

Dear editor,

We thank both of the reviewers for their comments. Both reviewers are highly supportive of publication of this manuscript.

Importantly, none of their comments represented substantial criticism to any of our interpretations. All of their suggestions represented corrections or suggestions for the presentation of the paper. We incorporated almost all of them and motivate the few exceptions where we respectfully chose otherwise in the below reply letter. We therefore hope this version of the manuscript can be accepted for publication.

Sincerely, on behalf of all authors,

Appy Sluijs

Anonymous Reviewer #1

Sluijs et al. used the previously analyzed samples which were stored for over a decade. As I am interested in GDGTs, I was curious how the old and new GDGT data would differ, although I assume the offset would be small if stored properly and measured in good condition of the HPLC/MS. Figure 3 shows the result and regression analysis between the old and measured GDGTs results. Both TEX86 and BIT look comparable. However, I found that there are few outliers in the TEX86 dataset from the supplementary data. I plotted all their new vs old TEX86, and the R^2 value is lower to 0.66. Still comparable statistically, however, the authors did not mention about the outliers.

REPLY: These outliers represent data points for which the intensity of some isomers was insufficient in our reruns for proper quantification. For these 5 samples, TEX86 values were anomalously low as a consequence. These were the open fields in the spread sheet of the raw data but for clarity we have now marked them 'below detection' for the revised version of the manuscript. This further clarifies based on which data the 0.82 R^2 of Figure 3 is based.

I appreciate the authors for providing their valuable dataset and kindly included the spreadsheet calculation for the readers to follow. For RI (ring index), however, I found that the calculations were all missing while it can be calculated from the dataset. I calculated again from their data but the values were slightly different. The maximum difference between the reported value (column BX) and the calculation I did is up to 0.11 RI unit. Although the difference is small, this would impact on some of the samples that have $_RI$ near 0.3, screening whether the data is reliable or unreliable near its cutoff value.

REPLY: We thank the reviewer very much for noticing this. The discrepancy was caused by an error in our excel calculations so that Cren isomer was not properly included. The numbers have been corrected in the revised supplement and Figure 5. The difference is indeed minor as the reviewer indicates and in fact it results in lower ΔRI and so we found no extra unreliable data points in our rescreening of the data.

Overall, I suggest a moderate revision of the manuscript, especially in the data analysis first, before it can be accepted by CP. Also, the manuscript contained plagiarism (line 160-163) and many run-on sentences which made it difficult in absorbing the information when reading, therefore, I suggest a more improvement in the scientific writing for the next version.

REPLY: We have carefully reconsidered the text, and reworded sections that may have been unclear.

Some specific comments are below:

Line 20-21: add "ACEX"

REPLY: this has been done

Line 20-52: the abstract seems to be too long and includes too much information of the study results in detail. Also, line 46-50 is just copied and pasted here from the main text (line 806-810).

REPLY: we have shortened the abstract significantly and avoided textual overlap with the rest of the text.

Line 37: the background SSTs in early Eocene generally exceed

REPLY: this has been done

Line 71-77: run-on sentence: divided into two sentences

REPLY: this has been done

Line 77-84: I understand citing all the references to supplement, however, 17 citations are too overloaded in one sentence for the reader. I suggest organizing the citation to where they would belong. For example, link and cite Pagani et al. (2006) with “molecular fossils” which examined the δD of n-alkane addressing the hydrologic cycle. Or breakdown the sentence and cite only the important references.

REPLY: we have cited three of the early papers that showed the potential for follow-up work.

Line 160-163: this sentence should be rephrased. It is exactly the same as written in Hollis et al. (2019) describing the BAYSPAR, but one word added here (plagiarism).

REPLY: this has been done

Line 169: add the TEX86 value range of which converted SSTs differs between linear & non-linear

REPLY: This has been extensively discussed in the literature and it seems that the divergence occurs close to the maximum value in the calibration dataset (ca. 0.70), which we have included now.

Line 190-191: I suggest to remove “based on high BIT index value” and add the range of BIT results from the study after the equation.

REPLY: this has been done and we have added a sentence reporting on a previous subjectively defined threshold assigned on the study section (Shuijs et al., 2009).

Line 207: specify the GDGT. If just GDGT, does it mean both iso- and brGDGTs?

REPLY: this has been specified to isoGDGTs

Line 219-224: add the depth range of the deep contribution (Talyor et al., 2013) and also the reconstructed water depth of Site M00004A, meaning shallower shelf environment, to connect the interpretation of negligible deep source.

REPLY: This has been done (>1000 m)

Line 233: use “[Crenarchaeol isomer]” for consistent compound name in all equations. this also implies for the names throughout the paper.

REPLY: this has been done

Line 234: “significant presence (or contribution) of anaerobic methanotrophy”

REPLY: this has been done

Line 242-243: provide references

REPLY: this has been done

Line 251: “Crenarchaeol isomer” for consistency of the compound name throughout the paper.

REPLY: this has been done

Line 299: I would rather suggest starting with ‘brGMGTs’ and supplement that this was previously reported as H-shaped brGDGTs, since the former is the major compound referred throughout the manuscript. Also, I suggest removing any description of ‘Hshape brGDGTs’ afterwards, as it makes it more confusing.

REPLY: This suggestion has been followed

Line 344: the precision of TEX86 unit or converted SSTs unit?

REPLY: We now explain that this regards the uncertainty calculated to the SST domain.

Line 351-353: same comment with line 344. In addition, I am confused with what “both” labs means.

REPLY: We have deleted this confusing sentence.

Line 409: interval should be between 371.0 to “369.0” mcd, based on Figure 4 and Sluijs et al. (2009),
REPLY: Indeed, thank you for noticing. We have changed this accordingly.

Line 416-417: add the linear regression line in Figure 4 and supplement what “explaining 26 % of the variation” means

REPLY: This has been done and the statement on variation has been clarified; this number is taken directly from the R^2 (0.26) of the linear regression.

Line 428: I suggest to cite “Figure 6” in the first sentence, so the reader can easily compare the visualized data with the text, starting from the beginning of section or paragraph.

REPLY: this has been done

Line 442-452: Rather than directly moving on to the discussion of the method and result, I suggest to add a brief explanation of what lignite is and why lignite was used as the representative of terrestrial source for the readers to easily understand the concept.

REPLY: This has been done. We use the peat and lignite databases because they represent comprehensive datasets and therefore allow a rough calculation of the potential isoGDGT contribution.

Line 445: supplement how the absolute concentration is calculated (e.g. what standard used).

REPLY: Absolute concentration was incorrect. It has been changed to raw signal intensity.

Line 467: “GDGT-2 and -3”. Suggest describing the compounds be consistent throughout the paper.

REPLY: this has been done

Line 473-478: This is true based on the isoGDGT distributions of Paleogene lignite. The reported lignite samples' paleolatitudes are located within 57°S to 48°N, outside the Arctic region. Is there any lignite record from the Arctic that could be a more direct source to constrain the isoGDGTs distribution? If not, then how can this anomalous abundance of terrestrial isoGDGTs be explained in the Arctic where terrestrial input (especially from peats) is highly suggested while it has not been recorded elsewhere?

REPLY: We are not aware of any study that describes such high abundances of GDGT-3 nor a study that describes GDGT distributions from a northern high latitude Paleogene lignite, such as those described by Suan et al. (2017). In addition, we do not argue that peats are the main contributor to the terrestrial isoGDGT contribution. We merely include this exercise as a crude model for the potential terrestrial contribution to the isoGDGT pool in our ACEX samples, as we will better explain in the next version of the manuscript. Ideally, the analyses we perform here are also conducted using the abundance of isoGDGTs relative to brGDGTs in mineral soils to provide an even more complete picture, but those paired data are not available.

Line 486-487: add the threshold value of GDGT-2/Cren (Weijers et al., 2011), as it is shown as MI's cutoff in the following.

REPLY: As far as we are aware, a formal threshold or cut off was never defined, but our values are clearly within the safe range of values described by Weijers et al. (2011), which is what we indicated here.

Line 492: I suggest the authors add a short interpretation of why these biomarker results are contrasted to the suitable depositional environment for abundant anaerobic archaea (methanotrophy and methanogen) which they indicated in the beginning of the section.

REPLY: this has been done

Line 508-510: interpreting BIT index with a distal position from the shoreline is problematic. Even in coastal marine or lacustrine settings, the BIT shows a large variation (Hopmans et al., 2004). Is the change of position interpreted from sea-level rise, similar to Sluijs et al. (2006)? Then what caused the sea-level rise (thermal expansion?) while the temperature proxy does not indicate significant warming?

REPLY: We do not only rely on the BIT index but also on palynological evidence that is consistent with a relative drop in terrestrial organic matter contribution. Relative sea level rise is clearly the simplest explanation for the observed changes. Sluijs et al. (2006) described sea level rise during the PETM that was

later shown to be eustatic (Sluijs et al., 2008a). The interval described here regards an episode of relative sea level rise some time before ETM2. We are not aware of literature that has seen similar relative sea level rise elsewhere so we presume this relative sea level rise was of local, perhaps tectonic, origin. We have rephrased as follows:

“At ~371.2 mcd a drop in BIT index and a change in the palynological assemblages corresponds to an interval of greenish sediment, suggestive of pronounced amounts of glauconite. These changes are consistent with local relative sea level rise, causing a somewhat more distal position relative to the shoreline. However, the sediment remains dominantly siliciclastic and organic terrestrial components, particularly pollen and spores, remain abundant still indicating a shallow setting (Sluijs et al., 2008a; Sluijs et al., 2008b).”

Line 591: suggest the citation as “Figure 7b”. This applies to other figure citations in the text to be more specific, when available, rather than just citing the whole figure. Another example is - line 606 to change to “Figure 7d”

REPLY: this has been done

Line 633-635: suggest to divide the two methods with (1) and (2), which the dashed line makes it confusing, and remove the linear/non-linear calibration description since these are already explained previously.

REPLY: We have included the 1) and 2) suggestion but we choose to keep the reminder to the reader regarding the linear vs non-linear calibrations.

Line 739: I find “lower temperature mean annual air temperature” very unclear.

REPLY: We have deleted the first ‘temperature’ to solve this issue.

Figure 1: (1) the word ‘using’ is used repeatedly – remove or organize with a different word (2) add gplate webpage link for the readers and reference (3) describe or indicate what the brownish lines in the map

REPLY: We have rephrased the caption accordingly

Figure 2: (1) I suggest removing GDGT-4 since it is not discussed in the text nor measured in this study (see supplementary spreadsheet). Moreover, GDGT-4 is generally not included when calculating the relative fraction of isoGDGTs among the whole isoGDGTs pool. (2) add Crenarchaeol regioisomer’s structure or note together with the Crenarchaeol (3) suggest changing “chemical structure” to “molecular structure”

REPLY: We have changed the MS accordingly. Specifically, we have removed isoGDGT-4 from the figure as suggested. We noted in the caption of figure 2 that the Crenarchaeol isomer differs from Crenarchaeol in the stereochemistry of a cyclopentane moiety (Sinninghe Damsté et al., 2018), and replaced ‘chemical’ with ‘molecular’ as suggested.

Figure 5: (1) describe the “modern peats” into two in the caption. (2) describe what the box and line, error bar, circles indicate (3) add the number of samples for statistical meaning

REPLY: this has been done

Figure 7: (1) I suggest 7d and 7e switch the order, since it is the

REPLY: this has been done

Supplementary material Data table: (1) a lot of blanks in the sample data, as well as an unknown words or sample core names below the data seat (see row 153-157).

REPLY: These open fields in the spread sheet of the raw data have been marked ‘below detection’ in the revised supplement.

(2) in “iGDGTs in peats” sheet, cite the references

REPLY: this has been done

(3) in “Lignite crenarchaeol”, Sluijs et al. reported the GDGTs (iso- and br-) data originally from Naaf et al. (2018) and their newly measured ‘Cren. Isomer’. Here, I suggest the authors to report the other iso and br-GDGTs abundances (here which I assume is HPLC/MS integrated peak area) together since they clearly

mentioned in ‘Material and Methods’ that they re-analyzed the polar fraction of the lignite samples. Although I expect that this will not significantly change the result, still comparing only the newly measured ‘Cren. Isomer’ with reported GDGT dataset is not acceptable. This is because even measuring the same sample in the same method, the peak area can be different among interlaboratory measurements, the analytical parameter of the analytical instrument etc.

REPLY: We did not re-analyse these samples, but instead revisited the original chromatograms where we determined the peak area for the crenarchaeol isomer (i.e., Naafs et al., 2018). We have amended the text to make this clearer.

In addition, I suggest to add the calculations and results of the ‘fraction of isoGDGTs’ in all lignite samples. Lastly, minor comment on style of the table (e.g. missing cell borders, missing compound names) to be consistent. Describe ‘n.d.’ and ‘b.d’ too.

REPLY: We have added steps in our calculated values for fraction of isoGDGTs in lignites to our data supplement. In addition, to further facilitate reproducibility, we added an example calculation of the data presented in Supplementary Figure 1 and include the meaning of the abbreviations.

Reviewer #2; Dr. Tom Dunkley-Jones

We thank Dr. Dunkley-Jones for his review of our paper. He raises two points. Point 1 regards an as yet unpublished paper by Eley et al., and Point 2 regards the shape of the calibration between SST and TEX₈₆. We discuss these points below.

