

Response to the comments

Editor

Both reviewers comment on the need for additional terrestrial records of past climate, and the potential value that records like this could have for improving our understanding of climate changes in this region.

Both reviewers have provided a suite of constructive comments for improving the manuscript (including the annotations by Reviewer 1). Some of these are relatively minor e.g. typos, or change of phrasing. However, the reviewers raise important concerns which limit acceptance of the results and interpretations being presented by the authors.

Both reviewers comment on the need to significantly increase the detail of the descriptions of the proxies that are being applied. The authors currently have a brief introduction, and then later (section 4.1) provide the overview of the proxy interpretations. The reviewers sought to move section 4.1 into the introduction, which the authors note they can do in a revised version. However, neither reviewer was satisfied by the depth of discussion about the proxies even in section 4.1. Reviewer 2 gives a large number of references where the authors could both explain the rationale of the approach, but also make much more detailed and nuanced interpretations of the data. The combined comments of the reviewers indicate that work is required to justify both the approach taken and the results generated. Furthermore, the existing discussion of the proxy data and its interpretations is not well connected to the modern lake system: both reviewers comment on the need to better understand how the lake works today, and what environmental factors might be at play which could impact the GDGTs (e.g. pH, salinity... as well as temperature).

Finally, both reviewers comment that although a presentation of other regional climate records is made in the final section of the Discussion, this is not discussed well: the causes of similarities and differences are not explained sufficiently to the reviewers. The manuscript provides an overview of patterns of change but does not

dig into evaluating the causes / mechanisms / limitations of the data sets.

The Author Comments in reply to the Reviewers suggest that some of these issues may be addressed. The minor comments of both reviewers are indicated to be 'modified' and in many instances these will be easily achieved.

However, it is difficult from the responses at present to be clear that all changes can be easily included into a revised manuscript, and especially those of a substantive nature e.g. both reviewers ask for increased detail in the controls over GDGT production in lakes, and more detail about this lake. But the replies from the authors to these comments are very brief, implying that they may not consider adding the level of detail the reviewers would like to see. For example, R1 comments 20 and 21, and R2 comments 3, 4 and 15 (among others), seek detail and discussion but the current replies don't provide additional justification for why these interpretations were made. Some of the author replies are "that's not the same in this lake" when comparing to the literature cited by the reviewers, but in the absence of detail about this lake and its properties these statements are not fully justified. There will be some differences between systems, but that is all the more reason to detail the steps the authors took to make their interpretations based on other literature and the local factors.

I am prepared to review an updated version of this manuscript, where the authors show that they have made substantive edits and additions according to those requested by the reviewers. Both reviewers have provided very constructive comments about the complexities of the proxies, the need for these to be better detailed, and the need for the interpretations to be better explained as a result. I expect that the revised Introduction section (or a new section 2) provides a review of the key proxies, their interpretations and their limitations. There should also be increased detail about the modern properties of this lake, and how this might affect those same proxies. This ought then to link with why they might expect, for example, cren/cren' to record lake level changes, and how that could link to e.g. hydrological change in the region. The authors should carefully consider the substantive comments about content provided by

both reviewers, and increase the depth of information they provide as well as their critical evaluation of the literature.

Once the proxy-based changes have been completed, the authors also then need to carefully incorporate the comments about how they are linking their data to other records from the region. I agree with the reviewers that at present this lacks critical evaluation of the processes which are being recorded, and how/why there are agreements and disagreements between the records. But it remains difficult to assess this component of the manuscript at present where there remain many questions about how the authors reached the interpretations they have made of their data in the preceding sections.

Finally, the authors should note that the similarity report run as part of the submission process indicated that sections of the Methods part of the manuscript are also found, with only minor changes, from the same authors in a paper published in Quaternary Science Reviews in 2019. The authors should try to reduce this duplication in any revised submission.

***Response:** We have revised the manuscript according the comments from both reviewers. Firstly, we reorganized the manuscript with moving the section 4.1 to the introduction and adding some new references to make the interpretations of the proxies more clear. Secondly, the concentrations of cren' in surface sediments are generally at the detection limit, resulting in TEX₈₆ values that could not be calculated and compared to the modern values and connected to the modern lake system. Thirdly, we have focused on the temperature records from the Indian monsoon region, and paid more attention to differences between these records and possible forcings of these differences. Finally, we revised the sections of the Methods part of the manuscript and reduced the similarity.*

Referee #1

1) The assessment of GDGT as paleoclimate and paleohydrology proxy lack of

in-depth discussion on the complex interpretations of the lipids. For instance, the paper does not address the fact that it is well known that GDGT composition is highly affected by a variety of environmental factors including air and water temperature, pH, salinity etc., which can complicate the interpretation of the proxy used (e.g. Pearson et al., 2008, Wang et al., 2013). The paper also attempts to present and discuss the Lake Chenghai record in the context of the larger Indian Summer Monsoon region (including records from China and Japan). However, there is a substantial lack of detailed comparison between the Lake Chenghai record and other records shown in Fig. 5. Specifically, there is almost no mention of the differences between records and there is little discussion on the causes of the climatic variation in the studied lake and what might cause the regional differences observed in the records presented in Fig. 5. Therefore, I suggest that the authors should provide more discussion on the use of GDGTs as proxy and how they perform in relation to other records (agree/disagree).

Response: *The pH and salinity are reported to influence the isoGDGT composition in the saline lakes, however, the salinity in Lake Chenghai is relatively low at present. In addition, we conclude that it was not a saline lake during the HI cold event when the climate was driest during the past 16 ka based on the mineral composition of the sediments (Sun et al., 2019). Thus we focus on the influences of lake level and air temperature in this study (Section 4.2 and 4.3). We also not only compare the reconstructed temperatures with those from the Indian monsoon region, with the records from East Asian monsoon region (East China and Japan where was not influenced by Indian Summer monsoon) being deleted in the revised text. In total, the magnitudes of temperature variations are consistent with these other studies considering the RMSEs of the calibrations (L402-437 in the revised manuscript without markers).*

Sun, W., Zhang, E., Shulmeister, J., Bird, M.I., Chang, J., Shen, J.: Abrupt changes in Indian summer monsoon strength during the last deglaciation and early Holocene based on stable isotope evidence from Lake Chenghai, southwest China. *Quaternary*.

2) The authors mentioned in the method section that both isoprenoid and branched GDGTs were extracted and analysed. However, they use brGDGTs only to calculate BIT index but do not use any of the numerous brGDGT based temperature proxy (e.g. MBT/CBT, MBT'/CBT). Instead only isoGDGT derived TEX_{86} was adopted for temperature reconstruction. However, TEX_{86} was only applicable to approximately half of the core (57 out of 102 samples) due to the high contribution of soil derived isoGDGTs. As stated by the authors, there is scarcity of terrestrial temperature records from the studies region, therefore I strongly suggest that brGDGTs-based paleothermometers should be calculated and applied downcore in Lake Chenghai to increase the resolution and the knowledge of air and water temperature changes in the region. Finally, GDGT-based calibrations (in this case TEX_{86} inferred lake surface temp.) should not be “blindly” applied for downcore climate reconstruction. I strongly suggest that correlation analysis between iso (and eventually brGDGT) based proxies and modern water temperature and air temperature should be performed to test the validity of using GDGT for paleoclimate reconstruction.

Response: *Thanks. Firstly, branched GDGTs have been successfully used to reconstruct temperature in monsoonal Asia, such as for records derived from Lake Tengchongqinghai, Lake Tiancai and Lake Ximenglongtan in southwest China. However, the areas of these lakes are relatively small and the branched GDGTs are dominantly by contributions from the catchment soil. In contrast, Lake Chenghai is much larger, and hence in-situ production of branched GDGTs in Lake Chenghai cannot be fully excluded. We did attempt to reconstruct a temperature record based on branched GDGTs using the calibrations from both African lakes and temperate China, but large discrepancies were evident between the temperature calculated from modern samples and observed modern temperature. Here we do not believe the branched GDGTs yield meaningful information in this study. Secondly, the concentrations of cren' in surface sediments are generally at the detection limit, resulting in TEX_{86}*

values that could not be calculated and compared to the modern values.

3) I suggest that the introduction and the discussion should be throughout modified and potentially revised. In the introduction, there is not enough background on the proxies used (e.g. the producers, the environmental factors affecting TEX86, %cren, cren'/cren and GDGT-0/cren ratios), and there should be more clarification on why it is important to reconstruct lake level changes in the context of modern climate and future predictions. In the discussion, from line 220 to 254 the authors provide a literature review on the proxies used. This section should not be in the discussion, but rather be part of the introduction. Additionally, sections 4.2 and 4.3 are too descriptive and are not well connected between each other. As most of section 4.1 should be in the introduction I suggest to revise the discussion focusing on the a) interpretation (not description) of the proxies used, b) contextualise the climate and hydrological changes within the region and discuss in detail the causes of the changes and the implications for future scenarios, c) evaluate the proxies used for Lake Chenghai as mentioned in point 1 above.

Response: *We modified the structure of the manuscript in line with the suggestions of the reviewer, and moved section 4.1 to the introduction.*

4) I strongly advise for this manuscript to be revised by either a professional translator or a native English speaker as there are large portions of the text which should be rephrased as they are difficult to follow/read. Additionally, I have noticed grammar and stylistic mistakes which I have tried to amend as much as possible. I have also provided suggestions on how to rephrase some of the sentences.

Response: *Thanks. The manuscript has been proof read by both Shulmeister and Bird, who are both native English speakers. We also have corrected the grammar and stylistic issues identified by the reviewer in the revised version of the manuscript.*

5) In the introduction I think you should add a figure with the structure of iso and brGDGTs.

Response: *The structures of isoGDGTs are shown in the supplementary figure and branched GDGTs were not discussed in the manuscript further, hence we have not added an additional figure into the text.*

6) Lines 61 to 74: in this section you need to explain specifically why it is important to reconstruct lake level changes. What do these changes tell us about the hydrological budget?

Response: *Lake level may reflect the precipitation in the past, however, temperature also has significant effects on evaporation and regional hydrological cycle. In the revised manuscript, we focus on the reconstruction of temperature due to there remains a lack of quantitative reconstructions of terrestrial temperature from the Indian summer monsoon region (L68-72).*

7) Line 80: You should be more specific and list the producers. We know for example the phyla that produce isoGDGTs (e.g. Euryarchaeota)

Response: *Modified.*

8) Line 85 to 98: This is not enough introduction to TEX_{86} nor %cren, additionally there is no mention of cren'/cren ratio. I'd suggest you move section from line 220 to 254 here (see point 3 above)

Response: *Changed as requested (L109-118).*

9) Line 129 – 130: you should make clearer that the chronology for this lake has already been published in Sun et al. 2019

Response: *Yes, the chronology has been published, the text has been modified to better reflect this fact (L187-195).*

10) Lines 145-146: This is an unusual way for extracting lipids, can you add a reference?

Response: *The method follows Feng et al. (2019) (L201-202), which has been added to the revised text.*

Feng, X., Zhao, C., D'Andrea, W.J., Liang, J., Zhou, A., Shen, J.: Temperature fluctuations during the Common Era in subtropical southwestern China inferred from brGDGTs in a remote alpine lake. *Earth. Planet. Sc. Lett.* 510, 26-36, DOI: 10.1016/j.epsl.2018.12.028, 2019.

11) Line 169: This is the first time you mention brGDGTs. They should be briefly introduced in the introduction section alongside the BIT.

Response: *The index of BIT was deleted.*

12) Line 202: You should report r not r^2 (as r is the correlation coefficient)

Response: *Modified (L260).*

13) Lines 220 to 254: this section is a literature review and contextualizes the use and potential drawbacks of GDGTs and the GDGT-based proxy. Therefore, it should be rewritten into the introduction section.

Response: *As noted in the main points this text has been moved to the introduction*

(L84-146).

14) Line 257: which modern processes? what do you mean by that exactly?

Response: *The “modern processes” is deleted.*

15) Lines 262 - 286: Here you are simply presenting the results of cren/cren' and GDGT-0/cren ratios with a bit of contextualisation within the literature. This should be better explained within the context of climate and hydrological changes.

Response: *Modified as suggested (L103-106, 119-129).*

16) Line 293: “Deep lake conditions” Such as? Can you list some of the conditions affecting the Thaumarchaeota growth?

Response: *This sentence was deleted in the revised manuscript.*

17) Line 311/ Fig. 4 : Here you mention diatom record but in Fig. 4 you only plot the grain-size record. Could you add the acidophilous record in fig 4?

Response: *We have added the diatom record to the revised figure.*

18) Line 320: You should add what proxy you are talking about. E.g. "Lake levels inferred from %cren do not show a lowstand during the YD, which is generally recognised as a period of low rainfall due to the weakening of the ISM"

Response: *Modified as suggested.*

19) Line 320-321: add the start and end dates for the YD

Response: *Modified as suggested (L351-354).*

20) Lines 327-330: You should discuss this more. Is it just due to the sensitivity or do other environmental factor affect the proxies that you are presenting? IsoGDGTs including cren and cren' are affected by air and water temperature, pH etc. which can complicate the interpretation of the proxy used. Discuss this further.

