

1 **Controlling water infrastructure, codifying water**
2 **knowledge. Institutional responses to severe drought in**
3 **Barcelona (1620-1650)**
4

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33 **ABSTRACT:** Combining historical climatology and environmental history, this article
34 examines the diverse range of strategies deployed by the city government of Barcelona
35 (Catalonia, NE Spain) to confront the recurrent drought episodes experienced between
36 1626 and 1650. Our reconstruction of drought in Barcelona for the period 1525-1821,
37 based on *pro pluvia* rogations as documentary proxy data, identifies the years 1626-
38 1635 and 1640s as the most significant drought events of the series (highest drought
39 frequency weighted index and drought duration index). We then focus on the period
40 1601-1650, providing a timeline that visualises rain rogation levels in Barcelona at a
41 monthly resolution. Against this backdrop, we examine institutional responses to
42 drought and discuss how water scarcity was perceived and confronted by Barcelona city
43 authorities. Among the several measures implemented, we present the ambitious water
44 supply projects launched by the city government, together with the construction of
45 windmills as an alternative to watermills, as a diversification strategy aimed at coping
46 better with diminishing water flows. We pay special attention to the institutional efforts
47 to codify the knowledge about Barcelona's water supply, which in 1650 materialised in
48 the Book of Fountains of the City of Barcelona (*Llibre de les Fonts de la Ciutat de*
49 *Barcelona*). This manual of urban water supply, written by the water city officer after
50 three decades of experience in his post, constitutes a rare and valuable source to study
51 water management history but also includes significant information to interpret
52 historical climate. We analyse the production of this manual in the context of three
53 decades marked by recurrent episodes of severe drought. We interpret the city
54 government aspiration to codify knowledge about urban water supply as an attempt to
55 systematise and store historical information on infrastructure to improve institutional
56 capacities to cope with future water scarcities.
57

58 1. Introduction

59

60 Around July 1650, during an intense episode of drought in Barcelona, the city water
61 officer (“mestre de les fonts”) Francesc Sociés started writing a book that described in
62 great detail the water supply and distribution system of the city. At the time, Sociés had
63 been in his post for over thirty years, overseeing the city’s fountains and water supply,
64 and was approaching retirement. After decades of coping with drought frequently, and
65 well-aware of the precious experience gathered by Francesc Sociés, the city government
66 had asked him to compile his knowledge about Barcelona’s water supply system. The
67 resulting book should perpetually be kept in the city archives to shed light on the work
68 of future water city officers and improve urban water management. In November 1650,
69 Sociés delivered what became known as the *Llibre de les Fonts de la Ciutat de*
70 *Barcelona* (“Book of Fountains of the City of Barcelona”) (Archival source AS1).

71 This article focuses on the three decades (1620-1650) leading to the codification of
72 knowledge about Barcelona’s water supply into the Book of Fountains and examines
73 them from the perspective of historical climatology and environmental history. Our
74 analysis reconstructs the severe droughts experienced in the city during this period and
75 examines the strategies followed by the city government to cope with them, contributing
76 to the growing scholarship on societal adaptation to past climate changes (Degroot,
77 2018). First, drawing on *pro pluvia* rogations (rain rogations) as proxy data, we identify
78 the years 1626-1635 and 1640s as the most significant drought events that occurred in
79 Barcelona during the period 1521-1825 (highest drought frequency weighted index and
80 drought duration index of the series). This previously unpublished drought
81 reconstruction is the first contribution of our work, which confirms previous research on
82 historical climatology that had pointed to the years 1625-1635 as severely dry (Díaz,
83 1984; Martín-Vide and Barriendos, 1995; Rodrigo and Barriendos, 2008). These results
84 are coherent with a systematic analysis of 165 tree-ring series in the Mediterranean for
85 the last 500 years, which points to an acute period of drought between 1620 and 1640,
86 an episode that affected the whole Western Mediterranean (Nicault *et al.*, 2008).

87 Second, following scholarship on the social response to past climate variability (Pfister,
88 Brázdil and Glaser, 1999), we examine the diverse range of strategies deployed by the
89 Barcelona city government to confront the recurrent drought episodes experienced
90 during the years 1626-1650. In contrast to the development of historical climatology in
91 Catalonia, research on the human response to past climate variability is still scarce
92 (Martí Escayol, 2019). The work of Antoni Simon i Tarrés, who highlighted the
93 importance of drought among the complex interaction of factors that triggered social
94 unrest in Catalonia during the late 1620s and 1630s stands out among the few existing
95 publications on the topic (Simon i Tarrés, 1981, 1992). Others have underlined that
96 climate conditions in the 17th century accentuated the agricultural, social and political
97 crisis (Serra i Puig and Ardit, 2008). The impact of climate variability in the Iberian
98 peninsula during the 17th century has also been stressed by Geoffrey Parker, who
99 pointed out that Spain “suffered extreme weather without parallel in other periods,
100 particularly in 1630–2 and 1640–3” (Parker 2013:289). Parker examined the Catalan
101 revolt against the Spanish King Philip IV (1640-1652) emphasizing the key impact of
102 extreme weather events in Catalan society (Parker, 2013).

103 However, none of these authors examined in detail human response to climatic
104 variability in Catalonia during these years. More recently, by focusing on the case of the
105 town of Terrassa (Barcelona region), Mar Grau-Satorras has analysed how local
106 communities combined different strategies to cope with drought during the 17th century,

107 including infrastructural, institutional and symbolic responses, which changed
108 throughout time (Grau-Satorras *et al.*, 2016, 2021; Grau-Satorras, 2017). Along these
109 lines, our research focuses on the case of Barcelona as an example of Western
110 Mediterranean urban agglomeration (40,000 citizens) under severe environmental stress.
111 Among other institutional strategies in response to drought and diminishing water flows,
112 we discuss the production of the Book of Fountains, underlining the relevance and
113 novelty of the attempt of Barcelona city government to codify water knowledge in the
114 form of a book for future urban city officers.

115 In line with research in the historical climatology re-assessing traditional documentary
116 sources or presenting innovative ones (Adamson, 2015; Veale *et al.*, 2017), our research
117 draws attention to the potential of urban water supply manuals as a rare but significant
118 source to be considered to critically interpret institutional responses to droughts. While
119 the Book of Fountains is known in Catalan historiography (Voltes Bou, 1967; Perelló
120 Ferrer, 1996; Cubeles, 2011), it remains unpublished and has not been studied in depth.
121 After carrying out the first complete transcription and analysis of the text, this is the
122 first article that contextualises the writing of the Book of Fountains within the severe
123 droughts experienced in Barcelona during the 17th century. Manuals of urban water
124 supply constitute rare documentary sources, and we have only identified one similar
125 book: *Le Livre des Fontaines de Rouen*, written by Jacques Le Lieur between 1524 and
126 1525 in the city of Rouen, France (Sowina, 2016).

