Million-year-scale alternation of warm-humid and semi-arid periods as a mid-latitude climate mode in the Early Jurassic (Late Sinemurian, Laurasian Seaway)

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Abstract. ~~High resolution clay~~Clay mineral and stable isotope (C, O) data are reported from the upper Sinemurian (Lower Jurassic) of the Cardigan Bay Basin (Llanbedr [Mochras Farm] borehole, northwest Wales) and the Paris Basin (Montcornet borehole, northern France) to highlight the prevailing environmental and climatic conditions. In both basins, located at similar palaeolatitudes of 30–35°N, the clay mineral assemblages comprise chlorite, illite, illite-smectite mixed-layers (R1 I-S), smectite, and kaolinite in various proportions. Because the influence of burial diagenesis and authigenesis is negligible in both boreholes, the clay minerals are interpreted to be derived from the erosion of the Caledonian and Variscan massifs, including their basement and pedogenic cover. In the Cardigan Bay Basin, the variations in the proportions of smectite and kaolinite are inversely related to each other ~~over~~through the entire upper Sinemurian ~~succession~~. As in the succeeding Pliensbachian, the ~~stratigraphical~~upper Sinemurian stratigraphic distribution reveals an alternation of kaolinite-rich intervals reflecting strong hydrolysing conditions, and smectite-rich intervals indicating a semi-arid climate. Kaolinite is particularly abundant in the upper part of the *obtusum* Zone and in the *oxynotum* Zone, suggesting more intense hydrolysing conditions likely coeval with warm conditions responsible for an acceleration of the hydrological cycle. ~~This interval is also marked by a negative excursion of δ13Ccarb and δ18Ocarb, which may confirm a warmer palaeoclimate, although these excursions may be exaggerated or overprinted by the carbonate diagenesis.~~ In the north of the Paris Basin, the ~~stratigraphical~~ succession is ~~much thinner~~less

continuous compared to the Cardigan Bay Basin site, ~~and~~as the *oxynotum* Zone ~~is~~and the upper *raricostatum* Zone are either absent or highly condensed. The clay assemblages are dominantly composed of illite and kaolinite without significant ~~stratigraphical~~stratigraphic trends, but a smectite-rich interval identified in the *obtusum* Zone is interpreted as a consequence of the emersion of the London-Brabant Massif following a lowering of sea-level. ~~A~~Following a slight negative carbon isotope excursion at the *obtusum/oxynotum* zones transition, a long-term decrease of $\delta^{13}C_{org}$ from the late *oxynotum*/early *raricostatum* zones is ~~also~~ recorded in the two sites and may precede or partly include the negative carbon-isotope excursion of the Sinemurian/Pliensbachian Boundary Event, recognised in most basins worldwide, and interpreted ~~as~~to signify a late pulse of the Central Atlantic Magmatic Province volcanism.

1 Introduction

The Early Jurassic is characterised by major palaeogeographical changes induced by the breakup of Pangaea. This geodynamic evolution is accompanied by intense volcanic activity corresponding to the Central Atlantic Magmatic Province (CAMP), beginning at the Triassic/Jurassic boundary, ~201.5 million years ago (Marzoli et al., 1999; McHone, 2000; Davies et al. 2017), and likely responsible for the end-Triassic mass extinction (see e.g. Korte et al., 2019 and references therein). The breakup of Pangaea led to the opening of the Hispanic and Viking corridors, connecting the Tethys Ocean respectively to Panthalassa and the Arctic Ocean (Bjerrum et al. 2001; van de Schootbrugge et al., 2005; Damborenea et al. 2013; Porter et al., 2013). The disintegration of Pangaea resulted in the formation of many sedimentary basins, and palaeogeographical changes led to exchanges of water masses that triggered climate fluctuations with colder intervals (Dera et al., 2011) over a prolonged greenhouse period (Chandler et al., 1992; Dera et al., 2009a, 2015; Korte et al., 2015).

Reconstruction of seawater temperatures through the Early Jurassic are mostly deduced from $\delta^{18}O$ measurements of belemnite rostra and some other mollusc shells, notably oysters. ~~During~~For the Late Sinemurian, oxygen isotope data ($\delta^{18}O_{carb}$ and ~~$\delta^{18}O_{belm}$~~$\delta^{18}O_{bel}$) show increasing values over time (Dera et al., 2011) indicating cooler ocean temperatures, recorded for example in the Cleveland Basin (Hesselbo et al., 2000; Korte and Hesselbo, 2011) or the Asturian Basin (Gómez et al., 2016). However, warmer conditions also seem to have prevailed episodically for example during the *oxynotum* Zone (Riding et al., 2013; Hesselbo et al. 2020).

The carbon cycle also shows perturbations, with negative carbon-isotope excursions~~,~~ recorded either by $\delta^{13}C_{carb}$ or $\delta^{13}C_{org}$. The best documented of these excursions is the Sinemurian-Pliensbachian Boundary Event (SPBE or S-P Event), recognised in many areas, including among others: the Cleveland Basin (Hesselbo et al., 2000; Jenkyns et al., 2002; Korte and Hesselbo, 2011), the Wessex Basin in Dorset (Jenkyns and Weedon, 2013; Price et al., 2016; Schöllhorn et al., 2020a), the Cardigan Bay Basin in West Wales (van de Schootbrugge et al., 2005; Hesselbo et al., 2013; Storm et al., 2020), the Lusitanian Basin (Duarte et al., 2014; Plancq et al., 2016), the Lombardian Basin and Trento platform (Franceschi et al., 2019), the Paris Basin (Peti et al., 2017; Bougeault et al., 2017), and the Central High Atlas Basin of Morocco (Danisch et al., 2019; Mercuzot et al., ~~2019~~2020). This negative excursion is recorded in ~~carbonates~~carbonate rocks ($\delta^{13}C_{carb}$), belemnite rostra (~~$\delta^{13}C_{belm}$~~$\delta^{13}C_{bel}$), and organic matter ($\delta^{13}C_{org}$~~).~~), including fossil wood.

Another $\delta^{13}C_{org}$ negative excursion was also first recognised in the upper part of the *obtusum* Zone and through the *oxynotum* Zone in Eastern England (Riding et al., 2013; Hesselbo et al. 2020), and ~~later~~ recorded in Dorset (Southern England, Jenkyns and Weedon, 2013), in the Mochras borehole (Storm et al., 2020), and in the Southern Alps in Italy from shallow-water carbonate platforms to deep offshore environments (Masetti et al., 2017). This excursion coincides with increasing proportions of two thermophilic palynomorph taxa, *Classopollis classoides*, a terrestrially-derived pollen grain, and *Liasidium variabile*, a marine dinoflagellate cyst, suggesting that the *obtusum*/*oxynotum* ~~Zone~~zones transition was a warm and/or dry interval. *Liasidium variabile,* a reliable index for the Upper Sinemurian in northwest Europe (Brittain et al., 2010; van de Schootbrugge et al., 2019), may have invaded the Tethys Ocean from Panthalassa after the opening of the Hispanic corridor (van de Schootbrugge et al., 2005). This species is particularly abundant in the *oxynotum* Zone, and the name Liasidium Event was used to describe the complex of environmental changes at this time (Hesselbo et al., 2020).

Humidity is also a key parameter of climate, but it is up to now poorly documented over this period. Palynological data are focused on *Classopollis* pollen, which are very common in the *obtusum* and *oxynotum* zones, whether in the Cardigan Bay (Wall, 1965; van de Schootbrugge et al., 2005), the Cleveland (Riding et al., 2013) or the Lusitanian ~~basins~~ (Poças Ribiero et al., 2013~~).~~) basins.

The composition of clay mineral assemblages can be a reliable climate indicator (Chamley, 1989; Ruffell et al., 2002; Raucsik and Varga, 2008; Dera et al., 2009b) provided their dominant detrital origin can be demonstrated. Clay mineral assemblages may reflect the intensity of hydrolysis during pedogenic processes and runoff conditions on landmasses, and thus specify humidity variations from the signal recorded in marine sedimentary series. In the Upper Sinemurian, variations of clay mineral assemblages have been studied on several outcrops and boreholes from the British Isles (Jeans, 2006; Kemp et al. 2005; Hesselbo et al., 2020) and in the Montcornet borehole, north of the Paris Basin (Debrabant et al., 1992), but at low resolution, only for a short interval, or in successions affected by strong clay mineral diagenesis. Here we attempt, through a ~~high resolution~~ study of detrital clay mineral assemblages and fluctuations in stable isotopes (C and O) of Upper Sinemurian strata from the Llanbedr (Mochras Farm) and the Montcornet boreholes, to estimate the intensity of chemical weathering, of hydrolysis, and the magnitude of carbon cycle changes.

2 Geological background

During the Early Jurassic, the Paris and Cardigan Bay basins were located to the north west of the Tethyan domain. This area corresponded to an archipelago of large islands inherited from Caledonian and Variscan massifs (Thierry et al., 2000). These continental masses, such as the London-Brabant Massif, the Massif Central and Armorican Massif, and the Welsh High, were surrounded by an epicontinental sea (Fig. 1). An excellent sedimentary record of the Early Jurassic is preserved at both study locations due to the extensional context linked to the breakup of Pangaea and related thermal and tectonic subsidence (Woodland et al., 1971; Corcoran and Clayton, 1999; Guillocheau et al., 2000; Beccaletto et al., 2011; Hesselbo et al., 2013).

2.1 The Llanbedr (Mochras Farm) borehole, Cardigan Bay Basin, Wales

The Cardigan Bay Basin, located in West Wales, between the Welsh High and the Irish Massif (Fig. 1), corresponds to a half-graben basin (Dobson and Whittington, 1987; Tappin et al., 1994; Holford et al., 2005), bounded at the end of the ~~Palaeozoic~~Paleozoic by a major active fault (the Mochras Fault) with an offset of almost 4500 m (Wood and Woodland, 1968; Woodland, 1971, Hesselbo et al., 2013). This basin was located at a latitude between 35°N and 40°N (Fig. 1; Thierry et al., 2000~~).~~; Osete et al., 2011). Lower Jurassic strata reach ~1300 m in thickness (Woodland et al., 1971; Ruhl et al., 2016~~), and contrast with overlying thinner Middle and Upper Jurassic successions (Dobson and Whittington, 1987)~~). Cretaceous strata are rare or absent, because of erosion following thermal uplift and inversion processes (Woodland et al., 1971; Tucker and Arter, 1987; Tappin et al., 1994), while the thickness of Cenozoic sedimentary rocks reaches 600 m.

