Response to the editor's comments

Overall the manuscript is much improved. I have made some additional suggestions in the attached pdf, where the phrasing and grammar still requires some changes to ensure that your statements are clear. Please check these carefully, including the figure captions.

There is still a need for you to add some more detail to your discussion of the climatic implications of your findings, in the final Discussion paragraph. At present this paragraph is reliant on the paper by Ning et al. 2019 but it is presented very factually, rather than highlighting debate and uncertainties (and where your data sets could contribute to addressing these).

You should also refer carefully to the Climate of the Past data policy (climate-of-the-past.net/about/data_policy.html). Your data needs to be available in a publically accessible database, not just 'available on request'. Many of the databases allow you to embargo release of the datasets while publications are under review.

Response: *We have revised the manuscript according your comments. Firstly, we modified the manuscript following the suggestions in the PDF, and we again checked the phrasing and grammar. Secondly, we reorganized the discussion of section 4.2 to make the statement more clear. However, the isoGDGTs in Lake Chenghai sediments are dominated by GDGT-0 and Cren, and a significant negative correlation is found in this study. Therefore, we suggest that the ratio of GDGT-0/Cren may have originated from a similar mechanism to %cren values, and hence we do not discuss the proxy further. Thirdly, we have expanded the dynamics of temperature evolution during the early to middle Holocene. The influences of solar insolation and the status of Northern Hemisphere ice-sheets are presented in more detail. Lastly, we modified the figures and have made the data available in the supplementary information.*

Archaeal lipid-inferred paleohydrology and paleotemperature of Lake Chenghai during the Pleistocene-Holocene transition

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ABSTRACT

Over the past decades, paleoenvironmental studies in the Indian Summer Monsoon (ISM) region have mainly focused on precipitation change, with few published terrestrial temperature records from the region. We analyzed the distribution of isoprenoid glycerol dialkyl glycerol tetraethers (isoGDGTs) in the sediments of Lake Chenghai in southwest China across the Pleistocene-Holocene transition, to extract both regional hydrological and temperature signals for this important transition period. Lake level was reconstructed from the relative abundance of crenarchaeol in isoGDGTs (%cren) and the crenarchaeol'/crenarchaeol ratio. The %cren-inferred lake-level identified a single lowstand (15.4-14.4 cal ka BP), while the crenarchaeol'/crenarchaeol ratio suggests relatively lower lake-level between 15.4-14.4 cal ka BP and 12.5-11.7 cal ka BP, corresponding to periods of weakened ISM during the Heinrich 1 and Younger Dryas cold event. A filtered TetraEther indeX consisting of 86 carbon atoms (TEX_{86} index) revealed that lake surface temperature was similar to present-day values during the last deglacial period, and suggests a substantial warming of ~4 ℃ from the early Holocene to the mid-Holocene. Our paleotemperature record is generally consistent with other records in southwest China, suggesting that the distribution of isoGDGTs in Lake Chenghai sediments has potential for quantitative paleotemperature reconstruction.

Keywords: Quantitative temperature reconstruction; Lake-level; TEX_{86}; Isoprenoid GDGTs; Lacustrine sediment

1. Introduction

Precipitation variation in the Indian summer monsoon (ISM) region has a ~~great threat to~~strong influence over ecosystem function, water availability and economic security across the region (Sinha et al., 2011; Sinha et al., 2015; Ljungqvist et al., 2016). As a result, ~~This has stimulated growing~~ scientific interest has been stimulated in understanding the underlying forcing mechanisms behind climate variability in the ISM region on a range of time-scales, in order to better predict future monsoonal variations. Over the past two decades, climate evolution in the ISM region since the Last Glacial Maximum has been reconstructed from various paleoclimatic archives, including speleothems, and marine/lacustrine sediments (Dykoski et al., 2005; Rashid et al., 2007; Govil and Divakar Naidu, 2011; Saraswat et al., 2013; Contreras-Rosales et al., 2014; Wang et al., 2014b; Dutt et al., 2015; Wu et al., 2015; Kathayat et al., 2016; Zhang et al., 2017a, 2017b; Li et al., 2018; Zhang et al., 2018; Sun et al., 2019; Zhang et al., 2019). These studies provide evidence of changes in ISM precipitation on orbital- and millennial time-scales, with a weakened ISM occurring during cold events, and strengthened ISM occurring during warm intervals.

In addition to precipitation, temperature is an important climatic factor, due to its significant effects on evaporation and regional hydrological cycle. There remains a lack of quantitative reconstructions of terrestrial temperature from the ISM region (Shen et al., 2006; Zhang et al., 2017a; Wu et al., 2018; Feng et al., 2019; Ning et al., 2019; Tian et al., 2019; Zhang et al., 2019). During the last deglaciation-Holocene transition, the climate of high latitudes in the Northern Hemisphere is punctuated by three abrupt, millennial-scale events: the Heinrich 1 (H1) cold event, the Bølling/Allerød (BA) warm period and the Younger Dryas (YD) cooling (Alley and Clark, 1999). These intervals are attributed to a variety of mechanisms including changes to orbitally-controlled insolation, ice sheet extent, oceanic circulation and atmospheric greenhouse concentrations (Alley and Clark, 1999). The recent quantitative summer temperature proxy based on pollen and chironomids from southwest China has been developed to address the response of long-term temperature to the high latitude climate changes (Zhang et al., 2017 and 2019; Wu et al., 2018). However, the magnitude of these temperature variations is not consistent, and further studies are required.

Glycerol dialkyl glycerol tetraethers (GDGTs) have been widely used for the quantitative reconstruction of terrestrial paleotemperature during the Quaternary due to the fact that they are ubiquitous in soils and lacustrine sediments (Blaga et al., 2013; Wang et al., 2017b; Zheng et al., 2018; Ning et al., 2019; Tian et al., 2019). Isoprenoid GDGTs (isoGDGTs), comprising acyclic or ring-containing isoprenoidal biphytanyl carbon chains, are a suit of membrane lipids produced by some species of archaea, such as Euryarchaeota~~, Crenarchaoeota~~ and Thaumarchaeota (Schouten et al., 2013). IsoGDGTs containing 0 to 3 cyclopentane moieties (isoGDGTs 0–3, Fig. S1) are common isoGDGTs with a large range of biological sources (Schouten et al., 2013). For example, Thaumarchaeota were the dominant biological source of GDGT-0 in Lake Lucerne from Switzerland (Blaga et al., 2011); while GDGT-0 in Lake Challa surface sediments might predominantly derive from archaea residing in the deeper, anoxic water column, such as group 1.2 and marine benthic group C group of the Crenarchaeota, and the Halobacteriales of the Euryarchaota (Sinninghe Damsté et al., 2009~~);~~). ~~and m~~Methanogenic and methanotrophic archaea can also be two important sources of GDGT-0 within the water column and sediment (Blaga et al., 2009; Powers et al., 2010). In contrast, crenarchaeol and its regioisomer, crenarchaeol' (Fig. S1), are considered to be produced specifically by mesophilic Thaumarchaeota in aquatic environments (Schouten et al., 2002; Blaga et al., 2009; Kim et al., 2010; Powers et al., 2010; Schouten et al., 2013). On this basis, the ratio of GDGT-0/crenarchaeol has been proposed to evaluate the influence of Thaumarchaeota on the distribution of isoGDGTs in lacustrine sediments, and the ratio typically varies between 0.2 and 2 in Thaumarchaeota (Schouten et al., 2002; Blaga et al., 2009).

Thaumarchaeota have a physiological mechanism to increase the weighted average number of cyclopentane rings in their membrane lipids with growth temperature (Schouten et al., 2002). Thus the TetraEther indeX consisting of 86 carbon atoms (TEX_{86} index), which represents the relative number of cyclopentane moieties in isoGDGT molecules derived from aquatic Thaumarchaeota, has great potential for use as a paleotemperature proxy in the marine environment and large lakes (Tierney et al., 2008; Berke et al., 2012; Blaga et al., 2013; Wang et al., 2015). However, the index may not be a reliable proxy for past temperature in small lakes due to substantial amounts of soil and/or methanogenic archaea isoGDGTs identified in the same lacustrine sediment and also due to variability in the depth of isoGDGT production in aquatic ecosystems (Blaga et al., 2009; Powers et al., 2010; Sinninghe Damsté et al., 2012a).

