



1 Humidity changes and possible forcing mechanisms over

2 the last millennium in arid Central Asia

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14 Abstract

15 Hydroclimate changes have exerted a significant influence on the historical trajectory of ancient 16 civilizations in arid Central Asia where the central routes of the Silk Road have been hosted. However, 17 the climate changes at different time scales and their possible forcing mechanisms over the last 18 millennium remain unclear due to low-resolution records. Here, we provide a continuous high-resolution 19 humidity history in arid Central Asia over the past millennium based on the ~1.8-year high-resolution 20 multiproxy records with good chronological control from Lake Dalongchi in the central Tianshan 21 Mountains. Generally, the climate was dry during the Medieval Warm Period (MWP) and Current Warm 22 Period (CWP), and wet during the Little Ice Age (LIA), which could be attributed to the influence of the 23 North Atlantic Oscillation (NAO) and the Atlantic Multidecadal Oscillation (AMO). Furthermore, we 24 find that the humidity oscillation was dramatic and unstable at multidecadal to century-scale, especially 25 within the LIA. The continuous wavelet analysis and wavelet coherence show that the humidity 26 oscillation is modulated by the Gleissberg cycle at the century-scale and by the quasi-regular period of 27 El Niño-Southern Oscillation (ENSO) at the multidecadal scale. Our findings suggest that the effect of 28 the solar cycle and the quasi-regular period of ENSO should be seriously evaluated for hydroclimate 29 predictions and climate simulations in arid Central Asia in the future.

30 1 Introduction





31	Arid Central Asia (ACA), far away from the ocean, is not only one of the driest and largest inland
32	regions worldwide, but also the birthplace of the far-reaching ancient civilizations that spread along the
33	Silk Road (Li et al., 2016; Narisma et al., 2007). Scarce precipitation, intense evaporation and fragile
34	ecosystems render this region sensitive to abrupt changes in effective humidity. Proxy records from ACA
35	are valuable for understanding the driving factors and processes of underlying hydroclimate evolution in
36	the inland region, which provides useful reference for human adaptation to hydroclimate changes at
37	present and into future (Li et al., 2016), yet very few high-quality records exist over the past millennium.
38	Several records from ACA show similar climate patterns over the past millennium, i.e., a relatively dry
39	Medieval Warm Period (MWP) and Current Warm Period (CWP), and a wet Little Ice Age (LIA) (Chen
40	et al., 2015; Chen et al., 2010; Chen et al., 2019a; Chen et al., 2019b). However, the climate changes at
41	short-time scale are unclear. Additionally, some debates occur regarding the driving mechanism of
42	natural hydroclimate evolution over the last millennium in ACA. Many studies emphasize that internal
43	climate variability (i.e., atmosphere-sea interaction) is supposed to be more marked than external factors
44	(e.g., solar forcing) in influencing hydroclimate changes (Chen et al., 2019a; Chen et al., 2006; Lan et
45	al., 2019). In contrast, several paleoclimate records prefer to highlight the possible solar forcing to the
46	humidity oscillation over the last millennium (Song et al., 2015; Zhao et al., 2009; He et al., 2013; Ling
47	et al., 2018). These debates are largely attributed to low-resolution records or inevitable chronological
48	uncertainties due to the old carbon effect (Chen et al., 2010).

Here, we present a continuous ~1.8-year high-resolution humidity reconstruction with good chronological control from Lake Dalongchi in the central Tianshan Mountains of ACA over the past millennium (1180-2018 CE). Low- and high-frequency signals recovered from our reconstruction offer the potential to detect climate fluctuations at decadal to centennial scales, as well as long-term changes. Then, we explore the contribution of internal climate variability and solar activity to humidity oscillations at different timescales over the past millennium.

