

1 **Climatic variations during the Holocene inferred from**  
2 **radiocarbon and stable carbon isotopes in speleothems from a**  
3 **high-alpine cave**

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17

## 18 Abstract

19 Rapid and continuous analysis of radiocarbon ( $^{14}\text{C}$ ) concentration in carbonate samples at ~~very high~~ spatial  
20 resolution down to 100  $\mu\text{m}$  has been made possible with the new LA-AMS (laser ablation accelerator mass  
21 spectrometry) technique. This novel approach can provide radiocarbon data at a spatial resolution similar to that  
22 of stable carbon (C) isotope measurements by isotope ratio mass spectrometry (~~IRMS~~) of micromilled samples  
23 and, thus, can help to interpret  $\delta^{13}\text{C}$  signatures, which otherwise are difficult to understand due to numerous  
24 processes contributing to changes in C-isotope ratio. In this work, we analyzed  $\delta^{13}\text{C}$  and  $^{14}\text{C}$  on the Holocene  
25 stalagmite SPA 127 from the high-alpine Spannagel Cave (Austria). Both proxies respond in a complex manner  
26 to climate variability. Combined stable carbon and radiocarbon profiles allow to identify three growth periods  
27 characterized by different  $\delta^{13}\text{C}$  signatures: (i) the period 8.5 to 8.0 ka BP is characterized by relatively low  $\delta^{13}\text{C}$   
28 values with small variability combined with a comparably high radiocarbon reservoir effect (expressed as dead  
29 carbon fraction, dcf) of around 60%. This points towards C contributions of host rock dissolution and/or from an  
30 “old” organic matter (OM) reservoir in the karst potentially mobilized due to the warm climatic conditions of the  
31 early Holocene. (ii) Between 8 and 3.8 ka BP a strong variability in  $\delta^{13}\text{C}$  ~~reaching with~~ values ranging from -8 to  
32 +1‰ ~~with and~~ a generally lower dcf ~~was observed~~. The  $\delta^{13}\text{C}$  variability is most likely caused by changes in C  
33 exchange between cave air  $\text{CO}_2$  and dissolved inorganic carbon in drip water gas-exchange processes in the cave,  
34 which are induced by reduced drip rates as derived from reduced stalagmite growth rates. Additionally, the lower  
35 dcf indicates that the OM reservoir contributed less to stalagmite growth in this period possibly as a result of  
36 reduced meteoric precipitation or because it was exhausted. (iii) In the youngest section between 3.8 and 2.4 ka BP,  
37 comparably stable and low  $\delta^{13}\text{C}$  values combined with an increasing dcf reaching up to 50% again hint towards a  
38 contribution of an aged OM reservoir in the karst. This study reveals the ~~high~~ potential of combining high-  
39 resolution  $^{14}\text{C}$  profiles in speleothems with  $\delta^{13}\text{C}$  records in order to disentangle climate-related C dynamics in karst  
40 systems.

## 41 1 Introduction

42 Understanding the climate of the past is the key for understanding how climate and environment will change in  
43 the future. Insights into paleoclimate are gained through the study of archives with stalagmites being a prominent  
44 example for a terrestrial archive. Stalagmites can grow continuously over thousands to tens of thousands of years  
45 (Cheng et al., 2016; Fairchild et al., 2006; Moseley et al., 2020). Caves hosting stalagmites are present on all  
46 continents except Antarctica and uranium-series disequilibrium dating allows to build robust chronologies (Cheng  
47 et al., 2013; Richards and Dorale, 2003; Scholz and Hoffmann, 2008). Trace-element and stable isotope data of  
48 stalagmites allow the reconstruction of climatic conditions in the past. For example, the oxygen isotope  
49 composition ( $\delta^{18}\text{O}$ ) is generally interpreted as a combination of a temperature and a meteoric precipitation signal  
50 (Lachniet, 2009; Wackerbarth et al., 2010). The interpretation of the stable carbon isotope signature ( $\delta^{13}\text{C}$ ),  
51 however, is more challenging since additional local effects, such as vegetation changes (e.g., Bar-Matthews et al.,  
52 1999; Denniston et al., 2007; Fohlmeister et al., 2020), the carbonate dissolution mechanism (e.g., Fohlmeister et  
53 al., 2010b; Lechleitner et al., 2016), and in-cave fractionation processes (e.g., Matthey et al., 2016; Spotl et al.,  
54 2005) may have an influence and little is known about the relative magnitude of these processes. Besides the stable  
55 C isotopes, radiocarbon ( $^{14}\text{C}$ ), decaying with a half-life of  $\sim 5700$  yrs (Kutschera, 2013), can be a valuable tool in  
56 speleothem research (e.g., Bajo et al., 2017; Lechleitner et al., 2016). So far, this isotope has not been fully  
57 exploited in speleothem science, mostly due to the time-consuming sampling and processing as well as the

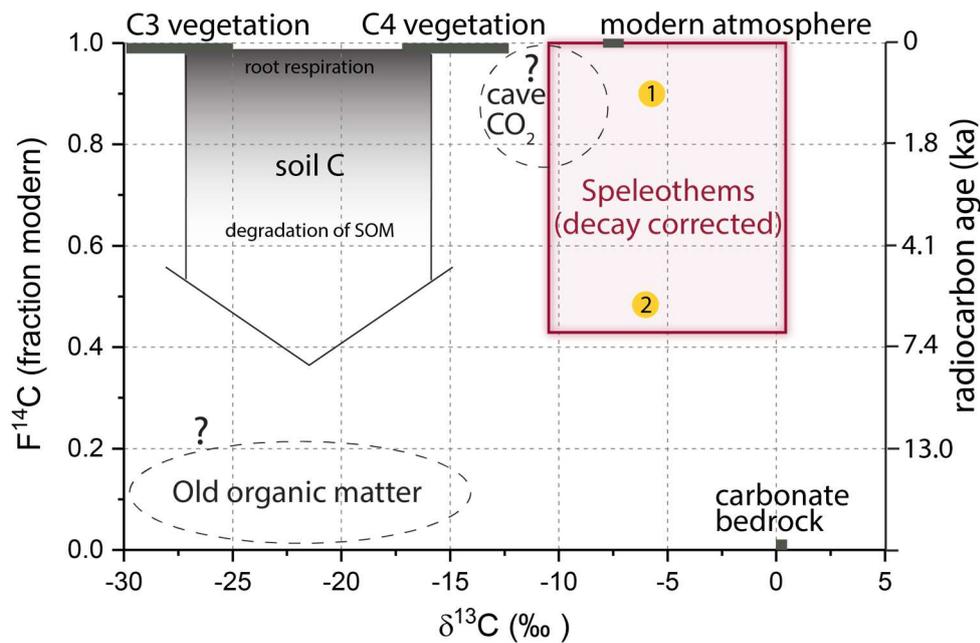
58 comparably high costs associated with these analyses. However, recently both issues have been considerably  
59 improved by invention (Welte et al., 2016a; Welte et al., 2016b) and advances in laser ablation coupled to  
60 accelerator mass spectrometry (LA-AMS; Welte et al., 2017; Yeman et al., 2019), which can be well applied to  
61 carbonate material.

62 We investigated a stalagmite that grew in the Spannagel cave system (Tyrol, Austria; Spötl et al., 2004) by means  
63 of C isotope systematics. This high-alpine cave system was investigated in many studies in the context of  
64 palaeoclimate and palaeoenvironmental research mostly using O isotopes and growth phases as proxies (e.g.,  
65 Fohlmeister et al., 2013; Spötl and Mangini, 2010). The gneiss covering the cave-bearing marble contains  
66 interspersed fine-crystalline pyrite (Spötl et al., 2004) and is topped by a thin soil layer with sparse vegetation. It  
67 was hypothesized that the oxidation of pyrite contributes considerably to the dissolution of the host-rock marble  
68 and, hence, to the growth of stalagmites and flowstones, in particular during cold climate periods when there is no  
69 soil present at this high altitude (Spötl and Mangini, 2007). During some interglacials including the Holocene,  
70 when Alpine soils are present in the catchment of the cave's drip water, sulfide oxidation and soil-derived CO<sub>2</sub>  
71 may operate in tandem. Consequently, the stable C isotope signal of stalagmites from this cave is expected to be  
72 ~~complex~~ vary significantly.

