

1 **Expression of the “4.2 ka event” in the southern Rocky**
2 **Mountains, USA**

3

4

5 David T. Liefert¹, Bryan N. Shuman¹

6 1) Department of Geology and Geophysics, University of Wyoming, Laramie, WY 82070, USA

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24 *Correspondence to:* David T. Liefert (dliefert@openspace.org)

25 **Abstract**

26 The use of the climatic anomaly known as the “4.2 ka event” as the stratigraphic division
27 between the mid- and late Holocene has prompted debate over its impact, geographic pattern,
28 and significance. The anomaly has primarily been described as abrupt drying in the Northern
29 Hemisphere at ca. 4 ka (ka, thousands of years before present), but evidence of the hydroclimate
30 change is inconsistent among sites, both globally and within North America. Climate records
31 from the southern Rocky Mountains demonstrate the challenge with diagnosing the extent and
32 severity of the anomaly. Dune-field chronologies and a pollen record in southeast Wyoming
33 reveal several centuries of low moisture at around 4.2 ka and prominent low stands in lakes in
34 Colorado suggest the drought was unique amid Holocene variability, but detailed carbonate
35 oxygen isotope ($\delta^{18}\text{O}_{\text{carb}}$) records from Colorado do not record drought at the same time. We find
36 new evidence from $\delta^{18}\text{O}_{\text{carb}}$ in a small mountain lake in southeast Wyoming of an abrupt
37 reduction in effective moisture or snowpack from approximately 4.2–4 ka, which coincides in
38 time with the other evidence of regional drying from the southern Rocky Mountains and the
39 western Great Plains. We find that the $\delta^{18}\text{O}_{\text{carb}}$ in our record may reflect cool-season inputs into
40 the lake, which do not appear to track the strong enrichment of heavy oxygen by evaporation
41 during summer months today. The modern relationship differs from some widely applied
42 conceptual models of lake-isotope systems and may indicate reduced winter precipitation rather
43 than enhanced evaporation at ca. 4.2 ka. Inconsistencies among the North American records,
44 particularly in $\delta^{18}\text{O}_{\text{carb}}$ trends, thus show that site-specific factors can prevent identification of the
45 patterns of multi-century drought. However, the prominence of the drought at ca. 4 ka among a
46 growing number of sites in the North American interior suggests it was a regionally substantial
47 climate event amid other Holocene variability.

48 **1. Introduction**

49 Rapid climate changes are well documented in the late Pleistocene and early Holocene,
50 such as during the Younger Dryas chronozone (ca. 12.9-11.7 ka, thousands of years before
51 present) and at 8.2 ka (Alley et al., 1997; Clark et al., 1999; Von Grafenstein et al., 1998), but
52 mid- to late-Holocene changes are less well understood (Wanner et al., 2008, 2011). One
53 potential abrupt change during this time, a multi-century climatic anomaly known as the “4.2 ka
54 event,” has been used as the benchmark for the stratigraphic division between the mid- and late
55 Holocene (Walker et al., 2019). Consequently, the 4.2 ka event has become a topic of scrutiny
56 with debate over its impact, geographic pattern, and significance (Bradley & Bakke, 2019;
57 Weiss, 2016, 2019). The ostensibly global event has primarily been described as a dry episode at
58 low and mid-latitudes (Booth et al., 2005; Nakamura et al., 2016; Di Rita & Magri, 2019;
59 Scuderi et al., 2019; Xiao et al., 2018). However, some regions show increased precipitation
60 (Huang et al., 2011; Railsback et al., 2018) or no change (Roland et al., 2014), as is consistent
61 with spatial variation expected from climate variability that shifts atmospheric waves and
62 dynamics.

63 The 4.2 ka event has been widely examined, but its cause and significance amid other
64 millennial-to-centennial climate variability during the Holocene remain unknown. Processes that
65 may have been involved in the event included changes in solar irradiance (Wang et al., 2005),
66 centennial-scale atmospheric circulations (Deininger et al., 2017), and latitudinal shifts in the
67 Intertropical Convergence Zone (Tan et al., 2008). Recent model simulations have produced
68 similar patterns of extended drought in the northern hemisphere without external forcings such as
69 insolation changes or volcanism (Yan & Liu, 2019), and others confirm that multi-decadal
70 megadroughts can arise through internal climate variability without changes in boundary

71 conditions (Ault et al., 2018). Internal climate dynamics and feedbacks could also interact with
72 stochastic variability and external forcing to produce such events without consistent or linear
73 relationships to the forcing; forcing may only have a modest probability of triggering rapid
74 climate changes (Renssen et al., 2006). Less clear is how unusual or frequent prolonged
75 ‘megadroughts’ may be within the Holocene across different regions.

76 That such droughts can occur stochastically indicates the 4.2 ka event could be an
77 example of typical late-Holocene climate variability at multi-century time scales (Shuman &
78 Burrell, 2017), even if the event was exceptional within the spectrum of Holocene variability in
79 some regions. For example, the event is recorded in the northeastern United States as one
80 drought period within a series of Holocene wetting and drying events (Newby et al., 2014;
81 Shuman et al., 2019; Shuman & Burrell, 2017); evidence for a major hydroclimate change at ca.
82 4 ka has been growing in the North American midcontinent (Booth et al., 2005; Carter et al.,
83 2018; Dean, 1997; Denniston et al., 1992; Halfen & Johnson, 2013; Jiménez-Moreno et al.,
84 2019). However, the event’s significance or uniqueness has been difficult to verify in this region
85 because few sites document the anomaly compared to other regions of the mid-latitudes globally
86 (Ran & Chen, 2019; Zhang et al., 2018).

87 Records from the southern Rocky Mountains of North America demonstrate the
88 challenge. In the mid-latitude Rocky Mountains, only dune-field chronology and pollen records
89 have been explicitly interpreted to show the 4.2 ka event while other record types, such as stable
90 isotopes, have not. Initial recognition in North America derived from the timing of the
91 reactivation of the Ferris, Seminoe, and Casper Dune Fields in southeast Wyoming (Fig. 1, Table
92 1; Booth et al., 2005; Halfen et al., 2010; Stokes & Gaylord, 1993), but the extent of the drought
93 has been unclear because other dune-field chronologies in the adjacent western Great Plains do

94 not clearly document the drought (Dean, 1997; Halfen & Johnson, 2013; Mason et al., 1997).
95 More recently, Carter et al. (2013, 2017a, 2018) used fossil pollen from Long Lake in the
96 Medicine Bow Mountains, south of the Wyoming dune fields (Fig. 1, Table 1), to identify a 150-
97 year interval of increased temperature and decreased precipitation centered at 4.2 ka. The
98 inferred precipitation reductions were largest in springtime (Carter et al., 2018), when snowfall
99 in the southern Rocky Mountains is highest today (Mock, 1996). Consistent with this
100 interpretation, stratigraphic evidence of lake-level changes in Colorado and Wyoming lakes
101 could indicate that low-water phases at ca. 4.2 ka were one of the most prominent hydrologic
102 changes during the Holocene (Jiménez-Moreno et al., 2019; Shuman et al., 2009; Shuman et al.,
103 2014, 2015). The drying event stands out as one of the only multi-centennial features in a
104 summary of low lakes in the Rocky Mountains during the late-Quaternary (Shuman and
105 Serravezza 2017).

106 By contrast, the 4.2 ka event does not appear in stable oxygen isotope records from lakes
107 in the same region, such as detailed carbonate- $\delta^{18}\text{O}$ ($\delta^{18}\text{O}_{\text{carb}}$) records from Bison and Yellow
108 lakes, Colorado (Fig. 1, Table 1; Anderson, 2011, 2012). Widely applied conceptual models of
109 lake-isotope systems indicate that climate-driven changes in the stable isotope composition of
110 lake water become archived in lacustrine carbonates (e.g., Anderson et al., 2016; Leng &
111 Marshall, 2004; Talbot, 1990). According to such models, site-specific hydrologic controls on
112 isotope budgets and the timing of carbonate formation should also play an important role in how
113 the 4.2 ka event was recorded, but the isotopic response should vary predictably by hydrologic
114 setting: long lake-water residence times and high evaporation cause hydrologically closed lakes
115 (i.e., terminal basins) to record shifts in effective moisture (precipitation – evaporation) because
116 endogenic carbonates typically precipitate in evaporated, ^{18}O -rich water during the warm

117 summer months. Drought could drive a positive change in the isotope composition of lake water
118 within such a lake-isotope system by both increasing evaporation and changing seasonal
119 precipitation, such as by reducing snowpack. In hydrologically open lakes with short residence
120 times, the continual replacement of evaporated water creates isotopic sensitivity primarily to the
121 seasonal balance of precipitation without a strong evaporation effect. In either model, $\delta^{18}\text{O}_{\text{carb}}$
122 tracks the isotope composition of lake water and its response to climate changes.

123 Many lakes fall somewhere between fully hydrologically open and closed and additional
124 site-specific influences may also override such expectations. Consequently, not all stable oxygen
125 isotope records from lakes may have been sensitive to the specific climate variables that changed
126 at 4.2 ka. Modern lake-water isotopic measurements (Fig. 2) can help to identify the relative
127 influences of different controls on the magnitude and range of lake-water $\delta^{18}\text{O}$, such as
128 groundwater fluxes and other seasonal dynamics that modify lake-water residence times. We
129 examine how the seasonality of carbonate formation could cause the relationships of lake-water
130 $\delta^{18}\text{O}$ to $^{18}\text{O}_{\text{carb}}$ to differ from the modern patterns observable in lakes today.

131 Here we present a new $\delta^{18}\text{O}_{\text{carb}}$ record from Highway 130 Lake (HL) in southeast
132 Wyoming near where other Holocene paleohydrological and paleoecological records have been
133 developed (Fig. 1, Table 1; Mensing et al., 2012; Minckley et al., 2012; Brunelle et al., 2013).
134 HL is an intermittently closed subalpine lake in the Medicine Bow Mountains, within 20 km of
135 Long Lake where fossil pollen indicates a prolonged ‘megadrought’ at 4.2 ka (Carter et al.,
136 2018). The lake is also <60 km from Upper Big Creek Lake, Colorado, where a prominent
137 paleoshoreline detected in geophysical surveys and cores indicates low water after 4.7 ka (Fig. 1,
138 Table 1; Shuman et al., 2015). Previous work at HL indicates a strong influence of evaporation
139 on the lake and the stable isotope composition of its water, which we compare with Bison and

140 Yellow lakes in Colorado (Fig. 2; Liefert et al., 2018). We discuss how dissimilarities in $\delta^{18}\text{O}_{\text{carb}}$
 141 among lakes, possibly driven by non-climatic factors, could complicate interpretations of the
 142 patterns of past hydroclimate changes including megadroughts and Holocene trends. Together
 143 these outcomes may clarify the timescales on which drought operates within a critical headwater
 144 area of North America, but also confirm that interpretations of past hydroclimate changes using
 145 $\delta^{18}\text{O}_{\text{carb}}$ may depend heavily on site-specific dynamics.

146

147 **Table 1.** Changes at ca. 4.2 ka inferred from North American climate records nearby Highway
 148 130 Lake, southeast Wyoming, USA.

Study	Site Name	Region	Climate Record	Change at ca. 4.2 ka
Carter et al., 2013; 2017a	Long Lake	Southeast Wyoming, USA	Fossil pollen	Warming/drying
Halfen et al., 2010	Casper Dune Field	Southeast Wyoming, USA	Dune-field chronology	Drying
Stokes & Gaylord, 1993	Ferris/Seminole Dune Field	Southeast Wyoming, USA	Dune-field chronology	Drying
Anderson, 2011	Bison Lake	East-central Colorado, USA	Lacustrine carbonate $\delta^{18}\text{O}$	No prominent change
Anderson, 2012	Yellow Lake	East-central Colorado, USA	Lacustrine carbonate $\delta^{18}\text{O}$	No prominent change
Shuman et al., 2015	Upper Big Creek Lake	North-central Colorado, USA	Sedimentary lake-level record	Drying
Shuman et al., 2009a	Little Molas Lake	Central Colorado, USA	Sedimentary lake-level record	Drying
Shuman et al., 2014	Emerald Lake	Central Colorado, USA	Sedimentary lake-level record	Drying

149

150

151 2. **Site description**

152 HL (41°21'05" N, 106°15'50" W; 3,199 m a.s.l. (above sea level)) fills a shallow
153 depression in the uneven terrain covering the Libby Creek watershed (12 km² surface area) in the
154 Snowy Range, a southwest trending subsection of the Medicine Bow Mountains in southeast
155 Wyoming (Fig. 1). Around HL, subalpine coniferous forests interspersed with open meadows
156 grow on thin glaciated soils and tills between the frequent outcroppings of the underlying
157 siliceous metadolomite (Houston & Karlstrom, 1992; Musselman et al., 1992). Southeast
158 Wyoming has a semi-arid climate, but the Medicine Bow Mountains receive about 1,000 mm of
159 precipitation each year, with approximately 70% of annual totals falling as snow from October to
160 June (Mock, 1996). Local average wind speeds are high (~5 m/s) and minimum winter and
161 maximum summer temperatures typically reach -23°C and 21°C, respectively (SNOTEL station
162 ID 367).

