

# 1 A 406-year non-growing season precipitation reconstruction in the 2 southeastern Tibetan Plateau

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13 **Abstract.** Trees record climatic conditions during their growth, and tree rings serve as proxy to reveal the features of the  
14 historical climate of a region. In this study, we collected tree-ring cores of forest hemlock (*Tsuga forrestii*) from the  
15 northwestern Yunnan area of the southeastern Tibetan Plateau (SETP), and created a residual tree-ring width (TRW)  
16 chronology. An analysis of the relationship between tree growth and climate revealed that precipitation during the non-growing  
17 season (NGS) (from November of the previous year to February of the current year) was the most important constraining factor  
18 on the radial tree growth of forest hemlock in this region. In addition, the influence of NGS precipitation on radial tree growth  
19 was relatively uniform over time (1956–2005). Accordingly, we reconstructed the NGS precipitation over the period spanning  
20 from A.D. 1600–2005. The reconstruction accounted for 28.5% of the actual variance during the common period 1956–2005.  
21 Based on the reconstruction, NGS was extremely dry during the years A.D. 1656, 1670, 1694, 1703, 1736, 1897, 1907, 1943,  
22 1969, 1982, and 1999. In contrast, the NGS was extremely wet during the years A.D. 1627, 1638, 1654, 1832, 1834–1835, and  
23 1992. Similar variations of the NGS precipitation reconstruction series and Palmer Drought Severity Index (PDSI)  
24 reconstructions of early growing season from surrounding regions indicated the reliability of the present reconstruction. A  
25 comparison of the reconstruction with Climate Research Unit (CRU) gridded data revealed that our reconstruction was  
26 representative of the NGS precipitation variability of a large region in the SETP.

27 **Keywords:** Tree rings; Non-growing season precipitation; Reconstruction; Southeastern Tibetan Plateau

## 28 **1 Introduction**

29 Unravelling the past climate often relies on proxy records. As a widely used proxy material, tree rings provide an opportunity  
30 to obtain long-term climate data (Fritts, 1976; Esper et al., 2002; D'Arrigo et al., 2005; Li et al., 2011; Büntgen et al., 2011,  
31 2016; Cai et al., 2014; Yang et al., 2014; Schneider et al., 2015; Wilson et al., 2016; Keyimu et al., 2021). These long-term  
32 records enable us to identify the inter-annual, decadal and multi-decad variability of historical climatic conditions. They also  
33 provide a reference to better understand the nature of current climatic conditions (warming/cooling, drying/wetting) and to  
34 project the future regional climate, as well as the dynamic response of earth processes (e.g., forest growth, glacier  
35 retreat/advance, stream flow, drought frequency, and forest fires) to climate change.

36 Being the “third pole” of the Earth, the Tibetan Plateau (TP) (average 4000 m a.s.l.) is particularly sensitive to climate change  
37 and is one of the fastest warming places in the world (Chen et al., 2020). The average decadal temperature increase at the TP  
38 is 0.33°C, which is higher than the world’s average decadal temperature increase of 0.20°C (Yan and Liu, 2014). Because of  
39 its geographical extent and position within the global circulation system, the TP plays a key role in regional and global  
40 atmospheric circulation patterns (Griessinger et al., 2017), not only affecting the mid-latitude westerlies, but also influencing  
41 the Asian monsoon circulation through its thermo-dynamical feedbacks (Duan et al., 2006; Rangwala, 2009; Wu et al., 2015).

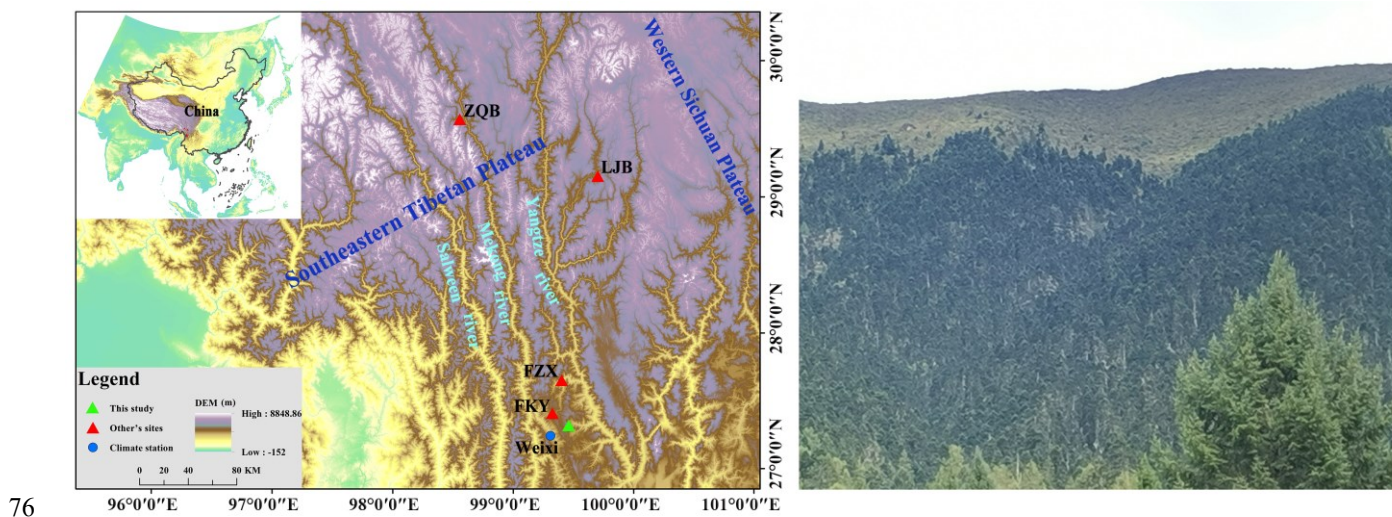
42 There are large areas of coniferous forest distributed at high altitudes in the southeastern Tibetan Plateau (SETP). Due to  
43 their age and relative lack of disturbance they are a source of proxy material (tree rings) that can be used to reveal the past  
44 climatic conditions in this region (Bräuning and Mantwill, 2004; Fan et al., 2009; Fang et al., 2010; Li et al., 2011; Wang et  
45 al., 2015; Li and Li., 2017; Shi et al., 2017; Huang et al., 2019; Shi et al., 2019; Keyimu et al., 2021). Many  
46 dendroclimatological reconstructions of hydroclimatic variables have also been conducted in the SETP (Fan et al., 2008; Zhang  
47 et al., 2015; Wernicke et al., 2015; Griessinger et al., 2017; Li et al., 2017; He et al., 2018). However, few studies have focused  
48 on the reconstruction of precipitation history (He et al., 2012; Wernicke et al., 2015). The non-growing season (NGS) of  
49 vegetation (from November of the previous year to February of the current year) includes the non-monsoon and pre-monsoon  
50 seasons in the SETP, and water availability during the NGS might therefore have a constraining effect on radial tree growth  
51 (Linderholm and Chen, 2005). It is important to understand the long-term precipitation variations during the NGS to evaluate  
52 the current trend of precipitation variation and estimate its future patterns, and to determine the future responses of the forest  
53 ecosystem under the changing precipitation trend. To our knowledge, however, there have been no reports of the reconstruction  
54 of NGS precipitation in this area. This hinders our understanding of NGS variability from a long-term perspective.