The Llanbedr (Mochras Farm) borehole, commonly abbreviated to Mochras, was drilled and continuously cored (~85 mm diameter for the Sinemurian) between 1967 and 1969 on the coast in northwest Wales, UK (Fig. 2) (Woodland et al., 1971; Hesselbo et al., 2013). The Lower Jurassic deposits consist of a continuous succession of marls and claystones, fully cored, making this borehole a reference for environmental and climatic reconstructions for the Early Jurassic (Hesselbo et al., 2013). The 220 m-thick Upper Sinemurian strata consist of relatively homogeneous ~~marls~~marl and clayey ~~mudstones~~mudstone, with more silt in the upper part of the *raricostatum* Zone. Veins of calcite and pyrite commonly occur and siderite nodules are present, particularly in the *oxynotum* Zone. It has previously been suggested that this calcite veined level may have been the consequence of faulting leading to ~~very~~ minor stratigraphical offset ~~according to biostratigraphical data~~, not detectable by current biostratigraphy (Woodland et al., 1971; Storm et al., 2020), but there is no positive evidence for strata missing due to tectonism.

Following the zonation proposed by Page (2003), a precise biostratigraphical scheme is established, based on the frequent occurrence of ammonites (Hesselbo et al., 2013; Page in Copestake and Johnson, 2014). The *obtusum* Zone extends from 1468 to 1376 m, overlain by the *oxynotum* Zone to 1332 m, and the *raricostatum* Zone from 1332 to 1249 m (Woodland et al., 1971; Page in Copestake and Johnson, 2014).

2.2 Montcornet borehole, Paris Basin, France

During the Early Jurassic, the Paris Basin was bordered by continental masses, remnants of the ~~Palaeozoic~~Paleozoic orogenic belts (Fig. 1). The main landmasses include the London-Brabant Massif (LBM), the Armorican Massif, the Massif Central, and the Bohemian Massif (Guillocheau et al., 2000; Thierry et al., 2000).

The Montcornet borehole (borehole Andra A901) was drilled (continuously cored with an 85 mm diameter) in 1989 near the village of Montcornet to the north of the Paris Basin (Fig. 3), by Andra, the French National Radioactive Waste Management agency. The strata penetrated were deposited in an epicontinental sea located immediately south of the LBM, at a palaeolatitude of approximately 35°N, quite similar to the latitude of the Cardigan Bay Basin (Fig. 1). The stratigraphical succession extends from the Devonian metamorphic basement (shales) to the Turonian chalk, with a major gap occurring for the Lower

130 Cretaceous, corresponding to the continental evolution of the Paris Basin (i.e. Wealden facies), from the Purbeckian deposits to the upper Albian transgressive claystones and marls (Debrabant et al., 1992). Above the siliciclastic continental Triassic deposits, at a depth of ~1075 m, Jurassic strata, nearly 870 m thick, correspond mainly to open-marine limestones and marls (Yang et al., 1996).

The Upper Sinemurian succession (~50 m, Fig. 4)4), fully cored, consists of alternations of claystone, marl and bioclastic

135 limestone beds characterised by the presence of bivalves (*Gryphaea*), likely deposited in lower to upper offshore environments according to Yang et al. (1996).

The biostratigraphical calibration is complicated by the occurrence of some important hiatuses and by the relative scarcity of ammonites.Due to the irregular distribution of ammonites through the borehole, the biostratigraphic framework of the Montcornet borehole is less precise than at Mochras. Some new determinations (by J.L. Dommergues) on ammonites found

140 during the sampling complete the previous biostratigraphical scheme of Yang et al. (1996) and are illustrated in Fig. 4. Ammonites from the *semicostatum* Zone (Lower Sinemurian) are identified up to 976 m while the first ammonite from the *obtusum* Zone occurs at 948.88 m with *Promicroceras* gr. *planicosta* (Yang et al., 1996, Fig. 4). The *turneri* Zone is not identified, but may be present between 976 m and 948.88 m. However, the occurrence of the dinoflagellate *Liasidium variabile* in this interval strongly suggests a Late Sinemurian age, the missimplying absence of the *turneri* Zone (cf. Fauconnier, 1995;

145 Bucefalo Palliani and Riding, 2000) and thatthe occurrence of the *obtusum* Zone starts at 976 m. The last ammonite of the *obtusum* Zone is identified at 939.80 m while the first ammonite of the *raricostatum* Zone is identified at 937.82 m (Yang et al., 1996) or slightly lower (938.5596 m) according to new determinations of *Crucilobiceras* sp. that would indicate the *densinodulum* Subzone of the *raricostatum* Zone. (Fig. 4). No ammonites from the upper part of the *obtusum* Zone or from the *oxynotum* Zone have been found. This interval is, as is the case in the Wessex Basin in Dorset (Lang, 1945; Hallam 1999;

150 Hesselbo, 2008) and the Lower Saxony Basin of Germany (van de Schootbrugge et al., 2019), either absent or highly condensed in a 1.25 m thick interval without any identified ammonites between 939.80 and 938.5596 m. The last ammonites of the *raricostatum* Zone are identified up to 921.75 m, in agreement with the occurrence of *Liasidium variabile* up to 922 m (Fauconnier, 1995), while the first ammonites of the *jamesoni* Zone appear from 920.6 m, suggesting that the Sinemurian/Pliensbachian boundary is located at ~921 m (Fig. 4). However, there are also some gaps at the

155 Sinemurian/Pliensbachian transition since the upper part of the *raricostatum* Zone (is possibly missing (i.e. *aplanatum* Subzone) is possibly missing, and since the base of the *jamesoni* Zone (is also missing (i.e. *taylori* Subzone) is also missing.). To conclude, the *obtusum* and *raricostatum* zones are well identified, while the *oxynotum* Zone is either absent or highly condensed (Fig. 4).

3 Material and methods

 ### 3.1 Clay mineral analyses

A total of 223 samples were analysed using X-Ray Diffraction (XRD). After moderate grinding in a mortar, powdered samples were decarbonated with a 0.2N HCl solution. The < 2 µm fraction (clay-sized particles) was extracted with a syringe after decantation of the suspension for 95 minutes following Stokes' Law; this fraction was then centrifuged. Clay residue was then smeared on oriented glass slides and run in a Bruker D4 Endeavor diffractometer with CuK_α radiation, a LynxEye detector

165 and a Ni filter with a voltage of 40 kV and an intensity of 25 mA (Biogéosciences laboratory, University of Burgundy, France). Goniometer scanning ranged from 2.5° to 28° for each analysis. Three runs were performed for each sample to discriminate the clay phases: 1) air-drying; 2) ethylene-glycol solvation; and 3) heating at 490°C for two hours, as recommended by Moore and Reynolds (1997). Clay minerals were identified using their main diffraction (d_{001}) peaks and by comparing the three diffractograms obtained. The following main clay minerals were identified: ~~a~~an R0 type illite-smectite mixed-layer (17 Å

170 based on a glycolated run) referred to as smectite for the following sections; a R1 type illite-smectite mixed-layer (around 11.5 Å in air-drying conditions and 13 Å after ethylene-glycol solvation); chlorite (14.2 Å, 7.1 Å, 4.7 Å and 3.54 Å peaks); illite (10 Å, 5 Å, 3.33 Å peaks) and kaolinite (7.18 Å and 3.58 Å peaks). Each clay mineral was quantified using the MacDiff software (version 4.2.5) (Petschick, 2001) on glycolated sample diffractograms. The area of main peaks (d_{001}) is measured to estimate by semi-quantification, the proportion of each clay species. As the main (d_{001}) peak of kaolinite and the (d_{002}) peak of

175 chlorite overlap, a deconvolution procedure was applied on the (d_{004}) peak area of chlorite (3.54 Å) and (d_{002}) peak area of kaolinite (3.57 Å) to accurately quantify both mineral portions using the 7.1 peak ($d_{001kaolinite} + d_{002chlorite}$). Chlorite percentage was calculated using the mean between (d_{001}) and (d_{002}) chlorite peak areas considering that the chemical nature of chlorite impacts the (d_{001})/(d_{002}) ratio (Moore and Reynolds, 1997). The error margin of this method is approximatively ± 5 % on the relative proportions of clay minerals in the clay fraction. The relative proportions of clay minerals are estimated using the

180 ratios between the areas of the peaks, the most relevant of these being the K/I and Sm/K ratios.

3.2 Geochemical preparation and analyses

Seventy samples from the Mochras borehole and 38 samples from the Montcornet borehole were selected for $\delta^{13}C_{org}$ analyses. One gram of sample, previously crushed, underwent an acid digestion by 10 ml of 6N HCl solution for 48 hours, a concentration and a duration justified by the common occurrence of dolomite. After cleaning with pure water, decarbonated

185 powders were dried in an oven (50°C for 24 to 48 hours), then crushed again to obtain a fine powder. Each sample, of a specific mass (9 to 75 mg, depending on former sample $CaCO_3$ content), ~~is~~was weighed in a tin capsule with a Sartorius M2P ultrabalance. Samples were analysed with the Elementar MICRO cube elemental analyser coupled to an Elementar Isoprime 100 isotope ratio mass spectrometer. Isotope ratios obtained were compared to international standards USGS40 L-glutamic acid ($\delta^{13}C$ = -26.39 ± 0.04‰ V-PDB) and IAEA-600 caffeine ($\delta^{13}C$ = -27.77 ± 0.04‰ V-PDB). For each sample, replicates

190   showed reproducibility better than ± 0.15‰. The total organic carbon (TOC) content, expressed in wt.% was determined by the elemental analyser at the same time.

Bulk rock carbon and oxygen isotope ~~ratios~~ratio analyses coupled with $CaCO_3$ concentration measurements were carried out using a Sercon 20-22 triple detector Gas Source Isotope Ratio Mass Spectrometer at the University of Exeter Penryn Campus following methods described in detail in Ullmann et al. (2020). Bulk rock powder extracted from rock fragments with a

195   handheld drill was weighed at 1 µg precision and transferred into borosilicate vials targeting an amount of 500 µg of $CaCO_3$ for analysis. Samples were flushed with He to remove atmospheric gases and then reacted with nominally anhydrous phosphoric acid ("103 %") at 70°C. In a single batch 80 samples were analysed together with two in-house standards (22 aliquots of CAR- Carrara Marble, $\delta^{13}C$ = +2.10 ‰ V-PDB; $\delta^{18}O$ = -2.03 ‰ V-PDB; 8 aliquots of NCA- Namibia Carbonatite, $\delta^{13}C$ = -5.63 ‰ V-PDB; $\delta^{18}O$ = -21.90 ‰ V-PDB). Instrumental drift and biases were corrected using a two-point calibration

200   constrained by these two in-house standards. Accuracy was ensured via previous calibration of the in-house standards against international certified standards. $CaCO_3$ content was computed from matching signal intensity of unknowns with CAR, which is assumed to be 100% pure $CaCO_3$ (44 wt% CO_2). Reproducibility of the isotope ratio measurements for Mochras bulk rock samples based on analyses of CAR (2 s.d., n = 300) is 0.07 ‰ for $\delta^{13}C$ and 0.16 ‰ for $\delta^{18}O$. Reproducibility of $CaCO_3$ determinations are based on multiple analysis of NCA as an unknown which gave 97.2 ± 1.3 % (2 s.d., n = 132).