It has also been shown that crenarchaeol' is only present in low abundance in most Thaumarchaeota except for the group I.1b Thaumarchaeota, where it is one of the major isoGDGTs (Kim et al., 2012; Sinninghe Damsté et al., 2012b). The crenarchaeol'/crenarchaeol ratios for enrichment cultures of group I.1a aquatic Thaumarchaeota are typically 0.01-0.04, however, for group I.1b Thaumarchaeota enriched from soils the crenarchaeol'/crenarchaeol ratios are around 0.21 and substantially higher (Pitcher et al., 2011; Sinninghe Damsté et al., 2012a). In addition, a likely Group I.1b Thaumarchaeota population inhabiting the subsurface water column near the anoxic-suboxic boundary was found in Lake Malawi, but the total production of isoGDGTs by this group appears to be much lower than the surface-dwelling Thaumarchaeota (Meegan Kumar et al., 2019).

In addition, aquatic Thaumarchaeota are nitrifers, that prefer to live above the oxycline of relatively deep lakes, as has been observed by a range of lipid biomarker and DNA based investigations of vertical changes in archaea communities in lake water columns (Sinninghe Damsté et al., 2009; Blaga et al., 2011; Schouten et al., 2012; Buckles et al., 2013; Meegan Kumar et al., 2019). Some Thaumarchaeota are thought to be suppressed by a high light level, which consequently might also inhibit their ability to thrive near the surface of lakes (Schouten et al., 2013). Further, Thaumarchaeota are chemoautotrophic and thrive predominantly near the oxycline in stratified lakes, mainly due to the release of ammonia derived from descending particulate organic matter that is recycled primarily by photoautotrophs or heterotrophs in the photic zone (Tierney et al., 2010). Consequently, the proportion of crenarchaeol in isoGDGTs (%cren~~%~~) has been suggested as lake~~-~~ level proxy (Wang et al., 2014a; Wang et al., 2017a; Wang et al., 2019). However, it has also been suggested that mixing of the water column will be much more frequent at lowstand conditions, and therefore periodically or permanently oxic, high nutrient availability water and enhanced nitrogen cycling would be likely to result in a relatively higher production of crenarchaeol (Filippi and Talbot, 2005; Sinninghe Damsté et al., 2012).

In this study, we present an isoGDGT record spanning the last deglacial-Holocene transition from Lake Chenghai in the southwest China. Our stable oxygen isotope ($\delta^{18}O$) record of authigenic carbonates from Lake Chenghai previously revealed that drought events occurred from 15.6 to 14.4 cal ka BP and 12.5 to 11.7 cal ka BP corresponding to the H–1 and YD event (Sun et al., 2019). The present study aims were to (1) identify sources of isoGDGTs in Lake Chenghai sediments and their linkage, if any, with lake-level variation; (2) test the reliability of isoGDGT-based proxies as temperature indicators, by comparing our results with other paleoenvironmental records from adjacent areas, and explore the possible mechanisms driving temperature variations during the last deglaciation-Holocene transition in southwestern China.

2. Materials and methods

2.1. Regional setting

Lake Chenghai (26°27′-26°38′N, 100°38′-100°41′E, Fig. 1A) is a tectonic lake located in the northwestern part of Yunnan Province (Wang and Dou, 1998). The current water surface elevation is ~1500 m above sea level (a.s.l.), and the maximum water depth is ~35 m. The lake is hydrologically closed at present, with a surface area of ~77 km^2 and a catchment of ~318 km^2 (Wu et al., 2004). However, Lake Chenghai was linked to the Jinsha River via the Haikou River before a dam at an elevation of

~1540 m a.s.l. was constructed on its southern side at ~0.3 cal ka BP (Wang and Dou, 1998). The annual mean lake surface temperature (LST) is ~16 ℃ (Wan et al., 2005). In summer, the lake becomes thermally stratified, with the thermocline at between 10 to 20 m (Fig. 1C, Lu, 2018). Despite a relatively large catchment, the lake level is mainly maintained by direct precipitation and groundwater, with a total dissolved solid load of ~1‰ and pH of ~8 (Wan et al., 2005). The lake is eutrophic with a total phosphate concentration of 0.05 mg/L, and total nitrogen concentration of 0.89 mg/L (Li et al., 2019). Topsoil types are lateritic red earths and mountain red brown soils in the catchment (Wang and Dou, 1998). The Lake Chenghai region is mainly affected by a warm-humid monsoonal airflow from the tropical Indian Ocean from June to September, and by the southern branch of the Northern Hemisphere westerly jet between October and May (Wang and Dou, 1998). The mean annual air temperature (MAAT) is ~14 ℃, the mean annual precipitation is ~660 mm with 80% falling between June and September (the Yongsheng meteorological station 26.68 °N, 100.75 °E; elevation of 2130 m a.s.l.).

2.2. Sampling and dating

In summer 2016, an 874-cm-long sediment core (CH2016) was retrieved using a UWITEC coring platform system with a percussion corer in 30 m of water depth (26°33′29.4″N, 100°39′6.7″E). Each section of the core was split lengthways, photographed and then sectioned at a 1-cm interval in the laboratory; the samples stored at 4 ℃ until analysis. The chronology was established using accelerator mass spectrometry (AMS) ^{14}C dating of eight terrestrial plant macrofossils and charcoal (Sun et al., 2019). The radiocarbon analyses were performed at the Beta Analytic Radiocarbon Dating Laboratory in Miami, USA. The age model was developed utilizing Bacon, implemented in R 3.1.0 at 5-cm intervals (Blaauw and Andres Christen, 2011; R Development Core Team, 2013). All AMS ^{14}C dates were calibrated to calendar years before present (0 BP =1950) using the program Calib 7.1 and the IntCal13 calibration data set (Reimer et al., 2013). The basal mean weighted age is ~15.6 cal ka BP (Fig. 2, Sun et al., 2019).

2.3. Lipid extraction and analysis

A total of 102 freeze-dried samples at 4-cm interval were collected for GDGT analysis over the last deglaciation-Holocene transition. The sampling resolution was increased to 1-cm between 792- 806 cm, due to the low sedimentation rate observed in this section. In addition, seven surface (the top 2 cm) sediments covering the whole lake sampled in 2014 were also analyzed. Lipid extraction was undertaken according to the procedures in Feng et al (2019). A ~4 g aliquot of each sample was extracted ultrasonically (4 times) with a mixture of dichloromethane and methanol (9:1, v/v). The supernatants were condensed and saponified at room temperature for 12 h with a 1 M KOH/methanol solution. The neutral fractions were then separated into apolar and polar fractions on a silica gel column, using *n*-hexane and methanol, respectively. The polar fraction containing the GDGTs was concentrated and filtered through 0.45 μm polytetrafluoroethylene syringe filters using *n*-hexane/ isopropanol (99:1 v/v), and then dried under N_2.

GDGTs were analyzed using an Agilent 1260 series high performance liquid chromatography-atmospheric pressure chemical ionization-mass spectrometer (HPLC-APCI-MS), following the procedure of Yang et al. (2015) at the Institute of Tibetan Plateau Research, Chinese Academy of Sciences. Briefly, the GDGTs were separated using three silica columns in tandem (100 mm× 2.1 mm, 1.9 μm; Thermo Fisher Scientific, U.S.A.), maintained at 40 ℃. The elution gradients were 84% *n*-hexane (A): 16% ethyl acetate (B) for 5 min, 84/16 to 82/18 A/B for another 60 min, then to 100% B for 21 min and kept for 4 min, followed by a return to 84/16 A/B for 30 min. The total flow rate of pump A and pump B was maintained at 0.2 ml/min. The APCI-MS conditions were: vaporizer pressure 60 psi, vaporizer temperature 400 ℃, drying gas flow 6 L/min and temperature 200 ℃, capillary voltage 3500 V and corona current 5 μA (~3200 V). Selected ion monitoring (SIM) mode was performed to target specific *m/z* values for each GDGT compound, including 1302 (GDGT-0), 1300 (GDGT-1), 1298 (GDGT-2), 1296 (GDGT-3), and 1292 (crenarchaeol and crenarchaeol'). The results are presented as the fractional of the sum of the isoGDGTs based on the integration of the peak areas of the $[M+H]^+$ ions.