163 The surface watershed around HL occupies ~0.45 km², while the lake has a surface area
164 of ~0.02 km², a maximum (spring) water depth of ~200 cm, and declines in water level by ~30
165 cm from July to late October (Liefert et al., 2018). Ice covers HL from approximately October to
166 May and stream connections shut off in June following spring flooding. Measurements reveal no
167 thermal stratification because of the shallow water depth, flat-bottom bathymetry, and high
168 average wind speeds, which promote mixing throughout the water column (Bello & Smith, 1990;
169 Stewart & Rouse, 1976). Liefert et al. (2018) found that evaporation could account for as much
170 as 83% of the seasonal water loss at HL, though the stable water level and temperature compared
171 to nearby lakes of similar size and depth indicates shallow groundwater flow-through driven by
172 seasonal precipitation and infiltration (Rautio & Korkka-Niemi, 2011; Rosenberry & LaBaugh,
173 2008).

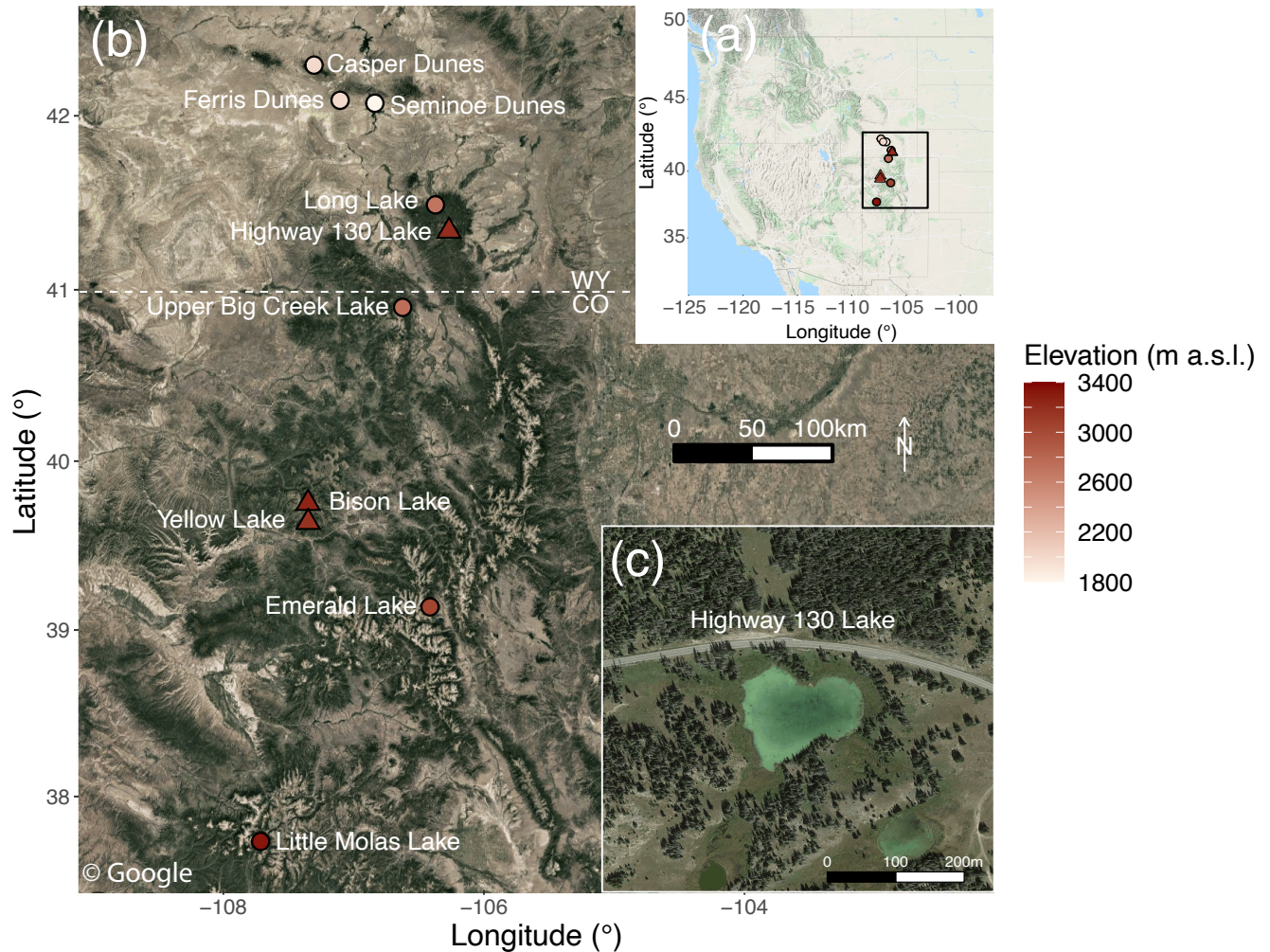


Figure 1. Locations of study site and related climate records. (a), Highway 130 Lake (triangle; this study) and related carbonate (triangles), dune-field chronology, pollen, and lake-level records (circles) lie within the southern Rocky Mountains, a critical headwater area in the western United States that contributes snowmelt to the Colorado and North Platte Rivers. (b), Study site locations in the Colorado Front Range and Medicine Bow Mountains, southeast Wyoming. (c), Highway 130 Lake lies within the Snowy Range, a subsection of the Medicine Bow Mountains. Google images (© Google Maps 2021) were acquired using the ggmap package in R (Kahle & Wickham, 2013).

176 3. **Methods**

177 To measure the modern oxygen and hydrogen isotope compositions of the lake water
178 ($\delta^{18}\text{O}$ and δD , respectively), temperature, and specific conductance, water samples were
179 collected at approximately biweekly intervals from June to October in each year from 2015–
180 2017. Additional samples of snowfall, snowpack, rain, and groundwater (from springs and wells)
181 were collected episodically from 2015–2017 to measure the range in water isotope values of the
182 watershed’s hydrologic components. Isotopic ratios of all water samples were measured at the
183 University of Wyoming Stable Isotope Facility using a Picarro L2130-I Cavity Ring Down
184 Spectrometer and specific conductance was measured using a YSI Multiparameter Water Quality
185 Meter. We report $\delta^{18}\text{O}$ and δD in the per mil (‰) notation relative to Vienna Standard Mean
186 Ocean Water (VSMOW). We acquired meteorological data from SNOTEL stations near HL at
187 Brooklyn Lake, Wyoming (ID 367; 3,121 m a.s.l.; 41.36 °N, -106.23 °W), and at Bison Lake,
188 Colorado (ID 345; 3,316 m a.s.l.; 39.76 °N, -107.36 °W), to compare the modern ratios of
189 snow/rain that control the seasonal balance of precipitation at the lakes.

190 In October 2016 we installed a pressure transducer (Onset HOBO U20 Level Data
191 Logger) to measure the water level and temperature of HL at 30-min intervals; freezing
192 conditions required that we secure the transducer to the lakebed inside a bladder filled with
193 antifreeze. To compensate for barometric pressure changes we adjusted the transducer data using
194 pressure measurements from the nearby Glacier Lakes Ecosystem Experiments Site Brooklyn
195 Tower Ameriflux site (GLEES Tower; US-GLE: <https://ameriflux.lbl.gov/sites/siteinfo/US-GLE>;
196 41°21'57" N, 106°14'23" W; 3,191 m a.s.l.). In late January 2017, we installed a conductivity
197 data logger (Onset HOBO U24 Conductivity Data Logger) at the same location and water depth
198 as the pressure transducer to measure the range in conductivity (converted to specific

199 conductance at 25 °C) at 30-min intervals of the unfrozen water underlying the ice cover to
200 examine the seasonal patterns of water chemistry that influence carbonate formation.

201 On the same day in January 2017, we collected a 70-mm diameter sediment core with a
202 modified Livingston piston corer from the center of HL where the combined water and ice depth
203 reached approximately 90 cm; we used this depth to calibrate the pressure transducer. The
204 organic and carbonate content of contiguous 1-cm intervals of the sediment core were measured
205 by weighing the residual sediment after burning the samples at 550 and 1000 °C, respectively.
206 After the 550 °C burn removed organic matter, we isolated one-cm³ sub-samples from each
207 interval for isotopic analysis; $\delta^{18}\text{O}_{\text{carb}}$ of samples burned were within the range of instrument
208 uncertainty ($\pm 0.2\text{‰}$) as those with organic removal using oxidizing agents (typically bleach),
209 indicating no additional fractionation. Each sub-sample was sieved using a 63- μm mesh to
210 isolate the fine fraction to be used for isotopic analysis using a Thermo Gasbench coupled to a
211 Thermo Delta Plus XL isotope ratio mass spectrometer at the University of Wyoming Stable
212 Isotope Facility. X-ray powder diffraction (XRD) confirmed that the samples contained only
213 microcrystalline calcite. We assume the calcite is predominantly autochthonous because the
214 underlying metadolomite likely provides the Ca ions needed for carbonate formation (if the
215 lacustrine carbonates were clastic deposits from metadolomite then its erosion should deposit
216 more dolomite than calcite) and evidence of biogenic calcite within the core is rare (ostracod
217 tests were present in less than 10 of the 300 samples). We report $\delta^{18}\text{O}_{\text{carb}}$ in the per mil (‰)
218 notation relative to the Vienna Pee Dee Belemnite (VPDB) standard. To calculate the
219 temperature-dependent fractionation for calcite formation and convert from VSMOW to VPDB,
220 we use equations from Leng and Marshall (2004).

221 We isolated sedimentary charcoal (>125 μm) from the sediment core for radiocarbon
222 analyses to estimate sedimentation rates. Radiocarbon samples were analyzed at the University
223 of California Irvine Keck Carbon Cycle facility. We calibrated the radiocarbon chronology to
224 calendar years using intcal13 (Reimer et al., 2013) and generated the age-depth model and
225 uncertainties using Bchron (Parnell et al., 2008) and geoChronR (McKay et al., 2021).

226

227 4. **Results**

228 4.1 *Modern water-chemistry and level measurements*

229 Lake-water $\delta^{18}\text{O}$ and δD in HL increased during the ice-free season from -17.8‰ and -
230 132‰ (sampled in late June) to -10.8‰ and -94.2‰ (sampled in late October), respectively
231 (black circles, Fig. 2). The slope of the line tracing the seasonal range in HL's lake-water
232 isotopes (thick black line, Fig. 2) traces the local evaporation line (LEL) defined by samples
233 from lakes in the Colorado Front Range (red dashed line, Fig. 2; Henderson & Shuman, 2009).
234 Several consecutive years of measurements reveal that water isotope values at HL are consistent
235 from year to year. The LEL's deviation from both the global meteoric water line (GMWL; Fig.
236 2) and isotope composition of the hydrologic inputs (open symbols, Fig. 2) indicates a strong
237 evaporative influence. $\delta^{18}\text{O}$ and δD values at HL also indicate stronger fractionation by
238 evaporation compared to representative warm-season isotope compositions measured at Bison
239 and Yellow lakes from June–September around 2010 (thick purple and yellow lines, Fig. 2;
240 Anderson, 2011, 2012), which remained closer to the composition of meteoric waters. Longer
241 lake-water residence time and higher evaporation in HL thus appear to produce a greater range of
242 warm-season isotope compositions compared to Bison and Yellow Lakes.

243 The different lake-water- $\delta^{18}\text{O}$ values among the lakes contrasts with their similar
244 seasonal precipitation patterns. The modern ratio of snow/rain, which can determine the mean
245 precipitation and lake-water $\delta^{18}\text{O}$, is comparable in the watersheds of HL and Bison Lake when
246 averaged from 1980–2019 (inset plot, Fig. 2). Other modern differences among the lakes, which
247 all have surface areas of $< 0.1 \text{ km}^2$, include that the maximum water depth of HL is several
248 meters shallower than Bison and Yellow Lakes (Anderson, 2012) and that the summer lake-
249 water temperatures in HL typically range from 8–12 °C, which is cooler than the epilimnion at
250 Yellow Lake (Anderson, 2012). HL is also several degrees cooler than nearby lakes also without
251 thermal stratification (Liefert et al., 2018).

