1	Atmospheric CO <sub>2</sub> estimates for the Miocene to Pleistocene based on foraminiferal $\delta^{11}B$ at Ocean
2	Drilling Program Sites 806 and 807 in the Western Equatorial Pacific
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4	Maxence Guillermic <sup>1,2</sup> , Sambuddha Misra <sup>3,4</sup> , Robert Eagle <sup>1,2</sup> , Aradhna Tripati <sup>1,2</sup>
5	
6	
7	
8	<sup>1</sup> Department of Atmospheric and Oceanic Sciences, Department of Earth, Planetary, and Space
9	Sciences, Center for Diverse Leadership in Science, Institute of the Environment and Sustainability,
10	University of California – Los Angeles, Los Angeles, CA 90095 USA
11	<sup>2</sup> Laboratoire Géosciences Océan UMR6538, UBO, Institut Universitaire Européen de la Mer, Rue
12	Dumont d'Urville, 29280, Plouzané, France
13	<sup>3</sup> Indian Institute of Science, Centre for Earth Sciences, Bengaluru, Karnataka 560012, India
14	<sup>4</sup> The Godwin Laboratory for Palaeoclimate Research, Department of Earth Sciences, University of
15	Cambridge, UK
16	
17 18	Correspondence to: Maxence Guillermic (maxence.guillermic@gmail.com) and Aradhna Tripati

18 (atripati@g.ucla.edu)

## **19 ABSTRACT**

20 Constraints on the evolution of atmospheric CO<sub>2</sub> levels throughout Earth's history are foundational to 21 our understanding of past variations in climate. Despite considerable effort, records vary in their 22 temporal and spatial coverage and estimates of past CO<sub>2</sub> levels do not always converge, and therefore 23 new records and proxies are valuable. Here we reconstruct atmospheric CO<sub>2</sub> values across major 24 climate transitions over the past 16 million years using the boron isotopic composition ( $\delta^{11}B$ ) of 25 planktic foraminifera from 89 samples obtained from two sites in the West Pacific Warm Pool, Ocean 26 Drilling Program (ODP) Sites 806 and 807 measured using high-precision multi-collector inductively-27 coupled plasma mass spectrometry. We compare our results to published data from ODP Site 872, 28 also in the Western Equatorial Pacific, that goes back to 22 million years ago. These sites are in a 29 region that today is near equilibrium with the atmosphere and are thought to have been in equilibrium 30 with the atmosphere for the interval studied. We show that  $\delta^{11}B$  data from this region are consistent 31 with other boron-based studies. The data show evidence for elevated pCO<sub>2</sub> during the Middle 32 Miocene and Early to Middle Pliocene, and reductions in pCO<sub>2</sub> of ~200 ppm during the Middle 33 Miocene Climate Transition, ~250 ppm during Pliocene Glacial Intensification, and ~50 ppm during 34 the Mid-Pleistocene Climate Transition. During the Mid-Pleistocene Transition there is a minimum 35 pCO<sub>2</sub> at MIS 30. Our results are consistent with a coupling between pCO<sub>2</sub>, temperature and ice sheet 36 expansion from the Miocene to the late Quaternary.

37

# 38 Highlights

39 In this study, we reconstruct atmospheric pCO<sub>2</sub> using  $\delta^{11}$ B data from ODP Sites 806 and 807 and 40 compare them with ice core data. We therefore apply the same framework to older samples from these 41 sites to create a long-term pH and  $pCO_2$  reconstruction for the past 16 million years, including 42 recalculating pCO<sub>2</sub> for ODP Site 872 from 17 to 22 million years ago. We find major increases in 43 surface water pH and decreases in atmospheric pCO<sub>2</sub> were associated with decreased temperature in 44 the Western Equatorial Pacific, including associated with major episodes of ice sheet expansion in the 45 high latitudes, providing more robust quantitative constraints on the past coupling between  $pCO_2$ , 46 temperature, and cryosphere stability.

47

### 48 Keywords

49 Boron isotopes, CO<sub>2</sub>, ODP Site 806, ODP Site 807, Miocene, climate

## 50 1. Introduction

51 Due to concerns about the long-term consequences of anthropogenic emissions and associated 52 climate change (IPCC, 2014, 2018), efforts have been made to quantify past atmospheric  $CO_2$  and 53 examine past relationships between CO<sub>2</sub> and temperature. Such data are not only critical for 54 constraining Earth-system sensitivity (Lea, 2004; Lunt et al., 2010; Pagani et al., 2010; Hansen et al., 55 2012, 2013, Foster and Rohling, 2013; Schmittner et al., 2011; Tierney et al., 2020), but are also of 56 broad interest to contextualize the evolution of climate and geological systems through Earth's history 57 (Tripati et al., 2011; Foster et al., 2017; Tripati and Darby, 2018). However, discrepancies between 58 proxy reconstructions still exist, including for major climate transitions of the Cenozoic. In particular, 59 there remains a pressing need for robust and higher-resolution atmospheric CO<sub>2</sub> records.

60 High-resolution and direct determinations of atmospheric CO<sub>2</sub> are available for the last 800 61 kyr through analysis of air bubbles extracted from ice-cores, but these records are limited to the 62 availability of cores (Petit et al., 1999; Siegenthaler et al., 2005; Lüthi et al., 2008; Bereiter et al., 63 2015). A window into older atmospheric  $CO_2$  levels comes from 1 million-year-old blue ice (Higgins 64 et al., 2015) and from a second snapshot from 1.5 Ma (Yan et al., 2019). Most reconstructions of CO<sub>2</sub> 65 prior to 800 ka are based on indirect terrestrial and marine proxies. Stomata indices for fossil leaves 66 (Van der Burgh, 1993; Royer, 2001), carbon isotope ratios ( $\delta^{13}$ C) of paleosols (Retallak et al., 2009), 67  $\delta^{13}$ C of alkenones (Pagani et al., 2005; Zhang et al., 2013), B/Ca ratios of surface-dwelling 68 foraminifera (Yu and Hönisch, 2007; Foster, 2008; Tripati et al., 2009, 2011), and boron isotope ratios 69  $(\delta^{11}B)$  of surface-dwelling foraminifera (e.g. Pearson and Palmer., 2000; Hönisch and Hemming, 70 2009; Seki et al., 2010; Bartoli et al., 2011; Foster, 2008, 2012; Badger et al., 2013; Foster and 71 Sexton, 2014; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Sosdian et al., 72 2018; Dyez et al., 2018; deLaVega et al., 2020; Greenop et al., 2021; Rae et al., 2021; Raitzsch et al., 73 2021; Shuttleworth et al., 2021) have been used to estimate atmospheric CO<sub>2</sub>.

74 Each of the above proxy methods has sources of systematic errors that we do not attempt to 75 exhaustively document as they have been discussed in-depth elsewhere (e.g., Pagani et al., 2005; 76 Tripati et al., 2011; Guillermic et al., 2020). However, we note that significant developments in the 77 boron-based proxies include improvements to the accuracy and precision of measurements using 78 multi-collector inductively coupled mass spectrometry (MC-ICP-MS) compared to early work with 79 negative thermal ionization mass spectrometry (N-TIMS), where there were large instrumental mass 80 fractionations and challenges with laboratory intercomparison (Foster et al., 2013; Farmer et al., 2016; 81 Aggarwal and You, 2017). There was also the realization that temperature-dependent  $K_D$  and B/Ca 82 sensitivities reported from sediment trap, core-top, and downcore studies (Yu and Hönisch, 2007; 83 Foster et al., 2008; Tripati et al., 2009, 2011; Babila et al., 2010; Osborne et al., 2020) differ from 84 inferences from foraminiferal culture experiments (Allen et al., 2011, 2012) and inorganic calcite 85 (Mavromatis et al., 2015) which complicates the use of the B/Ca proxy, although this type of discrepancy has also been observed with other elemental proxies (e.g., Mg/Ca). Such differences may be due to differences in growth rates (Sadekov et al., 2014), ontogenetic changes, a correlation in the field between temperature and other hydrographic variables that obscure robust statistical determination of parameter relationships, culture conditions resulting in organisms being stressed, and/or other factors.

91 The marine  $CO_2$  proxy that appears to be subject to the fewest systematic uncertainties, based 92 on our current understanding, is the boron isotopic composition ( $\delta^{11}B$ ) of planktic foraminifera as 93 measured using MC-ICP-MS and N-TIMS (Hain et al., 2018). This proxy provides constraints on 94 seawater pH, if temperature, salinity, seawater  $\delta^{11}$ B, and the appropriate mono-specific calibration 95 between  $\delta^{11}B_{carbonate}$  and  $\delta^{11}B_{borate}$  are constrained (Pearson and Palmer, 2000; Foster et al., 2008; 96 Sosdian et al., 2018; Raitzsch et al., 2018; Guillermic et al., 2020). Seawater pH can be used to 97 calculate seawater pCO<sub>2</sub> if there are constraints on a second parameter of the carbonate system (e.g. 98 alkalinity, DIC). Atmospheric pCO<sub>2</sub> can then be constrained if the site being examined is in air-sea 99 CO<sub>2</sub> equilibrium or if the disequilibrium is known and stable through time.

100 However, there are relatively few studies generating high-precision boron-based records over 101 major climate transitions in the Cenozoic using recent analytical methods and that incorporate our 102 current understanding of the proxy (e.g., Greenop et al., 2014; Martinez-Boti et al., 2015b; Chalk et 103 al., 2017; Dyez et al., 2018; Sosdian et al., 2018; de la Vega et al., 2020; Rae et al., 2021; Raitzsch et 104 al., 2021). Furthermore, of the existing studies using boron-based proxies, an additional uncertainty 105 frequently exists, namely the short time interval of study (e.g., emphasizing on a climate transition) 106 (Martinez-Boti et al., 2015b; Chalk et al., 2017) and whether the study sites remain in air-sea CO<sub>2</sub> 107 equilibrium with the atmosphere (Martinez et al., 2015a). Moreover, although estimation of 108 atmospheric pCO<sub>2</sub> from seawater pH using this proxy is relatively straightforward, reconstructions are 109 still impacted by uncertainties including the lack of robust constraints on a second parameter of the 110 carbonate system, and our limited understanding of secular variations in the  $\delta^{11}$ B of seawater (Tripati 111 et al., 2011; Greenop et al., 2017; Sosdian et al., 2018; Rae et al., 2021).

112 Therefore, to provide additional constraints on the evolution of atmospheric pCO<sub>2</sub> from the 113 Miocene through Pleistocene, we developed new records from the western tropical Pacific. We use 114 foraminiferal  $\delta^{11}$ B and trace elements in the planktic foraminiferal species *Trilobus sacculifer* and 115 *Globigerinoides ruber* to reconstruct past seawater pH and atmospheric CO<sub>2</sub> at Ocean Drilling 116 Program (ODP) Sites 806 and 807 in the Western Equatorial Pacific (WEP) over the last 16 million 117 years (Myr). The sites are located on the western border of the tropical Pacific Ocean, the largest open 118 ocean region on the globe, and the warmest open ocean region at present.

These two sites have been examined in other boron-based studies (Wara et al., 2003; Tripati et
al., 2009, 2011; Shankle et al., 2020), as has the region more broadly (Pearson and Palmer, 2000;
Sosdian et al., 2018), because it is understood to be in equilibrium with the atmosphere and have

122 relative stable hydrography. The region experiences equatorial divergence but is not strongly affected 123 by upwelling and has a current estimated annual air-sea CO<sub>2</sub> difference of +28 ppmv (Takahashi et 124 al., 2014). The pre-industrial air-sea  $CO_2$  difference is calculated to be +16 ppm, (GLODAP database 125 corrected from anthropogenic inputs), with a value of 298 ppm, compared to the ice core value of 282 126 ppm at 1.08 ka. This pCO<sub>2</sub> difference is similar to our pCO<sub>2</sub> uncertainty (an average of ~17 ppm (2 127 SD) for the youngest samples). If trade winds were much stronger, and equatorial divergence greater, 128 than this could drive some disequilibrium in the past. However, a few lines of evidence suggest the 129 region was in quasi-equilibrium in the past: 1) zonal temperatures are at a maximum in pre-industrial 130 times and during the Pleistocene, and we are able to reconstruct atmospheric  $pCO_2$  values from the ice 131 cores, 2) temperature proxies indicate the region is relatively stable with respect to temperature 132 compared to other parts of the ocean, and also indicate a weak and stable zonal temperature gradient 133 during the Miocene and Pliocene which would support air-sea stable conditions and air-sea (dis-134 )equilibrium conditions (e.g., Nathan and Leckie, 2009; Zhang et al. 2014; Liu et al., 2019).

