

1 **Archaeal lipid-inferred paleohydrology and paleotemperature of**  
2 **Lake Chenghai during the Pleistocene-Holocene transition**

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25 **ABSTRACT**

26 Over the past decades, paleoenvironmental studies in the Indian Summer  
27 Monsoon (ISM) region have mainly focused on precipitation change, with few  
28 published terrestrial temperature records from the region. We analyzed the distribution  
29 of isoprenoid glycerol dialkyl glycerol tetraethers (isoGDGTs) in the sediments of  
30 Lake Chenghai in southwest China across the Pleistocene-Holocene transition, to  
31 extract both regional hydrological and temperature signals for this important transition  
32 period. Lake level was reconstructed from the relative abundance of crenarchaeol in  
33 isoGDGTs (%cren) and the crenarchaeol'/crenarchaeol ratio. The %cren-inferred  
34 lake-level identified a single lowstand (15.4-14.4 cal ka BP), while the  
35 crenarchaeol'/crenarchaeol ratio suggests relatively lower lake-level between  
36 15.4-14.4 cal ka BP and 12.5-11.7 cal ka BP, corresponding to periods of weakened  
37 ISM during the Heinrich 1 and Younger Dryas cold event. A filtered TetraEther indeX  
38 consisting of 86 carbon atoms (TEX<sub>86</sub> index) revealed that lake surface temperature  
39 was similar to present-day values during the last deglacial period, and suggests a  
40 substantial warming of ~4 °C from the early Holocene to the mid-Holocene. Our  
41 paleotemperature record is generally consistent with other records in southwest China,  
42 suggesting that the distribution of isoGDGTs in Lake Chenghai sediments has  
43 potential for quantitative paleotemperature reconstruction.

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45 **Keywords:** Quantitative temperature reconstruction; Lake-level; TEX<sub>86</sub>; Isoprenoid  
46 GDGTs; Lacustrine sediment

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## 52 **1. Introduction**

53       Precipitation variation in the Indian summer monsoon (ISM) region has a strong  
54 influence over ecosystem function, water availability and economic security across  
55 the region (Sinha et al., 2011; Sinha et al., 2015; Ljungqvist et al., 2016). As a result,  
56 scientific interest has been stimulated in understanding the underlying forcing  
57 mechanisms behind climate variability in the ISM region on a range of time-scales, in  
58 order to better predict future monsoonal variations. Over the past two decades, climate  
59 evolution in the ISM region since the Last Glacial Maximum has been reconstructed  
60 from various paleoclimatic archives, including speleothems, and marine/lacustrine  
61 sediments (Dykoski et al., 2005; Rashid et al., 2007; Govil and Divakar Naidu, 2011;  
62 Saraswat et al., 2013; Contreras-Rosales et al., 2014; Wang et al., 2014b; Dutt et al.,  
63 2015; Wu et al., 2015; Kathayat et al., 2016; Zhang et al., 2017a, 2017b; Li et al.,  
64 2018; Zhang et al., 2018; Sun et al., 2019; Zhang et al., 2019). These studies provide  
65 evidence of changes in ISM precipitation on orbital- and millennial time-scales, with  
66 a weakened ISM occurring during cold events, and strengthened ISM occurring  
67 during warm intervals.

68       In addition to precipitation, temperature is an important climatic factor, due to its  
69 significant effects on evaporation and regional hydrological cycle. There remains a  
70 lack of quantitative reconstructions of terrestrial temperature from the ISM region  
71 (Shen et al., 2006; Zhang et al., 2017a; Wu et al., 2018; Feng et al., 2019; Ning et al.,  
72 2019; Tian et al., 2019; Zhang et al., 2019). During the last deglaciation-Holocene  
73 transition, the climate of high latitudes in the Northern Hemisphere is punctuated by  
74 three abrupt, millennial-scale events: the Heinrich 1 (H1) cold event, the  
75 Bølling/Allerød (BA) warm period and the Younger Dryas (YD) cooling (Alley and  
76 Clark, 1999). These intervals are attributed to a variety of mechanisms including  
77 changes to orbitally-controlled insolation, ice sheet extent, oceanic circulation and  
78 atmospheric greenhouse concentrations (Alley and Clark, 1999). The recent  
79 quantitative summer temperature proxy based on pollen and chironomids from  
80 southwest China has been developed to address the response of long-term temperature

81 to the high latitude climate changes (Zhang et al., 2017 and 2019; Wu et al., 2018).  
82 However, the magnitude of these temperature variations is not consistent, and further  
83 studies are required.

84 Glycerol dialkyl glycerol tetraethers (GDGTs) have been widely used for the  
85 quantitative reconstruction of terrestrial paleotemperature during the Quaternary due  
86 to the fact that they are ubiquitous in soils and lacustrine sediments (Blaga et al., 2013;  
87 Wang et al., 2017b; Zheng et al., 2018; Ning et al., 2019; Tian et al., 2019).  
88 Isoprenoid GDGTs (isoGDGTs), comprising acyclic or ring-containing isoprenoidal  
89 biphytanyl carbon chains, are a suit of membrane lipids produced by some species  
90 of archaea, such as Euryarchaeota and Thaumarchaeota (Schouten et al., 2013).  
91 IsoGDGTs containing 0 to 3 cyclopentane moieties (isoGDGTs 0–3, Fig. S1) are  
92 common isoGDGTs with a large range of biological sources (Schouten et al., 2013).  
93 For example, Thaumarchaeota were the dominant biological source of GDGT-0 in  
94 Lake Lucerne from Switzerland (Blaga et al., 2011); while GDGT-0 in Lake Challa  
95 surface sediments might predominantly derive from archaea residing in the deeper,  
96 anoxic water column, such as group 1.2 and marine benthic group C group of the  
97 Crenarchaeota, and the Halobacteriales of the Euryarchaeota (Sinninghe Damsté et al.,  
98 2009). Methanogenic and methanotrophic archaea can also be two important sources  
99 of GDGT-0 within the water column and sediment (Blaga et al., 2009; Powers et al.,  
100 2010). In contrast, crenarchaeol and its regioisomer, crenarchaeol' (Fig. S1), are  
101 considered to be produced specifically by mesophilic Thaumarchaeota in aquatic  
102 environments (Schouten et al., 2002; Blaga et al., 2009; Kim et al., 2010; Powers et  
103 al., 2010; Schouten et al., 2013). On this basis, the ratio of GDGT-0/crenarchaeol has  
104 been proposed to evaluate the influence of Thaumarchaeota on the distribution of  
105 isoGDGTs in lacustrine sediments, and the ratio typically varies between 0.2 and 2 in  
106 Thaumarchaeota (Schouten et al., 2002; Blaga et al., 2009).

107 Thaumarchaeota have a physiological mechanism to increase the weighted  
108 average number of cyclopentane rings in their membrane lipids with growth  
109 temperature (Schouten et al., 2002). Thus the TetraEther indeX consisting of 86

110 carbon atoms (TEX<sub>86</sub> index), which represents the relative number of cyclopentane  
111 moieties in isoGDGT molecules derived from aquatic Thaumarchaeota, has great  
112 potential for use as a paleotemperature proxy in the marine environment and large  
113 lakes (Tierney et al., 2008; Berke et al., 2012; Blaga et al., 2013; Wang et al., 2015).  
114 However, the index may not be a reliable proxy for past temperature in small lakes  
115 due to substantial amounts of soil and/or methanogenic archaea isoGDGTs identified  
116 in the same lacustrine sediment and also due to variability in the depth of isoGDGT  
117 production in aquatic ecosystems (Blaga et al., 2009; Powers et al., 2010; Sinninghe  
118 Damsté et al., 2012a).

