

1 **Controlling water infrastructure, codifying water**  
2 **knowledge. Institutional responses to severe drought in**  
3 **the city of Barcelona (1620-1650)**  
4

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33 **ABSTRACT:** Combining historical climatology and environmental history, this article  
34 examines the diverse range of strategies deployed by the city government of Barcelona  
35 (Catalonia, NE Spain) to confront the recurrent drought episodes experienced between  
36 1626 and 1650. Our reconstruction of drought in Barcelona for the period 1525-1821,  
37 based on *pro pluvia* rogations as documentary proxy data, identifies the years 1626-  
38 1635 and 1640s as the most significant drought events of the series (highest drought  
39 frequency weighted index and drought duration index). We then focus on the period  
40 1601-1650 providing a timeline that visualises rain rogation levels in Barcelona at a  
41 monthly resolution. Against this backdrop, we examine institutional responses to  
42 drought and discuss how water scarcity was perceived and confronted by Barcelona city  
43 authorities. Among the several measures implemented, we present the ambitious water  
44 supply projects launched by the city government, together with the construction of  
45 windmills as an alternative to watermills, in order to cope with diminishing water flows.  
46 We pay special attention to the institutional efforts to codify the knowledge about  
47 Barcelona's water supply, which in 1650 materialised in the Book of Fountains of the  
48 City of Barcelona (*Llibre de les Fonts de la Ciutat de Barcelona*). This manual of urban  
49 water supply, written by the water city officer after three decades of experience in his  
50 post, constitutes a rare and valuable source to study water management history but also  
51 includes significant information to interpret historical climate. We analyse the  
52 elaboration of this manual in the context of three decades marked by recurrent episodes  
53 of severe drought. We interpret the city government aspiration to codify knowledge  
54 about urban water supply as an attempt to systematise historical information on  
55 infrastructure to improve institutional capacities to cope with future water scarcities.  
56

## 57 1. Introduction

58

59 In July 1650, during an intense episode of drought in Barcelona, the city water officer  
60 (“mestre de les fonts”) Francesc Sociés started writing a book that described in great  
61 detail the water supply and distribution system of the city. At the time, Sociés had been  
62 in his post for over thirty years, overseeing the city’s fountains and water supply, and  
63 was approaching retirement. After decades of coping with drought very frequently, and  
64 well-aware of the precious experience gathered by Francesc Sociés, the city  
65 government had asked him to compile his knowledge about Barcelona’s water supply  
66 system. The resulting book should perpetually be kept in the city archives to shed light  
67 on the work of future water city officers and improve urban water management. In  
68 November 1650, he delivered what became known as the *Llibre de les Fonts de la*  
69 *Ciutat de Barcelona* (“Book of Fountains of the City of Barcelona”) (Archival source  
70 AS1).

71 This article focuses on the three decades (1620-1650) leading to the codification of  
72 Barcelona water knowledge into the Book of Fountains and examines them from the  
73 perspective of historical climatology and environmental history. The period 1625-1635  
74 in Catalonia has already been identified in the historical climatology literature as  
75 severely dry (Díaz, 1984; Martín-Vide and Barriendos, 1995; Rodrigo and Barriendos,  
76 2008). These results are coherent with a systematic analysis of 165 tree-ring series in  
77 the Mediterranean for the last 500 years, which points to an acute period of drought  
78 between 1620 and 1640, an episode that affected the whole Western Mediterranean  
79 (Nicault *et al.*, 2008). Drawing on *pro pluvia* rogations (rain rogations) as proxy data  
80 and focusing on Barcelona, in this article we establish that the years 1626-1635 and  
81 1640s constitute the most significant drought events that occurred in the city during the  
82 period 1521-1825 (highest drought frequency weighted index and drought duration  
83 index of the series). This previously unpublished drought reconstruction in Barcelona is  
84 the first contribution of our work.

85 In addition, following the pioneering research on the social dimensions of past climate  
86 variability (Pfister, Brázdil and Glaser, 1999) and recent environmental history  
87 monographs that have incorporated historical climatology (White, 2011; Degroot, 2018),  
88 we examine the diverse range of strategies deployed by the Barcelona city government  
89 to confront the recurrent drought episodes experienced during these years. In contrast to  
90 the development of historical climatology in Catalonia, research on the human  
91 dimensions of climate variability is still scarce. The work of Antoni Simon i Tarrés,  
92 who highlighted the importance of drought among the complex interaction of factors  
93 that triggered social unrest in Catalonia during the late 1620s and 1630s stands out  
94 among the few existing publications on the topic (Simon i Tarrés, 1981, 1992). Others  
95 have underlined that climate conditions in the 17<sup>th</sup> century accentuated the agricultural,  
96 social and political crisis (Serra i Puig and Ardit, 2008). The relevance of the climatic  
97 factor in the Spanish context during the 17<sup>th</sup> century has also been underlined by  
98 Geoffrey Parker, who pointed out that during the reign of Philip IV Spain “suffered  
99 extreme weather without parallel in other periods, particularly in 1630–2 and 1640–3”  
100 (Parker 2013:289) and examined the revolt of Catalonia against the Spanish King  
101 (1640-1651) in this context.

102 However, none of these authors explicitly addressed the human response to climatic  
103 disturbances in Catalonia during these years. More recently, Mar Grau-Satorras has  
104 examined the example of the town of Terrassa (Barcelona region, Catalonia) to analyse  
105 how local communities combined different strategies to cope with drought, including

106 infrastructural, institutional and symbolic responses which changed throughout time  
107 (Grau-Satorras *et al.*, 2016, 2018; Grau-Satorras, 2017). Along these lines, our research  
108 focuses on the case of Barcelona as an example of Western Mediterranean urban  
109 agglomeration (40,000 citizens) under severe environmental stress. Among other  
110 institutional strategies in response to drought and diminishing water flows, we discuss  
111 the elaboration of the Book of Fountains, underlining the relevance and novelty of the  
112 attempt of Barcelona city government to codify water knowledge in the form of a book  
113 for future managers.

114 In line with previous research in the field of historical climatology re-assessing  
115 traditional documentary sources or presenting innovative ones (Adamson, 2015; Veale  
116 *et al.*, 2017), our research draws attention to the potential of urban water supply  
117 manuals as a rare but significant source to be considered to critically interpret  
118 institutional responses to droughts. While the Book of Fountains has been mentioned in  
119 the literature about Barcelona's history (Voltes Bou, 1967; Perelló Ferrer, 1996;  
120 Cubeles, 2011), there is no systematic analysis of Francesc Sociés work and no modern  
121 editions of the Book of Fountains have ever been published. After carrying out the first  
122 complete transcription and study of this text, this is the first article that contextualises  
123 the elaboration of the Book of Fountains within the most intense dry years of the period  
124 1521-1825. Manuals of urban water supply constitute rare documentary sources, and we  
125 have only identified another book similar book: *Le Livre des Fontaines de Rouen*,  
126 written by Jacques Le Lieur between 1524 and 1525 in the city of Rouen, France  
127 (Sowina, 2016).

