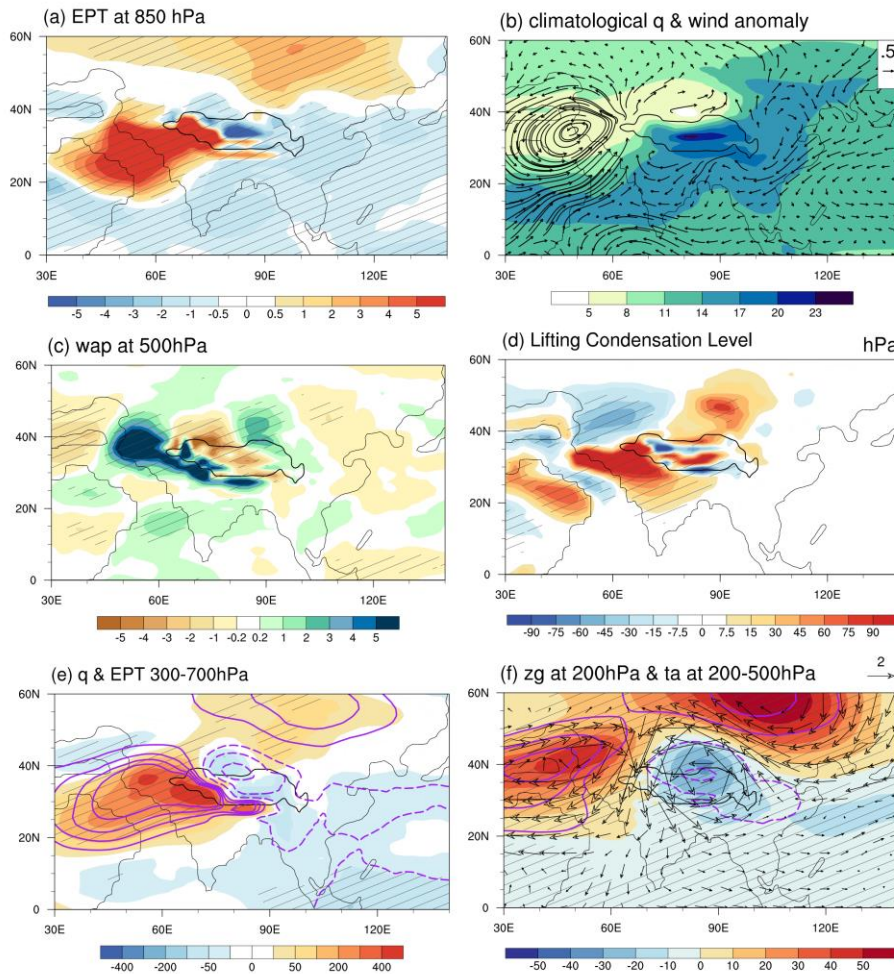
404
 405 **Figure 8.** Moisture budget for regional mean precipitation differences (mm day⁻¹) over (a) the
 406 west part (15-35°N, 50-75°E) of the South Asian monsoon region between IP100 and IP0
 407 experiments, (b) the east part (15-29°N, 75-95°E) of the South Asian monsoon region between
 408 HM100 and HM0 experiments.

409

410 We then examine the responses of the monsoon relevant variables to the uplifts of the IP
 411 and HM and the involved physical processes with focus on the effect of the IP. With IP uplift,
 412 the airs of high equivalent potential temperature (θ_e) at lower troposphere are accumulated in
 413 the IP and the surrounding region (Fig. 9a). The increased θ_e attributes to the enhancement of
 414 specific humidity (Fig. 9b) as moisture is advected by the anomalous southwesterly from North
 415 Africa via the Arabian Sea into the northwestern India and Pakistan (Fig. 9b), meanwhile it
 416 increases the convective instability. Triggered by surface sensible heating (Wu et al., 2012;
 417 Medina et al., 2010), convection takes place. At 500hPa, the upward motion anomalies are
 418 found over the IP and along the HM (Fig. 9c), reflecting the lifting effect of the elevated
 419 topography. The height of the lifted condensation level (LCL) is significantly reduced over the