2.4. Index calculation and temperature reconstruction

The percentage of each isoGDGT (X) was calculated according to the following equation:

$$\%X = X / (\text{GDGT-0} + \text{GDGT-1} + \text{GDGT-2} + \text{GDGT-3} + \text{crenarchaeol} + \text{crenarchaeol'}) \quad (1)$$

The TEX_{86} index was defined by Schouten et al. (2002) as follows:

$$TEX_{86} = (\text{GDGT-2} + \text{GDGT-3} + \text{crenarchaeol'}) / (\text{GDGT-1} + \text{GDGT-2} + \text{GDGT-3} + \text{crenarchaeol'}) \quad (2)$$

TEX_{86}-inferred LST was calculated using the global lake calibration of Castañeda and Schouten (2015):

$$LST = 49.03 \times TEX_{86} - 10.99 \quad (r^2 = 0.88, n=16, RMSE = 3.1\ ℃) \quad (3)$$

3. Results

The isoGDGT compositions varied greatly in Lake Chenghai sediments. As illustrated in Fig. 3, GDGT-0 is the most abundant isoGDGT composition of the surface sediments. The relative abundance of GDGT-0 (%GDGT-0) ranged from 72.6-94.4 with a mean of 89.3%, the %cren values varied from 3.8- 18.4% with a mean of 7.7%. The ratios of GDGT-0/crenarchaeol were from 4.0-24.5 with a mean of 15.5. The average values of GDGT-1, GDGT-2 and GDGT-3 relative abundance were 1.2, 1.1 and 0.7%, respectively. The ~~crenarchaeol's regioisomer,~~ crenarchaeol'~~,~~ occurred in only low abundance, close to the detection limit, and therefore TEX_{86} values could not be calculated for these surface sediments.

The %cren values ranged between 2.4-61.3% with a mean of 52.4% in the core CH2016. The %cren values were relatively low and highly variable during 15.4-14.4 cal ka BP, ranging between 1.8-32.0%, with a mean of 11.6%. By contrast, the values were relatively stable during 14.4-7.0 cal ka BP, ranging between 41.8-61.3% with a mean of 58.3%. The relative abundances of crenarchaeol' had a mean of 1.7%. The ratios of crenarchaeol'/crenarchaeol were highly variable during 15.4-14.4 cal ka BP with a mean of 0.07. After this time, the values gradually decrease during 14.4-11.7 cal ka BP time interval with a minor increase between 12.5-11.7 cal ka BP, where the ratio averaged 0.05. The crenarchaeol'/crenarchaeol ratios were generally stable and fluctuated around 0.03 during the period 11.8-7.0 cal ka BP.

The relative abundances of GDGT-0 (%GDGT-0) showed a significant negative correlation with the %cren in the core CH2016 (r= 0.99, $p< 0.001$). The %GDGT-0 values had a mean of 74.0% between 15.4-14.4 cal ka BP and a mean of 19.6% during the 14.4-7.0 cal ka BP interval. The values of GDGT-0/crenarchaeol were generally >2 during the period 15.4-14.4 cal ka BP, ranging from 1.4-49.9 with a mean of 16.7, and all <2 from 14.4-7.0 cal ka BP. The relative abundance of GDGT-1, GDGD-2 and GDGT-3 were generally low in the sediments, with means of 8.9, 9.2, and 1.3, respectively.

The TEX_{86} values were also highly variable during 15.4-14.4 cal ka BP period, ranging between 0.36-0.68 with a mean of 0.54. Thereafter, the values generally followed an increasing trend, ranging between 0.49-0.63 with a mean of 0.58.

4. Discussion

4.1. Provenance of isoGDGTs

In order to evaluate the potential sources of isoGDGTs in Lake Chenghai sediments, we plotted a ternary diagram to compare the distribution patterns of GDGT-0, crenarchaeol, and the sum of GDGT-1, GDGT-2, GDGT-3, and crenarchaeol' ('TEX_{86}' GDGT) among our samples, previously published Chinese soils and global marine sediments compiled by Yao et al. (2019), along with previously published Chinese lacustrine surface sediments results (Günther et al., 2014; Dang et al., 2016; Hu et al., 2016; Li et al., 2016, 2019; Yao et al., 2019; Wang et al., 2020). In Lake Chenghai surface sediments, GDGT-0 is the predominant component among the isoGDGTs, consistent with most previous studies of lacustrine sediments (Blaga et al., 2009; Dang et al., 2016; Li et al., 2019; Yao et al., 2019; Wang et al., 2020). For example, GDGT-0 can account for more than 90% of total isoGDGTs in shallow lake surface sediments from East China (Dang et al., 2016); ~80% in saline pond surface sediments from northeast China (Li et al., 2019), and ~54% in surface sediments from the Qinghai-Tibetan Plateau (Wang et al., 2020). The values of GDGT-0/cren >2 in Lake Chenghai surface sediment suggest non-thaumarchaeotal isoGDGTs are also likely to be an important source in this lake system. The distribution of isoGDGTs between Chinese lacustrine surface sediments and soils were similar, and both were generally higher than that in global marine sediments and Thaumarchaeota. This line of evidence also suggests that the surface sediments could contain a significant contribution of soil isoGDGTs input (Li et al., 2016; Li et al., 2019).

The distribution of isoGDGT in Lake Chenghai sediment from 15.4-14.4 cal ka BP was similar to that of the surface sediments, suggesting a substantial contribution of non-thaumarchaeota during this period. However, the relative abundance of GDGT-0 significantly decreased and %cren increased in Lake Chenghai sediments from 14.4-7.0 cal ka BP. The plots generally overlapped with those of global marine sediments and Thaumarchaeota in the ternary diagram during this period, indicating that Thaumarchaeota dominated the archaea community in Lake Chenghai during the late glacial period and the early Holocene. The observed down-core changes in crenarchaeol'/crenarchaeol ratios may be due to relatively high contributions of group I.1b Thaumarchaeota from soils during the period 15.4-11.8 cal ka BP, and that these dominate the contributions of isoGDGTs derived from aquatic group I.1a Thaumarchaeota during the period from 11.8-7.0 cal ka BP.

4.2. Assessment of isoGDGT-based lake-level proxy

The environmental ~~implication~~ interpretation of %cren at Lake Chenghai during the period from the last deglaciation to the early Holocene is illustrated in Fig. 5.

Thaumarchaeota thrive predominantly near the oxycline in stratified lakes, and are mainly suppressed by non-thaumarchaeotal archaea when the lake level is low (Wang et al., 2014a; Wang et al., 2017a; Wang et al., 2019). Thus, the abrupt increase in %cren values is interpreted to represent an increase in lake depth in Lake Chenghai, from a lowstand during 15.4-14.4 cal ka BP, to a highstand period thereafter. The relatively low %cren values during 15.4-14.4 cal ka BP is consistent in timing with the δ^{18}O record of authigenic carbonates derived from the same core (Fig. 4e, Sun et al., 2019), speleothem δ^{18}O records from Mawmluh Cave and Bittoo Cave in north India (Fig. 4f, Dutt et al., 2015; Kathayat et al., 2016), and Donnge Cave in southwest China (Dykoski et al., 2005), which all record a substantial positive shift in δ^{18}O values at that time. Speleothem δ^{18}O records in the ISM region are used as a rainfall amount proxy, with low δ^{18}O values indicating ~~tracking changes in monsoon intensity~~high precipitation (Dykoski et al., 2005; Cheng et al., 2012; Dutt et al., 2015). ~~This suggests that the Thaumarchaeota were mainly suppressed by non-thaumarchaeotal archaea. Thus the abrupt increase in %cren values at 14.4 cal ka BP is suggested to represent a lowstand of Lake Chenghai during 15.4-14.4 cal ka BP, and a highstand period thereafter.~~

