

1 **A 424-year tree-ring-based Palmer Drought Severity Index reconstruction of**
2 ***Cedrus deodara* D. Don from the Hindu Kush range of Pakistan: Linkages to ocean**
3 **oscillations**

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24 **Abstract:** The rate of global warming has led to persistent drought. It is considered to be the
25 preliminary factor affecting socioeconomic development under the background of dynamic
26 forecasting of water supply and forest ecosystems in West Asia. However, long-term climate
27 records in the semi-arid [Hindu Kush range](#) are seriously lacking. Therefore, we developed a new
28 tree-ring width chronology of *Cedrus deodara* spanning the period of 1537–2017. We
29 reconstructed the March–August Palmer Drought Severity Index (PDSI) for the past 424 y, going
30 back to A.D. 1593. Our reconstruction featured nine dry and eight wet periods of 1593–1598,
31 1602–1608, 1631–1645, 1647–1660, 1756–1765, 1785–1800, 1870–1878, 1917–1923, and
32 1981–1995 and 1663–1675, 1687–1708, 1771–1773, 1806–1814, 1844–1852, 1932–1935, 1965–
33 1969, and [1990–1999](#), respectively. This reconstruction was consistent with other dendroclimatic
34 reconstructions in West Asia, thereby confirming its reliability. The multi-taper method and
35 wavelet analysis revealed drought variability at periodicities of 2.1–2.4, 3.3, 6.0, 16.8, and 34.0–
36 38.0 y. The drought patterns could be linked to the broad-scale atmospheric-oceanic variability,
37 such as El Niño-Southern Oscillation, Atlantic Multidecadal Oscillation, and solar activity. In
38 terms of current climate conditions, our findings have important implications for developing
39 drought-resistant policies in communities on the fringes of the Hindu Kush mountain range in
40 northern Pakistan.

41 Keywords: Tree ring, [Climate change](#), Drought variability, El Niño-Southern Oscillation,
42 Dendroclimatology, [Atlantic Multidecadal Oscillation](#)

43

44 **1. Introduction**

45 Numerous studies have shown that the intensity and frequency of drought events have
46 increased owing to rapid climate warming (IPCC, 2013; Trenberth et al., 2014). Droughts have

47 serious adverse effects on social, natural, and economic systems (Ficklin et al., 2015; Yao and
48 Chen, 2015; Tejedor et al., 2017; Yu et al., 2018). Globally, drought is considered to be the most
49 destructive climate-related disaster, and it has caused billions of dollars in worldwide loss (van
50 der Schrier et al., 2013; Lesk et al., 2016).

51 Pakistan has a semi-arid climate, and its agricultural economy is vulnerable to drought
52 (Kazmi et al., 2015; Miyan, 2015). The South Asian summer monsoon (SASM) is an integral
53 component of the global climate system (Cook et al., 2010). Owing to the annually recurring
54 nature of the SASM, it is a significant source of moisture to the subcontinent and to surrounding
55 areas such as northern Pakistan (Betzler et al., 2016). The active phase of the monsoon includes
56 extreme precipitation in the form of floods and heavy snowfall, while the break phase mostly
57 appears in the form of drought, thereby creating water scarcity. The active/break phases of the
58 monsoon are also concurrent with El Niño-Southern Oscillation (ENSO) and land-sea thermal
59 contrast (Xu et al., 2018; Sinha et al., 2007, 2011). The large-scale variability in sea surface
60 temperature (SST) is induced in the form of Atlantic Multidecadal Oscillation (AMO), Pacific
61 Decadal Oscillation (PDO), and some external forcing, i.e., volcanic eruption and greenhouse
62 gases (Malik et al., 2017; Wei and Lohmann, 2012; Goodman et al., 2005).

63 The long-term drought from 1998 to 2002 reduced agricultural production, with the
64 largest reduction in wheat, barley, and sorghum (from 60% to 80%) (Ahmad et al., 2004).
65 Northern Pakistan is considered to contain the world's largest irrigation network (Treydte et al.,
66 2006). The agricultural production and life of local residents are strongly dependent on monsoon
67 precipitation associated with large-scale oceanic and atmospheric circulation systems, including
68 ENSO, AMO, PDO, and others (Treydte et al., 2006; Cook et al., 2010; Miyan, 2015; Zhu et al.,
69 2017). However, the current warming rate has changed the regional hydrological conditions,

70 thereby leading to an unsustainable water supply (Hellmann et al., 2016; Wang et al., 2017). It is
71 not only critical for agricultural production, but also leads to forest mortality, vegetation loss
72 (Martínez-Vilalta and Lloret, 2016), and increases the risk of wildfires (Abatzoglou and
73 Williams, 2016). The degradation of grasslands and loss of livestock caused by drought affect
74 the lifestyle of nomadic peoples, especially in high-altitude forested areas (Pepin et al., 2015; Shi
75 et al., 2019).

76 The Hindu Kush Himalayan region (HKH) is the source of 10 major rivers in Asia, which
77 provide water resources for 20% of the world's population (Rasul, 2014; Bajracharya et al.,
78 2018). The region is particularly prone to droughts, floods, avalanches, and landslides, with more
79 than 1 billion people being exposed to increasing frequency and serious risks of natural disasters
80 (Immerzeel et al., 2010; Immerzeel et al., 2013). The extent of climate change in this area is
81 significantly higher than the world average, which has seriously threatened the safety of life and
82 property, traffic, and other infrastructure in the downstream and surrounding areas (Lutz et al.,
83 2014). Dry conditions have been exacerbated by an increase in the frequency of heatwaves in
84 recent decades (Immerzeel et al., 2010; IPCC, 2013). The trends in intensity and frequency of
85 drought are very complex in the HKH, and there is no clear measuring tool to compute how long
86 drought might persist. Climate uncertainty complicates the situation; for example, if the drought
87 trend is increasing or decreasing (Chen et al., 2019). Most studies suggest that the wetting trend
88 in the HKH will continue in the coming decades (Treydte et al., 2006). However, some extreme
89 drought events in the region have been very serious and persistent (Gaire et al., 2017). Little has
90 been done to examine the linkage between drought trends and large-scale oceanic climate drivers
91 (Cook et al., 2003; Gaire et al., 2017). In northern Pakistan, instrumental climate records are
92 inadequate in terms of quality and longevity (Treydte et al., 2006; Khan et al., 2019).

93 In high altitude, arid, and semi-arid areas, forest growth is more sensitive to climate change,
94 so it is necessary to understand the past long-term drought regimes (Wang et al., 2008). Climate
95 reconstruction is the best way to understand long-term climate change and expand the climate
96 record to develop forest management strategies. Researchers have used multiple proxies,
97 including ice cores, speleothems, lake sediments, historical documents, and tree rings, to
98 reconstruct past short-term or long-term climate change. In addition, tree rings are widely used in
99 long-term paleoclimatic reconstructions and future climate forecasting (Liu et al., 2004) because
100 of their accurate dating, high resolution, wide distribution, easy access, long time series, and
101 abundant environmental information (Esper et al., 2016; Zhang et al., 2015; Klippel et al., 2017;
102 Shi et al., 2018; Chen et al., 2019)

103 Before 2000, there are few tree-ring studies in Pakistan. Bilham et al. (1983) found that tree
104 rings of *Juniper* trees from the Sir Sar Range in the Karakoram have the potential to reconstruct
105 past climate. Esper et al. (1995) developed a 1000-year tree-ring chronology at the timberline of
106 Karakorum and found that temperature and rainfall are both controlling factors of *Juniper*
107 growth. More *Juniper* tree-ring chronologies were developed at the upper timberline in the
108 Karakorum (Esper, 2000; Esper et al., 2001; Esper et al., 2002). *Abies pindrow* and *Picea*
109 *smithiana* were also used for dendroclimatic investigation in Pakistan (Ahmed et al., 2009;
110 Ahmed et al, 2010). Recently, more studies on tree rings have been carried out in Pakistan
111 (Ahmed et al., 2010; Ahmed et al., 2011; Khan et al., 2013; Akbar et al., 2014; Asad et al.,
112 2017a; 2014; Asad et al., 2017b; Asad et al., 2018; Shad et al., 2019), but few have used tree
113 rings to reconstruct the past climate, especially the drought index.