252 Continuous measurements of specific conductance began in early February when the
253 combined water and ice depth reached approximately 90 cm (Fig. 3). Specific conductance
254 increased from 700 $\mu\text{S}/\text{cm}$ to 1,115 $\mu\text{S}/\text{cm}$ by early April while the lake surface was frozen. The
255 specific conductance fell below 500 $\mu\text{S}/\text{cm}$ as the lake flooded with snowmelt in early May.
256 Specific conductance ranged from 250–300 $\mu\text{S}/\text{cm}$ after the conductivity data logger was
257 removed in late June and before the lake froze over in the fall, and the water depth stayed
258 between 100–150 cm, which was low compared to previous years (Liefert et al., 2018).
259

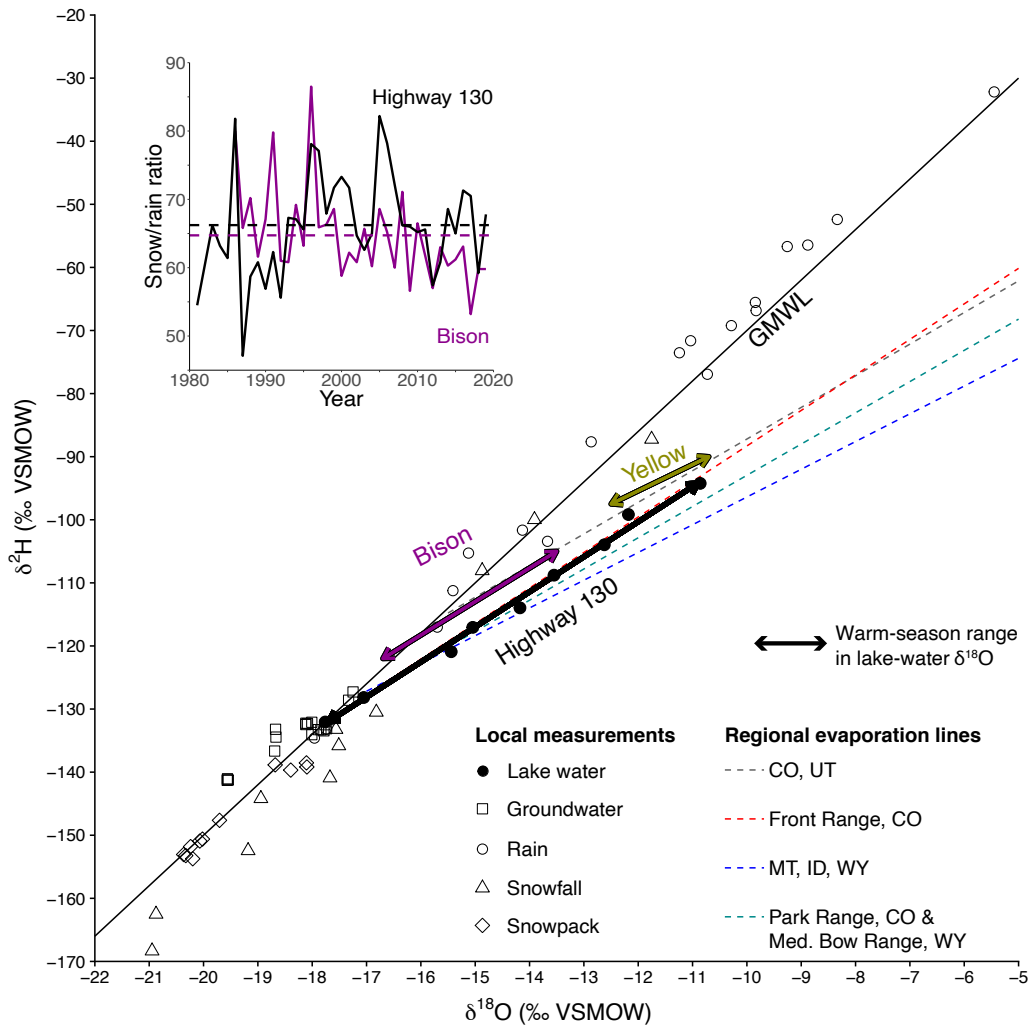


Figure 2. Modern measurements of $\delta^{18}\text{O}$ and δD . Samples from the study watershed shown here were collected throughout 2017. Regional evaporation lines (dashed lines; Henderson & Shuman, 2009; Anderson et al., 2016) intersect the global meteoric water line and represent the linear regression of lake-water isotope compositions in a region. Isotopic measurements from the study watershed (open symbols) show the range in isotope compositions of hydrologic inputs to Highway 130 Lake from the watershed. Arrows represent the range in modern $\delta^{18}\text{O}$ and δD values of Highway 130 Lake (black), Bison Lake (purple; Anderson, 2011), and Yellow Lake (yellow; Anderson, 2012) throughout the ice-free season, and black dots show the individual measurements at Highway 130 Lake. The inset plot shows the modern annual ratio of snow/rain for the SNOTEL stations nearest Highway 130 Lake (black line) and Bison Lake (purple line) and the dashed lines show the means.

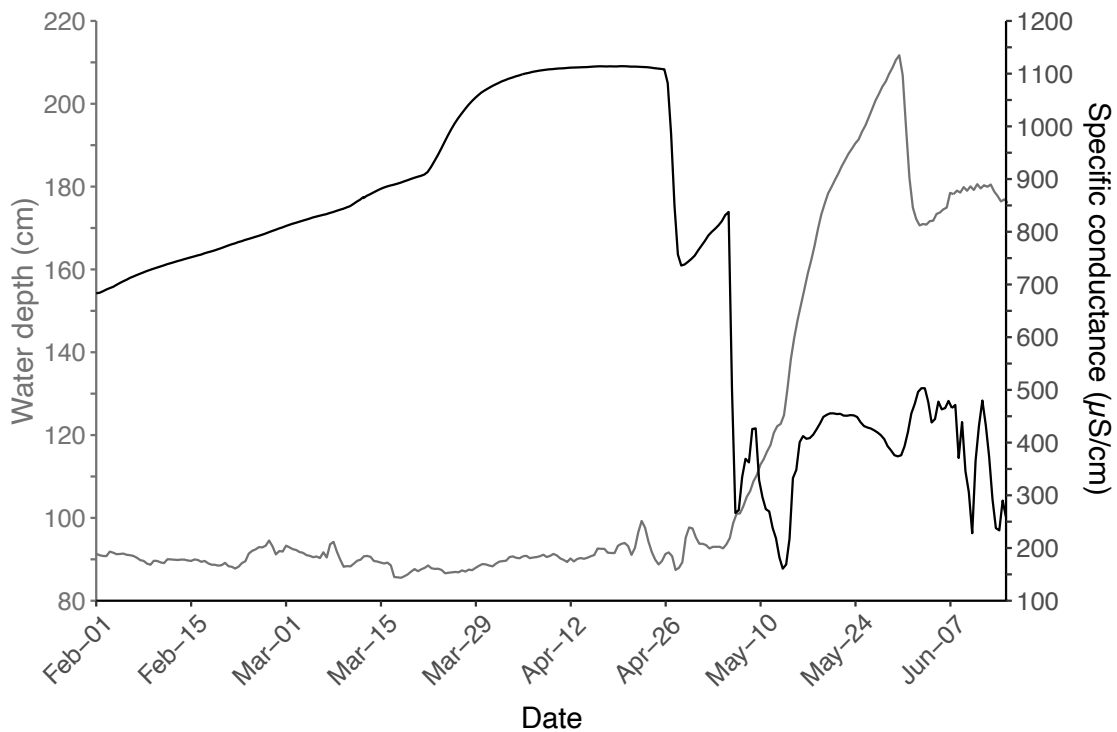


Figure 3. Measurements of water depth (gray line) and specific conductance (black line) at Highway 130 Lake in 2017.

261

262

263 **4.2 Sediment characteristics**

264 The 333-cm core from HL extends to at least the early Holocene and contains
 265 predominantly carbonate sediment underlain by silicate clays (Fig. 4). The upper 303 cm
 266 contains from 5–55% organics and 5–90% carbonate; the core above the basal 30 cm has a mean
 267 carbonate content of 65%. In the basal unit, the carbonate content drops below 5%, which was
 268 too low for isotopic analysis. The age-depth model (black line with 2-sigma gray uncertainty
 269 band, Fig. 4, Table 2) reveals average net sediment accumulation rates of 18 cm/kyr (thousand
 270 years) from 11.7–4.4 ka and 45.5 cm/kyr from 4.4 ka to present. High rates of net sedimentation

271 correspond with intervals of high carbonate flux into the lake, indicating that authigenic
 272 carbonate production may largely control sedimentation rates. The carbonate content and
 273 carbonate flux, representing the mass of carbonates deposited per unit area per year, increased
 274 simultaneously with the sedimentation rate at 4.4 ka (Fig. 4), but the percent carbonate content
 275 subsequently declined until 4.0 ka. The radiocarbon age at 119-cm depth (3.072 ± 0.03 ka) has
 276 an age similar to the date at 67-cm depth (3.031 ± 0.02 ka), which may indicate a reworked
 277 upper age (black dots in Fig. 4). However, high total sediment and carbonate accumulation rates
 278 are inferred even if the upper age was excluded from the age-depth model.

279

280

281 Table 2. Calibrated radiocarbon ages used for the age-depth model.

Lake	Core	Depth (cm)	Material	Lab number	Age (^{14}C yr BP)	Uncertainty ($1 \sigma, ^{14}\text{C}$ yr BP)	Calibrated age ranges (1σ , cal yr BP)		
							Median	Maximum	Minimum
Highway 130 Lake	2A	18	Charcoal	UCIAMS-194167	850	30	748	783	726
		67	Charcoal	UCIAMS-194168	2,900	20	3,033	3,070	2,996
	2B	119-121	Charcoal	UCIAMS-194169	2,925	15	3,073	3,144	3,004
		154-156	Charcoal	UCIAMS-194170	3,660	35	3,986	4,081	3,921
		193-195	Charcoal	UCIAMS-194171	3,840	20	4,241	4,290	4,157
		204	Charcoal	UCIAMS-194172	3,965	20	4,438	4,508	4,412
		239	Charcoal	UCIAMS-194173	6,210	60	7,096	7,132	7,007
		302	Charcoal	UCIAMS-194174	9,580	25	10,927	11,074	10,781

