1	Palaeo-environmental evolution of Central Asia during the Cenozoic: New insights from									
2	the continental sedimentary archive of the Valley of Lakes (Mongolia)									
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25	Key Words: Cenozoic climate change; Central Asia; Palaeo-environment; Westerly winds;									
26	Hydroclimate; Paleosols									

### 27 Abstract

The Valley of Lakes basin (Mongolia) contains a unique continental sedimentary archive, 28 suitable for constraining the influence of tectonics and climate change on the aridification of 29 Central Asia in the Cenozoic. We identify the sedimentary provenance, the (post)depositional 30 environment and the palaeo-climate based on sedimentological, petrographical, mineralogical 31 and (isotope) geochemical signatures recorded in authigenic and detrital silicates as well as soil 32 33 carbonates in a sedimentary succession spanning ~34 to 21 Ma. The depositional setting was characterized by an ephemeral braided river system draining prograding alluvial fans, with 34 35 episodes of lake, playa or open steppe sedimentation. Metamorphics from the northern adjacent Neoarchean to late Proterozoic hinterlands provided a continuous influx of silicate detritus to 36 the basin, as indicated by K-Ar ages of detrital muscovite (~798-728 Ma) and discrimination 37 function analysis. The authigenic clay fraction is dominated by illite-smectite and "hairy" illite 38 (K-Ar ages: ~34-25 Ma), which formed during coupled petrogenesis and precipitation from 39 hydrothermal fluids originating from major basalt flow events (~32-29 Ma and ~29-25 Ma). 40 Changes in hydroclimate are recorded in  $\delta^{18}$ O and  $\delta^{13}$ C profiles of soil carbonates and in silicate 41 mineral weathering patterns, indicating comparatively humid to semi-arid conditions prevailed 42 in the late(st) Eocene, changing into arid conditions in the Oligocene and back to humid to 43 semi-arid conditions in the early Miocene. Aridification steps are indicated at ~34-33 Ma, ~31 44 Ma, ~28 Ma and ~23 Ma and coincide with some episodes of high-latitude ice sheet expansion 45 inferred from marine deep-sea sedimentary records. This suggests long-term variations of the 46 ocean/atmosphere circulation patterns due to  $pCO_2$  fall, re-configurations of ocean gateways 47 and ice-sheet expansion in Antarctica could have impacted the hydroclimate and weathering 48 regime in the basin. We conclude that the aridification in Central Asia was triggered by reduced 49 moisture influx by westerly winds driven by Cenozoic climate forcing and the exhumation of 50 the Tian Shan and Altai mountains and modulate by global climate events. 51

### 52 **1. Introduction**

The Cenozoic Era (66 Ma to the present day) saw several dramatic changes of the marine and 53 54 continental ecosystems (e.g., evolution of large plankton feeders such as baleen whales, shift towards cold-water, high nutrient plankton assemblages at high latitude, expansion of terrestrial 55 mammals) major tectonic events (e.g., opening of Southern Hemisphere Oceanic gateways, 56 shift to the 4-layer structure of the modern ocean, collision of the African-Arabian-Eurasian 57 58 plates, uplift of the Alpine and Himalayan mountain belt) and global climate forcing (e.g., change from greenhouse to icehouse conditions) (Cerling, 1997; Houben et al., 2013; Norris et 59 60 al., 2013; Cermeño et al., 2015; Mutz et al., 2018; Komar and Zeebe, 2021). The acceleration of Cenozoic climate cooling started after the Early Eocene Climatic Optimum (EECO; ~52-50 61 Ma), with temperatures ~10-12 °C warmer than the modern deep ocean, followed by the 62 appearance and expansion of the Antarctic ice-sheets after the Eocene-Oligocene Transition 63 (EOT; ~34 Ma) and ultimately culminating in the extensive Northern Hemisphere glaciation 64 of the Pleistocene (~2.6-0.01 Ma; Zachos et al., 2001; Lear et al., 2008; Mudelsee et al., 2014; 65 Abdullayev et al., 2021). This long-term transition in Earth's climate is well documented in 66 marine sedimentary archives, but its impact on the evolution of continental ecosystems remains 67 poorly constrained, mainly because continuous, well preserved terrestrial records are scarce 68 and the responses to climate change in these settings are highly complex, depending on latitude, 69 proximity to coast and mountain ranges, position relative to climatic winds, vegetation etc. 70 (e.g., Caves Rugenstein and Chamberlain, 2018; Baldermann et al., 2020). An exception is the 71 sedimentary archive of the Valley of Lakes (Mongolia), which hosts a ~34-21 Ma record of 72 continental sedimentation in Central Asia. The biostratigraphy and the correlation between 73 74 different outcrops in this basin are well established based on mammalian communities and gastropod records (Harzhauser et al., 2017), magnetostratigraphy (Sun and Windley, 2015) and 75 radiometric age dating of different basalt horizons (Daxner-Höck et al., 2017), rendering this 76

locality suitable for constraining the links between tectonism and climate change in Central 77 Asia during the Cenozoic. The Eocene to Miocene of Central Asia was characterized by 78 accelerated aridification (Dupont-Nivet et al., 2007; Xiao et al., 2010; Bosboom et al., 2014; 79 Li et al., 2016), expressed as a substantially expanded Gobi Desert relative to today (Guo et 80 al., 2008; Lu et al., 2019) and a sudden turnover in the mammal record (Harzhauser et al., 2016; 81 Barbolini et al., 2020). Several, partially opposing hypotheses have been proposed to explain 82 83 the aridification of Central Asia, including a combination of orbitally-driven climate forcing, the stepwise retreat of the proto-Paratethys Sea and uplift of the Tibetan Plateau (Pälike et al., 84 85 2006; Zhang et al., 2013; Li et al., 2020) or a continuous decrease of moisture transport by the westerlies due to exhumation of the Tian Shan and Altai mountains (Caves et al., 2014; Caves 86 et al., 2015; Caves Rugenstein and Chamberlain, 2018). However, the evolution of Central 87 Asia's hydroclimate in the Cenozoic was not a period of continuous aridification; indeed, the 88 climatic conditions in particular in the Oligocene were highly complex and characterized by 89 numerous glacial-interglacial cycles (Xiao et al., 2010). Recently, Richoz et al. (2017) have 90 identified two aridification pulses in Central Asia, in the early and late Oligocene, which they 91 assigned to global climatic events. To date, a correlation of the global marine record with the 92 terrestrial record of Mongolia is barely developed (Harzhauser et al., 2016; Harzhauser et al., 93 2017; Richoz et al., 2017), which limits our understanding of the relative influences of climate 94 95 change and regional tectonics on the evolution of hydroclimate and weathering conditions in 96 Central Asia in the Cenozoic.

In this contribution, we greatly extend the existing mineralogical and (isotope) geochemical
dataset previously reported in Richoz et al. (2017) for the Eocene-Miocene sediments from the
Valley of Lakes (Mongolia): K-Ar ages and polytype analysis of detrital and authigenic illitic
phases coupled with discrimination function analysis and sedimentological-petrographicalgeochemical inspection are used to constrain provenance, palaeo-environmental conditions and

102 post-depositional alteration history of this sedimentary succession. Systematic, coherent 103 changes in the weathering patterns of silicate detritus and pristine  $\delta^{18}$ O and  $\delta^{13}$ C signatures 104 recorded in paleosols carbonates allow us to revise and refine the evolution of hydroclimate 105 and weathering conditions in Central Asia in the Cenozoic.