119 It has also been shown that crenarchaeol' is only present in low abundance in  
120 most Thaumarchaeota except for the group I.1b Thaumarchaeota, where it is one of  
121 the major isoGDGTs (Kim et al., 2012; Sinninghe Damsté et al., 2012b). The  
122 crenarchaeol'/crenarchaeol ratios for enrichment cultures of group I.1a aquatic  
123 Thaumarchaeota are typically 0.01-0.04, however, for group I.1b Thaumarchaeota  
124 enriched from soils the crenarchaeol'/crenarchaeol ratios are around 0.21 and  
125 substantially higher (Pitcher et al., 2011; Sinninghe Damsté et al., 2012a). In addition,  
126 a likely Group I.1b Thaumarchaeota population inhabiting the subsurface water  
127 column near the anoxic-suboxic boundary was found in Lake Malawi, but the total  
128 production of isoGDGTs by this group appears to be much lower than the  
129 surface-dwelling Thaumarchaeota (Meegan Kumar et al., 2019).

130 In addition, aquatic Thaumarchaeota are nitrifiers, that prefer to live above the  
131 oxycline of relatively deep lakes, as has been observed by a range of lipid biomarker  
132 and DNA based investigations of vertical changes in archaea communities in lake  
133 water columns (Sinninghe Damsté et al., 2009; Blaga et al., 2011; Schouten et al.,  
134 2012; Buckles et al., 2013; Meegan Kumar et al., 2019). Some Thaumarchaeota are  
135 thought to be suppressed by a high light level, which consequently might also inhibit  
136 their ability to thrive near the surface of lakes (Schouten et al., 2013). Further,  
137 Thaumarchaeota are chemoautotrophic and thrive predominantly near the oxycline in  
138 stratified lakes, mainly due to the release of ammonia derived from descending

139 particulate organic matter that is recycled primarily by photoautotrophs or  
140 heterotrophs in the photic zone (Tierney et al., 2010). Consequently, the proportion of  
141 crenarchaeol in isoGDGTs (%cren) has been suggested as lake level proxy (Wang et  
142 al., 2014a; Wang et al., 2017a; Wang et al., 2019). However, it has also been  
143 suggested that mixing of the water column will be much more frequent at lowstand  
144 conditions, and therefore periodically or permanently oxic, high nutrient availability  
145 water and enhanced nitrogen cycling would be likely to result in a relatively higher  
146 production of crenarchaeol (Filippi and Talbot, 2005; Sinninghe Damst éet al., 2012).

147 In this study, we present an isoGDGT record spanning the last  
148 deglacial-Holocene transition from Lake Chenghai in the southwest China. Our stable  
149 oxygen isotope ( $\delta^{18}\text{O}$ ) record of authigenic carbonates from Lake Chenghai  
150 previously revealed that drought events occurred from 15.6 to 14.4 cal ka BP and 12.5  
151 to 11.7 cal ka BP corresponding to the H1 and YD event (Sun et al., 2019). The  
152 present study aims were to (1) identify sources of isoGDGTs in Lake Chenghai  
153 sediments and their linkage, if any, with lake-level variation; (2) test the reliability of  
154 isoGDGT-based proxies as temperature indicators, by comparing our results with  
155 other paleoenvironmental records from adjacent areas, and explore the possible  
156 mechanisms driving temperature variations during the last deglaciation-Holocene  
157 transition in southwestern China.

158

## 159 **2. Materials and methods**

### 160 *2.1. Regional setting*

161 Lake Chenghai (26°27'-26°38'N, 100°38'-100°41'E, Fig. 1A) is a tectonic lake  
162 located in the northwestern part of Yunnan Province (Wang and Dou, 1998). The  
163 current water surface elevation is ~1500 m above sea level (a.s.l.), and the maximum  
164 water depth is ~35 m. The lake is hydrologically closed at present, with a surface area  
165 of ~77 km<sup>2</sup> and a catchment of ~318 km<sup>2</sup> (Wu et al., 2004). However, Lake Chenghai  
166 was linked to the Jinsha River via the Haikou River before a dam at an elevation of

167 ~1540 m a.s.l. was constructed on its southern side at ~0.3 cal ka BP (Wang and Dou,  
168 1998). The annual mean lake surface temperature (LST) is ~16 °C (Wan et al., 2005).  
169 In summer, the lake becomes thermally stratified, with the thermocline at between 10  
170 to 20 m (Fig. 1C, Lu, 2018). Despite a relatively large catchment, the lake level is  
171 mainly maintained by direct precipitation and groundwater, with a total dissolved  
172 solid load of ~1‰ and pH of ~8 (Wan et al., 2005). The lake is eutrophic with a total  
173 phosphate concentration of 0.05 mg/L, and total nitrogen concentration of 0.89 mg/L  
174 (Li et al., 2019). Topsoil types are lateritic red earths and mountain red brown soils in  
175 the catchment (Wang and Dou, 1998). The Lake Chenghai region is mainly affected  
176 by a warm-humid monsoonal airflow from the tropical Indian Ocean from June to  
177 September, and by the southern branch of the Northern Hemisphere westerly jet  
178 between October and May (Wang and Dou, 1998). The mean annual air temperature  
179 (MAAT) is ~14 °C, the mean annual precipitation is ~660 mm with 80% falling  
180 between June and September (the Yongsheng meteorological station 26.68°N,  
181 100.75°E; elevation of 2130 m a.s.l.).

## 182 *2.2. Sampling and dating*

183 In summer 2016, an 874-cm-long sediment core (CH2016) was retrieved using a  
184 UWITEC coring platform system with a percussion corer in 30 m of water depth  
185 (26°33'29.4"N, 100°39'6.7"E). Each section of the core was split lengthways,  
186 photographed and then sectioned at a 1-cm interval in the laboratory; the samples  
187 stored at 4 °C until analysis. The chronology was established using accelerator mass  
188 spectrometry (AMS) <sup>14</sup>C dating of eight terrestrial plant macrofossils and charcoal  
189 (Sun et al., 2019). The radiocarbon analyses were performed at the Beta Analytic  
190 Radiocarbon Dating Laboratory in Miami, USA. The age model was developed  
191 utilizing Bacon, implemented in R 3.1.0 at 5-cm intervals (Blaauw and Andres  
192 Christen, 2011; R Development Core Team, 2013). All AMS <sup>14</sup>C dates were calibrated  
193 to calendar years before present (0 BP =1950) using the program Calib 7.1 and the  
194 IntCal13 calibration data set (Reimer et al., 2013). The basal mean weighted age is  
195 ~15.6 cal ka BP (Fig. 2, Sun et al., 2019).

196 2.3. Lipid extraction and analysis

197 A total of 102 freeze-dried samples at 4-cm interval were collected for GDGT  
198 analysis over the last deglaciation-Holocene transition. The sampling resolution was  
199 increased to 1-cm between 792- 806 cm, due to the low sedimentation rate observed  
200 in this section. In addition, seven surface (the top 2 cm) sediments covering the whole  
201 lake sampled in 2014 were also analyzed. Lipid extraction was undertaken according  
202 to the procedures in Feng et al (2019). A ~4 g aliquot of each sample was extracted  
203 ultrasonically (4 times) with a mixture of dichloromethane and methanol (9:1, v/v).  
204 The supernatants were condensed and saponified at room temperature for 12 h with a  
205 1 M KOH/methanol solution. The neutral fractions were then separated into apolar  
206 and polar fractions on a silica gel column, using *n*-hexane and methanol, respectively.  
207 The polar fraction containing the GDGTs was concentrated and filtered through 0.45  
208  $\mu\text{m}$  polytetrafluoroethylene syringe filters using *n*-hexane/ isopropanol (99:1 v/v), and  
209 then dried under  $\text{N}_2$ .