205    $CaCO_3$ content was measured using a Bernard calcimeter (volumetric calcimetry) on samples, completed by weight-loss method (weight difference between the sample before and after decarbonation was performed prior to isotopic analyses on organic matter) for each sample. Each curve obtained for the geochemical data has been refined as a smoothed curve and its 95% confidence intervals acquired from a Kernel type regression using different levels of smoothing for each borehole.

4 Results

210   **4.1 CaCO$_3$ and Total Organic Carbon (TOC) contents**

Calcite content from the Upper Sinemurian of the Mochras borehole shows substantial fluctuations between 3 and 62% (Fig. 5). The Lower Sinemurian/Upper Sinemurian boundary is relatively rich in carbonate, up to 40%, but the lowermost and the uppermost parts of the *obtusum* Zone are depleted in $CaCO_3$ (<10%), while in the middle part of this ammonite zone the $CaCO_3$ content ranges from 20 to 40%. The low $CaCO_3$ content recorded at the top of the *obtusum* Zone persists in the lower half of

215   the *oxynotum* Zone. Then, in the upper half of this zone and in the *raricostatum* Zone, $CaCO_3$ increases up to 60% (Fig. 5). In the Montcornet borehole $CaCO_3$ content also fluctuates between 3 and 62%, with similar trends, notably: 1) a depletion in the uppermost part of the *obtusum* Zone, although less well expressed than in the Mochras borehole likely because of the condensation of the series during this interval, and; 2) a significant increase in the *raricostatum* Zone (Fig. 6).

Total organic carbon (TOC) measurements are also similar between the two boreholes with proportions around 1% (Figs 7,

220   8). The Lower Sinemurian/Upper Sinemurian boundary is marked by a slightly higher TOC content (1.5%) while the top of

the *obtusum* Zone shows a decrease in the proportion of organic carbon (0.5%). In the Mochras borehole, the *macdonnelli-aplanatum* subzones of the *raricostatum* Zone are enriched in TOC with values generally higher than 1.5% and reaching 3%.

4.2 Clay mineralogy

4.2.1 Mochras borehole

225 Upper Sinemurian clay mineral assemblages are dominantly composed of chlorite (5 to 32%), illite (15 to 42%), R0 type illite/smectite mixed-layers hereafter called smectite (10 to 60%) and kaolinite (4 to 32%). Minor proportions of R1 type illite/smectite mixed-layers are commonly associated with these minerals. Significant fluctuations in the relative proportions of the different clay species are recorded ~~along~~through the core. The ~~main striking~~salient feature is the inverse relationship between smectite and kaolinite, particularly well-expressed by the Sm/K ratio (Fig. 5). The opposition of these two minerals

230 determines an alternation of kaolinite-rich packages of sediments (lower part of *obtusum*, top of *obtusum*/base *oxynotum* and median part of *raricostatum*), and of smectite-rich packages of sediments (middle part of the *obtusum* Zone, upper part of the *oxynotum* ~~zone~~ / base *raricostatum* zones, and upper part of the *raricostatum* Zone).

The proportions of chlorite are relatively high and fluctuate in parallel with those of kaolinite. From the base to the top of the Upper Sinemurian, the proportion of illite increases more or less regularly from ~20 to 40%.

235 4.2.2 Montcornet borehole

The clay mineral assemblages of the Upper Sinemurian of the Montcornet borehole are composed of the same minerals as the Mochras borehole, but show much less variation (Fig. 6). Illite is the most abundant clay mineral with proportions from 25 to 46%, without any clear trend through the core. Kaolinite is also abundant with proportions ranging from 6 to 32%, most samples having values close to 22%. Chlorite shows lower percentages, between 9 and 24% (average of ~19%). According to

240 Debrabant et al. (1992), this mineral is associated with small proportions of chlorite/smectite mixed-layers, undifferentiated on Fig. 6. R1 type illite/smectite mixed layers are relatively abundant between 5 to 26% notably in the middle part of the *obtusum* Zone. Smectites are absent over a large part of the 56 m of Upper Sinemurian, but these clay minerals occur in significant proportions (up to 33%) in an eight ~~metre~~metres-thick interval between 965 and 973 m within the *obtusum* Zone (Fig. 6).

245

4.3 Carbon and oxygen isotope fluctuations

4.3.1 Mochras borehole

Organic carbon isotopes ($\delta^{13}C_{org}$) values show significant variations (about 3.9‰) between -24.47 and -28.34‰ over the Upper Sinemurian succession (Fig. 7). $\delta^{13}C_{org}$ values show ~~little~~the weakest variations in the *obtusum* and *oxynotum* zones (~~around 25/ 26~~i.e. ~1.5 ‰),

250 while in the *raricostatum* Zone, an irregular decrease of the values down to -28‰ is recorded.

The $\delta^{13}C_{carb}$ ~~shows~~values show significant variations of more than 5~~‰ with values~~‰, ranging between +3.04 and -2.21‰ V-PDB (Fig. 7). A prominent negative ~~excursion~~shift (~ 3‰) is recorded at the transition between the *obtusum* and the *oxynotum* Zones followed by an increasing trend up to the topmost part of the Sinemurian. A slight negative ~~excursion~~shift (1‰) is recorded at the transition between the *densinodulum/raricostatum* and *macdonnelli/aplanatum* subzones (Fig. 7).

255 The $\delta^{18}O_{carb}$ values range from -6.54 to -2.61‰ (Fig. 7). Very large fluctuations coupled to a scattered signal are recorded in the *obtusum* and *oxynotum* zones, while more constant values around -4‰ are observed in the *raricostatum* Zone. The major part of the *oxynotum* Zone corresponds however to an interval characterised by lower values.

4.3.2 Montcornet borehole

The carbon-isotope data ($\delta^{13}C_{org}$) from the Montcornet borehole show values between -26.49 and -24.66‰ (Fig.8). The trends
260 and values are similar to those of the Mochras borehole, although fluctuations are less well-expressed, likely due to the condensation of the series and the probable occurrence of hiatuses~~.~~ (i.e. *oxynotum* and late *raricostatum* zones). The values increase slightly from the base of the core to the transition between the *obtusum* and *raricostatum* zones, while a decreasing trend is observed in the *raricostatum* Zone to the base of the Pliensbachian. ~~The isotopically lowest values of ~ 26.5 ‰ recorded in the *raricostatum* Zone are however higher than at Mochras.~~

265 **5 Discussion**

5.1 Diagenetic influence

5.1.1 Influence of diagenesis on clay mineral assemblages

The use of clay minerals as climatic proxies assumes that these minerals are mainly of detrital origin. However, the increase in temperature associated with burial may trigger various transformations of detrital clay minerals to change the constitution
270 of detrital clay assemblages. In fine-grained clayey and marly sediments, among the possible transformations, the illitisation of smectite is certainly the most important. The illitisation of ~~smectites~~smectite into R1-type illite/smectite mixed-layers begins when the temperatures reach 60-70° C at a depth of burial of the order of 2000 m, considering a normal geothermal gradient (Šucha et al., 1993; Lanson et al., 2009; Dellisanti et al., 2010).

The occurrence of abundant smectite in the Sinemurian strata in the Mochras borehole and the presence of a smectite-rich
275 interval in the Montcornet borehole indicate a limited diagenetic influence due to the relatively shallow depth of burial. In both boreholes, the maximum burial temperatures probably never exceeded 70°C, which is consistent with the geological history of the Cardigan Bay and Paris basins. In the Cardigan Bay Basin, the thickness of the sediments overlying the Sinemurian can be estimated to be ~1400 m including 800 m of Lower Jurassic and ~~ca.~~ ~600 m of Oligo-Miocene and Quaternary ~~sedimentat~~sedimentary rocks, with~~, of course,~~ any of the younger Mesozoic strata eroded before deposition of the Cenozoic
280 sediments (Tappin et al., 1994; Holford, 2005). The negligible influence of burial diagenesis is also confirmed by the

occurrence of immature to only marginally mature organic matter (OM) revealed by Rock Eval pyrolysis data from the Sinemurian mudrocks (van de Schootbrugge et al., 2005; Storm et al. 2020). In the Sinemurian succession, T_{max} values range between 423 and 436°C (average 428°C, n = 195, Storm et al., 2020) ~~indicated~~indicate immature OM or occasionally early mature OM at the onset of the oil window. Vitrinite reflectance (R_0 max) data suggest a higher maximal burial temperature of

285 the Sinemurian strata (Corcoran and Clayton, 1999) ranging between 83 and 90°C (Holford et al., 2005), but these authors discarded the lowest values of R_0 (low burial temperatures), considering that these data were not reliable enough. Such high temperatures are incompatible with both the occurrence of smectite and with the presence of immature organic matter, and therefore we consider that the low values of vitrinite reflectance data published by Holford et al. (2005) are fully realistic.

In the Montcornet borehole, the depth of burial of the Upper Sinemurian can be estimated at a maximum of ~~ca.~~~ 2000 m,

290 including the entire Jurassic succession (870 m), ~~ca.~~~ 200 m of Cenomanian and Turonian chalks and now eroded/dissolved Coniacian to Maastrichtian chalks. The Coniacian to Campanian chalk is ~ 400 m-thick in central Paris Basin (southwest of Paris, Robaszynski et al., 2005) but the uppermost Campanian and Maastrichtian deposits were eroded and therefore the thickness of the entire Upper Cretaceous is difficult to estimate. To the East of the Paris Basin, a maximum thickness of the chalk deposits is estimated around only 200 m (Blaise et al., 2014). According to the apatite fission-track thermochronology

295 study of Barbarand et al. (2018), the entire Cretaceous deposits would have a thickness of 1000 m, which seems to be a maximum value. Assuming a total burial depth of 2000 m, which represents probably a maximum, the burial-temperature rise probably did not exceed 60°C, which is consistent with the preservation of smectite. This is also confirmed by T_{max} data which range between 423 and 426°C in the Sinemurian of the Montcornet borehole, indicating that organic matter is still immature (Disnar et al., 1996; Mercuzot et al., ~~2019~~2020).

300 Clay diagenesis can be also revealed by relationships between clay mineralogy and the lithology, notably in marl-limestone alternations (Deconinck and Debrabant, 1985; Deconinck, 1987; Levert and Ferry, 1988), but in the two studied boreholes, there is no statistically strong correlation between $CaCO_3$ content and the proportion of each clay species (Fig. 9). As an example, in the Mochras borehole, the proportion of smectite is weakly correlated with the percentages of $CaCO_3$ (r=0.31, n = 128, p-value < 0~~,~~.05~~)~~), which ~~likely indicates that a possible~~is evidence against the better preservation of smectite ~~on~~in

305 carbonate-rich ~~interval can be excluded~~intervals.

The occurrence of authigenic well-crystallised kaolinite can also be envisaged, as it was previously observed in some porous carbonates in the upper Pliensbachian of the Paris Basin (e.g. Bougeault et al., 2017). However, this phase can be easily highlighted on diffractograms by the presence of very narrow peaks indicating a good crystallinity, which is not the case here, thus excluding the occurrence of measurable authigenic kaolinite. Moreover, sampling of porous limestones has been avoided.

