

## 1 **Mid-Pliocene Atlantic Meridional Overturning Circulation simulated in PlioMIP2**

2 Zhongshi Zhang<sup>1</sup>, Xiangyu Li<sup>1</sup>, Chuncheng Guo<sup>2</sup>, Odd Helge Otterå<sup>2,3</sup>, Kerim H. Nisancioglu<sup>4</sup>, Ning Tan<sup>5</sup>,  
3 Camille Contoux<sup>6</sup>, Gilles Ramstein<sup>6</sup>, Ran Feng<sup>7</sup>, Bette L. Otto-Bliesner<sup>8</sup>, Esther Brady<sup>8</sup>, Deepak Chandan<sup>9</sup>,  
4 W. Richard Peltier<sup>9</sup>, Michiel L. J. Baatsen<sup>10</sup>, Anna S. von der Heydt<sup>10</sup>, Julia E. Weiffenbach<sup>10</sup>, Christian  
5 Stepanek<sup>11</sup>, Gerrit Lohmann<sup>11,12</sup>, Qiong Zhang<sup>13</sup>, Qiang Li<sup>13</sup>, Mark A. Chandler<sup>14</sup>, Linda E. Sohl<sup>14</sup>, Alan M.  
6 Haywood<sup>15</sup>, Stephen J. Hunter<sup>15</sup>, Julia C. Tindall<sup>15</sup>, Charles Williams<sup>16</sup>, Daniel J. Lunt<sup>16</sup>, Wing-Le Chan<sup>17</sup>,  
7 Ayako Abe-Ouchi<sup>17</sup>

- 8
- 9 1. Department of Atmospheric Science, School of Environmental studies, China University of Geoscience, Wuhan, 430074, China  
10 2. NORCE Norwegian Research Centre, Bjerknes Centre for Climate Research, 5007 Bergen, Norway  
11 3. Center for Early Sapiens Behaviour, 5007 Bergen, Norway  
12 4. Department of Earth Science and Bjerknes Centre for Climate Research, University of Bergen, 5007 Bergen, Norway  
13 5. Key Laboratory of Cenozoic Geology and Environment, Institute of Geology and Geophysics, Chinese Academy of Sciences,  
14 Beijing 100029, China  
15 6. Laboratoire des Sciences du Climat et de l'Environnement, LSCE/IPSL, CEA-CNRS-UVSQ, Université Paris-Saclay, F-91191  
16 Gif-sur-Yvette, France  
17 7. Department of Geosciences, University of Connecticut, Storrs, USA  
18 8. Climate and Global Dynamics Laboratory, National Center for Atmospheric Research, Boulder, USA  
19 9. Department of Physics, University of Toronto, Toronto, Canada  
20 10. Institute for Marine and Atmospheric research Utrecht (IMAU), Department of Physics, Utrecht University, Utrecht, The  
21 Netherlands.  
22 11. Alfred Wegener Institute – Helmholtz Centre for Polar and Marine Research, Bremerhaven, Germany  
23 12. Institute for Environmental Physics, University of Bremen, Bremen, Germany  
24 13. Department of Physical Geography and Bolin Centre for Climate Research, Stockholm University, Stockholm, Sweden  
25 14. CCSR/GISS, Columbia University, New York, USA  
26 15. School of Earth and Environment, University of Leeds, Woodhouse Lane, Leeds, West Yorkshire, LS29JT, UK  
27 16. School of Geographical Sciences, University of Bristol, Bristol, UK.  
28 17. Atmosphere and Ocean Research Institute (AORI), University of Tokyo, Kashiwa, Japan

29  
30 Correspondence: Zhongshi Zhang(zhongshi.zhang@cug.edu.cn)

## 41 Abstract

42 In the Pliocene Model Intercomparison Project phase 2 (PlioMIP2), coupled climate models have been used  
43 to simulate an interglacial climate during the mid-Piacenzian warm period (mPWP, 3.264 to 3.025 Ma).  
44 Here, we compare the Atlantic Meridional Overturning Circulation (AMOC), poleward ocean heat transport  
45 and sea surface warming in the Atlantic simulated with these models. In PlioMIP2, all models simulate an  
46 intensified mid-Pliocene AMOC. However, there is no consistent response in the simulated Atlantic ocean  
47 heat transport, or the depth of the Atlantic overturning cell. The models show a large spread in the simulated  
48 AMOC maximum, the Atlantic ocean heat transport, as well as the surface warming in the North Atlantic.  
49 Although a few models simulate a surface warming of ~8–12 °C in the North Atlantic, similar to the  
50 reconstruction from Pliocene Research, Interpretation and Synoptic Mapping (PRISM) version 4, most  
51 models appear to underestimate this warming. The large model-spread and model-data discrepancies in the  
52 PlioMIP2 ensemble does not support the hypothesis that an intensification of the AMOC, together with an  
53 increase in northward ocean heat transport, is the dominant **mechanism** for the mid-Pliocene warm climate  
54 **over the North Atlantic**.

## 57 1. Introduction

58 The mid-Piacenzian warm period (mPWP, 3.264–3.025 Ma) was a recent period of sustained warmth in  
59 geological history, with land-sea distribution, topography and levels of greenhouse gases being comparable  
60 to today (Dowsett et al., 2010, 2016; Haywood et al., 2010, 2016a). The estimated global mean temperature  
61 during the mPWP was 2–4°C higher than the pre-industrial (e.g., Dowsett et al., 2010, 2016; Haywood et al.,  
62 2010, 2016a), and the atmospheric CO<sub>2</sub> level was above 400ppmv (Badger et al., 2013). Thus, the mPWP  
63 climate is often thought of as a plausible test case that has the potential to provide insights for our future  
64 climate (e.g., Zubakov and Borzenkova, 1988; Haywood et al., 2016b; Burke et al., 2018).

65 To understand the mPWP climate, the Pliocene Modelling Intercomparison Project (PlioMIP) phase 1  
66 was launched in 2010 (Haywood et al., 2010). The major forcing considered in PlioMIP1 was an increase  
67 (compared to pre-industrial) in the atmospheric CO<sub>2</sub> level to 405 ppmv, combined with a modern land-sea  
68 distribution (Haywood et al., 2013). The PlioMIP1 simulations (e.g., Chan et al., 2011; Bragg et al., 2012;  
69 Contoux et al., 2012; Kamae and Ueda, 2012; Stepanek and Lohmann, 2012; Zhang et al., 2012; Chandler et  
70 al., 2013; Rosenbloom et al., 2013) showed that the global annual mean surface air temperature (SAT) was  
71 1.9–3.6°C warmer than pre-industrial in the multi-model ensemble mean (Haywood et al., 2013), while the

72 strength of Atlantic Meridional Overturning Circulation (AMOC) was similar to the pre-industrial level  
73 (Zhang et al., 2013a). However, when compared to marine (Dowsett et al., 2012, 2013) and terrestrial  
74 reconstructions (Salzmann et al. 2013), there was a large model-data discrepancy (Haywood et al., 2013) in  
75 the North Atlantic and the land realm of the Northern Hemisphere. **The PlioMIP1 simulated surface**  
76 **warming in the North Atlantic is ~4–6°C smaller than the reconstruction.** Because the PlioMIP1 simulations  
77 (Zhang et al., 2013a, 2013b) did not support a stronger Pliocene AMOC (compared to preindustrial) and an  
78 inferred enhancement of Atlantic northward ocean heat transport (OHT) suggested by proxies (Dowsett,  
79 1992; Raymo et al., 1996), it was difficult to explain the reconstructed strong surface warming in the  
80 high-latitude North Atlantic during the mid-Pliocene.