127 The article proceeds as follows. In the next section, we provide an overview of the
128 methods and sources used to reconstruct droughts during our period of study, as well as
129 to review the institutional responses to it. In the “Results” section we present three
130 previously unpublished figures that show the drought frequency weighted index and
131 drought duration index for the period 1521-1825, together with a timeline that presents
132 rain rogation levels in Barcelona between 1601 and 1650 at a monthly resolution. The
133 results about institutional responses are presented in the form of two diagrams showing
134 the main strategies followed by the city government and the specific years they were
135 implemented. Next, the discussion section is subdivided in three parts. First, we
136 examine how institutional responses to drought intertwined with urban and political
137 conflicts. Second, we discuss the Book of Fountains as a strategy for codifying
138 knowledge transmission and improving urban water management. Third, we analyse the
139 Book of Fountains as a tool to enhance water infrastructure control. In the conclusions,
140 we summarise the relevance of our local case study and point out the potential of urban
141 water supply manuals as historical sources for both climate reconstruction and past
142 climate adaptation.

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145 **2. Methodology and Sources**

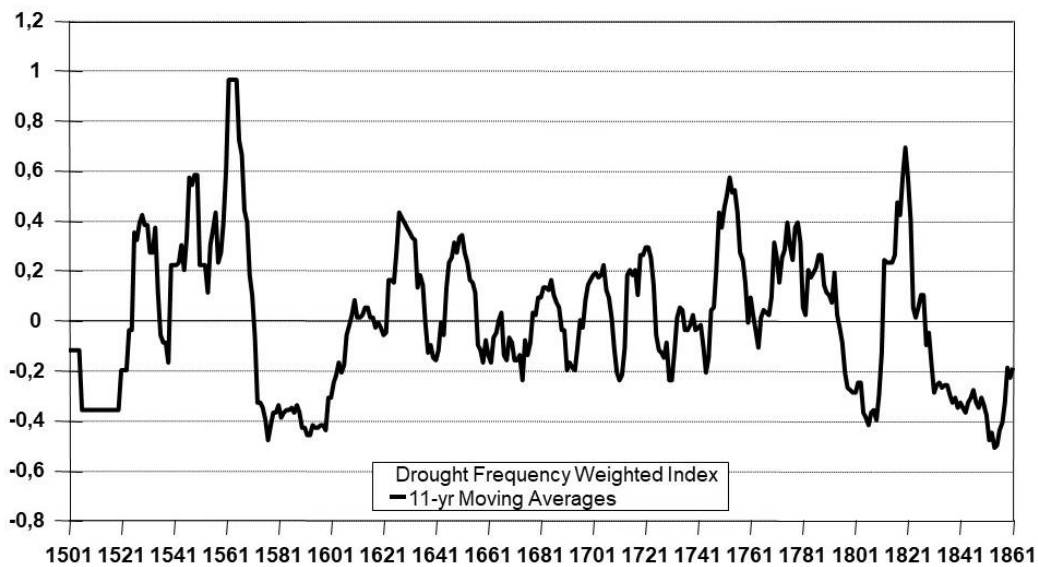
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147 *2.1 Drought reconstruction*

148 The climatic conditions during the 17th century can be considered as part of the climatic
149 episode known as the Little Ice Age (LIA). Research on historical climatology has
150 pointed to a higher frequency and severity of cold spells during this episode (Pfister,
151 Schwarz-Zanetti and Wegmann, 1996; Pfister *et al.*, 1998; Ogilvie and Jónsson, 2001;
152 White, 2014). More recently it has also identified and analysed a general increase in the
153 irregularity of rainfall patterns, manifested in the emergence of hydrometeorological
154 extreme episodes with great social and environmental impact. At the climatic scale, in
155 the Spanish Mediterranean this increase in the frequency and severity of extreme
156 hydrometeorological events manifests in periods of around 40 years for the case of
157 extraordinary rainfalls leading to floods (Barriendos and Martín-Vide, 1998; Llasat *et*
158 *al.*, 2005; Barriendos *et al.*, 2019).

159 Rain rogations have been successfully used as a proxy for the reconstruction of rainfall
160 variability (Martín-Vide & Barriendos, 1995; Barriendos, 1996; Barriendos, 1997).
161 Rogations were a mechanism to respond to environmental stress, in this case drought.
162 The institutions involved (agricultural guilds, city councils, cathedral chapters) have left
163 reliable and detailed records, with data at a daily resolution. In Catalonia, rain rogations
164 are classified in five levels, according to their severity. These categories can be
165 identified by the typology of religious liturgies, from simple rogations inside the church
166 (low, level 1) to pilgrimages to sanctuaries (critical, level 5). An integrated index is
167 obtained by weighting data according to the severity of each level of rogation. This
168 index is standardised so that it can be compared with other populations and regions
169 (Martín-Vide and Barriendos, 1995).

170 Drawing on previous research based on this method and sources, Figure 1 provides a
171 general view of the frequency of extreme droughts for the period 1501-1861 with data
172 from four Catalan cities near the Mediterranean coast at a yearly resolution (Barcelona,
173 Girona, Tarragona and Tortosa) (data adapted from Oliva *et al.*, 2018). This general
174 view allows to identify many recurring events of medium intensity and some of very
175 high intensity for the Catalan coast. The relevant drought events identified are the
176 following: 1520s, 1540s, 1560s, 1620s (c. 1625-1635), 1750s, 1812-1824.



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Figure 1. Drought Frequency Weighted Index (1501-1861). Standardised values. 11 years moving averages from four cities: Girona, Barcelona, Tarragona and Tortosa. Data adapted from Oliva *et al.*, 2018.

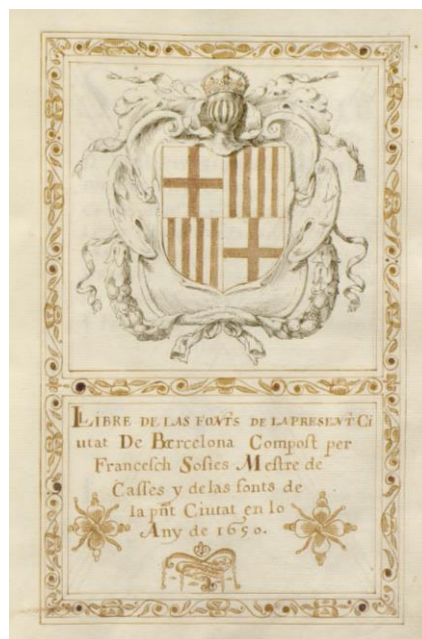
181 In relation to 17th century Catalonia, Figure 1 shows two pulses of drought during our
182 period of study (1620-1650): a higher one approximately between 1625-1635 and a
183 lower one immediately after. This assessment is coherent with the systematic analysis of
184 165 tree-ring series in the Mediterranean for the last 500 years, which point to an acute
185 period of drought between 1620 and 1640, an episode that affected the whole Western
186 Mediterranean (Nicault *et al.*, 2008).

