



Human response to severe drought in Early Modern Catalonia. The case of Barcelona, Western Mediterranean (1620-1650)

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12 13 **ABSTRACT**: Combining historical climatology and environmental history, this article examines the diverse range of strategies deployed by the city government of Barcelona to 14 confront the recurrent drought episodes experienced between 1626 and 1650. First, our 15 reconstruction of drought episodes for the period 1525-1821, based on pro pluvia 16 17 rogations as documentary proxy data, identifies the years 1625-1635 and 1640-1650 as the most significative drought events of the period 1521-1825 (highest Drought 18 Frequency Weighted Index of the series). Throughout the article, we focus on human 19 responses to drought and discuss how water scarcity was perceived and confronted by 20 21 Barcelona city authorities. We present the ambitious water supply projects launched by 22 the city government, together with the construction of windmills as an alternative to 23 watermills in order to mill grain, as attempts to cope with diminishing water flows. The context was aggravated by political instability, related first to the tensions between the 24 25 centralising efforts of the Spanish King Philip IV and later to the impact of the Thirty Years' War in the border region between the French and Spanish Crowns (1635-1659). 26 Finally, we interpret the efforts of the city government to codify and appropriate 27 28 knowledge about urban water supply as an attempt to systematise historical information 29 on infrastructure to improve institutional capacities to cope with water scarcity in the 30 future. These efforts materialised in the elaboration of the Llibre de les Fonts de la Ciutat de Barcelona ("Book of Fountains of the City of Barcelona"), a manual compiling the 31 32 knowledge of Barcelona's water supply from source to tap, written by the Barcelona 33 water city officer in 1650, after three decades of experience in his post. 34





35 1. Introduction

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37 In July 1650, during one of the hardest droughts remembered in Barcelona, Francesc 38 Socies, the city water officer ("mestre de les fonts"), started writing a book that described 39 in great detail the water supply and distribution system of the city: the *Llibre de les Fonts* 40 de la Ciutat de Barcelona ("Book of Fountains of the City of Barcelona"). At the time, 41 Socies had been in his post for over thirty years, overseeing the city's fountains and water 42 supply, and was approaching retirement. He was a crucial actor and a unique witness of 43 the turbulent times that the water supply of the main city of Catalonia was going through, 44 marked by recurrent droughts since the mid-1620s. In 1627 he had taken part in the 45 ambitious - and eventually unsuccessful - project to build a water canal from the 46 Llobregat River to Barcelona. In 1634, he had been excommunicated by the Cathedral's 47 Chapter of Barcelona after cutting its water supply following the orders of the city 48 government - the Consell de Cent. During the 1640s he left no stone unturned to find the 49 origin of the water losses that affected one of the main fountains of the city and worked 50 hard to increase the sources of the urban water supply system. With three decades of experience behind him, he was growing old with no successor in sight. Suffering the 51 52 impacts of drought almost every year, and well-aware of the precious knowledge that 53 Francesc Socies embodied, the city government approached him with a proposal. They 54 asked the city water officer to write a book compiling all his knowledge about Barcelona's 55 water supply system, from source to tap. This book would perpetually be kept in the city 56 archives, in order to illuminate the work of future water city officers and improve water 57 management. Socies took months to reply, but finally accepted under the condition of 58 receiving a lifetime pension. On November 1650, he delivered what became known as 59 the Llibre de les Fonts ("Book of Fountains") (Archival source AS1).

60 This article focuses on the three decades (1620-1650) leading to the codification of 61 Barcelona water knowledge into the Book of Fountains and examines them from the 62 perspective of historical climatology and environmental history. This period coincides with the years of Francesc Socies as city water officer. Key to the relevance of our case 63 64 study, a systematic analysis of 165 tree-ring series in the Mediterranean for the last 500 years points to an acute period of drought between 1620 and 1640, an episode that 65 66 affected the whole Western Mediterranean (Nicault et al., 2008). Our analysis of documentary sources for the city of Barcelona, drawing on pro pluvia rogations as proxy 67 data, confirms this assessment. We document how the severe droughts experienced in the 68 69 city during 1625-1635 and 1640-1650 stand out within the period covered by pro pluvia 70 rogations (1521-1825). While the period 1625-1635 had already been identified by 71 research on historical climatology as severely dry in Catalonia (Díaz, 1984; Martín-Vide 72 and Barriendos, 1995; Rodrigo and Barriendos, 2008), in this paper we establish that 73 Barcelona suffered the most significative drought event of the period 1521-1825 during 74 these years (highest Drought Frequency Weighted Index of the series).

75 Once the local and regional significance of drought during the period of study (1620-1650) has been established with biological proxies from the existing literature and with 76 77 our documentary data, we move on to examine the different strategies deployed by the 78 city to cope with drought, as well as several conflicts that broke out during this period, 79 related to power struggles around food and water supply. Throughout the article, we 80 combine the detailed account written by Francesc Socies in 1650 with abundant municipal 81 and religious documents from the previous thirty years. Finally, we interpret the efforts 82 of the city government to codify and appropriate water supply knowledge -successfully 83 materialised in the Book of Fountains- as an attempt to systematise historical information





on infrastructure to improve the institutional abilities to cope with water scarcity and
 manage water resources more efficiently – in other words, to better adapt to drought or
 other disturbances affecting water supply.

87 Our research is the first academic analysis of the Book of Fountains, which has been kept 88 in Barcelona city archive since it was delivered in 1650. While the book has been 89 mentioned in the literature about Barcelona's history (Voltes Bou, 1967; Cubeles, 2011), 90 there is no systematic analysis of Francesc Socies work. No modern editions of the Book 91 of Fountains have ever been published, and during our research we have carried out the 92 first complete transcription of the text. This is therefore the first article that presents the 93 Book of Fountains and contextualises its elaboration within the historical climatology of 94 the city of Barcelona (Western Mediterranean). As a manual of urban water supply, the 95 Book of Fountains constitutes a rare documentary source. Although urban water supply 96 was a common problem in the context of pre-modern Europe, we have only identified 97 another book that shares some of its features. It is Le Livre des Fontaines de Rouen, 98 written by Jacques Le Lieur between 1524 and 1525 (Sowina, 2016).