210 GDGTs were analyzed using an Agilent 1260 series high performance liquid  
211 chromatography-atmospheric pressure chemical ionization-mass spectrometer  
212 (HPLC-APCI-MS), following the procedure of Yang et al. (2015) at the Institute of  
213 Tibetan Plateau Research, Chinese Academy of Sciences. Briefly, the GDGTs were  
214 separated using three silica columns in tandem (100 mm $\times$  2.1 mm, 1.9  $\mu\text{m}$ ; Thermo  
215 Fisher Scientific, U.S.A.), maintained at 40  $^\circ\text{C}$ . The elution gradients were 84%  
216 *n*-hexane (A): 16% ethyl acetate (B) for 5 min, 84/16 to 82/18 A/B for another 60 min,  
217 then to 100% B for 21 min and kept for 4 min, followed by a return to 84/16 A/B for  
218 30 min. The total flow rate of pump A and pump B was maintained at 0.2 ml/min. The  
219 APCI-MS conditions were: vaporizer pressure 60 psi, vaporizer temperature 400  $^\circ\text{C}$ ,  
220 drying gas flow 6 L/min and temperature 200  $^\circ\text{C}$ , capillary voltage 3500 V and corona  
221 current 5  $\mu\text{A}$  (~3200 V). Selected ion monitoring (SIM) mode was performed to target  
222 specific *m/z* values for each GDGT compound, including 1302 (GDGT-0), 1300  
223 (GDGT-1), 1298 (GDGT-2), 1296 (GDGT-3), and 1292 (crenarchaeol and  
224 crenarchaeol'). The results are presented as the fractional of the sum of the isoGDGTs



225 based on the integration of the peak areas of the [M+H]<sup>+</sup> ions.

#### 226 2.4. Index calculation and temperature reconstruction

227 The percentage of each isoGDGT (X) was calculated according to the following  
228 equation:

$$229 \quad \%X = \frac{X}{(\text{GDGT-0} + \text{GDGT-1} + \text{GDGT-2} + \text{GDGT-3} + \text{crenarchaeol} +$$

230 crenarchaeol')} \quad (1)

231 The TEX<sub>86</sub> index was defined by Schouten et al. (2002) as follows:

$$232 \quad \text{TEX}_{86} = \frac{(\text{GDGT-2} + \text{GDGT-3} + \text{crenarchaeol}')}{(\text{GDGT-1} + \text{GDGT-2} + \text{GDGT-3} +$$

233 crenarchaeol')} \quad (2)

234 TEX<sub>86</sub>-inferred LST was calculated using the global lake calibration of  
235 Castañeda and Schouten (2015):

$$236 \quad \text{LST} = 49.03 \times \text{TEX}_{86} - 10.99 \quad (r^2 = 0.88, n=16, \text{RMSE} = 3.1 \text{ } ^\circ\text{C}) \quad (3)$$

237

### 238 3. Results

239 The isoGDGT compositions varied greatly in Lake Chenghai sediments. As  
240 illustrated in Fig. 3, GDGT-0 is the most abundant isoGDGT composition of the  
241 surface sediments. The relative abundance of GDGT-0 (%GDGT-0) ranged from 72.6-  
242 94.4 with a mean of 89.3%, the %cren values varied from 3.8- 18.4% with a mean of  
243 7.7%. The ratios of GDGT-0/crenarchaeol were from 4.0-24.5 with a mean of 15.5.  
244 The average values of GDGT-1, GDGT-2 and GDGT-3 relative abundance were 1.2,  
245 1.1 and 0.7%, respectively. The crenarchaeol' occurred in only low abundance, close  
246 to the detection limit, and therefore TEX<sub>86</sub> values could not be calculated for these  
247 surface sediments.

248 The %cren values ranged between 2.4-61.3% with a mean of 52.4% in the core  
249 CH2016. The %cren values were relatively low and highly variable during 15.4-14.4  
250 cal ka BP, ranging between 1.8-32.0%, with a mean of 11.6%. By contrast, the values

251 were relatively stable during 14.4-7.0 cal ka BP, ranging between 41.8-61.3% with a  
252 mean of 58.3%. The relative abundances of crenarchaeol' had a mean of 1.7%. The  
253 ratios of crenarchaeol'/crenarchaeol were highly variable during 15.4-14.4 cal ka BP  
254 with a mean of 0.07. After this time, the values gradually decrease during 14.4-11.7  
255 cal ka BP time interval with a minor increase between 12.5-11.7 cal ka BP, where the  
256 ratio averaged 0.05. The crenarchaeol'/crenarchaeol ratios were generally stable and  
257 fluctuated around 0.03 during the period 11.8-7.0 cal ka BP.

258 The relative abundances of GDGT-0 (%GDGT-0) showed a significant negative  
259 correlation with the %cren in the core CH2016 ( $r= 0.99$ ,  $p< 0.001$ ). The %GDGT-0  
260 values had a mean of 74.0% between 15.4-14.4 cal ka BP and a mean of 19.6% during  
261 the 14.4-7.0 cal ka BP interval. The values of GDGT-0/crenarchaeol were  
262 generally  $>2$  during the period 15.4-14.4 cal ka BP, ranging from 1.4-49.9 with a  
263 mean of 16.7, and all  $<2$  from 14.4-7.0 cal ka BP. The relative abundance of GDGT-1,  
264 GDGD-2 and GDGT-3 were generally low in the sediments, with means of 8.9, 9.2,  
265 and 1.3, respectively.

266 The  $TEX_{86}$  values were also highly variable during 15.4-14.4 cal ka BP period,  
267 ranging between 0.36-0.68 with a mean of 0.54. Thereafter, the values generally  
268 followed an increasing trend, ranging between 0.49-0.63 with a mean of 0.58.

269

## 270 **4. Discussion**

### 271 *4.1. Provenance of isoGDGTs*

272 In order to evaluate the potential sources of isoGDGTs in Lake Chenghai  
273 sediments, we plotted a ternary diagram to compare the distribution patterns of  
274 GDGT-0, crenarchaeol, and the sum of GDGT-1, GDGT-2, GDGT-3, and  
275 crenarchaeol' (' $TEX_{86}$ ' GDGT) among our samples, previously published Chinese  
276 soils and global marine sediments compiled by Yao et al. (2019), along with  
277 previously published Chinese lacustrine surface sediments results (Günther et al.,  
278 2014; Dang et al., 2016; Hu et al., 2016; Li et al., 2016, 2019; Yao et al., 2019; Wang

279 et al., 2020). In Lake Chenghai surface sediments, GDGT-0 is the predominant  
280 component among the isoGDGTs, consistent with most previous studies of lacustrine  
281 sediments (Blaga et al., 2009; Dang et al., 2016; Li et al., 2019; Yao et al., 2019;  
282 Wang et al., 2020). For example, GDGT-0 can account for more than 90% of total  
283 isoGDGTs in shallow lake surface sediments from East China (Dang et al., 2016); ~80%  
284 in saline pond surface sediments from northeast China (Li et al., 2019), and ~54% in  
285 surface sediments from the Qinghai-Tibetan Plateau (Wang et al., 2020). The values  
286 of GDGT-0/cren >2 in Lake Chenghai surface sediment suggest non-thaumarchaeotal  
287 isoGDGTs are also likely to be an important source in this lake system. The  
288 distribution of isoGDGTs between Chinese lacustrine surface sediments and soils  
289 were similar, and both were generally higher than that in global marine sediments and  
290 Thaumarchaeota. This line of evidence also suggests that the surface sediments could  
291 contain a significant contribution of soil isoGDGTs input (Li et al., 2016; Li et al.,  
292 2019).