114 In this study, we collected drought-sensitive tree-ring cores of *Cedrus deodara* from the upper
115 and lower HKH of Pakistan. These tree rings have good potential for dendroclimatic studies

116 (Yadav, 2013). Then, the March–August **Palmer Drought Severity Index (PDSI)** was reconstructed
117 for the past 424 y to examine the climatic variability and driving forces. To verify its reliability,
118 we compared our reconstructed PDSI with other available paleoclimatic records (Treydte et al.,
119 2006) near our research area. The intensity and drought mechanism in this area were also
120 discussed. This is the first time that the drought index has been reconstructed in northern Pakistan,
121 and the study can be used as a baseline for further tree-ring reconstruction in Pakistan.

122

123 **2. Material and Methods**

124 **2.1 Study area**

125 We conducted our research in the Hindu Kush (HK) mountain range of northern Pakistan
126 (35.36°N, 71.48°E; Fig. 1). Northern Pakistan has a subtropical monsoon climate. Summer is dry
127 and hot, spring is wet and warm, and in some high altitudes, it snows year-round. March is the
128 wettest month (with an average precipitation of 107.0 mm) while July or August is the driest
129 (with an average precipitation of 6.3 mm). July is the hottest month (mean monthly temperature
130 of 36.0 °C) and January is the coldest (mean monthly temperature of -0.8 °C) (Fig. 2).

131 The elevation of the study area ranges from 1070 to 7708 m, with an average elevation of
132 3500 m. The sampled Jigja site is located in the east slope of the mountain. The stand density is
133 relatively uniform with the dominant species. Among the tree species, *Cedrus deodara* is the
134 most abundant, with 156 individuals hm^{-2} and basal area of 27 $\text{m}^2 \text{hm}^{-2}$. The Chitral forest is
135 mainly composed of *C. deodara*, *Juglans regia*, *Juniperus excelsa*, *Quercus incana*, *Quercus*
136 *dilatata*, *Quercus baloot*, and *Pinus wallichiana*. *C. deodara* was selected for sampling because
137 of its high dendroclimatic value (Khan et al., 2013). The soil at our sampling sites was acidic,
138 with little variation within a stand of forest. Similarly, the soil water holding capacity ranged

139 from $47\% \pm 2.4\%$ to $62\% \pm 4.6\%$ while the soil moisture ranged from $28\% \pm 0.57\%$ to $57\% \pm 0.49\%$
140 (Khan et al., 2010).

141 Climate data such as monthly precipitation and temperature (1965–2016) were obtained
142 from the meteorological station of Chitral in northern Pakistan. The PDSI was downloaded from
143 data sets of the nearest grid point (35.36°N , 71.48°E) through the Climatic Research Unit (CRU
144 TS.3.22; 0.5° latitude \times 0.5° longitude). The most common reliable period spanning from 1965
145 to 2016 was used (<http://climexp.knmi.nl/>) for dendroclimatic studies (Harris et al., 2014;
146 Shekhar, 2015).

147 The AMO index was downloaded from the KNMI Climate Explorer
148 https://climexp.knmi.nl/data/iamo_hadsst.dat over the period 1890–2016 (Mann et al., 2009). The
149 reconstructed June–August SASM data were downloaded from the Monsoon Asia Drought Atlas
150 <http://drought.memphis.edu/MADA/TimeSeriesDisplay.aspx> over the period of 1300–2005.

151

152 **2.2 Tree-ring collection and chronology development**

153 Tree-ring cores were collected in the Chitral forest from *C. deodara* trees. To maintain the
154 maximum climatic signals contained in the tree rings, undisturbed open canopy trees were
155 selected. One core per tree at breast height (approximately 1.3 m above the ground) was sampled
156 using a 5.15 mm diameter increment borer (Haglöf Sweden, Långsele, Sweden). In addition,
157 several ring-width series were also downloaded from the International Tree-Ring Data Bank
158 from the Bumburet forest and Ziarat forest (<https://www.ncdc.noaa.gov/paleo-search/>) collected
159 in 2006 (Fig. 1).

160 All the tree-ring samples were first glued and then progressively mounted, dried, and
161 polished according to a set procedure (Fritts, 1976; Cook and Kairiukstis, 1990). The preceding

162 calendar year was assigned and properly cross-dated. False rings were identified using a skeleton
163 plot and cross-dated, as mentioned in Stokes and Smiley (1968).

164 The cores were measured using the semi-automatic Velmex measuring system (Velmex,
165 Inc., Bloomfield, NY, USA) with an accuracy of 0.001 mm. The COFECHA program was then
166 used to check the accuracy of the cross-dating and measurements (Holmes, 1983). All false
167 measurements were modified and the cores that **did not** match the master chronology were not
168 used to develop the tree-ring chronology. For quality checks, COFECHA 2002 was used
169 (Holmes, 1998). The synthesized tree-ring width chronology (Fig. 3) was built using the R
170 program (Zang and Biondi, 2015). To preserve climate signals and avoid noise, appropriate
171 detrending was introduced. Biological trends in tree growth associated with tree age were
172 conservatively detrended by fitting negative exponential curves or linear lines (Fritts, 1976). The
173 tree-ring chronology was truncated where the expressed population signal (EPS) was larger than
174 0.85, which is a generally accepted standard for more reliable and potential climate signal results
175 (Wigley et al., 1984; Cook and Kairiukstis, 1990). The mean correlation between trees (\bar{R}),
176 mean sensitivity (MS), and EPS were calculated to evaluate the quality of the chronology (Fritts,
177 1976). Higher MS and EPS values indicated a strong response to climate change (Cook and
178 Kairiukstis, 1990).

179

180 **2.3 Statistical analysis**

181 Correlation analysis was conducted between the tree-ring index (TRI) and monthly
182 temperature, precipitation, and PDSI (from the previous June to current September; collected
183 from the nearby stations or downloaded from the KNMI). **Then, the PDSI was reconstructed**
184 **according to the relationship between the TRI and climate variables.** To test the validity and

185 reliability of our model, reconstruction was checked by the split-period calibration/verification
186 methods subjected to different statistical parameters, including reduction of error (RE),
187 coefficient of efficiency (CE), Pearson correlation coefficient (r), R -square (R^2), product mean
188 test (PMT), sign test (ST), and Durban-Watson test (DWT) (Fritts, 1976). The RE and CE have a
189 theoretical range of $-\infty$ to $+1$, but the benchmark for determining skill is the calibration and
190 verification period mean. Therefore, $RE > 0$ and $CE > 0$ indicate reconstruction skill in excess of
191 climatology (Cook et al., 1999). The PMT is used to test the level of consistency between the
192 actual and estimated values considering the signs and magnitudes of departures from the
193 calibration average (Fritts, 1976). The ST expresses the coherence between reconstructed and
194 instrumental climate data by calculating the number of coherence and incoherence value, which
195 was often used in previous studies (Fritts, 1976; Cook et al., 2010). The DWT is used to
196 calculate first-order autocorrelation or linear trends in regression residuals (Wiles et al., 2015).
197 RE and CE values larger than zero are considered skills (Fritts, 1976; Cook et al., 1999).

198 According to Chen et al. (2019), we defined the wet or dry years of our reconstruction with
199 a PDSI value greater than or less than the mean ± 1 standard deviation. The mean ± 1 standard
200 deviation is an easy method to calculate the dry and wet years, which has been observed in
201 different tree-ring PDSI reconstructions (Wang et al., 2008; Chen et al., 2019). We assessed the
202 dry and wet periods for many years based on strength and intensity.

203 Although there were few reconstructions in our study area, we compared our reconstruction
204 with other available drought reconstructions near the study area (Treydte et al., 2006). The multi-
205 taper method (MTM) was used for spectral analysis, and wavelet analysis was used to determine
206 the statistical significance of band-limited signals embedded in red noise by providing very high-
207 resolution spectral estimates that eventually provided the best possible option against leakage. To

208 identify the local climate change cycle, the background spectrum was used (Mann and Lees,
209 1996).