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# 107 2. Geological framework

108 The Valley of Lakes is an ESE-WNW striking sedimentary basin with ~500 km extension in largest dimension. It is located in Central Mongolia and bordered by the Khangai mountains in 109 110 the north and the Gobi Altai mountains in the south (Fig. 1a). The geological super-units in the north of Mongolia contain Neoarchean, Proterozoic and Palaeozoic rocks of the Caledonian 111 orogen as well as late Neoproterozoic to Ordovician (Tuva-Mongol) magmatic arc and related 112 back- and fore-arc intrusions, accretionary wedge sequences and ophiolites (Porter, 2016). The 113 geological super-units in the south are characterized mainly by a Palaeozoic orogen, especially 114 the Kazakh-Mongol magmatic arc, which forms the border between Mongolia and China. 115 These units include mainly Devonian to Carboniferous island arc volcanic rocks, Ordovician 116 to Silurian volcanics, Ordovician to Carboniferous metamorphosed sedimentary sequences and 117 Permo-Carboniferous granitoids (Porter, 2016). 118

Regarding the regional lithostratigraphic context, the northern structural units of the Valley of 119 Lakes basin in the Taastsiin Gol area comprise dominantly fault- and thrust-bounded crystalline 120 121 basement of Neoarchean to Palaeozoic age (Fig. 1b). These include the Baidrag (high-grade gneisses, charnockites and amphibolites, up to 2.65 Ga old) and the Burdgol zone (metapelites, 122 metapsammites and metacherts,  $699 \pm 35$  Ma) in its southernmost end (Teraoka et al., 1996). 123 Further structural units towards the north are the Bayan Khongor (metamorphosed basic rocks, 124 ophiolites and pelitic schists, 450 Ma), the Dzag (metapelites and metapsammites,  $440 \pm 22$ 125 Ma and  $395 \pm 20$  Ma) and the Khangai zone (unmetamorphosed, but tectonically deformed 126

sandstones, mudstones and intercalated olistolith sequences of unspecified Devonian to
Carboniferous age) (Teraoka et al., 1996; Höck et al., 1999). All of these zones are intruded by
numerous granitoids of variable age (Proterozoic to Cretaceous) and composition (Höck et al.,
1999). The major zones located in the south of the Valley of Lakes basin comprise the Baga
Bogd, the Ikh Bogd and the Bogd som, which are petrographically indistinguishable from the
time-equivalent metasediments and metavolcanics of the Bayan Khongor zone and of the
Permian quartzitic conglomerates from the adjacent Mount Ushgoeg (Höck et al., 1999).

In the focus of this study are the fossiliferous siliciclastic sediments of the Taatsiin Gol Basin, 134 135 which record important information about changes in sediment provenance, weathering paths and conditions and palaeo-climate in Central Asia during the Eocene to Miocene. The herein 136 investigated sedimentary sections span the Tsagaan Ovoo Formation (upper Eocene), the 137 Hsanda Gol Formation (Oligocene) and the Loh Formation (lower Miocene). Five sections, 138 namely Taatsiin Gol right (TGR-AB), Taatsiin Gol south (TGR-C), Hsanda Gol (SHG-D), 139 Tatal Gol (TAT-E) and Hotuliin Teeg (HTE), were chosen for this study, because of the well-140 constrained chronological framework at these localities. Based on previous radiometric and 141 magnetostratigraphic dating of these sections (Höck et al., 1999; Sun and Windley, 2015), 142 Harzhauser et al. (2017) have established a precise biozonation of the studied sedimentary 143 succession, which includes, from the bottom to the top, Zone A (early Rupelian: 33.9 Ma to 144 ~31.5 Ma), Zone B (late Rupelian: ~31.5 Ma to ~28.1 Ma), Zone C (early Chattian: ~28.1 145 Ma to ~25.6 Ma), Zone C1 (mid-Chattian: ~25.6 Ma to ~24.0 Ma), Zone C1-D (late Chattian 146 ~24.0 Ma to ~23.0 Ma) and Zone D (Aquitanian: ~23.0 Ma to ~21.0 Ma). 147

These sections form an integrated sedimentary succession with a thickness of ~115 m (Richoz et al., 2017). Two prominent stratigraphic marker beds, the basalt I group (32.4-29.1 Ma) and the basalt II group (28.7-24.9 Ma) crop out at ~40-41 m and at ~94-100 m in the sedimentary profile (Daxner-Höck et al., 2017). A younger basalt III group (13.2–12.2 Ma) dates back to the middle Miocene, but is not part of the sedimentary succession investigated here. Further details about the local nomenclature, the investigated profiles, profile correlation and lithostratigraphic relationships are provided in Harzhauser et al. (2017), Daxner-Höck et al. (2017) and Richoz et al. (2017). Due to the complex architecture of the Valley of Lakes basin and adjacent areas, a mixed provenance has been proposed for the basin fill, however, detailed knowledge about the palaeo-depositional environment and source area relationships remain poorly constrained (Höck et al., 1999).

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### 160 **3. Materials and Methods**

#### 161 3.1 Materials

Representative bulk sediment samples (140 in total) were taken from different outcrops, which 162 cover the entire sedimentary succession of the Valley of Lakes from the upper Eocene to the 163 lower Miocene. The layers sampled vary in color, composition, texture, fossil and carbonate 164 content, etc., however, they do not show optical signs of alteration, such as recent surface 165 weathering. Samples for geochemical, isotopic and mineralogical analysis were crushed in a 166 ball mill for 10 min and micronized using a McCrone mill for 8 min, with ethanol addition. 167 Samples with a high clay mineral content based on an initial mineralogical inspection were 168 selected further for an identification of the clay mineral suite, which is defined here as  $< 2 \,\mu m$ 169 size fraction (Rafiei et al., 2020). As for the clay mineral separation, 5 g of the bulk material 170 was reacted with 5 % HCl for 10 min to remove the carbonates, followed by standard Atterberg 171 sedimentation and subsequent collection and drying of the  $< 2 \mu m$  size fraction at 40 °C. Fast 172 acid digestion was used to reduce leaching or dissolution of the clay minerals under acidic 173 conditions (Baldermann et al., 2012). Four samples from the Hsanda Gol Formation with a 174 high amount of illitic phases were used for an illite polytype and K-Ar analysis. To this end, 175

three sub-fractions (< 1  $\mu$ m, 1-2  $\mu$ m and 2-10  $\mu$ m) were separated by Atterberg sedimentation,

177 which all represent mixtures of authigenic illitic phases and detrital illite/muscovite.

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179 3.2 Analytical methods

The major, minor and trace element composition of a sub-set of samples (91 in total) was analyzed via a Philips PW2404 wavelength dispersive X-ray fluorescence (XRF) spectrometer. Fine powdered samples (0.8 g) were heated to 1050 °C to remove the volatile components (CO<sub>2</sub>, H<sub>2</sub>O, etc.), following determination of the loss on ignition (LOI) by gravimetric analysis. The residuals were fused at 1200 °C using LiBO<sub>2</sub> (4 g) as the fluent agent. The standard glass tablets were analyzed together with a set of USGS standards (analytical error:  $\pm$  0.5 wt% for the major elements; Richoz et al., 2017).

Sediment origin and variations in the detrital influx among the different provenance areas were depicted using discrimination plots calculated on the basis of major oxide compositions (Roser and Korsch, 1988). The weathering paths and intensities in the source rock areas were assessed through changes in the weathering indices, such as the chemical index of alteration (CIA), the chemical index of weathering (CIW) and the plagioclase index of alteration (PIA), which were calculated based on the major oxide compositions using the following equations (Nesbitt and Young, 1982; Abdullayev et al., 2021):

194 
$$CIA = (Al_2O_3 / (Al_2O_3 + CaO^* + Na_2O + K_2O)) \times 100$$

195 
$$CIW = (Al_2O_3 / (Al_2O_3 + CaO^* + Na_2O) \times 100)$$

196  $PIA = (Al_2O_3 - K_2O) / (Al_2O_3 + CaO^* + Na_2O - K_2O) \times 100,$ 

where CaO\* denotes the fraction of CaO present in the silicate fraction. CaO\* was calculated
by subtraction of the total CaO content of the bulk sediments (determined by XRF analyses)
from the CaO content associated with carbonate minerals (determined by XRD analyses, see

below). The weathering conditions of the source areas were identified further using  $Al_2O_3 - CaO^* + Na_2O - K_2O$  (A-CN-K) ternary diagrams (Nesbitt and Young, 1984).