135 Thus, this study builds on prior low-resolution reconstructions for these sites (Wara et al., 136 2003; Tripati et al., 2009, 2011; Shankle et al., 2020), Site 872 in the tropical Pacific (Sosdian et al., 137 2018), and other published boron isotope work, to provide additional data to constrain past seawater 138 pH and pCO<sub>2</sub> for the WEP using MC-ICP-MS, thereby providing a new perspective on reconstructing 139 past atmospheric CO<sub>2</sub> via marine sediment archives. We explore various constraints on the second 140 carbonate system parameter using a number of different scenarios, following on the systematic work 141 done by Tripati et al. (2009) and (2011) for B/Ca. We interpret these data using recent constraints on 142 seawater  $\delta^{11}B$  (Lemarchand et al., 2000; Raitzsch and Hönisch, 2013; Greenop et al., 2017). For 143 temperature estimation, we utilize a multi-variable model for Mg/Ca correcting for salinity, pH and 144 seawater Mg/Ca (Gray and Evans, 2019), that builds on prior work with clumped isotopes in planktic 145 foraminifera for Site 806 and other WEP sites demonstrating that for the Last Glacial Maximum to 146 recent times, salinity-corrected Mg/Ca values are needed to yield convergent estimates of mixed-layer 147 temperatures (Tripati et al., 2014).

148

# 149 **2. Materials and Methods**

Below we describe site locations, analytical methods used, and principal figures. The supplemental methods section describes screening for potential contamination, equations used for calculations, and error propagation.

153

# 154 2.1 Site locations

155 Samples are from three ODP holes recovered during Leg 130 in the WEP (Fig. 1, Table 1):
156 Hole 806A (0°19.140'N, 159°21.660'E, 2520.7 m water depth), Hole 806B (0°19.110'N,
159°21.660'E, 2519.9 m water depth), and Hole 807A (3°36.420'N, 156°37.500'E, 2803.8 m water

depth) (Berger et al., 1993). Sites 806 and 807 are not likely to have experienced major tectonicchanges over the last 20 million years.

160

# 161 2.2 Preservation

162 Microfossils in sediments at these sites, as with any sedimentary sequences, have the potential 163 to be influenced by diagenesis. Despite evidence of authigenic carbonate formation, recent modeling 164 work concluded the influence of dissolution and reprecipitation at Sites 806 and 807 was relatively 165 minor (Mitnik et al., 2018). Prior work has also found minimal impacts on the B/Ca ratio of Pliocene 166 foraminifera from Site 806 (White and Ravelo, 2020), and on the Mg/Ca ratio of Miocene D. altispera 167 shells at Site 806 (Sosdian et al., 2020). The weight/shell ratio is commonly used to monitor 168 dissolution, and the only published record at Site 806 for the Pliocene does not show a trend 169 consistent with dissolution of *T. sacculifer* (Wara et al., 2005). We do note that while the "coccolith 170 size-free dissolution" index reported in Si and Rosenthal (2019) indicates higher dissolution rates in 171 the Miocene, their records were thought to be biased from changes in foraminifera assemblages as 172 discussed in White and Ravelo (2020).

173 To further assess the potential impact of dissolution in our geochemical data, the weight/shell 174 ratio was examined in our samples. The weight/shell data used to monitor dissolution does not exhibit 175 any trend within the interval studied consistent with dissolution. Absolute weights/shell are increasing 176 in the Miocene, which is not consistent with dissolution influencing the record (Fig. 2E). 177 Additionally, reconstructed pH and pCO<sub>2</sub> values also exhibit reasonable correspondence with the ice 178 core data. Downcore  $\delta^{11}$ B values from Sites 806 and 807 are similar, despite evidence for higher 179 authigenic carbonate at Site 807 relative to Site 806 (Mitnik et al., 2018). Further, despite different 180 sedimentation rates, our  $\delta^{11}$ B and Mg/Ca results are consistent between Sites 806 and 807, and with 181 data from Site 872 (Sosdian et al., 2018), which implies that diagenesis is not a primary driver of the 182 reconstructed trends. A comparison of raw data, and derived parameters, is shown in Figs. 2 and 7.

183

## 184 **2.3 Age models**

185 The age model for Site 806 from 0-1.35 Ma is based on Medina-Elizalde and Lea (2005); 186 calculated ages correspond well with ages from the Lisiecki and Raymo LR04 stack (Fig. 2A). The 187 fourth polynomial regression-based biostratigraphy from Lear et al. (2015) was used for the rest of the 188 record, following other work (Sosdian et al., 2020). Ages for Site 807 are based on published 189 biostratigraphy (Berger et al., 1993) with additional constraints placed by Zhang et al. (2007) for the 190 interval from 0-0.55 Ma. Benthic  $\delta^{18}$ O values from Sites 806 and 807 show good correspondence for 191 the last 0.55 Myr, and the low-resolution benthic  $\delta^{18}$ O record for Site 806 (Lear et al., 2003; 2015) is 192 consistent with the stack from Lisiecki and Raymo, (2005) for the period studied (Fig. 3).

193

# 194 **2.4 Species and trace element cleaning**

195 Samples were picked and cleaned to remove clays at UCLA (Los Angeles, CA) and the 196 University of Western Brittany (Plouzané, France). 50-100 foraminifera shells were picked from the 197 300-400µm fraction size for T. sacculifer (w/o sacc) and from the 250-300 µm for G. ruber (white 198 sensu stricto). Picked foraminifera were gently crushed, clays removed, and checked for coarse-199 grained silicates. Samples were then cleaned using a full reductive and oxidative cleaning protocol 200 following Barker et al. (2003). A final leach step with 0.001N HCl was done prior dissolution in 1N 201 HCl. Boron purification used a published microdistillation protocol (see Misra et al., 2014b, 202 Guillermic et al., 2020 for more detailed methods).

203

# 204 2.5 Chemical purification and geochemical analysis

205 Chemical separation was performed in a boron-free clean lab at the University of Cambridge 206 (Cambridge, UK). Calcium concentrations were measured on an ICP-AES ®Ultima 2 HORIBA at the 207 Pôle Spectrometrie Océan (PSO), UMR6538 (Plouzané, France). Elemental ratios (e.g. X/Ca ratios) 208 were analyzed on a Thermo Scientific ®Element XR HR-ICP-MS at the PSO, Ifremer (Plouzané, 209 France). Boron isotopic measurements were carried out on a Thermo Scientific ®Neptune+ MC-ICP-210 MS equipped with 10<sup>13</sup> Ohm resistor amplifiers (Lloyd et al., 2018) at the University of Cambridge 211 (Cambridge, UK).

212

# 213 2.6 Standards

214 Variations in B isotope ratios are expressed in conventional delta ( $\delta$ ) notation with  $\delta^{11}$ B values 215 reported against the reference standard NIST SRM 951 (NIST, Gaithersburg, MD, USA):

216

$$\delta^{11} \mathbf{B} (\%) = 1000 \, \mathbf{x} \left( \frac{{}^{11} \mathbf{B} / {}^{10} \mathbf{B}_{\text{Sample}}}{{}^{11} \mathbf{B} / {}^{10} \mathbf{B}_{\text{NIST SRM 951}}} - 1 \right) \qquad \text{eq. 1}$$

217 Multiple analyses of external standards were performed to ensure data quality. For boron 218 isotopic measurements, JC<sub>P-1</sub> (Geological Survey of Japan, Tsukuba, Japan, Gutjahr et al., 2020) was 219 used as a carbonate standard, and NEP, a Porites sp coral from University of Western Australia and 220 Australian National University was also used (McCulloch et al., 2014). A boron isotope liquid 221 standard, ERM<sup>©</sup> AE121 (certified  $\delta^{11}B = 19.9 \pm 0.6$  %, SD), was used to monitor reproducibility and 222 drift during each session (Vogl and Rosner, 2012; Foster et al., 2013; Misra et al., 2014b). For trace 223 elements, external reproducibility was determined using the consistency standard Cam-Wuellerstorfi 224 (University of Cambridge) (Misra et al., 2014b).

225

#### 226 2.7 Figures of Merit

### 227 **2.7.1** δ<sup>11</sup>**B** analyses

Samples measured for boron isotopes typically ranged in concentration from 10 ppb B (~5ng B) to 20 ppb B samples (~10ng B). Sensitivity was 10mV/ppb B (eg. 100mV for 10ppb B) in wet plasma at 50µl/min sample aspiration rate. The intensity of <sup>11</sup>B for a sample at 10 ppb B was typically  $104 \pm 15 \text{ mV}$  (2 SD, typical session) and closely matched the  $98 \pm 6 \text{ mV}$  (2 SD, typical session) of the standard. Procedural boron blanks ranged from 15 pg B to 65 pg B (contributed to less than 1 % of the sample signal). The acid blank during analyses was measured at  $\leq 1 \text{ mV}$  on <sup>11</sup>B (which also is < 1 % of the sample intensity), and no memory effect was seen within and across sessions.

235 External reproducibility was determined by analyzing the international standard  $JC_{P-1}$ 236 (Gutjahr et al., 2020) and a *Porites sp.* coral (NEP). The boron isotopic composition of  $JC_{P-1}$  was 237 measured at 24.06  $\pm$  0.20 ‰ (2 SD, n=6) within error of published values of 24.37  $\pm$  0.32 ‰, 24.11 $\pm$ 238  $0.43 \$ % and  $24.42 \pm 0.28 \$ % from Holcomb et al. (2015), Farmer et al. (2016) and Sutton et al. (2018), 239 respectively. Average values are  $\delta^{11}B_{\text{NEP}} = 25.72 \pm 0.79 \text{ }$ % (2 SD, n=31) determined over 13 different 240 analytical sessions, with each number representing a separately processed sample from this study. 241 These results are within error of published values of  $26.20 \pm 0.88$  ‰ (2 SD, n = 27) and  $25.80 \pm 0.89$ 242  $\infty$  (2 SD, n = 6), from Holcomb et al. (2015) and Sutton et al. (2018), respectively. Data are reported 243 in Supplementary Table B.

244

# 245 2.7.2 X/Ca analyses

Trace element (TE) analyses were conducted at a Ca concentration of either 10 or 30 ppm. Typical blanks for a 30 ppm Ca session were:  $^{7}\text{Li} < 2 \%$ ,  $^{11}\text{B} < 7 \%$ ,  $^{25}\text{Mg} < 0.2 \%$  and  $^{43}\text{Ca} < 0.02 \%$ . Additionally, blanks for a 10 ppm Ca session were:  $^{7}\text{Li} < 2.5 \%$ ,  $^{11}\text{B} < 10 \%$ ,  $^{25}\text{Mg} < 0.4 \%$  and  $^{43}\text{Ca} < 0.02 \%$ . 0.05 %. Analytical uncertainty of a single measurement was calculated from the reproducibility of the CamWuellestorfi standard: 0.6 µmol/mol for Li/Ca, 8 µmol/mol for B/Ca and 0.02 mmol/mol for Mg/Ca (2 SD, n=48). Data are reported in Supplementary Table B.

252

## 253 **2.8 Calculations**

254 Detailed calculations can be found in the supplemental materials. Briefly, Mg/Ca was used to 255 reconstruct sea surface temperature (SST) using the framework from Gray and Evans. (2019) 256 correcting for influences of pH, salinity, and secular variation in seawater Mg/Ca.  $\delta^{11}B_{carbonate}$  was 257 corrected using an empirical  $\delta^{11}B_{carbonate}$ -weight/shell ratio relationship.  $\delta^{11}B_{borate}$  was determined using 258 species dependent sensitivities of  $\delta^{11}B_{carbonate}$  to  $\delta^{11}B_{borate}$  (Guillermic et al., 2020). pH was calculated using  $\delta^{11}B_{\text{borate}}$  with different scenarios of secular seawater  $\delta^{11}B$  changes (Lemarchand et al., 2002; 259 260 Raitzsch and Hönisch, 2013; Greenop et al., 2017). pCO2 was reconstructed using pH based 261  $\delta^{11}B_{carbonate}$  and different scenarios of alkalinity (Tyrell and Zeebe, 2004; Ridgwell and Zeebe, 2005; 262 Caves et al. 2016 and Rae et al. 2021). Further details including equations are provided in the263 Supplement.

264

#### 265 **3. Results and discussion**

### 266 **3.1 Geochemical results**

Geochemical data used in this study are presented in Figure 2. Mg/Ca data (Fig. 2C) are consistent with previously published Mg/Ca values for Site 806 on *T. sacculifer* (Wara et al., 2005; Tripati et al., 2009; Nathan and Leckie, 2009). Although the record we generated does not overlap with Site 872, they are 1 Myr apart (15.7 and 16.7 Ma); there is a good correspondence between our Mg/Ca data and the published Mg/Ca record from *T. trilobus* at Site 872 (Sosdian et al., 2018). Mg/Ca from a different species, *D. altispira* (Sosdian et al., 2020), is also plotted with an offset, for comparison.