187 In order to document the impact of drought in Barcelona and the institutional measures
188 to adapt to it, our research delves in the Catalan capital leaving aside the other three
189 cities included in Figure 1. In first place, we apply the drought frequency weighted
190 index displayed in Figure 1 to the local data of Barcelona (see Figure 4 in the section
191 “Results”, previously unpublished). Second, we take advantage of a variable that
192 provides useful information to assess the length of drought episodes. In the case of
193 Barcelona, the level 2 of *pro pluvia* rogations involved the public exhibition of a
194 specific relic: the remains of Santa Madrona (Martín-Vide & Barriendos, 1995). The
195 public exhibition of this relic in the high altar of the Cathedral lasted until the
196 authorities established that the drought was over. In that moment, the urn containing the
197 Saint’s remains was taken back to the Chapel of Santa Madrona in the near mountain of
198 Montjuïc. This liturgical pattern introduces the possibility of analysing the duration of
199 drought episodes as perceived by local authorities, something that has not been studied
200 in this geographical context. By accounting for the amount of days per year that the
201 level 2 of drought was active in Barcelona and standardising the result to make it
202 comparable with other cities, we obtain an annual index of drought duration for the
203 period 1521-1825 (see Figure 5 in the section “Results”, previously unpublished).
204 Finally, since the data allows for an analysis at a monthly resolution, we aim at
205 producing a timeline to describe the behaviour of drought and the different rogation
206 levels focused on the study period 1600-1650. This timeline (see Figure 6 in the section
207 “Results”, previously unpublished) allows to distinguish if the dry months were
208 sporadic and irregular or appeared as a persistent anomaly for long periods.

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210 2.2 Institutional response

211 Our analysis of the institutional response to drought focuses on the period 1620-1650.
212 We provide a qualitative analysis of the records produced by the Consell de Cent (city
213 government) in relation to water management during these years. Most of all, we
214 interpret the creation of the *Llibre de les Fonts* in the context of the frequent drought of
215 our period of study. This rare source, kept at the city archives, was written by the water
216 city officer Francesc Sociés during the summer of 1650, at the request of the city
217 government (AS1, Figure 2; AS2). The Book of Fountains is a manual about urban
218 water supply, a text where Sociés provides instructions that codify both the knowledge
219 of his profession and the experience from his job position, where he was posted between
220 1620 and 1650. The manual aimed at guiding future interventions in the supply system
221 and communicating what future water city officers should know.
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225 **Figure 2.** First page of the *Llibre de les Fonts*, Manuscrits, L-15, Arxiu Històric de la Ciutat de Barcelona
226 (AHCBC).

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228 The structure of the book follows the water distribution system and describes it as an
229 interconnected network, from the drainage underground canals in the hills of Barcelona
230 known as “water mines” (*qanats*) to the city fountains. The author indicates with high
231 precision where each element is located, both for those visible and those hidden from
232 view, underground or behind walls (water taps, pipes, water tanks or wells). In addition,
233 throughout the book, the author provides a calendar for the system’s maintenance within
234 a particular urban space and time. Sociés specifies where to intervene and how often, for
235 instance in relation to the cleaning of pipes and curtailing the growth of trees’ roots that
236 can disrupt sections of the system (e.g. every two, four or five years). Nevertheless,
237 Sociés’ temporal specifications do not only apply to maintenance, but also to key
238 historical information about water property rights. Finally, Sociés refers several times to
239 droughts and the lack of water supply experienced in the city during the study period.
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241 In addition to our analysis of the Book of Fountains, a review of the secondary literature
242 on urban history helped to identify valuable works that refer to measures approved by
243 the city government during the 17th century to cope with drought and diminishing water
244 flows (Voltes Bou, 1967; Perelló Ferrer, 1996). We have also reviewed the leaflets
245 published by the city government during our period of study and found several
246 connected to water management. In the first place, we located a pamphlet in defence of
247 a project to build an irrigation canal to bring waters from the Llobregat River to
248 Barcelona (AS3, published in 1627). Though this project was not carried out, we have
249 traced several references to it in city chronicles and meeting records during the
250 following years (AS4 and AS5). Our review has also identified four leaflets connected
251 to a legal conflict concerning water rights, which in 1634 brought face to face the
252 Barcelona city government and the water officer Francesc Sossies with the Cathedral's
253 Chapter (AS6, AS7, AS8, see Figure 3, and AS9).
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256 **Figure 3.** First page of leaflet “Por la ciudad de Barcelona y Francisco Sossies, maestro de las fventes,
257 con el Cabildo de la Iglesia Maior acerca de las censuras declaradas contra el dicho Sossies”, 1634 (AS8).
258 Source: F.Bon. 10964, Biblioteca de Catalunya.
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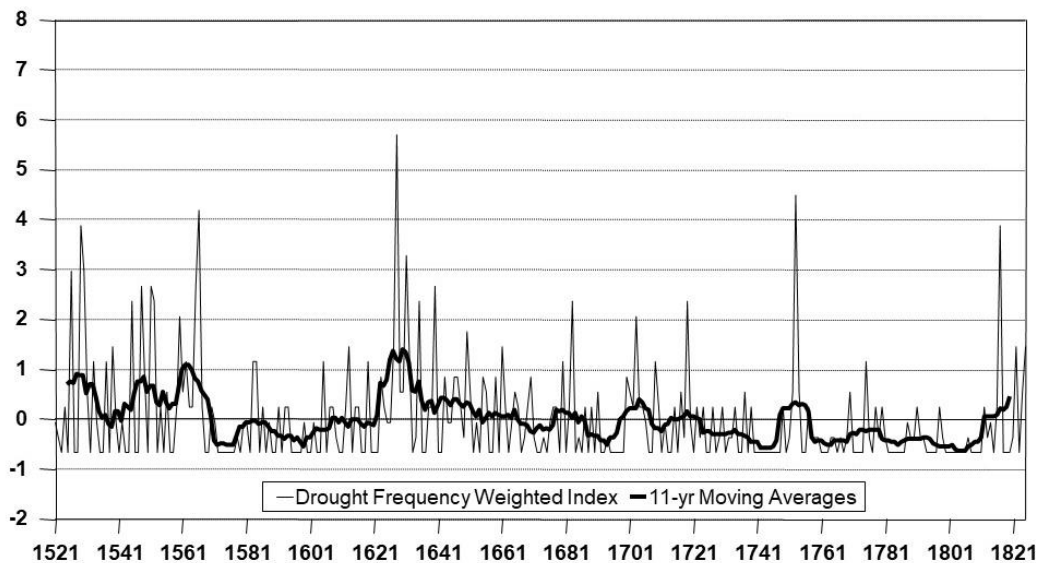
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261 **3. Results**

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263 *3.1 Drought reconstruction*

264 Drawing on *pro pluvia* rogations, Figure 4 shows a distribution of drought frequency in
265 Barcelona between 1521 and 1825 with different degrees of intensity. By using yearly
266 weighted indexes, we identify the decades of 1560s and 1625-1635 as the two most
267 significant drought events of these three centuries in the city. The latter, however, stands
268 out for its extreme severity. Moreover, there was no similar experience with drought in
269 the previous 50 years (approximately 1570-1620).



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271 **Figure 4.** Drought Frequency Weighted Index. Standardised values. City of Barcelona (1521-1825). Data
272 improved from Martín-Vide and Barriendos, 1995.

