

# 1 **Response of Coastal California Hydroclimate to the Paleocene-** 2 **Eocene Thermal Maximum**

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11 **Abstract.** The effects of anthropogenic warming on the hydroclimate of California are becoming  
12 more pronounced, with increased frequency of multi-year droughts and flooding. As a past  
13 analog for the future, the Paleocene-Eocene Thermal Maximum (PETM) is a unique natural  
14 experiment for assessing global and regional hydroclimate sensitivity to greenhouse gas  
15 warming. Globally, extensive evidence (i.e., observations, climate models with high  $p\text{CO}_2$ )  
16 demonstrates hydrological intensification with significant variability from region to region (i.e.,  
17 dryer or wetter, or greater frequency and/or intensity of extreme events). Central California  
18 (paleolatitude  $\sim 42^\circ\text{N}$ ), roughly at the boundary between dry subtropical highs and mid-latitude  
19 low pressure systems, would have been particularly susceptible to shifts in atmospheric  
20 circulation and precipitation patterns/intensity. Here, we present new observations and climate  
21 model output on regional/local hydroclimate responses in central California during the PETM.  
22 Our findings based on multi-proxy evidence within the context of model outputs suggest a  
23 transition to an overall drier climate punctuated by increased precipitation during summer  
24 months along the central coastal California during the PETM.

## 25 **1 Introduction**

26 Global warming of a few degrees celsius over the next century is projected to intensify the  
27 hydrological cycle on a range of temporal and spatial scales, manifested primarily by amplified  
28 wet-dry cycles (Held and Soden, 2006; Douville et al., 2021). Indeed, just over last few decades  
29 there has been an increasing frequency in the severity of extremes characterized by compound  
30 heat waves and intense drought (Büntgen et al., 2021; Williams et al., 2020; Zscheischler and  
31 Lehner, 2022), and/or heavy precipitation and flooding (Liu et al., 2020; Risser and Wehner,  
32 2017). As greenhouse gas driven warming continues, such precipitation extremes (wet or dry)  
33 are expected to intensify (Stevenson et al., 2022). This is particularly so for California which  
34 receives much of its rainfall from winter systems fueled by atmospheric rivers (AR), the  
35 frequency of which are forecast to decline as the systems shift northward (Simon Wang et al.,  
36 2017). The decline in winter precipitation along with warming will create more intense droughts  
37 even as the potential for extreme precipitation events increases (Vogel et al., 2020; Swain et al.,  
38 2018).

39 Climate model predictions for intensification of the hydrological cycle are supported by case  
40 studies of extreme warming events of the deep past (Carmichael et al., 2017). In particular, the  
41 Paleocene-Eocene Thermal Maximum (PETM) has emerged as a unique natural experiment for  
42 assessing global and regional hydroclimate sensitivity to greenhouse gas warming (Zachos et al.,  
43 2008). Extensive evidence exists for a major mode shift of local/regional precipitation patterns  
44 and intensity (Pagani et al., 2006; Slotnick et al., 2012; Schmitz and Pujalte, 2003; Sluijs and  
45 Brinkhuis, 2009; Smith et al., 2007; Handley et al., 2012; Kozdon et al., 2020) including  
46 enhanced erosion and extreme flooding in fluvial sections (e.g., Pyrenees; Bighorn basin), and  
47 increased weathering and sediment fluxes to coastal basins (e.g., Bass River, Wilson Lake, mid-  
48 Atlantic coast; Mead Stream, New Zealand etc.) along with other observations (John et al., 2008;  
49 Nicolo et al., 2010; Stassen et al., 2012; Self-Trail et al., 2017; Wing et al., 2005; Kraus and  
50 Riggins, 2007; Foreman, 2014).

51 These observations of regional hydroclimate serve as the basis for climate model experiments  
52 forced with proxy-based estimates of  $\Delta p\text{CO}_2$  for the PETM (i.e., 3x-6x pre-industrial)(Kiehl and  
53 Shields, 2013; Carmichael et al., 2016; Zhu et al., 2020). Using such estimates, model  
54 simulations show an overall increase in poleward meridional water vapor transport as manifested  
55 by a net increase in evaporation of subtropical regions, balanced by higher precipitation of

56 tropical/high latitudes characterizing the ‘wet-gets-wetter and dry-gets-drier’ hydrological  
57 response. The latest simulations using high-resolution climate models display several key  
58 regional responses including increased frequency of extreme precipitation events, especially the  
59 coastal regions where atmospheric rivers (AR) are common (Rush et al., 2021). Indeed,  
60 observations of high-energy flooding events in SW Europe (i.e., the Pyrenees) during the PETM  
61 (Schmitz and Pujalte, 2003) can be explained by increased frequency of North Atlantic ARs  
62 contributing landfall in that region. Pacific AR activity as simulated for the PETM also becomes  
63 more intense but less frequent along the central California coast by shifting northward with the  
64 storm tracks (Shields et al., 2021), not unlike the projections for California in the future (Shields  
65 and Kiehl, 2016; Massoud et al., 2019). This pattern is consistent with warming scenarios in  
66 general which have weakened zonal wind belts (i.e., the westerlies) that are shifting poleward  
67 (Abell et al., 2021; Douville et al., 2021).