81 To further understand the mPWP climate and to improve upon the model-data discrepancy, the PlioMIP  
82 phase 2 was initiated (Haywood et al., 2016a). PlioMIP2 employs the state-of-the-art boundary conditions  
83 from the Pliocene Research, Interpretation and Synoptic Mapping (PRISM) version 4 (Dowsett et al., 2016a),  
84 and focuses on the KM5c interglacial period (**3.205 Ma**) **during the mPWP** (Haywood et al., 2016a). The  
85 PRISM4 boundary conditions include reconstructed ocean bathymetry and land–ice surface topography, and  
86 also incorporate Pliocene soils and lakes (Dowsett et al., 2016; Haywood et al., 2016a). The most important  
87 change in boundary conditions in the northern high latitudes is the closure of the Arctic gateways, including  
88 the Canadian Archipelago and the Bering Strait (Haywood et al., 2016a). **In PlioMIP2, the simulated** global  
89 annual mean SAT increases by 1.7–5.2°C relative to the pre-industrial, with a multi-model mean SAT  
90 increase of 3.2°C (Haywood et al., 2020). In the Arctic, the simulated annual mean SAT increases by 3.7–  
91 11.6 °C compared to the pre-industrial, with a multi-model mean increase of 7.2 °C (de Nooijer et al., 2020).

92 In this study, we **investigate** the simulated AMOC in PlioMIP2, in order to further address the question  
93 whether an intensified AMOC and enhanced Atlantic OHT can explain the reconstructed North  
94 Atlantic-Arctic sea surface warming during the mPWP. In section 2, we briefly introduce the models **that**  
95 participated in PlioMIP2. In section 3, we compare the simulated AMOC and Atlantic OHT between  
96 PlioMIP1 and PlioMIP2. In section 4, we investigate the relationship between the simulated AMOC  
97 response and changes in North Atlantic SST. Finally, the results are discussed and summarized in section 5.

## 99 **2. Introduction of models used in PlioMIP2**

100 In this study, we analyze simulations with the fifteen models that have participated and provided the  
101 simulated AMOC results to PlioMIP2 (Table 1). All fifteen models have performed simulations according to  
102 the PlioMIP2 experimental protocol (Haywood et al., 2016). They provide the pre-industrial control

103 experiment (*pi-E280*) and the mid-Pliocene experiment (*midPliocene-Eoi400*) as a minimum. In the  
104 mid-Pliocene experiment, a land-sea mask with the Arctic gateways closed and an atmospheric CO<sub>2</sub> level of  
105 400 ppmv are used. The atmospheric CO<sub>2</sub> level is in line with the very latest high-resolution proxy  
106 reconstruction based on Boron isotopes for ~3.2 Ma (Chalk et al. 2018). More details on the individual  
107 models and experimental design are introduced in a recent synthesis study (Haywood et al., 2020) and  
108 several individual modeling studies (Chandan and Peltier, 2017; 2018; Hunter et al., 2019; Chan and  
109 Abe-Ouchi, 2020; Döscher et al., 2020; Feng et al., 2020; Li et al., 2020; Lurton et al., 2020; Stepanek et al.,  
110 2020; Tan et al., 2020). In addition to these fifteen models, MRI-CGCM (Kamae et al., 2016) and  
111 **HadGEM3-GC31-LL** have taken part in PlioMIP2. However, MRI-CGCM and HadGEM3-GC31-LL are not  
112 considered in detail here, because MRI-CGCM did not provide the AMOC results to the PlioMIP2 database,  
113 and **HadGEM3-GC31-LL** did not use the enhanced land-sea distribution condition with the Arctic gateways  
114 closed instead using the modern land-sea distribution. **Note five models come from the CCSM/CESM**  
115 **family in the PlioMIP2 ensemble. To avoid these models taking undue weights in the PlioMIP2 ensemble,**  
116 **median instead of mean values are used in this study.**

117 Of the fifteen PlioMIP2 models used here, six of them also took part in PlioMIP1. They are CCSM4,  
118 COSMOS, HadCM3, IPSL-CM5A-LR, MIROC4m and NorESM-L. **However, all these six models have**  
119 **submitted new pre-industrial control experiments to the PlioMIP2 database.** CCSM4 has also been employed  
120 in a modified form by other modelling groups and referred to herein as CCSM4-UoT and **CCSM4-Utrecht.**  
121 Therefore, the pre-industrial AMOC maximums and depths in PlioMIP2 are slightly different to the values  
122 in PlioMIP1.

### 123 124 **3. Simulated AMOC and OHT**

#### 125 **3.1 Simulated AMOC in PlioMIP2**

126 The PlioMIP2 models produce reasonable simulations for the pre-industrial AMOC. The pre-industrial  
127 modelled AMOC maximums (the maximum of the Atlantic meridional overturning streamfunction) range  
128 from ~10 to 28 Sv (1 Sv = 10<sup>6</sup> m<sup>3</sup> s<sup>-1</sup>; Table 1, Fig. 1). The multi-model median value of the AMOC  
129 maximums is 19.8 Sv, which is comparable to the **observational AMOC strength** of 18.7 ± 2.1 Sv **at 26.5°N**  
130 (Kanzow et al. 2010). The depths of the Atlantic overturning cell range from 2300 m to 3800 m.

131 In PlioMIP2, the models show that the maximum AMOC is enhanced by 1% to 53% in the  
132 mid-Pliocene, relative to the pre-industrial (Table 1, Fig. 1). The median value of the enhancement in  
133 maximum AMOC is 19%. Seven models (CCSM-UoT, COSMOS, GISS-E2-1-G, HadCM3,

IPSL-CM5A-LR, IPSL-CM5A2-LR, IPSL-CM6A-LR) show small changes in the mean depth of AMOC cell (the mean depth of positive streamfunction) in the mid-Pliocene (with depth changes of less than 100 m), when compared to the pre-industrial. However, five models, CCSM4, CESM1.2, CESM2, EC-Earth3-LR and MIROC4m, simulate a shoaling of the Atlantic overturning cell for the mid-Pliocene, with a shoaling of ~1190m, ~1330m, ~820m, ~350 m and ~440 m. On the other hand, three models, CCSM4-Utrecht, NorESM1-F, and NorESM-L, simulate a deeper mid-Pliocene Atlantic overturning cell with increases in the depth of ~540m, ~1590 m and ~1330 m (Fig. 1, 2).

Compared to PlioMIP1 (Zhang et al., 2013a), the simulated AMOC responses to Pliocene boundary conditions are different in PlioMIP2 (Fig. 2). In PlioMIP1, there was no consistent increase in the maximum strength of the AMOC, while there was a consistent shoaling of the Atlantic overturning cell. However, in PlioMIP2, there is a consistent increase in the maximum strength of the AMOC, while there is no consistent change in the depth of Atlantic overturning cell.

### 3.2 Simulated Atlantic OHT in PlioMIP2

As expected from the intensified AMOC, most models simulate an enhanced Atlantic OHT (averaged between 30°S and 80°N) in the mid-Pliocene experiments relative to the pre-industrial (Table 1, Fig. 3). The increases range from 4% to 39%. The largest enhancement is found in the simulation with IPSL-CM5A2-LR, while the smallest one is simulated with NorESM1-F. In contrast, six models, CCSM4, CESM1.2, CESM2, GISS-E2-1-G, MIROC4m and NorESM-L show a decrease (ranging from -1% to -17%) in Atlantic OHT.

Obviously, there is no linear relationship between the intensification in AMOC and the changes in mean Atlantic OHT in the PlioMIP2 simulations (Fig. 2b). For example, GISS-E2-1-G and IPSL-CM6A-LR both simulate increases of 24% in the AMOC maximum. However, GISS-E2-1-G shows a decrease in mean Atlantic OHT by -1%, while IPSL-CM6A-LR shows an increase of 29%. CCSM4 and CCSM4-Utrecht also show the same increase of 11% in the AMOC maximum, but opposite responses in the mean Atlantic OHT. This large model-spread in PlioMIP2 suggests that the relationship between AMOC strength and Atlantic northward OHT are highly model-dependent.