This is an excellent and thorough reassessment of organic biomarker temperature records for the latest Paleocene and early Eocene, derived from sediments recovered from the central Arctic Ocean. As demonstrated within the manuscript, this time of peak Cenozoic warmth is a key interval of interest to the paleoclimate community. Considerable proxy data and climate model efforts are focusing on this interval to address questions of climate sensitivity and the persistent problem of extreme polar warmth, which is indicated by the proxy data but is still problematic for climate model simulations. The late Paleocene to early Eocene also includes multiple hyperthermal events with millennial-scale onsets, which allow for the study of climate warming and ecosystem responses that approach the rates of modern environmental change. Two of these hyperthermal events are recovered within the ACEX record (PETM and ETM2). The biomarker-based temperature data from the Lomonosov Ridge is a critical latitudinal “end-member” for an assessment of polar warmth during the latest Paleocene and early Eocene. The unusual GDGT assemblages extracted from these samples, and the initial efforts to use these to estimate sea surface temperatures – which by necessity were non-standard – left some concern within the community about their reliability as predictors of absolute temperatures. This study re-evaluates this critical record with new analyses, including of glycerol monoalkyl glycerol tetraethers (GMGTs), and places this new data within the context of the past decade of studies on the calibration and use of GDGT-based thermometry.

Reply: We thank Dr. Dunkley-Jones for his support of our work.

Point 1. This study should be accepted for publication in *Climates of the Past*, although I do have one recommendation that I would like the authors to consider engaging with. Within this study they do a very thorough job of testing the potential controls and biases on GDGT assemblages using a range of indices and co-occurring markers for terrestrial-derived brGDGTs. The general aim of this is to screen GDGT assemblages, such that they can be separated into those that are formed in broadly analogous conditions to the modern marine system – and hence where the modern temperature dependency of assemblage composition can be well-modelled by the modern core-top calibration - and those samples where the GDGT assemblage is significantly altered, by terrestrial input, methanogenesis or other processes, such that resultant estimates of SSTs may be biased. In their comprehensive treatment of this question of non analogue behaviour and biases, my only recommendation is that the authors also consider the methods proposed by Eley et al. (*Climates of the Past Discussions*, 2019) for the detection of ancient GDGT assemblages that are

significantly non-analogue to the modern calibration dataset. Below I include calculations of their Dnearest metric and OPTiMAL SST estimation for the new GDGT data presented by Sluijs et al. These results confirm some of the key findings of Sluijs et al. – that the pre-PETM GDGT assemblages are anomalous relative to the modern calibration dataset ($D_{nearest} > 1$); that there two clear shifts towards GDGT assemblages more “typical” of the modern at $_385.0m$, and then again at $_375.0m$. There is also an interval after the PETM, where TEX86 based temperatures remain high ($>20_C$), whilst OPTiMAL temperatures are considerably lower, with values in the high single figures ($_375$ to 371 mcd). Sluijs et al. show that pre-ETM2 GDGTs have high BIT indices ($_377$ to $_371$ m) and do not consider TEX86 derived temperatures from this interval to be robust because of the potential bias from terrestrial-derived material. The OPTiMAL methodology, however, indicates that these pre-ETM2 GDGT assemblages are relatively closely analogous to GDGT assemblages in the modern core top data ($D_{nearest} < 0.5$), and that these “near neighbours” are formed in locations with modern MAT SSTs below 10_C .

The Eley et al. (2019) methodology – and the one applied by me below (Figure 1) – includes all modern core top data within the Tierney & Tingley (2015) database, including Arctic data associated with SSTs $< 3_C$. These data were excluded from the standard BAYSPAR calibration (“NoNorth” / “TT13” model of Tierney & Tingley, 2014), because in the Arctic region “TEX86 has a near-zero sensitivity to SST and therefore little predictability” and “incorporation of these data can negatively affect TEX86 predictability in the North Atlantic” (Tierney & Tingley, 2014). Although it would need to be tested – with OPTiMAL being run with and without these modern high-latitude data points and then applied to the ACEX core – it is possible that modern Arctic GDGT assemblages are the “nearest neighbours” of the pre-ETM2 GDGT assemblages, whereas above $_371$ mcd, assemblages shift to a more normal open marine assemblage, as inferred by Sluijs et al. on the basis of BIT indices. This may, in part, account for the significant warming suggested by OPTiMAL across this transition, and further work would be needed to investigate the inclusion or exclusion of modern Arctic GDGT assemblages in the modern calibration for OPTiMAL, and the ability to extract temperature information from these GDGT assemblages using proxy formulations other than the TEX86 index. Regardless of this, the consideration of the OPTiMAL approach confirms - through an independent approach that is agnostic about the form or “model choice”, of the GDGT – SST relationship - that Arctic SSTs around ETM2 were in the region of $_20_C$ (OPTiMAL) or higher (TEX86H, BAYSPAR).

Reply to point 1. We are aware of the Eley et al. manuscript and their new indicators are certainly potentially interesting for this paper. As far as we can see on the CP website, however, this paper is under evaluation and, considering the online discussion, may be subject to significant revisions. This compromises the use of this method in its present form.

We have nevertheless considered the Dnearest and OPTiMAL records kindly provided by the reviewer. Certainly, as the reviewer indicates, some of the results are consistent with our results (e.g. during the latest Paleocene) and could in principle be used as support for our statements. Other aspects are inconsistent with what we find. For example, the interval 377-371 m discussed by the reviewer is particularly interesting. Although the Dnearest metric suggests that TEX₈₆ values are robust, the delta Ring Index, a simple metric to evaluate whether GDGT distributions are similar to modern ocean core top data (Zhang et al., 2011), clearly indicates the GDGT assemblage is compromised for reliable TEX86 paleothermometry. The BIT index (> 0.4 to 0.8), the palynological assemblage (dominated by terrestrial organic matter) the dinoflagellate cyst assemblage (almost completely freshwater-tolerant) and bulk organic carbon stable carbon isotope ratios are also inconsistent with a dominant marine source of the organic matter (Sluijs et al., 2008b; Sluijs et al., 2009; Sluijs and Dickens, 2012). This would call into question the reliability of the Dnearest metric as an indicator of “normal” marine isoGDGT assemblages, even if they are consistent with some of the modern core top data. Therefore, it seems to us that any discussion on this topic would rather serve as a test to the as yet unpublished new methods proposed by Eley et al. rather than contributing to the main goal of our paper, to reconstruct late Paleocene – early Eocene Arctic paleotemperature. Collectively, we therefore prefer to not discuss these results at this point.

Point 2. Section 2.1 and especially Lines 145 – 147: suggest that culture and mesocosm experiments and surface sediment data indicate a linear relationship but without a clear citation of these studies. Rather, the citations seem to be of the studies that demonstrate a deviation from linearity. As the authors implicitly

acknowledge - with statements like “suggest a linear relation” (line 146) or “assumes a linear relationship” (line 160) - the most appropriate form of the TEX86 – SST relationship is uncertain, with current calibration models making some degree of assumption about the best fit relationship between core top TEX86 data and SSTs. I would suggest a slight rephrase to acknowledge this uncertainty and appropriate citations to back up any arguments made about the form of the relationship. There is extensive discussion of the assumptions that can be made about the form of the TEX86 – SST relationship within the online discussion to Eley et al. (2019) that address this issue, between those who argue for an assumed linear response (Tierney) and those who question this assumption (Eley et al.) – some of the relevant response to Tierney quoted below from Eley et al. (<https://www.climpast-discuss.net/cp-2019-60/cp-2019-60-AC1-supplement.pdf>):

“We agree that there is a basic underlying trend for more rings within GDGT structures at higher temperatures (Zhang et al. 2015; Qin et al., 2015). What we dispute is that this translates into a simple linear model at the community scale (core top calibration dataset), or is yet reproduced with consistency between strains in laboratory cultures, including the temperature-dependence of GDGT ring numbers within the marine, mesophilic Thaumarchaeota in Marine Group 1 (broadly equivalent to the old Crenarchaeota Group 1) (Eilling et al., 2015; Qin et al., 2015; Wuchter et al., 2007). Wuchter et al. (2004) and Schouten et al. (2007) show a compiled linear calibration of TEX86 against incubation temperature (up to 40_C in the case of Schouten et al., 2007) based on strains that were enriched from surface seawater collected from the North Sea and Indian Ocean respectively. Like Qin et al., (2015) we note the nonlinear nature of the individual experiments in Wuchter et al., 2004 (see Wuchter et al., 2004 Fig. 5). Moreover, the relatively lower Cren’ in these studies yield a very different intercept and slope (compared to core-top calibrations e.g. Kim et al. 2010) meaning that the resulting calibrations for TEX86 cannot be applied to core-tops. This was recognised by Kim et al. (2010), who state “but we may speculate that Marine Group I Crenarchaeota species in the enrichment cultures are not completely representative of those occurring in nature...”

...As we state above, although we agree that there is a basic underlying trend of increasing ring number with increasing growth temperature, we do not agree that this is well enough known to be quantified into a “basic relationship” that can be “enforced” as a particular model form. Rather, there is uncertainty in the appropriate form of the relationship even within the modern calibration data (see Kim et al. 2010) which becomes substantial beyond the calibration range. The spatial structuring of residuals in global models of modern TEX86 temperature dependence (Tierney & Tingley, 2014) and clear structuring of residuals with temperature in our and other GDGT- temperature calibrations, are likely indications of transitions in the ecology, community make-up or habitat of modern GDGT producers that are not well constrained. We argue that this complexity in the GDGT temperature responses in the modern oceans should be grounds for caution when applying empirical models from the modern to ancient conditions, especially when working with the subset of ancient assemblage data for which there is no modern analogue.”

Reply to point 2. We fully agree with the reviewer here. In fact, some of us have argued for the possibility of a non-linear relation ourselves in a recent paper (Cramwinckel et al., 2018). We will adapt the text in section 2.1 to fully reflect the current status of the discussion (e.g., Hollis et al., 2019).

References

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1 **Late Paleocene – early Eocene Arctic Ocean Sea Surface Temperatures:**
2 **reassessing biomarker paleothermometry at Lomonosov Ridge**

Deleted: ;

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21 **Abstract**

22 A series of papers shortly following Integrated Ocean Drilling Program Arctic Coring
23 Expedition (ACEX, 2004) on Lomonosov Ridge indicated remarkably high early
24 Eocene sea surface temperatures (SST; ca. 23 to 27 °C) and land air temperatures (ca.
25 17 to 25 °C) based on the distribution of isoprenoid and branched glycerol dialkyl
26 glycerol tetraether (isoGDGT and brGDGT) lipids, respectively. Here, we revisit these
27 results using recent analytical developments – which have led to improved temperature
28 calibrations and the discovery of new temperature-sensitive glycerol monoalkyl
29 glycerol tetraethers (GMGTs) – and currently available proxy constraints.
30 The isoGDGT assemblages support temperature as the dominant variable controlling
31 TEX₈₆ values for most samples. However, contributions of isoGDGTs from land, which
32 we characterize in detail, complicate TEX₈₆ paleothermometry in the late Paleocene
33 and part of the interval between the Paleocene-Eocene Thermal Maximum (PETM; ~56
34 Ma) and Eocene Thermal Maximum 2 (ETM2; ~54 Ma). Background early Eocene
35 SSTs generally exceeded 20 °C, with peak warmth during the PETM (~26 °C) and
36 ETM2 (~27 °C). We find abundant branched GMGTs, likely dominantly marine in
37 origin, and their distribution responds to environmental change. Further modern work
38 is required to test to what extent temperature and other environmental factors determine
39 their distribution.
40 Published Arctic vegetation reconstructions indicate coldest month mean continental
41 air temperatures of 6-13 °C, which reinforces the question if TEX₈₆-derived SSTs in
42 the Paleogene Arctic are skewed towards the summer season. The exact meaning of
43 TEX₈₆ in the Paleogene Arctic thus remains a fundamental issue, and one that limits
44 our assessment of the performance of fully-coupled climate models under greenhouse
45 conditions.

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Deleted: and assess the fidelity of new temperature estimates in our study site

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107 **1. Introduction**

108 The Eocene epoch (56 to 34 million years ago; Ma) has long been characterized by
109 warm climates. The earliest signs of a balmy Eocene Arctic region – fossil leaves of
110 numerous plant species – were documented 150 years ago (Heer, 1869). Subsequent
111 findings identified palms, baobab and mangroves, indicating the growth of temperate
112 rainforests and year-round frost-free conditions in the Eocene Arctic region
113 (Schweitzer, 1980; Greenwood and Wing, 1995; Suan et al., 2017; Willard et al., 2019).
114 Fossils of animals, including varanid lizards, tortoises and alligators also indicate warm
115 Arctic climates (Dawson et al., 1976; Estes and Hutchinson, 1980). These earliest
116 findings sparked interest into the climatological mechanisms allowing for such polar
117 warmth about a century ago (Berry, 1922). Ever since, paleobotanists have focused on
118 the Arctic plant fossils and have significantly refined their paleoclimatological
119 interpretation towards estimates of precipitation as well as seasonal and mean annual
120 temperature (e.g. Uhl et al., 2007; Greenwood et al., 2010; Eberle and Greenwood,
121 2012; Suan et al., 2017; Willard et al., 2019).
122 Novel insights in Paleogene Arctic paleoclimate research were made in the years
123 following the Arctic Coring Expedition 302 (ACEX, Integrated Ocean Drilling
124 Program (IODP) 2004, Figure 1). This expedition recovered upper Paleocene and lower
125 Eocene siliciclastic sediments, deposited in a shallow marine environment, in Hole 4A
126 (87° 52.00 'N; 136° 10.64 'E; 1,288 m water depth), on the Lomonosov Ridge in the
127 central Arctic Ocean (Backman et al., 2006). ~~The succession was~~ deposited at a
128 paleolatitude of ~78 °N, based on a geological reconstruction (Seton et al., 2012)
129 projected using a paleomagnetic reference frame (Torsvik et al., 2012) (see
130 paleolatitude.org, Van Hinsbergen et al., 2015). The sediments are devoid of biogenic

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132 calcium carbonate, but rich in immature organic matter, including terrestrial and marine
133 microfossil assemblages and molecular fossils (e.g. Pagani et al., 2006; Sluijs et al.,
134 2006; Stein et al., 2006).