99 In contrast to the development of historical climatology research in Catalonia, little work 100 has been done on the human response to drought beyond acknowledging that climate 101 conditions in the 17th century accentuated the agricultural, social and political crisis (Serra 102 i Puig and Ardit, 2008). The research of Antoni Simon i Tarrés, who highlighted the 103 importance of drought among the complex interaction of factors that led to social unrest 104 in Barcelona and Catalonia during the late 1620s and 1630s stands out among the few 105 publications on the topic (Simon i Tarrés, 1981, 1992). The relevance of the climatic factor in the Spanish context during the 17th century has also been underlined by Geoffrey 106 107 Parker, who pointed out that during the reign of Philip IV Spain "suffered extreme 108 weather without parallel in other periods, particularly in 1630-2 and 1640-3" (Parker 109 2013:289) and examined the revolt of Catalonia against the Spanish King (1640-1651) in 110 this context.

111 However, neither Parker nor Simon i Tarrés explicitly address the human response to 112 climatic disturbances in Catalonia during these years. More recently, Mar Grau-Satorras 113 has used the example of the town of Terrassa (Barcelona region, Catalonia) to examine 114 how local communities combined different strategies to cope with drought, including 115 infrastructural, institutional and symbolic responses which changed throughout time 116 (Grau-Satorras et al., 2016, 2018; Grau-Satorras, 2017). Along these lines, our research 117 focuses on Barcelona as an example of Western Mediterranean urban agglomeration 118 (40,000 citizens). We discuss the elaboration of the Book of Fountains among other 119 adaptation strategies, underlining the relevance and novelty of the attempt of Barcelona 120 government to codify water knowledge in the form of a book as a tool for future water 121 managers.

122 The article proceeds as follows. In the next section, we provide an overview of droughts 123 during the period 1521-1825. Due to lack of instrumental data, we use a compilation of 124 archival religious and municipal sources about pro pluvia rogations (rain rogations) as 125 proxy data for drought (Martín-Vide & Barriendos, 1995; Barriendos, 1996; Barriendos, 126 1997). Our work demonstrates the comparative significance of the period of study (1620-127 1650). Following this, in section 3, we draw on municipal sources to narrate the growing 128 difficulties experienced by water supply in Barcelona to face the severe drought episodes 129 that started in 1626-1627. We analyse the proposal of the city government to build a water 130 canal from the river Llobregat under the light of the water scarcity caused by drought. 131 Similarly, we interpret the great expansion of windmills supported by the Consell de Cent





132 as an alternative for milling grain when there was not enough water in the city's mills' 133 canals. Finally, we introduce the major conflict that confronted the city government with 134 the Cathedral in 1634, leading to the excommunication of the city water officer and the 135 members of the Consell, and relate it to the power struggles about food and water supply.

136 In section 4, we turn our attention to the efforts that the city government carried out to 137 increase its control of water supply and improve its management. After discussing the 138 difficulties faced by Francesc Socies to prevent water thefts during the 1640s, we focus 139 on the proposal presented by the Consell de Cent to the city water officer. We examine 140 the Book of Fountains as an example of codification of water knowledge of the past to 141 prevent future problems; in other words, both as a book depositary of knowledge and as 142 a tool to improve adaptation to diminishing water flows. Finally, in the conclusions we 143 summarise the relevance of our case study in the context of the 1620-1640 drought in the 144 Western Mediterranean and call for interdisciplinary work that combines climate 145 reconstruction with critical analysis of social responses to extreme climate events.

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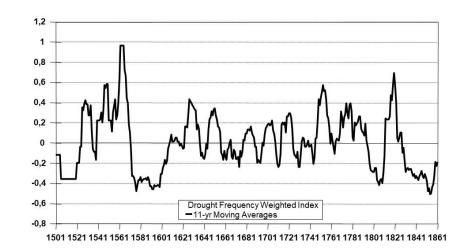
147 **2. Climatic context**

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The climatic conditions during the 17th century can be considered as part of the climatic 149 episode known as the Little Ice Age (LIA). Paleoclimatic research has pointed to a higher 150 frequency and severity of cold spells during this episode (Pfister et al., 1996; Pfister et al. 151 152 1998 ilvie 2001). More recently it has also identified and analysed a general increase 153 in the emergence of interview of rainfall patterns, manifested in the emergence of 154 hydrometeorological extreme episodes with great social and environmental impact. At 155 the climatic scale, in the Spanish Mediterranean this increase in the frequency and 156 severity of extreme hydrometeorological events manifests in periods of around 40 years for the case of extraordinary rainfalls leading to floods [13] rriendos and Martin-Vide, 157 158 1998; Llasat et al., 2005; Barriendos et al., 2019). In the case of extreme drought episodes, 159 the behaviour observed in the frequency of these type of events in the coast of Catalonia 160 allows to identify many recurring events of medium intensity and some of very high 161 intensity for the Catalan cities studied (Barcelona, Girona, Tarragona, and Tortosa, see 162 Figure 1): 1520s, 1540s, 1560s, 1620s (c. 1625-1635), 1750s, 1812-1824.







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Figure 1. Drought Frequency Weighted Index. Standardised values. 11 years moving averages from 4 locations: Girona, Barcelona, Tarragona and Tortosa. Data adapted from Oliva *et al.*, 2018.

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Figure 1 also shows how the drought that characterises the period of study in Catalonia extends during a significantly long period, including a lower intense drought immediately after the 1625-1635 pulse. But in order to better interpret the impact and perception of these drought episodes, it is crucial to set them against the very lack of any similar experience in the previous 50 years. During most of the period 1570-1600 there are no traces of drought episodes in the Catalan coast, and the episodes of the early 1600s were less intense and relatively brief (Figure 1).

174 If we focus in the case of Barcelona and examine in detail the behaviour of drought 175 drawing on the records of *pro pluvia* rogations, the results show a distribution of frequent 176 droughts between 1521 and 1825, with different degrees of intensity. By using yearly 177 weighted indexes, we can identify the decade of 1560s and 1625-1635 as the two most 178 significative drought events of these three centuries in the city of Barcelona. But the latter 179 stands out for its extreme severity (Figure 2).