~~The interpretation of %cren contradicts the case for Lake Challa, but is consistent with that for Lake Qinghai in northwest China (Sinninghe Damsté et al., 2012; Wang et al., 2014). This difference is possibly due to the different response of Thaumarchaeota in the two types of lakes because of the mixing regime. For the small and deep Lake Challa, there is never complete mixing due to the stable stratification of the warmer water column and the lack of seasonality (Sinninghe Damsté et al., 2009). Below the oxycline nitrate levels are high, more substantial mixing regenerates more nutrients to the surface waters, resulting a relatively higher production of crenarchaeol (Sinninghe Damsté et al., 2012). In contrast, Lake Chenghai and Lake Qinghai are seasonal mixing lakes, and the vertical change of nutrients may be relatively small in the lake water. In addition, the low lake level during the H1 event was associated with a weakened ISM, and less terrestrial nutrient input due to less~~

~~runoff would likely suppress the growth of Thaumarchaeota and reduce the production of crenarchaeol.~~

Low lake~~-~~ levels and a weakened ISM during the H1 cold event are also observed in several previous paleolimnolgical studies from the Yunnan Plateau, within the uncertainties of the age model. Diatom and grain-size records from Lake Tengchongqinghai show a significant decrease in acidophilous diatom species and an increase in the grain-size of mineral particles from 18.5 to 15.0 cal ka BP, suggesting that the climate was dry and the ISM was at its weakest since the last deglaciation (Fig. 4g, Zhang et al., 2017b; Li et al., 2018). Similarly, an increase in >30 μm grain-size particles in the late glacial sediments from Lake Xingyun reflects a period of abrupt weakening of the ISM during the H1 cold event because of reduced lake level (Wu et al., 2015). In Lake Lugu, the loss of the planktonic diatoms and a switch to small *Fragilaria* spp. suggests a weaker stratification from 24.5 to 14.5 cal ka BP, which might also correspond to low lake-level at that time (Wang et al., 2014b). ~~In addition, there is a peak of cren% centered at ~15.2 cal ka BP, suggesting a centennial high lake-level and strengthened ISM period, which was not identified in a previous $\delta^{18}O$ record of authigenic carbonates (Sun et al., 2019). However, the strengthened ISM event at ~15.2 cal ka BP was clearly recorded by speleothem $\delta^{18}O$ record from Dongge Cave in southwest China (Dykoski et al., 2005).~~

Lake levels inferred from %cren do not show a lowstand during the YD (~12.8-11.7 cal ka BP), which is generally recognised as a period of low rainfall due to the weakening of the ISM (Dutt et al., 2015; Dykoski et al., 2005; Kathayat et al., 2016~~; Sun et al., 2019~~). In contrast, a low lake-level signal is observed in the $\delta^{18}O$ record of authigenic carbonates from Lake Chenghai (Sun et al., 2019). ~~In addition, increased~~ Increased lake water alkalinity and decreased lake~~-~~ level are also recorded in the diatom and grain-size proxy records between 12.8-11.1 cal ka BP of Lake Tengchongqinghai (Fig. 4g, Zhang et al., 2017b; Li et al., 2018). In addition, there is a peak of %cren centered at ~15.2 cal ka BP, suggesting a centennial scale high lake level and strengthened ISM period, which was not identified in a previous $\delta^{18}O$ record of authigenic carbonates (Sun et al., 2019). The inferred high lake levels during the YD and at ~15.2 cal ka BP, which ~~is~~ are inconsistent with ~~a~~ weakened ISM inferred from other proxies, might be due to the erosion of soil organic matter into the lake during ~~this~~ these periods (Wang et al., 2019). The crenarchaeol are relatively abundant in topsoils from southwest China, and the influence of soil input should be more significant at times of drier conditions (Yang et al., 2019). It is also worth noting that the crenarchaeol'/crenarchaeol ratios were not only relatively higher during the H1 cold event, but also showed a minor reversal during the YD cold event. These results are consistent with group I.1b Thaumarchaeota being an important source of isoGDGTs in small lakes and in the nearshore areas of large lakes (Wang et al., 2019).

Another possibility for the ~~unexpected~~ different H1 and YD lake level variation is the sensitivity of the proxy to lake~~-~~ level ~~variation~~ in the case of Lake Chenghai. The $\delta^{18}O$ record of authigenic carbonates from Lake Chenghai and speleothem $\delta^{18}O$ records in the ISM region suggest that the weakening of the ISM during the YD was less marked than that occurring during the H1 event, in turn suggesting that lake-levels in southwest China may have been higher during the YD than the H1 event (Dykoski et al., 2005; Dutt et al., 2015; Kathayat et al., 2016; Sun et al., 2019; Zhang et al., 2019). For the %cren proxy, we note that the values are correlated to the logarithm of depth, suggesting that %cren may be less sensitive to water depth variation when the lake-level is relatively high, and more sensitive to water depth variation when the lake-level is lower (Wang et al., 2019).

The interpretation of %cren presented here differs from that proposed for Lake Challa, but is consistent with that proposed for Lake Qinghai in northwest China (Sinninghe Damsté et al., 2012a; Wang et al., 2014). This difference is possibly due to the different response of Thaumarchaeota in the two types of lakes because of the different mixing regime. For the small and deep Lake Challa, there is never complete mixing due to the stable stratification of the warmer water column and a lack of seasonality (Sinninghe Damsté et al., 2009). Below the oxycline nitrate levels are high, so more substantial mixing regenerates more nutrients into the surface waters, resulting a relatively higher production of crenarchaeol when lake level is substantially reduced (Sinninghe Damsté et al., 2012a). In contrast, Lake Chenghai and Lake Qinghai are seasonally mixed lakes, and the vertical change in nutrients may be relatively small. In addition, terrestrial nutrient input would be a shorter time-scale mechanism explaining the relationship between ISM index and %cren values (Wang et al., 2014). Less nutrient input due to a weakened ISM during the H1 event would likely suppress the growth of Thaumarchaeota and reduce the production of crenarchaeol.

4.3. Warming in the last deglaciation-Holocene transition

The application of the TEX_{86}-based paleotemperature calibration depends critically on the assumption that the isoGDGTs used for calculation of TEX_{86} values are mainly been derived from group I.1a in the water column (Blaga et al., 2009; Castañeda and Schouten, 2011; Powers et al., 2010; Sinninghe Damsté et al., 2012a). Since the influence of methanogenic archaea in the water column or archaea in the catchment soils has been recognized as significant, Lake Chenghai sediments with crenarchaeol'/crenarchaeol ratios >0.04 and/or GDGT-0/crenarchaeol ratio >2 are excluded from the discussion below (Powers et al., 2010; Castañeda and Schouten, 2015). The ratio of branched GDGTs to isoGDGTs (BIT) should be <0.5 if the TEX_{86}-temperature calibration in previous studies, because the values are generally >0.90 in soils, whereas values are close to zero for sediments from large lakes (Hopmans et al., 2004; Weijers et al., 2006). However, recent studies of a wide variety of lakes have suggested that at least some of the branched GDGTs can be produced *in situ* in the lake (Blaga et al., 2010; Tierney et al., 2010; Pearson et al., 2011; Hu et al., 2016; Dang et al., 2018; Russell et al., 2018). Therefore, *in situ* production of branched GDGTs in Lake Chenghai cannot be fully excluded, and therefore the ratio of ~~branched GDGTs to isoGDGTs~~BIT was ignored in this study. 74 samples remain that have isoGDGT distributions consistent with their dominant source being the aquatic Thaumarchaeota, most of these being from the time interval between 11.7-7.0 cal ka BP, and only a few from the last deglaciation (n= 6). Using

Equation 4 developed by Castañeda and Schouten (2015) to calculate mean LST, yielded LST values from 14.3-20.1 ℃, with a mean of 18.0 ℃ (Fig. 5a).