202 The mineralogical composition of all bulk samples was determined by Rietveld-based analysis of X-ray diffraction (XRD) patterns recorded on a PANalytical X'Pert PRO diffractometer 203 (Co-Ka; 40 kV and 40 mA) equipped with a high-speed Scientific X'Celerator detector. The 204 top loading technique was used for the preparation of randomly oriented samples, which were 205 206 examined in the range from 4-85  $2\theta$  with 0.008°2 $\theta$ /s step size and 40 s count time. The PANanalytical X`Pert Highscore Plus software and a pdf-4 database were used for mineral 207 208 quantification (analytical error: < 3 wt%; Baldermann et al., 2021). The separated grain size sub-fractions were X-rayed under identical operational conditions. The amounts of authigenic 209 (1M and  $1M_d$  polytype) and detrital (2M<sub>1</sub> polytype) illitic phases were calculated using the 210 211 following equations (Grathoff and Moore, 1996):

- $212 \qquad \% \, 2M_1 = 2.05 \, + \, 360 \times A_{(114)} / A_{(2.6 \mbox{ \AA band})}$
- 213  $\% 1M = 4.98 + 136 \times A_{(-112)}/A_{(2.6 \text{ Å band})}$
- 214 %1  $M_d = 100 \%1M$  or  $100 \%2M_1$

where A is the area (in cps·2 $\theta$ ) of the polytype-specific hkl-reflections of illite and of the 2.6 Å band, respectively (analytical error: ~± 5 %; Baldermann et al., 2017).

Oriented clay films were prepared for the further characterization of the clay mineral fraction 217 (< 2 µm) using a Phillips PW 1830 diffractometer (Cu-Ka; 40 kV and 30 mA) outfitted with a 218 219 graphite monochromator and a scintillation counter. The clay films were prepared by mixing 50 mg of clay fraction with 5 mL of deionized water, following ultrasonic treatment in a water 220 bath for 10 min to produce a clay-in-suspension, which was subsequently sucked through a 221 porous ceramic tile of ~4 cm<sup>2</sup> size (Baldermann et al., 2014). The clay films were examined in 222 the range from  $3-30^{\circ} 2\theta$  with  $0.02^{\circ} 2\theta$  step size and 2 s/step count time, each at air-dry states, 223 after solvation with ethylene glycol (EG) and after heat treatment at 550 °C for 1 h. The 224

proportion of illite layers (% Ilt) in mixed-layered illite-smectite (Ilt-Smc) was calculated based
on the position of the 002-reflections obtained from XRD patterns of EG-solvated clay films

227 ( $d_{EG-002}$  in Å) following the equation (analytical precision:  $\pm 5$  %; Baldermann et al., 2017):

228 % IIt =  $60.8 \times d_{EG-002} - 504.5$ .

Illite crystallization ages were calculated through coupled illite polytype and K-Ar analysis 229 carried out on the separated grain size sub-fractions. The K<sub>2</sub>O content of these samples was 230 231 determined in digested aliquots (1M HF and HNO<sub>3</sub> mixture) in duplicate via a BWB-XP flame photometer<sup>™</sup> using 1 % CsCl as the ionization buffer and 5 % LiCl as the internal standard. 232 233 The Ar isotopic composition was analyzed in a stainless-steel extraction and purification line connected to a Thermo Scientific ARGUS VI<sup>™</sup> noble gas mass spectrometer operated in static 234 mode at the University of Göttingen (Germany). The radiogenic <sup>40</sup>Ar content was measured 235 using the standard isotope dilution method applying a highly enriched <sup>38</sup>Ar spike calibrated 236 against the biotite standard HD-B1. K-Ar age calculations were made based on the constants 237 recommended by the IUGS (for details see Wemmer et al., 2011). The grain size sub-fractions 238 are free of K-containing mineral phases other than mica/illite group minerals, which would 239 disturb the radiogenic K-Ar ages. 240

A scanning electron microscopy (SEM) study was carried out to characterize the mineralogy, chemical composition, microfabrics and alteration patterns of the authigenic and detrital (clay) minerals present in the sediments. Therefore, specimens were prepared on standard SEM stubs, coated with carbon and analyzed using a GEMINI® Zeiss Ultra 55 microscope operated at 5-15 kV of accelerating voltage and equipped with a high efficiency in-lens secondary electron (SE) detector and an EDAX Si(Li)-detector for high-resolution imaging and energy-dispersive X-ray spectrometry (EDX) analysis.

The  $\delta^{13}$ C and  $\delta^{18}$ O isotopic composition of the carbonate fraction was analyzed to constrain the palaeo-climatic trends recorded in the paleosols. In a previous study (Richoz et al., 2017) it

was shown that the soil carbonates (calcrete nodules, lenses and crusts) mostly record pristine 250  $\delta^{13}$ C and  $\delta^{18}$ O isotopic compositions reflective of conditions during their formation and are not 251 influenced by detrital or secondary carbonates, such as calcite spar or dolomite. The samples 252 (139 in total) were reacted with 102 % phosphoric acid at 70 °C in a Kiel II automated reaction 253 system and the liberated CO<sub>2</sub> gas analyzed with a ThermoFinnigan mass spectrometer MAT 254 Delta. The measured  $\delta^{13}$ C and  $\delta^{18}$ O values were corrected against the NBS19 standard and are 255 reported in per mill (‰) relative to the Vienna-PeeDee Belemnite (V-PDB) standard (analytical 256 precision:  $< 0.05 \ \text{\%}$  for  $\delta^{13}$ C and  $< 0.1 \ \text{\%}$  for  $\delta^{18}$ O; Richoz et al., 2017). 257

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### 259 **4. Results**

260 4.1 Sediment petrography

An integrated lithostratigraphic profile of the investigated sedimentary succession (upper Eocene to lower Miocene) from the Taatsiin Gol region, which is a part of the Valley of Lakes, including the biozonation and some field impressions, is presented in Figure 2.

The sediments from the Tsagaan Ovoo Formation (upper Eocene) are dominantly coarse clastic sand and gravel deposits of white-greyish color with embedded clay and silt layers of greyishyellow-green to reddish-brown color, depending on the Fe content (Richoz et al., 2017). The coarser beds show cross-bedding and are frequently poorly sorted, while the finer layers show trough and planar cross-bedding, lamination, inverse to normal grading, rarely ripples and channel fills, and are better sorted. Roots and plant debris and bioturbation features, such as burrows, indicate local paleosol formation (Richoz et al., 2017).

The overlying Hsanda Gol Formation (Oligocene) has a higher fossil content (mainly remains of small mammals) and appears as horizontally bedded and poorly sorted clay to silt layers of brick-red to reddish-brown color with intercalated cross-bedded sandstone beds and minor sand and granule lenses of greyish color (Fig. 2c). Paleosol formation is documented by abundant crypto- to microcrystalline calcite nodules and calcite crusts of centimeter to decimeter size
encapsulating soil and plant materials (Fig. 2b; Richoz et al., 2017). These calcrete layers of
greyish-white color are partially intergrown with Fe- and Mn-(oxy)hydroxides of orangegreyish-black color. The basalt I and basalt II horizons are exposed at ~40-41 m and at ~94100 m and interfinger with the sediments from the Hsanda Gol Formation (Fig. 2b,d).

