

# 1 Sea Ice Changes in the Southwest Pacific Sector of the Southern Ocean During 2 the Last 140,000 Years

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## 18 **Abstract**

19 Sea ice expansion in the Southern Ocean is believed to have contributed to glacial-interglacial  
20 atmospheric CO<sub>2</sub> variability by inhibiting air-sea gas exchange and influencing the ocean's  
21 meridional overturning circulation. However, limited data on past sea ice coverage over the last  
22 140 ka (a complete glacial cycle) have hindered our ability to link sea ice expansion to oceanic  
23 processes that affect atmospheric CO<sub>2</sub> concentration. Assessments of past sea ice coverage  
24 using diatom assemblages have primarily focused on the Last Glacial Maximum (~21 ka BP) to  
25 Holocene, with few quantitative reconstructions extending to the onset of glacial Termination II  
26 (~135 ka BP). Here we provide new estimates of winter sea ice concentrations (WSIC) and  
27 summer sea surface temperatures (SSST) for a full glacial-interglacial cycle from the  
28 southwestern Pacific sector of the Southern Ocean using the Modern Analog Technique (MAT)  
29 on fossil diatom assemblages from deep-sea core TAN1302-96. We examine how the timing of  
30 changes in sea ice coverage relates to ocean circulation changes and previously proposed  
31 mechanisms of early glacial CO<sub>2</sub> drawdown. We then place SSST estimates within the context of  
32 regional SSST records to better understand how these surface temperature changes may be  
33 influencing oceanic CO<sub>2</sub> uptake. We find that winter sea ice was absent over the core site  
34 during the early glacial period until MIS 4 (~65 ka BP), suggesting that sea ice may not have  
35 been a major contributor to early-glacial CO<sub>2</sub> drawdown. Sea ice expansion throughout the  
36 glacial-interglacial cycle, however, appears to coincide with observed regional reductions in  
37 Antarctic Intermediate Water production and subduction, suggesting that sea ice may have  
38 influenced intermediate ocean circulation changes. We observe an early glacial (MIS 5d)  
39 weakening of meridional SST gradients between 42° to 59°S throughout the region, which may  
40 have contributed to early reductions in atmospheric CO<sub>2</sub> concentrations through its impact on  
41 air-sea gas exchange.

42

## 43 **1.0 Introduction**

44 Antarctic sea ice has been suggested to have played a key role in glacial-interglacial  
45 atmospheric CO<sub>2</sub> variability (e.g., Stephens & Keeling, 2000; Ferrari et al., 2014; Kohfeld &  
46 Chase, 2017; Stein et al., 2020). Sea ice has been dynamically linked to several processes that  
47 promote deep ocean carbon sequestration, namely by: [1] reducing deep ocean outgassing by  
48 ice-induced ‘capping’ and surface water stratification (Stephens & Keeling, 2000; Rutgers van  
49 der Loeff et al., 2014), and [2] influencing ocean circulation through water mass formation and  
50 deep-sea stratification, leading to reduced diapycnal mixing and reduced CO<sub>2</sub> exchange  
51 between the surface and deep ocean (Toggweiler, 1999; Bouttes et al., 2010; Ferrari et al.,  
52 2014). Numerical modelling studies have shown that sea ice-induced capping, stratification, and  
53 reduced vertical mixing may be able to account for a significant portion of the total CO<sub>2</sub>  
54 variability on glacial-interglacial timescales (between 40-80 ppm) (Stephens & Keeling, 2000;  
55 Galbraith & de Lavergne, 2018; Marzocchi & Jansen, 2019; Stein et al., 2020). However, debate  
56 continues surrounding the timing and magnitude of sea ice impacts on glacial-scale carbon  
57 sequestration (e.g., Morales Maquede & Rahmstorf, 2002; Archer et al., 2003; Sun &  
58 Matsumoto, 2010; Kohfeld & Chase, 2017).

59 Past Antarctic sea ice coverage has been estimated primarily through diatom-based  
60 reconstructions, with most work focusing on the Last Glacial Maximum (LGM), specifically the  
61 EPILOG timeslice as outlined in Mix et al. (2001), corresponding to 23 to 19 thousand years ago  
62 (ka) before present (BP). During the LGM, these reconstructions suggest that winter sea ice  
63 expanded by 7-10° latitude (depending on the sector of the Southern Ocean), which  
64 corresponds to substantial expansion of total winter sea ice coverage compared to modern  
65 observations (Gersonde et al., 2005; Benz et al., 2016; Lhardy et al., 2021). Currently, only a  
66 handful of studies provide quantitative sea-ice coverage estimates back to the penultimate  
67 glaciation, Marine Isotope Stage (MIS) 6 (~194 to 135 ka BP) (Gersonde & Zielinski, 2000; Crosta  
68 et al., 2004; Schneider-Mor et al., 2012; Esper & Gersonde 2014a; Ghadi et al. 2020). These  
69 studies primarily cover the Atlantic sector, with only one published sea ice record from each of  
70 the Indian (SK200-33 from Ghadi et al., 2020), eastern Pacific (PS58/271-1 from Esper &

71 Gersonde, 2014a), and southwestern Pacific sectors (SO136-111 from Crosta et al., 2004).  
72 These glacial-interglacial sea ice records show heterogeneity between sectors in both timing  
73 and coverage. While the Antarctic Zone (AZ) in the Atlantic sector experienced early sea ice  
74 advance corresponding to MIS 5d cooling (i.e., 115 to 105 ka BP) (Gersonde & Zielinski, 2000;  
75 Bianchi & Gersonde, 2002; Esper & Gersonde, 2014a), the Indian and Pacific sector cores in the  
76 AZ show only minor sea ice advances during this time (Crosta et al., 2004; Ghadi et al., 2020).  
77 The lack of spatial and temporal resolution has resulted in significant uncertainty in our ability  
78 to evaluate the timing and magnitude of sea ice change during a full glacial cycle across the  
79 Southern Ocean, and to link sea ice to glacial-interglacial CO<sub>2</sub> variability.

80 This paper provides new winter sea ice concentration (WSIC) and summer sea surface  
81 temperature (SSST) estimates for the southwestern Pacific sector of the Southern Ocean over  
82 the last 140 ka BP. WSIC, which is a grid-scale observation of the mean state fraction of ocean  
83 area that is covered by sea ice over the sample period, and SSST estimates are produced by  
84 applying the Modern Analog Technique (MAT) to fossil diatom assemblages from sediment core  
85 TAN1302-96 (59.09°S, 157.05°E, water depth 3099 m). We place this record within the context  
86 of sea ice and SSST changes from the region using previously published records from SO136-111  
87 (56.66°S, 160.23°E, water depth 3912 m), which has recalculated WSIC and SSST estimates  
88 presented in this study, and nearby marine core E27-23 (59.61°S, 155.23°E; water depth 3182  
89 m) (Ferry et al., 2015). Using these records, we compare the timing of sea ice expansion to early  
90 glacial-interglacial CO<sub>2</sub> variability to test the hypothesis that the initial CO<sub>2</sub> drawdown (~115 to  
91 100 ka BP) resulted from reduced air-sea gas exchange in response to sea ice capping and  
92 surface water stratification (Kohfeld and Chase, 2017). We then consider alternative oceanic  
93 drivers of early atmospheric CO<sub>2</sub> variability and place our SSST estimates within the context of  
94 other studies to examine how regional cooling and a weakening in meridional SST gradients  
95 might affect air-sea disequilibrium and early CO<sub>2</sub> drawdown (Khatiwala et al., 2019). Finally, we  
96 compare our WSIC estimates with regional reconstructions of Antarctic Intermediate Water  
97 (AAIW) production and subduction variability using previously published carbon isotope  
98 analyses on benthic foraminifera from intermediate to deep-water depths in the southwest

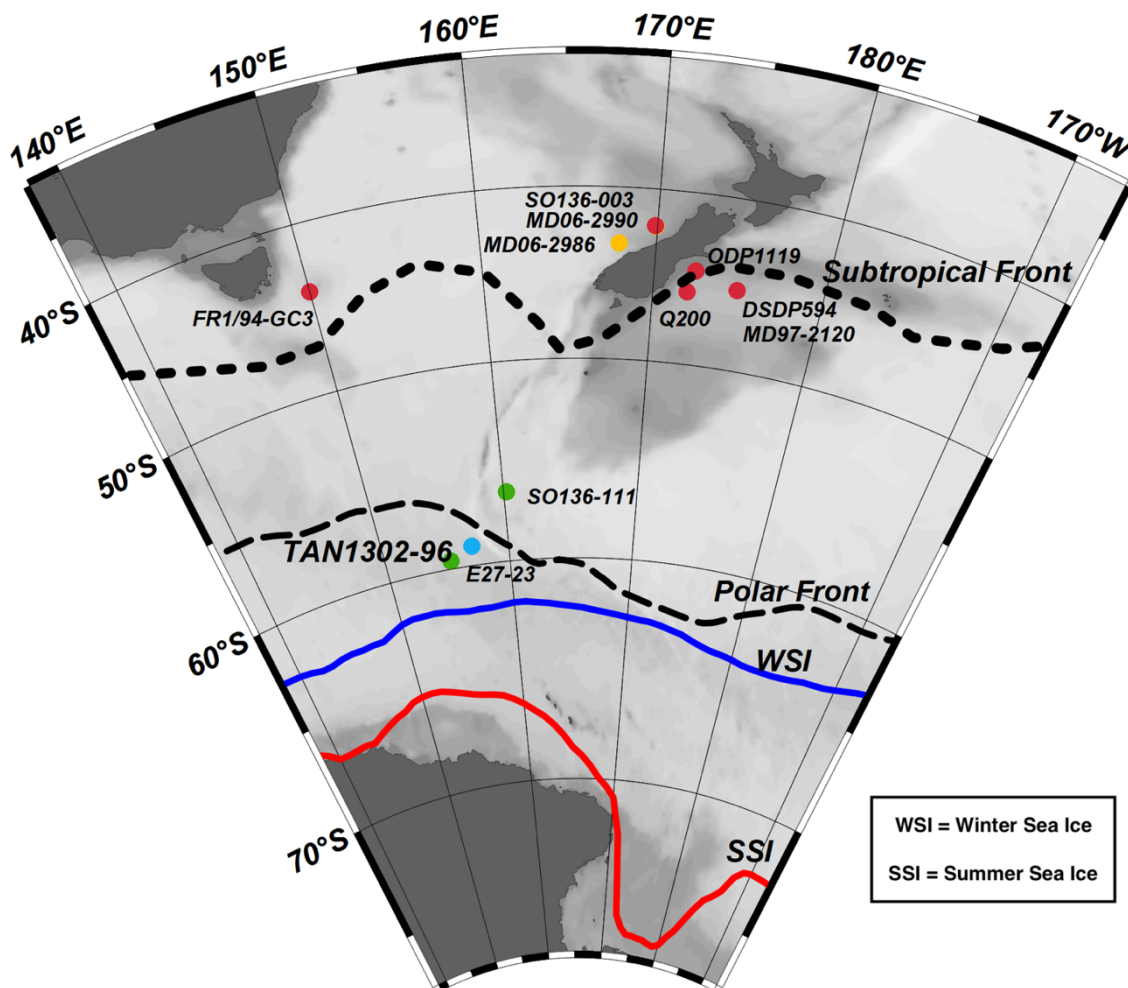
99 Pacific sector of the Southern Ocean, to test the hypothesis that sea ice expansion is  
100 dynamically linked to AAIW production and variability (Ronge et al., 2015).