LST was ~15.9 ℃ during the last deglacial period, a temperature approaching the 16 ℃ observed in the present Lake Chenghai. ~~–~~Considering the TEX_{86}-based LST transfer function has a RMSE of 3.1 ℃, this result is consistent with other recent reconstructed ~~mean annual temperatures (~~MAAT~~)~~ in southwest China, which show the temperatures during the last deglaciation were generally similar to the present-day values. For example, the MAAT inferred from branched GDGTs from Lake Tengchongqinghai in southwest China increased episodically from 12.0 ℃ to 14.0 ℃ between 19.2 and 10.0 cal ka BP, where the modern mean annual temperature is 14.7 ℃ (Tian et al., 2019). The TEX_{86}-based deglacial LST and MAAT inferred from branched GDGTs from Nam Co in south Tibetan Plateau also reported values similar to the present-day (Günther et al., 2015). ~~Furthermore~~In contrast, the July air temperature derived from the chironomid record from Lake Tiancai, and pollen record from Lake Yidun showed that the climate during the deglacial period was ~2-3 ℃ cooler relative to today (Fig. 5b and c, Shen et al., 2006; Zhang et al., 2019). The amplitudes of reconstructed terrestrial temperatures change in the Indian summer monsoon region are generally consistent with those from the tropical Indian Ocean. Although estimates of sea surface temperatures in the Andaman Sea and Bay of Bengal were variable, the cooling relative to today ranged from 1-4 ℃ (Rashid et al., 2007; MARGO, 2009; Govil and Naidu, 2011; Gebregiorgis et al., 2016).

Following the YD cold event, LST at Lake Chenghai ranged from 16.2 ℃ to 20.1 ℃ with an increasing trend, and the middle Holocene was generally warmer than the early Holocene (11.7- 8.2 cal ka BP). In the Indian summer monsoon region, the reconstructed MAAT using the branched GDGT calibration from Lake Ximenglongtan remained at ~12.5 ℃ from 9.4-7.6 cal ka BP, then experienced a rapid warming to 13.8 ℃ from 7.6-5.5 cal ka BP (Ning et al., 2019). Meanwhile, the branched GDGTs-MAAT from Lake Tengchongqinghai also achieved its highest the highest value at around 7.1 cal ka BP (Tian et al., 2019). Similarly, summer air temperatures reconstructed from Lake Tiancai and Lake Xingyun displayed ~~lower values in~~a warming trend from the early Holocene to the mid-Holocene~~ when compared with that in the following millennium, though the amplitude of change is much lower~~ (~~0.3 and 1.1 ℃ lower, respectively, ~~Zhang et al., 2017a; Wu et al., 2018). The amplitude of the absolute scale of ~~cooling and ~~warming is of a lower magnitude in the chironomid, pollen and branched GDGT records as compared to the TEX_{86}-based reconstruction from Lake Chenghai. This may be due to the difference in the accuracy and precision of the proxy-based models, which also depend on the biological and seasonal sensitivity of the proxy, to constrain the absolute temperature values (Zhang et al., 2017a).

We also noted that most of the lake records from not only the Indian summer monsoon region, but other parts of ~~East ~~Asia, show a ~~thermal optimum at~~ warming from ~~8.0~~11.7-7.0 cal ka BP (Ning et al., 2019). In southwest China, Holocene summer air temperature generally follows ~~The ~~summer isolation over the Northern Hemisphere, ~~which is an important external forcing, was~~but lags the highest value ~~at ~11.0 cal ka BP, leading the temperature optimum in east and south Asia~~ by 3-4 ka (Berger and Loutre, 1991; Zhang et al., 2017a; Wu et al., 2018). This indicates that additional feedback between solar insolation and internal processes, such as the persistence of remnants of the Northern Hemisphere ice-sheets and snow cover during the early Holocene, should be considered in explaining this discrepancy (Zhang et al., 2017a, Wu et al., 2018; Ning et al., 2019). This is evidenced by records from the Laurentide ice-sheets, which were still relatively large at ~11 cal ka BP, despite the occurrence of peak summer insolation (Shuman et al., 2005). ~~The Laurentide and Fennoscandian ice-sheets in the early Holocene enhanced surface albedo and reduced air temperature in the high latitudes, which likely led to enhanced westerlies transporting more cold air from the North Atlantic Ocean downward to the Indian monsoon affected regions of southwest China and north India through its south branch flow (Ning et al., 2019). In addition, t~~The melting of ice-sheets from 11-6 cal ka BP is likely to have slowed down the Atlantic Meridional Overturning Circulation and impeded the northward shift of the Intertropical Convergence Zone (Dykoski et al., 2005). This process could further result in a relatively weakened Indian summer monsoon, and a reduction in heat transported to the continent during the early Holocene (Zhang et al., 2017a). In addition, the ice-sheets in the early Holocene enhanced surface albedo and reduced air temperature in the high latitudes, which likely led to enhanced westerlies transporting more cold air from the North Atlantic Ocean downward to the ISM affected regions through its south branch flow, especially during the winter (Ning et al., 2019). A long-term winter warming trend in southwest China was revealed by the pollen record from Lake Wuxu and Muge from the southeast margin of the Qinghai-Tibetan Plateau (Zhang et al., 2016; Ni et al., 2019). In the high latitudes of the Northern Hemisphere, the early Holocene winter warming is attributed to increasing winter insolation, as well as the retreat of the Northern Hemisphere ice-sheets (Baker et al., 2017; Marsicek et al., 2018). Although our LST record from Lake Chenghai has not been determined to be an indicator of summer or winter temperature, it does appear that long-term temperature evolution during the early Holocene to the mid-Holocene, which was driven mainly by solar insolation and the status of Northern Hemisphere ice-sheets. In essence, more temperature records with unambiguous seasonal significance from different regions are needed to achieve a comprehensive understanding of Holocene temperature dynamics.

5. Conclusions

The record of isoGDGTs in the sediments of Lake Chenghai in southwest China presented in this study allows us to test the ability of isoGDGT-based proxies in the ISM region to reconstruct lake-level and temperature during the last deglaciation-Holocene transition. The lake-level history inferred from %cren shows a relative lowstand of Lake Chenghai during 15.4-14.4 cal ka BP, corresponding to a period of weakened ISM during the H1 cold event. The indistinct signal of lake-level variation during the YD cold event may be due to the group I.1b Thaumarchaeota being an important source of isoGDGTs and consequently the lake level may have been low during the YD cold event. After filtering for the influence of isoGDGTs derived from soils in the surrounding catchment and non-thaumarchaeota, the TEX_{86} paleothermometry revealed that the LST of Lake Chenghai was similar to the present-day value during the last deglaciation. The lake also experienced a substantial warming of ~4 ℃ from the early-Holocene to the mid-Holocene due to the melting of the remnants of the continental ice-sheets in the Northern Hemisphere, which gradually enhanced the ISM and reduced the winter westerly circulation. Overall, our results show that records of isoGDGTs in Lake Chenghai sediments have potential for quantitative paleotemperature reconstruction once potential underlying biases are properly constrained.

Data availability.

All data generated in this study can be found in the Supplement.~~All data in this study will be made available on request.~~

Author contributions.

W.S and E.Z designed the study, W.S performed the fieldwork and lab analysis. W.S and E.Z led the writing of the paper, J.C, J. S, M.I.B, C.Z, Q.J and J.S contributed to data interpretation and paper writing. All authors contributed to discussions and writing of the manuscript. The authors declare that they have no competing financial interests.

Competing interests.

The authors declare that they have no conflict of interest.