274 Comparison with Site 872 data that is part of the compilation from Sosdian et al. (2018) 275 shows that their  $\delta^{11}B$  data are in line with our dataset (Figure 2B), and all sites examined in the WEP 276 (Sites 806, 807, and 872) are above the lysocline (Kroenke et al. 1991). The  $\delta^{11}B$  data for *T. sacculifer* 277 exhibit a significant decrease (4.2 ‰) from the Miocene to present. Figure 2B also compares the  $\delta^{11}B$ 278 data used in this study with published data from other sites and shows that raw  $\delta^{11}B$  data for the WEP 279 can be lower than values for other regions.

280

#### **3.2 Reproducing pCO<sub>2</sub> from ice cores**

282 We sought to assess if there is evidence for air-sea equilibrium or disequilibrium in the WEP 283 during the large amplitude late Pleistocene glacial/interglacial cycles, in order to validate our 284 approach. We reconstructed pCO<sub>2</sub> for the last 800 kyr (n=16, Fig. 3). For the last 800 kyr, 285 reconstructed pCO<sub>2</sub> values for Sites 806 and 807 are in the range from ice cores (Fig. 3, Petit et al., 286 1999, Siegenthaler et al., 2005, Lüthi et al., 2008; compilation from Bereiter et al., 2015). The two 287 critical diagnostics we used for method validation are: 1) that the  $\delta^{11}B$ -based reconstruction of pCO<sub>2</sub> is consistent with ice core atmospheric CO2 and 2) the boron-based reconstruction empirically 288 289 reproduces interglacial-glacial amplitudes from ice cores. Fig. 3B shows that both of these criteria are 290 met despite large scatter. We also created a crossplot comparing these two independent constraints on 291 pCO<sub>2</sub> (Fig. 3C). Two regressions between ice core pCO<sub>2</sub> and boron-based pCO<sub>2</sub> are shown, a simple 292 linear regression (grey line) and a Deming regression that takes into account error in variables (blue 293 line). Bootstrapping was used to calculate uncertainties in the regression models (n=1000, Figure 3C, 294 Table S6). While slopes and intercepts are not statistically different from a 1:1 line, the regressions do 295 not reach a high significance level (p=0.25); boosting the resolution of the record could help provide 296 better constraints for this type of comparison. No significant difference in variability was observed at 297 either site. The age models for the sites are based on comparisons of the benthic  $\delta^{18}$ O records for both

Sites 806 and 807 (Fig. 3A, Zhang et al., 2007; Lear et al., 2003; Lear et al., 2015) to the published isotopic stack (Lisiecki and Raymo, 2004).

We also note that reconstructed  $pCO_2$  uncertainties (both accuracy and precision) could potentially arise from Mg/Ca-derived estimates of temperature; these uncertainties could be reduced using independent temperature proxies for the WEP such as clumped isotope thermometry (Tripati et al., 2010; 2014), a technique that is not sensitive to the same sources of error as Mg/Ca thermometry, and therefore is an area planned for future work. Other sources of uncertainty that have a larger effect on  $pCO_2$  calculations are the weight/shell correction, while the TA and seawater boron isotope composition have a minor effect over this time interval.

Between MIS 7 and 6, our reconstructions exhibit a decrease in temperature ( $\Delta T$ ) of 1.2 °C, an increase in pH ( $\Delta pH$ ) of 0.08 and a decrease in pCO<sub>2</sub> ( $\Delta pCO_2$ ) of 58 ppm. Between stage 3 and 1, we observed an increase of temperature of 2.0 °C, a decrease of pH of 0.13 and an increase in pCO<sub>2</sub> of 76 ppm. We also compare results with recent reconstructions in Figs. S1 and S2 (Sosdian et al., 2018; Rae et al., 2021). These results highlight that we are able to reproduce the range of atmospheric pCO<sub>2</sub> in the ice core record, and reproduce the amplitude of changes between transitions, with uncertainties typical for this type of work (Hönisch et al., 2019).

- 314
- 315 **3.3** Sea surface temperature in the WEP

316 Mg/Ca data are consistent at Site 806 (Wara et al., 2005; Tripati et al., 2009, 2011; Nathan 317 and Leckie, 2009) and Site 872 (Sosdian et al., 2018) in the WEP. The Mg/Ca in T. sacculifer has to 318 date not shown a pH dependency (Gray and Evans, 2019) but Mg/Ca of G. ruber does and was 319 therefore corrected from this effect (see supplemental material). Data for both species were corrected 320 from salinity and seawater Mg/Ca changes. Mg/Ca-temperatures for Site 872 were reconstructed 321 using published data and the same framework we use here and are presented in Figure 4. Recalculated 322 values for Site 872 are from *D. altispera*, with an offset applied relative to *T. sacculifer*, and show 323 similar variations to our record for the MCO-MMCT periods (Sosdian et al., 2020). Temperatures 324 from Tex<sub>86</sub> and U<sup>K'</sup><sub>37</sub> are plotted for comparison but those records are limited to the last 12 and 5 325 Myrs, respectively (Zhang et al., 2014).

326 The Mg/Ca data support high temperatures of  $35.2 \pm 1.3$  °C (2SD, n=11) for the early 327 Miocene until the MMCT, with relatively small (ca. 1°C) change into the MCO, and larger changes 328 out of the MCO. Similarly warm SST for the MCO were reconstructed in the North Atlantic at Site 329 608 from Tex<sub>86</sub> (Super et al., 2018). Despite a gap in our compilation from 11.5 to 9.5 Ma, there is a 330 SST decrease of ~6 °C from the MCO to ~7 Ma where temperatures similar to present day values are 331 observed. A decline in temperature during the MMCT is coincident with the timing of a constriction 332 of the Indonesian Seaway, the pre-closure of the trans-equatorial circulation and subsequent formation 333 of a proto-warm pool (Nathan and Leckie, 2009; Sosdian et al., 2020). From 12 to 7 Ma, the Mg/Ca334 SST record diverges from Tex<sub>86</sub> and U<sup>K</sup>,<sub>37</sub>-based reconstructions, with higher temperatures. At the 335 same time, a record for the North Atlantic showed a decrease of ~10 °C from the MCO to ~9 Ma 336 (Super et al., 2018). From 7 Ma to present, the record from multiple proxies – Mg/Ca, Tex<sub>86</sub>, and 337 U<sup>K</sup>,<sub>37</sub>, in the WEP agree.

338

# 339 3.4 Scenarios of seawater $\delta^{11}$ B and alkalinity used for pCO<sub>2</sub> reconstructions

340 Figures 5 and 6 show the different histories of seawater  $\delta^{11}B$  and alkalinity used in our 341 calculations, respectively. Details of calculations are provided in the Supplemental methods. 342 Following the approach of Tripati et al. (2009, 2014) and recent literature (Sosdian et al., 2018; Rae et 343 al., 2021), we explored multiple scenarios for the evolution of seawater boron geochemistry (Fig. 5) 344 and alkalinity for calculations of  $pCO_2$  (Figs. 6, S1 and S2). During the interval overlapping with the 345 ice core record, we observe that the choice of model used does not make a significant difference in 346 reconstructed values. During earlier time intervals, we see there is a greater divergence, reflecting 347 larger uncertainties in seawater  $\delta^{11}$ B and alkalinity further back in Earth history.

348 Prior to 10 Ma and during the early Pliocene (~4.5 to 3.5 Ma), calculations of pCO<sub>2</sub> diverge 349 from published values largely because of the different assumptions each study has used for past 350 seawater  $\delta^{11}B$  (Fig. 5). However, we find that when the uncertainty in reconstructed pH is fully 351 propagated, the differences in reconstructed pH values calculated using each of the  $\delta^{11}B_{seawater}$  histories 352 is not significantly different (Fig. 5 and 6; see also Hönisch et al., 2019). In contrast to the results 353 from Greenop et al. (2017), the record from Raitzsch and Hönisch, (2013) exhibits substantial 354 variations on shorter timescales. Such variability is a challenge to reconcile with the Li isotope record 355 of Misra and Froelich, (2012), given that Li has a shorter residence time than boron while having 356 similar sources and sinks. For the remainder of this study, we use the  $\delta^{11}B_{\text{seawater}}$  history from Greenop 357 et al. (2017) because it is in good agreement with seawater  $\delta^7 \text{Li}$  (Misra and Froelich, 2012). The 358 recent calculations of seawater pH (Sosdian et al., 2018; Rae et al., 2021) agree with values from our 359 study when uncertainties are taken into account (Fig. 5).

360 The four alkalinity models used in this study diverge prior to 9 Ma, with a maximum 361 difference at  $\sim 13$  Ma that is also reflected in reconstructed pCO<sub>2</sub> values (Fig. 6). However, all four 362 models yield pCO<sub>2</sub> estimates that are within error of each other when the full uncertainty is 363 considered. Uncertainty in the evolution of seawater alkalinity and seawater  $\delta^{11}$ B leads to differences 364 in the absolute values of pCO<sub>2</sub> reconstructed (Fig. S2), and a divergence in pCO<sub>2</sub> values reconstructed 365 that is largest in the Miocene. The two scenarios that produce the highest divergence in values are 366 those calculated using constant alkalinity relative to those calculated using values from McCaves et al. 367 (2016), with a maximum difference at 15.06 Ma of up to 250 ppm CO<sub>2</sub>, and with the latter model 368 producing lower values (Figs. 6B and 6E). Thus, for the MCO, alkalinity is a critical parameter in 369 calculations of absolute pCO<sub>2</sub> values. For the Miocene and earlier intervals, improved constraints on past secular variations of seawater  $\delta^{11}$ B and alkalinity will yield more accurate reconstructions of pCO<sub>2</sub>.

For the remainder of this paper, we use the model of Caves et al. (2016) to estimate alkalinity and  $\delta^{11}B_{seawater}$  determined by Greenop et al. (2017) (e.g. Fig. 6E). We note that two recent syntheses of boron isotope data have been published and compare our results to these findings (Figs. 8 and S2). Sosdian et al. (2018) reports values that are in line with our results in the Miocene but their study does not replicate results from ice cores. Rae et al. (2021) presents reconstructed values that are higher in the Miocene, due to the utilization of different scenarios of seawater  $\delta^{11}B$  and alkalinity compared to this work.

379

## **380 3.5 Time intervals**

# 381 3.5.1 Miocene

382 The study of Miocene climate is thought to provide insights into drivers and impacts of global 383 warming and melting of polar ice (Flower and Kennett, 1994). The Miocene epoch (23-5.3 Ma) is 384 characterized by a warm interval, the Miocene Climate Optimum (~17-14.7 Ma - MCO), and an 385 abrupt cooling during the Middle Miocene Climate Transition (~14-13 Ma - MMCT) that led to the 386 expansion of ice on Antarctica and Greenland. Climate modeling supports a role for decreasing CO<sub>2</sub> in 387 this transition (DeConto and Pollard, 2003). However, reconstructions for the Miocene are still 388 relatively limited (Sosdian et al., 2018; Rae et al., 2021; Raitzsch et al., 2021). Boron isotope and 389 alkenone-based pCO<sub>2</sub> reconstructions support higher pCO<sub>2</sub> during the MCO and a decrease over the 390 MMCT (Sosdian et al. 2018; Stoll et al., 2019), consistent with what was previously inferred from 391 B/Ca (Tripati et al., 2009, 2011; Sosdian et al., 2020).

392 We applied the same framework we used for calculations at Sites 806 and 807 to published 393 boron isotope data from Site 872 (Sosdian et al., 2018) in order to extend the WEP record to the early 394 Miocene (Figs. 7, 8). The Miocene data between Sites 806 and 872 do not overlap as both are low in 395 resolution, but do show excellent correspondence in their trends in  $\delta^{11}B$  and reconstructed pH. For 396 example, the closest datapoints in time at the two sites are at 15.6 Ma at Site 806 with a  $\delta^{11}B = 14.47 \pm$ 397 0.21 ‰, and at 16.7 Ma at Site 872, with a  $\delta^{11}B = 15.12 \pm 0.25$  ‰. The pH values we reconstruct are 398 within error of published estimates from Site 872 (Sosdian et al. 2018, Figs. 7D and 8D). Collectively, 399 these data suggest that the early Miocene WEP was characterized by a mixed-layer pH of  $8.1 \pm 0.1$  (2) 400 SD, n=4) between 19.4 and 21.8 Ma, which decreased to reach a minimum during the MCO of 7.7 401  $(\pm .0.11 \\ 0.14 ).$ 

402 Given the sensitivity in absolute  $pCO_2$  to assumptions about the second carbonate system 403 parameter, a few scenarios were explored for the combined 806/807/872 reconstructed pH values. For 404 all alkalinity scenarios we used, reconstructed  $pCO_2$  shows an increase from the Early Miocene to the 405 MCO, with the highest values in the MCO. Recalculated  $pCO_2$  for Site 872 between 19.4 and 21.8 Ma 406 is  $232 \pm 92$  ppm (2 SD, n=4), lower but within error of the ones presented in Sosdian et al. (2018) and 407 also within error of a constant alkalinity scenario (Fig 8D). The main difference between our 408 calculations and published reconstructions occurs between 19.4 and 21.8 Ma, when the same  $\delta^{11}B$ 409 data for Site 872 from Sosdian et al. (2018) recalculated in Rae et al. (2021) yield higher pCO<sub>2</sub>, with 410 an average value of  $591 \pm 238$  ppm (2 SD, n=4) because of the different assumptions used in their 411 calculations. This difference is important because the assumptions from Rae et al. (2021) would imply 412 a relatively high and stable  $pCO_2$  from the early Miocene to MCO (Fig. S2), which would imply a 413 decoupling between  $pCO_2$  and temperature with no  $pCO_2$  change during an interval of decreasing 414 benthic  $\delta^{18}$ O. However, our reconstructed pCO<sub>2</sub> data increase towards the MCO is in line with the 415 observed benthic  $\delta^{18}$ O decrease and  $\delta^{13}$ C increase and suggest a coupling between temperature and 416  $pCO_2$  over this period. This highlights the critical need for the use of a common set of assumptions for 417 studies. Assumptions may vary between studies depending of the timescales studied, but a common 418 framework is needed. In addition, further constraints on the second carbonate system parameter and 419 on secular changes in seawater  $\delta^{11}$ B will reduce uncertainties in reconstructed pCO<sub>2</sub>, with improved 420 precision.

