



1 Paleobotanical proxies for early Eocene climates and ecosystems in northern North

- 2 America from mid to high latitudes
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15 Abstract. Early Eocene climates were globally warm, with ice-free conditions at both poles. Early 16 Eocene polar landmasses supported extensive forest ecosystems of a primarily temperate biota, 17 but also with abundant thermophilic elements such as crocodilians, and mesothermic taxodioid 18 conifers and angiosperms. The globally warm early Eocene was punctuated by geologically brief 19 hyperthermals such as the Paleocene-Eocene Thermal Maximum (PETM), culminating in the 20 Early Eocene Climatic Optimum (EECO), during which the range of thermophilic plants such as 21 palms extended into the Arctic. Climate models have struggled to reproduce early Eocene Arctic 22 warm winters and high precipitation, with models invoking a variety of mechanisms, from 23 atmospheric CO₂ levels that are unsupported by proxy evidence, to the role of an enhanced 24 hydrological cycle to reproduce winters that experienced no direct solar energy input yet remained 25 wet and above freezing. Here, we provide new estimates of climate, and compile existing 26 paleobotanical proxy data for upland and lowland mid-latitudes sites in British Columbia, Canada, 27 and northern Washington, USA, and from high-latitude lowland sites in Alaska and the Canadian 28 Arctic to compare climatic regimes between mid- and high latitudes of the early Eocene-spanning 29 the PETM to the EECO-of the northern half of North America. In addition, these data are used 30 to reevaluate the latitudinal temperate gradient in North America during the early Eocene, and to 31 provide refined biome interpretations of these ancient forests based on climate and physiognomic 32 data.





34 1 Introduction

35 The early Eocene (56–47.8 million years ago) was a globally warm interval in Earth history, 36 which resulted from a warming trend that began in the late Paleocene (Zachos et al., 2008; Carmichael et al., 2016). This warming was punctuated by a series of episodic hyperthermal events 37 38 (e.g., the Paleocene-Eocene Themal Maximum and the Eocene Thermal Maximum 2), which 39 caused global climatic perturbations, and ultimately culminated in the early Eocene Climatic 40 Optimum (EECO) (Zachos et al., 2008; Littler et al., 2014; Laurentano et al., 2015; Westerhold et 41 al., 2018). During the early Eocene, the climate of much of northern North America was warm and 42 wet, with mean annual temperatures (MAT) as high as 20 °C, mean annual precipitation (MAP) of 100–150 cm a⁻¹, mild frost-free winters (coldest month mean temperature >5 °C), and climatic 43 conditions that supported extensive temperate forest ecosystems (e.g., Wing and Greenwood, 44 1993; Wing, 1998; Shellito and Sloan, 2006; Smith et al., 2012; Breedlovestrout et al., 2013; 45 Herold et al., 2014; Greenwood et al., 2016). 46

47 These warm and wet conditions extended poleward in North America, despite extreme 48 photoperiodism, promoting the establishment of temperate forest ecosystems and thermophilic biota (e.g., mangroves, palm trees, and alligators) (Eldrett et al., 2009, 2014; Sluijs et al., 2009; 49 Huber and Caballero 2011; Eberle and Greenwood, 2012; Littler et al., 2014; West et al., 2015, 50 51 2019; Salpin et al., 2019), and providing evidence for a shallow latitudinal temperature gradient, 52 in contrast to the much higher gradient of modern North America (Greenwood and Wing, 1995; 53 Naafs et al., 2018). The reconstructed paleoclimatic and biotic similarity between the mid- and 54 high latitudes of Eocene North America may be counterintuitive given the limited photic 55 seasonality of mid-latitude sites and the extreme seasonal photic regime of high-latitude environments. In spite of similar thermal regimes, one would expect that the Arctic ecosystems 56 57 would have experienced unparalleled abiotic stress from the extended period of winter darkness 58 (West et al., 2015), and, as a result, would have had a substantially different climate and biota from 59 that of contemporaneous mid-latitudes sites. Fossil evidence from both the mid- and high latitudes, 60 however, demonstrate little to no discernable effect.

61 Fossil plants are among the best proxies for terrestrial paleoclimates, as plants are sessile organisms that interact directly with their environment, and whose phenotype is highly moderated 62 by variables such as temperature, moisture, and atmospheric carbon availability. Despite this, 63 64 paleobotanical proxy reconstructions of temperature and precipitation are often mismatched with 65 General Circulation Model (GCM) simulation output, and, models struggle to reproduce the warm 66 high-latitude regions and reduced latitudinal temperature gradient of the early Eocene as evidenced from the fossil record (Huber and Caballero, 2011; Huber and Goldner, 2012; Herold et al., 2014; 67 68 Carmichael et al., 2016; Lunt et al., 2017, 2020; Keery et al., 2018; Naafs et al., 2018; Hollis et 69 al., 2019). This suggests that some atmospheric processes related to heat transfer may be missing 70 from GCM simulations (Carmichael et al., 2018, and references cited therein). Furthermore, there 71 are far fewer compilations of terrestrial temperature proxy data as compared to marine-based data 72 compilations of sea surface temperatures (SST) (Hollis et al., 2019). This results in spatial, or





geographic, gaps in proxy data—essentially the paucity of data requires that proxy climate data separated by considerable distance must be used to interpolate climate over large geographic areas—that impede the efficacy of GCM simulations (Hollis et al., 2019). However, concerted efforts have been made to fill the geographic gaps through the development of new, targeted compilations and additional proxy data (e.g., DeepMIP, Hollis et al., 2019; Lunt et al., 2020). In addition, models do not typically incorporate potential vegetative feedbacks (Lunt et al., 2012), although advances in defining functional plant types have been made (Loptson et al., 2014).

80 Therefore, there is a need to refine the quality and consistency of physiognomic and Nearest 81 Living Relative (NLR) paleobotanical proxy data estimates, provide new physiognomic and NLR 82 proxy data to help fill regional and temporal gaps, and provide more reliable forest biome interpretations. An emphasis needs to be placed on multi-proxy studies that evaluate an ensemble 83 of proxy estimates, which allows for identifying strongly congruent paleoclimate proxy 84 85 reconstructions where the results agree, and inconsistencies where the failures of individual proxy estimates can be identified. Thus, the purpose of this study is to reconsider reported, and introduce 86 87 new, early Eocene paleobotanical climate data from northern North America utilizing a refined 88 methodology where a bootstrapping approach is applied to the data to produce ensemble climate 89 estimates. Paleobotanical-based paleoclimate reconstructions from northern North America are 90 reviewed, and new paleoclimate estimates are provided for British Columbia and the Canadian 91 Arctic through application of a multi-proxy ensemble approach, as recommended by Hollis et al. 92 (2019) and others (e.g., Reichgelt et al., 2018, Lowe et al., 2018; Willard et al., 2019) in order to 93 mitigate potential errors resulting from variations between methods.

94 Paleobotanical assemblages from four distinct regions in northern North America are 95 considered here: mid-latitude lowland sites; mid-latitude upland sites; low polar lowland sites; and 96 high polar lowland sites. Comparison of lowland sites allows for reevaluation of the terrestrial 97 latitudinal temperature gradient in northern North America. Furthermore, the distribution of 98 vegetation, as potentially moderated by climate, elevation, continentality, and photoperiod is 99 evaluated through comparisons of the mid-latitude upland and high-latitude lowland fossil 100 localities. This is achieved by plotting climate data on biome diagrams, as well as principal 101 component analysis (PCA) and hierarchical cluster analysis (HCA) of leaf physiognomy. This 102 provides a more robust interpretation of these ancient forested ecosystems, which will contribute 103 to refinement of modelling simulations by providing reliable insight for prescribing early Eocene 104 boundary conditions for high latitude vegetation and environments.

105 2 Materials and Methods

106 2.1 Fossil plant localities

Early Eocene paleobotanical proxy data are sourced from multiple fossil localities from the northern mid-latitudes (48.3–51.2 °N) and high latitudes (61.4–81.4 °N) of North America, primarily within Canada, but also including sites from Washington State and Alaska (Table 1). In





110 general, the majority of fossil localities used for this study were within a few degrees latitude of their present position, as North America has moved obliquely past the rotational pole since the 111 112 Eocene. As the resulting slight poleward displacement is not significant for the present work, we 113 report modern latitudes for the compilation of fossil localities within this study to avoid 114 discrepancies in differing methods of estimating paleolatitudes. These localities represent both lowland and upland ecosystems. Prior physiognomic analyses of fossil megaflora from both the 115 116 mid-latitudes and high-latitudes of North America indicate that these ancient forests were growing 117 under similar thermal regimes (e.g., MAT 10-15 °C and MAP 100-150 cm a⁻¹) (Wing and 118 Greenwood, 1993; Greenwood and Wing 1995; Smith et al. 2012; West et al., 2015; Gushulak et al., 2016; Greenwood et al., 2016; Lowe et al., 2018). The Canadian fossil localities (e.g., British 119 120 Columbia and Nunavut) are stratigraphically correlated, placing all the fossil study sites into a 121 chronological sequence spanning the early Eocene (McIver and Basinger 1999; Greenwood et al., 122 2016; Eberle and Greenwood 2017; West et al., 2019). Other localities within our data set (e.g., 123 Evan Jones Mine, AK, Racehorse Creek, and Republic, WA) are also considered equivalent in 124 age, and the stratigraphic relationships for these floras may be found in the respective publications 125 for these localities (Table 1).

