



# Southern Ocean control on atmospheric CO<sub>2</sub> changes across late-Pliocene Marine Isotope Stage M2

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**Abstract.** During the Pliocene, atmospheric CO<sub>2</sub> concentrations ( $p\text{CO}_2$ ) were similar to today's and global average temperature was  $\sim 3$  °C higher. However, the relationships and phasing between variability in climate and  $p\text{CO}_2$  on orbital time scales are not well understood. Specifically, questions remain about the nature of a lag of  $p\text{CO}_2$  relative to benthic foraminiferal  $\delta^{18}\text{O}$  in the late-Pliocene Marine Isotope Stage M2 (3300 kiloannum ago, ka), which was longer than during the Pleistocene. Here, we present a multi-proxy paleoceanographic reconstruction of the late-Pliocene subantarctic zone, which is today one of the major ocean sinks of atmospheric CO<sub>2</sub>. New dinoflagellate cyst assemblage data is combined with previously published sea surface temperature reconstructions, to reveal past surface conditions, including latitudinal migrations of the subtropical front (STF) over the late-Pliocene at ODP Site 1168, offshore west Tasmania. We observe strong oceanographic variability at the STF over glacial-interglacial timescales, especially across the M2 (3320–3260 ka). By providing tight and independent age constraints from benthic foraminiferal  $\delta^{18}\text{O}$ , we find that, much more than benthic  $\delta^{18}\text{O}$  or local SST, latitudinal migrations of the STF are tightly coupled to  $p\text{CO}_2$  variations across the M2. Specifically, a northerly position of the STF during M2 deglaciation coincides with generally low  $p\text{CO}_2$ . We postulate that the efficiency of the Southern Ocean carbon outgassing varied strongly with migrations of the STF, and that is in part accounted for the variability in  $p\text{CO}_2$  across M2.

## 1 Introduction

As the largest exogenic carbon reservoir on Earth, the ocean plays a pivotal role in regulating Earth's climate, through the balance between CO<sub>2</sub> uptake and outgassing (Sabine et al., 2004; Friedlingstein et al., 2022). Upwelling in the polar frontal zone flushes respired CO<sub>2</sub> from deep ocean into the atmosphere (Process 1 in Fig. 1a). This process is predominantly controlled by shifts in sea ice extent and westerlies over glacial and interglacial climates, which move the latitudinal position of oceanic fronts in the Southern Ocean (Toggweiler et al., 2006; Skinner et al., 2010; Rae et al., 2018). Moreover, the biological carbon pump absorbs dissolved CO<sub>2</sub> and removes it from surface waters via export productivity (Martin, 1990; Martínez-García et al., 2014; Thöle et al., 2019), thereby reducing surface dissolved inorganic carbon (DIC) which enhances CO<sub>2</sub> diffusion from the atmosphere (Process 2, 3 in Fig. 1a; Egleston et al., 2010; Gruber et al., 2023). This process mainly takes place at the boundary between the subantarctic and subtropical zone (SAZ), where ocean surface temperature (which has a negative



30 influence on CO<sub>2</sub> uptake), ocean stratification (negative), salinity (negative) and DIC (negative) determine CO<sub>2</sub> diffusion  
efficiency. The past decades have seen profound changes in sea surface temperature (SST), salinity (SSS) and the stratification  
of the SAZ surface waters (Sabine et al., 2004). How these processes will affect the ability of the ocean to act as climate change  
mitigator in the coming decades, and the amount of excess CO<sub>2</sub> that would consequently remain in the atmosphere is currently  
uncertain (Gruber et al., 2023). This creates a critical uncertainty in the projections of atmospheric CO<sub>2</sub> concentration (*p*CO<sub>2</sub>)  
35 and the resulting effects on climate and sea level, given emission pathway scenarios (IPCC, 2019; Burton et al., 2023).

Reconstructing Southern Ocean conditions in past deglaciation phases might help in understanding interactions between  
atmospheric climate and ocean conditions. The late-Pliocene is marked by dominant obliquity-controlled benthic foraminiferal  
oxygen isotope ( $\delta^{18}\text{O}_{\text{bf}}$ ) increases that have been interpreted as glaciation/cooling phases (e.g., Tiedemann et al., 1994;  
40 Shackleton et al., 1995; Lisiecki and Raymo, 2005). The most prominent of which is the Marine Isotope Stage (MIS) M2  
(3312–3264 ka; Keigwin, 1987), the deglaciation of which terminates into the mid-Piacenzian Warm Period (mPWP, 3264–  
3025 ka). Questions remain on its forcing, but also whether this event is mostly reflective of deep-ocean cooling or ice volume  
increase. Antarctic ice-proximal lithological and biomarker records suggest surface cooling and ice advance and therefore ice  
volume increase is involved (McKay et al., 2012; Cook et al., 2013; Patterson et al., 2014), perhaps also on the northern  
45 hemisphere as suggested by ice-rafted detritus (Flesche Kleiven et al., 2002). In contrast, bottom water temperature (BWT;  
Braaten et al., 2023) and ice sheet (Yamane et al., 2015; Mas e Braga et al., 2023) studies suggest limited ice volume change  
across M2–mPWP transition.