421 The highest pCO<sub>2</sub> values we reconstruct are found during the MCO (Fig. 6E). For the MCO, 422 our estimates are  $511 \pm 201$  ppm (2 SD, n=3, Table 2). The middle Miocene values we reconstruct are 423 in line with previous studies (Greenop et al., 2014; Sosdian et al., 2018). Published  $\delta^{11}B$ -based 424 reconstructions also support higher pCO<sub>2</sub> for the MCO of ~350-400 ppm (Foster et al., 2012) or 300-425 500 ppm (Greenop et al., 2014) that was recalculated by Sosdian et al. (2018) to be ~470-630 ppm 426 depending on the model of  $\delta^{11}B_{seawater}$  chosen. During the MCO relative maxima in pCO<sub>2</sub>, our data 427 support very warm sea surface temperatures in the WEP (35.6  $\pm$  0.6 °C 2SD, n=3; Fig. 8C), that 428 merits further examination in future studies. In fact, the highest temperatures recorded in our samples 429 occur when there is a minimum in the global composite record of  $\delta^{18}$ O of benthic foraminifera 430 (Zachos et al., 2001, 2008; Tripati and Darby, 2018).

431 At the end of the MMCT, we find evidence for changes in  $pCO_2$  and temperature in the WEP 432 (Fig. 8). From 13.5 to 12.7 Ma, we reconstruct an increase of pH of ~0.21 and a major decrease of 433 pCO<sub>2</sub> of ~215 ppm during an interval highlighted by Flower and Kennett, (1996), who observed 434 changes in  $\delta^{18}$ O indicative of rapid East Antarctic Ice Sheet growth and enhanced organic carbon 435 burial with a maximum  $\delta^{13}$ C reached at ~13.6 Ma (Shevenell et al., 2004; Holbourn et al., 2007). As 436 discussed in section 3.4 the alkalinity model used for the calculations have an important impact during 437 the Miocene which is likely responsible for the different absolute  $pCO_2$  values over the MCO. In 438 comparison, a scenario of constant alkalinity would lead to a pCO<sub>2</sub> during the MCO of  $714 \pm 313$  ppm 439 (2 SD, n=3) and a decrease of ~540 ppm during the MMCT. Both those reconstructions could 440 simulate the large-scale advance and retreat of Antarctic ice with such low pCO<sub>2</sub> values (Gasson et al., 441 2016). At the same time, we find evidence for a decline in SST of 3.4 °C to minimum values of 33.3

°C. The synchronous shifts in the  $\delta^{13}$ C and  $\delta^{18}$ O of benthic foraminifera are consistent with increased 442 443 carbon burial during colder periods, thus feeding back into decreasing atmospheric CO<sub>2</sub>, and 444 supporting the hypothesis that the drawdown of atmospheric CO<sub>2</sub> can in part, be explained by 445 enhanced export of organic carbon (Flower and Kennett, 1993, 1996). However, given the limited 446 sampling of this study, we are only able to resolve a pCO<sub>2</sub> decrease toward the end of the MMCT 447 (~13.5 Ma). The higher resolution  $\delta^{11}$ B-pCO<sub>2</sub> from Site 1092 for the MMCT (Raitzsch et al. 2021) 448 reports eccentricity-scale pCO<sub>2</sub> variability; the authors reported that low pCO<sub>2</sub> during eccentricity 449 maxima was consistent with an increase in weathering due to strengthened monsoonal circulation, 450 which would increase nutrient delivery and supporting higher productivity that in turn would impact 451 carbon drawdown and burial, in line with modeling from Ma et al. (2011).

452 The resolution of our data during the late Miocene is low, with a data gap from 12.5 to 9.2 453 Ma, and another gap between 6.5 and 5 Ma. We note the  $pCO_2$  peak at ~9 Ma observed by Sosdian et 454 al. (2018) is not seen in our record although this is likely due to the low resolution of our dataset. 455 Between 9.5 and 7.1 Ma we find evidence for a decrease in atmospheric CO<sub>2</sub> of 100 ppm associated 456 with a decrease in temperature of 1.3 °C. pCO<sub>2</sub> estimates derived from alkenones for Site 1088 457 (Tanner et al., 2020) do not show the same trend as boron-based reconstructions from the WEP or 458 other regions (Figure 6), which might be due to other controls on the alkenone proxy (Badger et al., 459 2019). A recent publication from Raitzsch et al. (2021) reports a  $\delta^{11}$ B reconstruction of pCO<sub>2</sub> that is 460 within error of other  $\delta^{11}$ B isotope data from the Southern Ocean (Sosdian et al., 2018), although not 461 for the same period as Tanner et al. (2020). pCO<sub>2</sub> differences between our reconstruction and that of 462 Sosdian et al. (2018) and Raitzsch et al. (2021) (Fig. 8) likely reflect assumptions made for 463 calculations (of  $\delta^{11}B$ , TA) and the specific mono-specific calibrations used for each study, as well as 464 potential geographic differences in air-sea pCO<sub>2</sub>. These differences do not invalidate the boron isotope 465 proxy but illustrate the impact that specific seawater parameters and calibrations can have on 466 reconstructed pCO<sub>2</sub> values, as well as potential inferences of air-sea disequilibrium.

467

#### 468 **3.5.2 Pliocene**

469 Oxygen isotope data from a global benthic foraminiferal stack show that the Pliocene epoch 470 (5.3-2.6 Ma) was initially characterized by warm conditions followed by the intensification of 471 glaciation that occurred in several steps, including during MIS M2 (3.312-3.264 Ma), followed by the 472 Middle Pliocene Warm Period (Lisiecki and Raymo, 2005). The Middle Pliocene Warm Period 473 (mPWP - 3.29-2.97 Ma) is considered a relevant geological analogue for future climate change given 474 ~3°C warmer global temperatures and sea levels that were ~20 m higher than today (Dutton et al., 475 2015; Haywood et al., 2016), and is a target for model intercomparison projects, for which accurate 476 paleo-atmospheric pCO<sub>2</sub> estimates are critical (Haywood et al., 2016).

477 We calculate high pCO<sub>2</sub> values of  $419 \pm 119$  ppm (2 SD, n=3, Table 2) between 4.7 to 4.5 Ma

478 during the Early Pliocene warm interval (Figure 9). The pCO<sub>2</sub> data we report provide a higher data 479 density for the Early Pliocene, and exhibit a trend that is in line with the reconstruction from Rae et al. 480 (2021). Our data support values of  $530 \pm 110$  ppm over the mPWP (2 SD, n = 4), higher than 481 previously published data (Figs. 9, S2 and Table 2), although we acknowledge our low data density 482 may not fully sample variability over this period. The similarity between our reconstructed values and 483 those published for Site 871 in the Indian Ocean (Sosdian et al., 2018) suggests that changes in 484 Indonesian through-flow do not induce substantial changes in air-sea exchange in the WEP.

485 The warmth and local pCO<sub>2</sub> maxima of the mPWP (mid-Pliocene Warm Period) was 486 followed by a strong decrease of temperature in upwelling and high latitude regions from 3.3 to 2.7 487 Ma, coincident with glacial intensification in the Northern Hemisphere. This climate transition was 488 hypothesized to be driven by the closure of the Panama seaway the opening of the high latitudes and 489 subsequent modifications of oceanic circulation (Haug and Tiedemann, 1998). However, modeling 490 from Lunt et al. (2008) supports an additional major role for  $CO_2$  in the glaciation. pCO<sub>2</sub> thresholds 491 have been proposed to explain the intensification of Northern Hemisphere Glaciation, with values 492 proposed ranging from 280 ppm (DeConto et al., 2008) to 200 to 400 ppm (Koening et al., 2011).

493 The pCO<sub>2</sub> concentrations that we calculate indicates a reduction to 350 ppm by 2.7 Ma,  $\sim$ 280 494 ppm by 2.6 Ma, and ~210 ppm by 2.4 Ma, in several steps. These results support roughly a halving of 495  $CO_2$  values when compared to values of ~530 ppm at 3.3 Ma. These values are consistent with the 496 pCO<sub>2</sub> thresholds proposed by both DeConto et al. (2008) and Koening et al. (2011) for the 497 intensification of Northern Hemisphere glaciation and the low atmospheric CO<sub>2</sub> (280 ppmv) scenario 498 from Lunt et al. (2008). Mg/Ca SST decline from 30°C to 26°C, supporting an Earth System 499 sensitivity of  $\sim 4^{\circ}$ C/doubling of CO<sub>2</sub> over this range, although given uncertainties, higher values of 500 ~6°C/doubling of CO<sub>2</sub> that have recently been proposed (Tierney et al., 2020) can not be excluded.

501 We speculate that associated with Pliocene glacial intensification, at 4.42, 3.45 and 2.67 Ma, 502 it is possible that the declines in  $CO_2$  and ice growth in turn drove substantial changes in pole-to-503 equator temperature gradients and winds, that in turn may have impacted iron cycling (Watson et al., 504 2000; Robinson et al., 2005; Martinez-Garcia et al., 2011), stratification (Toggweiler, 1999; Sigman et 505 al., 2010), and other feedbacks that impact the amplitude of glacial/interglacial cycles and have been 506 implicated as factors that could have contributed to Pliocene glacial intensification. Specifically, as 507 the mean climate state of the planet became cooler, and glacial-interglacial cycles became larger in 508 amplitude, enhanced windiness and dust transport and upwelling during glacials (Martinez-Boti et al., 509 2015b) may have enhanced iron fertilization and subsequent carbon export (Martinez-Garcia et al., 510 2011). While data resolution is limited, we speculate this could explain why glacial/interglacial 511 amplitudes in WEP pCO<sub>2</sub> values decrease from the mPWP towards the Pleistocene, whereas 512 variations in  $\delta^{18}$ O are increasing – a speculation that could be tested with increased data resolution.

513

#### 514 **3.5.3** Pleistocene

515 During the Pleistocene (2.58-0.01 Ma), the climate system experienced a transition in 516 glacial/interglacial (G/I) variability from low amplitude, higher frequency and obliquity-dominated 517 oscillations (i.e.,  $\sim 41$  kyr) of the late Pliocene to the high amplitude, lower frequency ( $\sim 100$  kyr) 518 cycles of the last 800 kyr. This transition is termed the Middle Pleistocene Transition (1.2-0.8 Ma -519 MPT). Questions have been raised about the role of atmospheric  $CO_2$  during this transition, including 520 using boron-based proxies (Hönisch et al., 2009; Tripati et al., 2011; Chalk et al., 2017). Previous 521 boron isotope studies for ODP Sites 668 and 999 in the tropical Atlantic Ocean have suggested that a 522 decline in atmospheric CO2 did occur during glacial periods in the MPT, but not during interglacials 523 (Hönisch et al., 2009; Chalk et al., 2017; Dyez et al., 2018).

524 Our  $pCO_2$  concentrations for Sites 806/807 reported here are in good agreement with those 525 determined from ice cores from the early Pleistocene (Yan et al., 2019, Figs. 9 and 10), and with the 526 boron-derived  $pCO_2$  from a recent compilation (Rae et al., 2021). Results for the MPT are broadly in 527 the range of values reported by Hönisch et al. (2009) and Chalk et al. (2017). Although our data are 528 relatively limited, we note they have greater resolution for the middle and later part of the transition 529 than prior publications that have drawn conclusions about the MPT (Hönisch et al., 2009; Chalk et al., 530 2017; Dyez et al., 2018) (Fig. 10D) and therefore we explore their implications.