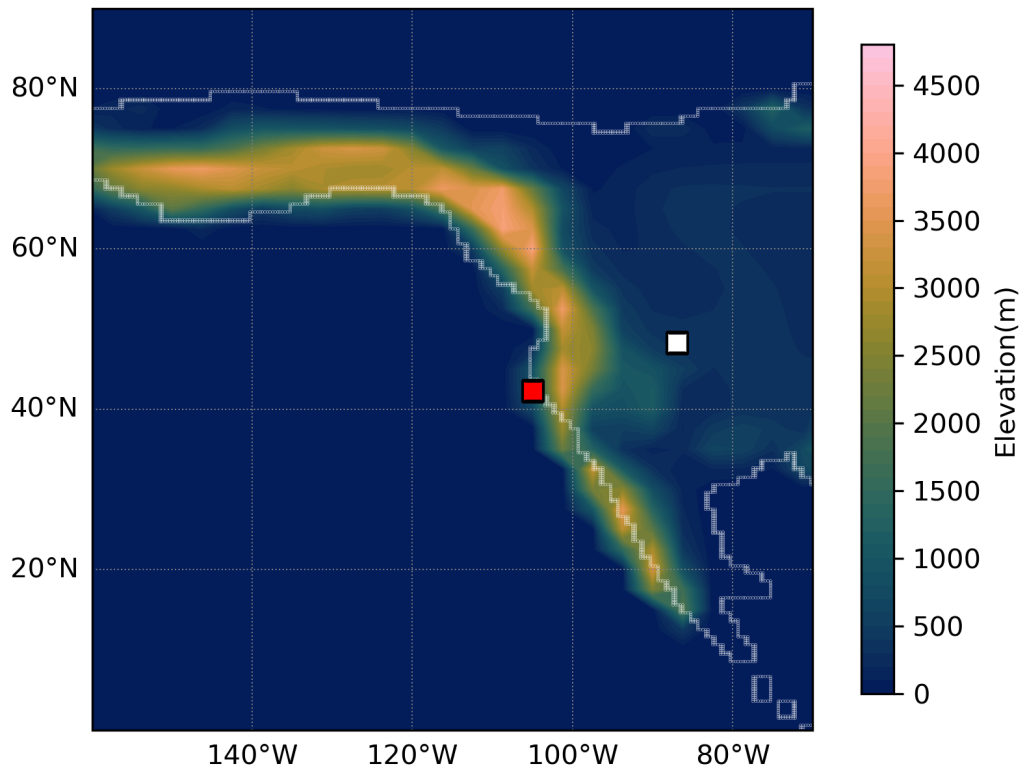
68 Testing the theoretical response of extreme global warming on Pacific ARs and impacts on  
69 seasonal precipitation along North America’s western coast in general is challenging and still  
70 limited by the lack of observations. Here we constrain the regional hydroclimate response along  
71 the central California coast during the PETM using several independent proxies (i.e., clay  
72 mineralogy, grain size distribution,  $\delta^{13}\text{C}_{\text{org}}$  stratigraphy, and leaf wax  $\delta^2\text{H}_{n\text{-alkane}}$  isotope records),  
73 which are either directly or indirectly sensitive to shifts in precipitation patterns/intensity. These  
74 proxies are then compared against Earth System model simulations of the greenhouse gas forced  
75 changes in regional precipitation (i.e., pattern/intensity). The new records complement data from  
76 a previous study (John et al., 2008), and along with the latest climate modeling experiments  
77 provide a unique case study of the sensitivity of regional hydroclimate to major greenhouse  
78 warming.

## 79 **2 Materials and methods**

### 80 **2.1 Site Location**

81 The studied outcrop section, Lodo Gulch, is part of the late Paleocene-early Eocene Lodo  
82 Formation located in the Panoche Hill of central California (Fig. 1). During the late Paleocene,  
83 the section was situated at a paleolatitude  $\sim 42^\circ\text{N}$ , roughly at the boundary between the dry  
84 subtropical highs and mid-latitude low-pressure systems. The Lodo Formation is comprised

85 primarily of siltstone with a relatively low abundance of calcareous microfossils truncated by  
86 thin glauconitic sand layers (Brabb, 1983). Depositional facies are consistent with neritic-bathyal  
87 setting along the outer shelf (John et al., 2008).



88  
89 Figure 1. Paleogeography and location of the Lodo Gulch section (red square) along the Central  
90 California coast and Big Horn Basin (white square) in the North America continent for reference  
91 at 56 Ma. Late Paleocene-early Eocene topography boundary of North America was adapted  
92 from Lunt et al. (2017).

93  
94 2.2 Methods

95 2.2.1 Bulk organic stable carbon isotopes

96 Sediment samples used for this study include those originally collected (ca. 28) by John et al.  
97 (2008). In addition, new samples (ca. 27) were collected from the upper Paleocene for organic C

98 isotopic analyses ( $\delta^{13}\text{C}_{\text{org}}$ ) to better establish pre-PETM baseline. Samples were analyzed in the  
99 UCSC Stable Isotope Laboratory using a CE instruments NC2500 elemental analyzer coupled  
100 with Thermo Scientific Delta Plus XP iRMS via a Thermo-Scientific ConFlo III. All samples  
101 were calibrated with VPDB (Vienna PeeDee Belemnite) for  $\delta^{13}\text{C}$  and AIR for  $\delta^{15}\text{N}$  against an in-  
102 house gelatin standard reference material (PUGel). Analytical reproducibility precision is  $\pm$   
103 0.1 ‰ for  $\delta^{13}\text{C}$  and  $\pm 0.2$  ‰ for  $\delta^{15}\text{N}$ .

#### 104 2.2.2 Grain Size analyses

105 Particle size was measured by laser diffraction using Beckman Coulter with Polarization  
106 Intensity Differential Scatter (PIDS) housed at UCSC (see supplemental information). For each  
107 sample, 2 to 5 mg of bulk sediment was powdered and through a 2-mm sieve following the  
108 protocols in Blott et al., (2004). A total of 39 samples were measured, each in duplicate or  
109 triplicate to ensure reproducibility.

#### 110 2.2.3 Clay Assemblages analyses

111 Sample preparation followed a slightly modified version of Kemp et al., (2016). Roughly 5 to 10  
112 g of sediment was powdered in a pestle and mortar and then placed in a Calgon (Sodium  
113 hexametaphosphate) solution on a shaker table for 72 hours. Samples were sorted through a 63  
114  $\mu\text{m}$  sieve while collecting the fluid with the  $<63$   $\mu\text{m}$  fraction. The collected fluid and suspended  
115 fine fraction ( $< 63$   $\mu\text{m}$ ) were allowed to settle for a period determined by Stokes' Law to keep  $<$   
116  $2\mu\text{m}$  size clay particles remaining in suspension. The fluid was then decanted and dried in the  
117 oven at  $40^\circ\text{C}$ . Approximately 150 mg clay of each sample were used to prepared oriented mounts  
118 for X-ray diffraction (XRD) analysis. A total of 38 clay samples were prepared from the Lodo  
119 Formation. The sample residues were measured on a Philips 3040/60 X'pert Pro X-ray  
120 diffraction instrument at UCSC. Clay species (i.e., Smectite, Illite, Kaolinite, Chlorite) were  
121 identified based on peak positions and intensities representing each clay mineral.