310 Contrary to what has been observed in the overlying Pliensbachian strata of the Mochras borehole, we do not identify in the Upper Sinemurian any authigenic mineral such as clinoptilolite or berthierine ~~whose presence there in small quantities is likely in the Pliensbachian~~ (Deconinck et al., 2019). Consequently, we infer that most clay minerals identified in the Sinemurian of the two studied boreholes are dominantly detrital and do carry climatic and environmental information.

5.1.2 Impact of diagenesis on isotopic data

Fluid circulations and temperature may disturb the primary isotope signal in sediment during late diagenesis, notably for the bulk carbonate signal (Anderson, 1969; Hudson, 1977; Marshall, 1992). Early diagenesis may also impact the isotope signal from bulk carbonate when low calcium carbonate content is present (Ader and Javoy, 1998; Bougeault et al., 2017).

$\delta^{18}O$ values are more sensitive to fluid circulation and recrystallisation, particularly in porous rocks, normally leading to more negative and/or scattered values (e.g. Hudson, 1977; Marshall, 1992; Stoll and Schrag, 2000). Although the low porosity and permeability of clayey limestones, marls and claystones of the Mochras and Montcornet boreholes are not favourable to fluid circulation, some diagenetic features such as the occurrence of nodular beds, and distinct calcite and siderite nodules, suggest that isotopic values may be locally significantly altered by diagenetic processes. In the Montcornet borehole, this is the case in the underlying Hettangian succession where the secondary crystallisation of calcite in sulphate-reducing environments is responsible for a depletion in ^{13}C (Ader and Javoy, 1998). In the overlying Pliensbachian strata of this borehole, an alteration of the $\delta^{13}C_{carb}$ was also observed by Bougeault et al. (2017), notably in a carbonate-depleted interval (<10% $CaCO_3$) with common siderite and calcite nodules, suggesting a migration of carbonate within clayey series. An interval with similar characteristics (clay-rich strata with common carbonate concretions, traces of siderite) is present in the *obtusum*/*oxynotum* zoneZone transition in the Mochras borehole (Woodland et al., 1971). The significant correlation (r = 0.68, n = 96, p-value < 0.05) between the carbonate content and the $\delta^{13}C_{carb}$ values could reflect the disturbance of the inorganic carbon signal ($\delta^{13}C_{carb}$) in such a clayey interval more sensitive to diagenesis. (Fig.9). A disturbance of the isotopic signal of carbon and oxygen from carbonates in this more clayey interval (1352.4 to 1393.6 m) is likely especially when measurements taken on the carbon of organic matter ($\delta^{13}C_{org}$) and macrofossils do not show parallel variations (see fig.7 and Ullmann unpublished dataet al. in revision).

Long distance correlations can be made based on the comparison of $\delta^{13}C_{org}$ fluctuations through the Lower Jurassic in several sedimentary basins, likely reflecting a primary signal (Storm et al., 2020), even if diagenetic impacts on organic compounds may locally be significant (Meyers, 1994; Lehmann et al., 2002). Figure 10 allows a comparison of the $\delta^{13}C_{org}$ signal obtained from basins in the UK-France area and show a consistent carbon isotopic signal based on organic matter highlighting a slight carbon isotope negative excursion (OO-CIE) at the *obtusum/oxynotum* zones transition, and the marked negative carbon-isotope excursion of the Sinemurian/Pliensbachian boundary (SPBE). Consequently, in the case of the Mochras and Montcornet boreholes, theonly $\delta^{13}C_{org}$ seems to be much moreis a reliable proxy to constrain carbon-cycle perturbations during the Late Sinemurian, while $\delta^{13}C_{carb}$ and $\delta^{18}O$ valuessignals of bulk rock cannot be interpreted confidently in terms of environmental and climatic fluctuations.

5.2 Environmental significance of clay mineral assemblages

5.2.1 Detrital sources of clay minerals

Although clay minerals may be transported over long distances, the Welsh High and the Irish Massif were likely the main detrital sources of the Cardigan Bay Basin (Dobson and Whittington, 1987; Xu et al. 2018). In the coeval deposits of the Dorset coast, southern England, the clay assemblages show a similar composition, but smectite is less abundant, suggesting that detrital inputs into the Wessex Basin originated from distinct detrital sources including the Cornubian and the Armorican massifs (Schöllhorn et al., 2020a). In the Paris Basin, the main detrital sources of clay minerals were probably the proximal ~~Palaeozoic~~Paleozoic massifs, including the London-Brabant Massif (LBM), the Armorican Massif and the Massif Central (Muller et al., 1973; Debrabant et al., 1992; Thierry et al., 2000), as suggested by dominant continental organic matter preserved in the Jurassic sediments drilled at Montcornet (Disnar et al., 1996).

The abundance of illite and chlorite reflects the intensity of erosion of these continental areas. Precambrian and/or ~~Palaeozoic~~Paleozoic mudrocks from the Welsh High and from the LBM mainly contain mica-illite, chlorite, and corrensite (regular chlorite/smectite mixed-layer) reflecting deep burial and low-grade metamorphism (Lefrançois et al., 1993; Han et al., 2000; Merriman, 2006; Hillier et al., 2006). These assemblages occur in most Variscan massifs in Europe, and as a result, the high proportions of chlorite and illite in most Jurassic sedimentary successions of northwest Europe reflects mostly the erosion of these ~~Palaeozoic~~Paleozoic and older rocks (Jeans et al., 2001). In the Mochras borehole, from the base to the top of the Upper Sinemurian, the proportions of illite increase from ca. 20 to 35%. This evolution suggests that during the Late Sinemurian, an increasing erosion of the Welsh High basement occurred, compared to the development of thick soils. This may be the consequence of a long-term uplift of the Welsh High aided by faulting. By comparison, the constant proportions of illite recorded in the Montcornet borehole, located proximately south of the LBM, suggest continuous unchanged processes of erosion on this tectonically stable massif.

In sediments, smectites have various origins. These minerals are most often reworked from soils where they formed under warm and seasonally humid climate (Chamley, 1989). ~~But~~However, smectite can also be formed in marine environments either at the expense of volcanic glass or as an authigenic phase in slowly deposited sediment (Deconinck and Chamley, 1995). The Sinemurian strata from the Mochras borehole do not show any evidence of volcanic origin and were rapidly deposited, with high sedimentation rates responsible for their particularly high thickness (220 m for the Upper Sinemurian). The duration of the Upper Sinemurian is estimated at 3 Myr, which suggests an average sedimentation rate (after compaction) of more than 70 m/Myr (Storm et al., 2020). In the *macdonnelli-aplanatum* subzones, a high mean sedimentation rate of ca. 40 m/Myr can be estimated considering a duration of about 800 kyr of these subzones, according to cyclostratigraphical studies (Ruhl et al., 2016). These relatively high sedimentation rates are not favourable to smectite authigenesis and consequently, most smectite minerals identified here are likely detrital and originated from pedogenic blankets developed over the Welsh or Irish massifs during periods of warm and seasonally humid climate.

Kaolinite, as for illite or chlorite, can be reworked from kaolinite-bearing sedimentary rocks and from the palaeoweathering profile in continental areas (Hurst, 1985). Kaolinite may be reworked from sandstones where its authigenic formation is common as pore filling booklets, such as Devonian (Old Red Sandstone) and Carboniferous sandstones from southern England and Wales (Hillier et al., 2006; Shaw, 2006; Spears, 2006). Kaolinite may also originate from soils formed under hot and regularly humid climate (Chamley, 1989; Ruffell et al., 2002). In both cases, increasing proportions of kaolinite in a sedimentary succession suggest enhanced runoff favoringfavouring erosional processes and/or hydrolysing climate.

5.2.2 Environmental control of the clay sedimentation

In the Mochras borehole, the clay mineralogy of the Upper Sinemurian is relatively similar to that observed in the overlying Pliensbachian formations, where there is an antagonistic evolutioninverse relationship in the relative proportions of smectite and kaolinite is recorded. The alternation of kaolinite-rich and smectite-rich intervals was interpreted for the Pliensbachian to be the result of climate fluctuations, respectively dominated by regularly humid periods and semi-arid conditions (Deconinck et al., 2019). This climate mode seems to be established at least at the beginning of the Late Sinemurian on the Welsh High and the surrounding massifs. In the northern Paris Basin, in the Montcornet borehole, such climatic fluctuations are not recorded, even though this basin was located at a comparable palaeolatitude asto the Cardigan Bay Basin. Apart from the smectite-rich interval occurring at the transition between the Lower and the Upper Sinemurian and at the base of the *obtusum* Zone, the clay mineralogy is rather uniform. In this borehole, such smectite-rich intervals referred as "smectite events" were also recorded in the Pliensbachian sediments (Bougeault et al., 2017). The occurrence of these "smectite events" was interpreted as the result of the lowering of the sea-level favouring the formation of smectite in soils developed on newly exposed lands of the LBM. as also observed during the Middle-Late Jurassic period (Pellenard and Deconinck 2006; Hesselbo et al., 2009). The Lower/Upper Sinemurian boundary and the base of the *obtusum* Zone are precisely characterised bycoincided with relative sea-level lowstands in the northwest European domain (Jacquin et al., 1998; Hesselbo 2008; Haq, 2018). Therefore, the smectite-rich interval occurring in the Sinemurian of Montcornet is also interpreted as a consequence of the lowering of the sea level allowing the formation of smectite on newly exposed lands of the LBM with its comparatively subdued relief. By contrast, during relative sea-level highstand, the LBM was probably at least partly flooded, suggesting that this massif was already deeply eroded and relatively flat as early as the Sinemurian. A similar behaviour of this massif regarding sea-level fluctuations lasted until the Late Jurassic (Hesselbo et al., 2009). Consequently, the LBM being often submerged, it is probable that the clay minerals deposited to the south of this massif had partly a more distant origin, and this may explain the difference with the Cardigan Bay Basin. The sea-level highstand during the *oxynotum* Zone is likely responsible for the starvation of the northern part of the Paris Basin, with a reduced sedimentation rate and even the significant sedimentary gap equally observed on the Dorset coast (cf. Hallam 1999, 2000; Coe and Hesselbo 2000; Hesselbo et al., 2020).

5.2.3 Kaolinite-rich intervals - Mochras borehole

The three kaolinite-rich intervals observed in the Mochras borehole are highlighted by the kaolinite/illite and smectite/kaolinite ratios (respectively K/I and Sm/K, Fig.~~10~~11). These intervals occur: (1) at the base of the *obtusum* Zone (interval K1), (2) around the boundary between the *obtusum* and *oxynotum* zones (interval K2), and (3) in the *raricostatum* Zone (interval K3). The onset of a fourth kaolinite-rich interval is present at the Sinemurian/Pliensbachian boundary and lasted through to the lower part of the *jamesoni* Zone (Deconinck et al., 2019).