The Loh Formation (lower Miocene) comprises generally poorly sorted and structure-less silty-280 281 clayey horizons with embedded pebbles and lenses of greyish-white to reddish-brown color as well as trough to planar cross-bedded sand and gravel beds of greenish-yellow-red color, which 282 283 are deposited in alternate mode. Sedimentary structures seen in the coarser beds include inverse to normal grading, ripple marks, channel and scour fills and overbank fines (Richoz et al., 284 2017). Most horizons are highly fossiliferous (remains of small mammals and gastropods) and 285 show signs of paleosol formation, such as calcite nodules and crusts incorporating plant debris, 286 and burrow structures (Harzhauser et al., 2017). 287

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289 4.2 Bulk and clay mineralogy

The mineralogical composition of the Valley of Lakes samples is dominated by quartz (10-55 290 wt%), illite/muscovite (10-50 wt%), calcite (0-70 wt%), feldspar (5-15 wt%; mainly albite and 291 plagioclase and minor orthoclase) and hematite (0-10 wt%) (Table S1). XRD analysis identifies 292 the illite/muscovite as an almost pure illitic phase composed of > 95 % Ilt layers and < 5 % 293 294 Smc layers (Fig. S1) with the  $1M_d$  polytype structure dominating (~90-95 % of the total illite fraction; Fig. S2). The proportions of the 1M and 2M<sub>1</sub> polytype structures of illite do not exceed 295 ~5-10 % of the total illite fraction. Kaolinite, chlorite (Mg-rich), mixed-layered Ilt-Smc 296 297 comprised of ~30-10 % Ilt layers and ~70-90 % Smc layers (Fig. S1) as well as Ti-oxides (rutile and anatase) represent minor constituents (Fig. S2), accounting altogether for less than ~5 wt% 298 of the sediments. Trace amounts of zeolite and amphibole (< 5 wt%) are documented between 299

~35 and 45 m and between ~90 and 110 m in the sedimentary succession, i.e., adjacent to the
basalt I and II groups. Vermiculite, dolomite, ankerite, anhydrite, halite and pyrite were not
identified in the samples, which contrasts observations made by Höck et al. (1999).

The sediments from the Tsagaan Ovoo Formation have the highest proportions of quartz, illite, 303 feldspar and hematite and the lowest content of calcite compared to the other two formations, 304 consistent with less abundant calcrete horizons developed in the upper Eocene sediments (Fig. 305 306 3a). The sediments from the Oligocene Hsanda Gol and lower Miocene Loh formations have highly variable, but on average higher calcite contents than the Tsagaan Ovoo Formation due 307 308 to abundant paleosol formation and related lower contents of silicate minerals and hematite (Fig. 3b,c). The depletion of hematite in these samples argues for a detrital origin and for the 309 precipitation of this mineral phase on silicate detritus during sediment transportation under oxic 310 conditions. No systematic trends in the abundance of the mineral phases was observed across 311 the investigated profile (cf. Table S1). 312

313

# 314 4.3 Microfabrics and illite crystallization ages

A microstructural study of weakly consolidated samples taken from the Hsanda Gol Formation 315 reveals (sub)angular to rounded detrital quartz grains (Fig. S3a), which are partly overgrown 316 by diagenetic quartz cement (Fig. S3b), as well as partially dissolved feldspar grains (Fig. S3c). 317 Calichized areas are cemented by calcite spar, which appears as crypto- to microcrystalline 318 319 material with aggregate particle sizes in the micrometer to millimeter range (Fig. S3d). All these components are covered or intergrown by fine hematite particles (Fig. S3e), although silt-320 size hematite grains are also observable. Coarse chlorite flakes as well as tiny, rounded to 321 vermiform kaolinite particles are barely seen (Fig. S3f). Indeed, the clay mineral suite is 322 dominated by two types of illite and one type of Ilt-Smc. SEM-EDX analysis suggests the illites 323 have higher contents of Al<sub>2</sub>O<sub>3</sub> and K<sub>2</sub>O, but lower contents of SiO<sub>2</sub> and Na<sub>2</sub>O than the Ilt-Smc. 324

The illites occur either as micrometer-sized particles with platy or pseudohexagonal forms being evenly dispersed throughout the matrix (type 1: Fig. 4a,c,e,g) or as long (micrometerscale), but thin laths and fibers, which grow into the open pore space (type 2: Fig. 4b,d,f,g,h). The latter type of illite is often referred to as "hairy illite" (Güven et al., 1980; Rafiei et al., 2020). The Ilt-Smc is a nanometer-sized material with flaky to irregular particle forms, which covers detrital grains or grows into the open pore space (type 3: Fig. 4b,d,h).

331 When viewed together with the results of the illite polytype analysis and measured K-Ar ages (Table 1), all sub-samples represent physical mixtures of detrital 2M<sub>1</sub> illite/muscovite (type 1), 332 333 authigenic 1M<sub>d</sub>/1M illite (type 2) and authigenic 1M<sub>d</sub> Ilt-Smc (type 3). Accordingly, the plot of the proportion of 2M<sub>1</sub> illite/muscovite against the K-Ar age of a given sub-sample (Fig. 5) 334 provides individual crystallization ages for the detrital and authigenic illitic phases (Grathoff 335 and Moore, 1996): The upper intercept of the best-fitting line at 100 % of 2M<sub>1</sub> reveals the 336 crystallization age of detrital illite/muscovite, which is 727.6 to 797.9 Ma. The lower intercept 337 of the best-fitting lines at 100 % of  $1M_d + 1M$  gives crystallization ages for the authigenic clay 338 minerals, which vary between 25.2 and 34.2 Ma. 339

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341 4.4 Geochemistry and weathering indices

Variations in the major element composition of the samples (Table S2) follow changes in the 342 abundance of silicate minerals (e.g., quartz, feldspar and clay minerals) relative to calcite and 343 344 hematite across the sedimentary succession. No distinct trends among the different formations are seen, except for a lower CaO content and higher contents of SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, K<sub>2</sub>O, Na<sub>2</sub>O, MgO 345 and Fe<sub>2</sub>O<sub>3</sub>, on average, in the Tsagaan Ovoo Formation, compared to the Hsanda Gol and Loh 346 formations, corroborating the mineralogical and petrographic results (cf. Table S1 and Fig. 3). 347 Minor amounts of TiO<sub>2</sub> belong to rutile and anatase and traces of MnO and P<sub>2</sub>O<sub>5</sub> correspond 348 to Mn-oxides and apatite. The positive correlations of Cu, Ga, Rb and Zn with Al<sub>2</sub>O<sub>3</sub> as well 349

as Ce, La, Y and Zr with TiO<sub>2</sub> and Sr with CaO point to their association with clay minerals
(i.e., structural incorporation or sorption onto the clay mineral surface), heavy minerals and
carbonate minerals, respectively (Abdullayev et al., 2021). Ba, Co, Cr, Hf, Nb, Ni, Pb, Sc, Th,
V and U are inconspicuous due to lack of correlation with Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> or low concentration
in the samples.

The plot of the chemical data in the A-CN-K ternary diagram (Fig. 6) shows the samples fall 355 356 within or plot slightly above the compositional range of Post-Archean Australian Shale (PAAS) and Average Proterozoic Shale (APS) and thus follow the predicted weathering trend for basalt 357 358 protoliths and Upper Continental Crust (UCC) rocks (Nesbitt and Young, 1984; Bahlburg and Dobrzinski, 2011). The shift of most of the data toward the K pole of the diagrams indicates 359 K-metasomatism has affected the chemical composition of the sediments through the growth 360 of authigenic illite and Ilt-Smc (Fedo et al., 1995), consistent with petrographic observations 361 and clay polytype analyses. The CIA, CIW and PIA values vary from 70-83, 83-97 and 79-96 362 across the different formations, which averages of 79, 94 and 92 for the Loh Formation and 76, 363 90 and 88 for both the Hsanda Gol and Tsagaan Ovoo formations, respectively (Table S3). 364

365

366 4.5 Soil carbonate  $\delta^{18}$ O and  $\delta^{13}$ C isotopic composition

The  $\delta^{18}$ O and  $\delta^{13}$ C values of the soil carbonates vary in the range from -11.7 to -0.2 ‰ and -367 8.1 to -3.8 ‰ across the sedimentary succession of the Valley of Lakes (Table S4). Six samples 368 taken close to the basalt I and II groups show comparatively lighter isotope values, -12.9 to -369 8.6 % of  $\delta^{18}$ O and -9.4 to -8.3 % of  $\delta^{13}$ C, which indicates post-depositional overprinting. 370 Therefore, these samples are not considered further. A high scatter in  $\delta^{18}$ O values (-9.3 to -0.2 371 ‰) and relatively light  $\delta^{13}$ C values (-7.5 to -6.4 ‰) are seen in the lower part of the Hsanda 372 Gol Formation, changing into less fluctuating  $\delta^{18}$ O values (-10.3 to -7.0 ‰) and systematically 373 heavier  $\delta^{13}$ C values (-7.6 to -3.8 ‰) in the middle and upper part of the Hsanda Gol Formation 374

until the lower Miocene. Around the series/stage boundary, a gradual shift towards lighter  $\delta^{18}$ O values (-11.7 to -8.6 ‰) and fluctuating, but lighter  $\delta^{13}$ C values (-8.1 to -4.4 ‰) are evident.

