

Dear reviewers,

We thank two reviewers for their careful review of our paper, and their suggestions. We appreciate their useful comments and believe their input has improved the paper. Below, we address the comments in blue and the revised texts in the manuscript in green.

All the best, on behalf of all co-authors,

Jinhwa Shin

Review of Millennial variations of atmospheric CO₂ during the early Holocene (11.7-7.4 ka)

by J. Shin et al.

The authors present a new high-resolution CO₂ record, measured on samples from the Siple Dome ice core, from 11.7 to 9 ka and complement an earlier data set from 9 to 7.4 ka from the same core. This results in a high-resolution CO₂ record covering the beginning of the Holocene. Interpreting the combined data set they identify small millennial-scale variations of a few ppm and correlate them to various paleoclimate records. The authors speculate that solar irradiation may be responsible for the CO₂ variations. The new data, although covering only 2,700 years and thus very short, are important as they close the gap of the early Holocene in the CO₂ data of this ice core.

In the present version the authors do not make a sufficiently convincing case for their hypothesis of an influence of solar fluctuations in causing CO₂ changes. This is due to (i) questionable data processing that results in very small sigma uncertainties, (ii) essentially correlation-based arguments, (iii) a relatively short discussion of mechanisms, (iv) a nearly inexistent critical reflection on leads and lags that are identified in the data, and (v) a missing credible causal chain from solar fluctuations to purported CO₂ variations. Overall, this manuscript requires substantial revisions to reach the maturity of a CP article.

Comments:

- 1) The interpretation rests on the relatively small CO₂ fluctuations that are visible in the filtered data presented in Fig 1. The authors report 2 sigma uncertainties based on Monte Carlo simulations. 2 sigma uncertainties typically contain more than 95% of the data points based on the assumption of normal distribution. The dashed lines in Fig 1, however, are extremely close to the running mean. How can this be? I would have expected a much wider uncertainty band based on the scatter of the data points. Such a wider band would put serious question marks on the significance and robustness of the small fluctuations (few ppm) that are reported in this paper and that are the basis for the claimed sun-CO₂ relationship. The authors need to critically revisit the determination and depiction of this 2 sigma uncertainty.

Small variations of atmospheric CO₂ are usually smoothed by the gas trapping process in the firn. However, when CO₂ data is reconstructed, high-frequency variability of reconstructed CO₂ is detected, which might be related to proxy-related noise. Thus, we tried to remove this variability by using a 250-running mean. Due to a 250-running mean, those small variations were removed, thus, the uncertainty band becomes narrow.

The main reason of the small uncertainty is attributed to the removal of the high frequency signal by a 250-running mean as we discussed earlier. When the Monte Carlo simulation was conducted, we considered that each data follows a normal distribution. The width of the error band is affected by neighbouring data points. If the data points are close together, the error of neighbouring data points in the opposite direction can be cancel out, resulting in a narrow uncertainty band.