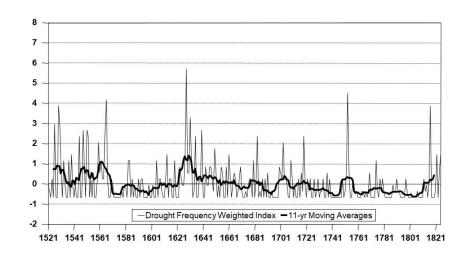




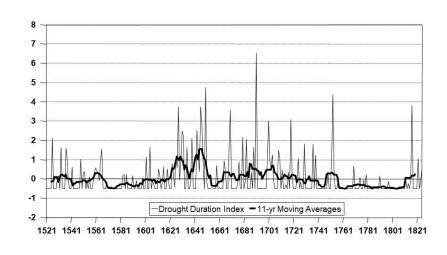
Figure 2. Drought Frequency Weighted Index. Standardised values. City of Barcelona (1521-1825).

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183 In order to improve the characterisation of climatic events in Barcelona, there is a variable 184 that provides useful information to understand how drought was perceived and the 185 responses it generated. In the case of Barcelona, the level 2 of pro pluvia rogations 186 involved the public exhibition of a specific relic: the remains of Santa Madrona (Martín-Vide & Barriendos, 1995). The public exhibition of this relic in the high altar of the 187 188 Cathedral lasted until the authorities established that the drought was over. In this moment, 189 the urn containing the Saint's remains was taken back to the Chapel of Santa Madrona in 190 the near mountain of Montjuïc. This liturgical pattern allows for determining the perception of drought by the Barcelona city authorities at a daily resolution. In other 191 words, it introduces the possibility of analysing the duration of drought episodes as 192 193 perceived by local authorities. On this base, the development of an index of drought 194 duration shows relevant results (Figure 3). Figure 3 illustrates that the 1620s drought and 195 its successive episodes were perceived as longer than any other registered until the time. 196 While it is difficult to extract more details with these historical records, it is evident that 197 the drought registered had an extraordinary magnitude. However, the long duration of the 198 rain rogations may also be related to the perception an extreme anomaly by the city 199 authorities, since almost no drought conditions had been experienced in the previous 50 200 years.









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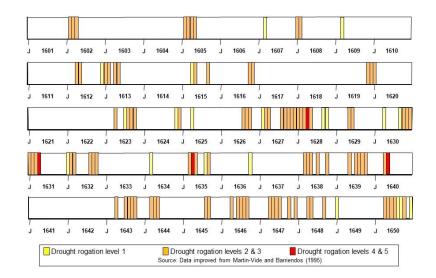
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204 The analysis of drought duration presented in Figure 3 reveals another significant detail. 205 After the severe 1620s drought, there was a less intense episode of drought, but very close 206 in time, around the 1640s. Perhaps impressed by the previous drought, in this occasion 207 the duration of rain rogations of level 2 – involving the exhibition of Santa Madrona– was 208 as long or even more than in the previous episode (Figure 3). These results do not allow 209 to analyse in detail the development of the drought episode as a natural episode but 210 provide an entry point to the perceptions and social response up in extraordinary climate 211 event. The first drought episode of the period of study (162037 had such a social impact 212 that the almost consecutive episode of the 1640s generates a proportional response. In 213 front of the impact of drought on water resources and due to the limited references 214 available after two generations without experiencing similar events, the duration of the 215 religious responses may have been extended as a response against a challenging situation for local authorities. 216

After describing the recurrence of drought during these years, the following sections examine how Barcelona, an urban human community of nearly 40,000 people, experienced the years 1620-1650. We interpret several events of the period of study in relation to drought, from institutional efforts to build water infrastructure to the elaboration of the Book of Fountains. In order to better integrate the role of rain rogations as proxy data for drought with the events discussed in the following sections, Figure 4 presents drought rogations in Barcelona month by month, from 1601 to 1650.







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Figure 4: Monthly drought rogations levels in Barcelona, 1601-1650. Data improved from Martín-Vide and Barriendos, 1995.

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228 **3. Struggling for water supply in Barcelona**

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230 The years 1626-1627 were a turning point for the water supply of the city of Barcelona. During the first two decades of the 17th century there had been a great abundance of water, 231 232 even accompanied with extreme rain episodes such as the catastrophic floods of 1617 (Thorndycraft et al., 2006). In line with these years of abundance, during the early 1620s 233 the city government supported the expansion of the water distribution system, ongoing in 234 the first quarter of the 17th century, and gave water concessions to several aristocratic 235 houses and monasteries, as well as completing a pipe to supply the city harbour (AS1, 236 chapters 65, 69, 79 and 98). This perception of abundance came to an end between 1626 237 238 and 1627. In the words of Francesc Socies ponsible for the management of water supply in the city, "the abundance of water tasted until the year 1626 (...). Already in 239 240 the year 1627 came a great drought and in the fountains of the city there was a great lack 241 of water" (AS1, chapter 65). Socies account concurs with the available information from 242 rain rogations, which points to the second half of 1626 as the beginning of six years of 243 recurrent droughts (1626-1632, see Figure 4).

244 During these years, the water supplied to Barcelona's fountains came from several 245 underground drainage channels originating in the hills surrounding the city. These 246 structures, known as mines d'aigua ("water mines") in Catalan and as viajes de agua 247 ("water journeys") in Spanish, were common in all the Mediterranean and originated in 248 the medieval *qanats* established by Muslim settlers (Guàrdia, 2011; Custodio, 2012). The 249 recurrent dry years starting in 1626-1627 seriously reduced the amount of water coming 250 from these sources and prompted serious efforts from the Consell de Cent to diversify the 251 water supply sources of the city. In addition, the reduction in water flows in irrigation





channels near Barcelona sometimes compromised the use of watermills to mill the grain, and therefore put in danger the supply of flour. To confront these problems, the city government developed a wide array of responses, from ambitious water transfer projects to the improvement of the existing water supply or the shift from mills relying on waterpower to windmills. In this section, we discuss these initiatives and argue that recurrent drought and limited water supply during the years 1626-1650 heightened micro and macropolitical tensions in Barcelona.

