



# Eccentricity-paced atmospheric carbon-dioxide variations across the middle Miocene climate transition

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**Abstract.** The middle Miocene climate transition ~14 Ma marks a fundamental step towards the current “icehouse” climate, with a ~1 ‰  $\delta^{18}\text{O}$  increase and a ~1 ‰ transient  $\delta^{13}\text{C}$  rise in the deep ocean, indicating rapid expansion of the East Antarctic Ice Sheet associated with a change in the operation of the global carbon cycle. The variation of atmospheric  $\text{CO}_2$  across the carbon-cycle perturbation has been intensely debated as proxy records of  $p\text{CO}_2$  for this time interval are sparse and partly contradictory. Using boron isotopes ( $\delta^{11}\text{B}$ ) in planktonic foraminifers from drill site ODP 1092 in the South Atlantic, we show that long-term  $p\text{CO}_2$  variations between ~14.3 and 13.2 Ma were paced by 400 k.y. eccentricity cycles, with decreasing  $p\text{CO}_2$  at high eccentricity and vice versa. Our data support results from a carbon-cycle model study, according to which increased monsoon intensity at high eccentricity enhanced weathering and river fluxes in the tropics, resulting in increasing carbonate and organic carbon burial and hence decreasing atmospheric  $\text{CO}_2$ . In this scenario, a combination of the eccentricity-driven climatic cycle and enhanced meridional deep-ocean circulation during Antarctic ice-sheet expansion may have both contributed to the  $p\text{CO}_2$  rise following Antarctic glaciation, acting as a negative feedback on the progressing glaciation and helping to stabilize the climate system on its way to the late Cenozoic “icehouse” world.

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## 1 Introduction

With rapid cooling of Antarctica and associated expansion of the East Antarctic Ice Sheet (EAIS), the middle Miocene climate transition (MMCT) ~14 Ma marks a fundamental step towards the current “icehouse” climate (Woodruff and Savin, 1991; Flower and Kennett, 1994). The transition is characterized by a  $\delta^{18}\text{O}$  increase of ~1 ‰ in the deep ocean between 13.9 and 13.8 Ma and a positive (~1 ‰)  $\delta^{13}\text{C}$  excursion between 13.9 and 13.5 Ma indicating a fundamental change in global carbon-cycle dynamics (Shevenell et al., 2004; Holbourn et al., 2005, 2007). The carbon-isotope maximum (CM) event CM6 is the last and most prominent of at least six maxima within a long-lasting  $\delta^{13}\text{C}$  excursion, the “Monterey Excursion”, spanning ~16.9 to ~13.5 Ma and apparently paced by long-term changes in the 400 k.y. eccentricity cycle of the Earth’s orbit (Vincent and Berger, 1985; Holbourn et al., 2007). These  $\delta^{13}\text{C}$  maxima hint at a major reorganization of the marine carbon

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30 cycle, and the temporal coincidence of CM6 with expansion of the Antarctic ice sheet indicates that the glaciation may have been caused by cooling due to reduced CO<sub>2</sub> radiative forcing (Greenop et al., 2014).

The CM events within the Monterey Excursion have been traditionally considered as episodes of increased organic-carbon (C<sub>org</sub>) burial resulting in <sup>12</sup>C-depleted seawater, atmospheric CO<sub>2</sub> drawdown and associated cooling and buildup of continental ice (Vincent and Berger, 1985; Flower and Kennett, 1993, 1994; Badger et al., 2013). In a recent study, it was  
35 proposed that a more sluggish meridional Pacific Ocean overturning circulation, due to reduced deep-water formation in the Southern Ocean, enhanced the weathering of <sup>13</sup>C-enriched shelf carbonates, and that the expansion of the terrestrial carbon reservoir may have been responsible for the δ<sup>13</sup>C excursion CM6, associated with a decrease in *p*CO<sub>2</sub> (Ma et al., 2018). Another explanation for the CM events refers to the “missing sink” mechanism (Lear et al., 2004), where large areas of Antarctic silicate rocks are covered by ice caps, which reduce chemical weathering and, thus, limit their potential as a sink  
40 for atmospheric CO<sub>2</sub> (Pagani et al., 1999; Lear et al., 2004; Shevenell et al., 2008). While all scenarios result in increasing marine δ<sup>13</sup>C, the former two act as positive feedbacks (falling CO<sub>2</sub>) promoting ice-sheet expansion, and the latter as a negative feedback (rising CO<sub>2</sub>) preventing global climate from evolving to an even colder state.

The nature of the carbon cycle perturbation could be better constrained if we knew the evolution of atmospheric CO<sub>2</sub> across the MMCT. Understanding the role of the carbon cycle in this cooling step is a key to assess Earth-system sensitivity to CO<sub>2</sub>  
45 forcing and the long-term stability of the Antarctic ice sheet under rising CO<sub>2</sub> concentrations. However, most proxy records for the history of *p*CO<sub>2</sub> across the MMCT are incomplete or at low resolution, thus prohibiting resolution of the CM events (Pagani et al., 1999; Kürschner et al., 2008; Foster et al., 2012; Ji et al., 2018; Sosdian et al., 2018; Super et al., 2018) and making it difficult to identify the mechanism responsible for the major step into the “icehouse” world. The only high-resolution *p*CO<sub>2</sub> reconstruction across CM6 is based on alkenone δ<sup>13</sup>C data from a Miocene outcrop on Malta (Badger et al.,  
50 2013), but it does not reveal a significant change.

Therefore, to better understand atmospheric CO<sub>2</sub> evolution over the period of EAIS growth, we generated a high-resolution reconstruction based on δ<sup>11</sup>B measurements of fossil planktonic foraminifers from the South Atlantic (Figs. 1, 2). The boron isotopic composition of biogenic carbonates is a reliable recorder of ambient pH, which in turn is closely linked to atmospheric *p*CO<sub>2</sub> in oligotrophic surface waters. Our record fully captures the carbon isotope excursions CM5b and CM6,  
55 which include the major expansion of the EAIS.

## 1 Material and Methods

### 1.1 Sampling strategy

This research used samples from Ocean Drilling Program (ODP) Site 1092, located at 46.41 S and 7.08 E (Fig. 1) in a water depth of 1973 m. The interval studied (~178-184 mcd, see Table S1) consists of nanofossil ooze with excellent carbonate  
60 preservation, as shown by SEM-imaged undissolved coccoliths, which are susceptible to corrosion (Fig. 2). The shown example is from ~13.8 Ma, where pH was low, but shell preservation is similarly good throughout the entire record.



Approximately 200 specimens of the cold-water dwelling planktonic foraminifer *G. bulloides* were picked for  $\delta^{11}\text{B}$  analysis from 35 processed 10 cm<sup>3</sup> sediment samples. In addition, about 5 to 8 specimens of the benthic foraminifer *Cibicidoides wuellerstorfi* were picked from 14 intervals to reconstruct deep-sea pH (Table S1). Today, surface-water  $p\text{CO}_2$  at Site 1092 is close to equilibrium with the atmosphere ( $\Delta p\text{CO}_{2\text{sea-air}} < -30 \mu\text{atm}$  (Takahashi et al., 1993, 2009)). Plankton tow data revealed that *G. bulloides* generally lives within the upper 100 m of the water column (Mortyn and Charles, 2003). Based on DIC (dissolved inorganic carbon) and TA (total alkalinity) from seasonal TCO<sub>2</sub>+TALK (Goyet et al., 2000), and temperature and salinity from WOA13 data sets, modern gradients in pH and  $p\text{CO}_2$  are small at this site within the upper 200 m of the water column. During the austral spring season, when the shell flux of *G. bulloides* is highest (Jonkers and Kučera, 2015; Raitzsch et al., 2018), the differences in pH and  $p\text{CO}_2$  within this depth range are -0.03 and 17  $\mu\text{atm}$ , respectively. Hence, we expect *G. bulloides* to be a reliable recorder of subsurface pH and  $p\text{CO}_2$ .