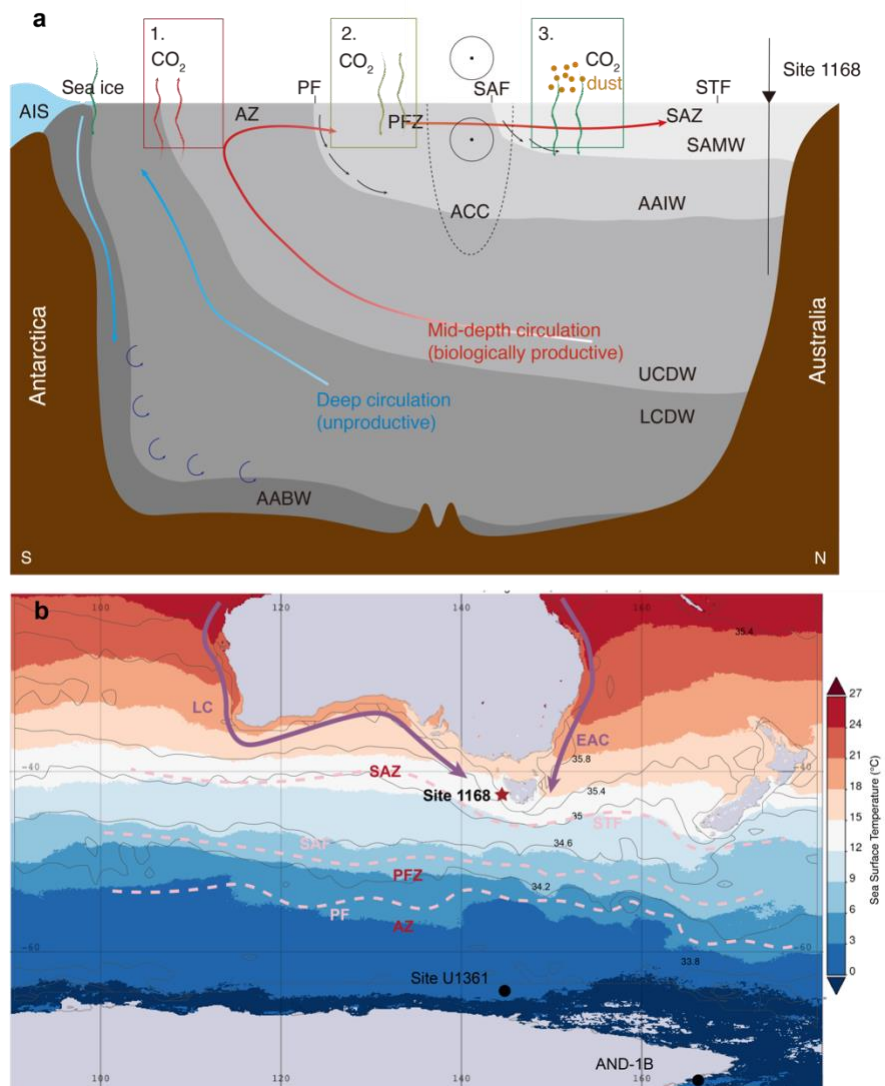
The subsequent mPWP is the most recent time ~~whereby climate conditions were at times equilibrated to modern-like *p*CO<sub>2</sub> of~~  
50 ~~about 400~~ parts per million (De la Vega et al., 2020; CENCO2PIP CONSORTIUM, 2023). Specifically, MIS KM5c (3205  
ka) has been a focus point of study because of the similar orbital configuration as today (Haywood et al., 2020). The Pliocene  
Model Intercomparison Project Phase 2 (PLIOMIP 2; Haywood et al., 2020) compares an ensemble of numerical models run  
under similar boundary conditions, to global compilations of proxy data from sediment cores (e.g., of sea surface temperature,  
SST; McClymont et al., 2020). From these efforts, accurate global average temperature, climate sensitivity to *p*CO<sub>2</sub> (2.6–4.8  
55 °C; Haywood et al., 2020) and increased hydrological cycle (wetter equatorial regions, drier subtropical regions; Han et al.,  
2021) were reconstructed.

The nature and forcing factors behind the M2–mPWP glacial-interglacial transition (3320–3260 ka) is not well understood.  
High-resolution *p*CO<sub>2</sub> reconstructions for the late-Pliocene reveal low amplitude variability on orbital time scales (De la Vega  
60 et al., 2020), i.e., of similar magnitude as that in the late Pleistocene, but the trends in *p*CO<sub>2</sub> and  $\delta^{18}\text{O}_{\text{bf}}$  are not as synchronous  
as in the Pleistocene. Specifically, while PLIOMIP2 demonstrates that overall high *p*CO<sub>2</sub> in the late-Pliocene is likely  
responsible for the warmer-than-modern climates (Burton et al., 2023), questions remain on the exact phase relationship  
between *p*CO<sub>2</sub> change and  $\delta^{18}\text{O}_{\text{bf}}$  across the M2–mPWP transition. Available records seem to suggest that *p*CO<sub>2</sub> lags changes



65 in  $\delta^{18}\text{O}_{\text{bf}}$  and (sub)surface cooling about 10–20 kyr (De La Vega et al., 2020; van der Weijst et al., 2022), or in any case are on these time scales not directly related through climate sensitivity to radiative forcing. We further note that collective knowledge on high-resolution  $p\text{CO}_2$  change across the M2/mPWP interval is restricted to one record. Mg/Ca- and clumped isotope-based deep-sea cooling also demonstrate a lag relative to  $\delta^{18}\text{O}_{\text{bf}}$  (Braaten et al., 2023). These leave the question open how  $p\text{CO}_2$ , ocean and cryosphere influenced each other over the M2-mPWP transition.

70 Here we investigate how the surface oceanography of one of the major ocean carbon sinks, the SAZ, changed through the M2-mPWP transition, and infer the implications for the carbon uptake efficiency of the region. We present a multiproxy reconstruction of paleoceanographic conditions from Ocean Drilling Program (ODP) Site 1168 (Fig. 1b), offshore west Tasmania, which is located close to the modern position of the subtropical front (STF) and the centre of the modern subantarctic/subtropical zone. We reconstruct surface ocean conditions based on dinoflagellate cyst assemblages, a  
75 microplankton group that is strongly tied to specific ocean surface conditions: SST, SSS and nutrients (Thöle et al., 2023). These strict affinities are applied together with previously published biomarker-based sea surface temperature for a detailed reconstruction of changing oceanographic conditions: the latitudinal position of the subtropical front through time, which potentially deciphers the delayed  $p\text{CO}_2$  change with respect to  $\delta^{18}\text{O}_{\text{bf}}$ .



80 **Figure 1:** (a) Schematic view of the ocean circulation in the Southern Ocean between Antarctica and Australia. Arrows in the ocean  
 denote southern overturning circulation (blue), mid-depth overturning circulation (red); SAMW=subantarctic mode water,  
 AAIW=Antarctic Intermediate Water, U/LCDW=Upper/Lower Component Deep Water, AABW=Antarctic Bottom Water,  
 ACC=Antarctic Circumpolar Current; Curvy arrows denote CO<sub>2</sub> uptake or outgassing processes (1. Deep ocean degassing, red; 2.  
 85 Physical diffusion, spring green; 3. Biological carbon pump, green). (b) Modern site location of ODP Site 1168. Colors indicate sea  
 surface temperatures; Contours indicates sea surface salinity; Grey blocks indicate modern coastline and sea ice extent. Purple  
 arrows denote ocean currents (LC=Leeuwin Current, EAC=East Australia Current). Pink dashed lines denote oceanic fronts  
 (STF=Subtropical Front, SAF=Subantarctic Front, PF=Polar Front) and zones in between (SAZ=Subtropical/Subantarctic Zone,  
 PFZ=Polar Frontal Zone, AZ=Antarctic Zone) are mentioned in red. Data, map and visualization were generated using the Giovanni  
 90 online data system (<https://giovanni.gsfc.nasa.gov/giovanni/>) developed and maintained by the National Aeronautics and Space  
 Administration Goddard Earth Sciences Data and Information Services Center (Acker and Leptoukh, 2007). SST and SSS data are  
 derived from MODIS-Aqua provided to Giovanni by the Ocean Biology Distributed Active Archive Center.