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274 Through the development of an index of drought duration based on the records about
275 the public exhibition of Santa Madrona relic, Figure 5 shows that the drought
276 experienced in Barcelona during the late 1620s was perceived as longer than any other
277 registered until that time. While it is difficult to extract more details with these historical
278 records, it is evident that the drought registered had an extraordinary magnitude.
279 However, the long duration of the rain rogations may also be related to the perception of
280 an extreme anomaly by the city authorities, since almost no drought conditions had been
281 experienced in the previous 50 years.

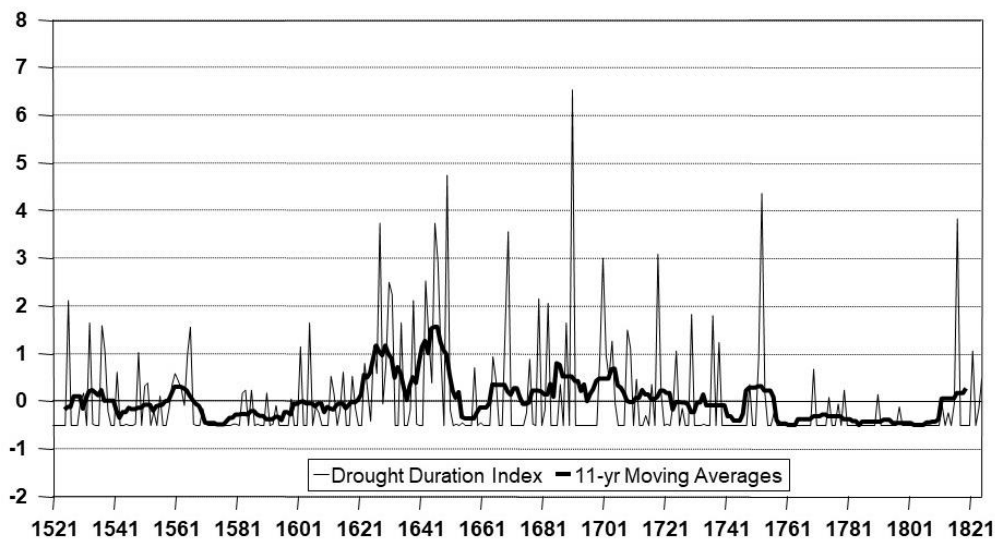


Figure 5. Drought Duration Index. Standardised values. City of Barcelona (1521-1825).

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285 The analysis of drought duration presented in Figure 5 reveals another significant issue.
 286 After the severe 1620s drought, which extends into the first part of the 1630s, there was
 287 a less intense episode, very close in time, around the 1640s. On this occasion the
 288 duration of rain rogations of level 2 –involving the exhibition of Santa Madrona– was
 289 even longer than in the previous episode (Figure 5). These results do not allow to
 290 analyse in detail the development of the drought episode but provide an entry point to
 291 the human response to an extraordinary climate event. The first drought period of the
 292 study (1620s to the first half of 1630s) had such a social impact that the almost
 293 consecutive episode of the 1640s generated a proportional response. In view of the
 294 impact of drought on water resources and with limited references available after two
 295 generations without similar events, the duration of the rain rogations may have been
 296 extended as a response against a challenging situation for local authorities.

297 Finally, Figure 6 delves into the first half of the 17th century, the period during which
 298 the most significant and long episodes of drought have been identified in the previous
 299 figures. Figure 6 visualises rain rogation levels at a monthly resolution for the first time
 300 in our geographical context. This timeline allows to analyse if drought appeared either
 301 sporadically and irregularly, or as a persistent anomaly for longer periods. In the case of
 302 prolonged drought during the rainy seasons in the region (spring and autumn), the
 303 impacts in agriculture and water supply may have been particularly severe. The results
 304 shown in Figure 6 allow to identify the years 1626-1627 as the beginning of the 1620-
 305 1630s drought episode shown in Figures 4 and 5. During the 1640s, the specific period
 306 identified spans from 1643 to 1650.

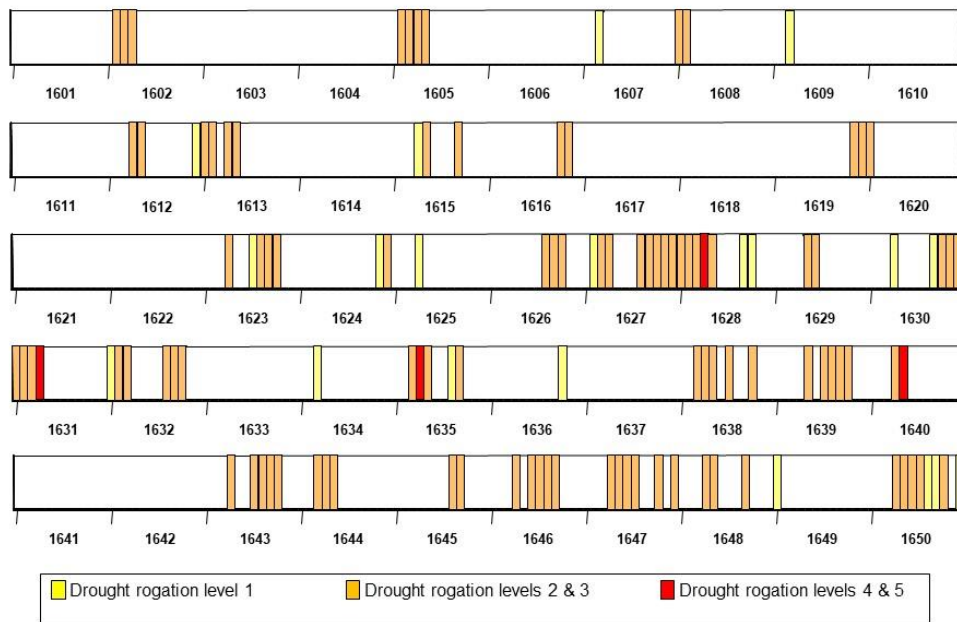


Figure 6: Monthly drought rogations levels in Barcelona, 1601-1650.

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3.2 Institutional response

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Throughout the period 1620 to 1650 the city government implemented a diverse range of institutional strategies to respond to drought. In the following paragraphs, we summarise these strategies, identified through our review of primary and secondary sources. Figures 7 and 8 synthesise these responses in relation to the two periods of drought identified (1620s-1630s and 1640s).