55 2 Study site

Lake Dalongchi (83°16'48"~83°18'15" E, 42°26'31"~42°26'58" N, 2400 m above sea level) located
on the south slope of the central Tianshan Mountains, is an ideal location for investigating hydroclimate
changes in ACA (Fig. 1a), as the lake sits in the core area of the 'westerlies dominated climatic regime
(WDCR) ' (Chen et al., 2019a). It is an alpine freshwater lake formed by glacial moraine damming and





60	has a mean pH of 8.03 and a salinity of 0.31 g L ⁻¹ . The lake is mainly fed by the surrounding river and
61	precipitation within the catchment and glacial meltwater from the surrounding mountains at high
62	elevations. The lake water flows out into Lake Xiaolongchi through the underground river. The lake
63	water from Xiaolongchi flows westwards into the Kuche River basin (Fig. 1b). Measured in July 2018,
64	the lake covers a surface area of ${\sim}1.4~km^2$ and has a catchment area of ${\sim}131~km^2.$ The maximum water
65	depth of Lake Dalongchi reaches 7.4 m in the western lake and its depth largely decreases from west to
66	east (Fig. 1c). Alpine coniferous forests dominated by Picea primarily thrive on the south and west slopes
67	of the surrounding mountains, while the north slope is dominated by shrubs. According to the records
68	from 1958 to 2000 at Bayanbuluk Station which is located ${\sim}100$ km to the northeast of Lake Dalongchi,
69	the mean annual temperature is -4.6 °C with a July average of 10.9 °C and a January average of -26.4 °C,
70	and the mean annual precipitation and evaporation are 270 mm and 3200 mm, respectively. The mean
71	annual precipitation is far less than the evaporation capacity. Moreover, most of the precipitation occurs
72	as convective rainfall from June-August (Lan et al., 2018).

73 3 Materials and methods

74 3.1 Sampling

75 In July 2018 and August 2019, we retrieved several parallel long sediment cores from Lake Dalongchi at a maximum water depth of 7.4 m using the UWITEC platform manufactured in Austria, 76 77 and several short cores using a piston gravity corer (Fig. 1c). To determine overlaps and ensure the 78 continuity of the cores, magnetic susceptibility (MS) nondestructive scanning of all long and short 79 sediment cores was used to obtain a 6.95m-long composite core (DLC1819) (Fig. 2). The composite core 80 is mainly composed of clayey silt and can be divided into two lithology units from bottom to top. Unit A 81 (695-430 cm) is mainly composed of dark or light brown clayey silt with clear lamination. Unit B (430-82 0 cm) has a dramatic lithological variation characterized by brown or greyish-green clayey silt and no 83 obvious lamination (Fig. 2).

84 3.2 Laboratory analysis

DLC1819 core was subsampled at 1-cm intervals. All subsamples were stored in a freezer in our laboratory at a constant temperature of 4 °C and used for MS, grain size, total organic carbon (TOC), and total nitrogen (TN) analyses. Samples for MS were dried at below 40 °C in a constant temperature airblast drying oven and ground and packed into standard plastic boxes with a capacity of 2*2*2 cm³. A





- 89 Bartingon MS2 susceptibility meter was used to measure the sample mass susceptibility. Samples used 90 for grain size measurement were pretreated with 10-20 mL of 10% H₂O₂ to remove organic matter and 91 then with 10 mL of 10% HCl to remove carbonates; next, samples were rinsed with deionized water and 92 finally treated with 10 mL 0.05 M (NaPO₃)₆ on an ultrasonic vibrator for 10 min to promote dispersion. 93 Grain size distributions were determined using Malvern/MS 3000 laser grain size analyzer. The samples 94 used for TOC and TN determination were decalcified with 10% HCl and rinsed repeatedly with deionized 95 water. Then, ~3-5 mg dried and ground samples were analyzed using the EURO EA 3000 elemental 96 analyzer.
- 97 Terrestrial plant remains at different depths in DLC1819 core were used for accelerator mass spectrometry (AMS) ¹⁴C measurements at Beta Analytic Inc., U.S.A. (Table 1). The uppermost sediments 98 were used for the radiometric dating by measuring the activity of ¹³⁷Cs as a function of depth. The 99 100 samples of the uppermost sediments at 0.5 cm intervals were dried and ground to less than 100 mesh and then loaded into the 5 mL cylindrical PVC tube. Radio-activities measurement of 137Cs was measured by 101 102 Spectrum Analysis System consisting of a high-purity germanium well detector produced by American 103 EG& G Ortec Company, Ortec 919 spectrum controller, and IBM microcomputer with a 16 K channel 104 multichannel analyzer.
- 105 4 Results