#### 122 2.2.4 Leaf wax distribution and carbon/hydrogen isotopic composition

123 Sediment extraction, compound isolation, and compound-specific isotope measurements were  
124 conducted following Tipple et al. (2011). Briefly, sediments were freeze-dried, powdered ( $\sim 500$   
125 g), and extracted with dichloromethane (DCM): methanol (2:1, v/v) using a Soxhlet extractor.

126 Total lipid extracts were concentrated and then separated by column chromatography using silica  
127 gel. *N*-alkanes were further purified from cyclic and branched alkanes using urea adduction  
128 following Wakeham and Pease (2004). *N*-alkane abundances were determined using gas  
129 chromatograph (GC) with a flame ionization detector (FID). Isotope analyses were then  
130 performed using a GC coupled to an iRMS interfaced with a GC-C III combustion system or a  
131 High Temperature Conversion system for  $\delta^{13}\text{C}$  and  $\delta^2\text{H}$  analyses, respectively. 59 samples were  
132 processed with a fused silica, DB-5 phase column (30 m  $\times$  0.25 mm I.D., 0.25  $\mu\text{m}$  film  
133 thickness) with helium as the carrier at a flow of 1.5ml/min. GC oven temperature program was  
134 60-320°C @ 5°C/min and isothermal for 30 min. A Thermo Trace MS was used for detection  
135 with the mass spec scanning from 50-800 m/z or exclusively m/z of 191, 217, 218, 370, 372,  
136 386, and 400 for single ion monitoring. Biomarkers were identified by elution time and mass  
137 spectra of in-house petroleum standards with published biomarker distributions (Peters et al.,  
138 2005).

139  $\delta^{13}\text{C}$  and  $\delta^2\text{H}$  values are expressed relative to Vienna Pee Dee belemnite (VPDB) and Vienna  
140 Standard Mean Ocean Water (VSMOW). Individual *n*-alkane isotope ratios were corrected to *n*-  
141 alkane reference materials (for  $\delta^{13}\text{C}$ , C<sub>20</sub>, C<sub>25</sub>, C<sub>27</sub>, C<sub>30</sub>, and C<sub>38</sub> of known isotopic ratio and for  
142  $\delta^2\text{H}$ , “Mix A” from Arndt Schimmelmann, Indiana University) analyzed daily at several  
143 concentrations. In addition, H<sub>2</sub> reference gas of known isotopic composition was pulsed between  
144 sample *n*-alkane peaks to confirm if normalizations were appropriate. Standard deviations (SD)  
145 of *n*-alkane reference materials were  $\pm 0.6\text{‰}$  for  $\delta^{13}\text{C}$  and  $\pm 6\text{‰}$  for  $\delta^2\text{H}$ .

#### 146 2.2.5 Earth System Models

147 Climate simulations from two models were used in this paper for (1) comparison with leaf wax  
148 proxy data and (2) extreme events analyses. (1) Water isotope-enabled Community Earth System  
149 Model version 1.2 (iCESM1.2) simulates changes in climate and water isotopic composition  
150 during the PETM (Zhu et al., 2020) with a horizontal resolution of 1.9 $\times$ 2.5° in atmosphere and  
151 land, and a nominal 1 degree in the ocean and sea ice components. Water isotope capabilities  
152 have been incorporated into all the components of CESM 1.2 (Brady et al., 2019), which include  
153 the Community Atmosphere Model, version 5 (CAM5) for the atmosphere, the Parallel Ocean  
154 Program, version 2 (POP2) for the ocean, the Community Land Model, version 4 (CLM4) for the  
155 land, River Transport Model (RTM) for river flow, and Community Ice Code, version 4 for sea

156 ice. All simulations were run with the identical boundary conditions (including early Eocene  
157 paleogeography, land-sea mask, vegetation distribution, and pre-industrial (PI) non-CO<sub>2</sub>  
158 greenhouse gas concentrations, soil properties, natural aerosol emissions, solar constant and  
159 orbital parameters) following the DeepMIP protocol (Lunt et al., 2017) and differ only in  
160 atmospheric CO<sub>2</sub> concentration. Crucially, the models with reduced latitudinal temperature  
161 gradients (e.g., GFDL, CESM) more closely reproduce proxy-derived precipitation estimates and  
162 other key climate metrics (Cramwinckel et al., 2023). Increased climate sensitivity with warming  
163 and cloud feedback in CESM1.2 over earlier models improved water vapor sensitivity. (2) Using  
164 the same CESM1.2 framework, high resolution (0.25°) simulations were conducted with forced  
165 sea surface temperatures (SSTs) and active atmosphere and land components (CAM5, CLM4).  
166 RTM was run at 1° resolution, and forced SST were calculated from consistent 2° fully coupled  
167 PETM simulations (see details in Rush et al., 2021 and reference therein). The much higher  
168 horizontal resolution in the atmosphere enables improved simulation of the extreme events.  
169 Hourly, daily (CAM5), and monthly (iCESM1.2) temporal resolution precipitation outputs from  
170 both sets of climate simulations were utilized in this paper, with 100 years taken from the  
171 equilibrated iCESM1.2 simulations, and 15 years from the forced SST high resolution CAM5  
172 simulations.