135 As the upper Paleocene and lower Eocene sediments of the ACEX core lack biogenic
136 calcium carbonate and alkenones, SST reconstructions are based on the biomarker-
137 based paleothermometer TEX₈₆. This proxy is based on membrane lipids (isoprenoid
138 glycerol dibiphytanyl glycerol tetraethers; isoGDGTs) of Thaumarchaeota, which adapt
139 the fluidity of their membrane according to the surrounding temperature by increasing
140 the number of **cyclopentane rings** at higher temperatures (De Rosa et al., 1980; Wuchter
141 et al., 2004; Schouten et al., 2013, and references therein). The proxy was introduced
142 in 2002 by Schouten et al. (2002) and was calibrated to mean annual SST using modern
143 marine surface sediments.

144 Initial papers suggested that **Arctic** SST increased significantly during two episodes of
145 transient global warming. Maximum values of ~23°C and ~27 °C occurred during the
146 Paleocene-Eocene Thermal Maximum (PETM-56 Ma ago, Sluijs et al., 2006) and
147 Eocene Thermal Maximum 2 (ETM2-54 Ma ago, Sluijs et al., 2009), respectively.
148 Lower SSTs, generally exceeding 20 °C, characterized the remainder of the early
149 Eocene (Sluijs et al., 2008b). Such temperatures were immediately recognized to be
150 remarkably high and could not be explained using fully-coupled climate model
151 simulations (Sluijs et al., 2006). Even the current-generation of IPCC-class models are
152 unable to match early Eocene Arctic mean annual SSTs, although reconstructions of
153 tropical and mid-latitude SSTs and deep ocean temperatures are consistent with some
154 newer simulations (Frieling et al., 2017; Cramwinckel et al., 2018; Evans et al., 2018;
155 Zhu et al., 2019).

Deleted: {} that provided a wealth of information regarding Paleocene and Eocene Arctic climates, environments, and ecosystems (Brinkhuis et al., 2006; Pagani et al., 2006; Sluijs et al., 2006; Stein et al., 2006; Schouten et al., 2007b; Stein, 2007; Sangiorgi et al., 2008; Sluijs et al., 2008b; Waddell and Moore, 2008; Weller and Stein, 2008; Sluijs et al., 2009; Speelman et al., 2009; Speelman et al., 2010; Barke et al., 2011; Barke et al., 2012; Krishnan et al., 2014; Willard et al., 2019)...

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166 Since the publication of the ACEX SST records, constraints on the applicability of the
167 TEX₈₆ proxy have tremendously improved (see review by Schouten et al., 2013, and
168 subsequent work by Taylor, 2013 #1645; Elling et al., 2014; Qin et al., 2014; Elling et
169 al., 2015; Kim et al., 2015; Qin et al., 2015; Hurley et al., 2016; Zhang et al., 2016).
170 This work has delivered new constraints on the ecology of Thaumarchaeota, the
171 dominant depth at which they reside in the ocean and from which depth their isoGDGTs
172 are exported towards the sea floor. ~~It also identified potential confounding factors such~~
173 as variation in dominant isoGDGT export depth (e.g., Taylor et al., 2013; Kim et al.,
174 2015), the input of non-Thaumarchaeotal-derived isoGDGTs (e.g., Weijers et al., 2011;
175 Zhang et al., 2011), growth phase (Elling et al., 2014), and environmental ammonium
176 and oxygen concentrations (Qin et al., 2015; Hurley et al., 2016). ~~Moreover,~~ several
177 indicators to detect ~~such~~ anomalies have been developed. ~~Improvements in the~~
178 chromatography method used for GDGT analysis now allow for ~~better~~ separation of
179 previously co-eluting compounds leading to enhanced analytical precision and
180 sensitivity (Hopmans et al., 2016). ~~Finally,~~ recent work has described new GDGTs from
181 oceans and sediments, notably ~~branched~~ glycerol monoalkyl glycerol tetraethers
182 (~~brGMGTs, or~~ 'H-shaped' ~~br~~GDGTs) (e.g., Schouten et al., 2008; Liu et al., 2012),
183 characterized by a covalent carbon-carbon bond that links the two alkyl chains. ~~Their~~
184 ~~presence and distribution in peats and lake sediments has been linked to~~ ~~land~~ air
185 temperatures (LAT) (e.g., Naafs et al., 2018a; Baxter et al., 2019). However, these
186 compounds have not yet been ~~reported from~~ ancient marine sediments.
187 Considering these developments and the paleoclimatological importance of the ACEX
188 dataset, we re-analyzed the original lipid extracts for the PETM, ETM2 and the interval
189 spanning these events (Sluijs et al., 2006; Sluijs et al., 2009), according to the latest
190 chromatography protocols. We also compile published and generate new GDGT data

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201 from modern and Paleogene terrestrial deposits and use these to better assess the
202 potential confounding influence of isoGDGTs from terrestrial sources, which was
203 already recognized as a potential problem in the early work (Sluijs et al., 2006).

204

205 2. GDGT-based SST indices, calibration and confounding factors

206 2.1 TEX_{86} and its calibration to SST

207 TEX_{86} is based on the relative abundance of 4 different GDGTs (Figure 2), following
208 (Schouten et al., 2002):

$$209 \text{TEX}_{86} = \frac{([GDGT-2]+[GDGT-3]+[Crenarchaeol\ isomer])}{([GDGT-1]+[GDGT-2]+[GDGT-3]+[Crenarchaeol\ isomer])} \quad \text{Eq. (1)}$$

210 where a higher relative abundance of cyclopentane moieties implies higher SSTs.

211

212 A number of models are used to calibrate TEX_{86} to SST (Schouten et al., 2002;
213 Schouten et al., 2003; Schouten et al., 2007; Kim et al., 2008; Liu et al., 2009; Kim et
214 al., 2010; Tierney and Tingley, 2014; O'Brien et al., 2017), all based on a modern ocean
215 surface sediment database. The currently available culture and mesocosm experiments

216 and surface sediment data suggest that the relation between SST and TEX_{86} is close to

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217 linear for a large portion of the modern ocean (Kim et al., 2010; Ho et al., 2014; Tierney

218 and Tingley, 2014; O'Brien et al., 2017). In polar regions, the TEX_{86} response to

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219 temperature diminishes (e.g., Kim et al., 2010; Tierney and Tingley, 2014). The

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220 response of TEX_{86} to SST at the high temperature end remains subject of discussion

221 (e.g. Cramwinckel et al., 2018; Hollis et al., 2019). Several authors prefer a linear

222 relation (e.g., Tierney and Tingley, 2014; O'Brien et al., 2017). However, physiological

223 considerations and multiple temperature-dependent GDGT indices might imply a non-

224 linear relation also at the high temperature end, as can be observed at the high end of

225 the modern ocean dataset and beyond the reach of the modern ocean in paleoclimate

230 data (Cramwinckel et al., 2018). At higher temperatures, membrane adaptation may
 231 increasingly be established using isoGDGTs not included in the TEX_{86} ratio leading to
 232 a diminished TEX_{86} response at very high temperatures (Cramwinckel et al., 2018). A
 233 non-linear response has thus been proposed in other calibrations (Liu et al., 2009; Kim
 234 et al., 2010). The most recent non-linear calibration, TEX_{86}^H (Kim et al., 2010),
 235 represents an exponential relation between SST and TEX_{86} (Hollis et al., 2019).
 236 Unfortunately, TEX_{86}^H is mathematically problematic and has systematic residuals in
 237 the modern ocean (Tierney and Tingley, 2014).
 238 Tierney and Tingley (2014) introduced a spatially-varying Bayesian method to convert
 239 TEX_{86} to SST, and assumes a linear relationship (BAYSPAR). BAYSPAR extracts
 240 TEX_{86} values from the modern core-top dataset that are similar to the measured TEX_{86}
 241 value from the geological sample based on a tolerance defined by the user, and
 242 subsequently calculates regressions based on these core-top data. The uncertainty in
 243 SST reflects spatial differences in the correlation coefficient and intercept and the error
 244 variance of the regression model.
 245 Currently, it is generally encouraged to present results both using a linear and a non-
 246 linear function (Hollis et al., 2019). The assumption of a linear or non-linear relation
 247 between SST and TEX_{86} leads to very different SST reconstructions for geological
 248 samples yielding TEX_{86} values ≥ 0.70 (Kim et al., 2010; Tierney and Tingley, 2014;
 249 Frieling et al., 2017; O'Brien et al., 2017; Cramwinckel et al., 2018; Hollis et al., 2019).
 250 However, TEX_{86} values of the early Eocene ACEX samples (0.5 – 0.7, Sluijs et al.,
 251 2006; Sluijs et al., 2008b; Sluijs et al., 2009) are below this value and well above most
 252 values observed in the polar regions (Kim et al., 2010; Tierney and Tingley, 2014;
 253 O'Brien et al., 2017), indicating that all calibrations will yield similar absolute SST
 254 values.

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273

274 2.2 Caveats and confounding factors

275 Several confounding factors and caveats have been identified that could potentially bias
276 TEX₈₆ data relative to mean annual SST. These notably relate to additions of isoGDGTs
277 that were not produced in the upper water column by Thaumarchaeota, seasonal biases,
278 and choices that are made in the calibration between SST and TEX₈₆. Below we
279 summarize methods that have been developed to assess if isoGDGT distributions might
280 have been biased by confounding factors.

281

282 2.2.1 isoGDGTs of terrestrial origin

283 Previous work (Sluijs et al., 2006; Sluijs et al., 2008b; Sluijs et al., 2009) recognized
284 that high contributions of terrestrially-derived isoGDGTs could compromise the TEX₈₆
285 signal for portions of the upper Paleocene to lower Eocene interval of the ACEX core,

286 This contribution can be tracked using the Branched and Isoprenoid Tetraether (BIT)
287 index, a ratio of mostly soil-derived branched GDGTs (brGDGTs; Figure 2) and
288 Crenarchaeol, which is dominantly marine-derived (Hopmans et al., 2004; Schouten et
289 al., 2013):

290
$$BIT\ index = \frac{([brGDGT-Ia]+[brGDGT-IIa]+[brGDGT-IIIa])}{([brGDGT-Ia]+[brGDGT-IIa]+[brGDGT-IIIa])+[Crenarchaeol]} \quad Eq. (2)$$

291 Most studies define a BIT value (typically 0.3 or 0.4) above which TEX₈₆-derived SST
292 are unreliable (e.g., Weijers et al., 2006). However, the threshold of 0.4 is conservative
293 in some settings and the impact of terrigenous GDGTs on reconstructed SST will

294 depend on the nature and temperature of the source catchment (Inglis et al., 2015). In

295 addition, a cut-off value based on BIT values is difficult given the relatively large
296 differences in BIT between labs, which originate from methodological differences
297 (Schouten et al., 2009). A strong linear relationship between BIT and TEX₈₆ values is

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307 often taken as indication of a bias in TEX₈₆ through land-derived isoGDGTs to the
308 marine TEX₈₆ signature (e.g., Douglas et al., 2014). An earlier study used a somewhat
309 subjective threshold of 0.3 for an interval spanning ETM2 in the ACEX core (Sluijs et
310 al., 2009).

311

312 2.2.2 isoGDGTs of deep water origin

313 Thaumarchaeota, the source of most isoGDGTs in marine waters (Zeng et al., 2019;
314 Besseling et al., 2020), are ammonium oxidizers (Könneke et al., 2005; Wuchter et al.,
315 2006a), making them independent of light. Although they occur throughout the water

316 column, maximum abundances occur at depths <200 m, generally around NO₂ maxima

317 (e.g., Kamer et al., 2001; Pitcher et al., 2011a). In most oceans, sedimentary GDGTs

318 dominantly derive from the upper few hundred meters, based on analyses of suspended

319 particular organic matter and sediment traps (Wuchter et al., 2005; Wuchter et al.,

320 2006b; Yamamoto et al., 2012; Richey and Tierney, 2016). A deeper contribution has

321 also been inferred based on ¹⁴C analysis (Shah et al., 2008). implying possible

322 contributions of isoGDGTs from thermocline. Moreover, contributions of isoGDGTs

323 produced in the deep sea have regionally been identified (e.g., Kim et al., 2015). Taylor

324 et al. (2013) also found that deep, dwelling (>1000 meter) archaea might contribute to

325 the sedimentary isoGDGT assemblage. They indicate that such deep contributions can

326 be tracked using the GDGT-2/GDGT-3 ratio; high values of >5 indicate contributions

327 of archaea living deeper in the water column. Given that upper Paleocene and lower

328 Eocene ACEX sediments were deposited in a shallow shelf environment (Sluijs et al.,

329 2008b), a significant contribution of deep ocean archaeal lipids is not expected.