# 378 **5. Discussion**

## 379 5.1 Sediment provenance

The time interval from the Neoarchean to the late Permian saw the development of large parts 380 381 of the fault- and thrust-bounded crystalline basement of Mongolia. The main lithological units forming this basement include Neoarchean metamorphic rocks and Palaeozoic metasediments 382 383 and magmatic rocks, which are all intruded by volcanic and magmatic rocks of various age, composition and provenance (Zorin et al., 1993). This complex architecture and the denudation 384 processes in the Mesozoic, which formed the Valley of Lakes basin and created the present-385 day regional landscape and relief, are documented in the heavy mineral spectra of the Cenozoic 386 basin fill (Höck et al., 1999): the presence of epidote, amphibole, garnet, rutile, pyroxene, 387 sphene, zircon and tourmaline suggest that a mountainous region in the area of the present-day 388 Khangai mountains were the potential source areas (McLennan et al., 1993). Quartz, pegmatite, 389 granite, siltstone, basalt and carbonate clasts found in the gravel fraction (Höck et al., 1999) 390 are also indicative of a heterogeneous provenance for the Valley of Lakes sediments. 391

The major oxide compositions of the sediments from the Valley of Lakes mainly plot in the 392 "P4-quartzose sedimentary provenance" field and only a few samples plot into the "P1-mafic 393 394 igneous provenance" field in the Roser and Korsch (1988) discrimination diagram (Fig. 7). This indicates metamorphosed sediments rich in quartz and poor in feldspar and subordinate 395 mafic to intermediate igneous and metamorphic rocks are the source rocks for the Valley of 396 397 Lakes sediments. These rock types are common to all lithological units exposed in the adjacent lands of the Valley of Lakes (Höck et al., 1999). However, if considering the crystallization 398 ages of the 2M<sub>1</sub> detrital illite/muscovite (727.6 to 797.9 Ma, cf. Fig. 5), a robust assignment to 399

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provenance areas in the adjacent northern Burdgol zone and Baidrag zone is possible. The 400 Burdgol zone hosts dominantly metapelites, metapsammites and metacherts, which have an 401 402 age of  $699 \pm 35$  Ma, as inferred from K-Ar dating of muscovite (Teraoka et al., 1996), which closely matches the detrital illite/muscovite ages measured in the sediments from the Valley of 403 Lakes. The shift towards older ages can be explained by a minor contribution of Neoarchean 404 rocks from the nearby Baidrag zone (~2.65 Ga old), which are comprised of high-grade 405 406 gneisses, charnockites and amphibolites. Both source areas coincide with the heavy mineral spectra and gravel lithologies of the Valley of Lakes sediments (Höck et al., 1999). 407

408 Assuming the detrital illite/muscovite in the Valley of Lakes sediments is a mixture of eroded, metamorphosed and/or intruded material from both source regions, a relative contribution of 409  $\sim$  95 % from the Burdgol zone and  $\sim$  5 % from the Baidrag zone to the total detrital mica 410 fraction can be calculated. Detrital silicate influx from the northernmost Bayan Khongor zone, 411 Dzag zone and Khangai zone is considered to be unlikely, as these source areas are geologically 412 younger (Ordovician to Cretaceous) (Teraoka et al., 1996). Mixtures of different proportions 413 of detritus from the Burdgol zone and some younger and older material are unlikely as well, as 414 constant source proportions over time would be required to explain the same ages for the four 415 investigated samples. This assertion is consistent with the conclusion drawn by Richoz et al. 416 (2017), who have argued that the overall sedimentation system and the sediment provenance 417 areas have not significantly changed in the considered timeframe. Therefore, the source area 418 419 relationships of the sediments from the Valley of Lakes are less complex than previously thought with most detritus delivered from the regionally adjacent northern areas located within 420 a 100 km range. Thus, variation in the chemical weathering indices outlined below most likely 421 record changes in the weathering conditions of the source rock areas rather than changes in the 422 sedimentary facies at the same time. 423

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### 425 5.2 Depositional environment

The poorly sorted, massive to partly cross-bedded sand and gravel beds of the Tsagaan Ovoo 426 427 Formation are interpreted as debris flow deposits in alluvial fans, according to the classification of Miall (1996) for fluvial sediments. These were generated during or soon after heavy rainfall 428 events, which caused the water-saturated regolith to move down slope (Hubert and Filipov, 429 1989). The finer, laminated layers with ripple marks, inverse to normal grading and channel 430 431 fills deposited in-between the coarser clastic beds represent the background sedimentation in the upper Eocene, i.e., braided river deposits developed in close vicinity to propagating alluvial 432 433 fans (Miall, 1996). Imbrications of pebbles, cobbles and clasts within these beds suggest a palaeo-current direction from north to south (Höck et al. 1999), which is consistent with major 434 sediment source areas in the northern Burdgol Zone. In contrast to Badamgarav (1993) and 435 Daxner-Höck et al. (2017), we found no petrographic-sedimentological evidence for lake or 436 playa sedimentation in the upper Eocene strata, which we attribute to the different sample types 437 considered: While Badamgarav (1993) and Daxner-Höck et al. (2017) identified efflorescent 438 salt crusts composed of halite, tepees and polygonal structures in some sedimentary layers, no 439 such structures were observed in the paleosol horizons of the same age. However, the scatter 440 in the  $\delta^{18}$ O isotopic composition of the soil carbonates, which has been attributed to varying 441 amounts of evaporation (Richoz et al., 2017), is consistent with a playa lake setting. 442

The poorly sorted, often horizontally bedded and fossiliferous clay-silt-sand(stone) beds of the Hsanda Gol Formation were deposited in a complex environment: the finer beds have likely been developed in ephemeral lakes or braided rivers systems draining proximal alluvial fans, as indicated by the presence of channel sand bodies with basal channel scour lags and crossbedded sand fill. The sandier beds are interpreted as open steppe deposits, which have been temporarily affected by ephemeral river and playa lake sedimentation (Miall, 1996), as it can be inferred from occasional mud cracks and salt crusts (halite; Höck et al., 1999). On the

contrary, Sun and Windley (2015) have proposed an eolian origin for the Oligocene sediments 450 and interpreted them as loess deposits, which were transported by westerly winds, based on 451 452 REE patterns and comparison with grain size distributions obtained from recent Loess deposits from Kansas (USA) and the Chinese Loess Plateau. Although we cannot exclude long-distance 453 transport and subsequent deposition of dust has contributed to at least a minor proportion to 454 the total basin fill of the Valley of Lakes, we found no petrographic evidence for any aeolian 455 456 influences, such as ripples, coarsening up laminae or climbing translatent strata, ventifacts, mud curls or even quartz grains with crescentic percussion marks (Kenig, 2006; Li et al., 2020). 457 458 The lithological variability of the Loh Formation (i.e., poorly sorted and highly fossiliferous clay-silt-sand-gravel beds deposited in alternate mode) can be best explained by a combination 459 of debris flow deposits in alluvial fans (coarse clastic material) and abandoned channel deposits 460 and waning flood sedimentation (fine clastic material) of a shallow, perennial flowing braided 461 river system Miall (1996). Imbrication of gravels and flow structures in the basalt III group still 462 indicate a palaeo-current direction from north to south (Höck et al., 1999), which suggests the 463 Burdgol Zone is the main source area at least up to the upper lower Miocene. 464