293 The distribution of isoGDGT in Lake Chenghai sediment from 15.4-14.4 cal ka  
294 BP was similar to that of the surface sediments, suggesting a substantial contribution  
295 of non-thaumarchaeota during this period. However, the relative abundance of  
296 GDGT-0 significantly decreased and %cren increased in Lake Chenghai sediments  
297 from 14.4-7.0 cal ka BP. The plots generally overlapped with those of global marine  
298 sediments and Thaumarchaeota in the ternary diagram during this period, indicating  
299 that Thaumarchaeota dominated the archaea community in Lake Chenghai during the  
300 late glacial period and the early Holocene. The observed down-core changes in  
301 crenarchaeol'/crenarchaeol ratios may be due to relatively high contributions of group  
302 I.1b Thaumarchaeota from soils during the period 15.4-11.8 cal ka BP, and that these  
303 dominate the contributions of isoGDGTs derived from aquatic group I.1a  
304 Thaumarchaeota during the period from 11.8-7.0 cal ka BP.

#### 305 *4.2. Assessment of isoGDGT-based lake-level proxy*

306 The environmental interpretation of %cren at Lake Chenghai during the period  
307 from the last deglaciation to the early Holocene is illustrated in Fig. 5.

308 Thaumarchaeota thrive predominantly near the oxycline in stratified lakes, and are  
309 mainly suppressed by non-thaumarchaeotal archaea when the lake level is low (Wang  
310 et al., 2014a; Wang et al., 2017a; Wang et al., 2019). Thus, the abrupt increase  
311 in %cren values is interpreted to represent an increase in lake depth in Lake Chenghai,  
312 from a lowstand during 15.4-14.4 cal ka BP, to a highstand period thereafter. The  
313 relatively low %cren values during 15.4-14.4 cal ka BP is consistent in timing with  
314 the  $\delta^{18}\text{O}$  record of authigenic carbonates derived from the same core (Fig. 4e, Sun et  
315 al., 2019), speleothem  $\delta^{18}\text{O}$  records from Mawmluh Cave and Bittoo Cave in north  
316 India (Fig. 4f, Dutt et al., 2015; Kathayat et al., 2016), and Donnge Cave in southwest  
317 China (Dykoski et al., 2005), which all record a substantial positive shift in  $\delta^{18}\text{O}$   
318 values at that time. Speleothem  $\delta^{18}\text{O}$  records in the ISM region are used as a rainfall  
319 amount proxy, with low  $\delta^{18}\text{O}$  values indicating high precipitation (Dykoski et al.,  
320 2005; Cheng et al., 2012; Dutt et al., 2015).

321 Low lake levels and a weakened ISM during the H1 cold event are also observed  
322 in several previous paleolimnological studies from the Yunnan Plateau, within the  
323 uncertainties of the age model. Diatom and grain-size records from Lake  
324 Tengchongqinghai show a significant decrease in acidophilous diatom species and an  
325 increase in the grain-size of mineral particles from 18.5 to 15.0 cal ka BP, suggesting  
326 that the climate was dry and the ISM was at its weakest since the last deglaciation  
327 (Fig. 4g, Zhang et al., 2017b; Li et al., 2018). Similarly, an increase in  $>30\ \mu\text{m}$   
328 grain-size particles in the late glacial sediments from Lake Xingyun reflects a period  
329 of abrupt weakening of the ISM during the H1 cold event because of reduced lake  
330 level (Wu et al., 2015). In Lake Lugu, the loss of the planktonic diatoms and a switch  
331 to small *Fragilaria* spp. suggests a weaker stratification from 24.5 to 14.5 cal ka BP,  
332 which might also correspond to low lake-level at that time (Wang et al., 2014b).

333 Lake levels inferred from %cren do not show a lowstand during the YD  
334 (~12.8-11.7 cal ka BP), which is generally recognised as a period of low rainfall due  
335 to the weakening of the ISM (Dutt et al., 2015; Dykoski et al., 2005; Kathayat et al.,  
336 2016). In contrast, a low lake-level signal is observed in the  $\delta^{18}\text{O}$  record of authigenic

337 carbonates from Lake Chenghai (Sun et al., 2019). Increased lake water alkalinity and  
338 decreased lake level are also recorded in the diatom and grain-size proxy records  
339 between 12.8-11.1 cal ka BP of Lake Tengchongqinghai (Fig. 4g, Zhang et al., 2017b;  
340 Li et al., 2018). In addition, there is a peak of %cren centered at ~15.2 cal ka BP,  
341 suggesting a centennial scale high lake level and strengthened ISM period, which was  
342 not identified in a previous  $\delta^{18}\text{O}$  record of authigenic carbonates (Sun et al., 2019).  
343 The inferred high lake levels during the YD and at ~15.2 cal ka BP, which are  
344 inconsistent with weakened ISM inferred from other proxies, might be due to the  
345 erosion of soil organic matter into the lake during these periods (Wang et al., 2019).  
346 The crenarchaeol are relatively abundant in topsoils from southwest China, and the  
347 influence of soil input should be more significant at times of drier conditions (Yang et  
348 al., 2019). It is also worth noting that the crenarchaeol'/crenarchaeol ratios were not  
349 only relatively higher during the H1 cold event, but also showed a minor reversal  
350 during the YD cold event. These results are consistent with group I.1b  
351 Thaumarchaeota being an important source of isoGDGTs in small lakes and in the  
352 nearshore areas of large lakes (Wang et al., 2019).

353 Another possibility for the different H1 and YD lake level variation is the  
354 sensitivity of the proxy to lake level in the case of Lake Chenghai. The  $\delta^{18}\text{O}$  record of  
355 authigenic carbonates from Lake Chenghai and speleothem  $\delta^{18}\text{O}$  records in the ISM  
356 region suggest that the weakening of the ISM during the YD was less marked than  
357 that occurring during the H1 event, in turn suggesting that lake-levels in southwest  
358 China may have been higher during the YD than the H1 event (Dykoski et al., 2005;  
359 Dutt et al., 2015; Kathayat et al., 2016; Sun et al., 2019; Zhang et al., 2019). For  
360 the %cren proxy, we note that the values are correlated to the logarithm of depth,  
361 suggesting that %cren may be less sensitive to water depth variation when the  
362 lake-level is relatively high, and more sensitive to water depth variation when the  
363 lake-level is lower (Wang et al., 2019).

364 The interpretation of %cren presented here differs from that proposed for Lake  
365 Challa, but is consistent with that proposed for Lake Qinghai in northwest China

366 (Sinninghe Damst é et al., 2012a; Wang et al., 2014). This difference is possibly due to  
367 the different response of Thaumarchaeota in the two types of lakes because of the  
368 different mixing regime. For the small and deep Lake Challa, there is never complete  
369 mixing due to the stable stratification of the warmer water column and a lack of  
370 seasonality (Sinninghe Damst é et al., 2009). Below the oxycline nitrate levels are high,  
371 so more substantial mixing regenerates more nutrients into the surface waters,  
372 resulting a relatively higher production of crenarchaeol when lake level is  
373 substantially reduced (Sinninghe Damst é et al., 2012a). In contrast, Lake Chenghai  
374 and Lake Qinghai are seasonally mixed lakes, and the vertical change in nutrients may  
375 be relatively small. In addition, terrestrial nutrient input would be a shorter time-scale  
376 mechanism explaining the relationship between ISM index and %cren values (Wang  
377 et al., 2014). Less nutrient input due to a weakened ISM during the H1 event would  
378 likely suppress the growth of Thaumarchaeota and reduce the production of  
379 crenarchaeol.