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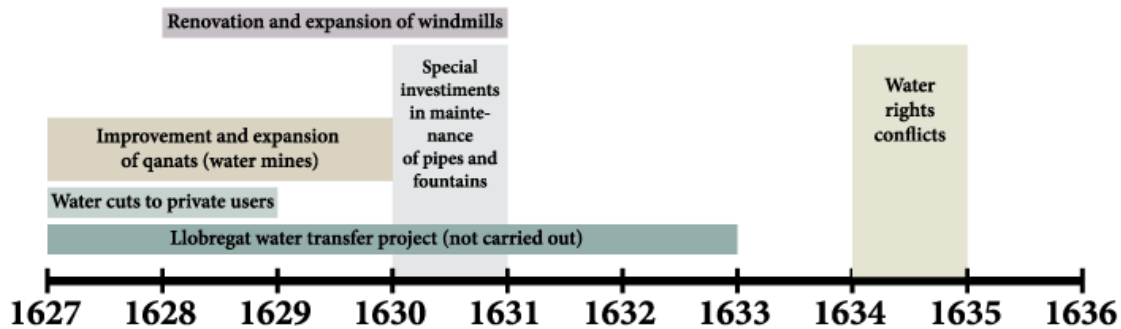
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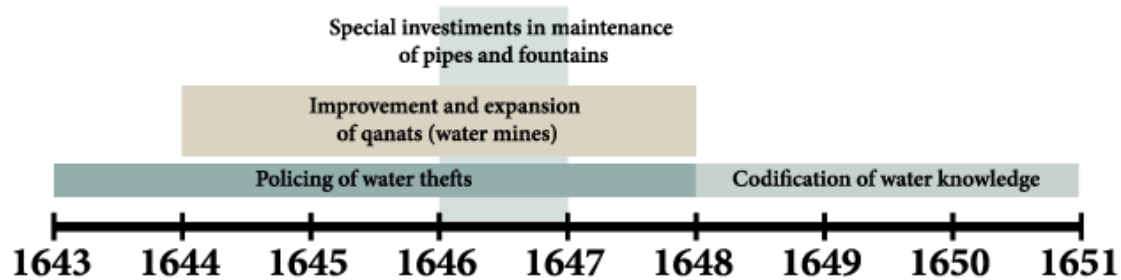
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One of the main strategies developed by the city council to cope with the diminishing water flows caused by drought was the improvement and expansion of the urban water supply sources. During the 17th century, the water supplied to Barcelona's fountains came from several underground drainage canals originating in the hills surrounding the city. These structures, known as *mines d'aigua* ("water mines") in Catalan, were common in all the Mediterranean and originated in the medieval *qanats* established by Muslim settlers (Guàrdia, 2011; Custodio, 2012). On several occasions during our period of study water flows coming from these sources decreased significantly, triggering efforts from the Consell de Cent to improve and expand old *qanats* and to open new ones. Between 1627 and 1629, the city water officer built a new *qanat* that provided a significant increase in the waters delivered to Barcelona (Perelló Ferrer 1996: 126-127). During the second half of the 1640s the Consell de Cent approved the construction of a new *qanat* in Pedralbes (Perelló Ferrer 1996:129).



329
330 **Figure 7:** Strategies of institutional response to drought (1627-1636). Source: The authors.
331



332
333 **Figure 8:** Strategies of institutional response to drought (1643-1650). Source: The authors.
334

335 Other attempts to diversify the water sources of the city were more ambitious. In 1627
336 the city government proposed to build an open water canal (approximately 12 km long)
337 connecting the river Llobregat to the city. The Consell de Cent regarded the Llobregat
338 waters as the “universal solution” to the problem of water supply, and published a
339 pamphlet detailing the many advantages of the project. Several experts in water supply
340 infrastructure came to Barcelona and worked together with the water city officer to draft
341 a detailed proposal which was submitted to the Viceroy and eventually to the Spanish
342 King (AS3). King Philip IV showed interest in the project, but also concerns about the
343 landowners affected (Voltes Bou 1967: 58-59). In 1633 the project made a comeback,
344 when the city officers called water supply experts to resume the work on the canal and
345 even started marking it on the ground (AS4). However, the Royal Privilege needed was
346 not obtained (AS5: 137, 154-155) and the project did not go ahead (Voltes Bou,
347 1967:59-60; Perelló Ferrer, 1996:127-128).

348 Along with the investments devoted to expanding and diversifying the sources of water
349 supply, the city government attempted to improve the efficiency of the existent system.
350 In 1630-1631 it devoted substantial efforts to the conservation and maintenance of the
351 city pipes, fixing broken sections, and cleaning those that were clogged by earth and
352 trees. During the second half of the 1640s it also invested in the improvement of the city
353 fountains (Voltes Bou, 1967:60; Perelló Ferrer, 1996:127-129). In moments of acute
354 scarcity, the city government would actively police water thefts from the urban supply
355 system and, if needed, impose restrictions to private users. The severe drought
356 experienced during 1627 and early 1628, for instance, was the justification for the city
357 government to cut off water supply to almost all private users in the city (Perelló Ferrer,
358 1996:126). In order to confront water thefts during the 1640s, the city government went
359 as far as approving a search into all the houses close to the main pipe to find where the

360 water leak was or who had illegally drilled into the pipe and set a tap (AS1, chapter 22;
361 Perelló Ferrer 1996:128) (see Figures 7 and 8).

362 The city government efforts to regulate water use by urban institutions and private
363 actors sometimes created acute tensions. A remarkable example occurred during our
364 period of study involved the Consell de Cent and the Cathedral's Chapter. In 1634, the
365 city government's decision to cut water supply to the Cathedral triggered a major
366 scandal. The Cathedral's Chapter excommunicated the city water officer and the
367 members of the Consell de Cent for offending the property of the Church (AS5). Even if
368 water flows to the Cathedral were restored after its ancient water rights were
369 demonstrated and the excommunications were lifted after several weeks, the city
370 government publicly reasserted itself as the "master and owner of the waters that flow to
371 [Barcelona's] fountains" (AS7).

372 Extreme drought did not only cause problems in the city fountains, but also in the water
373 mills needed to produce flour. During very dry periods, the water level in the irrigation
374 canals might not be high enough for them to function. This situation forced the city
375 government to transport the grain to locations farther from the city, thus increasing the
376 associated costs and occasionally jeopardizing the city's flour supply (Simon i Tarrés
377 1992: 165-169). The unreliability of watermills during severe droughts was invoked by
378 the city government in their plea to bring the waters of Llobregat river to Barcelona via
379 a water canal. In fact, it was the reason why the city government owned two windmills
380 outside the city walls since earlier times (AS3). However, due to the almost absence of
381 dry periods since the 1570s, these windmills were little used and fell into disrepair. In
382 1628, the Consell de Cent requested their renovation along with two new windmills;
383 five more would follow in 1629. Therefore, the city government addressed the
384 unreliability of watermills during dry years with a great expansion of the city windmills,
385 which grew from two to nine (450%) between 1628 and 1631 (Perelló Ferrer 1996: 286-
386 288).

387 Finally, towards the end of the study period (July 8, 1648) the Consell de Cent asked the
388 water city officer to write a book about Barcelona's water supply and the operation of
389 the city's fountains. The Book of Fountains, written during the very dry year of 1650,
390 provides a detailed description of the city water infrastructure, including each of the
391 branches and sections of the city's main pipe, along with the buildings receiving water
392 supply and the location of the water conduits and fountains (see Figure 9). The value of
393 the knowledge compiled in the book was regarded as critical, and according to the city
394 government's instructions, it could not leave the city government's grounds (AS2:325-
395 326, 400).



Figure 9: The urban water supply network of Barcelona as described in the *Llibre de les Fonts*. Source: Modified from Guàrdia, 2011.