420 IP and along the western edge of the HM (Fig. 9d), which is likely resulted from the elevated
421 surface sensible heating (He, 2017). Reduced LCL facilitates the moist convection to occur,
422 further warming the air parcels by the released latent heating. Consequently, specific humidity
423 and θ_e further increase in the middle troposphere (Fig. 9e), which in return favors the convection
424 activity. The pattern match between the specific humidity and θ_e indicates that the increased θ_e
425 is primarily contributed by the increase of specific humidity then by the warming (Fig.9c). At
426 the upper troposphere, forced by the latent heating, the warm-centered South Asian High
427 strengthens over the IP (Fig. 9f), which is coupled with the cyclonic anomaly at low level (Fig.
428 9b), leading to moisture convergence over the western region and accelerate the convection
429 activity. Positive feedback is thus built between precipitation and circulation. Regarding HM
430 uplift, there is not a circulation adjustment between the low and high levels, the precipitation-
431 circulation coupling thus cannot be built.

432 In this thermodynamical process, the IP's blocking/mechanical effect is also noticeable as
433 it blocks the cold dry extratropical airs from northern India where the airs of high θ_e cumulate
434 (Fig. 9a). However, this effect is relatively weak given the small contribution of the easterly
435 anomaly to precipitation increase (less than 0.3 mm day^{-1} , see Fig. 8a: $-\langle u' \partial_x \bar{q} \rangle$) according
436 to the moisture budget.



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Figure 9. The differences of JJA mean thermal dynamical and dynamical variables between IP100HM100 and IP0HM0 simulations. (a) Equivalent Potential temperature (EPT, shading, unit: K) at 850 hPa; (b) climatological specific humidity q (shading, g/kg) and wind differences (vector, unit: m s^{-1}) at 850 hPa; (c) vertical velocity w_{ap} in pressure coordinate (-10^{-2} Pa/s) at 500 hPa; (d) Lifting condensation level (LCL, unit: hPa, positive value represents lower LCL); (e) Specific humidity (shading) and EPT (contours, unit: K) integrated between 300 and 700 hPa; (f) geopotential height z_g (shading, unit: m), temperature anomalies (contours, unit: K) and wind (vector, unit: m s^{-1}) at 200 hPa.

446

5. Discussion

447

5.1. Application to monsoonal reconstructions

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A remarkable intensification of the SASM in the Middle Miocene is revealed by increasing evidence (Fig. 3c; Table 2). In the western India and the Arabian Sea, monsoon-like precipitation appeared in the early Miocene (Clift et al., 2008; Reuter et al., 2013; Ali et al., 2021) and intensified at ~ 15 -12 Ma (Clift et al., 2008; Yang et al., 2020). In the eastern India,

452 the intensification of SASM occurred at ca 15 Ma (Khan et al., 2014) to ~13-11 Ma (Bhatia et
453 al., 2021; Vogali et al., 2017). In terms of wind system, a weaker “proto-monsoon” existed
454 between 25 and 12.9 Ma (Betzler et al., 2016) and an abrupt intensification occurred at 12.9 Ma
455 inferred from the sedimentary records in the Maldives (Betzler et al., 2016) and in the western
456 Arabian Sea (Gupta et al., 2015), indicating the inception of a modern Somali Jet. Besides,
457 monsoonal upwelling thus possibly the strengthening of wind speed in the western Arabian Sea
458 was observed since ca 14.8 Ma.