210

211 **3. Results**

212 **3.1 Main climate limiting factors for *Cedrus deodar***

213 The statistical parameters of the tree-ring chronologies, including MS (0.16), Rbar (0.59),
214 and EPS (0.94), indicated that there were enough common signals in our sampled cores and that
215 our chronology was suitable for dendroclimatic studies. According to the threshold of EPS (EPS
216 > 0.85), 1593–2016 was selected as the reconstruction period to truncate the period of 1537–
217 1593 of the chronology (Fig. 3).

218 The TRI was significantly positively correlated with the monthly PDSI ($p < 0.01$) (Fig. 4a).

219 However, the TRI was positively correlated with the precipitation in October of the previous
220 year and February–May of the current year and negatively correlated with the precipitation in
221 September of the previous year ($p < 0.001$). The TRI was significantly positively correlated ($p <$
222 0.001) with the minimum temperature in September and December of the previous year and
223 January and February of the current year (Fig. 4b). Similarly, the TRI was significantly
224 negatively correlated ($p < 0.001$) with the maximum temperature in January, October, and
225 December of the previous year and February–June of the current year. The TRI was only
226 significantly positively correlated with the maximum temperature in September (Fig. 4b).

227

228 **3.2 Reconstruction of past drought variation in northern Pakistan**

229 The correlation between the PDSI and the TRI was the highest from March to August,
230 thereby indicating that the growth of *C. deodara* was most strongly affected by drought before

231 and during the growing season. Based on the above correlation analysis results, the March–
232 August PDSI was the most suitable for seasonal reconstruction. The linear regression model
233 between the TRI and mean March–August PDSI for the calibration period from 1960 to 2016
234 was significant ($F = 52.4$; $p < 0.001$; adjusted $R^2 = 0.49$; $r = 0.70$). The regression model was as
235 follows:

$$236 \quad Y = 5.1879x - 5.676$$

237 where Y is the mean March–August PDSI and x is the TRI.

238 The split calibration-verification test showed that the explained variance was higher during
239 the two calibration periods (1960–1988 and 1989–2016). For the calibration period of 1960–
240 2016, the reconstruction accounted for 39.2% of the self-calibrating Palmer Drought Severity
241 Index (SC-PDSI) variation (37.6% after accounting for the loss of degrees of freedom). The
242 statistics of R , R^2 , ST, and PMT were all significant at $p < 0.05$, thereby indicating that the model
243 was reliable (Table 1). Here, the most rigorous RE and CE tests in the verification period were
244 all positive. Thus, these results made the model clearer and more robust in the PDSI
245 reconstruction.

246 The instrumental and reconstructed PDSIs of the HK mountains had similar trends and
247 parallel calibrations during short-term and long-term scales in the 20th century (Fig. 5).
248 However, the reconstructed scPDSI did not fully capture the magnitude of extremely dry or wet
249 conditions.

250

251 **3.3 Drought regime in the Hindu Kush mountain range, northern Pakistan for the past 424**
252 **years**

253 The dry periods were recorded as 1593–1598, 1602–1608, 1631–1645, 1647–1660, 1756–
254 1765, 1785–1800, 1870–1878, 1917–1923, and 1981–1995. Similarly, the wet periods were
255 recorded as 1663–1675, 1687–1708, 1771–1173, 1806–1814, 1844–1852, 1932–1935, 1965–
256 1969, and 1996–2003 (Fig. 5).

257 To verify the accuracy and reliability of our reconstruction, we compared our results with
258 the nearby precipitation reconstruction of Treydte et al. (2006) (Fig. 6). In the reconstruction of
259 Treydte et al. (2006), the high and low raw $\delta^{18}\text{O}$ values represent dry and wet conditions,
260 respectively, which is opposite to the PDSI. In most periods, our PDSI reconstruction and the
261 precipitation reconstruction of Treydte et al. (2006) showed good consistency (Fig. 6). However,
262 in some periods, they also showed inconsistent or even opposite changes in drought
263 reconstruction. For example, in 1865–1900, the reconstruction of Treydte et al. (2006) was very
264 wet, while our reconstruction was normal. In the periods of 1800–1810 and 1694–1702, the
265 reconstruction of Treydte et al. (2006) was very dry, but our reconstruction was wet (Fig. 6).

266 Spectral analysis of the historical PDSI changes in the HK mountains showed several
267 significant changes (95% or 99% confidence level) with periods of 33.0–38.0 (99%), 16.8 (99%),
268 and 2.0–3.0 (99%) y corresponding to significant periodic peaks (Fig. 7).

269 The spatial correlation analysis between our reconstructed PDSI and the actual PDSI from
270 May to August showed that our drought reconstruction was a good regional representation (Fig.
271 8). This showed that our reconstruction was reliable and could reflect the drought situation in the
272 region. In addition, the PDSI of low-frequency (the 31-year moving average) reconstruction had
273 good consistency with the AMO ($r = 0.53$; $p < 0.001$; 1890–2001) and SASM ($r = 0.35$; $p <$
274 0.001 ; 1608–1990), which indicated that these are the potential factors affecting the drought
275 patterns in the region (Fig. 9).

276

277 **4. Discussion**

278 **4.1 Drought variation in the Hindu Kush range of Pakistan**

279 The growth-climate relationship revealed the positive and negative influences of
280 precipitation and summer temperature on growth. It indicated that water availability (PDSI) is
281 the main limiting factor affecting the growth of *C. deodara*. Singh et al. (2006) reported that the
282 previous October precipitation limits the growth of *C. deodara*, while Ahmed et al. (2011) found
283 no such effect. Except for last August, November, and the current September, maximum
284 temperature had a negative impact on the growth of *C. deodara*, while the minimum temperature
285 did not. These results suggest that moisture conditions in April–July are critical to the growth of
286 *C. deodara* in the study area (Borgaonkar et al., 1996; Khan et al., 2013). Chitral does not
287 receive monsoon rains, which is why it is difficult to understand how trees respond to different
288 moisture trends.

289 Here, we developed a 467 y (1550–2017) tree-ring chronology of *C. deodara* and
290 reconstructed the 424 y (1593–2016) drought variability of the HK range in northern Pakistan.
291 **The peak years (narrow rings)**, namely 2002, 2001, 2000, 1999, 1985, 1971, 1962, 1952, 1945,
292 1921, 1917, 1902, and 1892, were recorded in our tree-ring record. **Narrow ring formation occurs**
293 **when extreme drought stress reduces cell division (Fritts et al., 1976; Shi et al., 2014). Therefore,**
294 **the narrow rings were also consistent with the extreme drought years.** Among them, 2001, 1999,
295 1952, and 1921 were identified by previous studies (Esper et al., 2003; Ahmed et al., 2010; Zafar
296 et al., 2010; Khan et al., 2013; He et al., 2018). Sigdel and Ikeda (2010) reported that droughts
297 occurred in 1974, 1977, 1985, 1993, the winter of 2001, and the summers of 1977, 1982, 1991,
298 and 1992. Our PDSI reconstruction fully captured the widespread drought in Pakistan,

299 Afghanistan, and Tajikistan during 1970–1971 (Yu et al., 2014). The above drought disrupted
300 daily life and led to food and water shortages and livestock losses in high-altitude areas (Yadav,
301 2011; Yadav and Bhutiyani, 2013; Yadav et al., 2017). This drought might have also been due to
302 the failure of Western Disturbance precipitation (Hoerling et al., 2003). Similarly, the 17 wettest
303 years were observed from wide rings in 2010, 2009, 2007, 1998, 1997, 1996, 1993, 1931, 1924,
304 1923, 1908, 1696, 1693, 1691, 1690, 1689, and 1688. The floods of July 2010 were also captured
305 by our reconstruction, which affected approximately 20% of Pakistan (20 million people)
306 (Yaqub et al., 2015). The wet years of 1997, 1996, 1993, 1696, 1693, 1691, 1690, 1689, and
307 1688 were in agreement with the results of Khan et al. (2019). Similarly, the wet years of 1923,
308 1924, 1988, 2007, 2009, and 2010 coincided with the reconstruction of Chen et al. (2019).