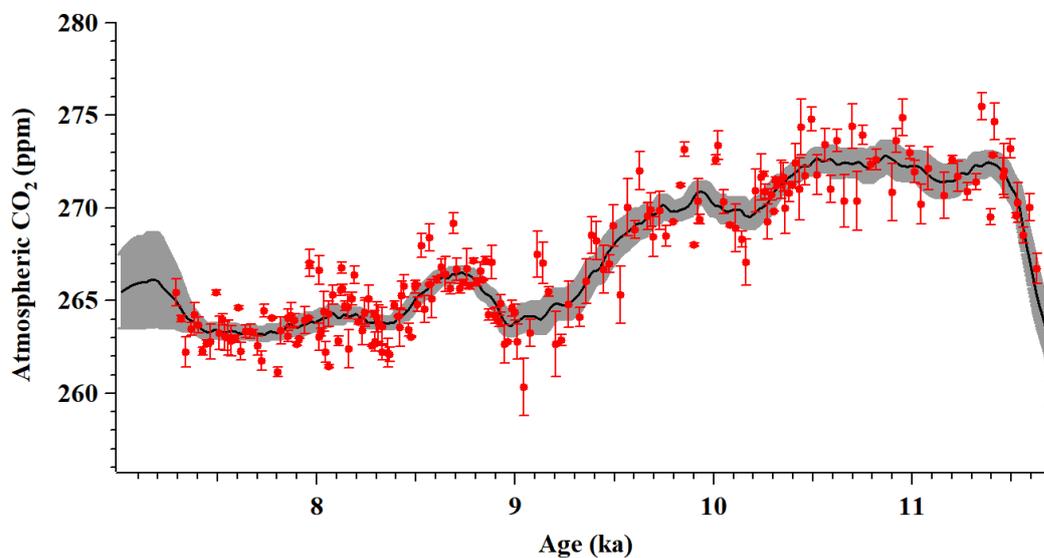


Figure 1. Red circles are Siple Dome ice core records during the early Holocene (11.7-7.4 ka). The black line indicates the average of 1,000 times modified akima simulations showing an error-weighted average of the CO₂ record. The dark shaded indicates 2 σ uncertainties calculated from modified akima simulations.

To assess variability on the millennial time scale, we evaluated 250-year running means and their uncertainties by using a new Monte Carlo approach. Random sampling was made from a probability distribution for each measured value and its standard deviation. If a standard deviation was smaller than the average reproducibility of the measurement ($1\sigma = 0.87$ ppm), we used 0.87 ppm as the uncertainty of a measured value. Then, interpolation

and resampling (1 yr) were applied to generate an evenly-spaced time series and to calculate the 250-year running means. We repeated this series of simulations 10,000 times and evaluated the mean of 250-year running means and its uncertainty (shown as 2σ in Figure 1). We used a modified Akima method using the built-in makima function in Matlab for the interpolation. The different types of interpolation and smoothing methods resulted in insignificant differences in the 250-year running means.

- 2) The authors use a data processing that is not sufficiently explained. They mention a 1-yr interpolation (line 193) and a 250-yr smoothing, followed by a high-pass filtering at $1/1800 \text{ yr}^{-1}$ and a resampling every 10 years. This sounds like very heavy machinery, and I wonder how robust the results are in light of these interventions. In particular, the 1-year interpolation may add some information to the time series that is simply not inferable from the limited resolution of the measurements and their individual uncertainties. I am very sceptical of this statistical treatment of the data.

250-year running means were made to eliminate high-frequency variability. It is likely that high-frequency variabilities of atmospheric CO_2 record (decadal-scale variations and centennial-scale variations) is high frequency noise of atmospheric CO_2 record. Thus, we smoothed data sets to eliminate high-frequency variability. Before making a 250-year running mean, we made a 1-year interpolation, because sample spacing between data points covering the early Holocene is not constant. Then, to eliminate this long-term drift of CO_2 record, the data was high pass filtered at $1/1800 \text{ yr}$, following previous studies by Bond et al. (2001) and Marchitto et al., (2010). The proxy records were also processed in the same way as the CO_2 record to remove high-frequency variability and long-term draft.

It is unlikely that the 1-year interpolation makes any change in our discussion on millennial variations of CO_2 because our original data has a sampling resolution of ~ 30 years for 11.7–9.0 ka and ~ 15 years for 9.0–7.3 ka.

Furthermore, all other paleoclimate data are treated with the same method, and without showing the original data points of these records the authors do not make a convincing case for the significance of such small variations. In short, the data treatment is insufficiently described, and a robustness analysis is missing.

We modified figure 2 so that we may present all the original records as well as 250-year running means (figure 2). The smoothing by a 250-year running is necessary to clearly show the millennial variations. To detrend the long-term change and focus on millennial variations, we can apply the high-pass filtering at $1/1800 \text{ year}^{-1}$. Regardless of the high-pass filtering, the 250-year running means show similar millennial variations among the multiple proxy records.