### 4. Simulated North Atlantic sea surface warming

In PlioMIP2, the simulated mid-Pliocene global annual mean SST is between 1.2 and 4.0 °C warmer than the pre-industrial. Most models show that the strongest sea surface warming appears in the mid-to-high latitude North Atlantic (Fig. 4, 5). The median of multi-model ensemble shows that SST increases by ~2-8 °C

165 in the North Atlantic between 30°N and 80°N (Fig. 6). The largest increase in ensemble median by 6-8 °C  
166 appears in the Labrador Sea south of Cape Farewell (the southernmost point of Greenland). EC-Earth3-LR  
167 simulates the largest increase in the North Atlantic SST above 12°C in the mid-Pliocene experiment (Fig. 4).

168 However, the SST increases in the North Atlantic (averaged between 30°N and 80°N) in response to the  
169 changes in AMOC maximum and North Atlantic OHT (averaged between 30°N and 80°N) are highly  
170 model-dependent (Fig. 5). Of the fifteen PlioMIP2 models, eleven models simulate a mean SST increase  
171 between 2 and 4 °C in the North Atlantic. The ranges of the changes in AMOC maximum (from 1% to 53%)  
172 and mean North Atlantic OHT (from -13% to 43%) are large. Meanwhile, EC-Earth3-LR produces an  
173 increase of ~8 °C in mean North Atlantic SST, which is associated with an intensification of 3.2 Sv (19%) in  
174 the AMOC maximum and an enhancement of 0.16 PW (41%) in the mean North Atlantic OHT.  
175 CCSM4-UoT, CCSM4-Utrecht, and CESM2 produce a similar increase of ~5 °C in the mean North Atlantic  
176 SST, while the intensification in AMOC maximum shows a large range covering 0.9 Sv (4%), 2.1 Sv (11%),  
177 and 4.7 Sv (21%), whereas the mean North Atlantic OHT changes by 0.06 PW (9%), 0.04 PW (6%), -0.02  
178 PW (-4%).

179 In PlioMIP2, the surface warming simulated with CCSM4-UoT, CCSM4-Utrecht, CESM2 and  
180 EC-Earth3-LR is close to or warmer than the PRISM4 reconstructions (Foley and Dowsett, 2019) in the  
181 North Atlantic between 30°N and 80°N, whereas the other models still **appear to** underestimate the North  
182 Atlantic SST (Fig. 6). A previous study (Otto-Bliesner et al., 2017) showed that the closing of the Arctic  
183 gateways led to warmer North Atlantic SSTs in the mid-Pliocene experiment, when compared to the  
184 pre-industrial. However, in all PlioMIP2 simulations analyzed here the Arctic gateways are closed, but not  
185 all of them simulate the warm North Atlantic SSTs as reconstructed in the PRISM4 data set (Foley and  
186 Dowsett, 2019). Although the Arctic gateways may lead to a better agreement between simulated and  
187 reconstructed mid-Pliocene North Atlantic SSTs in some models, the effect is either not present for all of the  
188 models or it is not of sufficient amplitude to fully resolve the model-data discord. The PlioMIP2 models  
189 show a larger model-spread in the simulated mid-Pliocene SST increases in the high-latitude North Atlantic,  
190 as well as the responses in AMOC and North Atlantic OHT, relative to PlioMIP1. This reduced agreement is  
191 not surprising as the model spread in global average surface temperatures is likewise more pronounced in  
192 PlioMIP2 (1.86–3.60 °C in PlioMIP1 (Haywood et al., 2013) compared to 1.7–5.2 °C in PlioMIP2  
193 (Haywood et al., 2020).

## 194 195 **5. Discussion and summary**

196 Compared to the PlioMIP1 ensemble in which the Arctic gateways were kept open, all PlioMIP2  
197 models forced with the PRISM4 reconstructions that **consider** the closed Arctic gateways simulate an  
198 intensification in the mid-Pliocene AMOC. CCSM4, COSMOS, HadCM3, IPSL-CM5A-LR, MIROC4m  
199 and NorESM-L have all **participated** in both PlioMIP1 and PlioMIP 2. These six models simulate an increase  
200 (compared to the pre-industrial) in the mid-Pliocene AMOC maximum that is larger in PlioMIP2 than in  
201 PlioMIP1, supporting the hypothesis that closed Arctic gateways is a requirement for the intensification of  
202 the mid-Pliocene AMOC. There are several further lines of evidence that support this hypothesis.  
203 **HadGEM3-GC31-LL**, which carried out the mid-Pliocene experiment forced with the PlioMIP2 boundary  
204 conditions, except with the land-sea distribution condition identical to the pre-industrial, produces a weaker  
205 mid-Pliocene AMOC (with a maximum of **14.3 Sv**) compared to the pre-industrial (with a maximum of 16.1  
206 Sv). With COSMOS, a sensitivity experiment forced with the modern land-sea distribution (the Arctic  
207 gateways opened) also shows a weaker AMOC, when compared to the core mid-Pliocene simulation  
208 (Stepanek et al., 2020). As revealed in the earlier study (Otto-Bliesner et al., 2017), the closed Arctic  
209 gateways lead to a stronger AMOC by inhibiting Arctic freshwater export to the North Atlantic. However,  
210 the magnitude of intensification in AMOC due to the closed Arctic gateways seems highly model-dependent.  
211 **Some simulations suggest that the AMOC is enhanced by ~2 Sv due to the closed Bering Strait (Brierley and**  
212 **Fedorov, 2016; Otto-Bliesner et al., 2017), while some unpublished simulations in PlioMIP2 show much**  
213 **larger responses. Without consistent sensitivity experiments for the Arctic gateways,** it remains difficult to  
214 reveal the range of model-spread on the gateways' impacts in PlioMIP2. This model-dependence will be  
215 addressed in more dedicated sensitivity experiments in the future.

216 In PlioMIP2, the large-model spread does not support the notion that **an** intensified mid-Pliocene  
217 AMOC is the principal mechanism responsible for the simulated warming of the North Atlantic SSTs.  
218 Compared to CCSM4, both **CCSM4-UoT and CCSM4-Utrecht (Table 1) simulate warmer SSTs in the North**  
219 **Atlantic**, suggesting that the increased background ocean vertical mixing parameters likely contribute to the  
220 strong mid-Pliocene North Atlantic warming simulated with these two models. Each model's climate  
221 sensitivity also influences the simulated mid-Pliocene warming in PlioMIP2. For example, relative to  
222 CCSM4 and CESM1.2, CESM2 has a greater equilibrium climate sensitivity (Feng et al., 2020; Haywood et  
223 al., 2020) and simulates the strongest North Atlantic warming in the mid-Pliocene experiment. **With the**  
224 **modern land-sea distribution conditions, HadGEM3-GC31-LL simulates a weakened mid-Pliocene AMOC,**  
225 **but very warmer SSTs in the North Atlantic as well as an increase in the mid-Pliocene global mean SST**  
226 **(SAT) of 3.8°C (5.1°C) relative to the pre-industrial, which is the second largest warming in PlioMIP2 (Fig.**



4). Moreover, a new lake and soil condition is employed in PlioMIP2 (Haywood et al., 2016). Methods for modifying the soil condition and their impacts on climate in the models are highly model-dependent, due to the large variety of land surface schemes included in the PlioMIP2 models, which could further amplify the diversity of warming signals in high latitude regions. Since not all models carried out the sensitivity experiments designed in PlioMIP2, it remains difficult to distinguish which change in boundary conditions is more dominant for the strong mid-Pliocene North Atlantic surface warming. Earlier studies (e.g., Feng et al., 2017) have noticed that the North Atlantic warming is not a unique feature in many mid-Pliocene simulations, since the warming in the North Pacific is also remarkable (Fig 4). This inter-basin symmetry suggests a potentially important component of the zonal mean polar amplification of the SST warming across the North Atlantic. Energy balance analyses (Hill, 2014; Feng et al., 2017) show that amplified zonal mean northern high latitude warming is dominated by regional radiative feedbacks from lowered surface albedo and enhanced high latitude greenhouse effect (from changes in water vapor), even with an enhanced AMOC by gateway closure.