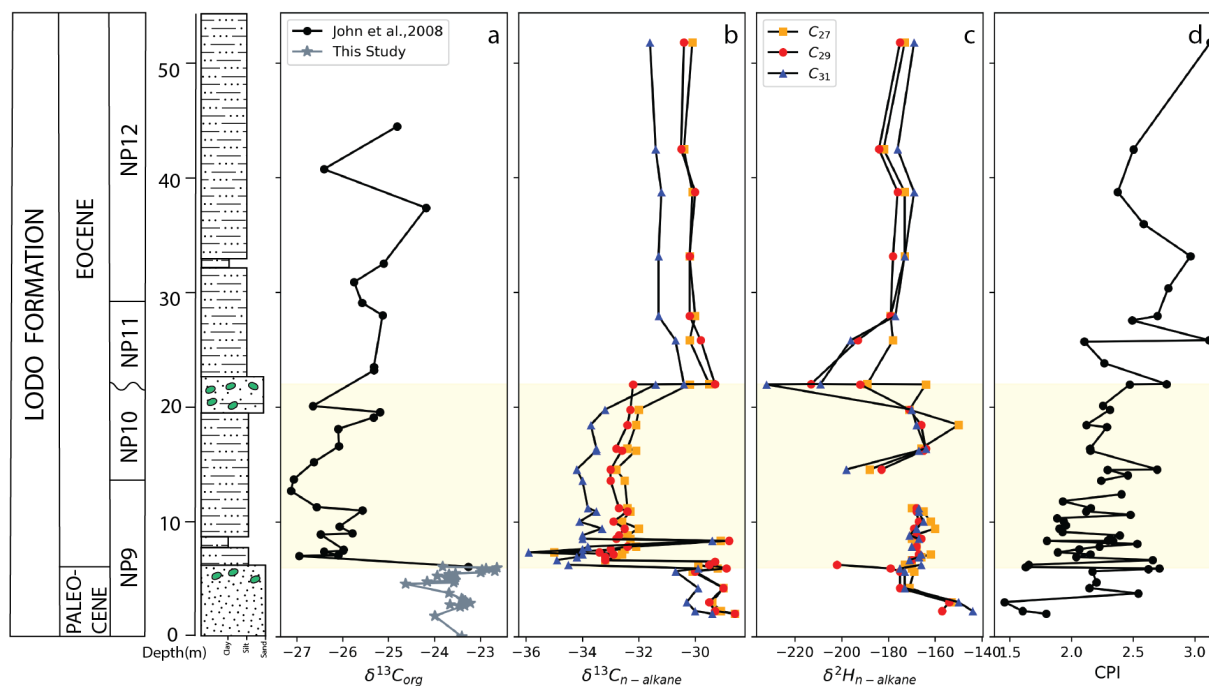
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### 174 **3 Results**

#### 175 3.1 Bulk organic and *n*-alkane stable carbon isotopes

176 A carbon isotope excursion is present in both bulk organic (Fig. 2a) and carbonate based  $\delta^{13}\text{C}$   
177 records (John et al., 2008) across the P-E boundary, marking the PETM onset of the Lodo  
178 section. The terrestrial leaf wax *n*-alkane records all capture the carbon isotope excursion (CIE)  
179 with a pattern that roughly parallels the other published records (i.e., planktonic foraminifera)  
180 (John et al., 2008), though is much less noisy than the bulk  $\delta^{13}\text{C}_{\text{org}}$  record, not unexpected given  
181 the potentially variable composition of the bulk organic matter. The magnitude of the  $\Delta\delta^{13}\text{C}_{n-}$   
182 *alkane* is roughly -4‰ (average of *n*-C<sub>27</sub>, *n*-C<sub>29</sub>, *n*-C<sub>31</sub>) at the onset of the CIE, followed by a  
183 gradual recovery that is truncated at the disconformity between 20.3m and 23.5m (coincides with  
184 nannofossil biozone boundary NP10 and NP11), thus marking the top of the PETM body (Fig.  
185 2a). The disconformity coincides with a global sea level regression (John et al., 2008). Following  
186 the recovery, above the disconformity, the mean  $\delta^{13}\text{C}_{n-}$  *alkane* is depleted relative to the pre-PETM

187 baseline as is observed in some other PETM sections (Cui et al., 2021; Garel et al., 2013;  
 188 Hasegawa et al., 2006).



189  
 190 Figure 2. Terrestrial higher plant leaf wax  $n$ -alkane  $\delta^{13}\text{C}$  and  $\delta^2\text{H}$  records for the Lodo Gulch  
 191 section, Central California. The shaded area represents the bounds of the CIE/PETM (a) bulk  
 192 organic carbon isotope record. (b,c) leaf wax compound specific carbon/hydrogen isotope  
 193 records in  $n$ -C<sub>27</sub> (yellow square),  $n$ -C<sub>29</sub> (red closed circle),  $n$ -C<sub>31</sub> (blue triangle), (d)  $n$ -alkane  
 194 carbon preference indices (CPI).

195  
 196 3.2 Hydrogen isotopes

197 The leaf wax  $\delta^2\text{H}_{n\text{-alkane}}$  values range from -150 to -213‰ over the entire sampled section that  
 198 has an initial decrease of 25‰ (from -150 to -175‰ in C<sub>29</sub>) just prior to the CIE onset and then  
 199 followed by a slight rise (~6 ‰) right after the onset. The relatively invariable  $\delta^2\text{H}_{n\text{-alkane}}$  through  
 200 the PETM is punctuated with two brief intervals of more negative values (-202‰ at 6.26m and -  
 201 213‰ at 22m). The second larger anomaly coincides with the disconformity (related to local sea  
 202 level regression). The post-PETM  $\delta^2\text{H}_{n\text{-alkane}}$  values are on average lower than for the upper  
 203 Paleocene/PETM, although analytical errors may bias the values. Given the limited number of  
 204 samples to establish a baseline for the upper Paleocene, the significance of the pre (and post CIE)  
 205 shifts/anomalies in  $\delta^2\text{H}_{n\text{-alkane}}$  should be considered with some caution. Several other sections do