These intervals may be associated with more humid conditions, but in detail~~,~~ they occur in different tectonic and eustatic settings. K1 occurs during a period of lowstand of the sea-level (Hesselbo, 2008). These conditions may have enhanced the proportions of kaolinite since this mineral is well-known to be deposited preferentially in proximal environments due to differential settling processes of clay minerals (Gibbs, 1977; Godet et al., 2008). Therefore, we suggest that the high proportions of kaolinite could be the result of combined influences of a more humid climate and low relative ~~low~~ sea-level conditions. By contrast, K2 occurs during a period of a high sea level, favourable to the deposition of more smectite. Consequently, as this kaolinite-rich interval is also the most prominent, it is probable that the climatic conditions were particularly hydrolysing (wet and/or warm) from the upper part of the *obtusum* ~~zone~~Zone to the lower part of the *oxynotum* Zone. The resulting significant detrital fluxes were probably responsible for a dilution of the carbonates, leading to a more clay-rich sedimentation during this interval. The proportions of kaolinite in K3 are lower than in K1 and K2 and this interval is also marked by the relative abundance of illite, suggesting more efficient erosion of the basement that may be linked to tectonic influences. It is therefore possible that in K3, kaolinite may be partly reworked together with illite from the unmetamorphosed rocks of the basement.

Interestingly, the three kaolinite-rich intervals seem to coincide with higher values of $^{87}Sr/^{86}Sr$ ratio consistent with increasing detrital influences linked to the acceleration of the hydrological cycle (Fig. 10). The most prominent increase of $^{87}Sr/^{86}Sr$ ratio precisely coincides with the most prominent increase of kaolinite (K2) that occurs around the transition between the *obtusum* and the *oxynotum* ~~Zones.~~zones. It also coincides with the increase in chemical weathering expressed by the CIA (Chemical Index of Alteration) and clay mineralogy observed in Dorset (Schöllhorn et al., 2020a). It should be noted, however, that the fluctuations in $^{87}Sr/^{86}Sr$ ratio correspond to a global signal while the clay minerals register a local signal, and that the Sr-isotope fluctuations are based on very few and widely spaced data points.

To summarise, the three kaolinite-rich intervals are indicative of increasing moisture. Of these, K2 occurring ~~during~~in the uppermost part of the *obtusum* Zone and the lower part of the *oxynotum* Zone is of particular interest, as it is at least indicative of a significant acceleration of the hydrological cycle. ~~This interval is also characterised by low δ¹⁸O values consistent with warm conditions, but these low values may also reflect enhanced freshwater inputs as a consequence of increasing runoff (Fig. 7; Deconinck et al., 2019; Schöllhorn et al., 2020a;). In addition, these δ¹⁸O values are likely to have been affected by diagenetic influences (section V.1.2) and therefore cannot be held here as a reliable proxy of seawater temperature.~~ In the Copper Hill borehole drilled close to Ancaster in Lincolnshire, East England, this interval is characterised by ~~the abundance~~an increase of

Classopollis also indicating warm climate and by a negative excursion of -2/-3 ‰ of $\delta^{13}C_{org}$ (Riding et al., 2013). Surprisingly,
440 this negative excursion is less clearly recorded in organic carbon at Mochras (Fig.10; van de Schootbrugge et al., 2008; Storm et al., 2020).

5.3 Carbon cycle evolution and SBPE record

A net decrease of the $\delta^{13}C_{org}$ values initiated from the late *oxynotum* Zone or the early *raricostatum* Zone and culminating in a marked negative excursion of the $\delta^{13}C_{org}$ near the Sinemurian/Pliensbachian boundary is clearly observed in the two sites~~.~~ 445 (Fig. 10). The amplitude of the decrease reaches -1‰ (-25 to -26‰) in the Paris Basin and -3‰ (-25 to -28‰) in the Cardigan Bay Basin, confirming the negative shift, highlighted by Storm et al. (2020). The condensation or even a significant hiatus in the northern part of the Paris Basin at the Sinemurian/Pliensbachian partly shortens this excursion and is therefore likely responsible for the difference in the amplitude of the negative excursion recorded in the two sites (Bougeault et al., 2017; Mercuzot et al., ~~2019~~2020). This decrease is equally recorded in the Sancerre ~~Borehole~~borehole in the southern Paris Basin 450 (~~Fig.~~ Figs.1, 10) from the early *raricostatum* Zone and shows an amplitude of -4 ‰ (Peti et al., 2017). The environmental significance of this pronounced shift can be addressed, as it ~~seems~~likely corresponds to ~~correspond to a longer episode than~~ the SPBE~~centered on the boundary or even~~, that was apparently recognized later, at the beginning ~~in~~of the early Pliensbachian (*jamesoni* Zone), on the Robin Hood's Bay from $\delta^{13}C_{wood}$ and $\delta^{13}C_{carb}$ data (Jenkyns et al., 2002; Korte and Hesselbo 2011) and estimated with a duration of 2 Myr on the basis of cyclostratigraphical analyses performed on the Mochras core (Ruhl et 455 al., 2016). ~~The stratigraphical~~Since stratigraphic evolution of the $\delta^{13}C_{org}$ could be due to local changes in the type of organic matter (Suan et al., 2015~~). However, no change is observed in~~), evolution of the type of ~~organic matter of~~OM has to be discussed here. No change was reported in the Upper Sinemurian deposits of Mochras according to van de Schootbrugge et al. (2005) ~~that~~who highlight a dominant continental type. Similar conclusions arise from the study of organic matter preserved in the Montcornet borehole (Disnar et al., 1996). ~~As this organic carbon trend is well recorded both in~~However, recent data on 460 OM from Mochras show higher HI index values during the ~~bulk organic matter ($\delta^{13}C_{org}$) resulting of a mixture of continental and marine components and in the macrofossil wood~~ SPBE (Storm et al., 2020~~), the best explanation would be a progressive and long term input of ^{12}C in both atmospheric and ocean reservoirs,~~). This suggests that the negative excursion of the SPBE could be ~~triggered~~enhanced by ~~the~~an increase of marine organic matter. The effect of change of the type of OM cannot however solely explain the observed trend, as the carbon isotope signal from macrofossil wood show the same evolution (Storm et al., 465 2020). These observations suggest that volcanic activity and hydrothermalism linked to a potential late phase of the CAMP, notably through the opening of the Hispanic Corridor, has likely favoured a progressive and long-term input of ^{12}C in both atmospheric and ocean reservoirs (Price et al., 2016~~,~~; Ruhl et al., 2016).

~~While this~~This negative carbon excursion from the *raricostatum* Zone is also well recorded in the $\delta^{13}C_{carb}$ signal in the Montcornet borehole (Bougeault et al., 2017; Mercuzot et al 2020). Surprisingly, it is ~~surprisingly~~ not ~~visible~~prominent in the 470 carbonate record at Mochras, where a negative shift is recorded later at the beginning of the Pliensbachian ~~and recognised as corresponding to the Sinemurian-Pliensbachian Boundary Event (SPBE,~~ (Ruhl et al., 2016). The discrepancy between the

two isotopic signals (δ^{13}C_{carb} and δ^{13}C_{org}) in the Mochras Borehole could be due to local diagenetic effect that overprint the primary signal of carbonates, as is probably also the case for the *oxynotum* Zone. Thus, the onset of the ~~negative trend at the Sinemurian/Pliensbachian transition is~~SBPE is clearly recognised from the early *raricostatum* Zone, while the shift reaches a maximum during the *jamesoni* Zone (plateau of low δ^{13}C_{org} values, Storm et al., 2020) resulting from a long and progressive increase of light carbon release in relation with ~~volcanism~~volcanic activity. Some authors (Mercuzot et al., ~~2019~~2020; Schöllhorn et al., 2020a, b) previously mentioned such differences in the onset and record of the SPBE ~~recognised~~identified in various basins worldwide which may express local diagenetic and environmental effects. This may explain why the runoff conditions, supported in this study by the kaolinite content, are firstly decreasing (i.e. *raricostatum* Zone) before drastically increasing during the *jamesoni* Zone (Deconinck et al., 2019) which should correspond to the maximal disturbance in the carbon cycle concomitant to warmer temperatures and enhanced ~~precipitation~~rainfall. This relationship between increase of kaolinite content and negative carbon isotope signal seems specific to the SPBE and likely due to the impact of volcanism that triggered both temperature and moisture increase and ^{12}C input. Indeed, the inverse relationship is observed earlier in the Sinemurian where periods of high kaolinite content (i.e. K1 and K2) are mostly correlated with positive carbon isotope shifts (Fig.11). Such a response could be explained under normal conditions by increased runoff which can promote the supply of continental organic matter from land masses and better preservation during these wet periods.

6 Conclusions

The study of the clay mineralogy ~~at a high resolution~~ in the Upper Sinemurian of the Mochras and Montcornet boreholes shows that the clay minerals are mainly detrital and come from the erosion of the basement and the soil cover of the ~~Palaeozoic~~Paleozoic massifs. The thick and continuous succession penetrated by the Mochras borehole shows significant fluctuations in the relative proportions of clay minerals. The ~~stratigraphical succession~~successions shows an inverse relationship between the proportions of kaolinite and smectite, which probably results from an alternation of warm and humid periods with semi-arid periods. This climatic mode previously identified in the overlying Pliensbachian therefore seems to be in place at least from the Late Sinemurian. The end of the *obtusum* Zone and the *oxynotum* Zone correspond to a particularly hot and humid period favourable to a strong runoff ~~at the origin of~~and significant terrigenous inputs probably responsible for a repression of carbonate sedimentation. This particular hot and humid interval is also expressed by the abundances of *Classopollis* and *Liasidium variabile*, ~~by a slight negative excursion of δ^{13}C_{org} and by low values of δ^{18}O. However, although the low values of δ^{18}O are consistent with high temperatures, they may also result from a decreasing salinity of seawater due to increased supplies of fresh water and/or a likely local diagenetic influence.~~and by an overall slight positive excursion of δ^{13}C_{org}.

In the Montcornet borehole, to the north of the Paris Basin, the thinner succession has many hiatuses, notably that of the *oxynotum* Zone. The clay minerals are similar to those identified in the Cardigan Bay Basin, but the discontinuous series does

not allow the alternation of humid and semi-arid periods to be identified. It is possible that this also results from the different

505 and more distant origin of clays. In this borehole, a smectite-rich interval is identified within the *obtusum* Zone during a period of lowstand of sea level. This smectite interval, like those identified in the overlying Pliensbachian, would result from the emergence of the London-Brabant Massif then subjected to active pedogenesis. A eustatic control of the clay sedimentation is therefore expressed along the London-Brabant Massif, a situation previously proposed for the Pliensbachian, and which lasted until the end of the Jurassic.

510 Unlike the clay diagenesis, which is negligible, carbonate diagenesis notably expressed as ~~nodulisation~~nodules causes a dispersion of the isotopic values of δ^{13}~~C carb~~$^{13}C_{carb}$, as well as $\delta^{18}O$ ~~carb~~ whose interpretation in terms of palaeotemperature is unsound. The evolution of $\delta^{13}C_{org}$ reveals a progressive decrease ~~during~~from the *raricostatum* Zone before the very low values at the Sinemurian/Pliensbachian transition ~~corresponding to~~characterising the SPBE.