Taken alone, or when combined with the published data from Chalk et al. (2017) (that is also based on MC-ICPMS), our results support a possible reduction of both glacial and interglacial pCO<sub>2</sub> values. We also find evidence that during the MPT, glacial pCO<sub>2</sub> declined rapidly from 189  $\pm$ 30 ppm at MIS 36 (Chalk et al., 2017) to reach a minimum of 170 ( $\pm_{24}^{52}$ ) ppm during MIS 30. We note that pCO<sub>2</sub> concentrations are within error when uncertainty is fully propagated, and then remained relatively stable until the end of the MPT whereas interglacial pCO<sub>2</sub> values decrease gradually to reach post-MPT values.

538 In our record for the last 16 Myr, the lowest pCO<sub>2</sub> is recorded at MIS 30 during the MPT, with values of 164  $(\pm_{35}^{44})$  ppm, which supports an atmospheric CO<sub>2</sub> threshold that leads to large sheet 539 540 generation. During this transition, the pCO<sub>2</sub> threshold needed to build sufficiently large ice sheets that 541 were able to survive the critical orbital phase of rising obliquity to ultimately switch to a 100 kyr 542 world, was likely reached at MIS 30, but a higher  $pCO_2$  resolution of the MPT is needed for 543 The confirmation. multiple feedbacks resulting from stable ice sheets (iron 544 fertilization/productivity/changes in albedo/ changes in deep water formation) might have sustained 545 larger mean global ice volumes over the subsequent 800 kyr. An asymmetrical decrease between 546 pCO<sub>2</sub> values during interglacials relative to glacials, with glacials exhibiting the largest change across 547 the MPT, would have led to increased sequestration of carbon during glacials in the 100 kyr world, as 548 discussed by Chalk et al. (2017), with increased glacial dust input and iron fertilization.

549

550

### 3.6 Changes in volcanic activity and silicate weathering, and long-term pCO<sub>2</sub>

551 On million-year timescales, atmospheric CO<sub>2</sub> is controlled by its input through mantle 552 degassing in the form of sub-aerial and sub-aqueous volcanic activity and its removal by chemical 553 weathering of continental silicate rocks. Over the last 16 Myr, two relative maxima in atmospheric 554 pCO<sub>2</sub> are observed in our record, one during the MCO (at 15.67 Ma) and a second around the late 555 Miocene/early Pliocene (beginning at 4.7 and 4.5 Ma) (Fig. 11), though the timing for the latter is not 556 precise. The strong pCO<sub>2</sub> increase from the early Miocene to MCO occurs when there is increasing 557 volcanic activity associated with the eruption of the Columbia River Flood Basalts (Hooper et al., 558 2002; Foster al. 2012; Kasbohm and Schoene, 2018), with recent geochronologic evidence published 559 supporting higher eruption activity between 16.7 and 15.9 Ma (Kasbohm and Schoene, 2018) 560 reinforcing the idea of an episodic pCO<sub>2</sub> increase during the MCO due to volcanic activity. 561 Underestimation of net CO<sub>2</sub> outgassing from specific continental flood basalt eruption is possible, as 562 both sub-aqueous and sub-aerial flood basalts, under right climatic conditions, are prone to enhanced 563 chemical weathering. For example, the 4-5% drop in  $\delta^7$ Li record at the Cretaceous–Paleogene (K-Pg) 564 boundary (Misra and Froelich, 2012) is attributed to rapid quasi-congruent weathering of Deccan 565 Traps (Rene et al. 2015) during their eruption. Courtillot and Rene (2003) estimate that about 50% of 566 emitted CO<sub>2</sub>, roughly equivalent to the amount emitted by the eruption of a million cubic kilometers 567 of Deccan Traps, may be missing due to chemical and physical weathering. Additionally, the early 568 Eocene (at ~50 Ma) 3-4‰ rise in seawater  $\delta^7$ Li at a time where there is not significant uplift of the 569 Himalayas (Misra & Froelich, 2012) is also attributed to incongruent weathering of previously 570 erupted Deccan Trap basalts as the Indian subcontinent moved from arid mid-latitudes to the wet low 571 latitudes (Kent and Muttoni, 2008). Thus, a significant part of the outgassed  $CO_2$  can be consumed by 572 chemical weathering of freshly erupted hot basalts (Courtillot et al., 2003). However, the congruency 573 of chemical weathering of basalts, depending on regional climatic conditions (warm-wet vs. cold-574 arid), will determine the shape and position of inflection points in the seawater  $\delta^7 Li$  record. The 575 possible quantification of increased rates of silicate weathering inferred from  $\delta^7 \text{Li}$  (mentioned below) 576 can be utilized to determine total eruptive volume (missing + existing) and volatile emissions from the 577 Columbia River Flood Basalts. At the same time as continental flood basalt emissions, enhanced 578 seafloor production could also be a second possible source of CO<sub>2</sub>; however, we note there is 579 evidence that the rate of seafloor production has remained virtually invariant over the last 60 million 580 years (Rowley, 2002; Muller et al. 2016).

581 The second CO<sub>2</sub> peak can possibly be caused either by the observed increase in global 582 volcanism during the early/middle Pliocene (Kennett and Thunell, 1977; Kroenke et al., 1993), and/or 583 by a change in silicate weathering regime. Strontium and lithium isotopes ( $^{87/86}$ Sr and  $\delta^{7}$ Li) have been 584 used as proxy for silicate weathering flux and congruency. Although the strontium isotope record 585 exhibits a monotonous increase, lithium isotope data (Misra and Froelich, 2012) are more variable 586 with a transition from a period of increasing seawater  $\delta^7$ Li (e.g. non-steady state weathering) to stable 587 seawater  $\delta^7$ Li (e.g., steady state weathering) beginning at roughly 6.8 Ma (Fig. 11).

588 It is interesting to note that the rise in  $\delta^7$ Li (Fig. 11B) from the early Miocene to the MCO is 589 synchronous with the rise in pCO<sub>2</sub>. Before 18.5 Ma, the pCO<sub>2</sub> is relatively stable,  $\delta^7$ Li is increasing, 590 suggesting non-steady state / incongruent nature of continental chemical weathering. From 18.6 to 591 16.7 Ma, the  $\delta^7$ Li record decreases by ~2 ‰, consistent with decreasing weathering rates and an 592 associated increase in pCO<sub>2</sub>. Between 16.7 and 15.9 Ma, when the eruption of the Columbia River 593 Flood Basalts is at a maximum,  $\delta^7$ Li increases, in line with higher weathering rates that could arise 594 from higher atmospheric CO<sub>2</sub> and the presence of fresh basalts. The  $\delta^7 Li$  record then decreases again 595 until the end of the MCO at  $\sim$ 14.7 Ma, in line with a decrease in the eruption rate, sustaining high 596 atmospheric CO<sub>2</sub>. A constant increase in  $\delta^7$ Li is then observed, until the early Pliocene, where there is 597 evidence for a shift to a steady-state weathering regime. This increase in  $\delta^7$ Li is also consistent with 598 the decrease in pCO<sub>2</sub> observed until the early Pliocene. 599

#### 600 **3.9 Conclusions**

601 We developed a reconstruction of atmospheric pCO<sub>2</sub> based on  $\delta^{11}$ B of planktic foraminifera 602 from ODP Sites 806 and 807 located in the Western Equatorial Pacific for the past 16 million years 603 and extended the record to 22 Ma by reprocessing data from Site 872 (Sosdian et al., 2018). We build 604 on past efforts to reconstruct atmospheric pCO<sub>2</sub> using different proxies from this region, including 605 from carbon isotopes in marine organic matter (Rayno et al., 1996) and alkenones (Pagani et al., 606 2010), as well as foraminiferal B/Ca ratios (Tripati et al., 2009, 2011), all of which have been shown 607 to have a number of complexities and potential sources of systematic error (e.g., Tripati et al., 2011). 608 It also builds on efforts using boron isotopes in other regions using MC-ICP-MS (Seki et al., 2010; 609 Foster et al., 2012, 2014; Greenop et al., 2014; Martinez-Boti et al., 2015b; Stap et al., 2016; Chalk et 610 al., 2017; Dyez et al., 2018; de la Vega et al., 2020), and our recent work constraining fractionation 611 factors and measuring small samples of foraminifera (Guillermic et al., 2020).

612 Our study contributes a new long-term reconstruction of atmospheric pCO<sub>2</sub> for the Neogene 613 derived from boron isotopes from the tropical Pacific Ocean. Although the record is not continuous, 614 with variable resolution, it captures both long-term and short-term variability associated with several 615 key transitions and demonstrates the utility of examining sites in the Western Equatorial Pacific for 616 future higher-resolution studies. Results for Sites 806 and 807 in the Western Equatorial Pacific 617 reproduce the amplitude of late Pleistocene glacial-interglacial cycles in pCO<sub>2</sub>. These observations are 618 consistent with the sites being in equilibrium with the atmosphere, although further work would be 619 useful to explore sources of uncertainty and differences relative to ice core pCO<sub>2</sub>.

620  $pCO_2$  values increase from the early Miocene to the MCO with estimated MCO  $pCO_2$  values 621 of 511  $\pm$  201 ppm (2 SD, n=3). These elevated values are potentially linked to the eruption of the 622 Columbia River Flood Basalts, with values declining into the early Pliocene, including during

- 623 Pliocene glacial intensification. The changes in pCO<sub>2</sub> we observed are in line with changes in  $\delta^7$ Li, a 624 proxy of silicate weathering, and future modeling of multiple proxy records should be insightful. 625 Early Pliocene data for ~4.7-4.5 Ma support high pCO<sub>2</sub> of  $419 \pm 119$  ppm, and elevated values during 626 the mid-Pliocene Warm Period of  $530 \pm 110$  ppm for the time interval ~3.3-3.0 Ma. These data are 627 low in resolution, thereby not fully sampling orbital and millennial scale variability. The higher 628 resolution record for the Pliocene glacial intensification supports a reduction in pCO<sub>2</sub> during several 629 steps, with values at 2.7 Ma of 350 ppm, 2.6 Ma of ~280 ppm, and 2.4 Ma of ~210 ppm. We find 630 support for a larger reduction in glacial pCO<sub>2</sub> during the Mid-Pleistocene Transition compared to 631 interglacial  $pCO_2$ , and a minimum in  $pCO_2$  during glacial MIS 30. These findings confirm a role for 632  $CO_2$  in the transition from a 41 kyr to a 100 kyr world.
- 633 Higher-resolution boron isotope records from the WEP would allow for further resolution of 634 these changes. Additional constraints on temperature, such as from clumped isotopes (Tripati et al., 635 2010) in the WEP (Tripati et al., 2014), could allow for uncertainties in  $pCO_2$  estimates from boron 636 isotopes to be reduced and for new constraints on Earth climate sensitivity. Future constraints on the 637 vertical structure of the tropical Pacific (Shankle et al., 2021) during these transitions may also 638 potentially be illuminating.
- 639

#### 640 Data availability

All data are available in the supplemental materials. Reconstructed climate parameters and proxy data
will be archived at the *NOAA's* NCEI World Data Service for Paleoclimatology on acceptance at
https://www.ncei.noaa.gov/products/paleoclimatology.

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## 645 Author Contributions

AT developed the project and wrote the proposals that funded the work. All authors contributed to the experimental design. MG performed the measurements with assistance from SM. MG conducted data analysis with input from AT. MG drafted the paper, which was edited by all authors. Interpretation was led by MG and AT, with input from SM and RE.

650

## 651 Competing interests

- 652 The authors declare that they have no conflict of interest.
- 653

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- 667

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## 1075 Figure captions

1076 **Figure 1:** Modern hydrography of sites. **A.** Map of air-sea pCO<sub>2</sub> (ΔpCO<sub>2</sub>, ppm, data from Takahashi 1077 et al. (2014) and plotted using Ocean Data View from Schlitzer, (2016) showing the location of ODP 1078 Sites 806 and 807 (black circles) and Site 872 (black square, Premoli et al., 1993). Depth profiles are 1079 for preindustrial parameters, **B.** pH calculated from GLODAP database and corrected for 1080 anthropogenic inputs, **C.** Boron isotopic composition of borate ion ( $\delta^{11}B_{borate}$ ) with associated 1081 propagated uncertainties.

1082 Figure 2: Foraminiferal data for Miocene to recent times. A. Benthic foraminiferal  $\delta^{18}$ O data (blue 1083 line – stack from Lisiecki and Raymo, 2005; black line – compilation from Zachos et al., 2008). B. 1084  $\delta^{11}$ B of *T. sacculifer* (blue circles) and *G. ruber* (blue triangles) at Sites 806 (light blue), 807 (dark 1085 blue), Grey filled square represent data from Site 872 located in the WEP (Sosdian et al., 2018). Open 1086 symbols are  $\delta^{11}$ B data from published studies (Hönisch and Hemming, 2009; Seki et al., 2010; Foster 1087 et al., 2012; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Dyez et al., 2018; 1088 Sosdian et al., 2018; de la Vega et al., 2020; Raitzsch et al., 2021), grey open symbols are T. 1089 sacculifer, brown open symbols are for G. ruber. C. Mg/Ca ratios of T. sacculifer and G. ruber at 1090 Sites 806, 807 and fourth-order polynomial regression from Sosdian et al. (2020) representing secular 1091 variations of Mg/Ca<sub>sw</sub> (blue dotted line). E. Calculated weight per shell for T. sacculifer and G. ruber. 1092 For Panels B-D: Circles = *T. sacculifer*, Triangles = *G. ruber*.