309 As shown in Fig. 5, the mean of our reconstructed PDSI was below zero. There were two
310 possible reasons for this phenomenon. First, tree growth is more sensitive to drying than to
311 wetting. As a result, more drought information is recorded in ring widths. This leads to a drier
312 (less than zero) PDSI reconstructed with tree rings. This phenomenon exists in many tree-ring
313 PDSI reconstructions (Hartl-Meier et al., 2017; Wang et al., 2008). Second, the period (1960–
314 2016) used to reconstruct the equation was relatively dry. This caused the mean of the
315 reconstruction equation to be lower than zero (dry), thereby resulting in lower values for the
316 whole reconstruction. Therefore, when applying the PDSI data reconstructed by tree rings, its
317 relative value is relatively reliable, and the absolute value data can only be used after adjustment.
318 The adjustment method of the absolute value needs to be further studied.

319 Our reconstruction also captured a range of changes in climate mentioned in other studies
320 (Ahmad et al., 2004; Yu et al., 2014; Chen et al., 2019; Gaire et al., 2019). Our reconstruction
321 featured nine dry and eight wet periods of 1593–1598, 1602–1608, 1631–1645, 1647–1660,

322 1756–1765, 1785–1800, 1870–1878, 1917–1923, and 1981–1995 and 1663–1675, 1687–1708,
323 1771–1773, 1806–1814, 1844–1852, 1932–1935, 1965–1969, and 1990–1999, respectively. The
324 dry periods of 1598–1612, 1638–1654, 1753–1761, 1777–1793, and 1960–1985 and the wet
325 periods of 1655–1672, 1681–1696, 1933–1959, and 1762–1776 coincided with that
326 reconstructed by Chen et al. (2019) in northern Tajikistan. The most serious drought in 1871,
327 1881, and 1931, and the short-term drought from 2000 to 2002 mentioned by Ahmed et al.
328 (2004) were also found to be very dry in our reconstruction.

329 The dry period of 1645–1631 was also reported in tree-ring-based drought variability of the
330 Silk Road (Yu et al., 2014). Three mega-drought events in Asian history (Yadav, 2013; Panthi et
331 al., 2017; Gaire et al., 2019), namely the Strange Parallels Drought (1756–1768), East India
332 Drought (1790–1796), and Late Victorian Great Drought (1876–1878), were clearly recorded in
333 our reconstructed PDSI. This could mean that widespread drought on the continent could be
334 linked to volcanic eruptions (Chen et al., 2019). The wet period of 1995–2016 was very
335 consistent with that of Yadav et al. (2017). These results suggested that the long-term continuous
336 wet periods in 31 y out of the past 576 y (1984–2014) might have increased the mass of glaciers
337 in the northwest Himalaya and Karakoram mountains (Cannon et al., 2014). Therefore, we
338 speculated that the size of the HK glacier and the mass of glaciers near our study areas will
339 continue to increase if the wet trend continues.

340 Our PDSI reconstruction and the precipitation reconstruction of Treydte et al. (2006)
341 showed a strong consistency (Fig. 6), which proved that our reconstruction was reliable. The
342 discrepancies in some periods might have been caused because the PDSI is affected by
343 temperature and may not be completely consistent with precipitation (Li et al., 2015). The
344 inconsistency between the reconstruction of ring widths and oxygen isotopes in some periods

345 might also have been due to the different responses of radial growth and isotopes to disturbance
346 (McDowell et al., 2002).

347 To test the consistency of the drought period, we compared this reconstruction with other
348 drought and precipitation based on tree-ring- reconstructions in central Eurasia and China, which
349 were adjacent to our study area, but none of them are completely matched. The dry periods of
350 our reconstruction are similar to some periods of the reconstruction by Sun and Liu (2019) in
351 1629–1645 and 1919–1933. However, we found that our drought periods are more consistent
352 with the drought periods of May–June reconstruction in the south-central Tibetan Plateau (He et
353 al., 2018), such as 1593–1598 (1580–1598), 1647–1660 (1650–1691), 1785–1800 (1782–1807),
354 and 1870–1878 (1867–1982). This difference may be due to differences in geographical location,
355 species, and reconstruction indices, among others (Gaire et al., 2019). In addition, the lack of
356 consistency between different data sets or regions might have been due to the dominance of
357 internal climate variability over the impact of natural exogenous forcing conditions on
358 multidecadal timescales (Bothe et al., 2019).

359

360 **4.2 Linkage of drought variation with ocean oscillations**

361 The results of wavelet and MTM analysis indicated that the low and high-frequency periods
362 of drought in northern Pakistan may have a teleconnection with both large and small-scale
363 climate oscillations (Fig. 7). The high frequency of the drought cycle (2.1–3.3 y) may be related
364 to ENSO (van Oldenborgh and Burgers, 2005). The ENSO index in different equator Pacific
365 regions has a significant positive correlation with our reconstructed drought index with a lag of 8
366 months (Table 2 and Fig. 10), so it further indicated that the water availability in this area may be
367 related to large-scale climate oscillations. There is a lag effect of ENSO on drought in the study

368 area, the lag time is about 4-11 months. The lags in the ENSO impact are very complex and
369 different in different regions (Vicente-Serrano et al., 2011). Therefore, the decrease of drought in
370 our study area may be linked to the enhancement of ENSO activity. However, Khan et al. (2014)
371 showed that most of northern Pakistan is in the monsoon shadow zone, and the Asian monsoon
372 showed an overall weak trend in recent decades (Wang and Ding, 2006; Ding et al., 2008).
373 Previous studies (Wang et al., 2006; Palmer et al., 2015; Shi et al., 2018; Chen et al., 2019) have
374 confirmed that ENSO is an important factor regulating the hydrological conditions related to the
375 AMO. In the past, severe famine and drought occurred simultaneously with the warm phase of
376 ENSO, and these events were related to the failure of the Indian summer monsoon (Shi et al.,
377 2014).

378 The middle-frequency cycle (16 y) might have been related to the solar cycle, which was
379 similar to the results of other studies in South Asia (Panthi et al., 2017; Shekhar et al., 2018;
380 Chen et al., 2019). Solar activity may affect climate fluctuations in the HK range in northern
381 Pakistan (Gaire et al., 2017). The low-frequency cycle (36–38 y) might have been caused by the
382 AMO, which is the anomalies of SST in the North Atlantic Basin (Fig. 9). Previous studies have
383 shown that the AMO may alter drought or precipitation patterns in North America (McCabe et
384 al., 2004; Nigam et al., 2011) and Europe (Vicente-Serrano and López-Moreno, 2008). Although
385 our study area is far from the Atlantic Ocean, it may also be affected by the AMO (Lu et al.,
386 2006; Wang et al., 2011; Yadav, 2013). Lu et al. (2006) found that the SST anomalies in the
387 North Atlantic (such as the AMO) can affect the Asian summer monsoon. Goswami et al. (2006)
388 reported the mechanism of the influence of the AMO on Indian monsoon precipitation. The
389 warm AMO appears to cause late withdrawal of the Indian monsoon by strengthening the
390 meridional gradient of the tropospheric temperature in autumn (Goswami et al., 2006; Lu et al.,

391 2006). Yadav (2013) suggested the role of the AMO in modulating winter droughts over the
392 western Himalayas through the tropical Pacific Ocean. Wang et al. (2009) pointed out that the
393 AMO heats the Eurasian middle and upper troposphere in all four seasons, thereby resulting in
394 weakened Asian winter monsoons and enhanced summer monsoons. This is consistent with the
395 findings that the AMO affects the climate in China, which is made possible by the Atlantic-
396 Eurasia wave train from the North Atlantic and is increased owing to global warming (Qian et
397 al., 2014). Further work is still needed to determine the connections between the Pacific and
398 Atlantic oceans and how the two are coupled through the atmosphere and oceans to affect
399 drought in Asia.

