



1 **Early Jurassic climate and atmospheric CO<sub>2</sub> concentration in the**  
2 **Sichuan paleobasin, Southwest China**

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10 **Abstract:**

11 Unlike marine archives, terrestrial sediments show more complicated and dynamic environment and climate. This work  
12 presents new results of climate-sensitive sediment observation and carbon-oxygen isotope analyses of lacustrine and  
13 pedogenic carbonates for the Early Jurassic Ziliujing Formation from the grand Sichuan paleobasin (GSB), Southwest China.  
14 Lithofacies analysis indicates calcisols were widespread in riverine and flood plain facies. Climate-sensitive sediments and  
15 carbon-oxygen isotopes with palynofloral assemblages manifest that an overall (semi-) arid climate dominated the GSB; and  
16 that it became drier through time, accompanied by occasional evaporites in the Toarcian. This climate pattern is similar with  
17 the arid climate in Colorado Plateau, western America, but distinct from the relatively warm-humid climate in North China  
18 and northern Gondwanaland in Southern Hemisphere. The estimated Early Jurassic atmospheric CO<sub>2</sub> concentration (*p*CO<sub>2</sub>)  
19 from carbon isotopes of pedogenic carbonates shows a range of 980-2610 ppmV (~ 3.5-10 times the pre-industrial value)  
20 with a mean 1660 ppmV. Three phases of *p*CO<sub>2</sub> (the Sinemurian 1500-2000 ppmV, the Pliensbachian 1000-1500 ppmV, and  
21 the early Toarcian 1094-2610 ppmV) and two events of rapid falling *p*CO<sub>2</sub> by ~1000-1300 ppmV are observed, illustrating  
22 the *p*CO<sub>2</sub> perturbation in the Early Jurassic. The pattern and associated rapid falling events of *p*CO<sub>2</sub> are compatible with the  
23 excursions of stable isotopes and seawater temperature from the coeval marine sediments, consistent with a positive  
24 feedback of climate to *p*CO<sub>2</sub> through the Early Jurassic.

25



## 26 **1. Introduction**

27 The Jurassic was a typical greenhouse period with global paleotemperatures possibly 5-10 °C higher than present based on  
28 modelling results (e.g., Chandler et al., 1992; Rees et al., 1999; Sellwood and Valdes, 2008). The Early Jurassic epoch was  
29 an interval of extreme environmental change, during which climate events were recorded by highly enhanced organic carbon  
30 burial, multiple isotopic anomalies, clay mineral composition, oceanic anoxic regime, global sea-level change, vegetation  
31 turnover, and mass extinction (e.g. Price, 1999; Hesselbo et al., 2000; Dera et al., 2009; Jenkyns, 2010; Korte and Hesselbo,  
32 2011; Riding et al., 2013; Arabas et al., 2017) as well as  $p\text{CO}_2$  perturbation (e.g., Beerling and Royer, 2002; McElwain et al.,  
33 2005; Berner, 2006; Retallack, 2001a, 2009; Steinthorsdottir and Vajda, 2015). Recently, examples of rapid transitions from  
34 cold, or even glacial, climates to super greenhouse events are documented in some intervals of the Jurassic (e.g., van de  
35 Schootbrugge et al., 2005; Suan et al., 2010; Gómez et al., 2016; Arabas et al., 2017). The study of these deep-time climate  
36 events may serve as analogues for present-day and future environmental transitions (Hesselbo et al., 2013).

37 Though the climate events in the Early Jurassic epoch are largely based on the marine sedimentary and geochemical records,  
38 data from the terrestrial realm provide important details of environmental change (e.g., Hesselbo et al., 2000; Suan et al.,  
39 2010; Jenkyns, 2010; Philippe et al., 2017). Terrestrial proxies, such as flora (e.g., Riding et al., 2013; Deng et al., 2017;  
40 Philippe et al., 2017; Ros-Franch et al., 2019), vegetation (Pole, 2009), and geochemistry (e.g., Riding et al., 2013; Kenny,  
41 2015; Tramoy et al., 2016) have begun to provide important information of the Mesozoic-Cenozoic climate and  
42 environmental changes on continents. Particularly, a negative feedback in the global exogenic carbon cycle, from carbon  
43 isotopes of lacustrine organic matter, has been hypothesized to account for the Toarcian oceanic anoxic event (Xu et al.,  
44 2017), opening a new avenue to link marine and terrestrial climate in the Early Jurassic. However, few relatively continuous  
45 terrestrial climate records and coupled environmental changes have been documented for the Early Jurassic.

46 There are several large Triassic-Jurassic terrestrial basins in West China, providing a great opportunity to recover the coeval  
47 terrestrial environment and climate. The Sichuan Basin has a relatively complete and continuous sedimentary sequence of  
48 the Upper Triassic-Paleogene (e.g., SBGM, 1991, 1997; Wang et al., 2010). Correspondingly, the sedimentary archive could  
49 play a key role in the global Early Jurassic correlation of the marine and terrestrial climate. In this work, we present new  
50 results of field investigation, lithofacies and paleosol recognition, carbon-oxygen isotope analyses of both lacustrine and  
51 pedogenic carbonates, and  $p\text{CO}_2$  estimates in the Early Jurassic terrestrial Sichuan paleobasin, and we discuss the relationship  
52 of terrestrial climatic change to that of the marine counterpart.

## 53 **2. Geological setting and stratigraphy**

54 Southwest China, including the provinces of Yunnan, Sichuan, Chongqing, and Guizhou, had been the main part of the  
55 upper Yangtze Plate since the Proterozoic, possibly since the Neoproterozoic. With the amalgamation of the Cathaysia and



56 Yangtze plates, it became the western South China plate or cratonic basin in the Neoproterozoic (Sinian), and marine  
57 Neoproterozoic through the Middle Triassic strata is well preserved. With the Indosinian orogeny, new foreland basins were  
58 formed since the Late Triassic (e.g., He and Liao, 1985; Li et al., 2003), which record the Mesozoic and Cenozoic evolution  
59 of tectonics, environment, and climate in Southwest China.

60 The Mesozoic Sichuan paleobasin was confined by the Longmenshan thrust belt in the northwest, the Micangshan-Dabashan  
61 arcuate thrust belt in the northeast (Fig. 1), and the northern hilly topography boundary of the Yunnan-Guizhou plateau in  
62 the south and east. It was mainly developed during the Late Triassic-Jurassic and includes provincial areas of eastern  
63 Sichuan, entire Chongqing, northern Guizhou, western Hubei, and northwestern Hunan. This Triassic-Jurassic Sichuan  
64 foreland basin was much larger than the present Sichuan Basin in the eastern Sichuan province. We estimate the size of  
65 Sichuan paleobasin is roughly 480,000 km<sup>2</sup> by the lithofacies paleogeography (Fig. 1. Ma et al., 2009; Li and He, 2014), and  
66 suggest naming this the grand Sichuan paleobasin (GSB).

67 The Mesozoic terrestrial sediments accumulated up to ~9 km (Guo et al., 1996) in the GSB; and the Jurassic part can be as  
68 much as 3-3.5 km thick (SBGM, 1991). Two types of Lower Jurassic deposits have been distinguished (Table 1): the  
69 Baitianba Formation (Fm) in the north and the Ziliujing Fm (e.g., SBGM, 1991; Wang et al., 2010) in the south (over 90% of  
70 the basin).

71 The Baitianba Fm was deposited unconformably on the Upper Triassic Xujiahe Fm and is overlain conformably by the  
72 Middle Jurassic Xintiangou Fm / Qianfuyan Fm (Table 1). It is mainly composed of grayish shales and sandstones with coal  
73 layers and massive conglomerates. Abundant plant fossils, sporopollens, conchostracans, bivalves, and gastropods indicate it  
74 is the Early Jurassic (SBGM, 1991, 1997). Sporopollen assemblages of the Hettangian-Sinemurian age were found in the  
75 lower part (Zhang and Meng, 1987) and the Pliensbachian-Toarcian assemblages were reported in the upper part (Wang et  
76 al., 2010).

77 The Ziliujing Fm is composed of variegated and reddish mudrocks (some shales) intercalated with sandstones, siltstones, and  
78 bioclastic limestones as well as marlstones, conformably or unconformably overlying the Xujiahe Fm or Luqiao Fm and  
79 conformably underlying the Xintiangou Fm (SBGM, 1997. Table 1). It has been dated as the Early Jurassic by fossil  
80 assemblages of dinosaurs, bivalves, ostracods, conchostracans, and plants, within which the dinosaur fauna can be well  
81 correlated to the Lufeng Fauna in central Yunnan (e.g., Dong, 1984; SBGM, 1991, 1997; Peng, 2009). This formation is  
82 subdivided as five parts in an ascending order: the Qijiang, Zhenzhuchong, Dongyuemiao, Ma'anshan, and Da'anzhai  
83 members (SBGM, 1997. Table 1). Of them, the former two are sometimes combined the Zhenzhuchong Fm (e.g., SBGM,  
84 1991; Wang et al., 2010).

85 The Da'anzhai Member is characterized by dark gray to black shales and bioclastic limestones with a southward increase of  
86 reddish mudrocks (SBGM, 1991, 1997; Wang et al., 2010), which has been mainly regarded the sediment in a grand Sichuan  
87 paleolake (e.g., Ma et al., 2009; Li and He, 2014). Ostracod assemblage indicate it is the late Early Jurassic (e.g., Wei, 1982;



88 Wang et al., 2010). A Re–Os isochron age  $180.3 \pm 3.2$  Ma combined with the organic carbon isotope excursion indicates that  
89 the lower Da’anzhai Member corresponds to the Toarcian Oceanic Anoxic event (T-OAE. Xu et al., 2017), consistent with  
90 the assigned Toarcian age.

91 The Ma’anshan Member is comprised of violet-red mudrocks with a few greyish, greenish thin-bedded fine sandstones and  
92 siltstones, in which floral fossils are common (Li and Meng, 2003). The Dongyuemiao Member consists of greenish and  
93 reddish mudrocks and siltstones with greyish bioclastic limestone and marlstone, of which abundant bivalve and plant fossils  
94 were reported from eastern Sichuan and Chongqing (Li and Meng, 2003; Meng et al., 2003; Wang et al., 2010). The  
95 Zhenzhuchong Member is dominated by violet red mudrocks/shales intercalated with thinned sandstones and / or siltstones  
96 and numerous plant fossils of the Early Jurassic affinity (e.g., Duan and Chen, 1982; Ye et al., 1986). Taken together, fossil  
97 associations suggest that the three members were deposited in the middle-late Early Jurassic. The age limitation of the  
98 overlying Da’anzhai Member and the correlation to the Lufeng dinosaur fauna places these members in the Sinemurian –  
99 Pliensbachian, and the Zhengzhuchong and Dongyuemiao Fms are temporally suggested the Sinemurian age (Table 1).