73 The aim of this study is to gain deeper insights into the climatically driven C dynamics in this cave by high spatially  
74 resolved <sup>14</sup>C analyses of a Holocene stalagmite. This study takes advantage of the recently introduced method of  
75 LA-AMS (Welte et al., 2016a; Welte et al., 2016b), which reaches a similar spatial resolution as micro-milling for  
76 stable isotope analysis (e.g., Spötl and Matthey, 2006). Using the combined <sup>14</sup>C and <sup>δ</sup><sup>13</sup>C records measured in this  
77 study as well as the previously published <sup>δ</sup><sup>18</sup>O signal (Fohlmeister et al., 2013), we explore the key processes  
78 influencing the carbon isotope composition of speleothems in this cave and gain a better understanding of the  
79 potential and limits of <sup>14</sup>C analysis of carbonates using LA-AMS.

## 80 **2 Radiocarbon and dead carbon fraction**

81 In most karst systems, dissolution of the carbonate host rock is driven by soil-derived carbonic acid forming in  
82 meteoric precipitation seeping through the soil. In this case, the two major soil-derived C sources contributing to  
83 the <sup>δ</sup><sup>13</sup>C values of the speleothem are pedogenic CO<sub>2</sub> from the degradation of soil organic matter (SOM, Trumbore,  
84 2000) and root respiration (Cerling, 1984) that acidifies the meteoric water as it percolates through the soil.  
85 Recently, evidence was found for a potential additional C source stemming from CO<sub>2</sub> derived from the oxidation  
86 of "old" organic matter (OM) in the deep vadose zone (Bergel et al., 2017; Noronha et al., 2015). The water  
87 charged with carbonic acid then dissolves the host rock CaCO<sub>3</sub>. In some karst systems, the oxidation of pyrite has  
88 shown to contribute to the acidification of the seepage water and hence to speleothem formation (e.g., Spötl et al.,  
89 2016). All of those C-pools have different characteristics with respect to their stable and ~~radiocarbon~~-radioactive  
90 isotope signatures (Figure 1 Fig. 1).



91

92 *Figure 1: Carbon isotopic signatures of carbon-bearing reservoirs in karst systems. Yellow circles mark speleothem-C resulting*  
 93 *from different processes: 1. CaCO<sub>3</sub> dissolution via soil CO<sub>2</sub>-derived carbonic acid. 2. Deep organic carbon contribution to*  
 94 *seepage water feeding speleothems (adapted from Fairchild and Baker (2012)).*

95 When working with radiocarbon in speleothems it is important to determine the reservoir effect (Genty and  
 96 Massault, 1997). If a radiocarbon-independent chronology for the stalagmite exists, the reservoir effect, which is  
 97 often termed dead carbon fraction (dcf), can be derived through comparison of the measured <sup>14</sup>C profile in the  
 98 stalagmite ( $F^{14}C_{stal}$ ) with the <sup>14</sup>C atmosphere's signature ( $F^{14}C_{atm}$ ) of the same time (Genty and Massault (1997)):

99 
$$dcf = \left(1 - \frac{F^{14}C_{stal}}{F^{14}C_{atm}}\right) \cdot 100\% \quad (1)$$

100 Values for dcf range from a few % up to 70% (Bajo et al., 2017; Southon et al., 2012) and commonly vary within  
 101 a single speleothem with time (Bajo et al., 2017; Noronha et al., 2014; Therre et al., 2020). The magnitude of the  
 102 dcf is influenced by multiple factors, such as the age of soil OM, contributing to soil gas CO<sub>2</sub> production  
 103 (Fohlmeister et al., 2011b) and consequently altering the <sup>14</sup>C concentration in the stalagmite. Also the CO<sub>2</sub> partial  
 104 pressure (pCO<sub>2</sub>) in the soil plays an important role, with a complex relationship between the amount of soil gas  
 105 pCO<sub>2</sub> and the dcf (Fohlmeister et al., 2011b). Additionally, the conditions of karst dissolution, i.e. open vs. closed  
 106 system (Fohlmeister et al., 2011a; Hendy, 1971), affect the dcf. In a more open system, the dcf is low because the  
 107 percolating water can continuously exchange C isotopes with the soil gas CO<sub>2</sub> leading to a <sup>14</sup>C concentration in  
 108 the stalagmite that is dominated by the near-atmospheric soil <sup>14</sup>C signature (Southon et al., 2012). In a more closed  
 109 system, this exchange is inhibited with the extreme case being a completely closed system, where for each mole  
 110 of carbonic acid one mole of CaCO<sub>3</sub> is dissolved resulting in a dcf of up to 50% (Hendy, 1971). Fractionation and  
 111 C exchange between cave air CO<sub>2</sub> and dissolved inorganic carbon (DIC) in drip water gas exchange processes in  
 112 the cave are also potential candidates for modulation of the dcf. These main factors driving the dcf in turn are  
 113 influenced by numerous parameters such as hydrological and environmental conditions above the cave. Several  
 114 studies (e.g., Bajo et al., 2017; Fohlmeister et al., 2010a; Griffiths et al., 2012; Lechleitner et al., 2016; Noronha  
 115 et al., 2014) showed that during periods of increased rainfall the dcf in the stalagmite is enhanced. A likely  
 116 explanation is a shift towards more closed-system conditions (Error! Reference source not found, Table 1) under

117 higher meteoric precipitation regimes. It was argued, that under more humid (arid) conditions the pore spaces in  
 118 soils are clogged with (devoid of) water, leaving less (more) opportunity for C-exchange processes between  
 119 dissolved inorganic C species and soil gas CO<sub>2</sub> (Fohlmeister et al., 2010).

120 An increasing number of cave systems have been reported where carbonate dissolution occurs even if no  
 121 significant soil exists above the cave, indicating climatic conditions less suited for the existence of vegetation  
 122 cover. Acidic conditions in the seepage water are achieved via oxidation of pyrite or other sulfide minerals  
 123 disseminated in the bedrock (Bajo et al., 2017; Lauritzen, 2001; Spötl et al., 2016). In this case the C isotope  
 124 composition in the drip water is dominated by the bedrock, and the dcf is therefore expected to be relatively high  
 125 (>50%). Under those conditions the δ<sup>13</sup>C values of the speleothems reflect those of the (marine-derived) bedrock,  
 126 i.e. are shifted closer to 0‰ compared to lower δ<sup>13</sup>C values of speleothem CaCO<sub>3</sub> of around -12 to -10‰ for cave  
 127 systems with a soil and vegetation cover. An overview of relevant processes as well as the resulting dcf and δ<sup>13</sup>C  
 128 are summarized in **Error! Reference source not found.** **Table 1.**

129 *Table 1 Simplified summary of expected δ<sup>13</sup>C (assuming C3 vegetation cover) and dcf values in stalagmite CaCO<sub>3</sub> for*  
 130 *different dominant processes. In many karst systems various combinations of these processes complicate the interpretation.*  
 131 *This table is a compilation of data from Fohlmeister et al. (2011b); Spötl et al. (2016); Therre et al. (2020)*

Process		Expected δ <sup>13</sup> C (‰)	Expected dcf (%)
<b>Carbonate dissolution via carbonic acid</b>	open-system	< -10‰	Comparably low, i.e. around 10%
	closed-system	> -10‰	Comparably high, i.e. close to 50%
<b>Carbonate dissolution via oxidation of pyrite</b>		Close to 0‰	Very high, i.e. > 50%
<b>“Old” OM contribution to seepage water acidification</b>		< -10‰	Shift towards higher values (> 50% possible)

132

### 133 3 Materials & Methods

#### 134 3.1 Sample

135 Spannagel cave is located in the Tux Valley (47.08028°N, 11.67167°E; Zillertal Alps, western Austria) and opens  
 136 at 2531 m above sea level. It forms a more than 12 km-long system of galleries and short shafts, which developed  
 137 in a Jurassic marble tectonically overlain by gneiss. This superposition does not only allow for high-precision U-  
 138 series dating of stalagmites due to their relatively high U contents, but also gives rise to carbonate dissolution via  
 139 sulfuric acid stemming from pyrite oxidation. The thin alpine soil provides an additional pedogenic source of  
 140 acidity and the interplay between the two processes is reflected by highly variable stable C isotope values as well  
 141 as dcf in Spannagel speleothems. Stalagmite SPA 127 was found in the eastern part of the cave system, which was  
 142 never ice-covered during the Holocene (Fohlmeister et al., 2013). The stalagmite grew from 8.45 to 2.24 ka BP  
 143 with an average growth rate of **approximately** 25 μm/a **based on as confirmed by** nine U/Th-ages (Fohlmeister et  
 144 al., 2013). There is no macro- and microscopic evidence for the existence of hiatuses in this specimen. Further  
 145 evidence for the absence of hiatuses is provided by two additional speleothems, SPA 12 and SPA 128, from the  
 146 same cave are partly coeval with SPA 127. These additional speleothems have a higher dating density in parts,  
 147 where SPA 127 has only a few radiometric U-Th dating points and also do not show evidence of hiatuses

148 (Fohlmeister et al., 2013). In combination with the well replicated stable O isotope signals we are confident that  
149 the growth of SPA 127 was not ~~affected-interrupted~~ by hiatuses.