101

## 102 2.0 Methods

### 103 2.1 Study Site and Age Determination

104 We reconstruct diatom-based WSIC and SSST using marine sediment core TAN1302-96  
105 (59.09°S, 157.05°E, water depth 3099 m) (Figure 1). The 364 cm core was collected in March  
106 2013 using a gravity corer during the return of the *RV Tangaroa* from the Mertz Polynya in  
107 Eastern Antarctica (Williams et al., 2013). The core is situated in the western Pacific sector of  
108 the Southern Ocean, on the southwestern side of the Macquarie Ridge, approximately 3-4°  
109 south of the average position of the Polar Front (PF) at 157°E (Sokolov & Rintoul, 2009).



110

111 **Figure 1:** Map of the southwestern Pacific sector of the Southern Ocean including the study  
112 site, TAN1302-96 (blue circle), and additional published cores providing sea ice extent data,  
113 SO136-111 and E27-23 (green circles), SST reconstructions (red circles), and  $\delta^{13}\text{C}$  of benthic  
114 foraminifera (yellow circles). Note that some cores may not appear present in the figure  
115 because of their proximity to other cores. Data for all cores are provided in Table 2. Dashed  
116 lines show the average location of the Subtropical and Polar Fronts (Smith et al., 2013; Bostock  
117 et al., 2015), and red and blue lines show mean positions of modern summer sea ice (SSI) and  
118 winter sea ice (WSI) extents, respectively (Reynolds et al., 2002; 2007).

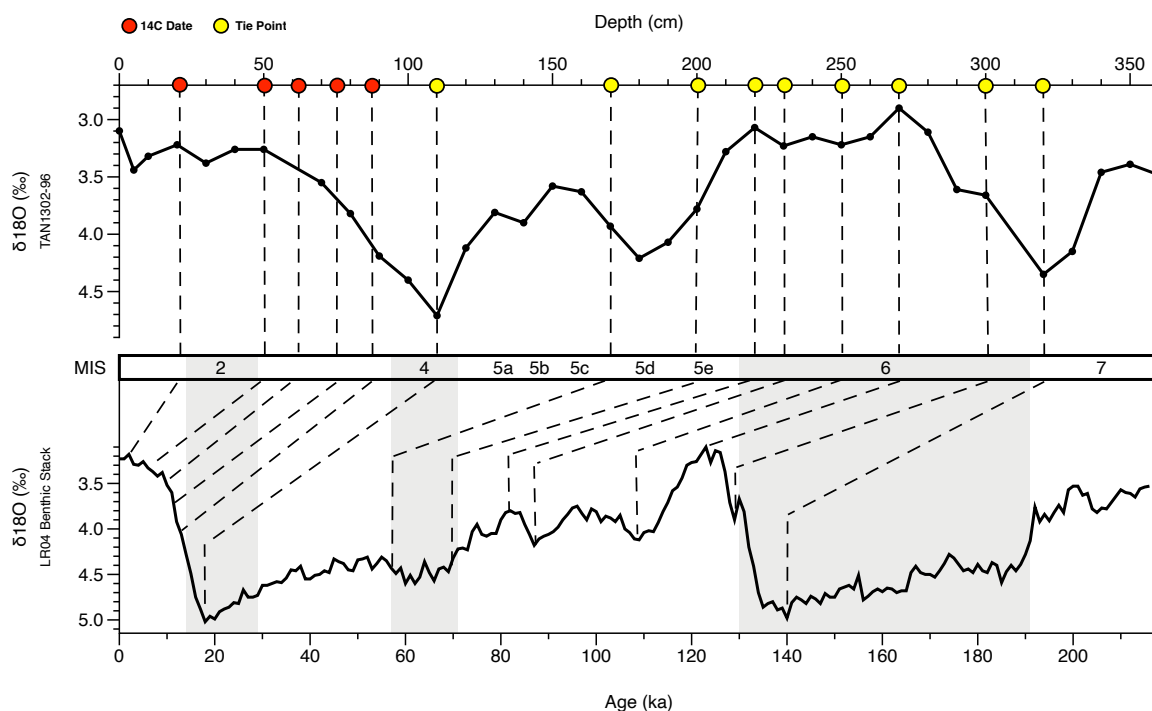
119

120 The age model for TAN1302-96 (Figures 2 and 3) was based on a combination of  
121 radiocarbon dating of mixed foraminiferal assemblages and stable oxygen isotope stratigraphy  
122 on *Neogloboquadrina pachyderma* (180-250  $\mu\text{m}$ ). Seven accelerator mass spectrometry (AMS)  
123  $^{14}\text{C}$  samples were collected (Table A1 in Appendix A) and consisted of mixed assemblages of  
124 planktonic foraminifera (*N. pachyderma* and *Globigerina bulloides*,  $>250 \mu\text{m}$ ). Three of the  
125 seven radiocarbon samples (NZA 57105, 57109, and 61429) were previously published in  
126 Prebble et al. (2017), and four additional samples (OZX 517-520) were added to improve the  
127 dating reliability (Table A1 in Appendix A). OZX 519 and OZX 520 produced dates that were not  
128 distinguishable from background ( $>57.5 \text{ ka BP}$ ) and were subsequently excluded from the age  
129 model. The TAN1302-96 oxygen isotopes were run at the National Institute of Water and  
130 Atmospheric Research (NIWA) using the Kiel IV individual acid-on-sample device and analysed  
131 using Finnigan MAT 252 Mass Spectrometer. The precision is  $\pm 0.07\%$  for  $\delta^{18}\text{O}$  and  $\pm 0.05\%$  for  
132  $\delta^{13}\text{C}$ .

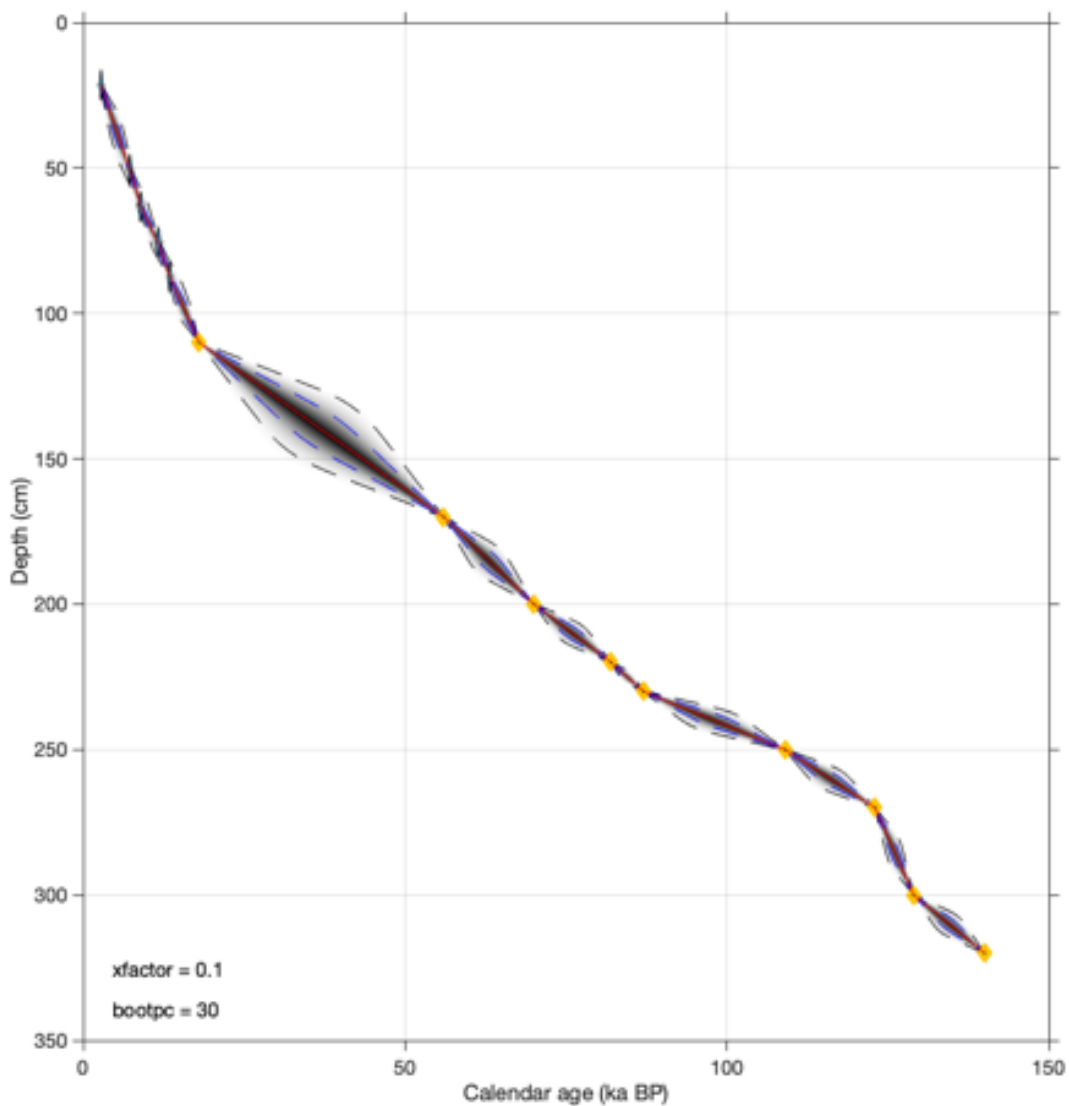
133 The age model was constructed using the 'Undatable' MATLAB software by  
134 bootstrapping at 10% and using an x-factor of 0.1 (Lougheed & Obrochta, 2019), which scales  
135 Gaussian distributions of sediment accumulation uncertainty (Table A2 in Appendix A). Below  
136 100 cm, 9 tie points were selected at positions of maximum change in  $\delta^{18}\text{O}$  and were correlated  
137 to the LR04 benthic stack (Lisiecki & Raymo, 2005) (Fig 2; Table A2 in Appendix A). Uncertainty  
138 associated with stratigraphic correlation to the LR04 stack has been estimated to be  $\pm 4 \text{ ka}$   
139 (Lisiecki & Raymo, 2005). We used a conservative marine reservoir age (MRA) for radiocarbon  
140 calibration of  $1000 \pm 100$  years, in line with regional estimates in Paterne et al. (2019) and  
141 modelled estimates by Butzin et al. (2017; 2020). The age model shows that TAN1302-96

142 extends to at least 140 ka BP, capturing a full glacial-interglacial cycle. Linear sedimentation  
 143 rates (LSR) in TAN1302-96 were observed to be higher during interglacial periods, averaging  
 144  $\sim 3.5 \text{ cm ka}^{-1}$ , compared to glacial periods, averaging  $\sim 2.5 \text{ cm ka}^{-1}$ . It is worth noting that there  
 145 can be significant MRA variability over time due changes in ocean ventilation, sea ice coverage,  
 146 and wind strength, specifically in the polar high latitudes (Heaton et al., 2020), and as a result,  
 147 caution should be taken when interpreting the precision of radiocarbon dates. For more  
 148 information on age model construction and selection, refer to the supplemental online  
 149 materials (SOM).