128 The article proceeds as follows. In the next section, we provide an overview of the  
129 methods and sources used to reconstruct droughts during our period of study, as well as  
130 to review the institutional responses to it. In the "Results" section we present three  
131 previously unpublished figures that show the drought frequency weighted index and  
132 drought duration index for the period 1521-1825, together with a timeline that presents  
133 rain rogation levels in Barcelona between 1601 and 1650 at a monthly resolution. The  
134 results about institutional responses are presented in the form of two diagrams showing  
135 the main strategies followed by the city government and the specific years they were  
136 implemented. Next, the discussion section is subdivided in three parts. First, we  
137 examine how institutional responses to drought intertwined with urban and political  
138 conflicts. Second, we discuss the Book of Fountains as a strategy for codifying  
139 knowledge transmission and improve urban water management. Third, we analyse the  
140 Book of Fountains as a tool to enhance water infrastructure control. In the conclusions,  
141 we summarise the relevance of our local case study and point out the potential of urban  
142 water supply manuals as historical sources for both climate reconstruction and past  
143 climate adaptation.

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## 146 2. Methodology and Sources

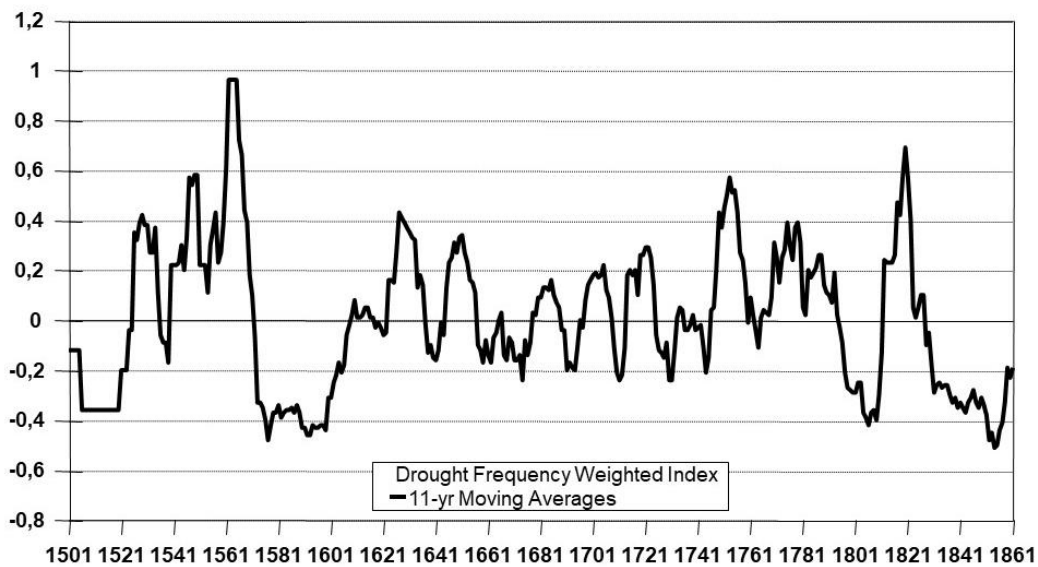
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### 148 2.1 Drought reconstruction

149 The climatic conditions during the 17<sup>th</sup> century can be considered as part of the climatic  
150 episode known as the Little Ice Age (LIA). Paleoclimatic research has pointed to a  
151 higher frequency and severity of cold spells during this episode (Pfister, Schwarz-  
152 Zanetti and Wegmann, 1996; Pfister *et al.*, 1998; Ogilvie and Jónsson, 2001; White,  
153 2014). More recently it has also identified and analysed a general increase in the  
154 irregularity of rainfall patterns, manifested in the emergence of hydrometeorological  
155 extreme episodes with great social and environmental impact. At the climatic scale, in  
156 the Spanish Mediterranean this increase in the frequency and severity of extreme  
157 hydrometeorological events manifests in periods of around 40 years for the case of  
158 extraordinary rainfalls leading to floods (Barriendos and Martín-Vide, 1998; Llasat *et*  
159 *al.*, 2005; Barriendos *et al.*, 2019).

160 Rain rogations have been successfully used as a proxy for the reconstruction of rainfall  
161 variability (Martín-Vide & Barriendos, 1995; Barriendos, 1996; Barriendos, 1997).  
162 Rogations were a mechanism to respond to environmental stress, in this case drought.  
163 The institutions involved (agricultural guilds, city councils, cathedral chapters) have left  
164 reliable and detailed records, with data at a daily resolution. In Catalonia, rain rogations  
165 are classified in five levels, according to its severity. These categories can be identified  
166 by the typology of religious liturgies, from simple rogations inside the church (low,  
167 level 1) to pilgrimages to sanctuaries (critical, level 5). An integrated index is obtained  
168 by weighting data according to the severity of each level of rogation. This index is  
169 standardised so that it can be compared with other populations and regions (Martín-  
170 Vide and Barriendos, 1995).

171 Drawing on previous research based on this method and sources, Figure 1 provides a  
172 general view of the frequency of extreme droughts for the period 1501-1861 with data  
173 from four Catalan cities near the Mediterranean coast at a yearly resolution (Barcelona,  
174 Girona, Tarragona and Tortosa) (data adapted from Oliva *et al.*, 2018). This general  
175 view allows to identify many recurring events of medium intensity and some of very  
176 high intensity for the Catalan coast. The relevant drought events identified are the  
177 following: 1520s, 1540s, 1560s, 1620s (c. 1625-1635), 1750s, 1812-1824.



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**Figure 1.** Drought Frequency Weighted Index (1501-1861). Standardised values. 11 years moving averages from four cities: Girona, Barcelona, Tarragona and Tortosa. Data adapted from Oliva *et al.*, 2018.

182 In relation to 17<sup>th</sup> century Catalonia, Figure 1 shows two pulses of drought during our  
183 period of study (1620-1650): a higher one approximately between 1625-1635 and a  
184 lower one immediately after. This assessment is coherent with the systematic analysis of  
185 165 tree-ring series in the Mediterranean for the last 500 years, which point to an acute  
186 period of drought between 1620 and 1640, an episode that affected the whole Western  
187 Mediterranean (Nicault *et al.*, 2008).

188 In order to document the impact of drought in Barcelona and the institutional measures  
189 to adapt to it, our research delves in the Catalan capital leaving aside the other three  
190 cities included in Figure 1. In first place, we apply the drought frequency weighted  
191 index displayed in Figure 1 to the local data of Barcelona (see Figure 4 in the section  
192 “Results”, previously unpublished). Second, we take advantage of a variable that  
193 provides useful information to assess the length of drought episodes. In the case of  
194 Barcelona, the level 2 of *pro pluvia* rogations involved the public exhibition of a  
195 specific relic: the remains of Santa Madrona (Martín-Vide & Barriendos, 1995). The  
196 public exhibition of this relic in the high altar of the Cathedral lasted until the  
197 authorities established that the drought was over. In that moment, the urn containing the  
198 Saint’s remains was taken back to the Chapel of Santa Madrona in the near mountain of  
199 Montjuïc. This liturgical pattern introduces the possibility of analysing the duration of  
200 drought episodes as perceived by local authorities, something that has not been studied  
201 in this geographical context. By accounting for the amount of days per year than the  
202 level 2 of drought was active in Barcelona and standardising the result to make it  
203 comparable with other cities, we obtain an annual index of drought duration for the  
204 period 1521-1825 (see Figure 5 in the section “Results”, previously unpublished).  
205 Finally, since the data allows for an analysis at a monthly resolution, we aim at  
206 elaborating a timeline to describe the behaviour of drought and the different rogation  
207 levels focused on the study period 1600-1650. This timeline (see Figure 6 in the section  
208 “Results”, previously unpublished) allows to distinguish if the dry months were  
209 sporadic and irregular or appeared as a persistent anomaly for long periods.