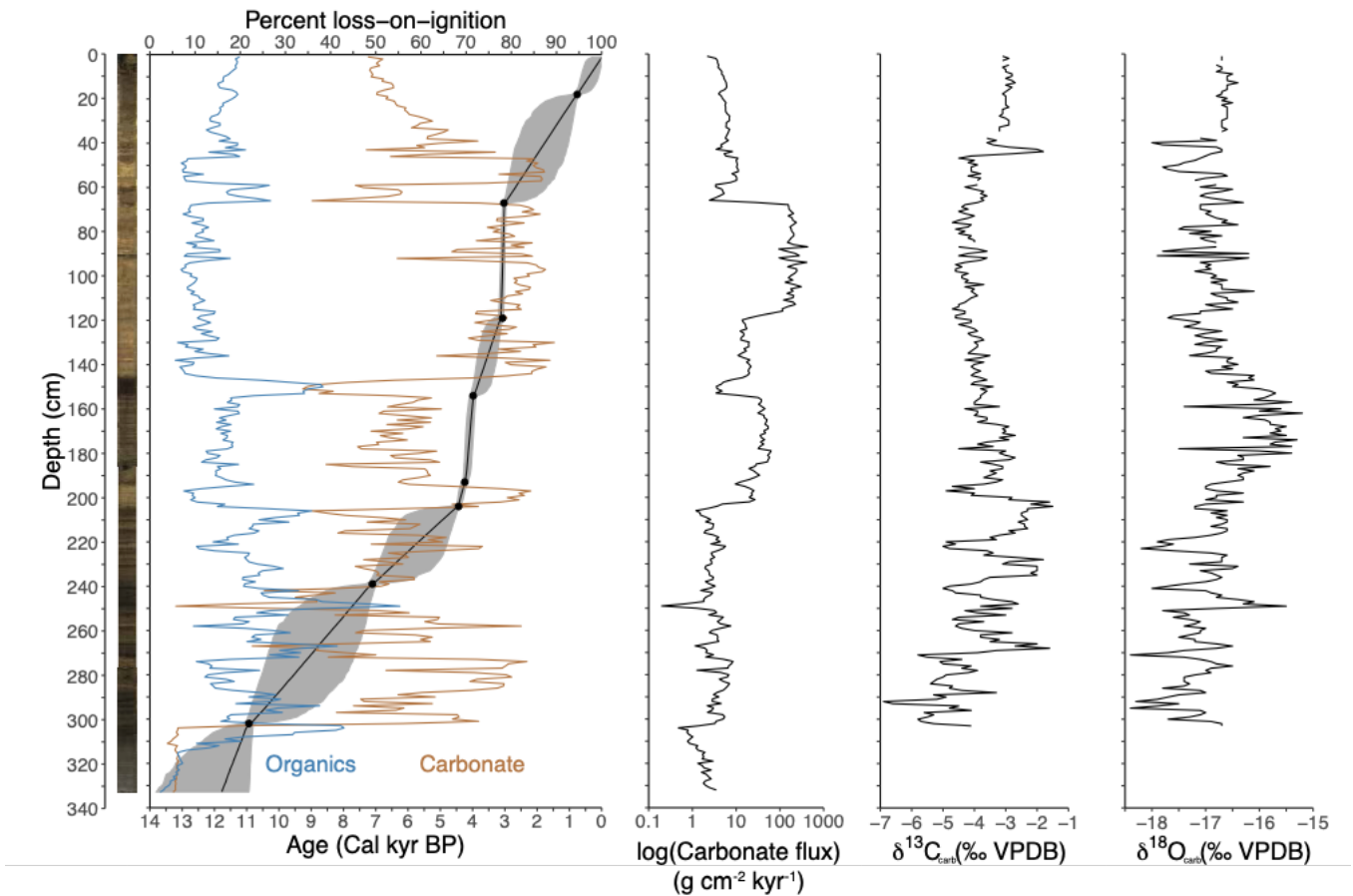


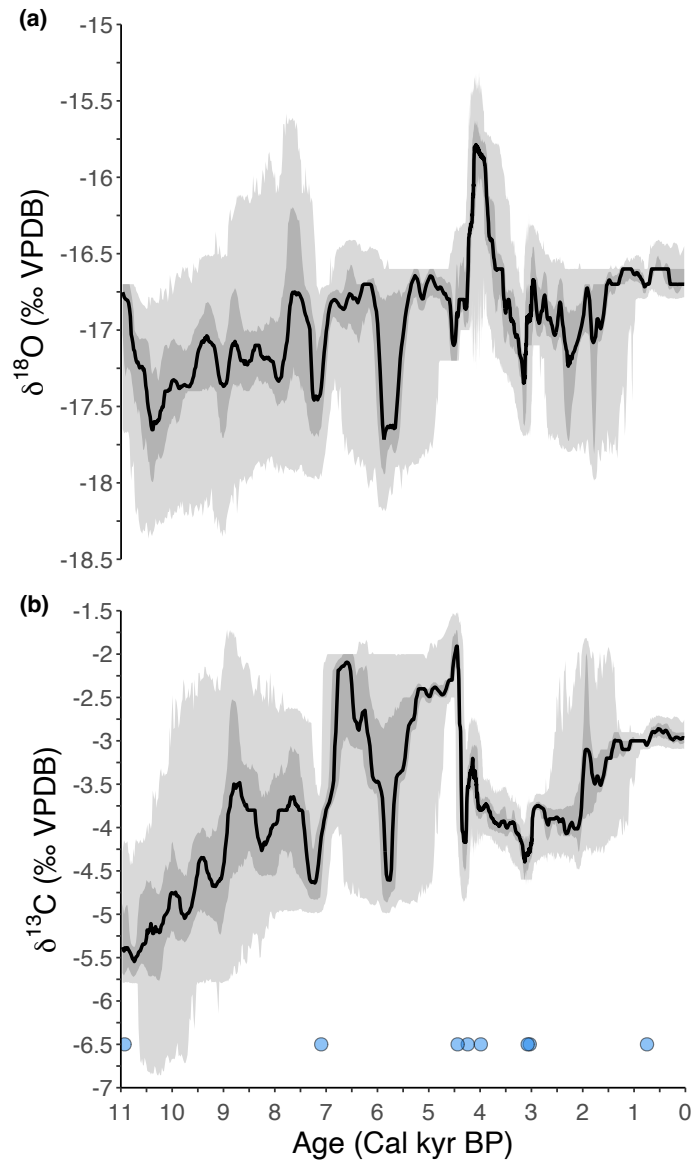
Figure 4. Percent organics, percent carbonate, carbonate flux, $\delta^{13}\text{C}_{\text{carb}}$, and $\delta^{18}\text{O}_{\text{carb}}$ are shown by depth alongside an image of the 333-cm-long sediment core from Highway 130 Lake. Radiocarbon ages (black dots) were used to create the age-depth model and gray uncertainty band (2 sigma).

283

284 *4.3 Sedimentary oxygen and carbon isotopes*

285 $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ in the upper 303 cm of sediment range from -6.9 to -1.5‰ and -18.4
 286 to -15.2‰, respectively, and the mean isotope compositions become more positive over the
 287 record, but there is no significant trend (Fig. 4). Variance in $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ is highest before
 288 4.4 ka (below 200-cm depth) and lowest since 1.5 ka (above 40-cm depth; Fig. 5). Isotope

289 excursions appear in both the slow and fast sedimentation intervals and when the carbonate flux
290 is both low and high (Fig. 4). $\delta^{18}\text{O}_{\text{carb}}$ peaks (2.9 standard deviations above the mean) from



291

Figure 5. $\delta^{18}\text{O}_{\text{carb}}$ (a) and $\delta^{13}\text{C}_{\text{carb}}$ (b) from Highway 130 Lake. The black line represents the median estimate of the ensemble regression, and the dark and light gray bands show the 50% and 95% highest-probability density regions, respectively. The blue dots indicate the calibrated radiocarbon ages used for the age-depth model (refer to Table 2 for calibrated age uncertainties).

292 approximately 4.2–4 ka, where four calibrated radiocarbon ages constrain the timing and indicate
293 a fast sedimentation rate (Fig. 5). The carbonate flux is high, but the carbonate content is low
294 (~55%) during this interval relative to the mean (Fig. 4).

295 Compared to the records for Bison and Yellow Lakes in Colorado (Anderson, 2011,
296 2012; Fig. 1), $\delta^{18}\text{O}_{\text{carb}}$ values of HL are several per mil lower with higher variance for most of
297 the Holocene (Fig. 6). This pattern changes in the late Holocene as carbonate in Bison Lake
298 becomes isotopically lighter than before and approaches the oxygen isotope composition of HL,
299 which maintains a relatively constant mean $\delta^{18}\text{O}_{\text{carb}}$ value. After approximately 1.5 ka, $\delta^{18}\text{O}_{\text{carb}}$
300 variability in HL drops to near the analytical uncertainty ($\pm 0.2\text{‰}$) while the other records show
301 increased variability (Fig. 6). Despite an increase in summer lake-water $\delta^{18}\text{O}$ from -17.8 to -10.8‰
302 today (thick black line, Fig. 2), $\delta^{18}\text{O}_{\text{carb}}$ values at HL since 1.5 ka only reached a maximum of -
303 16.4‰ and the core-top value is -16.7‰ (Fig. 5). Based on measured lake-water $\delta^{18}\text{O}$ and mean
304 water temperatures during the biweekly intervals in which samples were collected from June
305 through October, core-top $\delta^{18}\text{O}_{\text{carb}}$ at HL should range from -17.4 – -10.3‰ based on a standard
306 $\delta^{18}\text{O}$ -temperature model for estimating $\delta^{18}\text{O}_{\text{carb}}$ (Leng and Marshall, 2004); using the full range
307 of lake-water temperatures measured through the annual cycle produces a range of -18.3 – -
308 8.9‰.

309

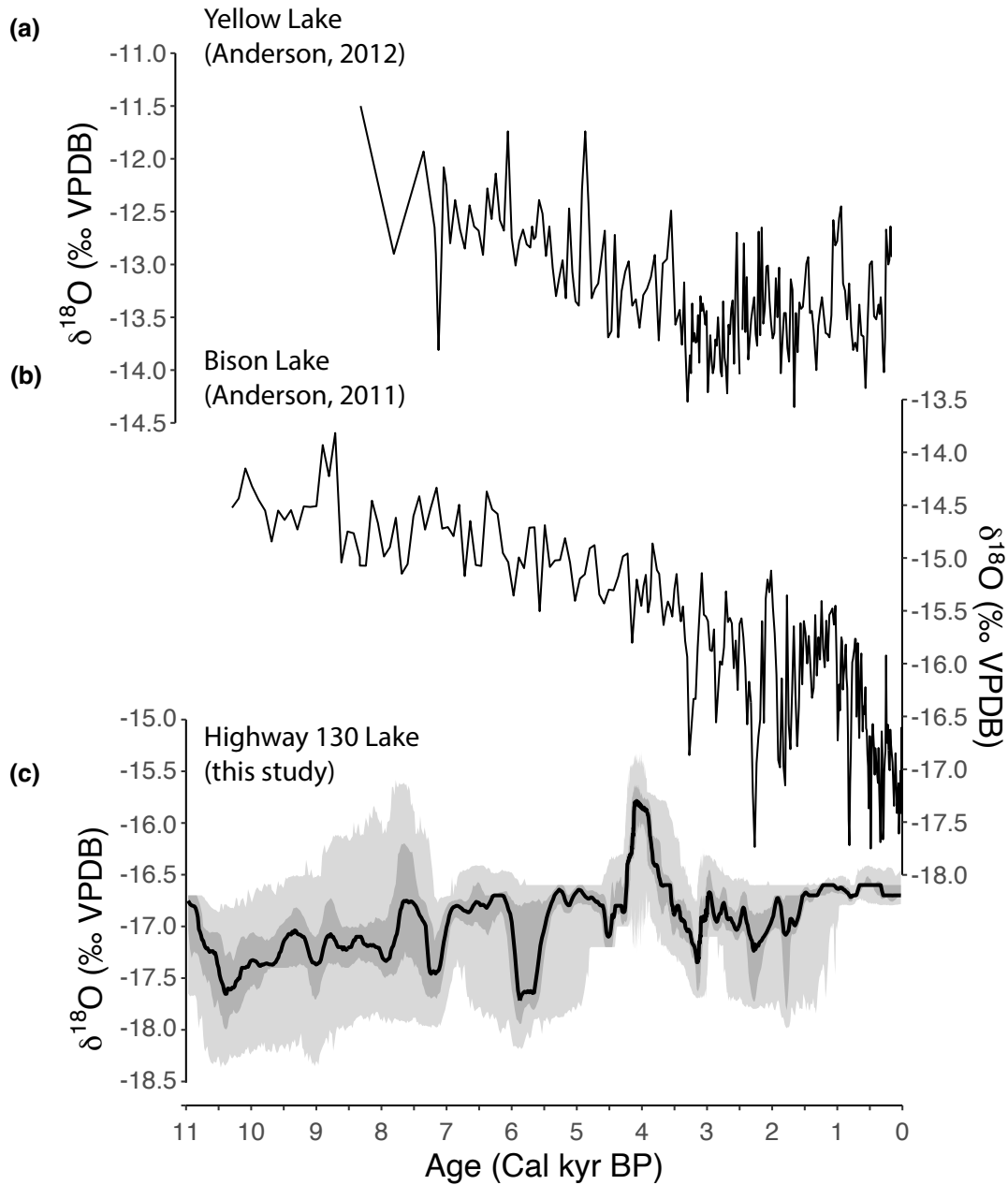
310

311

312

313

314



315

Figure 6. $\delta^{18}\text{O}_{\text{carb}}$ records from (a) Yellow Lake (Anderson, 2012), (b) Bison Lake (Anderson, 2011), and (c) Highway 130 Lake. In (c) the black line represents the median estimate of the ensemble regression, and the dark and light gray bands show the 50% and 95% highest-probability density regions, respectively.

316

317 5. **Discussion**

318 ***5.1 Evidence of the 4.2 ka drought in the southern Rocky Mountains***

319 Peak $\delta^{18}\text{O}_{\text{carb}}$ in HL indicates an abrupt decline in effective moisture or at least a decline
320 in the ratio of snowfall to rain in the Medicine Bow Mountains from approximately 4.2–4 ka
321 (Fig. 5) when evidence from additional climate records shows that aridity affected the southern
322 Rocky Mountains and portions of the Great Plains (Carter et al., 2013; Halfen & Johnson, 2013;
323 Stokes & Gaylord, 1993). The isotope composition of mean annual precipitation potentially
324 became heavier as snowfall declined relative to rain, causing HL's lake-water $\delta^{18}\text{O}$ and $\delta^{18}\text{O}_{\text{carb}}$
325 to increase. High evaporation could have also amplified these changes. The highest $\delta^{18}\text{O}_{\text{carb}}$
326 values at HL coincide with the pollen-inferred precipitation and temperature changes at 4.2 ka at
327 Long Lake, which records two centuries of severe drought (Long Lake, Fig. 1; Carter et al.,
328 2013). The excursion also aligns with the longstanding evidence of drought in the Great Plains
329 and southern Rocky Mountains (dune fields, Fig. 1), where a rapid loss of grain-trapping
330 vegetation likely triggered several centuries of increased aeolian transport documented across
331 multiple dune fields (Booth et al., 2005; Forman et al., 2001; Halfen et al., 2010; Stokes &
332 Gaylord, 1993).

333 Taken together, the records suggest that rapid drying at around 4.2 ka was an important
334 climatic event in the Medicine Bow Mountains even if the drought is not a prominent feature in
335 other paleoclimate studies from the mid-latitude Rocky Mountains (Anderson et al., 2008;
336 Brunelle et al., 2013; Feiler et al., 1997; Johnson et al., 2013; Mensing et al., 2012; Minckley et
337 al., 2012; Shuman et al., 2010; Thompson et al., 1993; Whitlock & Bartlein, 1993), including the
338 nearby $\delta^{18}\text{O}_{\text{carb}}$ records from Bison and Yellow Lakes (Anderson, 2011, 2012). The spatial
339 patterns of late-Holocene hydroclimate changes in North America may have been complex

340 compared to other regions, such as the European continent where late-Holocene climate
341 variability appears more coherently in climate records (e.g., Deininger et al., 2017). For example,
342 drought status can differ significantly east and west of the Continental Divide, which lies
343 between HL and Bison Lakes. Still, the inconsistent evidence complicates interpretations of the
344 4.2 ka anomaly here and elsewhere (Bradley & Bakke, 2019).