150



151 **Figure 2:** Age model of TAN1302-96. Red circles indicate the depth of AMS  $^{14}\text{C}$  samples and  
 152 yellow circles indicate tie points between the TAN1302-96 oxygen isotope stratigraphy and the  
 153 LR04 benthic stack (Lisiecki & Raymo, 2005). Two radiocarbon dates, OZX 519 & 520 (at 130 and  
 154 170 cm, respectively), were not included in the age model as they produced dates that were  
 155 NDFB (not distinguishable from background).



157 **Figure 3:** Age model of TAN1302-96. Tie points are depicted as yellow dots and grey shading  
 158 represents associated uncertainty between tie points. The age model used a marine reservoir  
 159 calibration of 1000 +/- 100 years.

160

## 161 2.2 Diatom Analysis

162 TAN1302-96 was sampled every 3-4 cm throughout the core except between 130-180  
 163 cm, where samples were collected every 10 cm due to limited availability of sample materials  
 164 (Table A3 in Appendix A). Diatom slide preparation followed two procedures. The first approach

165 approximated the methods outlined in Renberg (1990), while the second followed the protocol  
166 outlined in Warnock & Scherer (2015). To ensure there were no biases between preparation  
167 techniques, results from each technique were first visually compared followed by a comparison  
168 of sample means (see Figure B1 in Appendix B). No biases in the data were observed between  
169 methods.

170 The first procedure was conducted at Victoria University of Wellington and Simon Fraser  
171 University on samples every 10 cm throughout the core. Sediment samples contained high  
172 concentrations of diatoms with little carbonaceous or terrigenous materials, so no dissolving  
173 aids were used. Instead, approximately 50 mg of sediment was weighed, placed into a 50 ml  
174 centrifuge tube, and topped up with 40 ml of deionized water. Samples were then manually  
175 shaken to disaggregate sediment, followed by a 10-second mechanical stir using a vortex  
176 machine. Samples were then left to settle for 25 seconds. 0.25 mL of the solution was then  
177 pipetted onto a microscope slide from a consistent depth, where it was left to dry overnight.  
178 Once the sample had dried, coverslips were permanently mounted to the slide using Permout,  
179 a high refractive index mountant. Slides were redone if they contained too many diatoms and  
180 identification was not possible, or if they contained too few diatoms (generally <40 specimens  
181 per transect). Sediment sample weight was adjusted to achieve the desired dilution.

182 The second procedure was conducted at Colgate University on samples every 3-4 cm  
183 throughout the core. Oven-dried samples were placed into a 20 ml vial with 1-2 ml of 10% H<sub>2</sub>O<sub>2</sub>  
184 and left to react for up to several days, followed by a brief (2-3 second) ultrasonic bath to  
185 disaggregate samples. The diatom solution was then added into a settling chamber, where  
186 microscope coverslips were placed on stages to collect settling diatoms. The chamber was  
187 gradually emptied through an attached spigot, and samples were evaporated overnight. Cover  
188 slips were permanently mounted onto the slides with Norland Optical Adhesive #61, a  
189 mounting medium with a high refractive index.

190 Diatom identification was conducted at Simon Fraser University using a Leica Leitz  
191 DMBRE light microscope using standard microscopy techniques. Following transverses, a  
192 minimum of 300 individual diatoms were identified at 1000x magnification from each sample  
193 throughout the core. Individuals were counted towards the total only if they represented at



194 least one-half of the specimen so that fragmented diatoms were not counted twice.  
 195 Identification was conducted to the highest taxonomic level possible, either to the species or  
 196 species-group level. Taxonomic identification was conducted using numerous identification  
 197 materials, including (but not limited to): Fenner et al. (1976); Fryxell & Hasle (1976; 1980);  
 198 Johansen & Fryxell (1985); Hasle & Syversten (1997); Cefarelli et al. (2010); and Wilks & Armand  
 199 (2017). The relative abundances were calculated by dividing the number of identified  
 200 specimens of a particular species by the total number of identified diatoms from the sample.  
 201 Based on previously established taxonomic groups (Crosta et al., 2004), diatoms were grouped  
 202 into one of three categories based on temperature preference and sea ice tolerance. The  
 203 following main taxonomic groups were used (Table 1):

- 204
- 205 **[1]** Sea Ice Group: representing diatoms that thrive in or near the sea ice margin in SSTs  
 206 generally ranging from -1 to 1 °C.
- 207 **[2]** Permanent Open Ocean Zone (POOZ): representing diatoms that thrive in open  
 208 ocean conditions, with SSTs generally ranging from ~2 to 10 °C.
- 209 **[3]** Sub-Antarctic Zone (SAZ): representing diatoms that thrive in warmer sub-Antarctic  
 210 waters, with SSTs generally ranging from 11 to 14 °C.

211

212 **Table 1:** Species comprising each of the diatom taxonomic groups (updated from Crosta et al.,  
 213 2004).

Sea Ice Group	POOZ Group	SAZ Group
<i>Actinocyclus actinochilus</i>	<i>Fragilariopsis kerguelensis</i>	<i>Azpeitia tabularis</i>
<i>Fragilariopsis curta</i>	<i>Fragilariopsis rhombica</i>	<i>Hemidiscus cuneiformis</i>
<i>Fragilariopsis cylindrus</i>	<i>Fragilariopsis separanda</i>	<i>Thalassionema nitzschioides</i> var. <i>lanceolata</i>
<i>Fragilariopsis obliquecostata</i>	<i>Rhizosolenia polydactyla</i> var. <i>polydactyla</i>	<i>Thalassiosira eccentrica</i>
<i>Fragilariopsis ritscheri</i>	<i>Thalassionema nitzschioides</i> (form 1)	<i>Thalassiosira oestrupii</i> gp.
<i>Fragilariopsis sublinearis</i>	<i>Thalassiosira gracilis</i> gp.	
	<i>Thalassiosira lentiginosa</i>	
	<i>Thalassiosira oliverana</i>	
	<i>Thalassiothrix</i> sp.	
	<i>Trichotoxon reinboldii</i>	

214

## 215 **2.3 Modern Analog Technique**

216 Past WSIC and SSST (January to March) were estimated for TAN1302-96 and  
217 recalculated for SO136-111 by applying the Modern Analog Technique (MAT) to the fossil  
218 diatom assemblages, as outlined in Crosta et al. (1998; 2020). Summer (January to March) SST  
219 was estimated because it is considered to be a better explanatory variable than spring or  
220 annual SST (Esper et al., 2010; Esper & Gersonde, 2014b). The MAT reference database used for  
221 this analysis is comprised of 249 modern core top samples (analogs) located primarily in the  
222 Atlantic and Indian sectors from ~40°S to the Antarctic coast. The age of the core tops included  
223 in the reference database have been assessed through radiocarbon and/or isotope stratigraphy  
224 when possible. Core tops were visually evaluated for selective diatom dissolution, so it is  
225 believed that sub-modern assemblages contain well-preserved and unbiased specimens.  
226 Modern SSST and WSIC were interpolated from the reference core locations using a 1°x1° grid  
227 from the World Ocean Atlas (Locarnini et al., 2013) through the Ocean Data View (Schlitzer,  
228 2005). The MAT was applied using the “bioindic” package (Guiot & de Vernal, 2011) through the  
229 R-platform. Fossil diatom assemblages were compared to the modern analogs using 33 species  
230 or species-groups to identify the five most similar modern analogs using both the LOG and  
231 CHORD distance. The dissimilarity threshold, above which the fossil assemblages are considered  
232 to be too dissimilar to the modern dataset, is fixed at the first quartile of random distances  
233 (Crosta et al., 2020). The reconstructed SSST and WSIC are the distance-weighted mean of the  
234 climate values associated with the selected modern analog (Guiot et al., 1993; Ghadi et al.,  
235 2020). Both MAT approaches produce an  $R^2$  value of 0.96 and a root mean square error of  
236 prediction (RMSEP) of ~1°C for SSST, and an  $R^2$  of 0.93 and a RMSEP of 10% for WSIC (Ghadi et  
237 al. 2020). As outlined in Ferry et al., (2015), we consider <15% WSIC to represent an absence of  
238 winter sea ice, 15-40% WSIC as present but unconsolidated, and >40% to represent  
239 consolidated winter sea ice.

## 240 **2.4 Additional Core Data**

242 We use additional published marine cores from the southwestern Pacific throughout  
243 this analysis (Table 2), for WSIC comparisons (E27-23), %AAIW calculations (MD06-2990/SO136-

244 003, MD06-2986, and MD97-2120), and regional SST gradient comparisons (SO136-003,  
 245 FR1/94-GC3, ODP 1119-181, DSDP 594, and Q200).

246 **Table 2:** Additional data on published marine cores used throughout this analysis.