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342 2.2.3 isoGDGTs of methanotrophic and methanogenic archaea

343 Contributions of isoGDGTs to the sedimentary pool might also derive from anaerobic
344 methanotrophs and/or methanogens. Several indices have been developed to track such
345 contributions, both based on relatively high contributions of particular isoGDGTs of
346 these groups of archaea. The Methane Index (MI) was developed to detect the relative
347 contribution of anaerobic methanotrophic Euryarchaeota assumed to be represented by
348 GDGT-0 but also GDGT-1, 2 and 3 (Zhang et al., 2011) and is therefore defined as

349
$$MI = \frac{[GDGT-1]+[GDGT-2]+[GDGT-3]}{([GDGT-1]+[GDGT-2]+[GDGT-3]+[Crenarchaeol]+[Crenarchaeol\ isomer])}$$
 Eq. (3)

350 MI values greater than 0.5 indicate significant contribution of anaerobic
351 methanotrophy. Such values may yield unreliable TEX₈₆ values. Another tracer for
352 contributions of anaerobic methanotrophic archaea is the analogous GDGT-
353 2/Crenarchaeol ratio (Weijers et al., 2011).

354 Methanogenic archaea can synthesize GDGT-0, as well as smaller quantities of GDGT-
355 1, GDGT-2 and GDGT-3. The ratio GDGT-0/Crenarchaeol is indicative of
356 contributions of methanogenic archaea to the isoGDGT pool (Blaga et al., 2009) where
357 values > 2 indicate substantial contribution of methanogenic archaea. Up to now, high
358 index values have often been observed near methane seeps or anoxic basins (e.g.,
359 Jaeschke et al., 2012) but rarely in open marine waters in the modern and paleodomains
360 (Inglis et al., 2015; Zhang et al., 2016). Given the reducing conditions in the sediment
361 and water column at the study site across the late Paleocene and early Eocene (Sluijs et
362 al., 2006; Stein et al., 2006; Sluijs et al., 2008b; März et al., 2010), an influence of
363 methane cycling might be expected.

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369 2.2.4 isoGDGTs of the 'Red Sea Type'

370 Sedimentary isoGDGT distributions from the Red Sea are anomalous to other marine
371 settings and are characterised by the low abundance of GDGT-0 and the high abundance
372 of the Crenarchaeol isomer. Presumably, this is due to an endemic Thaumarchaeotal
373 assemblage. The Red Sea isoGDGT distribution yields a different relationship between
374 SST and TEX₈₆ (Trommer et al., 2009; Kim et al., 2015). Inglis et al. (2015) attempted
375 to quantify a 'Red Sea-type' GDGT distribution in geological samples using the
376 following index:

$$377 \text{\%GDGT}_{\text{rs}} = \frac{[\text{Crenarchaeol isomer}]}{([\text{GDGT-0}] + [\text{Crenarchaeol isomer}])} \times 100 \quad \text{Eq. (4)}$$

378 However, as noted by Inglis et al. (2015) this ratio is also strongly SST-dependent such
379 that the Red Sea type GDGT assemblage cannot be discerned from GDGT distributions
380 that occur at high temperatures in normal open marine settings.

381

382 2.2.5 Seasonal bias

383 TEX₈₆ is calibrated to mean annual SST. However, particularly in mid and high latitude
384 areas where production and export production is highly seasonal, the sedimentary
385 GDGT distribution might not represent annual mean conditions (Wuchter et al., 2006b;
386 Pitcher et al., 2011b; Mollenhauer et al., 2015; Richey and Tierney, 2016; Park et al.,
387 2019). This issue should partly be reflected in the calibration uncertainty of the modern
388 ocean database (several °C, depending on the calibration and method; see section 2.7).
389 Sluijs et al. (2006; 2008b; 2009) originally argued that the TEX₈₆ results from the
390 ACEX core could be biased towards summer temperature because the export of organic
391 matter from the surface ocean towards the sediment likely peaked during the season of
392 highest production, i.e., the summer. However, we also note that the TEX₈₆-temperature
393 relationship is not improved when using seasonal mean ocean temperatures (Kim et al.,

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398 2010; Tierney and Tingley, 2014) and modern observations indicate homogenization
399 of the seasonal cycle at depth (Wuchter et al., 2006b; Yamamoto et al., 2012; Richey
400 and Tierney, 2016), implying that seasonality has relatively limited effect on modern
401 sedimentary TEX₈₆ values.

402

403 *2.2.6 Additional isoGDGT-based temperature indicators*

404 The underlying mechanism of TEX₈₆ is that isoGDGTs produced at higher SSTs
405 contain more rings than those produced at low SSTs. Although the combination of
406 compounds included in TEX₈₆ seems to yield the strongest relation with temperature in
407 the modern ocean (Kim et al., 2010), it implies that isoGDGT ratios other than TEX₈₆
408 also provide insights into SST. One alternative temperature sensitive isoGDGT index
409 is the Ring Index (RI), which represents the weighed number of cyclopentane rings of
410 isoGDGTs 0-3, Crenarchaeol and the Crenarchaeol isomer (Zhang et al., 2016), defined
411 as:

$$412 \quad RI = 0x[\%GDGT - 0] + 1 x[\%GDGT - 1] + 2 x[\%GDGT - 2] + 3 x[\%GDGT - 3] + \\ 413 \quad 4 x [\%Crenarchaeol + \%Crenarchaeol\ isomer] \quad \text{Eq. (5)}$$

414 Note that the abundance of GDGT-0 is important for determining the percentage of the
415 other GDGTs of the total isoGDGT pool.

416 The close relation between TEX₈₆ and RI can also be used to detect aberrant
417 distributions, including those produced by methanogenic, methanotrophic and
418 terrestrial sources, as these sources typically contribute disproportionate amounts of
419 specific lipids. A RI_{TEX}, calculated from TEX using the polynomial fit of Zhang et al.
420 (2016), is subtracted from the RI to arrive at the ΔRI. Cut-off values for sample
421 deviation from the modern ocean calibration dataset are defined as 95% confidence
422 limits of the RI-TEX relation, or above |0.3| ΔRI units.

423

424 2.3 H-shaped branched GDGTs; brGMGTs

425 **BrGMGTs** (Figure 2) were first identified by Liu et al. (2012) in marine sediments, who
426 identified a single acyclic tetramethylated brGMGT (m/z 1020). This compound was
427 later detected within the marine water column and appeared to be abundant within the
428 oxygen minimum zone (Xie et al., 2014). Naafs et al. (2018a) identified a larger suite
429 of brGMGTs (including m/z 1048 and 1034), in a quasi-global compilation of modern
430 peat samples. They argued that these compounds were preferentially produced at depth,
431 within the anoxic catotelm. Analogous to the continental paleothermometer based on
432 bacterial brGDGTs produced in surface soils, termed MBT'_{5me} (Weijers et al., 2007b;
433 De Jonge et al., 2014), they showed that the degree of methylation of brGMGTs in peats
434 relates to mean annual air temperature. They calculated the degree of methylation of
435 brGDGTs without cyclopentane moieties, designed for comparison to the methylation
436 of brGMGTs, defined by $H-MBT_{acyclic}$:

437

$$438 \quad MBT_{acyclic} = \frac{brGDGT-1a}{(brGDGT-1a+brGDGT-11a+GDGT-11a'+brGDGT-111a+brGDGT-111a')} \text{ Eq. (6)}$$

439

$$440 \quad H - MBT_{acyclic} = \frac{brGMGT-H1020}{(brGMGT-H1020+brGMGT-H1034+brGMGT-1048)} \quad \text{Eq. (7)}$$

441

442 Based on the strong relation between $MBT_{acyclic}$ and $H-MBT_{acyclic}$ in their peat samples,
443 Naafs et al. (2018a) suggested that the brGMGTs have the same origin as the brGDGTs,
444 presumably Acidobacteria (Sinninghe Damsté et al., 2011; Sinninghe Damsté et al.,
445 2018a). In addition, they showed that the abundance of brGMGTs (relative to the total
446 amount of brGMGTs and brGDGTs) positively correlates with mean annual air

Deleted: , or H-shaped brGDGTs (hereafter referred to as branched glycerol monoalkyl glycerol tetraethers

449 temperature, suggesting that the covalent bond in the brGMGTs is used to maintain
450 membrane stability at higher temperature (Naafs et al., 2018a).

451 Baxter et al. (2019) identified a total of seven different brGMGTs from a suite of
452 African lake sediments (Figure 2), and found their relative distribution to correlate to
453 mean annual air temperature. Accordingly, they proposed a proxy for mean annual air
454 temperature termed brGMGT-I (see Figure 2 for the molecular structures referred to
455 here):

$$456 \text{ brGMGT} - I = \frac{[H1020c] + [H1034a] + [H1034c]}{[H1020b] + [H1020c] + [H1034a] + [H1034c] + [H1048]} \quad \text{Eq. (8)}$$

457

458 3. Material and Methods

459 We used the polar fractions previously analyzed by Sluijs et al. (2006; 2009) from the
460 PETM through ETM2 interval at IODP Expedition 302 Hole 4A. These fractions
461 originate from a total lipid extract produced using a Dionex Accelerated Solvent
462 Extractor and fraction separations by Al₂O₃ column chromatography using
463 hexane:dichloromethane (DCM) (9:1, v/v) and DCM:methanol (1:1; v/v) to yield the
464 apolar and polar fractions, respectively. Polar fractions were re-dissolved in
465 hexane:isopropanol (99:1, v/v) and passed through a 0.45-µm polytetrafluoroethylene
466 filter. This fraction was then analyzed by high-performance liquid chromatography
467 (HPLC) and atmospheric pressure chemical ionization–mass spectrometry using an
468 Agilent 1260 Infinity series HPLC system coupled to an Agilent 6130 single-
469 quadrupole mass spectrometer at Utrecht University following Hopmans et al. (2016)
470 to measure the abundance of GDGTs. Based on long-term observation of the in-house
471 standard, the analytical precision for TEX₈₆ calculates to ±0.3 °C in the SST domain.

472 To gain further insights into the potential impact of terrestrial isoGDGT input on TEX₈₆
473 values, we compiled isoGDGT and brGDGTs distributions from modern peats (n = 473,

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478 Naafs et al., 2017) and early Paleogene lignites (n = 58, Naafs et al., 2018b). Note, the
479 fractional abundance of Crenarchaeol isomer was not reported in the early Paleogene
480 dataset of Naafs et al. (2018b). ~~We therefore revisited the original chromatograms from~~
481 ~~Naafs et al. (2018b) and integrated the crenarchaeol isomer (m/z 1292).~~

Deleted: We therefore re-analyzed the polar fractions of their early Paleogene lignite extracts via HPLC-MS using a ThermoFisher Scientific Accela Quantum Access at the University of Bristol following Hopmans et al. (2016). Based on long-term observation of the in-house standard, the analytical precision for TEX₈₆ is ±0.3 °C for both labs.

483 4. Results

484 The new GDGT distributions (Supplementary Table) are consistent with the TEX₈₆ and
485 BIT index data generated over a decade ago using the ~~older~~ analytical HPLC setup
486 (Hopmans et al., 2000; Hopmans et al., 2016) (Figure 3). TEX₈₆ exhibits some scatter
487 but the slope of the regression is 0.98 for the entire dataset, which is indistinguishable
488 from the 1:1 line. The scatter is minor compared to the uncertainties inherent to
489 calibrations that transfer these values to SST. Less scatter is apparent in the BIT record
490 but the original BIT index values were slightly higher ~~than~~ recorded here (~0.5),
491 indicated by a shallower slope of the regression (0.92). ~~This result is~~ consistent with
492 previous analyses with the new analytical setup (Hopmans et al., 2016). This does not
493 impact previous qualitative interpretations of this record (Sluijs et al., 2006; Sluijs et
494 al., 2008b; Sluijs et al., 2009). In the discussion section, we assess indicators of
495 potential confounding factors (section 2.2), including the influx of terrestrially-derived
496 isoGDGTs to the sediments (Figures 4, 5 and S1) and several indices related to methane
497 and depth of production (Figures 6).

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498 Although we did not detect significant amounts of isoprenoid GMGTs, high
499 abundances of various brGMGTs ~~are present in the ACEX samples~~, in total between 10
500 and 45% of the total brGDGT assemblage (Figure 7). ~~We consistently identify at least~~
501 ~~five brGMGTs across the three different mass-to-charge ratios (m/z 1020, 1034 and~~
502 ~~1048).~~ Based on their (relative) retention times and overall distribution we were able to

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515 apply the nomenclature of Baxter et al. (2019) to five of these and assign individual
516 peaks to previously identified compounds (Figure S2). The abundance of brGMGTs
517 relative to brGDGTs increase during the PETM. The proposed temperature indicators
518 based on brGMGTs show mixed results, with some showing a clear response to the
519 PETM (Figure 7e) while others do not (Figure 7d).

