

1 **Climatic variations during the Holocene inferred from**
2 **radiocarbon and stable carbon isotopes in a high-alpine cave**

3 Caroline Welte^{1,2}, Jens Fohlmeister^{3,4}, Melina Wertnik^{1,2}, Lukas Wacker¹, Bodo Hattendorf⁵,
4 Timothy I. Eglinton², Christoph Spötl⁶

5 ¹ Laboratory of Ion Beam Physics, ETHZ, Otto-Stern Weg 5, 8093 Zurich, Switzerland,

6 ² Geological Institute, ETHZ, Sonnegstrasse 5, 8092 Zurich, Switzerland

7 ³ Potsdam Institute for Climate Impact Research, Telegrafenberg, 14473 Potsdam, Germany

8 ⁴ GFZ German Research Centre for Geosciences, Section 'Climate Dynamics and Landscape Development', 14473
9 Potsdam, Germany

10 ⁵Laboratory of Inorganic Chemistry, D-CHAB, ETHZ, Vladimir-Prelog Weg 1, 8093 Zurich, Switzerland

11 ⁶Institute of Geology, University of Innsbruck, Innrain 52f, 6020 Innsbruck, Austria

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13 **Keywords:** radiocarbon, stable carbon isotopes, LA-AMS, speleothems, high-alpine cave

14 *Correspondence to:* Caroline Welte: cwelte@phys.ethz.ch, Jens Fohlmeister: jens.fohlmeister@pik-potsdam.de

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16

17 **Abstract**

18 Rapid and continuous analysis of radiocarbon (^{14}C) concentration in carbonate samples at very high spatial
19 resolution has been made possible with the new LA-AMS (laser ablation accelerator mass spectrometry) technique.
20 This novel approach can provide radiocarbon data at a spatial resolution similar to that of stable carbon (C) isotope
21 measurements by isotope ratio mass spectrometry (IRMS) and, thus, can help to interpret $\delta^{13}\text{C}$ signatures, which
22 otherwise are difficult to understand due to numerous processes contributing to changes in C-isotope ratio. In this
23 work, we analyzed $\delta^{13}\text{C}$ and ^{14}C on the Holocene stalagmite SPA 127 from the high-alpine Spannagel Cave
24 (Austria). Both proxies respond in a complex manner to climate variability. Combined stable carbon and
25 radiocarbon profiles allow to identify three growth periods characterized by different $\delta^{13}\text{C}$ signatures: (i) the period
26 8.5 to 8.0 ka BP is characterized by relatively low $\delta^{13}\text{C}$ values with small variability combined with a comparably
27 high radiocarbon reservoir effect (expressed as dead carbon fraction, dcf) of around 60%. This points towards C
28 contributions of host rock dissolution and/or from an “old” organic matter (OM) reservoir in the karst potentially
29 mobilized due to the warm climatic conditions of the early Holocene. (ii) Between 8 and 3.8 ka BP a strong
30 variability in $\delta^{13}\text{C}$ reaching values from -8 to +1‰ with a generally lower dcf was observed. The $\delta^{13}\text{C}$ variability
31 is most likely caused by changes in gas exchange processes in the cave, which are induced by reduced drip rates
32 as derived from reduced stalagmite growth rates. Additionally, the lower dcf indicates that the OM reservoir
33 contributed less to stalagmite growth in this period possibly as a result of reduced meteoric precipitation or because
34 it was exhausted. (iii) In the youngest section between 3.8 and 2.4 ka BP, comparably stable and low $\delta^{13}\text{C}$ values
35 combined with an increasing dcf reaching up to 50% again hint towards a contribution of an aged OM reservoir in
36 the karst. This study reveals the high potential of combining high-resolution ^{14}C profiles in speleothems with $\delta^{13}\text{C}$
37 records in order to disentangle climate-related C dynamics in karst systems.

38 **1 Introduction**

39 Understanding the climate of the past is the key for understanding how climate and environment will change in
40 the future. Insights into paleoclimate are gained through the study of archives with stalagmites being a prominent
41 example for a terrestrial archive. Stalagmites can grow continuously over thousands to tens of thousands of years
42 (Cheng et al., 2016; Fairchild et al., 2006; Moseley et al., 2020). Caves hosting stalagmites are present on all
43 continents except Antarctica and uranium-series disequilibrium dating allows to build robust chronologies
44 (Richards and Dorale, 2003; Scholz and Hoffmann, 2008). Trace-element and stable isotope data of stalagmites
45 allow the reconstruction of climatic conditions in the past. For example, the oxygen isotope composition ($\delta^{18}\text{O}$) is
46 generally interpreted as a combination of a temperature and a meteoric precipitation signal (Lachniet, 2009;
47 Wackerbarth et al., 2010). The interpretation of the stable carbon isotope signature ($\delta^{13}\text{C}$), however, is more
48 challenging since additional local effects, such as vegetation changes (e.g., Bar-Matthews et al., 1999; Denniston
49 et al., 2007; Fohlmeister et al., 2020), the carbonate dissolution mechanism (e.g., Fohlmeister et al., 2010b;
50 Lechleitner et al., 2016), and in-cave fractionation processes (e.g., Matthey et al., 2016; Spötl et al., 2005) may have
51 an influence and little is known about the relative magnitude of these processes. Besides the stable C isotopes,
52 radiocarbon (^{14}C) decaying with a half-life of ~ 5700 yrs (Kutschera, 2013) can be a valuable tool in speleothem
53 research (e.g., Bajo et al., 2017; Lechleitner et al., 2016). So far, this isotope has not been fully exploited in
54 speleothem science, mostly due to the time-consuming sampling and processing as well as the comparably high
55 costs associated with these analyses. However, recently both issues have been considerably improved by invention

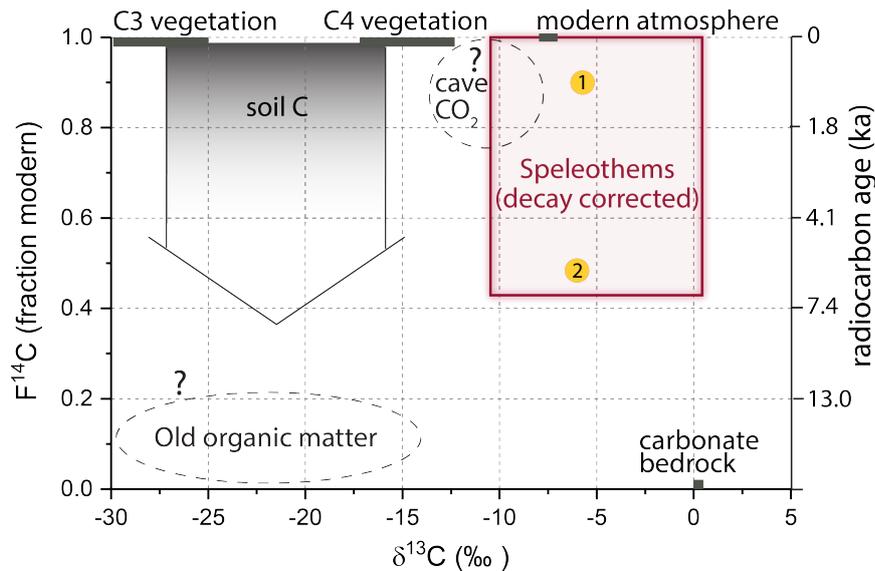
56 (Welte et al., 2016a; Welte et al., 2016b) and advances in laser ablation coupled to accelerator mass spectrometry
57 (LA-AMS; Welte et al., 2017; Yeman et al., 2020), which can be well applied to carbonate material.