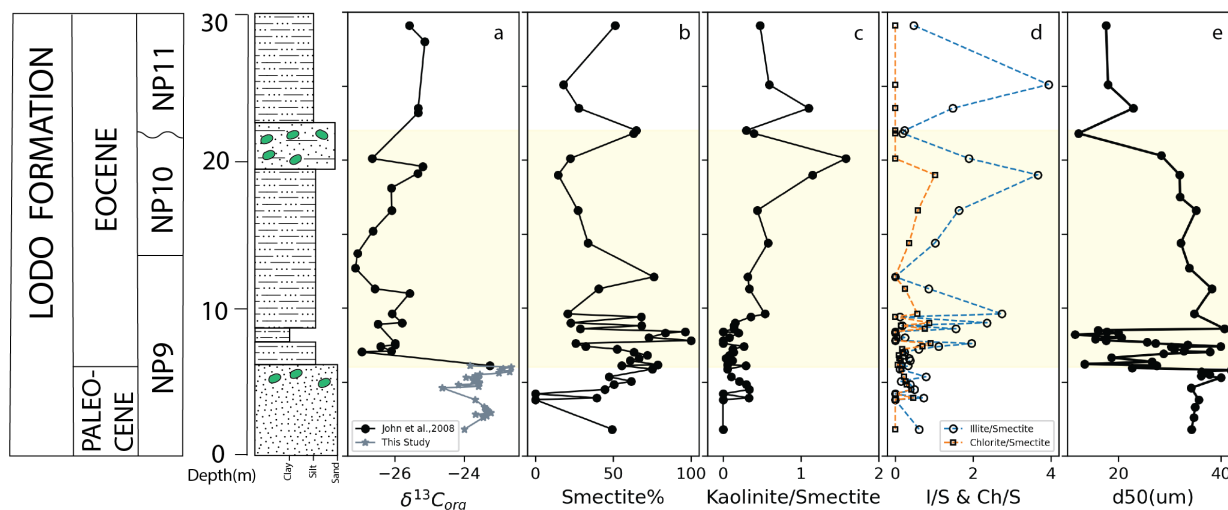
206 show pre-CIE shifts, both positive and negative, and typically an enrichment with the CIE  
 207 (Handley et al., 2008, 2011; Jaramillo et al., 2010; Tipple et al., 2011). Such minor changes  
 208 likely reflect unconstrained orbital influences on regional precipitation (Rush et al., 2021;  
 209 Campbell et al., 2024), especially considering the variable direction of change from location to  
 210 location.

211

### 212 3.3 Clay assemblage and grain size

213 Clay assemblages and particle grain size should to some extent be influenced by regional  
 214 hydroclimate. At Lodo, the clay assemblages (Fig. 3) are dominated by smectite throughout. The  
 215 minor clay components illite and chlorite show several spikes relative to smectite within the  
 216 lower (8 to 10 m) and upper CIE (~19 m), whereas the ratio of kaolinite gradually increases (0.5  
 217 to 1.5) only over the upper portion of the CIE (10 to 20 m). A delayed rise in kaolinite has also  
 218 been observed in a few other PETM sections whereas some show an immediate rise (Tateo,  
 219 2020; Gibson et al., 2000). The smectite concentration and kaolinite/smectite ratio remain high in  
 220 the post-PETM interval. The late Paleocene, with relative coarse sandy size, shows slight spikes  
 221 of kaolinite associated with other minerals. Grain size, largely silt and clay, shows a distinct shift  
 222 toward finer fractions (i.e., clay) with the onset of the CIE (Fig. 3e).

223



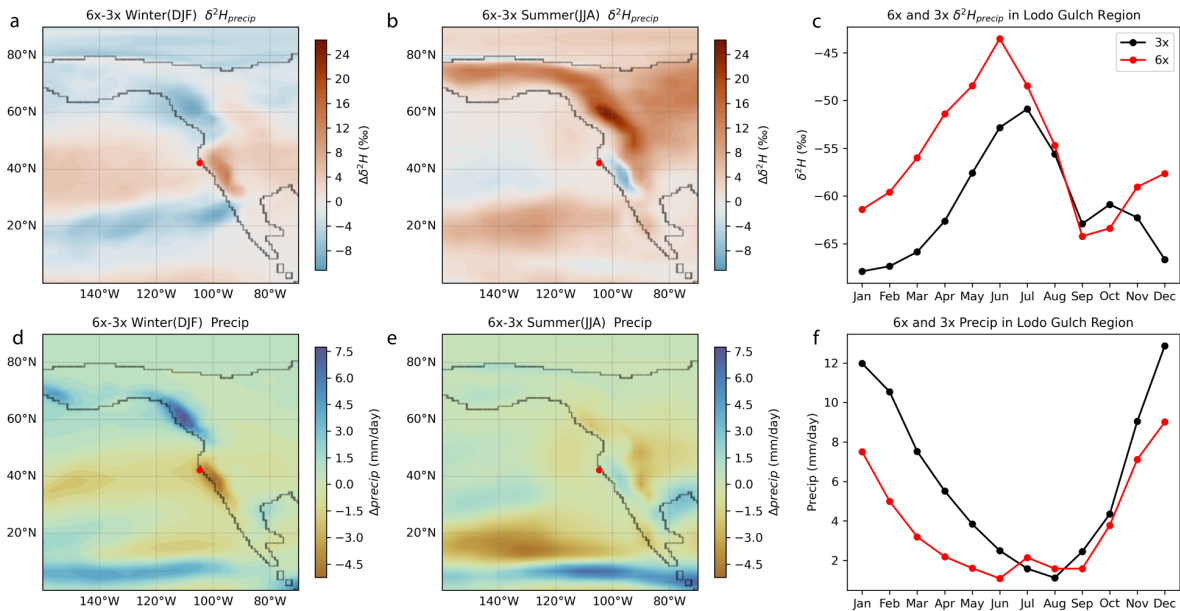
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225 Figure 3. Integrated C isotope and clay assemblage records for the Lodo Gulch section, central  
 226 California (a) bulk organic carbon isotope, (b,c,d,e) clay assemblage ratios, (e) decreasing mean  
 227 particle size (D50: 50% of the total particle size in sediments) corresponds to CIE onset.