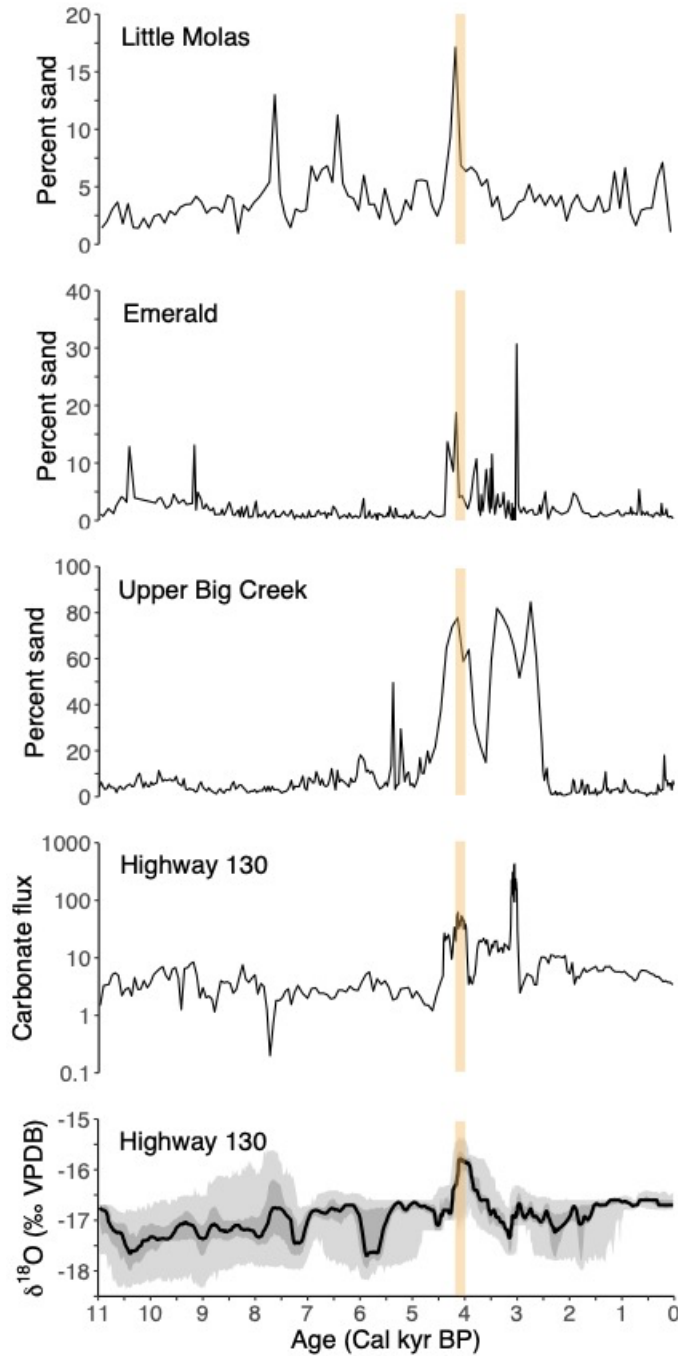
345 Some paleohydrologic evidence indicates, however, that the event may have been
346 extensive in the southern Rocky Mountains. Sedimentological changes in Little Molas, Emerald,
347 and Upper Big Creek lakes, all high-elevation lakes in Colorado (Fig. 1, Table 1), show
348 substantial hydrological transformation at around 4 ka matching the timing and scale of drought
349 inferred from HL's record (Fig. 7; Shuman et al., 2009a, 2014, 2015). The sediment
350 stratigraphies in these three lakes record low water levels that shifted shoreline sands to the
351 locations of cores collected in 1–5-m water depth today and thus indicate reduced effective
352 moisture at ca. 4.2–4 ka (orange shaded regions, Fig. 7). The median ages of sand layers,
353 indicative of low water at these sites, overlap and fall within the age distribution of the elevated
354 $\delta^{18}\text{O}_{\text{carb}}$ at HL and overlap with the ages of dune activity in southeast Wyoming (Halfen &
355 Johnson, 2013; Stokes & Gaylord, 1993); the high-elevation lake locations and geophysical site
356 surveys confirm that the shallow-water sands were not deposited by aeolian activity. Multiple
357 radiocarbon ages also constrain the interval of high carbonate accumulation to approximately
358 4.4–3 ka, but the sedimentation rate in the interval is sensitive

359

360

361

362



363

364

Figure 7. Spikes in the sand content of Little Molas, Emerald, and Upper Big Creek Lakes, located in high-elevation watersheds in Colorado (Fig. 1), align with the positive $\delta^{18}\text{O}_{\text{carb}}$ excursion at Highway 130 Lake and indicate low water from approximately 4.2–4 ka (orange shaded areas) resulting from low effective moisture (Shuman et al., 2009a, 2014, 2015).

365 to removal of one of the ages; if the age at ca. 3 ka is out of sequence, it may bias the peak rate of
366 sediment and carbonate accumulation toward high values (but not the timing of the $\delta^{18}\text{O}_{\text{carb}}$ peak,
367 Figures 4-7).

368 The rapid transition from deep-water muds to shallow-water sands as water levels
369 dropped in the Colorado lakes at around 4.2 ka corresponds with changes in pollen assemblages
370 in central Colorado (Jiménez-Moreno et al., 2019) and southeast Wyoming (Carter et al., 2013),
371 as well as with other evidence for drought in North America (Fig. 1, Table 1; Booth et al., 2004).
372 Similar sedimentological features found in lakes along the Atlantic margin from Maine to
373 Pennsylvania date to around 4.2 ka, for example, where the drought appears as one of multiple
374 events linked to circulation changes over the North Atlantic (Li et al., 2007; Marsicek et al.,
375 2013; Newby et al., 2014; Nolan, 2020; Shuman et al., 2019; Shuman & Burrell, 2017). The
376 sequences in the southern Rocky Mountains, however, include uniquely prominent
377 sedimentological changes from ca. 4.2–4 ka, which align with the single large positive $\delta^{18}\text{O}_{\text{carb}}$
378 excursion at HL.

379 Given the growing evidence of drought within the southern Rocky Mountains at ca. 4.2
380 ka, a lack of $\delta^{18}\text{O}_{\text{carb}}$ records of the event in the region, or in North America entirely, is surprising
381 (Anderson et al., 2016b; Konecky et al., 2020). However, individual sites respond to a varying
382 mixture of local and regional factors. The stratigraphic evidence of lake-level change in the
383 region is not entirely consistent either and may indicate interactions with different directions of
384 hydroclimate change across seasons, elevations, and latitudes. Stratigraphic features in Hidden
385 Lake, located in northern Colorado just south of Upper Big Creek Lake (Fig. 1) but several
386 hundred meters lower in elevation, document a rapid increase in effective moisture at around 4
387 ka (Shuman et al., 2009)—the opposite response of the surrounding lakes at higher elevations

388 (Fig. 7). The wet phase was abrupt in onset and termination and lasted from around 4.4–3.7 ka,
389 based on multiple radiocarbon ages, and stands out amid an otherwise gradual trend towards
390 higher water levels since 6 ka (Shuman et al., 2009).

391 The low-elevation location of Hidden Lake may indicate an important role for increased
392 summer or fall rainfall when high-elevation lake levels declined in response to low winter
393 snowfall. Low winter snow can create favorable surface-energy conditions for strong summer
394 convective precipitation (Zhu et al. 2005). The combined effects could have favored the
395 unusually high $\delta^{18}\text{O}_{\text{carb}}$ at HL by positively shifting the isotope values of mean annual
396 precipitation and HL's water. Alternatively, the reversed hydrologic response of Hidden Lake
397 could indicate antiphased hydroclimate changes in the southern Rocky Mountains between high
398 and low elevations, which is consistent with modern responses to the El Niño-Southern
399 Oscillation (Preece et al., 2020). The active dune fields in east-central Wyoming, however, could
400 confound a simple interpretation of the elevational and seasonally antiphased hydrologic
401 changes, although their activity may depend on soil moisture derived from winter snow (Stokes
402 & Gaylord, 1993). Latitudinal hydroclimate variability could be an additional complicating
403 factor related to the dynamic boundary between the climates of the northern and southern Rocky
404 Mountains (Shinker, 2010; Wise, 2010). The comparison of the radiocarbon age uncertainties of
405 the 4.2 ka paleoshoreline sands at lake-level sites, including Emerald Lake in central Colorado
406 (Fig. 1 & 7), indicates a late-Holocene north-south moisture dipole extending across much of the
407 area described here (Shuman et al., 2014).

408 Given the potential prominence of the 4.2 ka drought at HL and other southern Rocky
409 Mountain records, it may have been uniquely severe in this region even if it had a complex
410 regional expression at broader spatial scales. The lake-level reconstructions from Colorado

411 contain evidence of other Holocene hydrologic changes (Fig. 7), but the records lack evidence
412 for multiple recurrent, multi-century hydroclimate changes recorded with the 4.2 ka event in
413 places like the Atlantic margin (Shuman et al., 2019). Elsewhere, aridity at 4.2 ka may represent
414 just one of several repeated drying events consistent with climate records and simulations from
415 around the world that show drought as a regular feature of late-Holocene climate variability (Arz
416 et al., 2006; Bradley & Bakke, 2019; Mayewski et al., 2004; Wanner et al., 2008; Wanner et al.,
417 2015; Yan & Liu, 2019). The mid-latitude Rocky Mountain records may suggest that the
418 midcontinent was insulated from some of the abrupt late-Holocene climate changes, possibly due
419 to its isolation from the ocean-atmosphere dynamics proposed to play key roles in Holocene
420 variability (Arz et al., 2006; Deininger et al., 2017; Jalali et al., 2019; Yan & Liu, 2019).

421

422 ***5.2 Varying $\delta^{18}\text{O}_{\text{carb}}$ trends in the southern Rocky Mountains***

423 The marked sensitivity of lake-water $\delta^{18}\text{O}$ to hydroclimate changes may make lacustrine
424 carbonates ideal indicators of past droughts like the 4.2 ka event, as documented by $\delta^{18}\text{O}_{\text{carb}}$
425 records outside of North America (e.g., Bini et al., 2019; Dean et al., 2015) and by our record at
426 HL (Fig. 5), but site-specific hydrologic conditions could complicate the signals. They may
427 generate inconsistent trends among records over both short (seasonal) and long (millennial)
428 timescales (Gibson et al., 2016; Shapley et al., 2008; Steinman & Abbott, 2013; Tyler et al.,
429 2007). Indeed, we observe such inconsistency in the southern Rocky Mountains (Fig. 6).

430 The hydrologic controls, such as groundwater fluxes and basin morphology, can vary
431 based on a lake's geohydrological setting (Anderson et al., 2016; Dean et al., 2015). Modern
432 lake-water hydrogen and oxygen isotope measurements reveal stronger fractionation by
433 evaporation in HL (thick black line, Fig. 2) compared to Bison and Yellow Lakes (purple and

434 yellow lines, Fig. 2; Anderson, 2012), which exhibit a narrower range in modern water isotope
435 compositions and smaller deviation from the global meteoric water line. The differences in
436 hydrologic setting at each lake that produce this pattern are not assumed to override changes in
437 lake-water $\delta^{18}\text{O}$ due to climate changes, such as drought. However, the isotopically light
438 carbonate at HL (Fig. 6) is antithetical to the expectation based on evaporatively enriched
439 summer waters (Fig. 2) and suggests that the site may have been sensitive to seasonal dynamics
440 not recorded by Bison or Yellow Lakes. The pattern differs from the interpretation that the Bison
441 Lake $\delta^{18}\text{O}_{\text{carb}}$ was not strongly influenced by evaporation because it was isotopically lighter than
442 other sites like Yellow Lake (Anderson, 2011, 2012). Given the modern water isotope values, we
443 had anticipated that $\delta^{18}\text{O}_{\text{carb}}$ from HL would be isotopically heavy compared to Bison and
444 Yellow Lakes, but track similar trends (Anderson, 2012). However, HL lacks the prominent
445 $\delta^{18}\text{O}_{\text{carb}}$ trend observed at these other sites (Fig. 6).

446 Differences in the timing of carbonate formation could explain the variability among the
447 records and their sensitivity to the 4.2 ka event. Because rain is isotopically heavier than snow,
448 decreasing snowpack in the watershed should positively shift the isotope composition of HL's
449 water and carbonates. A lower ratio of precipitation to evaporation could also cause a positive
450 shift if carbonates form in evaporated summer waters; however, if the precipitation of carbonates
451 occurs during winter or spring, then $\delta^{18}\text{O}_{\text{carb}}$ would track the relative contributions of snowfall
452 and rain to its water balance without modification by summer evaporation. Previous studies have
453 shown that the deposition of endogenic carbonate occurs predominantly in the warm summer
454 months when photosynthesis optimizes carbonate production by modifying dissolved CO_2
455 concentrations and pH (Leng & Marshall, 2004), but the isotopically light carbonate at HL may
456 contradict this expectation. The observed core-top $\delta^{18}\text{O}_{\text{carb}}$ value is lower than the calculated

457 fractionation of calcite formation in summer waters, which indicates that HL may not integrate
458 the range of summer lake-water $\delta^{18}\text{O}$ today as is assumed for Bison and Yellow Lakes (and
459 carbonate lakes in general).

460 We observe the same pattern in HL's sediment record. $\delta^{18}\text{O}_{\text{carb}}$ values were below the
461 mean at 6 ka (Fig. 5) when simulated estimates of evaporation rates in the Medicine Bow
462 Mountains were up to 30% higher than today (Morrill et al., 2019). The enhanced evaporation
463 may explain the more positive mid-Holocene $\delta^{18}\text{O}_{\text{carb}}$ at Bison and Yellow lakes relative to today
464 (Fig. 6), but if so, such enhanced summer evaporation did not affect $\delta^{18}\text{O}_{\text{carb}}$ at HL. Because the
465 early springtime deposition of carbonate could capture the isotopic signature of the lake water
466 early in the year, HL may be a better indicator of the snow-to-rain ratio represented by the
467 groundwater inflow into the lake than the seasonal isotopic enrichment of the lake waters by
468 evaporation later in the summer.