Response: *We mainly attribute this difference to the source change of isoGDGTs, such as soil erosion and deep-dwelling Thaumarchaeota group 1.1b (L358-367).*

21) Lines 336-339: So how does this impact your interpretation of the %cren record?

Response: *This is the secondary reason for the lake-level reconstruction, the source change of isoGDGTs is the primary explanation (L358-367).*

22) Lines 340-343: This needs to be further discussed and more details are needed. For instance, cren'/cren record during H1 event appears to be more variable than what you say with a substantial trough at approx. 15.5 cal ka BP, suggesting a shift in archaea community. This change occurs alongside changes in BIT and GDGT-0/cren and grain size. Expand on this.

Response: *We interpret the secondary peak of %cren centered at 15.2 cal ka BP as a centennial monsoon event, which corresponded to the strengthened ISM recorded by speleothem records from Dongge Cave in southwest China. In addition, we suggest that %cren values may be more sensitive to lake-level change when the lake was at a lowstand (L368-378).*

23) Section 4.3 from line 344: This section does not discuss the causes of the warming during the early Holocene. You are simply comparing the Lake Chenghai record with

other record from the region without offering any explanation on the reason of climate warming. Additionally, you don't highlight any differences between the records (and therefore the region) and what might the causes of the regional climate differences. There is a very brief discussion offered in lines 398-402 but this is not enough.

Response: *We expand this to a paragraph to add this explanation (L438-454).*

Referee #2

1) Proxies. The authors use a suite of proxies based on GDGTs, such as TEX₈₆ for temperature, the BIT index, %cren, cren'/cren, GDGT-0/cren. However, the proxies and the mechanisms underlying the proxies are only poorly introduced and explained, if at all (e.g. cren'/cren results are presented (L199) but the ratio is not mentioned in the introduction). Also the interpretation of the proxy data and the assessment of the applicability of the TEX₈₆ proxy, and thus the reliability of the produced temperature record, is very marginal and should be improved.

Response: *We have integrated the section 4.1 to the introduction and the underlying mechanisms about isoGDGT-based proxies are introduced more detailed. This is the same point as referee 1.*

2) Structure: This comment may already resolve part of my comment on the proxies, as some of the explanation is presented in the discussion rather than in the introduction. Actually, most of section 4.1 consists of a literature overview of the proxies. This should be moved to the introduction. Instead, use the discussion to actually interpret and discuss your own data. This is also true for the other sections of the discussion.

Response: *We have integrated the section 4.1 to the introduction.*

3) Lake Chenghai: In order to interpret the GDGT data it is important to provide some more details on the modern lake. Please add basic information on the lake type (i.e. mixing regime), nutrient status (ammonia!), oxygen content, etc, and possible links to climate (e.g. is mixing related to windiness or precipitation, or: : :?).

Response: *More detail on the lake has been included, for example, the nutrient and thermal stratification (L168-174 in the revised manuscript without markers). Lake Chenghai is a seasonal mixed lake in summer and a eutrophic lake at present.*

4) Lake level reconstruction: The authors use $\%cren$ and $cren'/cren$ to reconstruct the lake level over time, for which they assume that crenarchaeol will be produced more during lake highstands, and less during lowstands. This is in turn linked to mixing of the lake, where more mixing is related to oxic conditions, supposedly occurring during low lake levels. In order to go with this interpretation it is crucial to understand the production of crenarchaeol in lakes, for which you need to discuss the exact niche of crenarchaeol-producing Thaumarchaeota in the lake water column. Several studies have shown that they primarily occur just above the oxycline (as correctly reported in L238). This means that the position and the stability of this oxycline is very important for the amount of crenarchaeol that is produced in a lake. Hence my request for more information on the mixing regime of Lake Chenghai. For example, Buckles et al. (2013, Environmental Microbiology) hypothesize that crenarchaeol is mainly produced during years. Check Loomis et al., 2014 GCA, Weber et al., 2015 GCA, Weber et al., 2018 PNAS, Colcord et al 2015 Org geochem, Colcord et al., 2017 Org geochem , Buckles et al., 2014 GCA. The BIT index is basically an indication of crenarchaeol and/or brGDGT production in the lake. As BIT is a ratio, both cren and brGDGTs can drive changes in BIT, which can only be assessed with absolute abundances of the GDGTs. Without these data any changes in BIT should be interpreted with care. Since BIT and GDGT-0/cren practically show the same trends in Lake Chenghai (Fig. 3) it can be assumed that these changes are caused by changes in cren rather than brGDGTs and GDGT-0. So instead of enhanced soil input, the

absence of crenarchaeol production then explains a high BIT (and high GDGT-0/cren) in the interval from 6-14ka. It is up to the authors to find an explanation for the limited/disturbed niche of the Thaumarchaeota in the water column (outcompeted? Ammonia depletion?). Also, if BIT is so high (>0.5) that application of the TEX₈₆ is limited, then why did the authors not attempt to use brGDGT-based paleothermometry?

Response: *Compared with the permanently stratified Lake Challa, Lake Chenghai is a seasonal stratified lake and the nutrient load mainly depends on the terrestrial organic matter input, not mixing and vertical transport from bottom water. This is consistent with the results from Lake Qinghai in northwest China (L320-333). In addition, we also suggest that part of the brGDGTs might be derived from both soil and the in situ in the water column, and it would be difficult, if not impossible, to discriminate between the sources. Thus, we did not consider the BIT values further in filtering the TEX₈₆ values for lake surface temperature reconstruction. Consequently, the temperature series expanded to 74 data points.*

5) L82: take more time to introduce the proxies here and to explain their underlying mechanism(s).

Response: *Modified. Thaumarchaeota have a physiological mechanism to increase the weighted average number of cyclopentane rings in their membrane lipids as growth temperature increases. We have included more detail on underlying theory about the application of isoGDGT-based proxies in the revised manuscript (L107-109).*

6) L89: index is not reliable in small lakes – mention why not?

Response: *Modified. The index may not be a reliable proxy for past temperature in small lakes due to substantial amounts of soil and/or methanogenic archaea*

isoGDGTs identified in the same lacustrine sediment and variability in the depth of iGDGT production in aquatic ecosystem (L114-118). This is now made clear in the revised text.

7) L91: explain this better. Also elaborate on the link between lake level and depth of the oxycline.

Response: *The discussion of the influences of water depth and nutrient are expanded in the text (L119-146).*

8) L141: was any standard added for GDGT quantification?

Response: *There was no standard added for GDGT quantification.*

9) L180: Castaneda and Schouten 2015 is not correctly listed in the reference list. Please check.

Response: *Checked. Both Castaneda and Schouten 2011 and 2015 were listed in the references.*

10) L189: it would make sense to start with presenting the age model. If these are not your results (it seems like they are already published?), then add a brief description to the methods. This also allows you to already indicate the position of H1 and the YD in your record.

Response: *Yes, the results are published and the position of the H1 and YD event are clear. This is clarified in the text.*

11) L199: This is the first mention of the crenarchaeol'/crenarchaeol ratio. Include

this in the introduction (if you want to use it)!

Response: *The construction and meaning of this ratio has been added to the introduction in the revised version.*

12) L204: GDGT-0/cren ratios >2 generally indicate anoxic bottom water conditions. Is this also visible in the lake core? Is the interval with values >2 also laminated? Such an easily obtained visual aspect of the core can be used to confirm/strengthen your interpretation of the GDGT record.

Response: *GDGT-0 is a common lipid and derived from a variety of archaea, not just limited to methanogenesis, thus GDGT-0/cren >2 may not indicate anoxic bottom water conditions in Lake Chenghai either during the H1 dry event or the present.*

13) L219: the title of this section suggests a discussion of all proxy records, however, it mainly comprises a literature review that focuses on aspects that may or may not affect the applicability of the TEX₈₆ proxy. Hence, content does not fit the title. All proxy description should go in the introduction, and this section should focus on the data presented here. Interpretation of the data may be more thorough and critical. As illustrated in my main comments there may be multiple explanations for certain trends in the proxy records (e.g. BIT, influence of mixing regime on cren production) that need to be evaluated here.

Response: *Thanks, we modified this section to trace the provenance of isoGDGTs and the previous contents were integrated into the introduction.*

14) L221: instead of citing the GDGT review by Schouten et al., 2013, refer to the original paper instead, giving credit to the right people.

Response: *Blaga et al. (2009) and Powers et al. (2010) were added as the references.*

15) L241-248: pay special attention to linking %cren to high- and low lake levels, as there are multiple ways to explain cren production in lakes. Think about the niche of the Thaumarchaeota and the mixing regime of the lake, and how this is related to climate.

Response: *Mixing regime and nutrient status are considered to explain the differences in the responses of Lake Challa and Lake Chenghai to lake-level change.*

16) L253: as outlined above, the BIT index can no longer be linked to soil input. There is too much evidence for a primarily aquatic source of brGDGTs in lakes. See suggested references in main comments. Also note that brGDGT production in lakes takes place in the anoxic part of the lake. Hence, high BIT could be coupled to stratified water column conditions and reduced mixing. Check if this coincides with the concentrations of GDGT-0 and potential lamination of the core.

Response: *The sources of branched GDGTs in Lake Chenghai have not been identified at present, but the high BIT values did not indicate anoxic conditions during the H1 event when compared with other records from this region.*

17) L267: check the cren'/cren ratios and associated DNA analysis in the water column of Lake Malawi (Kumar et al., 2019, Org Gechem). They reach values up to 0.12 without soil input.

Response: *The results show that the deep-dwelling Thaumarchaeota is also likely a Group I.1b population, similar to the soil source. However, the total production of isoGDGTs by this group appears to be much lower than the surface-dwelling Thaumarchaeota. This is now mentioned.*

18) L283-286: see earlier comment on the contradiction between high GDGT-0/cren ratios implying anoxic bottom waters and reduced mixing and low %cren supposedly indicating more mixing due to a lowstand.

Response: *Same response as earlier. GDGT-0 is a common lipid and derived from a variety of archaea, not limited to methanogenesis, thus GDGT-0/cren >2 may not indicate anoxic bottom water conditions in Lake Chenghai during either the H1 dry event or at present..*

19) L327: different responses in GDGTs between H1 and YD, where similar climatic conditions are expected, should be better explained. Also take into account that not only temperature changed during the YD, but that also windiness and precipitation varied. All these parameters have different effects on the GDGT signals in the lake.

Response: *Wind may influence the mixing regime in the short-term. However, a long-term wind record in this region is lacking and we hence could not compare it with our record. Based on the relationship between windy days and precipitation in Africa, there should be more windy days when the climate was dry and lake level was low. In this case, windy and dry climate would limit to growth of Thaumarchaeota in Lake Chenghai, resulting low %cren values and high GDGT-0/cren ratio. We feel this is too speculative to include in the paper.*

20) L336: How realistic is the reduced sensitivity of %cren at high lake level? How much variation in lake level do you expect? The %cren in your record varies between 0 and 60%, which would correspond with a lake level change of ~1000m based on the relation of Wang et al 2019. Is this feasible?

Response: *The absolute value of lake level reconstructed from the calibration is not feasible for Lake Chenghai, due to an outflow that would occur when the level increases to 1540 m a.s.l.. However, this calibration tells us that the relationship*

between water depth and %cren values in not linear, and the %cren values should be more sensitive to climate change when the lake-level was low.

21) L344: I will refrain from providing detailed comments on the spatial context of the record, as there are currently too many aspects about it that are not well known or explained. In a next version however, do pay (more) attention to differences between the records and what they mean (are they really caused by climate or are they caused by comparing different proxies that record not exactly the same).

Response: *In the revised version, we have focused on the temperature records from the Indian monsoon region, and paid more attention to differences between these records and possible forcings of these differences (L438-454). Thank you for your constructive and helpful comments.*

1 **~~Microbial~~Archaeal ~~Membrane~~~~Tetraether~~ lipid-inferred**
2 **paleohydrology and paleotemperature of Lake Chenghai during the**
3 **Pleistocene-Holocene transition**

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25 **ABSTRACT**

26 Over the past few decades, paleoenvironmental studies in the Indian Summer
27 Monsoon (ISM) region have mainly focused on precipitation change, with few
28 published terrestrial temperature records from the region. We analyzed the distribution
29 of isoprenoid glycerol dialkyl glycerol tetraethers (isoGDGTs) in the sediments of
30 Lake Chenghai in southwest China across the Pleistocene–Holocene transition, to
31 extract both regional hydrological and temperature signals for this important transition
32 period. Lake-level was reconstructed from the relative abundance of crenarchaeol in
33 isoGDGTs (%cren) and the crenarchaeol'/crenarchaeol ratio. The %cren-inferred
34 lake-level identified a single lowstand (15.4-14.4 cal ka BP), while the
35 crenarchaeol'/crenarchaeol ratio suggests relatively lower lake-level between
36 15.4-14.4 cal ka BP and 12.5-11.7 cal ka BP, corresponding to periods of weakened
37 ISM during the Heinrich 1 (~~HI~~) and Younger Dryas (~~YD~~) cold event. A filtered
38 TetraEther indeX consisting of 86 carbon atoms (TEX₈₆ index) revealed that lake
39 surface temperature ~~reached~~ was similar to present-day values during the ~~YD cold~~
40 event last deglacial period, and suggests a substantial warming of ~4 °C from the early
41 Holocene to the mid-Holocene. Our paleotemperature record is generally consistent
42 with other records in southwest China, suggesting that the distribution of isoGDGTs
43 in Lake Chenghai sediments has potential for quantitative paleotemperature
44 reconstruction.