259 A first major response by the Barcelona city government came already in 1627 in the 260 form of an ambitious proposal: a project for an open water canal (approximately 12 km 261 long) connecting the river Llobregat to the city. The Consell de Cent regarded the 262 Llobregat waters as the "universal solution" to the recurrent problem of water supply, and 263 published a pamphlet detailing the many advantages of the project. According to this, if 264 the project was completed, water would be secured even in dry years, new fountains 265 would be built, water concessions given to more buildings, cleaning of the supply network 266 would be improved and, perhaps most importantly, agriculture based in irrigation could 267 be developed. The city government invited several experts in water supply infrastructure 268 from outside Barcelona, who joined Francesc Socies and set out to examine the best 269 possible itinerary for the canal. These experts drafted a detailed proposal which accounted 270 for significant funds required to compensate landowners and also included details about 271 bridges to be built (AS2). Still in 1627, while the consequences of drought extended 272 throughout Catalonia, the city government presented a petition to support the project to 273 the Viceroy, who redirected it to King Philip IV. The Spanish king showed interest in the 274 project, but also concerns about the landowners affected by it (Voltes Bou 1967: 58-59). Moreover, the petition arrived in the aftermath of Philip IV meeting with the 275 276 representative body of Catalonia (Corts), held in 1626, where the King's proposal to raise 277 an economic and human contribution from Catalonia to support the Spanish army had 278 utterly failed (Elliott, 1984).

279 While the Consell de Cent promoted this massive water infrastructure, the situation of 280 water supply kept worsening. The acute dry conditions experienced during 1627 and early 281 1628 (see Figure 4) forced to cut off water supply to almost all private users in the city 282 and stimulated an urgent search for nearer water sources. Aware that the Llobregat canal 283 would only be available - in the best scenario - in the medium term, the city government 284 resolved to expand the network of the so-called "water mines" (qanats). As a result, 285 Francesc Socies started working on a new *qanat* draining the Sant Gervasi torrent. This 286 was a far less costly option, easier to connect with the rest of the water catch of the city, 287 and works progressed rapidly between 1627 and 1629, eventually providing a significant 288 increase in the waters delivered to Barcelona (Perelló Ferrer 1996: 126-127). According 289 to Socies, the rich qanat of Sant Gervasi was key to keep the supply of the city running 290 during the driest years between 1627 and 1650 (AS1, chapter 65). The city government 291 complemented this intervention with substantial efforts, both in 1630 and 1631, for the 292 conservation and upkeeping of the city pipes, fixing broken sections and cleaning other 293 clogged by earth and trees (Perelló Ferrer 1996: 127). Lacking support and resources for 294 a major infrastructural work like the Llobregat canal, local authorities focused in 295 improving the efficiency of the existing system.

Extreme drought did not only mean an immediate problem for the city fountains, but also for the water mills that milled the grain and produced flour. During dry years, the water level in the irrigation channels was not high enough for the watermills to function. This situation forced the city government to transport the grain to locations farther from the city, thus increasing the associated costs and occasionally jeopardizing the city's supply





301 (Simon i Tarrés 1992: 165-169). The unreliability of watermills in dry years was invoked 302 by the city government in their plea to bring the waters of Llobregat river to Barcelona 303 via a water canal. As explained in this document, this was the reason why the city 304 government owned two windmills outside the city walls since earlier times (AS2). 305 However, due to the almost absence of dry years since the 1570s, these windmills had 306 been little used. In 1628, the Consell de Cent had to request its renovation due to its poor 307 condition. Two new windmills were commissioned the same year, and five more would 308 follow in 1629. Therefore, the Consell addressed the unreliability of watermills during 309 dry years with a great expansion of the city windmills, which grew from two to nine 310 (450%) between 1628 and 1631 (Perelló Ferrer 1996: 286-288).

311 However, the real challenge was the very lack of grain to be milled in the face of the 312 drought impacts on regional agriculture. In a context of diminishing grain supplies, the 313 development of an alternative infrastructure to secure the transformation of grain into 314 flour avoiding the reliance on water was futile. The impacts of the severe drought of 1627 315 extended throughout the following years and went beyond Barcelona. A very cold winter, 316 with snowstorms that killed many fruit trees, was followed by a very dry summer. By 317 1628, a contemporary witness stated, "the dioceses of Barcelona, Tarragona and the plain 318 of Urgell cry of thirst" (Simon i Tarrés 1992: 161-162). Between 1628 and 1631, dry 319 years and extreme climate events critically affected agriculture in Catalonia, resulting in 320 bad crops and adding new tensions to both local and regional conflicts (Simon i Tarrés 321 1992:158-161). An international conjuncture of war and plague in Milan and southern 322 France prevented grain imports from these regions, which could have compensated for 323 the local losses. As a result, prices in cities like Girona and Barcelona went skyrocketing, 324 and by the beginning of 1631 the supply of bread in Barcelona was in a critical situation.

325 In this context, some profited from selling bread that did not comply with the legal weight 326 or mixed different types of grain. In the spring of 1631, the protests for the price, scarcity 327 and bad quality of bread in Barcelona ended up in violent riots that threatened the very 328 lives of the members of the city government. In response, the Consell de Cent decided to 329 assume full control of bread production, strictly banning any production or distribution 330 of bread by other authorities. In an attempt to secure the distribution of bread to the 331 population, the Consell de Cent organized a centralized, street-by-street rationing system. 332 In the end, a wheat cargo coming from Mallorca in May 1631 alleviated the shortage 333 (Simon i Tarrés, 1992). However, the strategy of enforcing a centralized rationing system 334 during scenarios of scarcity - or whenever these scenarios seemed feasible - remained in 335 use during the following years.