58 We investigated a stalagmite that grew in the Spannagel cave system (Tyrol, Austria; Spötl et al., 2004) by means
59 of C isotope systematics. This high-alpine cave system was investigated in many studies in the context of
60 palaeoclimate and palaeoenvironmental research mostly using O isotopes and growth phases as proxies
61 (e.g., Fohlmeister et al., 2013; Spötl and Mangini, 2010). The gneiss covering the cave-bearing marble contains
62 interspersed fine-crystalline pyrite and is topped by a thin soil layer with sparse vegetation. It was hypothesized
63 that the oxidation of pyrite contributes considerably to the dissolution of the host-rock marble and, hence, to the
64 growth of stalagmites and flowstones, in particular during cold climate periods when there is no soil present at this
65 high altitude (Spötl and Mangini, 2007). During some interglacials including the Holocene, when Alpine soils are
66 present in the catchment of the cave's drip water, sulfide oxidation and soil-derived CO₂ may operate in tandem.
67 Consequently, the stable C isotope signal of stalagmites from this cave is expected to be complex.

68 The aim of this study is to gain deeper insights into the climatically driven C dynamics in this cave by high spatially
69 resolved ¹⁴C analyses of a Holocene stalagmite. This study takes advantage of the recently introduced method of
70 LA-AMS (Welte et al., 2016a; Welte et al., 2016b), which reaches a similar spatial resolution as micro-milling for
71 stable isotope analysis (e.g., Spötl and Matthey, 2006). Using the combined ¹⁴C and δ¹³C records measured in this
72 study as well as the previously published δ¹⁸O signal (Fohlmeister et al., 2013), we explore the key processes
73 influencing the carbon isotope composition of speleothems in this cave and gain a better understanding of the
74 potential and limits of ¹⁴C analysis of carbonates using LA-AMS.

75 **2 Radiocarbon and dead carbon fraction**

76 In most karst systems, dissolution of the carbonate host rock is driven by soil-derived carbonic acid. In this case,
77 the two major soil-derived C sources contributing to the δ¹³C values of the speleothem are pedogenic CO₂ from
78 the degradation of soil organic matter (SOM) and root respiration that acidifies the meteoric water as it percolates
79 through the soil. Recently, evidence was found for a potential additional C source stemming from CO₂ derived
80 from the oxidation of “old” organic matter (OM) in the deep vadose zone (Bergel et al., 2017; Noronha et al.,
81 2015). The water charged with carbonic acid then dissolves the host rock CaCO₃. All of those C-pools have
82 different characteristics with respect to their stable and radiocarbon isotope signatures (Fig. 1).



83

84 *Figure 1: Carbon isotopic signatures of carbon-bearing reservoirs in karst systems. Yellow circles mark speleothem-C resulting*
 85 *from different processes: 1. CaCO₃ dissolution via soil CO₂-derived carbonic acid. 2. Deep OC contribution to seepage water*
 86 *feeding speleothems (adapted from Fairchild and Baker (2012)).*

87 When working with radiocarbon in speleothems it is important to determine the reservoir effect (Genty and
 88 Massault, 1997). If a radiocarbon-independent chronology for the stalagmite exists, the reservoir effect, which is
 89 often termed dead carbon fraction (dcf), can be derived through comparison of the measured ¹⁴C profile in the
 90 stalagmite ($F^{14}C_{stal}$) with the ¹⁴C atmosphere's signature ($F^{14}C_{atm}$) of the same time (Genty and Massault (1997)):

91
$$dcf = \left(1 - \frac{F^{14}C_{stal}}{F^{14}C_{atm}}\right) \cdot 100\% \quad (1)$$

92 Values for dcf range from a few % up to 70% (Bajo et al., 2017; Southon et al., 2012) and commonly vary within
 93 a single speleothem with time (Bajo et al., 2017; Noronha et al., 2014; Therre et al., 2020). The magnitude of the
 94 dcf is influenced by multiple factors, such as the age of soil OM, contributing to soil gas CO₂ production
 95 (Fohlmeister et al., 2011b) and consequently altering the ¹⁴C concentration in the stalagmite. Also the CO₂ partial
 96 pressure (pCO₂) in the soil plays an important role, with a complex relationship between the amount of soil gas
 97 pCO₂ and the dcf (Fohlmeister et al., 2011b). Additionally, the conditions of karst dissolution, i.e. open vs. closed
 98 system (Fohlmeister et al., 2011a; Hendy, 1971), affect the dcf. In a more open system, the dcf is low because the
 99 percolating water can continuously exchange C isotopes with the soil gas CO₂ leading to a ¹⁴C concentration in
 100 the stalagmite that is dominated by the near-atmospheric soil ¹⁴C signature (Southon et al., 2012). In a more closed
 101 system, this exchange is inhibited with the extreme case being a completely closed system, where for each mole
 102 of carbonic acid one mole of CaCO₃ is dissolved resulting in a dcf of up to 50%. Fractionation and gas exchange
 103 processes in the cave are also potential candidates for modulation of the dcf. These main factors driving the dcf in
 104 turn are influenced by numerous parameters such as hydrological and environmental conditions above the cave.
 105 Several studies (e.g., Bajo et al., 2017; Fohlmeister et al., 2010a; Griffiths et al., 2012; Lechleitner et al., 2016;
 106 Noronha et al., 2014) showed that during periods of increased rainfall the dcf in the stalagmite is enhanced. A
 107 likely explanation is a shift towards more closed-system conditions (Table 1) under higher meteoric precipitation
 108 regimes. It was argued, that under more humid (arid) conditions the pore spaces in soils are clogged with (devoid
 109 of) water, leaving less (more) opportunity for C-exchange processes between dissolved inorganic C species and
 110 soil gas CO₂ (Fohlmeister et al., 2010).

111 An increasing number of cave systems have been reported where carbonate dissolution occurs even if no
 112 significant soil exists above the cave, indicating climatic conditions less suited for the existence of vegetation
 113 cover. Acidic conditions in the seepage water are achieved via oxidation of pyrite or other sulfide minerals
 114 disseminated in the bedrock (Bajo et al., 2017; Lauritzen, 2001; Spötl et al., 2016). In this case the C isotope
 115 composition in the drip water is dominated by the bedrock, and the dcf is therefore expected to be relatively high
 116 (>50%). Under those conditions the $\delta^{13}\text{C}$ values of the speleothems reflect those of the (marine-derived) bedrock,
 117 i.e. are shifted closer to 0‰ compared to lower $\delta^{13}\text{C}$ values of speleothem CaCO_3 of around -12 to -10‰ for cave
 118 systems with a soil and vegetation cover. An overview of relevant processes as well as the resulting dcf and $\delta^{13}\text{C}$
 119 are summarized in Table 1.