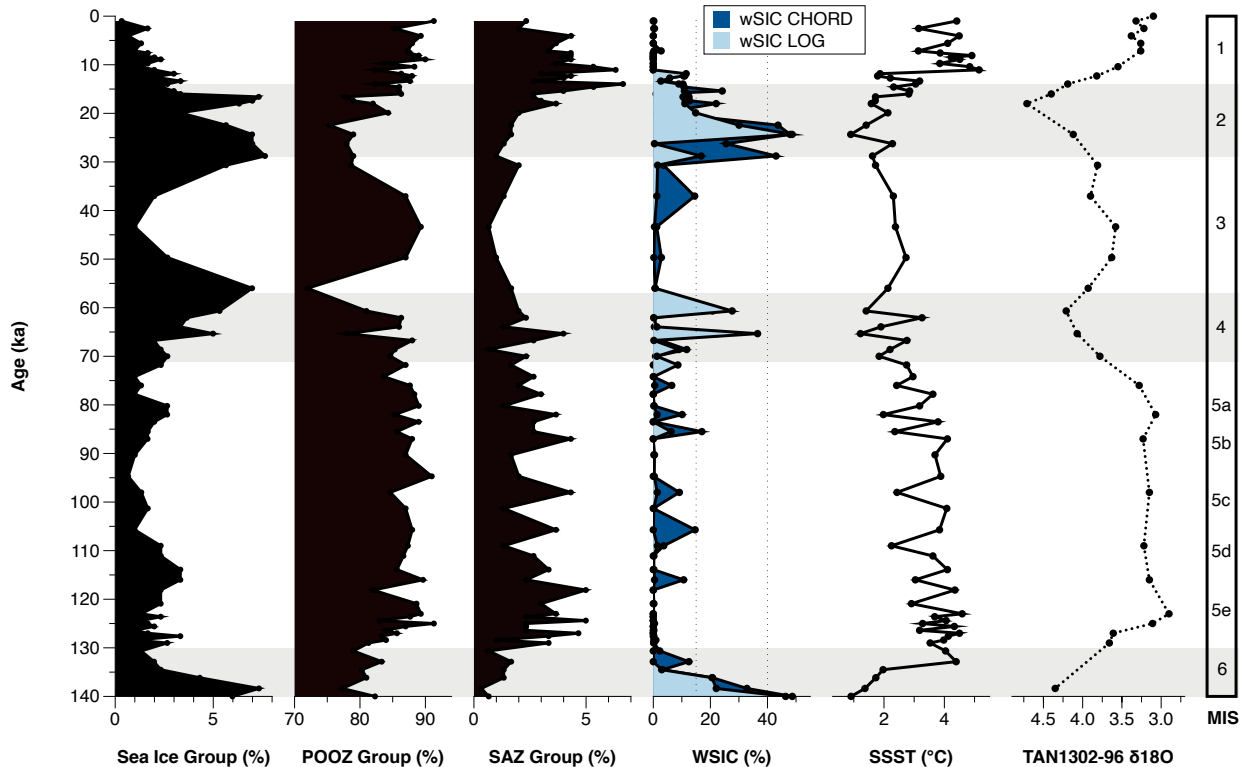
Core Name	Latitude	Longitude	Depth	Age Model Reference	Data Assessed	Data Source
TAN1302-96	59.09°S	157.05°E	3099 m	<i>This study</i>	WSIC; SST	<i>This study</i>
SO136-111	56.66°S	160.23°E	3912 m	Crosta et al. (2004)	WSIC; SST	Crosta et al. (2004); <i>recalculated in this study</i>
E27-23	57.65°S	155.23°E	3182 m	Ferry et al. (2015)	WSIC	Ferry et al. (2015)
MD06-2990	42.01°S	169.92°E	943 m	Ronge et al. (2015)	δ13C	Ronge et al. (2015)
MD06-2986	43.45°S	167.9°E	1477 m	Ronge et al. (2015)	δ13C	Ronge et al. (2015)
MD97-2120	45.54°S	174.94°E	1210 m	Pahnke & Zahn (2005)	δ13C	Pahnke & Zahn (2005)
SO136-003	42.3°S	169.88°E	958 m	Pelejero et al. (2006); Barrows et al. (2007)	δ13C; SST	Pelejero et al. (2006); Ronge et al. (2015)
FR1/94-GC3	44.25°S	149.98°E	2667 m	Pelejero et al. (2006)	SST	Pelejero et al. (2006)
ODP 1119-181	44.75°S	172.39°E	396 m	Wilson et al. (2005)	SST	Wilson et al. (2005); Hayward et al. (2008)
DSDP 594	45.54°S	174.94°E	1204 m	Nelson et al. (1985); Kowalski & Meyers (1997)	SST	Schaefer et al. (2005)
Q200	45.99°S	172.02°E	1370 m	Waver et al., 1998	SST	Weaver et al. (1998)

247

## 248 **3.0 Results**

### 249 **3.1 TAN1302-96 Diatom Assemblage Results**

250 In this core, fifty-one different species or species groups were identified, of which 33  
 251 were used in the transfer function. These 33 species represent >82% of the total diatom  
 252 assemblages (mean of 92%). Permanent Open Ocean Zone (POOZ) diatoms made up the largest  
 253 proportion of diatoms identified, representing between 72-91% of the assemblage (Figure 4),  
 254 with higher values observed during warmer interstadial periods of MIS 1, 3, and 5. Sea ice  
 255 diatoms made up the second most abundant group, representing between 0.5-7.5% of the  
 256 assemblage, with higher values observed during cooler stadial periods (MIS 2, 4, and 6). The  
 257 Sub-Antarctic Zone group had relatively low abundances, with higher values occurring during  
 258 warmer interstadial periods (MIS 5 and the Holocene) and briefly during MIS 4 at ~65 ka BP.



260 **Figure 4:** Diatom assemblages results from TAN1302-96 separated into % contribution from  
 261 each taxonomic group (Sea ice Group, POOZ, and SAZ; see Table 1) over a full glacial-interglacial  
 262 cycle. Using the Modern Analog Technique (MAT), winter sea ice concentration (WSIC) and  
 263 summer sea surface temperature (SSST) were estimated and compared against the  $\delta^{18}\text{O}$   
 264 signature of TAN1302-96.  
 265

### 266 3.2 TAN1302-96 SSST and WSIC Estimates

267 There were no non-analog conditions observed in TAN1302-96 samples and all  
 268 estimates were calculated on five analogs. Estimates of SSST and WSIC from both LOG and  
 269 CHORD MAT outputs produced similar results (Figure 4). During Termination II, SSST began to  
 270 rise from  $\sim 1^\circ\text{C}$  at 140 ka BP (MIS 6) to  $\sim 4.5^\circ\text{C}$  at 132 ka BP (MIS 5e/6 boundary). This warming  
 271 corresponded with a decrease in WSIC from 48% to approximately 0% over the same time  
 272 periods (Figure 4). Reconstructed SSST were variable throughout MIS 5e, reaching a maximum  
 273 value of  $\sim 4.5^\circ\text{C}$  at 118 ka BP, after which they declined throughout MIS 5. During this period of  
 274 SSST decline, winter sea ice was largely absent, punctuated by brief periods during which sea  
 275 ice was present but unconsolidated (WSIC =  $\sim 15\%$  and  $17\%$  at 105 and 85 ka BP, respectively).  
 276 During MIS 4 (71 to 57 ka BP), SSST cooled to between roughly  $1^\circ\text{C}$  and  $3^\circ\text{C}$ , and sea ice

277 expanded to 36%, such that it was present but unconsolidated for intervals of a few thousand  
278 years. SSST increased slightly from 1.5°C at 61 ka BP (during MIS 4) to ~2.5°C at 50 ka BP (during  
279 MIS 3), followed by a general cooling trend into MIS 2. Sea ice appears to have been largely  
280 absent during MIS 3 (57 to 29 ka BP), although sampling resolution is low, but increased rapidly  
281 to 48% cover during MIS 2 where winter sea ice was consolidated over the core site. During MIS  
282 2, SSST cooled to a minimum of <1°C at 24.5 ka BP. After 18 ka BP, the site rapidly transitioned  
283 from cool, ice-covered conditions to warmer, ice-free winter conditions during the early  
284 deglaciation. This warming was interrupted by a brief cooling around 13.5 ka BP, following  
285 which SSST quickly reached their maximum values of ~5°C at 11.5 ka BP and remained relatively  
286 high throughout the rest of the Holocene. Winter sea ice was not present during the Holocene.

287

### 288 **3.3 SO136-111 SSST and WSIC Recalculation**

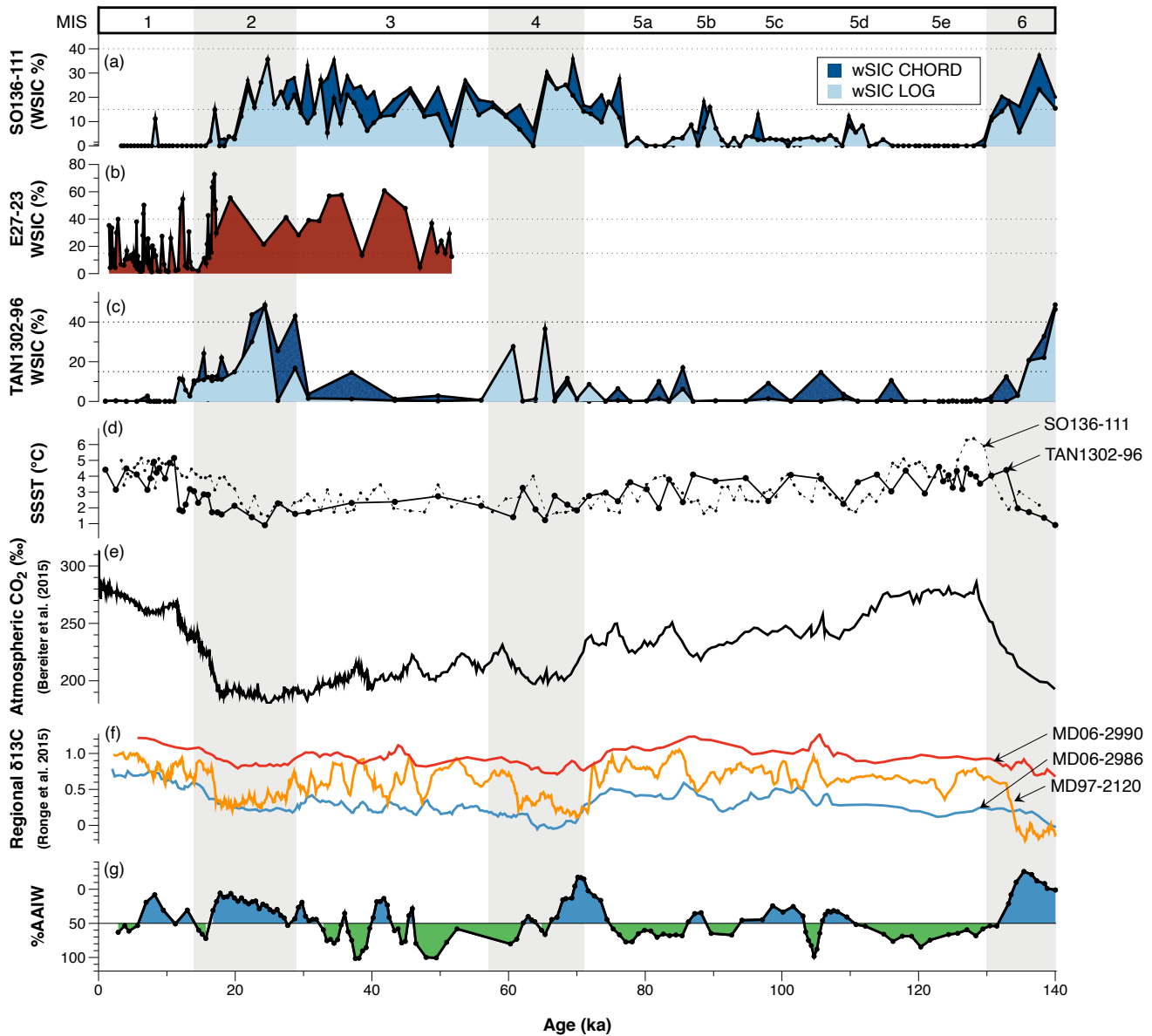
289 In core SO136-111, the 33 species included in the transfer function represent values  
290 >79% of the total diatom assemblages (mean of 91%). There were no non-analog conditions  
291 observed in SO136-111 samples and all estimates were calculated on five analogs. Recalculated  
292 estimates of SSST and WSIC from both LOG and CHORD MAT outputs produced similar results  
293 for SO136-111 (Figure 5a, 5d). During Termination II, SSST rose from ~2°C at 137 ka BP (MIS 6)  
294 to a maximum value of 6°C at 125 ka BP (MIS 5e), corresponding to a rapid decline in WSIC from  
295 37% to ~0% during the same period. SSST remained relatively high (between 4 and 5°C) from  
296 125 ka BP until 115 ka BP where they declined to ~2°C. SSST remained variable from 110 ka BP  
297 until ~40 ka BP, fluctuating between ~2°C and 4°C. Winter sea ice was largely absent during MIS  
298 5, with a brief period where sea ice was present but unconsolidated (WSIC = 17% at 84 ka).  
299 Beginning at ~76 ka BP, WSIC began to increase and continued throughout early MIS 4 to a  
300 maximum 36% at 69 ka BP. WSIC remained present but unconsolidated throughout most of MIS  
301 3 and 2 with brief periods of absence (WSIC = <15%) lasting a few thousand years. SSST and  
302 WSIC reached their coolest values and highest concentration at 24.5 ka before SSST increased  
303 to ~5°C and stabilized throughout the Holocene, while WSIC declined to virtually 0% throughout  
304 the same period.