#### 380 *4.3. Warming in the last deglaciation-Holocene transition*

381 The application of the TEX<sub>86</sub>-based paleotemperature calibration depends  
382 critically on the assumption that the isoGDGTs used for calculation of TEX<sub>86</sub> values  
383 are mainly been derived from group I.1a in the water column (Blaga et al., 2009;  
384 Castañeda and Schouten, 2011; Powers et al., 2010; Sinninghe Damst é et al., 2012a).  
385 Since the influence of methanogenic archaea in the water column or archaea in the  
386 catchment soils has been recognized as significant, Lake Chenghai sediments with  
387 crenarchaeol'/crenarchaeol ratios >0.04 and/or GDGT-0/crenarchaeol ratio >2 are  
388 excluded from the discussion below (Powers et al., 2010; Castañeda and Schouten,  
389 2015). The ratio of branched GDGTs to isoGDGTs (BIT) should be <0.5 if the  
390 TEX<sub>86</sub>-temperature calibration in previous studies, because the values are  
391 generally >0.90 in soils, whereas values are close to zero for sediments from large  
392 lakes (Hopmans et al., 2004; Weijers et al., 2006). However, recent studies of a wide  
393 variety of lakes have suggested that at least some of the branched GDGTs can be  
394 produced *in situ* in the lake (Blaga et al., 2010; Tierney et al., 2010; Pearson et al.,

395 2011; Hu et al., 2016; Dang et al., 2018; Russell et al., 2018). Therefore, *in situ*  
396 production of branched GDGTs in Lake Chenghai cannot be fully excluded, and  
397 therefore the ratio of BIT was ignored in this study. 74 samples remain that have  
398 isoGDGT distributions consistent with their dominant source being the aquatic  
399 Thaumarchaeota, most of these being from the time interval between 11.7-7.0 cal ka  
400 BP, and only a few from the last deglaciation (n= 6). Using Equation 4 developed by  
401 Castañeda and Schouten (2015) to calculate mean LST, yielded LST values from  
402 14.3-20.1 °C, with a mean of 18.0 °C (Fig. 5a).

403 LST was ~15.9 °C during the last deglacial period, a temperature approaching  
404 the 16 °C observed in the present Lake Chenghai. Considering the TEX<sub>86</sub>-based LST  
405 transfer function has a RMSE of 3.1 °C, this result is consistent with other recent  
406 reconstructed MAAT in southwest China, which show the temperatures during the last  
407 deglaciation were generally similar to the present-day values. For example, the  
408 MAAT inferred from branched GDGTs from Lake Tengchongqinghai in southwest  
409 China increased episodically from 12.0 °C to 14.0 °C between 19.2 and 10.0 cal ka BP,  
410 where the modern mean annual temperature is 14.7 °C (Tian et al., 2019). The  
411 TEX<sub>86</sub>-based deglacial LST and MAAT inferred from branched GDGTs from Nam Co  
412 in south Tibetan Plateau also reported values similar to the present-day (Günther et al.,  
413 2015). In contrast, the July air temperature derived from the chironomid record from  
414 Lake Tiancai, and pollen record from Lake Yidun showed that the climate during the  
415 deglacial period was ~2-3 °C cooler relative to today (Fig. 5b and c, Shen et al., 2006;  
416 Zhang et al., 2019). The amplitudes of reconstructed terrestrial temperatures change in  
417 the Indian summer monsoon region are generally consistent with those from the  
418 tropical Indian Ocean. Although estimates of sea surface temperatures in the Andaman  
419 Sea and Bay of Bengal were variable, the cooling relative to today ranged from  
420 1-4 °C (Rashid et al., 2007; MARGO, 2009; Govil and Naidu, 2011; Gebregiorgis et  
421 al., 2016).

422 Following the YD cold event, LST at Lake Chenghai ranged from 16.2 °C to  
423 20.1 °C with an increasing trend, and the middle Holocene was generally warmer than

424 the early Holocene (11.7- 8.2 cal ka BP). In the Indian summer monsoon region, the  
425 reconstructed MAAT using the branched GDGT calibration from Lake  
426 Ximenglongtan remained at ~12.5 °C from 9.4-7.6 cal ka BP, then experienced a rapid  
427 warming to 13.8 °C from 7.6-5.5 cal ka BP (Ning et al., 2019). Meanwhile, the  
428 branched GDGTs-MAAT from Lake Tengchongqinghai also achieved its highest the  
429 highest value at around 7.1 cal ka BP (Tian et al., 2019). Similarly, summer air  
430 temperatures reconstructed from Lake Tiancai and Lake Xingyun displayed a  
431 warming trend from the early Holocene to the mid-Holocene (Zhang et al., 2017a; Wu  
432 et al., 2018). The amplitude of the absolute scale of warming is of a lower magnitude  
433 in the chironomid, pollen and branched GDGT records as compared to the  
434 TEX<sub>86</sub>-based reconstruction from Lake Chenghai. This may be due to the difference  
435 in the accuracy and precision of the proxy-based models, which also depend on the  
436 biological and seasonal sensitivity of the proxy, to constrain the absolute temperature  
437 values (Zhang et al., 2017a).

438 We also noted that most of the lake records from not only the Indian summer  
439 monsoon region, but other parts of Asia, show a warming from 11.7-7.0 cal ka BP  
440 (Ning et al., 2019). In southwest China, Holocene summer air temperature generally  
441 follows summer isolation over the Northern Hemisphere, but lags the highest value by  
442 3-4 ka (Berger and Loutre, 1991; Zhang et al., 2017a; Wu et al., 2018). This indicates  
443 that additional feedback between solar insolation and internal processes, such as the  
444 persistence of remnants of the Northern Hemisphere ice-sheets and snow cover during  
445 the early Holocene, should be considered in explaining this discrepancy (Zhang et al.,  
446 2017a, Wu et al., 2018; Ning et al., 2019). This is evidenced by records from the  
447 Laurentide ice-sheets, which were still relatively large at ~11 cal ka BP, despite the  
448 occurrence of peak summer insolation (Shuman et al., 2005). The melting of  
449 ice-sheets from 11-6 cal ka BP is likely to have slowed down the Atlantic Meridional  
450 Overturning Circulation and impeded the northward shift of the Intertropical  
451 Convergence Zone (Dykoski et al., 2005). This process could further result in a  
452 relatively weakened Indian summer monsoon, and a reduction in heat transported to



453 the continent during the early Holocene (Zhang et al., 2017a). In addition, the  
454 ice-sheets in the early Holocene enhanced surface albedo and reduced air temperature  
455 in the high latitudes, which likely led to enhanced westerlies transporting more cold  
456 air from the North Atlantic Ocean downward to the ISM affected regions through its  
457 south branch flow, especially during the winter (Ning et al., 2019). A long-term winter  
458 warming trend in southwest China was revealed by the pollen record from Lake Wuxu  
459 and Muge from the southeast margin of the Qinghai-Tibetan Plateau (Zhang et al.,  
460 2016; Ni et al., 2019). In the high latitudes of the Northern Hemisphere, the early  
461 Holocene winter warming is attributed to increasing winter insolation, as well as the  
462 retreat of the Northern Hemisphere ice-sheets (Baker et al., 2017; Marsicek et al.,  
463 2018). Although our LST record from Lake Chenghai has not been determined to be  
464 an indicator of summer or winter temperature, it does appear that long-term  
465 temperature evolution during the early Holocene to the mid-Holocene, which was  
466 driven mainly by solar insolation and the status of Northern Hemisphere ice-sheets. In  
467 essence, more temperature records with unambiguous seasonal significance from  
468 different regions are needed to achieve a comprehensive understanding of Holocene  
469 temperature dynamics.