120 *Table 1 Simplified summary of expected $\delta^{13}\text{C}$ (assuming C3 vegetation cover) and dcf values in stalagmite CaCO_3 for*
 121 *different dominant processes. In many karst systems various combinations of these processes complicate the interpretation.*

Process		Expected $\delta^{13}\text{C}$ (‰)	Expected dcf (%)
Carbonate dissolution via carbonic acid	open-system	< -10‰	Comparably low, i.e. around 10%
	closed-system	> -10‰	Comparably high, i.e. close to 50%
Carbonate dissolution via oxidation of pyrite		Close to 0‰	Very high, i.e. > 50%
“Old” OM contribution to seepage water acidification		< -10‰	Shift towards higher values (> 50% possible)

122

123 3 Materials & Methods

124 3.1 Sample

125 Spannagel cave is located in the Tux Valley (47.08028°N, 11.67167°E; Zillertal Alps, western Austria) and opens
 126 at 2531 m above sea level. It forms a more than 12 km-long system of galleries and short shafts, which developed
 127 in a Jurassic marble tectonically overlain by gneiss. This superposition does not only allow for high-precision U-
 128 series dating of stalagmites due to their relatively high U contents, but also gives rise to carbonate dissolution via
 129 sulfuric acid stemming from pyrite oxidation. The thin alpine soil provides an additional pedogenic source of
 130 acidity and the interplay between the two processes is reflected by highly variable stable C isotope values as well
 131 as dcf in Spannagel speleothems. Stalagmite SPA 127 was found in the eastern part of the cave system, which was
 132 never ice-covered during the Holocene (Fohlmeister et al., 2013). The stalagmite grew from 8.45 to 2.24 ka BP
 133 with an average growth rate of approximately 25 $\mu\text{m}/\text{a}$ as confirmed by nine U/Th-ages (Fohlmeister et al., 2013).
 134 There is no macro- and microscopic evidence for the existence of hiatuses in this specimen. Further evidence for
 135 the absence of hiatuses is provided by two additional speleothems, SPA 12 and SPA 128, from the same cave are
 136 partly coeval with SPA 127. These additional speleothems have a higher dating density in parts, where SPA 127
 137 has only a few radiometric U-Th dating points and also do not show evidence of hiatuses (Fohlmeister et al., 2013).
 138 In combination with the well replicated stable O isotope signals we are confident that the growth of SPA 127 was
 139 not affected by hiatuses.

140 The 15 cm-long polished slab of the stalagmite analyzed in this study was first used for stable oxygen and carbon
 141 isotope analysis where sampling was performed along the extension axis. For LA-AMS analysis, the same section

142 was used but broken in two pieces at a distance from top (dft) of approximately 10 cm, which will be referred to
143 as “top piece” and “bottom piece”.

144 1. Stable isotope analysis

145 Subsamples for stable carbon isotope analysis were micromilled at 100 μm increments and measured using an
146 automated online carbonate preparation system linked to a triple collector gas source mass spectrometer
147 (Delta^{plus}XL, ThermoFisher, Bremen, Germany) at the University of Innsbruck. Values are reported relative to the
148 Vienna Pee Dee Belemnite standard. The long-term precision of the $\delta^{13}\text{C}$ values (1 standard deviation of replicate
149 analyses) is 0.06% (Spötl, 2011). The respective $\delta^{18}\text{O}$ values have been published earlier (Fohlmeister et al., 2013).
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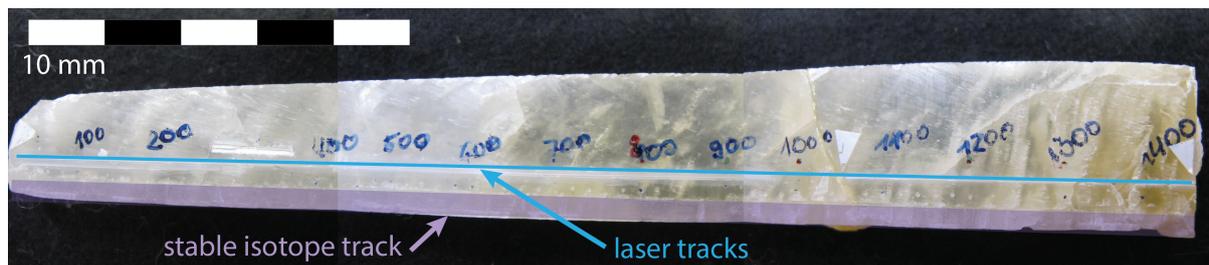
151 3.2 Radiocarbon analysis using LA-AMS

152 By focusing a laser on the surface of a solid sample at sufficiently high energy densities, a small portion of material
153 is ablated and can be used for trace element or isotopic analysis allowing for fast and spatially resolved analysis
154 (Gray, 1985; Koch and Guenther, 2011). ^{14}C analysis of SPA 127 was performed by LA coupled with AMS (Welte
155 et al., 2016a; Welte et al., 2017). For this study, a slightly modified LA-AMS setup was used reaching a smaller
156 spot size (75x140 μm^2) and higher energy densities of up to 8 J/cm^2 allowing for increased signal intensities, i.e.
157 ^{12}C -currents. With LA-AMS a quasi-continuous data stream is produced at 10 sec intervals in the AMS. This is
158 the minimal integration time of the AMS and together with the laser spot width d and the scanning velocity v
159 defines the spatial resolution R according to $R = d + v \cdot 10 \text{ sec}$.

160 LA-scans were placed as close as possible to the stable isotope tracks in order to facilitate matching between the
161 two data sets (Fig. 2). However, the LA-AMS setup does not permit to place laser tracks close to the rim of samples
162 causing an offset between the two sampling lanes of approximately 5 mm. Speleothem growth layers are often
163 curved, resulting in a potential offset between stable isotope and radiocarbon data of up to several hundred
164 micrometers, with the outer LA-scan appearing somewhat older than the stable isotope record. Since the curvature
165 of the growth layers is most likely variable, a constant correction factor has not been applied.

166 On the “top piece” of SPA 127 two subsequent scans in opposite direction were performed, first from young to
167 old (T1) and then vice versa (T2) on the same track with a scanning velocity of 20 $\mu\text{m}/\text{s}$ and a laser energy density
168 of approximately 5 J/cm^2 . On the “bottom piece” a total of three analyses were performed: the initial scan from
169 old to young (B1: 10 $\mu\text{m}/\text{s}$, 1-2 J/cm^2) was followed by a second repeated scan from bottom to top (B2: old to
170 young, 25 $\mu\text{m}/\text{s}$, 8 J/cm^2) after removing the top ~ 0.5 mm of the sample surface by mechanical polishing. The
171 second scan was necessary to ensure that the unusual ^{14}C signature observed in the oldest part of the stalagmite
172 during the first scan (see section “Results”) was not the result of a potentially contaminated surface. A final third
173 analysis (B3) consisting of two scans performed in opposite directions was performed at 20 $\mu\text{m}/\text{s}$ and 5 J/cm^2 .
174 Processing of the raw ^{14}C data was performed using in-house standards also analyzed by LA-AMS for blank
175 subtraction and standard normalization (marble, $F^{14}\text{C} = 0$ and coral standard, $F^{14}\text{C} = 0.9445 \pm 0.0018$). A Savitzky-
176 Golay (SG) filter is applied to the recorded ^{14}C signal of B3, which is a smoothing method that reduces noise while
177 maintaining the shape and height of peaks (Savitzky and Golay, 1964). In brief, a polynomial is fitted to a sub-set
178 of the data points and evaluated at the center of the approximation interval. Two parameters, namely the number
179 of points defining the approximation interval and the maximum polynomial order, can be defined. The smoothing
180 has been applied to the two sub-scans of B3 (from “old to young” and vice versa) as well as to the combined data
181 to ensure robustness of the filter. Corresponding uncertainties are estimated from the square root of the sum of the
182 squared difference between the measured $F^{14}\text{C}$ value and the SG fit at each point within the interval. This value is

183 then divided by the square root of the difference between the interval length (number of data points) and the
184 maximum order allowed for the polynomial, which is equivalent to the degree of freedom.