1093 Figure 3: A. Reconstruction of surface pCO<sub>2</sub> (ppm) for the past 0.8 Myr from T. sacculifer at ODP 1094 Sites 806 and 807 (blue symbols) using boron-based pH calculated from  $\delta^{11}B_{seawater}$  (Greenop et al., 1095 2017) and alkalinity from Caves et al. (2016). Planktonic foraminiferal  $\delta^{18}$ O at site 806 with isotope 1096 stages labeled (black line – Medina-Elizalde and Lea, 2005) and benthic foraminiferal  $\delta^{18}$ O stack 1097 (grey line - Lisiecki and Raymo, 2005), benthic  $\delta^{18}$ O at Site 806 (dark red line) from Lear et al. (2003, 1098 2015). **B.**  $pCO_2$  values calculated from boron isotopes (colored symbols - this study) with data from 1099 the literature (open gray triangles - compilation B are data recalculated in Rae et al., 2021) and ice 1100 core pCO<sub>2</sub> (black line – Petit et al., 1999, Lüthi et al., 2008, Bereiter et al., 2015). C. Cross plot for the 1101 last 0.8 Myr of pCO<sub>2 δ11B</sub> from this study and pCO<sub>2 ice core</sub> (from ice core compilation, Bereiter et al., 2015), grey line is a simple linear regression (p = 0.25,  $R^2=0.09$ ), blue line is a Deming regression 1102 1103 taking both x and y uncertainties into account (p = 0.25). Details of the regression parameters are in 1104 Table S6. Ice core CO<sub>2</sub> error was calculated based on 2 SD of reported values, and  $\pm 1$  ky for the age 1105 of sediment samples. Boron-based pCO<sub>2</sub> error is calculated based on error propagation described by 1106 eq. S17. Data compiled are from: Foster et al., 2008; Hönisch and Hemming, 2009; Seki et al., 2010; 1107 Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 1108 2017; Dyez et al., 2018; Sosdian et al., 2018; Greenop et al., 2019; de la Vega et al., 2020.

1109 Figure 4: Compilation of temperatures from Site 806 in the WEP. Mg/Ca based temperatures were 1110 derived using the same framework (see supplemental information). Blue filled symbols are from Sites 1111 806 and 807 with blue circles for T. sacculifer and triangles for G. ruber; filled gray squares are data from Site 872 (Sosdian et al., 2018). Open symbols are SST derived from Mg/Ca at Site 806 (Wara et 1112 1113 al., 2005; Tripati et al., 2009; Nathan and Leckie, 2009). Tex<sub>86</sub> and U<sup>K</sup>'<sub>37</sub> are also plotted for 1114 comparison (Zhang et al., 2014). Orange open circles are SST data calculated with our framework 1115 from the species D. altispera at ODP Site 806 (Sosdian et al., 2020) with an offset of +8°C. Blue line 1116 is a smooth line (Lowess) going through the data.

1117

1118Figure 5: Different models for the evolution of the boron geochemistry explored as part of this work.1119Due to the 1 ‰ uncertainty propagated for  $\delta^{11}B_{seawater}$ , all scenarios yield reconstructed seawater pH1120values that are within error of each other. Propagated uncertainties were calculated using eq. S14 (see1121Supplement). A. Different models for  $\delta^{11}B_{seawater}$  used for the reconstruction of pCO<sub>2</sub> in this study1122(blue – Lemarchand et al., 2000; green – Greenop et al., 2017; red – Raitzsch and Hönisch, 2013). B.1123Reconstructed pH based on our measured  $\delta^{11}B_{carbonate}$  values using different models for  $\delta^{11}B_{seawater}$ 1124(blue – Lemarchand et al., 2000; green – Greenop et al., 2017; red – Raitzsch and Hönisch, 2013),

compilations of pH from Sosdian et al. (2018) (compilation A - open squares) and Rae et al. (2021)
(compilation B - open triangles) are also shown for comparison. Data for compilation A are from:
Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al.,
2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Sosdian et al., 2018. Data for compilation B are
from: Foster et al., 2008; Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger
et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2015a; Chalk et al., 2017; Dyez et al., 2018;
Sosdian et al., 2018; Greenop et al., 2019; de la Vega et al., 2020.

1132

1133 Figure 6: Different models for the evolution of a second carbonate (e.g. alkalinity) system parameter 1134 explored as part of this work. The propagated uncertainties were calculated using eq. S16 (see 1135 Supplement). A. Different models for alkalinity used for the reconstruction of  $pCO_2$  in this study 1136 (brown - constant alkalinity of 2330 µmol/kg, blue - Ridgwell and Zeebe, 2005; green - Tyrell and 1137 Zeebe, 2004; violet - Caves et al., 2016. Colored symbols are reconstructed pCO<sub>2</sub> based on our measured  $\delta^{11}B_{carbonate}$  values , alkalinity scenario and  $\delta^{11}B_{seawater}$  from Greenop et al., 2017; open 1138 1139 squares (compilation A) are pCO<sub>2</sub> compilation from Sosdian et al. (2018), open triangles (compilation 1140 B) are from the compilation by Rae et al. (2021), black symbols are from site 872. B. Reconstructed pCO<sub>2</sub> using constant alkalinity of 2330  $\mu$ mol/kg and  $\delta^{11}B_{seawater}$  from Greenop et al. (2017). C. 1141 Reconstructed pCO<sub>2</sub> using the constant alkalinity scenario from Ridgwell and Zeebe, (2005) and 1142 1143  $\delta^{11}B_{seawater}$  from Greenop et al. (2017). **D.** Reconstructed pCO<sub>2</sub> using constant alkalinity scenario from Tyrell and Zeebe, (2004) and  $\delta^{11}B_{seawater}$  from Greenop et al. (2017). E. Reconstructed pCO<sub>2</sub> using 1144 constant alkalinity scenario from Caves et al., (2016) and  $\delta^{11}B_{seawater}$  from Greenop et al. (2017). In 1145 black are published estimates from ice core data (circles - Yan et al., 2019). Compilations of pCO<sub>2</sub> 1146 1147 from Sosdian et al. (2018) (compilation A - open squares) and Rae et al. (2021) (compilation B - open 1148 triangles) are also shown for comparison. Data for compilation A are from: Hönisch and Hemming, 1149 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et 1150 al., 2015a; Chalk et al., 2017; Sosdian et al., 2018. Data for compilation B are from: Foster et al., 1151 2008; Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; 1152 Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Dyez et al., 2018; Sosdian et al., 1153 2018; Greenop et al., 2019; de la Vega et al., 2020. Stars indicate pCO<sub>2</sub> values reconstructed from 1154 alkenones by Tanner et al. (2020) (simulation 6) at Site 1088 in the Southern Ocean.

1155

1156 Figure 7: Proxy data for the past 22 million years in the Western Equatorial Pacific compared to 1157 benthic oxygen isotope data. A. Benthic  $\delta^{18}$ O (blue line – stack from Lisiecki and Raymo, 2005; black 1158 line – compilation from Zachos et al., 2008). **B.** Benthic  $\delta^{13}$ C (black line – compilation from Zachos et al., 2008). 1159 al., 2008). C to E, color indicates the site (filled light blue=806, filled dark blue=807), symbols 1160 represent the species (circle=T. sacculifer and triangle=G. ruber), filled grey squares are recalculated 1161 data based on Sosdian et al. (2018) at site 872. C. SST reconstructed at ODP Sites 806 and 807 using 1162 Mg/Ca ratios (see supplemental information for reconstruction details), open symbols are 1163 reconstructed temperatures based on literature Mg/Ca at site 806 (see text or Fig. 4). D. Seawater pH 1164 reconstructed from  $\delta^{11}B$  of *T. sacculifer* and *G. ruber* using  $\delta^{11}B_{\text{seawater}}$  from Greenop et al. (2017) 1165 (refer to text and supplement for calculations, this study), open squares (compilation A) represent data 1166 from the  $CO_2$  compilation of Sosdian et al. (2018) and open triangles (compilation B) are compilation data from Rae et al. (2021). E. Reconstructed pCO<sub>2</sub> (ppm) using boron-based pH and alkalinity from 1167 1168 Caves et al. (2016), data presented are from this study. Propagated uncertainties are given by eq. S17 1169 for the dark blue envelope, while the light blue envelope are the uncertainties calculated based on eq. S16 (taking into account uncertainty in  $\delta^{11}B_{seawater}$ ). Crosses are original pCO<sub>2</sub> values calculated in 1170 Sosdian et al. (2018) at Site 872; asterisks are recalculated pCO<sub>2</sub> values at Site 872 by Rae et al. 1171 1172 (2021).

**Figure 8:** Proxy data from 22 to 6 million years, including the Middle Miocene Climate Transition (MMCT) and Miocene Climate Optimum (MCO), in the Western Equatorial Pacific compared to benthic oxygen isotope data. **A.** Benthic  $\delta^{18}$ O (black line – compilation from Zachos et al., 2008). **B.** 1176 Benthic  $\delta^{13}$ C (black line – compilation from Zachos et al., 2008). **C and D**, color indicates the site 1177 (filled light blue=806, filled dark blue=807), symbols represent the species (circle=T. sacculifer and triangle=G. ruber), filled grey squares are recalculated data based on Sosdian et al. (2018) at site 872. 1178 1179 C. SST reconstructed at ODP Sites 806 and 807 using Mg/Ca ratios (see supplemental informations 1180 for reconstruction details), open symbols are reconstructed temperatures based on literature Mg/Ca at 1181 site 806 (see text or Fig. 4). D. Reconstructed pCO<sub>2</sub> (ppm) from this study (blue symbols) using 1182 boron-based pH and alkalinity from Caves et al. (2016). Propagated uncertainties are given by eq. S17 1183 for the dark blue envelope, while the light blue envelope reflects the uncertainties calculated based on 1184 eq. S16 (taking into account uncertainty on  $\delta^{11}B_{\text{seawater}}$ ). Orange datapoints and envelope are calculated pCO<sub>2</sub> values and associated uncertainty from our study using our framework and a constant alkalinity 1185 1186 scenario. Open squares (compilation A) are compilation data from Sosdian et al. (2018), open 1187 triangles are data from Raitzsch et al. (2021) at Site 1092. Crosses are original pCO<sub>2</sub> calculated in 1188 Sosdian et al. (2018) at Site 872; asterisks are recalculated pCO<sub>2</sub> at Site 872 by Rae et al. (2021); dark 1189 red triangles are from Site 1092 (Raitzsch et al., 2021). Data for compilation A are from: Hönisch and 1190 Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; 1191 Martinez-Boti et al., 2015a; Chalk et al., 2017; Sosdian et al., 2018. Data for compilation B are from: 1192 Foster et al., 2008; Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 1193 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Dyez et al., 2018; Sosdian 1194 et al., 2018; Greenop et al., 2019; de la Vega et al., 2020.

1195 Figure 9: Proxy data from 7 to 1 million years, including the Warm Pliocene Transition (WPT), in the 1196 Western Equatorial Pacific compared to benthic oxygen isotope data. A. Benthic  $\delta^{18}$ O (black line – 1197 compilation from Zachos et al., 2008). **B.** Benthic  $\delta^{13}$ C (black line – compilation from Zachos et al., 1198 2008). C and D, color indicates the site (filled light blue=806, filled dark blue=807), symbols 1199 represent the species (circle=T. sacculifer and triangle=G. ruber), filled grev squares are recalculated 1200 data based on Sosdian et al. (2018) at ODP Site 872. C. SST reconstructed at ODP Sites 806 and 807 1201 using Mg/Ca ratios (see supplemental informations for reconstruction details), open symbols are 1202 reconstructed temperatures based on litearature Mg/Ca at site 806 (see text or Fig. 4). D. 1203 Reconstructed pCO<sub>2</sub> (ppm) from this study (blue symbols) using boron-based pH and alkalinity from 1204 Caves et al. (2016). Propagated uncertainties are given by eq. S17 for the dark blue envelope, while 1205 the light blue envelope reflects the uncertainties calculated based on eq. S16 (taking into account 1206 uncertainty on  $\delta^{11}B_{\text{seawater}}$ ). Open squares (compilation A) are pCO<sub>2</sub> compilation from Sosdian et al. 1207 (2018), open triangles (compilation B) are from the compilation by Rae et al. (2021). Data for 1208 compilation A are from: Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger 1209 et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Sosdian et al., 2018. 1210 Data for compilation B are from: Foster et al., 2008; Hönisch and Hemming, 2009; Seki et al., 2010; 1211 Foster et al., 2012: Badger et al., 2013: Greenop et al., 2014: Martinez-Boti et al., 2015a: Chalk et al., 1212 2017; Dyez et al., 2018; Sosdian et al., 2018; Greenop et al., 2019; de la Vega et al., 2020. In black 1213 are published estimates from ice core data (circles - Yan et al., 2019).