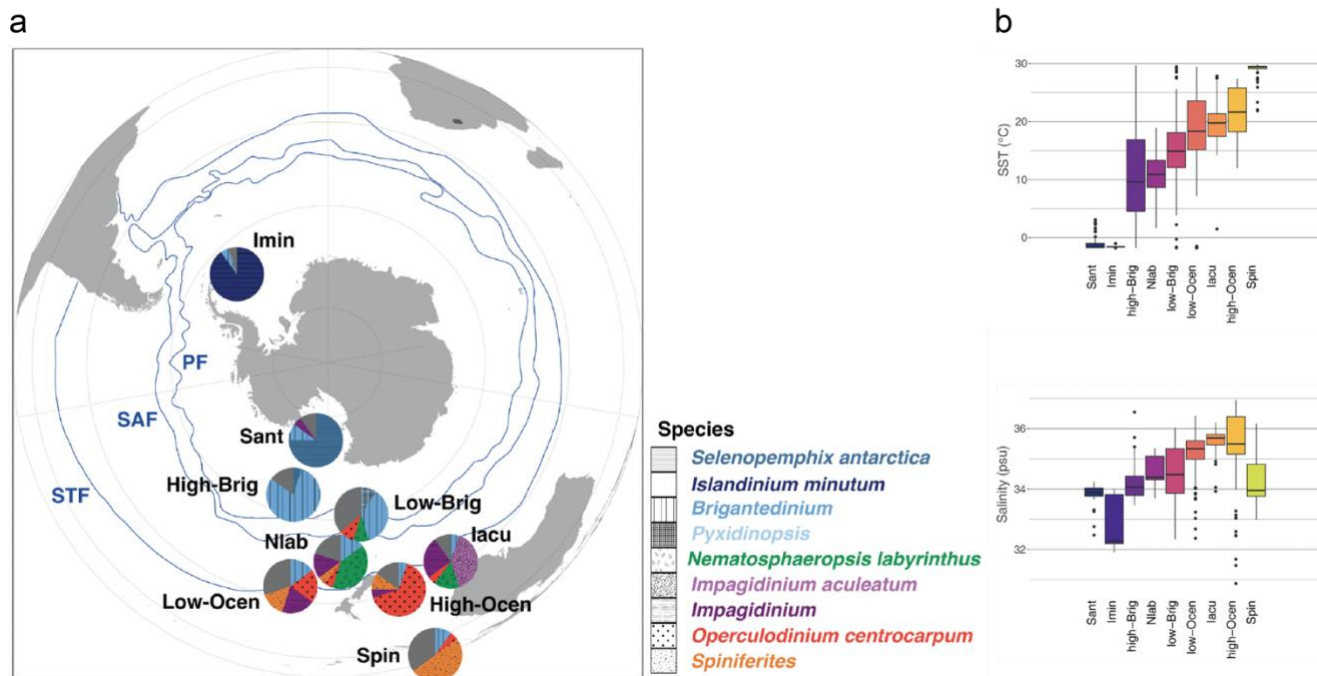
## 2 Materials and Methods

### 2.1 Study site

95 ODP Site 1168 (42°36.5809'S, 144°24.7620'E; 2463 meters modern water depth; Fig. 1a) was drilled on the continental slope offshore west Tasmania (Exon et al., 2001). The Pliocene part of the sequence contains greenish-grey foraminifer-bearing nannofossil ooze with significant detrital clay input (Exon et al., 2001). At present, the STF is located closely over this site, which separates warm (>17 °C), saline subtropical waters from comparably cold (<13 °C) and fresh subantarctic water masses (Heath, 1985; Exon et al., 2001). Site 1168 is characterized by a modern SST seasonality ranging from 13–17 °C (winter–summer) and a modern BWT of 2.5 °C.

### 100 2.2 Palynology

We processed 56 samples for palynology in the late-Pliocene interval. Processing used standard procedures of the GeoLab of Utrecht University (e.g., Brinkhuis et al., 2003). Briefly, this involves first spiking samples with *Lycopodium clavatum* spores prior to palynological processing to allow for quantification of the absolute number of dinocysts per sample (Stockmarr, 1971). Samples were then treated with 30% hydrochloric acid and ~38–40% hydrofluoric acid to concentrate the acid-resistant organic residue. The isolation of the 10–250 µm fraction was established using nylon mesh sieves and an ultrasonic bath to break up agglutinated particles of the residue. Palynomorphs were counted up to a minimum of 200 identified dinocysts if possible. Taxonomy follows that stated on palsys.org (see Bijl and Brinkhuis, 2023; last access 8-1-2024). Functional ecological dinocyst grouping follows those derived from modern assemblages (Fig. 2; Thöle et al., 2023). Notably, *Nematosphaeropsis labyrinthus* is characteristic for the Nlab cluster that prevails south of the STF; *Impagidinium aculeatum*, *Operculodinium* 110 *centrocarpum* and *Spiniferites* spp. thrive in the Iacu-, high-Ocen-, and Spin- clusters to the north of the STF (Fig. 2). A STF index is then defined as South of STF/(South+North of STF) in order to quantitatively demonstrate the migration of STF, although the index does not directly indicate the latitudinal position of STF. A higher value of the index indicates that the STF is positioned relatively further north, and vice versa. There are additional dinocysts assemblages specific for Southern Ocean zones further away from the STF (Fig. 2; Thöle et al., 2023). This creates an opportunity to reconstruct in detail past changes 115 in the latitudinal position of the STF through the late-Pliocene, and with that, the oceanographic changes in the subantarctic/subtropical carbon sink. In addition, given that *Impagidinium pallidum*, which is a typical bipolar cold-water species in the modern ocean (the only *Impagidinium* in the ice-proximal Sant cluster, Fig. 2a), seems to have an ambiguous paleo-affinity (De Schepper et al., 2011) and generally low abundance and widespread occurrence in the modern Southern Ocean (Thöle et al., 2023), it is not separated from the other *Impagidinium* in the grouping. Moreover, because the latitudinal 120 position of the STF is representative of the oceanographic fronts associated with ACC and has implications for the sea ice extent further south, our reconstructions also have implications for the ability of the polar frontal zone to emit CO<sub>2</sub> to the atmosphere.