106 4.1 Chronology

- 107 A significant increase in ¹³⁷Cs activities occurred at approximately 71 cm, which could be attributed 108 to the onset of rising concentrations of ¹³⁷Cs in the Northern Hemisphere (NH) at 1952 CE (Fig. 3a). The 109 distinct peak at the depth of 64 cm was taken as the 1963 CE global fallout maximum (Pennington et al., 1973) (Fig. 3a). We established the age-depth model of DLC1819 based on the 2 137 Cs ages and 9 110 111 radiocarbon ages by the Bacon 2.2 procedure in R 3.2 software using the Bayesian method (Blaauw and 112 Christen, 2011) (Fig. 3b). Chronology results show that DLC1819 core covers the past 840 years with an 113 approximate average sedimentation rate of 0.9 cm/yr. 114 4.2 TOC, TN, C/N, MS, Grainsize
- 115TOC and TN results show broadly similar changes and vary between 1.14% and 8.33%, and between1160.09% and 0.59%, respectively (Fig. 2). C/N ratios fluctuate between 7.95 and 18.42 with an average of11710.88 and the values of C/N ratios exceed 10 at the depth of 440-650 cm, 330-400 cm, 230-300 cm, and





130-180 cm (Fig. 2). MS values of DLC1819 core vary between 5.90 and 41.89×10⁻⁸ m³/Kg with an 118 average of 20.66×10^{-8} m³/Kg (Fig. 2). The silt fraction values fluctuate from 43.64% to 88.50% with an 119 120 average of 71.91% while the variation of clay fraction has an opposite trend to that of silt, and its values 121 vary from 11.84% to 54.26% with an average of 25.97% (Fig. 2). Sand fraction only accounts for 2.43% 122 of the total grain size on average. Generally, the higher values of the MS and silt fraction and the lower 123 values of the clay fraction correspond to the higher C/N ratios and vice versa (Fig. 2). 124 4.3 Humidity Index reconstruction Lake Dalongchi is a typical small lake with a surface area of ~ 1.4 km². The drainage basin is 125

126 surrounded by high mountains and has a steep headwall. Thus, it possesses sediments that preserve a 127 highly sensitive record of past watershed material input changes. During the humid/dry period 128 represented by high/low lake level and enlarged/reduced lake area, exogenous materials containing 129 magnetic minerals, coarse grain components, and terrestrial plants were poorly/easily transported to the 130 site from which we took the core due to the long/short distance from the lakeshore and 131 reduction/intensified erosion in the basin (Fig. 4). Terrestrial plants usually have C/N ratios of more than 132 20 (Meyers, 1994, 2003), so the increased C/N ratios reflect the input amount of allochthonous organic 133 matter. Thus, the high susceptibility, silt content, and C/N ratios indicate a dry climate and vice versa 134 (Fig. 4).

Accordingly, multiple proxies, such as C/N ratios, MS, silt, and clay fractions, were synthetically employed to reconstruct the Humidity Index (HI) in Lake Dalongchi region over the past millennium (Fig. 5). As the high values of C/N ratios, MS, and silt contents, and low values of clay content reflect the arid climatic environment, the first three records multiplied by (-1) and clay content were normalized to a Z-score (Figs. 5b, c, d, e). Then the HI was derived from the average of the normalized standard Zscores. Positive and negative Z-scores indicate dry and wet climatic conditions (Fig. 5a).

141 **5 Discussion**

142 5.1 The humidity changes over the last millennium

The reconstructed HI shares good consistency with the instrumental relative humidity records over the past 60 years ($r = 0.298^*$) from the nearby Bayanbuluk meteorological station (Fig. 6a), verifying the reliability of the humidity reconstruction. The HI changes show that the climate was dry during the MWP (1180-1420 CE) and CWP (1920-2018 CE), and wet during the LIA (1420-1920 CE) (Fig. 6b). This