1214 Figure 10: Proxy data from 1.5 to 0.5 million years, including the Middle Pleistocene Transition 1215 (MPT), in the Western Equatorial Pacific compared to benthic oxygen isotope data. A. Benthic  $\delta^{18}$ O (blue line – stack from Lisiecki and Raymo, 2005). **B.** Benthic  $\delta^{13}$ C (black line – compilation from 1216 1217 Zachos et al., 2008). C and D color indicates the site (filled light blue=806, filled dark blue=807), 1218 symbols represent the species (circle=T. sacculifer and triangle=G. ruber), filled grey squares 1219 (compilation A) are recalculated data based on Sosdian et al. (2018) at site 872. C. SST reconstructed 1220 at ODP Sites 806 and 807 using Mg/Ca ratios (see supplemental informations for reconstruction 1221 details), open symbols are reconstructed temperatures based on litearature Mg/Ca at site 806 (see text 1222 or Fig. 4). **D.** Reconstructed pCO<sub>2</sub> (ppm) from this study (blue symbols) using boron-based pH and 1223 alkalinity from Caves et al. (2016). Propagated uncertainties are given by eq. S17. In black are 1224 published estimates from ice core data (line - Bereiter et al., 2015; black circles - Yan et al., 2019). 1225 Open triangles (compilation B) are from the compilation by Rae et al. (2021). Data for compilation B 1226 are from: Foster et al., 2008; Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; 1227 Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Dyez et al., 1228 2018; Sosdian et al., 2018; Greenop et al., 2019; de la Vega et al., 2020.

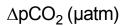
#### Figure 11: Proxy data from 1.5 to 0.5 million years, including the Middle Pleistocene Transition (MPT), in the Western Equatorial Pacific compared to benthic oxygen isotope composites. A. Benthic $\delta^{18}$ O (blue line – compilation from Lisiecki and Raymo, 2005, black line – compilation from Zachos et al. 2008). **B.** Records from Lithium isotopes ( $\delta^7$ Li, orange, Misra and Froelich, 2012) and Strontium isotopes (87/86Sr, grey, Hodell et al., 1991, Farrel et al., 1995, Martin et al., 1999, Martin et al., 2004), both proxies for silicate weathering. Orange arrows represent the different weathering regimes as indicated by the $\delta^7$ Li, black crosses indicates when changes in weathering regime occur. C. Reconstructed pCO<sub>2</sub> (ppm) using boron-based pH and alkalinity from Caves et al. (2016), color indicates the site (filled light blue=806, filled dark blue=807), symbols represent the species (circle=T. sacculifer and triangle=G. ruber), filled grey squares (compilation A) are recalculated data based on Sosdian et al. (2018) at site 872. Data for compilation A are from: Hönisch and Hemming, 2009; Seki et al., 2010; Foster et al., 2012; Badger et al., 2013; Greenop et al., 2014; Martinez-Boti et al., 2015a; Chalk et al., 2017; Sosdian et al., 2018. Propagated uncertainties are given by eq. S17 for the dark blue envelope, while the light blue envelope are the uncertainties calculated based on eq. S16 (taking into account uncertainty on $\delta^{11}B_{seawater}$ ). Also shown is the timing of major events. The rose band and dark rose band indicate the eruption of the Columbia River flood basalts (Hooper et al., 2002) and time of maximum eruption (Kasbohm and Schoene, 2018), respectively.

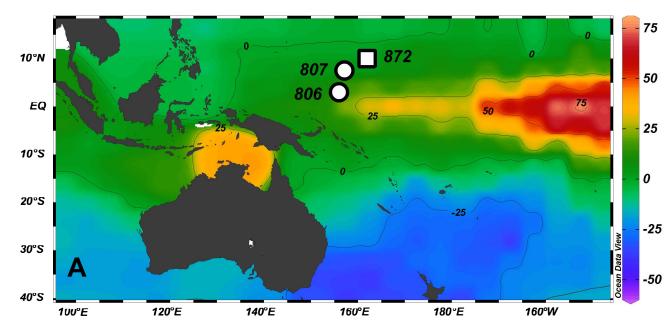
 Table 1: Core information.

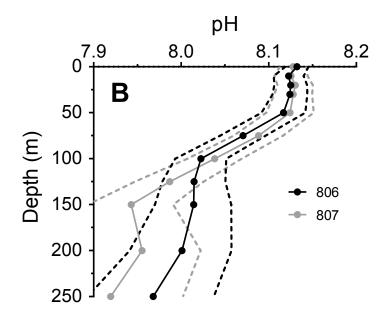
Cruise	Leg	Hole	N (°)	<b>E</b> (°)	Depth (m)
ODP	130	807	3.61	156.62	2804
ODP	130	806	0.32	159.37	2520

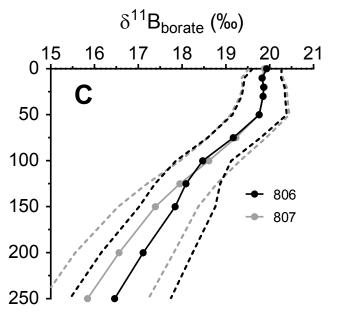
MIS (G)	pCO <sub>2</sub> (ppr	MIS (G) pCO <sub>2</sub> (ppm) Reference	MIS (IG p	CO <sub>2</sub> (ppm	MIS (IG pCO <sub>2</sub> (ppm) Reference	pCO <sub>2</sub> amplitude IG-G (ppm)
20	179	This study	21	254	This study	75
22	187	This study	23	230	This study	43
24	nd		25	298	This study	nd
26	nd	This study	27	nd		nd
28	174	This study	29	nd		nd
30	170	This study	31	295	Hönisch et al., 2009 (N-TIMS)	125
32	218	Chalk et al., 2017	33	323	Chalk et al., 2017	105
34	197	Chalk et al., 2017	35	315	Chalk et al., 2017	118
36	189	Chalk et al., 2017	37	295	This study, Chalk et al., 2017	106
			39	306	This study	nd
Middle Plioc pCO <sub>2</sub> (ppm)	cene Warm P	Middle Pliocene Warm Period (3.29-2.97 Ma)	1)			
$530\pm110$						
$320\pm130$		2 SD, n=4)				
$360 \pm 85$		<b>Reference</b> This study (2 SD, n=4) Martinez-Boti et al., 2015b (2 SD, n=8)	D, n=8)			
Early Plioce		<b>Reference</b> This study (2 SD, n=4) Martinez-Boti et al., 2015b (2 SD, n de la Vega et al., 2020 (2 SD, n=59)	D, n=8) =59)			
	T T	$pCO_2$ (ppm)Reference $530 \pm 110$ This study (2 SD, n=4) $320 \pm 130$ Martinez-Boti et al., 2015b (2 S) $360 \pm 85$ de la Vega et al., 2020 (2 SD, n=4)Early Pliocene Warm Period (4.7-4.5 Ma)Early Deference	-59)			
419 ± 119		2 SD, n=4) oti et al., 2015b (2 S et al., 2020 (2 SD, n= riod (4.7-4.5 Ma)	-59)			
2		2 SD, n=4) oti et al., 2015b (2 S et al., 2020 (2 SD, n= riod (4.7-4.5 Ma) 2 SD, n=3)	-59)			
Miocene Cli	This study ( Martinez-B de la Vega ne Warm Per Reference This study ( mate Optimu	pCO2 (ppm)Reference $530 \pm 110$ This study (2 SD, n=4) $320 \pm 130$ Martinez-Boti et al., 2015b (2 S) $360 \pm 85$ de la Vega et al., 2020 (2 SD, n=Early PlioceneWarm Period (4.7-4.5 Ma) $pCO_2$ (ppm)Reference $419 \pm 119$ This study (2 SD, n=3)MioceneClimate Optimum (17-14 Ma)	-59)			
Miocene Clii pCO <sub>2</sub> (ppm)	This study ( Martinez-B de la Vega) <b>ne Warm Pe</b> <b>Reference</b> This study ( <b>Reference</b>	2 SD, n=4) oti et al., 2015b (2 S et al., 2020 (2 SD, n= riod (4.7-4.5 Ma) 2 SD, n=3) m (17-14 Ma)	-59)			
$\frac{\text{Miocene Cli}}{\text{pCO}_2 \text{ (ppm)}}$ $511 \pm 201$	This study ( Martinez-B de la Vega) <b>ne Warm Pe</b> <b>Reference</b> This study ( <b>mate Optimu</b> <b>mate Optimu</b> This study (	2 SD, n=4) oti et al., 2015b (2 S) et al., 2020 (2 SD, n= riod (4.7-4.5 Ma) 2 SD, n=3) <b>m (17-14 Ma)</b> 2 SD, n=3)	D, n=8) -59)			
Miocene Cli pCO <sub>2</sub> (ppm) 511 ± 201 350-400	This study (2 SD, n=4) Martinez-Boti et al., 20 de la Vega et al., 2020 <b>ne Warm Period (4.7-4.</b> <b>Reference</b> This study (2 SD, n=3) <b>mate Optimum (17-14 N</b> <b>Reference</b> This study (2 SD, n=3) Foster et al., 2012	2 SD, n=4) oti et al., 2015b (2 S et al., 2020 (2 SD, n= <b>riod (4.7-4.5 Ma)</b> 2 SD, n=3) <b>m (17-14 Ma)</b> 2 SD, n=3) , 2012	-59)			
<u>Miocene Cli</u> <u>pCO<sub>2</sub> (ppm)</u> 511 ± 201 350-400 300-500	This study ( Martinez-B de la Vega <b>ne Warm Pe</b> <b>Reference</b> This study ( <b>Reference</b> This study ( Foster et al.	2 SD, n=4) oti et al., 2015b (2 S) et al., 2020 (2 SD, n= riod (4.7-4.5 Ma) 2 SD, n=3) m (17-14 Ma) 2 SD, n=3) , 2012 al., 2014	-59)			
Miocene Cli pCO <sub>2</sub> (ppm) 511 ± 201 350-400 300-500 470-630	This study (2 SD, n= Martinez-Boti et al., de la Vega et al., 207 <b>Reference</b> This study (2 SD, n= <b>mate Optimum (17-1</b> <b>Reference</b> This study (2 SD, n= Foster et al., 2012 Greenop et al., 2014 Sosdian et al., 2018	2 SD, n=4) oti et al., 2015b (2 S et al., 2020 (2 SD, n= riod (4.7-4.5 Ma) 2 SD, n=3) 2 SD, n=3) 2 SD, n=3) , 2012 al., 2014 d., 2014 d., 2018	-59)			

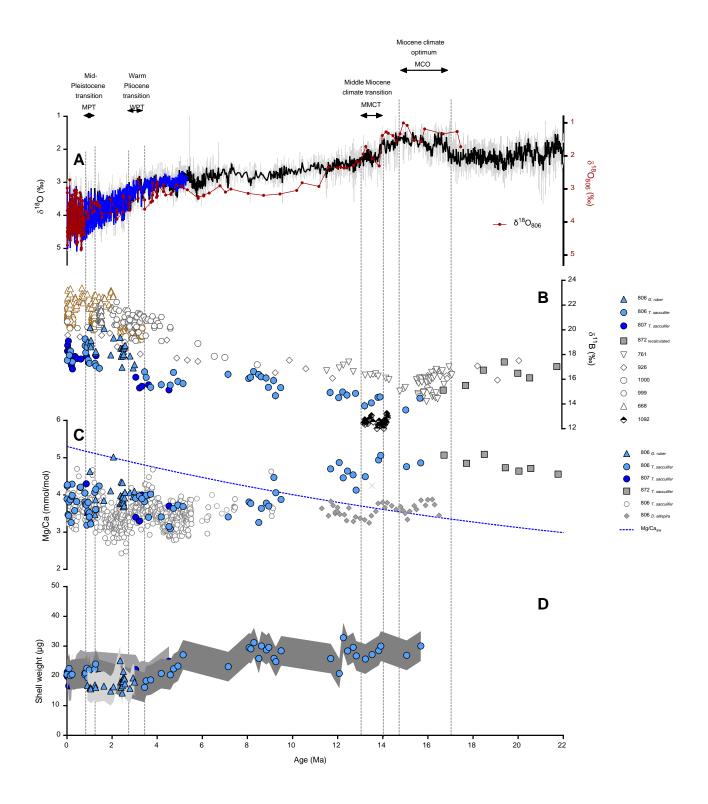
 Table 2: Comparison of reconstructed pCO2 values for key intervals in the last 16 Myr.