459 Our modeling results support the existence of the SASM (Clift et al., 2008) in terms of
460 precipitation seasonality in early Miocene represented by the IP0HM0 experiment when the
461 proto-TP existed while the IP and HM were low (Fig.3). With the uplift of the IP rather than
462 the HM during middle Miocene, monsoonal precipitation increased in the northwest of the
463 Indian landmass as shown in the ~IP50, ~HM50 and IP100HM100 experiments (Fig.6)
464 corroborating the hypothesis that increased sedimentary and weathering fluxes between 25 and
465 15 Ma could be partially linked with monsoon intensification related to the coeval of IP-HM
466 (Clift et al., 2008). Meanwhile, with the deepening of cyclonical anomaly over the west of the
467 IP (Fig.4b), southwesterly strengthens in the western Arabian Sea, which somewhat agrees with
468 the reconstructions that suggests the inception of modern Somali Jet (Betzler et al., 2016). But
469 the inception of modern Somali Jet is more likely attributed to the uplift of the East African
470 topography demonstrated in modeling studies (Chakraborty et al., 2006; Wei and Bordoni, 2016;
471 Sarr et al., 2022; Tardif et al., 2023) and/or the emergence of land in Eastern Arabian Peninsula
472 (Sarr et al., 2022). This aligns with geological evidence indicating that the East Africa began to
473 uplift in the late Oligocene–early Miocene and rapidly uplifted in the middle–late Miocene
474 (Macgregor, 2015). We conduct a series of complementary experiments (SM3) and confirm
475 that elevated East African highlands play an essential role in producing the modern-like Somali
476 Jet. Meanwhile, it creates an anti-cyclonic anomaly over the north Arabian Sea as revealed by
477 previous studies, leading to reduced moisture transport into Indian landmass thus decreased
478 monsoonal precipitation. Therefore, there is likely a complementary and competing effect on
479 SASM evolution between the uplift of the IP and the East African highlands.

480 The enhanced precipitation at 13 Ma is inferred from leaf fossil in the eastern HM, which
481 has been attributed to the rise of the HM (Khan et al., 2014; Bhatia et al., 2021). But this
482 hypothesis cannot be supported by our sensitivity experiment. Neither can it be interpreted by
483 the uplift of the IP based on our simulations. In contrast, some modeling studies suggested

484 enhanced precipitation in along the HM in response to mountain uplift in the American region
485 and northern TP (Chakraborty et al., 2006; Miao et al., 2022). Therefore, remote impacts on
486 precipitation change in the eastern HM should be taken into account.

487 The CO₂ forcing has little impact on the intensity of the Somali Jet, indicating its little
488 contribution to the strengthening of surface wind inferred from the reconstructions (Gupta et
489 al., 2015), but its effect on precipitation is likely to superimpose on that of the IP uplift. It is
490 speculated that during the early part of the Middle Miocene Climatic Optimum, abrupt rise of
491 the CO₂ amplifies the effect of the IP uplift, leading to the markedly intensified SASM
492 precipitation around 15 Ma as depicted in reconstructions (Clift et al., 2008; Yang et al., 2020).
493 While during the mid-late Miocene, the decreasing tendency of CO₂ offsets the effect of the IP
494 uplift, although precipitation still intensifies due to the dominant impact of the latter. Given the
495 wide range of reconstructed CO₂ in terms of intensity and timing during the Middle Miocene,
496 the effect of CO₂ forcing experiences large uncertainty. Nevertheless, the CO₂ variation itself
497 cannot interpret the strengthening of wind along the Somali or the evolution of SASM
498 precipitation intensity as inferred from the reconstructions.

499 The two sites ODP 359 and 758, situated in the Inner Sea of the Maldives and the southern
500 Bay of Bengal, respectively, indicate an abrupt strengthening of monsoonal circulations in the
501 SASM regions at 12.9 Ma and 13.9 Ma, respectively. However, our modeling efforts cannot
502 replicate these enhancements through either the uplift of the IP and HM or a reduction in CO₂
503 levels. Hence, it is likely that other factors exert a more significant influence on the
504 reorganization of the SASM system. Examples include Antarctic glaciation, as suggested in Ali
505 et al. (2021; Sarr et al., 2021), as well as the closure of the Tethys, as discussed in research by
506 Betzler et al. (2016) and Bialik et al. (2019).