185
186 *Figure 2: Polished slab of SPA 127 (top is left). Top and bottom piece with location of the stable isotope track marked in purple*
187 *and LA-AMS test track in blue (the tracks corresponding to the data presented in this work were placed next to the test tracks).*
188 *The total length of the slab is 14.6 cm.*

189 4 Results

190 4.1 Dead carbon fraction

191 ^{14}C results for both pieces of SPA 127 (T1, T2 and B3) are reported as dcf (blue line in Fig. 3 a and Fig. S8 a).
192 From the ^{14}C profile, the StalAge (Scholz and Hoffmann, 2011) age-depth model was applied to previously
193 published U-Th data (Fohlmeister et al., 2013) and the known ^{14}C content of the atmosphere during the Holocene
194 (Reimer et al., 2013), and the dcf was calculated according to equation (1) for the 1402 radiocarbon data points
195 (Fig. 3 A). A SG filter was applied (interval: 21, maximum polynomial order: 2) and for comparison the $\delta^{18}\text{O}$ and
196 $\delta^{13}\text{C}$ data are shown in the same graph (Fig. 3 a and b). The U-Th-dates and the corresponding average growth rate
197 calculated using StalAge are displayed in Fig. 4 c.

198 4.2 Stable C isotopes

199 The previously published $\delta^{18}\text{O}$ values (Fohlmeister et al., 2013) and unpublished $\delta^{13}\text{C}$ data (this study) are shown
200 in Fig. 3 a and b, respectively. A large amplitude and fast changes ranging from -8‰ to +1‰ characterize $\delta^{13}\text{C}$
201 throughout the entire length of the speleothem but are especially pronounced between 30 and 130 mm. Layers
202 exhibiting a comparably stable $\delta^{13}\text{C}$ occur at the top and bottom of SPA 127, specifically ranging from 0 to 25 mm
203 and from 125 to 150 mm.

204 5 Discussion

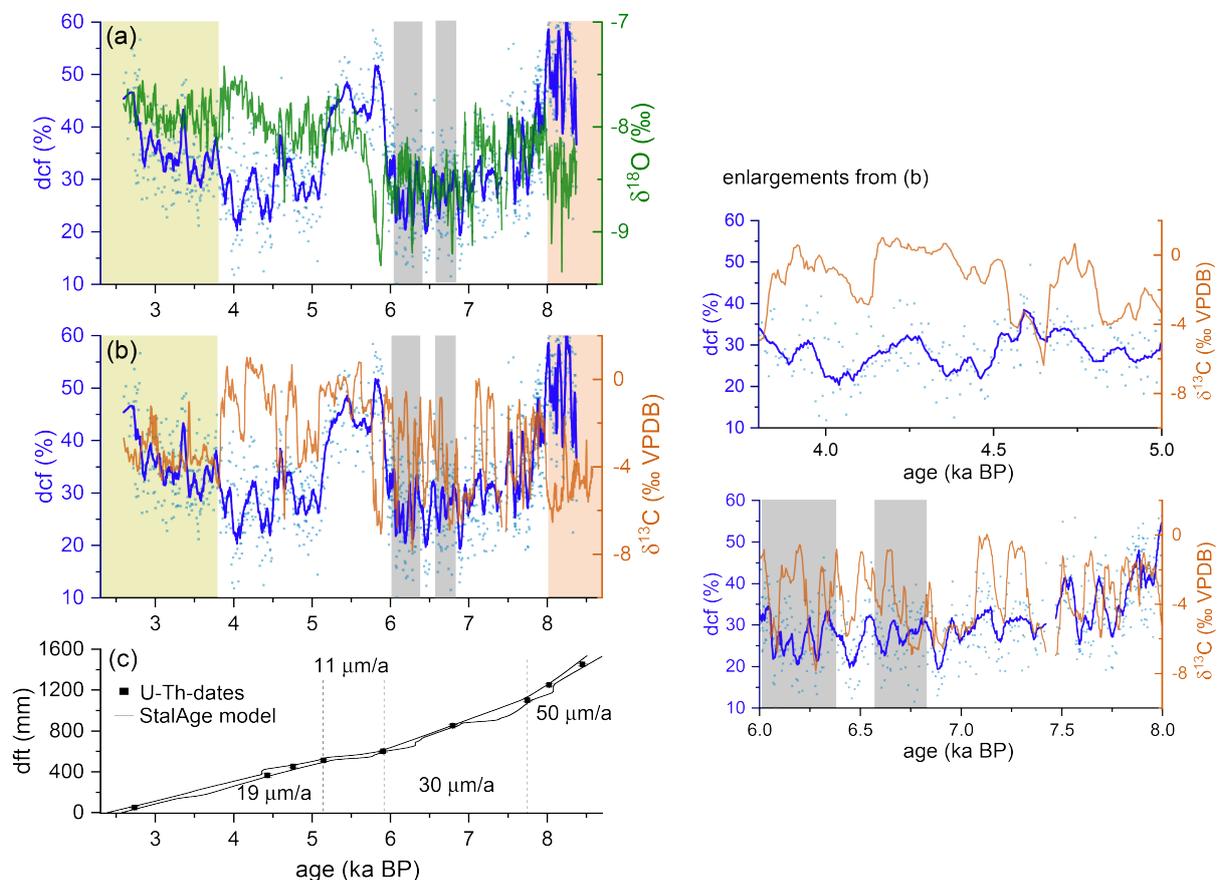
205 The interpretation of the results on C isotopes in SPA 127 will be divided in three main parts that correspond to
206 three sections identified on the speleothem based on their $\delta^{13}\text{C}$ characteristics.

207 5.1 Old section of SPA 127 (8.5-8.0 ka BP)

208 In the oldest part of SPA 127, the dcf is comparably high (~60%), while $\delta^{13}\text{C}$ is relatively depleted with values
209 lower than -5‰ on average. Although the reservoir effect is extremely high, in principle the obtained C-isotope
210 composition can be explained without a major contribution of pyrite oxidation. The relatively low $\delta^{13}\text{C}$ value
211 actually contradicts this mode of host rock dissolution but is in line with a sparse C3 vegetation ($\delta^{13}\text{C} \approx -25\text{‰}$)
212 above the cave and host rock dissolution under nearly closed conditions (compare Fig. 4 C and D). The
213 stoichiometry of CaCO_3 dissolution by carbonic acid predicts that only about half of the C in the solution is derived
214 from the host rock under nearly completely closed conditions. Given that the Jurassic host rock is devoid of ^{14}C ,
215 the biogenic component must be older than the contemporaneous atmosphere to allow dcf values larger than 50%.
216 Thus, in addition to living vegetation, which contributes atmospheric radiocarbon, an “old” OM source, which
217 respire radiocarbon-depleted CO_2 , is required to explain depleted $\delta^{13}\text{C}$ values and elevated dcf. Such “old” OM

218 is also argued to have contributed to the radiocarbon reservoir effect of Moomi Cave (Socotra Island) during the
 219 last glacial period (Therre et al., 2020). Observations from other cave and karst systems also point to important C
 220 pools deep in the vadose karst, e.g., (Benavente et al., 2010; Bergel et al., 2017; Breecker et al., 2012).
 221 Nevertheless, in a sparsely vegetated high elevation region this is the first finding of this kind.

222 The speleothem growth phase prior to 8.0 ka falls coincides with the early Holocene thermal maximum, which is
 223 also reflected by the depleted $\delta^{18}\text{O}$ values hinting towards higher temperatures (Fohlmeister et al., 2013; Mangini
 224 et al., 2005). Warmer periods likely favor microbial decomposition of, e.g., OM present in the epikarst below the
 225 soil zone, which leads to an increase in $p\text{CO}_2$ and, hence, more acidic water. In turn, more CaCO_3 can be dissolved
 226 giving rise to higher speleothem growth rates, which is indeed observed in this period (Fig. 3, orange shaded area).
 227 Thus, growth rate and the C-isotope composition of stalagmite SPA 127 are in agreement with the presence of a
 228 deep OM reservoir in the karst system above the cave.