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46 **Keywords:** Quantitative temperature reconstruction; Lake-level; TEX₈₆; Isoprenoid
47 GDGTs; Lacustrine sediment

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53 1. Introduction

54 Precipitation variation in the Indian summer monsoon (ISM) region has
55 ~~decreased substantially with recent global warming, greatly threatening a great threat~~
56 to ecosystem function, water availability and economic security across the region
57 (Sinha et al., 2011; Sinha et al., 2015; Ljungqvist et al., 2016). ~~For example, in~~
58 ~~2009–2010 severe droughts occurred in southwest China that reduced food production~~
59 ~~dramatically (Lü et al., 2012).~~ This has stimulated growing scientific interest in
60 understanding the underlying forcing mechanisms behind climate variability in the
61 ISM region on different time-scale, in order to better predict future monsoonal
62 variations. _

63 Over the past two decades, climate evolution in the ISM region since the Last
64 Glacial Maximum has been reconstructed from various paleoclimatic archives,
65 including stalagmites, marine and lacustrine sediments (Dykoski et al., 2005; Rashid
66 et al., 2007; Govil and Divakar Naidu, 2011; Saraswat et al., 2013; Contreras-Rosales
67 et al., 2014; Wang et al., 2014b; Dutt et al., 2015; Wu et al., 2015; Kathayat et al.,
68 2016; Zhang et al., 2017a, 2017b; Li et al., 2018; Zhang et al., 2018; Sun et al., 2019;
69 Zhang et al., 2019). These studies provide evidence that changes in ISM precipitation
70 ~~and temperature were generally synchronous~~ on the orbital- and millennial-scale, with
71 a weakened ISM occurring during cold events, and strengthened ISM occurring
72 during warm intervals.

73 ~~However, In addition to precipitation, temperature is an important climatic factor,~~
74 ~~due to its significant effects on the evaporation and regional hydrological cycle. there~~
75 ~~There is a still lack remains a paucity~~ of quantitative reconstructions of ~~both~~
76 ~~hydrological and thermal parameter~~ terrestrial temperature from the ISM region
77 (Shen et al., 2006; Zhang et al., 2017a; Wu et al., 2018; Feng et al., 2019; Ning et al.,
78 2019; Tian et al., 2019; Zhang et al., 2019), ~~).~~ During the last deglaciation-Holocene
79 transition, the climate of high latitudes in the Northern Hemisphere is punctuated by

80 three abrupt, millennial-scale events: the Heinrich 1 (H1) cold event, the
81 Bølling/Allerød (BA) warm period and the Younger Dryas (YD) cooling (Alley and
82 Clark, 1999). These intervals are attributed to a variety of mechanisms including
83 changes to orbitally controlled insolation, ice sheet extent, oceanic circulation and
84 atmospheric greenhouse gases (Alley and Clark, 1999). The recent quantitative
85 summer temperature based on pollen and chironomids from southwest China have
86 been developed to address the response of long-term temperature to the high latitude
87 climate changes (Zhang et al., 2017 and 2019; Wu et al., 2018). However, the
88 magnitudes of these temperature variations were not consistent, and further studies are
89 required, which hinders our detailed understanding of the dynamics of the ISM and
90 therefore the development of climate models with improved prognostic potential.

91 ~~Pollen, chironomids, alkenone and g~~Glycerol dialkyl glycerol tetraethers
92 (GDGTs) have been widely used for the quantitative reconstruction of terrestrial
93 paleotemperature during the Quaternary due to the fact that they are ubiquitous in
94 soils and lacustrine sediments (Nakagawa et al., 2003, 2006; Blaga et al., 2013;
95 Stebieh et al., 2015; Wang et al., 2017b; Zhang et al., 2017a; Sun et al., 2018; Wu et
96 al., 2018; Zheng et al., 2018; Ning et al., 2019; Tian et al., 2019; Zhang et al., 2019).
97 Isoprenoid GDGTs (isoGDGTs), comprising acyclic or ring-containing isoprenoidal
98 biphytanyl carbon chains, are a suit of membrane lipids produced by some species
99 of archaea, such as Euryarchaeota, Crenarchaeota and Thaumarchaeota that are
100 ubiquitous in soils, lacustrine and marine sediments (Schouten et al., 2013).
101 IsoGDGTs containing 0 to 3 cyclopentane moieties (isoGDGTs 0–3, Fig. S1) are
102 common isoGDGTs with a large range of biological sources (Schouten et al., 2013).
103 For example, Thaumarchaeota were the dominant biological source of GDGT-0 in
104 Lake Lucerne from Switzerland (Blaga et al., 2011); while GDGT-0 in Lake Challa
105 surface sediments might predominantly derive from archaea residing in the deeper,
106 anoxic water column, such as the group 1.2 and marine benthic group C group of the
107 Crenarchaeota, and the Halobacteriales of the Euryarchaeota (Sinninghe Damsté et al.,
108 2009); and methanogenic and methanotrophic archaea can also be two important

109 sources of GDGT-0 within the water column and sediment (Blaga et al., 2009; Powers
110 et al., 2010). In contrast, crenarchaeol and its regioisomer, crenarchaeol' (Fig. S1), are
111 considered to be produced specifically by mesophilic Thaumarchaeota in aquatic
112 environments (Schouten et al., 2002; Blaga et al., 2009; Kim et al., 2010; Powers et
113 al., 2010; Schouten et al., 2013). The distribution of isoGDGTs compounds correlates
114 well with surface water temperature, and therefore has great potential for use as a
115 paleotemperature proxy (Schouten et al., 2002; Blaga et al., 2009; Kim et al., 2010;
116 Powers et al., 2010). On this basis, the ratio of GDGT-0/crenarchaeol has been
117 proposed to evaluate the influence of Thaumarchaeota on the distribution of
118 isoGDGTs in lacustrine sediments, and the ratio typically varies between 0.2 and 2 in
119 Thaumarchaeota (Schouten et al., 2002; Blaga et al., 2009).

120 Thaumarchaeota have a physiological mechanism to increase the weighted
121 average number of cyclopentane rings in their membrane lipids with growth
122 temperature (Schouten et al., 2002). Thus ~~The-the~~ TetraEther index consisting of 86
123 carbon atoms (TEX₈₆ index), which represents the relative number of cyclopentane
124 moieties in isoGDGT molecules derived from aquatic Thaumarchaeota, has great
125 potential for use as a paleotemperature proxy ~~has also been successfully applied as a~~
126 paleothermometer in marine and large lakes (Tierney et al., 2008; Berke et al., 2012;
127 Blaga et al., 2013; Wang et al., 2015). However, the index may not be a reliable proxy
128 for past temperature in small lakes due to substantial amounts of soil and/or
129 methanogenic archaea isoGDGTs identified in the same lacustrine sediment and
130 variability in the depth of isoGDGT production in aquatic ecosystem (Blaga et al.,
131 2009; Powers et al., 2010; Sinninghe Damsté et al., 2012a). ~~It has also been shown~~
132 that crenarchaeol' is only present in low abundance in most Thaumarchaeota except
133 for the group I.1b Thaumarchaeota, where it is one of the major isoGDGTs (Kim et al.,
134 2012; Sinninghe Damsté et al., 2012b). The crenarchaeol'/crenarchaeol ratios for
135 enrichment cultures of group I.1a aquatic Thaumarchaeota are typically 0.01-0.04,
136 however, for group I.1b Thaumarchaeota enriched from soils the
137 crenarchaeol'/crenarchaeol ratios are around 0.21 and substantially higher (Pitcher et

138 al., 2011; Sinninghe Damsté et al., 2012a). In addition, a likely Group I.1b
139 Thaumarchaeota population inhabiting the subsurface water column near the
140 anoxic-suboxic boundary was found in Lake Malawi, but the total production of
141 isoGDGTs by this group appears to be much lower than the surface-dwelling
142 Thaumarchaeota (Meegan Kumar et al., 2019).

143 In addition, aquatic Thaumarchaeota are nitrifiers, that prefer to live above the
144 oxycline of relatively deep lakes, as has been observed by a range of lipid biomarker
145 and DNA based investigations of vertical changes in archaea communities in lake
146 water columns (Sinninghe Damsté et al., 2009; Blaga et al., 2011; Schouten et al.,
147 2012; Buckles et al., 2013; Meegan Kumar et al., 2019). Some Thaumarchaeota are
148 considered to be suppressed by a high light level, which consequently might also
149 prohibit them from thriving near the surface lakes (Schouten et al., 2013). In addition,
150 Thaumarchaeota are chemoautotrophic and thrive predominantly near the oxycline in
151 stratified lakes, mainly due to the release of ammonia derived from descending
152 particulate organic matter that is recycled primarily by photoautotrophs or
153 heterotrophs in the photic zone (Tierney et al., 2010). ~~the~~ Consequently, the
154 proportion of crenarchaeol in isoGDGTs (cren%) has been suggested ~~to be a~~
155 lake-level proxy ~~due to a preference of the producer of this compound for a niche~~
156 ~~above the oxycline in the upper part of the water column in lacustrine systems~~ (Wang
157 et al., 2014a; Wang et al., 2017a; Wang et al., 2019). However, suggested that mixing
158 of the water column will be much more frequent at lowstand conditions, and therefore
159 periodically or permanently oxic, high nutrient availability water and enhanced
160 nitrogen cycling would be likely to result in a relatively higher production of
161 crenarchaeol (Filippi and Talbot, 2005; Sinninghe Damsté et al., 2012).

162 In this study, we present an isoGDGT record spanning the last
163 deglacial-Holocene transition from Lake Chenghai in the southwest China. Our stable
164 oxygen isotope ($\delta^{18}\text{O}$) record of authigenic carbonates from Lake Chenghai
165 previously revealed that drought events occurred from 15.6 to 14.4 cal ka BP and 12.5
166 to 11.7 cal ka BP corresponding to the H 1 and YD event (Sun et al., 2019). The

167 ~~present study aims were to (1) identify sources of isoGDGTs in Lake Chenghai~~
168 ~~sediments and their linkage, if any, with lake-level variation; (2) We use the results to~~
169 test the reliability of isoGDGT-based proxies as ~~lake level and~~ temperature indicators,
170 by comparing our results with other paleoenvironmental records from adjacent areas,
171 ~~and explore the possible mechanisms driving temperature variations during the last~~
172 ~~deglaciation-Holocene transition in southwestern China.~~

173

174 2. Materials and methods

175 2.1. Regional setting

176 Lake Chenghai (26°27'-26°38'N, 100°38'-100°41'E, Fig. 1A) is a tectonic lake
177 located ~~in Yongsheng County~~ in ~~the northwestern part of~~ Yunnan Province (Wang and
178 Dou, 1998). The present ~~water surface elevation of the lake level~~ is ~1500 m above
179 sea level (a.s.l.), and the maximum ~~water~~ depth is ~35 m ~~with a mean depth of ~20 m~~.
180 The lake ~~is hydrologically closed at present, has with~~ a surface area of ~77 km² ~~with~~
181 ~~and~~ a catchment of ~318 km² (Wu et al., 2004). ~~However, Lake Chenghai was linked~~
182 ~~to the Jinsha River via the Haikou River during the Ming Dynasty (1368-1644 CE),~~
183 ~~but became a closed lake in the 1690s CE when~~ ~~before a dam (at an elevation of ~1540~~
184 ~~m a.s.l.) was constructed on its southern side at ~0.3 cal ka BP (Wang and Dou,~~
185 ~~1998).~~ The annual mean lake surface temperature (LST) is ~16 °C (Wan et al., 2005).
186 ~~In summer, the lake becomes thermally stratified, with the thermocline at between 10~~
187 ~~to 20 m (Fig. 1C, Lu, 2018). The lake water is slightly brackish (average ~1‰) and~~
188 ~~alkaline (average pH ~8). There are no perennial inlets or outflow streams at present,~~
189 ~~and~~ ~~Despite a relatively large catchment,~~ the lake is mainly maintained by direct
190 precipitation and groundwater, ~~with a total dissolved solids of ~1‰ and, pH of ~8~~
191 (Wan et al., 2005). ~~The lake is eutrophic with total phosphate concentration of 0.05~~
192 ~~mg/L, and total nitrogen concentration of 0.89 mg/L (Li et al., 2019). Lake Chenghai~~
193 ~~was linked to the Jinsha River via the Haikou River during the Ming Dynasty~~
194 ~~(1368-1644 CE), but became a closed lake in the 1690s CE when a dam (~1540 m~~

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195 a.s.l.) was constructed on its southern side (Wang and Dou, 1998).