55 In this study, we collected tree-ring cores of forest hemlock from the Xinzhu Village of northwestern Yunnan in the SETP.  
56 The main objectives of the present study were to (1) identify the relationship between the radial growth of forest hemlock and  
57 climate, (2) reconstruct the regional precipitation history, and (3) validate the reliability of the reconstruction. Our results not  
58 only improve the historical precipitation information available in the SETP, but also provide the basis to evaluate the current  
59 trend of regional NGS precipitation variation, as well as the future development of regional forest growth.

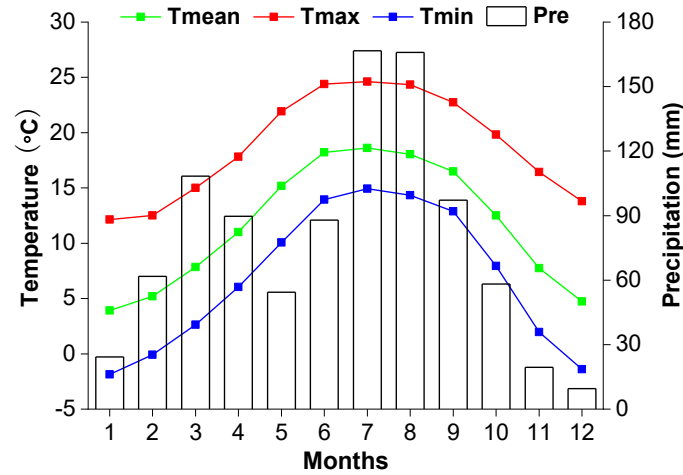
## 60 2 Materials and methods

### 61 2.1 Study area and sampling sites

62 Tree-ring core samples were collected from Xinzhu Village in Lijiang County in northwestern Yunnan. The sample site was  
63 in the Hengduan Mountains in the SETP (Fig. 1). The climate of the study area is regulated by a westerly circulation and the  
64 monsoon circulations of the Indian and Pacific oceans. “Hengduan” means “transverse” in the Chinese language, which implies  
65 that the mountains in this region lie in the transverse direction from south to north, and the area is a passageway for the Indian  
66 monsoon to flow in and climb up to the TP and other parts of the mainland. The SETP is susceptible to monsoon flow and  
67 atmospheric circulations (Bräuning and Mantwill, 2004). According to the Weixi meteorological station of the China  
68 Meteorological Administration, which was the closest station to our sampling site, the mean annual precipitation was 953 mm  
69 from 1955 to 2016. Most of the annual precipitation (Nearly 70%) concentrated in the monsoon season from May to October  
70 in this region (Fig. 2), and thus, tree growth is usually constrained by water availability during non-growing season. The coldest  
71 temperature was  $-2.9^{\circ}\text{C}$  in January and the warmest temperature was  $18.6^{\circ}\text{C}$  in July. Tree-ring cores of forest hemlock were  
72 collected at a site that had not been impacted by anthropogenic disturbances. The elevation of the sampling site was 2,966 m  
73 a.s.l. A total of 48 tree-ring cores were extracted from 48 trees using a 5.1 mm diameter increment borer. We have used one  
74 sampling per tree method to improve the spatial representativity of radial tree growth. Sampling was conducted along an axis  
75 perpendicular to the slope inclination to avoid the impact of tension wood (Keyimu et al., 2020).



77 **Figure 1:** Map of the study area. The green triangle is the study site. The red triangles are the sites in other studies (previous year May –  
78 current year April PDSI reconstruction site in Fang et al., 2010; current year March – May PDSI reconstruction site in Fan et al., 2008;  
79 current year April – June PDSI reconstruction site in Li et al., 2017; current year May – June PDSI reconstruction site in Zhang et al., 2015).  
80 The blue dot is the meteorological station in Weixi County. On the right is the landscape image of tree ring sampling site.