126 2.1.2 Mid-latitude Upland Fossil Plant Localities

127 The Okanagan Highlands host a suite of mid-latitude upland fossil floras from British 128 Columbia and Washington (Archibald et al., 2011; Greenwood et al., 2016) (Table 1, Fig. 1). These 129 fossil localities have been dated radiometrically as early Eocene, likely occurring within the EECO 130 (Moss et al., 2005; Smith et al., 2009; Greenwood et al., 2016; Mathewes et al., 2016; Lowe et al., 2018). Okanagan Highland floras are broadly similar in floristic composition, comprised of a high 131 132 diversity of plant genera typical of modern temperate deciduous and subtropical evergreen forests 133 (DeVore and Pigg, 2010; Smith et al., 2012; Gushulak et al., 2016; Lowe et al., 2018). These 134 forests were regionally extensive, occupying north-south orientated and arc-related volcanic 135 highlands (Mathewes 1991; Lowe et al., 2018). The paleoelevation of these sites has been 136 reconstructed to be between 500-1500 m based on both paleobotanical and geochemical proxies (Wolfe et al., 1998; Greenwood et al., 2005, 2016; Tribe, 2005; Smith et al., 2012), and as a result 137 138 of this altitude, these forests would have experienced cooler temperatures than the coastal lowlands 139 to the west (Wolfe et al., 1998; Greenwood et al., 2016; Lowe et al., 2018). In addition to plants, 140 fossil insect diversity is high, similar to modern day tropical forests (Archibald et al., 2010, 2013)

141 2.1.3 Mid-latitude Lowland Fossil Plant Localities

The uppermost Paleocene to middle Eocene Chuckanut Formation of western Washington State (Fig. 1) contains several fossil floras representing subtropical coastal lowland ecosystems, including palms and many other thermophilic plant taxa (Breedlovestrout et al., 2013; Mathewes et al., 2020). The Chuckanut floras used for this study are the Racehorse Creek fossil localities, which are found within the Slide Member (Fig. 2), a thick terrestrial deposit that has been radiometrically dated to the early Eocene (Breedlovestrout et al. 2013).





148 2.1.4 High-latitude Low Polar Lowland Fossil Localities

149 The Chickaloon Formation in south-central Alaska (Fig. 1) preserves a fossil flora known 150 from the Evan Jones Mine (Sunderlin et al., 2011), herein referred to as the Evan Jones Mine flora. 151 Wolfe et al., (1966) assigned a Paleocene age to the Chickaloon Formation based on K-Ar dating. 152 However, fission-track zircon dating shows that the Paleocene-Eocene boundary occurs within the 153 upper 150 m of the Chickaloon Formation (Triplehorn et al., 1984), stratigraphically near the fossil 154 flora (Fig. 2) and therefore the Chickaloon Formation straddles the Paleocene-Eocene boundary 155 (sensu Sunderlin et al., 2011). The Evan Jones Mine flora represents a lowland warm temperate to 156 subtropical floodplain forest, as fossil palms are present and a high proportion of the flora exhibits

- 157 leaves with untoothed margins (Wolfe et al., 1966; Sunderlin et al., 2011).
- 158 2.1.3.5 High-latitude High Polar Lowland Fossil Localities

159 Fossil floras from Ellesmere and Axel Heiberg islands in Nunavut, Canada, are the most 160 northerly fossil sites included in this study (Fig. 1). These fossil floras have been sampled 161 extensively from formations within the Eureka Sound Group, primarily from the Mount Lawson, 162 Mount Moore, and Margaret (=Iceberg Bay) formations (Fig. 2) (McIver and Basinger, 1999; West et al., 2019). The Margaret Formation at Stenkul Fiord and the Mount Lawson Formation at Split 163 164 Lake have been radiometrically dated to the early Eocene (Reinhardt et al., 2013, 2017). Additional 165 age controls (i.e. vertebrate fossils, palynology, paleomagnetic dating) suggest either late 166 Paleocene, early Eocene, or both for all three formations at various localities (Eberle and 167 Greenwood, 2012; West et al., 2019). The fossil floras of the Canadian Arctic are therefore 168 considered to represent the late Paleocene to early Eocene time interval, with some localities capturing the PETM and ETM-2 hyperthermal events (e.g., Stenkul Fiord) (Sudermann et al., in 169 170 review). These high-latitude fossil floras represent lowland environments and are considered warm 171 temperate floodplain or swamp forests (McIver and Basinger, 1999; Greenwood et al., 2010; West 172 et al., 2019). Although this high-Arctic assemblage as a whole is of a high taxonomic richness (see 173 West et al., 2019), the site-to-site diversity (β diversity) is the lowest within the compilation for 174 this study.

175 **2.2 Sampling**

176 At the majority of the fossil sites from British Columbia, fossil leaves were comprehensively 177 sampled along bedding planes, using census sampling (i.e. collecting >300 leaf morphotype 178 specimens; Wilf, 2000; Lowe et al., 2018). Some sites, such as those from the Canadian Arctic 179 (i.e., Ellesmere and Axel Heiberg islands) and others from British Columbia (i.e., Chu Chua, 180 Driftwood Canyon, One Mile Creek, Thomas Ranch), represent leaf collections from prior work 181 by earlier researchers where sampling was selective to yield a representative sample of the leaf 182 taxa present. Some localities reported in this study were not sampled by the authors (e.g., Evan 183 Jones Mine, Alaska; Chu Chua, One Mile Creek, Quilchena and Thomas Ranch, BC; Racehorse 184 Creek and Republic, WA), therefore the details of the sampling protocols for those floras may





- 185 differ from sampling methods outlined above. The sampling methods for those fossil sites can be
- 186 found in their original respective publications (Table 1).
- 187 2.3 Paleoclimate Analyses
- 188 2.3.1 Ensemble Climate Analysis

189 We apply an ensemble climate analysis approach that avoids choosing one method over another, so that we can present the results from each method as well as the consensus 190 191 reconstruction based on all methods (Greenwood, 2007; Gushulak et al., 2016; Greenwood et al., 192 2017; Lowe et al., 2018; Willard et al., 2019; Hollis et al., 2019). The ensemble approach also 193 highlights potential disparity between different proxy reconstructions. This approach is applied to 194 both physiognomic and bioclimatic analysis (BA) climate data compilations (see below). 195 Statistically assessed ensemble estimates of Mean Annual Temperature (MAT), Coldest Month 196 Mean Temperature (CMMT), Warmest Month Mean Temperature (WMMT), and Mean Annual 197 Precipitation (MAP) were produced by bootstrapping results of high mid-latitude and high-latitude 198 fossil site physiognomic and BA data. The mean and standard deviations were resampled using 199 n=1000 Monte Carlo simulations for each proxy reconstruction at each site. A probability density 200 function was then calculated for each climatic variable, for each site. The BA-based summer mean 201 temperature (ST) and winter mean temperature (WT) estimates (see section 2.3.3 below for a 202 discussion of these terms) were transformed to WMMT and CMMT, respectively, using a method 203 described in Reichgelt et al. (2018), wherein a linear regression function between ST and WMMT, 204 and WT and CMMT is used to calculate values in NLR that can be directly compared to 205 physiognomic proxy results (Figure A1). This method is in line with the recommendations of 206 Hollis et al. (2019), where a statistically-assessed multi-proxy consensus approach should be 207 utilized when feasible for reconstructing terrestrial climate.

208 2.3.2 Leaf Physiognomy

Leaf physiognomy methods, such as Climate Leaf Analysis Multivariate Program (CLAMP) and Leaf Area Analysis (LAA), utilize correlations between leaf architecture and climate variables derived from modern global vegetation databases to provide estimates of paleoclimate variables (Greenwood, 2007; Peppe et al., 2011; Yang et al., 2015; Hollis et al., 2019). Hollis et al. (2019) noted the potential for disparity between fossil plant-based climate proxies and calibrations within individual proxies that can contribute to differences in climate estimates, and as such represent a challenge for model-data comparisons for the Eocene.

We primarily report physiognomically derived paleoclimate estimates from CLAMP, as these were readily available from some localities from prior studies (e.g., Wolfe et al., 1998; Sunderlin et al., 2011; Smith et al., 2012; Breedlovestrout et al., 2013; Dillhoff et al., 2013; West et al., 2015; Gushulak et al., 2016; Mathews et al., 2016; Lowe et al., 2018), and because CLAMP provides estimates of seasonal temperatures and precipitation. The use of CLAMP estimates allows comparisons between the paleontological proxy estimates of winter temperatures and the





222 seasonality of precipitation with climate model output, parameters that are of interest in 223 understanding early Eocene climates at mid- and high latitudes (Huber and Caballero, 2011; Huber 224 and Goldner, 2012; Carmichael et al., 2016; Hollis et al., 2019). For sites where the original 225 published CLAMP estimates were incomplete or run early in the development of CLAMP (e.g., Chu Chua, One Mile Creek and Republic; Wolfe et al., 1998), we have re-run the CLAMP analyses 226 227 using the original score sheets archived on the CLAMP online website. The Physg3brcAZ vegetation and the GRIDMet3brcAZ meteorological datasets were used for the analyses run for 228 229 this study (Yang et al., 2015). For CLAMP estimates sourced from existing studies, the vegetation 230 and meteorological datasets are reported in those sources (Table 1).