470

## 471 **5. Conclusions**

472 The record of isoGDGTs in the sediments of Lake Chenghai in southwest China  
473 presented in this study allows us to test the ability of isoGDGT-based proxies in the  
474 ISM region to reconstruct lake-level and temperature during the last  
475 deglaciation-Holocene transition. The lake-level history inferred from %cren shows a  
476 relative lowstand of Lake Chenghai during 15.4-14.4 cal ka BP, corresponding to a  
477 period of weakened ISM during the H1 cold event. The indistinct signal of lake-level  
478 variation during the YD cold event may be due to the group I.1b Thaumarchaeota  
479 being an important source of isoGDGTs and consequently the lake level may have  
480 been low during the YD cold event. After filtering for the influence of isoGDGTs  
481 derived from soils in the surrounding catchment and non-thaumarchaeota, the TEX<sub>86</sub>

482 paleothermometry revealed that the LST of Lake Chenghai was similar to the  
483 present-day value during the last deglaciation. The lake also experienced a substantial  
484 warming of ~4 °C from the early-Holocene to the mid-Holocene due to the melting of  
485 the remnants of the continental ice-sheets in the Northern Hemisphere, which  
486 gradually enhanced the ISM and reduced the winter westerly circulation. Overall, our  
487 results show that records of isoGDGTs in Lake Chenghai sediments have potential for  
488 quantitative paleotemperature reconstruction once potential underlying biases are  
489 properly constrained.

490

491 **Data availability.**

492 All data generated in this study can be found in the Supplement.

493 **Author contributions.**

494 W.S and E.Z designed the study, W.S performed the fieldwork and lab analysis. W.S  
495 and E.Z led the writing of the paper, J.C, J. S, M.I.B, C.Z, Q.J and J.S contributed to  
496 data interpretation and paper writing. All authors contributed to discussions and  
497 writing of the manuscript. The authors declare that they have no competing financial  
498 interests.

499 **Competing interests.**

500 The authors declare that they have no conflict of interest.

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511

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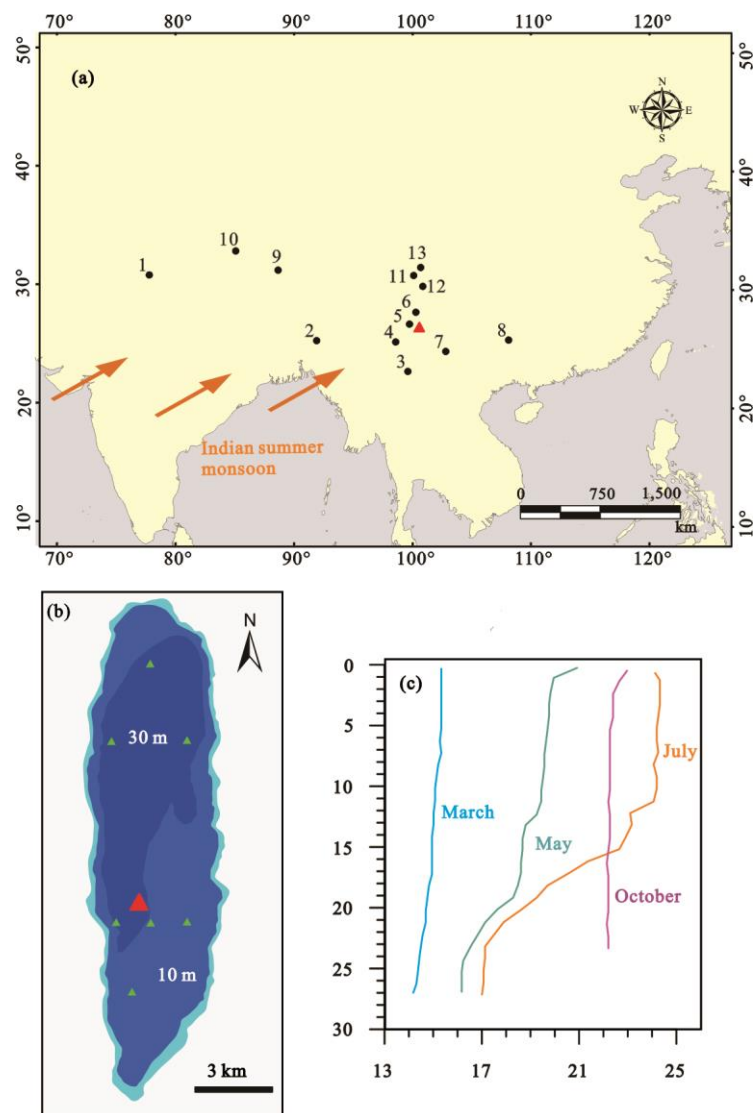
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843 **Figure captions**

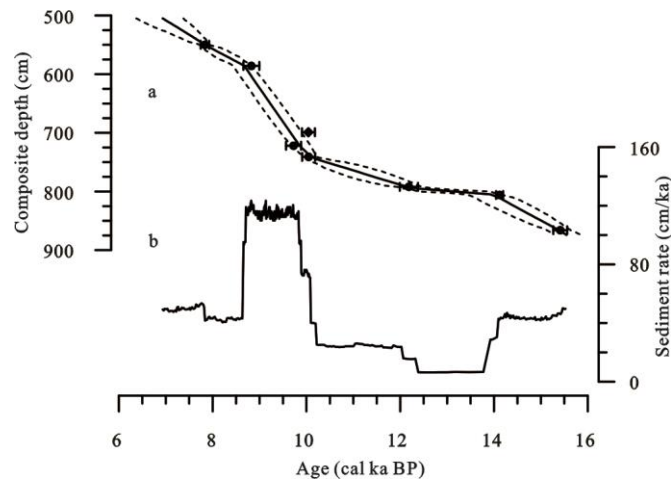
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846 **Fig. 1.** (a) Map showing the location of Lake Chenghai in southwest China (red  
847 triangle) and other sites (circles) mentioned in the text: 1. Bittoo Cave (Kathayat et al.,