100 The Qijiang Member is composed of quartz arenite interbedded/intercalated with dark shales. Coal seams can be often seen  
101 in the middle of the Qijiang Member. This member mainly occurs in the central part of the GSB. It is likely the earliest  
102 Jurassic, possibly Hettangian age, but plant fossils cannot precisely indicate the age (Wang et al., 2010).

103

### 104 **3. Materials and methods**

105 Observation and description for sedimentary facies analysis were executed on six outcrop sections (Locations A1 to A4, A6  
106 and A7, Fig. 1). Published description for other sections (Locations A5, A8, and A9, Fig. 1) is integrated into our  
107 observations. Details of microscopic examination of sedimentary rocks and sedimentary facies analysis which are the  
108 underpinning of climate analysis are attached as the supplementary data Note S1. Below are chiefly introduced materials  
109 and methods of climate-sensitive sediment observation, carbon-oxygen isotope analyses, and estimate of  $p\text{CO}_2$ .

#### 110 **3.1. Observation of climate-sensitive sediments**

111 Climate-sensitive sediments are mainly the dolomites, gupsum, and paleosols, which are used to analyze the climate in this  
112 work.

113 Dolomites and gupsum are relatively easy to recognize in both field and under microscope. We distinguish dolomites from  
114 limestones following Tucker (2003) and Flügel (2004). As Flügel (2004) stated, field distinctions of limestone and dolomite  
115 can also be made although detailed differentiation of carbonate rocks is best performed in the laboratory. The basic method  
116 that we use to examine dolomites is: limestone will fizz strongly and dolomite will show little or no reaction when add dilute  
117 10 % hydrochloric acid on carbonate (Flügel, 2004); and limestone will stain pink to mauve but dolomite will be unstained



118 (e.g., Tucker, 2003; Flügel, 2004) when Alizarin-red S in weak HCl is added on fresh outcrop or coverslip-free thin section.  
119 Gypsum is recognizable by properties of low Mohs hardness (2) and transparency to translucence. In field, we also recognize  
120 gypsum by particular structures such as chichen-wire cage, gypsum pseudomorph, and cluster of (0.5-1 cm) pore.  
121 There are multiple classifications of paleosols (e.g., Wright, 1992; Mack et al., 1993; Retallack, 2001b; Imbellone, 2011),  
122 mostly based on the US Soil Taxonomy. We recognized paleosols in the field based on color, structures, horizonation, root  
123 traces, and textures, and followed the general classification paleosols by Mack et al. (1993) and Retallack (2001b). In this  
124 paper, paleosols were described following the procedures of the Soil Survey Manual and classified according to Soil Survey  
125 Staff (1998).  
126 Within the measured and observed sections, paleosol profiles were mainly identified from the two main locations/sections  
127 A4 and A6 (Figs. S1 and S2, and Table S1). Horizonation, BK horizon thickness, boundary condition, structures, trace  
128 fossils, rootlets, carbonate accumulations (calcretes), etc. were observed and described (Table S1). Paleosols interpreted in  
129 other cited sections (Fig. 1) rely on the description of lithology, structure, and calcrete in the original references.  
130 Based upon a modification of the Retallack (1998) categorization of paleosol maturity, the relative paleosol development  
131 (maturity) was assigned.

### 132 **3.2. Analyses of carbon-oxygen isotopes**

133 Ten lacustrine carbonate samples were collected to analyse carbon-oxygen isotopes from the Da'anzhai Member of the  
134 Ziliujing Fm at the Shaping section, Ya'an (Location A4, Fig. S1 and Table S3). Twenty-six pedogenic carbonate samples  
135 were selected to measure carbon-oxygen isotopes from thirty-one paleosol horizons of the Ziliujing Fm at the same section  
136 (Fig. S1 and Table S4). Two or three microdrilling powder samples (columns 7 and 8 in Table S4) were taken from the same  
137 individual calcrete for stable isotope analysis, and then a mean value for each calcrete sample was calculated (columns 9 and  
138 10 in Table S4).  
139 Before drilling, diagenetic fabrics of samples were studied under a microscope. Each sample was cut and prepared as thin  
140 sections for diagenetic diagnosis, and cathodoluminescence (CL) images (Fig. 2) were used to examine if the calcites were  
141 evenly precipitated. Only the areas that were a uniform (often orange) luminescence (Fig. 2) were microsampled for isotope  
142 analyses. Cracks, veins, and vug spaces in concretion samples were found to be filled by multidirectional growth of spar  
143 crystals. These crack spar fills were avoided when microsampling as they were interpreted as recrystallization and  
144 replacement diagenetic phases. Microsampling of lacustrine carbonate samples focused on avoiding spar and sampling only  
145 micrites. Powder samples were obtained by dentist drilling machine (aiguille diameter  $\phi=1-2$  mm).  
146 Isotopic analyses were conducted on 0.3 ~ 0.5 mg powder samples. Powder samples were dried in an oven at 60°C for 10  
147 hours before being moved to the instrument. Carbon dioxide for isotopic analysis was released using orthophosphoric acid at  
148 70°C and analysed on-line in a DELTA-Plus xp (CF-IRMS) mass spectrometer at the State Key Laboratory for Mineral



149 Deposits Research, Nanjing University. The precision of the measurements was regularly checked with a Chinese national  
150 carbonate standard (GBW04405) and the international standard (NBS19) and the standard deviation of  $\delta^{13}\text{C}$  was  $\pm 0.1\%$  over  
151 the period of analysis. Calibration to the international PeeDee Belemnite (PDB) scale was performed using NBS19 and  
152 NBS18 standards.

### 153 3.3. Calculation of atmospheric $\text{CO}_2$ concentration

154 There are multiple methods to reconstruct the concentration of atmospheric carbon dioxide, i.e.,  $p\text{CO}_2$ , in deep time. It can be  
155 determined from the  $\delta^{13}\text{C}$  value of pedogenic carbonate using a paleobarometer model (Cerling, 1999), and the  
156 reconstruction of  $p\text{CO}_2$  has been applied in the climate study of the Mesozoic time (e.g., Ekart et al., 1999; Nordt et al., 2003;  
157 Myers et al., 2012; Li et al., 2014; Zhang et al., 2018).

158 The Cerling (1999) equation was used to calculate the  $p\text{CO}_2$  using the carbon isotope of pedogenic carbonates as below:

$$159 \quad C_a = S_{(z)}(\delta^{13}\text{C}_s - 1.0044\delta^{13}\text{C}_r - 4.4)/(\delta^{13}\text{C}_a - \delta^{13}\text{C}_s)$$

160 where  $C_a$  is  $p\text{CO}_2$ ;  $\delta^{13}\text{C}_s$ ,  $\delta^{13}\text{C}_r$ ,  $\delta^{13}\text{C}_a$  are the isotopic compositions (‰) of soil  $\text{CO}_2$ , soil-respired  $\text{CO}_2$ , and atmospheric  $\text{CO}_2$ ,  
161 respectively; and  $S_{(z)}$  is the  $\text{CO}_2$  contributed by soil respiration (ppmV). Details of parameter usage and selection for the  
162  $p\text{CO}_2$  calculation are in the supplementary data Note S2.

## 163 4. Results

164 Based on the investigation of cross-sections (locations A1-A4, and A6-A7, Fig. 1), we have classified six sedimentary facies  
165 units in the Ziliujing Fm. They are alluvial fan, fluvial river, flood plain, lake, lake-delta, and swamp facies. Details of  
166 description and interpretation are in the supplementary data Note S1. Below are results of climate-sensitive sediment  
167 observation, stable isotope analyses, and  $p\text{CO}_2$  calculation.

### 168 4.1. Climate-sensitive sediments

169 Field observation combined with published calcrete materials shows that paleosols widely occur in the Lower Jurassic  
170 Ziliujing Fm of the GSB (Figs. 1, 3, and 4). A total of 32 paleosols were observed and described at the Shaping section,  
171 Ya'an, and five paleosols were found at the Tanba section, Hechuan (Table S1).

172 Most of paleosols are reddish (GSA Munsell Rock-Color 5R 2/2, 5R 3/4, 5R 4/2) and brownish (10R 3/4, 10R 5/4) (Fig. 3  
173 and Table S1). Peds of paleosols are mainly angular and subangular, and a few are prismatic and platy. Slickensides are  
174 common. Mottles (Fig. 3a), rootlets /rhizoliths (Fig. 3c), and burrows sometimes occur with strong leaching structures (Fig.  
175 3a). Occasionally mudcracks are associated with the aforementioned structures (Fig. 3d).

176 All paleosols are calcic with more or less calcretes in Bk horizons. The thickness of Bk horizons is mainly 30-50 cm and  
177 50-100 cm, and partly 100-170 cm (Table S1). Calcretes are generally ginger-like, ellipsoid, subglobular, and irregular in



178 shape (Fig. 3b and 3e) and nodules are 1-3 cm even up to 8-15 cm (paleosols J1z-10-01 and J1z-12-01) in size (Fig. 3e).  
179 Calcrete is often less than 0.5-1% in an individual paleosol horizon, but a few can be up to 3-5% (paleosol J1z-3-01. Fig. 3b)  
180 even 10% (paleosols J1z-5-02 and 18HC-10).

181 Based on the description of the paleosols described above, all are defined as relatively mature calcisols (Mack et al., 1993), a  
182 kind of aridisol (Soil Survey Staff, 1998; Retallack, 2001b). The original lithofacies were chiefly argillaceous and silty  
183 (split-fan) overbank, interchannel, and flood plain deposits (Figs. S1 and S2). Some formed landshare of the paleo-lakeshore.  
184 Dolomites were found at seven loactions in central and southern GSB (Figs. 1, 4, and Table S2), which are to some degree  
185 an indicative of arid/evapoatre climate. The dolomites chiefly occur in the Toracian Da'anzhai Member and a few in the  
186 Sinemurian-Plienbachian Dongyuemiao and Ma'anshan members (Fig. 4). They are often massive whitish (Figs. 3f and S3e)  
187 and micritic (Figs. S4b and S4d), likely indicating a syndepositional origin.

188 Gypsum is only recorded in two loactions (Figs. 1, 4, and Table S2). One is located at Zigong (Location A5. SBG, 1980a).  
189 The other lies at Hechuan (Location A6), which can be identified by chicken-wire cage structure and is associated with  
190 micriditic dolomites (Fig. 3f).