## 1.2 Age model

The age model used in this study is adopted from Kuhnert et al. (2009), but was revised to bring it in line with the astronomically tuned stable isotope record of the reference IODP Site U1338 (Holbourn et al., 2014) (Fig. 3, Table S2). In addition, chron C5ADn (top) identified at 182.51 mcd and originally dated to 14.178 Ma (Censarek and Gersonde, 2002) was tied to 14.081 Ma from the age model of Site U1338 (Holbourn et al., 2014). For direct comparison of our  $p\text{CO}_2$  record with the boron-based reconstructions available from the literature, the age models of ODP 761 (Holbourn et al., 2004, Foster et al., 2012, Sosdian et al., 2018) and the Blue Clay Formation of Ras-il-Pellegrin on Malta (Abels et al., 2005; Badger et al., 2013) were also re-tuned to match the reference curve U1338 (Table S2). Based on these revised age models, the  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  profiles of Sites 1092, ODP 791 and the Blue Clay Formation generally show a good agreement with those of Site U1338 (Fig. 3).

## 1.3 Boron isotope analysis

Foraminifer shells were cleaned following the protocol of Barker et al. (2003). Trace metal and boron isotope ( $\delta^{11}\text{B}$ ) were measured following Raitzsch et al. (2018). Briefly, the cleaned samples were dissolved in 60  $\mu\text{L}$  of 1 N  $\text{HNO}_3$  and micro-distilled on a hotplate to separate boron from the carbonate matrix. The microdistillation method has been proven to yield a B recovery of ~100 %, a low procedural blank, and accurate results, even at low B concentrations (Gaillardet et al., 2001; Wang et al., 2010; Misra et al., 2014; Raitzsch et al., 2018). The distillate containing only boron was diluted with 2 %  $\text{HNO}_3$  and analyzed for isotopes in triplicate using a Nu Plasma II multi-collector ICPMS at AWI (Bremerhaven, Germany) that is equipped with a customized detector array of 16 Faraday cups and 6 secondary electron multipliers (SEM), also termed ion counters (IC).  $^{11}\text{B}$  and  $^{10}\text{B}$  were collected in IC5 and IC0, respectively, at a boron concentration of ~3 ppb. As three of the SEMs were later replaced by Daly detectors,  $^{11}\text{B}$  and  $^{10}\text{B}$  of *C. wuellerstorfi* samples were collected in D5 and D0, respectively, at a boron concentration of ~2 ppb.  $^{11}\text{B}/^{10}\text{B}$  was standardized against concentration-matched NBS 951 using the standard-sample-standard bracketing technique, and frequent analysis of control standard AE121 with an isotopic



95 composition similar to that of foraminifers was monitored to ensure accuracy of measurement. Measurement uncertainties are reported as 2 standard deviations ( $2\sigma$ ) derived from triplicate measurements or as  $\pm 0.30$  ‰ (for SEM-analyzed samples) and  $\pm 0.25$  ‰ (for Daly-analyzed samples) determined from the long-term reproducibility ( $2\sigma$ ) of the control standard, whichever is larger (see Table S1).

#### 1.4 Reconstruction of pH and $p\text{CO}_2$ from $\delta^{11}\text{B}$

100 Planktonic  $\delta^{11}\text{B}$  was converted to sea-surface pH (Fig. S1) using the  $\delta^{11}\text{B}_{\text{foram}}/\delta^{11}\text{B}_{\text{borate}}$  relationship for *G. bulloides* (Raitzsch et al., 2018), where  $\delta^{11}\text{B}_{\text{borate}}$  is determined by the dissociation constant  $pK_{\text{B}}$  and  $\delta^{11}\text{B}_{\text{seawater}}$ .  $pK_{\text{B}}$  is dependent on salinity, temperature and pressure. Mg/Ca was taken from Kuhnert et al. (2009) for temperature estimates, and pressure was chosen from 50 m water depth, the assumed average calcification depth of *G. bulloides*. To account for seawater Mg/Ca, which was approximately 3.2 mol/mol ~14 Ma ago (Stanley and Hardie, 1999; Horita et al., 2002), Mg/Ca temperatures were corrected by applying a correction factor of 0.825 to the pre-exponential constant of the Mg/Ca-temperature equation of Mashiotta et al. (1999). This correction factor was previously determined for *G. sacculifer* by Evans and Müller (2012), but we assume that the effect of seawater Mg/Ca on shell Mg/Ca is similar for *G. bulloides*, since both have similar Mg/Ca ratios. However, the difference between Mg/Ca<sub>sw</sub>-corrected and non-corrected Mg/Ca absolute temperatures is in the order of 1.7 °C, but the relative changes are nearly identical.

110 Relative salinity changes were estimated by converting  $\delta^{18}\text{O}_{\text{sw}}$ , derived from planktonic foraminiferal  $\delta^{18}\text{O}$  and Mg/Ca temperatures (Shackleton, 1974), to salinity using a  $\delta^{18}\text{O}_{\text{sw}}$ :salinity gradient of 1.1 ‰ (the change in  $\delta^{18}\text{O}_{\text{sw}}$  per salinity unit). This minimum gradient is required to keep the upper ocean density difference across the Subantarctic Front to enable the formation of Antarctic Intermediate Water (Kuhnert et al., 2009), which existed as Southern Component Intermediate Water (SCIW) since at least ~16 Ma (Shevenell and Kennett, 2004) and spread into all oceans adjacent to the Southern Ocean (Wright et al., 1992). However, since the computed absolute salinity values are unrealistically low, an offset of 17.2 (psu) was added to the entire salinity record to achieve post-glaciation values similar to today. The reconstructed relative salinity change across the MMCT at Site 1092 is slightly more than 1 (psu), which is equivalent to the salinity gradient across the Subantarctic front today. Deep-sea pH from *C. wuellerstorfi*  $\delta^{11}\text{B}_{\text{foram}}$  shown in Fig. S1 was calculated using a  $\delta^{11}\text{B}_{\text{foram}}:\delta^{11}\text{B}_{\text{borate}}$  relationship of 1:1 (Rae et al., 2011), deep-sea temperatures derived from Mg/Ca (data courtesy of H. Kuhnert) using the species-specific calibration of Raitzsch et al. (2008), a salinity of 34 (psu), and a paleo-water depth of 120 1794 m.

The most critical parameter for correct conversion to pH is  $\delta^{11}\text{B}_{\text{seawater}}$ , which is fortunately well constrained for the middle Miocene. We used a  $\delta^{11}\text{B}_{\text{seawater}}$  value of 37.80 ‰, which is the mean value from different independent studies and in close agreement with each other to within 0.1 ‰ ( $1\sigma$ ) (Pearson and Palmer, 2000; Foster et al., 2012; Raitzsch and Hönisch, 2013; Greenopet al., 2017), and also comparable to the 38.5 ‰ modeled by Lemarchand et al. (2000).