82

83 **Figure 2:** The ombrothermic diagram of the climate variables in the study area

## 84 2.2 Establishment of the tree-ring chronology

85 The tree-ring samples were treated with standard dendrochronological procedures. They were first glued onto wooden holders  
 86 and air-dried, and then polished to a flat surface with sand paper until the tree rings were clearly visible. The LINTAB 6.0 tree  
 87 ring measurement system was used to measure the tree-ring width (TRW). Crossdating was conducted visually by marking  
 88 each sample at each ten-year interval, and then its quality was confirmed using the COFFECHA program (Holmes, 1983).  
 89 Thirty-eight of the tree-ring cores were adopted for a further analysis after excluding the bad quality samples and the un-  
 90 crossdated samples. The tree-ring series was detrended with a negative exponential model to remove the age dependency of  
 91 tree growth (Cook et al., 1995). We have used the residual chronology since it removes the auto-correlation in tree ring growth  
 92 and captures high frequent climate signal. The “dplr” software toolkit (Bunn, 2018) within the R software environment (R  
 93 Core Team 2020) was used for detrending and chronology establishment. The reliable period of the chronology was determined  
 94 based on the criterion of expressed population signal (EPS) > 0.85 (Wigley, 1984).

## 95 2.3 Climate data

96 Temperature and precipitation records were obtained from the Weixi meteorological station (27.17° N, 99.28° E, 2326 m a.s.l.)  
 97 operated by the China Meteorological Administration. Data was available for the period of 1955–2005. Climate data (including  
 98 the maximum, minimum and average temperatures, and precipitation) were provided by the China Meteorological Data  
 99 Sharing Service Platform. A self-calibrated Palmer Drought Severity Index (scPDSI) was downloaded from the 3.26e gridded  
 100 dataset of the Climate Research Unit (CRU) via the Royal Netherlands Meteorological Institute (KNMI) climate explorer (data

101 accessed on 23<sup>rd</sup> December, 2020, data re-accessed for the updated version (CRU scPDSI 4.05 early) of PDSI data on 20<sup>th</sup> of  
102 April, 2021) using the coordinates of the tree ring sampling site. The range of CRU grid box is 27.0 – 27.5° N, 99.0 – 99.5° E.

#### 103 **2.4 Tree growth and climate relationship analysis**

104 We analysed the relationship between climate and tree growth using Dendroclim 2002 software (Biondi and Waikul, 2004).  
105 Pearson correlation values and response function values were calculated for the relationships between TRW indices and climate  
106 variables for the period of 1955–2005. Due to the carry over effect of the climatic conditions of the previous-year on the current  
107 year tree growth (Fritts, 1976), the tree growth – climate relationship analysis spanned a 16-month period from June of the  
108 previous year to September of the current year. We also used the seasonalised climate variables because it made more eco-  
109 physiological sense for growth than single months. To observe the temporal stability of the climate influence on radial tree  
110 growth, we conducted a moving correlation analysis at a moving interval of 32 years. All the correlation results were considered  
111 significant at the 95% confidence level.

#### 112 **2.5 Climate reconstruction**

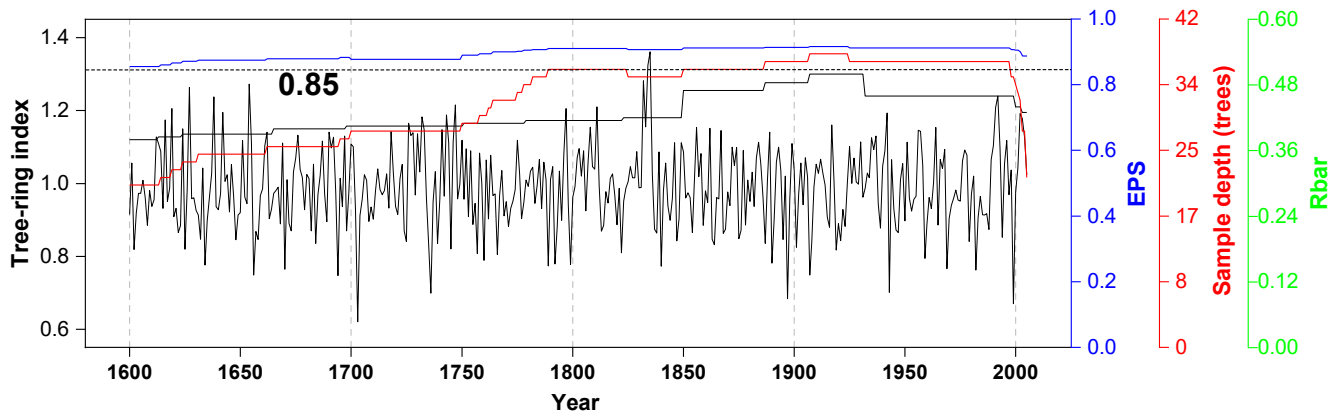
113 According to the analysis of the relationship between the TRW indices and constraining climatic factors, we developed a linear  
114 regression model (Cook and Kairiukstis, 1990) for the climate reconstruction. As in many other tree ring based climate  
115 reconstructions, we tested the goodness-of-fit of the model using the leave-one-out cross-validation method (Michaelsen, 1987).  
116 We used the Pearson’s correlation coefficient ( $r$ ), explained variance ( $R^2$ ), adjusted explained variance ( $R_{adj}^2$ ), reduction of  
117 error (RE), sign test (ST), coefficient of efficiency (CE), and product mean test (Pmt) to evaluate the fidelity of the  
118 reconstruction model (Fritts et al., 1990).

### 119 **3. Results**

#### 120 **3.1 Characteristics of the TRW chronology**

121 Residual TRW chronology of forest hemlock from the investigation area was established (Fig. 3). The descriptive statistics of  
122 the chronology were presented in Table 1. According to the criteria of  $EPS > 0.85$ , the most reliable length of the TRW  
123 chronology was 406 years (A.D. 1600–2005). The mean correlation among tree-ring series ( $R_{bar}$ ) was 0.48, and the variance  
124 in the first eigenvector (VFE) was 27 %, which implied a relatively strong common signal among individual trees constituting  
125 the chronology. The relatively low inter-annual variability of the chronology was expressed by the small mean sensitivity value  
126 (0.23). The EPS and SNR values (average EPS and SNR were 0.89 and 6.87 for the total length chronology, respectively)  
127 further implied the existence of the common signal among each individual measurement series. In general, all the statistical  
128 parameters indicated the potential climate signal imprinted in our TRW chronology.