229
 230 *Figure 3: Dcf (light blue dots) with a 21 point SG filter (dark blue line) plotted against age and compared to (A) $\delta^{18}\text{O}$ (green*
 231 *line) (Fohlmeister et al., 2013) and (B) $\delta^{13}\text{C}$ (orange line). The yellow, white and orange shaded areas represent phases with*
 232 *distinct stable isotope characteristics. Details can be found in the text. Light grey shaded areas mark regions where the SG*
 233 *filter was determined with lower confidence. Enlargements of this graph are shown in the right panel. (C) Growth history of*
 234 *SPA 127 obtained by StalAge applied to dated depths (black squares, errors are smaller than the symbol size). Numbers*
 235 *represent average growth rates of the individual sections.*

236 5.2 Rapid changes in dcf and stable C isotopes (8.0 to 3.8 ka BP)

237 The growth rate was reduced in this period compared to the previous one (Fig. 3). This either suggests that meteoric
238 precipitation or the amount of soil-derived C were reduced, both resulting in a smaller amount of dissolved
239 carbonate transported to the cave. The low $\delta^{13}\text{C}$ values of the first growth period were superseded by rapid and
240 very large variations of $\delta^{13}\text{C}$. This pattern is complex and its interpretation is difficult, as this behavior has not
241 been observed elsewhere. Processes in the soil and karst as well as in-cave processes have to be taken into
242 consideration. High-resolution LA-AMS ^{14}C measurements in conjunction with O isotope data and growth rate
243 changes, however, greatly assist in disentangling the driving mechanism(s) for these $\delta^{13}\text{C}$ variations. The dcf
244 between 8.0 and 3.8 ka BP is generally lower than in the older section. This means that either the aged OM in the
245 karst was depleted or its degradation was reduced, possibly due to a reduction in meteoric precipitation (as deduced
246 from growth rate reduction). Both reasons, less meteoric precipitation and a depleted deep OM pool, would well
247 explain the observed reduction in growth rate. The only speleothem C sources available in this period were
248 consequently the close-to-modern SOM and the radiocarbon-free host rock.

249 The dcf record shows a strong and rapid increase around 6 ka and a rapid decrease back to pre-6 ka levels at around
250 5 ka (Fig. 3). The increase in dcf occurs at the same time as a significant decrease in $\delta^{18}\text{O}$, but dcf remains elevated
251 when $\delta^{18}\text{O}$ jumps back again shortly after. Instead, the decrease in dcf at 5 ka occurs contemporaneously with a
252 $\delta^{13}\text{C}$ decrease after $\delta^{13}\text{C}$ values remained at elevated values for nearly a millennium. The reason for such a behavior
253 of the dcf remains elusive. In this section, we focus on the cause of the large and rapid jumps in $\delta^{13}\text{C}$ by testing
254 two hypotheses.

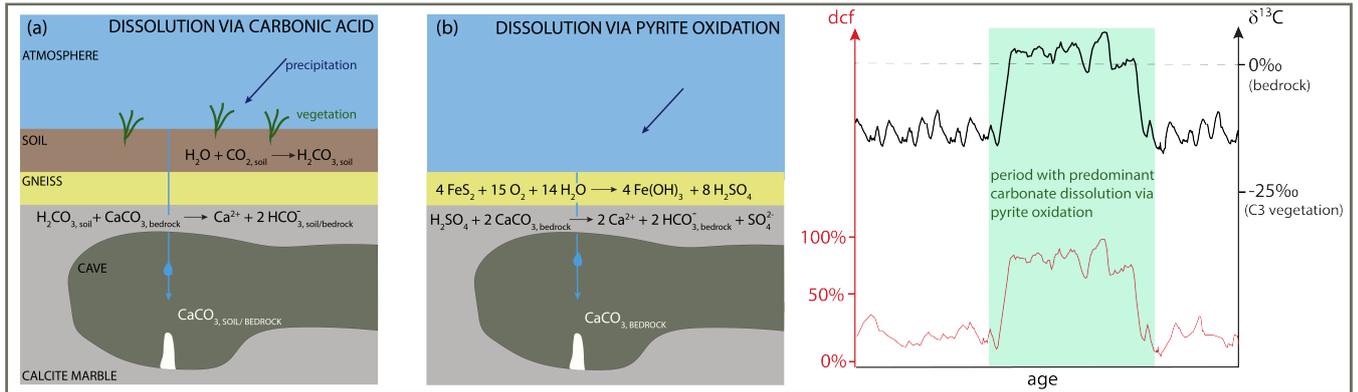
255 **Hypothesis 1:** Processes above the cave, i.e. different carbonate dissolution processes, cause the rapid switching

256 Two different processes may have caused carbonate dissolution at Spannagel cave in this period. The first involves
257 soil CO_2 -derived carbonic acid while the second process operates via sulfuric acid formed by pyrite oxidation
258 (compare Fig. 4 A and B). During times when the first process dominates, the stable carbon isotopic composition
259 of stalagmites is strongly influenced by C from the soil shifting $\delta^{13}\text{C}$ towards more negative values. At the same
260 time, the dcf is expected to be relatively low (at least <50%) as the comparably ^{14}C -rich soil C contributes
261 significantly to the signal. In contrast, pyrite oxidation leads to more positive $\delta^{13}\text{C}$ values in the stalagmite
262 corresponding to the $\delta^{13}\text{C}$ composition of the host rock, as the $\delta^{13}\text{C}$ -depleted biogenic source contributes little or
263 even no C (Bajo et al., 2017; Spötl et al., 2016). Under these conditions, the dcf should increase to values close to
264 100% if the comparably modern soil contribution is absent. If the observed $\delta^{13}\text{C}$ variations are caused by rapid
265 alternation between both processes, a positive correlation between $\delta^{13}\text{C}$ and the dcf has to be expected, with
266 extreme values in the dcf as outlined above. However, no significant long-term positive correlation for the two
267 data series, i.e. $\delta^{13}\text{C}$ and dcf, is observed (Fig. S10) and extreme DCF values are not observed. A robust comparison
268 of both data sets is impeded because of the different spatial offset of the two measurement tracks from the growth
269 axis. The radiocarbon track is located ca. 5 mm further from the central growth axis than the stable isotope track
270 (Fig. 2). Growth layers cannot be identified, but the small stalagmite diameter suggests steeply dipping layers
271 resulting in an apparent shift of the dcf towards older ages relative to $\delta^{13}\text{C}$. Indeed, such a delay is observable when
272 comparing details in the two data series (Fig. 3 b). Taking a potential offset between the two records into account
273 (see Materials & Methods), a positive correlation between main features in $\delta^{13}\text{C}$ and dcf are observable for the
274 middle period, especially between 8 and 6 ka and also partly between 5 and 3.8 ka BP. Those phases are interrupted
275 by the interval of the previously described strong increase in dcf.