231 The estimates from CLAMP are supplemented with estimates using leaf area analysis (LAA) 232 for mean annual precipitation (Wilf et al., 1998; Peppe et al., 2011) a climate parameter not 233 typically provided by CLAMP, as well as leaf margin analysis (LMA) for mean annual temperature 234 (Wilf, 1997; Greenwood, 2007; Peppe et al., 2011). Estimates for Falkland, Quilchena and Thomas 235 Ranch in British Columbia, Evan Jones Mine in Alaska, and three sites from Ellesmere Island in Nunavut are reported from the original analyses of those localities, but are supplemented with 236 237 previously unreported CLAMP paleoclimate estimates from some of these localities (Wolfe et al., 238 1998; Breedlovestrout, 2011; Smith, 2011; Sunderlin et al., 2011; Smith et al., 2012; 239 Breedlovestrout et al., 2013; Dillhoff et al., 2013; West et al., 2015).

240 2.3.3 Bioclimatic Analysis

In addition to physiognomic analyses, we employ Bioclimatic Analysis (BA) (Greenwood
et al., 2005), which extracts paleoclimatic information from fossil assemblages based on the
modern-day distribution of nearest living relatives (NLR) of the taxa found in the fossil
assemblage. Here, BA was performed by calculating probability density functions (e.g.,
Greenwood et al., 2017; Hyland et al., 2018; Willard et al., 2019) for each site and each climatic
variable: MAT, ST, WT and MAP.

247 ST and WT represent the average temperature for the three warmest and coldest months, 248 respectively. This is in contrast with WMMT and CMMT output of CLAMP, which represent the 249 mean temperature during the warmest and coldest month, respectively. This is the result of the 250 gridded climate data used in these approaches; BA relies on Hijmans et al. (2005), whereas 251 CLAMP relies on gridded climate data of New et al. (2002). Modern-day plant distributions were 252 derived from the Global Biodiversity Information Facility, which were then cross-plotted with 253 gridded climatic maps using the 'dismo' package in R (Hijmans et al., 2005) in order to calculate 254 means (μ) and standard deviation (σ) for each taxon and each climatic variable. The geodetic 255 records were first filtered in order to remove recorded occurrences with uncertain taxonomic 256 assignments, as well as exotic and duplicate occurrences. Additionally, a random subset of the 257 geodetic data was created that filtered out all but three occurrences in every $0.1^{\circ} \times 0.1^{\circ}$ gridcell 258 and all but 10 in every $1^{\circ} \times 1^{\circ}$ gridcell. This is to avoid overrepresentation of oversampled regions 259 of the world.





Grimm and Potts (2016) pointed out that assessing the bioclimatic range of plant taxa for each climatic variable separately may create an 'apparent bioclimatic envelope', where none of the occurrences fall within a certain combination of temperature and precipitation, but the climatic combination is still possible due to this apparent overlap. To circumvent this problem, we assess the likelihood (f) of a taxon (t) occurring at a combination of climatic variables, in this case MAT, ST, WT and MAP.

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267
$$f(t_n) = \left(\frac{1}{\sqrt{2\sigma_{MAT}^2\pi}}e^{(x_{MAT}-\mu_{MAT})^2/2\sigma_{MAT}^2}\right) \times \left(\frac{1}{\sqrt{2\sigma_{ST}^2\pi}}e^{(x_{ST}-\mu_{ST})^2/2\sigma_{ST}^2}\right) \times \left(\frac{1}{\sqrt{2\sigma_{WT}^2\pi}}e^{(x_{WT}-\mu_{WT})^2/2\sigma_{WT}^2}\right) \times \left(\frac{1}{\sqrt{2\sigma_{MAP}^2\pi}}e^{(x_{MAP}-\mu_{MAP})^2/2\sigma_{MAP}^2}\right)$$
(1)

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Any combination of climatic variables can be assessed in this way. The likelihood of each taxon is then combined to create an overall probability density function (*z*) for each climatic variable representative of the most likely bioclimatic range of the taxa in the assemblage.

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- 274 275

$$f(z) = f(t_1) \times f(t_2) \times \dots \times f(t_n)$$
⁽²⁾

This method creates highly variable probability densities, dependent on both the number of taxa and the disparity of the climatic range of the NLR's. The climatic values reported here represent the value with the highest absolute probability, and the 95% Confidence Interval represents the minimum and maximum values at which the absolute probability was \geq 5% the maximum probability.

281 2.4 Biome and Physiognomic Character Analysis

282 The physiognomic, BA, and ensemble climate estimates of each fossil locality were plotted on a 283 Whittaker (1975) biome diagram, modified from Woodward et al. (2004), in order to determine 284 the corresponding modern biome classification for each paleoforest based on paleoclimatic estimates. In addition, the CLAMP derived physiognomic data of each fossil locality were 285 286 analyzed using principal component analysis (PCA) against a comprehensive global compilation 287 of modern physiognomic data (Yang et al., 2015; Hinojosa et al., 2011; Reichgelt et al., 2019) to determine the most similar modern physiognomic analogues of the fossil sites. Finally, the 288 289 physiognomic characteristics of fossil, and a subset of modern, sites were compared using a 290 hierarchical cluster analysis to determine similarity. This was achieved by calculating a Euclidean 291 dissimilarity matrix without scaling using the "cluster" package in R (Maechler et al. 2019) and 292 the "Ward D2" method for Hierarchical Clustering (R Core Team, 2019).

293 3 Results

294 3.1 Quantitative paleoclimate analysis from paleobotanical proxies





295 3.1.1 Mid-latitude Upland Fossil Plant Localities

296 Ensemble estimates of MAT for the mid-latitude upland fossil localities of British 297 Columbia and Washington ranged between 7.0-14.9 °C, with the range of mean temperatures for the coldest (CMMT) and warmest months (WMMT) between -0.3-4.3 °C and 18.6-22.7 °C, 298 299 respectively (Table 2). Ensemble MAP estimates for these localities ranged between 80-135 cm 300 a⁻¹ (Table 2), while CLAMP estimates for the three wettest and three driest months (3WET and 301 3DRY) for these localities ranged between 40.0–66.1 cm (error \pm 23 cm) and 11.5–28.9 cm (error 302 \pm 6 cm), respectively (Table A1). The complete compilation of site-specific physiognomic and BA 303 data for mid-latitude upland fossils sites of British Columbia and Washington is provided in tables 304 A1–A3.

305 3.2.2 Mid-latitude Lowland Fossil Plant Locality

306 Ensemble estimates of MAT for the mid-latitude lowland Racehorse Creek fossil sites from 307 western Washington ranged between 17.3–18.9 °C, with the mean temperatures for the coldest (CMMT) and warmest months (WMMT) between 7.3-11.4 °C and 23.1-23.6 °C, respectively 308 (Table 2). The ensemble MAP estimate for Racehorse Creek was 135 cm a^{-1} (Table 2), while 309 CLAMP estimates for the three wettest and three driest months (3WET and 3DRY) for this locality 310 311 were 58.7 cm (error \pm 23 cm) and a range of 23.4–25.9 cm (error \pm 6 cm), respectively (Table A1). 312 The complete compilation of site-specific physiognomic and BA data for Racehorse Creek is 313 provided in tables A1-A3.

314 3.1.3 High-latitude Low Polar Lowland Fossil Locality

Ensemble estimate of MAT for the Chickaloon Formation Evan Jones Mine fossil flora was 13.7 °C, with estimates of CMMT and WMMT of 6.4 °C and 21.8 °C, respectively (Table 2). The ensemble MAP estimate for the Evan Jones Mine flora was 132 cm a⁻¹ (Table 2), while reported CLAMP estimates for the three wettest and three driest months (3WET and 3DRY) from Sunderlin et al. (2011) for this locality were 63.5 cm (error \pm 23 cm) and 36.6 cm (error \pm 6 cm), respectively (Table A1). The complete compilation of site-specific physiognomic and BA data of the Evans Jones Mine flora from Alaska is provided in tables A1–A3.

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323 3.1.4 High-latitude High Polar Lowland Fossil Localities

324 Ensemble estimates of MAT for the high-latitude fossil localities from Arctic Canada 325 ranged from 7.6–12.9 °C, with the range of CMMT and WMMT from 1.3–4.2 °C and 18.2–22.2 °C, respectively (Table 2). Ensemble MAP estimates for Ellesmere and Axel Heiberg islands 326 ranged between 131–180 cm a⁻¹ (Table 2), while CLAMP estimates for the three wettest and three 327 328 driest months (3WET and 3DRY) for the Arctic Canada fossil localities ranged between 36.9-54.4 329 cm (error ± 23 cm) and 19.7–31.3cm (error ± 6 cm), respectively (Table A1). The complete 330 compilation of site-specific physiognomic and BA data for Ellesmere and Axel Heiberg islands fossil plant localities is provided in tables A1-A3. In addition, climate estimates for Lake Hazen 331





- 332 are produced using BA (Table A3), as taxonomic data for this site recently became available (West 333 et al., 2019). Lake Hazen represents the most northerly (~81 °N) terrestrial plant fossil sites 334 available in North America and as such, climate data from this site help fill important geographic 335 gaps. Although, the number of broadleaf taxa identified at this locality (n = 11) was comparable to other Ellesmere Island fossil localities (e.g., Split Lake), many specimens were fragmentary and 336 337 incomplete. This limited the number of taxa that could be reliably scored to an insufficient number 338 (n = 6) to run leaf physiognomic analyses with meaningful precision and accuracy (Greenwood, 339 2007; Peppe et al., 2011; Yang et al., 2015), and as such this site could not be included in the site-
- 340 specific ensemble analysis.