129



130

131 **Figure 3:** Plot of tree-ring residual chronology, the running inter-correlations among cores (Rbar, the green line), expressed population  
 132 signal (EPS, the blue line) and the sample size (the red line). The Rbar and EPS were calculated using a 30-year window, with a 15-year lag.  
 133 The horizontal dashed line denotes the EPS threshold level (0.85).

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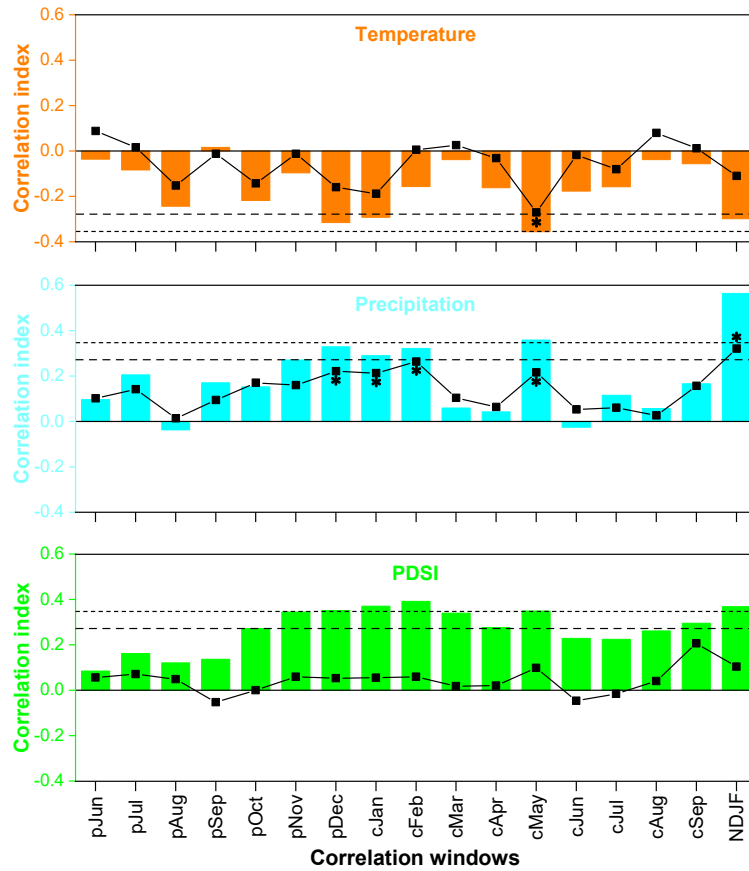
135 Table 1. Site information, chronology statistics and results of a common interval span analysis of residual tree-ring width  
 136 (TRW) chronology from the Xinzhu Village, northwestern Yunnan in China

Type	Location	Elevation (m)	Time length	Number of cores	SD	MS	Rbar	SNR	EPS	VFE
Tree ring	99.43°E, 27.25°N	2966	1600–2005	38	0.22	0.23	0.48	6.87	0.89	0.27

137 Note: SD: standard deviation, MS: mean sensitivity, Rbar: mean inter-series correlation, SNR: signal-to-noise ratio, EPS: Expressed  
 138 Population Signal, VFE: Variance in first eigenvector.

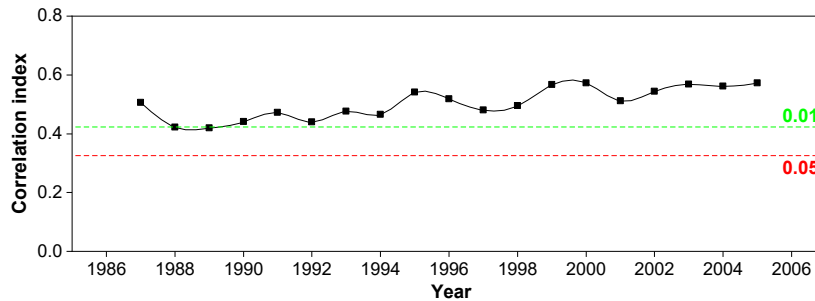
### 139 3.2 Tree growth and climate relationship analysis

140 According to the results of the tree growth and climate relationship analyses (Fig. 4), the precipitation during the NGS was the  
 141 most important constraining factor ( $R = 0.56, p < 0.001$ ) on the radial growth of forest hemlock in the study area. The results  
 142 of a response function analysis further confirmed the strong correlation between NGS precipitation and forest hemlock radial  
 143 growth. The results of a moving correlation analyses between TRW chronology and instrumental NGS precipitation record  
 144 (Fig. 5) were positively significant (at 99%) during the investigated period (1956-2005), indicating that the NGS precipitation  
 145 influence was stationary over time.



146

147 **Figure 4:** Correlations between tree-ring indices and temperature, precipitation, and scPDSI in the correlation windows from  
 148 previous year June to current year September, as well as in NDJF (non-growing season, NGS) for the common period from  
 149 1956 to 2005. The horizontal dashed and dotted lines indicate the threshold of the correlations at the 95% and 99% significance  
 150 levels. Black line with squares denotes the results of response function analysis between tree-ring indices and climate variables.  
 151 The asterisks next to the squares denote the significant effects ( $p < 0.05$ ) of response function analyses.