125 To calculate  $p\text{CO}_2$  from sea-surface pH, a second carbonate system parameter needs to be constrained. For this study, we used a sea-surface TA of 2000  $\mu\text{mol}/\text{kg}$ , which is in line with various carbon cycle model results (Tyrrell and Zeebe, 2004;



Ridgwell, 2005; Caves et al., 2016; Sosdian et al., 2018, Zeebe and Tyrrell, 2019), and what we applied to the entire record. However, to verify the validity of our approach we tested the effect of varying TA on  $p\text{CO}_2$  calculations (see section 3.2). To assess whether a pH effect on Mg/Ca-derived temperatures and, in turn, pH-corrected temperature influences estimated  $p\text{CO}_2$  as shown by Gray and Evans (2019), we used a slightly modified version of their ‘MgCaRB’ package written in ‘R’. Briefly, this program corrects Mg/Ca for the effects of salinity and pH and the resulting change in the temperature effect on  $pK_B$  and hence on pH, until the change in both parameters between two iterations fall below certain threshold values. The original R code from Gray and Evans (2019) uses sediment age and associated error to determine past salinity and its uncertainty, based on the secular seawater salinity model from Spratt and Lisiecki (2016). Hence, the model is limited to the last ~800 ka. To adopt the code for our Miocene study, we slightly modified ‘MgCaRB.d11B.R’ from Gray and Evans (2019) by allowing for directly use estimated salinities and associated uncertainties. In addition, the seawater B isotopic composition was changed from 39.61 ‰ to the Miocene value of 37.80 ‰ (see code in the supplement). While the Miocene relative salinity variations can be constrained from changes in benthic foraminiferal  $\delta^{18}\text{O}$ , the absolute salinity levels are difficult to determine. Hence this approach only allows for comparing relative differences between the conventionally and the iteratively corrected  $p\text{CO}_2$  estimates. For this reason, the temperature and  $p\text{CO}_2$  values of the two approaches were brought in coincidence at the start of the record (Fig. S2). Uncertainties of  $p\text{CO}_2$  estimates were fully propagated from individual uncertainties in pH (converted from  $2\sigma$  measurement uncertainty of  $\delta^{11}\text{B}$ ), TA ( $\pm 100 \mu\text{mol/kg}$ ), temperature ( $\pm 1 \text{ }^\circ\text{C}$ ), and salinity ( $\pm 1 \text{ psu}$ ) using the ‘seacarb’ package (Lavigne et al., 2011) programmed in ‘R’. The applied temperature uncertainty is similar to the mean difference of  $\sim 2 \text{ }^\circ\text{C}$  between the non-corrected and pH-corrected Mg/Ca temperatures (Fig. S2), while the TA uncertainty is similar to the mean standard deviation of  $\pm 130 \mu\text{mol/kg}$  between different TA models and clearly encompassing the standard deviation of  $\pm 50 \mu\text{mol/kg}$  for each model across the MMCT (Sosdian et al., 2018). The applied salinity uncertainty encompasses the potential salinity change across the MMCT. We did not apply uncertainties for  $\text{Mg/Ca}_{\text{sw}}$  and  $\delta^{11}\text{B}_{\text{sw}}$ , since both are systematic errors and would just shift the  $p\text{CO}_2$  record in either direction.

## 3. Results

### 3.1 Carbon dioxide record

Our boron-based record indicates a steady  $p\text{CO}_2$  increase from  $\sim 390$  to  $520 \pm 60 \mu\text{atm}$  between 14.25 and 14.08 Ma and a subsequent decrease to  $\sim 360 \mu\text{atm}$  until 13.87 Ma, culminating after the onset of the major ice-sheet expansion (Fig. 4E). It should be noted that this  $\sim 160 \mu\text{atm}$  drop in  $p\text{CO}_2$  occurred at a time of northward shifting Southern Ocean fronts, which is only related to a step-like drop in sea-surface temperature (SST) and salinity around 14 Ma at this site (Fig. 4C) (Kuhnert et al., 2009). At 13.82 Ma, when SST had already reached a lower stable level and after the inception of the  $\sim 1 \text{ }^\circ\text{C}$  rise in benthic  $\delta^{18}\text{O}$  (Fig. 4A),  $p\text{CO}_2$  increased rapidly by  $\sim 120 \mu\text{atm}$  and displayed high-amplitude variations of more than  $50 \mu\text{atm}$  until 13.57 Ma. This transient rise in  $p\text{CO}_2$  ended with a decrease to  $\sim 360 \mu\text{atm}$  and much reduced variability after 13.53 Ma



(Fig. 4E). Sea-surface pH values within the entire record range from 7.87 to  $8.07 \pm 0.05$  (Figs. 4D and S1), while deep-sea  
160 pH varies between 7.68 and  $7.82 \pm 0.07$  over the same interval. Interestingly, the pH offset between the surface and deep  
ocean is nearly identical to the modern gradient and does not change substantially within our Miocene record (Fig. S1).

It is possible that the overall absolute  $p\text{CO}_2$  values and the glacial rise after 13.82 Ma are overestimated by up to  $\sim 80 \mu\text{atm}$   
and  $\sim 30 \mu\text{atm}$ , respectively, since pH might affect planktonic foraminiferal Mg/Ca and hence the sea-surface temperature  
estimations (Fig. S2) (Gray and Evans, 2019). Since differences in relative  $p\text{CO}_2$  changes are not substantial and absolute  
165 values are within uncertainties between both reconstructions, confirming the overall robustness of our  $p\text{CO}_2$  record, we  
report the uncorrected data here.

The  $p\text{CO}_2$  variations within the studied time interval show a remarkable agreement with variations in  $\delta^{13}\text{C}$  that correspond to  
CM5b and CM6 (Fig. 5). Accordingly, the maxima at  $\sim 14.1$  and 13.7 Ma as well as the minima at  $\sim 13.9$  and 13.5 Ma  
indicate that atmospheric  $\text{CO}_2$  levels were paced by the 400 k.y. eccentricity cycle, as also demonstrated by evolutive  
170 harmonic and power spectral analyses (Fig. 6).

### 3.2 Sensitivity tests

Given that we propose an eccentricity-modulated change in TA input to the ocean, which might have affected our  $p\text{CO}_2$   
reconstruction, we carried out several sensitivity tests. The first tests demonstrate that either constant salinity, a  $\sim 1$  unit  
change in salinity, or TA co-varying with salinity using a modern TA-S relationship, has no discernible effect on  $p\text{CO}_2$   
175 estimates (Fig. 7A). As ODP Site 1092 was apparently influenced by a northward migration of the Southern Ocean fronts,  
we tested the potential impact on calculated  $p\text{CO}_2$  by varying TA with temperature. Even at an unrealistically high total  
change of  $400 \mu\text{mol/kg}$ , calculated relative  $p\text{CO}_2$  changes are very similar after the EAIS expansion at  $\sim 13.9$  Ma (Fig. 7B).  
Given the modern sea-surface TA gradient across the polar frontal system of  $\sim 100 \mu\text{mol/kg}$  (higher in the south), we  
conclude that the increasing influence of southern-sourced surface waters on  $p\text{CO}_2$  estimates at our study site is  
180 comparatively small. When in our simulations TA was varied with pH or eccentricity (both on short and long periodicity) by  
 $\pm 100 \mu\text{mol/kg}$ ,  $p\text{CO}_2$  reconstructions are almost identical among each other (Figs. 7C-7H). On the other hand, at TA  
variations of  $\pm 400 \mu\text{mol/kg}$ , the  $p\text{CO}_2$  estimates may differ substantially between the different scenarios, but the general  
shape of the record remains more or less the same. Given that total variations in TA of  $800 \mu\text{mol/kg}$  are very unlikely, we  
suggest that periodic changes in monsoon-driven TA do not affect our  $p\text{CO}_2$  estimates significantly.