400 Dimri (2006) found that the precipitation surplus in winter from 1958 to 1997 was related to
401 the significant heat loss in the northern Arabian Sea, which was mainly due to intensification of
402 water vapor flow in the west and the enhancement of evaporation. As a result, large-scale
403 changes in Atlantic temperature could also regulate the climate of western Asia. Our result was
404 supported by other dendroclimatic studies (Sano et al., 2005; Chen et al., 2019). Precipitation in
405 the Mediterranean, Black Sea (Giesche et al., 2019), and parts of northern Pakistan showed an
406 upward trend from 1980 to 2010, but precipitation in the [HK mountains](#) range was received from
407 the Indian winter monsoon (December–March) and the rain shadows in summer (Khan et al.,
408 2013). Predicting different patterns of the climate cycle is difficult. In addition, the flow of the
409 Upper Indus Basin (UIB) depends on changes in the ablation mass (Rashid et al., 2018; Rao et
410 al., 2018); small changes in the ablation mass may eventually lead to changes in water quality
411 and quantity. The UIB is considered a water tower in the plain (Immerzeel et al., 2010), so the
412 HK mountains are particularly important for extending the proxy network to improve the
413 understanding of different climatic behaviors.

414 The drought regimes in the HK range in northern Pakistan may be linked to regional, local,
415 and global climate change. We only studied the response of *C. deodara* to different changes in
416 climate in the Chitral region of northern Pakistan. Therefore, we suggest that further high-
417 resolution and well-dated records are needed to augment the dendroclimatic network in the
418 region.

419

420 **5. Conclusion**

421 Based on the significance of the tree-ring widths of *C. deodara*, we developed a 467 y
422 chronology (1550–2017). Considering that the EPS threshold was greater than 0.85 (> 13 trees),
423 we reconstructed the current March–August PDSI from 1593 to 2016. Our reconstruction
424 captured different drought changes at different time scales in the HK range, Pakistan. Three
425 historic mega-drought events, namely the Strange Parallels Drought (1756–1768), East India
426 Drought (1790–1796), and Late Victorian Great Drought (1876–1878), were captured by our
427 reconstructed PDSI. These large-scale and small-scale droughts might have been caused by cold
428 or hot climate. Our results are consistent with other dendroclimatic records, which further
429 supports the feasibility of our reconstruction. In addition, owing to the different climate change
430 patterns in the region, we suggest extending the different proxy networks to understand the
431 remote teleconnection across the continent on multidecadal to centennial timescales to meet
432 future climate challenges.

433

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444

445 **Data availability**

446 The reconstructed PDSI can be obtained from the supplementary file of this paper. The tree-ring
447 width data used in this study can be download from the International Tree-Ring Data Bank.

448

449 **Author contributions**

450 **Xiaochun Wang and Sarir Ahmad initiated this study. Sarir Ahmad and Sami Ullah collected
451 samples in the field. Liangjun Zhu and Sumaira Yasmeen cross-dated and measured the samples.
452 Sarir Ahmad and Xiaochun Wang wrote the manuscript. Liangjun Zhu, Yuandong Zhang,
453 Zongshan Li, and Shijie Han revised the manuscript.**

454

455 **Competing interest**

456 The authors declare that they have no conflict of interest.

457

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776

777 **Figure captions**

778 **Fig. 1** Map of the weather stations (Drosh station) and sampling sites in the Chitral, HinduKush
779 Mountains, Pakistan. Different colors represent the elevation changes of the study area.

780 **Fig. 2** Monthly maximum, mean, minimum temperature (°C) and total precipitation (mm) in the
781 Drosh Weather Station (35.07° N, 71.78° E, 1465 m), Pakistan (1965-2013).

782 **Fig. 3** The regional tree-ring width chronology from 1550 to 2017 in the Chitral, HinduKush
783 Mountains, Pakistan. The gray area represents the sample depth.

784 **Fig. 4** Pearson correlation coefficients between the tree-ring index of *C. deodara* and monthly
785 total precipitation (1965-2013) and scPDSI (1960-2013) (a) and monthly maximum and
786 minimum temperature (1965-2013) (b) from June of the previous year to September of the
787 current year. Significant correlations ($p < 0.05$) are denoted by asterisks. The “previous” and
788 “current” represents the previous and current year, respectively.

789 **Fig. 5** The scPDSI reconstruction in the Chitral HinduKush Mountain, Pakistan. (a) Comparison
790 between the reconstructed (black line) and actual (red line) scPDSI; (b) The variation of
791 annual (black solid line) and 11-year moving average (red bold line) Mar-Aug scPDSI from
792 1593 to 2016 with mean vale \pm one standard deviation (black dash lines).

793 **Fig. 6** Comparison of our PDSI reconstruction (a) with the precipitation reconstruction (tree-ring
794 $\delta^{18}\text{O}$) of Treydte et al. (2006) (b) in northern Pakistan. Purple and brown shaded areas
795 represent the consistent wet and dry periods in the two reconstructions, respectively. Two
796 correlation coefficients ($r = -0.24$ and $r = -0.11$) are the correlation of two original annual
797 resolution reconstruction series and two 11-year moving average series, respectively.

798 **Fig. 7** The Multi-taper method spectrums of the reconstructed scPDSI from 1593 to 2016. Red
799 and green line represents the 95% and 99% confidence level, respectively. The figures

800 above the significant line represents the significant periods of drought at 95% confidence
801 level.

802 **Fig. 8** (a) Spatial correlation between the actual May-August PDSI and the reconstructed May-
803 August scPDSI (1901-2017). (b) The wavelet analysis of the reconstructed scPDSI in the
804 Chitral HinduKush Ranges, Pakistan. The 95% significance level against red noise was
805 shown as a black contour.

806 **Fig. 9** (a) Comparison of the 31-year moving average series between the reconstructed Mar-Aug
807 scPDSI and the AMO index during the common period (1890-2001, Mann et al.,); (b)
808 Comparison of the 31-year moving average series between the reconstructed Mar-Aug
809 scPDSI and the South Asian Summer Monsoon index from June to August (JJA-SASM)
810 (Cook et al., 2010) during the common period (1608-1990).

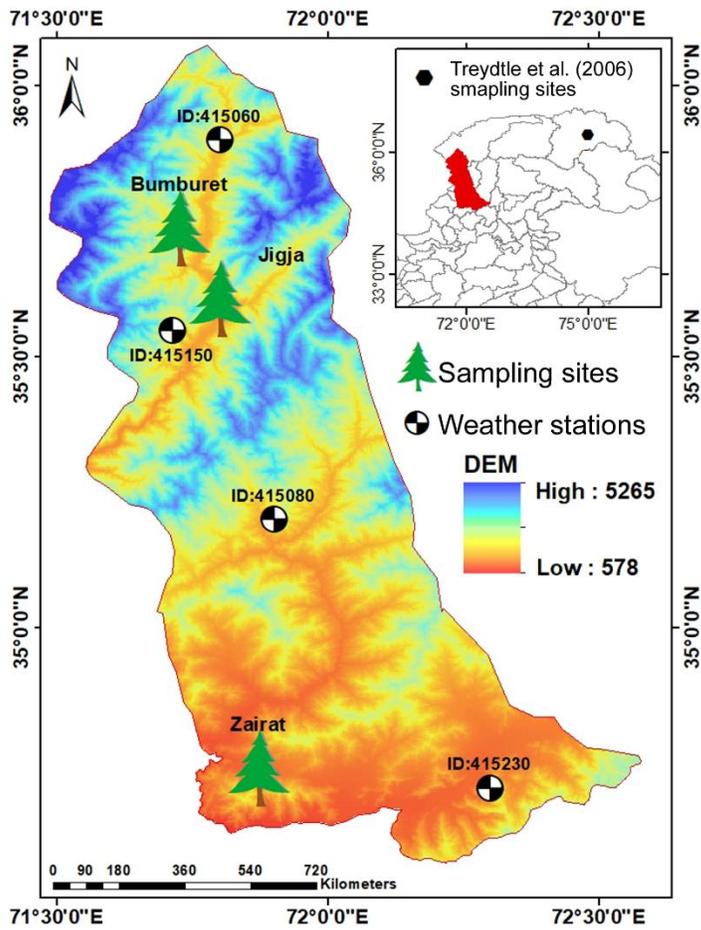
811 **Fig. 10** The field correlation between the monthly HadISST1 sea surface temperature and
812 reconstructed PDSI with a lag of 8 months calculated by the KNMI Climate Explorer (1870-
813 2016). The contours with $p > 0.05$ were masked out.