It should be noted that observations of strong high-latitude warming in the North Atlantic are not sufficient to constrain the strength of AMOC or OHT (Zhang et al., 2013b). The AMOC strength measures the contrast in water transport between the upper and lower branches of the Atlantic cells, but the OHT is also influenced by the contrast in water temperature as well as the depth of AMOC. Moreover, OHT can be decomposed into a (vertical) MOC component and a (horizontal) gyre component. While the MOC component dominates in most of the Atlantic region, the gyre component has a comparable magnitude in the subpolar region (Williams et al., 2015). Therefore, there is no one-to-one correspondence between AMOC and OHT, especially in the subpolar regions. Furthermore, the SST warming pattern is not entirely determined by OHT, as demonstrated by the simulations both in PlioMIP1 and PlioMIP2.

Nevertheless, the PlioMIP2 experiments simulate a sea surface warming that is in better agreement with the PRISM4 reconstructions (Foley and Dowsett, 2019) in the North Atlantic, relative to the PlioMIP1 ensemble. As shown in the synthesis paper by Haywood et al. (2020), the multi-model means (with equal weight for each model) agree well with the reconstructions at the North Atlantic Sites 609, 1308, and show only small differences from the reconstructions at Sites 982, 642. The comparison between the PlioMIP2 simulations and the SST reconstructions in the KM5c interglacial (McClymont et al., 2020) also demonstrates the reduced model-data discord.

However, the improved model-data agreement in the North Atlantic is primarily caused by the relatively warm mid-Pliocene simulations run with EC-Earth3-LR and the five models from the



258 CCSM/CESM family (Fig. 6). For the other models, the range of warming at these sites is similar to that of  
259 PlioMIP1. This large model-spread suggests that the reconstructed strong mid-Pliocene sea surface warming  
260 in the North Atlantic is not necessarily caused by the intensified AMOC and enhanced Atlantic northward  
261 OHT as suggested previously (Dowsett, 1992; Raymo et al., 1996). Even given the intensified AMOC in  
262 PlioMIP2 due to the closed Arctic gateways, most models produce the mid-Pliocene North Atlantic sea  
263 surface warming weaker than the PRISM4 reconstruction (Foley and Dowsett, 2019).

264 Although the model-data discrepancy is reduced in the North Atlantic partly due to the intensified  
265 AMOC, the model-data mismatch remains large in **other** regions in PlioMIP2, for example Sites 1081, 1082,  
266 1084, 1087 in the Benguela upwelling region (Fig. 6). The PRISM4 (Foley and Dowsett, 2019) and other  
267 syntheses of Pliocene SST (Fedorov et al., 2013, McClymont et al., 2020) **reconstruct** that the SSTs are  
268 about 6–8 °C warmer than today in the Benguela upwelling region. All PlioMIP2 models underestimate this  
269 warming in the PlioMIP2 (Fig. 6). Even EC-Earth3-LR, which produces the warmest mid-Pliocene  
270 simulation in the North Atlantic, only simulates 2–4 °C sea surface warming in the Benguela upwelling  
271 region.

272 A major feature of the mid-Pliocene seems to be the large increase in SST (about 2–10 °C) in the  
273 mid-latitude coastal upwelling regions and the relatively smaller increases in SST (about 2–4 °C) in the mid-  
274 to high latitudes (Fedorov et al., 2013) compared to the pre-industrial, though some studies suggest that SST  
275 reconstructions in upwelling regions are highly proxy-dependent (e.g., Leduc et al., 2014). For example, in  
276 the Benguela upwelling region, the Mg/Ca-based SST is colder than the alkenone-based SST by ~3-10 °C  
277 (Leduc et al., 2014). In the California upwelling region, Foley and Dowsett (2019) show that the Pliocene  
278 SST is similar to today, whereas Fedorov et al. (2013) show the regional SST is about 2-8 °C warmer than  
279 today. Despite the uncertainties in reconstructions, the simulated warming in the mid-latitude upwelling  
280 regions in PlioMIP2 can be found in the low end of the proxy-estimated range. Realistic simulations in  
281 upwelling regions require good model-abilities in simulating large-scale ocean stratification and sea surface  
282 wind stress (Miller and Tziperman, 2017; Li et al., 2019), which are partly model-resolution dependent in  
283 both **atmosphere and ocean** models (Gent et al., 2010; Small et al., 2015).

284 Taken together, these model-data discrepancies make it difficult to associate the intensified AMOC and  
285 enhanced Atlantic northward OHT with the reconstructed high mid-Pliocene SSTs. Fedorov et al. (2013)  
286 have suggested a possible mechanism for understanding the warm SSTs during the mPWP. Increased mixing  
287 in the subtropical ocean and reduced extratropical cloud albedo cause a strong warming in the mid-latitudes,  
288 including some upwelling regions. In PlioMIP2, CCSM4-UoT and CCSM4-Utrecht have considered

289 increasing the ocean background mixing parameters, but no model has tested the impact of a reduction of the  
290 extratropical cloud albedo in the mid-Pliocene experiments. This mechanism can be further addressed in  
291 future to investigate whether it is a suitable candidate for improving the simulation for upwelling regions.

292 Furthermore, it remains problematic to use the intensified AMOC to explain other features of the  
293 mid-Pliocene ocean circulation. During the mPWP, the vertical and meridional  $\delta^{13}\text{C}$  gradients are reduced in  
294 the Atlantic. This can be explained with the increased ventilation in the Southern Ocean and does not  
295 necessarily depend on an intensified AMOC (Zhang et al., 2013b). However, simulations of Southern Ocean  
296 dynamics are highly model-dependent (Zhang et al., 2013a). In addition to the Southern Ocean, the Pliocene  
297 deep ocean circulation in the North Pacific appears different to the present day. In the subarctic North  
298 Pacific, high accumulation rates of calcium carbonate and biogenic opal suggest a strong deep convection  
299 there, thus the existence of North Pacific deep-water formation and a Pacific meridional overturning  
300 circulation (PMOC, Burls et al., 2017). However, with an intensified AMOC, a PMOC remains absent in the  
301 PlioMIP2 simulations.

302 In summary, all fifteen coupled models in PlioMIP2 used in this study simulate an intensified  
303 mid-Pliocene AMOC, relative to the pre-industrial. The simulated AMOC maximum (the maximum of the  
304 Atlantic meridional overturning streamfunction) increases by between 1% to 53%. However, these models  
305 do not simulate a consistent change in the depth of the Atlantic overturning cell and the Atlantic OHT. The  
306 spread in the responses of AMOC and Atlantic OHT in the models becomes larger in PlioMIP2, when  
307 compared to PlioMIP1. In the North Atlantic, EC-Earth3-LR and the models from the CCSM/CESM family  
308 can simulate an SST increase ( $\sim 8\text{--}12\text{ }^\circ\text{C}$ ) close to the PRISM4 reconstruction, while other models appear to  
309 underestimate the sea surface warming. In PlioMIP2, the model-data discrepancy is reduced in the North  
310 Atlantic, but the discrepancy remains large in the upwelling regions. The large model-spread and the  
311 remaining model-data discrepancy suggests that an intensified AMOC and an enhanced Atlantic northward  
312 OHT cannot explain the reconstructed warm climate of the mid-Pliocene surface oceans.