#### 191 4.2. Carbon-oxygen isotope values

192  $\delta^{13}\text{C}$  values of lacustrine carbonate samples range from -2.02‰ to -4.07‰ and  $\delta^{18}\text{O}$  values range from -9.91‰ to -12.28‰  
193 (Table S3 and Fig. 5). A distinct increasing trend of both carbon and oxygen isotope ratios can be detected from lower to  
194 upper horizons across a 40 m stratal interval of the lower Da'anzhai Member (Fig. 6).

195 Pedogenic carbonate samples have  $\delta^{13}\text{C}$  values from -3.52‰ to -8.10‰, which fall in the typical stable isotope range for  
196 pedogenic carbonates. Values of -6‰ to -8.0‰ characterize the sequence of the Zhenzhuchong Member and main  
197 Ma'anshan Member, with an abrupt increase to -5.5‰ to -3.5‰ at the top of Ma'anshan Member (samples J1z-16-01 and  
198 J1z-18-01. Fig. 6).  $\delta^{18}\text{O}$  values are mainly from -11.3‰ to -13.10‰ in the interval of the Zhenzhuchong Member and  
199 Ma'anshan Member.  $\delta^{18}\text{O}$  follows  $\delta^{13}\text{C}$  with a sudden increase to -5.5‰ at the top of the Ma'anshan Member (Fig. 6). Large  
200 and frequent variations of both carbon and oxygen isotope ratios can be observed in the lower Da'anzhai Member (Fig. 6 and  
201 Table S4).

#### 202 4.3. CO<sub>2</sub> concentrations

203  $p\text{CO}_2$  values of the Early Jurassic paleosols vary when different parameters are selected for calculation.

204 If  $S_{(z)}=2500$  ppmV and  $\delta^{13}\text{C}_a=-6.5$ ‰ (constant preindustrial atmosphere),  $p\text{CO}_2$  values range between ~1140 ppmV and  
205 ~3460 ppmV with a mean of 1870 ppmV (column 15 in Table S4); and when  $S_{(z)}=2500$  ppmV and  $\delta^{13}\text{C}_a=(\delta^{13}\text{C}_r+18.67)/1.1$ ,  
206  $p\text{CO}_2$  values change between ~1230 ppmV and ~3260 ppmV with a mean of 2070 ppmV (column 16 in Table S4).



207 If  $S_{(z)}=2000$  ppmV and  $\delta^{13}C_s=-8.98+\delta^{13}C_c$  are used,  $pCO_2$  values are  $\sim 940$ - $2530$  ppmV with the mean  $1600$  ppmV (column  
208 17 in Table S4); and if  $S_{(z)}=2000$  ppmV and  $\delta^{13}C_s = (\delta^{13}C_c+1000) / ((11.98-0.12*25) / 1000+1) -1000$  are adopted,  $pCO_2$   
209 values become  $\sim 980$  ppmV to  $\sim 2610$  ppmV with the mean  $1660$  ppmV (column 18 in Table S4). Details of the different  
210 parameters and  $pCO_2$  results can be seen in Table S4.

211 Results further show that  $pCO_2$  values at  $S_{(z)}=2500$  ppmV are larger than at  $S_{(z)}=2000$  ppmV, and the discrepancy of the  
212 highest  $pCO_2$  is  $\sim 1000$  ( $3640$ - $2610$ ) ppmV, but that of the lowest value is  $\sim 300$  ( $1230$ - $930$ ) ppmV and that of the mean  
213 value is  $\sim 370$  ( $2070$ - $1600$ ) ppmV. In addition, when  $S_{(z)}$  is the same, the  $pCO_2$  values are close even if other parameters are  
214 different (comp. between columns 15 and 16, 17 and 18 in Table S4, and Fig. 6).

215 However, the trend of  $pCO_2$  over the epoch is quite similar using different values of  $S_{(z)}$  and other parameters (Fig. 6). We  
216 chose  $S_{(z)}=2000$  ppmV (column 18 in Table S4) to illustrate the nature of the Early Jurassic  $pCO_2$  estimated from calcisols  
217 in the GSB.

218  $pCO_2$  values mostly range between  $980$  ppmV and  $2610$  ppmV, and the mean  $1660$  ppmV is  $\sim 6$  times the  $275$  ppmV. Most of  
219 the  $pCO_2$  values are  $1000$ - $2000$  ppmV with the mean  $1580$  ppmV in the Zhenzhuchong and Ma'anshan members,  $\sim 3.5$ - $7.5$   
220 times the pre-industrial  $pCO_2$  value.

## 221 5. Discussion

222 Results show that the depositional environment and paleoclimate in the Early Jurassic were distinctly different from those in  
223 the Late Triassic in Southwest China. As a whole, the climate became dry and  $pCO_2$  varied in three phases through the Early  
224 Jurassic.

225 Sedimentary facies analysis indicates two lithofacies cycles were developed and calcisols were largely spread in the Lower  
226 Jurassic Ziliujing Fm in the GSB, Southwest China. The first cycle is the riverine and flood plain lithofacies of the Qijiang  
227 Membe and Zhenzhuchong Member succeeded by the lacustrine facies of the Dongyuemiao Member, and the second is the  
228 flood plain and river facies with swamp lithofacies of the Ma'anshan Member followed by the lacustrine facies of the  
229 Da'anzhai Member. We interpret the two packages to reflect two major lake stages (for details refer to supplementary data  
230 Note S1).

231 With the change of depositional environments, paleoclimate and  $pCO_2$  changed, as reflected by climate-sensitive facies and  
232 stable isotope analyses.

### 233 5.1. Paleoclimate variation

234 During the Late Triassic, Southwest China was warm-hot and humid in a tropical and subtropical zone, as demonstrated by  
235 palynoflora, coals, and perennial riverine and lacustrine lithofacies in the Xujiahe Fm (e.g., Huang, 1995; Xu et al., 2015; Li  
236 et al., 2016; Yang et al., 2019), and a distinct transfer of climate took place in the Early Jurassic manifested by



237 climate-sensitive sediments and stable isotopes of the Ziliujing Fm in GSB.

### 238 **1) The Hettangian Age**

239 By the Hettangian time (the Qijiang Member), a warm-humid climate followed the Late Triassic in the GSB. The limited  
240 sedimentary records are mainly mature quartz sandstones and siltstones with coals and siderite concretions (Fig. 7),  
241 indicating a stable tectonic setting and warm-humid climate in the eastern and southern GSB. In the northern margin, the  
242 climate was similar, because multiple coal layers occur in the lower Baitianba Fm and the hosted alluvial fan system (Figs. 7  
243 and S6) is characterized by moderate-good roundness and sorting of gravels with sandy fillings (Fig. S3a. e.g., Liu et al.,  
244 2016; Qian et al., 2016; and this work). In the Newark basin of eastern America, climate-sensitive sediments such as nodules  
245 of carbonate and gypsum (pseudomorph) as well as mudcrack in mudflat facies indicate an arid climate in the fifth cycle of  
246 the Hettangian (>199 Ma. Kent et al., 2017) Passaic Fm (Smoot and Olsen, 1994). More widespread, the eolian Navajo  
247 Sandstone, dated as Hettangian-Sinemurian (200-195 Ma. Parrish et al., 2019), indicate an arid climate in Colorado Plateau.  
248 Obviously, the arid climate in western America was different from that in the GSB at the time.

### 249 **2) The Sinemurian Age**

250 The early Sinemurian Zhenzhuchong Member is of riverine and flood plain facies with lacustrine facies, in which the  
251 lithology is dominated by violet-red mudrocks with few thin greyish, greenish fine sandstones and siltstones. The reddish  
252 color of rocks may indicate a change of climate even if there is little difference in the appearance of reddish color sediment  
253 in the western and central basin. That is, the reddish rocks developed through the whole member in the western margin  
254 (Location A4. Fig. 6), but it started in the middle member in the central basin (Location A6. Fig. S2).

255 With the red color mudrocks, a kind of climate-sensitive pedogenesis is recognized from the flood plain facies. Multiple  
256 calcisol horizons were observed at the Shaping section, Ya'an (Location A4. Figs. 1, 4, and 7), within which a strong  
257 leaching calcisol horizon can be found (Fig. S3c). Calcisols were also interpreted with the description of abundant calcretes  
258 at sections of Dafang (Location A8. Zhang et al., 2016), Tianzhu (Location A9. Li and Chen, 2010), and Weiyuan (Location  
259 A10. SBG, 1980a), respectively. Calcisols indicate that a (semi-) arid climate at least began to replace the previous humid  
260 climate in western and southern margins of the basin (Figs.1, 4, and 7 and Table S2). This climate change, indicated from  
261 paleosols, is consistent with the climatic signal from floral fossils (e.g., Huang, 2001; Wang et al., 2010), suggesting a  
262 decrease in humidity and an increase in temperature across the interval, compared to that in the Hettangian Qijiang Member  
263 and Late Triassic Xujiahe Fm. However, the climate was not distinct in humidity and temperature in the northern GSB  
264 without proxies of sediments and flora even though alluvial fan and lacustrine delta facies are common in the middle of the  
265 Baitianba Fm (Fig. S6. e.g., Qian et al., 2016).

266 No climate-sensitive sediments are documented in the late Sinemurian Dongyuemiao Member from previous studies, in  
267 which it is characterized by lacustrine limestones. However, similar to the Zhenzhuchong Member, reddish mudrocks and  
268 newly-interpreted calcisols indicate drier climate (Figs. 4 and 7 and Table S2). Calcretes within reddish mudrocks were



269 reported at Dafang (Location A8. Zhang et al., 2016), Tianzhu (Location A9. Li and Chen, 2010), and Yunyang (Location  
270 A15. Meng et al., 2005) in the central and southern GSB (Figs 4 and 7). The probable calcisols indicate the (semi-) arid  
271 climate may have interrupted the long-term warm and (semi-) humid climate interpreted based on flora in the Early Jurassic  
272 (e.g., Meng et al., 1997; Li and Meng, 2003). This interpretation of (semi-) arid punctuation is also supported by the floral  
273 changes (Meng et al., 1997; Li and Meng, 2003) and geochemistry of mudrocks (Guo et al., 2017).

274 Few records of coeval terrestrial climate are known from other continents or regions in the literature. A report occurs in  
275 eastern England, where the co-occurrence of the acmes of thermophilic pollens *Classopollis classoides* and *Liasidium*  
276 *variabile* indicates the warm-humid climate in the late Sinemurian (Riding et al., 2013). The Whitmore Point Member of the  
277 Moenave Fm deposited in dryland lakes (Tanner and Lucas, 2008) and the upper part of eolian Navajo Sandstone (Blakey et  
278 al., 1988) could represent the coevally similar climate in Colorado Plateau although relatively cool (~9 to 18 °C) continental  
279 climate was inferred from oxygen and hydrogen isotope composition of chert precipitated in interdune, freshwater lakes in  
280 the Navajo Sandstone (Kenny, 2015).