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196 ~~The lake basin is surrounded by mountains ranging from 2300-4000 m a.s.l.~~

197 Topsoil types ~~include~~ are lateritic red earths and mountain red brown soils in the
198 catchment (Wang and Dou, 1998). The Lake Chenghia region is mainly affected by a

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199 warm-humid monsoonal airflow from the tropical Indian Ocean from June to
200 September, and by the southern branch of the Northern Hemisphere westerly jet
201 between October and May (Wang and Dou, 1998). The mean annual temperature is

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202 ~14 °C, the mean annual precipitation is ~660 mm with 80% falling between June and

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203 September (Observed climatic data spanning the past 30 years from the Yongsheng
204 meteorological station (26.68 N, 100.75 E; elevation of 2130 m a.s.l.) indicate a
205 mean annual temperature of 14 °C, an annual precipitation of 660 mm, ~80% of
206 which falls from June to September.

207 2.2. Sampling and dating

208 In summer 2016, An an 874-cm-long sediment core (CH2016) was retrieved at
209 26° 33' 29.4" N, 100° 39' 6.7" E using a UWITEC coring platform system with
210 a percussion corer in 30 m of water depth in July 2016 CE (26° 33' 29.4" N, 100°
211 39' 6.7" E). The water depth was 30 m. The sediment cores Each section of the core
212 were was split longitudinally lengthways, photographed and then sectioned at a 1-cm
213 interval in the laboratory, and the samples stored at 4 °C until analysis.

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214 The chronology was established using accelerator mass spectrometry (AMS) ¹⁴C
215 dating of eight terrestrial plant macrofossils and charcoal (Sun et al., 2019).

216 ~~Macrofossils of leaves, woody stems and charcoal were hand-picked under the~~
217 ~~microscope. Eight dates covering the period from the last deglaciation to early~~

218 ~~Holocene were obtained.~~ The radiocarbon analyses were performed at the Beta

219 Analytic Radiocarbon Dating Laboratory in Miami, USA. The age model was
220 developed utilizing Bacon, implemented in R 3.1.0 at 5-cm intervals (Blaauw and

221 Andres Christen, 2011; R Development Core Team, 2013). All AMS ¹⁴C dates were

222 calibrated to calendar years before present (0 BP =1950-CE) using the program Calib

223 7.1 and the IntCal13 calibration data set (Reimer et al., 2013). The basal mean
224 weighted age is ~15.6 cal ka BP (Fig. 2, Sun et al., 2019).

225 2.3. Lipid extraction and analysis

226 ~~After freeze drying, a~~ total of 102 freeze-dried samples at 4-cm interval ~~over the~~
227 ~~Pleistocene-Holocene transition~~ were collected for GDGT analysis over the the last
228 deglaciation-Holocene transition. ~~The sampling resolution and this~~ was increased to
229 1-cm ~~resolution across~~between 792-806 cm, ~~span~~ due to the low sedimentation rate
230 observed in this section over this interval. In addition, seven surface (the top 2 cm)
231 sediments covering the whole lake sampled in 2014 were analyzed. Lipid extraction
232 was determined according to the procedures in Feng et al (2019). A ~4 g aliquot of ~~of~~
233 each sample was extracted ultrasonically (4 times) with a mixture of dichloromethane
234 and methanol (9:1, v/v). The supernatants were condensed and ~~base~~
235 ~~hydrolyzed~~saponified at room temperature for 12 h in with a 1 M KOH/methanol
236 solution. The neutral fractions were then separated into apolar and polar fractions on a
237 silica gel column, using *n*-hexane and methanol, respectively. The polar fraction
238 containing the GDGTs was concentrated and filtered through 0.45 μm
239 polytetrafluoroethylene syringe filters using *n*-hexane/ isopropanol (99:1 v/v). ~~These~~
240 ~~fractions were, and~~ then dried in N₂ ~~and stored at -20 °C until further analysis~~.

241 GDGTs were analyzed using an Agilent ~~1200-1260~~ series high performance
242 liquid chromatography-atmospheric pressure chemical ionization-mass spectrometer
243 (HPLC- APCI-~~MS~~), following the procedure of Yang et al. (2015) at the Institute of
244 Tibetan Plateau Research, Chinese Academy of Sciences. Briefly, the GDGTs were
245 separated using three silica columns in tandem (~~150-100~~ mm× 2.1 mm, 1.9 μm;
246 Thermo ~~Finnigan~~Fisher Scientific, U.S.A.), maintained at 40 °C. The elution gradients
247 were 84% *n*-hexane (A): 16% ethyl acetate (B) for 5 min, 84/16 to 82/18 A/B for
248 another 60 min, then to 100% B for 21 min and kept for 4 min, followed by a return to
249 84/16 A/B for 30 min. The total flow rate of pump A and pump B was maintained at
250 ~~0.1-2~~ ml/min. The APCI-MS conditions were: vaporizer pressure 60 psi, vaporizer
251 temperature 400 °C, drying gas flow 6 L/min and temperature 200 °C, capillary

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252 voltage 3500 V and corona current 5 μ A (~3200 V). Selected ion monitoring (SIM)
 253 mode was performed to target specific m/z values for each GDGT compound,
 254 including 1302 (GDGT-0), 1300 (GDGT-1), 1298 (GDGT-2), 1296 (GDGT-3), ~~1294~~
 255 ~~(crenarchaeol), and 1292 (crenarchaeol and crenarchaeol')~~, ~~1050 (IIIa, IIIa')~~, ~~1048~~
 256 ~~(IIIb, IIIb')~~, ~~1046 (IIIc, IIIc')~~, ~~1036 (IIa, IIa')~~, ~~1034 (IIb, IIb')~~, ~~1032 (IIc, IIc')~~, ~~1022~~
 257 ~~(Ia), 1020 (Ib) and 1018 (Ic). The chemical structures of these compounds are~~
 258 ~~presented in Supplementary Fig. S1.~~ The results are presented as the fractional of the
 259 sum of the isoGDGTs ~~or the sum of the branched GDGTs (brGDGTs)~~, based on the
 260 integration of the peak areas of the $[M+H]^+$ ions.

261 2.4. Index calculation and temperature reconstruction

262 The percentage of each isoGDGT (X) was calculated according to the following
 263 equation:

$$264 \quad \%X = \frac{X}{(\text{GDGT-0} + \text{GDGT-1} + \text{GDGT-2} + \text{GDGT-3} + \text{crenarchaeol} +$$

$$265 \text{ crenarchaeol}')} \quad (1)$$

266 The TEX_{86} index was defined by Schouten et al. (2002) as follows:

$$267 \quad \text{TEX}_{86} = \frac{(\text{GDGT-2} + \text{GDGT-3} + \text{crenarchaeol}')}{(\text{GDGT-1} + \text{GDGT-2} + \text{GDGT-3} +$$

$$268 \text{ crenarchaeol}')} \quad (2)$$

269 TEX_{86} -inferred LST was calculated using the global lake calibration of
 270 Castañeda and Schouten (2015):

$$271 \quad \text{LST} = 49.03 \times \text{TEX}_{86} - 10.99 \quad (r^2 = 0.88, n=16, \text{RMSE} = 3.1 \text{ } ^\circ\text{C}) \quad (3)$$

272 ~~The ratio of branched to isoprenoid tetraethers (BIT index), used as an indicator~~
 273 ~~of soil organic matter input and as a test of the utility of the TEX_{86} paleotemperature~~
 274 ~~proxy, was calculated following Hopmans et al. (2004):~~

$$275 \quad \text{BIT} = \frac{(\text{Ia} + \text{IIa} + \text{IIa}' + \text{IIIa} + \text{IIIa}')}{(\text{Ia} + \text{IIa} + \text{IIa}' + \text{IIIa} + \text{IIIa}' + \text{crenarchaeol})}$$

$$276 \quad (4)$$

277

278 3. Results

279 ~~A wide variety of isoGDGT compositions is present in the sediments of Lake~~
280 ~~Chenghai~~ IsoGDGTs composition varied greatly in Lake Chenghai sediments. As
281 illustrated in Fig. 3, ~~GDGT-0 is the most abundant isoGDGT composition of the~~
282 ~~surface sediments.~~ ~~The relative abundance of GDGT-0 (%GDGT-0) ranged from~~
283 ~~71.6- 94.4 with a mean of 89.2%, the %cren values varied from 3.8- 18.1% with a~~
284 ~~mean of 7.6%. The ratios of GDGT-0/crenarchaeol were from 4.0-24.5 with a mean of~~
285 ~~15.5. The average values of GDGT-1, GDGT-2 and GDGT-3 relative abundance were~~
286 ~~1.2, 1.1 and 1.4%, respectively. The crenarchaeol's regioisomer, crenarchaeol',~~
287 ~~occurred in only low abundance, most close to the detection limit, and therefore~~
288 ~~TEX₈₆ values could not be calculated for these surface sediments.~~

289 ~~The relative abundances of crenarchaeol (%cren) values ranged from-between~~
290 2.4-61.3% with a mean of 52.4% ~~in the core CH2016~~. The %cren values were
291 relatively low and highly variable during 15.4-14.4 cal ka BP, ranging ~~from-between~~
292 1.8-32.0%, with a mean of 11.6%. By contrast, the values were relatively stable
293 during 14.4-7.0 cal ka BP, ranging ~~from-between~~ 41.8-61.3% with a mean of 58.3%.
294 The relative abundances of ~~its regioisomer, crenarchaeol'~~, had a mean of 1.7%. The
295 ratios of crenarchaeol'/crenarchaeol were highly variable during 15.4-14.4 cal ka BP
296 with a mean of 0.07. After this time, the values gradually decrease during 14.4-11.7
297 cal ka BP ~~time interval~~ with a minor ~~reversal-increase during-between~~ 12.5-11.7 cal ka
298 BP, where the ratio averaged 0.05. The crenarchaeol'/crenarchaeol ratios were
299 generally stable and fluctuated around 0.03 during the period 11.8-7.0 cal ka BP.

300 The relative abundances of GDGT-0 (%GDGT-0) showed a significant negative
301 correlation with the ~~reciprocal-of-%cren~~ ~~in the core CH2016~~ ($r^2 = 0.9899$, $p < 0.001$).
302 The %GDGT-0 values had a mean of 74.0% ~~during-between~~ 15.4-14.4 cal ka BP and
303 a mean of 19.6% during the 14.4-7.0 cal ka BP interval. The ~~ratios-values~~ of
304 GDGT-0/crenarchaeol were generally >2 during the period 15.4-14.4 cal ka BP,
305 ranging from 1.4-49.9 with a mean of 16.7, and all <2 from 14.4-7.0 cal ka BP. The
306 relative abundance of GDGT-1, GDGD-2 and GDGT-3 were generally low in the

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307 | sediments, with ~~a~~ means of 8.9, 9.2, and 1.3, respectively.

308 | The TEX_{86} values were also highly variable during 15.4-14.4 cal ka BP period,
309 | ranging ~~from-between~~ 0.36-0.68 with a mean of 0.54. Thereafter, the values generally
310 | followed an increasing trend, ranging ~~from-between~~ 0.49-0.63 with a mean of 0.58.
311 | ~~The BIT values exhibited a significant negative correlation with cren% values ($r^2 =$
312 | $0.94, p < 0.001$), ranging from 0.39-0.99 with a mean of 0.54. An abrupt decrease from
313 | 0.96 to 0.52 occurred at 14.4 cal ka BP. After this time, the BIT values gradually
314 | decreased to a minimum value at 9.3 cal ka BP, and fluctuated thereafter around 0.48
315 | during 9.3-7.0 cal ka BP.~~

317 | 4. Discussion

318 | 4.1. ~~Environmental significances of the isoGDGT based proxies~~Provenance of 319 | isoGDGTs

320 | In order to evaluate the potential sources of isoGDGTs in Lake Chenghai
321 | sediments, we plotted a ternary diagram to compare the distribution patterns of
322 | GDGT-0, crenarchaeol, and the sum of GDGT-1, GDGT-2, GDGT-3, and
323 | crenarchaeol' (' TEX_{86} ' GDGT) among our samples, previously published Chinese
324 | soils and global marine sediments compiled by Yao et al. (2019), and previously
325 | published Chinese lacustrine surface sediments (Günther et al., 2014; Dang et al.,
326 | 2016; Hu et al., 2016; Li et al., 2016, 2019; Yao et al., 2019; Wang et al., 2020). In
327 | Lake Chenghai surface sediments, GDGT-0 is the predominant component among the
328 | isoGDGTs, consistent with most previous studies of lacustrine sediments (Blaga et al.,
329 | 2009; Dang et al., 2016; Li et al., 2019; Yao et al., 2019; Wang et al., 2020). For
330 | example, GDGT-0 can account for more than 90% of total isoGDGTs in most shallow
331 | lake surface sediments from East China (Dang et al., 2016); ~80% in saline pond
332 | surface sediments from northeast China (Li et al., 2019), and ~54% in surface
333 | sediments from the Qinghai-Tibetan Plateau (Wang et al., 2020). The values of
334 | GDGT-0/cren >2 in Lake Chenghai surface sediment generally reflect

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335 non-thaumarchaeotal isoGDGTs were also likely to be an important source in this lake
336 system. The distribution of isoGDGTs between Chinese lacustrine surface sediments
337 and soils were similar, and both were generally higher than that in global marine
338 sediments and Thaumarchaeota. This line of evidence also suggests that the surface
339 sediments could contain a significant contribution of soil isoGDGTs input (Li et al.,
340 2016; Li et al., 2019).