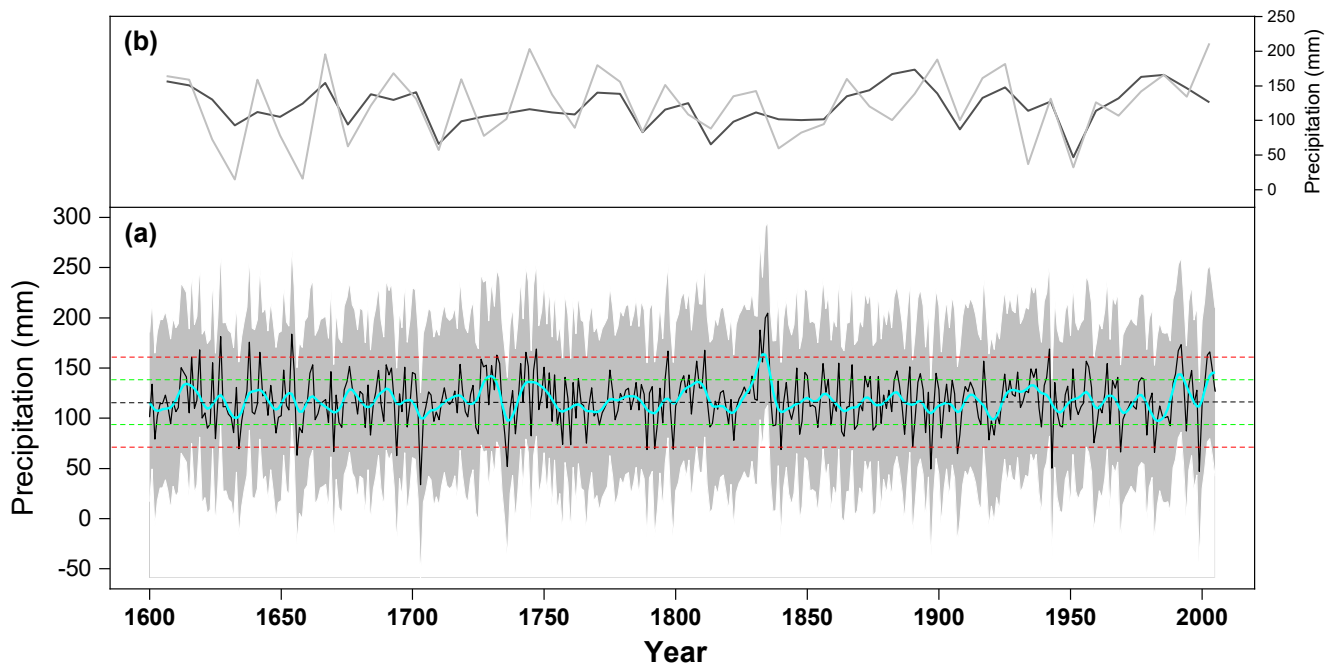


152

153 **Figure 5:** The moving correlation result between tree-ring width (TRW) chronology and non-growing season (NGS) precipitation during  
154 the period of 1956–2005. The horizontal red and green dashed lines denote the significance levels of 0.05 and 0.01, respectively.

### 155 3.3 Non-growing season precipitation reconstruction

156 According to the relationship between the TRW chronology and NGS precipitation, we developed a linear regression model  
157 ( $y = 229.94x - 109.45$  mm) and reconstructed the historical NGS precipitation series, which extended back to A.D. 1600 (Fig.  
158 6a). In the model,  $y$  is the NGS precipitation, and  $x$  is the TRW index. The reconstruction accounted for 28.5% of the  
159 instrumental NGS precipitation variability during the common time span (1956–2005). Figure 6b shows the similarities  
160 between the instrumental and reconstructed NGS precipitation series. We used a leave-one-out cross-verification method to  
161 evaluate the legitimacy of the reconstruction model (Table 2). The positive RE and CE values (0.18 and 0.15, respectively)  
162 were indicative of legitimacy of the reconstruction. The significant value (at 95%) of sign test implied that the model predicted  
163 values were generally in line with the variation trend of instrumental values. In addition, the significant values of  $F$  test (at  
164 99%) and PM test (at 95%) further confirmed the validity of the reconstruction. Overall, the statistics indicated that the  
165 reconstruction model possessed good predictive skills.



166

167 **Figure 6:** Non-growing season (NGS) precipitation reconstruction from A.D. 1600 to 2005. (a) The black line is the  
168 reconstruction series, the thick cyan line is the 11- year loess smoothed series. The horizontal black dashed line is the mean of  
169 NGS precipitation value during from A.D. 1600–2005. The horizontal green and red dashed lines are the one time and two



170 times the of standard deviations of NGS precipitation, which demonstrated the boundaries of dry and extremely dry (below  
 171 mean), and wet and extreme wet (above mean) years. The grey shading indicated the 95% confidence interval of the  
 172 reconstruction; (b) Instrumental (black) and reconstructed (grey) NGS precipitation during their common period of 1956–2005.  
 173