305

306 **4.0 Discussion**

307 **4.1 Regional SSST and WSIC Estimates**

308           The new WSIC and SSST estimates from TAN1302-96 and recalculated WSIC estimates  
309 from SO136-111 show a coherent regional pattern (Figure 5). TAN1302-96 shows slightly higher  
310 concentrations during MIS 2 (max WSIC = 48% at 24.5 ka BP) and 4 (max WSIC = 37% at 65 ka  
311 BP) compared with SO136-111 (max WSIC = 35% at 24.5 ka BP and 36% at 68 ka BP,  
312 respectively), which can be explained by a more poleward position of TAN1302-96 relative to  
313 SO136-111. The estimates between cores differ during MIS 3, with seemingly lower WSIC in  
314 TAN1302-96 than in SO136-111, which might result from the low sampling resolution in  
315 TAN1302-96 during this period. Overall, these cores show a highly similar and coherent history  
316 of sea ice over the last 140 ka BP.



318 **Figure 5:** (a) WSIC estimates using MAT from SO136-111 (recalculated in this study, see  
 319 Appendix D); (b) WSIC estimates using GAM from E27-23 (Ferry et al., 2015); (c) WSIC estimates  
 320 using MAT from TAN1302-96 (this study); (d) SSST estimates using MAT from TAN1302-96 (solid  
 321 black line) and recalculated SSST for SO136-111 (black dotted line); (e) Antarctic atmospheric  
 322 CO<sub>2</sub> concentrations over 140 ka BP (Bereiter et al., 2015); (f) δ<sup>13</sup>C data from nearby cores  
 323 MD06-2990/SO136-003, MD97-2120, and MD06-2986 (Ronge et al., 2015); (g) %Antarctic  
 324 Intermediate Water (%AAIW) as calculated in Ronge et al. (2015), which tracks when core  
 325 MD97-2120 was bathed primarily by AAIW (green) or Upper Circumpolar Deep Water (UCDW)  
 326 (blue).  
 327

328           When compared with E27-23 (Figure 5b), which is located only ~120 km to the  
329 southwest of TAN1302-96 (Figure 1), the TAN1302-96 core shows lower estimates of WSIC,  
330 especially during MIS 3. During early and mid-MIS 2, both cores show similar WSIC estimates,  
331 while later in MIS 2 (~17 ka BP), E27-23 reports a maximum WSIC of 72% compared to only 22%  
332 at TAN1302-96. A discrepancy between estimates is also observed during the Holocene, with  
333 E27-23 reporting sea ice estimates of up to nearly 50% during the mid-Holocene (~6 ka BP),  
334 while TAN1302-96 experienced values well below the RMSEP of 10%.

335           Possible explanations for the observed differences in WSIC estimates include: [1]  
336 differences in statistical applications; [2] lateral sediment redistribution; [3] differences in  
337 laboratory protocols; [4] differences in diatom identification/counting methodology; and [5]  
338 selective diatom dissolution. Of these explanations, we believe that [1] and [2] are the most  
339 likely candidates and are discussed below (for further discussion on [3], [4], and [5], see  
340 Appendix C).

341           The first possible explanation is the use of different statistical applications. Ferry et al.  
342 (2015) used a Generalized Additive Model (GAM) to estimate WSIC for both E27-23 and SO136-  
343 111, while we have used the MAT for TAN1302-96 and SO136-111. A simple comparison of  
344 WSIC estimates between the results in Ferry et al. (2015) and our recalculated WSIC estimates  
345 for SO136-111 can provide insights into the magnitude of estimation differences. Generally  
346 speaking, the GAM estimation produced higher WSIC estimates than the MAT (e.g., ~50% WSIC  
347 at 23 ka BP while the MAT produced ~37% for the same time period); however, we believe it is  
348 unlikely that statistical approaches alone could explain a larger difference (i.e., 50%) between  
349 E27-23 and TAN1302-96.

350           The second possible explanation involves lateral sediment redistribution and focusing by  
351 the ACC. We estimated sediment focusing for E27-23 using <sup>230</sup>Th data from Bradtmiller et al.  
352 (2009) together with dry bulk density estimated using calcium carbonate content (Froelich,  
353 1991). Both sedimentation rates and focusing factors (FF) for the E27-23 are relatively high  
354 (max. = ~35 cm ka<sup>-1</sup> and 26, respectively) during the LGM and Holocene, which could influence  
355 the reliability of WSIC and SSST estimation (see Figure B2 in Appendix B). Several peaks in  
356 focusing occurring around 16, 12, and 3 ka BP appear to closely correspond to periods of peak



357 WSIC (~67%, ~54%, and ~35%, respectively), suggesting a possible link. Lateral redistribution  
358 could artificially increase or decrease relative abundances of some diatom groups, which could  
359 lead to over- or under-estimations of sea ice coverage. Thorium analysis for TAN1302-96 is  
360 beyond the scope of this study; however, future work could help address this uncertainty.

361 Although we are unable to identify the specific cause of the differences, we suggest  
362 considering the results from all cores when drawing conclusions of regional sea ice history.

363

## 364 **4.2 The Role of Sea Ice on Early CO<sub>2</sub> Drawdown**

365 Kohfeld & Chase (2017) hypothesized that the initial drawdown of atmospheric CO<sub>2</sub> (~35  
366 ppm) during the glacial inception of MIS 5d (~115 to 100 ka BP) was primarily driven by sea ice  
367 capping and a corresponding stratification of surface waters, which reduced the CO<sub>2</sub> outgassing  
368 of upwelled carbon-rich waters. This hypothesis is supported by several lines of evidence,  
369 including: [1] sea salt sodium (ssNA) archived in Antarctic ice cores, suggesting sea ice  
370 expansion near the Antarctic continent (Wolf et al., 2010); [2]  $\delta^{15}\text{N}$  proxy data from the central  
371 Pacific sector of the Southern Ocean, suggesting increased stratification south of the modern-  
372 day Antarctic Polar Front (Studer et al., 2015); and [3] diatom assemblages in the Permanent  
373 Open Ocean Zone (POOZ) of the Atlantic sector, suggesting a slight cooling and northward  
374 expansion of sea ice during MIS 5d (Bianchi & Gersonde, 2002). Our data address this  
375 hypothesis by providing insights into early sea ice expansion into the polar frontal zone of the  
376 western Pacific sector.

377 Our data show that, in contrast to the Atlantic sector (Bianchi & Gersonde, 2002), there  
378 does not appear to be any evidence of sea ice expansion in the southwestern Pacific during MIS  
379 5d at either the TAN1302-96 or SO136-111 core sites (Figure 5). Unfortunately, the lack of  
380 spatially extensive quantitative records extending back to Termination II limits our ability to  
381 estimate the timing and magnitude of sea ice changes for regions poleward of 59°S in the  
382 southwestern Pacific. We anticipate, however, that an advance in the sea ice edge, consistent  
383 with those outlined in Bianchi and Gersonde (2002), likely would have reduced local SST as the  
384 sea ice edge advanced closer to the core site. Indeed, the TAN1302-96 SSST record does show a  
385 decrease to ~2°C (observed at 108 ka BP), which quickly rebounded to ~4°C by ~102 ka BP

386 (Figure 5). However, this SSST drop occurred roughly 7 ka BP after the initial CO<sub>2</sub> reduction,  
387 suggesting that the CO<sub>2</sub> drawdown event and local SSST reduction may not be linked. Thus,  
388 while we cannot rule out the possibility of modest sea ice advances or consolidation of pre-  
389 existing sea ice (particularly to the south of the core sites), the quantitative WSI and SSST  
390 reconstructions suggest that sea ice cover over our core site was limited during glacial  
391 inception.

392         Given that sea ice was not at its maximum extent during the early glacial, it stands to  
393 reason that any reductions to air-sea gas exchange in response to the hypothetically expanded  
394 sea ice would not have been at its maximum impact either. Previous modeling work has  
395 suggested that the maximum impact of sea ice expansion on glacial-interglacial atmospheric  
396 CO<sub>2</sub> reductions ranged from 5 to 14 ppm (Kohfeld and Ridgwell, 2009). More recent modeling  
397 studies are consistent with this range, suggesting a 10 ppm reduction (Stein et al., 2020), while  
398 some studies even suggest a possible increase in atmospheric CO<sub>2</sub> concentrations due to sea ice  
399 expansion (Khatiwala et al., 2019). Furthermore, Stein et al. (2020) suggest that the effects of  
400 sea ice capping would have taken place after changes in deep ocean stratification had occurred  
401 and would have contributed to CO<sub>2</sub> drawdown later during the mid-glacial period. These model  
402 results, when combined with our data, suggest that even if modest sea ice advances did take  
403 place during the early glacial (i.e., MIS 5d), their impacts on CO<sub>2</sub> variability likely would have  
404 been modest, ultimately casting doubt on the hypothesis that early glacial CO<sub>2</sub> reductions of 35  
405 ppm can be linked solely to the capping and stratification effects of sea ice expansion.