341 3.2 Biome types, Principal Component Analysis (PCA), and Hierarchical Cluster Analysis 342 (HCA) using leaf physiognomy

Plotting the ensemble climate data all fossil localities plotted within the temperate forest space; 343 344 however, the high polar lowland Arctic sites plot along the boundary between temperate forest and 345 temperate rainforest (Fig. 3a). The mid-latitude lowland and upland fossil sites, as well as the low 346 polar lowland site from Alaska, plotted as temperate forests using the physiognomic climate 347 estimates from CLAMP and LAA (Fig. 3b). The high polar lowland fossil localities plot as 348 temperate rainforests when the CLAMP and LAA climate estimates are plotted on biome diagrams 349 (Fig. 3b). However, when the BA climate data are plotted, all fossil localities plot closely within 350 the temperate forest space (Fig. 3c). Results from PCA show that the mid-latitude upland and the 351 Canadian Arctic fossil localities generally plot with modern North American floras (Fig. 4a). The 352 HCA, however, shows the high polar Arctic floras, which group on their own branch, to be dissimilar from modern North American floras (Fig. 4b), whereas the mid-latitude upland floras 353 354 share some physiognomic characteristics with both the Arctic fossil floras and modern floras from 355 the North American west coast (e.g., Oregon, Washington), and east coast (e.g., Florida, South Carolina). The physiognomic datasets of the Racehorse Creek fossil flora from western 356 357 Washington and Evan Jones Mine Alaska are not published, and as such were not included in the 358 PCA and HCA analyses of leaf physiognomy.

359 **4. Discussion**

360 4.1 Climate Reconstruction

361 4.1.1 Mid-Latitude Upland Climate Reconstruction

The ensemble MAT estimates of 7.0–14.9 °C correlates with a cooler to warm temperate climate and agree with previous studies of these upland paleofloras of British Columbia and Washington (Greenwood et al., 2005, Smith et al., 2011; Greenwood et al., 2016; Lowe et al., 2018). However, temperatures below 10 °C imply winters that would have experienced periods of sustained frost, a climate feature that is inconsistent with fossil data (Greenwood et al., 2016). The mean of ensemble MAT estimates, however, suggests an average regional temperature for the





Okanagan Highlands of ~10 °C, and is more in line with fossil evidence from previous studies 368 369 (Archibald et al., 2014; Greenwood et al., 2016). The mean ensemble CMMT and WMMT 370 estimates indicate regional temperatures of ~2 °C and ~20 °C, respectively. These estimates 371 suggest that the mid-latitude upland regions in British Columbia and Washington experienced mild winter temperatures (>0 °C) and moderate seasonal changes in temperature. The mean of the 372 ensemble MAP estimates for the Okanagan Highlands suggests an average precipitation of ~110 373 374 cm a^{-1} , which indicates mesic conditions (MAP >100 cm a^{-1}), and aligns with fossil evidence that suggest these high-altitude forests were wet-temperate ecosystems (Greenwood et al., 2016). 375 376 These temperature and precipitation estimates, as well as the compilation of climate data (Table 2 377 and Tables A1-A3), indicate that the upland regions of British Columbia and Washington 378 experienced a climate during the Eocene similar to the modern day North Pacific coast of North 379 America (e.g., from Vancouver, British Columbia MAT 10.1 °C, CMMT 3.3 °C, WMMT 17.6 °C, MAP 120 cm a⁻¹, 3WET 51 cm, 3DRY 13.2 cm; Environment Canada, 2020; to Portland, Oregon 380 MAT 11.7 °C, CMMT 4.6 °C, WMMT 19 °C, MAP 119 cm a⁻¹, 3WET 47 cm, 3DRY 10.2 cm, 381 382 NOAA, 2020).

383 In some cases, site-specific leaf physiognomic-based estimates of MAT were significantly 384 lower than nearby MAT estimates from contemporaneous neighboring fossil localities, and are 385 considered anomalous (e.g., LMA estimates of MAT 2.4 °C from One Mile Creek, B.C. see Table A2). However, as a result of applying our ensemble approach, these anomalous values are muted, 386 387 as that particular estimate proxy is not weighed as heavily. It is important to note that the early 388 Eocene Okanagan Highland floras of British Columbia and Washington were upland ecosystems; 389 as such, these higher elevation ecosystems would have experienced cooler temperatures than the 390 neighboring lowland fossil localities (e.g., Racehorse Creek) due to temperature lapse with altitude 391 (Wolfe et al., 1998; Greenwood et al., 2016; Lowe et al., 2018).

392

393 4.1.2 Mid-latitude Lowland Climate Reconstruction

394 The ensemble MAT estimates of 17.3-18.9 °C from the lowland Racehorse Creek fossil localities in western Washington indicate a significantly warmer temperature regime compared to 395 the nearby Okanagan Highland upland floras. These MAT estimates, as well as the ensemble 396 397 CMMT estimates of 7.3–11.4 °C, for Racehorse Creek are in line with thermophilic fossil evidence 398 from the region, including coryphoid palm leaf fossils found in the Chuckanut and Huntingdon 399 formations (Breedlovestrout et al., 2013; Greenwood and Conran, 2019). The palm tribe 400 Coryphoideae, while somewhat cold hardy, is restricted (-2 σ) to climates with MAT \geq 10.3 °C and CMMT \geq 3.9 °C (Reichgelt et al., 2018). The most recent investigations of the Racehorse Creek 401 fossil flora reported a MAP estimate of 250-360 cm a⁻¹ (see Breedlovestrout et al., 2013 and 402 references therein). This is considerably wetter than our ensemble MAP estimate of 135 cm a⁻¹ or 403 404 even the upper 95% confidence interval value of 183 cm a^{-1} (Table 2). These ensemble estimates 405 should potentially be considered as minimum MAP values, as the Racehorse Creek floras were





lowland coastal environments (Breedlovestrout et al., 2013), and may have experienced more
precipitation than estimated here. The compilation of estimated climate data (Table 2 and Tables
A1–A3) suggests the lowland regions of western Washington experienced a warm and wet climate
during the Eocene similar to regions of the southeast of North America (e.g., Augusta, Georgia,
MAT 18.4 °C, CMMT 9.2 °C, WMMT 26.0 °C, MAP ~118 cm a⁻¹, 3WET 36.8 cm, 3DRY 26.5

411 cm, NOAA, 2020).

412 4.1.3 High-Latitude Low Polar Climate Reconstruction

413 The ensemble MAT estimates of 13.3 °C for the Evan Jones Mine flora align with previous 414 studies(e.g., Sunderlin et al., 2011) that indicated these low polar lowland floras were growing 415 under a cooler to warm temperate climate, and similar to a MAT (12.3 °C) estimate by Wolfe (1994). Previously, MAP of the Evan Jones Mine flora had been estimated to be 154.6 cm a^{-1} 416 417 (Sunderlin et al., 2011). Our ensemble estimate for MAP of 132 cm a^{-1} is a little drier than these prior estimates; however, the upper bound of the 95% confidence interval for the ensemble MAP 418 419 estimates suggests precipitation could have been considerably wetter (367 cm a^{-1} , Table 2). The 420 ensemble MAT and MAP estimates, coupled with the ensemble CMMT (6.4 °C) and WMMT (21.8 °C) estimates indicate that Alaska experienced some thermal seasonality, mild winter 421 422 temperatures (>0 °C), and typically wetter conditions than the mid-latitude upland floras of the 423 Okanagan Highlands, but drier than the coastal lowland Racehorse Creek site. The early Eocene 424 climate reconstruction for Alaska is considerably different from the modern-day climate of Alaska, 425 as modern Alaska experiences a cold and dry climate (e.g., Anchorage, AK MAT 2.8 °C, CMMT -8.2 °C, WMMT 14.9 °C, MAP 42.1 cm a⁻¹, 3WET 21 cm, 3DRY 4.5 cm; NOAA, 2020). 426

427 4.1.4 High-latitude High Polar Climate Reconstruction

428 The range of ensemble MAT estimates of 7.6–12.9 °C for the Canadian High Arctic sites 429 agree with previous estimates that indicated these polar lowland floras were growing under a cooler 430 to warm temperate climate. However, similar to the MAT estimates for the upland Okanagan 431 Highland floras, the cooler range of MAT estimates (< 10 $^{\circ}$ C), would suggest that these high-432 latitude forests would have experienced periods of sustained frost, an interpretation inconsistent 433 with fossil evidence (see McIver and Basinger, 1999; Eberle and Greenwood et al., 2012; West et al., 2019). The mean of the ensemble MAT estimates of ~10 °C, however, is a more in line with 434 435 the other proxy evidence for a MAT minimum of the polar regions during the early Eocene, and is a thermal regime supported by fossil evidence mentioned above (McIver and Basinger, 1999; 436 437 Eberle and Greenwood, 2012; West et al., 2019).

The mean values of the ensemble CMMT and WMMT estimates, ~ 3 °C and ~ 18 °C respectively, indicate that the Canadian Arctic experienced moderate thermal seasonality and mild winter temperatures (>0 °C). Previously, MAP for three fossil localities from Ellesmere Island had been estimated to be > 200 cm a⁻¹ (e.g., West et al., 2015). New physiognomic estimates of MAP for additional sites from Ellesmere and Axel Heiberg islands produced somewhat drier estimates (~175 cm a⁻¹, Table A2). The ensemble MAP estimates for the Canadian Arctic are also drier than





the West et al. (2015) physiognomic estimates, ranging from 131-180 cm a⁻¹, with a mean value of ~162 cm a⁻¹ (Table 2). These MAP values, though drier than the most recent physiognomic estimates, are still indicative of a wet climate similar to, or wetter than, the Evan Jones Mine flora of Alaska, and wetter still than the mid-latitude lowland and upland floras.