469 The year-round measurements of specific conductance show that conditions favorable for
470 carbonate precipitation may indeed be highest during late winter and spring. In 2017, specific
471 conductance of the water below the surface ice rose from 700 $\mu\text{S}/\text{cm}$ in early February to 1,115
472 $\mu\text{S}/\text{cm}$ by early April, and it remained above 1,000 $\mu\text{S}/\text{cm}$ throughout April (Fig. 3). These high
473 values would favor carbonate precipitation, whereas the summertime waters are more dilute.
474 Specific conductance of HL and other lakes within the watershed during the summer typically
475 does not exceed 300 $\mu\text{S}/\text{cm}$. Melting of lake ice and snowpack rapidly lowers the specific
476 conductance by early May and it remains between 250–300 $\mu\text{S}/\text{cm}$ for the remaining ice-free
477 months. The conductance likely remains lower than in winter despite evaporative enrichment of
478 the oxygen isotopes because of groundwater discharge into the lake (Rautio & Korkka-Niemi,
479 2011), which geophysical surveys, water temperatures, and stable summer water levels at HL

480 support (Liefert et al., 2018). If so, ions exsolved from overlying ice raise the conductance of the
481 lake water and pore water within the bottom sediments beyond the concentration in groundwater
482 in winter (Adams & Lasenby, 1985), and create favorable conditions for the rapid deposition of
483 endogenic carbonate in early spring when the isotopic signal would not reflect evaporation or
484 isotopically heavy summer rainfall (open circles, Fig. 2). Spring carbonate formation could also
485 yield a different temperature-dependent effect on the $\delta^{18}\text{O}_{\text{carb}}$ in HL compared to the other lakes,
486 but the cold spring waters at HL should favor an increase, not decrease, in $\delta^{18}\text{O}_{\text{carb}}$. Indeed, all of
487 the readily expected process that could complicate a carbonate isotopic record should drive the
488 $\delta^{18}\text{O}_{\text{carb}}$ in the positive (not negative) direction and underscore the significance of the difference
489 between HL and the other lakes.

490 As an alternative explanation, the $\delta^{18}\text{O}_{\text{carb}}$ values could reflect changes in total
491 precipitation rather than seasonality effects because the inflow of Ca-bearing groundwater
492 (which should rise with precipitation) can increase carbonate production and lower $\delta^{18}\text{O}_{\text{carb}}$
493 values in alkaline lakes in both ice-free and ice-covered conditions (Shapley et al., 2005), but the
494 weak covariance of weight percent carbonate and $\delta^{18}\text{O}_{\text{carb}}$ suggest that rates of groundwater
495 inflow did not strongly influence $\delta^{18}\text{O}_{\text{carb}}$ (Fig. S1a). A weak covariance of $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$
496 indicates short lake-water residence times throughout the lake's history (Fig. S1b; Drummond et
497 al., 1995; Talbot & Kelts, 1990), which could be consistent with rapid flowthrough that reduced
498 evaporative enrichment; removing values from 4.2-4 ka only marginally improves the
499 correlation.

500 A strong negative correlation of weight percent organics and carbonate ($R^2 = -0.79$)
501 suggests that carbonate abundance depends primarily on biological productivity that promoted
502 carbonate dissolution by releasing CO_2 and lowering pH (Fig S1c; Dean, 1999). Carbonate

503 content from 4.2–4 ka was below the mean despite low organic content (red dots, Fig. S1c) and a
504 high flux of carbonate (Fig. 4), which may represent a shift in HL’s water levels and chemistry
505 that favored both acidic conditions and isotopically heavy carbonate (red dots, Fig. S1). Down-
506 core shifts in $\delta^{18}\text{O}_{\text{carb}}$ produced by seasonal changes in the timing and rate of carbonate formation
507 have been proposed as potential sources of variability within individual records (Fronval et al.,
508 1995; Lamb et al., 2007; Steinman et al., 2012; Steinman & Abbott, 2013; Tyler et al., 2007) and
509 could play a role in the record at HL, but such differences could also generate the variability in
510 the long-term trends observed among records from the southern Rocky Mountains and elsewhere
511 (Bini et al., 2019; Konecky et al., 2020; Roberts et al., 2008).

512 Many non-climatic factors influence $\delta^{18}\text{O}_{\text{carb}}$, including carbonate phase and disequilibrium
513 effects, seasonality of precipitation, groundwater fluxes, the isotope compositions of precipitation
514 and groundwater, and local geology. However, such factors are unlikely sources of variability
515 among the regional $\delta^{18}\text{O}_{\text{carb}}$ records. Down-core carbonate phase changes are unlikely as only
516 calcite was present in the cores from HL, Bison Lake, and Yellow Lake. We also find no
517 evidence in the sediment core or modern setting at HL to indicate that anthropogenic influence or
518 biologically mediated precipitation of calcite substantially altered $\delta^{18}\text{O}_{\text{carb}}$ (e.g., carbonate
519 ostracod tests formed in disequilibrium with lake water). Disequilibrium effects associated with
520 biogenic carbonates generally increase $\delta^{18}\text{O}_{\text{carb}}$ (Holmes & Chivas, 2002; Leng & Marshall,
521 2004), which would be difficult to reconcile with the surprisingly negative mean and core-top
522 $\delta^{18}\text{O}_{\text{carb}}$ values at HL based on expectations from the strong enrichment of heavy isotopes by
523 evaporation today and predicted core-top $\delta^{18}\text{O}_{\text{carb}}$ values.

524 The seasonal balance of precipitation today is broadly similar among the sites (inset plot,
525 Fig. 2) and the calculated annual precipitation- $\delta^{18}\text{O}$ value is approximately 1‰ lower at HL than

526 at Bison and Yellow Lakes (<http://waterisotopesDB.org>). Annual temperature ranges are also
527 similar for the watersheds, making it unlikely that temperature dependence of fractionation could
528 explain the range in $\delta^{18}\text{O}_{\text{carb}}$ values recorded across the three records unless the different water
529 depths and groundwater influences altered the seasonal temperature progression among lakes.
530 The difference in temperature would need to be large ($\sim 12\text{ }^{\circ}\text{C}$) to explain the offset in $\delta^{18}\text{O}_{\text{carb}}$
531 between HL and Bison Lake (and larger for the offset between HL and Yellow Lake), which is
532 unrealistic given the sites' comparable locations and elevations and the relatively small
533 temperature changes at mid-latitudes since 11 ka (Marsicek et al., 2018). The range in $\delta^{18}\text{O}_{\text{carb}}$
534 calculated from the annual range in modern lake-water $\delta^{18}\text{O}$ at the lakes does match the observed
535 offsets in the $\delta^{18}\text{O}_{\text{carb}}$ records of HL, Bison, and Yellow Lakes. The timing of carbonate
536 formation thus remains a plausible mechanism for producing the differences. Installing sediment
537 traps during the ice-free season could test the timing of carbonate production.

538

539 6. **Conclusions**

540 $\delta^{18}\text{O}_{\text{carb}}$ from HL indicates an abrupt hydroclimate change in the southern Rocky
541 Mountains from approximately 4.2–4 ka that reduced effective moisture or caused less snow to
542 fall than today at high elevations in southern Wyoming. Other $\delta^{18}\text{O}_{\text{carb}}$ records from the region
543 do not document the drought (Fig. 6; Anderson, 2012), but the event's timing overlaps with
544 evidence of multi-century drought from pollen, lake stratigraphies, and dunes in the southern
545 Rocky Mountains (Carter et al., 2013; Halfen & Johnson, 2013; Shuman et al., 2009a, 2014,
546 2015; Stokes & Gaylord, 1993), the western Great Plains (Booth et al., 2005; Dean, 1997; Halfen
547 & Johnson, 2013; Mason et al., 1997; Stokes & Gaylord, 1993), and elsewhere around the world
548 (Nakamura et al., 2016; Di Rita & Magri, 2019; Scuderi et al., 2019; Xiao et al., 2018).

549 The timing and magnitude of hydroclimate change in our record agrees with the
550 perspective of a widespread megadrought at around 4.2 ka (Weiss, 2016), but inconsistencies
551 among climate records suggests that (1) site-specific factors can prevent identification of the
552 patterns of abrupt hydroclimate changes, particularly in $\delta^{18}\text{O}_{\text{carb}}$ records; (2) the hydrologic
553 response in North America and likely elsewhere around the world was spatially complex; and (3)
554 the abrupt hydroclimate changes in the North American midcontinent were more pronounced
555 against background Holocene variability than in many regions such as the Atlantic margin.
556 Consequently, a prolonged ‘megadrought’ at 4.2 ka was likely a significant feature of the
557 hydroclimate history in the mid-latitude Rocky Mountains even if that is not true globally.

558

559 **Data availability**

560 Data related to this paper are available through the National Centers for Environmental
561 Information on the National Oceanic and Atmospheric Administration website:
562 <https://www.ncdc.noaa.gov/paleo/study/34993>. The analyses were performed in R.

563

564 **Author contributions**

565 D. Liefert and B. Shuman contributed to the design and implementation of the research, to the
566 analysis of the results, and to the writing of the manuscript.

567

568 **Competing Interests**

569 The authors declare that they have no conflict of interest.

570

571 **Acknowledgments**

572 This project was funded by the National Geographic Society (CP-064ER-17), U.S. National
573 Science Foundation P2C2 (EAR-1903729), the Wyoming Center for Environmental Hydrology
574 and Geophysics via support from the U.S. NSF EPSCoR program (EPS-1208909), and the
575 Department of Geology and Geophysics at the University of Wyoming. We thank Andrew
576 Parsekian and Kevin Befus for field assistance and Andrew Flaim for assisting in sample
577 preparation.

578

579 **References**

580 Adams, W. P., & Lasenby, D. C. (1985). The Roles of Snow, Lake Ice and Lake Water in the Distribution of Major
581 Ions in the Ice Cover of a Lake. *Annals of Glaciology*, 7(February 1979), 202–207.

582 <https://doi.org/10.3189/s0260305500006170>

583 Alley, R. B., Mayewski, P. A., Sowers, T., Stuiver, M., Taylor, K. C., & Clark, P. U. (1997). Holocene climatic
584 instability: A prominent, widespread event 8200 yr ago. *Geology*, 25(6), 483–486.

585 [https://doi.org/10.1130/0091-7613\(1997\)025<0483:HCIAPW>2.3.CO;2](https://doi.org/10.1130/0091-7613(1997)025<0483:HCIAPW>2.3.CO;2)

586 Anderson, L. (2011). Holocene record of precipitation seasonality from lake calcite $\delta^{18}O$ in the central Rocky
587 Mountains, United States. *Geology*, 39(3), 211–214. <https://doi.org/10.1130/G31575.1>

588 Anderson, L. (2012). Rocky Mountain hydroclimate: Holocene variability and the role of insolation, ENSO, and the
589 North American Monsoon. *Global and Planetary Change*, 92–93, 198–208.

590 <https://doi.org/10.1016/j.gloplacha.2012.05.012>

591 Anderson, L., Berkelhammer, M., Barron, J. A., Steinman, B. A., Finney, B. P., & Abbott, M. B. (2016a). Lake
592 oxygen isotopes as recorders of North American Rocky Mountain hydroclimate: Holocene patterns and
593 variability at multi-decadal to millennial time scales. *Global and Planetary Change*, 137, 131–148.

594 <https://doi.org/10.1016/j.gloplacha.2015.12.021>

595 Anderson, L., Berkelhammer, M., Barron, J. A., Steinman, B. A., Finney, B. P., & Abbott, M. B. (2016b). Lake
596 oxygen isotopes as recorders of North American Rocky Mountain hydroclimate: Holocene patterns and
597 variability at multi-decadal to millennial time scales. *Global and Planetary Change*, 137, 131–148.

598 <https://doi.org/10.1016/j.gloplacha.2015.12.021>

599 Anderson, R. S., Allen, C. D., Toney, J. L., Jass, R. B., & Bair, A. N. (2008). Holocene vegetation and fire regimes
600 in subalpine and mixed conifer forests, southern Rocky Mountains, USA. *International Journal of Wildland*
601 *Fire*, 17(1), 96. <https://doi.org/10.1071/WF07028>

602 Arz, H. W., Lamy, F., & Pätzold, J. (2006). A pronounced dry event recorded around 4.2 ka in brine sediments from
603 the northern Red Sea. *Quaternary Research*, 66(3), 432–441. <https://doi.org/10.1016/j.yqres.2006.05.006>

604 Ault, T. R., George, S. S., Smerdon, J. E., Coats, S., Mankin, J. S., Carrillo, C. M., et al. (2018). A robust null
605 hypothesis for the potential causes of megadrought in Western North America. *Journal of Climate*, 31(1), 3–
606 24. <https://doi.org/10.1175/JCLI-D-17-0154.1>

607 Bello, R., & Smith, J. D. (1990). The Effect of Weather Variability on the Energy Balance of a Lake in the Hudson
608 Bay Lowlands , Canada. *INSTAAR, University of Colorado*, 22(1), 98–107.