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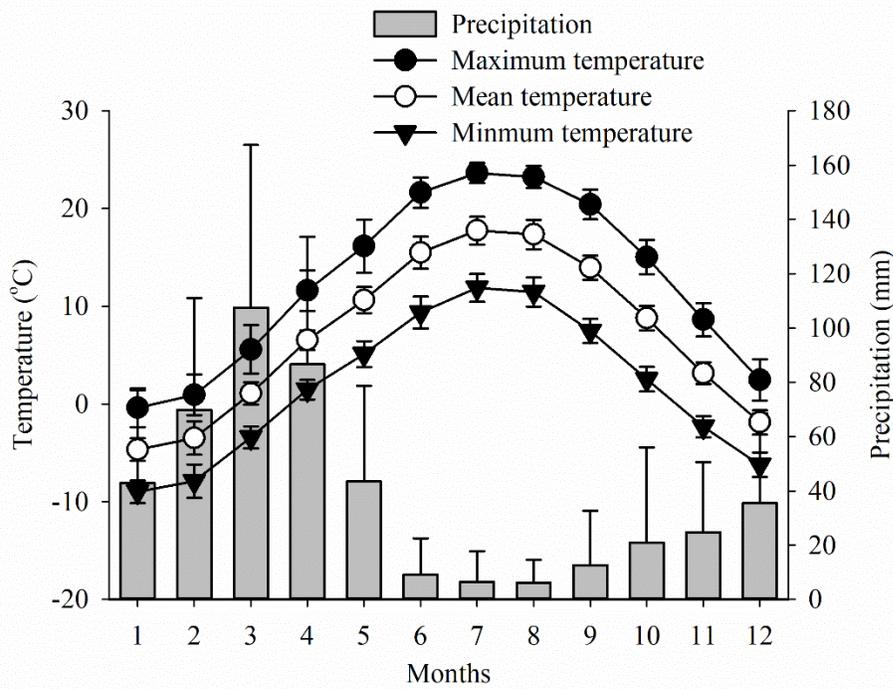
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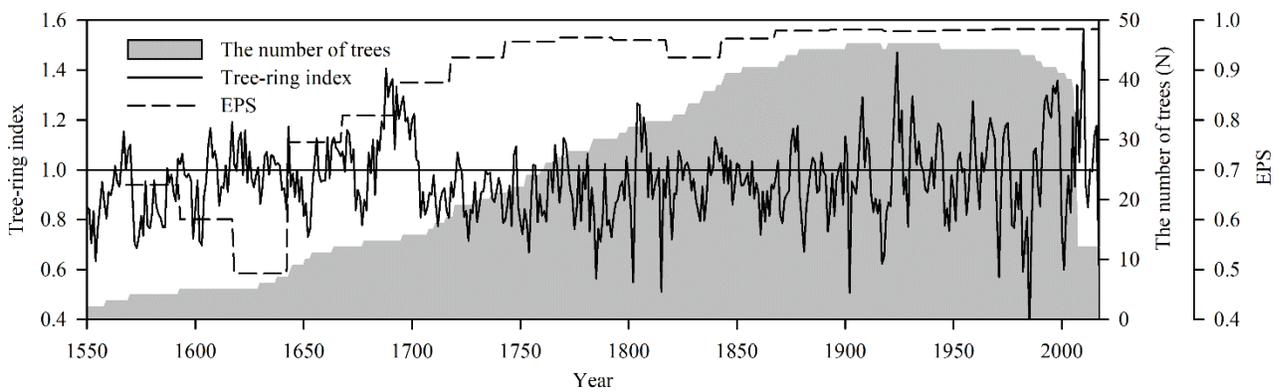
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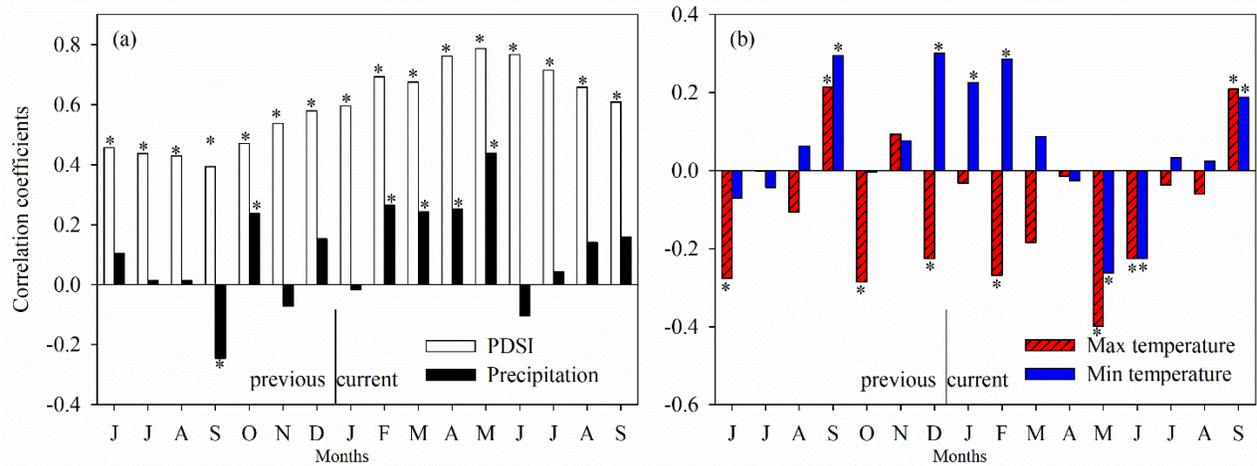
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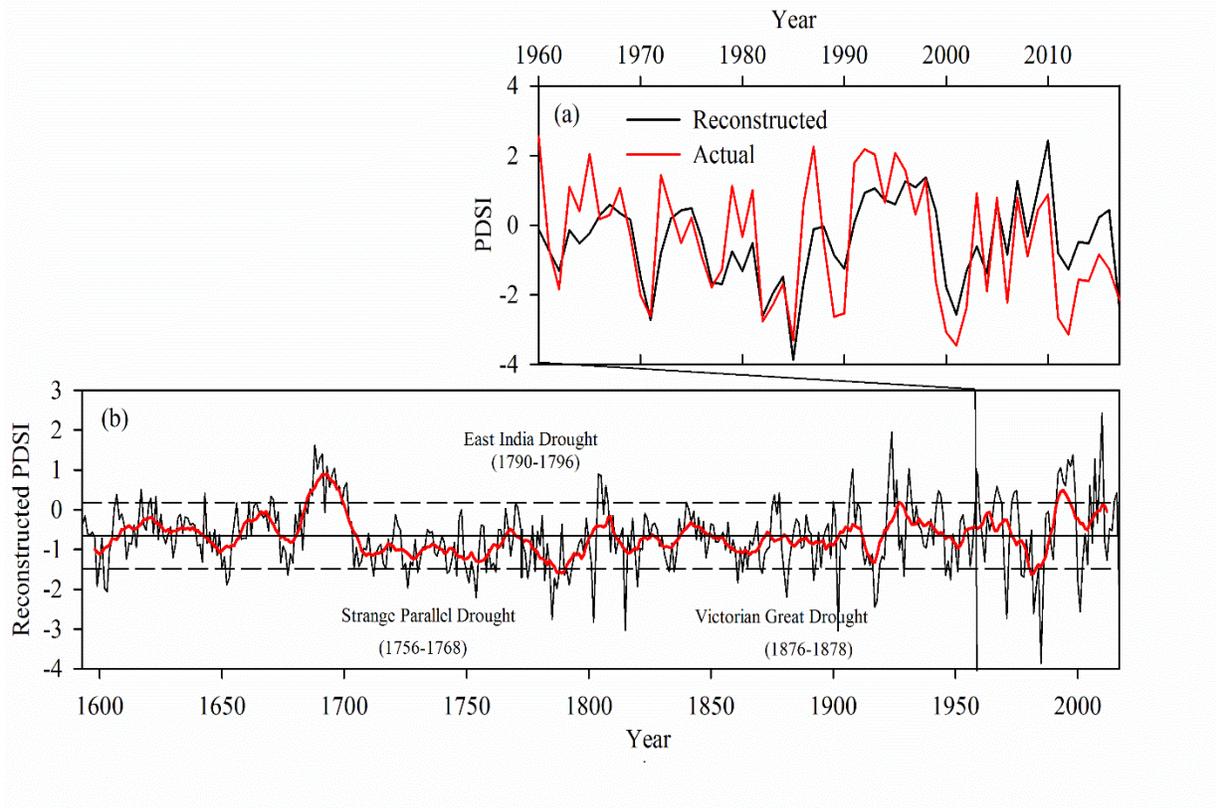
836 “current” represents the previous and current year, respectively. The data of monthly