341 ~~Crenarchaeol and its regioisomer are considered to be produced specifically by~~
342 ~~mesophilic Thaumarchaeota in aquatic environments (Schouten et al., 2002; Schouten~~
343 ~~et al., 2013).~~

344 The distribution of isoGDGT in Lake Chenghai sediment from 15.4-14.4 cal ka
345 BP was similar to that of the surface sediments, suggesting a substantial contribution
346 of non-thaumarchaeota during this period. However, the relative abundance of
347 GDGT-0 significantly decreased and %cren increased in Lake Chenghai sediments
348 from 14.4-7.0 cal ka BP. The plots generally overlapped with those of global marine
349 sediments and Thaumarchaeota in the ternary diagram, indicating that
350 Thaumarchaeota dominated the archaea community in Lake Chenghai during the late
351 glacial period and the early Holocene. The observed down-core changes in
352 crenarchaeol/crenarchaeol ratios may be due to relatively high contributions of group
353 I.1b Thaumarchaeota from soils during 15.4-11.8 cal ka BP, and that these dominate
354 the contributions of isoGDGTs derived from aquatic group I.1a Thaumarchaeota
355 during the period from 11.8-7.0 cal ka BP.

356 ~~In marine conditions, Thaumarchaeota have a physiological mechanism to~~
357 ~~increase the weighted average number of cyclopentane rings in their membrane lipids~~
358 ~~with growth temperature, thus a significant linear correlation is found between TEX₈₆~~
359 ~~values and mean annual sea surface temperature (Schouten et al., 2002). In the studies~~
360 ~~of lacustrine systems, the temperature calibration of TEX₈₆ has been found to be~~
361 ~~nearly identical to the marine calibration, suggesting that the paleothermometer can~~
362 ~~also be applied in lacustrine sediments (Powers et al., 2004; Blaga et al., 2009;~~
363 ~~Powers et al., 2010; Castañeda and Schouten, 2011). In addition, aquatic~~

364 ~~Thaumarchaeota are nitrifiers, that prefer to live above the oxycline of relatively deep~~
365 ~~lakes, as has been observed by a range of lipid biomarker and DNA based~~
366 ~~investigations of vertical changes in archaea communities in lake water columns~~
367 ~~(Sinninghe Damsté et al., 2009; Blaga et al., 2011; Schouten et al., 2012; Buckles et~~
368 ~~al., 2013; Meegan Kumar et al., 2019).~~

369 ~~Some Thaumarchaeota are considered to be suppressed by a high light level,~~
370 ~~which consequently might also prohibit them from thriving right near the surface~~
371 ~~layer of lake water (Schouten et al., 2013). In addition, Thaumarchaeota are~~
372 ~~chemoautotrophic and thrive predominantly near the oxycline in stratified lakes,~~
373 ~~mainly due to the release of ammonia derived from descending particulate organic~~
374 ~~matter that is recycled primarily by photoautotrophs or heterotrophs in the photic zone~~
375 ~~(Tierney et al., 2010). Furthermore, mixing of the water column will be much more~~
376 ~~frequent at lowstand conditions (Filippi and Talbot, 2005), and therefore periodically~~
377 ~~or permanently oxic, high nutrient availability water and enhanced nitrogen cycling~~
378 ~~would be likely result in a relatively lower production of crenarchaeol. Therefore, the~~
379 ~~cren% value measure in lacustrine sediments has been proposed to be a potential~~
380 ~~proxy for lake level change, with high values indicating highstand and deep lake~~
381 ~~status, while low values reflecting lowstand and shallow lake status (Wang et al.,~~
382 ~~2014a; Wang et al., 2017a; Wang et al., 2019).~~

383 ~~Although TEX₈₆ and cren% show great potential as paleotemperature and~~
384 ~~paleo-lake level proxies, they may be significantly biased when a substantial amount~~
385 ~~of soil and/or methanogenic archaea isoGDGTs are identified in the same lacustrine~~
386 ~~sediment (Weijers et al., 2006; Blaga et al., 2009; Powers et al., 2010; Wang et al.,~~
387 ~~2019). BIT index values are generally >0.90 in soils, whereas values are close to zero~~
388 ~~for large lake sediments (Hopmans et al., 2004; Weijers et al., 2006). In this study,~~
389 ~~Lake Chenghai sediment the BIT index values range from 0.39-0.99, indicating that a~~
390 ~~considerable proportion of isoGDGTs could derive from soils. However, recent~~
391 ~~studies of modern processes in a wide variety of lakes have suggested that at least~~
392 ~~partly branched GDGTs are generated by *in-situ* production (Blaga et al., 2010;~~

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393 Tierney et al., 2010; Pearson et al., 2011; Hu et al., 2016; Dang et al., 2018; Russell et
394 al., 2018). Therefore, *in situ* production of branched GDGTs in Lake Chenghai cannot
395 be fully excluded.

396 It has also been shown that crenarchaeol¹ is only present in low abundance in
397 most Thaumarchaeota except for the group I.1b Thaumarchaeota, where it is one of
398 the major GDGTs (Kim et al., 2012; Sinninghe Damsté et al., 2012b). The
399 crenarchaeol¹/crenarchaeol ratios for enrichment cultures of group I.1a aquatic
400 Thaumarchaeota are typically 0.01–0.04, however, for group I.1b Thaumarchaeota
401 enriched from soils the crenarchaeol¹/crenarchaeol ratios are around 0.21 and
402 substantially higher (Pitcher et al., 2011; Sinninghe Damsté et al., 2012a). This
403 suggests that the observed down-core changes in crenarchaeol¹/crenarchaeol ratios
404 may be due to relatively high contributions of group I.1b Thaumarchaeota from soils
405 during 15.4–11.8 cal ka BP, and that these dominate the contributions of isoGDGTs
406 derived from aquatic group I.1a Thaumarchaeota during the period from 11.8–7.0 cal
407 ka BP.

408 The TEX₈₆ and cren% measures might also be affected by methanogenic and
409 methanotrophic archaea because methanogenesis is the dominant anaerobic metabolic
410 pathway in freshwater ecosystems (Blaga et al., 2009; Dang et al., 2016; Yao et al.,
411 2019). Crenarchaeol and GDGT-0 can be derived from Group I Thaumarchaeota,
412 whereas methanogens synthesize GDGT-0, but no crenarchaeol. On this basis, the
413 ratio of GDGT-0/crenarchaeol has been proposed to evaluate the influence of
414 methanogenesis on the distribution of isoGDGTs in lacustrine sediments (Blaga et al.,
415 2009). The ratio typically varies between 0.2 and 2 in group I Thaumarchaeota, thus a
416 value >2 is generally thought to reflect a substantial contribution from methanogens
417 to the total isoGDGT (Schouten et al., 2002; Blaga et al., 2009). Therefore, higher
418 GDGT-0/crenarchaeol values suggest that methanogenic and methanotrophic archaeal
419 were also likely to be an important source of GDGTs in some of Lake Chenghai
420 sediments during 15.4–14.4 cal ka BP.

421 4.2. Assessment of isoGDGT-based lake-level proxy

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423 Environmental ~~changes implication of at Lake Chenghai as inferred from~~ %cren
424 ~~at Lake Chenghai, crenarchaeol²/crenarchaeol ratio, the BIT index and~~
425 ~~GDGT-0/crenarchaeol ratio~~ during the period from the last deglaciation to the early
426 Holocene ~~are was~~ illustrated in Fig. 45. The relatively low %cren ~~and high~~
427 ~~GDGT-0/crenarchaeol~~ values during 15.4-14.4 cal ka BP ~~is consistent in timing with~~
428 ~~the $\delta^{18}\text{O}$ record of authigenic carbonates derived from the same core (Fig. 4e, Sun et~~
429 ~~al., 2019), speleothem $\delta^{18}\text{O}$ records from Mawmluh Cave and Bittoo Cave in north~~
430 ~~India (Fig. 4f, Dutt et al., 2015; Kathayat et al., 2016), and Donnge Cave in southwest~~
431 ~~China (Dykoski et al., 2005), which all record a substantial positive shift in $\delta^{18}\text{O}$~~
432 ~~values at that time. Speleothem $\delta^{18}\text{O}$ records in the ISM region are used as a rainfall~~
433 ~~amount proxy, tracking changes in monsoon intensity (Dykoski et al., 2005; Cheng et~~
434 ~~al., 2012; Dutt et al., 2015). This suggests~~ that the Thaumarchaeota were mainly
435 suppressed by ~~non-thaumarchaeotal methanogenic and methanotrophic~~ archaeal. ~~Deep~~
436 ~~lake conditions and thermal stratification have also been suggested as important in~~
437 ~~influencing the Thaumarchaeota's growth, while any increase in water column~~
438 ~~turbulence would have negatively affected them (Tierney et al., 2010). Thus the~~
439 abrupt increase in %cren values at 14.4 cal ka BP ~~suggest indicates~~ a ~~lowland~~
440 ~~lowstand~~ of Lake Chenghai during 15.4-14.4 cal ka BP, and a highstand period
441 thereafter.

442 ~~The interpretation of %cren contradict the case for Lake Challa, but consistent~~
443 ~~with that for Lake Qinghai in northwest China (Sinninghe Damsté et al., 2012; Wang~~
444 ~~et al., 2014). This difference is possibly due to the different response of~~
445 ~~Thaumarchaeota in the two types of lakes to the mixing regime. For the small and~~
446 ~~deep Lake Challa, there is never complete mixing due to the stable stratification of the~~
447 ~~warmer water column and the lack of seasonality (Sinninghe Damsté et al., 2009).~~
448 ~~Below the oxycline nitrate level was high, more substantial mixing regenerates more~~
449 ~~nutrients to the surface waters, resulting a relatively higher production of crenarchaeol~~
450 ~~(Sinninghe Damsté et al., 2012). In contrast, Lake Chenghai and Lake Qinghai are~~

451 seasonal mixing lakes, and the vertical change of nutrients may be relatively small in
452 the lake water. In addition, the low lake level during the H1 event was associated with
453 the weakened ISM, less terrestrial nutrient input leads to suppress the growth of
454 Thaumarchaeota and reduce the production of crenarchaeol.

455 ~~The lowstand period is consistent in timing with the stable oxygen isotope ($\delta^{18}\text{O}$)~~
456 ~~record of authigenic carbonates derived from the same core (Fig. 4e, Sun et al., 2019);~~
457 ~~speleothem $\delta^{18}\text{O}$ records from Mawmluh Cave and Bittoo Cave in north India (Fig. 4f;~~
458 ~~Dutt et al., 2015; Kathayat et al., 2016), and Dongge Cave in southwest China~~
459 ~~(Dykoski et al., 2005), which all record a substantial positive shift in $\delta^{18}\text{O}$ values at~~
460 ~~that time. Speleothem $\delta^{18}\text{O}$ records in the ISM region are used as a rainfall amount~~
461 ~~proxy, tracking changes in monsoon intensity (Dykoski et al., 2005; Cheng et al.,~~
462 ~~2012; Dutt et al., 2015). Therefore, the lowstand of Lake Chenghai during 15.4–14.4~~
463 ~~cal ka BP implies a weakened ISM during the Heinrich 1 (H1) cold event, consistent~~
464 ~~with other evidence.~~

465 Low lake-levels and weakened ISM during the H1 cold event are also ~~indicated~~
466 ~~by~~observed in several previous paleolimnological studies from the Yunnan Plateau,
467 within the uncertainties of the age model. Diatom and grain-size records from Lake
468 Tengchongqinghai show a significant decrease in acidophilous diatom species and an
469 increase in the grain-size of mineral particles from 18.5 to 15.0 cal ka BP, suggesting
470 that the climate was ~~driest-dry~~ and the ISM was at its weakest since the last
471 deglaciation (Fig. 4g, Zhang et al., 2017b; Li et al., 2018). Similarly, an increase
472 in $>30\ \mu\text{m}$ grain-size particles in the late glacial sediments from Lake Xingyun
473 reflects a period of abrupt weak ISM during the H1 cold event (Wu et al., 2015). In
474 Lake Lugu, the loss of the planktonic diatoms and a switch to small *Fragilaria* spp.
475 suggest a weaker stratification ~~during from~~ 24.5- ~~to~~ 14.5 cal ka BP, which might also
476 correspond to low lake-level at that time (Wang et al., 2014b). In addition, there is a
477 peak of cren% centered at ~15.2 cal ka BP, suggesting a centennial high lake-level
478 and strengthened ISM period, which was not identified by previous $\delta^{18}\text{O}$ record of
479 authigenic carbonates (Sun et al., 2019). However, the strengthened ISM event at

480 ~15.2 cal ka BP was clearly recorded by speleothem $\delta^{18}\text{O}$ record from Dongge Cave
481 in southwest China (Dykoski et al., 2005).