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## 2.2 Institutional response

Our analysis of the institutional response to drought focuses on the period 1620-1650. We provide a qualitative analysis of the records produced by the Consell de Cent (city government) in relation to water management during these years. Most of all, we interpret the elaboration of the *Llibre de les Fonts* in the context of the frequent drought of our period of study. This rare source, kept at the city archives, was written by the water city officer Francesc Socies during the summer of 1650, at the request of the city government (AS1, Figure 2; AS2). The Book of Fountains is a manual about urban water supply, a text where Socies provides instructions that codify both the knowledge of his profession and the experience of his job position, where he was posted between 1620 and 1650. The manual aimed at guiding future interventions in the supply system and communicating what future water city officers should know.



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226 **Figure 2.** First page of the *Llibre de les Fonts*, Manuscripts, L-15, Arxiu Històric de la Ciutat de Barcelona  
227 (AHCB).

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The structure of the book follows the water distribution system and describes it as an interconnected network, from the drainage underground channels in the hills of Barcelona known as “water mines” (*qanats*) to the city fountains. The author indicates with high precision where each element is located, both for those visible and those hidden from view, underground or behind walls (water taps, pipes, water tanks or wells). In addition, throughout the book, the author provides a calendar for the system’s maintenance within a particular urban space and time. Socies specifies where to intervene and how often, for instance in relation to the cleaning of pipes and curtailing the growth of trees’ roots that can disrupt sections of the system (e.g. every two, four or five years). Nevertheless, Socies’ temporal specifications do not only apply to maintenance, but also to key historical information about water property rights. Finally, Socies refers several times to droughts and the lack of water supply experienced several times in the city during the study period.

243 In addition to our analysis of the Book of Fountains, a review of the secondary literature  
244 on urban history has identified valuable works that refer to measures approved by the  
245 city government during the 17<sup>th</sup> century to cope with drought and diminishing water  
246 flows (Voltes Bou, 1967; Perelló Ferrer, 1996). We have also reviewed the leaflets  
247 published by the city government during our period of study and found several  
248 connected to water management. In first place, we located a pamphlet in defence of a  
249 channel project to bring waters from the Llobregat River to Barcelona (AS3, published  
250 in 1627). Despite this project was not carried out, we have traced references to it in city  
251 chronicles and meeting records during the following years (AS4 and AS5). Our review  
252 has also identified four leaflets connected to a legal conflict concerning water rights,  
253 which in 1634 brought face to face the Barcelona city government and the water officer  
254 Francesc Sossies with the Cathedral's Chapter (AS6, AS7, AS8, see Figure 3, and AS9).  
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257 **Figure 3.** First page of leaflet “Por la ciudad de Barcelona y Francisco Sossies, maestro de las fventes,  
258 con el Cabildo de la Iglesia Maior acerca de las censuras declaradas contra el dicho Sossies”, 1634 (AS8).  
259 Source: F.Bon. 10964, Biblioteca de Catalunya.  
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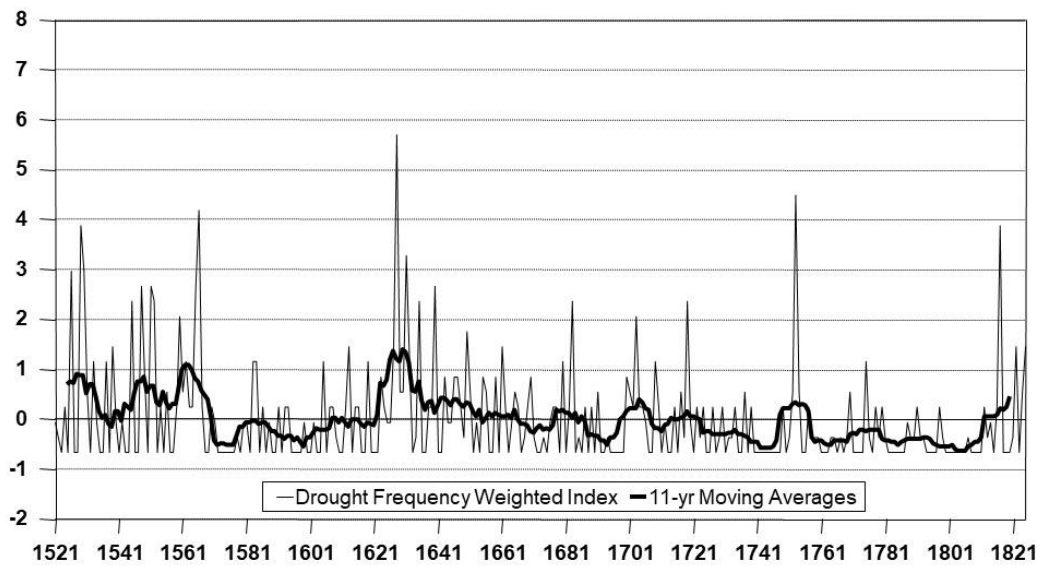


262 **3. Results**

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264 *3.1 Drought reconstruction*

265 Drawing on *pro pluvia* rogations, Figure 4 shows a distribution of drought frequency in  
266 Barcelona between 1521 and 1825 with different degrees of intensity. By using yearly  
267 weighted indexes, we identify the decades of 1560s and 1625-1635 as the two most  
268 significant drought events of these three centuries in the city. The latter, however, stands  
269 out for its extreme severity. Moreover, there was no similar experience with drought in  
270 the previous 50 years (approximately 1570-1620).