125 **Figure 2:** (a). Schematic representation of the generalized biogeographic distribution of dinocysts in Southern Ocean surface  
 sediments. Pies represent average assemblage composition of the nine clusters described in this paper. Position of these pies represent  
 their typical latitudinal band of occurrence. Also plotted are the frontal systems (blue lines, STF = Subtropical Front, SAF =  
 Subantarctic Front, PF = Polar Front). The Subantarctic Zone (SAZ) is the water mass between the STF and PF. (b) Comparison  
 of sea surface temperature and sea surface salinity in different clusters for the 9-cluster solution of the sh\_655 data set. The median,  
 130 25% – 75% quantiles and 95% confidence interval are indicated by the black line, boxes and whiskers, respectively. Modified from  
 Thöle et al. (2023).

### 2.3 Benthic foraminiferal stable isotopes

Each sediment sample was freeze-dried, washed over a 63  $\mu\text{m}$  sieve, oven-dried at 50  $^{\circ}\text{C}$  and then dry-sieved into different  
 size fractions. We mainly picked tests of *Cibicidoides mundulus* from the 250–355  $\mu\text{m}$  size fraction for our measurements.  
 135 The picked specimens were cracked between two glass plates after which the test fragments were ultrasonicated in deionized  
 water (3\*30 s) to remove adhering sediment, organic lining and nannofossils. The test fragments were dried at room  
 temperature overnight. In order to obtain enough material, other benthic species are also processed. We use *Cibicidoides*  
*mundulus* and *Cibicidoides (Planulina) wuellerstorfi* for both stable carbon and oxygen.

140 Stable isotope measurements were performed using a Thermo Scientific MAT 253 Plus and a Thermo Scientific MAT 253  
 mass spectrometer at the GeoLab of Utrecht University. Both mass spectrometers were coupled to Thermo Fisher Scientific



Kiel IV carbonate preparation devices. CO<sub>2</sub> gas was extracted from carbonate samples with phosphoric acid at a reaction temperature of 70°C. Since both instruments are equipped for clumped isotope analysis, a Porapak trap included in each Kiel  
145 IV carbonate preparation system was kept at -40°C to remove organic contaminants from the sample gas. Between each run, the Porapak trap was heated at 120°C for at least 1 h for cleaning. Every measurement run included a similar number of samples and carbonate standards (Kocken et al., 2019). 3 carbonate standards (ETH-1, 2, 3). Two additional reference standards (IAEA-C2 and Merck) were measured in each run to monitor the long-term reproducibility and stability of the instrument. The δ<sup>13</sup>C and δ<sup>18</sup>O values (reported relative to the Vienna Pee Dee Belemnite (VPDB) scale) of IAEA-C2 showed an external  
150 reproducibility (standard deviation) of 0.06 ‰ and 0.06 ‰, respectively.

## 2.4 Bulk carbonate stable isotopes

Bulk carbonate isotopes were measured as additional stratigraphic tool alongside the benthic δ<sup>13</sup>C and δ<sup>18</sup>O. For 118 samples, between 50–100 µg of powdered sediment was analysed on a Thermo Finnigan GasBench II system, coupled to a Thermo Delta-V mass spectrometer. Homogenized samples were transferred to sealable vials which were flushed with helium for 5  
155 minutes per vial, to remove atmospheric oxygen and carbon. In each run, 65 samples were then treated with H<sub>3</sub>PO<sub>4</sub> at a temperature of 72°C together with carbonate standards NAXOS (11 times) and IAEA-603 (4 times) for the purpose of calibration. All isotope values are reported against VPDB. Analytical precision, as determined by the SD of NAXOS was better than 0.08‰ for δ<sup>18</sup>O and 0.04‰ δ<sup>13</sup>C.