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The Book of Fountains did not only provide readers with a geography of the water network elements, but also with a calendar for the system's maintenance and key historical information about water property rights and concessions to specific buildings. Moreover, it includes useful information for the reconstruction of the climate of the past. Sociés' account points out the years 1626 and 1627 as the beginning of a long dry period in Barcelona. According to his testimony, the first two decades of the 17th century had been a time of water abundance, when the city government supported the expansion of the water distribution system and granted water concessions to several aristocratic houses and monasteries (AS1, chapters 65, 69, 79 and 98). All this came to an end between in 1626-1627. In Sociés own words, "the abundance of waters lasted until the year 1626 (...). Already in the year 1627 came a great drought and in the fountains of the city there was a great lack of water" (AS1, chapter 65). When writing the book in 1650, Sociés visited the *qanats* of Nostra Senyora del Coll and pointed out that it was the first time in his life that he saw them dry. After 30 years on his post, Sociés considered that as years passed by, the flow of water in the city had been decreasing. He underlined the importance of the *qanat* construction he had led in the late 1627-1629 to keep water running in Barcelona's fountains during the driest periods (AS1, chapter 65).

419 4. Discussion

420

421 4.1 Drought stress and political tensions

422 In this section, we discuss how the unprecedented drought pulse started in 1626-1627
423 heightened micro and macropolitical tensions in Barcelona. By looking at three ways in
424 which the institutional responses to drought intertwined with urban and political
425 conflicts, we shed light on the complex interlinkages between drought, water scarcity,
426 food supply and politics at the local and regional scale.

427 The impacts of the severe dry period started in 1627 went beyond Barcelona and
428 critically disrupted food supply during the following years. By 1628, a contemporary
429 witness stated that “the dioceses of Barcelona, Tarragona and the plain of Urgell cry of
430 thirst” (Simon i Tarrés 1992:161-162). Between 1628 and 1631, drought and extreme
431 climate events critically affected agriculture in Catalonia, resulting in bad crops and
432 adding new tensions to both local and regional conflicts (Simon i Tarrés 1992:158-161).
433 The diminishing grain supplies could have been compensated with imports from
434 southern France and Milan, but war and plague in these regions prevented it. The
435 Barcelona city government boosted the construction of windmills to secure the
436 transformation of grain into flour during dry periods, when watermills were unreliable
437 (see Figure 7). However, the agricultural impact of drought in the region reduced the
438 availability of grain.

439 During the spring of 1631, the protests for the price, scarcity, and bad quality of bread
440 in Barcelona ended up in violent riots that threatened the lives of the city government
441 members. In response to this subsistence crisis, the Consell de Cent assumed full control
442 of bread production, putting in place a centralized, street-by-street rationing system. In
443 the end, a wheat cargo coming from Mallorca in May 1631 alleviated the shortage
444 (Simon i Tarrés, 1992). However, the strategy of enforcing a centralized rationing
445 system during scenarios of scarcity –or whenever these scenarios seemed feasible–
446 remained in use during the following years. This is consistent with other studies that
447 have identified rationing limited resources such as food or water (either by centralising
448 its distribution or applying sanctions) as adaptive responses to climate variability (Grau-
449 Satorras *et al.*, 2021).

450 However, the very mechanisms established to cope with subsistence crisis intertwined
451 with power struggles, sometimes setting the scene for new conflicts. During 1633 the
452 Barcelona city government continued to enforce control over bread production and
453 distribution, put into practice two years earlier. The insistent warnings directed at the
454 monasteries and the Cathedral to prevent them from producing and distributing bread
455 suggest that these regulations were far from being followed. In this context, on the 4th of
456 January 1634, a representative from the Consell de Cent confiscated a piece of bread
457 that had been produced by the Cathedral, confirming that this institution was disobeying
458 the calls from the city government (AS5). The accusations escalated rapidly, and among
459 the reprisals approved, the city government ordered the water officer to cut off the water
460 supply to the Cathedral. In order to enforce the food rationing mechanisms, the Consell
461 de Cent banned access to another critical resource: water.

462 However, this decision triggered a major conflict. Arguing that cutting the water flow
463 was an offense to the property of the Church, the Cathedral’s Chapter excommunicated
464 the members of the Consell de Cent and Francesc Sociés. While it was bread production
465 and distribution, not water, what had originally been the cause of the dispute, legal
466 rights about water supply were at stake. The critical value of water in the recent severe

467 droughts helps explaining the reprisal chosen by the Consell and the virulent response
468 of the Cathedral. By questioning access to water, a quarrel over bread rationing and
469 distribution rights transformed into a major legal dispute leading to the
470 excommunication of the city government officials. As pointed out by Grau Satorras *et*
471 *al.* (2016), water conflicts could occur independently from droughts, but were certainly
472 intensified by them. Moreover, they often reconfigured the way water rights were dealt
473 with. In the case of Barcelona, the city government could impose restrictions over water
474 uses to certain monasteries or private urban users, but actors like the Cathedral's
475 Chapter actively resisted these regulations. The Cathedral's Chapter proved that its
476 water rights went back as far as 1355, as shown by the documents kept in its archive
477 (AS6 and AS7). Water supply to the Cathedral was restored, but in the legal dispute that
478 followed the Consell reasserted its role as the institution responsible of maintaining and
479 overseeing urban water supply. Mutual accusations between the Cathedral and the
480 Consell continued for months, even if the excommunications were provisionally lifted
481 after a few weeks (AS4:205-206; AS6, AS7, AS8, AS9).

482 Finally, among the diverse range of strategies launched by the city government in these
483 years (see Figure 7) one stands out for its ambition and scale: the project to build a canal
484 bringing the waters of Llobregat river to Barcelona. Proposed as soon as 1627, the
485 project harmed the interests of aristocratic landowners, who opposed it consistently. The
486 petition reached King Philip IV in the aftermath of his meeting with the representative
487 body of Catalonia (*Corts*), held in 1626, where the King's proposal to raise an economic
488 and human contribution from Catalonia to support the Spanish army had failed (Elliott,
489 1984; Parker, 2013). The situation repeated a few years later, in 1632, at a time when
490 Barcelona received less than a third of its usual water supply (Voltes Bou 1967:59). The
491 dialogue about the project was resumed coinciding with a new fiasco at the meeting of
492 the Catalan *Corts* with the King. The permission and Royal Privilege from King Philip
493 IV were never obtained, and the project came to nothing despite the advanced
494 preparations carried out by the Consell de Cent (Perelló Ferrer, 1996:127-128). Three
495 centuries were still to pass until the waters of Llobregat were channelled to Barcelona
496 (Burgueño, 2008; Tello and Ostos, 2012; Saurí, March and Gorostiza, 2014).

497 Facing decreasing water flows, the city government project to build a canal from the
498 Llobregat river was an ambitious attempt to increase the variety of water sources
499 supplying the city. Diversifying the sources of critical resources is an adaptive strategy
500 to cope with climate variability that has been identified in several contexts (Grau-
501 Satorras *et al.*, 2021). Lacking the political support needed for a major infrastructure
502 like the Llobregat canal, local authorities in Barcelona focused on alternative, less
503 expensive versions of the same strategy: they built new *qanats*, expanded the old ones,
504 and invested in the maintenance of the existing system (see Figure 7). Similarly, when
505 watermills proved to be unreliable, the city government rapidly approved the renewal
506 and expansion of windmills. Altogether, by diversifying water and energy sources, they
507 increased their adaptive capacity in a time of recurrent drought.