#### 314 **Data availability**

315 Complete data for PlioMIP2 is available upon request from Alan M. Haywood (a.m.haywood@leeds.ac.uk) to access the  
316 PlioMIP2 database. PlioMIP2 data from CESM2, EC-Earth3-LR, GISS-E2-1-G, IPSL-CM6A-LR and NorESM1-F can be  
317 obtained from the Earth System Grid Federation (ESGF) (<https://esgf-node.llnl.gov/search/cmip6/>, last access:3 December 2020,  
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#### 320 **Author contributions**

321 Z.Z. and X.L. analysed the data and wrote the draft of the paper. All authors contributed to discussion of the results and writing of  
322 the paper.

323

## 324 **Competing interests**

325 The authors declare that they have no conflict of interest.

326

## 327 **Special issue statement**

328 This article is part of the special issues “PlioMIP Phase 2: experimental design, implementation and scientific results” and  
329 “Paleoclimate Modelling Intercomparison Project phase 4 (PMIP4) (CP/GMD inter-journal SI)”. It is not associated with a  
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365

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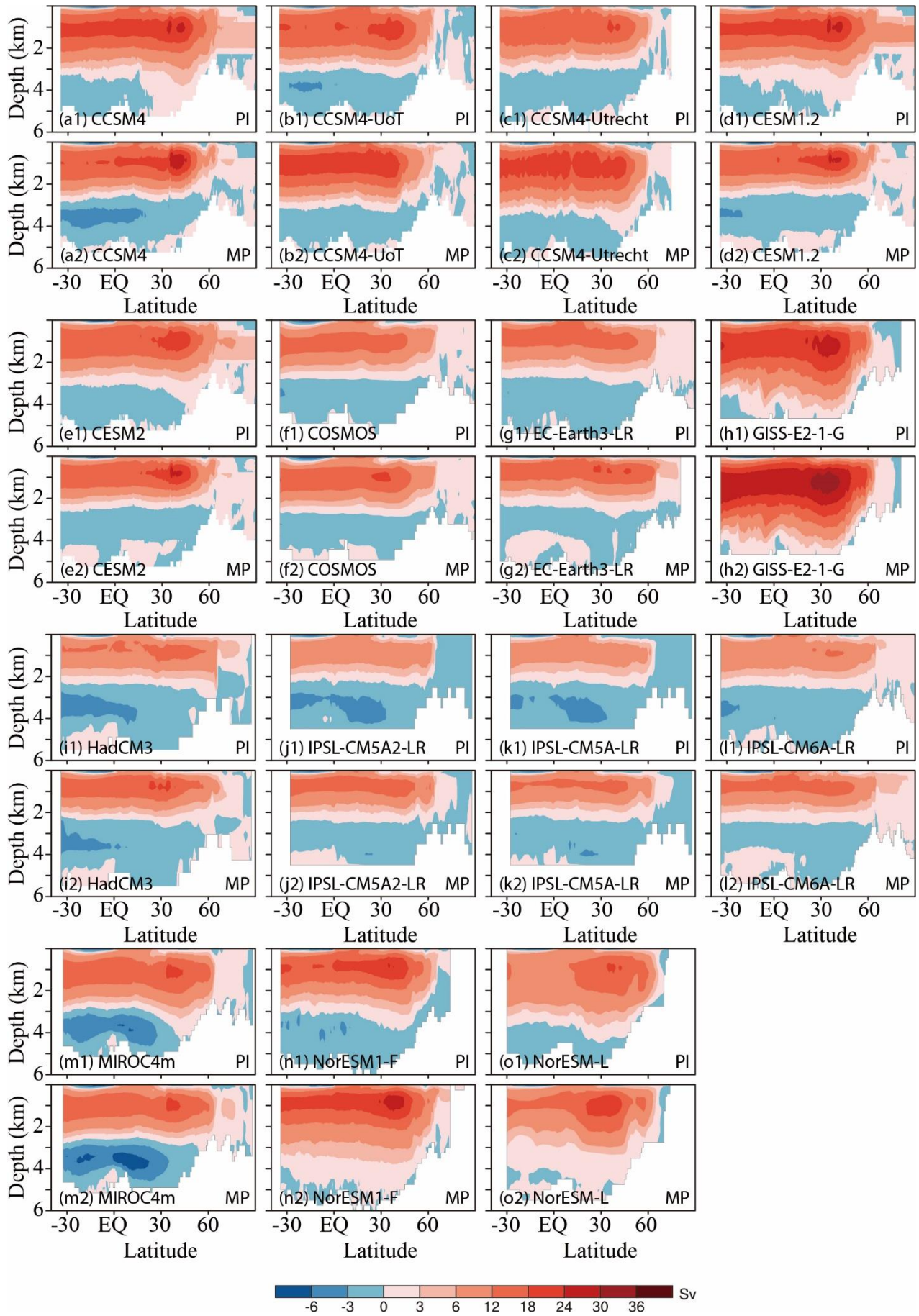
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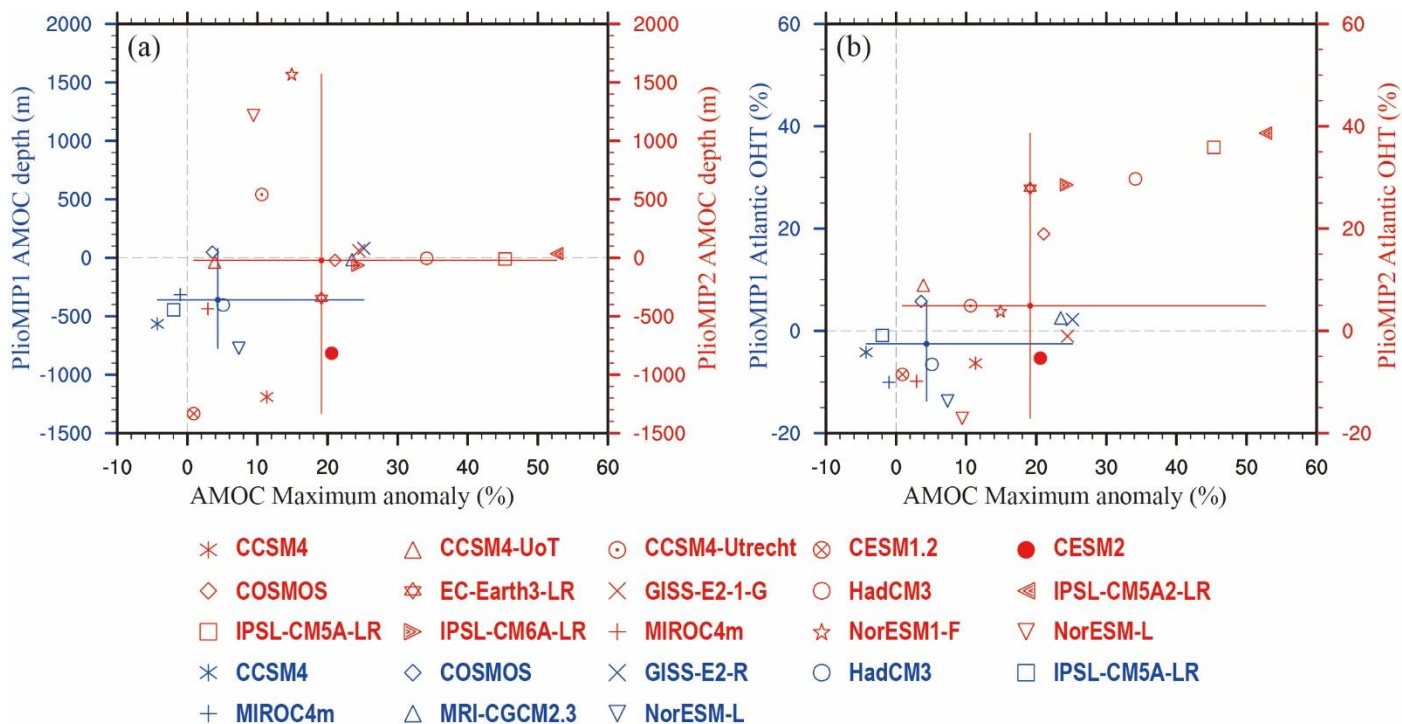




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**Fig. 1. The simulated AMOC (unit: Sv) in PlioMIP2.** PI means the pre-industrial. MP means the mid-Pliocene.