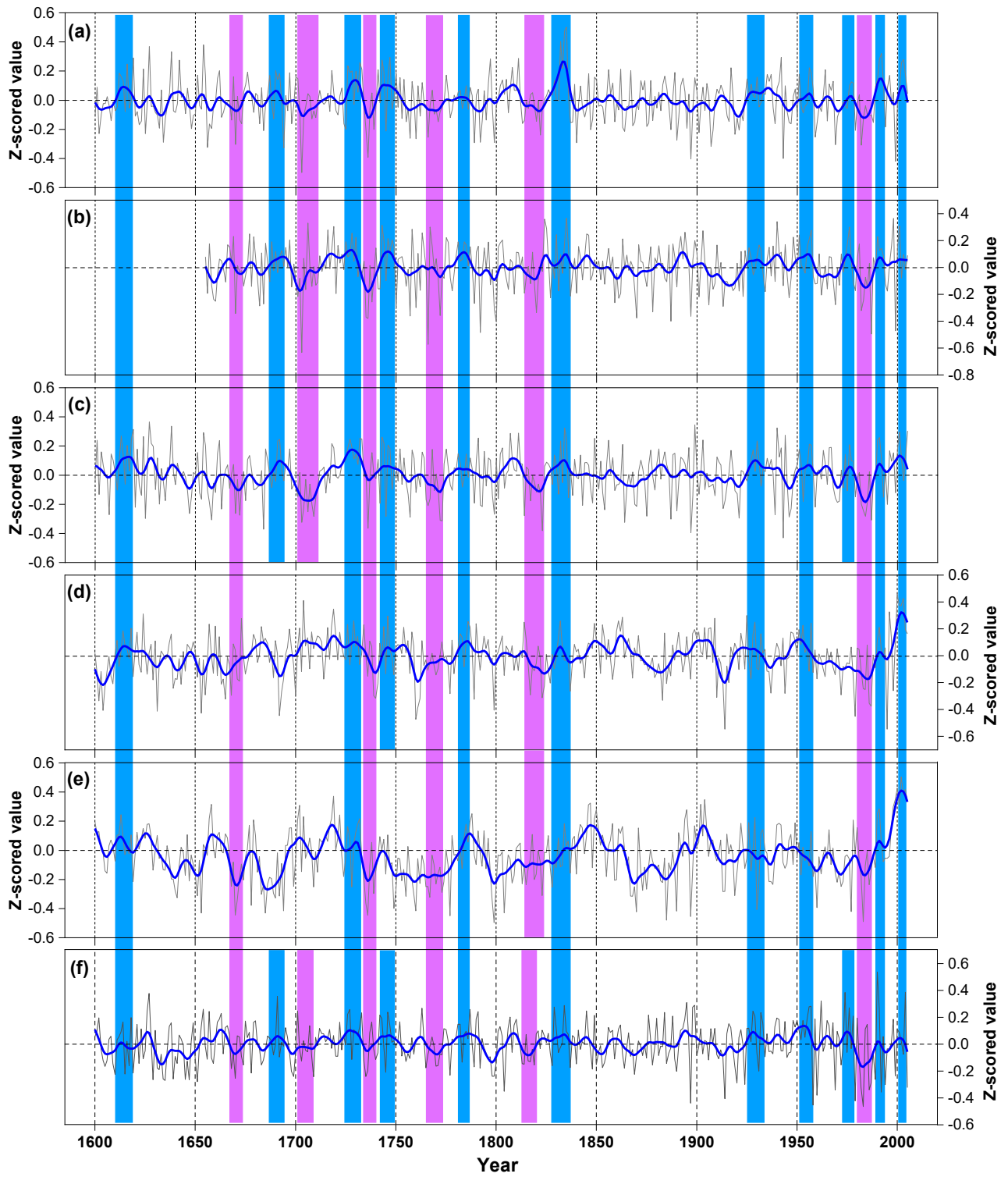
174 **Table 2. Leave-one-out verification statistics for the non-growing season (NGS) precipitation reconstruction**

	$R$	$R^2$	$R_{adj}^2$	$F$	Sign-test	$Pmt$	$RE$	$CE$
Calibration	0.561	0.315	0.285	–	–	–	–	–
Verification	0.524	0.274	0.235	18.6**	36+/13–*	7.89*	0.18	0.15

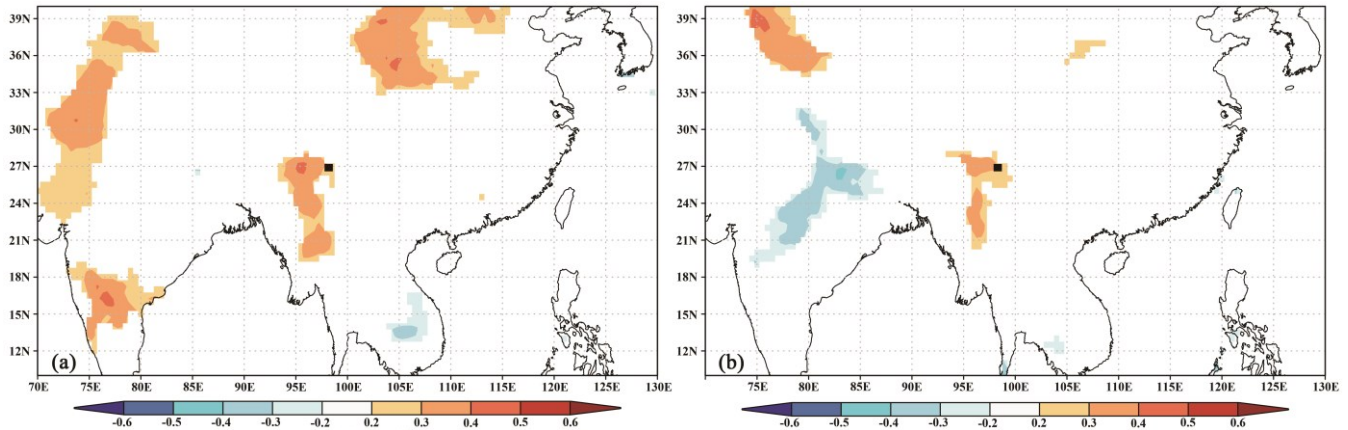
175 Note:  $R$  correlation coefficient,  $R^2$  explained variance,  $R_{adj}^2$  is the adjusted explained variance,  $F$   $F$ -test, Sign-test sign of paired observed  
 176 and estimated departures from their mean on the basis of the number of agreements/disagreements,  $Pmt$  product mean test,  $RE$  reduction of  
 177 error,  $CE$  coefficient of efficiency. \*  $p < 0.05$ , \*\*  $p < 0.01$