508

509 4.2 Knowledge transmission and adaptation

510 Under the light of the recurring droughts experienced between 1626 and 1650 supply,
511 the efforts of the city government to codify water knowledge into a book can be
512 interpreted as an attempt to improve future management by collecting the knowledge of
513 the past. Like private diaries (Adamson, 2015) or peasant family books (Torres i Sans,
514 2000; Grau-Satorras *et al.*, 2021), the Book of Fountains aimed at gathering and
515 transmitting experiences to future generations. Following Grau-Satorras *et al.* (2021), its

516 production can be interpreted as an adaptive strategy consisting of storing information
517 to better cope with future climate variability. However, unlike private diaries or family
518 books produced at the household level, the Book of Fountains was an initiative of urban
519 institutional actors that involved the whole city of Barcelona and its water sources
520 outside the city walls.

521 The city government asked Sociés to write a book in the summer of 1648, after a
522 significantly dry spring and five years of recurrent droughts (see Figure 6). During these
523 years, the water stress suffered in the city made any suspected water theft a critical
524 matter. The aggressive approach demonstrated by the city authorities in policing water
525 thefts between 1643 and 1648 (see Figure 8) marks an increased awareness of the
526 importance of controlling urban water infrastructure (see the following section). The
527 need to expand urban water flows also involved investments in new *qanats* and
528 extraordinary funds for the maintenance of the supply network (see Figure 8). All these
529 works required additional expenditures, because the salary paid to the water city officer
530 included only maintenance tasks. Accordingly, the city government considered that with
531 the assistance of a book compiling urban water knowledge the expenditure related to
532 city fountains would decrease. The economic reasons to write the Book of Fountains
533 were explicitly mentioned in the petition directed to Francesc Sociés (AS2:325-326).

534 When it came to intervening in urban water infrastructure, the city government
535 depended on the water city officer. The severe impact of droughts during the 1630s and
536 1640s only made these circumstances more evident. By the late 1640s, the city water
537 officer was aging with no successor in sight and the precious knowledge he embodied
538 was in risk of being lost. In this context, the city government saw an opportunity to
539 intervene in the process of knowledge transmission by putting forward a proposal to
540 write a book. Only in 1650 did Francesc Sociés agree to the proposal, in exchange of
541 receiving a salary until the end of his life (AS2:325-326). The Book of Fountains was
542 written during the continuously dry months of 1650 (see Figure 6) which caused the
543 loss of the harvest and made the year be known as “the year of misery” (Guàrdia,
544 Pladevall i Font and Simon i Tarrés, 1986:105).

545 Perhaps key to Sociés’ decision to accept writing the Book of Fountains, the water
546 officer had no direct relatives to whom pass on his knowledge and job post.
547 Traditionally, when approaching retirement, the city water officer would ask the city
548 government for permission to perform his duties accompanied with an assistant –
549 usually his son or son-in-law. After working together for several years the apprentice
550 would then replace the city water officer (Perelló Ferrer 1996:77). This father-to-son
551 tradition of knowledge transmission was common within guilds’ structures, where
552 family and the family house were units of production (for the Catalan context, see for
553 instance Creixell i Cabeza, 2008; Solá, 2008). Within this context, knowledge about
554 professions was transmitted to direct relatives and to apprentices. Therefore, knowledge
555 transmission combined a type of oblique transmission (teacher to pupil) with a vertical
556 type (father to son, uncle to nephew) (Leonti, 2011). This mechanism of transmission
557 could sometimes involve the creation of dynasties of the same families in the same job
558 post, keeping knowledge away from the city government (Montaner i Martorell,
559 1990:177).

560 By requiring Sociés to write a book compiling his knowledge, the city government
561 aimed at interceding in the circuit of knowledge transmission. In other words, it aimed
562 at putting oblique knowledge transmission under institutional control. The production of
563 the Book of Fountains shall be contextualised within the emergence of technical and
564 practical manuals to transmit knowledge (Eamon, 1994; Long, 2001; Cifuentes i

565 Comamala, 2006). The information stored in these manuals, however, was not meant to
566 be made “public” in the modern sense. In the case of the Book of Fountains, water
567 knowledge could not be disseminated for the sake of the institutions’ own interests and
568 for security reasons. The process of knowledge transmission revealed critical details
569 about the location of water infrastructure, potentially subject to attack or disruption.
570 Secrecy around infrastructure was strategic for the survival of the city, both for external
571 circumstances –the 1630s and 1640s were marked by war and the threat of military
572 siege– and internal struggles with other city institutions such as the Cathedral’s Chapter.
573 The strategic value of this knowledge explains the city government’s instructions,
574 which established that the book should remain perpetually in the city government’s
575 premises. This also showed an explicit intention of appropriating the knowledge
576 inherently associated to the water officer’s job post, restricting the access to it to those
577 authorised by the city government.

578 Writing the Book of Fountains was about compiling the knowledge of the past, but also
579 about creating an object that could store future information. Francesc Sociés demanded
580 the involvement of his readers –future water city officers– to ensure that the book
581 remained a useful tool. He asked them to record at the margins of the text any
582 intervention in the water network, thus keeping knowledge to date for future generations
583 (AS1:262). By involving future water officers into the authorship, the book aimed at
584 becoming a transgenerational endeavour, a collective heritage under the control of the
585 city government. In this way it became useful for the present as a physical object, but
586 also a perdurable, vital tool for the city’s future. By obtaining a book that transmitted
587 knowledge to future managers, the city government aimed at improving the institutional
588 capacity to respond to future environmental stress, while it reduced its dependence on
589 the city water officer. Moreover, armed with the knowledge compiled in the book, the
590 city government was much better equipped to impose control over urban water users.

591

592 *4.3 Enforcing control over water infrastructure*

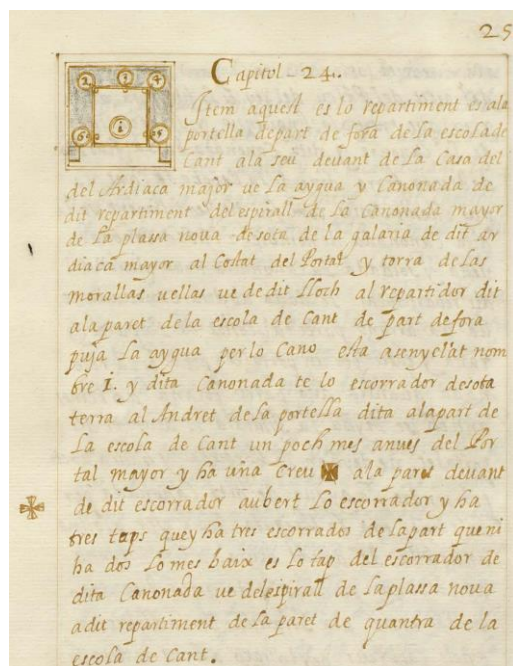
593 The scandal of the excommunication of the Consell de Cent and the city water officer
594 after the water cut-off to the Cathedral in 1634 came after some of the driest years
595 remembered in Barcelona (see Figures 4, 5 and 6). The city government came out from
596 this conflict with renewed awareness about the importance of enforcing control over
597 water supply, but also of monitoring information about water concessions and water
598 rights, which could help avoiding similar conflicts in the future. In line with the
599 declaration that the city was “master and owner of the waters that flow to its fountains”
600 (AS7), the city government devoted more and more attention to watch out its water
601 resources and remained wary of any violation of its water rights.