507 **5.2. Comparison with previous modeling studies**

508 Concerning the effect of uplifted HM and IP on the SASM, our modeling results confirm
509 the intensified SASM linked with the uplift of the IP (Liu et al., 2017; Zhang et al., 2015; Acosta
510 and Huber, 2020; Tardif et al., 2020, 2023) rather than the HM (Zhang et al., 2012), particularly
511 over the western region, i.e., from the Arabian Sea to the northwestern India and Pakistan.
512 When the evolution history of the HM-TP is taken into account, the uplift of the HM against
513 the TP mainly enhances the orographic precipitation along the windward side of the HM and
514 has little impact on regional monsoonal precipitation. While the effects of IP uplift from our
515 AOCGM simulations qualitatively agree with previous studies using AGCMs (Wu et al., 2012;
516 Liu et al., 2017; Zhang et al., 2015; Acosta and Huber, 2020), additional analysis (not shown)

517 reveals notable impacts on ocean circulations. These impacts are evidenced by changes in SSTs
518 and precipitation in tropical oceans, potentially influencing SASM intensity through
519 teleconnection. However, further discussion on the added value of OAGCM extends beyond
520 the scope of our current study.

521 Regarding the mechanism of the IP uplift on the SASM, our analyses tend to support its
522 thermal forcing effect (Wu et al., 2012; Liu et al., 2017), but instead of emphasizing the sensible
523 heating effect, we highlight the latent heating as a crucial link between the convection activity
524 and regional circulations as previous study (He, 2017). This demonstrates that it is not only
525 temperature, but also the hydrological cycle modifications as depicted in Section 4 must be
526 taken into account to understand the involved physical process. We also note that the IP's
527 blocking/mechanical effect is much weaker in our study than that reported in Tang et al. (2013).
528 In their study, the elevated IP effectively blocked the westerly flow to the south of the HM,
529 facilitating the moisture advection from the Bay of Bengal into northern India, thus strongly
530 enhanced the SASM precipitation, particularly in eastern India. Similar blocking effect (or role
531 of gatekeeper) is also reported by Acosta and Huber (2020). Both studies utilized high spatial
532 resolution models and were conducted using modern geographies. The weak blocking effect in
533 our study is likely due to: (1) smaller size of the IP in the Miocene than in the present day; (2)
534 spatial lower-resolution model than that used in their studies ($\sim 1^\circ$ or higher), thus some critical
535 regional circulations linked to the SASM are likely misrepresented (Boos and Hurley, 2013;
536 Acosta and Huber, 2017).

537 **5.3. Uncertainty and Methodological Limitation**

538 Geography, particularly the land-sea distribution, is another important driver for Asian
539 monsoon development (Ramstein et al., 1997; Farnsworth et al., 2019; Sarr et al., 2022; Tardif
540 et al., 2023). The land-sea distribution used in our Miocene simulations, like other
541 reconstructions (Herold et al., 2008; He et al., 2021, and references therein) inevitably contain
542 uncertainties. For instance, the Bohai Bay and Yellow Sea basins in East Asia are open in the
543 F18, contrary to regional stratigraphy and lithofacies records (Tan et al., 2020). The Greenland-
544 Scotland Ridge in F18 is set as ~ 4000 m, significantly deeper than a middle bathyal
545 environment (< 1000 -m deep) indicated by geological evidence (Stocker et al., 2005). Large
546 uncertainties also present in the Tethys/Paratethys configuration. The Tethyan Seaway is open
547 with a depth of over 3000 m in F18, in contrast to geological evidence suggesting intermittent
548 openings during ~ 15 -12.8 Ma (Sun et al., 2021). The Paratethys was intermittently connected