150 The 15 cm-long polished slab of the stalagmite analyzed in this study was first used for stable oxygen and carbon  
151 isotope analysis where sampling was performed along the extension axis. For LA-AMS analysis, the same section  
152 was used but broken in two pieces at a distance from top (dft) of approximately 10 cm, which will be referred to  
153 as “top piece” and “bottom piece”.

#### 154 1. Stable isotope analysis

155 Subsamples for stable carbon isotope analysis were micromilled at 100  $\mu\text{m}$  increments and measured using an  
156 automated online carbonate preparation system linked to a triple collector gas source mass spectrometer  
157 (Delta<sup>plus</sup>XL, ThermoFisher, Bremen, Germany) at the University of Innsbruck. Values are reported relative to the  
158 Vienna Pee Dee Belemnite standard. The long-term precision of the  $\delta^{13}\text{C}$  values (1 standard deviation of replicate  
159 analyses) is 0.06% (Spötl, 2011). The respective  $\delta^{18}\text{O}$  values have been published earlier (Fohlmeister et al., 2013).

160

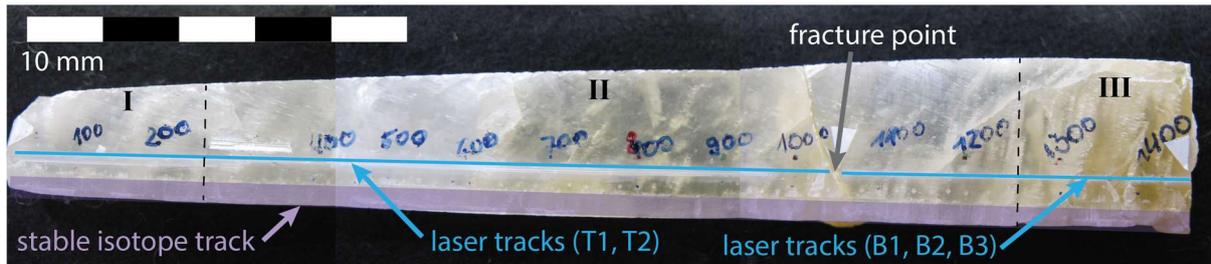
### 161 3.2 Radiocarbon analysis using LA-AMS

162 By focusing a laser on the surface of a solid sample at sufficiently high energy densities, a small portion of material  
163 is ablated and can be used for trace element or isotopic analysis allowing for fast and spatially resolved analysis  
164 (Gray, 1985; Koch and Gunther, 2011).  $^{14}\text{C}$  analysis of SPA 127 was performed at the Laboratory of Ion Beam  
165 Physics, ETH Zurich, Switzerland, by LA coupled with AMS (Welte et al., 2016a; Welte et al., 2017). For this  
166 study, a slightly modified LA-AMS setup was used reaching a smaller spot size ( $75 \times 140 \mu\text{m}^2$ ) and higher energy  
167 densities of up to  $8 \text{ J/cm}^2$  allowing for increased signal intensities, i.e.  $^{12}\text{C}$ -currents. With LA-AMS a quasi-  
168 continuous data stream is produced at 10 sec intervals in the AMS. This is the minimal integration time of the  
169 AMS and together with the laser spot width  $d$  and the scanning velocity  $v$  defines the spatial resolution  $R$  according  
170 to  $R = d + v \cdot 10 \text{ sec}$ .

171 LA-scans were placed as close as possible to the stable isotope tracks in order to facilitate matching between the  
172 two data sets (Fig. 2). However, the LA-AMS setup does not permit to place laser tracks close to the rim of samples  
173 causing an offset between the two sampling lanes of approximately 5 mm. Speleothem growth layers are often  
174 curved, resulting in a potential offset between stable isotope and radiocarbon data of up to several hundred  
175 micrometers, with the outer LA-scan appearing somewhat older than the stable isotope record. Since the curvature  
176 of the growth layers is most likely variable, a constant correction factor has not been applied.

177 On the “top piece” of SPA 127 two subsequent scans in opposite direction were performed, first from young to  
178 old (T1) and then vice versa (T2) on the same track with a scanning velocity of  $20 \mu\text{m/s}$  and a laser energy density  
179 of approximately  $5 \text{ J/cm}^2$ . On the “bottom piece” a total of three analyses were performed: the initial scan from  
180 old to young (B1:  $10 \mu\text{m/s}$ ,  $1\text{-}2 \text{ J/cm}^2$ ) was followed by a second repeated scan from bottom to top (B2: old to  
181 young,  $25 \mu\text{m/s}$ ,  $8 \text{ J/cm}^2$ ) after removing the top  $\sim 0.5 \text{ mm}$  of the sample surface by mechanical polishing. The  
182 second scan was necessary to ensure that the unusual  $^{14}\text{C}$  signature observed in the oldest part of the stalagmite  
183 during the first scan (see section “Results”<sup>24</sup>) was not the result of a potentially contaminated surface. A final third  
184 analysis (B3) consisting of two scans performed in opposite directions was performed at  $20 \mu\text{m/s}$  and  $5 \text{ J/cm}^2$ .  
185 Processing of the raw  $^{14}\text{C}$  data was performed using in-house standards also analyzed by LA-AMS for blank  
186 subtraction and standard normalization (marble,  $F^{14}\text{C} = 0$  and coral standard,  $F^{14}\text{C} = 0.9445 \pm 0.0018$ )— and a  
187 conventional fractionation correction to a  $\delta^{13}\text{C}$  of  $-25\%$  was applied (Stuiver and Polach, 1977). A Savitzky-Golay  
188 (SG) filter is applied to the recorded  $^{14}\text{C}$  signal of B3, which is a smoothing method that reduces noise while

189 maintaining the shape and height of peaks (Savitzky and Golay, 1964). In brief, a polynomial is fitted to a sub-set  
 190 of the data points and evaluated at the center of the approximation interval. Two parameters, namely the number  
 191 of points defining the approximation interval and the maximum polynomial order, can be defined. The smoothing  
 192 has been applied to the two sub-scans of B3 (from “old to young” and vice versa) as well as to the combined data  
 193 to ensure robustness of the filter. Corresponding uncertainties are estimated from the square root of the sum of the  
 194 squared difference between the measured  $F^{14}C$  value and the SG fit at each point within the interval. This value is  
 195 then divided by the square root of the difference between the interval length (number of data points) and the  
 196 maximum order allowed for the polynomial, which is equivalent to the degree of freedom.