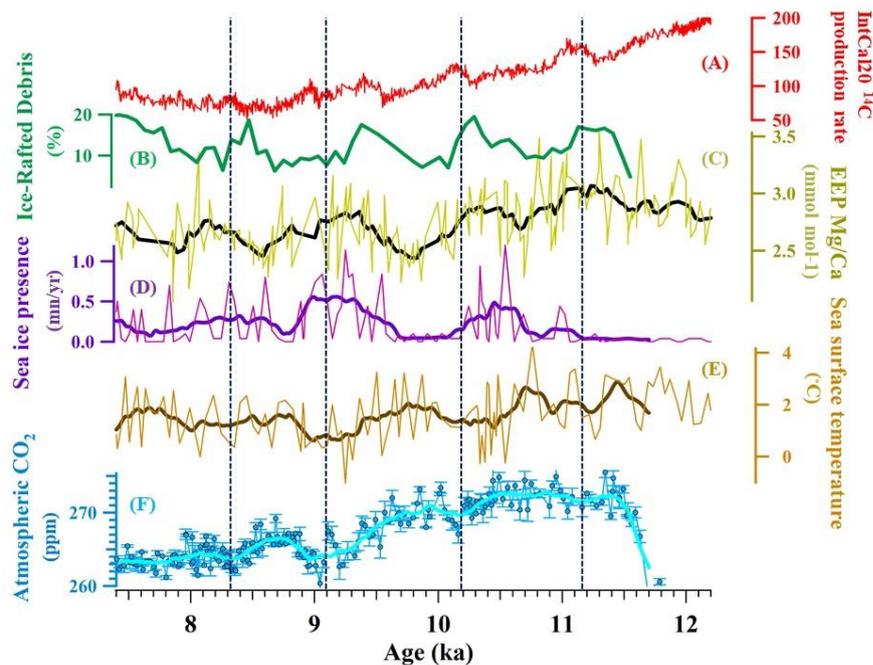


Figure 2. Comparison of atmospheric CO_2 with climatic proxy records over the early Holocene. Lines represent 250-yr running means. (A) IntCal20 ^{14}C production rate (Reimer et al., 2020). (B) Ice rafted debris stacked records from the North Atlantic regions on untuned calibrated ^{14}C age model (Bond et al., 2001; Marchitto et al., 2010). (C) Sea surface temperature from the eastern equatorial Pacific indicating El Niño-like or La Niña-like conditions (Marchitto et al., 2010). (D) Sea ice presence from the Polar Front of the Southern Ocean on the chronology of Mortyn et al. (2003) (Nielsen et al., 2004). (E) Sea surface temperature from the Polar Front of the Southern Ocean on the chronology of Mortyn et al. (2003) (Nielsen et al., 2004). (F) Atmospheric CO_2 record from Siple Dome (in this study).

- 3) Panel B of Fig 1 shows the high-pass filtered signal. Peak-to-peak amplitudes are max 4 ppm with some fluctuations of less than 1 ppm relative to the mean. So far, such small variations in CO_2 measured from ice core samples, have not been interpretable, given the typical measurement uncertainties that are known from the literature. The authors have the burden of making a convincing case that such fluctuations here can indeed be interpreted as variations in atmospheric CO_2 concentrations.

Previous paleoclimate studies showed climate fluctuations on millennial time scales with the local minima at around $\sim 11.1, 10.3, 9.4, 8.1 \text{ ka}$ covering the early Holocene (Bond et al., 2001; Marchitto et al., 2010; Nielsen et al., 2004; Reimer et al., 2004; Vonmoos et al., 2006). In this study, we wished to focus on how this millennial climate fluctuations affect atmospheric CO_2 . The data interpretation was mainly about the millennial-scale

variation of atmospheric CO₂ with the amplitude of ~4 ppm. These variations are relatively small compared to CO₂ variations during other periods such as glacial periods. However, the amplitude was calculated using a smoothing curve, which implies that artificial noise made by the gas trapping process in the firn was deleted. In addition, as we discussed earlier, the types of interpolation and smoothing methods do not result in significant differences in the 250-year running means. Thus, these variations by ~4 ppm cannot be ignored.

4) Comments 1 to 3 also apply to Fig. 2. For the CO₂ data from EDC (Monnin et al) and WAIS (Marcott et al), the curves are misleading. Inspecting the original data in these papers, I am not convinced that the fluctuations that are shown in the processed data exhibit a robust signal that would represent atmospheric variations. Here a much more careful analysis and statistical assessment (see comment 2) would have to be carried out to see whether such small CO₂ fluctuations can be identified in all three ice cores. It appears on the basis of the presented information that the authors go too far in their interpretation for this relatively short record.