448 The climate reconstruction for the Canadian Arctic is in stark contrast to the modern-day 449 climate of Ellesmere and Axel Heiberg islands. Ellesmere Island currently experiences a harsh 450 Arctic desert climate (e.g., Eureka, Nunavut MAT -19.7 °C, CMMT -38.4 °C, WMMT 5.7 °C, MAP 7.6 cm a⁻¹, 3WET 3.8 cm, 3DRY 0.82 cm; Environment Canada, 2020). These high-latitude 451 452 paleoclimate estimates for the Canadian Arctic generally agree with previous high latitude proxy climate data from similarly-aged deposits (Table 3, Fig. 5). However, the physiognomic, BA, and 453 454 ensemble estimates of this study typically have cooler MAT values, and wetter MAP values than 455 estimates based on stable isotopes or palynofloras (Table 3). Nevertheless, the temperature 456 estimates of this and previous studies indicate that MAT remained relatively homogenous across 457 the high-latitudes throughout the late Paleocene and into the early Eocene (Fig. 5).

458 **4.2 The Latitudinal Temperature Gradient in northern North America**

459 The latitudinal temperature gradient is considered a defining characteristic of the climate 460 system both past and present (Zhang et al., 2019), and is one of the principal factors that control 461 the distribution of vegetation. As such, the persistence of a shallow latitudinal temperature gradient during the early Eocene would have been instrumental in supporting the expansive forest 462 463 ecosystems that stretched from the mid to high latitudes (Greenwood and Wing, 1995). An 464 understanding of the latitudinal temperature gradient during warm periods in Earth's history 465 remains an integral component of paleoclimate modelling, and relevance of past megathermal 466 intervals as useful analogs for modern global warming (Greenwood and Wing, 1995; Naafs et al., 467 2018).

468 However, climate models have had difficulty replicating the shallow latitudinal temperature gradient of the early Eocene (Hollis et al., 2019), with temperatures either 469 470 unrealistically hot in the tropics, or too cold at the poles and continental interiors. The most 471 successful of these models required pCO_2 of 4480 ppm, which is on the extreme upper end of early 472 Eocene estimates, although CO_2 calibrations tend to lose sensitivity at high values, making 473 quantification difficult (Huber and Caballero, 2011). Paleobotanical proxy methods have been 474 criticized, as differing methods can produce different results (Hollis et al., 2019); however, the 475 ensemble climate estimate method offers considerable improvements for estimating past 476 temperature and precipitation regimes over any single method (e.g., Reichgelt et al., 2018; Lowe 477 et al., 2018; Willard et al., 2019). Therefore, it is important to re-evaluate the latitudinal 478 temperature gradient for northern North America as methodological improvements are made and 479 new data become available.

480 The modern latitudinal temperature gradient for north-western North America is 481 approximately 1.2 °C/1° latitude (Greenwood and Wing 1995). Previously, paleobotanical data





482 from the Western Interior of North America has been used to estimate the latitudinal temperature 483 gradient, which suggested a latitudinal temperature change of 0.30-0.40 °C/1°latitude for the late 484 Paleocene and early Eocene (Greenwood and Wing, 1995; Davies-Vollum, 1997). In addition, 485 prior studies used δ^{18} O data from coastal marine bivalves to estimate a latitudinal temperature change of 0.28 °C/1° latitude for the late Paleocene and early Eocene in North America (Tripati et 486 al., 2001; Quan et al., 2012, and references therein). Recently, a temperature data compilation of 487 488 terrestrial climate proxies for the late Paleocene and early Eocene was used to reconstruct a global 489 average latitudinal temperature gradient of $0.16 \text{ °C/}1^\circ$ between $30^\circ-60^\circ$ paleolatitude (Zhang et 490 al., 2019).

491 As the Okanagan Highlands floras introduce an altitudinal influence, they are not included 492 in an evaluation of the latitudinal temperature gradient. Rather, the temperature estimates from the 493 coastal lowland Racehorse Creek floras are more comparable to the lowland floras of higher 494 latitudes. The ensemble estimates of the lowland Racehorse Creek fossil flora (~48° N) provides 495 a MAT value of ~19 °C. The mean of the ensemble MAT estimates from Ellesmere Island (~ 80° 496 N), NU, Canada is ~10 °C. The difference in latitude between the two lowland regions is about 497 31°, which results in a temperature change per degree of latitude of 0.28 °C/1° latitude. The Evan Jones Mine flora (MAT ~13 °C) is located geographically between the lowland fossil localities of 498 499 Racehorse Creek and Ellesmere Island, and as such appears to indicate that the latitudinal 500 temperature gradient is steeper from the mid-latitudes and shallower in the polar latitudes. The latitudinal temperature gradient estimate of 0.28 °C/1° latitude corroborates estimates previously 501 502 derived from both paleobotanical and coastal marine sources (Davies-Vollum, 1997; Tripati et al., 503 2001; Quan et al., 2012). Similar northern hemisphere temperature gradients (0.27 °C/1° latitude) have been estimated from fossil plants for the late Paleocene in China (Quan et al., 2012), which 504 505 indicate that relatively similar temperature gradients may have been in place globally in the northern hemisphere during the late Paleocene and early Eocene. 506

507 4.3 Paleobiomes of northern North America

508 During the early Eocene, subtropical and temperate forests dominated the mid-latitudes and 509 were comprised of a high diversity of both temperate and thermophilic taxa-with thermophilic 510 floral and faunal elements extending poleward in the Eocene, reaching 70 °N or more (Eberle and Greenwood, 2012). Vegetation models suggest the mid-latitudes were dominated by mixed 511 512 deciduous and evergreen forests, which is in broad agreement with fossil evidence (Beerling and 513 Woodward, 2001; Shellito and Sloan, 2006). The ability of models calibrated from modern 514 vegetation dynamics to produce results that broadly agree with the fossil record may suggest that 515 ecophysiological controls on plant distributions have not changed markedly during the Cenozoic (Beerling and Woodward, 2001); however, there is still a need to provide a refined and evidence-516 based interpretation of the forest ecosystems that were in place in northern North America during 517 518 the early Eocene.

519 CLAMP climate results for the mid-latitude lowland and upland fossil localities, as well as 520 the high-latitude lowland sites, plot as temperate forest and temperate rainforest biomes (Fig. 3b)





521 respectively, but group more tightly within the temperate forest biome when BA climate data are 522 plotted (Fig. 3c). Plotting the ensemble climate estimates from northern North America on biome 523 diagrams (Fig. 3b), however, further demonstrates the similarity in both vegetation and climate 524 throughout these regions. The majority of the fossil localities plot within the climate space to be 525 classified as temperate forest ecosystems (Fig. 3), with two high-latitude localities plotting on the 526 boundary between temperate forest and temperate rainforest ecosystems. Whipsaw Creek, a fossil 527 locality of the Okanagan Highlands (Table 1), plots as a grassland biome; however, when the upper 528 end of the errors are considered, this fossil locality overlaps with the fossil localities plotted as 529 temperate forests.

530 Temperature and precipitation correlate positively with the rate of productivity in a forest 531 (Whittaker, 1975). Therefore, the standing biomass and annual net primary productivity of a forest 532 will differ depending on the climatic zone. Typically, temperate forests have an average aboveground net primary productivity (ANPP) of about 9.5 Mg ha⁻¹ yr⁻¹ (Saugier et al., 2001), but this 533 534 value can differ based on the regional climate. For example, temperate rainforests from the Pacific 535 Northwest with MAP values of > 150 cm a⁻¹ have been shown to have an above-ground biomass of 467–1316 Mg ha⁻¹ and an annual net primary productivity of 4.2-15 Mg ha⁻¹ yr⁻¹ (Gholz, 1982), 536 537 whereas wetland forests such as the *Taxodium* (swamp cypress) dominated forests of Florida, have been shown to have an above-ground biomass of 284 Mg ha⁻¹ and an ANPP of 16.1 Mg ha⁻¹ yr⁻¹ 538 (Brown, 1981). Temperate broad-leaved forests from Tennessee typically have an above-ground 539 biomass of 326–471 Mg ha⁻¹ and an ANPP of 6.3–13.1 Mg ha⁻¹ v⁻¹ depending on the age of the 540 forest stand (Busing, 2013). Biomass and ANPP has been previously reconstructed as 501-587 Mg 541 542 ha⁻¹ and 5.8-7.8 Mg ha⁻¹ yr⁻¹, respectively, for the late Paleocene to early Eocene Metasequoia (dawn redwood) dominated swamp forests of Stenkul Fiord (Williams et al., 2009). Above-ground 543 biomass has also been reconstructed as 946 Mg ha⁻¹ for the middle Eocene forests on Axel Heiberg 544 545 Island (Basinger et al., 1994). These values fall within the range of values appropriate for modern 546 temperate forests, and the lower to middle range for the temperate rainforests of the Pacific 547 Northwest, which suggests that modern values of biomass and ANNP may be useful 548 approximations for model simulations if the forest biome is known.