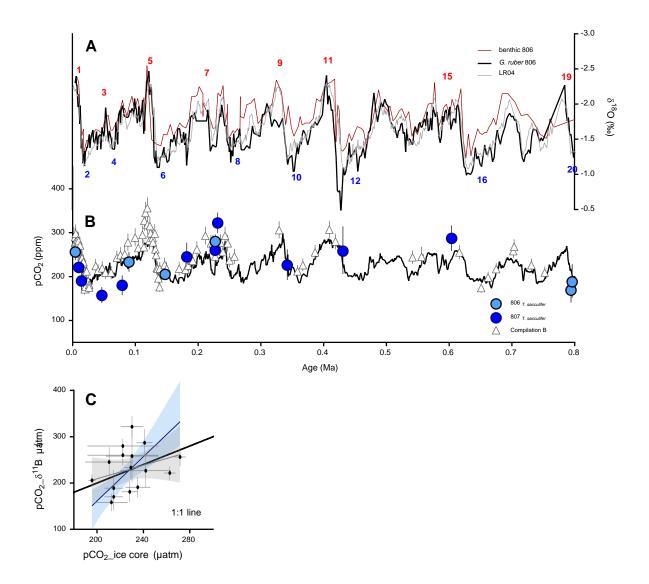












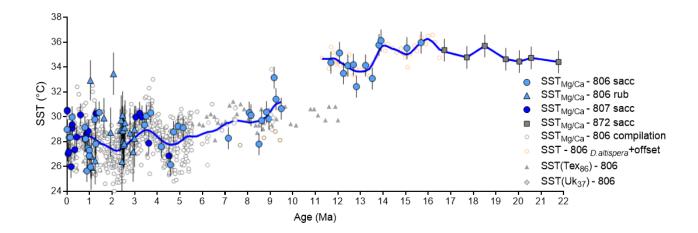
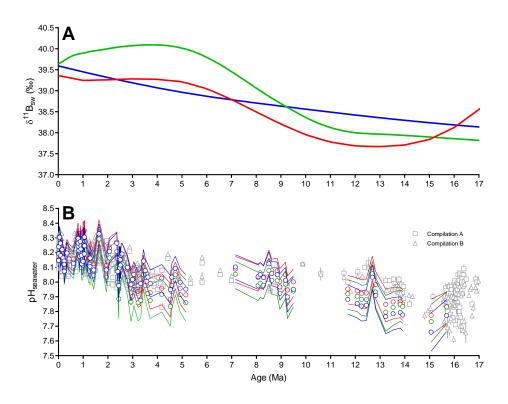
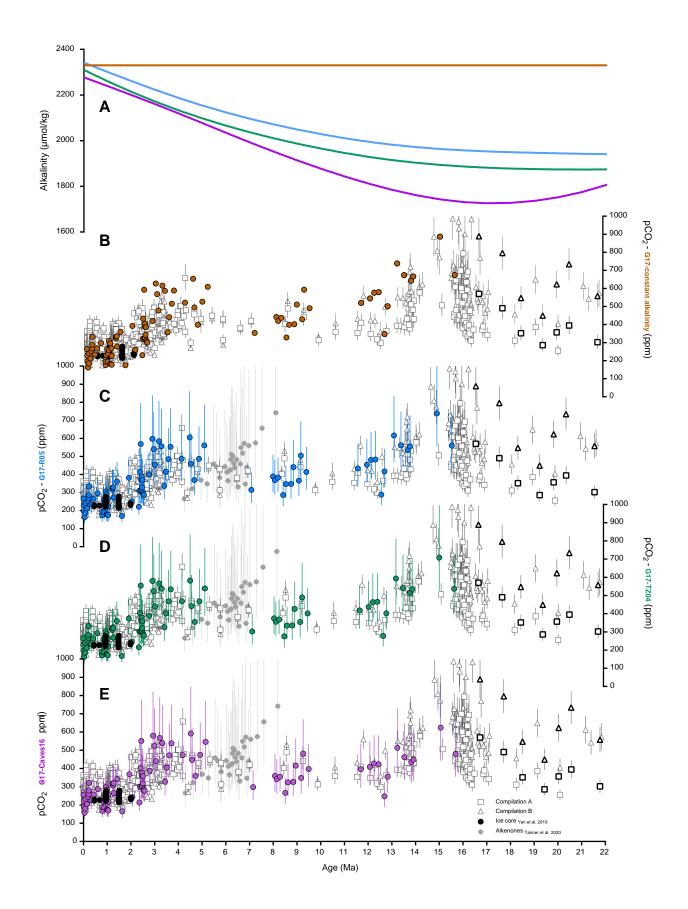
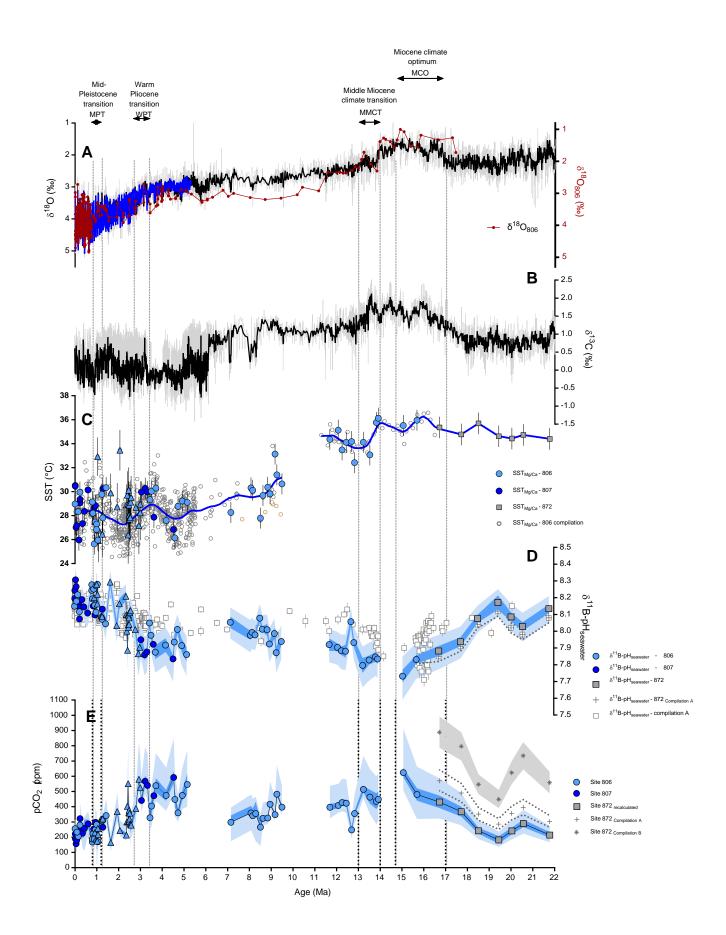
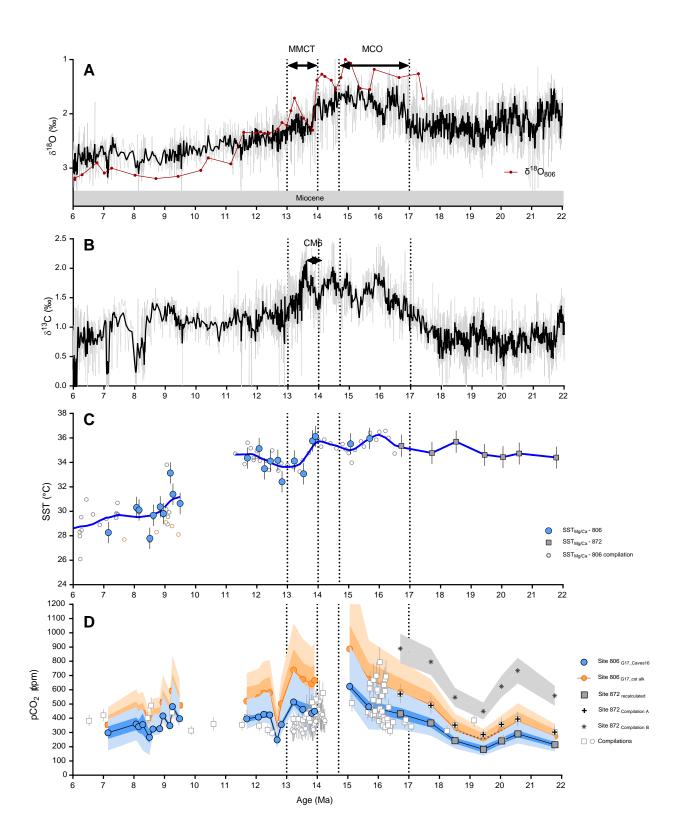


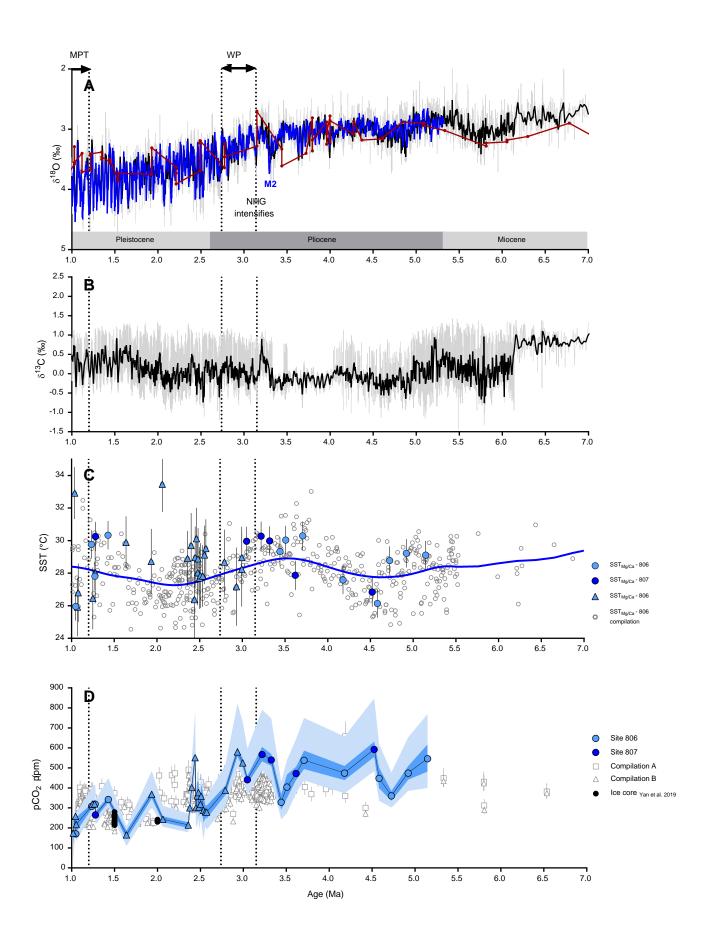
Figure 4











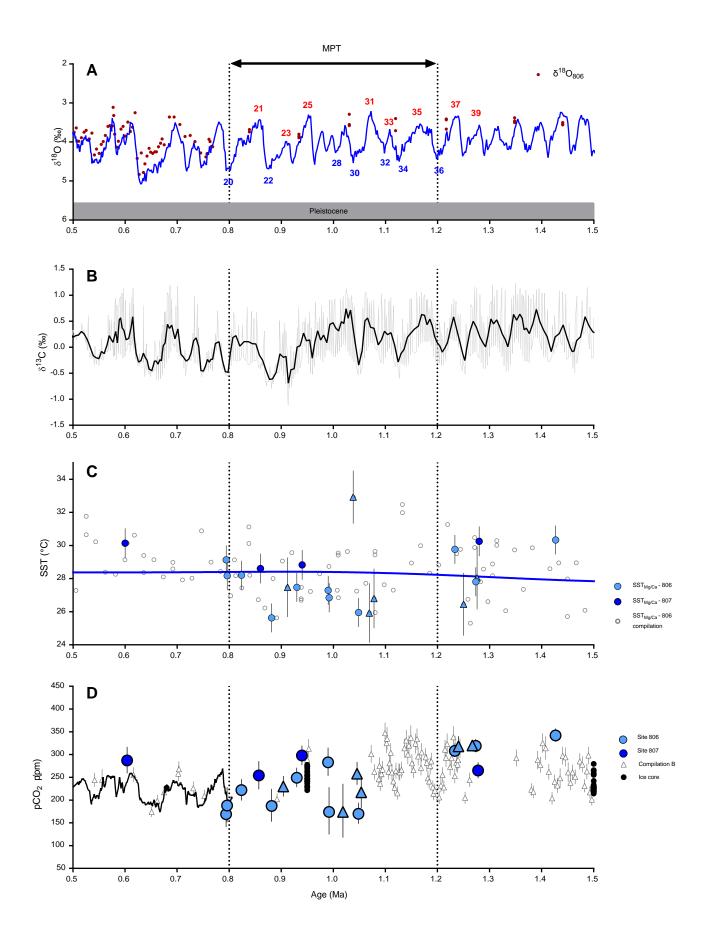


Figure 10