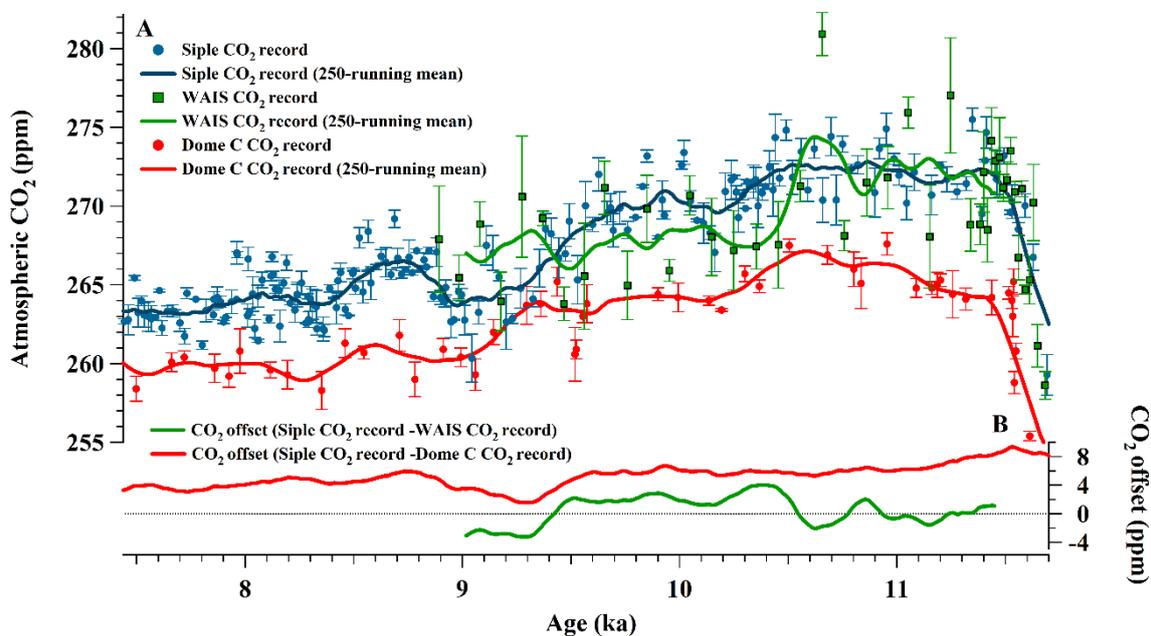


Figure 3. A: Atmospheric CO₂ records. Red dots: Atmospheric CO₂ record from Dome C ice core. Red line: 250-running mean of atmospheric CO₂ record from Dome C ice core. Blue dots: Atmospheric CO₂ record from Siple Dome ice core. Blue line: 250-running mean of atmospheric CO₂ record from Siple Dome ice core. Green dots: Atmospheric CO₂ record from WAIS Divide ice core. Green line: 250-running mean of atmospheric CO₂ record from WAIS Divide ice core. B: CO₂ offset between Siple Dome CO₂ record and other published CO₂ records. Red line: CO₂ offset between Siple Dome CO₂ record and Dome C CO₂ record. Green line: CO₂ offset between Siple Dome CO₂ record and WAIS divide CO₂ record.

To verify the levels of agreement, we calculate the Pearson correlation coefficient between Siple Dome CO₂ and existing CO₂ records (Figure 3). The offsets between existing CO₂ records and our data are also calculated (Figure 3). Before calculating the correlation coefficient between Siple Dome CO₂ record and other CO₂ records, all CO₂ records were smoothed by 250-running means to eliminate high frequency noise.

We observe that CO₂ data sets from Siple dome and Dome C share similar trends in CO₂ variations despite the CO₂ offset in longer term means of 3~8 ppm. The CO₂ record from the Siple dome is highly correlated with the CO₂ record from Dome C ($r=0.89$). The CO₂ offset between Dome C record and Siple Dome record decreases continuously from 11.7 ka to 7 ka with small variations at around 9.3 and 8.3 ka (Figure 3B). The small variations of Dome C CO₂ record might be caused by the low sampling resolution and a stronger damping effect on CO₂ concentration change due to the slower gas trapping process at the Dome C site (Spahni et al., 2003).

The Correlation coefficient between Siple Dome CO₂ and WAIS divide CO₂ is 0.7. However, the CO₂ offset between Dome C record and Siple Dome record is quite random (Figure 3B) because of scattering in the WAIS Divide CO₂ record during the early Holocene period.