482 Lake levels inferred from %cren do not show a lowstand during the YD, which is
483 generally recognised as a period of low rainfall due to the weakening of the ISM.
484 Chenghai lake level does not seem to reduce during the Younger Dryas (YD) cold
485 event, which is also recognized as a millennial-scale period of weak ISM (Dutt et al.,
486 2015; Dykoski et al., 2005; Kathayat et al., 2016; Sun et al., 2019). In contrast, a low
487 lake-level signal is observed in the $\delta^{18}\text{O}$ record of authigenic carbonates from Lake
488 Chenghai (Sun et al., 2019). In addition, increased lake water alkalinity and decreased
489 lake-level are also recorded in the diatom and grain-size proxy records ~~during~~
490 ~~between~~ 12.8-11.1 cal ka BP of Lake Tengchongqinghai (Fig. 4g, Zhang et al., 2017b;
491 Li et al., 2018). ~~The inferred high lake levels during the YD which is inconsistent with~~
492 ~~a weakened ISM inferred from other proxies, might be due to the erosion of soil~~
493 ~~organic matter into the lake at this period (Wang et al., 2019). The crenarchaeol are~~
494 ~~relatively abundant in topsoils from southwest China, and the influence of soil input~~
495 ~~should be more significant at times of drier conditions (Yang et al., 2019). It is also~~
496 ~~worth noting that the crenarchaeol'/crenarchaeol ratios were not only relatively higher~~
497 ~~during the H1 cold event, but also showed a minor reversal during the YD cold event.~~
498 ~~These results are consistent with group I.1b Thaumarchaeota being an important~~
499 ~~source of isoGDGTs in small lakes and in the nearshore areas of large lakes (Wang et~~
500 ~~al., 2019).~~

501 Another possibility for the unexpected H1 and YD lake level is the ~~The~~
502 ~~differences in lake hydrological conditions to the YD weak ISM inferred from~~
503 ~~different lake sediment records is possibly due to differences in the~~ sensitivity of the
504 proxy to lake-level variation in the case of Lake Chenghai.

505 The $\delta^{18}\text{O}$ record of authigenic carbonates from Lake Chenghai and speleothem
506 $\delta^{18}\text{O}$ records in the ISM region suggest that the weakening of the ISM during the YD
507 was less marked than that occurring during the H1 event, in turn suggesting that
508 lake-levels in southwest China may have been higher during the YD than the H1

509 event (Dykoski et al., 2005; Dutt et al., 2015; Kathayat et al., 2016; Sun et al., 2019;
510 Zhang et al., 2019). For the %cren proxy, we note that the values are significantly
511 correlated to the logarithm of depth in Asian lakes ($\%cren = 19.59 \times \log(\text{depth}) + 9.23$),
512 suggesting that %cren may be less sensitive to water depth variation when the
513 lake-level is relatively high, and more sensitive to water depth variation when the
514 lake-level is relatively low (Wang et al., 2019). ~~It is also worth noting that the~~
515 ~~crenarchaeol'/crenarchaeol ratios were not only relatively higher during the HI cold~~
516 ~~event, but also showed a minor reversal during the YD cold event. These results are~~
517 ~~consistent with group I.1b Thaumarchaeota being an important source of isoGDGTs~~
518 ~~in some small lakes and to the nearshore area of large lakes (Wang et al., 2019).~~

519 4.3. Warming in the early-last deglaciation-Holocene transition

520 ~~Robust~~ The application of the TEX₈₆-based paleotemperature calibration
521 ~~critically~~ depends critically on the assumption that the isoGDGTs used for calculation
522 of TEX₈₆ values are mainly been derived from group I.1a in the water column (Blaga
523 et al., 2009; Castañeda and Schouten, 2011; Powers et al., 2010; Sinninghe Damst \acute{e} t
524 al., 2012a). Since the influence of methanogenic archaea in the water column or
525 archaea in the catchment soils have been recognized, Lake Chenghai sediments with
526 crenarchaeol'/crenarchaeol ratios ~~BIT values~~ >0.50.04 and/or GDGT-0/crenarchaeol
527 ratio >2 are excluded from the discussion below (Powers et al., 2010; Castañeda and
528 Schouten, 2015). The ratio of branched GDGTs to isoGDGTs should be <0.5 if the
529 TEX₈₆-temperature calibration in previous studies, because the values are
530 generally >0.90 in soils, whereas values are close to zero for sediments from large
531 lakes (Hopmans et al., 2004; Weijers et al., 2006). However, recent studies of a wide
532 variety of lakes have suggested that at least some of the branched GDGTs can be
533 produced *in situ* to the lake (Blaga et al., 2010; Tierney et al., 2010; Pearson et al.,
534 2011; Hu et al., 2016; Dang et al., 2018; Russell et al., 2018). Therefore, *in situ*
535 production of branched GDGTs in Lake Chenghai cannot be fully excluded, and the
536 ratio of branched GDGTs to isoGDGTs was ignored in this study. 57–74 samples
537 remain that have isoGDGT distributions consistent with their dominant source being

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538 the aquatic Thaumarchaeota, most of these being from the time interval between
539 11.7-~~8.2~~7.0 cal ka BP, and only a few from the ~~early YD period (n=2) and 8.2-7.0 cal~~
540 ~~ka BP~~last deglaciation (n= 6). Using Equation 4 developed by Castañeda and
541 Schouten (2015) to calculate mean LST, yielded LST values from ~~15.7~~14.3-20.1 °C,
542 with a mean of ~~17.9~~18.0 °C (Fig. 5a).

543 LST was ~~~15.8~~15.9 °C during the ~~early YD period~~last deglacial period, a
544 temperature approaching the 16 °C observed in the present Lake Chenghai. ~~Following~~
545 ~~the YD cold event, LST rapidly increased from 16.2 °C at 11.2 cal ka BP to 18.2 °C at~~
546 ~~11.0 cal ka BP, and LST ranged from 16.8 °C to 20.1 °C with a an increasing trend~~
547 ~~observed during the 11.0-7.0 cal ka BP interval.~~ Considering the TEX₈₆-based LST
548 transfer function has a RMSE of 3.1 °C. ~~This this~~ result is consistent with other recent
549 reconstructed mean annual temperatures (MAT) in southwest China, which show the
550 temperatures during the ~~YD cold event~~last deglaciation were generally similar to the
551 present-day values~~s~~. For example, the MAT inferred from branched GDGTs from
552 Lake Tengchongqinghai in southwest China increased episodically from 12.0 °C to
553 14.0 °C between 19.2 and 10.0 cal ka BP, where the modern mean annual temperature
554 is 14.7 °C (Tian et al., 2019). The TEX₈₆-based deglacial LST and MAT inferred from
555 branched GDGTs from Nam Co in south Tibetan Plateau also reported values simila
556 to the present-day (Günther et al., 2015). Furthermore, the July temperature derived
557 from the chironomid record from Lake Tiancai, and pollen record from Lake Yidun
558 showed that the climate during the deglacial period was ~2-3 °C cooler relative to
559 today (Fig. 5b and c, Shen et al., 2006; Zhang et al., 2019). The amplitudes of
560 reconstructed terrestrial temperatures change in the Indian summer monsoon region
561 are generally consistent with those from the tropical Indian Ocean. Although estimates
562 of sea surface temperatures in the Andaman Sea and Bay of Bengal were variable, the
563 cooling ranged from 1-4 °C (Rashid et al., 2007; MARGO, 2009; Govil and Naidu,
564 2011; Gebregiorgis et al., 2016).

565 Following the YD cold event, LST ranged from 16.2 °C to 20.1 °C with an
566 increasing trend, and the middle Holocene was generally warmer than the early

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567 Holocene (11.7- 8.2 cal ka BP). In the Indian summer monsoon region, the
568 reconstructed MAT using the branched GDGT calibration from Lake Ximenglongtan
569 remained at ~12.5 °C from 9.4-7.6 cal ka BP, then experienced a rapid warming to
570 13.8 °C from 7.6-5.5 cal ka BP (Ning et al., 2019). Meanwhile, the branched
571 GDGTs-MAT from Lake Tengchongqinghai also achieved its highest the highest
572 value at around 7.1 cal ka BP (Tian et al., 2019). Similarly, summer temperatures
573 reconstructed from Lake Tiancai and Lake Xingyun also displayed lower values in the
574 early Holocene when compared with that in the following millennium, though the
575 amplitude of change is much lower (0.3 and 1.1 °C lower, respectively, Zhang et al.,
576 2017a; Wu et al., 2018). The amplitude of the absolute scale of cooling and warming
577 is of a lower magnitude in the chironomid, pollen and branched GDGT records as
578 compared to the TEX₈₆-based reconstruction from Lake Chenghai. This may be due to
579 the difference in the accuracy and precision of the proxy-based models, which also
580 depend on the biological and seasonal sensitivity of the proxy, to constrain the
581 absolute temperature values (Zhang et al., 2017).

582 We also noted that most of the lake records from not only the Indian summer
583 monsoon region, but other parts of east Asia, showed a thermal optimum at
584 8.0-7.0 cal ka BP (Ning et al., 2019). The summer isolation over the Northern
585 Hemisphere, which is an important external forcing, was highest at ~11.0 cal ka BP,
586 leading the temperature optimum in east and south Asia by 3-4 ka (Berger and Loutre,
587 1991). This indicates that additional feedback between solar insolation and internal
588 processes, such as the persistence of remnants of the Northern Hemisphere ice-sheets
589 during the early Holocene, should be considered (Ning et al., 2019). The Laurentide
590 and Fennoscandian ice-sheets in the early Holocene enhanced surface albedo and
591 reduced air temperature in the high latitudes, which likely led to enhanced westerlies
592 transporting more cold air from the North Atlantic Ocean downward to the Indian
593 monsoon affected regions of southwest China and north India through its south branch
594 flow (Ning et al., 2019). In addition, the melting of ice-sheets is likely to have slowed
595 down the Atlantic Meridional overturning circulation. The process could further result

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596 ~~in relatively weakened Indian summer monsoon, and a reduction in heat was~~
597 ~~transported to the continent during the early Holocene (Zhang et al., 2017a). For~~
598 ~~example, mean annual temperatures were 1.3 °C higher between 7.6 and 5.5 cal ka BP~~
599 ~~than during 9.4–7.6 cal ka BP as inferred from the branched GDGT record from Lake~~
600 ~~Ximenglongtan in southwest China (Fig. 5d, Ning et al., 2019). Furthermore, the July~~
601 ~~temperature derived from the chironomid record from Lake Tiancai and the pollen~~
602 ~~record from Lake Xingyun also show similar values during the YD cold event with~~
603 ~~that of the present day (Fig. 5b and c, Wu et al., 2018; Zhang et al., 2019). The pollen~~
604 ~~record from Lake Xingyun in southwest China suggested that the July temperatures~~
605 ~~remained high values at ~25.5 °C during 8.0–5.5 cal ka BP, and ~1.6 °C higher than~~
606 ~~those during the early Holocene (Wu et al., 2018). However, July temperatures~~
607 ~~reconstructed from Lake Tiancai in southwest China display much lower amplitude of~~
608 ~~change, being only 0.3 °C higher during the mid Holocene than the early Holocene~~
609 ~~(Zhang et al., 2017a).~~

610 ~~Temperature in areas affected by the East Asian summer monsoon was more~~
611 ~~sensitive to high latitude climate change than in the ISM region. The mean annual~~
612 ~~temperature was ~8.5 °C cooler than present day during 12.3–11.3 cal ka BP in~~
613 ~~southwest Japan as inferred from the pollen record from Lake Suigetsu, with the~~
614 ~~variation larger in winter than summer (Fig. 5f, Nakagawa et al., 2003, 2006). The~~
615 ~~mean annual temperature estimated from the branched GDGTs record from the~~
616 ~~Shuizhuyang peat bog in southeast China dropped to 10.3 °C during the YD cold~~
617 ~~period, ~5.5 °C cooler than present day (Wang et al., 2017b). In addition, a pollen~~
618 ~~record from Lake Sihailongwan in northeast China suggests a cool mixed forest~~
619 ~~biome was the dominant vegetation type during the late YD period, leading to the~~
620 ~~calculation of a mean July temperature of ~15–16 °C, 5–6 °C cooler than modern July~~
621 ~~temperature (Fig. 5e, Stebich et al., 2015). The summer LST of Lake Sihailongwan~~
622 ~~reconstructed from long-chain alkenones shows the average temperature was~~
623 ~~~14.2 °C during the YD event, ~4.3 °C cooler than the modern instrumental water~~
624 ~~temperature (Sun et al., 2018). Following the YD cold event, the pollen record from~~