228

229 3.4 Earth system model simulations

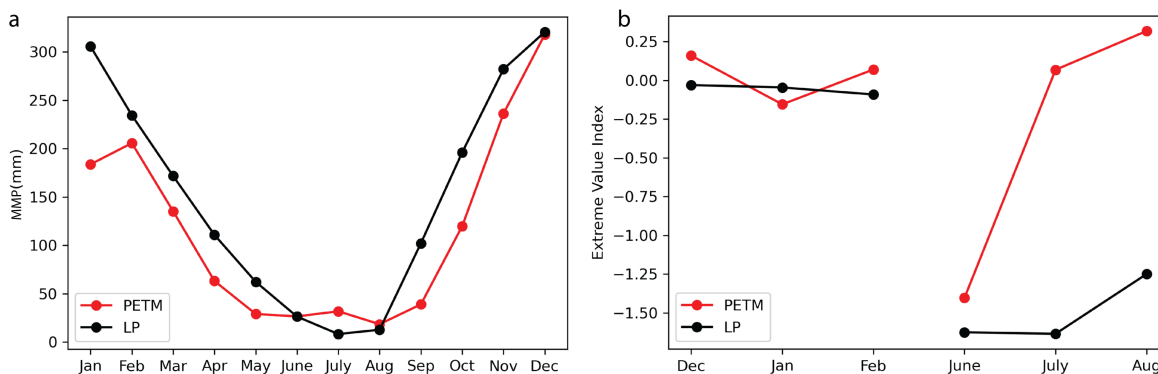
230 We obtained and processed temperature and precipitation output from two community earth  
 231 system/climate models: the isotope-enabled iCESM1.2 and high-resolution CAM5 models (daily  
 232 precipitation over 15 years) forced by a range of greenhouse conditions (1x,3x,6x,9x  $p\text{CO}_2$  pre-  
 233 industrial), both with Eocene paleogeography. For comparisons with observations, we used  
 234 output from the 3x to 6x  $p\text{CO}_2$  simulations which best replicated the observed SST ( $\Delta\text{SST}$ ) for  
 235 the pre-PETM and PETM (Zhu et al., 2020). Overall, monthly winter precipitation for the study  
 236 region decreases ( $\sim 30\%$ ) during the PETM in both simulations with a slight increase in the  
 237 summer (Fig. 4,5). CAM5 output shows a modest decrease in mean annual precipitation with  
 238 significant seasonal shifts during the PETM (Fig. 5a). Seasonal changes of monthly averaged  
 239  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  from mean monthly precipitation in iCESM1.2 of central California are consistent  
 240 with CAM5. On average the  $\delta^2\text{H}_{\text{precip}}$  increases by ca. 5-10 ‰ from pre-PETM to PETM,  
 241 especially in the winter/spring, with a smaller shift in summer/fall (1~2 ‰) (Fig 4. a,b,c). The  
 242 Extreme value index ( $\xi$ ), a representation of the distribution of exceedance right tail  
 243 (supplemental information), shows a small but statistically robust increase in wet extremes of  
 244 winter (DJF) with a significant increase in summer (JJA) during the PETM (Fig. 5b).



245

246 Figure 4. Seasonal and monthly meteoric precipitation amounts (mm/day) and H-isotopic  
 247 composition for the North Pacific/Western N. America as simulated with the iCESM1.2 (Zhu et

248 al., 2020) under pre-PETM (3x in black) and PETM (6x in red)  $p\text{CO}_2$  forcing. Panels (a) and (b)  
 249 show  $\Delta\delta^2\text{H}_{\text{precip}}$  between pre-PETM (3x) and PETM (6x) in winter (DJF) and summer (JJA).  
 250 Panel (c) shows the annual seasonal cycle of  $\delta^2\text{H}_{\text{precip}}$  for central California (pre-PETM in black,  
 251 PETM in red). Mean daily precipitation rate difference for (d) winter and (e) summer between  
 252 pre-PETM (3x) and PETM (6x). Panel (f) shows the annual seasonal cycle of daily precipitation  
 253 rate for central California. Values represent the area-weighted average over  $4^\circ \times 4^\circ$  box bounding  
 254 the study site.



255  
 256 Figure 5. High resolution CAM5 model output (Shields et al., 2021) of (a) mean monthly  
 257 precipitation for central California over 15 model years for the Late Paleocene/LP under low  
 258  $p\text{CO}_2$  (680 ppmv) and for the PETM under high  $p\text{CO}_2$  (1590ppmv). (b) Extreme value index ( $\xi$ )  
 259 comparison of mean monthly precipitation in winter and summer of central California region  
 260 based on the CAM5 precipitation outputs.

261  
 262 **4 Discussion**

263 **4.1 Hydroclimate response from model simulations.**

264 In all model simulations of the PETM forced with higher  $p\text{CO}_2$  (e.g., 3x to 6x pre-industrial), the  
 265 hydrological cycle intensifies as manifested by increases in global mean precipitation and  
 266 meridional vapor transport (Kiehl and Shields, 2013; Kiehl et al., 2018; Carmichael et al., 2016;  
 267 Zhu et al., 2020). Regionally however, the magnitude and even the sign of precipitation change  
 268 can differ considerably from global means (Carmichael et al., 2016, 2017). This is most evident  
 269 in the latest low and high-resolution model simulations of the PETM (Zhu et al, 2020; Shields et  
 270 al., 2021). For central California, the simulations yield an overall decline in mean annual  
 271 precipitation mainly due to a notable decline in winter precipitation with only a slight increase in  
 272 summer (Fig. 4). This pattern is produced by both the water isotope-enabled iCESM1.2 and the

273 higher resolution CAM5 with an overall shift into lower amplitude seasonal cycles (i.e., drier  
274 winter/spring and a slightly wetter summer) (Fig. 4, 5). This seasonal wet-dry shift appears to be  
275 driven in part by a pronounced northward shift of atmospheric rivers (ARs) in winter along the  
276 North American Pacific coastline (Shields et al., 2021). As ARs deliver most of the winter  
277 precipitation to the mid-latitude Pacific coast, less frequent occurrences result in drier winters  
278 during the PETM. Moreover, the extreme value index ( $\xi$ ) shows a small but statistically robust  
279 increase in winter (DJF) wet extremes with a significant increase in the probability of summer  
280 (JJA) wet exceedance during PETM (Fig. 5b). The latter might be due to elevated tropical storm  
281 activity along the Pacific coast during PETM (Fig. S7; Kiehl et al., 2021).