### 281 **3) The Pliensbachian Age**

282 The Ma'anshan Member is likely the Pliensbachian, though age information is lacking. In comparison to the previous  
283 member, the Ma'anshan Member displays a prominent change in the distribution extent of red color sediment and  
284 pedogenesis. The reddish sediments extend through the entire member (comp. Figs. 6 and S2) and can be observed across the  
285 GSB. Calcisols are documented in both the western and central GSB (Figs. 6, 7, S1, and S2). Ten calcisol horizons were  
286 recognized at the Shaping section, Ya'an (Figs. 6 and S1), and strong leaching structure and mudcrack are seen in Bed H8 of  
287 the Tanba section, Hechuan (Fig. 3a and 3d). Other more abundant calcretes within terrestrial red mudrocks were widely  
288 described at the Gaoxian section of Dafang (Location A8. Zhang et al., 2016), the Hulukou section of Weiyuan (Location  
289 A10. SBG, 1980a), the Geyaoguan section of Gulin (Location A13. SBG, 1976), the Taiyuan section of Fengdu (Location  
290 A16. SBG, 1975), and the Yaxi section of Zunyi (Location A17. Yang, 2015). We interpret these calcretes were formed by  
291 pedogenesis. The widespread distribution of redbeds and calcisols (Figs. 4 and 7) implies a (semi-) arid climate had been  
292 intensified in the GSB during the Pliensbachian age.

293 Plant and sporopollen fossils also indicate a change to drier climate in the Pliensbachian. With comparison to the  
294 Zhenzhuchong and Dongyuemiao Members, much fewer plant fossils were reported in this member (e.g., Meng and Chen,  
295 1997; Wang et al, 2010), likely implying a rapid climatic change. The Pliensbachian-Toarcian sporopollen assemblages are  
296 dominated by classical sporomorph genera (*Dictyophyllidites*- *Cyathidites*- *Classopollis*), in which the dry-type gymnosperm  
297 spore *Classopollis* is more prevalent than in the Hettangian-Sinemurian (Zhang and Meng, 1987), also indicating the  
298 intensification of arid climate.

299 Similar dry temperate / subtropical climate was verified by the upland coniferous forest in Qaidam Basin, Northwest China  
300 (Wang et al., 2005). However, at the same time, it was the probably coolest / most humid climate in South Kazakhstan,



301 central Asia (Tramoy et al., 2016). These discrepancies might corroborate the unstable and heterogeneous climate in the  
302 mid-latitude area of North Hemisphere in the Pliensbachian. In other hand, interdune playa mudstones of the Kayenta Fm  
303 (e.g., Bromley, 1992) indicate similar arid climate in Colorado Plateau, western America.

#### 304 **4) The Toarcian Age**

305 In spite the fact that the Da'anzhai Member was deposited in the largest lacustrine transgression period (details see Appedix  
306 1), climate-sensitive facies and stable isotopic geochemistry indicate that aridification could be the most intensive in the late  
307 Early Jurassic in the GSB.

308 Redbeds with abundant calcretes are well developed in the Da'anzhai Member (Figs. 4 and 7). Four calcisols horizons in the  
309 Shaping section, Ya'an (Figs. 6 and S1) and the leaching structure (Bed H13) in the Tanba section, Hechuan (Fig. 3c), were  
310 observed. Calcretes at sections of Dafang (Location A8. Zhang et al., 2016), Nanxi (Location A11. SBG 1980a), Gongxian  
311 (Location A12. Liang et al., 2006), and Yunyang (Location A15. Meng et al., 2005), also record the occurrence of calcisols.  
312 The widespread occurrence of calcisols reveals that subaerial exposure of sediments often interrupted the lake environment,  
313 illustrating dynamic lake level fluctuations and aridification.

314 In addition to redbeds and calcisols, gypsum and micritic dolomites (SBG, 1980a; Mo and Yu, 1987; Peng, 2009; and this  
315 work) were reported in the western and southern GSB (Figs. 1, 4, and 7). It is plausible that gypsum and dolomites indicate  
316 arid climate type. Thoguh dolomites have been in dispute for the significance of climate due to great deal of diagenetic  
317 dolomites in deep time, a high abundance of dolomite was interpreted to form during greenhouse periods, characterized by  
318 warm climates, probably reflecting favourable conditions for evaporite deposition and dolomitization via hypersaline reflux  
319 (Warren, 2000). Dolomites are also thought the results of interplay of climate and sea-level / base-level change (e.g.,  
320 Newport et al., 2017) or are interacted with climatic regimes (Vandeginste et al., 2012). So, the widespread micritic  
321 dolomites in the Da'anzhai Fm, associated with gypsum (Fig. 3f), can serve the determination of climate and suggest an arid  
322 climate. Gypsum occasionally occurs at Maliuping of Hechuan (Fig. 3f) and Wujiaba of Zigong (SBG, 1980a), implying a  
323 short-term evaporitic climate in the central GSB.

324 Carbon and oxygen isotopes of lacustrine carbonates further support the arid climate in the Toarcian age in the GSB. In  
325 general, -9.0‰ to -3.0‰ of  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  values represent a range of normal river-lake and groundwater carbonates  
326 (Alonso-Zarza, 2003). Therefore, the mainly positive  $\delta^{13}\text{C}$  values 0 to 2 ‰ (Fig. 5) from Hechuan (Wang et al., 2006)  
327 indicate the lakes were brackish or even saline, and the relatively heavy negative  $\delta^{13}\text{C}$  values -1‰ to -3.5 ‰ (Fig. 5) from  
328 Zigong (Wang et al. 2006) and Ya'an (this work) denote low depletions of  $^{13}\text{C}$  during calcite/aragonite precipitation and  
329 mean that the lakes were possibly brackish. In other hand, lightly negative  $\delta^{18}\text{O}$  values -5‰ to -12 ‰ (Fig. 5) dominate the  
330 lacustrine carbonates, suggesting that closed lacustrine, palustrine and pond systems formed in a regional arid-semiarid  
331 climate with significant evaporation relative to precipitation.

332 The covariance of  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  is also a criterion to distinguish closed or open lakes (e.g., Talbot, 1990; Li and Ku, 1997).



333 That is, high  $\delta^{18}\text{O}$  and low  $\delta^{13}\text{C}$  values will be produced in relatively low temperature lake water when the covariation is  
334 negative; high values of both  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  will be produced in high-temperature meteoric water and indicate increased  
335 evaporation when the covariation is positive. Pronounced positive covariances ( $R^2=0.44-0.96$ ) between carbon and oxygen  
336 isotopes (Fig. 5) indicate a typical arid-semiarid pattern of lakes in the central and western GSB.

337 The Da'anzhai Member has the same palynofloral assemblage with the Ma'anshan Member, in which the dry-type  
338 gymnosperm spore *Classopollis* is much more than in previous strata (e.g., Zhang and Meng, 1987; Wang et al., 2010),  
339 supporting the aridification indicated by climate-sensitive sediments and stable isotope ratios of lacustrine carbonates  
340 aforementioned.

341 Coastal Cheirolepidiacean (gymnosperm) forests indicate (temperate to subtropical) warm-humid climate punctuated by  
342 locally dry and/or arid events in the Toarcian in Qaidam Basin, Northwest China (Wang et al., 2005). In Inner Mongolia of  
343 North China, the thermophilous plants such as the dipteridaceous fern *Hausmannia*, bennettitales *Ptilophyllum*, display  
344 similar warm and humid climate interrupted by hot and even arid conditions in a short intervals of the Toarcian (Deng et al.,  
345 2017). The warm-wet climate was also indicated by assemblages of sporomorph and vegetation in the late Early Jurassic in  
346 Jurong of Jiangsu, Lower Yangtze area (Huang et al., 2000). In South Kazakhstan, central Asia, paleoflora and  $\delta^2\text{H}$  values  
347 suggest slightly less humid and warmer conditions starting from the early Toarcian (Tramoy et al., 2016).

348 In summary, climate-sensitive sediments, carbon-oxygen isotope values and covariance, and palynoflora, together indicate  
349 that an overall (semi-) arid climate dominated the GSB during the Early Jurassic, possibly accompanied by occasional  
350 evaporitic climate. Relatively abundant calcisols suggest that the GSB was in a subtropical arid zone based on the  
351 paleoclimatic zonation model of paleosols (Mack and James, 1994) during the middle-late Early Jurassic. Through the Early  
352 Jurassic, this (semi-) arid climate in GSB is thoroughly comparable with the simultaneous arid climate recorded in dryland  
353 lacustrine and eolian facies in Colorado Plateau, western America (e.g., Blakey et al., 1988; Bromley, 1992; Tanner and  
354 Lucas, 2008; Parrish et al., 2017), but distinct from the relatively warm-humid climate indicated by sedimentological and  
355 floral characteristics in North China (e.g., Wang et al., 2005, Deng et al., 2017) and in the northern margin of Gondwanaland,  
356 relatively high latitudes of Southern Hemisphere (Jansson et al., 2008; Pole, 2009).

## 357 5.2. $p\text{CO}_2$ perturbations and events

358 Pedogenic carbonates found in various continental settings precipitate in direct contact with soil atmosphere and bed rock  
359 and hold a meaningful signature of past climate (Alonso-Zarza and Tanner, 2006). Ancient  $p\text{CO}_2$  has been estimated by  
360 carbon isotope of pedogenic carbonates using the empirical (Cerling, 1991) and optimized (Ekart et al., 1999) formula. This  
361 paleosol method has roughly been applying in the Phanerozoic  $p\text{CO}_2$  estimate (e.g., Cerling, 1991; Ekart et al., 1999;  
362 Retallack, 2001a) with >10 Myr interval of age resolution. There are few high age resolution  $p\text{CO}_2$  reconstructions for the  
363 Early Jurassic. The focus on  $p\text{CO}_2$  estimates has on the event horizons, such as the transition of the Triassic to Jurassic (e.g.,



364 Tanner et al., 2001; Schaller et al., 2011). Herein we present the  $p\text{CO}_2$  estimate in  $\sim 1.0$  Myr age resolution of the Early  
365 Jurassic (Figs. 6 and 8c).

### 366 5.2.1. $p\text{CO}_2$ perturbation

367 Results of model estimates show that the  $p\text{CO}_2$  values range 980-2610 ppmV with a mean 1660 ppmV in the Early Jurassic  
368 except for the Hettangian and can be divided into three intervals (Figs. 6 and 8c): phase I, stable 1500-2000 (mean  $\sim 1700$ )  
369 ppmV in the Zhenzhuchong and Dongyuemiao Members (Sinemurian age); phase II, main 1000-1500 (mean  $\sim 1300$ ) ppmV  
370 in the Ma'anshan Members (Pliensbachian age); and phase III, great fluctuation 1094-2610 (mean  $\sim 1980$ ) ppmV in the lower  
371 Da'anzhai Member (early Toarcian age).