406

### 407 **4.3 Other Potential Contributors to Early Glacial CO<sub>2</sub> Variability**

408         The changes observed in WSIC and SSST from TAN1302-96 suggest that sea ice  
409 expansion was likely not extensive enough early in the glacial cycle for a sea ice capping effect  
410 to be solely responsible for early atmospheric CO<sub>2</sub> drawdown. This leaves open the question of  
411 what may have contributed to early drawdown of atmospheric CO<sub>2</sub>. In terms of the ocean's  
412 role, we highlight three contenders: [1] a potentially non-linear response between sea ice  
413 coverage and CO<sub>2</sub> sequestration potential; [2] links between sea ice expansion and early

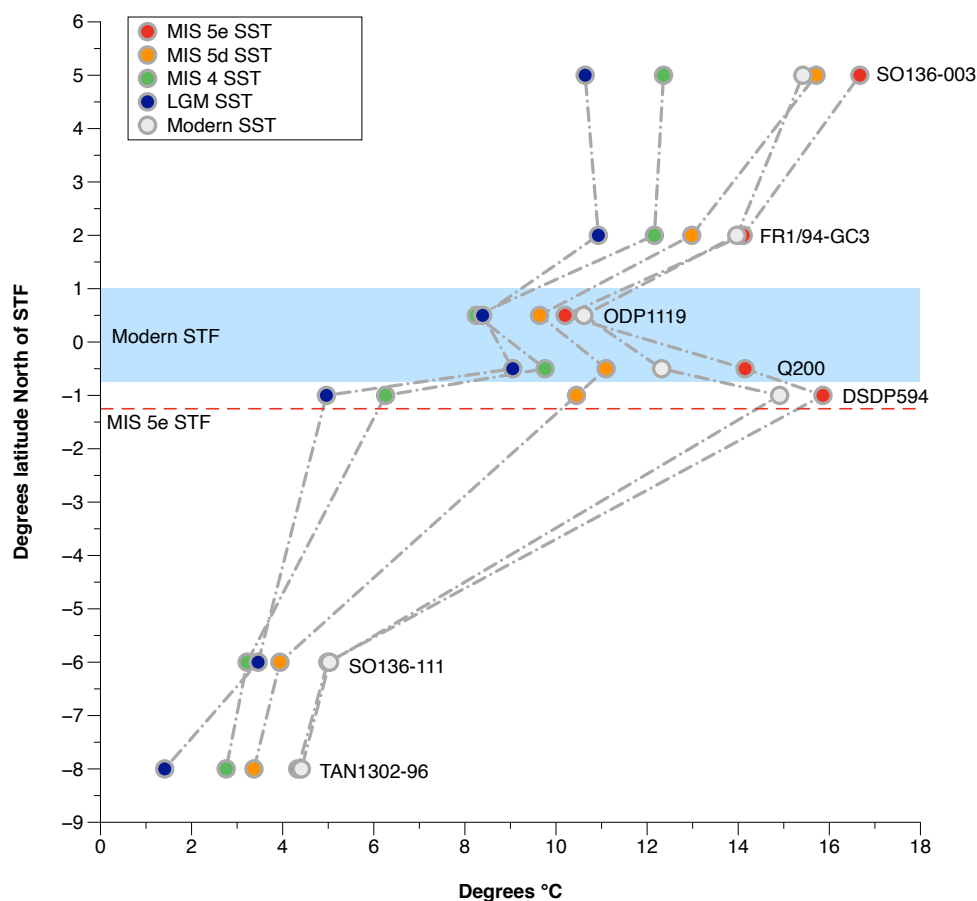
414 changes in global ocean overturning, and [3] the impact of cooling on air-sea disequilibrium in  
415 the Southern Ocean.

416         The first possible explanation considers that not all sea ice has the same capacity to  
417 facilitate or inhibit air-sea gas exchange. We previously suggested that because sea ice was not  
418 at its maximum extent during MIS 5d, the contribution of sea ice on CO<sub>2</sub> sequestration would  
419 likely not be at its maximum extent either. However, this assumes a linear relationship between  
420 sea ice coverage and CO<sub>2</sub> sequestration potential. We know that different sea ice properties,  
421 such as thickness and temperature, determine overall porosity, with thicker and colder sea ice  
422 being less porous and more effective at reducing air-sea gas exchange compared to thinner and  
423 warmer sea ice (Delille et al., 2014). It is therefore possible that if modest sea ice advances took  
424 place closer to the Antarctic continent (and were therefore not captured by TAN1302-96), they  
425 may have been more effective at reducing CO<sub>2</sub> outgassing either by experiencing some type of  
426 reorganization or consolidation, or through a change in properties such as temperature or  
427 thickness. It is also possible that sea ice coverage over some regions leads to more effective  
428 capping, while in other regions sea ice growth contributes only to marginal reductions in air-sea  
429 gas exchange. This, theoretically, could point to a non-linear response between sea ice  
430 expansion and CO<sub>2</sub> sequestration potential, and thus modest sea ice growth around the  
431 Antarctic continent could have contributed in part to the ~35 ppm initial CO<sub>2</sub> drawdown event.  
432 While this is theoretical and cannot be adequately addressed in this analysis, it is worthy of  
433 deeper consideration.

434         The second possible explanation involves changes in the global overturning circulation.  
435 Kohfeld and Chase (2017) previously examined the timing of changes in  $\delta^{13}\text{C}$  of benthic  
436 foraminifera solely from the Atlantic basin and observed that the largest changes in the Atlantic  
437 Meridional Overturning Circulation (AMOC) coincided with the mid-glacial reductions in  
438 atmospheric CO<sub>2</sub> changes mentioned above. Subsequent work of O'Neill et al. (2020) examined  
439 whole-ocean changes in  $\delta^{13}\text{C}$  of benthic foraminifera and noted that the separation between  
440  $\delta^{13}\text{C}$  values of abyssal and deep ocean waters – and therefore the isolation of the abyssal ocean  
441 - was actually initiated between MIS 5d and MIS 5a (114 to 71 ka BP). Evidence for early  
442 changes in abyssal circulation and reductions in deep-ocean overturning have also been

443 detected in Indian Ocean  $\delta^{13}\text{C}$  records (Govin et al., 2009). More recently, Indian Ocean  $\epsilon\text{Nd}$   
444 records (Williams et al., 2021) have suggested that the abyssal ocean may have responded to  
445 sea ice changes around the Antarctic continent early in the glacial cycle, with colder and more  
446 saline AABW forming as sea ice expanded near the continent. If indications of an early-glacial  
447 response in the global ocean circulation in the Indo-Pacific are correct, these data may also  
448 point to an elevated importance of sea ice near the Antarctic continent in triggering early,  
449 deep-ocean overturning changes.

450         The third possible explanation involves changes in surface ocean temperature gradients  
451 in the Southern Ocean, and how they could influence air-sea gas exchange. Several recent  
452 studies have pointed to the importance of changes to air-sea disequilibrium as a key  
453 contributor to  $\text{CO}_2$  uptake in the Southern Ocean (Eggleston & Galbraith, 2018; Marzocchi &  
454 Jansen 2019; Khatiwala et al. 2019). Khatiwala et al. (2019) suggested that modelling studies  
455 have traditionally underrepresented (or neglected) the role of air-sea disequilibrium in  
456 amplifying the impact of cooling on potential  $\text{CO}_2$  sequestration in the mid-high southern  
457 latitudes during glacial periods. They argue that when the full effects of air-sea disequilibrium  
458 are considered, ocean cooling can result in a 44 ppm decrease due to temperature-based  
459 solubility effects alone. They attributed this increased impact of SST to a reduction in sea-  
460 surface temperature gradients explicitly in polar mid-latitude regions (roughly between  $40^\circ$  and  
461  $60^\circ$  north and south). If we compare the SST gradients in the southwest Pacific sector over the  
462 last glacial-interglacial cycle (Figure 6), we see an early cooling response between MIS 5e-d  
463 corresponding to roughly half of the full glacial cooling, specifically in the cores located south of  
464 the modern STF. While not quantified, Bianchi and Gersonde (2002) also described a weakening  
465 of meridional SST gradients between the Subantarctic and Antarctic Zones during MIS 5d in the  
466 Atlantic sector. Although this analysis is based on sparse data, our SSST reconstructions are  
467 consistent with the notion that surface ocean cooling, a weakening of meridional SST gradients,  
468 and changes to the overall air-sea disequilibrium could be responsible for at least some portion  
469 of the early  $\text{CO}_2$  drawdown. Further SST estimates from the region, and from the global ocean,  
470 are needed to substantiate this hypothesis.



471 **Figure 6:** SST estimates from 7 cores located in the southwestern Pacific. SST used were 5-point  
 472 averages (depending on sampling resolution) taken at MIS peaks/median dates in accordance  
 473 with boundaries outlined in Lisiecki & Raymo, (2005). Due to the complex circulation and  
 474 frontal structures in the region, cores were plotted in +/- distance from the average position of  
 475 the modern STF. Cores used include: SO136-003 (SSTs calculated from alkenones, Pelejero et  
 476 al., 2006); FR1/94-GC3 (alkenones, Pelejero et al., 2006); ODP 181-1119 (PF-MAT, Hayward et  
 477 al., 2008); DSDP594 (PF-MAT, Schaefer et al., 2005); Q200 (PF-MAT, Weaver et al., 1998);  
 478 SO136-111 (D-MAT, Crosta et al., 2004); and TAN1302-96 (D-MAT; *this study*). The blue band  
 479 represents the modern STF zone while the red dotted line represents the southern shift in the  
 480 STF during MIS 5e (Cortese et al., 2013).

481

#### 482 **4.4 Sea Ice Expansion and Ocean Circulation**

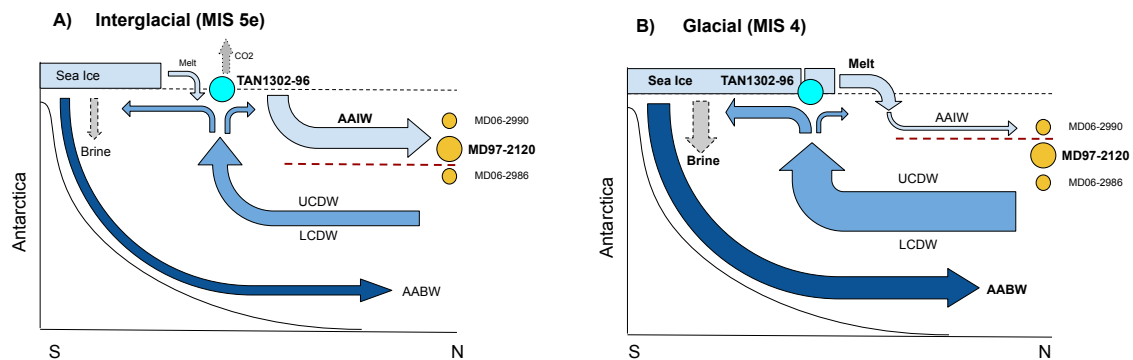
483 Although the TAN1302-96 WSIC record suggests that sea ice was largely absent at the  
 484 core site until the mid-glacial (~65 ka BP), the observed changes in sea ice could have  
 485 modulated regional fluctuations in Antarctic Intermediate Water (AAIW) subduction  
 486 throughout the glacial-interglacial cycle. The annual growth and decay of Antarctic sea ice plays

487 a critical role in regional water mass formation. Brine rejection results in net buoyancy loss in  
488 regions of sea ice formation, while subsequent melt results in freshwater inputs and net  
489 buoyancy gains near the ice margin (Shin et al., 2003; Pellichero et al., 2018). This increased  
490 freshwater input and buoyancy gain near the ice margin can hinder AAIW subduction, with  
491 direct and indirect impacts on both the upper and lower branches of the meridional  
492 overturning circulation (Pellichero et al. 2018).