625 ~~Lake Sihailongwan in northeast China suggests that the July temperatures gradually~~
626 ~~increased from 18.0 °C at 11.4 cal ka BP to 26.5 °C at 8.1 cal ka BP, and remained at~~
627 ~~generally high values (>25.0 °C) during the mid-Holocene (Stebich et al., 2015). The~~
628 ~~branched GDGTs record from Gushantu peat bog in northeast China also shows the~~
629 ~~highest mean annual temperatures occurred between 8.0 and 6.8 cal ka BP (Zheng et~~
630 ~~al., 2018). The regionally warmer mid-Holocene is considered to be related to the~~
631 ~~persistence of remnants of the Northern Hemisphere ice sheets during the early~~
632 ~~Holocene, which slowed down the Atlantic Meridional overturning circulation and~~
633 ~~enhanced the westerlies, resulting in lower temperatures across the downstream~~
634 ~~Eurasian continent (Zhang et al., 2017a; Wu et al., 2018; Ning et al., 2019).~~

635

636 5. Conclusions

637 The record of isoGDGTs in the sediments of Lake Chenghai in southwest China
638 presented in this study allows us to test the ability of isoGDGT-based proxies in the
639 ISM region to reconstruct lake-level and temperature during the ~~Pleistocene~~last
640 deglaciation-Holocene transition. The lake-level history inferred from %cren shows a
641 relative lowstand of Lake Chenghai during 15.4-14.4 cal ka BP, corresponding to a
642 period of weakened ISM during the H1 cold event. The indistinct signal of lake-level
643 variation during the YD cold event may be due to the ~~%cren proxy not being sensitive~~
644 ~~to lake level change when the lake was relatively full. By contrast, the~~
645 ~~crenarchaeol'/crenarchaeol ratios suggest~~ group I.1b Thaumarchaeota being an
646 important source of isoGDGTs and consequently the lake level ~~was~~may have been
647 low during the YD cold event. After filtering for the influence of isoGDGTs derived
648 from soils in the surrounding catchment and non-thaumarchaeotamethanogens, the
649 TEX₈₆ paleothermometry revealed that the LST of Lake Chenghai was similar to the
650 present-day value during the ~~YD cold event~~last deglaciation, ~~and~~The lake also
651 experienced a substantial warming of ~4 °C from the early-Holocene to the
652 mid-Holocene due to the melting of the remnants of the continental ice-sheets in the
653 Northern Hemisphere, which gradually reduced winter westerly circulation. Overall,

654 | our results show that ~~the distribution records~~ of isoGDGTs in Lake Chenghai
655 | sediments ~~do~~ have potential for quantitative paleotemperature reconstruction once
656 | potential underlying biases are properly constrained.

657

658 | **Data availability.**

659 | All data in this study will be made available on request.

660 | **Author contributions.**

661 | W.S and E.Z designed the study, W.S performed the fieldwork and lab analysis. W.S
662 | and E.Z led the writing of the paper, J.C, J. S, M.I.B, C.Z, Q.J and J.S contributed to
663 | data interpretation and paper writing. All authors contributed to discussions and
664 | writing of the manuscript. The authors declare that they have no competing financial
665 | interests.

666 | **Competing interests.**

667 | The authors declare that they have no conflict of interest.

668 | **Acknowledgments**

669 | We thank Dr. R. Chen and D. Ning for field assistance and laboratory analysis. The
670 | research was supported by the fund from the program of Global Change and
671 | Mitigation (2016YFA0600502), the National Natural Science Foundation of China
672 | (41702183 and 41572337), and the fund from State Key Laboratory of Lake Science
673 | and Environment (2016SKL003).

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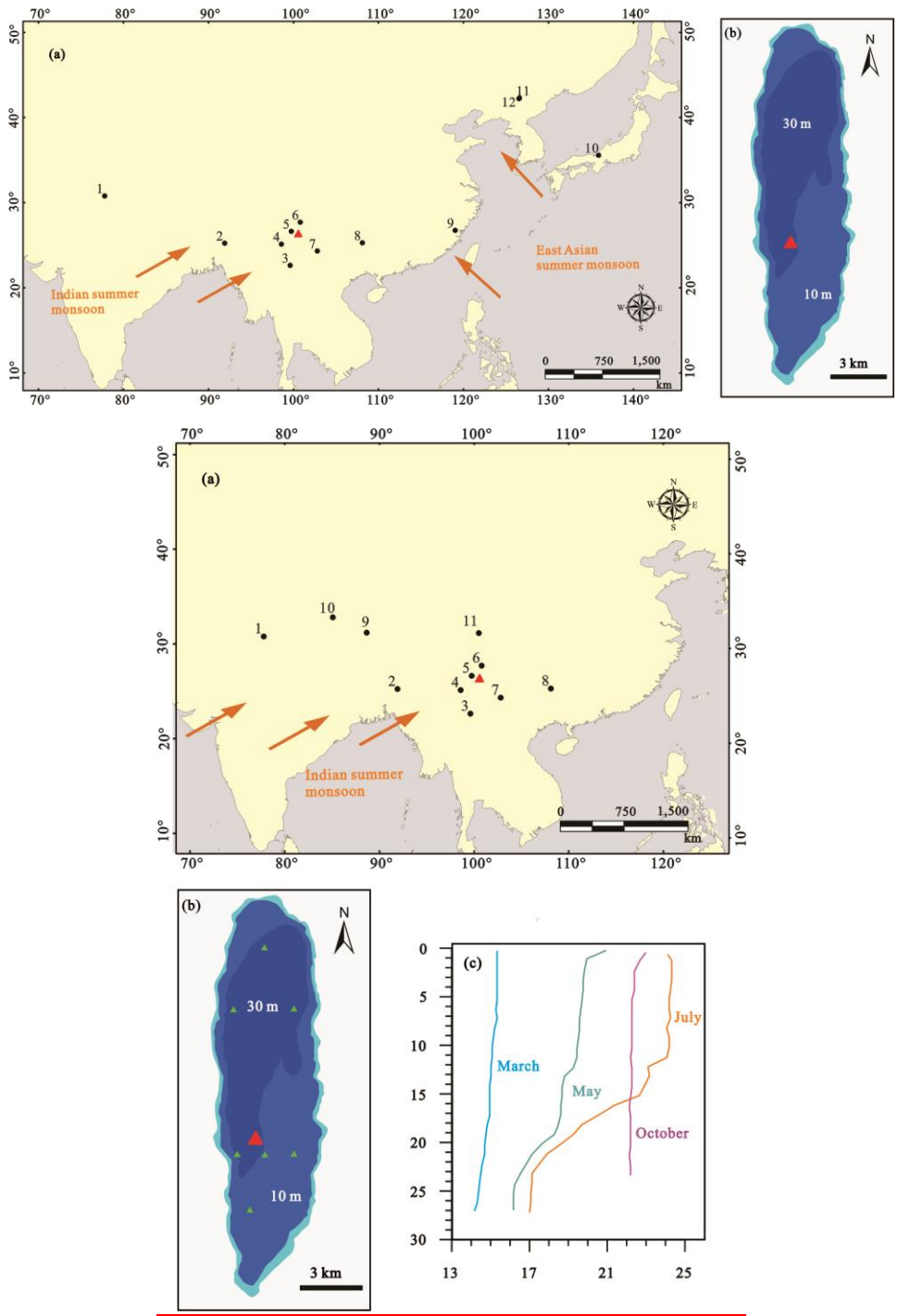
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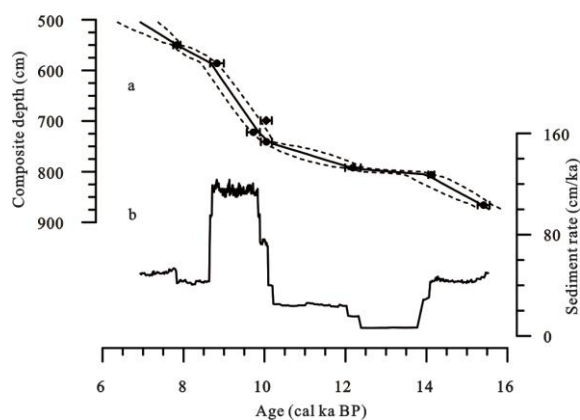
1011 **Figure captions**

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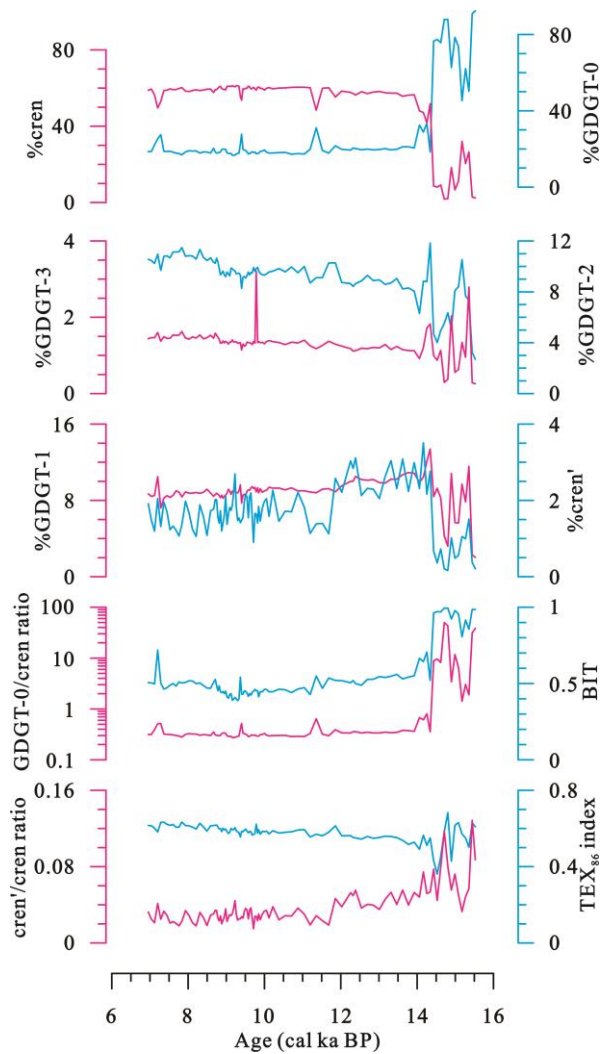


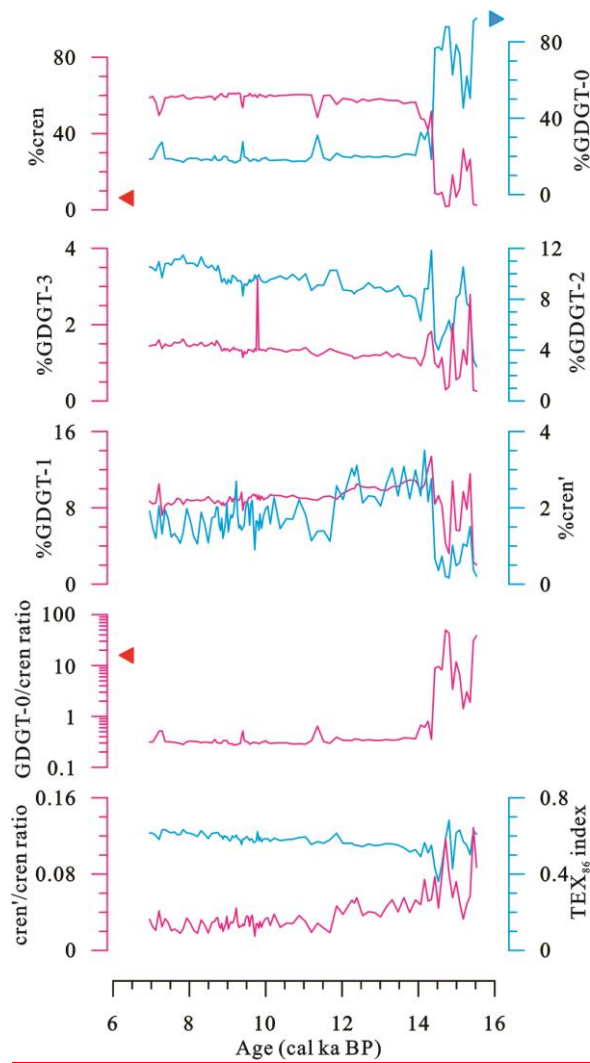
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1014 **Fig. 1.** (a) Map showing the location of Lake Chenghai in southwest China (red
1015 triangle) and other sites (circles) mentioned in the text: 1. Bittoo Cave (Kathayat et al.,
1016 2016); 2. Mawmluh Cave (Dutt et al., 2015); 3. Lake Ximenglongtan (Ning et al.,
1017 2019); 4. Lake Tengchongqinghai (Zhang et al., 2017b; Li et al., 2018; Tian et al.,
1018 2019); 5. Lake Tiancai (Zhang et al., 2017a, 2019); 6. Lake Lugu (Wang et al., 2014);
1019 7. Lake Xingyun (Wu et al., 2015, 2018); 8. Dongge Cave (Dykoski et al., 2005); 9.
1020 Peat bog Shuizhuyang Nam Co (Günther Wang et al., 2017b, 2015); 10. Lake
1021 Suigetsu Dangxiong Co (Nakagawa Ling et al., 2003, 2006, 17); 11. Lake Sihailongwan
1022 Yidun (Stebich Shen et al., 2015; Sun et al., 2018, 06), 12. Gushantun peat bog (Zheng
1023 et al., 2018). Arrows indicate the dominant atmospheric circulation systems in the
1024 region. (b) The red triangle in panel b indicates the location of core CH2016 in Lake
1025 Chenghai, while green triangles indicate the locations of surface samples. (c) The
1026 vertical variation of Lake Chenghai water temperature in March, May, July and
1027 October (Lu, 2018).