336 During 1632 Barcelona experienced again several months without rain (Figure 4). Despite 337 the efforts devoted to improve urban water supply sources and take care of the 338 maintenance of the infrastructure, this year Barcelona received less than a third of its 339 usual water supply (Voltes Bou 1967: 59). This critical situation contributed to a brief 340 comeback of the project of a canal from the Llobregat River proposed to the Spanish king 341 five years earlier (Perelló Ferrer 1996: 128). The city officers called water supply experts 342 to resume the work on the canal and even started marking it on the ground (AS3). In 1633 343 the Consell de Cent decided to go ahead with the project. However, it required the 344 permission and Royal Privilege from King Philip IV. This could not come at a worse 345 moment, for the 1632 meeting of the king with Catalan representative body, the Corts, 346 had repeated the 1626 fiasco. The tensions between the Catalan Corts and the King in the 347 years 1626-1632 certainly did not help to bring the Llobregat canal project any closer to 348 fruition. After the city petition, Philip IV consulted the Viceroy of Catalonia as he had 349 done in 1627 (AS4: 137, 154-155). There is no trace of the Viceroy's reply, but some of





the aristocratic landowners of the territories where the canal had to be built consistently
opposed the project (Voltes Bou 1967: 59-60). After 1633 we find few more references
to it. Three centuries were still to pass until the waters of Llobregat were channelled to
Barcelona (Burgueño, 2008; Saurí, March and Gorostiza, 2014).

354 The very mechanisms established to cope with the subsistence crisis of 1627-1631 355 intertwined with power struggles, setting the scene for new conflicts. During 1633 the 356 city government attempted to enforce its control of bread production and distribution, put 357 into practice two years earlier. The insistent public calls issued to the monasteries and the Cathedral to prevent from producing and circulating bread suggest that these regulations 358 359 were far from followed, and bread was distributed in several stalls in the city - not only 360 in those depending from the city government. In this context, on the 4th of January 1634, 361 a representative from the Consell de Cent confiscated a piece of bread that had been 362 produced by the Cathedral. This proved that this institution was disobeying the city 363 government calls. When the member of the Consell de Cent who had confiscated the 364 bread refused to hand in the proof to the Cathedral, this institution arrested him and 365 imprisoned him on ecclesiastical grounds (AS5). The reprisals from the city government 366 came immediately in the form of arrests of several persons connected to the Cathedral, 367 but also with a different action. The Consell de Cent ordered the water officer, Francesc 368 Socies, to cut off the water supply to the Cathedral. This decision triggered a dramatic 369 confrontation between the city government and the ecclesiastical powers.

As previously explained, during the first quarter of the 17th century the Consell de Cent 370 371 had pursued the expansion of the urban water network and gave water concessions to 372 different aristocrats and monasteries, while at the same time retaining the right to cut off 373 the water supply if needed. The Cathedral, however, was no ordinary monastery. When 374 Francesc Socies carried out the city's orders and water stopped flowing to the 375 ecclesiastical grounds of the Cathedral, the conflict immediately escalated. The water cut 376 lasted only a couple of hours and supply was restored, for the city government seems to 377 have confirmed that an agreement dating back to 1355 granted the Cathedral with the 378 right of water provision. But even if the precious liquid was soon flowing again in the 379 Cathedral's cloister, the offense was not without results. The Cathedral's Chapter 380 immediately excommunicated Francesc Socies and the members of the Consell de Cent 381 for offending the property of the Church, causing a great scandal in the city (AS5). While 382 it was bread production and distribution, and not water, what had originally been the cause 383 of the dispute, legal rights about water supply and its value in time of drought were at 384 stake.

Moreover, the reaction of the city's government aggravated the offence against the Cathedral. Far from accepting the Cathedral's authority, the Consell de Cent called dozens of church doctors and theologians from several monasteries of the city and asked them if the excommunication was legal and valid. After deliberating on the matter, these experts concluded that the excommunication could be considered invalid due to errors in the way it had been carried out – a written statement that the city hastened to make public, printing a pamphlet and distributing it widely (AS6).

The Consell de Cent, even if acknowledging the Cathedral's rights to water, publicly reasserted its own role as the institution responsible of maintaining and overseeing urban water supply. As put in one of the pamphlets published in 1634, the city declared itself "master and owner of the waters that flow to its fountains" (AS7). In order to justify the water cut-off, they argued that they had not been aware that the Cathedral hold old rights to these waters, and that they immediately restored the service when they realised their





398 mistake. Accordingly, the lawyers of the Consell de Cent pointed out that committing a 399 sin by ignorance could not justify a punishment as severe as an excommunication (AS7).

- 400 The Cathedral's Chapter, on the other side, considered that both the city government and
- 401 the city water officer had been perfectly aware that waters belonged to the Cathedral, and
- 402 therefore underlined that the water cut-off had to be considered an aggravated crime

against the Church properties – one that was punished with excommunication (AS8).

404 The legal case fell in the hands of the Archbishop of Tarragona, and mutual accusations 405 between the Cathedral and the Consell continued for months, even if the 406 excommunications were provisionally lifted after a few weeks (AS4:205-206). Soon 407 afterwards, major events seem to have taken over this quarrel. War broke out between 408 Spain and France in 1635, and Catalan territories immediately became a space in dispute. 409 Moreover, the Catalan revolt of 1640 split the region from the Spanish crown until 1652. 410 Beyond these grand changes, however, the daily work to keep the water running to 411 Barcelona remained a challenge - and Francesc Socies remained in charge.

412

413 4. Codifying knowledge about water supply: The Book of Fountains (1650)

414 The scandal of the excommunication of the Consell de Cent and the city water officer 415 came after some of the driest years remembered in Barcelona (1626-1632). The city 416 government emerged from the conflict with renovated sensibility about the importance of 417 enforcing control over water supply, but also over the very knowledge about water 418 concessions, which could help avoiding similar conflicts in the future. In line with the 419 declaration that the city was "master and owner of the waters that flow to its fountains", 420 during the following years the city government devoted more and more attention watch 421 out its water resources and remained wary of any violation of its water rights. The same 422 time, it launched an initiative to take over the water knowledge embodied by the city 423 water officer.

424

425 4.1 The origins of the Book of Fountains

426 In 1641 the Barcelona water officer, Francesc Socies, completed his 21st year in his post. 427 It was not without surprises. In December, water stopped flowing to the fountain of Sant 428 Joan. Despite all efforts, it was impossible to determine the cause, and when the water 429 flow resumed after two months, it left Socies puzzled. When this repeated next year 430 around the same dates, the water officer was convinced that someone was illegally 431 tapping into the network. During the following years, the water loss in the fountain of 432 Sant Joan became one of Socies' main headaches. His inability to find the reason behind 433 it compromised his authority in front of the Consell. This problem may have been not so 434 serious had it not been accompanied by another long repetition of dry years. But the 435 extreme weather suffered throughout Spain in 1640-1643 (Parker 2013: 289), followed 436 in Barcelona by several dry years in a row (1643 to 1651, see Figure 4), made it more 437 pressing.