372 The evolution and level of  $p\text{CO}_2$  estimated by carbon isotope ratios of the pedogenic carbonates from the GSB are roughly  
373 comparable with the global composite based on the plant stomata method (data of the composite curve see Table S6), but  
374 difficult to compare to the global composite  $p\text{CO}_2$  based on paleosols (Fig. 8c. Suchocki et al., 1988; Cerling, 1991; Ekart et  
375 al., 1999), which may be attributed to the shortage of global data and large age uncertainties (Table S5 and S6).

376 On the other hand, the swing of the  $p\text{CO}_2$  has a similar pattern to coeval seawater temperature through the Early Jurassic  
377 although there are some discrepancies in pace (comp. Fig. 8b and 8c). That is, the relatively high  $p\text{CO}_2$  1500-2000 ppmV  
378 approximately corresponds to the relatively high seawater mean temperature  $-2^\circ\text{C}$  to  $+2^\circ\text{C}$  in the Sinemurian age (Fig. 8b),  
379 low  $p\text{CO}_2$  1000-1500 ppmV to low seawater mean temperature  $-5^\circ\text{C}$  to  $-2^\circ\text{C}$  in the Pliensbachian age (Fig. 8b), and quick  
380 rising  $p\text{CO}_2$  of 1200 ppmV to  $\sim 2500$  ppmV to the rapidly increased seawater temperature of  $-4^\circ\text{C}$  to  $+4^\circ\text{C}$  in the late  
381 Pliensbachian-early Toarcian (Fig. 8b). The  $p\text{CO}_2$  record and the carbon isotope of the marine carbonates are also somewhat  
382 comparable (comp. Fig. 8a and 8c).

383 It has been disputed whether climate change was resulted from  $p\text{CO}_2$  perturbation in the Phanerozoic (e.g., Veizer et al.,  
384 2000; Crowley and Berner, 2001; Royer, 2006). For instance, the  $p\text{CO}_2$  has a strong control over global temperatures for  
385 much of the Phanerozoic (e.g., Crowley and Berner, 2001; Royer, 2006; Price et al., 2013; Mills et al., 2019), but a  
386 decoupling of  $\text{CO}_2$  and temperature has also been suggested (e.g., Veizer et al., 2000; Dera et al., 2011; Schaller et al., 2011;  
387 Kashiwagi, 2016). The pattern of the Early Jurassic  $p\text{CO}_2$  reconstructed from the carbon isotope of pedogenic carbonates in  
388 GSB, Southwest China, supports the coupling relationship of  $\text{CO}_2$ -temperature at a  $\sim 1.0$  Myr resolution scale. Even so,  
389 models of the coupling and decoupling of  $\text{CO}_2$ -temperature have to consider: 1), age order of  $\text{CO}_2$ -temperature relevance, i.e.  
390 they should be related in the same age (long term or short term) hierarchy; 2) precise age constrain of individual  $\text{CO}_2$  and  
391 temperature data; 3) methods of  $\text{CO}_2$  and temperature estimates, depending on precondition, presumptions, parameters,  
392 uncertainty, sample diagenesis, etc.; 4) controls or influences of key factors such ice sheet, tectonic, paleogeography, cosmic  
393 ray flux, biota, volcanic eruption, and so on.



394 **5.2.2. Rapid  $p\text{CO}_2$  falling events**

395 The recovered Early Jurassic  $p\text{CO}_2$  curve reveals two rapid falling events (Fig. 6 and 8c). The first event ( $1E_{\text{CO}_2}$ ) shows a  
396 quick drop from  $\sim 2370$  ppmV (sample J1z-08-01 at depth 84.7 m) to 1350 ppmV (sample J1z-10-02 at depth 94.4 m) near  
397 the boundary of the Dongyuemiao and Ma'anshan Members (Fig. 6), or to 1075 ppmV (sample J1z-11-02 at depth 111.7 m),  
398 which took place in the early Pliensbachian ( $\sim 190.4$ - $189.9/189.1$  Ma. Fig. 8c). The extent of the rapid falling  $p\text{CO}_2$  is  
399  $\sim 1000$ - $1300$  ppmV in 9.7-17.0 m. In other words,  $\sim 1000$  ppmV drop could be accomplished within  $\sim 0.5$ - $1.0$  Myr based on  
400 the estimate of the rate of sediment deposition (Table S4).

401 While the corresponding early Pliensbachian climatic and isotopic-shifting events cannot be observed in the smoothed  
402 curves of the Early Jurassic seawater temperature and carbon cycle (Dera et al. 2011), the rapid falling event  $1E_{\text{CO}_2}$  is well  
403 correlated to the nearly coeval excursion events of carbon-oxygen isotopes recorded in western Tethys (Fig. 8). The  $1E_{\text{CO}_2}$   
404 compares well to: 1) the rapid carbon isotope negative excursion of (oysters, belemnites, and brachiopods) shells from the  
405 Cleveland Basin, UK (Korte and Hesselbo, 2011) and northwest Algeria (Baghli et al., 2020), 2) that of organic matter and  
406 marine carbonates from southern Pairs Basin (Bougeault et al., 2017; Peti, et al., 2017), and 3) rapid oxygen isotope negative  
407 excursion (seawater warming) of belemnites from northern Spain (van de Schootbrugge et al., 2005). The rapid change of  
408 the stable isotope record had been called the Sinemurian-Pliensbachian boundary event (SPBE) and dated in the ammonite of  
409 the upper *Raricostatum* - lower *Jamesoni* zones (Bougeault et al., 2017).

410 The second event  $2E_{\text{CO}_2}$  displays a large drop of 2574 ppmV (sample J1z-18-01 at depth 252.7 m) to 1094 ppmV (sample  
411 J1z-19-01 at depth 272.3 m),  $\sim 1500$  ppmV decrease within 19.6 m (estimated age interval  $\sim 0.8$  Myr. Table S4 and Fig. 8c).  
412 Following the second drop,  $p\text{CO}_2$  rises rapidly by  $\sim 1300$  ppmV of 1094 ppmV to 2386 ppmV (sample J1z-20-01 at depth  
413 294.3 m) although only a few samples support the this cycle of  $p\text{CO}_2$  falling-rising.

414 Strata in western Sichuan (Xu et al., 2017), may correlate to the time interval of the T-OAE, during which  $p\text{CO}_2$  doubled  
415 over background values, from  $\sim 1000$  ppmV to  $\sim 2000$  ppmV (e.g., Beerling and Royer, 2002; McElwain et al., 2005; Berner,  
416 2006). Given the chronostratigraphical correlation is challenging, the  $p\text{CO}_2$  falling-rising cycle might correspond to the  
417 quick shifting cycle of stable isotopes during the T-OAE (Fig. 8b and 8c). In detail, the rapid falling-rising of  $p\text{CO}_2$  is  
418 consistent with: 1) the quick negative-positive carbon isotope excursion of marine carbonates from Italy (Jenkyns and  
419 Clayton, 1986; Sabatino et al., 2009), England and Wales (Jenkyns and Clayton, 1997), north Spain (van de Schootbrugge et  
420 al., 2005), the Lusitanian Basin of Portugal (Hesselbo et al., 2007), Paris Basin (Hermoso et al., 2009), and Morocco (Bodin  
421 et al., 2016); 2) that of invertebrate calcareous shells from the Cleveland Basin of UK (Korte and Hesselbo, 2011) and  
422 northwest Algeria (Baghli et al., 2020); 3) that of marine organic matter from Morocco (Bodin et al., 2016), Yorkshire of  
423 England (Cohen et al., 2004; Kemp et al, 2005), Cardigan Bay Basin of UK (Xu et al., 2018), northern Germany (van de  
424 Schootbrugge et al., 2013), Alberta and British Columbia of Canada (Them II et al., 2017), northern Tibet of China (Fu et al.,



425 2016), and Japan (Izumi et al., 2018); 4) that of terrestrial organic matter from Sichuan Basin, China (Xu et al., 2017); and 5)  
426 quick oxygen isotope negative-positive shifting (seawater warming) of brachiopods (Suan et al., 2008) and fossil wood  
427 (Hesselbo et al., 2007) from the Lusitanian Basin, Portugal.

428 Multiple hypotheses have been proposed to interpret the 5°–6 °C decrease of sea surface temperatures in the late  
429 Pliensbachian (Bailey et al., 2003; van de Schootbrugge et al., 2005; Suan et al., 2010) and warming ~8 °C in the early  
430 Toarcian (Bailey et al., 2003; Suan et al., 2010), such as the sea level falling and rising (Hallam, 1978; Hesselbo and Jenkyns,  
431 1998), methane release (e.g., Hesselbo et al., 2000; Kemp et al., 2005; Hermoso et al., 2009; Them II et al., 2017), and the  
432 Karoo–Ferrar eruptions (e.g., Hesselbo et al., 2000; Beerling and Brentnall, 2007; Bodin et al., 2016). Perhaps, these  
433 hypotheses somewhat explain the rapid change of sea surface temperatures, but it remains unclear how link the hypotheses to  
434 drastic falling of  $p\text{CO}_2$  in a high age resolution.

435 To sum up, the perturbation and rapid falling events of the Early Jurassic  $p\text{CO}_2$  values estimated from the carbon isotope of  
436 pedogenic carbonates in the GSB, are compatible with the response of stable isotopes and seawater temperature from coeval  
437 marine sediments. Whatever caused the rapid variations of sea surface temperatures, stable isotopes, and  $p\text{CO}_2$ , their  
438 concordance implies that it is a positive feedback of the sea surface temperature to  $p\text{CO}_2$  through the Early Jurassic;  
439 accordingly, positive linkage could have taken place between the Early Jurassic climate and  $p\text{CO}_2$ .

## 440 6. Conclusions

441 Based on analyses of climate-sensitive sediments and stable isotopes of the GSB, leading to a reconstruction of paleoclimate  
442 and  $p\text{CO}_2$ , we conclude:

443 1) Climate-sensitive sediments and carbon-oxygen isotope values and covariances with palynofloral reference indicate that  
444 an overall warm-hot and (semi-) arid climate dominated the GSB during the Early Jurassic, possibly accompanied by  
445 occasional evaporitic climate in the Toarcian. This (semi-) arid climate in GSB is comparable with that in Colorado Plateau,  
446 western America, but distinct from the relatively warm-humid terrestrial climate recognized in other places of Chinese  
447 mainland (e.g., Qaidam, Inner Mongolia, and Lower Yangtze) and the northern Gondwanaland, relatively high latitudes of  
448 Southern Hemisphere,.