197  
 198 *Figure 2: Polished slab of SPA 127 (top is left). Top and bottom piece with the locations of the stable isotope track are  
 199 marked in purple and of the LA-AMS test tracks are marked in purple in and blue, respectively (the tracks corresponding to  
 200 the data presented in this work were placed next to the test tracks). The total length of the slab is 14.6 cm. Roman numerals and  
 201 dashed black lines mark the three sections discussed separately.*

## 202 4 Results

### 203 4.1 Dead carbon fraction

204  $^{14}C$  results for both pieces of SPA 127 (T1, T2 and B3) are reported as def (blue line in Fig. 3 a and Fig. S8 a).  
 205 From the Using the  $^{14}C$  profile of the speleothem, the StalAge (Scholz and Hoffmann, 2011) age-depth model was  
 206 applied to previously published U-Th data (Fohlmeister et al., 2013) and the known  $^{14}C$  content of the atmosphere  
 207 during the Holocene (Reimer et al., 2016), and the dcf was calculated according to equation (1) for the 1402  
 208 radiocarbon data points (Figure 3 Fig. 3 aA). A Savitzky-Golay (SG) filter was applied (interval: 21, maximum  
 209 polynomial order: 2) and for comparison the  $\delta^{18}O$  and  $\delta^{13}C$  data are shown in the same graph (Figure 3 Fig. 3 a and  
 210 b). The U-Th-dates and the corresponding average growth rate calculated using StalAge are displayed in Figure  
 211 3 Fig. 4 c.

### 212 4.2 Stable C isotopes

213 The previously published  $\delta^{18}O$  values (Fohlmeister et al., 2013) and unpublished  $\delta^{13}C$  data (this study) are shown  
 214 in Figure 3 Fig. 3 a and b, respectively. A large amplitude and fast changes ranging from -8‰ to +1‰ characterize  
 215  $\delta^{13}C$  throughout the entire length of the speleothem but are especially pronounced between 30 and 130 mm (ca.  
 216 4.0—8.1 ka BP). Layers exhibiting a comparably stable  $\delta^{13}C$  occur at the top and bottom of SPA 127, specifically  
 217 ranging from 40 to 25 mm (ca. 2.6 and 3.7 ka BP) and from 13025 to 14450 mm (ca. 8.1—8.4 ka BP).

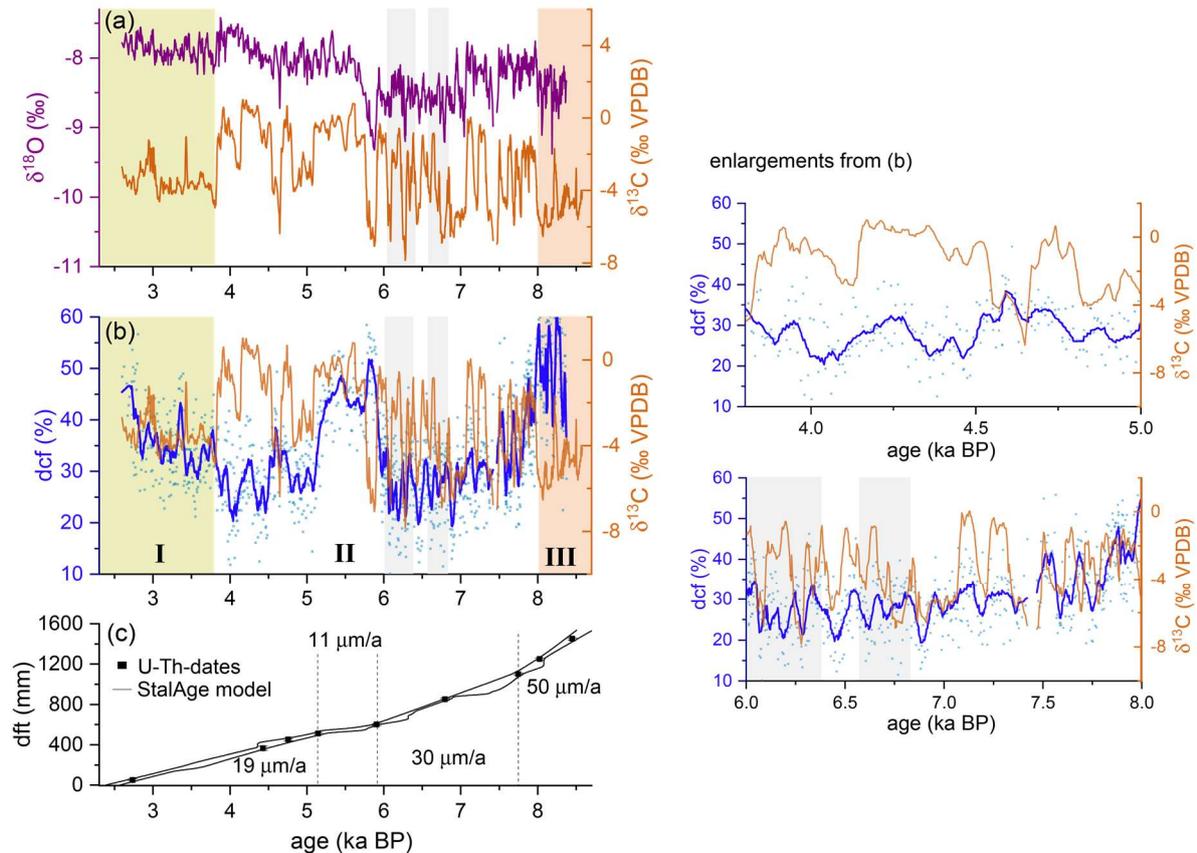
## 218 5 Discussion

219 The interpretation of the results on C isotopes in SPA 127 will be divided in three main parts sections that  
 220 correspond to three sections identified on the speleothem based on their  $\delta^{13}C$  characteristics, i.e., two periods of  
 221 comparably stable  $\delta^{13}C$  before 8 ka BP and after 3.5 ka BP and the interval in between with large and rapid  
 222 fluctuations.

223 5.1 Old section of SPA 127 (8.5-8.0 ka BP)

224 In the oldest part of SPA 127, the dcf is comparably high (~60%), while  $\delta^{13}\text{C}$  is relatively depleted with values  
225 lower than -5‰ on average. Although the reservoir effect is extremely high, in principle the obtained C-isotope  
226 composition can be explained without a major contribution of pyrite oxidation. The relatively low  $\delta^{13}\text{C}$  value ~~of -~~  
227 ~~5‰~~ actually contradicts this mode of host rock dissolution but is in line with a sparse C3 vegetation ( $\delta^{13}\text{C} \approx -25\text{‰}$ )  
228 above the cave and host rock dissolution under nearly closed conditions (compare ~~Fig. 4~~~~Fig. 4 c~~ and ~~d~~). The  
229 stoichiometry of  $\text{CaCO}_3$  dissolution by carbonic acid predicts that only about half of the C in the solution is derived  
230 from the host rock under nearly completely closed conditions. Given that the Jurassic host rock is devoid of  $^{14}\text{C}$ ,  
231 the biogenic component must be older than the contemporaneous atmosphere to allow dcf values ~~larger-greater~~  
232 than 50%. Thus, in addition to ~~the atmospheric radiocarbon contribution from~~ living vegetation, ~~which contributes~~  
233 ~~atmospheric radiocarbon~~, an “old” OM source, which respire radiocarbon-depleted  $\text{CO}_2$ , is required to explain  
234 ~~the~~ depleted  $\delta^{13}\text{C}$  values and elevated dcf. Such “old” OM is also argued to have contributed to the radiocarbon  
235 reservoir effect of Moomi Cave (Socotra Island) during the last glacial period (Therre et al., 2020). Observations  
236 from other cave and karst systems also point to important C pools deep in the vadose karst, e.g., (Benavente et al.,  
237 2010; Bergel et al., 2017; Breecker et al., 2012). Nevertheless, in a sparsely vegetated high elevation region this is  
238 the first finding of this kind.

239 The speleothem growth phase prior to 8.0 ka ~~falls~~ coincides with the early Holocene thermal maximum, which is  
240 also reflected by the depleted  $\delta^{18}\text{O}$  values hinting towards higher temperatures (Fohlmeister et al., 2013; Mangini  
241 et al., 2005). Warmer periods likely favor microbial decomposition of, e.g., OM present in the epikarst below the  
242 soil zone, which leads to an increase in  $\text{pCO}_2$  and, hence, more acidic water. In turn, more  $\text{CaCO}_3$  can be dissolved  
243 giving rise to higher speleothem growth rates, which is indeed observed in this period (~~Figure 3~~~~Fig. 3~~, orange  
244 shaded area). Thus, growth rate and the C-isotope composition of stalagmite SPA 127 are in agreement with the  
245 presence of a deep OM reservoir in the karst system above the cave.