## 185 4. Discussion

### 4.1 Comparison with other $p\text{CO}_2$ records

Our  $p\text{CO}_2$  data broadly agree with reconstructed long-term trends based on planktonic foraminiferal  $\delta^{11}\text{B}$  (Foster et al., 2012)  
and paleosol  $\delta^{13}\text{C}$  (Ji et al., 2018), despite a few differing values before CM5b and during CM6 (Fig. 3). The boron isotope



190 data from the Mediterranean Sea by Badger et al. (2013) also show decreasing  $p\text{CO}_2$  values after 13.8 Ma, but no rebound  
after 13.75 Ma and no rise after 13.85 Ma, although these discrepancies may be due to the different sampling resolutions. In  
contrast, the  $p\text{CO}_2$  reconstructions from high-resolution alkenone  $\delta^{13}\text{C}$  data from Badger et al. (2013) are similar to the  
estimates of Super et al. (2018), which are considerably lower than the boron-based reconstructions and do not exhibit  
significant changes CM6. This might be related to the insensitivity of the alkenone proxy at low to moderate  $p\text{CO}_2$  levels  
(Badger et al., 2019). It is worth noting that our  $p\text{CO}_2$  record reveals a striking match with the box model output presented in  
195 Ma et al. (2011), suggesting a linear response of weathering-controlled nutrient input to changes in orbital parameters with  
attendant variations in ocean carbon reservoir during the middle Miocene.

#### 4.2 The role of eccentricity in the carbon cycle

The  $p\text{CO}_2$  increase preceding and following the EAIS expansion closely tracks the global  $\delta^{13}\text{C}$  history of CM5b and CM6  
(Fig. 4), emphasizing a fundamental link between changes in the ocean carbon cycle and atmospheric carbon dioxide. The  
200 CM peaks correspond to minima in the 400 k.y. eccentricity cycle, suggesting that  $\delta^{13}\text{C}$  variations were related to changes in  
monsoon and weathering intensity (Holbourn et al., 2007; Ma et al., 2011). Monsoon intensity is paced by solar radiation  
variations caused by precessional cycles but their amplitude variations are modified by eccentricity. More precisely, higher  
eccentricity results in larger precession amplitudes and hence in larger wet/dry variations in the tropics (e.g., Wang, 2009),  
stronger physical and chemical weathering, and ultimately in an increased input of particulate organic material, dissolved  
205 inorganic carbon, alkalinity and nutrients to the oceans (e.g., Clift and Plumb, 2008; Ma et al., 2011; Wan et al., 2009). The  
potential influence of alkalinity variations on our  $p\text{CO}_2$  record is shown in Fig. 7 and evaluated in section 3.2.

The increased nutrient supply enhanced the primary production and organic carbon burial, which in turn lowered  $p\text{CO}_2$ . At  
the same time, the burial of shallow and total calcium carbonate ( $\text{CaCO}_3$ ) increased, while  $\text{CaCO}_3$  burial in the deep ocean  
decreased (Holbourn et al., 2007). However, as the net burial of  $\text{CaCO}_3$  (enriched in  $^{13}\text{C}$ ) in relation to  $\text{C}_{\text{org}}$  (depleted in  $^{13}\text{C}$ )  
210 increased at high eccentricity,  $\delta^{13}\text{C}_{\text{DIC}}$  values decreased, which is in line with the global isotope signature (Holbourn et al.,  
2007; Ma et al., 2011).

The increase in carbonate burial in shallow seas should have removed alkalinity from seawater, and thus lowered pH and  
released  $\text{CO}_2$  to the atmosphere (e.g., Zeebe and Wolf-Gladrow, 2001) during 100 k.y. eccentricity maxima, but the  
resolution of our record is too low to detect this. On longer timescales (400 k.y. cycles), however, increased alkalinity input  
215 from rivers, dissolution of deep-sea carbonates, and the enhanced burial of  $\text{C}_{\text{org}}$  in tropical regions during eccentricity  
maxima might have contributed to the long-term decrease in  $p\text{CO}_2$ . This agrees well with the box model output from Ma et  
al. (2011), suggesting that during the long-eccentricity maxima  $p\text{CO}_2$  decreased when the monsoon was most intense and the  
organic carbon burial and river fluxes were high. Conversely, weathering and nutrient supply to the ocean in low latitudes  
decreased when eccentricity was low, resulting in a net decrease in the  $\text{CaCO}_3$ -to- $\text{C}_{\text{org}}$  burial ratio and hence in  $\text{CO}_2$  release  
220 and higher  $\delta^{13}\text{C}$ .



### 4.3 Enhanced glacial deep-water ventilation

The MMCT bears similarities to the Eocene-Oligocene transition (EOT) ~34 Ma ago, when Antarctic ice-expansion was also accompanied by a large positive  $\delta^{13}\text{C}$  excursion, in terms of both magnitude and duration (Coxall et al., 2005). The  $\delta^{13}\text{C}$  excursion following Antarctic glaciation was accompanied by a transient  $p\text{CO}_2$  rise of more than 300  $\mu\text{atm}$  (Pearson et al., 2009; Heurreux and Rickaby, 2015), which bears similarity to the  $p\text{CO}_2$  rise during the mid-Miocene EAIS expansion, although the amplitude was much larger. A modeling study suggested that a sea-level-fall-induced shelf-basin carbonate burial fractionation and a temporal enhancement of deep-water formation (by 150 %) in the Southern Ocean were sufficient to produce both the positive  $\delta^{13}\text{C}$  excursion and a transient  $p\text{CO}_2$  rise by ~80  $\mu\text{atm}$  in the EOT (McKay et al., 2016). Similarly, ocean circulation may have intensified during the Miocene EAIS expansion, as the latitudinal temperature gradient increased and atmospheric circulation strengthened. This is supported by benthic foraminifers, Mn/Ca and XRF data from Southeast Pacific sites, which indicate improved deep-water ventilation and carbonate preservation after 13.9 Ma, particularly during colder climate phases (Holbourn et al., 2013). Improved deep-water ventilation may also have led to enhanced advection of silica-rich waters toward low latitudes, culminating in an increased upwelling and diatom productivity between 14.04 and 13.96 Ma and between 13.84 and 13.76 Ma in the equatorial East Pacific, as indicated by massive opal accumulation found at IODP Site U1338 (Holbourn et al., 2014). We speculate that increased upwelling may have resulted in  $\text{CO}_2$  outgassing, if the supply exceeded consumption by primary productivity.