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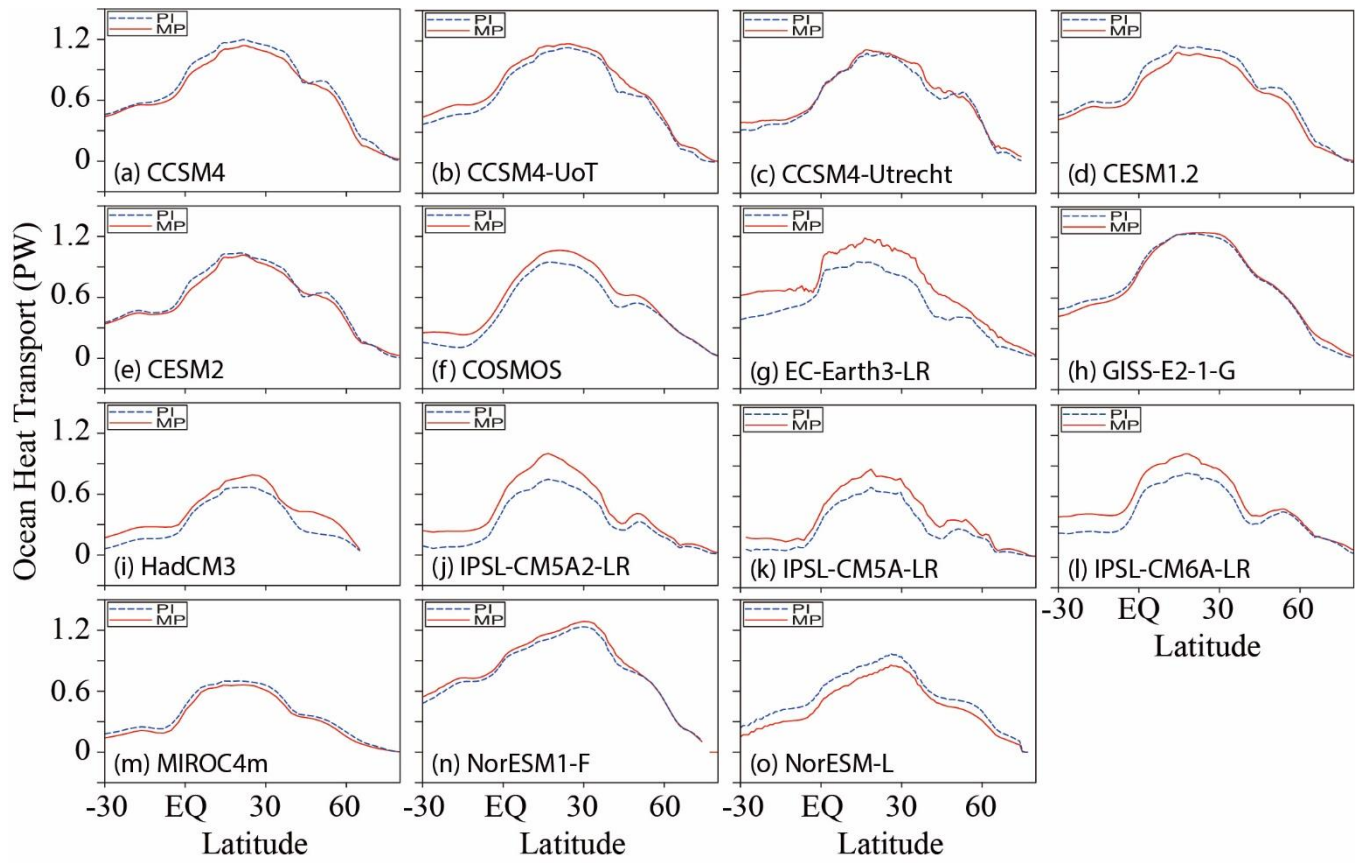
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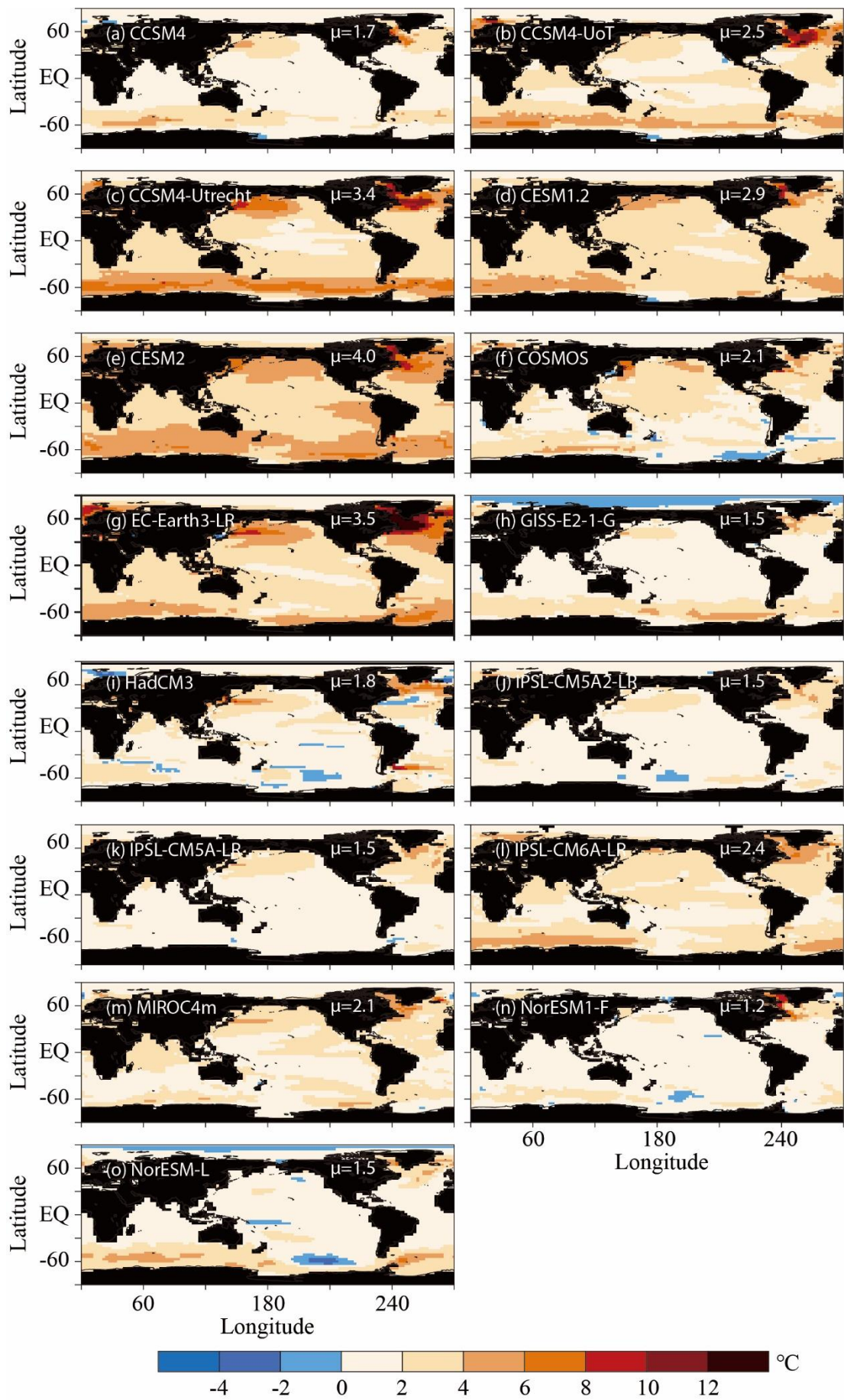
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**Fig. 2. Simulated changes in AMOC maximum, depth and Atlantic northward OHT.** (a) Changes in AMOC maximum (unit: %) vs. responses in the mean depth of AMOC cell (unit: m). (b) Changes in AMOC maximum (unit: %) vs. responses in the mean ocean heat transport in Atlantic between 30 °S and 80 °N (unit: %). The blue markers show the PlioMIP1 simulations. The red markers show the PlioMIP2 simulations. The vertical and horizontal lines show the model range, while the intersection of these lines indicates the median value. **Note only the mean values of AMOC maximum, depth and Atlantic northward OHT for each model are used here to calculate the anomalies, significant tests based on time serials are not employed.**