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521 5. Discussion

522 5.1 IsoGDGT provenance

523 5.1.1 Contributions of soil-derived isoGDGTs

524 As noted by Sluijs et al. (2006), late Paleocene samples yield anomalously high
525 abundances of GDGT-3, likely derived from a terrestrial source. We therefore consider
526 the late Paleocene temperature estimates unreliable. To assess the temperature change
527 during the PETM, Sluijs et al. (2006) developed a TEX_{86} calibration without this
528 moiety, termed TEX'_{86} . However, TEX'_{86} has not been widely used outside the
529 Paleogene Arctic because the anomalous abundances of GDGT-3 have not been
530 recorded elsewhere. High contributions of GDGT-3 from terrestrial input would also
531 be associated with an increase in the abundance of other isoGDGTs. Indeed, recent
532 TEX_{86} -based global SST compilations and comparison to climate simulations for the
533 PETM excluded the Paleocene ACEX data because the TEX_{86} ' calibration complicates
534 the comparison to other regions where it has not been applied (Frieling et al., 2017;
535 Hollis et al., 2019).
536 Input of soil organic matter is consistent with Willard et al. (2019) who established that
537 the brGDGT assemblage is dominantly soil-derived as opposed to being produced in
538 the coastal marine environment. This observation is based upon the weighted average
539 number of rings in the tetramethylated brGDGTs ($\#rings_{tetra}$) which generally does not

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554 exceed 0.4 to 0.7 in the global soil calibration dataset (Sinninghe Damsté, 2016). In the
555 ACEX record, #rings_{tetra} is ≤ 0.21 (Willard et al., 2019), consistent with a dominant soil
556 source. This indicates that 1) brGDGT abundances, 2) brGDGT distributions and 3) the
557 BIT index are reliable indicators of the relative supply of terrestrially-derived
558 isoGDGTs into the marine basin. The Paleocene section of the dataset also stands out
559 regarding its relation between BIT index and TEX₈₆ (Figure 4), which confirms its
560 anomalous nature.

561 During the PETM, TEX₈₆ values are higher (due to warming) and BIT values lower.
562 This was attributed to sea level rise during the hyperthermals resulting in a more distal
563 position relative to the terrestrial GDGT source (Sluijs et al., 2006; Sluijs et al., 2008a).

564 The interval between 371.0 and 369.0 mcd (i.e. above the PETM and below ETM2)
565 stands out. This interval was previously recognized by Sluijs et al. (2009) to reflect an
566 open marine environment, with a dominance of marine palynomorphs and algal
567 biomarkers. They also found that high BIT values correspond to low TEX₈₆ values
568 within that interval and therefore implemented a subjective threshold value of 0.3,
569 above which TEX₈₆-derived SSTs were considered unreliable. Although the relation
570 between BIT and TEX₈₆ exhibits considerable much scatter, the new analyses supports
571 the notion that higher influx of terrestrial isoGDGTs lowers TEX₈₆ values. The linear
572 regression (Figure 4; excluding the one outlier with high TEX₈₆ and BIT values in the
573 top right of the plot because it has highly anomalous distributions ($\Delta RI = 0.61$)), yields
574 an R² of 0.26 so explains a portion of the variation (Figure 4). The nature of this
575 influence is determined by the relative abundance of terrestrial isoGDGTs and their
576 TEX₈₆ value. The TEX₈₆ value at the terrestrial endmember of BIT = 1, assuming
577 various types of regressions, centers around 0.5. The remainder of the data does not
578 show a clear relation between BIT and TEX₈₆ although some of the lowest TEX₈₆

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594 values correspond to high BIT values, suggesting that the terrestrial endmember
595 contributed isoGDGT assemblages with relatively low TEX₈₆ values in other intervals
596 as well.

597 ~~The relatively low degree of cyclization in the early Eocene contrasts starkly with high~~
598 ~~degree of cyclisation during the late~~ Paleocene (Figure 6). This implies that the
599 distribution of terrestrial isoGDGTs ~~varies~~ strongly between the ~~latest~~ Paleocene and
600 ~~early~~ Eocene ~~within our~~ studied section.

601 The impact of soil-derived isoGDGTs also emerges from the Ring Index approach of
602 Zhang et al. (2016, see section 2.6 and Figure 6). The difference between the Ring Index
603 and TEX₈₆ at the onset of the PETM is mainly controlled by Crenarchaeol, which is
604 comparatively low in abundance in the Paleocene but highly abundant in the PETM.
605 This increase is likely associated with sea level rise during the PETM because
606 Crenarchaeol is predominantly produced in the marine realm. It is also consistent with
607 a drop in BIT index values and the relative abundance of terrestrial palynomorphs
608 (Sluijs et al., 2008a). The approach of Zhang et al. (2016) also confirms that many
609 isoGDGT distributions exhibit an anomalous relation between TEX₈₆ and the Ring
610 Index relative to the modern core top dataset, with ΔRI values >0.3 (Figure 6).
611 Importantly, all samples with ΔRI values >0.3 have BIT values above 0.35, indicating
612 that contributions of soil-derived iso-GDGTs dominate non-temperature effects in the
613 distributions. We therefore discard TEX₈₆-derived SSTs for samples with BIT values
614 >0.35 .

615 We ~~also develop a crude model to~~ further constrain the potential contribution of
616 terrestrially-derived isoGDGTs. ~~First, we~~ determine the abundance of isoGDGTs
617 relative to brGDGTs in modern peat samples (Naafs et al., 2017) and early Paleogene
618 lignites (~~fossil peat~~) (Naafs et al., 2018b, the isoGDGT data are published here).

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630 Although there is no reason to assume that peat was a major component of the
 631 hinterland (Willard et al., 2019), the aforementioned datasets can provide an estimate
 632 of the potential contribution from terrestrial isoGDGTs to our study site. The raw signal
 633 intensity of brGDGTs in the ACEX samples are used to estimate the potential
 634 contribution of terrestrially-derived isoGDGTs to the samples. To this end, we use the
 635 fractional abundance of the various isoGDGTs in the modern peat and Paleogene lignite
 636 datasets (Figure 5). Then, we estimate the abundance of these terrestrially-derived
 637 isoGDGTs in our ACEX samples by scaling this fraction to the measured abundances
 638 of brGDGTs and isoGDGTs in our ACEX samples, following

639 *Terrestrial fraction of isoGDGT x* =
 640
$$\left(\text{Fraction of isoGDGT } x \text{ in terrestrial test dataset} * \frac{\text{sum(brGDGTs)}}{\text{abundance of isoGDGT } x} \right) \text{ Eq. (9)}$$

641 where *x* represents the specific analyzed GDGT (see Supplementary Data File for an
 642 example of these calculations).

643 This leads to estimates of the potential relative contributions of the individual
 644 isoGDGTs derived from land in the ACEX samples based on the entire modern peat
 645 dataset (Naafs et al., 2017), modern peats from regions with MAT exceeding 15°C
 646 (Naafs et al., 2017) and Paleogene lignites (Naafs et al., 2018b, this paper, Figures 5
 647 and S1). This approach implies that Crenarchaeol and the Crenarchaeol-isomer are
 648 almost exclusively from the marine realm. However, GDGT-1, GDGT-2 and GDGT-3
 649 in our study site may be derived from the terrestrial realm (Figure 5), especially in
 650 specific stratigraphic intervals (Figure S1). In the most extreme cases, the modeled
 651 contributions of terrestrial isoGDGTs is higher than the measured isoGDGT
 652 abundances (i.e., terrestrial fraction > 1). This is principally seen in iGDGT-2 and
 653 GDGT-3, especially when we employ the Paleogene lignite database. This particular
 654 assumption clearly overestimates the abundance of terrestrially sourced isoGDGTs in

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684 our setting. However, the ~~temporal~~ trends ~~obtained using~~ modern peats, ~~subtropical~~
685 modern peats and Paleogene lignites are essentially identical and give some indication
686 which isoGDGTs are most likely to be ~~impacted by terrestrial input and~~ across which
687 intervals. Interestingly, ~~this approach also suggests that~~ particularly GDGT-3 is shown
688 ~~to be strongly~~ affected (Figure 5), which qualitatively matches the distributions in the
689 ACEX samples. This is principally because GDGT-3 is the least abundant marine
690 isoGDGT included in our analyses, whereas it is often as abundant as GDGT-1 and 2
691 in terrestrial settings (Fig. 5).

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Deleted: in ACEX samples if the terrestrial contribution of isoGDGTs is analogous in distribution to that of warm modern peats and/or Paleogene lignites

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693 5.1.2 Contributions of methanotrophic or methanogenic archaea?

694 The depositional environment at the study site ~~included~~ ample (export) production,
695 sediment organic matter content, and low oxygen conditions at the sediment-water
696 interface (Sluijs et al., 2006; Stein et al., 2006; Stein, 2007; Sluijs et al., 2008b; Sluijs
697 et al., 2009; März et al., 2010). ~~This~~ may have been suitable for abundant methanogenic
698 and methanotrophic archaea, potentially contributing to the sedimentary isoGDGT
699 assemblage. However, our GDGT-2/Crenarchaeol values (<0.23; Figure 6) are far
700 below values that suggest significant isoGDGT contributions of methanotrophic
701 Euryarchaeota as described by Weijers et al. (2011). MI values (maximum observed
702 0.31) are ~~also~~ generally below proposed cut off values (0.3-0.5, Zhang et al., 2011) that
703 suggest such contributions. Finally, GDGT-0/Crenarchaeol ratios (<1.4) remain below
704 the cut-off value of 2 throughout the section (Figure 6), also making a significant
705 isoGDGT contribution from methanogens highly unlikely (Blaga et al., 2009).
706 Collectively, relative contributions of isoGDGTs from methanogenic and
707 methanotrophic archaea seem low despite the low-oxygen environment, suggesting a
708 relatively high flux of pelagic isoGDGTs.

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722 5.1.3 Contributions of deep-dwelling archaea?

723 Taylor et al. (2013) showed that GDGT-2/GDGT-3 ratios correspond to depth of
724 production, with high values (>5) in deep waters (>1000 m). We record low values (1.4)
725 between ~390 and ~371.2 mcd (Figure 6), which supports a dominant production in
726 the surface ocean based on the modern calibration data set (Taylor et al., 2013).

727 However, the overlying interval (~371 to ~368.3 mcd) has much higher (average 7.4)
728 and variable GDGT-2/GDGT-3 values, with peak values of 10-14. Such values suggest
729 significant contributions of isoGDGTs produced at water depths of several kilometers
730 according to the analyses by Taylor et al. (2013).

731 However, all paleoenvironmental information generated based on the sediments as well
732 as tectonic reconstructions of Lomonosov Ridge – a strip of continental crust that
733 disconnected from the Siberian margin in the Paleocene - has indicated a neritic setting
734 of the drill site at least up to the middle Eocene (e.g., O'Regan et al., 2008; Sangiorgi
735 et al., 2008; Sluijs et al., 2008a; Sluijs et al., 2009). At ~371.2 mcd a drop in BIT index

736 and a change in the palynological assemblages corresponds to an interval of greenish
737 sediment, suggestive of pronounced amounts of glauconite. These changes are
738 consistent with local relative sea level rise, causing a somewhat more distal position
739 relative to the shoreline. However, the sediment remains dominantly siliciclastic and
740 organic terrestrial components, particularly pollen and spores, remain abundant still
741 indicating a shallow setting (Sluijs et al., 2008a; Sluijs et al., 2008b). Increased

742 contributions of isoGDGTs produced at depth would be expected to have caused a
743 systematic cold bias but, based on linear regression analysis the large variability in
744 GDGT-2/GDGT-3 ratios is unrelated to the recorded variability in TEX₈₆ values. The

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760 high GDGT-2/GDGT-3 ratio values can therefore not be explained by contributions of
761 deep dwelling archaea.

762 In a study of the last 160 kyr in the South China Sea, Dong et al. (2019) found that very
763 high GDGT-2/GDGT-3 ratios (~9 but up to 13) correspond with high values in nitrogen

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764 isotope ratios, interpreted to reflect low contributions in diazotroph N₂ fixation and
765 enhanced upwelling. In our record, the high GDGT-2/GDGT-3 ratios are associated

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766 with normal marine conditions and the dinocyst assemblages are not indicative of
767 upwelling conditions (Sluijs et al., 2009). Unfortunately, the available nitrogen isotope

768 record (Knies et al., 2008) does not cover this interval in sufficient resolution to assess

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769 a relation with diazotroph activity. The increase in GDGT-2/GDGT-3 ratio correlates

770 to a strong drop in BIT index values and an increase in normal marine dinocyst species

771 (Sluijs et al., 2009), but a shift to more open marine environment does not explain the
772 high ratio values. As such, the cause of the high GDGT-2/GDGT-3 ratios in this interval
773 remains unclear but we consider it highly unlikely to relate to contributions of deep

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774 dwelling Thaumarchaeota.

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776 5.1.4 Oxygen concentrations and ammonium oxidation rates

777 A variety of non-thermal factors can impact TEX₈₆ values, including ammonium and
778 oxygen concentrations and growth phase (Elling et al., 2014; Qin et al., 2014; Hurley

779 et al., 2016). Across the studied interval of the ACEX core, several intervals of seafloor
780 and water column anoxia have been identified based on organic and inorganic proxies,

781 notably during the PETM and ETM2 (Sluijs et al., 2006; Stein et al., 2006; Sluijs et al.,
782 2008b; Sluijs et al., 2009; März et al., 2010).

783 Particularly suspect is an interval of low TEX₈₆ values that marks the middle of the
784 ETM2 interval, directly following a ~4 °C warming at its onset (Sluijs et al., 2009).