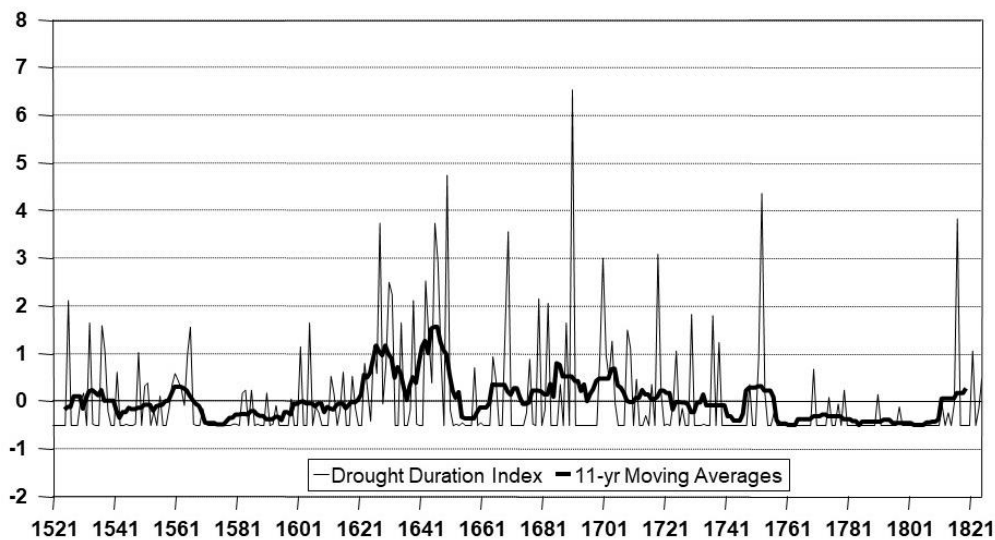


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272 **Figure 4.** Drought Frequency Weighted Index. Standardised values. City of Barcelona (1521-1825). Data  
273 improved from Martín-Vide and Barriendos, 1995.

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275 Through the development of an index of drought duration, Figure 5 shows that the  
276 drought experienced in Barcelona during the late 1620s was perceived as longer than  
277 any other registered until that time. While it is difficult to extract more details with  
278 these historical records, it is evident that the drought registered had an extraordinary  
279 magnitude. However, the long duration of the rain rogations may also be related to the  
280 perception of an extreme anomaly by the city authorities, since almost no drought  
281 conditions had been experienced in the previous 50 years.

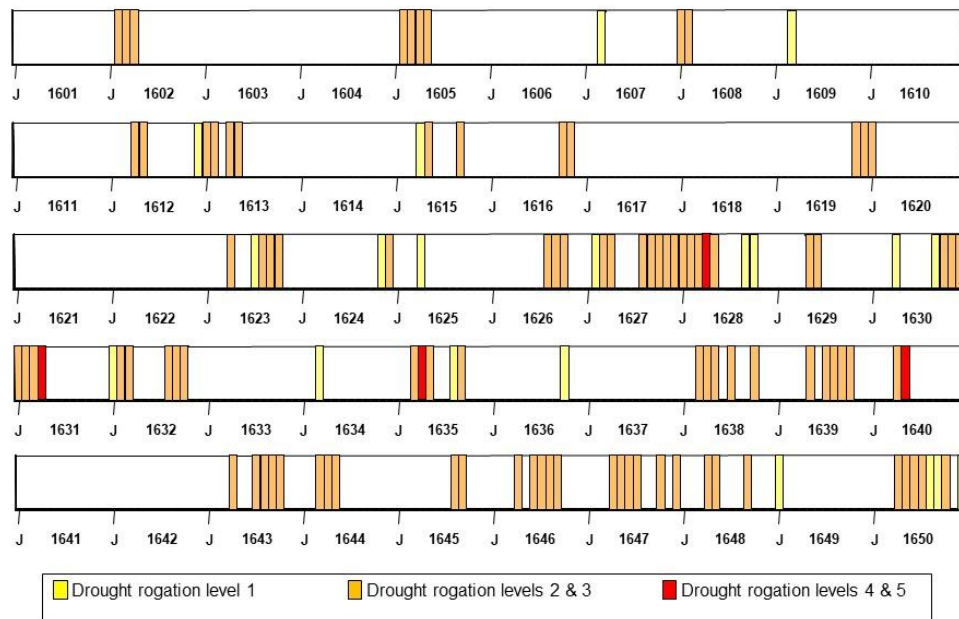


**Figure 5.** Drought Duration Index. Standardised values. City of Barcelona (1521-1825).

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285 The analysis of drought duration presented in Figure 5 reveals another significant issue.  
286 After the severe 1620s drought, which extends into the first part of the 1630s, there was  
287 a less intense episode, very close in time, around the 1640s. In this occasion the  
288 duration of rain rogations of level 2 – involving the exhibition of Santa Madrona– was  
289 even longer than in the previous episode (Figure 5). These results do not allow to  
290 analyse in detail the development of the drought episode as a natural episode but  
291 provide an entry point to the human response to an extraordinary climate event. The  
292 first drought episode of the period of study (1620s to the first half of 1630s) had such a  
293 social impact that the almost consecutive episode of the 1640s generates a proportional  
294 response. In view of the impact of drought on water resources and with limited  
295 references available after two generations without similar events, the duration of the  
296 rain rogations may have been extended as a response against a challenging situation for  
297 local authorities.

298 Finally, Figure 6 delves into the first half of the 17<sup>th</sup> century, the period when the most  
299 significant and long episodes of drought have been identified in the previous figures.  
300 Figure 6 visualises rain rogation levels at a monthly resolution for the first time in our  
301 geographical context. This timeline allows to analyse if drought appeared either  
302 sporadically and irregularly, or as a persistent anomaly for longer periods. In the case of  
303 prolonged drought during the rainy seasons in the region (spring and autumn), the  
304 impacts in agriculture and water supply may have been particularly severe. The results  
305 shown in Figure 6 allow to identify the years 1626-1627 as the beginning of the 1620-  
306 1630s drought episode shown in Figures 4 and 5. During the 1640s, the specific period  
307 identified spans from 1643 to 1648.



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**Figure 6:** Monthly drought rogations levels in Barcelona, 1601-1650.

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### 3.2 Institutional response

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Throughout the period 1620 to 1650 the city government implemented a diverse range of institutional strategies to respond to drought. In the following paragraphs, we summarise these strategies, which we have identified in our review of primary and secondary sources. Figures 7 and 8 synthesise these responses in relation to the two periods of drought identified (1620s-1630s and 1640s).