549 and disconnected from the global ocean during the Middle Miocene according to geological
550 studies (Rögl, 1997). It is assigned to connect to the global ocean in F18 and Herold et al.
551 (2008) while it retreats to the Carpathian-Black Sea-Caspian Sea region and is connected with
552 the Mediterranean in He et al. (2021). In short, the Tethys/Paratethys configuration in F18
553 reflects more the feature of early Middle Miocene geography, with an open Tethyan Seaway
554 and a smaller IP. However, given that most reconstruction records focus on the late Middle
555 Miocene period (14-12 Ma), our Middle Miocene simulations may not adequately capture the
556 IP's effects and may be less suitable for comparison with proxy data. Nonetheless, a previous
557 study (Sarr et al., 2022) utilizing a late Miocene (10 Ma) configuration also emphasized the
558 significant role of the Anatolia–Iran uplift on enhanced SASM. Their experiments showed that
559 this uplift deepened the low-pressure area over the Arabian Peninsula, intensifying low-level
560 wind and moisture transport from the Arabian Sea towards South Asia, a process consistent
561 with our simulations (Fig. 4a). We thus emphasize that constraining the exact timing of IP uplift
562 is crucial to improve our understanding of the evolution of the SAM. During the late Middle
563 Miocene period, significant geological events occurred, including the final closure of the
564 Tethyan Seaway ~14 Ma (Sun et al., 2021) and the remarkable expansion of the Antarctic ice
565 sheets from ~14.2 to 13.8 Ma (Holbourn et al., 2005), resulting in global sea-level changes.
566 These geological events likely led to considerable changes in the physiography of the Middle
567 East and East Africa. Consequently, the atmospheric and oceanic circulations in these regions
568 and beyond are likely altered during the late Middle Miocene (Hamon et al., 2013). But some
569 modelling studies indicated that “the sole effect of the Tethys way closure, without strong
570 modification of land extension in the Arabian Peninsula region, remain limited” (Tardif et al.,
571 2023), thereby not supporting the hypothesis that the closure of the Tethys Seaway may
572 contribute to altering the intensity of the monsoon during the Miocene (Bialik et al., 2020; Sun
573 et al., 2021).

574 The uncertainty regarding the effects of CO₂ on SASM primarily arises from the wide
575 range of estimated CO₂ values during the Middle Miocene. While our CO₂ sensitivity
576 experiments cover various concentrations, prior studies (Thomson et al., 2021) indicate that the
577 impacts of CO₂ variation on SASM are influenced by the background state. For instance, the
578 status of the Tethys Sea, whether open or closed, introduces uncertain changes in SASM rainfall.
579 Consequently, understanding the precise impacts of CO₂ variation on SASM behavior remains
580 complex and warrants further investigation. In brief, the evolution of the SASM during the
581 Middle Miocene could have been caused by a combination of changes in topography in East

582 African and Middle Eastern physiography, CO₂ variation, as well as the progressive cryosphere
583 expansion in Antarctica. All these factors should be addressed in future study with careful
584 experimental design.

585 High-resolution model is essential to capturing the monsoon dynamics and
586 thermodynamics thus improves our understanding of the monsoonal variation/change (Acosta
587 and Huber, 2017; Anand et al., 2018; Botsyun et al., 2022a, b). The climate model employed in
588 present study is a version of low spatial resolution, not sufficient to reproduce the regional
589 features of the SASM. For instance, the Indo-Gangetic low-level jet, a key mechanism that
590 introduces monsoon onshore flow from the Bay of Bangla into northern India (Acosta and
591 Huber, 2017), is missing in our modern simulation as all the low-resolution models do.
592 Misrepresentation of this circulation is problematic for interpreting the effect of HM uplift and
593 reconciling the modeling-proxy data discrepancy (Khan et al., 2014; Vogeli et al., 2017; Bhatia
594 et al., 2021). The low resolution also likely underestimates the barrier effect of the IP due to
595 topography smooth (Boos and Hurley, 2013). For instance, the mechanical blocking effect is
596 more prominent in the studies with high-resolution models (Tang et al., 2013; Acosta and Huber,
597 2020) than those with coarse resolution model (Zhang et al., 2015; Wu et al., 2007). Although
598 it is out of computer resources to run coupled paleoclimate simulations and perform many
599 sensitivity experiments with high resolution version, we acknowledge that a better
600 understanding of the impact of topographic change on the SASM and the underlying
601 mechanism would benefit from additional simulations performed with increased spatial
602 resolution.