246  
 247 *Figure 3: ~~dcf (light blue dots) with a 21 point SG filter (dark blue line) plotted against age and compared to (A)  $\delta^{18}\text{O}$~~*   
 248 *~~(represented by the purplegreen line) (Fohlmeister et al., 2013) compared to  $\delta^{13}\text{C}$  (orange line) and (Bb) dcf (light blue dots)~~*  
 249 *~~with a 21 point SG filter (dark blue line) plotted against age and compared to  $\delta^{13}\text{C}$  (orange line). The yellow, white and orange~~*  
 250 *~~shaded areas represent phases with distinct stable isotope characteristics. Roman numbers indicate the three subsections~~*  
 251 *~~discussed in the text. Details can be found in the text. Light grey shaded areas mark regions where the SG filter was determined~~*  
 252 *~~with lower confidence. Enlargements of this graph are shown in the right panel. (Cc) Growth history of SPA 127 obtained by~~*  
 253 *~~StalAge applied to dated depths (black squares, errors are smaller than the symbol size). Numbers represent average growth~~*  
 254 *~~rates of the individual sections.~~*

## 255 5.2 Rapid changes in dcf and stable C isotopes (8.0 to 3.8 ka BP)

256 The growth rate was reduced in this period compared to the previous one (Fig. 3 c). This either suggests that  
 257 meteoric precipitation or the amount of soil-derived C were reduced, both resulting in a smaller amount of  
 258 dissolved carbonate transported to the cave. The low  $\delta^{13}\text{C}$  values of the first growth period were superseded by  
 259 rapid and very large variations of  $\delta^{13}\text{C}$ . This pattern is complex and its interpretation is difficult, as this behavior  
 260 has not been observed elsewhere. Processes in the soil and karst as well as in-cave processes ~~have to be taken into~~  
 261 ~~consideration must be considered~~. High-resolution LA-AMS  $^{14}\text{C}$  measurements in conjunction with O isotope data  
 262 and growth rate changes, however, greatly assist in disentangling the driving mechanism(s) for these  $\delta^{13}\text{C}$   
 263 variations. The dcf between 8.0- a and 3.8 ka BP is generally lower than in the older section. This means that either  
 264 the aged OM in the karst was depleted or its degradation was reduced, possibly due to a reduction in meteoric  
 265 precipitation (as deduced from growth rate reduction). Both reasons, less meteoric precipitation and a depleted  
 266 deep OM pool, would well explain the observed reduction in growth rate. The only speleothem C sources available  
 267 in this period were consequently the close-to-modern SOM and the radiocarbon-free host rock.

268 The dcf record shows a strong and rapid increase around 6 ka and a rapid decrease back to pre-6 ka levels at around  
269 5 ka (Fig. 3 b). The increase in dcf occurs at the same time as a significant decrease in  $\delta^{18}\text{O}$ , but dcf remains  
270 elevated when  $\delta^{18}\text{O}$  jumps back again shortly after. Instead, the decrease in dcf at 5 ka occurs contemporaneously  
271 with a  $\delta^{13}\text{C}$  decrease after  $\delta^{13}\text{C}$  values remained at elevated values for nearly a millennium. The reason for such a  
272 behavior of the dcf remains elusive. In this section, we focus on the cause of the large and rapid jumps in  $\delta^{13}\text{C}$  by  
273 ~~testing-proposing~~ two hypotheses.

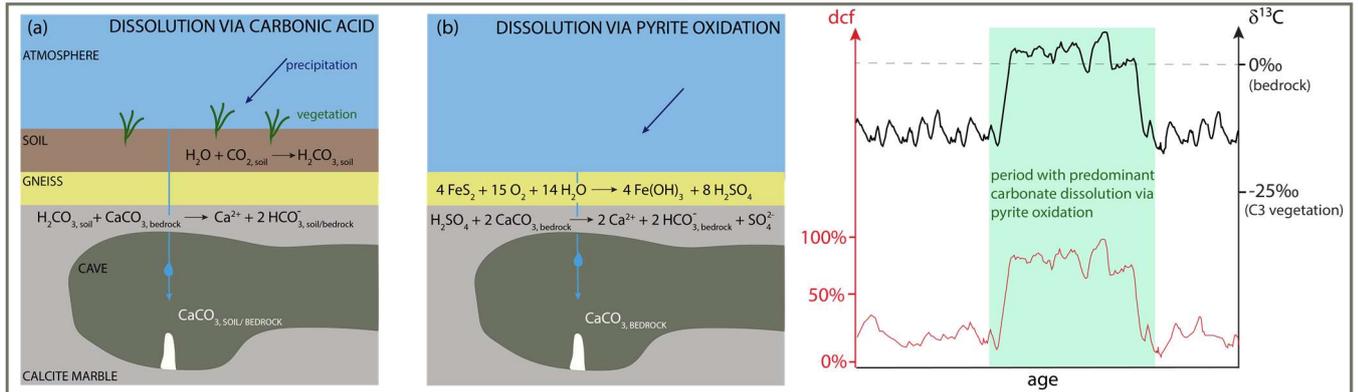
274 **Hypothesis 1:** Processes above the cave, i.e. different carbonate dissolution processes, cause the rapid switching

275 Two different processes may have caused carbonate dissolution at Spannagel cave in this period. The first involves  
276 soil  $\text{CO}_2$ -derived carbonic acid (~~from root respiration and microbial decomposition of SOM~~) while the second  
277 process operates via sulfuric acid formed by pyrite oxidation (compare Fig. 4 ~~Fig-4 aA and bB~~). During times  
278 when the first process dominates, the stable carbon isotopic composition of stalagmites is strongly influenced by  
279 C from the soil shifting  $\delta^{13}\text{C}$  towards more negative values. At the same time, the dcf is expected to be relatively  
280 low (at least <50%) as the comparably  $^{14}\text{C}$ -rich soil C contributes significantly to the signal. In contrast, pyrite  
281 oxidation leads to more positive  $\delta^{13}\text{C}$  values in the stalagmite corresponding to the  $\delta^{13}\text{C}$  composition of the host  
282 rock, as the  $\delta^{13}\text{C}$ -depleted biogenic source contributes little or even no C (Bajo et al., 2017; Spötl et al., 2016).  
283 Under these conditions, the dcf should increase to values close to 100% if the comparably modern soil contribution  
284 is absent. If the observed  $\delta^{13}\text{C}$  variations are caused by rapid alternation between both processes, a positive  
285 correlation between  $\delta^{13}\text{C}$  and the dcf has to be expected, with extreme values in the dcf as outlined above. However,  
286 no significant long-term positive correlation for the two data series, i.e.  $\delta^{13}\text{C}$  and dcf, is observed (Fig. S10) and  
287 extreme ~~dcf~~DCF values are not observed. A robust comparison of both data sets is impeded because of the different  
288 spatial offset of the two measurement tracks from the growth axis. The radiocarbon track is located ca. 5 mm  
289 further from the central growth axis than the stable isotope track (Figure 2 ~~Fig-2~~). Growth layers cannot be  
290 identified, but the small stalagmite diameter suggests steeply dipping layers resulting in an apparent shift of the  
291 dcf towards older ages relative to  $\delta^{13}\text{C}$ . Indeed, such a delay is observable when comparing details in the two data  
292 series (Figure 3 ~~Fig-3 b~~). ~~Taking a~~Considering the potential offset between the two records ~~into account~~ (see  
293 ~~Materials & Methods~~section 3), a ~~positive correlation~~high degree of similarity between main features in  $\delta^{13}\text{C}$  and  
294 dcf are observable for the middle period, especially between 8 and 6 ka and also partly between 5 and 3.8 ka BP.  
295 Those phases are interrupted by the interval of the previously described strong increase in dcf.