The WAIS Divide CO₂ data was reconstructed from the ice just below the bubble clathrate transition zone (BTCZ). Previous studies raised an issue about the possibility of high frequency noise of atmospheric CO₂ record in the ice just below the bubble clathrate transition zone (Lüthi et al., 2010; Shackleton et al, 2019). This phenomenon might be related to gas fractionation effect because of clathrate layering during bubble-clathrate transformation. Gas content starts to be fractionated in the BCTZ because of the differential permeation of gas species when bubbles have transformed to clathrates. CO₂ concentration in the first layer of clathrates is more enriched with higher bubble-to-clathrate permeation rates. Below the BCTZ, gas content slowly homogenizes again through molecular diffusion (Bereiter et al., 2009), which can cause high frequency noise to the ice below the BCTZ. Thus, the WAIS Divide CO₂ data is not sufficient to discuss millennial variabilities of the early Holocene.

In short, our comparison with the Dome C and WAIS Divide records supports our Siple Dome record although the comparison is limited due to relatively large offsets and low data resolution of the existing records. We will add words in the text to clarify the limits of the assessment.

Fig 3 suggests evident leads and lags, but they are not discussed and explained in the text. If it turns out that the fluctuations are robust, then these leads and lags need to be considered and discussed in the context of mechanisms. They may be helpful in constraining the causal chain, if such does indeed exist, from solar variations to the CO₂ fluctuations. The authors end their discussion at a correlation analysis among the different paleoclimatic records of Fig 3. Correlation is not causation, and thus the arguments for a solar connection to CO₂ fluctuations is rather weak, if it is active at all.

Figure 3 shows a possible link between solar activity/climate variations and atmospheric CO₂ during the early Holocene. Unfortunately, each data has their own age scale, thus with this figure, it is difficult to discuss a link between solar activity and atmospheric CO₂.

We found maximum correlation coefficients between CO₂ and climate proxies with CO₂ time lags of ~90 years (Table 2). Thus, we assumed that atmospheric CO₂ might be affected by solar activities via climate (Figure 4 and Table 2). Changes of solar activities may impact on stratospheric ozone concentrations, which can change stratospheric and tropospheric circulation patterns (Meehl et al., 2009). Higher solar activity enhanced precipitation in the Intertropical Convergence Zone (ITCZ) and South Pacific Convergence Zone (SPCZ) (Meehl et al., 2009; van Loon et al., 2007). The intensified moisture at those areas increased trade wind strength and

upwelling in the East Equatorial Pacific region. These conditions lead to Na Niña like climate states on millennial time scale (Marchitto et al.,2010). This ocean condition change in the East Equatorial Pacific has affected the North Atlantic (Darby et al., 2012).

- 5) Further to Fig 3, age scale uncertainties between the different records seem to be ignored. These would represent an additional significant uncertainty regarding leads and lags. It is evidently difficult to come up with a common age scale, but the minimum expected would be an assessment the consequences for the conclusions.

Each record has their own age scales. Thus, as the reviewer mentioned, we may miss the relationship between CO₂ and proxies due to the age uncertainties of proxies and CO₂ records. This would be the case for centennial variations. As we focus on millennial variations, we check the leads and lags which are mostly smaller than 200 years. Even we consider the age uncertainties, we found similar variations of proxies and CO₂ on millennial time scales during the early Holocene. Figure 3. Figure 4 and Table 2 show the relationship between CO₂ and proxies by considering age uncertainties.

- 6) ENSO is offered as one of the possible mechanisms for CO₂ fluctuations (lines 227ff). The discussion is rather superficial and incomplete. While Feely et al (1999) identify a decrease of CO₂ during the 1991-94 El Nino, Chatterjee et al (2017) provide a more detailed, satellite-based analysis of the effects of the 2015-16 El Nino. After an initial decrease, consistent with Feely et al, they observe a stronger increase in the later stages of this El Nino, with the overall result of a CO₂ increase. The two El Nino episodes are quite different with the former persisting for 3 years, while the later lasts for only one year but is stronger. Therefore, it seems not robust to assign a clear correlation between small OC₂ fluctuations and ENSO.