147	multi-centennial climate pattern is in agreement with the hydroclimatic patterns revealed by numerous
148	studies in ACA (Chen et al., 2006; Song et al., 2015; Lan et al., 2018; Lan et al., 2019; Zhao et al., 2009;
149	He et al., 2013; Ma and Edmunds, 2006; Gates et al., 2008) (Figs. 6c, d, e, f, g). Previous studies indicate
150	the negative phase of the NAO and AMO during the LIA favors increasing precipitation in ACA (Chen
151	et al., 2019b; Chen et al., 2015; Lan et al., 2018; Aichner et al., 2015; Chen et al., 2006; Chen et al.,
152	2016). During the MWP, the positive phase of the NAO with enhanced pressure between the Azores High
153	and the Icelandic Low would lead to strengthened zonal flow, and the axis of maximum moisture
154	transport and preferred storm track extend to the north and east (Trouet et al., 2009). In contrast, the axis
155	of maximum moisture transport and preferred storm track migrated southwards when the NAO was in a
156	negative phase during the LIA (Hurrell, 1995). A general cold (warm) phase of the AMO corresponds to
157	the negative (positive) NAO phase during the LIA (MWP) (Wang et al., 2017; Ortega et al., 2015),
158	leading to a weaker (strong) upper-level jet stream intensity and further resulting in development
159	(recession) of the through-ridge system, consequently contributing to the increased (decreased)
160	precipitation in ACA (Chen et al., 2019b). Thus, the multi-centennial behavior in our reconstruction
161	might be related to the influence of the NAO and AMO on hydroclimate changes during in MWP to LIA.
162	Notably, distinct from other records in ACA (Chen et al., 2006; Ma and Edmunds, 2006; Gates et
163	al., 2008; He et al., 2013), our reconstruction shows dramatic and unstable multidecadal to century-scale
164	climatic variability, especially within the LIA (Fig. 6b). Four wet episodes with the sharp high HI values
165	were recorded in the 1420-1470, 1550-1600, 1650-1720, 1800-1920 CE, and three dramatic dry periods
166	with the low HI values were recorded in the 1470-1550, 1600-1650, 1720-1800 CE during the LIA (Fig.
167	6b). Continuous Wavelet Transform (CWT) of HI exhibits a significant century-scale dominant
168	oscillation ranging from 88 to 157 years, which is nearly throughout the entire time series and prominent
169	in 1450-1800 CE, as well as a strong 50~65-year multidecadal oscillation at a 95% confidence level
170	relative to the red noise spectrum (Fig. 7a).
171	5.2 The role of the Gleissberg cycle

There is a strong link and inverse relationship between the HI and TSI (Fig. 7b). The HI increased
significantly and reached its peak during the several grand solar minimums (Wolf, Spörer, Maunder,
Dalton), whereas the humidity decreased rapidly in the maximum solar activity period (Fig. 7b). The
Wavelet Coherence (WTC) spectrum between HI and TSI shows a strong correlation and anti-phase





176 pattern (Fig. 7c). Periodicities of significant coherence for HI and TSI occurred at 88 to 146 years, 177 particularly from 1400 CE to the present. Arrows in the significant coherence spectral area point almost 178 entirely to the left, implying the persistent negative correlation of HI and TSI (Fig. 7c). The WTC result 179 confirms that the persistent 88-157-year cycle of HI in CWT is associated with solar activity (Figs. 7a, 180 c). The cycle of 88-146 years should be attributed to the century-scale solar cycle of Gleissberg 181 (Gleissberg, 1958; Gleissberg, 1965; Ogurtsov et al., 2015). Shindell et al. examined the climate response 182 to the solar forcing at the Maunder Minimum and indicated that even relatively small solar activity might 183 play a primary role in century-scale climate change in NH (Shindell et al., 2001). The possible solar 184 contribution of the Gleissberg century cycle to climate changes over at least the last millennium is mainly 185 concentrated in the North Atlantic region (Moffa-Sánchez et al., 2014; Ogurtsov et al., 2002b; Ogurtsov 186 et al., 2002a; Ogurtsov et al., 2015). Our reconstruction provides strong evidence for negative link 187 between the Gleissberg solar cycle and humidity changes at century timescales.

The sediment records from Lake Manas in ACA showed a negative relationship between temperature and moisture variation on century timescales (Song et al., 2015). Moreover, the inverse relationship between lake-level proxies and precipitation in Qaidam Basin suggested that increased evaporation rather than decreased inflow was more responsible for lowered lake levels (Zhao et al., 2009). Therefore, a solar forcing might weaken the influence of mid-latitude precipitation and play an important role in regulating effective humidity in ACA at century timescales by controlling temperature and evaporation.