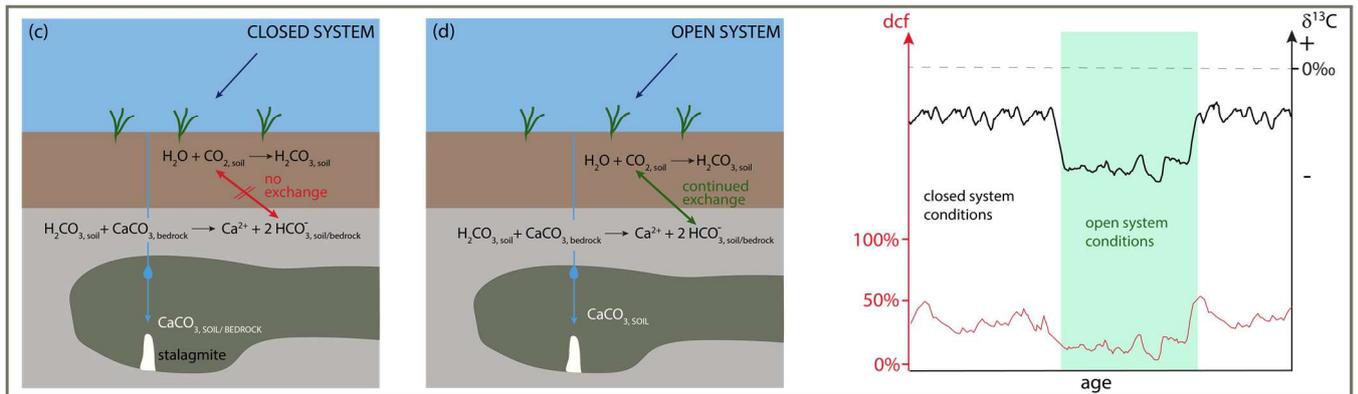
296 The large swings in  $\delta^{13}\text{C}$  suggest frequent switches between the carbonate dissolution mechanism from carbonic  
297 acid dissolution to pyrite oxidation. However, this is expected to be accompanied by an increase of the dcf to 100%  
298 because of the diminishing  $^{14}\text{C}$ -rich soil signature, which, however, is not observed. Generally, the dcf is even  
299 smaller than in the youngest and oldest sections of the stalagmite, i.e. after 3.8 ka and before 8 ka BP. ~~The~~  
300 ~~correlation between dcf and  $\delta^{13}\text{C}$  suggests that there might have been a~~changes between open and closed  
301 carbonate dissolution regimes ~~are expected to result in a positive relationship between the dcf and  $\delta^{13}\text{C}$ , which is~~  
302 ~~observed in SPA 127. However,~~but the magnitude of  $\delta^{13}\text{C}$  variations ~~found in SPA 127 is too large to be explained~~  
303 ~~by a change of the dissolution regimes larger than if triggered by this process~~ even when ~~considering the extreme~~  
304 ~~switch~~changing from a completely open to a completely closed system (Hendy, 1971; Fohlmeister et al., 2011).  
305 Thus, additional processes in the cave most likely caused this unusual behavior and the high-magnitude and high-  
306 frequency  $\delta^{13}\text{C}$  variations.



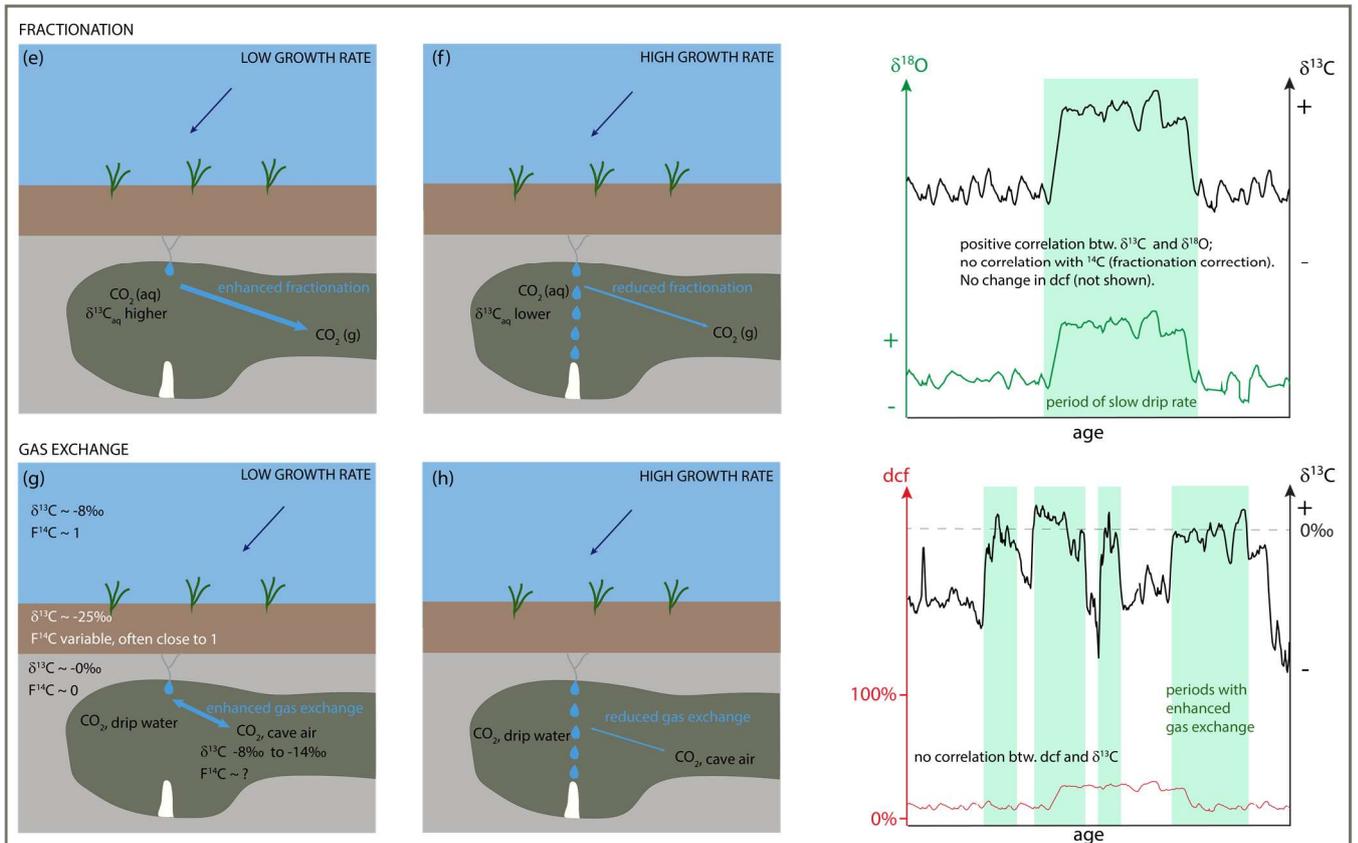
CARBONATE DISSOLUTION MECHANISM ALTERNATING BETWEEN SOIL CO<sub>2</sub> AND SULFIDE OXIDATION



CARBONATE DISSOLUTION MECHANISM: OPEN vs. CLOSED



PROCESSES IN CAVE: FRACTIONATION



308

309 Fig. 4 Overview of different processes that can influence F<sup>14</sup>C and δ<sup>13</sup>C in speleothems. For details see text.

310 • **Hypothesis 2:** Processes in the cave cause the rapid switching

311 A major factor influencing the carbon isotope composition of stalagmites are fractionation processes (compare  
312 [Fig. 4 eE](#) and [fF](#)), which occur during degassing of CO<sub>2</sub> and precipitation of CaCO<sub>3</sub> from the solution. Fast  
313 dripping results in fast growth and leaves less time for fractionation or C isotope exchange (compare [Fig. 4 gG](#)  
314 [and hH](#)) and vice versa (e.g., Fohlmeister et al., 2018; Scholz et al., 2009). In addition, the difference in pCO<sub>2</sub>  
315 between water and cave-air CO<sub>2</sub> can also influence isotope fractionation and C exchange processes. In the middle  
316 part of SPA 127, the average growth rate decreased to  $\leq 30 \mu\text{m/a}$ , which is significantly lower than in the oldest  
317 section ([50  \$\mu\text{m/a}\$](#) ). As discussed earlier, the reduction in growth rate might have been partly induced by reduced  
318 meteoric precipitation resulting in slower drip rates or by reduced soil or karst CO<sub>2</sub> concentrations leading to less  
319 dissolved host rock CaCO<sub>3</sub>. In addition, a lower or absent contribution of an “old” OM reservoir in the karst would  
320 have led to a lower pCO<sub>2</sub> difference between the CO<sub>2</sub> concentration in the drip water and cave air, which favors  
321 an increase in  $\delta^{13}\text{C}$  through ~~fractionation and~~ C isotope exchange processes in the cave. We hypothesize that the  
322 rapid changes in  $\delta^{13}\text{C}$  might correlate with short-term changes in growth rate, which cannot be resolved by the  
323 available U-Th chronology, enabling or disabling isotope fractionation and [C exchange between cave air CO<sub>2</sub> and](#)  
324 [DIC in drip water](#)~~gas-exchange-in-the-cave~~ that are described in the following two paragraphs.