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466 5.3 Origin of hairy illite and Ilt-Smc

Höck et al. (1999) and Sun and Windley (2015) have proposed an aeolian origin or a coupled 467 aeolian-fluviatile origin for the finest fraction of the Valley of Lakes sediments, while Richoz 468 et al. (2017) concluded the finest fraction is authigenic and has been formed during or shortly 469 after the flows of the different basalt groups. However, in none of the above studies radiometric 470 ages of the clay fraction have been presented to confirm their assertions. Our XRD and SEM 471 study shows the clay mineral fraction of the Oligocene Hsanda Gol Formation is dominated by 472 hairy illite and subordinate flake-shaped Ilt-Smc, which cover detrital grains or grow into the 473 pore space (Fig. 4). All these features that are typical for authigenic illitic phases (Güven et al., 474

1980; Rafiei et al., 2020). The polytype analysis and K-Ar age dating reveal these illitic phases
have been precipitated between 34.2 and 25.2 Ma (Fig. 5), which (within uncertainty of the KAr age dating method we have used here) is well within the documented intrusion ages of the
basalt I group (32.4-29.1 Ma) and basalt II group (28.7-24.9 Ma) (Daxner-Höck et al., 2017)
and closely matches the biozonation reported in Harzhauser et al. (2017).

480 The origin of Ilt-Smc in the Valley of Lakes sediments is difficult to constrain: it could have been formed during low temperature pedogenesis from smectite or kaolinite precursors of 481 482 'zero' age (Huggett et al., 2016), which were deposited due to wind (allochthonous clay source) 483 or soil water (autochthonous source) action, through a dissolution-(re)precipitation mechanism. Pedogenic degradation of detrital illitic minerals to produce Ilt-Smc under acidic conditions at 484 low temperature has also been observed (Meenakshi et al., 2020). Contrary, several published 485 studies question a low temperature origin of Ilt-Smc in sedimentary successions: Ilt-Smc found 486 in paleosols from the Illinois Basin was shown to be the alteration product of siliceous parental 487 488 phases, which interacted with hydrothermal brines generated during burial diagenesis rather than of ancient soil formation processes (McIntosh et al., 2020). Środoń (1984) concluded that 489 smectite and Ilt-Smc phases are relatively stable in surface-near surroundings until the elevated 490 491 temperatures of deep diagenesis are reached, which is consistent with slow kinetics of smectite illitization calculated for shallow buried sediments and/or low temperature settings (Cuadros, 492 2006). In the case of the Valley of Lakes sediments, the relatively low Ilt content in Ilt-Smc 493 (~10-30 % Ilt layers) and the stratigraphic age-progression of the authigenic illitic phases up-494 section in the sedimentary succession may indicate a pedogenic origin of the Ilt-Smc. 495

Contrary to the Ilt-Smc, a pedogenic origin of the hairy illite is unlikely, because the formation
of this mineral phase requires temperatures well around 100 °C (Güven et al., 1980; Nadeau et
al. 1985; Baldermann et al., 2017), which is unrealistic high to occur in a developing soil profile
that has experienced a maximum burial depth of only a few hundred meters (Richoz et al.,

2017). The high Ilt content (> 95 % Ilt layers) and the hairy appearance of the illite argue for a 500 formation at elevated temperatures, which likely developed simultaneously or shortly after the 501 prominent and recurrent basalt flows, consistent with a basalt-mediated diagenesis. Under such 502 conditions, pore fluids rich in  $K^+$ ,  $Al^{3+}$  and silicic acid are generated through the dissolution of 503 unstable components, such as feldspar, which subsequently infiltrated the poorly consolidated 504 (porous) Valley of Lakes sediments, thereby promoting the direct precipitation and growth of 505 506 hairy illite in open pores (Fig. 4) and/or the hydrothermal alteration of pre-existing pedogenic Ilt-Scm to hairy illite (Baldermann et al., 2017). This mechanism is applicable to explain the 507 508 shift of the chemical data towards the K pole in the A-CN-K ternary diagram (Fig. 6).

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- 510 5.5. Palaeo-climate and weathering conditions

Climatic conditions are a primary control of the intensity and type of terrestrial weathering 511 processes, where humid periods favor chemical weathering and arid periods favor physical 512 weathering (Chamley, 1989). Analogously, hydroclimatic conditions take a key control on the 513 intensity of pedogenic processes, which can be recorded in the  $\delta^{13}$ C and  $\delta^{18}$ O isotopic signature 514 of authigenic carbonates (i.e., calcrete in paleosols), where wetter conditions favor an excursion 515 towards lighter  $\delta^{13}$ C and  $\delta^{18}$ O values and drier conditions favor an excursion towards heavier 516  $\delta^{13}$ C and  $\delta^{18}$ O values (Richoz et al., 2017). Hence, variations in chemical weathering indicators 517 (CIA, PIA and CIW) and in the  $\delta^{13}$ C and  $\delta^{18}$ O profiles of soil carbonates across a sedimentary 518 519 succession can be used to trace and assess fluctuations in the climatic conditions that prevailed in the source areas and in the sedimentary basin at the time of sediment deposition, and during 520 pedogenesis (Nesbitt and Young, 1982; Bahlburg and Dobrzinski, 2011; Fischer-Femal and 521 Bowen, 2020; Kelson et al., 2020; Zamanian et al., 2021). The formation of soil carbonates is 522 a highly complex process that can complicate the interpretation of their  $\delta^{13}$ C and  $\delta^{18}$ O isotopic 523 values (Richoz et al., 2017), as global climatic trends may be overprinted by regional factors, 524

such as contamination with detrital carbonates, dolomitization, meteoric diagenesis, maturation or oxidation of organic matter, dis-equilibrium conditions between atmospheric (or biogenic) CO<sub>2</sub> and soil solution, evaporation, basalt hydrothermalism, etc. (Kaufman and Knoll, 1995; Kent-Corson et al., 2009; Caves et al., 2014; Li et al., 2016; Baldermann et al., 2020; Li et al., 2020). However, if considering that the pristine soil carbonate  $\delta^{13}$ C and  $\delta^{18}$ O isotopic signature is almost well preserved in the Valley of Lakes sediments, their use for palaeo-environmental reconstructions is possible.

The analysis of the  $\delta^{13}$ C and  $\delta^{18}$ O isotopic profiles recorded in the soil carbonates from the 532 533 Valley of Lakes (~34-21 Ma) yielded the following palaeo-climatic trends, which are consistent with inverse shifts seen in the chemical weathering indices (dashed orange lines in Fig. 8), i.e., 534 periods with increased precipitation coincide with higher chemical weathering indices and vice 535 versa. This inverse relation is a robust recorder of changing humid/arid climatic conditions in 536 an overall arid climate through the Cenozoic in Central Asia, if considering that the source 537 areas providing the silicate detritus have not changed over time in the investigated sedimentary 538 succession and that a stable sedimentation system had been established. Thus, changes in the 539 sedimentary facies, post-diagenetic impacts, basalt flow events or reginal tectonic activities are 540 only barely seen in the soil carbonate  $\delta^{13}$ C and  $\delta^{18}$ O isotopic profiles. Accordingly, during the 541 late Eocene to the earliest Oligocene comparatively humid to semi-arid climatic conditions 542 prevailed in Central Asia (phase i); biozone A to bottom part of biozone B; ~34-31 Ma), which 543 is followed by an early Oligocene aridification (phase ii); bottom part of biozone B; ~31 Ma) 544 and the establishment of more arid climatic conditions afterwards until the terminal Oligocene 545 (phase iii); upper part of biozone B to biozone C1-D; ~31-23.5 Ma). A shift back towards 546 comparatively humid to semi-arid climatic conditions is evident in the late Oligocene to earliest 547 Miocene (phase iv); transition between biozones C1-D and D; ~23.5-23 Ma), which is followed 548 by the establishment of these conditions in the early Miocene (phase v); biozone D; ~23-21 549