609 Bini, M., Zanchetta, G., Perşoiu, A., Cartier, R., Català, A., Cacho, I., et al. (2019). The 4.2 ka BP Event in the
610 Mediterranean region: An overview. *Climate of the Past*, 15(2), 555–577. [https://doi.org/10.5194/cp-15-555-](https://doi.org/10.5194/cp-15-555-2019)
611 2019

612 Booth, R. K., Jackson, S. T., Forman, S. L., Kutzbach, J. E., Bettis, E. A., Kreig, J., & Wright, D. K. (2005). A
613 severe centennial-scale drought in mid-continental North America 4200 years ago and apparent global
614 linkages. *Holocene*, 15(3), 321–328. <https://doi.org/10.1191/0959683605hl825ft>

615 Bradley, R. S., & Bakke, J. (2019). Is there evidence for a 4.2 ka BP event in the northern North Atlantic region?
616 *Climate of the Past*, 15(5), 1665–1676. <https://doi.org/10.5194/cp-15-1665-2019>

617 Brunelle, A., Minckley, T. A., Lips, E., & Burnett, P. (2013). A record of Lateglacial/Holocene environmental
618 change from a high-elevation site in the Intermountain West, USA. *Journal of Quaternary Science*, 28(1),
619 103–112. <https://doi.org/10.1002/jqs.2600>

620 Carter, V. A., Brunelle, A., Minckley, T. A., Dennison, P. E., & Power, M. J. (2013). Regionalization of fire regimes
621 in the Central Rocky Mountains, USA. *Quaternary Research (United States)*, 80(3), 406–416.
622 <https://doi.org/10.1016/j.yqres.2013.07.009>

623 Carter, V. A., Shinker, J. J., & Preece, J. (2018). Drought and vegetation change in the central Rocky Mountains and
624 western Great Plains: Potential climatic mechanisms associated with megadrought conditions at 4200 cal yr
625 BP. *Climate of the Past*, 14(8), 1195–1212. <https://doi.org/10.5194/cp-14-1195-2018>

626 Clark, P. U., Webb, R. S., & Keigwin, L. D. (1999). *Mechanisms of global climate change at millennial time scales.*

627 Washington, DC: American Geophysical Union.

628 Dean, J. R., Jones, M. D., Leng, M. J., Noble, S. R., Metcalfe, S. E., Sloane, H. J., et al. (2015). Eastern
629 Mediterranean hydroclimate over the late glacial and Holocene, reconstructed from the sediments of Nar lake,
630 central Turkey, using stable isotopes and carbonate mineralogy. *Quaternary Science Reviews*, *124*, 162–174.
631 <https://doi.org/10.1016/j.quascirev.2015.07.023>

632 Dean, W. E. (1997). Rates, timing, and cyclicity of Holocene eolian activity in north-central United States: Evidence
633 from varved lake sediments. *Geology*, *25*(4), 331–334. [https://doi.org/10.1130/0091-](https://doi.org/10.1130/0091-7613(1997)025<0331:RTACOH>2.3.CO;2)
634 [7613\(1997\)025<0331:RTACOH>2.3.CO;2](https://doi.org/10.1130/0091-7613(1997)025<0331:RTACOH>2.3.CO;2)

635 Dean, W. E. (1999). The carbon cycle and biogeochemical dynamics in lake sediments. *Journal of Paleolimnology*,
636 *21*(4), 375–393. <https://doi.org/10.1023/A:1008066118210>

637 Deininger, M., McDermott, F., Mudelsee, M., Werner, M., Frank, N., & Mangini, A. (2017). Coherency of late
638 Holocene European speleothem $\delta^{18}\text{O}$ records linked to North Atlantic Ocean circulation. *Climate Dynamics*,
639 *49*(1–2), 595–618. <https://doi.org/10.1007/s00382-016-3360-8>

640 Denniston, R. F., Gonzalez, L. A., Baker, R. G., Asmerom, Y., Reagan, M. K., Edwards, R. L., & Alexander, E. C.
641 (1992). Speleothem evidence for Holocene fluctuations of the prairie-forest c cot one , *6*, 671–676.

642 Drummond, C. N., Patterson, W. P., & Walker, J. C. G. (1995). Climatic forcing of carbon-oxygen isotopic
643 covariance in temperate-region marl lakes. *Geology*, *23*(11), 1031. [https://doi.org/10.1130/0091-](https://doi.org/10.1130/0091-7613(1995)023<1031:cfocoi>2.3.co;2)
644 [7613\(1995\)023<1031:cfocoi>2.3.co;2](https://doi.org/10.1130/0091-7613(1995)023<1031:cfocoi>2.3.co;2)

645 Feiler, E. J., Scott, R., & Koehler, A. (2017). Late Quaternary Paleoenvironments of the White River Plateau ,
646 Colorado , U . S . A . Author (s): Eric J . Feiler , R . Scott Anderson and Peter A . Koehler Published by :
647 INSTAAR , University of Colorado Stable URL : <http://www.jstor.org/stable/1551836>, *29*(1), 53–62.

648 Forman, S. L., Oglesby, R., & Webb, R. S. (2001). Temporal and spatial patterns of Holocene dune activity on the
649 Great Plains of North America: Megadroughts and climate links. *Global and Planetary Change*, *29*(1–2), 1–
650 29. [https://doi.org/10.1016/S0921-8181\(00\)00092-8](https://doi.org/10.1016/S0921-8181(00)00092-8)

651 Fronval, T., Jensen, N. B., & Buchardt, B. (1995). Oxygen isotope disequilibrium precipitation of calcite in Lake
652 Arreso, Denmark. *Geology*, *23*(5), 463–466. [https://doi.org/10.1130/0091-](https://doi.org/10.1130/0091-7613(1995)023<0463:OIDPOC>2.3.CO;2)
653 [7613\(1995\)023<0463:OIDPOC>2.3.CO;2](https://doi.org/10.1130/0091-7613(1995)023<0463:OIDPOC>2.3.CO;2)

654 Gibson, J. J., Birks, S. J., Yi, Y., Moncur, M. C., & McEachern, P. M. (2016). Stable isotope mass balance of fifty

655 lakes in central Alberta: Assessing the role of water balance parameters in determining trophic status and lake
656 level. *Journal of Hydrology: Regional Studies*, 6, 13–25. <https://doi.org/10.1016/j.ejrh.2016.01.034>

657 Von Grafenstein, U., Erlenkeuser, H., Müller, J., Jouzel, J., & Johnsen, S. (1998). The cold event 8200 years ago
658 documented in oxygen isotope records of precipitation in Europe and Greenland. *Climate Dynamics*, 14(2),
659 73–81. <https://doi.org/10.1007/s003820050210>

660 Halfen, A. F., & Johnson, W. C. (2013). A review of Great Plains dune field chronologies. *Aeolian Research*, 10,
661 135–160. <https://doi.org/10.1016/j.aeolia.2013.03.001>

662 Halfen, A. F., Fredlund, G. G., & Mahan, S. A. (2010). Holocene stratigraphy and chronology of the Casper Dune
663 Field, Casper, Wyoming, USA. *Holocene*, 20(5), 773–783. <https://doi.org/10.1177/0959683610362812>

664 Henderson, A. K., & Shuman, B. N. (2009). Hydrogen and oxygen isotopic compositions of lake water in the
665 western United States. *Geological Society of America Bulletin*, 121(7–8), 1179–1189.
666 <https://doi.org/10.1130/B26441.1>

667 Holmes, J. A., & Chivas, A. R. (2002). Ostracod shell chemistry—overview. *Geophysical Union Geophysical*
668 *Monograph Series*, 131, 185–204.

669 Houston, R. S., & Karlstrom, K. E. (1992). Geologic map of Precambrian metasedimentary rocks of the Medicine
670 Bow Mountains, Albany and Carbon counties, Wyoming. *U.S. Geological Survey Miscellaneous*
671 *Investigations Map, I-2280. Sc.*

672 Huang, C. C., Pang, J., Zha, X., Su, H., & Jia, Y. (2011). Extraordinary floods related to the climatic event at 4200 a
673 BP on the Qishuihe River, middle reaches of the Yellow River, China. *Quaternary Science Reviews*, 30(3–4),
674 460–468. <https://doi.org/10.1016/j.quascirev.2010.12.007>

675 Jalali, B., Sicre, M. A., Azuara, J., Pellichero, V., & Combourieu-Nebout, N. (2019). Influence of the North Atlantic
676 subpolar gyre circulation on the 4.2 ka BP event. *Climate of the Past*, 15(2), 701–711.
677 <https://doi.org/10.5194/cp-15-701-2019>

678 Jiménez-Moreno, G., Anderson, R. S., Shuman, B. N., & Yackulic, E. (2019). Forest and lake dynamics in response
679 to temperature, North American monsoon and ENSO variability during the Holocene in Colorado (USA).
680 *Quaternary Science Reviews*, 211, 59–72. <https://doi.org/10.1016/j.quascirev.2019.03.013>

681 Johnson, B. G., Jiménez-Moreno, G., Eppes, M. C., Diemer, J. A., & Stone, J. R. (2013). A multiproxy record of
682 postglacial climate variability from a shallowing, 12-m deep sub-alpine bog in the southeastern San Juan

683 Mountains of Colorado, USA. *The Holocene*, 23(7), 1028–1038. <https://doi.org/10.1177/0959683613479682>

684 Kahle, D., & Wickham, H. (2013). ggmap: Spatial Visualization with ggplot2. *The R Journal*, 5(1), 144–161.

685 Konecky, B. L., McKay, N. P., Sidorova, O. V. C., Comas-bru, L., Dassié, P., Delong, K. L., et al. (2020). The Iso2k
686 Database: A global compilation of paleo- $\delta^{18}\text{O}$ and $\delta^2\text{H}$ records to aid understanding of Common Era climate.
687 *Earth Syst. Sci. Data Discuss.*, (In review). Retrieved from <https://doi.org/10.5194/essd-2020-5>

688 Lamb, H. F., Leng, M. J., Telford, R. J., Ayenew, T., & Umer, M. (2007). Oxygen and carbon isotope composition
689 of authigenic carbonate from an Ethiopian lake: A climate record of the last 2000 years. *Holocene*, 17(4), 517–
690 526. <https://doi.org/10.1177/0959683607076452>

691 Leng, M. J., & Marshall, J. D. (2004). Palaeoclimate interpretation of stable isotope data from lake sediment
692 archives. *Quaternary Science Reviews*, 23(7–8), 811–831. <https://doi.org/10.1016/j.quascirev.2003.06.012>

693 Li, Y. X., Yu, Z., & Kodama, K. P. (2007). Sensitive moisture response to Holocene millennial-scale climate
694 variations in the Mid-Atlantic region, USA. *Holocene*, 17(1), 3–8. <https://doi.org/10.1177/0959683606069386>

695 Liefert, D. T., Shuman, B. N., Parsekian, A. D., & Mercer, J. J. (2018). Why Are Some Rocky Mountain Lakes
696 Ephemeral? *Water Resources Research*, 54(8), 5245–5263. <https://doi.org/10.1029/2017WR022261>

697 Marsicek, J., Shuman, B. N., Bartlein, P. J., Shafer, S. L., & Brewer, S. (2018). Reconciling divergent trends and
698 millennial variations in Holocene temperatures. *Nature*, 554(7690), 92–96.
699 <https://doi.org/10.1038/nature25464>

700 Marsicek, J. P., Shuman, B., Brewer, S., Foster, D. R., & Oswald, W. W. (2013). Moisture and temperature changes
701 associated with the mid-Holocene Tsuga decline in the northeastern United States. *Quaternary Science*
702 *Reviews*, 80, 129–142. <https://doi.org/10.1016/j.quascirev.2013.09.001>

703 Mason, J. P., Swinchart, J. B., & Loope, D. B. (1997). Holocene history of lacustrine and marsh sediments in a
704 dune-blocked drainage, Southwestern Nebraska Sand Hills, U.S.A. *Journal of Paleolimnology*, 17(1), 67–83.
705 <https://doi.org/10.1023/A:1007917110965>

706 Mayewski, P. A., Rohling, E. E., Stager, J. C., Karlén, W., Maasch, K. A., Meeker, L. D., et al. (2004). Holocene
707 climate variability. *Quaternary Research*, 62(3), 243–255. <https://doi.org/10.1016/j.yqres.2004.07.001>

708 McKay, N. P., Emile-Geay, J., and Khider, D.: geoChronR – an R package to model, analyze,
709 and visualize age-uncertain data, *Geochronology*, 3, 149–169, <https://doi.org/10.5194/gchron-3-149-2021>,
710 2021.

711 Mensing, S., Korfmacher, J., Minckley, T., & Musselman, R. (2012). A 15,000 year record of vegetation and
712 climate change from a treeline lake in the Rocky Mountains, Wyoming, USA. *The Holocene*, 22(7), 739–748.
713 <https://doi.org/10.1177/0959683611430339>

714 Minckley, T. A., Shriver, R. K., & Shuman, B. (2012). Resilience and regime change in a southern Rocky Mountain
715 ecosystem during the past 17000 years TL - 82. *Ecological Monographs*, 82 VN-r(1), 49–68.
716 <https://doi.org/10.1890/11-0283.1>

717 Mock, C. . (1996). Climate controls and spatial variations of precipitation in the western United States. *Journal of*
718 *Climate*, 9, 1111–1125.

719 Morrill, C., Meador, E., Livneh, B., Liefert, D. T., & Shuman, B. N. (2019). Quantitative model-data comparison of
720 mid-Holocene lake-level change in the central Rocky Mountains. *Climate Dynamics*, 0(0), 0.
721 <https://doi.org/10.1007/s00382-019-04633-3>

722 Musselman, R. C., Connell, B. H., Conrad, M. A., Dufford, R. G., Fox, D. G., Haines, J. D., et al. (1992). The
723 Glacier Lakes Ecosystem Experiments Site.