493 Previous research has used  $\delta^{13}\text{C}$  in benthic foraminifera to track changes in the depth of  
494 the interface between AAIW and Upper Circumpolar Deep Water (UCDW) (Pahnke and Zahn,  
495 2005; Ronge et al., 2015). Low  $\delta^{13}\text{C}$  values are linked to high nutrient concentrations found at  
496 depths below  $\sim 1500$  m in the UCDW, and higher  $\delta^{13}\text{C}$  values are associated with the shallower  
497 AAIW waters (Figure 5). Marine sediment core MD97-2120 (45.535°S, 174.9403°E, core depth  
498 1210 m) was retrieved from a water depth near the interface between the AAIW and UCDW  
499 water masses (Pahnke & Zahn, 2005). Over the last glacial-interglacial cycle, fluctuations in the  
500 benthic  $\delta^{13}\text{C}$  values from MD97-2120 suggest that the core site was intermittently bathed in  
501 AAIW and UCDW, and that the vertical extent of AAIW fluctuated throughout the last glacial-  
502 interglacial cycle. Ronge et al. (2015) used the  $\delta^{13}\text{C}$  values from MD97-2120 and other core sites  
503 to quantify the contributions of AAIW to the waters overlying MD97-2120 (%AAIW, Appendix  
504 D). These results suggest that during warm periods, MD97- 2120 exhibited more positive  $\delta^{13}\text{C}$   
505 values, corresponding to higher %AAIW, while cooler periods exhibited more negative values,  
506 corresponding to lower %AAIW (Figure 5). This suggests that during cooler periods, the AAIW-  
507 UCDW interface shoaled, reducing the total volume of AAIW and indirectly causing an  
508 expansion of UCDW (Ronge et al., 2015).

509 Our comparison between %AAIW and regional WSIC estimates suggest a strong link  
510 between the two (Figure 5). Specifically, we observe that AAIW shoaled and UCDW expanded  
511 (i.e., %AAIW is low) during periods when sea ice expansion occurred. In contrast, during periods  
512 of low WSIC, a reduced seasonal sea ice cycle, and warmer summer sea surface temperatures  
513 (e.g., MIS 5e), %AAIW is observed to be high. This correlation supports the idea that increased  
514 concentrations of regional sea ice resulted in a substantial summer freshwater flux into the  
515 AAIW source region. This regional freshening likely promoted a shallower subduction of AAIW

516 and a corresponding volumetric expansion of UCDW, which can be seen by the isotopic offset  
 517 of the  $\delta^{13}\text{C}$  values between the reference cores, and also by the increased carbonate dissolution  
 518 in MD97-2120 during glacial periods (Figure 7) (Pahnke et al., 2003; Ronge et al., 2015). These  
 519 findings directly link sea ice proxy records to observed changes in ocean circulation and water  
 520 mass geometry.  
 521



522  
 523 **Figure 7:** Schematic of changes in southwestern Pacific sector sea ice coverage and water mass  
 524 geometry between interglacial and glacial stages. **A)** Depicts interglacial conditions where sea  
 525 ice coverage is minimal and freshwater input from summer sea ice melt is low. This lack of  
 526 freshwater input allows AAIW to subduct to deeper depths and bath core MD97-2120,  
 527 capturing the higher  $\delta^{13}\text{C}$  signature of the overlying AAIW waters. The AAIW-UCDW interface  
 528 (red dashed line) is located beneath MD97-2120. CO<sub>2</sub> outgassing is occurring as carbon-rich  
 529 Circumpolar Deep Waters upwell near Antarctica. **B)** Depicts glacial conditions where sea ice  
 530 expansion has occurred beyond TAN1302-96, increasing brine rejection, and stabilizing the  
 531 water column. As a result of the increased sea ice growth, subsequent summer melt increases  
 532 the freshwater flux into the AAIW source region and increases AAIW buoyancy. This buoyancy  
 533 gain shoals the AAIW-UCDW interface above core MD97-2120, causing the core site to be  
 534 bathed in low  $\delta^{13}\text{C}$  UCDW. The shoaling of AAIW causes an indirect expansion of CDW,  
 535 increasing the glacial carbon stocks of the deep ocean while sea ice reduces CO<sub>2</sub> outgassing via  
 536 the capping mechanism.

537  
 538 In addition to its influence on regional freshwater forcing and AAIW reductions, these  
 539 sea ice changes may also coincide with larger-scale deep ocean circulation changes. The most  
 540 dramatic increases in winter sea ice observed in TAN1302-96 and SO136-111, along with  
 541 changes in %AAIW, are initiated during MIS 4. These shifts also correspond to basin-wide

542 changes in benthic  $\delta^{13}\text{C}$  values in the Atlantic Ocean that suggest a shoaling in the AMOC during  
543 MIS 4 (Oliver et al., 2010; Kohfeld & Chase, 2017). Changes in deep ocean circulation are also  
544 recorded in  $\epsilon\text{Nd}$  isotope data in the Indian sector of the Southern Ocean (Wilson et al., 2015),  
545 suggesting extensive reductions in the AMOC during this period. Recent modelling literature  
546 (Marzocchi & Jansen, 2019; Stein et al., 2020) suggests that sea ice formation directly impacts  
547 marine carbon storage by increasing density stratification and reducing diapycnal mixing,  
548 especially in simulations where brine rejection is enhanced near the Antarctic continental slope  
549 and open ocean vertical mixing (and subsequent  $\text{CO}_2$  outgassing) is reduced (Bouttes et al.  
550 2010; 2012; Menviel et al. 2012). These simulations suggest a resulting  $\text{CO}_2$  sequestration of  
551 20-40 ppm into the deep ocean.

552 Taken collectively, the available data show that sea ice expansion, AAIW-UCDW  
553 shoaling, changes in the AMOC, and a decrease in atmospheric  $\text{CO}_2$  all occur concomitantly  
554 during MIS 4 (Figure 5). It appears likely, therefore, that sea ice expansion during this time  
555 influenced intermediate water density gradients through increased freshening and consequent  
556 shoaling of AAIW, which may also have increased the efficiency of the carbon pump and  
557 increased  $\text{CO}_2$  uptake by phytoplankton (Sigman et al., 2021). This appears to have occurred  
558 while simultaneously influencing deep-ocean density, and therefore stratification, through  
559 brine rejection and enhanced deep water formation, which ultimately lead to decreased  
560 ventilation (Abernathey et al., 2016). These changes in ocean stratification, combined with the  
561 sea ice ‘capping’ mechanism, appear to agree with both the recent modelling efforts (Stein et  
562 al., 2020) and observed proxy data, and fit well within the hypothesis that mid-glacial  $\text{CO}_2$   
563 variability was primarily the result of a more sluggish overturning circulation (Kohfeld & Chase,  
564 2017).

565

## 566 **5.0 Summary & Conclusion**

567 This study presents new WSIC and SSST estimates from marine core TAN1302-96,  
568 located in the southwestern Pacific sector of the Southern Ocean. We find that the WSIC  
569 remained low during the early glacial cycle (130 to 70 ka BP), expanded during the middle  
570 glacial cycle (~65 ka BP), and reached its maximum just prior to the LGM (~24.5 ka BP). These



571 results largely agree with nearby core SO136-111 but display some differences in WSIC  
572 magnitude with E27-23. This discrepancy may be explained by differences in statistical  
573 applications and/or lateral sediment redistribution, although more analysis is required to  
574 determine the exact cause(s).

575         The lack of changes in SSST and the absence of winter sea ice over the core site during  
576 the early glacial suggests that the sea ice capping mechanism and corresponding surface  
577 stratification in this region is an unlikely cause for early CO<sub>2</sub> drawdown, and that alternative  
578 hypotheses should be considered when evaluating the mechanism(s) responsible for the initial  
579 drawdown. More specifically, we consider the impact of changes in SSST gradients between  
580 ~40° to 60°S and support the idea that changes in air-sea disequilibrium associated with  
581 reduced sea-surface temperature gradients could be a potential mechanism that contributed to  
582 early glacial reductions in atmospheric CO<sub>2</sub> concentrations (Khatiwala et al., 2019). Another key  
583 consideration is the potentially non-linear response between sea ice expansion and CO<sub>2</sub>  
584 sequestration potential (i.e., that not all sea ice is equal in its capacity to sequester carbon).  
585 More analyses are required to adequately address this.

586         We also observe a strong link between regional sea ice concentrations and vertical  
587 fluctuations in the AAIW-UCDW interface. Regional sea ice expansion appears to coincide with  
588 the shoaling of AAIW, likely due to the freshwater flux from summer sea ice melt increasing  
589 buoyancy in the AAIW formation region. Furthermore, major sea ice expansion and AAIW  
590 shoaling occurs during the middle of the glacial cycle and is coincident with previously  
591 recognized shoaling in AMOC and mid-glacial atmospheric CO<sub>2</sub> reductions, suggesting a  
592 mechanistic link between sea ice and ocean circulation.

593         In conclusion, this paper has focused exclusively on sea ice as a driver of physical  
594 changes, but we recognize that these changes in sea ice will be accompanied by multiple  
595 processes that interact and compete with each other. Marzocchi & Jansen (2019) note that  
596 teasing apart the individual components of CO<sub>2</sub> fluctuations is complicated because of  
597 interactions between sea ice capping, air-sea disequilibrium, AABW formation rates, and the  
598 biological pump. We recognize that these processes may not act independently, and as such,

599 have contributed new data to help advance our collective understanding of the role of sea ice  
 600 on influencing atmospheric CO<sub>2</sub> variability on a glacial-interglacial time scale.

601

## 602 6.0 Appendices

### 603 Appendix A: Age Model & Sampling Depths

604 **Table A1:** Radiocarbon dates taken from TAN1302-96. NDFB = Not Distinguishable from Background

605

Lab Code	Sample Material	Core Name	Depth (cm)	$\delta^{13}C$ (per mil)	$\delta^{13}C$ (+/-)	% Modern Carbon	1 $\sigma$ error	Fraction Modern	(+/-)	Radiocarbon Year	1 $\sigma$ error	Reference
NZA 57105	<i>N. pachyderma</i> and <i>G. bulloides</i>	TAN1302-96	21	1	0.2	/	/	0.5982	0.0018	4127	24	Prebble et al., 2017
NZA 57109	<i>N. pachyderma</i> and <i>G. bulloides</i>	TAN1302-96	50	0.7	0.2	/	/	0.3723	0.0015	7936	32	Prebble et al., 2017
OZX 517	<i>N. pachyderma</i> and <i>G. bulloides</i>	TAN1302-96	63	1	0.1	30.62	0.15	/	/	9505	40	This study
NZA 61429	<i>N. pachyderma</i> and <i>G. bulloides</i>	TAN1302-96	75	0.7	0.2	/	/	0.2373	0.0011	11554	37	Prebble et al., 2017
OZX 518	<i>N. pachyderma</i> and <i>G. bulloides</i>	TAN1302-96	87	-0.1	0.1	19.62	0.11	/	/	13085	45	This study
OZX 519	<i>N. pachyderma</i> and <i>G. bulloides</i>	TAN1302-96	130	1.7	0.1	0.02	0.04	/	/	NDFB	/	This study
OZX 520	<i>N. pachyderma</i> and <i>G. bulloides</i>	TAN1302-96	170	-1.1	0.3	0.03	0.04	/	/	NDFB	/	This study

606

607 **Table A2:** Tie points used in construction of the TAN1302-96 age model

608

TAN1302-96 Depth (cm)	TAN1302-96 $\delta^{18}O$	LR04 Age	LR04 $\delta^{18}O$
110	4.710	18000	5.02
170	3.930	56000	4.35
200	3.782	70000	4.32
220	3.07	82000	3.8
230	3.23	87000	4.18
250	3.22	109000	4.12
270	2.90	123000	3.1
300	3.660	129000	3.9
320	4.350	140000	4.98