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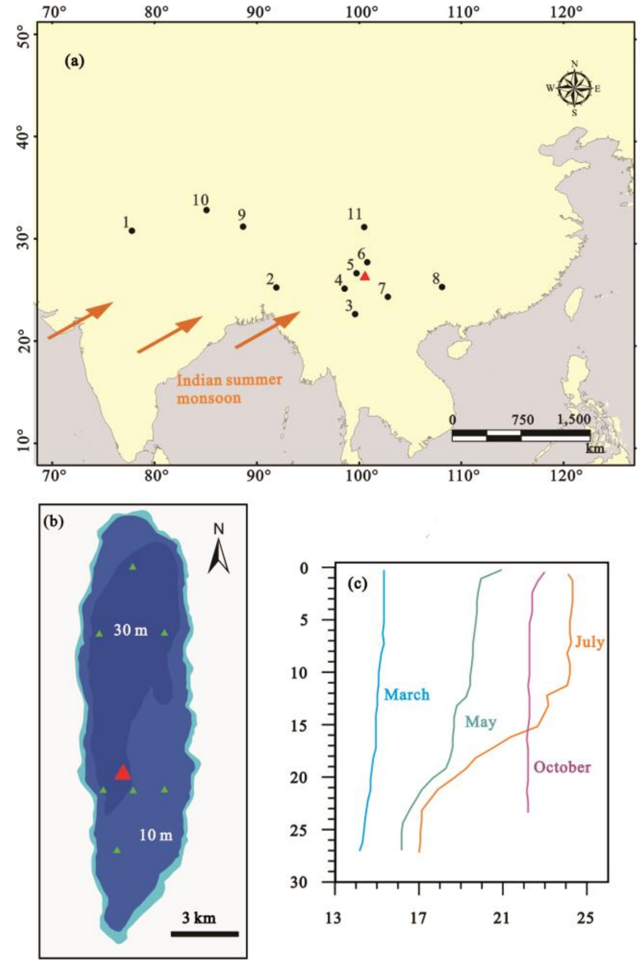
Figure captions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km
(b)
30 m
10 m
3 km
(c)
March
May
July
October

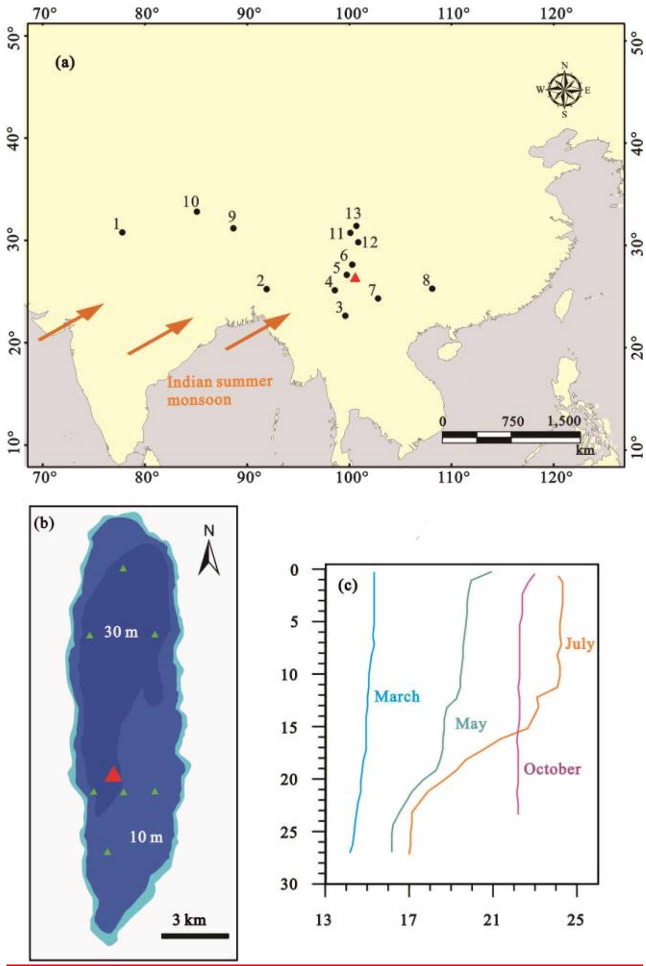


Fig. 1. (a) Map showing the location of Lake Chenghai in southwest China (red triangle) and other sites (circles) mentioned in the text: 1. Bittoo Cave (Kathayat et al., 2016); 2. Mawmluh Cave (Dutt et al., 2015); 3. Lake Ximenglongtan (Ning et al., 2019); 4. Lake Tengchongqinghai (Zhang et al., 2017b; Li et al., 2018; Tian et al., 2019); 5. Lake Tiancai (Zhang et al., 2017a, 2019); 6. Lake Lugu (Wang et al., 2014); 7. Lake Xingyun (Wu et al., 2015, 2018); 8. Dongge Cave (Dykoski et al., 2005); 9. Nam Co (Günther et al., 2015); 10. Dangxiong Co (Ling et al., 2017); 11. Lake Yidun (Shen et al., 2006); 12. Lake Wuxu (Zhang et al., 2016); 13. Lake Muge (Ni et al., 2019), (b) The red triangle indicates the location of core CH2016 in Lake Chenghai, while green triangles indicate the locations of surface samples. (c) The vertical variation of Lake Chenghai water temperature in March, May, July and October (Lu,

2018).

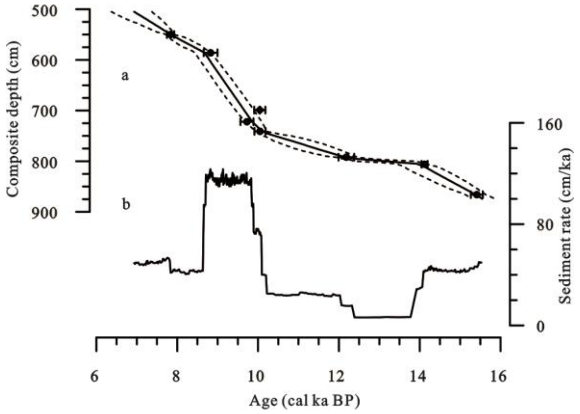
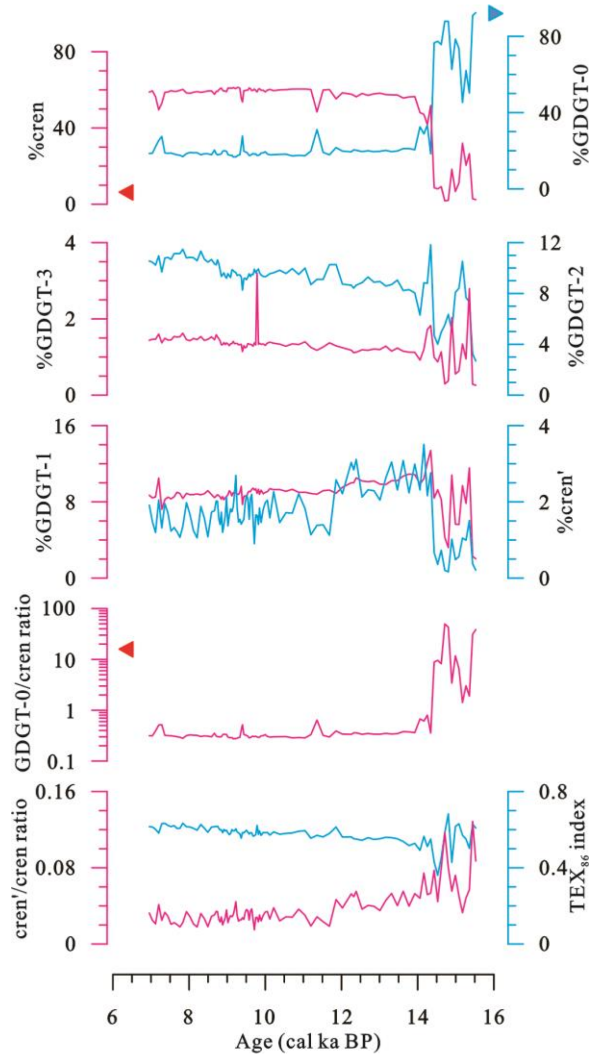


Fig. 2. (a) Age-depth model for the Lake Chenghai sediment core produced using Bacon software (Blaauw and Andres Christen, 2011) from Sun et al. (2019). Dotted lines indicate the 95% confidence range and the solid line indicates the weighted mean ages for each depth, error bars indicate the standard deviation range (2σ) of the calibrated radiocarbon dates. (b) estimated sedimentation rate (Sun et al., 2019).