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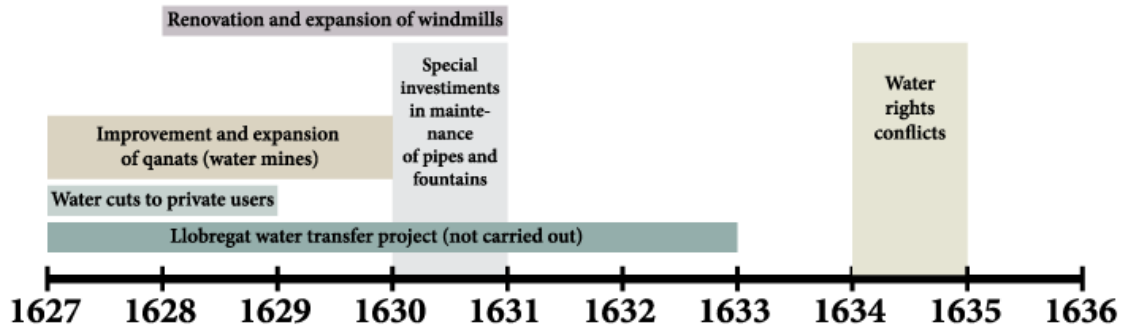
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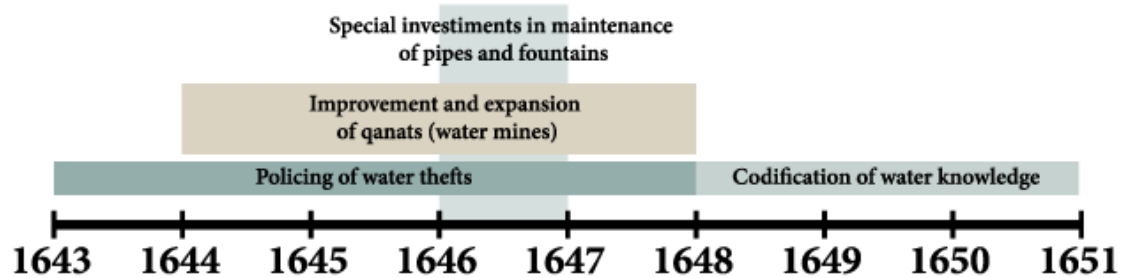
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One of the main strategies developed by the city council to cope with the diminishing water flows caused by drought was the improvement and expansion of the urban water supply sources. During the 17<sup>th</sup> century, the water supplied to Barcelona’s fountains came from several underground drainage channels originating in the hills surrounding the city. These structures, known as *mines d’aigua* (“water mines”) in Catalan and as *vijajes de agua* (“water journeys”) in Spanish, were common in all the Mediterranean and originated in the medieval *qanats* established by Muslim settlers (Guàrdia, 2011; Custodio, 2012). On several occasions during our period of study water flows coming from these sources decreased significantly, triggering efforts from the Consell de Cent to improve and expand old *qanats* and to open new ones. Between 1627 and 1629, the city water officer built a new *qanat* that provided a significant increase in the waters delivered to Barcelona (Perelló Ferrer 1996: 126-127). During the second half of the 1640s the Consell de Cent approved the construction of a new *qanat* in Pedralbes (Perelló Ferrer 1996:129).



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332 **Figure 7:** Strategies of institutional response to drought (1627-1636). Source: Own elaboration.



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335 **Figure 8:** Strategies of institutional response to drought (1643-1650). Source: Own elaboration.

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337 Other attempts to diversify the water sources of the city were more ambitious. In 1627  
338 the city government proposed to build an open water canal (approximately 12 km long)  
339 connecting the river Llobregat to the city. The Consell de Cent regarded the Llobregat  
340 waters as the “universal solution” to the problem of water supply, and published a  
341 pamphlet detailing the many advantages of the project. Several experts in water supply  
342 infrastructure came to Barcelona and worked together with the water city officer to draft  
343 a detailed proposal which was submitted to the Viceroy and eventually to the Spanish  
344 King (AS3). King Philip IV showed interest in the project, but also concerns about the  
345 landowners affected (Voltes Bou 1967: 58-59). In 1633 the project made a comeback,  
346 when the city officers called water supply experts to resume the work on the canal and  
347 even started marking it on the ground (AS4). However, the Royal Privilege needed was  
348 not obtained (AS5: 137, 154-155) and the project did not go ahead (Voltes Bou,  
349 1967:59-60; Perelló Ferrer, 1996:127-128).

350 Along with the investments devoted to expanding the sources of water supply, the city  
351 government attempted to improve the efficiency of the existent system. In 1630-1631 it  
352 devoted substantial efforts to the conservation and upkeeping of the city pipes, fixing  
353 broken sections, and cleaning those that were clogged by earth and trees. During the  
354 second half of the 1640s it also invested in the improvement of the city fountains  
355 (Voltes Bou, 1967:60; Perelló Ferrer, 1996:127-129). But in moments of great scarcity,  
356 the city government would actively police any possible theft of water from the urban  
357 supply system and, if needed, impose restrictions to private users. The acute dry  
358 conditions experienced during 1627 and early 1628, for instance, forced to cut off water  
359 supply to almost all private users in the city (Perelló Ferrer, 1996:126). After suspecting  
360 water thefts during the 1640s, in 1644 the city government went as far as approving a  
361 search into all the houses close to the main pipe to find where the water leak was or who

362 had illegally drilled into the pipe and set a tap (AS1, chapter 22; Perelló Ferrer  
363 1996:128) (see Figures 7 and 8).

364 The proactive attitude of the city government to regulate water use by the institutions  
365 and private actors who had access to it created acute tensions with some of them. In  
366 1634, the city government's decision to cut water supply to the Cathedral triggered a  
367 remarkable confrontation. The Cathedral's Chapter immediately excommunicated the  
368 city water officer and the members of the Consell de Cent for offending the property of  
369 the Church, causing a great scandal in the city (AS5). The Cathedral proved that its right  
370 to water was granted by an agreement with the Consell dated in 1355. The  
371 excommunications were lifted the very same year, but despite accepting the Cathedral  
372 Chapter's rights, the city government reasserted itself as the "master and owner of the  
373 waters that flow to [Barcelona's] fountains" (AS7).

374 Extreme drought did not only cause problems in the city fountains, but also in the water  
375 mills needed to produce flour. During dry years, the water level in the irrigation  
376 channels was not high enough for them to function. This situation forced the city  
377 government to transport the grain to locations farther from the city, thus increasing the  
378 associated costs and occasionally jeopardizing the city's flour supply (Simon i Tarrés  
379 1992: 165-169). The unreliability of watermills in dry years was invoked by the city  
380 government in their plea to bring the waters of Llobregat river to Barcelona via a water  
381 canal. In fact, it was the reason why the city government owned two windmills outside  
382 the city walls since earlier times (AS3). However, due to the almost absence of dry  
383 years since the 1570s, these windmills had been little used and fell into disrepair. In  
384 1628, the Consell de Cent requested its renovation along with two new windmills; five  
385 more would follow in 1629. Therefore, the city government addressed the unreliability  
386 of watermills during dry years with a great expansion of the city windmills, which grew  
387 from two to nine (450%) between 1628 and 1631 (Perelló Ferrer 1996: 286-288).

388 Finally, towards the end of the study period (July 8, 1648) the Consell de Cent asked the  
389 water city officer to write a book about the city's water supply and the operation of the  
390 city's fountains. The Book of Fountains, written during the very dry year of 1650,  
391 provides a detailed description of the main pipe supplying the city, each of its branches  
392 and sections, along with the buildings receiving water supply and the location of the  
393 water conduits and fountains (see Figure 9). The value of the knowledge compiled in  
394 the book was regarded as critical, and according to the city government's instructions, it  
395 could not leave the city government's grounds (AS2:325-326, 400).



**Figure 9:** The urban water supply network of Barcelona as described in the *Llibre de les Fonts*. Source: Modified from Guàrdia, 2011.