195 5.3 Linkage to ENSO

196 Paleoclimatic proxies and historical records suggest that the El Niño-Southern Oscillation (ENSO) 197 has long-term variability in amplitude and frequency on multidecadal to centennial timescales (Yeh and 198 Kirtman, 2007; D'arrigo et al., 2005; Li et al., 2011; Mann et al., 2000). On the multi-centennial timescale 199 (i.e., MWP to LIA), the HI and ENSO variance (Li et al., 2011) show a similar trend in amplitude changes 200 (Fig. 7d). The amplitudes of HI and ENSO variance both show a distinctly increasing trend during the 201 LIA and maintain a relatively high level from ~1650 to 1950 CE (Fig. 7d). This trend suggests that the 202 relatively humid environment and unstable hydroclimate in ACA may be associated with the increase in 203 ENSO variance amplitude (Li et al., 2011) and more frequent ENSO events (Rustic et al., 2015) during 204 the LIA. The results here support previous studies showing that ENSO might affect hydroclimate





205 variability in ACA at multi-centennial timescales with La Niña-like (EI Niño-like) conditions during the 206 MWP (LIA) (Chen et al., 2019a; Chen et al., 2015). 207 On the multidecadal timescales, however, the WTC between the HI and ENSO variance shows a 208 robust negative phase relationship (Fig. 7e). In particular, the WTC result shows that the HI has a similar 209 quasi-regular cycle of ENSO variance from 82-90-yr during the MWP to 50-60-yr thereafter (Li et al., 210 2011), which reveals the potential modulation of these quasi-regular cycles of ENSO variance to extreme 211 humidity oscillations at the multidecadal timescales in ACA (Fig. 7e). Daily observational precipitation 212 and National Center for Environmental Prediction (NCEP) reanalysis data suggest that the low-level 213 water vapor fluxes from the Indian Ocean, transported along the eastern periphery of the Tibetan Plateau, are the most important factor leading to rainstorms in ACA (Huang et al., 2017). Thus, the quasi-regular 214 215 periodic variation in ENSO amplitude is likely to influence the extreme hydroclimate at multidecadal 216 scales in ACA over the past millennium by altering the meridional circulation conditions (Huang et al., 217 2017). However, the mechanisms for the different timescales between the ENSO amplitude and 218 hydroclimate changes in ACA require further exploration through high-resolution records and simulation 219 experiments.

220 6 Conclusions

221 We present the Humidity Index (HI) in ACA over the past millennium based on the ~1.8-year high-222 resolution multiproxy records from Lake Dalongchi in the central Tianshan Mountains. Our results reveal 223 dramatic and unstable multidecadal to century-scale humidity oscillations over the last millennium, 224 especially within the LIA, which is distinct from other records of ACA. Our findings emphasize that the 225 Gleissberg solar cycle and quasi-regular period of ENSO amplitude play critical roles in controlling the 226 effective humidity in ACA at century and multidecadal timescales, respectively. However, high-227 resolution records at different time scales and climate model simulations are still needed to improve our 228 understanding of the physical mechanisms of the links between solar irradiance and ocean-atmosphere 229 modes and how their coupling affects moisture variation in ACA.

230 Data availability

The reconstructed Humidity Index in this study are submitted to the datasets of the 4TU Center for
 Research Data, which can be temporarily available at <u>https://figshare.com/s/66270515b62cb166d8c9</u>.
 Author contributions