438 In 1644, the city government went as far as approving a search into all the houses close 439 to the main pipe to find where the water leak was or who had illegally drilled into the pipe 440 and set a tap. It even offered rewards in exchange for information, but all attempts to find 441 out the cause of water loss proved fruitless. Eventually, in 1647, Socies was ordered to 442 remake the whole sector of the pipeline that supplied the fountain, connecting it to another 443 branch of the distribution system (AS1, chapter 22; Perelló Ferrer 1996:128). These 444 efforts to enforce control of water distribution came hand in hand with initiatives to 445 expand the water sources of the city supply system. During the second half of the 1640s





446 the Consell de Cent approved the construction of a new qanat in Pedralbes, while also 447 devoting funds to the improvement of the city fountains (Perelló Ferrer 1996:129). 448 Crucially, however, the Consell lacked a detailed knowledge of the water system and 449 therefore depended on the city water officer for carrying out almost any change or improvement to the water supply and distribution infrastructure. The persistent droughts 450 451 of these years only made this circumstance more evident. Francesc Socies was aging with 452 no successor in sight. In this context, during the summer of 1648 the city government 453 decided to put forward a proposal to him.

454 Traditionally, when approaching retirement, it was the city water officer who would ask 455 the city government to perform his duties accompanied with an assistant – usually his son 456 or son-in-law. After working together several years – receiving only one salary – the 457 apprentice would then replace the city water officer in his post (Perelló Ferrer 1996:77). 458 This father-to-son tradition kept knowledge in the hands of city water officers' families 459 and away from the Consell de Cent, which therefore remained fully dependant on him. 460 Francesc Socies, however, had no direct relatives to work with. His son was a monk in 461 Montserrat monastery, and his two sons-in-law already had their own profession.

462 Perhaps taking advantage of Socies' situation and his questioned authority after the 463 unresolved water thefts, this time it was the Consell de Cent that took the initiative. On 464 July 8, 1648, after a significantly dry spring (see Figure 4), it formally required Socies to 465 write a book about the city water supply and the operation of the city's fountains. 466 According to the Consell's instructions, the book would remain perpetually in the city 467 government's grounds, "for the clarity of [the officer's] successors in his post", therefore 468 showing an explicit intention of appropriating the knowledge inherently associated to the 469 water officer's job post and codifying it for future uses, always under the Consell's control. 470 The manifest objective of keeping the proposed book in the Consell's grounds also made 471 clear another aim of the city government: to reinforce its position as the only institution 472 managing and owning water in the city, and therefore to reaffirm its capacity to use water 473 as a tool to control urban space (AS9:325-326). The writing of a book about the city 474 waters, kept by the Consell de Cent, was fully in line with the statement made in 1634. In 475 terms of water property and rights, writing was an instrumental juridical tool for the city 476 government to reassert itself as the "master and owner of the waters that flow to 477 [Barcelona] fountains".

478 Moreover, from the perspective of the Consell, water knowledge was also key to attain a 479 more efficient and less-costly daily management of water supply. The proposed book, 480 according to the Consell's proposal, should result in great benefit of the fountains and 481 would reduce the expenditure they required, since it would facilitate finding out about 482 any problem they could suffer (AS9:325-326). After all, aside from his regular salary to 483 maintain the water distribution system, the city water officer had to be paid for each 484 specific work he carried out. Considering the troubled decades of 1620-1650, the 485 Consell's attempt to appropriate the city water officer's knowledge can also be interpreted 486 as an attempt to anticipate future difficulties by codifying the knowledge of the past - in 487 other words, by developing tools for future generations to cope with the variability of the 488 climate and its impact into the water supply and distribution network of the city.

Francesc Socies took two years to provide a formal answer. In September 1650, amid a very severe drought (see Figure 4), he finally offered to write the proposed book, under one condition. In exchange for it, he demanded to receive a salary until the end of his life, whether he was working or not. On September 6, 1650, the Consell accepted Socies' offer, highlighting that the water officer had been more than three decades at the service of the





city and stating that payments would start as soon as the book was delivered (AS9:325326). In fact, Socies had started to write what would later be known as the *Llibre de les Fonts* ("Book of Fountains") at least two months earlier, in July 1650. In his writing,
Francesc Socies went over the water geography of the city, but also revisited more than
thirty years of Barcelona's water supply history.

499

500 4.2 Structure and contents of the Book of Fountains

501 The Book of Fountains adopts the form of a manual about urban water supply, a text 502 where Socies provides instructions that codify both the knowledge of his profession and 503 the experience of his job position, aimed at guiding future interventions in the supply 504 system and communicating what new workers will need to know He elaboration shall be 505 contextualised within the emergence of technical and practical manuals to transmit 506 knowledge (Eamon, 1994; Long, 2001; Cifuentes i Comamala, 2006). The water 507 distribution system is described as an interconnected network, from the drainage 508 underground channels in the hills of Barcelona known as "water mines" (qanats) to the 509 city fountains. Water supply is conceived as part of a human system, where urban 510 elements are interdependent (if water is cut in one section, other sections will be left 511 unsupplied). This network is examined and described both technologically and socially. 512 The knowledge that the city water officer pours into the book is a thorough technical description of the journey followed by water through the city, indicating with high 513 514 precision where each element is located, both for those visible and those hidden from 515 view, underground or behind walls (water taps, pipes, water tanks or wells).

516 The Book of Fountains is a knowledge manual but was also conceived as a tool to be used. 517 When referring to specific places in the city, the author often establishes a symbolic 518 relation between the written text and the urban fabric. Text and territory become 519 inseparable, and as a connector, the author uses a figure - the cross - sometimes in the 520 text but more often in its margins, making its location faster to readers. These crosses 521 written in the text refer to crosses chiselled in the stone walls of the street buildings, 522 indicating specific elements of water infrastructure hidden from view and thus binding 523 the book pages with the urban fabric of the city. In other words: the author inscribes water 524 urban geography into the pages of the Book of Fountains.