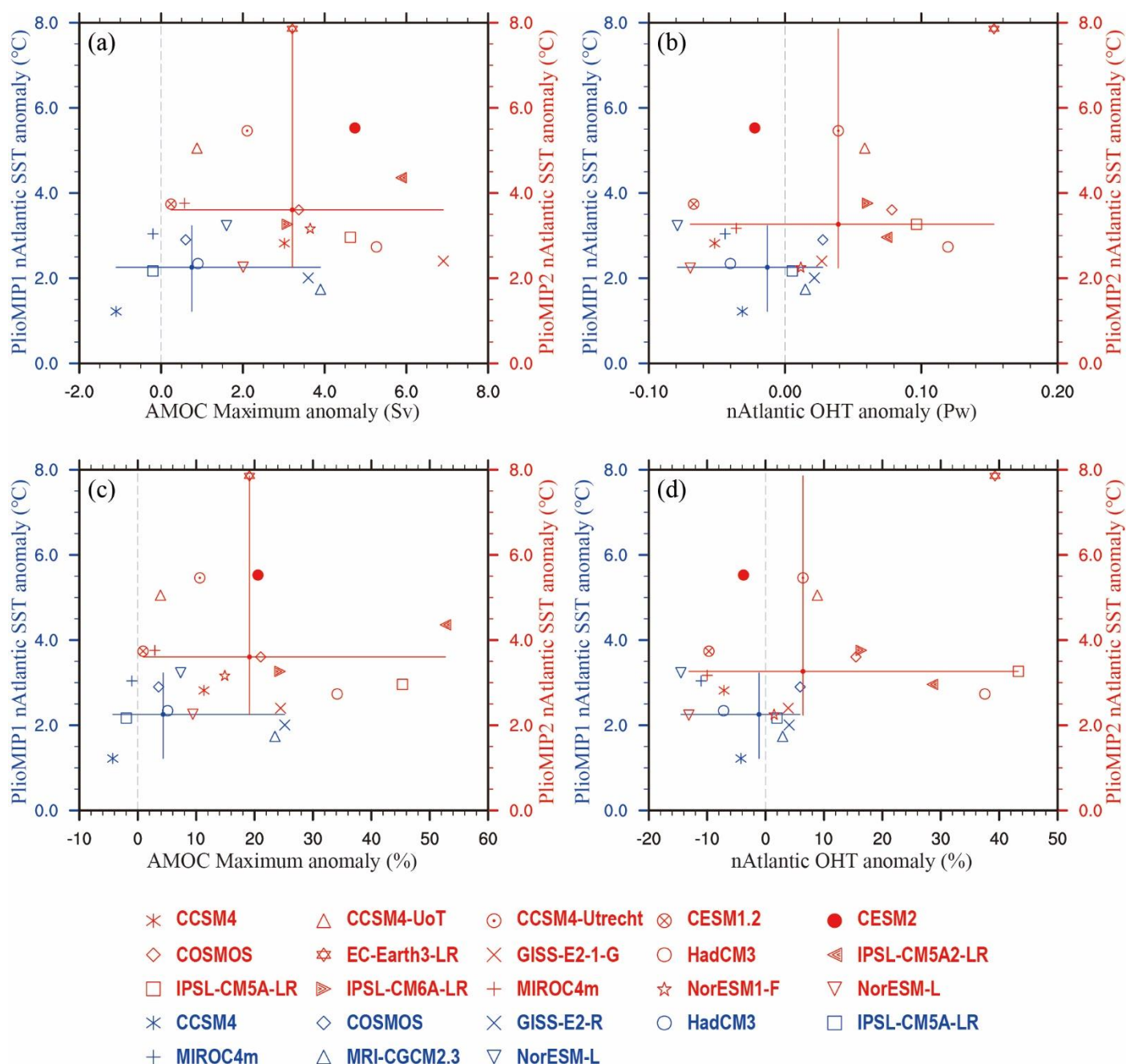
551  
 552 **Fig. 3. Simulated Atlantic poleward oceanic heat transport in the PlioMIP2 (unit: PW).** Blue dashed  
 553 lines show the pre-industrial, and red solid lines show the mid-Pliocene.  
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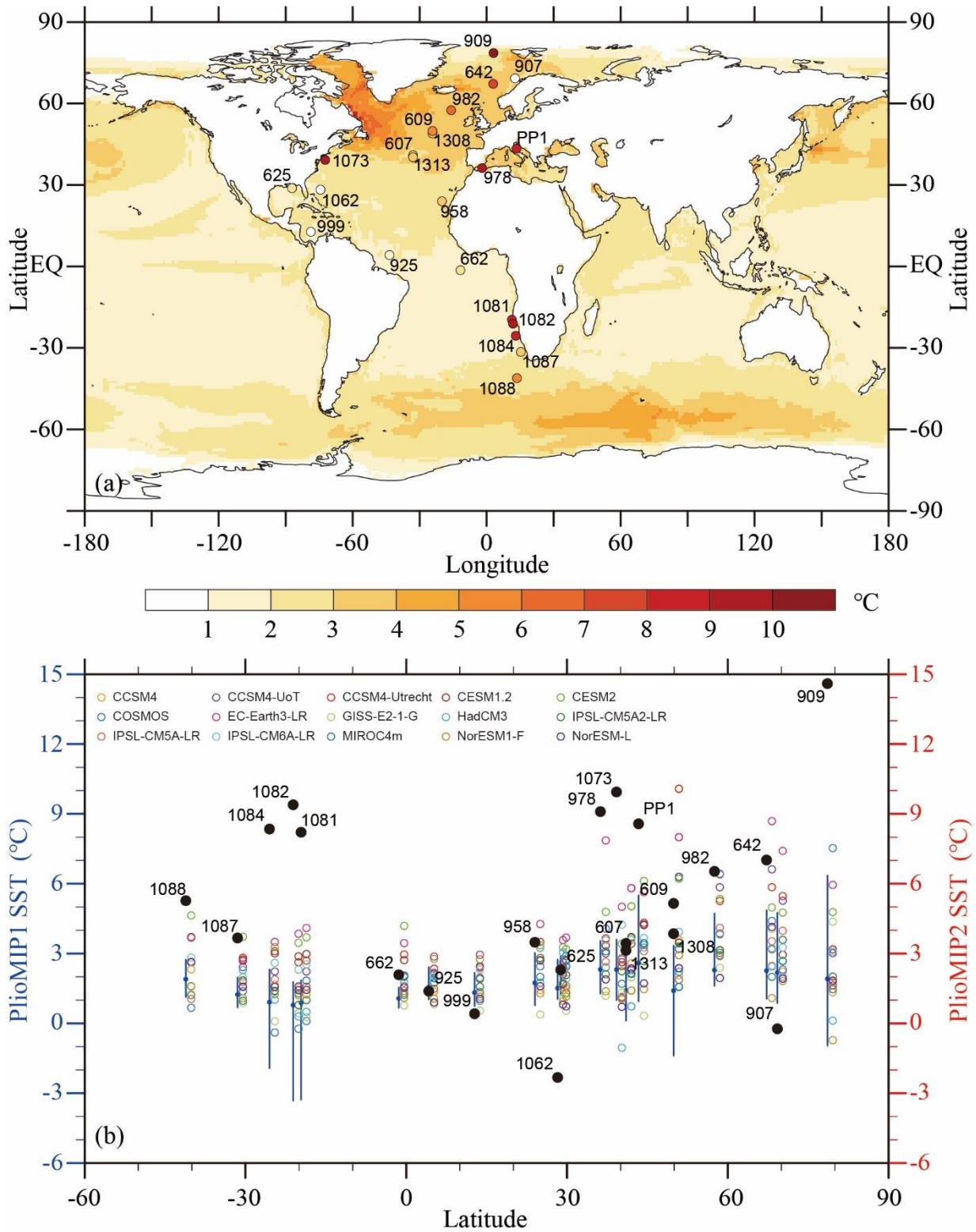
557 **Fig. 4. Simulated mid-Pliocene annual SST anomalies in PlioMIP2 (units:  $^{\circ}\text{C}$ ).  $\mu$  means the global**  
 558 **mean.**  
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**Fig. 5. Simulated changes in AMOC maximum, North Atlantic OHT, and responses in high-latitude North Atlantic SST.** The North Atlantic OHT is the averaged value between 30 °N and 80 °N (unit: Pw). The high-latitude North Atlantic includes the Atlantic and Greenland-Iceland-Norwegian (GIN) seas between 30 °N and 80 °N. **Note only the mean values of AMOC maximum, North Atlantic OHT and SST for each model are used to calculate the anomalies, significant tests based on time serials are not employed.**