789 This interval is also marked by the presence of sulfur-bound isorenieratane (Sluijs et
790 al., 2009), a derivative of isorenieratene. This biomarker is produced by the brown
791 strain of green sulfur bacteria that require light for photosynthesis and free sulfide,
792 indicating euxinic conditions in the (lower) photic zone (Sinninghe Damsté et al.,
793 1993). We also record a concomitant shift in several methane-related indicators,
794 GDGT-2/GDGT-3 ratio values and the Δ RI. A mid-ETM2 cooling signal has not been
795 recorded at other study sites and this interval marks the occurrence of pollen of
796 thermophilic plants such as palms and baobab (Sluijs et al., 2009; Willard et al., 2019).
797 Therefore, the low TEX₈₆ values were suggested to reflect thaumarcheotal depth
798 migration to the deeper chemocline due to euxinic conditions (Sluijs et al., 2009),
799 similar to the modern Black Sea (Coolen et al., 2007; Wakeham et al., 2007) and the
800 Mediterranean Sea during sapropel formation (Menzel et al., 2006).
801 More recent work has indicated that the isolated marine Thaumarchaeotal species
802 *Nitrosopumilus maritimus* produces lower TEX₈₆ values with higher ammonia
803 oxidation rates (Hurley et al., 2016) and O₂ concentrations (Qin et al., 2015). Although
804 this observation is difficult to extrapolate to the total response of the Thaumarcheotal
805 community in the marine environment on geological time scales, lower O₂ availability
806 should lower oxidation rates leading to higher TEX₈₆ values (Qin et al., 2015; Hurley
807 et al., 2016). However, we record a drop in TEX₈₆ values with the development of
808 anoxia during ETM2. The nature of the anomalously low cyclization in the ETM2
809 isoGDGT assemblage, which passes all quality tests regarding GDGT distribution
810 (Figure 6), remains therefore elusive. ▽

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819 5.2 Origin and environmental forcing of brGMGTs

820 The relative abundances of brGMGTs in our samples are surprisingly high. On average,
821 they comprise 25% of the total branched GDGT and GMGT assemblage. The limited
822 literature on modern occurrences implies that both terrestrial and marine sources may
823 have contributed to the brGMGT assemblage. Data from marine sediments (Liu et al.,
824 2012) and the water column (Xie et al., 2014), clearly shows production within the
825 marine realm. Their occurrence in modern peats (Naafs et al., 2018a), lake sediments
826 (Baxter et al., 2019) and Paleogene lignites (Inglis et al., 2019) might also imply
827 transport from land to marine sediments. A soil-derived source is currently
828 unsupported, as they were most often below detection limit in recent studies of
829 geothermally heated soils (De Jonge et al., 2019) and a soil transect from the Peruvian
830 Andes (Kirkels et al., 2020). The brGMGT abundances we record are close to the
831 maximum abundance found in modern peats (Naafs et al., 2018a). However, significant
832 input of peat-derived organic matter into our study site is inconsistent with the low input
833 of peat-derived *Sphagnum* spores (Willard et al., 2019). Alternatively, the high
834 abundance of brGMGTs could also be related to subsurface production in marine
835 sediments. An analogous process was invoked by Naafs et al. (2018a) to explain very
836 high abundance of brGMGTs in an early Paleogene lignite. Collectively, however, we
837 surmise that production in the marine realm may be an important contributor to the
838 brGMGT pool in our setting.

839 Several factors may contribute to the rise in the abundance of brGMGTs relative to
840 brGDGTs across the PETM. Higher relative abundances of brGMGTs in modern peats
841 generally occur at higher mean annual air temperatures (Naafs et al., 2018a) and so this
842 signal could relate to warming during the PETM if their origin at the study site is
843 terrestrial. However, since we consider it likely that a large part of the brGMGTs

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847 assemblage is of marine origin, the rise in brGMGT abundance likely relates to the
848 previously recorded (Sluijs et al., 2006; Sluijs et al., 2008b) sea level rise during the
849 PETM at the study site. This is consistent with the increase in marine brGMGT
850 production relative to terrestrial brGDGT supply to the study site (Figure 7b). This is
851 consistent with the inverse correlation between brGMGT abundance and the BIT index
852 (Figure 7b). Lastly, if the production of marine brGMGTs was focused in oxygen
853 minimum zones (Xie et al., 2014), the development of low oxygen conditions in the
854 water column based on several indicators, such as the presence of isorenieratane (Sluijs
855 et al., 2006), might have increased the production of brGMGTs in the water column. It
856 is also possible that all of these factors contributed to the changes in abundance of
857 brGMGTs relative to brGDGTs across the PETM.

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858 The brGMGT-I proxy does not produce temperature trends similar to those seen in
859 TEX₈₆ or MBT_{5me} (Figure 7d). If the majority of the brGMGTs are of marine origin,
860 this indicates that brGMGTs produced in the marine realm do not respond to
861 temperature as was hypothesized based on the African Lake dataset by Baxter et al.
862 (2019).

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863 Also the application of the H-MBT_{acyclic} index (equation 7) appeared problematic
864 because, similar to Baxter et al. (2019), we identified several more isomers than Naafs
865 et al. (2018a, who developed this index) detected in their peat samples. It therefore
866 remains unclear which of our peaks should be used to calculate the H-MBT_{acyclic} index
867 values. We therefore show the two plausible options. For the first, we use all peaks with
868 *m/z* 1020, 1034 and 1048 (*H-MBT-all* in Figure 7e) within the expected retention time
869 window. However, based on our chromatography, we consider it more likely that the
870 dominant peaks identified by Naafs et al. (2018a) at *m/z* 1020 and 1034 represent
871 H1020c and H1034b, respectively, and therefore use only those in addition to the single

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877 identifiable peak at m/z 1048 as a second option (H-MBT (H1020c, H1034) in Figure
878 7e. Both options show a clear rise across the PETM, although the HMBT (H1020c,
879 H1034a) shows a larger signal and somewhat better correspondence in absolute values
880 to MBT_{acyclic}, though with more scatter. A close correspondence between MBT_{acyclic}
881 and HMBT has also been found in a lignite that has been assigned to the PETM (Englis
882 et al., 2019).

883 If the dominant source of the brGMGTs was marine throughout the record, the increase
884 in methylation possibly relates to warming. This would not be unprecedented as marine-
885 produced brGDGTs show an increase in methylation as a function of temperature
886 (Dearing Crampton-Flood et al., 2018). Sollich et al. (2017) also suggest that archaeal-
887 derived isoprenoid GMGTs produced in marine sediments incorporate additional
888 methyl groups at higher sediment temperatures. Water column oxygen concentrations
889 and pH also changed at our site during the PETM, which potentially affected
890 distributions. Extensive evaluation of brGMGT distributions in modern samples is
891 therefore required to assess the proxy potential.

892

893 5.3 Uncertainty on TEX₈₆-based SST estimates.

894 5.3.1 Uncertainty based on calibration dataset

895 To calculate SSTs, we use 1) the BAYSPAR method (Tierney and Tingley, 2014),
896 which assumes a linear relationship between TEX₈₆ and SST, and 2) TEX₈₆^H (Kim et
897 al., 2010), which assumes a non-linear relationship between TEX₈₆ and SST.
898 Differences between these calibrations are smaller than the calibration errors (Figure 6)
899 because the TEX₈₆ values in the ACEX dataset all fall within the range of the modern
900 core top calibration. Taken together, both indices imply that mean annual SSTs varied

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926 between 18 °C and 28 °C in the early Eocene, providing strong evidence for remarkable
927 early Eocene warmth in the Arctic region.

928 The TEX_{86}^H calibration ~~has~~ a calibration error of 2.5 °C (residual mean standard error;
929 RSME) (Kim et al., 2010). The BAYSPAR method yields possible values that range
930 ~6 °C from the most probable value (Figure 6), but these uncertainty estimates are more

931 comparable than is immediately apparent, as this analysis takes a 90% confidence
932 interval compared to the 68% probability of RSME. ~~All of the calibrations and methods~~

933 to obtain values and uncertainties are based on a modern core-top dataset and thus
934 implicitly include potential confounding factors such as seasonality and depth of
935 production and export. However, there is no (quantitative) constraint on any of these

936 parameters in the calibration data set. This is particularly important for the studied
937 region because it represents a polar endmember of the marine environment with highly
938 seasonal production and export and potentially high seasonality in temperature. In the

939 modern ocean, relations between SST and TEX_{86} in the Arctic and ice-proximal
940 Southern Ocean settings differ from the global ocean. ~~This is~~ attributed to a change in
941 viscoelastic adaptation to temperature at the low end and/or a change in the

942 Thaumarchaeotal community (Kim et al., 2010; Ho et al., 2014; Tierney and Tingley,
943 2014). This may mask potential confounding factors that may be relevant specifically
944 to polar environments. This is important ~~here, where~~ the polar regions were ice free and

945 the functioning of physical, chemical and biological ocean systems were fundamentally
946 different from present day. This ~~uncertainty is not accounted for using~~ traditional
947 regression analyses or ~~Bayesian techniques and quantification of uncertainty in non-~~

948 ~~analogue climates remains~~ extremely difficult.
949
950

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Deleted: for our case, a situation in which

Deleted: implies that any

Deleted: calculated based on the modern database, regardless whether it is done based on

Deleted: BAYSPAR, has no direct value for determination of uncertainty in our case because the caveats and confounding factors do not influence uncertainty in the same way in the Eocene as in the modern. Q

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963 5.3.2 Constraints from independent proxy data

964 Independent proxy data may provide additional constraints. The appearance of the
965 dinoflagellate cyst genus *Apectodinium* during the PETM and ETM2 in the Arctic basin
966 (Sluijs et al., 2006; Sluijs et al., 2009; Harding et al., 2011) provide qualitative support
967 for pronounced warming and apparent subtropical conditions. Recent efforts to quantify
968 the paleoecological affinities of this now extinct genus have suggested a required
969 minimum temperature of ~20°C (Frieling et al., 2014; Frieling and Sluijs, 2018).
970 Although this value is partly based on TEX₈₆ data from the ACEX cores, it is supported
971 by data from an epicontinental site in Siberia (Frieling et al., 2014).

972 A second line of independent proxy evidence includes vegetation reconstructions. As
973 indicated above, the TEX₈₆ results are qualitatively consistent with the ample evidence
974 for thermophilic plants and animals in the Arctic (e.g., Heer, 1869; Schweitzer, 1980;
975 Greenwood and Wing, 1995; Uhl et al., 2007; Suan et al., 2017). Particularly valuable
976 are minimum winter temperature tolerances for specific plant species. Palynological
977 analyses have indicated the presence of palm and baobab pollen within the PETM and
978 ETM2 intervals in the ACEX cores (Sluijs et al., 2009; Willard et al., 2019). Modern

979 palms are unable to tolerate sustained intervals of frost ~~and sexual reproduction is~~
980 limited to regions where the coldest month mean temperature (CMMT) is significantly
981 above freezing (Van der Burgh, 1984; Greenwood and Wing, 1995). ~~This threshold was~~
982 ~~was~~ recently quantified to be ≥ 5.2 °C (Reichgelt et al., 2018). The presence of baobab
983 within the PETM interval and ETM2 ~~also indicate~~ mean winter air temperatures of at
984 least 6 °C (Willard et al., 2019). Importantly, these plants were not encountered in the
985 intervals outside the PETM and ETM2, suggesting background coldest month mean air
986 temperatures were potentially too low (<6°C) to support ~~megathermal vegetation~~.

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992 Pollen of palms and *Avicennia* mangroves were recently identified in time-equivalent
993 sections in Arctic Siberia (Suan et al., 2017). Although the details of stratigraphic
994 ~~framework for~~ these records may be somewhat problematic, these findings ~~indicate~~
995 ~~elevated CMMT estimates on land (>5.5 °C) and in the surface ocean (>13 °C) during~~
996 the late Paleocene and early Eocene (Suan et al., 2017).

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Deleted: provide good evidence for very high coldest month mean temperatures, both air

Deleted: and SST

997 Apparently conflicting evidence comes from the occurrence of glendonites and erratics
998 in specific stratigraphic levels in Paleocene and Eocene strata in Spitsbergen,
999 interpreted to reflect 'cold snaps' in climate (Spielhagen and Tripathi, 2009). Some of
1000 these stratigraphic levels are very close to (or even potentially within) the PETM,
1001 considering the local stratigraphic level of the PETM (Cui et al., 2011; Harding et al.,
1002 2011). ~~However,~~ glendonites and erratics have not been found at the exact same

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1003 stratigraphic levels as thermophilic biota (Spielhagen and Tripathi, 2009). The formation
1004 and stability of ikaite (the precursor mineral of the diagenetic glendonites) in
1005 Spitsbergen was dependent on relatively low temperature, arguably persistent near-
1006 freezing sea water temperatures in the sediment (Spielhagen and Tripathi, 2009).