449 2) The Early Jurassic  $p\text{CO}_2$  values estimated from the carbon isotope of pedogenic carbonates in GSB show that a range  
450 between 980 ppmV and 2610 ppmV is ~3.5-10 times the pre-industrial value 275 ppmV and the mean 1720 ppmV is ~6  
451 times the pre-industrial value.

452 3) Three phases of  $p\text{CO}_2$  values were distinguished: 1500-2000 (mean ~1700) ppmV in the Sinemurian age, 1000-1500  
453 (mean ~ 1300) ppmV in the Pliensbachian age, and 1094-2610 (mean ~1980) ppmV in the early Toarcian. The phases  
454 manifest the perturbation of  $p\text{CO}_2$  in the Early Jurassic.



455 4) Two events of rapidly falling  $p\text{CO}_2$  were also recognized: ~1000-1300 ppmV drop at the Sinemurian-Pliensbachian  
456 boundary and quick falling (-rising) by ~1500 ppmV in the early Toarcian. The rapid falling events of  $p\text{CO}_2$  are compatible  
457 with the response of stable isotopes and seawater temperature from the coeval marine sediments, implying a positive  
458 feedback of climate to  $p\text{CO}_2$  during the Early Jurassic.

#### 459 **Acknowledgements**

460 We thank reviewers xxx xxx for constructive comments and suggestions. It is acknowledged this research was supported by  
461 Natural Science Foundation of China (NSFC) project 41672097.

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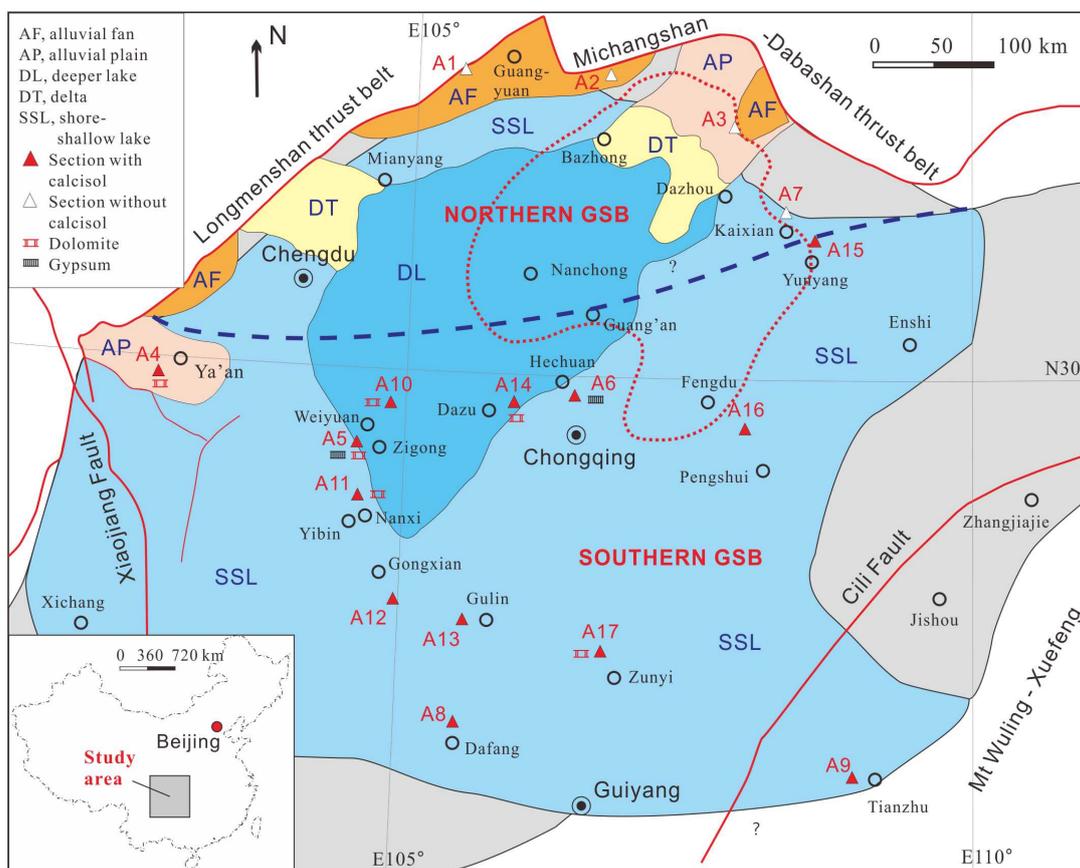
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780



781 **Figures**



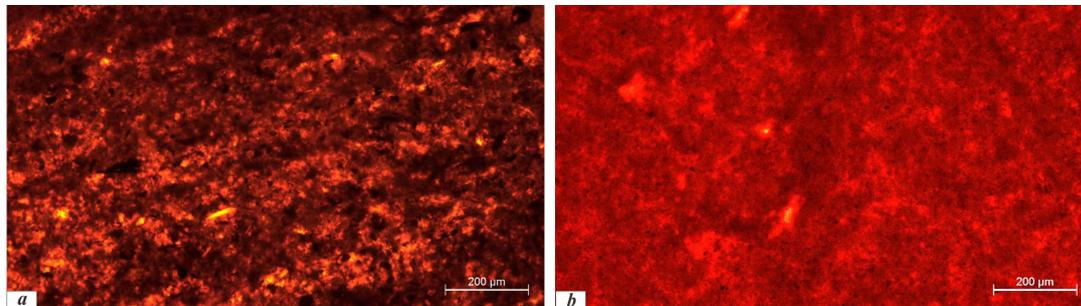
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783 **Figure 1** Lithofacies paleogeographic sketch of the grand Sichuan paleobasin (GSB) in the early Early Jurassic (Zhenzhuchong  
 784 and Dongyuemiao members) showing locations of the observed and analysed sections and climate-sensitive sediments. Lithofacies  
 785 paleogeographic map was composed and modified from Ma et al. (2009) and Li and He (2014). Blue area is the extent of paleolake,  
 786 estimated as ~380,000 km<sup>2</sup>; blue + gray region is the basin shape, estimated ~480,000 km<sup>2</sup>. Dot red line confines the deeper lake area  
 787 in the late Early Jurassic (Ma'anshan and Da'anzhai members). Bold dashed line is the northern edge of calcisol occurrence,  
 788 which may separate the climate of the GSB as the northern and southern types. Triangles with numbers are locations of observed  
 789 and analysed sections: A1, Xiasi section, Jian'ge; A2, Puji section, Wangcang; A3, Shiguansi section, Wanyuan; A4, Shaping  
 790 section, Ya'an (bed and thickness from Wen and Zhao, 2010); A6, Tanba and Maliping section, Hechuan (bed and thickness from  
 791 Wang et al., 2010); A7, Wenquan section, Kaixian (thickness from Wang et al., 2010). Location and source data s of sections A5  
 792 and A8-A17 (climate-sensitive sediments) refer to supplementary data Table S2.

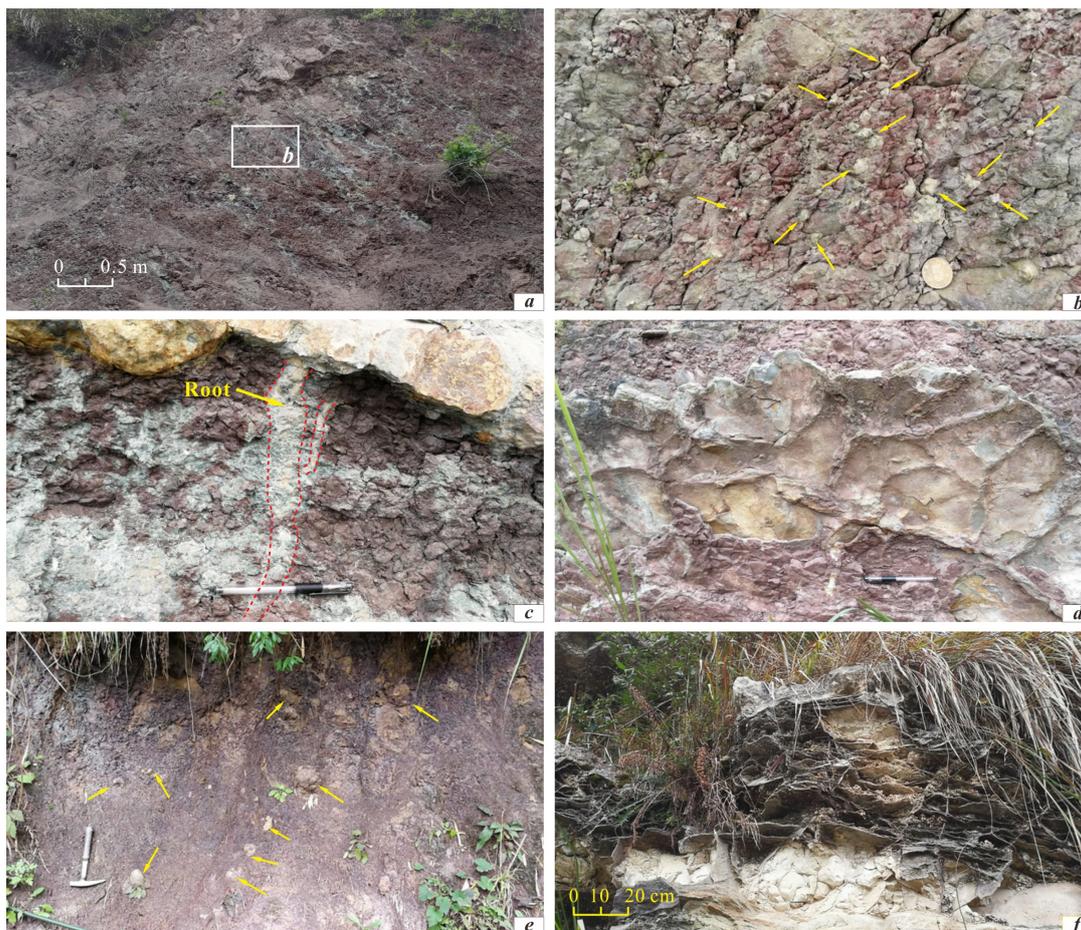
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796 **Figure 2** Microscopic cathodoluminescence photos of representative calcrete samples from the Ziliujing Fm at the Shaping  
797 section, Ya'an. *a*, Sample J<sub>1z</sub>-12-01, Bed B12, Ma'anshan Mem; *b*, Sample J<sub>1z</sub>-22-01, Bed B22, Da'anzhai Mem. Pedogenic calcites  
798 are light orange and muds are not luminescent. Pedogenic calcites of both samples are evenly luminescent light orange.