525 As both a manual and a tool, the Book of Fountains does not only provide readers with a 526 geography of the water network elements, but also with a calendar for the system's 527 maintenance. Instructions are provided within a particular urban space and time. Socies 528 specifies where to intervene and how often, for instance in relation to the cleaning of 529 pipes and curtailing the growth of trees' roots that can disrupt sections of the system (e.g. 530 every two, four of five years). Nevertheless, Socies' temporal specifications do not only 531 apply to maintenance, but also to key historical information about water property rights. 532 While writing the book, Socies visited the city archive and consulted the Consell's 533 meeting proceedings in order to record the water right concessions to several monasteries, 534 dating from 1611. He left a clear reference to where these legal documents could be found. 535 Similarly, he visited the archive of the Cathedral's Chapter, providing detailed 536 information about the old pipes underground the building and reminding future readers 537 about the agreement between the Cathedral and the city government, dating from 1355, 538 which granted the Cathedral the ownership of the waters flowing to it (AS1, chapters 26 539 and 56). In other words, he recorded this information for the future city water managers, 540 setting the basis to prevent conflicts such as the one occurred in 1634.





541 Additionally, in order to ensure that the Book of Fountains remained a useful tool, Socies 542 demanded the involvement of his readers - the future city water officers. Socies asked 543 them to use the book, recording any intervention in the water network in the margins of 544 the text, and therefore keeping knowledge to date for future generations (AS1:262). By 545 involving future water officers into the authorship in a transgenerational endeavour, the 546 book aimed at becoming collective heritage. In this way it became useful for the present 547 as a physical object, but more than that, a perdurable, indispensable tool for the city's 548 future. The transmission of knowledge to the future became a tool to manage risks 549 affecting the city water supply.

550 Starting at the main water tank distributor of Barcelona, outside the city walls, the Book 551 of Fountains provides a detailed description of the main pipe supplying the city, each of 552 its branches and sections, along with the buildings receiving water supply and the location 553 of the very water conduits. Later in the text, Socies turns his attention to the "water mines" 554 (qanats) in the hills of the city. Therefore, with his writing the water city officer 555 establishes the water's urban journey from source to tap, fixing and at the same time 556 defining who the proprietor of this knowledge is, and institutionalizing who has the power 557 to control it. This intention of controlling urban space, based on the need of preserving 558 water supply, it is well apparent in Socies' instructions to future managers. In order to 559 keep a regular water flow running in the city's fountains, the city government needs to be 560 able to detect and solve any incident rapidly, particularly in relation to water thefts. To 561 this end, Socies narrates how he has been remaking the water network that runs through 562 internal parts of buildings, moving it to their external sections, in order to hinder any 563 attempt to illegally tap into the water network. He recommends continuing with these 564 reforms in order to put the network as close as possible to the control of the water city 565 officer and the city government (AS1, chapters 26, 78 and 79).

566 Among the detailed knowledge demonstrated, Socies also provided his testimony on the 567 state of water supply during the summer of 1650, when he was writing the Book of 568 Fountains. In July 1650, when he started the book, the dry spell in Barcelona was already 569 ongoing, with *pro pluvia* rogations in the streets since April (see Figure 4). Exploring the 570 ganats of Nostra Senyora del Coll, Socies pointed out that it was the first time in his life 571 that he saw them dry, and commented that as years passed by, the flow of water in the 572 city had been decreasing. He specifically pointed to the years 1626-1627 as the moment 573 when this "lack of waters" had started, and underlined the importance of the Sant Gervasi 574 *ganat*, whose construction he had led in the late 1620s, to keep Barcelona supplied in 575 these dry years (AS1, chapter 65).

576 Likewise, throughout the book Francesc Socies reminded readers of the many works and 577 improvements he carried out in the water network and the date when they took place. 578 Writing provided social and historical prestige, and the Book of Fountains not only 579 institutionalized the control of the city government over water, but also qualified Francesc 580 Socies as the water expert of his epoch. In this regard, the book has a similar function to 581 that which institutional chronicles had at the time, authored by the political institutions of 582 Catalonia (Simon i Tarrés, 2005). Moreover, in relation to the water officer, the prestige 583 associated with authorship of the Book of Fountains could overshadow his compromised 584 position in relation to the unresolved 1640s water thefts and perhaps the scandalous 1634 585 excommunication.

The dry summer of 1650 eventually caused the loss of the harvest and made the year
known as "the year of misery" (Guàrdia, Pladevall i Font and Simon i Tarrés, 1986:105).
However, rains finally arrived in mid-October, a few days after the Consell organized a





major *pro-pluvia* rogation. A week of rain saved the sowing and was celebrated with a *Te Deum Laudamus* at the Cathedral on October 23. Socies probably finished the Book of
Fountains around the time. On November 14, 1650, he walked into the meeting of the
Consell de Cent and made a ceremonious presentation of the Book of Fountains
(AS9:400).

594

595 **5. Conclusions**

596 This article has examined the human response to drought in the city of Barcelona 597 (Western Mediterranean) during the years 1620-1650. After establishing the regional 598 significance of drought in the Western Mediterranean during 1620-1640 with literature 599 on climate history relying on ecological proxies (Nicault et al., 2008), in the first part of 600 the article we analysed the historical climatology of Catalonia and Barcelona drawing on 601 pro pluvia rogations as documentary proxy data. This analysis has identified two main 602 periods of drought in the city (1625-1635 and the 1640s) as the most significant drought events of the period 1521-1825 (highest Drought Frequency Weighted Index of the series). 603

604 Building on this, the main part of the paper has explored the institutional strategies 605 deployed by the city government in response to severe drought between 1626 to 1650. A 606 key determinant to this analysis is the long period without significant droughts 607 immediately before these years (1570-1620). Several sources point to an expansion of the urban water supply and the water concessions granted by the Barcelona city government 608 during the first quarter of the 17th century. Both rain rogations, the testimony of the city 609 610 water officer and printed sources confirm that these times of abundance finished in 1626-611 1627. During the following five years, drought and general climate variability, combined 612 with the international context of war and plague in Milan contributed to produce a supply 613 crisis in Barcelona, where riots for bread took place in 1631. During these years, water 614 scarcity was felt both in the city fountains and the watermills, which in some occasions 615 could not mill the grain. The city government launched several initiatives to alleviate the 616 problems caused by water scarcity. Large infrastructural projects such as the Llobregat 617 water canal failed due to the lack of financial and political support. This failure privileged 618 other, softer measures of adaptation: the expansion of water supply sources in the hills 619 near Barcelona, the investments to maintain and clean the city pipes, or a great expansion 620 of windmills as an alternative to mill grain not depending on water.