1007 However, glendonite occurrences ~~in other settings~~ (e.g. Mesozoic sediments in mid-
1008 latitude regions, Teichert and Luppold, 2013) have recently also been linked to methane
1009 seeps (Morales et al., 2017). ~~Therefore,~~ the specific temperature constraints implied by
1010 glendonites under such conditions are subject of debate. ~~Future~~ work should apply

Deleted: Clearly, however, the glendonite occurrences may imply episodes of colder climates and follow up

1011 temperature reconstructions based on ~~the geochemical composition of the glendonites,~~
1012 ~~and~~ biomarkers or biota on corresponding strata to assess ~~whether glendonite~~
1013 ~~occurrence is related to colder climates,~~

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1014 ~~The~~ estimate on seasonal minima provides an important constraint on Arctic
1015 climatology during the PETM and ETM2. Most likely, the palms and baobabs grew
1016 close to the shore, where the relative heat of the ocean kept atmospheric temperatures

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1027 relatively high during the winter. If minimum winter SSTs were in the range of the SST
1028 reconstructions based on the nearby *Avicennia* mangrove pollen (Suan et al., 2017),
1029 which for open ocean settings would perhaps amount to ~10 °C, then summer SST must
1030 have soared to at least 30 °C in summer if TEX₈₆-based SST reconstructions of ~20 °C
1031 truly reflects the annual mean. It would imply an SST seasonality of ~20 °C, much
1032 higher than any modern open marine setting. In the present day Arctic Ocean, heat is
1033 seasonally stored and released in sea ice melting and freezing, and sea ice cover
1034 insulates the ocean and reflects much sunlight, resulting in a seasonal cycle of not more
1035 than 1.5 °C, even in ice-free regions (Chepurin and Carton, 2012). However, coupled
1036 model simulations have indicated that the future loss of sea ice will greatly enhance the
1037 seasonal SST range to up to 10 °C in 2300 given unabated CO₂ emissions (Carton et
1038 al., 2015). With year-round snow and ice-free conditions, even stronger summer
1039 stratification during the Eocene due to higher greenhouse gas concentrations and fresh-
1040 water supply through an enhanced hydrological cycle (Pierrehumbert, 2002;
1041 Carmichael et al., 2017), a near-shore 20 °C seasonal cycle in Arctic Ocean SST may
1042 not be unrealistic, although it remains inconsistent with current-generation fully
1043 coupled, relatively low resolution, model simulations (e.g., Frieling et al., 2017).
1044 Constraints from the total pollen assemblages in the ACEX cores based on a nearest
1045 living relative approach suggest Arctic mean annual temperatures on land of 13-18 °C,
1046 and summer temperatures significantly exceeding 20 °C during the PETM and ETM2
1047 (Willard et al., 2019). Although these estimates come with much larger uncertainty than
1048 winter temperatures and may suffer from the non-analogous setting, they are generally
1049 lower than our TEX₈₆ values. The brGDGT-based paleothermometer MBT'_{5me} (De
1050 Jonge et al., 2014) also indicates lower mean annual air temperatures than reported from
1051 TEX₈₆ (Willard et al., 2019, Figure 7). These data, derived from the same UHPLC/MS

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1055 analyses as the isoGDGT data presented here, indicate mean annual air temperatures
1056 averaging ~ 18 °C during the PETM, with a residual mean calibration error of 4.8 °C.
1057 This value is ~ 7 °C lower than earlier estimates based on a slightly different method,
1058 analytical procedure and a smaller modern calibration dataset (Weijers et al., 2007a).

1059

1060 *5.4 State of constraints on Paleocene-Eocene Arctic temperatures*

1061 To unlock the unique premise of Eocene climates for testing the skill of current-
1062 generation fully coupled climate models under high greenhouse gas forcing, proxy data
1063 and models are ideally approached separately. Among the most important implications
1064 of the Arctic temperature estimates are reconstructions of the meridional temperature
1065 gradients. Importantly, not a single simulation using an IPCC-class model of early
1066 Paleogene climate has produced Arctic annual mean sea surface temperatures close to
1067 the ACEX TEX₈₆-based reconstructions without unrealistically high tropical SSTs
1068 (Lunt et al., 2012). Recent simulations using the Community Earth System Model
1069 [\(CESM\) versions 1](#) (Frieling et al., 2017; Cramwinckel et al., 2018) [and 1.2](#) (Zhu et al.,
1070 2019) using Eocene boundary conditions produced climates that correspond to SST
1071 reconstructions in many ocean regions based on several proxies, but still produced
1072 cooler mean annual SSTs for the Arctic Ocean than suggested by TEX₈₆ (Frieling et al.,
1073 2017; Cramwinckel et al., 2018; Zhu et al., 2019). TEX₈₆ also indicates SSTs higher
1074 than in these model simulations at several sites along the Antarctic margin (Bijl et al.,
1075 2009; Bijl et al., 2013). The question thus remains if the conversion of TEX₈₆ values
1076 towards mean annual SST using any modern core-top calibration for high latitude
1077 Paleogene locations is valid, or if the climate models still significantly underestimate
1078 polar temperatures. Certainly, if interpreted as mean annual SST, TEX₈₆-based

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1080 estimates are high compared to the few available additional estimates, notably based on
1081 vegetation, but the latter also suffer from similar uncertainties (e.g., Hollis et al., 2019).
1082 A few biases might ~~lead to underestimates of~~ meridional temperature gradients as
1083 indicated from TEX₈₆. First, the flat Eocene temperature gradient implied by TEX₈₆
1084 was suggested to result from erroneously calibrating the proxy to SST rather than to the
1085 temperature of the subsurface (Ho and Laepple, 2016). The rationale is that the
1086 meridional temperature gradient is smaller in deeper waters than it is in the surface.
1087 However, the idea was contested for multiple reasons, including the fact that sediments
1088 at most Eocene study sites, such as the ACEX site, were deposited at a depth of less
1089 than 200m, making the application of a deep subsurface (>1000m) calibration
1090 inappropriate (Tierney et al., 2017). Moreover, recent analyses have indicated that the
1091 TEX₈₆ signal dominantly reflects temperature of top 200 m of the water column (Zhang
1092 and Liu, 2018).

1093 Secondly, as suggested previously (Sluijs et al., 2006), if TEX₈₆ were biased towards
1094 any season in the non-analogue Arctic Ocean, it would be the summer, the dominant
1095 season of organic matter export towards the seafloor through fecal pelleting or marine
1096 snow aggregates. Vegetation suggests very high winter continental coldest month mean
1097 air temperatures of at least 6-8 °C (Sluijs et al., 2009; Suan et al., 2017; Willard et al.,
1098 2019), coastal coldest month mean SSTs of >13 °C (Suan et al., 2017), and terrestrial
1099 mean annual and warmest month mean temperature on land of 13-21 °C and >20°C,
1100 respectively (Suan et al., 2017; Willard et al., 2019) (see section 5.3.2). These estimates
1101 are closer to the most recent model simulations and lower than the existing TEX₈₆ (e.g.,
1102 Frieling et al., 2017; Zhu et al., 2019). If TEX₈₆-implied SST of ~25 °C is skewed
1103 towards a summer estimate, this would decrease the model-data bias regarding the
1104 meridional temperature gradient estimates. Given the current uncertainties in the use of

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1106 TEX₈₆ for the non-analogue Arctic Ocean, we however cannot independently constrain
1107 this.

1108

1109 **6. Conclusions**

1110 We analyzed isoGDGT and brGMGT (H-shaped brGDGT) distributions in sediments
1111 recovered from the Paleocene-Eocene Thermal Maximum (PETM; ~56 Ma) to Eocene
1112 Thermal Maximum 2 (ETM2; ~54 Ma) interval on Lomonosov Ridge, Arctic Ocean
1113 using state-of-the-art analytical procedures, compare them to the original dataset (Sluijs
1114 et al., 2006; Sluijs et al., 2009) and interpret the results following the currently available
1115 TEX₈₆ proxy constraints.

1116 Although contributions of isoGDGTs from land complicate TEX₈₆ paleothermometry
1117 in some stratigraphic intervals, temperature was the dominant variable controlling
1118 TEX₈₆ values. Background early Eocene SSTs exceed ~20 °C and peak warmth
1119 occurred during the PETM and ETM2. However, uncertainty estimates of these SSTs
1120 based on the non-analogue modern ocean, remains complex. Temperature constraints
1121 from terrestrial vegetation support remarkable warmth in the study section and
1122 elsewhere in the Arctic basin, notably coldest month mean temperatures around 10 °C
1123 at least within the PETM and ETM2. If TEX₈₆-derived SSTs of ~20 °C truly represent
1124 mean annual SSTs, the seasonal range of Arctic SST might have been in the order of
1125 20 °C. If SST estimates are entirely skewed towards the summer season, seasonal
1126 ranges in the order of 10 °C may be considered comparable to those simulated in future
1127 ice-free Arctic Ocean scenarios.

1128 We find abundant brGMGTs, which appear predominantly produced in the marine
1129 realm at the study site. Their abundance increases during the PETM, likely due to sea
1130 level rise and perhaps due to warming and a drop in seawater oxygen concentrations.

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1132 Although speculative, an increase in brGMGT methylation during the PETM may be a
1133 function of temperature, but a relation between brGMGT distribution and
1134 environmental parameters including temperature is yet to be confirmed.

1135

1136 **6. Data Availability**

1137 All data is provided in the Supplement Table and will be included in the PANGAEA
1138 database upon publication of this paper.

1139

1140 **7. Sample Availability**

1141 Requests for materials can be addressed to A.Sluijs@uu.nl

1142

1143 **8. Author Contributions**

1144 AS initiated the study, KGJN generated the data, JF modeled terrestrial contributions
1145 of isoGDGTs based on published information and the new Crenarchaeol data of the
1146 modern peat dataset, which was contributed by GNI. All authors contributed to the
1147 interpretation of the data and AS wrote the paper with input from all authors.

1148

1149 **9. Competing Interests**

1150 The authors declare no competing interests

1151

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1162 (2018b).
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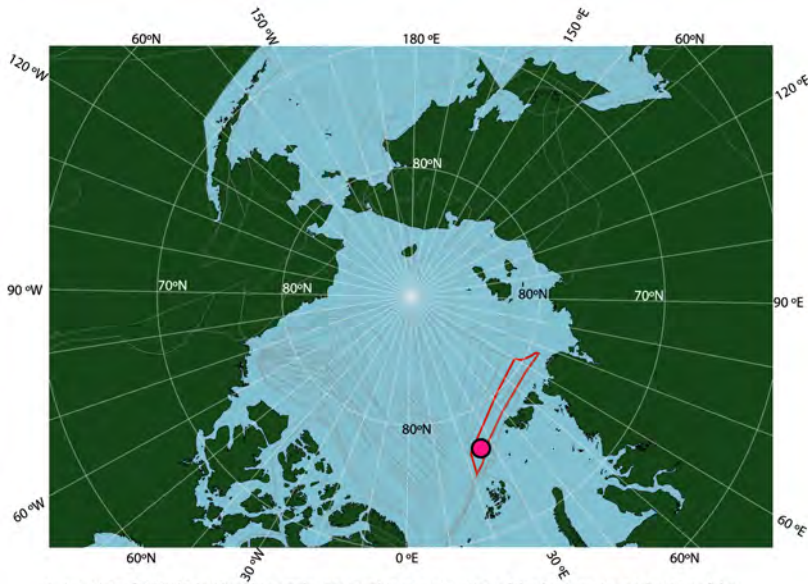
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1711 **Figure 1.** Location of ACEX Hole 4A within a paleogeographic reconstruction of the
1712 Arctic region at the time of the PETM. Reconstruction made using gplates (Müller et
1713 al., 2018), ~~with~~ the tectonic reconstruction of Seton et al. (2012, red shape is
1714 Lomonosov Ridge in this reconstruction and grey lines are structural features including
1715 spreading ridges), the paleomagnetic reference frame of Torsvik et al., (2012), and
1716 modern coastlines.