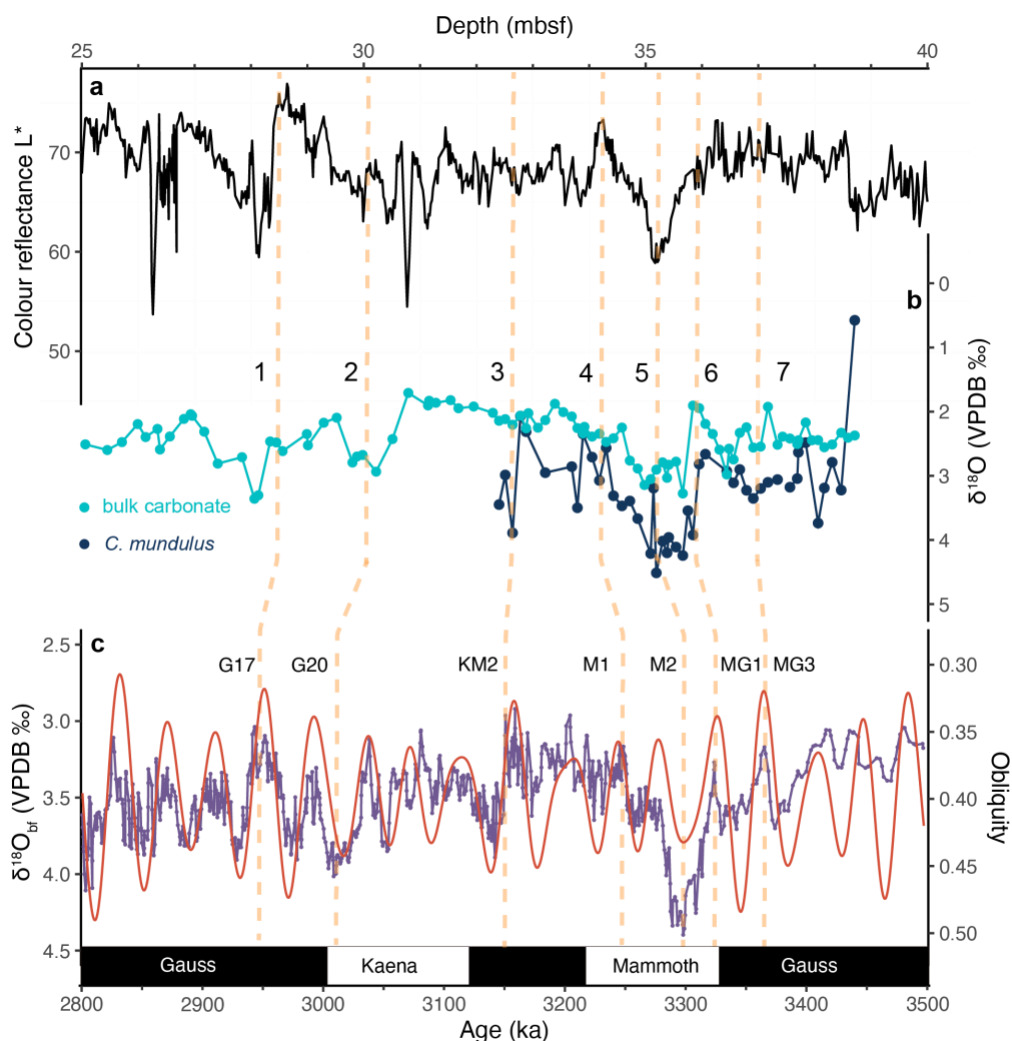
## 3 Results

### 160 3.1 Stable isotopes and age model

The post-expedition age model of sediments from ODP Site 1168 comprises of biostratigraphic constraints from nannofossils, foraminifera, diatoms and dinoflagellate cysts, paleomagnetic constraints, and for the Pleistocene identifications of marine isotope stages from benthic foraminiferal isotopes (Stickley et al., 2004). For the Pliocene-Pleistocene part of the record, the paleomagnetic constraints, which come from Hole B, are structurally offset by around 50m/1 million years from  
165 biostratigraphic datums and Pleistocene marine isotope stages that come from Hole A, even at splice depth (see Stickley et al., 2004). For a high-resolution age model of the late-Pliocene section at Hole 1168A, we generated new benthic foraminiferal and bulk carbonate stable isotope data across the suspected late-Pliocene interval and compared these to the shipboard colour reflectance data (Exon et al., 2001). Cyclicity in both were then compared to orbital cycles seen in the CENOGRID (Westerhold et al., 2020) and LR04 benthic foraminifer oxygen isotope stack (Lisiecki and Raymo, 2005) (Fig. 3). Since all  
170 our new data and the stratigraphic constraints except the paleomagnetic reversals derive from Hole A, we decided for the purpose of this study to ignore the offset paleomagnetic constraints from Hole B (as published in Stickley et al. (2004) and updated in Hou et al. (2023a)) for now, and recommend that later studies should first revisit the composite depth, stratigraphic correlation and quality of the magnetic data before including these into the composite age model of the site.



175 New  $\delta^{18}\text{O}_{\text{bf}}$  and  $\delta^{18}\text{O}_{\text{bulk}}$  between 27–40 meter below sea floor (mbsf) correlates well with colour reflectance, whereby low/high  
 $\delta^{18}\text{O}$  correlates to high/low lightness of the sediment (Fig. 3). Both show a conspicuous trough at 35.0–35.5 mbsf, and based  
on the available biostratigraphic age model constraints, we interpret that to reflect the MIS M2. Tuning the resulting  $\delta^{18}\text{O}_{\text{bf}}$   
and colour reflectance record (Exon et al., 2001) to the CENOGRID and LR04 global stacks (Lisiecki and Raymo, 2005;  
Westerhold et al., 2020) resulted in 4 solid age tie points and confidence in the stratigraphic position of the M2 isotope  
180 excursion. A maximum in  $\delta^{18}\text{O}_{\text{bulk}}$  at 30 mbsf is tuned to MIS G20. Additional 2 stratigraphic tie points were chosen by tuning  
the colour reflectance record to CENOGRID/LR04 stack further up and down-section. See Table 1 for the stratigraphic tie  
points in this paper, and the resulting age model.



185 **Figure 3: Age tuning of Pliocene Site 1168A. (a)  $L^*$  colour reflectance of Site 1168A (Exon et al., 2001). (b)  $\delta^{18}\text{O}_{\text{bf}}$  and  $\delta^{18}\text{O}_{\text{bulk}}$  of Site 1168A, (c) CENOGRID (Westerhold et al., 2020) and obliquity insolation curve (Laskar et al., 2004). Orange dashed lines=tie points**





**Table 1: Datums of the late-Pliocene Site 1168A. Tie points as indicated in Fig. 3.**

Number	Datum type	Hole	Remark	Age (ka)	Depth (mbsf)	Source
1	Colour reflectance	1168A	G17	2940	28.5	This study
2	$\delta^{18}\text{O}_{\text{bulk}}$	1168A	G20	3008.4	29.99	This study
3	$\delta^{18}\text{O}_{\text{bf}}$	1168A	KM2	3146.82	32.77	This study
4	$\delta^{18}\text{O}_{\text{bf}}$	1168A	M1	3248	34.225	This study
5	$\delta^{18}\text{O}_{\text{bf}}$	1168A	M2	3298	35.2	This study
6	$\delta^{18}\text{O}_{\text{bf}}$	1168A	MG1	3324	36.235	This study
7	$\delta^{18}\text{O}_{\text{bulk}}$	1168A	MG3	3364.6	36.80	This study