837 precipitation, maximum temperature and minimum temperature were obtained from the

838 meteorological station of Chitral in northern Pakistan. The PDSI data was download from data

839 sets of the grid point (35.36 °N, 71.48 °E) through the Climatic Research Unit (CRU TS.3.22;

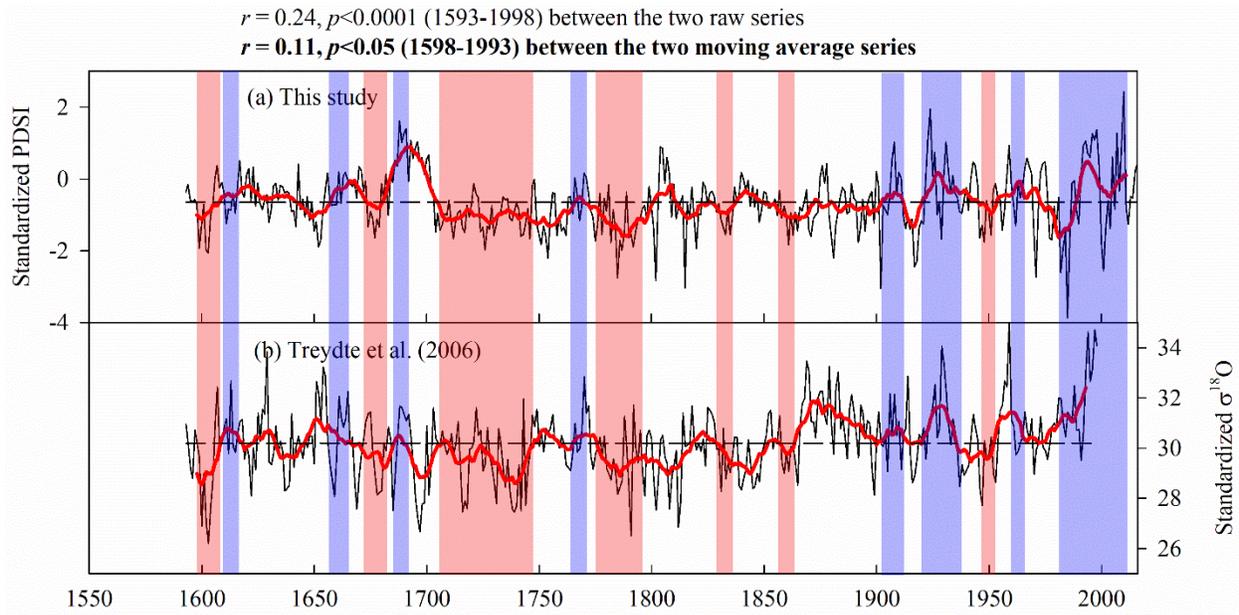
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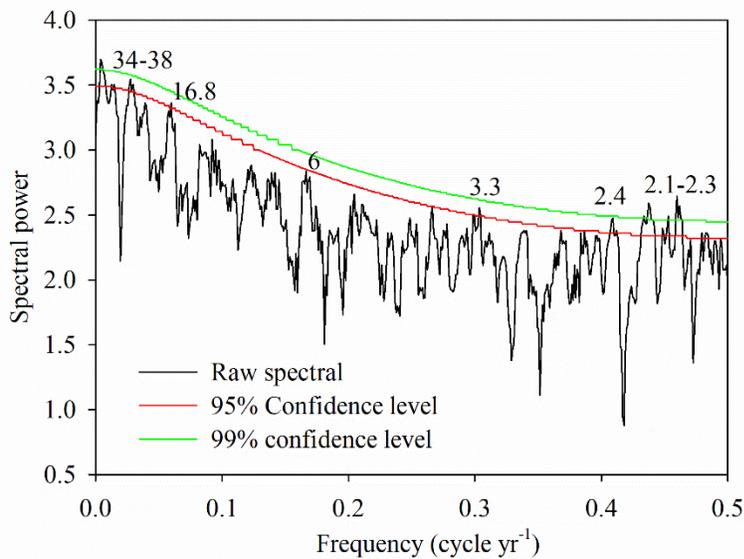
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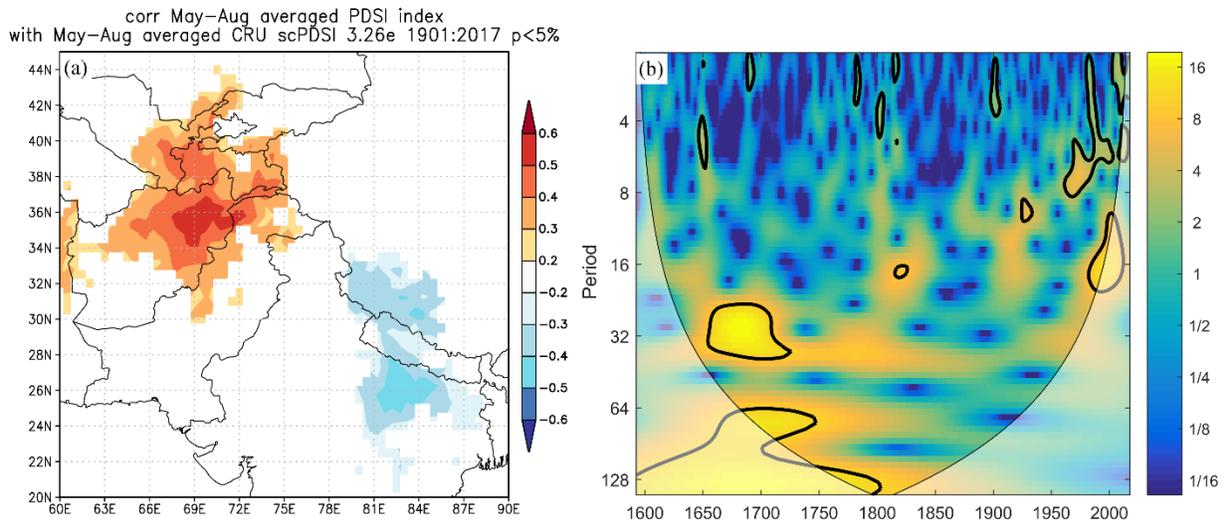
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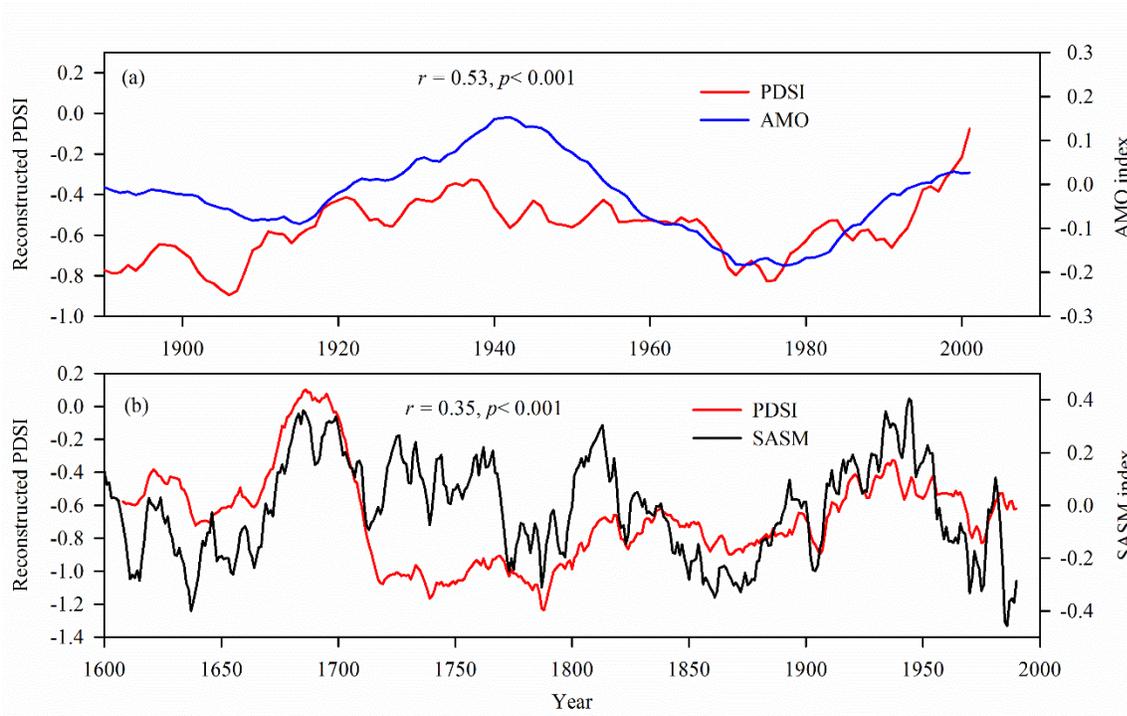
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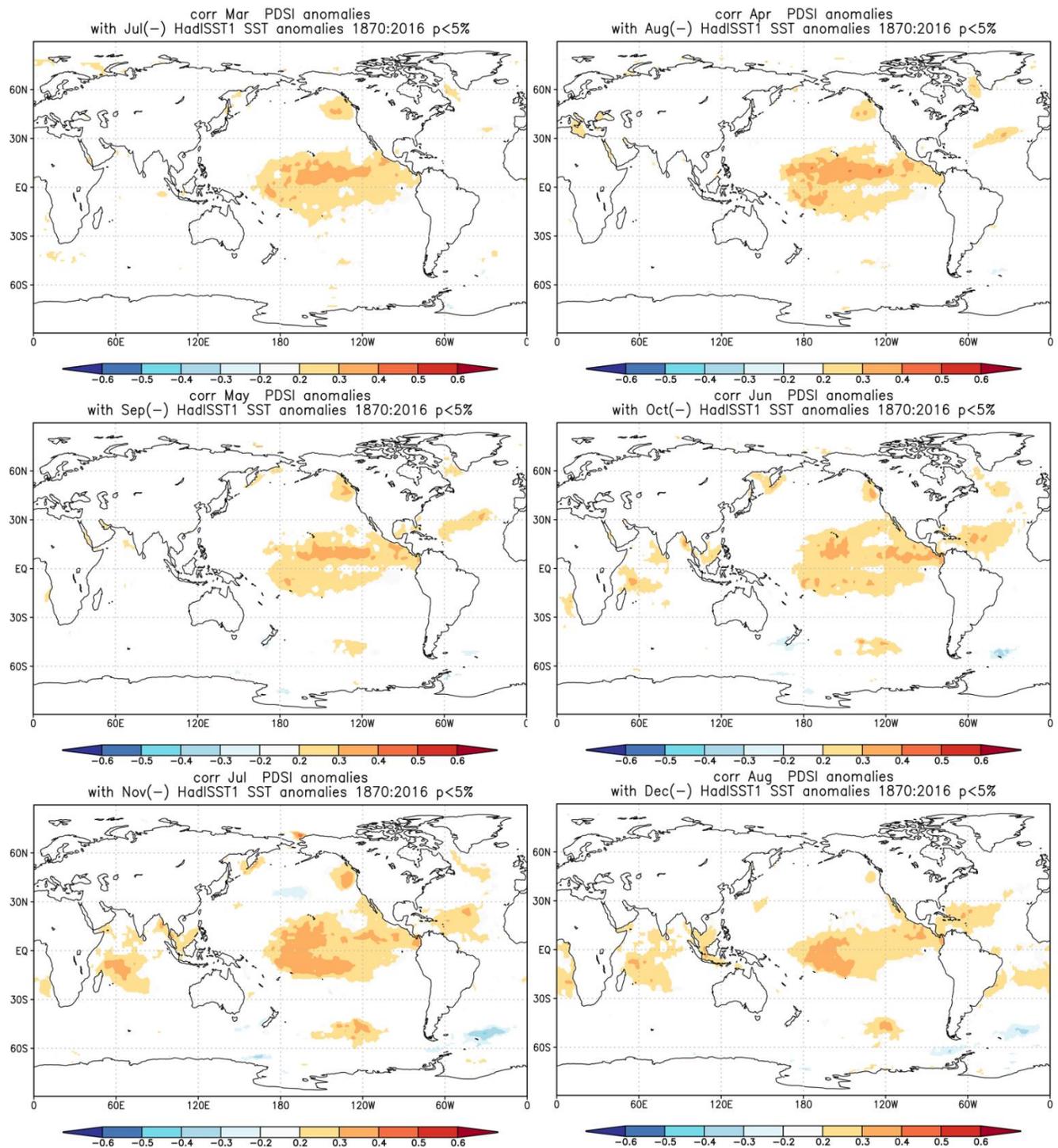
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881 **Table 1.** Statistical test for the tree-ring reconstruction of March-August PDSI in Chitral
 882 HinduKush Range of northern Pakistan based on a split calibration-verification procedure.