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The Book of Fountains did not only provide readers with a geography of the water network elements, but also with a calendar for the system's maintenance and key historical information about water property rights and concessions to specific buildings. Moreover, it includes useful information for the reconstruction of the climate of the past. Sociés' account points out the years 1626 and 1627 as the beginning of a long dry period in Barcelona. The first two decades of the 17<sup>th</sup> century had been a time of water abundance, when the city government supported the expansion of the water distribution system and granted water concessions to several aristocratic houses and monasteries (AS1, chapters 65, 69, 79 and 98). All this came to an end between in 1626-1627. In Sociés own words, "the abundance of waters lasted until the year 1626 (...). Already in the year 1627 came a great drought and in the fountains of the city there was a great lack of water" (AS1, chapter 65). When writing the book in the summer of 1650, Sociés visited the *qanats* of Nostra Senyora del Coll and pointed out that it was the first time in his life that he saw them dry. After 30 years on his post, Sociés wrote that as years passed by, the flow of water in the city had been decreasing. He underlined the importance of the *qanat* construction he had led in the late 1627-1629 to keep water running in Barcelona's fountains during the driest years (AS1, chapter 65).

418 **4. Discussion**

419

420 *4.1 Drought stress and political tensions*

421 In this section, we discuss how the unprecedented drought pulse started in 1626-1627  
422 heightened micro and macropolitical tensions in Barcelona. By looking at three ways in  
423 which the institutional responses to drought intertwined with urban and political  
424 conflicts, we shed light on the complex interlinkages between drought, water scarcity,  
425 food supply and politics at the local and regional scale.

426 The impacts of the severe dry period started in 1627 went beyond Barcelona and  
427 critically disrupted food supply during the following years. By 1628, a contemporary  
428 witness stated that “the dioceses of Barcelona, Tarragona and the plain of Urgell cry of  
429 thirst” (Simon i Tarrés 1992: 161-162). Between 1628 and 1631, dry years and extreme  
430 climate events critically affected agriculture in Catalonia, resulting in bad crops and  
431 adding new tensions to both local and regional conflicts (Simon i Tarrés 1992:158-161).  
432 The diminishing grain supplies could have been compensated with imports from  
433 southern France and Milan, but war and plague in these regions prevented it. The  
434 Barcelona city government had boosted the construction of windmills to secure the  
435 transformation of grain into flour when water flows were too little (see Figure 7), but  
436 often there was simply no grain to be milled. During the spring of 1631, the protests for  
437 the price, scarcity, and bad quality of bread in Barcelona ended up in violent riots that  
438 directly threatened the lives of the city government members. In response to this  
439 subsistence crisis, the Consell de Cent assumed full control of bread production,  
440 banning any production or distribution of bread by other institutions, and putting in  
441 place a centralized, street-by-street rationing system. In the end, a wheat cargo coming  
442 from Mallorca in May 1631 alleviated the shortage (Simon i Tarrés, 1992). However,  
443 the strategy of enforcing a centralized rationing system during scenarios of scarcity –or  
444 whenever these scenarios seemed feasible– remained in use during the following years.

445 The very mechanisms established to cope with the subsistence crisis of 1627-1631 in  
446 Barcelona intertwined with ongoing power struggles, setting the scene for new conflicts.  
447 During 1633 the city government attempted to enforce its control of bread production  
448 and distribution, put into practice two years earlier. The insistent public calls issued to  
449 the monasteries and the Cathedral to prevent them from producing and distributing  
450 bread suggest that these regulations were far from followed. In this context, on the 4<sup>th</sup> of  
451 January 1634, a representative from the Consell de Cent confiscated a piece of bread  
452 that had been produced by the Cathedral, confirming that this institution was disobeying  
453 the calls from the city government (AS5). The accusations escalated rapidly, and among  
454 the reprisals approved, the city government ordered the water officer to cut off the water  
455 supply to the Cathedral. This decision triggered a scandal which soon went beyond the  
456 walls of the city of Barcelona. On the basis that they had offended the property of the  
457 Church by cutting the water flow, the members of the Consell de Cent and Francesc  
458 Sociés were excommunicated. While it was bread production and distribution, not water,  
459 what had originally been the cause of the dispute, legal rights about water supply and its  
460 value were at stake. The conflict cannot be interpreted as caused by water scarcity, but  
461 the recent experience on the critical value of water in times of severe drought helps  
462 explaining the reprisal chosen by the Consell and the virulent response of the Cathedral.  
463 By questioning access to water, a quarrel over bread distribution rights transformed into  
464 a major legal case leading to the excommunication of the city government officials –  
465 including the city water officer.

466 The Cathedral's Chapter soon proved that, unlike many monasteries in the city, its right  
467 to receive waters went back as far as 1355, as demonstrated by the documents kept in its  
468 archive (AS6 and AS7). Water supply to the Cathedral was restored in a matter of hours.  
469 In the legal conflict that followed, even if the Consell acknowledged the Cathedral's  
470 rights to water, it also reasserted its own role as the institution responsible of  
471 maintaining and overseeing urban water supply. In order to justify the water cut-off, the  
472 city government argued that they had not been aware that the Cathedral hold old rights  
473 to these waters (AS6 and AS7). The Cathedral's Chapter, on the other side, considered  
474 that both the city government and the city water officer had been perfectly aware that  
475 waters belonged to the Cathedral, and therefore underlined that the water cut-off had to  
476 be considered an aggravated crime against the Church properties –one that was  
477 punished with excommunication (AS8). The legal case fell in the hands of the  
478 Archbishop of Tarragona and was discussed in Madrid and Rome. Mutual accusations  
479 between the Cathedral and the Consell continued for months, even if the  
480 excommunications were provisionally lifted after a few weeks (AS4:205-206).

481 Finally, among the diverse range of strategies launched by the city government in these  
482 years (see Figure 7) one stands out for its ambition and scale: the project to build a canal  
483 bringing the waters of Llobregat river to Barcelona. Launched as soon as 1627, the  
484 project harmed the interests of aristocratic landowners, who opposed it consistently. The  
485 petition reached King Philip IV in the aftermath of his meeting with the representative  
486 body of Catalonia (*Corts*), held in 1626, where the King's proposal to raise an economic  
487 and human contribution from Catalonia to support the Spanish army had failed (Elliott,  
488 1984). The situation repeated a few years later, in 1632, when the impact of drought in  
489 food supply had been felt and Barcelona received less than a third of its usual water  
490 supply (Voltes Bou 1967:59). The conversation about the project was resumed around  
491 the time of a new fiasco at the meeting of the Catalan *Corts* with the King. The  
492 permission and Royal Privilege from King Philip IV were never obtained, and the  
493 project came to nothing despite the advanced preparations carried out by the Consell de  
494 Cent (Perelló Ferrer, 1996:127-128). Three centuries were still to pass until the waters  
495 of Llobregat were channelled to Barcelona (Burgueño, 2008; Tello and Ostos, 2012;  
496 Saurí, March and Gorostiza, 2014). Lacking the political support and the resources  
497 needed for a major infrastructural work like the Llobregat canal, local authorities  
498 focused in alternative, less expensive options, such as improving the efficiency of the  
499 water supply system and expanding the already existing network of *qanats*, among other  
500 (see Figure 7). While these works increased urban water flows, they provided a  
501 precarious equilibrium in time of recurrent drought.

502

#### 503 *4.2 Knowledge transmission and adaptation*

504 Under the light of the troubled decades of 1620-1650 for water supply, we interpret the  
505 efforts of the city government to codify water knowledge into a book as an attempt to  
506 anticipate future difficulties by collecting the knowledge of the past. In other words, the  
507 Book of Fountains represents an effort to develop tools for future generations to cope  
508 with the impact of water stress into the urban water supply infrastructure.