603 The evolution of the SASM is also largely determined by large scale circulation (Wu et.
604 al., 2012; Botsyun et al., 2022b). For instance, the mid-latitude westerly Jet migrated earlier (in
605 the year) and reached higher latitude during warm climate periods than in the pre-industry
606 (Botsyun et al., 2022b). Our Miocene experiments likely confirm this point (not shown) but
607 investigation in depth needs to be done in the future. We also acknowledge that running
608 OAGCMs necessitates an extended period to achieve equilibrium. Particularly with significant
609 modifications to topography or CO₂ levels, integrations spanning 200/500 years may carry the
610 risk of non-equilibrium, potentially affecting the quantitative estimation of their effects, but not
611 essentially change the results.

612 **6. Conclusions**

613 In this study, we performed a series of 12 experiments with the fully coupled OAGCM
614 CESM1.2 (with $\sim 2^\circ$ horizontal resolution) to investigate the SASM in response to topographic
615 changes in the region surrounding the Tibetan Plateau and the variations of global CO₂
616 concentration during the Middle Miocene. We examined the effect of elevated IP and HM on
617 the SASM through a set of topographic sensitivity experiments. Additionally, due to the large
618 uncertainties of CO₂ reconstructions (Rae et al., 2021; CenCO₂PIP, 2023), we conducted a
619 series of CO₂ sensitivity experiments to investigate its impact on the SASM. We explored the
620 underlying mechanisms and compare the modeling results with proxy data. The conclusions
621 are as follows:

622 (1) We confirm and extend previous studies showing that IP uplift plays a major role in
623 the intensification of the SASM, particularly in the western region, from the northern Arabian
624 Sea to northwestern India and Pakistan, while it has little impact on eastern India. The effect of
625 the HM uplift is confined to the range of the HM and its vicinity, producing orographic
626 precipitation change.

627 (2) The response of the SASM to CO₂ variation under Middle Miocene boundary
628 conditions is similar to that under present-day conditions projecting future SASM changes. This
629 suggests that similar physical processes operate during these two warm periods. Enhanced
630 monsoonal precipitation is primarily governed by enhanced thermodynamic conditions due to
631 atmospheric warming, while the contribution from the change in large-scale monsoon
632 circulation plays a secondary role. In the western region, topographic change, particular the IP
633 uplift, remain the dominant factor.

634 (3) Topographic changes out-compete CO₂ variations in driving the intensification of the
635 SASM. The forcing of CO₂ variation is more important for the change of large-scale monsoon
636 circulation that is decoupled with rainfall change. In case of strong CO₂ variation, that is, from
637 280 to 1000 ppm, similar to the abrupt-3 \times or 4 \times CO₂ experiments, its contribution to SASM
638 precipitation is comparable (approximately 75%~100%) to that of topographic forcing in the
639 core SAM region. However, in the western region, topographic forcing remains the dominant
640 factor.

641 (4) We propose a thermodynamic process linking the uplift of the IP to enhanced SASM,
642 where a deepened thermal low transports moisture from the Arabian Sea to the western region,
643 coupled with the South Asian High linked by latent heat release. However, the strong thermal
644 effect of the uplifted IP in our Middle Miocene simulation is possibly associated with the

645 smaller size of the IP and model's low-resolution, which tends to underestimate the mechanical
646 effects.