### 5. Conclusions

We conclude that  $p\text{CO}_2$  variations across the MMCT were paced by 400 k.y. eccentricity cycles, where  $p\text{CO}_2$  decreased at high eccentricity and rose when eccentricity was low (Fig. 4). At high eccentricity, the global monsoon and hence weathering intensity increased (e.g., Wang, 2009), causing an increased input of dissolved inorganic carbon, alkalinity and nutrients to the oceans. The resulting increase in the  $\text{CaCO}_3$ -to- $\text{C}_{\text{org}}$  burial ratio lowered  $p\text{CO}_2$  and decreased  $\delta^{13}\text{C}_{\text{DIC}}$ . The  $p\text{CO}_2$  decrease between 14.08 and 13.87 Ma might have facilitated the initiation of EAIS expansion starting at ~13.9 Ma. Conversely, the  $\text{CO}_2$  rise after the onset of EAIS expansion, possibly caused by decreased weathering fluxes at low eccentricity and hence net decrease in the  $\text{CaCO}_3$ -to- $\text{C}_{\text{org}}$  burial ratio, could have acted as a negative feedback on the progressing Antarctic glaciation. In this way, the radiative forcing due to the temporary  $p\text{CO}_2$  rise may have helped to stabilize the climate system on its way to the late Cenozoic “icehouse” world. Our results also highlight the need for more high-resolution  $p\text{CO}_2$  records across the middle Miocene climate transition.