325 (i) Changes in [isotope](#) fractionation effects

326 During periods of slow growth, fractionation processes can significantly alter the isotopic composition of the  
327 stalagmite. During CO<sub>2</sub> degassing from the drip water, the lighter molecules are preferentially transferred  
328 into the gas phase resulting in a solution enriched in heavy isotopes. Indeed, recent experiments (Fahrni et  
329 al., 2017) support earlier findings that fractionation of radiocarbon relative to <sup>12</sup>C is about twice as large as  
330 for <sup>13</sup>C relative to <sup>12</sup>C (Stuiver and Robinson, 1974). However, as radiocarbon measurements are corrected  
331 for fractionation effects via  $\delta^{13}\text{C}$  values, it is impossible to detect a potential correlation between the two  
332 isotopes due to fractionation effects. However, potential fractionation affecting  $\delta^{13}\text{C}$  also influences  $\delta^{18}\text{O}$  and  
333 can be confirmed by a positive correlation between stable C isotopes and O isotopes, e.g. (Dreybrodt, 2008;  
334 Polag et al., 2010). Applying a running correlation coefficient between  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  is a powerful tool to  
335 detect fractionation changes through time (Fohlmeister et al., 2017). 11-point running correlation coefficients  
336 calculated for the two time series of SPA 127 show no stalagmite sections with a high correlation coefficient,  
337 but vary without any obvious pattern between -1 and +1 (compare Fig. S10, bottom panel). Thus,  
338 fractionation was most likely not the main process causing the large variations in  $\delta^{13}\text{C}$ , but may have played  
339 a minor role during some periods.

340 (ii) Prior calcite precipitation (PCP)

341 PCP can have an effect on  $\delta^{13}\text{C}$ , even a large ones as observed for our stalagmite. While this would not have  
342 an effect on <sup>14</sup>C, we would expect that  $\delta^{18}\text{O}$  should show a similar behavior, which is not the case ([Fig. 3 a](#)).  
343 Thus, we can safely assume, that PCP is not responsible for the rapid changes observed in SPA 127.

344 ~~(iii) — C exchange between cave air CO<sub>2</sub> and DIC in drip water. Gas-exchange processes~~

345 Another process that is a potential candidate for causing the behavior observed in SPA 127 in this interval is  
346 the C isotope exchange between CO<sub>2</sub> of the cave air and C dissolved in the drip water. The ~~g C exchange~~  
347 [between cave air CO<sub>2</sub> and DIC in drip water](#)~~as-exchange-process~~ may be [the dominant process](#) if the  
348 stalagmite growth rate is sufficiently low and when drip interval [is long, meaning that 95% of the CaCO<sub>3</sub>](#)

precipitated either via PCP or on the stalagmite (Guo, 2020), and/or the differences between the pCO<sub>2</sub> of the water and cave air is small (Hendy, 1971; Scholz et al., 2009). In this case, the C isotopic composition of the drip water when reaching the top of the stalagmite depends mainly on the initial δ<sup>13</sup>C of drip water and on the degree of C isotope exchange with the cave atmosphere. Spannagel Cave is well ventilated throughout the year with cave air δ<sup>13</sup>C values of -10 to -11‰ (Tochterle et al., 2017), which is significantly lower than that of the atmosphere, i.e. approximately -8‰ (Keeling et al., 2010). These more negative values are a hint towards a contribution from soil air. The following assumptions were made: the δ<sup>13</sup>C of drip water is composed of two biogenic C sources (δ<sup>13</sup>C ~ -25‰) and host rock (δ<sup>13</sup>C ~ +2.89‰) and about 20 - 30% are derived from the host rock (based on the dcf in this interval). Accounting for about 10‰ to 11‰ fractionation between soil gas CO<sub>2</sub> and HCO<sub>3</sub><sup>-</sup> during the transition of soil gas CO<sub>2</sub> to dissolved inorganic carbon (DIC), the initial drip water, which was feeding the stalagmite, had a δ<sup>13</sup>C value between -11.5 and -9‰ (Mook et al., 1974). Considering Rayleigh fractionation effects in the cave, carbonate δ<sup>13</sup>C values of -8‰ appear feasible (Scholz et al., 2009; Deininger et al., 2012) without any exchange of C isotopes. C isotope exchange processes lead to water significantly enriched in <sup>13</sup>C. When cave air with δ<sup>13</sup>C values around -11‰ exchanges with drip water, the C isotopic composition of the water will increase, as the transition of gaseous CO<sub>2</sub> to HCO<sub>3</sub><sup>-</sup> involves a fractionation of about +10 to +11‰ at temperatures between 0 and 5°C (Mook et al., 1974). Thus, drip water in C isotopic equilibrium with cave air CO<sub>2</sub>, which is the most extreme case, should have δ<sup>13</sup>C values of -1 to 0‰. Precipitation of CaCO<sub>3</sub> from such water would result in δ<sup>13</sup>C values of around 0 to +1‰ as observed for some short intervals.

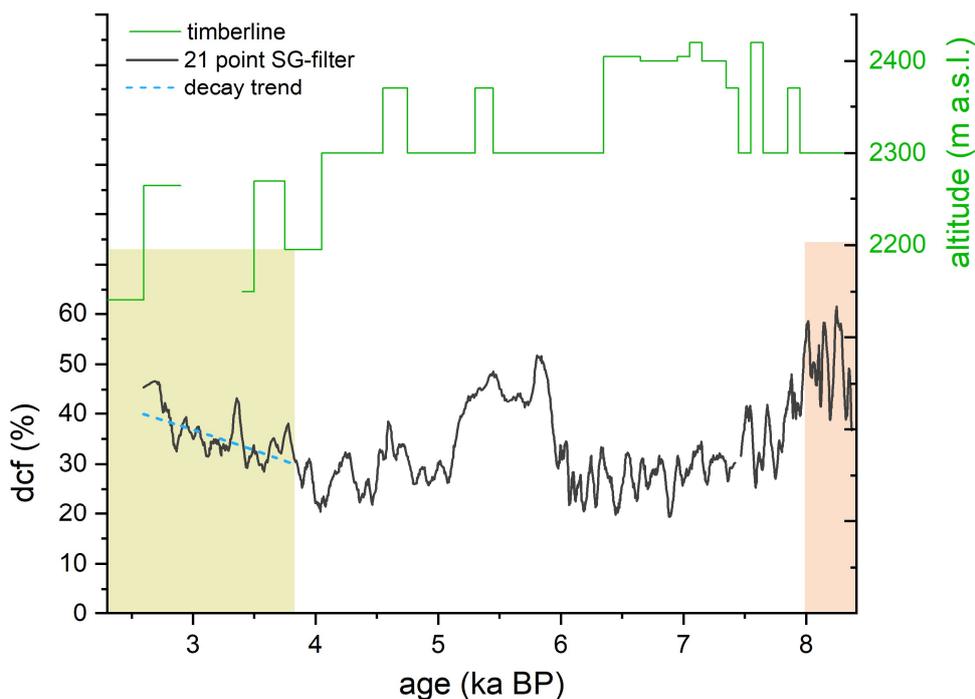
Similar considerations can be applied to radiocarbon. If the cave ventilation is sluggish, F<sup>14</sup>C in the cave air will deviate from atmospheric values (i.e. F<sup>14</sup>C<sub>atm</sub> ≈ 1) as it is influenced by other sources, such as soil air or CO<sub>2</sub> degassed from drip water, which both are depleted with respect to atmospheric values. In a recent study, cave air has been shown to be depleted in radiocarbon with values as low as F<sup>14</sup>C ≈ 0.6 (Minami et al., 2015). When the cave ventilation is not effectively changing the depleted radiocarbon towards more atmospheric values, C isotope exchange processes are not detectable using <sup>14</sup>C in speleothems. As isotopic fractionation is not important for radiocarbon (as explained above), C isotope exchange of DIC with cave air, which both have a similar radiocarbon content, would have no effect on the <sup>14</sup>C signal of the precipitated calcite.