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**Fig. 6. PlioMIP2 and PRISM4 SST comparison in the Atlantic.** (a) PRISM4 SST anomalies and data sites in the Atlantic and the Mediterranean, against with the multi-model ensemble median of SST anomalies (the mid-Pliocene vs. the pre-industrial) in PlioMIP2 (unit: °C). (b) Black dots show the PRISM4 SST anomalies (unit: °C). Vertical blue lines and dots show the PlioMIP1 ranges and median values of changes in SST for each site. Colored markers show SST changes simulated by each model in the PlioMIP2. The PRISM4 SST anomalies are calculated based on the PRISM4 mid-Pliocene reconstructions (3.19–3.22 Ma, Foley and Dowsett, 2019) and the modern observation (1870–1899, Rayner et al., 2003).



581 **Table 1. Comparison of PlioMIP2 models.**

Model ID	Ocean resolution Lat. × Long.	Background vertical/diapycnal mixing	I. length/mean (years)		Max AMOC		OHT*	OHT**	Reference	
			PI	MP	PI	MP	(%)	(%)		(%)
CCSM4	0.27–0.54°×1.1°, L60 depth	default KPP scheme <sup>#</sup> . k= 0.16 cm <sup>2</sup> s <sup>-1</sup> and latitudinally-varying	>1000/100	1100/100	26.6	29.6	11	-7	-6	Feng et al., 2020
CCSM4-UoT	0.27–0.54°×1.1°, L60 depth	modified KPP scheme <sup>§</sup> , identical k for PI and MP, k from 0.16×10 <sup>-4</sup> to 1×10 <sup>-4</sup> m <sup>2</sup> s <sup>-1</sup> and depth dependent	4630/30	1250/30	22.6	23.5	4	9	9	Chandan, et al., 2017,2018
CCSM4-Utrecht	0.27–0.54° ×1.1°, L60 depth	modified KPP scheme <sup>§</sup> , uniform k= 0.16 cm <sup>2</sup> s <sup>-1</sup> for PI, but k from 0.1 to 1cm <sup>2</sup> s <sup>-1</sup> depth dependent for MP	3100/100	2048/100	19.8	21.9	11	6	5	Baatsen et al., 2020, in prep.
CESM1.2	0.27–0.54° ×1.1°, L60 depth	default KPP scheme	>1000/100	1200/100	26.7	27.0	1	-10	-9	Feng et al., 2020
CESM2	0.27–0.54° ×1.1°, L60 depth	default KPP scheme with Langmuir parameterization	1200/100	1500/100	23.0	27.8	21	-4	-5	Feng et al., 2020
COSMOS	~3.0° ×1.8°,L40 depth	k = 0.105 cm <sup>2</sup> s <sup>-1</sup>	1950/100	1950/100	16.0	19.4	21	15	19	Stepanek et al., 2020
EC-Earth3-LR	1.0° ×1.0°, L75 depth	k = 0.12 cm <sup>2</sup> s <sup>-1</sup>	1500/100	1600/100	16.8	20.0	19	39	28	Zhang et al., 2020
GISS-E2-1-G	1°×1.25°, L32 depth	KPP with nonlocal fluxes, k = 0.10 cm <sup>2</sup> s <sup>-1</sup>	5000/100	3100/100	28.2	35.1	24	4	-1	
HadCM3	1.25° ×1.25°, L20 depth	k = 0.10 cm <sup>2</sup> s <sup>-1</sup>	2999/100	2499/100	15.4	20.7	34	38	30	Hunter et al., 2019
IPSL-CM5A2-L R	0.5–2° ×2°, L31 depth	function of turbulent kinetic energy	1500/100	3480/100	11.1	17.0	53	29	39	Tan et al., 2020



IPSL-CM5A-LR	0.5–2° × 2°, L31 depth	function of turbulent kinetic energy	>800/100	3680/100	10.2	14.8	45	43	36	Tan et al., 2020
IPSL-CM6A-LR	1.0° × 1.0°, refined at 1/3° in the tropics, L75 depth	turbulent kinetic energy scheme and an energy-constrained parameterization of mixing due to internal tides	1100/100	1450/100	12.7	15.8	24	16	29	Lurton et al., 2020
MIROC4m	0.56–1.4° × 1.4°, L43 sigma/depth	k from 0.10 to 3 cm <sup>2</sup> s <sup>-1</sup> , latitudinally varying	2220/100	3000/100	19.6	20.2	3	-10	-10	Chan et al., 2020
NorESM1-F	~1.0° × 1.0°, L53 sigma	k = 0.10 cm <sup>2</sup> s <sup>-1</sup> , latitudinally varying	2000/100	500/100	24.5	28.1	15	1	4	Li et al., 2020
NorESM-L	~3.0° × 3.0°, L32 sigma	k = 0.10 cm <sup>2</sup> s <sup>-1</sup> , latitudinally varying	2200/100	1200/100	21.3	23.3	9	-13	-17	Li et al., 2020

582 \* North Atlantic ocean heat transport between 30°N and 80°N.

583 \*\* Atlantic ocean heat transport between 30°S and 80°N.

584 # KPP (K-Profile Parameterization) scheme parameterizes boundary layer mixing and internal diabatic mixing by convection, shear instability, internal waves, tides, and double diffusion.

585 \$ KPP parameterization, but with the overflow parameterization and the tidal mixing switched off

586 CESM2, EC-Earth3-LR, GISS-E2-1-G and IPSL-CM6A-LR take part in the Coupled Model Intercomparison Project (CMIP) phase 6.