### Data availability

The boron isotope data collected for this study are available from Table S1, the tie points used for the revised age model are listed in Table S2, and the modified R code of ‘MgCaRB’ (Gray and Evans, 2019) is available from S1 in the supplement.



### Author contribution

MR and JB conceived the study (conceptualization). MR carried out measurements, analyzed the data, and performed data statistics (data curation, formal analysis, investigation). JB provided access to analytical instruments at AWI (resources). MR raised funding for the project (funding acquisition). MR produced the figures for the manuscript (visualization), and wrote the first draft of the manuscript (writing – original draft). All authors interpreted, edited, and reviewed the manuscript (writing – review & editing).

### Competing interests

The authors declare that they have no conflict of interest.

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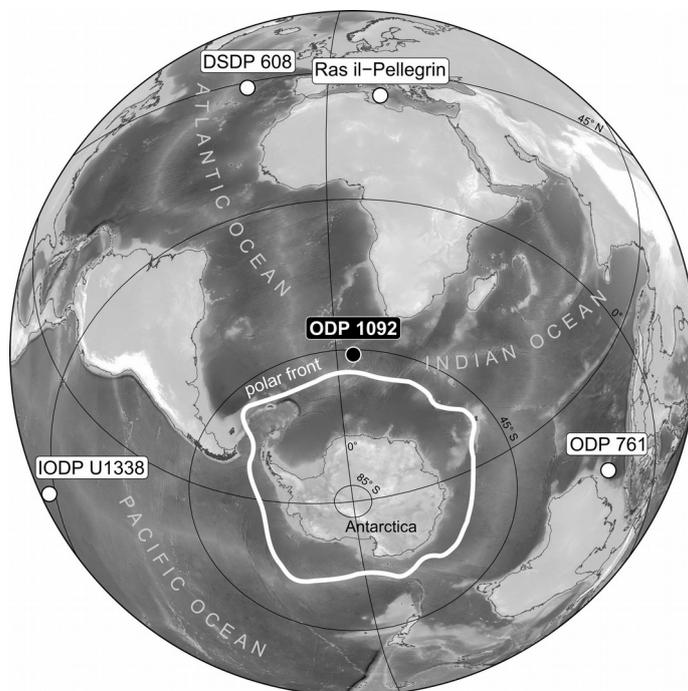
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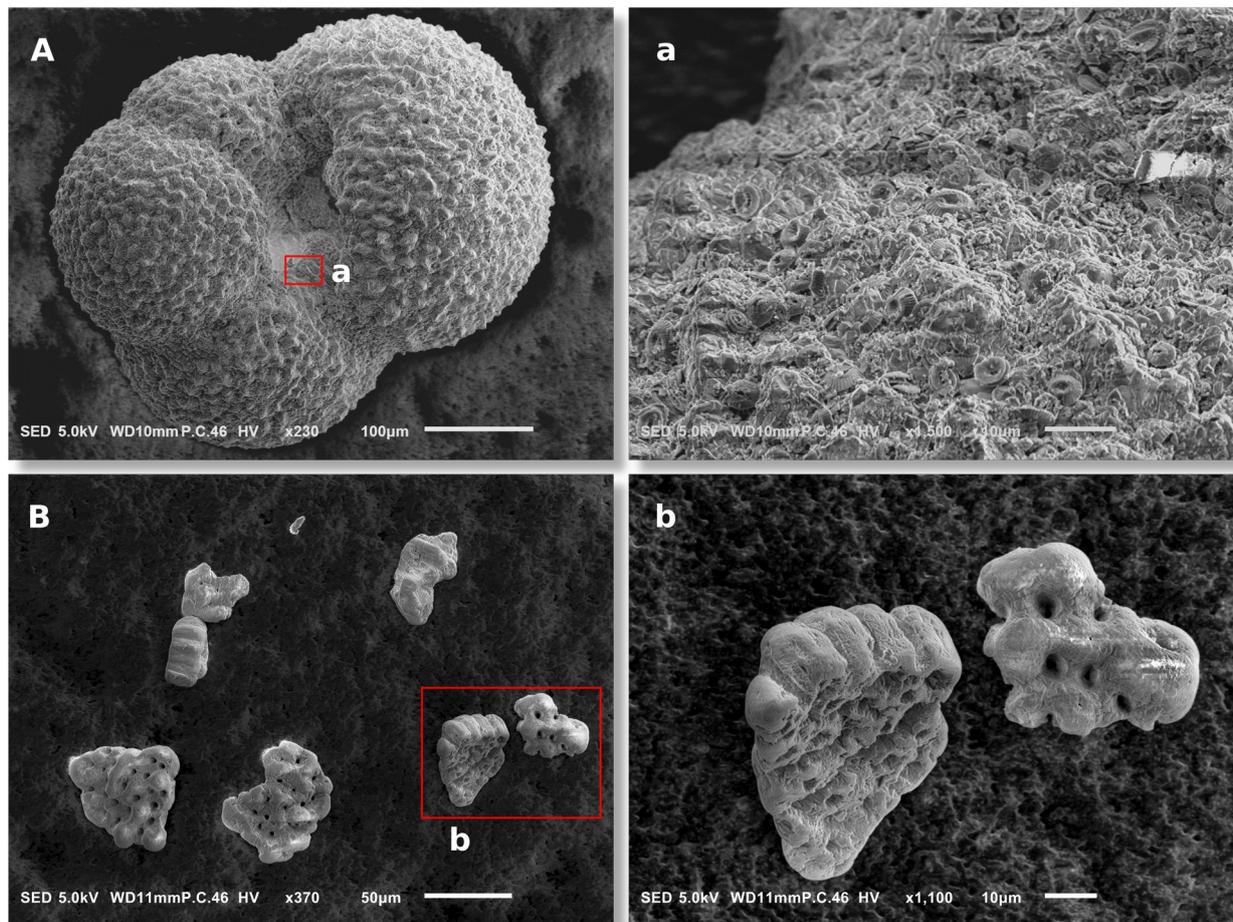
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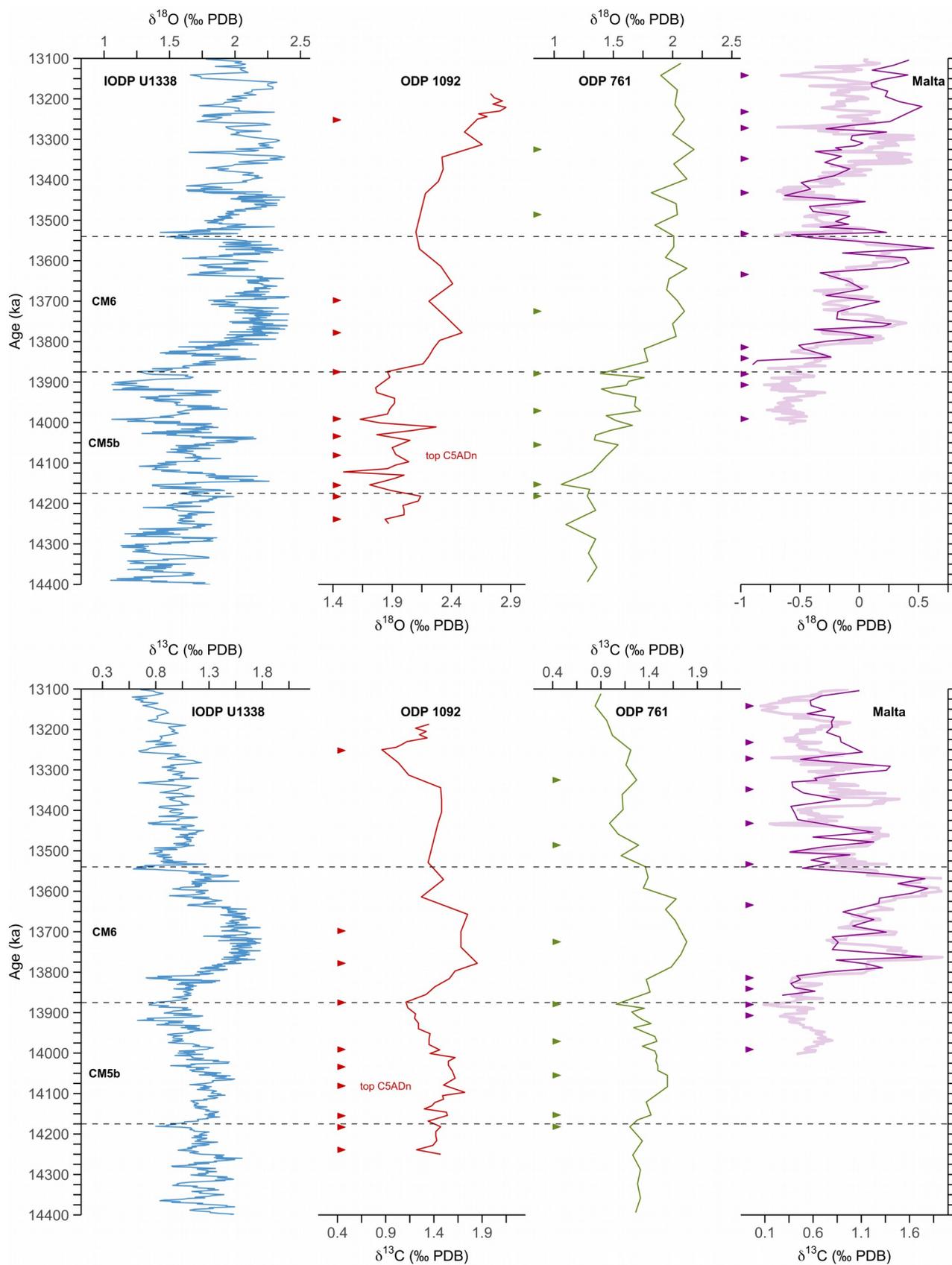
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425 **Figure 1: Azimuthal view of the location of ODP Site 1092 (water depth 1973 m) on the Meteor Rise in the South Atlantic Ocean, north of the modern polar front. Also shown are locations of DSDP Site 608, ODP Site 761, IODP Site U1338 and Ras-il-Pellegrin on Malta, for which  $p\text{CO}_2$  records are displayed in Fig. 5.**