### 3.2 Sea surface temperature

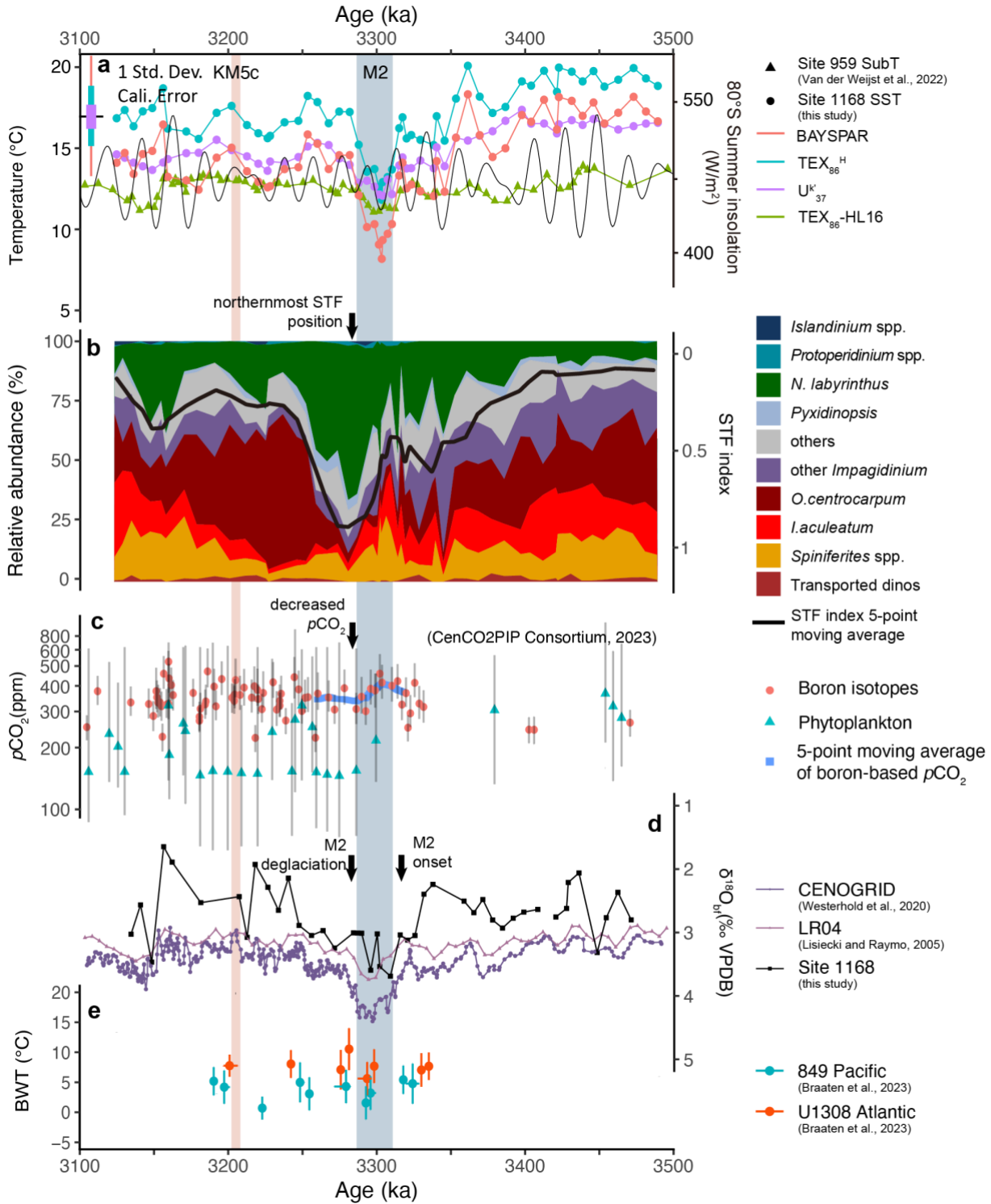
SST records of the Pliocene Site 1168 have been previously published (Hou et al., 2023a). SST proxies,  $U^k_{37}$  and  $\text{TEX}_{86}$ , were calculated based on alkenones and Glycerol Dialkyl Glycerol Tetraethers (GDGTs) respectively.  $U^k_{37}$ -based SSTs vary around 17 °C prior to M2. They decrease to 12 °C at the peak of the M2 glaciation (Fig. 4a). In the mPWP, SST varies around 14 °C, which is approximately 2 °C lower than the pre-M2 interval (Fig. 4a). Additionally, SST at KM5c yields 14.5 °C.  $\text{TEX}_{86}$ -based SSTs in general resemble those derived from  $U^k_{37}$ , however, the amplitude of cooling at M2 is 3 °C higher, which we cannot ascribe to confounding factors in  $\text{TEX}_{86}$ : GDGT-2/GDGT-3 ratios, a general indicator for additional deep-water contributions to  $\text{TEX}_{86}$  (Taylor et al., 2013; Ho and Laepple, 2016; van der Weijst et al., 2022), do not change across the M2 phase.

### 3.3 Dinocyst assemblage

Pliocene dinocyst assemblages at Site 1168 are broadly similar to modern assemblages around the subtropical front, thus enable us to use the information of modern affinities of these species (Thöle et al., 2023) to reconstruct paleoceanographic conditions at this site. Prior to 3400 ka, the STF index is about 0.3 and assemblages are typical for modern regions north of the STF (Fig.



200 4b), with abundant *O.centrocarpum* (High-Ocen-cluster), *I.aculeatum* (Iacu cluster) and *Spiniferites* spp (Spin-cluster; Thöle  
et al., 2023). The increase of *N. labyrinthus* (around 3400 ka) makes the assemblages progressively more similar to those of  
the SAZ, south of the STF and forms the Nlab-cluster when it is dominant in the assemblage (>40%). The attendance of *I.*  
*pallidum* is sporadic throughout the record, however, transiently increases to ~10% at 3300ka and dominates the other  
*Impagidinium* group (see raw data). The abundance of *N. labyrinthus* peaks at 3275 ka and the STF index reaches 0.8, well  
205 after the peak of the M2, in the M2 deglaciation stage (Fig. 4b). Thereafter, north-of-STF assemblages recovered and replaced  
*N. labyrinthus* in the mPWP.