621 In this context of water scarcity, tensions around water availability increased. One of the 622 loudest public conflicts of the period was related to water and confronted the city government and the Barcelona Cathedral in early 1634. As proven by drought 623 624 reconstruction, the conflict broke out after several dry years (1627-1632), but no direct 625 causality can be established between drought and social conflict. After the 1626-1627 626 drought and the climate variability that contributed to the supply crisis and the 1631 riots 627 in Barcelona, the city government had introduced a centralized rationing system for the 628 distribution of bread. Even if the shortage was over by 1633, the system remained in use 629 and the city government continued to enforce it. It is the power to produce and distribute 630 bread that originally sparked the conflict with the Cathedral. Cutting off water supply was 631 one of the reprisals carried out by the Consell against the Cathedral - and certainly one 632 that had scandalous consequences and developed its own course. But it was not the cause 633 of the conflict. Nonetheless, the severe drought experienced the previous years and the 634 diminished flow of water available in the city's pipes made water a handy weapon to use. 635 So while we cannot interpret the 1634 confrontation as caused by water scarcity, the 636 impact of drought in water supply certainly helps explaining how the precious liquid





became a weapon, transforming a quarrel over bread distribution rights into a major legal
case leading to the excommunication of the city government officials – including the city
water officer.

640 Beyond this, the relevance of the 1634 conflict for water management during the 641 following years is twofold. First, it illustrated the ambiguities and difficulties of the city 642 government when dealing with water concessions. The Consell de Cent rapidly 643 acknowledged it had committed a mistake when cutting off water supply, because the 644 agreement between both institutions to guarantee the water flows to the Cathedral dated 645 back to 1355. Regardless of the political intentions of the city government, the existence 646 of diverse agreements, contracts and water concessions between the city and other 647 institutions – signed in past times, when water supply was more abundant – justified the 648 codification of water knowledge into a unique book/tool. From this perspective, the Book 649 of Fountains can be interpreted as an outcome of the institutional learning of the most 650 severe drought period experienced in Barcelona between 1521 and 1825, and as a tool to 651 prevent similar conflicts such as the one sustained with the Cathedral. In addition, from a 652 political perspective, the 1634 conflict only reinforced the city government's legal claims 653 over the management of urban water supply. In the legal discussion following the conflict, 654 the Consell de Cent declared itself the "master and owner of the waters that flow to 655 [Barcelona] fountains". A coherent step to reassert these claims was to codify knowledge 656 about urban water rights, water distribution and maintenance into a book. On top of this, 657 as previously discussed, the contract to produce the Book of Fountains underlined its 658 critical value and banned the removal of the book from the city government's premises. 659 On its own, the Book of Fountains is a technical manual describing urban water supply. 660 But its contextualisation within the social conflicts and historical climatology of the 661 period points to its unequivocal political character. Crucially, this is only possible by 662 combining several documentary sources, since the author of the Book of Fountains 663 follows a descriptive pattern with a neutral tone, making no explicit political claims on 664 water.

665 In conclusion, the appropriation of the city water officer's knowledge by the Consell de 666 Cent, legally binding the resulting Book of Fountains and the knowledge it embodies to 667 the city's premises, can be interpreted as an adaptation strategy and an attempt to make 668 the water supply system more efficient in a context of frequent and severe drought. 669 Anticipating the future is required in order to adapt to variability, and the codification of 670 knowledge aims at taking it from a specific family line or profession, to use it for the 671 common good of the city. It is a "public" effort to overcome the private transmission of 672 information from father-to-son and use it for the common future good, but also a 673 reinforcement of the authority of the city government in relation to a precious resource in 674 times of drought: water. The knowledge collected in the Book of Fountains has the 675 potential to make the functioning of the urban water supply more efficient, avoiding 676 conflicts and reducing expenditure. Hence the key call to involve future water city officers 677 in updating the Book of Fountains, aiming at the creation of a transgenerational tool to 678 cope with future risks associated with urban water supply.

679 Last but not least, the Book of Fountains is also useful as a source for historical 680 climatology per tonly it originates during the most significant drought period identified 681 in Barcelon tween 1521-1825, but it provides access to the perception of water flows 682 from a very authoritative voice: that of the local expert on water supply, in office for three 683 decades (1620-1650). Writing in the summer of 1650, during an extreme episode of 684 drought, Francesc Socies testimony depicts the years 1626-1627 as the beginning of a 685 period of water scarcity in Barcelona. Both references are coherent with the analysis of





drought presented in the first part of this article (figures 2, 3 and 4), drawing on *pro pluvia*rogations as documentary proxy data. Considering the regional extent of the dry period
of 1620-1640 in the Western Mediterranean (Nicault *et al.*, 2008), our case study shows
the potential of examining human response to drought and water stress from the
institutional perspective.

691

692 Author contribution

Santiago Gorostiza conceived this research with Maria Antònia Martí Escayol and wrote
the introduction, conclusion and section 3 of the text. He made significant contributions
to the rest of the text. In addition, he handled the coordination, integration, translation and
revision of texts.

Maria Antònia Martí Escayol conceived this research with Santiago Gorostiza and wrote
section 4 of the text. Martí Escayol transcribed the *Llibre de les Fonts de la Ciutat de Barcelona* and made significant contributions to the introduction, section 3 and
conclusions of the text.

Mariano Barriendos prepared the drought series for Catalonia and Barcelona, handled the
 database organization, statistical treatment, graphic production, and preparation of the

- tables and figures. Barriendos wrote the section 2 of the text.
- 704

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713

714 **Competing interests**

715 The authors declare no competing interests.

716

717 Archival sources

718

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(AHCB).

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