## 282 4.2 Hydroclimate response from observations.

### 283 4.2.1 Sedimentation rate, clay assemblages and grain size distribution

284 Arguably, the collection of observations from Lodo (i.e., sediment flux, clay assemblages, and  
285 leaf wax  $\delta^2\text{H}$  isotopes), within limitations, appear to be mostly consistent with the model output.  
286 Starting with siliciclastic sedimentation, rates should be highly susceptible to a major shift in  
287 hydrologic conditions as changes in the seasonality of precipitation (along with vegetative cover)  
288 would impact rates of erosion and sediment transport. The coarse resolution of stratigraphic  
289 control at Lodo does limit the ability to constrain changes in sedimentation rates in detail.  
290 However, just considering the thickness of the CIE ( $\sim 10$  m), one could argue for a shift toward  
291 higher seasonality of precipitation with overall drier conditions as suggested by John et al.,  
292 (2008).

293  
294 The other constraints on regional precipitation would also support a shift toward drier conditions.  
295 For example, an increase in the relative abundance of kaolinite fluxes has been widely observed  
296 across the CIE onset in many PETM sections from mid to high latitudes (Tateo, 2020; Gibson et  
297 al., 2000) and interpreted as evidence of a major mode shift in local hydroclimates. In contrast,  
298 the clay mineralogy (Fig. 3) for the Lodo Formation is dominated mainly by smectite at the onset  
299 of the PETM, consistent with seasonal wet/dry cycles under warm conditions (Gibson et al.,  
300 2000). A subtle increase in the kaolinite/smectite could be interpreted as evidence of higher  
301 humidity (Gibson et al., 2000). However, the skewed grain size distribution of clay sediments

302 around 8 m coinciding with illite/smectite peaks (Fig. 3e and S1) indicate higher fluvial velocity  
303 and increased erosion as observed elsewhere (Chen et al., 2018; Foreman et al., 2012; Foreman,  
304 2014). For example, along the mid-Atlantic margin it appears the kaolinite might have been  
305 exhumed from local Cretaceous laterites (Lyons et al., 2019). The regionally enhanced physical  
306 weathering and erosion could be related to an increase in the frequency of episodic wet/dry  
307 extremes during the PETM as simulated by models.

308

#### 309 4.2.2 Precipitation and Leaf wax $\delta^2\text{H}_{n\text{-alkane}}$

310 The Lodo leaf wax  $\delta^2\text{H}_{n\text{-alkane}}$  record at first glance is somewhat equivocal in terms of the  
311 response of local hydroclimate. In theory, terrestrial higher plant  $\delta^2\text{H}_{n\text{-alkane}}$  should provide  
312 insight into changes in regional precipitation amounts/source, particularly major mode shifts  
313 (Jaramillo et al., 2010; Pagani et al., 2006; Tipple et al., 2011). In some PETM records,  $\delta^2\text{H}_{n\text{-}}$   
314  $\text{alkane}$  significantly increases, consistent with the effects of higher T on water isotope  
315 fractionation. For example, in the Arctic,  $\delta^2\text{H}_{n\text{-alkane}}$  records show a positive excursion of 55‰ at  
316 CIE onset, consistent with higher T, a reduced meridional temperature gradient and decreasing  
317 isotope distillation during vapor transport (Pagani et al., 2006). However, there are notable  
318 exceptions. In some subtropical/mid-latitude sites,  $\delta^2\text{H}_{n\text{-alkane}}$  decreases (ca ~20‰) across the  
319 onset of PETM (Handley et al., 2008, 2011; Jaramillo et al., 2010; Tipple et al., 2011). In  
320 comparison, Lodo  $\delta^2\text{H}_{n\text{-alkane}}$  displays a comparatively muted response, showing a slight  $^2\text{H}$   
321 enrichment in the main body PETM followed by several anomalous shifts toward more negative  
322 values (Fig. 2c).

323

324 Given the robust evidence for mode shifts in hydroclimate elsewhere during the PETM, does the  
325 relatively stable Lodo  $\delta^2\text{H}_{n\text{-alkane}}$  record necessarily support a local/regional stable hydroclimate  
326 (i.e., in conflict with the modeling and other observations)? As H-isotope fractionation in plants  
327 is related to photosynthetic pathways, source water availability, and atmospheric humidity  
328 (Sachse et al., 2012; Tipple et al., 2015), it is possible that local shifts in meteoric water isotope  
329 composition were offset by another influencing factor(s). Regarding photosynthetic pathways,  
330 along the west coast of North America, no detailed records of vegetation response have been  
331 generated for the PETM. Still, for the late Paleocene and early Eocene intervals, Korasidis et al.  
332 (2022) found little deviation in the Koppen-Geiger climate type (i.e., Mediterranean) within the

333 central California region. This evidence along with the lack of change in *n*-alkane average chain  
334 length (ACL) in the Lodo section (Fig S5) would suggest no major changes in vegetation  
335 assemblages during the PETM. Another factor, reworking of Paleocene terrestrial organic matter  
336 (e.g., Tipple et al., 2011), could possibly dampen of isotopic *n*-alkane signals at Lodo, although  
337 the CPI and the leaf wax carbon isotopes would suggest minimal reworking of the *n*-alkanes, as  
338 opposed to other coastal PETM sites where the evidence for reworking is robust (e.g., Lyons et  
339 al., 2019; Hollingsworth et al., 2024). As such, if we assume the  $\delta^2\text{H}_{n\text{-alkane}}$  record reflects only  
340 on changes in local meteoric waters, the observed modest change of  $\delta^2\text{H}_{n\text{-alkane}}$  values at Lodo  
341 could be interpreted in several ways in terms of T-related changes on isotope fractionation that  
342 were offset by changes in dominant season of precipitation, and/or vapor sources and distance of  
343 transport. For example, a shift in precipitation between winter and late summer/fall could offset  
344 the effects of warming assuming a shift from a proximal (north or central Pacific) to a more  
345 distal (Gulf of Mexico) source of vapor (Hu and Dominguez, 2015). At ground level, stronger  
346 evapo-transpiration during biosynthesis can isotopically be offset by external water source  
347 availability (i.e. seasonal precipitation). Local/regional ground water table variations caused by  
348 hydrological change would also affect the source water-use efficiency of plants since surface  
349 water tends to be more depleted in some perennial species after intense storms in the  
350 groundwater (Hou et al., 2008; Krishnan et al., 2014). Hydrogen isotope fractionation in plants  
351 can also be biased by seasonal shift in regional vegetation growth regime. For example, leaf wax  
352 lipids from terrestrial plants usually record hydrological conditions earlier in the season rather  
353 than fully integrating the entire growing season (Hou et al., 2008; Tipple et al., 2013). Finally,  
354 episodic extremes in precipitation may dominate the hydrogen isotopic composition of the leaf  
355 wax (Krishnan et al., 2014). If soil water is derived mainly from extreme events during the  
356 growth season, the lack of a major shift in the Lodo  $\delta^2\text{H}_{n\text{-alkane}}$  record with the onset of the PETM  
357 could reflect a combination of more  $^2\text{H}$ -depleted precipitation delivered by seasonal storms offset  
358 by warming induced  $^2\text{H}$ -enrichment in leaf water.