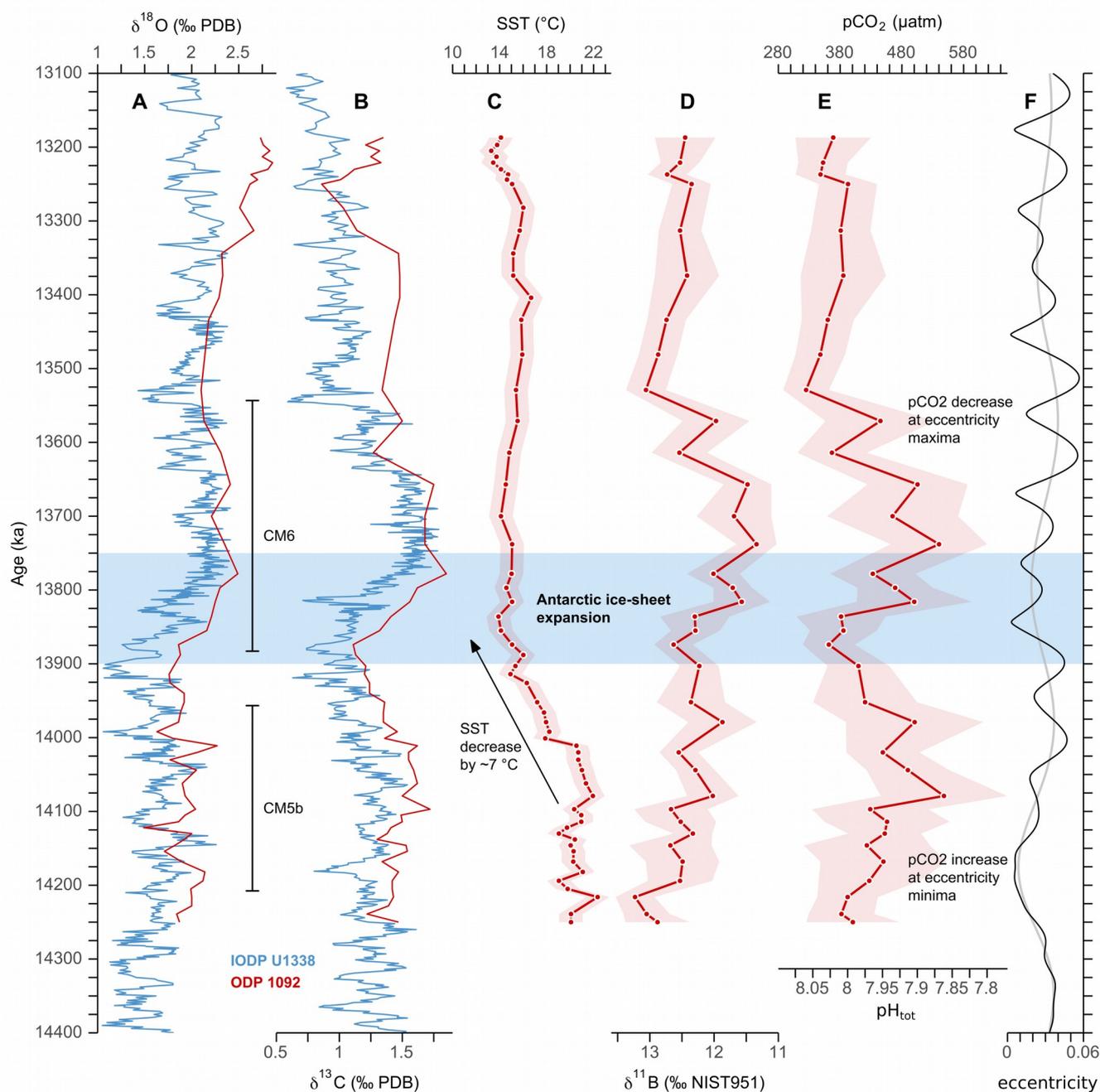


**Figure 2.** SEM images of collected *G. bulloides* for  $\delta^{11}\text{B}$  analysis (representative example from 177-1092B-18-4, 69-71 cm). (A) Whole shell; (a) close-up image reveal intact coccoliths covering the shell surface indicating lack of post-depositional dissolution. (B) Shell fragments after chemical cleaning; (b) close-up image shows absence of non-shell material.





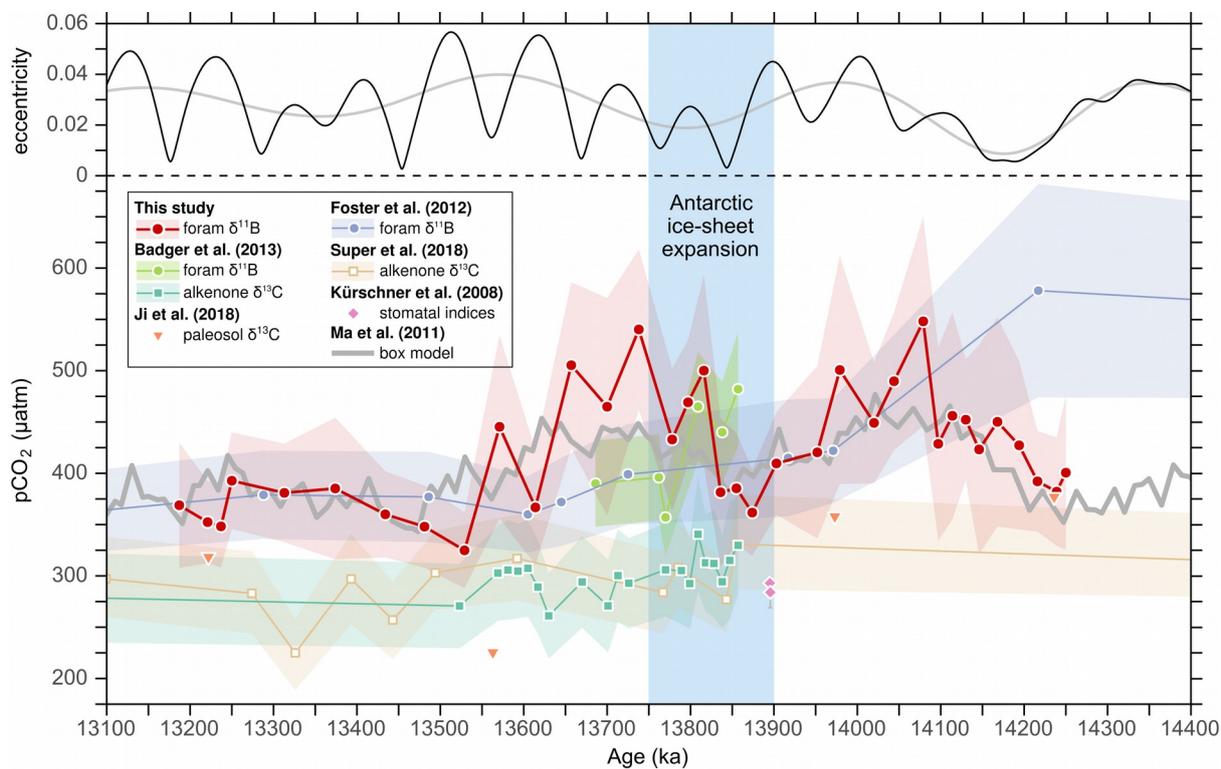
- 430 **Figure 3. Age models of ODP Site 1092, ODP Site 761 and Blue Clay Formation (Ras-il-Pellegrin, Malta), which were re-tuned with respect to the reference curve of IODP Site U1338 (Holbourn et al., 2014), based on their  $\delta^{18}\text{O}$  (upper panel) and  $\delta^{13}\text{C}$  (lower panel) records. Thick line of the Ras-il-Pellegrin record is from Abels et al. (2005), and thin line from Badger et al. (2015). Arrows mark tie points from this study, which are listed in Table S2. Dashed lines delimit CM events 5b and 6.**



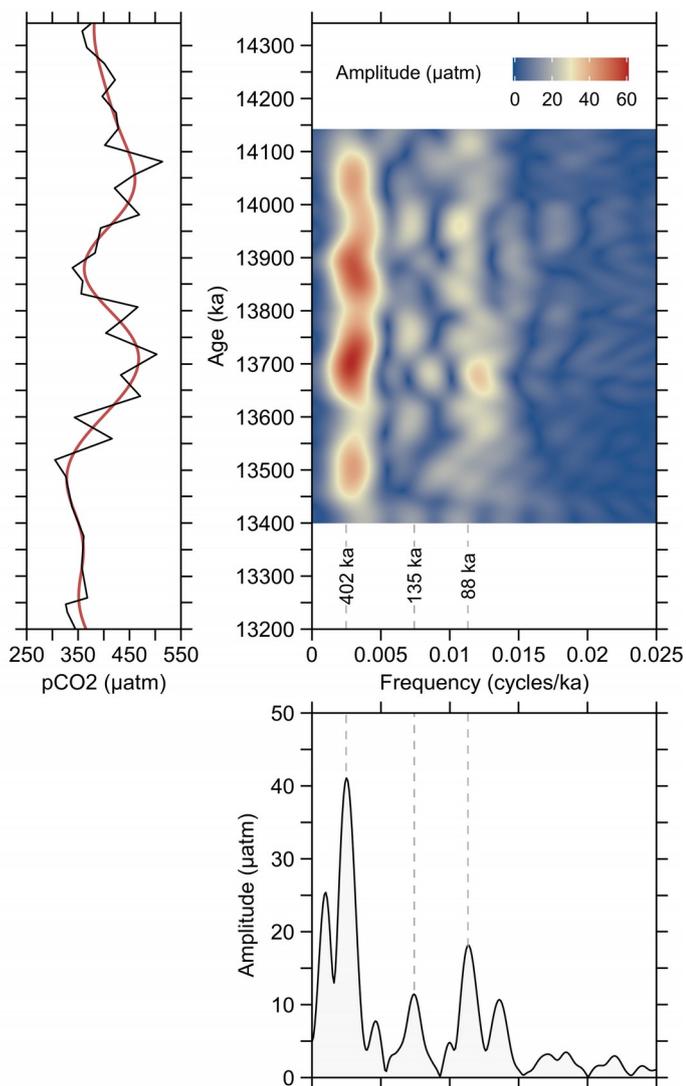
435 **Figure 4.** Proxy records of the middle Miocene climate transition. (A) Benthic oxygen isotope curve from ODP Site 1092 (in red)  
 440 used in this study and from eastern Pacific IODP Site U1338 (in blue) used as a reference (Holbourn et al., 2014). (B) The same as  
 in (A) but for carbon isotopes. The carbon maximum events CM5b and CM6 are indicated. (C) Site 1092 sea-surface temperatures  
 reconstructed from *G. bulloides* Mg/Ca ratios (Kuhnert et al., 2009), with  $\pm 1$  °C uncertainty band. (D) Raw boron isotope data of  
*G. bulloides*. Shaded area indicates  $2\sigma$  uncertainties of measurement. (E) Estimated  $p\text{CO}_2$  of surface waters (derived from) using  
 pH derived from (D), a total alkalinity (TA) of  $2000 \pm 100$   $\mu\text{mol/kg}$ , and a  $\delta^{11}\text{B}_{\text{sw}}$  value of 37.80 ‰. Secondary axis represents pH,