509 The city government's petition to Socies took place during the summer of 1648, after a  
510 significantly dry spring and five years of recurrent droughts (see Figure 6). During these  
511 years, the water stress suffered in the city made any suspected water theft a critical  
512 matter. The aggressive attitude demonstrated by the city authorities in policing water  
513 thefts between 1643 and 1648 (see Figure 8) marks an increased awareness of the  
514 importance of controlling urban water infrastructure (see the following section). The



515 need to expand urban water flows also involved investments in new *qanats* and  
516 extraordinary funds for the maintenance of the supply network (see Figure 8). All these  
517 works required additional expenditures, since the salary paid to the water city officer  
518 included only maintenance tasks. Accordingly, the city government considered that with  
519 the assistance of a book compiling urban water knowledge the expenditure related to  
520 city fountains would be lowered, therefore improving urban water management. The  
521 economic reasons to write the Book of Fountains were explicitly mentioned in the  
522 petition directed to Francesc Sociés (AS2:325-326).

523 The city government lacked knowledge about urban water infrastructure and fully  
524 depended on the water city officer. The severe impact of droughts during the 1630s and  
525 1640s only made these circumstances more evident. By the late 1640s, the city water  
526 officer was aging with no successor in sight. His precious knowledge, involving almost  
527 three decades of working with urban water infrastructure, risked being lost. In this  
528 context, the city government saw an opportunity to intervene in the process of  
529 knowledge transmission by putting forward a proposal to write a book. Only during the  
530 dry year of 1650 did Francesc Sociés accept this demand, in exchange of receiving a  
531 salary until the end of his life (AS9:325-326). The Book of Fountains was written  
532 during the continuously dry months of 1650 (see Figure 6) which caused the loss of the  
533 harvest and made the year be known as “the year of misery” (Guàrdia, Pladevall i Font  
534 and Simon i Tarrés, 1986:105). Perhaps key to his decision to accept writing the Book  
535 of Fountains, the water officer had no direct relatives to whom pass on his knowledge  
536 and job post.

537 Traditionally, when approaching retirement, it was the city water officer who would ask  
538 the city government for permission to perform his duties accompanied with an assistant  
539 – usually his son or son-in-law. After working together several years – receiving only  
540 one salary – the apprentice would then replace the city water officer in his post (Perelló  
541 Ferrer 1996:77). This father-to-son tradition of knowledge transmission was common  
542 within guilds’ structures, where family and the family house were units of production  
543 (for the Catalan context, see for instance Creixell i Cabeza, 2008; Solá, 2008). Within  
544 this context, knowledge about professions was transmitted to direct family and to  
545 apprentices. Therefore, knowledge transmission combined a type of oblique  
546 transmission (teacher to pupil) with a vertical type (father to son, uncle to nephew)  
547 (Leonti, 2011). This mechanism of transmission could sometimes involve the creation  
548 of dynasties of the same families in the same job post, keeping knowledge away from  
549 the city government (Montaner i Martorell, 1990:177).

550 By requiring Sociés to write a book compiling his knowledge, the city government  
551 aimed at interceding in the circuit of knowledge transmission and appropriating the  
552 water city officer knowledge. In other words, it aimed at putting oblique knowledge  
553 transmission under institutional control. The elaboration of the Book of Fountains shall  
554 be contextualised within the emergence of technical and practical manuals to transmit  
555 knowledge (Eamon, 1994; Long, 2001; Cifuentes i Comamala, 2006). The knowledge  
556 recorded in these manuals, however, was not meant to be made “public” in the modern  
557 sense. In the case of the Book of Fountains, water knowledge could not be disseminated  
558 for the sake of the institutions’ own interests and for security reasons. The process of  
559 knowledge transmission revealed critical details about the location of water  
560 infrastructure, potentially subject to attack or disruption. Secrecy around infrastructure  
561 was strategic for the survival of the city, both for external circumstances – the 1630s  
562 and 1640s were marked by war and the threat of siege – and internal struggles with  
563 other city institutions such as the Cathedral’s Chapter. The strategic value of this

564 knowledge explains the city government's instructions, which established that the book  
565 should remain perpetually in the city government's premises. This also showed an  
566 explicit intention of appropriating the knowledge inherently associated to the water  
567 officer's job post, restricting the access to it to those authorised by the city government.

568 Writing the Book of Fountains was about compiling the knowledge of the past, but also  
569 about creating an object that could collect future information. Francesc Sociés  
570 demanded the involvement of his readers –future water city officers– to ensure that the  
571 book remained a useful tool. He required them to record at the margins of the text any  
572 intervention in the water network, thus keeping knowledge to date for future generations  
573 (AS1:262). By involving future water officers into the authorship, the book aimed at  
574 becoming a transgenerational endeavour, a collective heritage under the control of the  
575 city government. In this way it became useful for the present as a physical object, but  
576 also a perdurable, vital tool for the city's future. By obtaining a book that transmitted  
577 knowledge to future managers, the city government aimed at improving the institutional  
578 capacity for adaptation to future environmental stress.

579

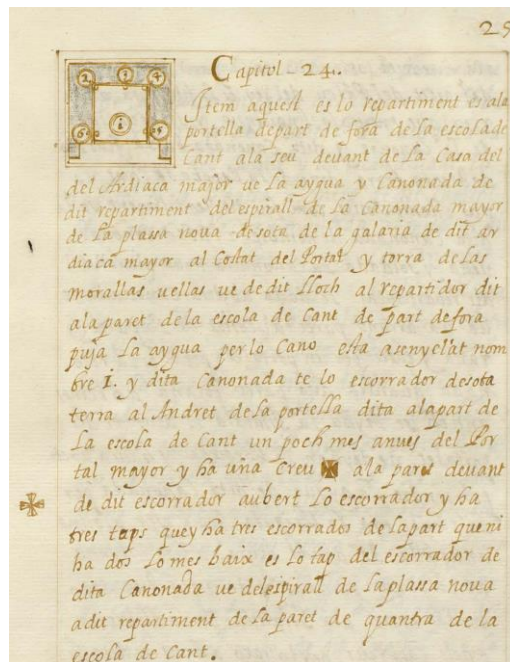
#### 580 *4.3 Enforcing control over water infrastructure*

581 The scandal of the excommunication of the Consell de Cent and the city water officer  
582 after the water cut-off to the Cathedral in 1634 came after some of the driest years  
583 remembered in Barcelona (see Figures 4, 5 and 6). The city government emerged from  
584 the conflict with renewed awareness about the importance of enforcing control over  
585 water supply, but also of monitoring information about water concessions and water  
586 rights, which could help avoiding similar conflicts in the future. In line with the  
587 declaration that the city was “master and owner of the waters that flow to its fountains”,  
588 during the following years the city government devoted more and more attention to  
589 watch out its water resources and remained wary of any violation of its water rights.