359

#### 360 4.3 Comparison of leaf water and modeled $\delta^2\text{H}$

361 Assuming leaf wax  $\delta^2\text{H}$  is primarily influenced by local meteoric water, how does the seasonal  
362 distribution of precipitation influence the bulk signal? In iCESM1.2 simulations with increasing  
363  $p\text{CO}_2$  (i.e., 3x to 6x pre-industry) and SST, the seasonal shifts in  $\delta^2\text{H}$  of mean monthly

364 precipitation from pre-PETM to PETM is significant. During the winter, as precipitation amounts  
365 decline,  $\delta^2\text{H}_{\text{precip}}$  increases by 10‰ while decreasing by ~1 to 5‰ during late summer/fall in  
366 central California (Fig. 4). To estimate how this seasonal change of  $\delta^2\text{H}_{\text{precip}}$  and precipitation  
367 amount influences leaf water  $\delta^2\text{H}$ , we applied a leaf wax proxy model (supplemental  
368 information) which computes the combined effects of changes in seasonal precipitation and  
369 growing season length. The model shows leaf water  $\delta^2\text{H}$  enriched ca. 4 to 7‰ from pre-PETM to  
370 PETM. Arguably, this would be consistent with minor ~6‰ enrichment observed in the Lodo  
371 record at the onset of the PETM. We also examined other sites for comparison of the predicted  
372 leaf water differences from pre-PETM to PETM with the fossil leaf wax  $\Delta\delta^2\text{H}$  (see supplemental  
373 information) and find a similar pattern in other mid-latitude sites. Other factors to consider  
374 include precipitation source waters and a shift of a mixing endmember between proximal and  
375 distal sources of water in the coast. For example, with a summer shift of source water from the  
376 Pacific to subtropics (i.e., summer monsoons), the effect of increasing distance and distillation  
377 would isotopically deplete vapor (Hu and Dominguez, 2015), thus offsetting the temperature  
378 related enrichment of local  $\delta^2\text{H}_{\text{precip}}$ . In addition, infrequent but high intensity tropical cyclones  
379 during the PETM (Kiehl et al., 2021) would tend to deliver relatively depleted precipitation (i.e.,  
380 a more negative  $\delta^2\text{H}$ ) during summer months.

381  
382 Finally, a related record that might indirectly reflect on precipitation amount (i.e., atmospheric  
383 humidity) is the magnitude of the CIE recorded by leaf wax  $\delta^{13}\text{C}_{n\text{-alkane}}$ . Recalcitrant higher  
384 plants leaf wax  $n$ -alkane carbon isotope ratios ( $n > 25$  with odd-over-even preference) reflect  
385 mainly carbon source (Diefendorf et al., 2010). However, photosynthetic carbon isotope  
386 fractionation ( $\Delta_p$ ) is sensitive to atmospheric  $p\text{CO}_2$  variations, generally increasing with rising  
387 concentrations assuming a constant photosynthetic fractionation factor and humidity (Diefendorf  
388 et al., 2010). The  $\delta^{13}\text{C}_{n\text{-alkane}}$  of Lodo section displays a sharp negative shift of ca. 4 ‰ (average  
389 of  $n\text{-C}_{27}$ ,  $n\text{-C}_{29}$ ,  $n\text{-C}_{31}$ ) across the onset of CIE (Fig. 2b), which is consistent with global mean  
390 atmospheric CIE (Sluijs and Dickens, 2012) but generally smaller than observed in other leaf  
391 wax records (Handley et al., 2008, 2012; Jaramillo et al., 2010; Pagani et al., 2006; Tipple et al.,  
392 2011). The smaller  $\delta^{13}\text{C}_{n\text{-alkane}}$  CIE recorded in Lodo would be consistent with a reduction in  
393 local humidity which should reduce the magnitude of  $\Delta_p$  during photosynthetic carbon fixation.

394

395 Summary and Conclusions

396 Many sections globally exhibit evidence, often striking, of significant shifts in local hydroclimate  
397 at the onset of the PETM consistent with model simulations (e.g., 2x CO<sub>2</sub>). These same models  
398 also simulate an overall decrease in winter precipitation for the central California coast due in  
399 large part to a reduction in AR frequency (Shields et al., 2021). While not as striking, the  
400 collection of observations from the central California Lodo Gulch Section would support a  
401 modest reduction in precipitation (i.e. MAP) during the PETM along with the possibility of an  
402 increase in the frequency of extreme precipitation events. This transition toward greater aridity  
403 and precipitation extremes is not unlike the forecasts for much of California over the coming  
404 centuries due to anthropogenic warming.

405  
406  
407 Data availability. Data tables of clay assemblages, grain size, organic carbon isotopes and leaf  
408 wax *n*-alkane stable isotopes will be available via the PANGAEA repository.

409  
410 Author contribution. JCZ conceived the project design, acquired funding and provided overall  
411 supervision. XZ conducted stable isotope measurements, clay mineralogy, grain size analyses  
412 and iCESM/CAM5 model output analyses. Leaf wax *n*-alkane carbon and hydrogen isotope  
413 measurements were performed by BJT. JBN conducted leaf wax proxy model experiments. CAS  
414 and WDR contributed to processing CAM model output. This paper was prepared by XZ with all  
415 authors contribution to the review and editing of the manuscript.

416  
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