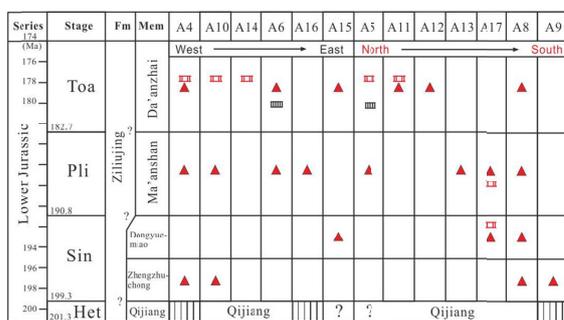
799  
800 **Figure 3** Field photographs of climate-sensitive sediments from the Lower Jurassic Ziliujing Fm in GSB. *a*, Reddish purple  
801 calcisol with strong leaching structure. Lower Bed H8 of the upper Ma'anshan Mem at Tanba village, Hechuan. *b*, Reddish purple  
802 calcisol showing the density and size of calcretes. The horizon and location same as *a*. Arrows point to calcretes. Coin 2.0 cm in  
803 diameter. *c*, Reddish purple calcisol with strong leaching structure and rhizoliths. Bed H13 of the top Ma'anshan Mem at



804 Maliuping, Hechuna. Pen 15 cm long. *d*, Mudcracks. Lower Bed H8 of the upper Ma'anshan Mem at Maliuping, Hechuan. Pen 15  
 805 cm long. *e*, Brownish red calcisol with big calcretes (calcareous concretions). Arrows point to big calcretes. Calcisol horizon  
 806 J<sub>12</sub>-10-01, Bed B10 of Ma'anshan Mem at Shaping village, Ya'an. Hammer 34 cm long. *f*, Chicken-wire structure. Bed H12 of the  
 807 Da'anzhai Mem at Maliuping village, Hechuan.

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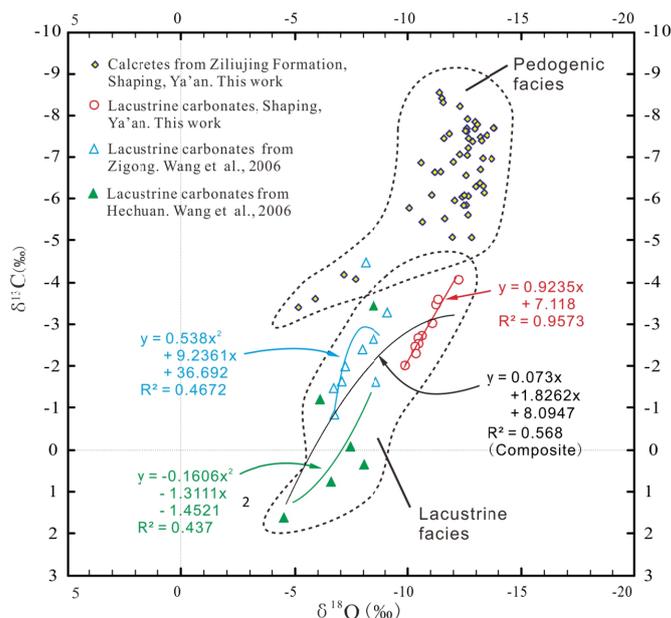


□ Hiatus ▲ Calcisol ■ Dolomitic sediment ▣ Gypsum?

810 **Figure 4** Diagram showing the temporal and spatial variation of climate-sensitive sediments in GSB. Section loactions and data  
 811 sources refer to Table S2.

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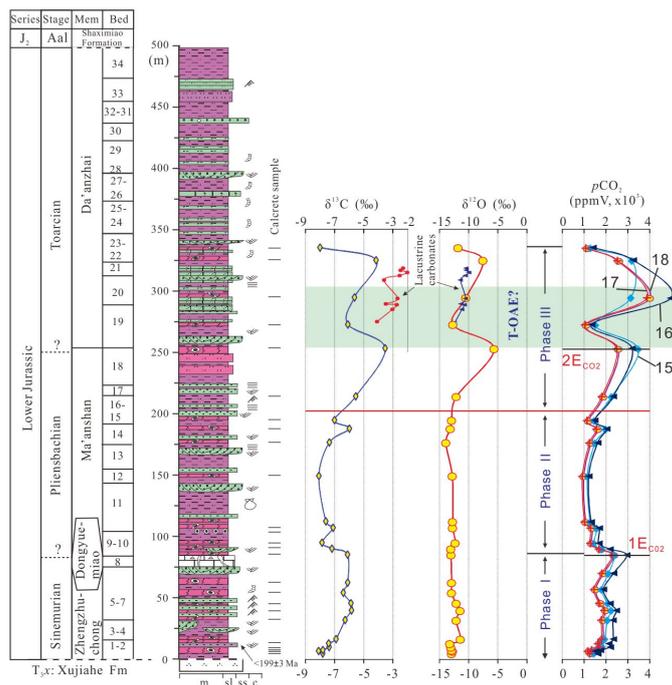
814 **Figure 5** Cross-plot and covariance of carbon and oxygen isotopic values of the Lower Jurassic pedogenic and lacustrine  
 815 carbonates from GSB. Note, the pronounced covariance ( $R^2=0.957$ ) between  $\delta^{13}C$  and  $\delta^{18}O$  from Shaping section, Ya'an,  
 816 indicating a compositional arid-evaporate and closed pattern lake; the moderate covariance ( $R^2=0.47$  and  $0.44$ ) between  $\delta^{13}C$  and  
 817  $\delta^{18}O$  from Zigong and Hechuan, indicating a (semi-) arid and semi-closed pattern lake.

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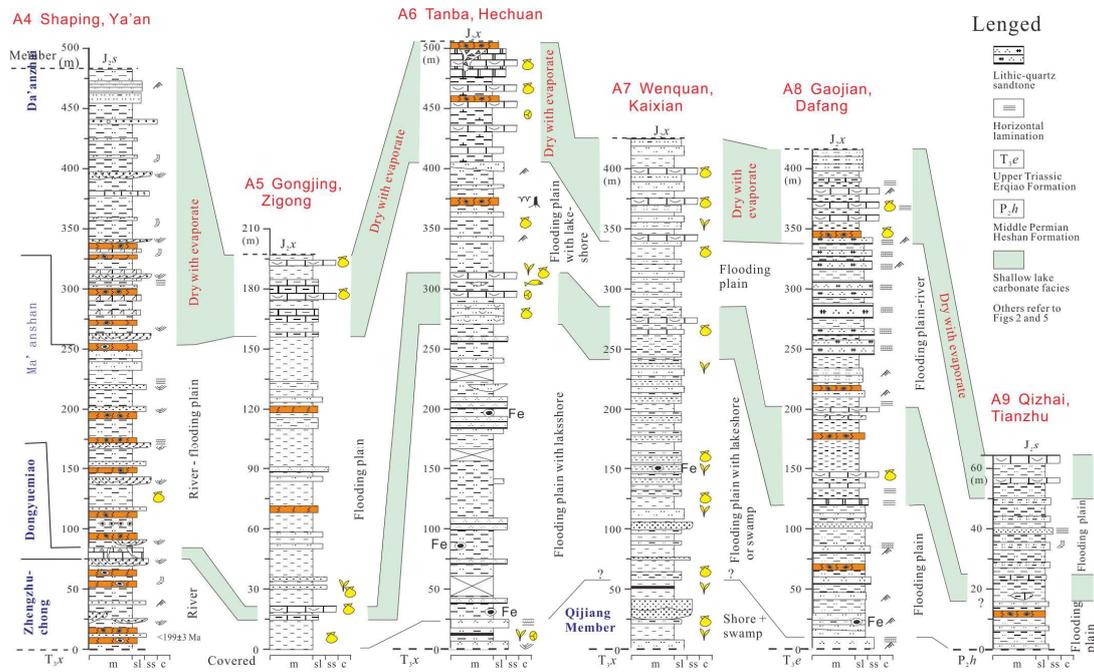
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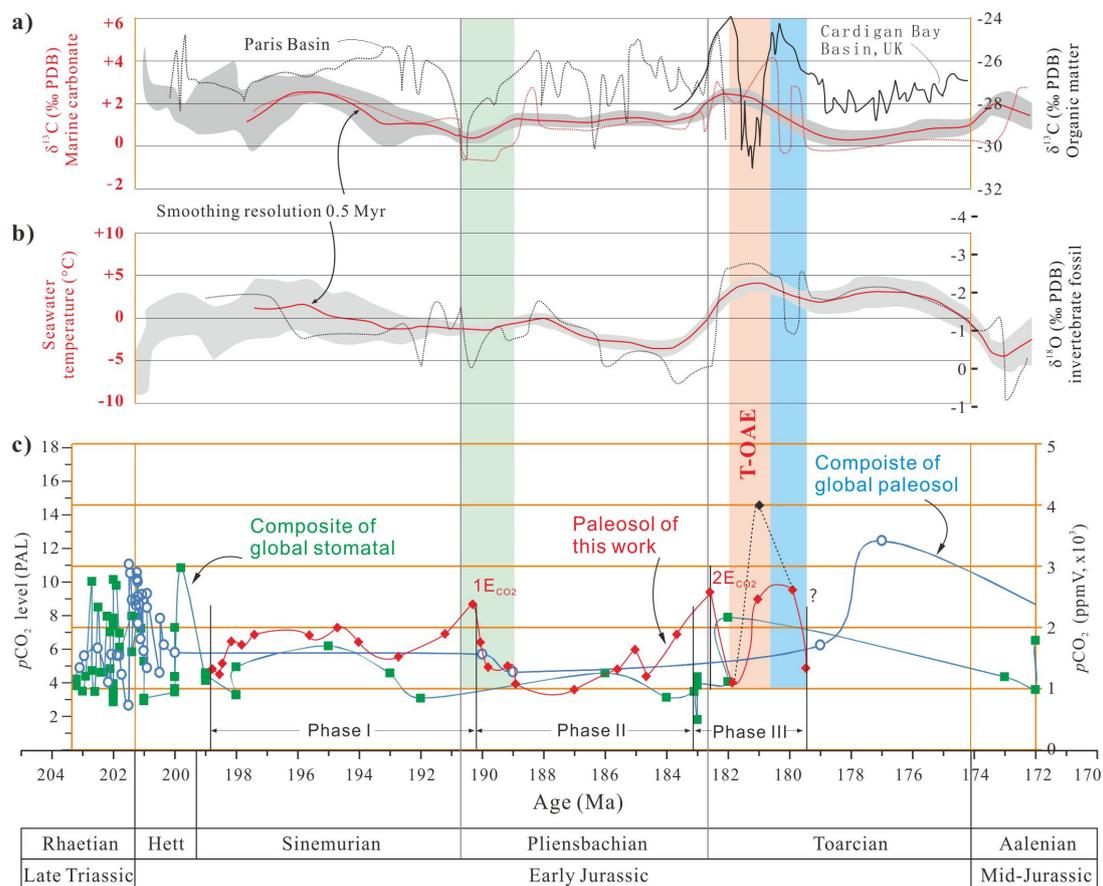
822 **Figure 6** Diagram of the Lower Jurassic strata and lithological log at the Shaping section, Ya'an with carbon-oxygen isotope  
 823 values of pedogenic and lacustrine carbonates and  $pCO_2$  curve. Three phases and two events can be observed for both stable  
 824 isotope values of pedogenic carbonates and  $pCO_2$  estimate. T-OAE, Toarcian oceanic anoxic event.  $1E_{CO_2}$  and  $2E_{CO_2}$ , rapid falling  
 825 event of  $pCO_2$ . Numbers 15 to 18 are the curves of  $pCO_2$  in different parameters, and details refer to supplementary Table S4.