Ma). We note here that the atmospheric  $CO_2$  concentration decreased from 800 ppm to 200 550 ppm from ~33 to 22 Ma (Zhang et al., 2013), which should have shifted the soil carbonate  $\delta^{13}$ C 551 signatures towards lighter values. However, due to changes in aridification in Central Mongolia 552 at the same time, this trend is not seen in the data. Indeed, an increase in aridification results in 553 a restricted soil moisture content that can i) increase the  $\delta^{13}$ C value of soil carbonates, ii) causes 554 the plant productivity to decrease, which affects the ratio of atmospheric  $CO_2$  to soil respired 555 CO<sub>2</sub> and iii) reduce the formation depth of the soil carbonates and thus the relative contributions 556 of atmospheric CO<sub>2</sub> and soil-derived carbon (Cerling and Quade 1993; Caves et al. 2014). As 557 a consequence, the  $\delta^{13}$ C isotopic signature of the soil carbonate is linked to aridification pulses, 558 which also affects the weathering intensity of the sediment source areas, explaining the inverse 559 relation between the isotope record and the chemical alteration indices. On the contrary, large 560 changes in the  $\delta^{18}$ O isotopic record of pristine soil carbonates are not to be expected given that 561 the hydroclimatic variations are small in the semi-arid setting of the Valley of Lakes and that 562 most moisture is recycled (Caves et al., 2015; Chamberlain et al., 2014; Kukla et al., 2019; 563 Winnick et al., 2014). 564

Global cooling events established from  $\delta^{13}$ C and  $\delta^{18}$ O isotope records of marine deep-sea 565 sediments (Zachos et al., 2001; Gallagher et al., 2020), such as the Oi-1a/b Glaciation (~34-33 566 Ma) or the Oligocene Glacial Maximum (~28 Ma) are barely recorded in the soil carbonate 567  $\delta^{13}$ C and  $\delta^{18}$ O isotope profiles. However, they are visible by increases in chemical weathering 568 indices at exactly these time intervals (blue bars and arrows in Fig. 8) and correspond to 569 important faunal turnovers (Harzhauser et al., 2016). The early Oligocene aridification (~31 570 Ma) is seen by an excursion towards heavier isotopic values between ~55 and 60 m in the rock 571 record, but do not correspond to an important faunal turnover (Harzhauser et al., 2016). On the 572 contrary, the Oligocene warming event (~25 Ma), marked by an important extinction of the 573 mammal community, is not seen in the  $\delta^{13}$ C and  $\delta^{18}$ O isotopic profiles. However, in the interval 574

from ~87 to 92 m (upper part of biozone C1) an increase of all chemical weathering indices is evident, which we attribute to strong illitization and local overprinting of the pristine chemical signature of these sediments. The following Mi-1 Glaciation (~23 Ma) records high chemical weathering patterns, but shows the expected excursion towards lighter  $\delta^{13}$ C and  $\delta^{18}$ O isotopic values.

The reasons for the Cenozoic climate change are hotly debated in the literature, but a strong 580 581 decrease in atmospheric pCO<sub>2</sub> (Pagani et al., 2011; Anagnostou et al., 2016), major tectonic events, such as the collision of India with Asia and progressing exhumation of the Himalaya, 582 583 as well as re-adjustments in oceanic gateway configurations are widely considered to have altered the global ocean/atmosphere circulation patterns (Caves Rugenstein and Chamberlain, 584 2018). This resulted in large-scale shifts in Earth's climate at this time, which expressed, for 585 example, in the formation and expansion of the Antarctica ice-sheets and periods of intensified 586 chemical weathering on land (Zachos et al., 2001, and references therein). 587

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589 5.6 Hydroclimate and tectonics evolution in Central Asia

The links between the regional tectonic evolution and climate change in Central Asia have been 590 extensively studied over the past decades. Recently, Caves Rugenstein and Chamberlain (2018) 591 have concluded Central Asia has received moisture through the mid-latitude westerlies, 592 maintaining stable semi-arid to arid climatic conditions ever since the early Eocene, based on 593 the analysis of  $\delta^{18}$ O and  $\delta^{13}$ C isotope systematics of more than 7700 terrestrial authigenic 594 carbonate samples from across Asia. On the contrary, southern Tibet, the central Tibetan 595 Plateau, China and India dominantly received southerly monsoonal moisture, favoring more 596 597 humid climatic conditions in these regions compared to Central Asia (Ingalls et al., 2018; Sandeep et al., 2018). Our data support this viewpoint: consistently higher  $\delta^{18}$ O and  $\delta^{13}$ C values 598 measured for the soil carbonates from the Valley of Lakes (Fig. 8), compared to the surrounding 599

regions, indicate less precipitation and long-term, sustained arid climatic conditions prevailed 600 in the late Eocene until the early Miocene (Cerling and Quade, 1993; Kent-Corson et al., 2009; 601 Takeuchi et al., 2010; Caves et al., 2015; Li et al., 2016; Caves Rugenstein and Chamberlain, 602 2018). An influence of the height and extension of the Tibetan Plateau or the retreat of the 603 Paratethys on the hydroclimate in Central Asia at this time (An et al., 2001; Zhang et al., 2009) 604 is barely documented in the sedimentary record of the Valley of Lakes, but cannot be excluded, 605 606 which would express in monsoon-dominant environmental pattern and varying amounts of precipitation (Zhang et al., 2013). 607

The increase in the  $\delta^{13}$ C values of the soil carbonates in the Oligocene and the decrease in the 608  $\delta^{18}$ O values in the terminal Oligocene are ultimately linked to coupled effects arising from the 609 Cenozoic global cooling and the uplift of the Tian Shan and Altai from the early Neogene 610 onward, which caused changes in the seasonality and quantity of precipitation (Hendrix et al., 611 1994; Macaulay et al., 2016; Hellwig et al., 2017; Wang et al., 2020). The resultant effects on 612 the fractionation of  $\delta^{18}$ O and  $\delta^{13}$ C isotopes in soil carbonates are detailed in Caves Rugenstein 613 and Chamberlain (2018), but are directly related to the development and the establishment of 614 the Altai rain shadow front. As a consequence, on the leeward side of the Altai, sustained, long-615 term drying occurred, which is expressed by systematic changes seen in the isotope profiles 616 and chemical weathering indices (Fig. 8). Moreover, the progressive uplifting of the Hangay 617 mountains to the north ever since the early Oligocene also blocked Siberian moisture transport 618 to the northern Gobi, as it can be inferred from  $\delta^{13}$ C and  $\delta^{18}$ O isotope signatures recorded in 619 paleosol carbonates from different transects at the northern edge of the Gobi Desert and in the 620 lee of the Altai and Hangay mountains, and consequently contributed to the aridification of this 621 area (Caves et al., 2014; Sahagian et al., 2016; McDannell et al., 2018). This aridification led 622 to a concurrent extension of the Gobi Desert, causing shifts and turnovers in mammalian and 623 gastropod assemblages observed in soils of western Mongolia and in the adjacent eastern 624

Valley of Lakes basin at this time (Neubauer et al., 2013; Harzhauser et al., 2017; Barbolini et
al., 2020). We conclude the climatic and environmental evolution of Central Asia in the
Cenozoic was closely coupled to global climate change, regional tectonic events and adaptions
of the circulation pattern of the westerly winds, transporting less moisture to continental
Mongolia, which favored aridification.