590 The production of the Book of Fountains was in line with this behaviour. The ambition  
591 to elaborate a book containing urban water knowledge and the explicit requirement that  
592 it should be kept in the city government's grounds made clear the Consell's  
593 determination to reinforce its position as the institution responsible for water  
594 management in the city, and therefore to reaffirm its capacity to use water as a tool to  
595 control urban space (AS2:325-326). In other words, enhancing the city government's  
596 control over water flows was also one of the goals behind the codification of water  
597 knowledge. Elaborating the Book of Fountains meant creating a valuable tool to enforce  
598 control over urban water flows and infrastructure. In terms of water property and rights,  
599 writing was an instrumental juridical tool for the city government to reassert itself as the  
600 “master and owner of the waters that flow to [Barcelona] fountains”, fully in line with  
601 the statement made during the conflict with the Cathedral's Chapter in 1634 (AS7).

602 With his writing the water city officer established the water's urban journey from source  
603 to tap, defining who the proprietor of this knowledge was and institutionalizing who had  
604 the power to control it. When referring to specific places in the city, he often established  
605 a symbolic relation between the written text and the urban fabric. Text and territory  
606 become inseparable, and as a connector, the author used a figure –the cross– sometimes  
607 in the text but more often in its margins, making its location faster to readers. These  
608 crosses written in the book refer to crosses chiselled in the stone walls of the street  
609 buildings, indicating specific elements of water infrastructure hidden from view and  
610 thus binding the book pages with the urban fabric of the city. In other words: the author

611 inscribed water urban geography into the pages of the Book of Fountains (see Figure  
612 10).  
613



614  
615 **Figure 10:** Book of Fountains, chapter 24. On the lower left side, a cross marks a reference for the reader.  
616 The text refers to the location of the same cross in the urban fabric. Source: *Llibre de les Fonts*,  
617 Manuscrits, L-15, Arxiu Històric de la Ciutat de Barcelona (AHCB).

618  
619 This intention of controlling urban space, based on the need of preserving water supply,  
620 was also explicit in Sociés' instructions to future managers. In order to keep a regular  
621 water flow running in the city's fountains, the city government needed to be able to  
622 detect and solve any incident rapidly, particularly in relation to water thefts. To this end,  
623 Sociés explained how he had been remaking the water network that run through internal  
624 parts of buildings, moving it to their external sections, in order to hinder any attempt to  
625 illegally tap into the water network. He recommended continuing with these reforms in  
626 the future, to put the network as much as possible under control of the water city officer  
627 and make surveillance simpler (AS1, chapters 26, 78 and 79).  
628

## 629 5. Conclusions

630 This article examined past climate variability in the city of Barcelona (Western  
631 Mediterranean) engaging both in drought reconstruction and institutional responses to it.  
632 First, drawing on *pro pluvia* rogations as documentary proxy data, we have provided a  
633 detailed reconstruction of drought frequency and duration between the years 1521 and  
634 1825. The years 1625-1635 register the highest drought frequency weighted index of the  
635 series (Figure 4), while the 1640s stand out in the drought duration index (Figure 5).  
636 Second, we have examined the institutional strategies launched by the city government  
637 in response to drought during the period identified as most relevant (1626 to 1650).  
638 Among other, these involved new water supply infrastructure, enhanced efforts in  
639 system maintenance, and the elaboration of a book compiling urban water knowledge.  
640 We discussed these measures taking into account the complex interlinkages of drought  
641 with food supply and political conflict.

642 By focusing on the historical analysis of drought in Barcelona, our research  
643 corroborates and expands previous work about that had identified a dry period in the  
644 Western Mediterranean between 1620-1640 (Martín-Vide and Barriandos, 1995;  
645 Nicault *et al.*, 2008). Moreover, by providing insights about the strategies implemented  
646 by a major city of 40,000 inhabitants to confront a severely dry period, we expand the  
647 work on human response and adaptation to drought (Grau-Satorras *et al.*, 2018). Among  
648 these strategies, the codification of urban water knowledge stands out for its novelty.  
649 Finally, by showing how the information collected in the Book of Fountains can be used  
650 both for reconstructing past drought events and examining institutional adaptation, we  
651 argue that manuals of urban water management are rare but valuable documentary  
652 sources to be considered in the field of historical climatology.

653 Written in 1650, right at the end of the most significant drought period identified in  
654 Barcelona between 1521 and 1825, the Book of Fountains offers an authoritative voice  
655 on the perception of urban water flows: that of the city officer in charge and his thirty  
656 years of experience. His assessments of the severity of drought during the years 1626-  
657 1627 or the summer of 1650 correspond with the results of the analysis of *pro pluvia*  
658 rogations. This cross-check reinforces the authority of both documentary sources used  
659 in our research. In essence, the Book of Fountains constitutes a mechanism to transmit  
660 and preserve key knowledge to cope better with environmental stress. In a context  
661 marked by drought and diminishing urban water flows, the Book of Fountains was a  
662 complex form of adaptation directed at improving the efficiency of urban water  
663 management systematising historical information about repairs and maintenance,  
664 reducing expenditure and preventing conflicts about water rights. In order to prepare for  
665 an uncertain future, water knowledge had to be taken from a specific family line or  
666 profession and codified into a book under the control of the city government.

667 From this perspective, the Book of Fountains can be interpreted as an outcome of the  
668 institutional learning of three decades of coping with severe water stress. Years of local  
669 and regional tensions reinforced the city government's legal claims over the  
670 management of urban water supply. A coherent step to reassert the position of the  
671 Consell de Cent as the "master and owner of the waters that flow to [Barcelona]  
672 fountains" was to codify knowledge about urban water rights, water distribution and  
673 maintenance into a book. In times of drought, more than ever, the knowledge about the  
674 old *qanats*, pipes, deposits and fountains that formed the water supply network, together  
675 with the centenary water rights that regulated it, was key to the exercise of political  
676 power. A book containing all this information was a treasure that had to be carefully  
677 kept for future generations.

678

679 **Author contribution**

680 Santiago Gorostiza conceived this research with Maria Antònia Martí Escayol and  
681 wrote the introduction, conclusion, and section 3 of the text. He made significant  
682 contributions to the rest of the text. In addition, he handled the coordination, integration,  
683 translation, and revision of texts.

684 Maria Antònia Martí Escayol conceived this research with Santiago Gorostiza and  
685 wrote section 4 of the text. Martí Escayol transcribed the *Llibre de les Fonts de la*  
686 *Ciutat de Barcelona* and made significant contributions to the introduction, section 3  
687 and conclusions of the text.

688 Mariano Barriendos prepared the drought series for Catalonia and Barcelona, handled  
689 the database organization, statistical treatment, graphic production, and preparation of  
690 the tables and figures. Barriendos wrote the section 2 of the text.

691

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700

701 **Competing interests**

702 The authors declare no competing interests.

703

704 **Archival sources**

705

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735

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737 Barcelona, en defensa de la sentencia proferida per lo official ecclesiastich a 5 de Ianer  
738 1634, declarant que Fra[n]cesch Socies, mestre de les fonts de la ciutat, y los demes  
739 complices en lleuar la aygua de la font que te dita iglesia eran excomunicats y posant  
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