1028
1029 **Fig. 2.** (a) Age-depth model for the Lake Chenghai sediment core produced by using
1030 Bacon software (Blaauw and Andres Christen, 2011) from Sun et al., (2019). Dotted
1031 lines indicate the 95% confidence range and the solid line indicates the weighted
1032 mean ages for each depth, error bars indicate the standard deviation range (2σ) of the
1033 calibrated radiocarbon dates. (b) estimated sedimentation rate (Sun et al., 2019).

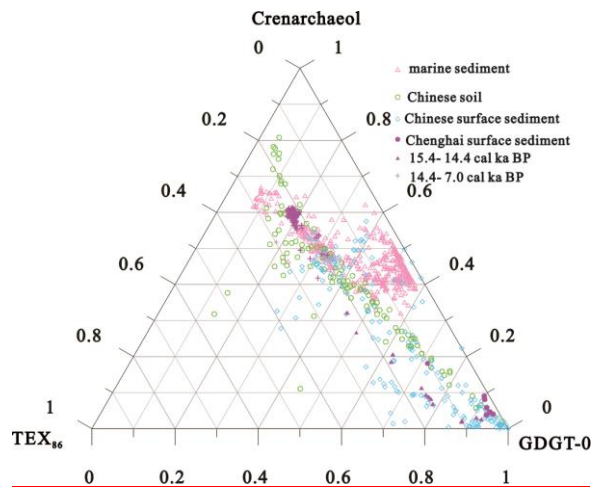




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1036 **Fig. 3.** Variations in the relative isoGDGT distribution and isoGDGTs-based proxies
 1037 of the Lake Chenghai ~~sediments~~ sediment core. The triangles indicate the mean of
 1038 surface sediments.

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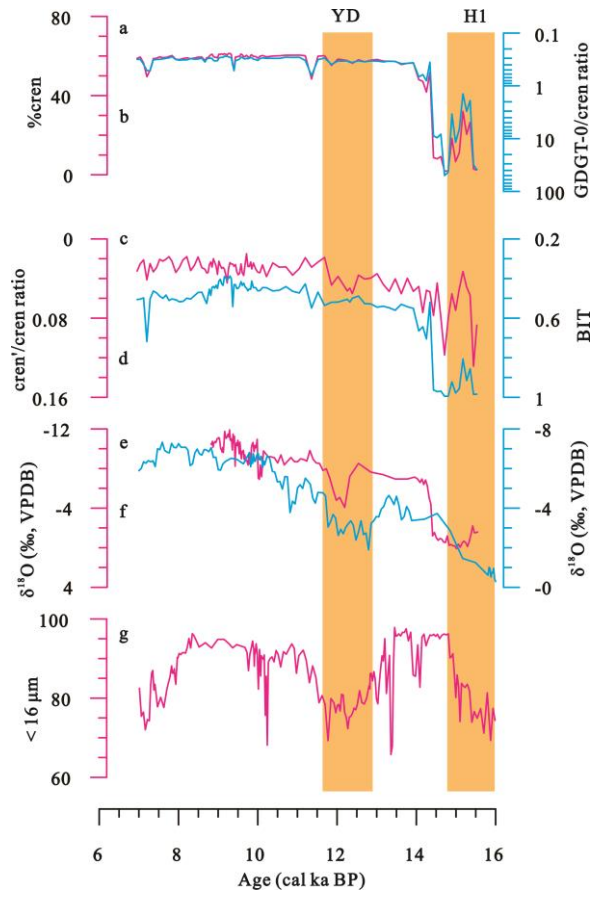


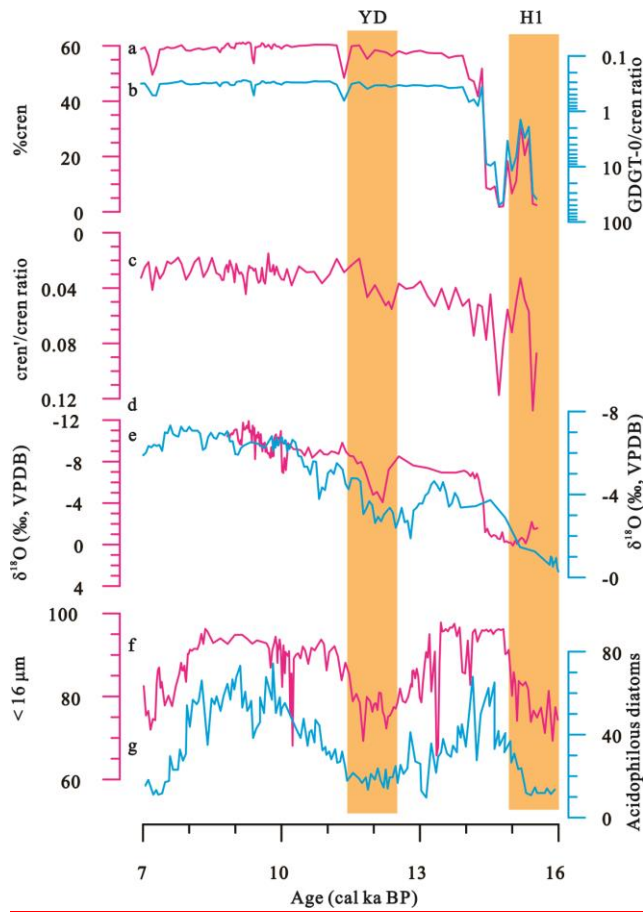
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1041 Fig. 4. Ternary diagram showing the distributions of GDGT-0, crenarchaeol, and
 1042 'TEX₈₆' GDGTs in surface and core sediments from Lake Chenghai, global marine
 1043 sediments (Kim et al., 2010), published Chinese soils compiled by Yao et al. (2019),
 1044 and lacustrine surface sediments (Günther et al., 2014; Dang et al., 2016; Hu et al.,
 1045 2016; Li et al., 2016, 2019; Yao et al., 2019; Wang et al., 2020).

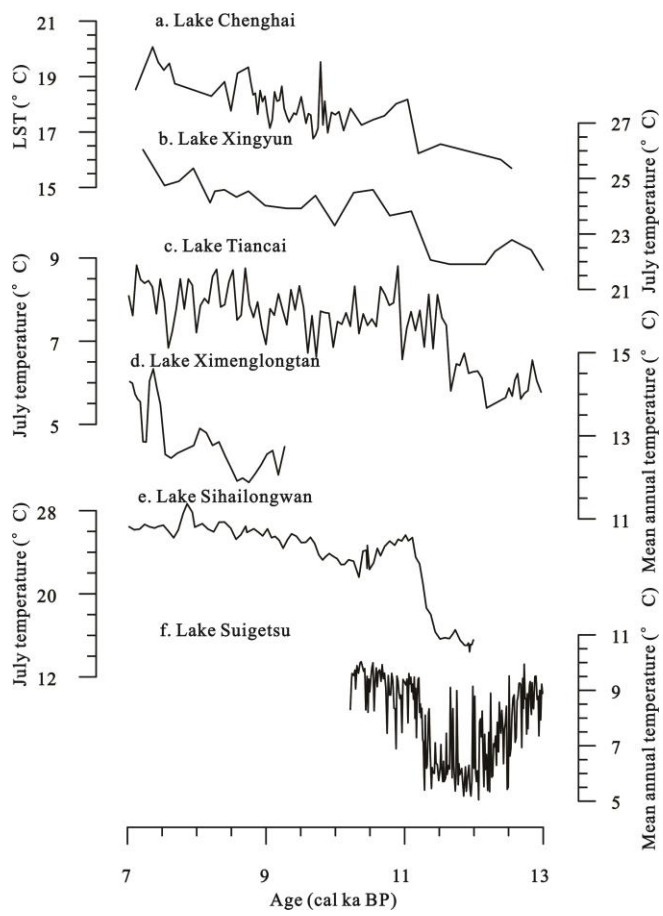
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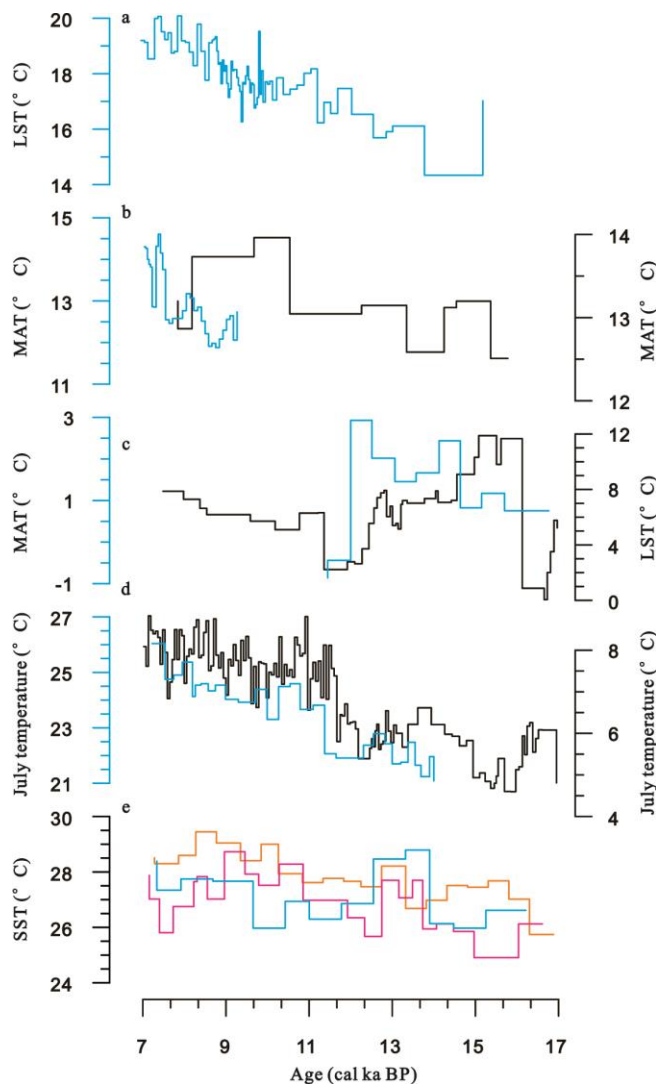




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1049 **Fig. 45.** Comparison of the isoGDGT-based lake-level record from Lake Chenghai
 1050 (a-d) with the $\delta^{18}\text{O}$ record of carbonate finer in grain size than $63\ \mu\text{m}$ from Lake
 1051 Chenghai (e, Sun et al., 2019), the stalagmite $\delta^{18}\text{O}$ records from Mawmluh Cave in
 1052 northeast Indian (f, Dutt et al., 2015); and grain-size record from Lake
 1053 Tengchongqinghai (g, Zhang et al., 2017). The shading is utilised to represent ‘cold’
 1054 events in the North Atlantic.





1056

1057 **Fig. 56.** A comparison of TEX₈₆-based lake surface temperature of Lake Chenghai (a)
 1058 with other paleotemperature records. (b) mean annual temperature based on branched
 1059 GDGTs from Lake Ximenglongtan (blue line, Ning et al., 2019) and Lake
 1060 Tengchongqinghai (black line, Tian et al., 2019); (c) Alkenone-based mean annual
 1061 temperature at Lake Dangxiong (blue line, Ling et al., 2017), and TEX₈₆-based lake
 1062 surface temperature of Nam Co from the southern Tibetan Plateau (black line,
 1063 Günther et al., 2015); (d) July temperature reconstructed from pollen record from
 1064 Lake Xingyun (blue line, Wu et al., 2018) and subfossil chironomids from Lake
 1065 Tiancai (black line, Zhang et al., 2017a, 2019); ~~mean annual temperature~~

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1066 | ~~reconstructed from Lake Ximenglongtan based on brGDGTs (d, Ning et al., 2019);~~
1067 | ~~July temperature reconstructed from pollen record from Lake Sihailongwan (e,~~
1068 | ~~Stebieh et al., 2015); and (e) sea surface temperatures in the Andaman Sea and Bay of~~
1069 | ~~Bengal (Rashid et al., 2007; Govil and Naidu, 2011; Gebregiorgis et al., 2016).pollen~~
1070 | ~~reconstructed mean annual temperature from Lake Suigetsu (f, Nakagawa et al.,~~
1071 | ~~2003).~~
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