- 234 SF and XL conceived this study, carried out the laboratory analysis and data interpretation, and
- 235 wrote the manuscript; FS and YL performed the data analysis; XM and JW participated in the retrieval
- 236 of the sediment core and sampling. All authors discussed the results and commented on the manuscript.
- 237 Competing interests
- 238 The authors declare that they have no conflict of interest.
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No.	Lab. No	Sample ID	Composite	Analyzed	δ ¹³ C	¹⁴ C age	Calendar
			depth (cm)	material	(‰)	/a BP	age/CE
1	Beta - 514897	DLC-1-1-61	69	Wood	-24.9	90+/-30	1870-1928
2	Beta - 507553	DLC-1-1-135	143	Wood	-27.4	170+/-30	1721-1818
3	Beta - 507554	DLC-1-2-82	210	Wood	-31.4	230+/-30	1635-1684
4	Beta - 507555	DLC-1-2-155	283	Wood	-26.1	340+/-30	1470-1640
5	Beta - 507556	DLC-1-3-61	324	Wood	-28.1	430+/-30	1420-1498
6	Beta - 507557	DLC-1-3-119	382	Wood	-22.3	440+/-30	1416-1490
7	Beta - 514898	DLC-1-3-131	394	Wood	-23.7	370+/-30	1446-1528
8	Beta - 514901	DLC-3-5'-37	585	Wood	-23.6	670+/-30	1274-1320
9	Beta - 542591	DLC2019-1-5-76	625	Wood	-23.1	800+/-30	1184-1275

361 **Table 1.** Accelerator mass spectrometry (AMS) ¹⁴C dating results of DLC1819 core.

362





364 **Figure 1.** Maps of the study site. (a) The location of Lake Dalongchi. The map was generated by ArcMap

365 10.2 software (ESRI, USA, <u>http://www.esri.com</u>). (b) Watershed map of the study site. (c) Bathymetric

366 contour map of Lake Dalongchi with the coring site.







368 Figure 2. Sedimentary lithology and multi-proxy variation versus depth for the composite DLC1819

369 core.

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371 Figure 3. Age-depth model for the DLC1819 core of Lake Dalongchi. (a) The ¹³⁷Cs activity versus depth

372 in the uppermost sediments. (b) Bayesian age-model for the calibrated ages. Black dots indicate the 95%

- 373 probability intervals of the model.
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- 376 Figure 4. Cartoon schematic diagram illustrating a simple explanation of the lacustrine depositional
- 377 process in Lake Dalongchi region. Lake level condition and the transport process of exogenous materials
- 378 in the humid period (a) and the dry period (b).





- 381 (TOC/TN) ratios (b), the Z-scores of magnetic susceptibility (MS) (c), the Z-scores of silt fraction (d),
- and the Z-scores of clay fraction variation (e) in the DLC1819 core in Lake Dalongchi.







383 384 Figure 6. Humidity Index (HI) of Lake Dalongchi and comparison to other records in ACA over the last 385 millennium. (a) Comparison between the HI (blue line) and the standardized instrumental effective 386 humidity recorded by Bayanbuluk meteorological station (grey line). * represents the 0.05 significance 387 level. (b) The reconstructed HI for the past millennium (1180-2018 CE). (c) The synthesized moisture 388 curve over the last millennium in ACA (Chen et al., 2010). (d) Variations in the mean grain size along 389 the BST04H core in Bosten Lake (Chen et al., 2006). (e) The unsaturated recharge history in Badian Jaran (Gates et al., 2008; Ma and Edmunds, 2006). (f) Chironomid inferred salinity (Salch) in SG03I of 390 391 Sugan Lake (Chen et al., 2009). (g) %C37:4 from Lake Gahai (He et al., 2013). Light grey bars highlight

392 intervals of increased humidity in Lake Dalongchi during the LIA.







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394 Figure 7. The wavelet analysis of the HI and the relationship between the HI and the TSI or ENSO. (a) Continuous wavelet (CWT) power spectrum of the HI. The irregular thick black contour represents the 395 396 95% confidence level against red noise and the thin curved black solid line is the cone of influence (COI) 397 (Grinsted et al., 2004). (b) A comparison between the HI and the reconstructed total solar irradiance (TSI) 398 (Bard et al., 2000). Wolf, Spörer, Maunder, and Dalton represent several grand solar minimums that 399 occurred during the LIA. (c) The wavelet coherence (WTC) result between the HI and the TSI (Bard et 400 al., 2000). (d) As (b), but for ENSO variance reconstruction (Li et al., 2011). Orange arrows represent 401 the enhanced ENSO amplitude trend. (e) As (c), but for ENSO variance (Li et al., 2011). The 95% 402 confidence level against red noise is shown as an irregular thick black contour. The black arrows illustrate 403 the relative phase relationship: arrows pointing right are in-phase and those pointing left are anti-phase 404 (Grinsted et al., 2004).

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