~~In summary, combined high-resolution δ<sup>13</sup>C and radiocarbon measurements are a valuable tool to shed new light on processes affecting C isotopes in the subsurface. Using well justified assumptions and first order calculations of mixing and fractionation effects, in cave C isotope exchange processes remain the only explanation for the rapid and high-magnitude δ<sup>13</sup>C changes.~~

### 5.3 Interpretation of dcf and δ<sup>13</sup>C in the youngest section of SPA 127 (3.8 to 2.5 ka BP)

In the youngest section of this stalagmite a behavior similar to the oldest section with respect to δ<sup>13</sup>C and radiocarbon content is observed. From approximately 3.8 ka BP onward, the dcf increases slowly from about 20% to 50%. Correspondingly, δ<sup>13</sup>C shows a lower variability than in the middle part with mean δ<sup>13</sup>C values of -3‰ to -4‰, which is also comparable to the behavior observed for the interval > 8 ka BP. As δ<sup>13</sup>C does not show any long-term trend as observed for the reservoir effect, we rule out a change to more closed carbonate dissolution conditions driving the increase in dcf. The only explanation that can lead to such an increase is an “old” C reservoir. We propose that climatic conditions changed such that this “old” OM pool in the karst, which was decoupled from the atmosphere, has become the main OM derived CO<sub>2</sub> source. This CO<sub>2</sub> resulted in acidification in a comparable

389 way as soil CO<sub>2</sub> enhancing carbonate dissolution and ultimately contributed to stalagmite CaCO<sub>3</sub>. The isotopic <sup>14</sup>C  
 390 imprint, however, is significantly different to soil CO<sub>2</sub> causing the observed increase in dcf. Between  
 391 approximately 8 and 6 ka BP the Alps experienced a warmer climate than today (Ivy-Ochs et al., 2009; Nicolussi  
 392 et al., 2005). A study conducted by Nicolussi et al. (2005) in the Kauner valley, situated approximately 70 km west  
 393 of Spannagel Cave, showed that the timberline was significantly higher during that period ([Figure 5](#)~~Fig-5~~[Error!](#)  
 394 [Reference source not found.](#)) supporting a warmer climate. Between 6 and 4 ka BP the timberline was comparable  
 395 to the present-day situation.



396  
 397 *Figure 5: Comparison of the dcf in stalagmite SPA 127 with the elevation of the timberline reconstructed for the Kauner*  
 398 *valley 70 km west of Spannagel Cave (green line, after Nicolussi et al. (2005)) with the dcf. Around 4 ka BP the timberline*  
 399 *started to decline which is concurrent with an increase in dcf, i.e., a decrease in initial <sup>14</sup>C. This decrease closely follows a*  
 400 *radiocarbon decay trend (dashed blue line). Green and red areas mark the three different time periods as indicated in [Figure](#)*  
 401 *[3](#)Fig-4.*

402 It is expected that with the lowering of the timberline after ~4 ka the vegetation density decreased as well, which  
 403 should be reflected in the speleothem-  $\delta^{13}\text{C}$  values. This, however, is not the case during this period pointing  
 404 towards a relatively stable contribution of soil-derived CO<sub>2</sub>. Possibly, a certain proportion of plants that grew  
 405 during the early to mid-Holocene warm epoch died and the corresponding OM located in the deeper vadose zone  
 406 was initially stabilized due to reduced meteoric precipitation and later became mobilized due to enhanced microbial  
 407 activity. Considering the low mean annual temperatures at this high-alpine site, decomposition processes are most  
 408 likely slow, allowing OM to age during decomposition as indicated in a recent study by Shi et al. (2020). The  
 409 radiocarbon composition of the ageing SOM will closely follow a radiocarbon-specific exponential decay and is  
 410 responsible for the depleted radiocarbon concentration in soil gas CO<sub>2</sub>. Depending on the contribution of root-  
 411 respired CO<sub>2</sub> of Alpine plants compared to the decomposed CO<sub>2</sub> from dead OM, the dcf will closely follow an  
 412 exponential decay, resembling that of the radiocarbon decay and thus would contribute to the observed increase of  
 413 the dcf. The closer the observed increase in the dcf follows that of a radiocarbon decay trajectory, the larger the  
 414 contribution of CO<sub>2</sub> from the ageing SOM reservoir in relation to root-respired CO<sub>2</sub>. Based on the observed rate of

415 increase in dcf, which compares well with the radiocarbon decay trend (blue dashed line in [Figure 5](#)Fig-5), we  
416 suggest that the majority of DIC that contributed to the speleothem CaCO<sub>3</sub> had its origin in aged soil OM.

## 417 **6 Conclusion**

418 Combined stable carbon isotope and radiocarbon analyses of stalagmite SPA 127 provide a comprehensive picture  
419 of the carbon dynamics at ~~the~~ Spannagel Cave. ~~With the~~~~Due to the~~ novel LA-AMS technique, a highly spatially  
420 resolved <sup>14</sup>C time series allows unprecedented insights into processes in this high-alpine karst system. Care has to  
421 be taken when applying LA-AMS to stalagmites as epoxy resin used in sample preparation leads to distorted  
422 results.

423 Results from this study allow to distinguish three intervals with different carbon dynamics:

- 424 (i) The interval before 8 ka BP is characterized by generally low and stable  $\delta^{13}\text{C}$  values combined with a  
425 comparably high dcf (>50%) pointing towards the existence of an “old” OM reservoir in the epikarst.  
426 CO<sub>2</sub> emanating from this presaged C pool provides additional carbonic acid potentially enhancing  
427 bedrock dissolution.
- 428 (ii) The interval between 8 and 3.8 ka BP is characterized by a strong variability in  $\delta^{13}\text{C}$  with a generally  
429 lower dcf suggesting that the “old” OM reservoir in the karst had either been exhausted or stabilized (less  
430 production to aged respired soil/karst CO<sub>2</sub>) possibly due to reduced meteoric precipitation. This is  
431 supported by a lower stalagmite growth rate in this period. [C exchange between cave air CO<sub>2</sub> and DIC in](#)  
432 [drip water](#)~~In-cave gas exchange processes~~ ~~is~~are the most likely explanation for the strong  $\delta^{13}\text{C}$  variability,  
433 as (i) bedrock dissolution mechanisms, i.e. pyrite oxidation vs. carbonic acid dissolution, are not  
434 supported by the magnitude of changes in dcf and stable C, even though the temporal coherence indicates  
435 that some of the  $\delta^{13}\text{C}$  variations might be explained by the bedrock dissolution mode (open vs. closed  
436 carbonate dissolution) and, (ii) fractionation processes in the cave cannot explain the large shifts as no  
437 correlation between  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  is observed.
- 438 (iii) In the interval between 3.8 and 2.4 ka BP the comparably more stable  $\delta^{13}\text{C}$  signature combined with an  
439 increasing dcf hints towards a contribution from an ageing OM reservoir in the karst similar to the period  
440 > 8 ka BP. This OM reservoir contributed to the stalagmite growth in this period due to warmer climatic  
441 conditions. While the contribution of “old” OM in the oldest growth phase was stable, the youngest  
442 section indicates an ageing of this reservoir.

## 443 **Author contribution**

444 CW, JF and CS conceptualized the content of this manuscript. CW, MW, BH carried LA-AMS measurements out,  
445 CS conducted stable carbon isotope analyses. MW and LW developed the data reduction strategy. JF, CW and TE  
446 interpreted the data and compared them to published records. CW prepared the manuscript with contributions from  
447 all co-authors.

## 448 **Competing interests**

449 The authors declare that they have no conflict of interest.

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454

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