515 **Author contributions:** All authors contributed to the interpretation of the data and approved the submitted version. TM, JFD and PP wrote the manuscript with inputs from SPH, JBR, CVU, CB, MM, ALS, EH and PL. TM, JFD and PP realized mineralogical analyses. CVU and ALS realized geochemical analyses. SPH, JBR, CB and MM contributed to sedimentological descriptions of the boreholes.

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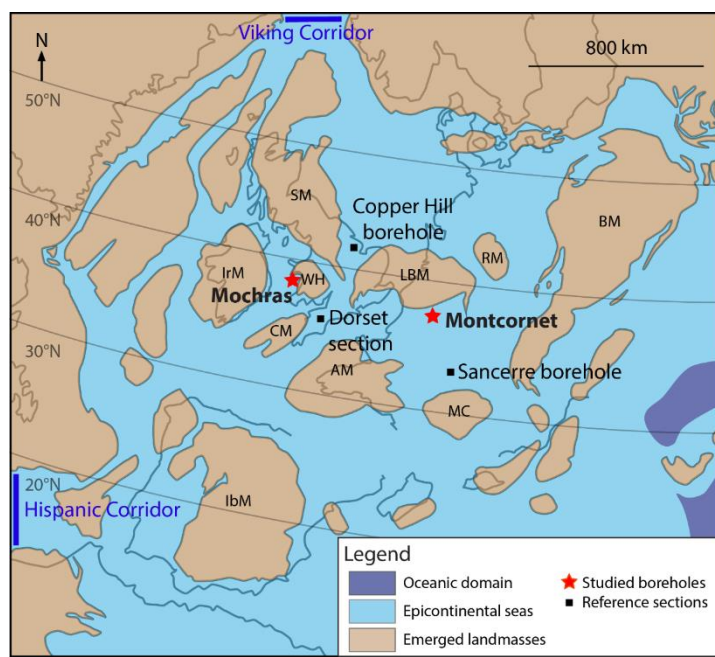

815 **Figure 1: Upper Sinemurian palaeogeographical map of the northwest Tethyan domain and position of the studied boreholes (modified from Bougeault et al., 2017). Abbreviations: SM = Scottish Massif; IrM = Irish Massif; CM = Cornubian Massif; WH = Welsh High; LBM = London-Brabant Massif; RM = Rhenish Massif; BM = Bohemian Massif; MC = Massif Central; AM = Armorican Massif; IbM = Iberian Massif.**

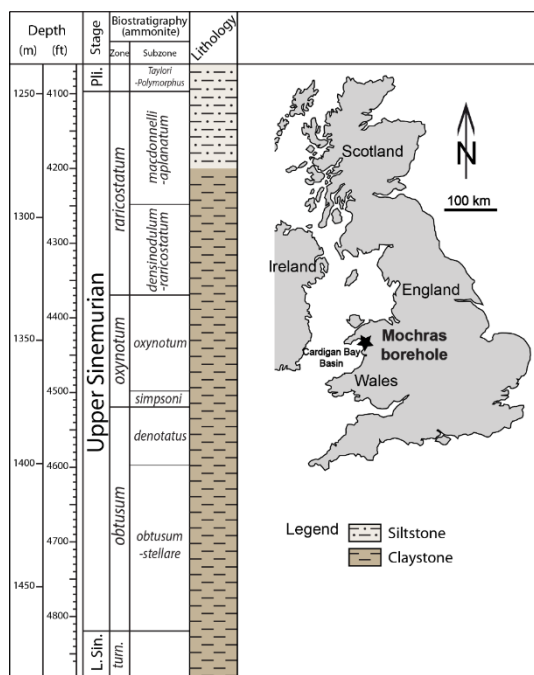



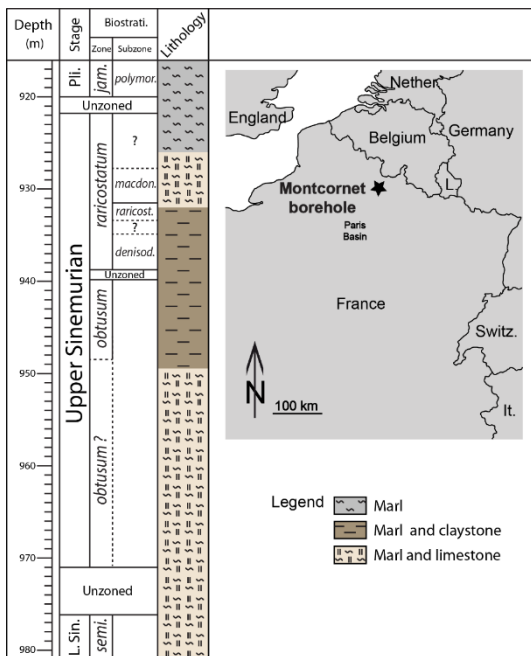
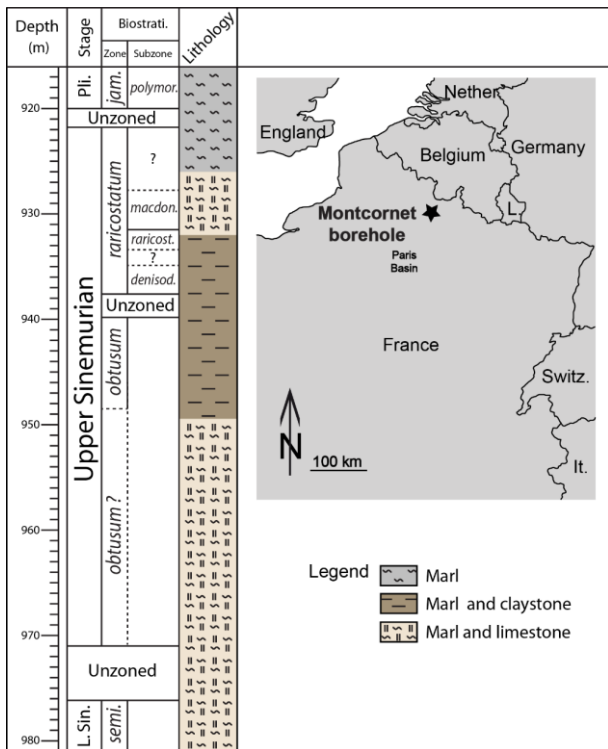
Figure 2: Location map of the Mochras borehole, simplified lithology and biostratigraphy of the Upper Sinemurian (from Copestake and Johnson, 2014). Abbreviations: L. Sin. = Lower Sinemurian, Pli. = Pliensbachian, *turn.* = *turneri*.

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Figure 3: Location map of Montcornet borehole, simplified lithology and biostratigraphy of Upper Sinemurian (from Fauconnier, 1995; Yang et al., 1996). *densinod.* = *densinodulum,* *jam.* = *jamesoni,* L.Sin = Lower Sinemurian, *macdonn.* = *macdonnelli,* Pli. = Pliensbachian, *polymor.* = *polymorphus,* *raricost.* = *raricostatum,* *semi.* = *semicostatum.*