Calibrations	r	R^2	Verification	RE	CE	ST	DW	RMSE	PMT
1960-2016	0.70	0.49	—	0.44	—	(43, 14)*	1.06*	1.21	10.0*
1989-2016	0.82	0.67	1960-1988	0.61	0.62	(23, 6)*	1.0*	1.72	5.80*
1960-1988	0.73	0.53	1989-2016	0.64	0.62	(24, 4)*	0.98*	1.56	7.42*

883 Notes: RE-Reduction of error, CE-Coefficient of efficiency, ST-Sign test, DW-Durbin-Watson
 884 test, RMSE-Root mean square error, PMT-Product means test.

885
 886 **Table 2.** Correlation coefficients (r) and p value between monthly ENSO index and reconstructed
 887 PDSI with a lag of 8 months calculated by the KNMI Climate Explorer.

PDSI Month	ENSO Month	NINO3		NINO3.4		NINO4 ⁸⁸⁸	
		r	p	r	p	r	p
Jan	May	0.19	0.0445	0.21	0.0270	0.26	0.0063
Feb	Jun	0.23	0.0156	0.26	0.0053	0.28	0.0028
Mar	Jul	0.25	0.0094	0.28	0.0030	0.27	0.0043
Apr	Aug	0.22	0.0226	0.25	0.0083	0.26	0.0087
May	Sep	0.22	0.0202	0.26	0.0074	0.28	0.0045
Jun	Oct	0.18	0.0599	0.24	0.0117	0.29	0.0033
Jul	Nov	0.19	0.0488	0.25	0.0078	0.28	0.0033
Aug	Dec	0.16	0.0773	0.22	0.0157	0.26	0.0049
Sep	Jan	0.20	0.0432	0.24	0.0103	0.26	0.0057
Oct	Feb	0.26	0.0061	0.30	0.0010	0.28	0.0031
Nov	Mar	0.27	0.0038	0.28	0.0020	0.27	0.0040
Dec	Apr	0.25	0.0090	0.27	0.0030	0.31	0.0009