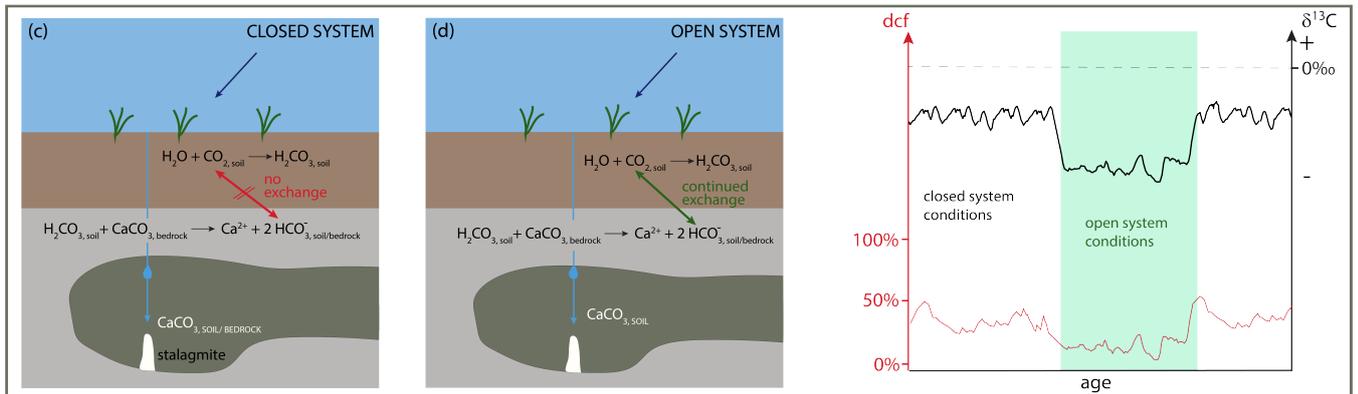
276 The large swings in $\delta^{13}\text{C}$ suggest frequent switches between the carbonate dissolution mechanism from carbonic
277 acid dissolution to pyrite oxidation. However, this is expected to be accompanied by an increase of the dcf to 100%
278 because of the diminishing ^{14}C -rich soil signature, which, however, is not observed. Generally, the dcf is even
279 smaller than in the youngest and oldest sections of the stalagmite, i.e. after 3.8 ka and before 8 ka BP. The
280 correlation between dcf and $\delta^{13}\text{C}$ suggests that there might have been a change between open and closed carbonate
281 dissolution regimes, but the magnitude of $\delta^{13}\text{C}$ variations found in SPA 127 is larger than if triggered by this
282 process even when changing from a completely open to a completely closed system (Hendy, 1971; Fohlmeister et
283 al., 2011). Thus, additional processes in the cave most likely caused this unusual behavior and the high-magnitude
284 and high-frequency $\delta^{13}\text{C}$ variations.

285

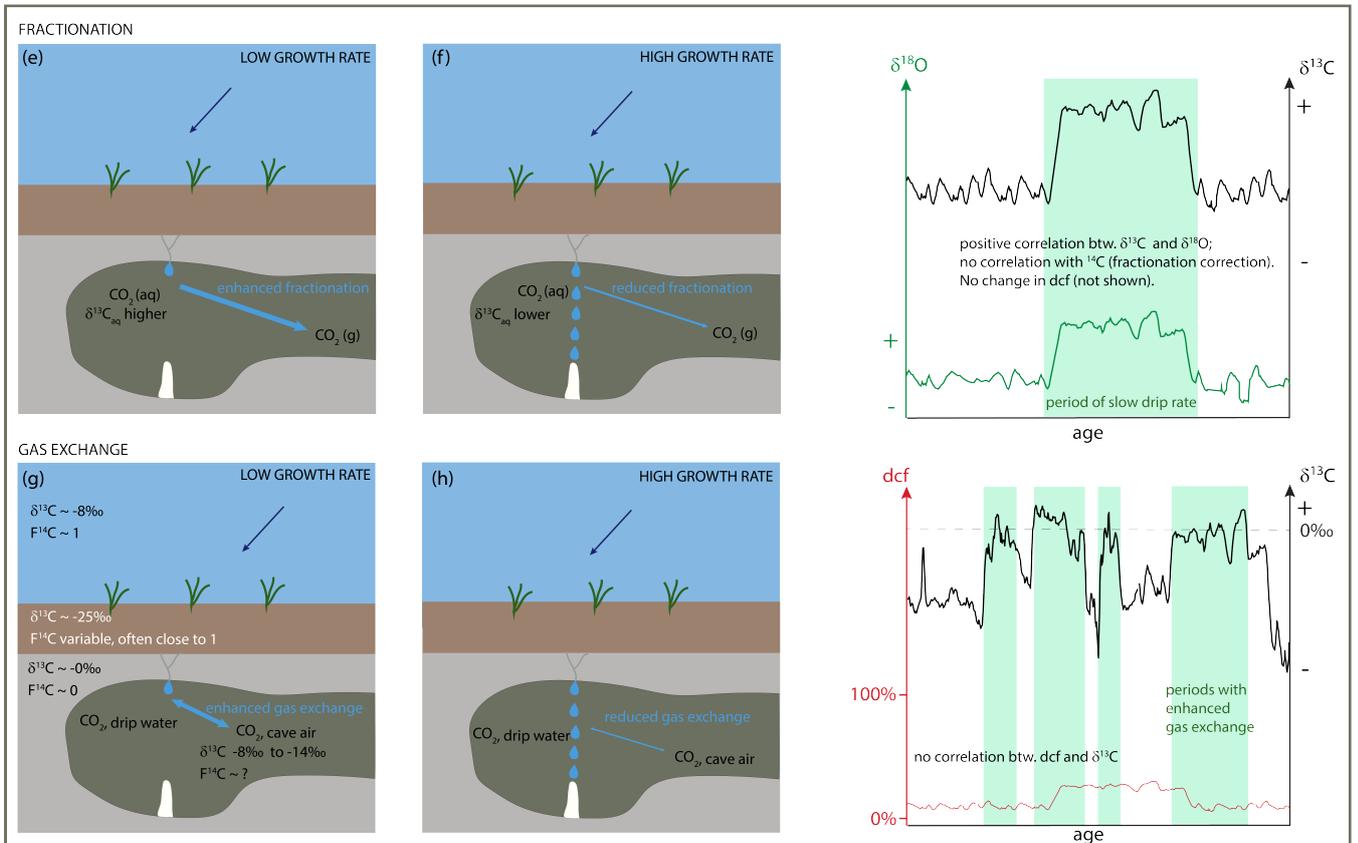
CARBONATE DISSOLUTION MECHANISM ALTERNATING BETWEEN SOIL CO₂ AND SULFIDE OXIDATION



CARBONATE DISSOLUTION MECHANISM: OPEN vs. CLOSED



PROCESSES IN CAVE: FRACTIONATION



286

287 Fig. 4 Overview of different processes that can influence $F^{14}C$ and $\delta^{13}C$ in speleothems. For details see text.

288 • **Hypothesis 2:** Processes in the cave cause the rapid switching
11

289 A major factor influencing the carbon isotope composition of stalagmites are fractionation processes (compare
290 Fig. 4 E and F), which occur during degassing of CO₂ and precipitation of CaCO₃ from the solution. Fast dripping
291 results in fast growth and leaves less time for fractionation or C isotope exchange (compare Fig. 4 G and H) and
292 vice versa (e.g., Fohlmeister et al., 2018; Scholz et al., 2009). In addition, the difference in pCO₂ between water
293 and cave-air CO₂ can also influence isotope fractionation and C exchange processes. In the middle part of SPA
294 127, the average growth rate decreased to $\leq 30 \mu\text{m/a}$, which is significantly lower than in the oldest section. As
295 discussed earlier, the reduction in growth rate might have been partly induced by reduced meteoric precipitation
296 resulting in slower drip rates or by reduced soil or karst CO₂ concentrations leading to less dissolved host rock
297 CaCO₃. In addition, a lower or absent contribution of an “old” OM reservoir in the karst would have led to a lower
298 pCO₂ difference between the CO₂ concentration in the drip water and cave air, which favors an increase in $\delta^{13}\text{C}$
299 through fractionation and C isotope exchange processes in the cave. We hypothesize that the rapid changes in $\delta^{13}\text{C}$
300 might correlate with short-term changes in growth rate, which cannot be resolved by the available U-Th
301 chronology, enabling or disabling isotope fractionation and gas exchange in the cave that are described in the
302 following two paragraphs.