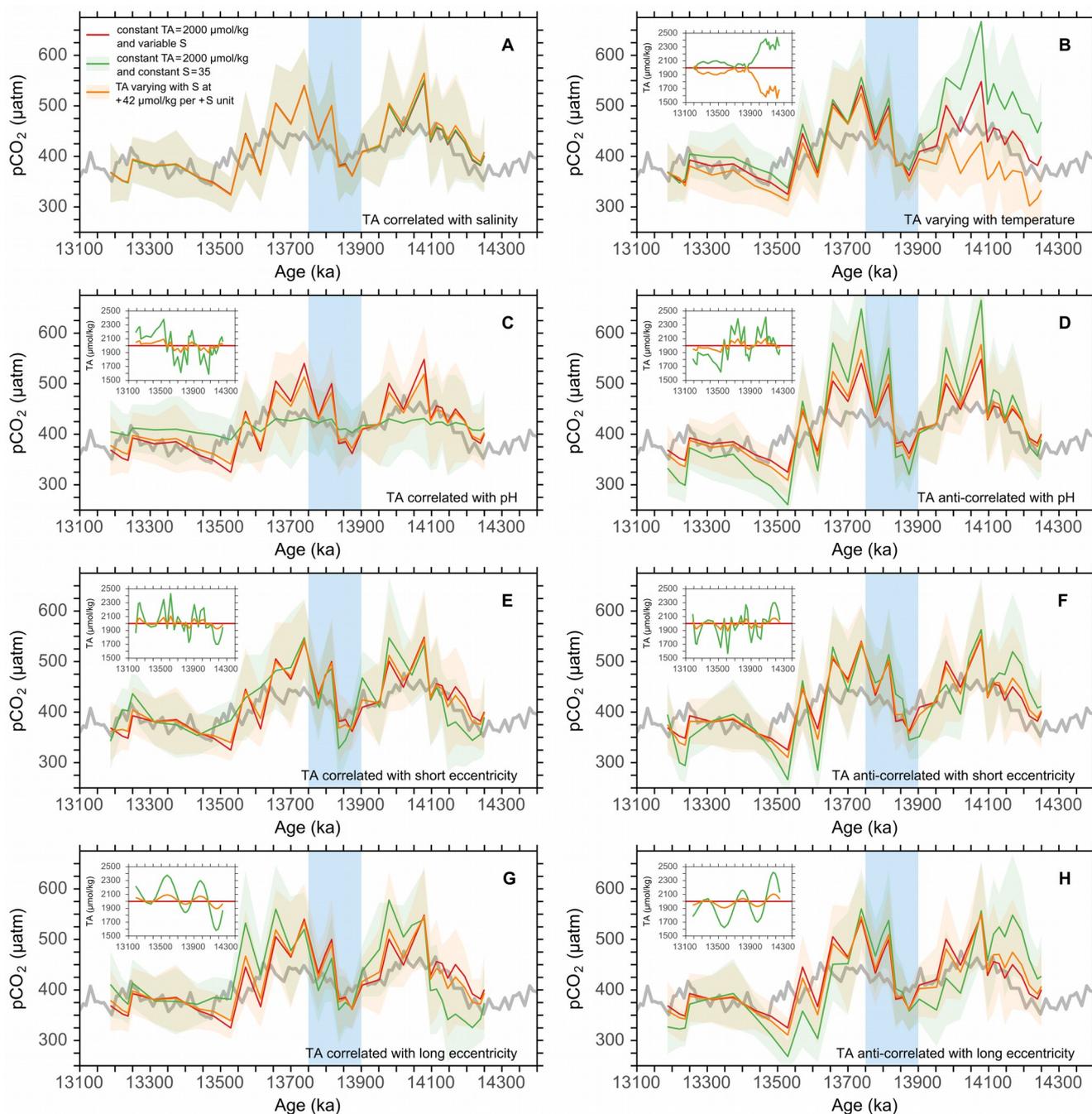
and shaded area delimits fully propagated  $p\text{CO}_2$  uncertainties (). (F) Eccentricity of the Earth's orbit from Laskar et al. (2004) and lowpass-filtered 400 k.y. signal (grey line).



445 **Figure 5. Eccentricity of the Earth's orbit from Laskar et al. (2004) (upper panel) and comparison of  $p\text{CO}_2$  estimates from this study with literature data (lower panel). Note that the boron-based  $p\text{CO}_2$  data from Foster et al. (2012) and Badger et al. (2013) were re-calculated using a TA of  $2000 \mu\text{mol/kg}$  and a  $\delta^{11}\text{B}_{\text{sw}}$  value of  $37.80 \text{ ‰}$  for consistency with our data. In addition, the age models from these studies were also revised for direct comparison with our record (Fig. 3). All other records are based on their original data and age models.**



450 **Figure 6. Evolutive harmonic analysis (EHA) and power spectral analysis of  $p\text{CO}_2$  record from ODP Site 1092, using the Thomson multitaper method (MTM). The red line in the left panel is the lowpass-filtered 400 k.y. signal using cosine-tapered window. Analyses were performed using the R package ‘Astrochron’ (Myers, 2014).**



**Figure 7.** Sensitivity tests of pH-derived  $p\text{CO}_2$  estimations. (A) Effect of varying salinity (derived from  $\delta^{18}\text{O}$  changes) and TA (at modern correlation with S) on estimated  $p\text{CO}_2$ . (B) Effect of TA on  $p\text{CO}_2$  estimates, when TA is correlated (green) or anti-correlated (orange) with sea-surface temperature at a total range of  $400 \mu\text{mol/kg}$ . (C-H) Effect of TA on  $p\text{CO}_2$  estimates, when TA is (anti-)correlated with pH or eccentricity at maximum ranges of  $\pm 100$  (orange) and  $\pm 400 \mu\text{mol/kg}$  (green). The grey line in all panels represents the box model output from Ma et al. (2011). Shaded areas represent propagated  $2\sigma$  uncertainties. Note that the red line is the record shown in Figs. 4E and 5.

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