210 **Figure 4: Late-Pliocene proxy compilation for oceanographic change at ODP Site 1168, and published  $p\text{CO}_2$ , BWT reconstructions.**  
(a) Sea surface temperature at Site 1168 based on  $\text{TEX}_{86}$  (exponential  $\text{TEX}_{86}^{\text{H}}$  and BAYSPAR calibrations; Kim et al., 2010; Tierney  
and Tingley, 2014) and  $U^k_{37}$  (linear calibration; Müller et al., 1998). Subsurface temperature at Site 959 (Van der Weijst et al., 2022)  
using the HL-16 calibration (Ho and Laepple, 2016). Antarctic summer ( $80^\circ\text{S}$  January) insolation on the second y-axis (Laskar et  
al., 2004; de Boer et al., 2014). (b) Dinocyst assemblages of Site 1168, green = south of STF species, orange, red and burgundy =  
north of STF species, petrol and blue = high productivity and/or sea ice affiliated species (Thöle et al., 2023). Black line represents a  
5-point moving average of dinocyst-based STF index (South of STF/(South+North of STF)) roughly indicating the position of the STF  
215 at ODP Site 1168 that we derive from these dinocysts assemblages (up or 0 is north, down or 1 is south position). (c)  $p\text{CO}_2$  derived  
from boron isotopes (red dots) and alkenone  $\delta^{13}\text{C}$  (cyan triangles) (CENCO2PIP CONSORTIUM, 2023 and references therein) and  
a 5-point moving average record based on boron isotopes (blue curve); vertical error bar=95% confidence interval. (d) Benthic  
foraminiferal  $\delta^{18}\text{O}$  of ODP Site 1168 and global stacks (Lisiecki and Raymo, 2005; Westerhold et al., 2020). (e) Bottom water  
220 temperature of ODP Site 849 (blue dots) and IODP Site U1308 (orange dots, Braaten et al., 2023), vertical error bar=95% confidence  
interval, horizontal error bar= averaged age range.

## 4 Discussion

### 4.1 STF migrations and SAZ surface conditions in the late Pliocene

Lowest local SSTs ( $13^\circ\text{C}$  based on  $U^k_{37}$ ) were recorded at peak M2 glaciation:  $\sim 6^\circ\text{C}$  lower than those before M2 and  $\sim 5^\circ\text{C}$   
lower than those in the mPWP (Fig. 4a). The amplitude of the SST variation over the mPWP glacial-interglacial cycles is about  
225  $1\text{--}2^\circ\text{C}$ , much smaller than the cooling associated with M2. In terms of the cooling amplitude, SSTs in low–mid latitudes  
during M2 suggest that it represents an unusual strong glacial (Lawrence et al., 2009; De Schepper et al., 2013; Liu et al., 2019,  
2022; van der Weijst et al., 2022). However, SST reconstructions from high latitude surface (Risebrobakken et al., 2016;  
Bachem et al., 2017) and deep ocean (Braaten et al., 2023) suggest that either the M2 indicates no profound cooling, or the  
cooling has similar amplitude as other glacial phases within the mPWP. The extreme SST response to M2 in the subantarctic  
230 zone is therefore extraordinary, and perhaps not the result of radiative forcing but amplified by regional or local oceanographic  
changes. Furthermore, SSTs of Site 1168 are highly consistent with the subsurface temperature of Site 959 recording South  
Atlantic Central Water, which derives from the Southern Hemisphere subtropical surface ocean (SACW, van der Weijst et al.,  
2022). Therefore, their similarity to surface temperatures at Site 1168 is not surprising.

235 The dinocyst assemblage indicate that the most northern position of the STF is reached during the M2–mPWP transition, i.e.  
when SST at ODP Site 1168 increased over  $5^\circ\text{C}$  (Fig. 4a, b). Both peak M2 and M2 deglaciation SSTs at 1168 are within the  
modern SST range of Nlab-cluster (Fig. 2), although the  $15\text{--}17^\circ\text{C}$  (both proxies) at deglaciation does approach the upper limit  
of the SST range of Nlab-cluster (Fig. 2b; Thöle et al., 2023). Based on the modern dinocyst distributions (Fig. 2b), and in  
particular the proliferation of *N. labyrinthus* (Fig. 4b), the surface ocean became  $\sim 1.5$  psu fresher during the M2 deglaciation.  
240 Since there is no evidence in the palynological slides nor in GDGT-based indices (Hou et al., 2023a) for enhanced terrestrial  
input from runoff, we conclude that the surface ocean freshening of the subantarctic zone at M2 deglaciation originated from  
excessive iceberg discharge, which melted in the SAZ.



Overall, according to the changes we observed in dinocyst assemblages, we estimate that the STF was positioned to the south  
245 of Site 1168 from prior to M2 until its onset; the STF moved northward as SST decreased and *N.labyrinthus* increased during  
M2; During the recovery of M2, the STF moved further northward and approached the margin of Tasmania (42°S) at 3275 ka,  
and surface waters strongly freshened. During the mPWP, the surface salinity at Site 1168 normalized and the STF shifted  
poleward to a similar position as before M2.

#### 250 **4.2 Southern Ocean carbon outgassing as $p\text{CO}_2$ regulator across M2**

By comparing our reconstructed STF migrations with the available  $p\text{CO}_2$  reconstructions across the M2 event, we note a  
coincidence in phase, much stronger than with SST or benthic  $\delta^{18}\text{O}$  changes (that do correlate well with each other). In other  
words, frontal shifts and  $p\text{CO}_2$  lag SST and benthic  $\delta^{18}\text{O}$  across M2. The bulk of the late Pliocene  $p\text{CO}_2$  record is generated  
from ODP Site 999, of which the surface air-sea disequilibrium for  $\text{CO}_2$  is close to 0 (Martínez-Botí et al., 2015). Thus, this  
255 Caribbean Sea site has been frequently used to reconstruct global past  $p\text{CO}_2$  (Foster, 2008; Chalk et al., 2017; De la Vega et  
al., 2020, 2023).

At the onset of M2,  $p\text{CO}_2$  was about 400 ppm (De la Vega et al., 2020) and Site 1168 had an abundance of warm species,  
suggesting a southerly position of the STF. Following this maximum, the STF was moving northwards during the M2  $\delta^{18}\text{O}$   
260 peak and the coolest SSTs (Fig. 4a). However, The STF reached its northernmost position at the deglaciation phase of M2  
event, and this corresponds to the lowest  $p\text{CO}_2$  (Fig. 4c). During the mPWP, when SST was high, the STF migrated back  
southward and  $p\text{CO}_2$  gradually increased to ~400 ppm. We deduce from this correlation that the oceanographic changes in the  
SAZ influenced the ocean uptake efficiency of atmospheric carbon, which had an effect on  $p\text{CO}_2$ , but that this occurred out of  
phase with the temperature and benthic  $\delta^{18}\text{O}$  changes. The mechanism we propose involves the ocean as source and sink of  
265 atmospheric  $\text{CO}_2$  and the shifting fronts and sea ice.