303 (i) Changes in fractionation effects

304 During periods of slow growth, fractionation processes can significantly alter the isotopic composition of the
305 stalagmite. During CO₂ degassing from the drip water, the lighter molecules are preferentially transferred
306 into the gas phase resulting in a solution enriched in heavy isotopes. Indeed, recent experiments (Fahrni et
307 al., 2017) support earlier findings that fractionation of radiocarbon relative to ¹²C is about twice as large as
308 for ¹³C relative to ¹²C (Stuiver and Robinson, 1974). However, as radiocarbon measurements are corrected
309 for fractionation effects via $\delta^{13}\text{C}$ values, it is impossible to detect a potential correlation between the two
310 isotopes due to fractionation effects. However, potential fractionation affecting $\delta^{13}\text{C}$ also influences $\delta^{18}\text{O}$ and
311 can be confirmed by a positive correlation between stable C isotopes and O isotopes, e.g. (Dreybrodt, 2008;
312 Polag et al., 2010). Applying a running correlation coefficient between $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ is a powerful tool to
313 detect fractionation changes through time (Fohlmeister et al., 2017). 11-point running correlation coefficients
314 calculated for the two time series of SPA 127 show no stalagmite sections with a high correlation coefficient,
315 but vary without any obvious pattern between -1 and +1 (compare Fig. S10, bottom panel). Thus,
316 fractionation was most likely not the main process causing the large variations in $\delta^{13}\text{C}$, but may have played
317 a minor role during some periods.

318 (ii) Prior calcite precipitation (PCP)

319 PCP can have an effect on $\delta^{13}\text{C}$, even a large ones as observed for our stalagmite. While this would not have
320 an effect on ¹⁴C, we would expect that $\delta^{18}\text{O}$ should show a similar behavior, which is not the case. Thus, we
321 can safely assume, that PCP is not responsible for the rapid changes observed in SPA 127.

322 (iii) Gas exchange processes

323 Another process that is a potential candidate for causing the behavior observed in SPA 127 in this interval is
324 the C isotope exchange between CO₂ of the cave air and C dissolved in the drip water. The gas exchange
325 process may be dominant if the stalagmite growth rate is sufficiently low and when drip intervals are long
326 and/or the differences between the pCO₂ of the water and cave air is small (Hendy, 1971; Scholz et al., 2009).

327 In this case, the C isotopic composition of the drip water when reaching the top of the stalagmite depends
328 mainly on the initial $\delta^{13}\text{C}$ of drip water and on the degree of C isotope exchange with the cave atmosphere.
329 Spannagel Cave is well ventilated throughout the year with cave air $\delta^{13}\text{C}$ values of -10 to -11‰ (Töchterle et
330 al., 2017), which is significantly lower than that of the atmosphere, i.e. approximately -8‰ (Keeling et al.,
331 2010). These more negative values are a hint towards a contribution from soil air. The following assumptions
332 were made: the $\delta^{13}\text{C}$ of drip water is composed of two biogenic C sources ($\delta^{13}\text{C} \sim -25\%$) and host rock
333 ($\delta^{13}\text{C} \sim 0\%$) and about 20 - 30% are derived from the host rock (based on the dcf in this interval). Accounting
334 for about 10‰ to 11‰ fractionation between soil gas CO_2 and HCO_3^- during the transition of soil gas CO_2
335 to dissolved inorganic carbon (DIC), the initial drip water, which was feeding the stalagmite, had a $\delta^{13}\text{C}$ value
336 between -11.5 and -9‰ (Mook et al., 1974). Considering Rayleigh fractionation effects in the cave, carbonate
337 $\delta^{13}\text{C}$ values of -8‰ appear feasible (Scholz et al., 2009, Deininger et al., 2012) without any exchange of C
338 isotopes. C isotope exchange processes lead to water significantly enriched in ^{13}C . When cave air with $\delta^{13}\text{C}$
339 values around -11‰ exchanges with drip water, the C isotopic composition of the water will increase, as the
340 transition of gaseous CO_2 to HCO_3^- involves a fractionation of about +10 to +11‰ at temperatures between
341 0 and 5°C. Thus, drip water in C isotopic equilibrium with cave air CO_2 , which is the most extreme case,
342 should have $\delta^{13}\text{C}$ values of -1 to 0‰. Precipitation of CaCO_3 from such water would result in $\delta^{13}\text{C}$ values of
343 around 0 to +1‰ as observed for some short intervals.

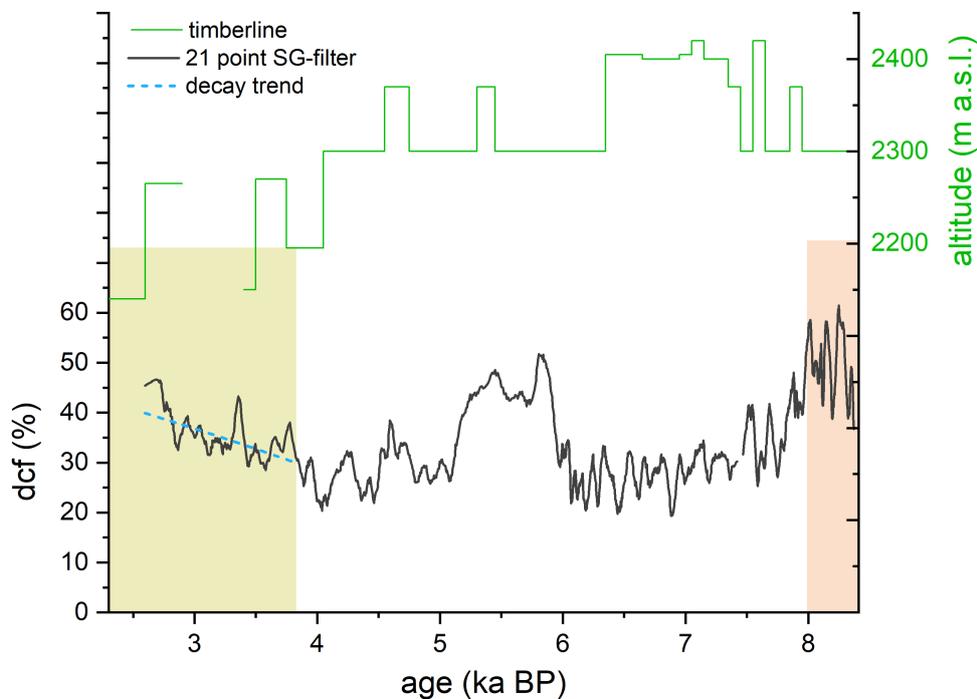
344 Similar considerations can be applied to radiocarbon. If the cave ventilation is sluggish, F^{14}C in the cave air
345 will deviate from atmospheric values (i.e. $\text{F}^{14}\text{C}_{\text{atm}} \approx 1$) as it is influenced by other sources, such as soil air or
346 CO_2 degassed from drip water, which both are depleted with respect to atmospheric values. In a recent study,
347 cave air has been shown to be depleted in radiocarbon with values as low as $\text{F}^{14}\text{C} \approx 0.6$ (Minami et al., 2015).
348 When the cave ventilation is not effectively changing the depleted radiocarbon towards more atmospheric
349 values, C isotope exchange processes are not detectable using ^{14}C in speleothems. As isotopic fractionation
350 is not important for radiocarbon (as explained above), C isotope exchange of DIC with cave air, which both
351 have a similar radiocarbon content, would have no effect on the ^{14}C signal of the precipitated calcite.