### 178 3.4 Characteristics of the NGS precipitation reconstruction

179 Figure 6a shows the reconstructed NGS precipitation over the past 406 years (A.D. 1600–2005). The mean of the reconstructed  
 180 NGS precipitation series was 117.87 mm, and the standard deviation (SD) was 25.64 mm. We pre-defined the years that had  
 181 NGS precipitation below 92.23 mm (mean–SD) as dry NGS years, and below 66.59 mm (mean–2SD) as extremely dry years,  
 182 whereas we defined years that had precipitation above 143.51 mm (mean+SD) as wet NGS years, and above 169.15 mm  
 183 (mean+2SD) as extremely wet NGS years. Accordingly, the NGS was extremely dry during the years A.D. 1656, 1670, 1694,  
 184 1703, 1736, 1897, 1907, 1943, 1969, 1982, and 1999. In contrast, the NGS was extremely wet during the years A.D. 1627,  
 185 1638, 1654, 1832, 1834–1835, and 1992. The dry/wet periods and some of the extreme dry/wet NGS periods in the present  
 186 reconstruction were synchronised with dry/wet periods and extreme dry/wet periods in previously reported PDSI  
 187 reconstruction from the surrounding region (Fig. 7, Table S2, Table S3), though some dissimilarities were also existed. As  
 188 shown in Fig. 8, the instrumental (a) and reconstructed (b) NGS precipitation series could represent the climatic conditions  
 189 over a similar area in the SETP.



191 **Figure 7:** Comparisons of the hydroclimatic reconstructions in different studies. (a) The non-growing season (NGS)  
 192 precipitation reconstruction in the present study. (b) The current year March – May average Palmer Drought Severity Index  
 193 (PDSI) reconstruction in Fan et al. (2008). (c) The reconstruction of average PDSI from May of the previous year to April of  
 194 the current year in Fang et al. (2010). (d) The current year May-June average PDSI reconstruction in Zhang et al. (2015). (e)  
 195 The current year April-June average PDSI reconstruction in Li et al. (2017). (f) drought series extracted from Asian Monsoon  
 196 Atlas from the nearest point (Cook et al. 2010). The blue and purple bars show the common wet and dry periods of the different  
 197 reconstructions, respectively.



198

199 **Figure 8:** Spatial correlations between the actual (a) and reconstructed (b) non-growing season (NGS) precipitation and a  
 200 gridded dataset of the NGS precipitation (average from November of the previous year to February of the current year) during  
 201 their overlapping periods (1956–2005). The black square indicates the location of the study site.

## 202 4. Discussion

### 203 4.1 Tree growth and climate relationship

204 The results of the tree growth and climate relationship analyses suggested that the forest hemlock radial growth in the  
 205 northwestern Yunnan region of the SETP was strongly constrained by hydroclimatic factors. According to the Pearson  
 206 correlation analysis, the influence of precipitation during the NGS on radial tree growth was greater than that of any other  
 207 investigated climate variables and any correlation window. The response function analysis further confirmed the strong impact  
 208 of NGS precipitation. In addition, the results of 32-year interval of moving correlation analysis (Fig. 5) suggested the  
 209 temporally consistent influence of NGS precipitation on forest hemlock radial growth in this region. The importance of NGS  
 210 precipitation on the radial tree growth could be attributed to the fact that precipitation during the NGS compensated for the  
 211 soil moisture, which was crucially important for supporting tree growth in the following season (Linderholm and Chen, 2005;  
 212 Treydte et al. 2006; Wu et al., 2019; Li et al., 2021). This is because tree growth is often water stressed in the early stages of

213 its growth in each year on the SETP when the monsoon precipitation does not arrive (Bräuning and Mantwill, 2004; Zhang et  
214 al., 2015), and the earlywood of tree rings mainly use spring melt water (Zhu et al., 2021). The eco-physiological importance  
215 of NGS precipitation on tree growth and tree water usage was also revealed by isotope ratios method-based investigations.  
216 Brinkmann et al's (2018) study showed that nearly 40% of the uptaken water by *Fagus sylvatica* and *Picea abies* trees in a  
217 temperate forest of middle Europe are sourced from NGS precipitation. Tree-ring oxygen isotope ratios ( $\delta^{18}\text{O}$ ) are  
218 demonstrated to contain NGS precipitation signals in the Himalayan region (Huang et al., 2019; Zhu et al., 2021). Huang et  
219 al's (2019) study revealed that NGS precipitation (snowfall) increased the snow-depth and the later snowmelt compensated  
220 soil moisture in the spring and early summer, which was a crucially important water source for the Juniper growth in the  
221 southwestern Tibetan Plateau. Zhu et al's (2021) investigation in the western Himalaya revealed that formation of earlywood  
222 in tree rings of *Pinus wallachina* depended on the snowmelt originated from NGS precipitation. The weak influence of  
223 precipitation on regional forest hemlock growth during March and April and strong influence during May was connected with  
224 the saddle-shaped monthly rainfall pattern of this area (Fig. 2). The correlations between precipitation and the TRW chronology  
225 were not significant during the growing season (June-September) because an adequate water supply was available in the  
226 monsoon season.

227 Precipitation during the NGS over the SETP falls as snow. According to Sommerfeld et al. (1993) and Stadler et al. (1996),  
228 the development of a snowpack insulates the underlying soil from freezing temperatures, which creates unfrozen soil  
229 conditions and most of the soil processes that are active during warmer conditions also persist under snow cover, albeit at a  
230 reduced rate (Edwards, 2007). Unfrozen soil can reduce the cold and frost damage to the shallow root systems of conifer trees  
231 in this region (Schenk and Jackson, 2002). A reduction in the cold damage to roots decreases the energy required to form new  
232 roots in the following growth year (Pederson et al., 2004), with the saved energy potentially used to initiate xylogenesis and  
233 form earlywood cells. Evergreen tree species are known to carry out year-round photosynthetic activity (Oquist and Huner,  
234 2003; Prats and Brodersen, 2020), albeit at a slower rate during the NGS, and therefore, the higher moisture availability  
235 contributes to the carbohydrate and energy accumulation process of forest hemlock in the investigation area.

236 In contrast, the radial tree growth was negatively correlated to temperature in most correlation windows (Fig. 4). This can  
237 be explained by the fact that higher temperature enhances evapotranspiration, and thus decreases water availability, which  
238 eventually constrains tree growth. The negative impact of NGS temperature on radial tree growth was obvious because the  
239 strengthened evaporation due to higher temperatures might reduce the moisture compensation to the soil layer and cause water  
240 stress during the early stage of the following growth season.