Thank you for your suggestion. As you suggested, we considered adding this information about two oppositional observations in the manuscript. However, the time scale is one of the important factors to interpret CO₂ records. Because depending on the time scale, the role of the ocean also can vary (Gottschalk et al., 2019). Two papers are about the relationship between CO₂ and ENSO on the annual time scale. Thus, it is not necessary to apply the annual observations to the relationship between CO₂ and ENSO on the millennial time scale.

- 7) Lines 256ff on a possible role of the AO are speculative and do not add substance to the paper. Either this connection should be explored more in depth or deleted.

Deleted.

References

- Bond, G., Kromer, B., Beer, J., Muscheler, R., Evans, M. N., Showers, W., Hoffmann, S., Lotti-325 Bond, R., Hajdas, I., and Bonani, G.: Persistent solar influence on North Atlantic climate during the Holocene, *Science*, 294, 2130–2136, 2001.
- Darby, D. A., Ortiz, J., Grosch, C., and Lund, S.: 1,500-year cycle in the Arctic Oscillation identified in Holocene Arctic sea ice drift, *Nat. Geosci.*, 5, 897–900, 2012.
- Gottschalk, J., Battaglia, G., Fischer, H., Frölicher, T. L., Jaccard, S. L., Jeltsch-Thömmes, A., Joos, F., Köhler, P., Meissner, K. J., and Menviel, L., Nehrbass-Ahles, C., Schmitt, J., Schmittner, A., Skinner, L. C., and Stocker, T.: Mechanisms of millennial-scale atmospheric CO₂ change in numerical model simulations, *Quaternary Sci. Rev.*, 220, 30–74, 2019.
- Marchitto, T. M., Muscheler, R., Ortiz, J. D., Carriquiry, J. D., and van Geen, A.: Dynamical response of the tropical Pacific Ocean to solar forcing during the early Holocene, *Science*, 330, 1378–1381, 2010.
- Meehl, G. A., Arblaster, J. M., Matthes, K., Sassi, F., and van Loon, H.: Amplifying the Pacific Climate System response to a small 11-year Solar Cycle forcing, *Science*, 325, 1114–1118, doi:10.1026/science.1172872, 2009.
- Nielsen, S. H. H., Koc, N., and Crosta, X.: Holocene climate in the Atlantic sector of the southern ocean: Controlled by insolation or oceanic circulation?, *Geology*, 32, 317–320, 2004.
- Reimer, P. J., Austin, W. E. N., Bard, E., Bayliss, A., Blackwell, P. G., Ramsey, C. B., Butzin, M., Cheng, H., Edwards, R. L., Friedrich, M., Grootes, P. M., Guilderson, T. P., Hajdas, I., Heaton, T. J., Hogg, A. G., Hughen, K. A., Kromer, B., Manning, S. W., Muscheler, R., Palmer, J. G., Pearson, C., van der Plicht, J., Reimer, R. W., Richards, D. A., Scott, E. M., Southon, J. R., Turney, C. S. M., Wacker, L., Adolphi, F., Büntgen, U., Capano, M., Fahrni, S. M., Fogtmann-Schulz, A., Friedrich, R., Köhler, P., Kudsk, S., Miyake, F., Olsen, J., Reinig, F., Sakamoto, M., Sookdeo, A., and Talamo, S.: The IntCal20 Northern Hemisphere radiocarbon age calibration curve (0–55 cal. ka BP), *Radiocarbon*, 62, 725–757, <https://doi.org/10.1017/RDC.2020.41>, 2020.
- Shackleton, S., Bereiter, B., Baggenstos, D., Bauska, T. K., Brook, E. J., Marcott, S. A., and Severinghaus, J. P.: Is the Noble Gas-Based Rate of Ocean Warming During the Younger Dryas Overestimated?, *Geophys. Res. Lett.*, 46, 5928–5936, <https://doi.org/10.1029/2019GL082971>, 2019.
- van Loon, H., Meehl, G. A., and Shea, D. J.: Coupled air-sea response to solar forcing in the Pacific region during northern winter, *J. Geophys. Res.*, 112, D02108, doi:10.1029/2006JD007378, 2007.
- Vonmoos, M., Beer, J., and Muscheler, R.: Large variations in Holocene solar activity: Constraints from ¹⁰Be in the Greenland Ice Core Project ice core, *J. Geophys. Res.-Space*, 111, A10105, doi:10.1029/2005JA011500, 2006.