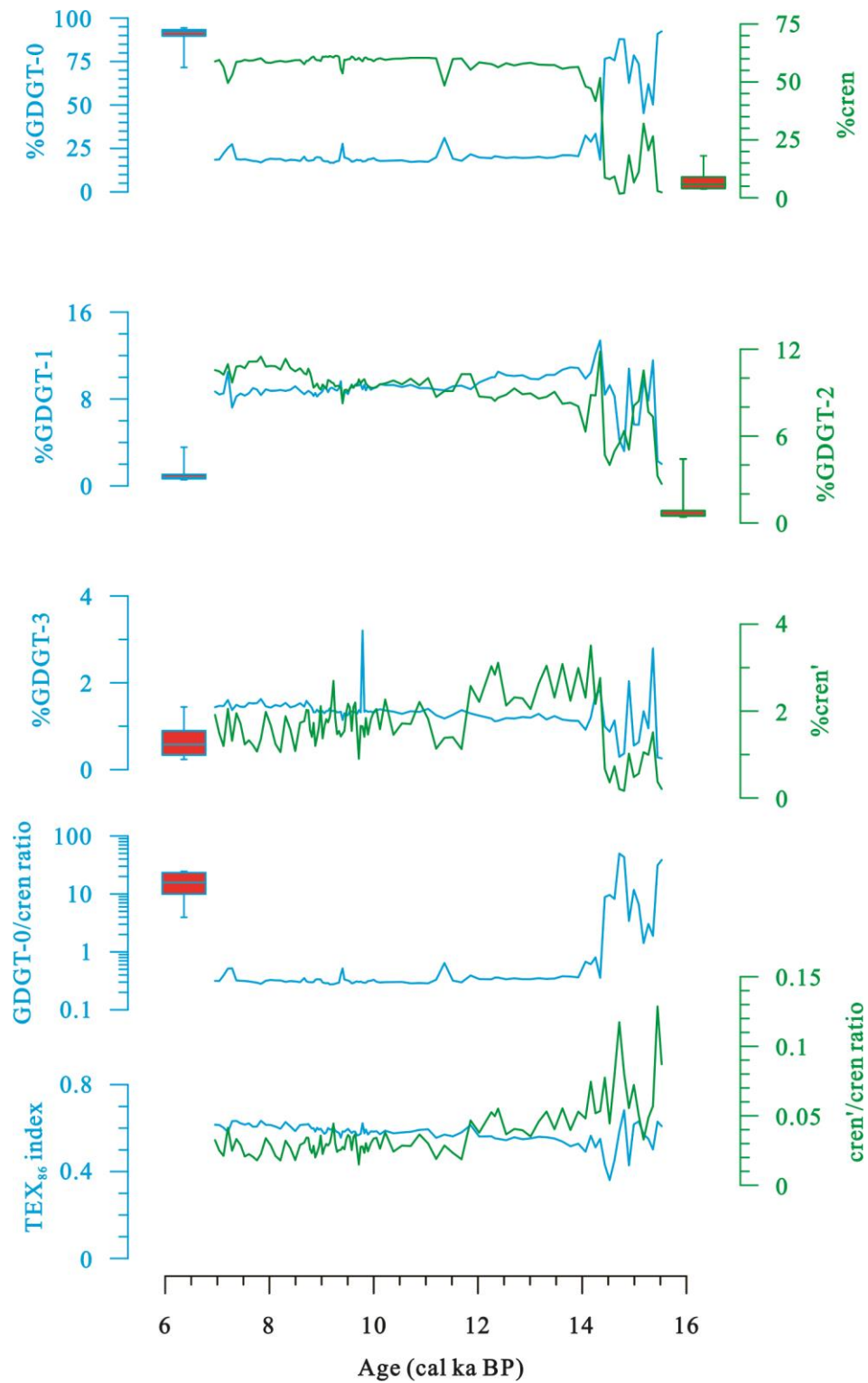
848 2016); 2. Mawmluh Cave (Dutt et al., 2015); 3. Lake Ximenglongtan (Ning et al.,  
 849 2019); 4. Lake Tengchongqinghai (Zhang et al., 2017b; Li et al., 2018; Tian et al.,  
 850 2019); 5. Lake Tiancai (Zhang et al., 2017a, 2019); 6. Lake Lugu (Wang et al., 2014);  
 851 7. Lake Xingyun (Wu et al., 2015, 2018); 8. Dongge Cave (Dykoski et al., 2005); 9.  
 852 Nam Co (Günther et al., 2015); 10. Dangxiong Co (Ling et al., 2017); 11. Lake Yidun  
 853 (Shen et al., 2006); 12. Lake Wuxu (Zhang et al., 2016); 13. Lake Muge (Ni et al.,  
 854 2019), (b) The red triangle indicates the location of core CH2016 in Lake Chenghai,  
 855 while green triangles indicate the locations of surface samples. (c) The vertical  
 856 variation of Lake Chenghai water temperature in March, May, July and October (Lu,  
 857 2018).



858

859 **Fig. 2.** (a) Age-depth model for the Lake Chenghai sediment core produced using  
 860 Bacon software (Blaauw and Andres Christen, 2011) from Sun et al. (2019). Dotted  
 861 lines indicate the 95% confidence range and the solid line indicates the weighted  
 862 mean ages for each depth, error bars indicate the standard deviation range ( $2\sigma$ ) of the  
 863 calibrated radiocarbon dates. (b) estimated sedimentation rate (Sun et al., 2019).

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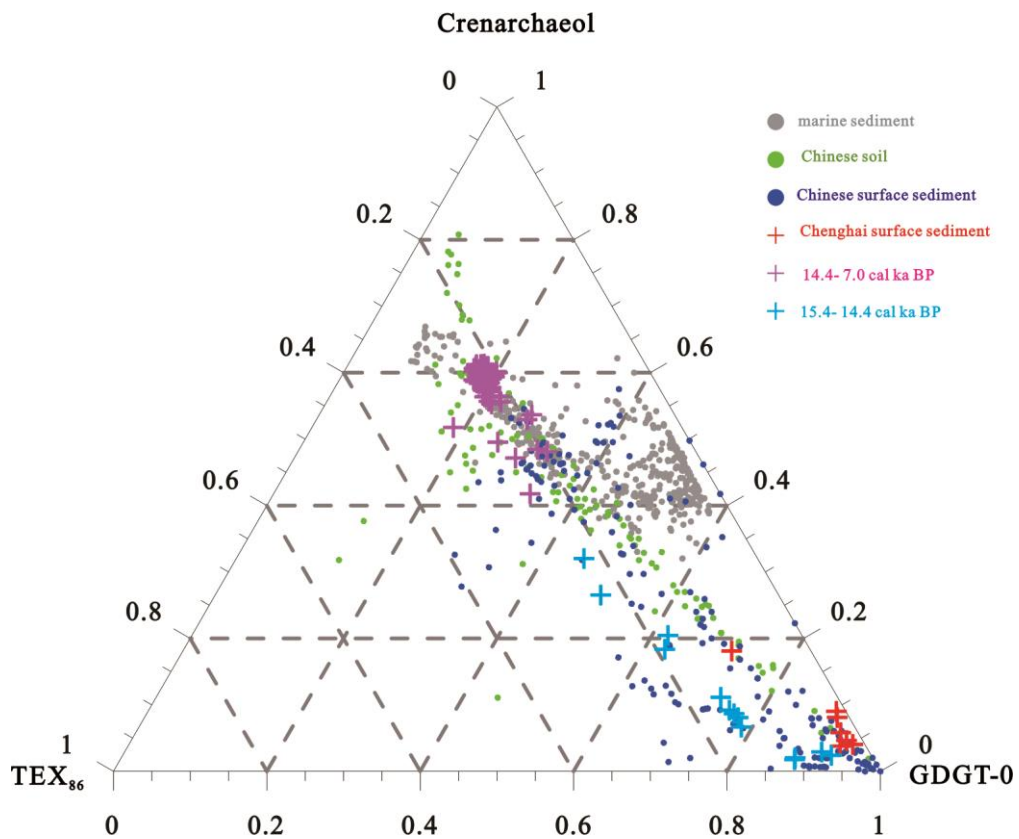


865

866 **Fig. 3.** Variations in the relative isoGDGT distribution and isoGDGTs-based proxies  
 867 of the Lake Chenghai sediment core. The Box-Whisker plots indicate the values from  
 868 surface sediments.