#### 241 **4.2 Validity of the reconstructed precipitation series**

242 We have tried to validate the fidelity of the newly reconstructed series from different aspects. Although we used the residual  
243 TRW chronology in the present study, which removes autocorrelation (Cook and Kairiukstis, 1990) to capture the high

244 frequency climate signals as in Fan et al. (2008) and Chen et al. (2016), the variability of dry and wet NGS at different scales  
245 was still retained in our reconstructed series. The reconstructed series in the present study demonstrated the variation in dry  
246 and wet NGS years (Fig. 6a). As in many other proxy based historical climate reconstruction studies, we compared our NGS  
247 precipitation series with other hydroclimatic reconstructions from the surrounding areas to investigate the reliability of our  
248 reconstruction. There are only countable numbers of hydroclimatic (PDSI) reconstructions in the nearby region, and not any  
249 case of precipitation reconstruction. Hence, we could only compare the present NGS precipitation reconstruction with existing  
250 PDSI reconstructions (Fig. 7). The compared PDSI reconstructions are of spring or early summer, because drought climate  
251 during these seasons usually associated with the winter precipitation, it makes certain sense to carry out the comparative  
252 analysis. The correlation coefficients between our NGS precipitation reconstruction and the PDSI reconstructions of Fan et al.  
253 (2008), Fang et al. (2010), Zhang et al. (2015) and Li et al. (2017) were 0.51 ( $n = 702$ ), 0.35 ( $n = 1062$ ), 0.25 ( $n = 1062$ ) and  
254 0.22 ( $n = 1016$ ) ( $p < 0.001$ ). We have extracted the drought series of Asian Monsoon Atlas (Cook et al.2010) from the nearest  
255 point to our investigation site and compared it with the NGS precipitation reconstruction in present study ( $R = 0.35$ ,  $n = 1062$ ,  
256  $p < 0.001$ ). As can be observed from Fig. 7, there were dry and wet periods in compared reconstruction series which were  
257 consistent with the NGS precipitation variabilities. These similarities indicated the reliability of our NGS precipitation  
258 reconstruction to some extent. The correlation coefficients for the present reconstruction with those of Fan et al. (2008) and  
259 Fang et al. (2010) were greater than those with Li et al. (2017) and Zhang et al. (2015). These differences were probably due  
260 to the different distances among the study sites. Although, the major dry and wet periods were similar in the hydroclimatic  
261 reconstructions referenced above, there were still certain discrepancies in duration and the strength of the dry/wet climatic  
262 conditions. This is probably because of the differences in the types of hydroclimatic variables (precipitation, PDSI), specific  
263 seasons reconstructed (annual, seasonal), tree species (species with different drought tolerances), chronology recording  
264 methods (standard chronology, residual chronology), length of calibration period, sample replication and the geomorphic  
265 differences of the tree ring sampling sites (altitude, slope) (Table S1).

266 In addition, we uploaded both of the instrumental and reconstructed NGS precipitation data for the same period of 1956–  
267 2005 on the KNMI website and conducted a spatial correlation analyses with the CRU gridded climate dataset. The similar  
268 patterns of spatial correlation between the instrumental and reconstructed dataset (Fig. 8) indicated that the present  
269 reconstruction was reliable and could represent the NGS precipitation over a large area in the SETP. Besides, the occurrence  
270 of some historical great drought events in the Asian monsoon area (Cook et al., 2010, Kang et al., 2013), i.e., the 1756–1768  
271 (strange parallels drought), 1790, 1792–1796 (east India drought) and 1920s (China mega-drought), matched the dry NGS  
272 periods in our reconstruction, which also further confirmed the reliability of our reconstruction.

## 273 5. Conclusion

274 In this study, we investigated 406 years of residual TRW chronology of forest hemlock in the SETP, China. The climate and  
275 tree growth relationship analyses showed that the TRW chronology was mostly negatively correlated with the thermal variable  
276 (temperature), whereas it was positively correlated with hydroclimatic variables (precipitation) and PDSI, indicating that  
277 hydroclimatic conditions determined the radial growth of forest hemlock in this region. Accordingly, we derived a linear model  
278 of the relationship between climate and tree growth, which accounted for 28.5% of the actual NGS precipitation variance  
279 (1956–2005), and we used the model to reconstruct the historical (A.D. 1600–2005) NGS precipitation. The reconstructed  
280 series showed that the NGS was extremely dry during the years A.D. 1656, 1670, 1694, 1703, 1736, 1897, 1907, 1943, 1969,  
281 1982 and 1999. In contrast, the NGS was extremely wet during the years A.D. 1627, 1638, 1654, 1832, 1834–1835 and 1992.  
282 A comparison between the NGS precipitation reconstruction in this study and PDSI reconstructions from nearby regions  
283 revealed a coherency in the timing of dry and wet episodes, suggesting the reliability of our reconstruction.

284 **Data availability.** The climate reconstruction series in this study can be obtained from Zongshan Li after the paper publication.

285 **Author contributions.** ZSL and MK conceived the study; ZSL, ZXF, XCW collected the tree-ring data; MK, ZSL, ZXF, KYF,  
286 XCW elaborated the methodology; MK, ZSL, WLC analysed the data; MK, ZSL led the writing of the manuscript; ZSL and  
287 ZXF revised the manuscript; BJF and GHL validated the final manuscript.

288 **Competing interests.** The authors declare that they have no conflict of interest.

289 **Acknowledgement.** This work was funded by the National Key Research Development Program of China (2016YFC0502105),  
290 the second Tibetan Plateau Scientific Expedition and Research (STEP) Program (2019QZKK0502). We are grateful to the  
291 editor and anonymous reviewers for their valuable comments and suggestions to improve this article.

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