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### 631 Acknowledgements

The authors acknowledge M. Hierz, J. Jernej, S. Perchthold and A. Wolf (Graz University of 632 633 Technology) and S. Šimić (Institute for Electron Microscopy and Nanoanalysis and Graz Centre for Electron Microscopy), who assisted us with the preparation and analysis of the 634 samples. R. Quezada-Hinojosa is greatly acknowledged for drawing the lithostratigraphic 635 profile and for providing the oxygen and carbon isotopic data. This research was funded by the 636 NAWI Graz Geocenter (Graz University of Technology). Field work and sample acquisition 637 was supported by the Austrian Science Fund (FWF) via P-23061-N19 to G. Daxner-Höck. We 638 thank our Mongolian and European team members for manifold support during the field work. 639

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# 641 Author contributions

A.B. wrote the manuscript. W.E.P. carried out field work and collected the samples. O.W. and S.R. provided the mineralogical and geochemical data. E.A. conducted the discriminant function analyses. K.W. provided the K/Ar ages. A.B., S.B., S.L., W.E.P. and S.R. characterized the palaeo-environment and interpreted the stable  $\delta^{13}$ C and  $\delta^{18}$ O isotope records. All authors contributed to the writing of the manuscript.

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### 648 Additional information

- 649 Supplementary materials are provided in the electronic appendix to this paper. Requests for
- 650 materials and correspondence should be addressed to A.B.

# 652 **Competing interests**

- All authors declare no competing interests.
- 654

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- 950 951
- 952 Figure Captions / Table Captions
- **Table 1:** Compilation of illite polytype quantification and K-Ar ages of grain size sub-fractions
- 954 of sediments collected from (a) TAT section (~90.5 m), (b) TGR-C section (~78.0 m), (c) SHG-
- 955 D section (~55.5 m) and (d) TGR-AB section (~35.0 m). The analytical error for the K-Ar age
- 956 calculations is given on a 95% confidence level  $(2\sigma)$ .

Sample	Size fraction	A(-112)	1M	A(114)	$2M_1$	$1 M_d$	K <sub>2</sub> O	<sup>40</sup> Ar*	40Ar*	Age	± 2SD
	[µm]	[cps·2θ]	[%]	[cps·2θ]	[%]	[%]	[wt.%]	[nl/g] STP	[%]	[Ma]	[Ma]
TAT	2-10	-	-	0.054	21	79	2.59	15.45	49.05	176.1	7.1
TAT	1-2	0.006	6	0.040	16	78	2.21	11.58	77.20	155.2	2.6
TAT	< 1	0.012	7	0.023	10	83	3.39	10.75	38.18	95.8	3.2
TGR-C	2-10	-	-	0.038	16	84	2.68	13.98	81.46	155.1	2.9
TGR-C	1-2	-	-	0.031	13	87	3.64	15.80	76.96	129.6	2.4
TGR-C	< 1	0.001	5	0.027	12	95	3.10	12.88	66.83	124.6	1.9
SHG-D	2-10	-	-	0.039	16	84	2.72	14.31	78.74	156.4	2.0
SHG-D	1-2	-	-	0.034	14	86	3.86	15.93	76.09	123.6	3.2
SHG-D	< 1	0.011	6	0.016	8	94	3.49	10.38	70.94	89.9	1.3
TGR-AB	2-10	-	-	0.032	14	86	3.83	17.29	84.05	134.8	3.4
TGR-AB	1-2	-	-	0.027	12	88	3.97	16.63	84.33	125.3	1.8
TGR-AB	< 1	0.032	9	-	0	91	0.64	0.70	10.52	33.9	3.2



Figure 1: (a) Location of the study site in the Taatsiin Gol region, a part of the Valley of Lakes,
in Mongolia (Central Asia). Altitude in meters is indicated on the right. (b) Geological map of
the Taatsiin Gol area within the Valley of Lakes with the sampling sites marked in alphabetical
order (modified after Daxner-Höck et al., 2017).



Figure 2: (a) Integrated lithostratigraphic profile of the investigated sedimentary succession
from the Taatsiin Gol region, Valley of Lakes (modified after Richoz et al., 2017), with
biozonation (modified after Harzhauser et al., 2017). (b-d) Field impressions of the sections
Hotuliin Teeg (HTE) with calichized basalt II group, Tatal Gol (TAT-E) sediments and Taatsiin
Gol right (TGR-B) section with basalt I group (modified after Daxner-Höck et al., 2017).



970 **Figure 3:** Averaged mineralogical composition (in wt%) of the sediments from the (a) upper

971 Eocene Tsagaan Ovoo Formation, (b) Oligocene Hsanda Gol Formation and (c) lower Miocene

972 Loh Formation from the Valley of Lakes, determined by XRD analysis.



Figure 4: Secondary electron images of partly calichized and illitized silty to sandy deposits
from the of the Oligocene Hsanda Gol Formation, Valley of Lakes, collected from (a-b) TAT
section (~90.5 m), (c-d) TGR-C section (~78.0 m), (e-f) SHG-D section (~55.5 m) and (g-h)
TGR-AB section (~35.0 m). The detrital illite/muscovite (left panel) occurs as coarse, rounded
or pseudohexagonal platelets, whereas authigenic illite-smectite (Ilt-Smc) and hairy illite (right
panel) appear either as fine, flaky to irregular particles or as long, but thin laths and fibers, both
covering detrital grains or growing into the open pores.



**Figure 5:** Crystallization ages of detrital  $2M_1$  illite/muscovite and of authigenic  $1M_d/1M$  illite and illite-smectite (Ilt-Smc) from the Valley of Lakes, calculated for sediments collected from (a) TAT section (~90.5 m), (b) TGR-C section (~78.0 m), (c) SHG-D section (~55.5 m) and (d) TGR-AB section (~35.0 m) using illite polytype quantification and K-Ar age systematics of different grain size sub-fractions (from left to right: < 1 µm, 1-2 µm and 2-10 µm).



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**Figure 6:** Al<sub>2</sub>O<sub>3</sub>-(CaO\*+Na<sub>2</sub>O)-K<sub>2</sub>O (A-CN-K) ternary diagram of Nesbitt and Young (1984) showing the compositional ranges of sediments from the Valley of Lakes, from the bottom to the top, from (a) upper Eocene Tsagaan Ovoo Formation, (b) Oligocene Hsanda Gol Formation and (c) lower Miocene Loh Formation. Note that most samples are shifted to the K pole of the diagram, which indicates a post-depositional enrichment of K<sub>2</sub>O due to illitization. The composition of Upper Continental Crust (UCC), Average Proterozoic Shale (APS) and Post-Archean Australian Shale (PAAS) are included for comparison.



Figure 7: Discrimination plot of discriminant function 1 and 2 indicating a narrow provenancerange (mainly type P4-quartzose) for the sediments from the Valley of Lakes, Mongolia.



Figure 8: Lithostratigraphic framework of the sediments from the Valley of Lakes (Mongolia, 999 Central Asia) showing the biozonation (modified after Harzhauser et al., 2017) and formation 1000 ages of authigenic illitic (Ilt) phases obtained in this study (red asterisks), as well as soil 1001 carbonate  $\delta^{18}$ O and  $\delta^{13}$ C isotope profiles and shifts in the silicate mineral-derived chemical 1002 weathering indicators. Note that these hydroclimate proxies are inversely correlated and follow 1003 long-term trends (indicated by orange dashed lines) in aridification or gain of humidity in this 1004 1005 region (indicated by black arrows). Increased chemical weathering degrees (highlighted with blue bars and blue arrows) coincide with glaciation events documented in time-equivalent 1006 marine deep-sea deposits (Zachos et al., 2001; Gallagher et al., 2020). Samples and intervals 1007 outlined with grey circles are most likely modified due to the flows of the basalt I and II groups 1008 or local strong illitization, and are therefore excluded from the palaeo-climatic interpretation. 1009