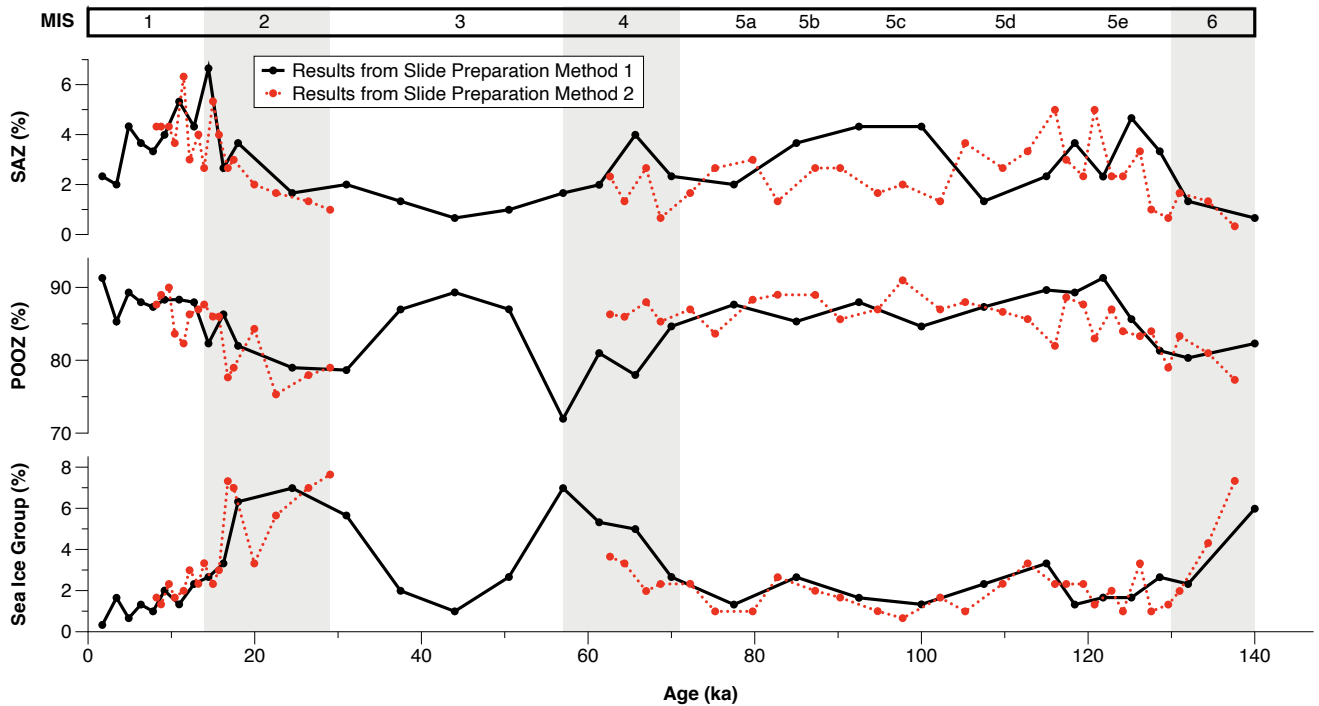
609

610 **Table A3:** Sample depth and corresponding age. Diatom slides using Method 1 used sediment samples that are  
 611 even (e.g., 10, 20, 30, etc.), while diatom slides using Method 2 used sediment samples that are odd (e.g., 53, 87,  
 612 etc.). \* Indicates the sample was calculated based on linear sedimentation rates.  
 613

Sample Depth (cm)	Age	Sample Depth (cm)	Age	Sample Depth (cm)	Age	Sample Depth (cm)	Age
10	1001*	100	16011	197	68608	260	116007
20	2531*	103	16609	200	69999	263	118110
30	4061	107	17406	203	71790	267	120912
40	5591	110	18000	207	74196	270	123000
50	7152	113	19893	210	76000	273	123597
53	7584	117	22434	213	77802	277	124398
57	8108	120	24340	217	80207	280	124998
60	8486	123	26244	220	82000	283	125598
63	8890	127	28780	223	83491	287	126398
67	9735	130	30686	227	85503	290	126999
70	10404	140	37035	230	87000	293	127600
73	11056	150	43357	233	90289	297	128403
77	11844	160	49677	237	94703	300	129000
80	12306	170	56000	240	98011	303	130644
83	12747	180	60672	243	101314	307	132850
87	13361	183	62074	247	105715	310	134503
90	13963	187	63942	250	108999	313	136155
93	14581	190	65340	253	111094	317	138360
97	15404	193	66740	257	113903	320	140000

614

615 **Appendix B: Diatom Slide Preparation Comparison**



616 **Figure B1:** Results from diatom slide preparation methods 1 & 2. No notable differences or biases were observed  
617 between the two different methods.

620 **Appendix C: TAN1302-96 and E27-23 Comparison**

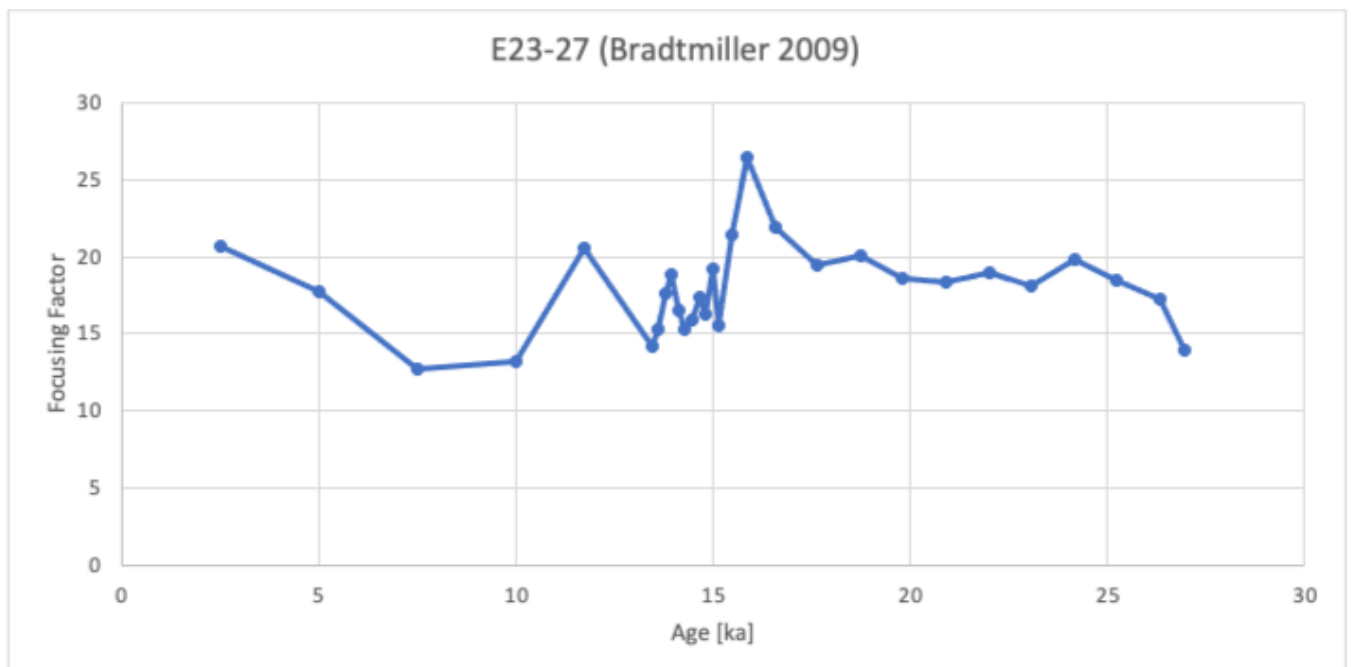
621 **Potential Causes for WSIC Estimate Differences**

622 The third potential cause for the observed differences between TAN1302-96 and E27-23  
623 WSIC estimates is through the cumulative effects of different laboratory protocols. While it is  
624 difficult to determine precisely how much different laboratory protocols could influence the  
625 results, we cannot exclude this explanation as a possible contributor to differences in WSIC.

626 The fourth potential cause for differences in WSIC estimates between E27-23 and  
627 TAN1302-96 are differences in counting and identification methods. We believe this is an  
628 unlikely cause for the differences observed between E27-23 and TAN1302-96 primarily because  
629 of the magnitude of counting discrepancies required to cause a difference of 50% WSIC  
630 estimates between the two cores. The close coupling of WSIC estimates between TAN1302-96  
631 and SO136-111 over the entire glacial-interglacial cycle supports that a fundamental issue

632 relating to taxonomic identification and/or methodology is an unlikely explanation for the  
633 observed WSIC differences.

634 Finally, the fifth potential cause of differing WSIC estimates is selective diatom  
635 preservation (e.g., Pichon et al., 1999; Ragueneau et al., 2000). The similarities between  
636 TAN1302-96 and SO136-111 WSIC estimates, along with independent indicators in cores E27-23  
637 and TAN1302-96, suggest that this is unlikely. For E27-23, Bradtmiller et al. (2009) used the  
638 consistent relationship between  $^{231}\text{Pa}/^{230}\text{Th}$  ratios and opal fluxes to suggest that dissolution  
639 remained relatively constant between the LGM and Holocene periods. In TAN1302-96, we  
640 assigned a semi-quantitative diatom preservation value between 1 (extreme dissolution) and 4  
641 (virtually perfect preservation) for each counted specimen. The average preservation of  
642 diatoms for the entire core was  $3.38 \pm 0.13$ , with no observed bias based on sedimentation rate  
643 or MIS. This assessment, although semi-qualitative, suggests that preservation remained  
644 relatively constant (and good) throughout TAN1302-96, and is therefore unlikely to cause large  
645 differences in WSIC between the two cores.



646  
647 **Figure C1:** Preliminary focusing factor (FF) values for E27-23. These results suggest notable lateral sediment  
648 redistribution over the last 26 ka BP, requiring further analysis (Bradtmiller et al., 2009).  
649

## 650 **Appendix D: %AAIW Calculation**

651 The calculation of %AAIW in this study is the same as was used in Ronge et al. (2015):

652

$$653 \quad \%AAIW = (\delta^{13}C_{MD97-2120} - \delta^{13}C_{MD06-2986}) / (\delta^{13}C_{MD06-2990} - \delta^{13}C_{MD06-2986}) * 100$$

654

655 All core information for MD97-2120, MD06-2986, and MD06-2990, along with supporting  
656 supplemental information can be found through the original publication.

657

## 658 **7.0 Data Availability**

659 All data has been published on Pangaea and can be found at:

660 <https://doi.pangaea.de/10.1594/PANGAEA.938457>.

661

## 662 **8.0 Author Contributions**

663 The authors confirm that the contributions to this paper are as follows: study conception and  
664 design: KK, HB; author data collection: JJ, KK, HB, XC, ML, GD, ZC, AL; analysis and interpretation  
665 of results: JJ, KK, HB, XC, ZC, AL, HA, GJ; draft manuscript preparation and/or editing: JJ, KK, HB,  
666 XC, GD, ZC, AL, HA, GJ. All authors reviewed the results and approved the final version of the  
667 manuscript.

668

## 669 **9.0 Competing Interests**

670 The authors declare that they have no conflict of interest.

671

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688

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