549 Our data support previous studies that have described the upland fossil megaflora of British 550 Columbia and Washington state as having a dominant temperate component, or belonging to a 551 temperate forest ecosystem with analogues from modern west coast temperate forest ecosystems 552 (Greenwood et al., 2016; Lowe et al., 2018). These upland paleofloras reflect forests consisting of 553 mixed temperate and tropical plants, with insects (e.g. lacewings and palm beetles), birds and 554 mammals (e.g. hedgehogs and tapirs) (Archibald et al., 2011; Greenwood et al., 2005, 2016; Eberle et al., 2014; Eberle and Greenwood, 2017). The ancient plant communities were diverse and are 555 556 typically dominated by taxa such as Ginkgo, Pinaceae such as Picea (spruce), Cupressaceae such 557 as Metasequoia (dawn redwood), Sassafras, Betula (birch), Alnus (alder), Ulmus (elm), 558 Cercidiphyllum/Trochodendroides (katsura), and rare palms (Smith et al., 2009; DeVore and Pigg 2007, 2010; Greenwood et al., 2005, 2016; Mathewes et al., 2016; Lowe et al., 2018). During the 559





early Eocene, more southerly regions of North America hosted mainly tropical flora and fauna,
supported by warmer climate conditions than those of the Okanagan Highlands (Archibald et al.,
2010; Morley, 2011; Eberle and Greenwood, 2012), more similar in climate to the lowland
Racehorse Creek flora from western Washington (DeVore and Pigg 2010; Beedlovestrout et al.,
2013), fossil floras of the Green River Basin (Wolfe, 1994; Wilf, 2000), and to the southeast
(Currano et al., 2010) in the U.S.A.

566 The lowland Canadian Arctic megafloras have previously been described as representing a 567 temperate rainforest based on leaf physiognomic climate estimates (e.g., Greenwood et al., 2010; 568 West et al., 2015), an interpretation supported by the leaf physiognomic climate estimate biome 569 plots (Fig. 3), and the fossil taxa present (McIver and Basinger, 1999; Eberle and Greenwood, 570 2012; West et al., 2019). The early Eocene polar broadleaf deciduous fossil forests of Alaska and 571 those of Ellesmere and Axel Heiberg islands in Arctic Canada reflect a mixed temperate flora (Ulmus, Alnus, Tetracentron, Magnolia), with some rare tropical elements (palynological evidence 572 573 for palms found in the ACEX cores; McIver and Basinger, 1999; Sluijs et al., 2009; Sunderlin et al., 2011; Salpin et al., 2018; West et al., 2015, 2019; Willard et al., 2019). Prior vertebrate 574 575 paleontological studies from the region have shown that these polar environments were also host 576 to a mix of fauna that included alligators and thermophilic forms of, snakes, turtles, large 577 mammals, terror birds, and early primates (Estes and Hutchinson, 1980; McKenna, 1980; Dawson 578 et al., 1993; Eberle, 2005; Eberle et al., 2014).

579 Results from PCA show that the mid- and high-latitude lowland fossil localities generally 580 plot with the North American and Eurasian floras (Fig. 4a). Despite this, the leaf physiognomy-581 based HCA indicates that the Arctic fossil floras are physiognomically distinct from modern floras 582 (Fig. 4b). The mid-latitude floras appear to share some leaf physiognomic characteristics with both 583 the Arctic fossil floras and modern floras from the North American west coast (e.g., Oregon, 584 Washington), and east coast (e.g., Florida, South Carolina). The physiognomic distinctiveness of 585 the Eocene Arctic floras from both modern floras and mid-latitude Eocene floras may be due to an 586 ancient environment with no modern analog, due to the extreme abiotic stress from photic 587 seasonality combined with high precipitation and relatively mild temperatures (e.g., West et al. 588 2015, and references therein). Forests cannot currently occur at such high latitudes, and therefore 589 these physiognomic responses and adaptations have no modern analogue; thus, these polar 590 ecosystems can be referred to as fossil, or extinct ecosystems (West et al. 2015, and references 591 therein). These results, coupled with the ensemble climate estimates, suggest considerable climatic 592 overlap existed between the mid- and high-latitudes during the early Eocene. This suggests that 593 the similar climatic regimes, facilitated by a shallow latitudinal temperature gradient, allowed for 594 similar forest ecosystems-both floristically and vegetatively-to exist at both mid- and high-595 latitudes during the early Eocene, despite substantial differences in latitude and photic seasonality.

596 **5** Conclusions





597 The results of our ensemble approach to climate reconstruction for the mid- and high 598 latitudes of northern North America describe a low latitudinal temperature gradient (0.28 °C/1° 599 latitude) and broad climatic similarity across a large latitudinal range ($\sim 30^{\circ}$) during the early 600 Eocene, although variation in precipitation between the mid- and high latitudes is evident (Fig. 5). 601 This shallow latitudinal temperature gradient supported an extensive forest ecosystem that spanned most of northern North America from Washington USA to Ellesmere Island, NU, with several 602 603 genera occurring throughout its entire extent (e.g., Metasequoia, Alnus, Ulmus; see West et al., 604 2019). The climate estimates derived from the upland fossil floras of the mid-latitude sites in 605 Washington and British Columbia, coupled with biome charts and physiognomic analysis, indicate that these ancient forests ecosystems share physiognomic features with modern temperate forests 606 607 from the Pacific Northwest. The high-latitude lowland fossil localities from Arctic Canada plot 608 with as temperate forests, alongside fossil floras from the mid-latitudes, but exist at the boundary 609 between the climatic range for a temperate forest and temperate rainforest. Although, in the HCA 610 analysis, the physiognomic character of the Arctic forests was dissimilar from modern forest 611 ecosystems, whereas the mid-latitude fossil sites share more physiognomic qualities with modern 612 forests and the early Eocene polar forests-potentially resulting from similar climatic conditions. 613 Despite the antiquity of these forest ecosystems, the PCA analysis of fossil site physiognomy of 614 both the mid- and high-latitude sites demonstrates that these forests broadly group with modern 615 floras from North America and Eurasia.

616 These results indicate that the climate of northern North America during the early Eocene 617 was potentially more homogenous than previously appreciated, and capable of supporting 618 climatically, and taxonomically, similar forests at mid- and high latitudes, supported by a shallow latitudinal temperature gradient. Although PCA of the physiognomic character of the Arctic forests 619 620 broadly groups with modern forest ecosystems, the results of the HCA analysis indicate that these ancient forests do not align physiognomically with any modern forest ecosystem, this is not 621 622 unexpected as these high-latitude ecosystems would have experienced pronounced photic 623 seasonality and an enhanced hydrological cycle during Eocene warmth (see West et al., 2015 and references therein)-which resulted in an ecosystem that has since become extinct. 624

Improved terrestrial climate estimates and vegetation resulting from an ensemble approach offer opportunities to better classify these ancient forest ecosystems, enhancing utility of the paleobotanical record for paleoclimate modeling. This is a step towards meeting the goals recommended by Hollis et al. (2019) and the modelling community (e.g., DeepMIP; Hollis et al. 2019; Lunt et al., 2020) in striving to improve the quality and clarity of proxy data and derived climatic parameters.





- Figure 1: Location map showing the location of the fossil localities used in this study. (1) Lake
- Hazen, (2) Mosquito Creek, (3) Ox-Head Creek, (4) Hot Weather Creek, (5) Fosheim Anticline,
- 634 (6) Strand Fiord), (7) Strathcona Fiord, (8) Split Lake, (9) Stenkul Fiord, (10) Evan Jones Mine,
- 635 (11) Chu Chua, (12) McAbee, (13) Quilchena, (14) Falkland, (15) Thomas Ranch, (16) One Mile
- 636 Creek, (17) Whipsaw Creek, (18) Republic, (19) Racehorse Creek.







- 638 Figure 2: Stratigraphic compilation of corresponding formations for the fossil localities used in
- this study. A-F represent the corresponding radiometric data for those formations, and leaf images
- numbered 1-6 represent approximate stratigraphic positions of the floras used for this study. Data
- 641 compiled from Sunderlin et al., 2011, Breedlovestrout et al., 2013, Greenwood et al., 2016, and
- West et al., 2015. Modified from West et al., 2019 and Greenwood et al., 2016.







- 645 Figure 3: Biome charts showing the paleoclimate data plotted against modern climate parameters
- 646 defining modern biomes. (A) Ensemble climate estimates, (B)Leaf physiognomy estimates, (C)
- 647 BA estimates.









649 Figure 4: PCA and HCA analysis using CLAMP derived physiognomic data from the fossil floras 650 used for this study. (A) PCA showing how the fossil floras group with modern floras based on leaf 651 physiognomy. PCA axis 1 explains 41.3% of the variance, and PCA axis 2 explains 26.3% of the variances. Blue triangles represent the Canadian Arctic localities. Green circle represent the 652 Okanagan Highlands upland localities. (B) HCA graph showing how the fossil floras group 653 654 compared against modern floras. Blue text refers to the Canadian Arctic fossil localities. Green text refers to the Okanagan Highland upland fossil localities. Purple text refers to modern sites 655 656 from North America. Orange text refers to modern sites from Eurasia.







- 658 Figure 5: Proxy ensemble data from fossil localities showing (A) MAT and (B) MAP estimates
- from this study, and prior studies compiled in Table 3.