%cren
%GDGT-0
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TEX86 index
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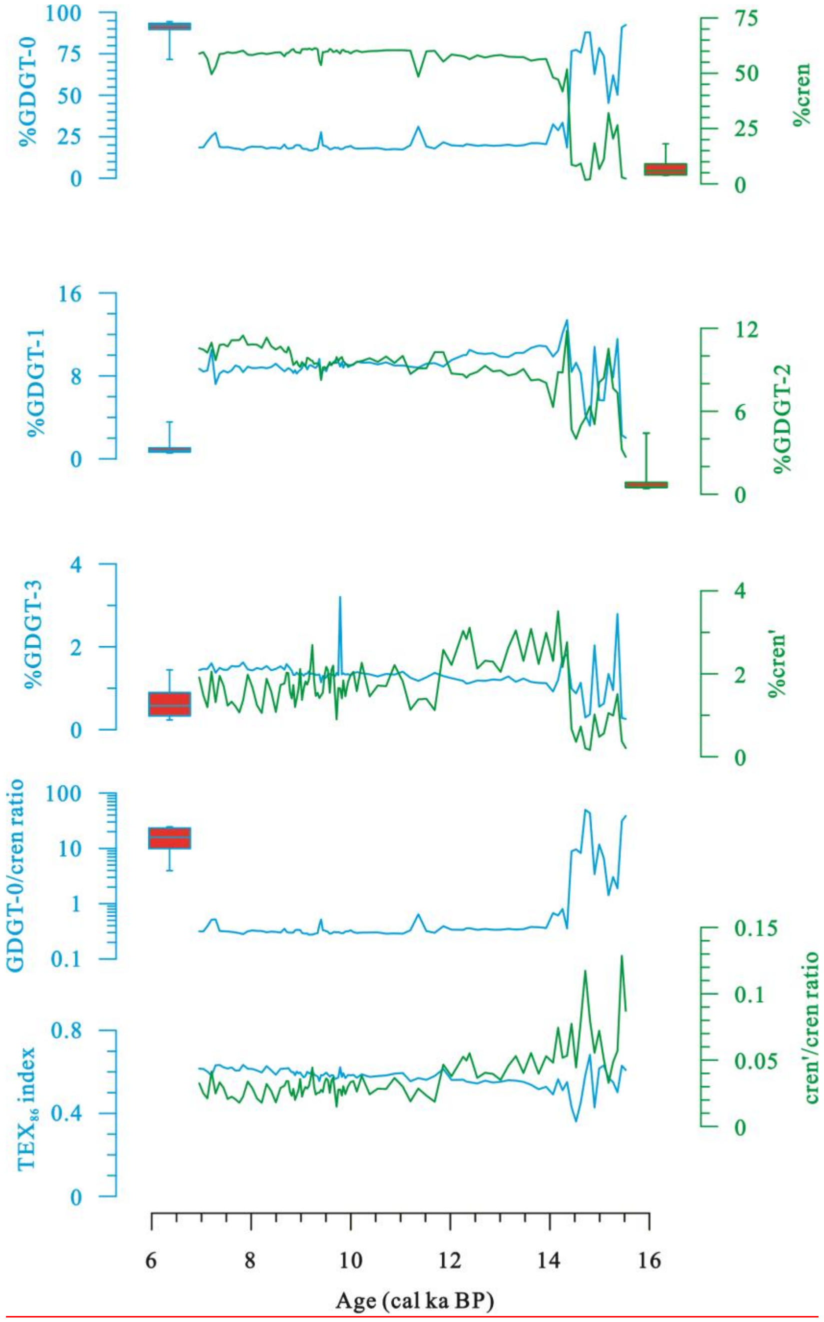

Fig. 3. Variations in the relative isoGDGT distribution and isoGDGTs-based proxies of the Lake Chenghai sediment core. The ~~triangles~~ Box-Whisker plots indicate the ~~mean~~ values ~~of~~ from surface sediments.

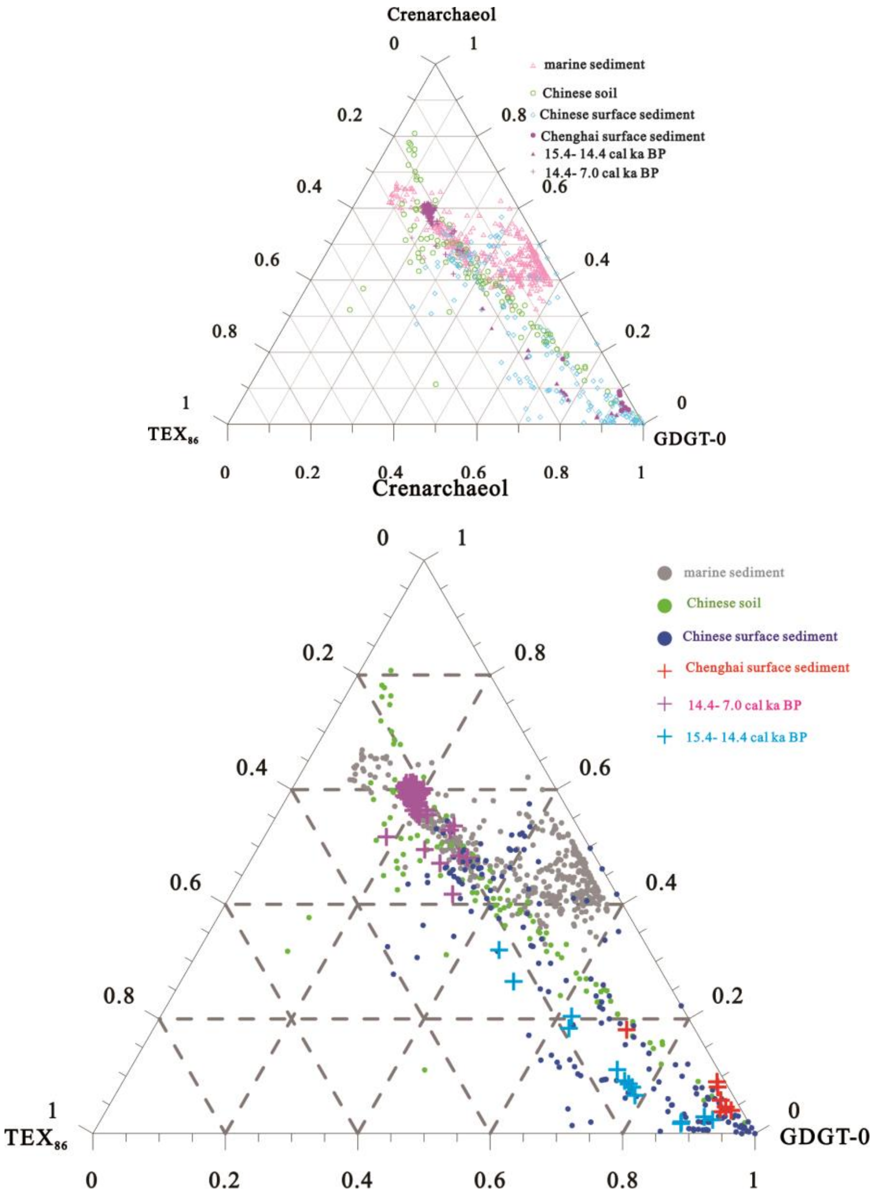

Fig. 4. Ternary diagram showing the distributions of GDGT-0, crenarchaeol, and ‘TEX_{86}′ GDGTs in surface and core sediments from Lake Chenghai, global marine sediments (Kim et al., 2010), published Chinese soils compiled by Yao et al. (2019), and Chinese lacustrine surface sediments (Günther et al., 2014; Dang et al., 2016; Hu et al., 2016; Li et al., 2016, 2019; Yao et al., 2019; Wang et al., 2020).