647 (5) Compared with reconstructions, the effect of IP uplift is in good agreement with
648 observed evolution of precipitation and the change of wind intensity while the effects of the
649 HM uplift and CO₂ variation are insufficient to interpret the proxies.

650

651

652 **Code availability**

653 The data in this study were analyzed and the figures were created with NCAR Command
654 Language. All relevant codes used in this work are available, upon request, from the
655 corresponding author Y.Z.

656

657 **Data availability**

658 The processed model outputs used to reproduce the figures in this manuscript are archived at a
659 Zenodo repository: <https://doi.org/10.5281/zenodo.12201243> (Zuo et al., 2024). Instructions
660 and permissions to relevant experiments will be provided upon request to Y.Z. Source code of
661 CESM1.2 can be downloaded from <https://www2.cesm.ucar.edu/models/cesm1.2/>.

662

663 **Author contribution**

664 MZ and YZ wrote the draft manuscript and analyzed the simulations. YS performed the
665 simulations; GR and TZ modified the draft and particularly corrected the abstract and
666 conclusions. YZ and DL conceived and developed the research. All authors participated in the
667 final version of the manuscript.

668

669 **Competing interest**

670 The authors declare that they have no conflict of interest.

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956 **Table 1.** Simulations performed with CESM1.2 in this study. See Fig.2 for modern and
 957 paleogeography maps.

experiment	Geography	vegetation	CO2 (ppm)	IP	HM
piControl	Modern	Modern	280	Modern	Modern
MMIO (IP100HM80)	M.Miocene*	M.Miocene	400	M.Miocene	M.Miocene
IP0HM0	M.Miocene	M.Miocene	400	0	0
IP50HM0	M.Miocene	M.Miocene	400	50%	0
IP100HM0	M.Miocene	M. Miocene	400	100%	0
IP0HM100	M.Miocene	M.Miocene	400	0	100% **
IP50HM100	M.Miocene	M.Miocene	400	50%	100%
IP100HM100	M. Miocene	M. Miocene	400	100%	100%
MMIO280	M. Miocene	M.Miocene	280	M. Miocene	M.Miocene
MMIO560	M. Miocene	M.Miocene	560	M. Miocene	M.Miocene
MMIO800	M. Miocene	M.Miocene	800	M. Miocene	M.Miocene
MMIO1000	M.Miocene	M.Miocene	1000	M. Miocene	M.Miocene

958 *M.Miocene: Middle Miocene

959 ** 100% of the height of modern HM.

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961 **Table 2.** Evidence of modern SAM in middle Miocene from recently published studies.

No	station	Location (lat/lon)	Proxies	Intensification age (Ma)	Trend*	variable	references
1	Well Indus Marine A- 1	24/66	weathering	15~12	decreasing	Precip	Clift et al., 2008
2	ODP 359	5/73	Sedimentary & geochemical record	12.9	increasing	wind	Betzler et al., 2016
3	ODP 722B	16.6/59.8	Bio-marker	12.9	increasing	wind	Gupta et al., 2015
	ODP 722B	16.6/59.8	Bio-marker	14	increasing	wind	Bialik et al., 2020
4	NGHP-01- 01A	15/71	Bio-marker	14	increasing	Precip	Yang et al., 2020
5	Varkala	8.7/76.7	Pollen fossil	17-15	No change	Precip.	Reuter et al., 2013
6	ODP 758	5.4/90.4	weathering	13.9	increasing	wind	Ali et al., 2021
7	Surai Khola	27.8/83	Leaf Fossil	13	increasing	Precip.	Srivastava et al., 2018 Bhatia et al., 2021
8	Darjeeling	27/88.5	Leaf Fossil	13	increasing	Precip.	Khan et al., 2014
9	Arunachal Pradesh	27/93.5	Leaf Fossil	13	No change	Precip.	Khan et al., 2014
	Arunachal Pradesh	26/93.5	weathering	13	No change	Precip.	Vogeli et al., 2017

962 * Trend of monsoon index change from middle to late Miocene.

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