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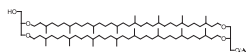
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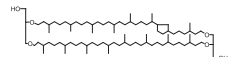
1722 **Figure 2.** Molecular structures of the relevant isoGDGTs, brGDGTs and brGMGTs
 1723 and their terminology as described in this study. Crenarchaeol isomer (not shown)
 1724 differs from Crenarchaeol in the stereochemistry of the cyclopentane moiety adjacent
 1725 to the cyclohexyl moiety (Sinninghe Damsté et al., 2018b). For the terminology of the
 1726 brGMGTs, for which the exact chemical structure is still unclear, we follow Baxter et
 1727 al. (2019), since we identify the same isomers (see Figure S2 for a chromatogram).
 1728

Isoprenoidal GDGTs

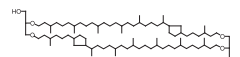
GDGT-0, m/z 1302



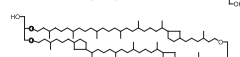
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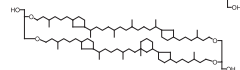
GDGT-2, m/z 1298



GDGT-3, m/z 1296

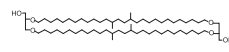


Crenarchaeol, m/z 1292

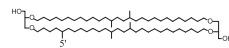


Branched GDGTs

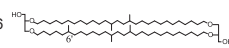
Ia, m/z 1022



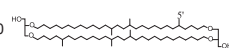
Ila, m/z 1036



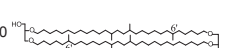
Ila', m/z 1036



Illa, m/z 1050

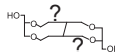


Illa', m/z 1050

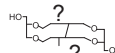


Branched GMGTs

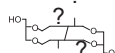
brGMGT H1020, m/z 1020



brGMGT H1034, m/z 1034

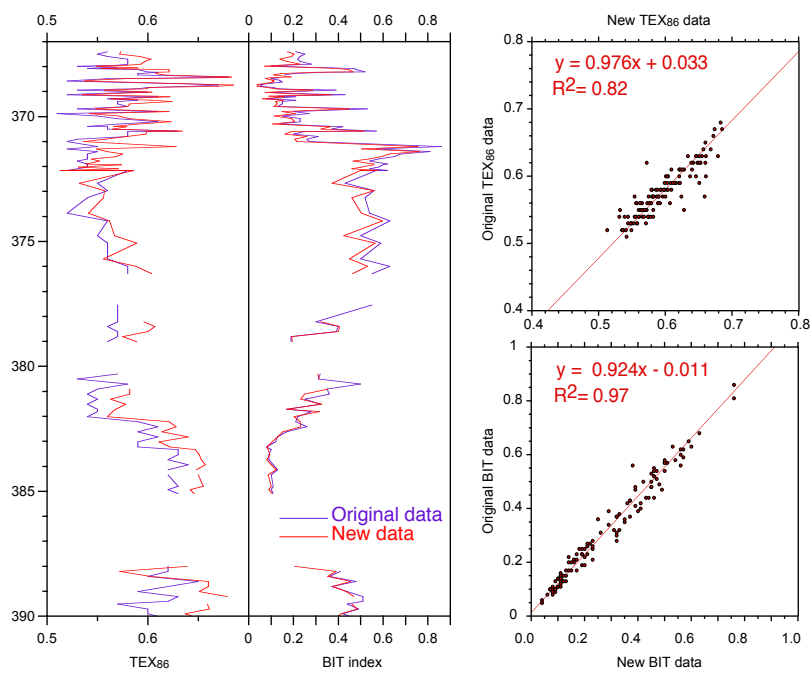


brGMGT H1048, m/z 1048



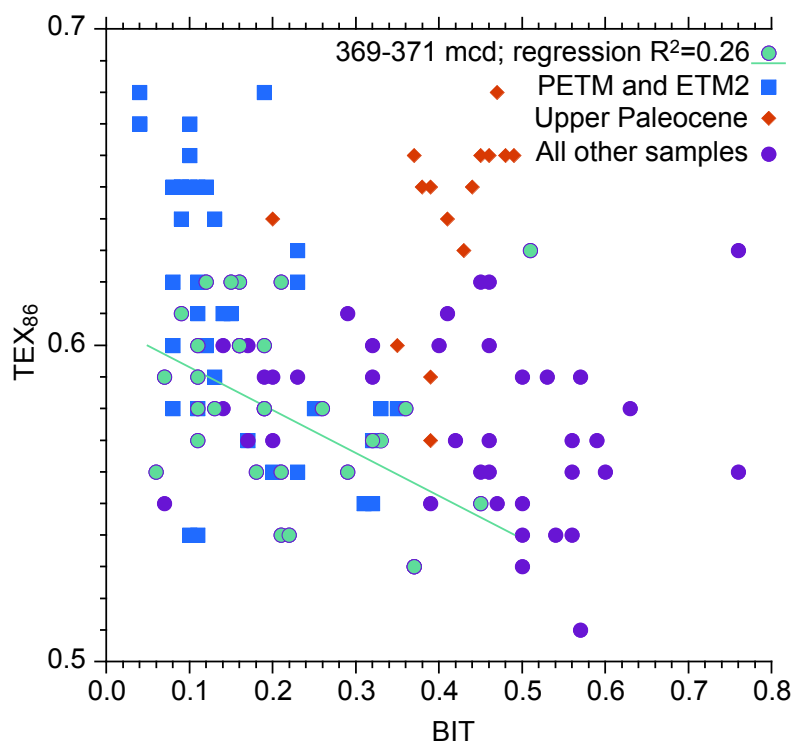
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1731 **Figure 3.** Comparison of the original GDGT dataset of the upper Paleocene and lower
1732 Eocene of ACEX Hole 4A (Sluijs et al., 2006; Sluijs et al., 2009) and the new data
1733 generated according to the latest chromatography protocols.



1734

1735 **Figure 4.** Comparison between BIT index values and TEX_{86} for various intervals
1736 spanning the upper Paleocene and lower Eocene of ACEX Hole 4A.



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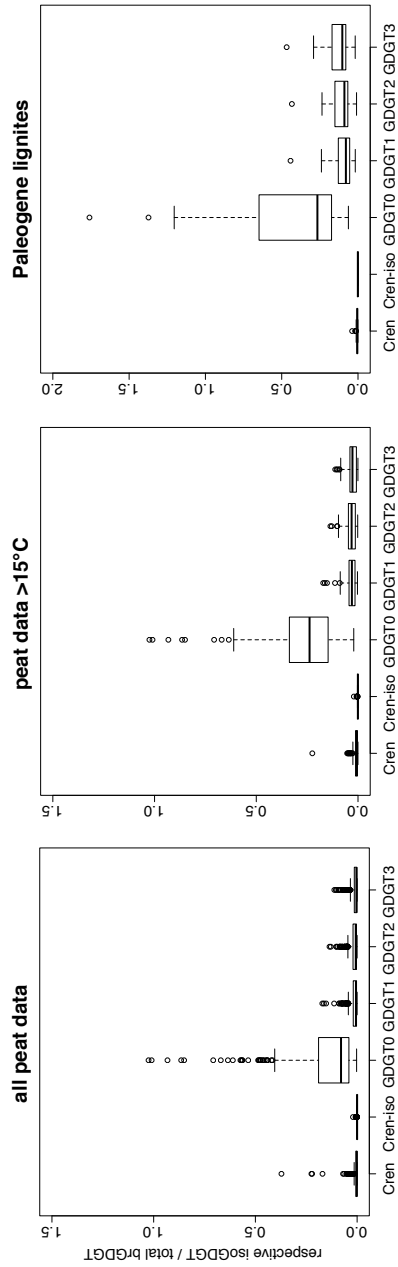


Figure 5. The abundance of various isoGDGTs relative to the total brGDGT abundance in modern peats (left 2 panels) and Paleogene lignites (right panel; Equation 9), used to assess potential isoGDGT contributions to the ACEX samples. The box is standard 25%-50%-75% quantiles, whiskers represent box limits plus/minus 1.5 x the interquartile range (IQR). Any data outside that range is given as circles. Number of measurements per dataset: Modern peats = 473 (most isoGDGTs have been identified in ± 430 of those; Modern peats above 15°C = 141 (all except one of them have isoGDGT data; Lignites = 58 (allof which have isoGDGT data but only 29 have available (quantifiable) crenarchaeol isomer data).

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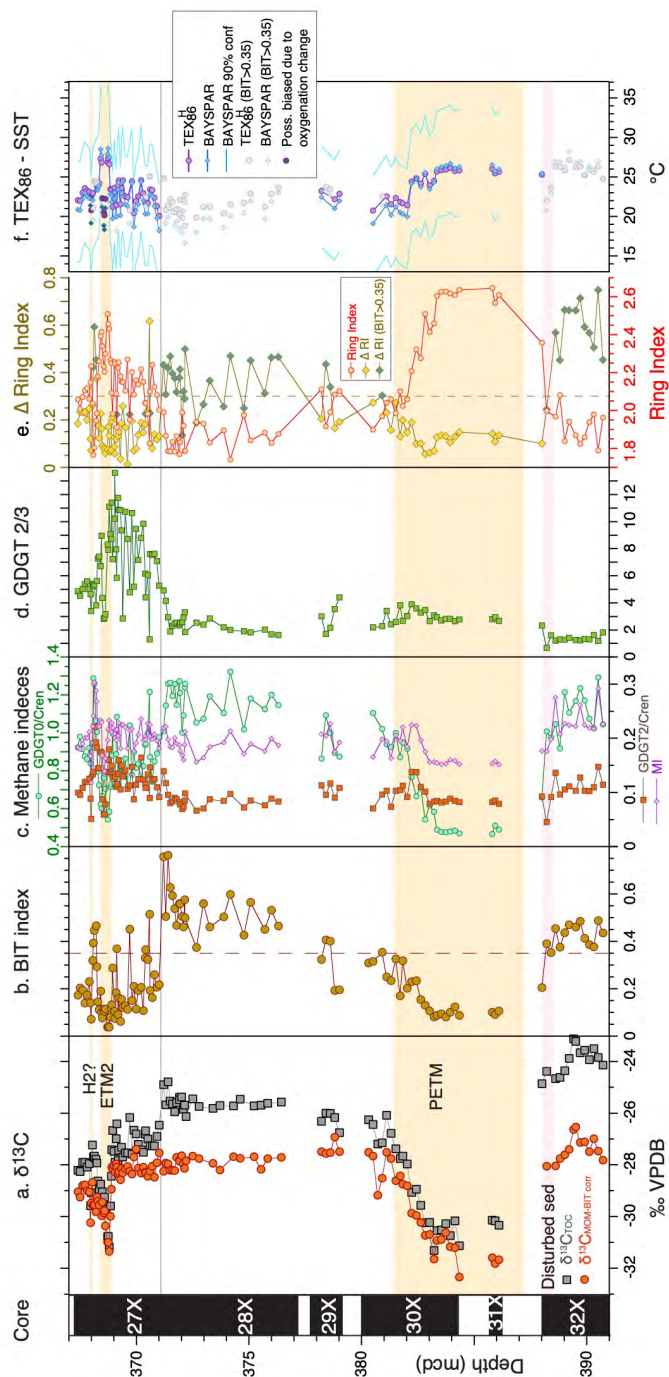


Figure 6. Branched and Isoprenoid GDGT records across the upper Paleocene and lower Eocene of ATEX Hole 4A. a. carbon isotope stratigraphy (total organic carbon record from Sluijs et al., 2006 and 2009; marine organic matter record from Sluijs and Diekens (2012)), b. BIT index (equation 2), c. indices indicative of anaerobic archaeal methanotrophy (MI index (equation 3) and GDGT-2/Crenarchaeol), and methanogenesis (GDGT-0/Crenarchaeol), d. GDGT2-GDGT3 ratio, e. Ring index (equation 5) and Δ Ring Index, f. TEX_{86}^H (equation 1) calibrated to sea surface temperature using a non-linear calibration TEX_{86}^H calibration (Kim et al., 2010) and the BAYSPAR method, which is based on a linear calibration (Tierney and Tingley, 2014).

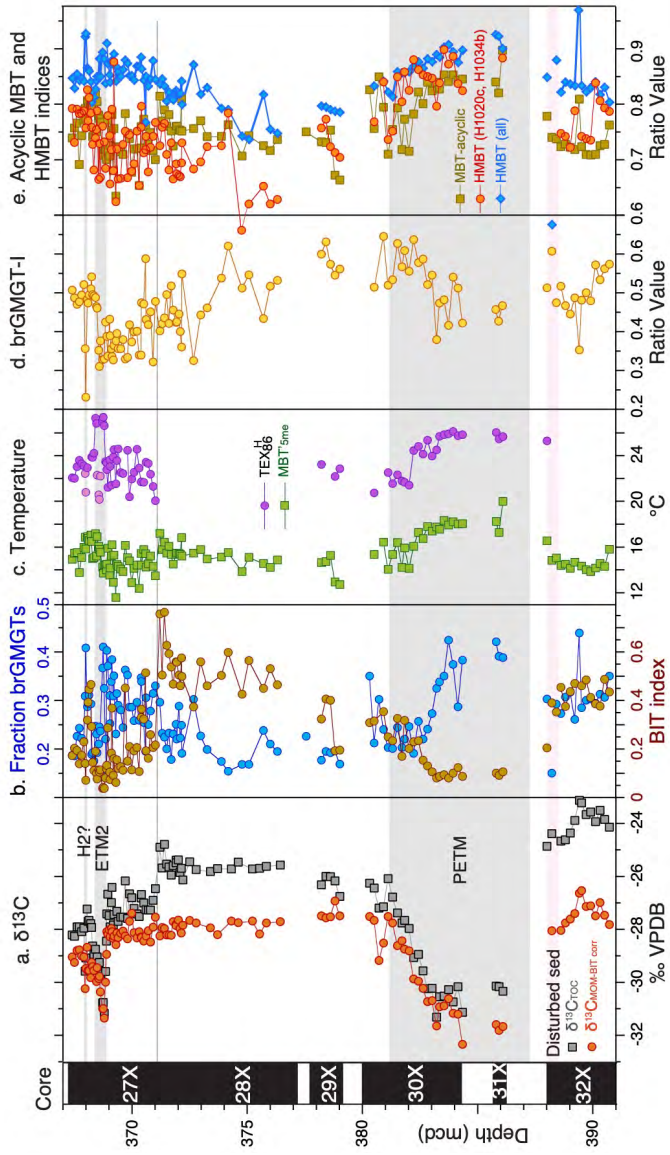


Figure 7. Branched GMGT records across the upper Paleocene and lower Eocene of ACEX Hole 4A. a. carbon isotope stratigraphy (total organic carbon record from Sluijs et al., 2006 and 2009; marine organic matter record from Sluijs and Dickens (2012)), b. fraction of brGMGTs of the total branched GGTs and GMGTs and BIT index (equation 2), c. MBT^{5me} record (Willard et al., 2019) and TEX_{86}^H , d. $MBT_{acyclic}$ (equation 6) and H-MBT based on all isomers detected with m/z 1020 and m/z 1034 (H-MBT all; equation 7) and based on H1020a and H1034b (H-MBT H1020a, H1034c), e. brGMGT-1 record (equation 8).

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