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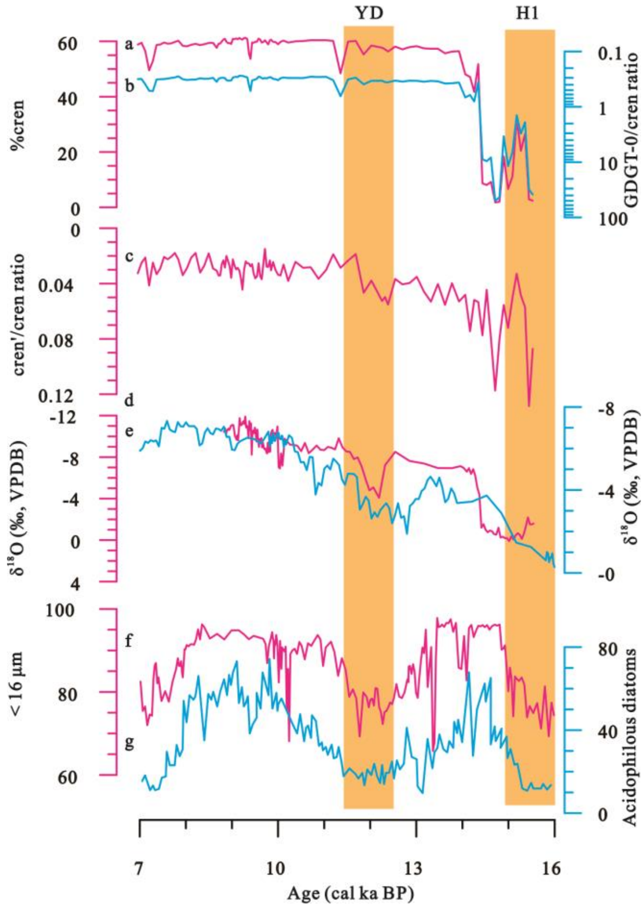
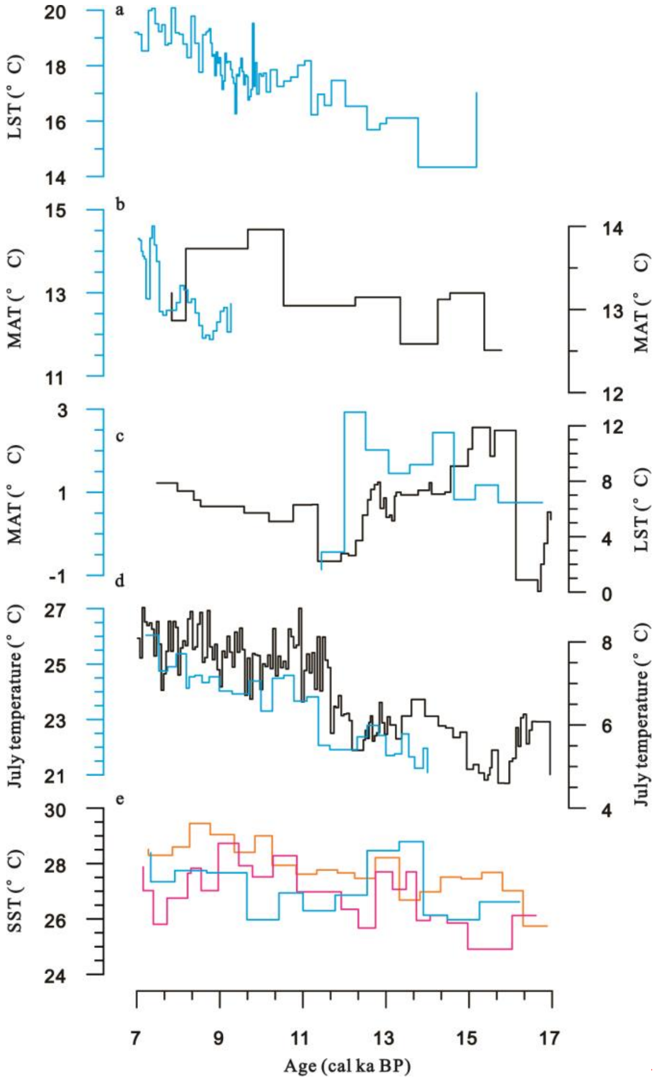


Fig. 5. Comparison of the isoGDGT-based lake-level record from Lake Chenghai (a-c) with the $\delta^{18}O$ record of carbonate finer in grain size than 63 μm from Lake Chenghai (d, Sun et al., 2019), the stalagmite $\delta^{18}O$ records from Mawmluh Cave in northeast Indian (e, Dutt et al., 2015); grain-size and diatom record from Lake Tengchongqinghai (f and g, Zhang et al., 2017; Li et al., 2018). The shading is utilised to represent ‘cold’ events in the North Atlantic.


a
b
c
d
e
LST (° C)
MAT (° C)
MAT (° C)
LST (° C)
July temperature (° C)
July temperature (° C)
SST (° C)
Age (cal ka BP)

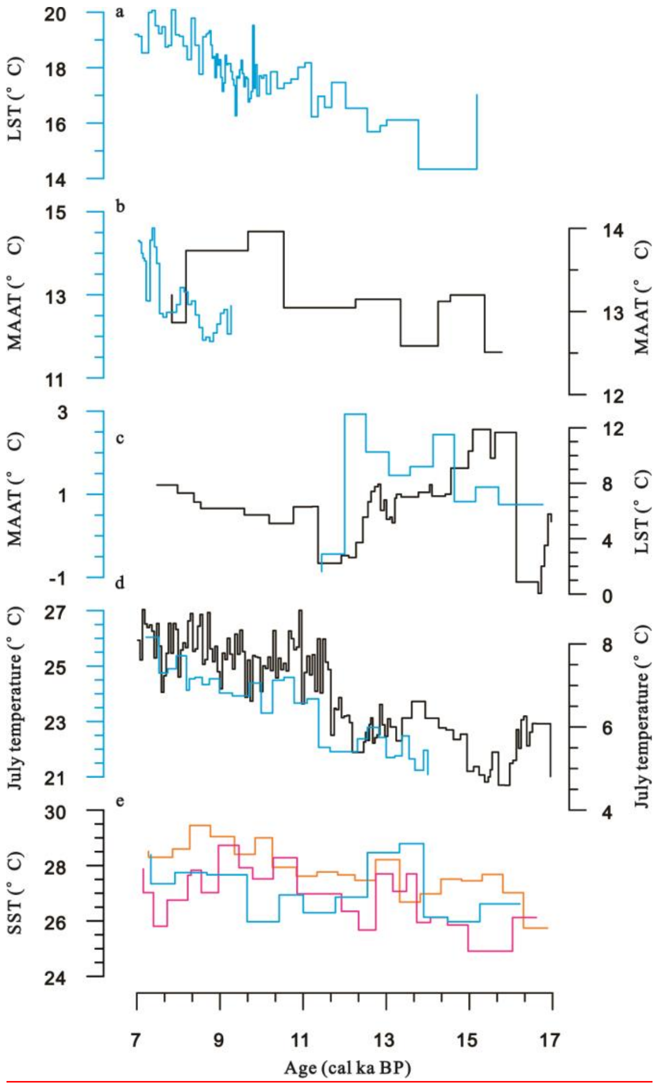


Fig. 6. A comparison of TEX$_{86}$-based lake surface temperature of Lake Chenghai (a) with other paleotemperature records from the ISM region. (b) mean annual temperature based on branched GDGTs from Lake Ximenglongtan (blue line, Ning et al., 2019) and Lake Tengchongqinghai (black line, Tian et al., 2019); (c) Alkenone-based mean annual temperature at Lake Dangxiong (blue line, Ling et al., 2017), and TEX$_{86}$-based lake surface temperature of Nam Co from the southern Tibetan Plateau (black line, Günther et al., 2015); (d) July temperature reconstructed from pollen record from Lake Xingyun (blue line, Wu et al., 2018) and subfossil chironomids from Lake Tiancai (black line, Zhang et al., 2017a, 2019);; and (e) sea

surface temperatures in the Andaman Sea and Bay of Bengal (Rashid et al., 2007; Govil and Naidu, 2011; Gebregiorgis et al., 2016).