724 Nakamura, A., Yokoyama, Y., Maemoku, H., Yagi, H., Okamura, M., Matsuoka, H., et al. (2016). Weak monsoon
725 event at 4.2 ka recorded in sediment from Lake Rara, Himalayas. *Quaternary International*, 397, 349–359.
726 <https://doi.org/10.1016/j.quaint.2015.05.053>

727 Newby, P. E., Shuman, B. N., Donnelly, J. P., Karnauskas, K. B., & Marsicek, J. (2014). Centennial-to-millennial
728 hydrologic trends and variability along the North Atlantic Coast, USA, during the Holocene. *Geophysical*
729 *Research Letters*, 41(12), 4300–4307. <https://doi.org/10.1002/2014GL060183>

730 Nolan, C. (2020). *Using Co-Located Lake and Bog Records to Improve Inferences on Late Quaternary Climate and*
731 *Ecology*.

732 Parnell, A. C., Haslett, J., Allen, J. R. M., Buck, C. E., & Huntley, B. (2008). A flexible approach to assessing
733 synchronicity of past events using Bayesian reconstructions of sedimentation history. *Quaternary Science*
734 *Reviews*, 27(19–20), 1872–1885. <https://doi.org/10.1016/j.quascirev.2008.07.009>

735 Preece, J. R., Shinker, J. J., Riebe, C. S., & Minckley, T. A. (2020). Elevation-dependent precipitation response to El
736 Niño-Southern oscillation revealed in headwater basins of the US central Rocky Mountains. *International*
737 *Journal of Climatology*, (November 2019), 1–12. <https://doi.org/10.1002/joc.6790>

738 Railsback, L. B., Liang, F., Brook, G. A., Voarintsoa, N. R. G., Sletten, H. R., Marais, E., et al. (2018). The timing,

739 two-pulsed nature, and variable climatic expression of the 4.2 ka event: A review and new high-resolution
740 stalagmite data from Namibia. *Quaternary Science Reviews*, 186, 78–90.
741 <https://doi.org/10.1016/j.quascirev.2018.02.015>

742 Ran, M., & Chen, L. (2019). The 4.2 ka BP climatic event and its cultural responses. *Quaternary International*,
743 (April), 0–1. <https://doi.org/10.1016/j.quaint.2019.05.030>

744 Rautio, A., & Korkka-Niemi, K. (2011). Characterization of groundwater-lake water interactions at Pyhäjärvi, a lake
745 in SW Finland. *Boreal Environment Research*, 16(5), 363–380.

746 Renssen, H., Goosse, H., & Muscheler, R. (2006). Coupled climate model simulation of Holocene cooling events:
747 oceanic feedback amplifies solar forcing, 79–90.

748 Di Rita, F., & Magri, D. (2019). The 4.2 ka event in the vegetation record of the central Mediterranean. *Climate of*
749 *the Past*, 15(1), 237–251. <https://doi.org/10.5194/cp-15-237-2019>

750 Roberts, N., Jones, M. D., Benkaddour, A., Eastwood, W. J., Filippi, M. L., Frogley, M. R., et al. (2008). Stable
751 isotope records of Late Quaternary climate and hydrology from Mediterranean lakes: the ISOMED synthesis.
752 *Quaternary Science Reviews*. <https://doi.org/10.1016/j.quascirev.2008.09.005>

753 Roland, T. P., Caseldine, C. J., Charman, D. J., Turney, C. S. M., & Amesbury, M. J. (2014). Was there a “4.2ka
754 event” in Great Britain and Ireland? Evidence from the peatland record. *Quaternary Science Reviews*, 83, 11–
755 27. <https://doi.org/10.1016/j.quascirev.2013.10.024>

756 Rosenberry, D. O., & LaBaugh, J. W. (2008). *Field Techniques for Estimating Water Fluxes Between Surface Water*
757 *and Ground Water*. <https://doi.org/10.3133/tm4D2>

758 Scuderi, L. A., Yang, X., Ascoli, S. E., & Li, H. (2019). The 4.2 ka BP Event in northeastern China: A geospatial
759 perspective. *Climate of the Past*, 15(1), 367–375. <https://doi.org/10.5194/cp-15-367-2019>

760 Shapley, M. D., Ito, E., & Donovan, J. J. (2005). Authigenic calcium carbonate flux in groundwater-controlled
761 lakes: Implications for lacustrine paleoclimate records. *Geochimica et Cosmochimica Acta*, 69(10), 2517–
762 2533. <https://doi.org/10.1016/j.gca.2004.12.001>

763 Shapley, Mark D., Ito, E., & Donovan, J. J. (2008). Isotopic evolution and climate paleorecords: Modeling boundary
764 effects in groundwater-dominated lakes. *Journal of Paleolimnology*, 39(1), 17–33.
765 <https://doi.org/10.1007/s10933-007-9092-3>

766 Shinker, J. J. (2010). Visualizing spatial heterogeneity of western U.S. climate variability. *Earth Interactions*,

767 14(10). <https://doi.org/10.1175/2010EI323.1>

768 Shuman, B., Henderson, A. K., Colman, S. M., Stone, J. R., Fritz, S. C., Stevens, L. R., et al. (2009). Holocene lake-
769 level trends in the Rocky Mountains, U.S.A. *Quaternary Science Reviews*, 28(19–20), 1861–1879.
770 <https://doi.org/10.1016/j.quascirev.2009.03.003>

771 Shuman, B., Pribyl, P., Minckley, T. A., & Shinker, J. J. (2010). Rapid hydrologic shifts and prolonged droughts in
772 Rocky Mountain headwaters during the Holocene. *Geophysical Research Letters*.
773 <https://doi.org/10.1029/2009GL042196>

774 Shuman, B. N., & Burrell, S. A. (2017). Centennial to millennial hydroclimatic fluctuations in the humid northeast
775 United States during the Holocene. *Quaternary Research (United States)*, 88(3), 514–524.
776 <https://doi.org/10.1017/qua.2017.62>

777 Shuman, B. N., Carter, G. E., Hougardy, D. D., Powers, K., & Shinker, J. J. (2014). A north-south moisture dipole at
778 multi-century scales in the Central and Southern Rocky Mountains, U.S.A., during the late Holocene. *Rocky*
779 *Mountain Geology*, 49(1), 33–49. <https://doi.org/10.2113/gsrocky.49.1.33>

780 Shuman, B. N., Pribyl, P., & Buettner, J. (2015). Hydrologic changes in Colorado during the mid-Holocene and
781 Younger Dryas. *Quaternary Research (United States)*, 84(2), 187–199.
782 <https://doi.org/10.1016/j.yqres.2015.07.004>

783 Shuman, B. N., Marsicek, J., Oswald, W. W., & Foster, D. R. (2019). Predictable hydrological and ecological
784 responses to Holocene North Atlantic variability. *Proceedings of the National Academy of Sciences of the*
785 *United States of America*, 116(13), 5985–5990. <https://doi.org/10.1073/pnas.1814307116>

786 Steinman, B. A., & Abbott, M. B. (2013). Isotopic and hydrologic responses of small, closed lakes to climate
787 variability: Hydroclimate reconstructions from lake sediment oxygen isotope records and mass balance
788 models. *Geochimica et Cosmochimica Acta*. <https://doi.org/10.1016/j.gca.2012.11.027>

789 Steinman, B. A., Abbott, M. B., Nelson, D. B., Stansell, N. D., Finney, B. P., Bain, D. J., & Rosenmeier, M. F.
790 (2012). Isotopic and hydrologic responses of small, closed lakes to climate variability: Comparison of
791 measured and modeled lake level and sediment core oxygen isotope records. *Geochimica et Cosmochimica*
792 *Acta*, 105, 455–471. <https://doi.org/10.1016/j.gca.2012.11.026>

793 Stewart, R. B., & Rouse, W. R. (1976). A Simple Method for Determining the Evaporation From Shallow Lakes and
794 Ponds. *Water Resources Research*, 12(4), 623–628. <https://doi.org/10.1029/WR012i004p00623>

- 795 Stokes, S., & Gaylord, D. R. (1993). Optical Dating of Holocene Dune Sands in the Ferris Dune Field, Wyoming.
796 *Quaternary Research*, 39, 274–281.
- 797 Talbot, M. R. (1990). A review of the palaeohydrological interpretation of carbon and oxygen isotopic ratios in
798 primary lacustrine carbonates. *Chemical Geology (Isotope Geosci. Sect.)*, 80, 261–279.
- 799 Talbot, M. R., & Kelts, K. (1990). Paleolimnological signatures from carbon and oxygen isotopic ratios in
800 carbonates from organic-rich lacustrine sediments. *Lacustrine Exploration: Case Studies and Modern*
801 *Analogs*, 99–112.
- 802 Tan, L. C., An, Z. S., Cai, Y. J., & Long, H. (2008). Hydrological representation of the 4.2 ka BP event in
803 China and its global linkages. *Geological Review*, 54(1), 94–104.
- 804 Thompson, R. S., Whitlock, C., Bartlein, P. J., Harrison, S. P., Geoffrey Spaulding, W. (1993). Climatic Changes in
805 the Western United States since 18,000 yr B.P. In P. J. Wright, Jr., H. E., Kutzbach, J. E., Webb III, T.,
806 Ruddiman, W. F., Street-Perrott, F. A., Bartlein (Ed.), *Global Climates since the Last Glacial Maximum* (pp.
807 468–513). University of Minnesota Press.
- 808 Tyler, J. J., Leng, M. J., & Arrowsmith, C. (2007). Seasonality and the isotope hydrology of Lochnagar, a Scottish
809 mountain lake: Implications for palaeoclimate research. *Holocene*, 17(6), 717–727.
810 <https://doi.org/10.1177/0959683607080513>
- 811 Walker, M., Gibbard, P., Head, M. J., Berkelhammer, M., Björck, S., Cheng, H., et al. (2019). Formal Subdivision
812 of the Holocene Series/Epoch: A Summary. *Journal of the Geological Society of India*, 93(2), 135–141.
813 <https://doi.org/10.1007/s12594-019-1141-9>
- 814 Wang, Y., Cheng, H., Edwards, R. L., He, Y., Kong, X., An, Z., ... & Li, X. (2005). The Holocene Asian monsoon:
815 links to solar changes and North Atlantic climate. *Science*, 308(5723), 854–857.
- 816 Wanner, H., Wanner, H., Mercolli, L., Mercolli, L., Grosjean, M., Grosjean, M., & Ritz, S. P. (2015). Holocene
817 climate variability and change; a data-based review. *Journal of the Geological Society*, 172(2), 254–263.
818 <https://doi.org/10.1144/jgs2013-101>
- 819 Wanner, Heinz, Beer, J., Bütikofer, J., Crowley, T. J., Cubasch, U., Flückiger, J., et al. (2008). Mid- to Late
820 Holocene climate change: an overview. *Quaternary Science Reviews*, 27(19–20), 1791–1828.
821 <https://doi.org/10.1016/j.quascirev.2008.06.013>
- 822 Wanner, Heinz, Solomina, O., Grosjean, M., Ritz, S. P., & Jetel, M. (2011). Structure and origin of Holocene cold

823 events. *Quaternary Science Reviews*, 30(21–22), 3109–3123. <https://doi.org/10.1016/j.quascirev.2011.07.010>

824 Weiss, H. (2016). Climate change and cultural evolution across the world. *Past Global Change Magazine*, 24(2),
825 62–63. <https://doi.org/10.22498/pages.24.2.55>

826 Weiss, H. (2019). Interactive comment on “ Is there evidence for a 4.2 ka BP event in the northern North Atlantic
827 region ?” by Raymond Bradley and Jostein Bakke. *Climate of the Past Discussions*.
828 <https://doi.org/https://doi.org/10.5194/cp-2018-162-RC2>, 2019

829 Whitlock, C., & Bartlein, P. J. (1993). Spatial Variations of Holocene Climatic Change in the Yellowstone Region.
830 *Quaternary Research*, 39, 231–238.

831 Wise, E. K. (2010). Spatiotemporal variability of the precipitation dipole transition zone in the western United
832 States. *Geophysical Research Letters*, 37(7), n/a-n/a. <https://doi.org/10.1029/2009gl042193>

833 Xiao, J., Zhang, S., Fan, J., Wen, R., Zhai, D., Tian, Z., & Jiang, D. (2018). The 4.2 ka BP event:
834 Multi-proxy records from a closed lake in the northern margin of the East Asian summer monsoon. *Climate of*
835 *the Past*, 14(10), 1417–1425. <https://doi.org/10.5194/cp-14-1417-2018>

836 Yan, M., & Liu, J. (2019). Physical processes of cooling and mega-drought during the 4.2 ka BP event: Results from
837 TraCE-21ka simulations. *Climate of the Past*, 15(1), 265–277. <https://doi.org/10.5194/cp-15-265-2019>

838 Zhang, H., Cheng, H., Cai, Y., Spötl, C., Kathayat, G., Sinha, A., et al. (2018). Hydroclimatic variations in
839 southeastern China during the 4.2 ka event reflected by stalagmite records. *Climate of the Past*,
840 14(11), 1805–1817. <https://doi.org/10.5194/cp-14-1805-2018>

841 Zhu, C., Lettenmaier, D. P., & Cavazos, T. (2005). Role of antecedent land surface conditions on North American
842 monsoon rainfall variability. *Journal of Climate*, 18(16), 3104–3121. <https://doi.org/10.1175/JCLI3387.1>

843