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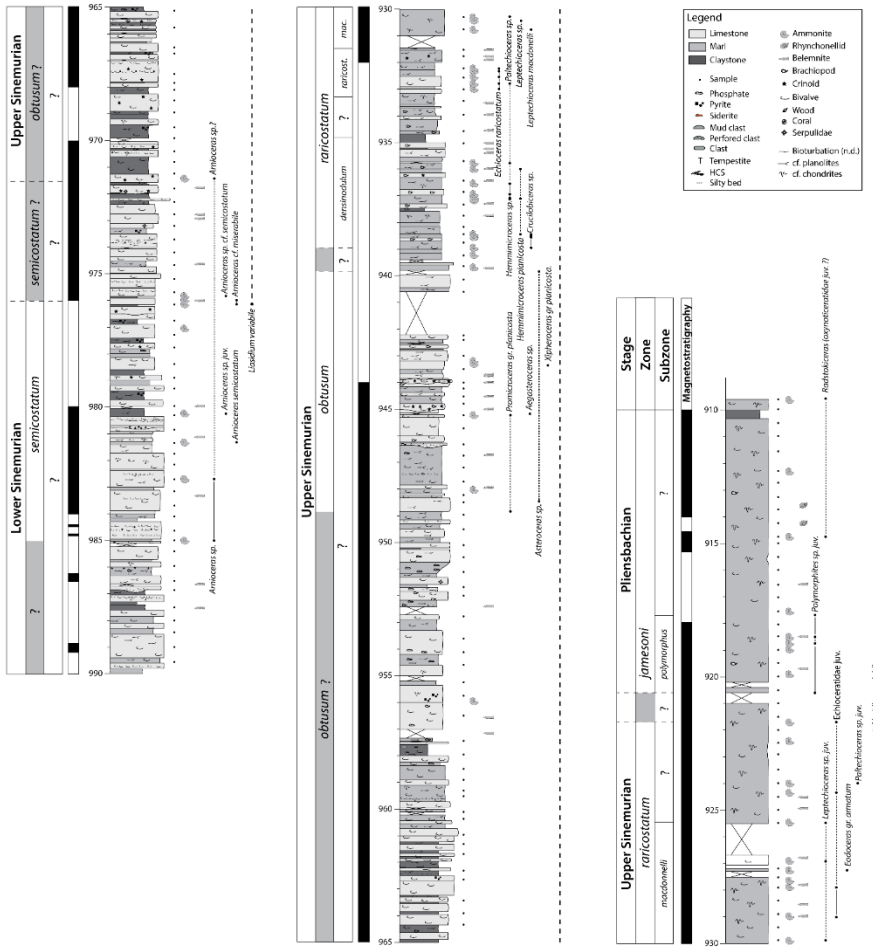
Figure 4: Detailed lithology, sampling and, biostratigraphy and magnetostratigraphy of Upper Sinemurian of the Montcornet borehole. Biostratigraphy modified from Yang et al. (1996) and Fauconnier (1995). Magnetostratigraphy from Yand et al. (1996) and Moreau et al. (2002). Gr. – Group, juv. – Juvenile, *mac.* = *macdonnelli*, *semico.* = *semicostatum*.
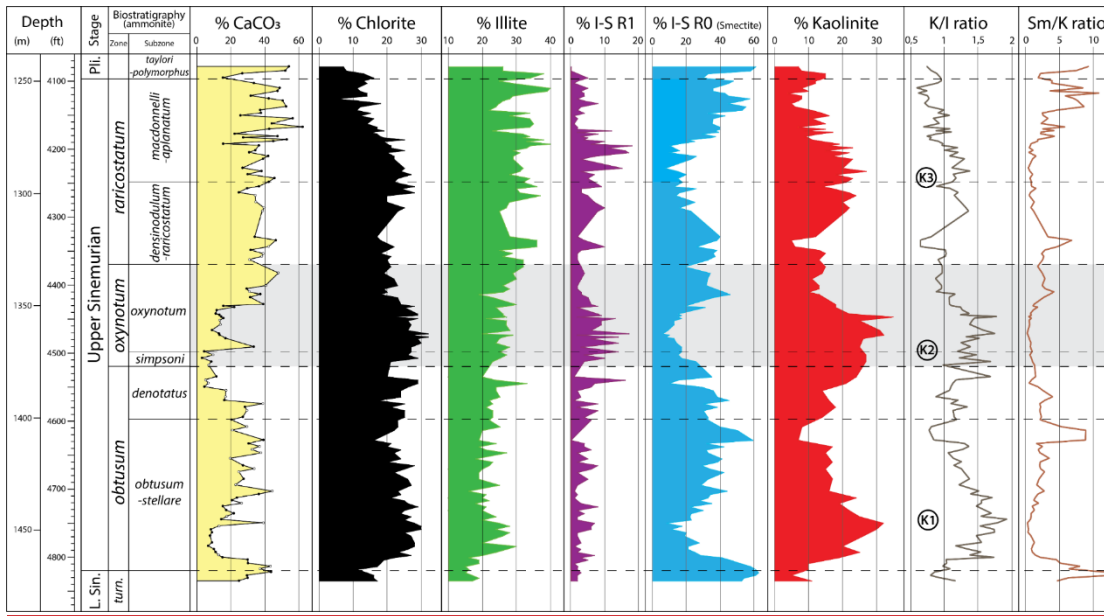
Figure 5: Calcite proportions and composition of the clay fraction of Upper Sinemurian strata of the Mochras borehole. Kaolinite/illite (K/I) and smectite/kaolinite (Sm/K) ratios corresponds to the ratio of the areas of the main peaks of these minerals. L. Sin. – Lower Sinemurian, Pli. – Pliensbachian, *turn. = turneri***.**
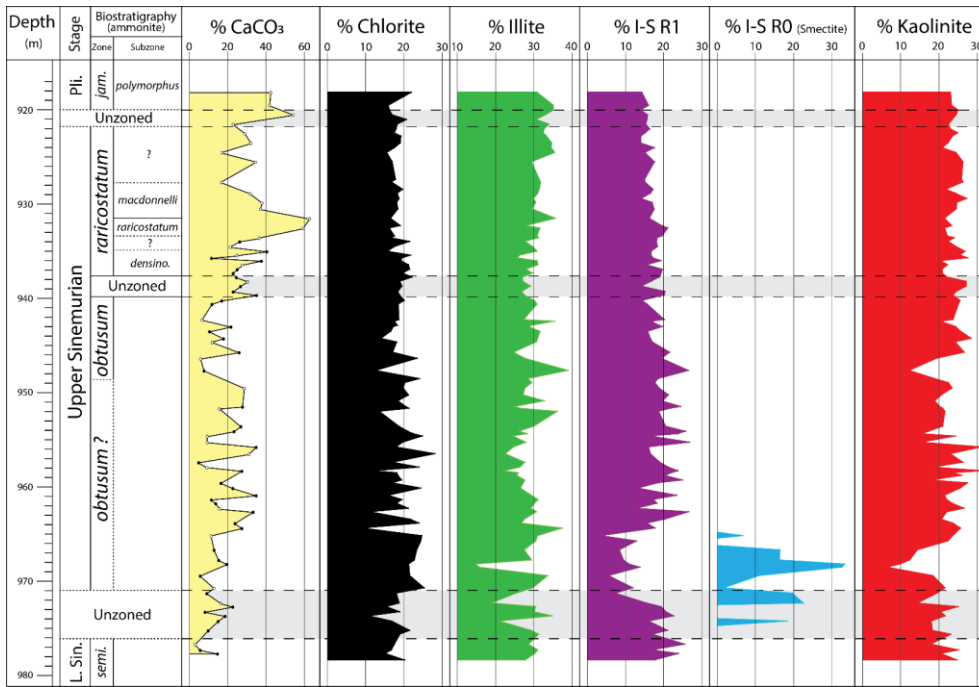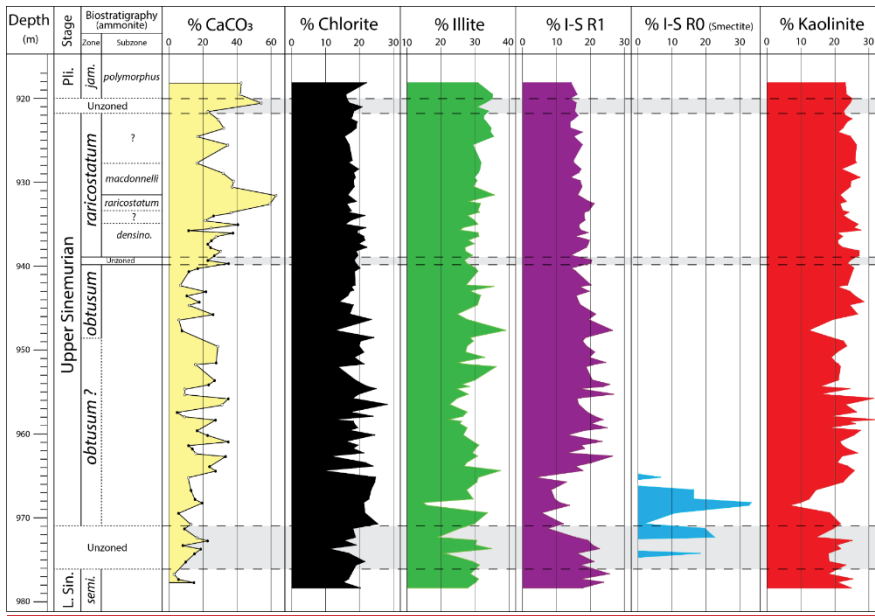
Figure 6: Calcite proportions and composition of the clay fraction of Upper Sinemurian strata of the Montcornet borehole. *densinod.* = *densinodulum*, *macdonn.* = *macdonnelli*, **Pli.** = Pliensbachian, *polymor.* = *polymorphus*, *raricost.* = *raricostatum*.

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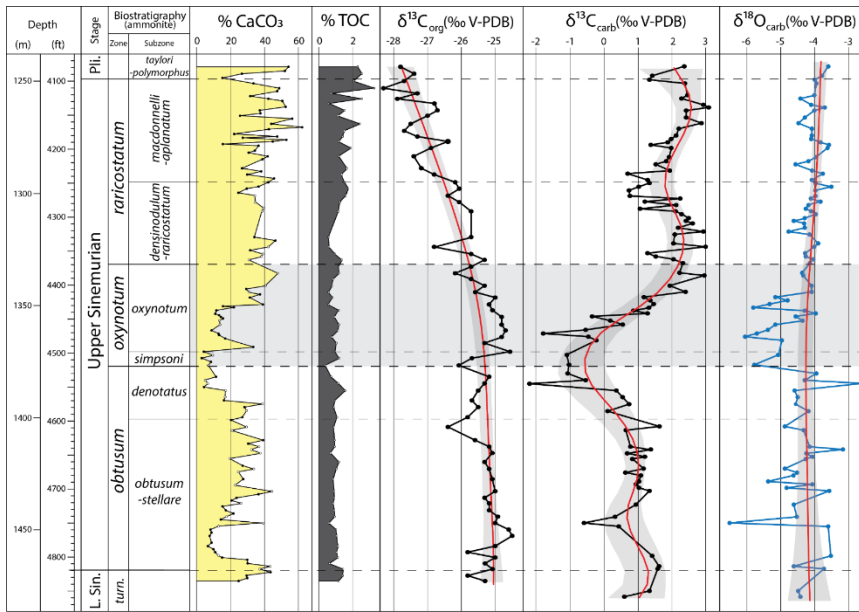
Figure 7: Carbon (δ¹³C_carb and δ¹³C_org) and oxygen (δ¹⁸O_carb) isotopes of the Upper Sinemurian on the Mochras borehole coupled with the proportions of CaCO₃ and TOC. Smoothing curve in red and 95% confidence interval in grey (Kernel regression). L. Sin. = Lower Sinemurian, Pli. = Pliensbachian, *turn.* = *turneri*.