602 The production of the Book of Fountains was consistent with this strategy. The
603 ambition to write a book containing urban water knowledge and the explicit
604 requirement that it should be kept in the city government’s grounds made clear the
605 Consell’s determination to reinforce its position as the institution responsible for water
606 management in the city, and therefore to reaffirm its capacity to use water as a tool to
607 control urban space (AS2:325-326). In other words, enhancing the city government’s
608 control over urban water flows was also one of the goals behind the codification of
609 water knowledge. The Book of Fountains was not only a way of storing information and
610 improving adaptation to future climate variability. It also meant creating a valuable tool
611 to enforce control over urban water flows and infrastructure. In terms of water property

612 and rights, writing was an instrumental juridical tool for the city government to reaffirm
613 its political power.

614 Through the pages of the Book of Fountains, the water city officer established the
615 itinerary of urban waters from source to tap, defining who the proprietor of this
616 knowledge was and institutionalizing who had the power to control it. When referring to
617 specific places in the city, he often established a symbolic relation between the written
618 text and the urban fabric. To connect the text with the territory, Francesc Sociés used a
619 symbol –the cross– either in the text or in its margins, making its location easier to
620 readers. These crosses written in the book refer to crosses chiselled in the stone walls of
621 street buildings, indicating specific elements of water infrastructure hidden from view
622 and thus binding the book pages with the urban fabric of the city. In other words: the
623 author inscribed urban water geography into the pages of the Book of Fountains (see
624 Figure 10).

625



626

627 **Figure 10:** Book of Fountains, chapter 24. On the lower left side, a cross marks a reference for the reader.
628 The text refers to the location of the same cross in the urban fabric. Source: *Llibre de les Fonts*,
629 Manuscripts, L-15, Arxiu Històric de la Ciutat de Barcelona (AHCB).

630

631 This intention of controlling urban space, based on the need of preserving water supply,
632 was also explicit in Sociés' instructions to future managers. In order to keep a regular
633 water flow running in the city's fountains, the city government needed to be able to
634 detect and solve any incident rapidly, particularly in relation to water thefts. To this end,
635 Sociés explained how he had been remaking the water network that ran through internal
636 parts of buildings, moving pipes to their external sections to hinder any attempt to
637 illegally tap into them. He recommended continuing with these reforms in the future, so
638 that water infrastructure remained as much as possible within reach of the water city
639 officer, simplifying its surveillance (AS1, chapters 26, 78 and 79).

640

641

642 5. Conclusions

643 This article examined past climate variability in the city of Barcelona (Western
644 Mediterranean) focusing both on drought reconstruction and the institutional responses
645 to it. First, drawing on *pro pluvia* rogations as documentary proxy data, we provided a
646 detailed reconstruction of drought frequency and duration between the years 1521 and
647 1825. The years 1625-1635 register the highest drought frequency weighted index of the
648 series (Figure 4), while the 1640s stand out in the drought duration index (Figure 5).
649 Second, we examined the institutional strategies followed by the city government in
650 response to drought. Among other strategies, these involved diversifying the sources of
651 urban water supply, enforcing restrictions over water uses and compiling the city water
652 officer's knowledge into a book. We discussed these actions considering the complex
653 interlinkages of drought with food supply and social unrest.

654 By focusing on the historical analysis of drought in Barcelona, our research
655 corroborates and expands previous work that had identified a dry period in the Western
656 Mediterranean between 1620-1640 (Martín-Vide and Barriendos, 1995; Nicault *et al.*,
657 2008). Moreover, by examining the social impacts of drought in a major city of 40,000
658 inhabitants, we contribute to the discussion about the importance of climate variability
659 among the factors that contributed to social unrest in Barcelona and Catalonia during
660 the years leading to the Catalan Revolt (1640-1652). In addition, our analysis of the
661 institutional strategies to cope with drought contribute to the scholarship on societal
662 adaptation to climate variability (Degroot, 2018; Grau-Satorras *et al.*, 2021). In this
663 regard, among the strategies analysed, the codification of urban water knowledge stands
664 out for its novelty. Finally, by showing how the information collected in the Book of
665 Fountains can be used both for reconstructing past drought events and for examining
666 institutional adaptation, we argue that manuals of urban water management are rare but
667 valuable documentary sources to be considered in the field of historical climatology.

668 Written in 1650, right at the end of the most significant drought period identified in
669 Barcelona between 1521 and 1825, the Book of Fountains offers an authoritative voice
670 on the perception of urban water flows: that of the city officer in charge and his thirty
671 years of experience. His assessments of the severity of drought during the years 1626-
672 1627 or the summer of 1650 correspond with our results of the analysis of *pro pluvia*
673 rogations. This cross-check reinforces the authority of both documentary sources used
674 in our research. In essence, the Book of Fountains constitutes a mechanism to store and
675 transmit key knowledge to cope better with environmental stress. In a context marked
676 by drought and diminishing urban water flows, the Book of Fountains was a complex
677 form of adaptation directed at improving the efficiency of urban water management
678 systematising historical information about repairs and maintenance, reducing
679 expenditure, and preventing conflicts about water rights.

680 From this perspective, the Book of Fountains can be interpreted as an outcome of the
681 institutional learning of three decades of coping with severe water stress. Years of local
682 and regional tensions reinforced the city government's legal claims over the
683 management of urban water supply. A coherent step to reassert the position of the
684 Consell de Cent as the "master and owner of the waters that flow to [Barcelona]
685 fountains" was to codify knowledge about urban water rights, water distribution and
686 maintenance into a book. In times of drought, more than ever, the knowledge about the
687 old *qanats*, pipes, deposits and fountains that formed the water supply network, together
688 with the centenary water rights that regulated it, was key to the exercise of political

689 power. A book containing all this information was a treasure that had to be carefully
690 kept for future generations.
691

692 **Author contribution**

693 Santiago Gorostiza conceived this research with Maria Antònia Martí Escayol and
694 wrote the introduction, conclusions, and sections 2.2, 3.2, 4.1 and 4.3 of the text. He
695 made significant contributions to the rest of the article. In addition, he handled the
696 coordination, integration, translation, and revision of texts, as well as the peer-review
697 process.

698 Maria Antònia Martí Escayol conceived this research with Santiago Gorostiza and
699 wrote section 4.2 of the text. Martí Escayol transcribed the *Llibre de les Fonts de la*
700 *Ciutat de Barcelona* and made significant contributions to the introduction, section 4.1
701 and conclusions of the text.

702 Mariano Barriendos prepared the drought series for Catalonia and Barcelona, handled
703 the database organization, statistical treatment, graphic production, and preparation of
704 the tables and figures. Barriendos wrote the sections 2.1 and 3.1 of the text.

705

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718

719 **Competing interests**

720 The authors declare no competing interests.

721

722 **Archival sources**

723

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