The migrations of the STF in the Tasmanian sector are the consequences of the shifts in westerlies and Antarctic-proximal sea  
ice extent – in the Pleistocene and Miocene (Groeneveld et al., 2017; Kohfeld and Chase, 2017; Hou et al., 2023b) but also in  
the Pliocene. During the M2, the STF gradually shifted northward, indicating an equivalent shift of the westerlies and a  
270 northward expansion of the subantarctic zone. The northward migration of the westerlies and fronts enhanced the stratification  
of the Southern Ocean and thereby prevented respired  $\text{CO}_2$  from outgassing into the atmosphere. Consequently,  $p\text{CO}_2$  dropped,  
in phase with the northward migration of the STF. At the same time, the freshening of the surface SAZ (Fig. 1) must have  
lowered carbon uptake in the surface ocean (Bourgeois et al., 2022). However, the decreased  $p\text{CO}_2$  apparently suggests that  
the lowered surface carbon uptake did not compensate for the reduction of emission induced by the expanding sea ice cover  
275 in the polar frontal zone. The equatorward shift of the STF, which continued into the deglaciation stage of the M2, was  
associated with expanded sea ice cover in the polar frontal zone, especially in the deglaciation stage, when surface waters



freshened. The higher amplitude of obliquity increased Antarctic summer insolation after M2 peak glacial advance (Fig. 4a) and this probably enhanced iceberg calving, which stimulated the northward migration and freshening of STF. Furthermore, Antarctic ice sheet simulations suggest that insolation-driven sub-shelf melting can be linked to changes in the carbon cycle (De Boer et al., 2014). Indeed, massive iceberg calving was noticed at the east Antarctic margin during deglacials in the Pliocene, associated with maximum iceberg-rafted debris (Cook et al., 2013; Patterson et al., 2014), which is in line with our frontal migration record.

When the M2 deglaciation was complete, in the mPWP, iceberg discharge ceased (Patterson et al., 2014) because in the sector of Antarctica nearest to our site fewer glaciers terminated in the ocean (Cook et al., 2013), sea ice cover decreased (Patterson et al., 2014), and westerlies moved southward. As such, the patterns in sea ice cover over the polar front controlled air-sea gas exchange: the weaker the sea ice cover, the less stratification, the more CO<sub>2</sub> outgassing from the CO<sub>2</sub>-rich deep water. Similar mechanisms, involving sea ice cover as regulator for Southern Ocean air-sea CO<sub>2</sub> exchange, have been proposed for the Pleistocene and Quaternary (Sigman et al., 2010; Kohfeld and Chase, 2017). Furthermore, the dinocyst-based, poleward positioned STF in the mPWP fell in line with simulated weak stratification and enhanced outgassing in the Southern Ocean (Zhang et al., 2013), which resulted in elevated *p*CO<sub>2</sub>. However, new PlioMIP2 models yield contradictory results (Weiffenbach et al., 2023). Simulations on the Southern Ocean thus are highly model dependent (Zhang et al., 2021; Weiffenbach et al., 2023). In any case, present models are not able to resolve frontal migrations or local effects due to their spatial resolution.

Nevertheless, *p*CO<sub>2</sub> in the Pleistocene (Bereiter et al., 2015; Yan et al., 2019) does not show lags between surface oceanography and benthic δ<sup>18</sup>O changes (Lisiecki and Raymo, 2005; Martínez-García et al., 2010; Chalk et al., 2017) as much as the M2-mPWP interval shows here. Shifts in westerlies further drove variations of dust input to the Pleistocene ocean (Abell et al., 2021) and influenced CO<sub>2</sub> uptake through the biological carbon pump (Thöle et al., 2019). Essentially, its impact on carbon storage was in phase with deep ocean CO<sub>2</sub> degassing, e.g., inducing lower *p*CO<sub>2</sub> in the Pleistocene glacial maxima (Ziegler et al., 2013; Ai et al., 2020). However, late-Pliocene aeolian input was limited both regionally in the Southern Ocean (Martínez-García et al., 2010; Naafs et al., 2012) and globally (Teruel et al., 2021), and therefore this process played a less important role during the Pliocene. M2 glaciation occurred mainly as orbital-forced ice buildup and ~~did not seem to have been~~ triggered by a decline in *p*CO<sub>2</sub> (De la Vega et al., 2020). A new study of Δ<sub>47</sub>-based BWTs in the north Atlantic and north Pacific has found that deep sea cooling lags the positive δ<sup>18</sup>O excursion of M2 by ~20kyrs (Fig. 4d, e; Braaten et al., 2023), but is in phase with the *p*CO<sub>2</sub> variations (De la Vega et al., 2020). Therefore, moderate changes in Pliocene *p*CO<sub>2</sub> across the M2 were independent of global ice volume change but instead linked to oceanographic changes (including deep ocean temperature) through the *p*CO<sub>2</sub>-global climate positive feedback (Braaten et al., 2023).



## 5 Conclusions

310 Our new Pliocene dinocyst assemblage data combined with previously published SSTs from the same site shed new light on  
the dynamics of Southern Ocean frontal systems, in relation to ice sheet and sea ice. We reconstruct that the STF migrated  
substantially across the M2–mPWP climatic transition. Vast sea ice extent and iceberg discharge during the deglaciation stage  
of M2 pushed the STF to its northernmost position, freshened it, and prevented respired CO<sub>2</sub> emissions from the deep ocean  
to the atmosphere. This suggests that, across the M2 event, Southern Ocean frontal migrations controlled ocean-air CO<sub>2</sub>  
315 exchange and resulted in the *p*CO<sub>2</sub> changes on orbital timescales.

### Data availability

The new palynological and benthic and bulk stable isotope data from Site 1168 are deposited at Zenodo  
<https://doi.org/10.5281/zenodo.11086278>. All other data presented have been deposited already, and references to those  
repository items can be found in the respective publications.

### 320 Author contributions

PKB designed the research. SH and LT processed and analysed samples for palynology, SH and PKB interpreted the  
palynological results. SH and MvdL washed and picked benthic foraminifera and generated the stable and clumped isotopes  
data. FR measured the bulk carbonate isotopes. SH, LJJ and PKB refined the age model. SH wrote the paper with input from  
PKB, LJJ and MZ. All authors have contributed to the submitted manuscript.

### 325 Competing interests

The contact author declares no competing interests.

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