NU

Strand Fiord





505		Canada, N	0 – Nullavut, Callad	a, wA – washington	п, озл, лк	– Alaska, USA.
	Area	Fossil site	Rock unit	Age	Modern Latitude	Information sources
	WA	Republic	Klondike Fm.	early Eocene	48.3	Wolfe et al., 1998; This study;
	WA	Racehorse Creek	Slide Mbr, Chuckanut Fm.	early Eocene	48.4	Breedlovestrout 2011; Breedlovestrout et al., 2013
	BC	One Mile Creek	Allenby Fm.	early Eocene	49.2	Wolfe et al., 1998; Greenwood et al., 2016; This study
	BC	Thomas Ranch	Vermillion Bluffs Shale, Allenby Fm.	early Eocene	49.2	Dillhoff et al., 2013
	BC	Whipsaw Creek	Vermillion Bluffs Shale, Allenby Fm.	early Eocene	49.2	Greenwood et al., 2016; This study
	BC	Quilchena	Coldwater Beds	early Eocene	50.1	Mathews et al., 2016; This study Smith 2011:
	BC	Falkland	Tranquille Fm.	early Eocene	50.3	Smith et al., 2009, 2012
	BC	McAbee	Tranquille Fm.	early Eocene	50.4	Gushulak et al., 2016; Lowe et al., 2018 Wolfe et al., 1008;
	BC	Chu Chua	Chu Chua Fm.	early Eocene	51.2	Greenwood et al., 2016; This study
	AK	Evan Jones Mine	Chickaloon Fm.	late Paleocene to early Eocene	61.4	Sunderlin et al., 2011
	NU	Stenkul Fiord	Margaret Fm.	late Paleocene to early Eocene	77.2	West et al., 2015
	NU	Split Lake	Mt Moore Fm.	late Paleocene to early Eocene	77.5	West et al., 2015
	NU	Strathcona Fiord	Mt Moore Fm.	late Paleocene to early Eocene	78.3	West et al., 2015

Table 1: Locality information of fossil sites discussed in this study. BC – British Columbia,
Canada; NU – Nunavut, Canada; WA – Washington, USA; AK – Alaska, USA.

23

Iceberg Bay Fm.

late Paleocene to

early Eocene

West et al.,

2019; This study

79.1





NU	Fosheim	?Mt.Moore/?Mar	late Paleocene to	70 /	West et al.,
NU	Anticline	garet Fm.	early Eocene	/ 7.4	2019; This study
NU	Hot Weather	?Mt.Moore/?Mar	late Paleocene to	70.4	West et al.,
	Creek	garet Fm.	early Eocene	79.4	2019; This study
NIT	Mosquito	?Mt.Moore/?Mar	late Paleocene to	70.5	West et al.,
NU	Creek	garet Fm.	early Eocene	19.5	2019; This study
NILI	Ox-Head	?Mt.Moore/?Mar	late Paleocene to	70.5	West et al.; This
NU	Creek	garet Fm.	early Eocene	19.5	study
NILI	Laka Hazan		late Paleocene to	Q1 /	West et al.,
INU			early Eocene	01.4	2019; This study





666 667	Table 2: Proxy ensemble c. the 95% confidence interva	able 2: Proxy ensemble climate estimates for fossil plant localities. Bracketed range indicates ne 95% confidence interval of the bootstrapped paleobotanical proxy estimates.									
	Localities	$MAT(^{\circ}C)$	WMMT (°C)	$CMMT(^{\circ}C)$	$MAP (cm a^{-1})$						
	WA Republic (BH)	7.6 (-0.5-14.3)	18.9 (13.6-24.0)	1.6 (-6.3-7.2)	116 (48-293)						
	WA Republic (KH)	11.8 (5.0-18.1)	20.6 (17.0-25.2)	2.3 (-5.0-8.7)	118 (51-332)						
	WA Pasahorsa Craak	17.2(10.4.25.4)	22.6(12.622.5)	7 2 (0 2 12 0)							

WA Republic (BH)	7.6 (-0.5-14.3)	18.9 (13.6-24.0)	1.6 (-6.3-7.2)	116 (48-293)
WA Republic (KH)	11.8 (5.0-18.1)	20.6 (17.0-25.2)	2.3 (-5.0-8.7)	118 (51-332)
WA Racehorse Creek	17.3 (10.4-25.4)	23.6 (18.6-28.5)	7.3 (0.3-13.9)	
WA Racehorse Creek (Landslide)	18.9 (11.6-26.1)	23.1 (19.6-27.8)	11.4 (3.5-17.0)	135 (100-183)
BC One Mile Creek	7.0 (-0.9-13.6)	19.2 (14.6-22.7)	-0.3 (-9.4-5.8)	109 (43-362)
BC Thomas Ranch	9.9 (4.5-13.6)	20.0 (15.9-24.0)	1.1 (-6.8-5.6)	92 (42-140)
BC Whipsaw Creek	13.0 (6.5-21.2)	20.4 (15.8-25.2)	1.2 (-2.8-6.7)	80 (29-244)
BC Quilchena	14.9 (8.10-21.2)	22.7 (18.9-27.1)	5.3 (-1.6-9.8)	121 (62-186)
BC Falkland	10.4 (2.3-15.9)	20.8 (17.4-21.7)	4.3 (-1.5-9.9)	135 (52-416)
BC McAbee	10.8 (4.0-16.7)	20.7 (16.2-27.2)	1.8 (-4.5-8.5)	106 (33-315)
BC Chua Chua	8.9 (1.9-15.0)	18.6 (13.0-21.7)	0.0 (-8.3-5.6)	113 (49-292)
AK Evan Jones Mine	13.3 (7.7-17.6)	21.8 (17.2-26.2)	6.4 (0.8-12.2)	132 (63-367)
NU Stenkul Fiord	11.2 (3.1-17.4)	21.4 (17.6-26.5)	4.0 (-1.8-9.8)	180 (81-549)
NU Split Lake	12.4 (3.4-19.1)	22.2 (13.4-28.8)	3.8 (-5.5-11.2)	174 (63-501)
NU Strathcona Fiord	12.9 (5.2-19.6)	21.8 (18.0-27.1)	4.2 (-1.8-10.5)	175 (78-539)
NU Strand Fiord	10.5 (1.8-17.5)	20.3 (15.7-25.4)	2.7 (-4.4-9.7)	131 (61-374)
NU Fosheim Anticline	10.0 (1.2-16.8)	19.8 (14.0-24.8)	3.1 (-4.7-9.7)	152 (73-442)
NU Hot Weather Creek	9.7 (-0.2-17.0)	20.2 (14.7-25.0)	3.9 (-2.4-11.3)	153 (66-444)
NU Mosquito Creek	7.6 (-0.8-15.0)	18.2 (13.5-23.9)	1.3 (-6.0-7.8)	168 (64-488)
NU Ox Head Creek	8.0 (-1.0-17.0)	19.1 (14.1-24.9)	2.3 (-7.9-11.2)	155 (69-432)





669 Table 3: Compiled Arctic temperature proxy data from additional sources.

670

Fossil locality	MAT °C	WMMT °C	CMMT °C	MAP cm a ⁻¹
North Sea ¹	16.0-17.0	~25.0	10.2	>120
Mackenzie Delta ²	16.0-25.0	25.0-28.0	5.0*15.5	110-160
New Siberian Islands ³	16.0-21.0	25.0-28.0	5.5-14.0	110-140
Lomonosov Ridge ⁴	10.8-14.7	17.9-20.2	3.5-8.9	89.8-97.5

671 1. Eldrett et al., 2014

672 2. Salpin et al., 2018

673 3. Suan et al., 2017

674 4. Willard et al., 2019





676 6. Appendix A

- Figure A1: Correlation between Summer and Warmest Month Mean Temperature, and Winter and
- 678 Coldest Month Mean Temperature (Reichgelt et al., 2018). This correlation was used to compare
- 679 Bioclimatic Analysis results to CLAMP results, as the former uses Summer/Winter Mean, and the
- 680 latter uses Warmest and Coldest Month Means.







683	Table A1: CLAMP derived paleoclimate estimates. Unless otherwise specified, data are orig	ginal
684	to this study. See Table 1 for locality data. Standard errors and units for climate	

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686

parameters: MAT ± 2.1 °C; CMMT ± 3.4 °C; WMMT ± 2.5 °C; LGS ± 1.1 months;									
$GSP \pm 32 \text{ cm};$	3-W	$ET \pm 23$	3 cm; 3-E	$ORY \pm 6$	cm; RH ±	± 8.6%;	$SH \pm 1.7$	7 g/kg.	
Localities	п	MAT	CMMT	WMMT	LGS	GSP	3-WET	3-DRY	RH
Republic (Boot Hill)	27	8.5	-0.8	17.9	5.4	74.6	66.1	11.5	66.4
Republic (Knob Hill)	43	10.4	0.6	21	6.4	79.9	57.2	15.9	73.2
Racehorse Creek (LS)		16	8.7	23.6	8.9	123	58.7	23.4	81
Racehorse Creek	??	15.3	7.3	23.6	8.6	127	58.7	25.9	82.7
One Mile Creek	25	7.2	-3.8	18.5	4.8	48.7	51.5	11.8	69.3
Thomas Ranch	31	9	-1.2	20	5.8	68	40	23.3	67.2
Whipsaw Creek	36	10.2	-0.3	21.1	6.3	100	65.6	20.5	76.9
Quilchena	55	13.3	4.1	23.1	7.7	117	64.7	20.2	76.5
Falkland (combined)	70	12.5	4.3	21.7	7.2	85	49.3	28.9	77
McAbee	43	11.7	1.3	22.9	6.9	113	65.4	19.9	75.5
Chu Chua	27	7.3	-2.5	17.4	4.6	67	62.6	21.2	65.5
Evan Jones Mine	??	13.7	6.1	22.2	7.7	118	63.5	36.6	
Stenkul Fiord	25	12.7	3.6	22	7.5	90	46.2	26.5	84
Split Lake	11	14.4	4.6	24.4	8.4	96	39.1	31.3	84.2
Strathcona Fiord	15	13	4.0	22.3	7.7	111	54.4	27	83.6
Strand Fiord	15	10.3	1.0	19.8	6.3	76	52	19.7	79.7
Fosheim Anticline	15	9.6	0.8	18.1	6.1	61	44.5	21.8	82.9
Hot Weather Creek	10	12.2	3.6	20.8	7.3	80	44.1	25.7	84
Mosquito Creek	13	9.5	0.5	18.1	6.1	45	36.9	21.5	83.4
Ox Head Creek	13	8.3	-2.1	18.6	5.5	44	37.6	21.2	82.8

n = number of leaf morphotypes scored; MAT = Mean annual temperature; CMMT = Cold month mean temperature; WMMT = Warm month mean temperature; LGS = Length of growing season;

GSP = Growing season precipitation; 3-WET = Precipitation during the three consecutive wettestmonths; 3-DRY = Precipitation during the three consecutive driest months; RH = Relative

691 humidity.