352 In summary, combined high-resolution $\delta^{13}\text{C}$ and radiocarbon measurements are a valuable tool to shed new light
353 on processes affecting C isotopes in the subsurface. Using well justified assumptions and first-order calculations
354 of mixing and fractionation effects, in-cave C isotope exchange processes remain the only explanation for the rapid
355 and high-magnitude $\delta^{13}\text{C}$ changes.

356 5.3 Interpretation of dcf and $\delta^{13}\text{C}$ in the youngest section of SPA 127 (3.8 to 2.5 ka BP)

357 In the youngest section of this stalagmite a behavior similar to the oldest section with respect to $\delta^{13}\text{C}$ and
358 radiocarbon content is observed. From approximately 3.8 ka BP onward, the dcf increases slowly from about 20%
359 to 50%. Correspondingly, $\delta^{13}\text{C}$ shows a lower variability than in the middle part with mean $\delta^{13}\text{C}$ values of -3‰ to
360 -4‰, which is also comparable to the behavior observed for the interval > 8 ka BP. As $\delta^{13}\text{C}$ does not show any
361 long-term trend as observed for the reservoir effect, we rule out a change to more closed carbonate dissolution
362 conditions driving the increase in dcf. The only explanation that can lead to such an increase is an “old” C reservoir.
363 We propose that climatic conditions changed such that this “old” OM pool in the karst, which was decoupled from
364 the atmosphere, has become the main OM derived CO_2 source. This CO_2 resulted in acidification in a comparable
365 way as soil CO_2 enhancing carbonate dissolution and ultimately contributed to stalagmite CaCO_3 . The isotopic ^{14}C
366 imprint, however, is significantly different to soil CO_2 causing the observed increase in dcf. Between

367 approximately 8 and 6 ka BP the Alps experienced a warmer climate than today (Ivy-Ochs et al., 2009; Nicolussi
 368 et al., 2005). A study conducted by Nicolussi et al. (2005) in the Kauner valley, situated approximately 70 km west
 369 of Spannagel Cave, showed that the timberline was significantly higher during that period (Fig. 5) supporting a
 370 warmer climate. Between 6 and 4 ka BP the timberline was comparable to the present-day situation.



371
 372 *Figure 5: Comparison of the dcf in stalagmite SPA 127 with the elevation of the timberline reconstructed for the Kauner*
 373 *valley 70 km west of Spannagel Cave (green line, after Nicolussi et al. (2005)) with the dcf. Around 4 ka BP the timberline*
 374 *started to decline which is concurrent with an increase in dcf, i.e., a decrease in initial ¹⁴C. This decrease closely follows a*
 375 *radiocarbon decay trend (dashed blue line). Green and red areas mark the three different time periods as indicated in Fig. 4.*

376 It is expected that with the lowering of the timberline after ~4 ka the vegetation density decreased as well, which
 377 should be reflected in the speleothem- $\delta^{13}\text{C}$ values. This, however, is not the case during this period pointing
 378 towards a relatively stable contribution of soil-derived CO_2 . Possibly, a certain proportion of plants that grew
 379 during the early to mid-Holocene warm epoch died and the corresponding OM located in the deeper vadose zone
 380 was initially stabilized due to reduced meteoric precipitation and later became mobilized due to enhanced microbial
 381 activity. Considering the low mean annual temperatures at this high-alpine site, decomposition processes are most
 382 likely slow, allowing OM to age during decomposition as indicated in a recent study by Shi et al. (2020). The
 383 radiocarbon composition of the ageing SOM will closely follow a radiocarbon-specific exponential decay and is
 384 responsible for the depleted radiocarbon concentration in soil gas CO_2 . Depending on the contribution of root-
 385 respired CO_2 of Alpine plants compared to the decomposed CO_2 from dead OM, the dcf will closely follow an
 386 exponential decay, resembling that of the radiocarbon decay and thus would contribute to the observed increase of
 387 the dcf. The closer the observed increase in the dcf follows that of a radiocarbon decay trajectory, the larger the
 388 contribution of CO_2 from the ageing SOM reservoir in relation to root-respired CO_2 . Based on the observed rate of
 389 increase in dcf, which compares well with the radiocarbon decay trend (blue dashed line in Fig. 5), we suggest that
 390 the majority of DIC that contributed to the speleothem CaCO_3 had its origin in aged soil OM.

391 **6 Conclusion**

392 Combined stable carbon isotope and radiocarbon analyses of stalagmite SPA 127 provide a comprehensive picture
393 of the carbon dynamics at the Spannagel Cave. Due to the novel LA-AMS technique, a highly spatially resolved
394 ^{14}C time series allows unprecedented insights into processes in this high-alpine karst system. Care has to be taken
395 when applying LA-AMS to stalagmites as epoxy resin used in sample preparation leads to distorted results.

396 Results from this study allow to distinguish three intervals with different carbon dynamics:

- 397 (i) The interval before 8 ka BP is characterized by generally low and stable $\delta^{13}\text{C}$ values combined with a
398 comparably high dcf (>50%) pointing towards the existence of an “old” OM reservoir in the epikarst.
399 CO_2 emanating from this presaged C pool provides additional carbonic acid potentially enhancing
400 bedrock dissolution.
- 401 (ii) The interval between 8 and 3.8 ka BP is characterized by a strong variability in $\delta^{13}\text{C}$ with a generally
402 lower dcf suggesting that the “old” OM reservoir in the karst had either been exhausted or stabilized (less
403 production to aged respired soil/karst CO_2) possibly due to reduced meteoric precipitation. This is
404 supported by a lower stalagmite growth rate in this period. In-cave gas exchange processes are the most
405 likely explanation for the strong $\delta^{13}\text{C}$ variability, as (i) bedrock dissolution mechanisms, i.e. pyrite
406 oxidation vs. carbonic acid dissolution, are not supported by the magnitude of changes in dcf and stable
407 C, even though the temporal coherence indicates that some of the $\delta^{13}\text{C}$ variations might be explained by
408 the bedrock dissolution mode (open vs. closed carbonate dissolution) and, (ii) fractionation processes in
409 the cave cannot explain the large shifts as no correlation between $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ is observed.
- 410 (iii) In the interval between 3.8 and 2.4 ka BP the comparably more stable $\delta^{13}\text{C}$ signature combined with an
411 increasing dcf hints towards a contribution from an ageing OM reservoir in the karst similar to the period
412 > 8 ka BP. This OM reservoir contributed to the stalagmite growth in this period due to warmer climatic
413 conditions. While the contribution of “old” OM in the oldest growth phase was stable, the youngest
414 section indicates an ageing of this reservoir.

415 **Author contribution**

416 CW, JF and CS conceptualized the content of this manuscript. CW, MW, BH carried LA-AMS measurements out,
417 CS conducted stable carbon isotope analyses. MW and LW developed the data reduction strategy. JF, CW and TE
418 interpreted the data and compared them to published records. CW prepared the manuscript with contributions from
419 all co-authors.

420 **Competing interests**

421 The authors declare that they have no conflict of interest.

422 **Acknowledgements**

423 JF acknowledge support from DFG grants FO 809/2-1 and FO 809/4-1. MW was supported by ETH Research
424 Grant ETH-03 18-2. FTIR analyses were performed by Laura Hendriks. We also thank the two reviewers who
425 helped to improve this manuscript.

426

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