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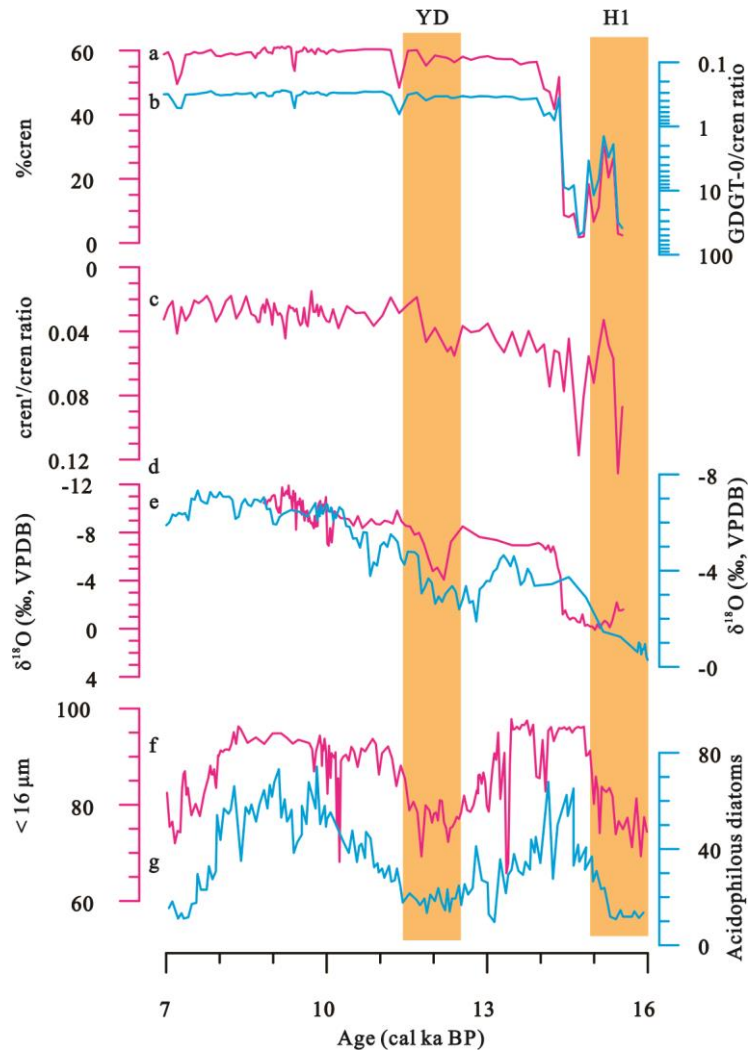




870

871 **Fig. 4.** Ternary diagram showing the distributions of GDGT-0, crenarchaeol, and  
 872 'TEX<sub>86</sub>' GDGTs in surface and core sediments from Lake Chenghai, global marine  
 873 sediments (Kim et al., 2010), published Chinese soils compiled by Yao et al. (2019),  
 874 and Chinese lacustrine surface sediments (Günther et al., 2014; Dang et al., 2016; Hu  
 875 et al., 2016; Li et al., 2016, 2019; Yao et al., 2019; Wang et al., 2020).

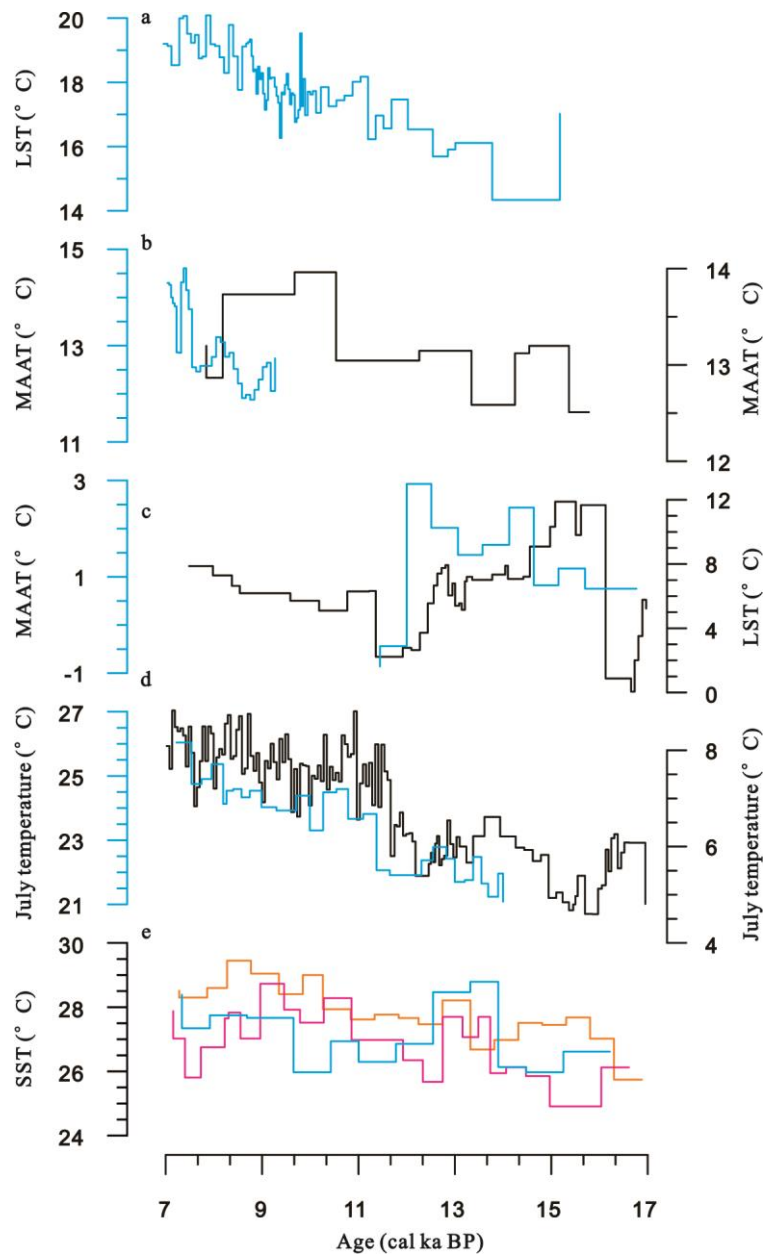
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878 **Fig. 5.** Comparison of the isoGDGT-based lake-level record from Lake Chenghai (a-c)  
 879 with the  $\delta^{18}\text{O}$  record of carbonate finer in grain size than  $63\ \mu\text{m}$  from Lake Chenghai  
 880 (d, Sun et al., 2019), the stalagmite  $\delta^{18}\text{O}$  records from Mawmluh Cave in northeast  
 881 Indian (e, Dutt et al., 2015); grain-size and diatom record from Lake  
 882 Tengchongqinghai (f and g, Zhang et al., 2017; Li et al., 2018). The shading is utilised  
 883 to represent 'cold' events in the North Atlantic.

884



885

886 **Fig. 6.** A comparison of TEX<sub>86</sub>-based lake surface temperature of Lake Chenghai (a)  
 887 with other paleotemperature records from the ISM region. (b) mean annual  
 888 temperature based on branched GDGTs from Lake Ximenglongtan (blue line, Ning et  
 889 al., 2019) and Lake Tengchongqinghai (black line, Tian et al., 2019); (c)  
 890 Alkenone-based mean annual temperature at Lake Dangxiong (blue line, Ling et al.,  
 891 2017), and TEX<sub>86</sub>-based lake surface temperature of Nam Co from the southern  
 892 Tibetan Plateau (black line, Günther et al., 2015); (d) July temperature reconstructed  
 893 from pollen record from Lake Xingyun (blue line, Wu et al., 2018) and subfossil  
 894 chironomids from Lake Tiancai (black line, Zhang et al., 2017a, 2019);; and (e) sea

895 surface temperatures in the Andaman Sea and Bay of Bengal (Rashid et al., 2007;  
896 Govil and Naidu, 2011; Gebregiorgis et al., 2016).  
897