693	Table A2:	Paleoclimate	estimates	from le	af margin	analysis	(LMA:	Wing &	Greenwood

694 1993; Wilf 1997; Peppe et al., 2011) and leaf area analysis (LAA: Wilf et al., 1998; Peppe et al., 2011). Unless otherwise specified, data and estimates original to this study. See Table 1 for locality

695

696 data. Errors stated within the table; errors for MAP are asymmetric as they are converted from

697 loge. Where n has two values, different n applies to LMA/LAA due to overly incomplete leaves

being excluded from LAA. 698

Localities	п	LMP	MAT-1	MAT-2	MLnA	MAP-3	MAP-4
							130 +109
Republic (BH)	27	0.07	3.4 ± 1.5	6.1 ± 4.8	6.9	93 +40, -28	59
						108 +47	140 +118
Republic (KH)	43	0.35	11.8 ± 2.2	11.7 ± 4.8	7.1	33	64
Racehorse Ck							
(LS) ^{††}	32	0.67	21.9 ± 2.6	18.4 ± 4.8			
Racehorse							
Creek ^{††}	23	0.61	19.8 ± 3.1	17.0 ± 4.8			
							130 +109, -
One Mile Creek	24/25	0.04	2.4 ± 1.2	5.4 ± 4.8	6.9	93 +40, -28	59
Thomas Ranch ^{‡‡}	31	0.21	7.8 ± 2.1			77 +33, -23	
Whipsaw Creek	24	0.46	15.2 ± 3.1	14 ± 4.8	6.1	51 +22, -16	95 +80, -43
Quilchena ⁺⁺	55	0.49	16.2 ± 2.0	14.6 ± 4.8		121 ± 39	
							149 +125, -
Falkland [*]	59	0.2	7.3 ± 2.0	8.7 ± 4.8	7.4	121 +52, -37	68
			10.2 ±				125 +105, -
McAbee ⁺	43	0.3	2.5	10.6 ± 4.8	6.7	87 +76, -8	57
							130 +109, -
Chu Chua	24	0.19	6.9 ± 2.4	8.4 ± 4.8	6.9	93 +40, -28	59
Evan Jones						155 +108,-	
Mine [‡]	39	0.321	11.0 ± 2.3		7.6	221	
						240 + 104, -	211 +178, -
Stenkul Fiord [†]	25		8.5 ± 2.6	9.5 ± 4.8	8.6	72	96
							207 +174, -
Split Lake [†]	11		10.9 ± 4.3	11.1 ± 4.8	8.5	230 +99, -69	94
Strathcona							207+174, -
Fiord [†]	15		12.4 ± 3.8	12.1 ± 4.8	8.5	230 +99, -69	94
							150 +126, -
Strand Fiord	15/13	0.23	8.2 ± 3.6	9.3 ± 4.8	7.4	123 +53, -37	68,
Fosheim							178 +149, -
Anticline	15	0.2	7.3 ± 3.2	8.7 ± 4.8	8	173 +75, -52	81
Hot Weather							181 +151, -
Creek	10/9	0.15	5.7 ± 3.5	7.7 ± 4.8	8	177 +77, -53	82
							197 +165, -
Mosquito Creek	13/10	0.7	3.3 ± 2.1	6.1 ± 4.8	8.4	210 +91, -63	90
							181 +152, -
Ox-Head Creek	13/11	0.8	3.5 ± 2.3	6.2 ± 4.8	8.1	178 +77, -54	82





- 699 n = number of dicot leaf morphotypes scored; LMP = leaf margin proportion (number of non-
- toothed leaf morphotypes as proportion of $n: 0 > X \le 1.0$; Wilf, 1997); MAT = Mean annual temperature; MAT-1 = LMA equation of Wing and Greenwood, 1993; MAT-2 = LMA global
- equation of Peppe et al., 2011; MLnA = mean leaf size expressed as \log_e ; MAP = mean annual
- precipitation, where MAP-3 = LAA equation of Wilf et al., 1998; MAP-4 = LAA global equation
- 704 of Peppe et al., 2011.

- 706 *†*† Breedlovestrout et al., 2013.
- 707 *‡*‡ Dillhoff et al., 2013
- 708 ++ Mathewes et al., 2016
- 709 * Smith et al., 2011.
- 710 + Lowe et al., 2018.
- 711 ‡ Sunderlin et al., 2011.
- 712 † West et al., 2015.
- 713





Localities	min MAT	mean MAT	max MAT	minS umT	mean SumT	maxS umT	minW inT	mean WinT	max WinT	minM AP	mean MAP	max MAP
Republic Boot Hill	11.8	13.1	13.9	19.5	21	23.2	2.3	6.0	7.1	112.2	131.8	151.4
Republic - Knob Hill	12.7	13.6	14.2	20.2	21.3	22.4	3.2	4.8	7.6	100.0	112.2	131.8
Racehorse Ck (Slide Mbr)	18.4	19.4	20.4	22.3	23.6	24.8	13.2	14.3	16.3	114.8	134.9	158.5
One Mile Creek	12.0	13.6	13.6	19.8	21.3	22	2.3	4.8	6	97.7	112.2	120.2
Thomas Ranch	12.4	13.6	13.6	20.2	21.3	22	2.9	4.8	5.8	97.7	112.2	120.2
Whipsaw Creek	10.8	13.3	13.6	18.7	21	22.6	1.0	4.4	6.4	85.1	97.7	128.8
Quilchena	15.4	15.7	15.7	23	23	23.7	7.0	7.0	7.7	117.5	131.8	131.8
Falkland	12.6	13.6	13.6	20.2	21.3	21.3	4.8	4.8	6.2	102.3	112.2	117.5
McAbee	10.0	10.8	12.2	18.2	19.2	21.3	0.8	2.8	5.4	89.1	107.2	128.8
Chua Chua BC	11.8	12.4	13.6	19.8	21.5	22.0	2.2	2.9	5.0	104.7	120.2	131.8
Evan Jones Mine	13.9	14.8	16.4	20.7	23.1	24.0	5.5	7.1	9.6	91.2	109.6	144.5
Stenkul Fiord	12.7	13.6	15.6	20.3	21.3	24.2	3.1	4.8	7.7	95.5	112.2	131.8
Split Lake	10.0	13.6	15.6	17.3	21.3	24.7	-0.1	4.8	9.0	77.6	112.2	154.9
Strathcona	11.1	13.6	15.7	18.4	21.3	24.4	2.0	4.8	8.7	89.1	112.2	151.4
Strand Fiord	12.3	13.6	16.0	20.1	21.3	24.1	3.2	4.8	9.0	95.5	112.2	147.9
Fosheim Anticline	12.3	14.8	16.0	20.1	23.1	24.7	3.2	7.1	9.1	95.5	109.6	144.5
Hot Weather Creek	11.1	13.6	15.7	18.4	21.3	24.3	1.8	4.8	8.9	89.1	112.2	154.9
Mosquito Creek	9.6	11.1	13.6	17.3	19.1	22.6	-0.5	3.9	6.7	81.3	109.6	147.9
Oxhead Creek	12.6	14.1	16.4	18.8	20.3	23.7	4.8	8.1	10.4	95.5	117.5	144.5
Lake Hazen	12.6	14.4	16.4	19.5	22.2	24.0	3.9	6.9	9.8	95.5	141.3	144.5

714 Table A3: Paleoclimate estimates using NLR-based BA method.

715 *minMAT* = minimum mean annual temperature; *meanMAT* = average mean annual temperature;

716 maxMAT = maximum mean annual temperature; minSumT = minimum summer temperature;

717 meanSumT = average summer temperature; maxSumT = maximum summer temperature;

718 minWinT = minimum winter temperature; meanWinT = average winter temperature;

719 maxWinT = maximum winter temperature; minMAP = minimum mean annual precipitation;

720 *meanMAP* = average mean annual precipitation; *maxMAP* = maximum mean annual

721 precipitation.

722

723 7. Author contributions

724 CKW and DRG conceived the study. CKW led the writing and co-ordinated the analyses. CKW,

JMV, AJL, and JFB collected the samples, and CKW, TR, AJL and JMV collected and analysed

the data. CKW, DRG, and JFB wrote the paper with inputs from all of the authors.

727 8. Competing interests





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