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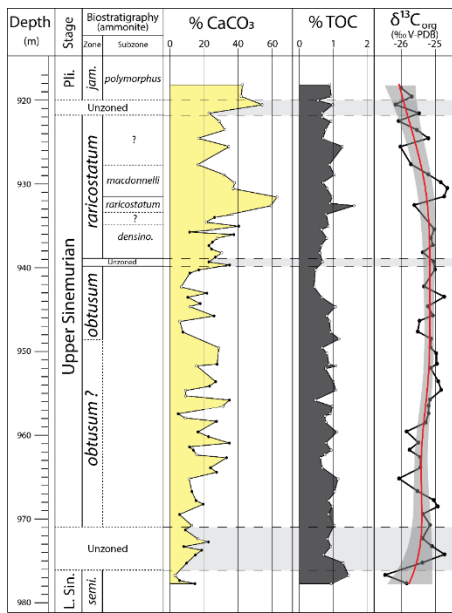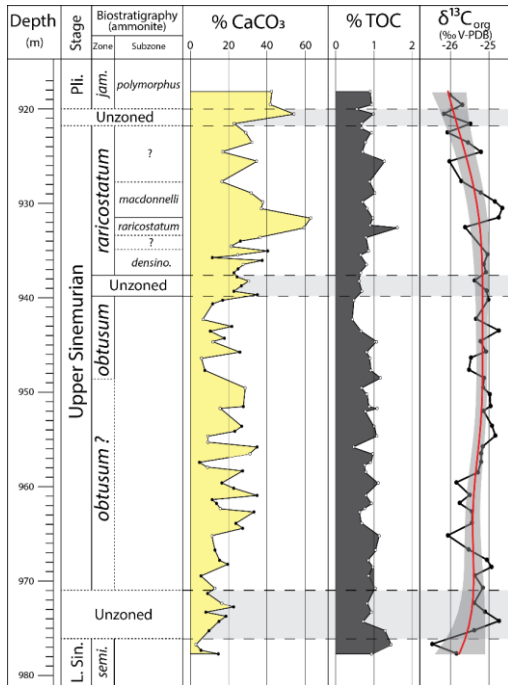
Figure 8: Carbon isotopic ratio (δ¹³C_org) of Upper Sinemurian strata of the Montcornet borehole coupled to CaCO₃ and TOC proportions. Smoothing curve in red and 95% confidence interval in grey (Kernel regression). *densinod. = densinodulum,* **L. Sin. = Lower Sinemurian,** *macdonn. – macdonnelli,* **Pli. = Pliensbachian,** *polymor. = polymorphus, raricost. = raricostatum, semi. = semicostatum.*
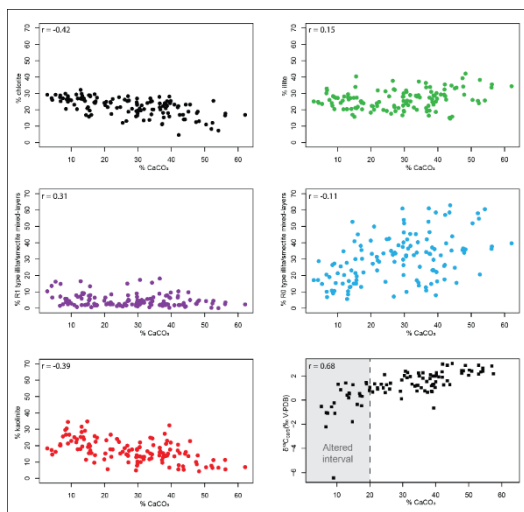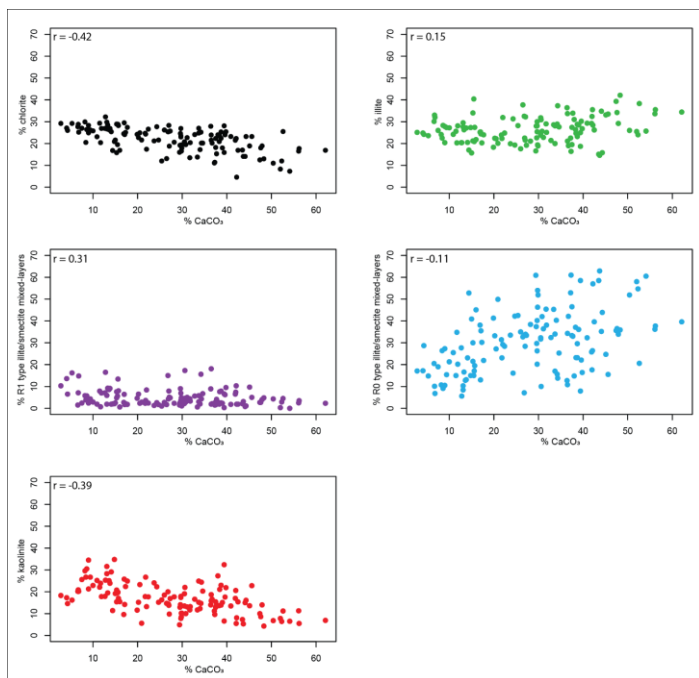
Figure 9: Correlations between CaCO₃ content ~~and~~ the clay mineral relative proportions in the clay fraction and δ¹³C_carb values in the Mochras borehole.
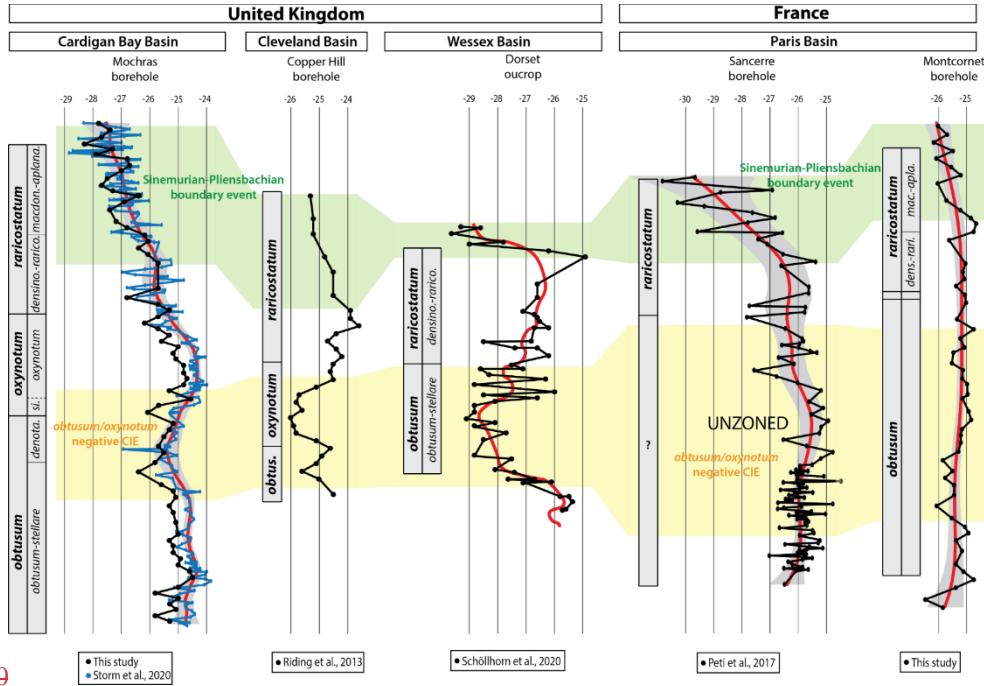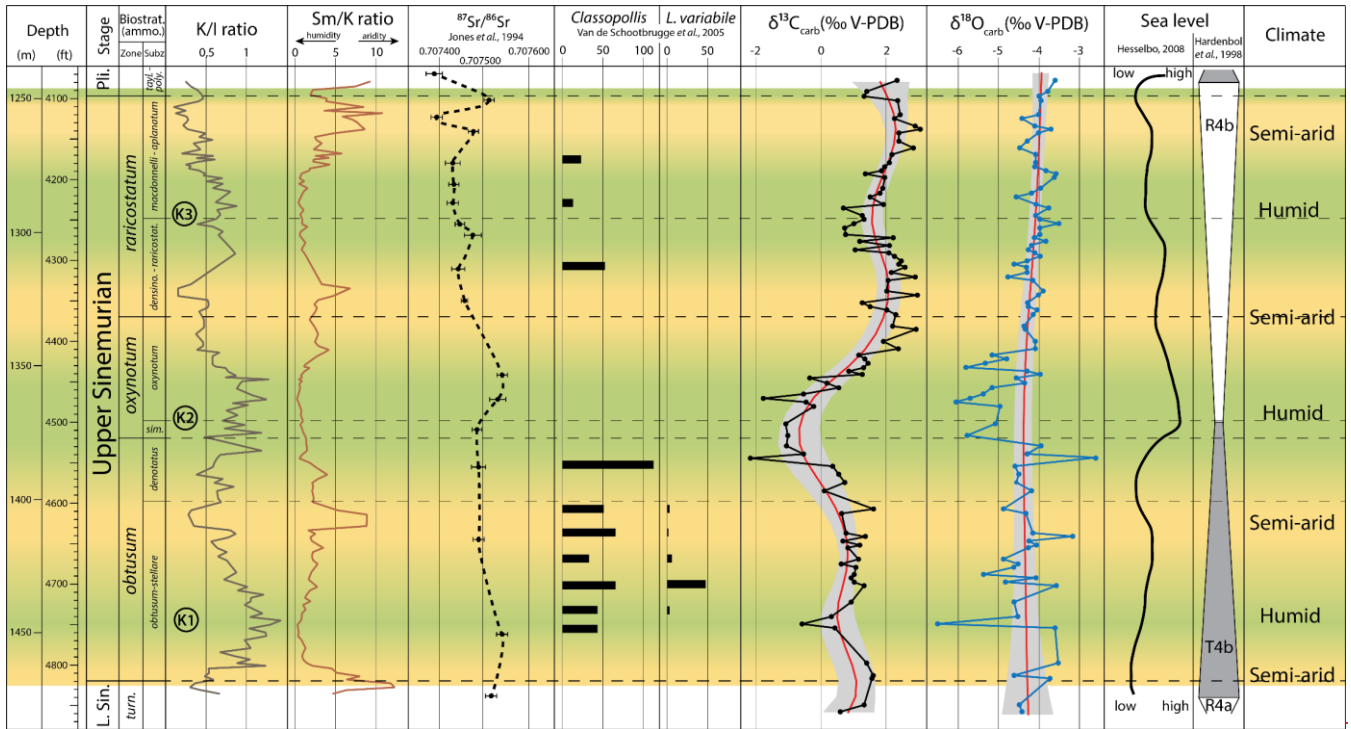
Figure 10

Figure 10: Correlation of negative excursion of $\delta^{13}C_{org}$ during Upper Sinemurian in Cardigan Bay Basin (this study, Storm et al., 2020), Cleveland Basin (Riding et al., 2013), Wessex Basin (Schöllhorn et al., 2020) and Paris Basin (this study, Peti et al., 2017).
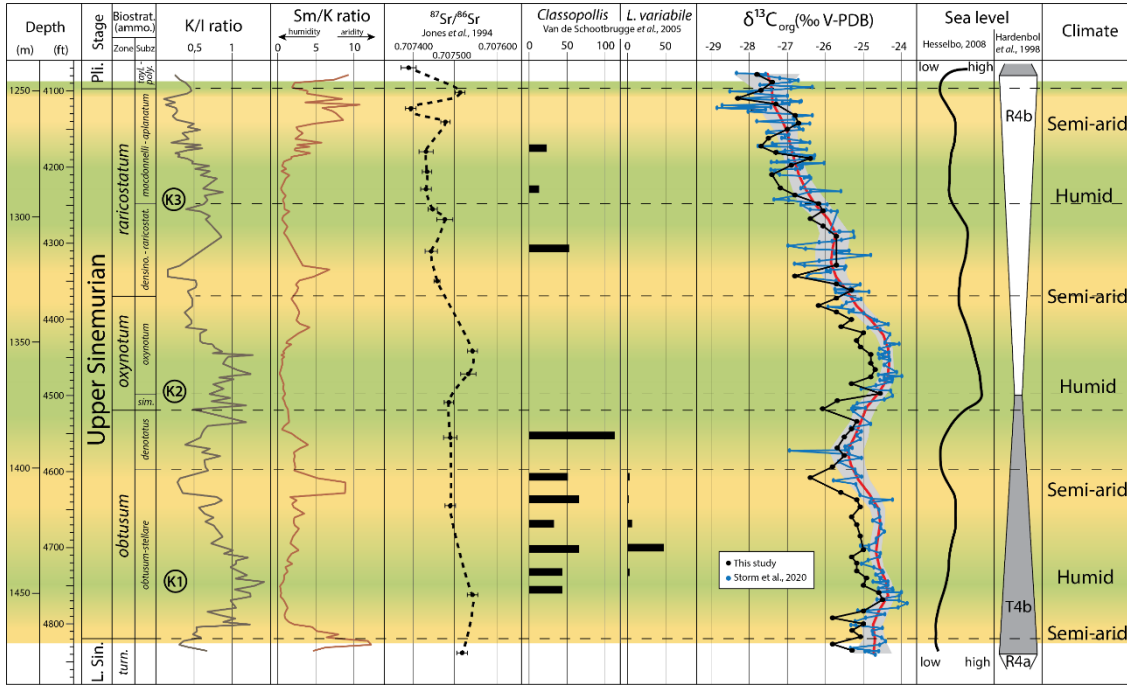
Figure 11: Palaeoclimatic interpretations inferred from clay mineral assemblages expressed by K/I and Sm/K ratios, compared with *Classopollis*, *Liasidium variabile* abundance and strontium isotopes (Jones et al., 1994; van de Schootbrugge et al., 2005; Riding et al., 2013), carbon ~~and oxygen isotopes~~isotope variations~~.~~ (this study, Storm et al., 2020). Eustatic and/or regional relative sea-level variations from Hardenbol et al. (1998) and Hesselbo (2008).