826



827 **Figure 7** Stratigraphic correlation and depositional environment interpretation of the Lower Jurassic in the GSB. Data of  
 828 sections refer to figure 1 and Table S2. Note, two lacustrine transgressive cycles are marked by correlative pale green areas.

829



830

831 **Figure 8** Comparison among the Early Jurassic  $p\text{CO}_2$ ,  $\delta^{13}\text{C}$  of marine carbonates and organic matters,  $\delta^{18}\text{O}$  of invertebrate  
 832 fossils, and seawater temperature. a),  $\delta^{13}\text{C}$  (red dot line) of marine carbonates composed from Jenkyns and Clayton (1986, 1997),  
 833 Hesselbo et al. (2000), Dera et al. (2011), Arabas et al., 2017;  $\delta^{13}\text{C}$  (black dot and solid lines) of organic matters are from Paris  
 834 Basin, France (Peti et al., 2017) and Cardigan Bay Basin, UK (Xu et al., 2018). b),  $\delta^{18}\text{O}$  and seawater temperature (black dot line)  
 835 of marine invertebrate fossils compiled from McArthur et al. (2000), Rosales et al. (2001, 2004), Jenkyns et al. (2002), Bailey et al.  
 836 (2003), van de Schootbrugge et al. (2005), Gómez et al. (2008), Metodiev and Koleva-Rekalova (2008), Suan et al. (2008), Korte et  
 837 al. (2009), Dera et al. (2011), Gómez et al. (2016). Smoothed  $\delta^{18}\text{O}$  and seawater temperature (red curves) in a) and b) are after  
 838 Dera et al. (2011). c),  $p\text{CO}_2$  values, the composite  $p\text{CO}_2$  by paleosol and stomatal index collected from the published literatures  
 839 refer to supplementary Table S5 and S6. Note: 1)  $p\text{CO}_2 = 4027$  ppmV (black solid diamond, sample J1z-20-01) if the  $\delta^{13}\text{C}_{\text{Cr}} = -29.0$  ‰  
 840 at 181 Ma from Xu et al. (2018) in case of other constant parameters; 2) the early published  $p\text{CO}_2$  values from both carbon isotope  
 841 of pedogenic carbonates and stomatal index of fossil plants (data refer to Table S5 and S6) were awfully rough dated with the  
 842 average age of a lithostratigraphic formation or group, with which the uncertainty can be upto 10 Myr, leading to the difficulty of  
 843 precise and accurate  $p\text{CO}_2$  correlation in pace, frequency, and event in deep time.

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847



848 **Table**

849 **Table 1 Stratigraphic framework of the Lower Jurassic Ziliujing Fm in Sichuan and adjacent area (GSB), Southwest China**

Epoch	Age	Formation	W Sichuan (Ya'an)	E Sichuan and Chongqing	S Sichuan and N Guizhou	N Sichuan
Middle Jurassic	Aalenian	Xintiangou Fm	Xintiangou Fm	Xintiangou Fm	Xintiangou Fm	Qianfuyan / Xintiangou Fm
Early Jurassic	Toarcian	Ziliujing Fm	Da'anzhai Mem (Bed 20-34)	Da'anzhai Mem	Da'anzhai Mem	Baitianba Fm
	Pliensbachian		Ma'anshan Mem (Bed 9-18)	Ma'anshan Mem	Ma'anshan Mem	
	Sinemurian		Dongyuemiao Mem (Bed 8)	Dongyuemiao Mem	Dongyuemiao Mem	
			Zhengzhuchong Mem (Bed 1-7)	Zhengzhuchong Mem	Zhengzhuchong Mem	
Hettangian		Hiatus	Qijiang Mem	Qijiang Mem	?	
Late Triassic	Rhaetian	Xujiahe Fm	Xujiahe Fm	Xujiahe Fm	Xujiahe Fm	Xujiahe Fm

Notes: Straigraphic classification and correlation were composed from Dong (1984); SBGM (1997), Wang et al. (2010), Wen and Zhao (2010), Xu et al (2017). Re-Os isotope age of the lower Da'anzhai Member is  $180.3 \pm 3.2$  Ma in western Sichuan (Xu et al., 2017). Fm, Formation; Mem, Member.

850

851 **Supplementary data**

852 **Captions of supplementary figures**

853 **Figure S1 Lithological log of the Lower Jurassic Ziliujing Fm with depositional environment interpretations and sample**  
 854 **positions at the Shaping section, Ya'an of Sichuan. Bed number and thickness are partly referred to Wen and Zhao (2010)**

855

856 **Figure S2 Lithological log of the Lower Jurassic Ziliujing Fm at the Tanba-Maliuping section, Hechuan of Chongqing with**  
 857 **depositional environment interpretations and sample positions. Bed number and thickness are partly referred to Wang et al**  
 858 **(2010).**

859

860 **Figure S3 Field photographs of the Lower Jurassic Ziliujing Fm lithofacies in GSB. a, Well roundness and sorting gravels in the**  
 861 **alluvial fan conglomerate. Basal and lower Baitianba Fm. Puji, Wangcang. Hammer 30 cm long. b, Large trough cross-bedding**  
 862 **with scours in the point bar and channel sandstones. Upper Baitianba Fm; Puji, Wangcang. c, Calcisol developed within strong**  
 863 **leaching overbank mudrocks on channelized sandstones. Middle of Bed B2, the Zhengzhuchong Mem; Shaping section, Ya'an. d,**  
 864 **Purple red mudrocks intercalated with thin siltstones in flood plain facies. Bed H7 of the Ma'anshan Mem; Tanba section,**  
 865 **Hechuan. e, Whitish medium-thick micritic dolomites in lacustrine facies. Bed H12 of the Da'anzhai Mem; Maliuping section,**  
 866 **Hechuan. Hammer 34 cm long. f, Greeinsh gray lacustrine muddy dolomites and dolomitic mudrocks associated with brownish /**  
 867 **reddish purple mudrocks. Bed B21 of the Da'anzhai Mem; Shaping section, Ya'an.**

868

869 **Figure S4 Microscopic photos showing lithological microfacies of the Lower Jurassic Ziliujing Fm. a, Fine lithic (quartz)**  
 870 **sandstone. Lithic-dominant fragments are mudrock. Sample J<sub>1z</sub>-02-01b, Zhengzhuchong Mem; Shaping section, Ya'an.**  
 871 **Plain-polarised light. b, Laminated muddy dolomite and dolomitic mudrocks. Sample J<sub>1z</sub>-21S2B, Da'anzhai Mem; Shaping section,**  
 872 **Ya'an. Plain-polarised light. c, Fine quartz arenite. Sample 18HC-02b3, Bed H2, Qijiang Mem, Tanba section, Hechuan.**  
 873 **Cross-polarised light. d, Micritic dolomite. Sample 18HC-06b, Bed H12, Da'anzhai Mem. Maliuping section, Hechuan.**  
 874 **Plain-polarised light. e, Coquina. Shell wall of bivalves were micritized. Mud and recrystalline calcites filled inter-shells and**



875 intra-shells. Sample 18HC-04b, Base of Bed H12, Da'anzhai Mem. Maliuping section, Hechuan. Cross-polarised light. *f*, Relict of  
876 coquina. Shell wall of bivalves were partly micritized. Strongly recrystalline calcites replaced the fills and shells. Sample 18HC-05b,  
877 Bed H12, Da'anzhai Mem. Maliuping section, Hechuan. Cross-polarised light.

878

879 **Figure S5** Field photographs of the Lower Jurassic Ziliujing Fm lithofacies in GSB. *a*, Lithofacies and stratigraphic sequence.  
880 Beds B8 to B10 of the lower Ma'an-shan Mem and Dongyuemiao Mem at Shaping village, Ya'an. *b*, Karstified gravels within the  
881 limestone. The horizon and location is same as *a*. Pen 15 cm long. *c*, Layered dolomites with Karstified cave gravels. Bed H12 of  
882 the Da'anzhai Mem at Maliuping village, Hechuan. *d*, Karstified cave gravels. The horizon and location is same as *c*. Hammer 34  
883 cm long.

884

885 **Figure S6** Stratigraphic correlation of the Lower Jurassic Baitianba Fm in northern GSB. Locations and sources refer to Figure  
886 1. Plant fossils and stratal thickness in the Shiguansi section, Wanyuan are cited from SBG (1980b).

887

#### 888 Captions of supplementary tables

889 **Table S1** Early Jurassic paleosols in Ya'an of Sichuan and Hechuan of Chongqing, Southwest China

890

891 **Table S2** Carbon-oxygen isotopes of lacustrine carbonates from the Lower Jurassic Ziliujing Fm (Da'anzhai Mem) in the GSB

892

893 **Table S3**  $p\text{CO}_2$  estimate by carbon isotope of pedogenic carbonates from the Lower Jurassic Ziliujing Fm at the Shaping section,  
894 Ya'an of Sichuan

895

896 **Table S4** Occurrence list of the Early Jurassic climate-sensitive sediments in the GSB

897

898 **Table S5** Global  $p\text{CO}_2$  data of the Latest Triassic - Early Jurassic estimated by carbon isotope of pedogenic carbonates

899

900 **Table S6** Global  $p\text{CO}_2$  data of the Latest Triassic - Early Jurassic by stomatal method

901

#### 902 Captions of supplementary notes

903 **Note S1**, Description and interpretation of sedimentary facies and its evolution

904

905 **Note S2**, Notes of parameter usage and selection for the  $p\text{CO}_2$  calculation

906