



1 A new multi-variable benchmark for Last Glacial Maximum climate simulations

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17 Abstract. We present a new global reconstruction of seasonal climates at the Last 18 Glacial Maximum (LGM, 21,000 yr BP) made using 3-D variational data assimilation 19 with pollen-based site reconstructions of six climate variables and the ensemble 20 average of the PMIP3/CMIP5 simulations as a prior. We assume that the correlation 21 matrix of the errors of the prior both spatially and temporally is Gaussian, in order to 22 produce a climate reconstruction that is smoothed both from month to month and 23 from grid cell to grid cell. The pollen-based reconstructions include mean annual temperature (MAT), mean temperature of the coldest month (MTCO), mean 24 25 temperature of the warmest month (MTWA), growing season warmth as measured by 26 growing degree days above a baseline of  $5^{\circ}$ C (GDD<sub>5</sub>), mean annual precipitation 27 (MAP) and a moisture index (MI), which is the ratio of MAP to mean annual potential 28 evapotranspiration. Different variables are reconstructed at different sites, but our 29 approach both preserves seasonal relationships and allows a more complete set of 30 seasonal climate variables to be derived at each location. We further account for the 31 ecophysiological effects of low atmospheric carbon dioxide concentration on 32 vegetation in making reconstructions of MAP and MI. This adjustment results in the 33 reconstruction of wetter climates than might otherwise be inferred by the vegetation 34 composition. Finally, by comparing the error contribution to the final reconstruction, 35 we provide confidence intervals on these reconstructions and delimit geographical 36 regions for which the palaeodata provide no information to constrain the climate 37 reconstructions. The new reconstructions will provide a robust benchmark for 38 evaluation of the PMIP4/CMIP6 entry-card LGM simulations.

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### 43 1 Introduction

44 Models that perform equally well for present-day climate nevertheless produce very 45 different responses to anthropogenic forcing scenarios through the 21<sup>st</sup> century. 46 Although internal variability contributes to these differences, the largest source of 47 uncertainty in model projections in the first three to four decades of the 21<sup>st</sup> century 48 stems from differences in the response of individual models to the same forcing 49 (Kirtman et al., 2013). Thus, the evaluation of models based on modern observations 50 is not a good guide to their future performance, largely because the observations used 51 to assess model performance for present-day climate encompass too limited a range of 52 climate variability to provide a robust test of the ability to simulate climate changes. 53 Although past climate states do not provide analogues for the future, past climate 54 changes provide a unique opportunity for out-of-sample evaluation of climate model 55 performance (Harrison et al., 2015).

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57 At the Last Glacial Maximum (LGM, 21 000 years ago), insolation was quite similar 58 to the present, but global ice volume was at a maximum, eustatic sea level was close 59 to a minimum, long-lived greenhouse gas concentrations were lower and atmospheric 60 aerosol loadings higher than today, and land surface characteristics (including 61 vegetation distribution) were also substantially different from today. These changes 62 gave rise to a climate radically different from that of today; indeed the magnitude of 63 the change in radiative forcing between LGM and pre-industrial climate is 64 comparable to high-emissions projections of climate change between now and the end 65 of the 21<sup>st</sup> century (Braconnot et al., 2012). The LGM has been a focus for model 66 evaluation in the Paleoclimate Modelling Intercomparison Project (PMIP) since its 67 inception (Joussaume and Taylor, 1995; Braconnot et al., 2007; Braconnot et al., 68 2012). The LGM is one of the two "entry card" palaeoclimate simulations included in 69 the current phase of the Coupled Model Intercomparison Project (CMIP6) (Kageyama 70 et al., 2018). The evaluation of previous generations of palaeoclimate simulations has 71 shown that the large-scale thermodynamic responses seen in 21<sup>st</sup> century and LGM 72 climates, including enhanced land-sea temperature contrast, latitudinal amplification, 73 and scaling of precipitation with temperature, are likely to be realistic (Izumi et al., 74 2013; Li et al., 2013; Lunt et al, 2013; Hill et al., 2014; Izumi et al., 2014; Harrison et 75 al., 2015). However, evaluation against palaeodata shows that even when the sign of 76 large-scale climate changes is correctly predicted, the patterns of change at a regional 77 scale are often inaccurate and the magnitudes of change often underestimated (Brewer 78 et al., 2007; Mauri et al., 2014; Perez Sanz et al., 2014; Bartlein et al., 2017). The 79 current focus on understanding what causes mismatches between reconstructed and 80 simulated climates is a primary motivation for developing benchmark data sets that 81 represent regional climate changes comprehensively enough to allow a critical 82 evaluation of model deficiencies. 83

84 Many sources of information can be used to reconstruct past climates. Pollen-based 85 reconstructions are the most widespread, and pollen-based data were the basis for the





86 current standard LGM benchmark data set by Bartlein et al. (2011). In common with 87 other data sources, the pollen-based reconstructions were generated for individual 88 sites. Geological preservation issues mean that the number of sites available 89 inevitably decreases through time (Bradley, 2014). Since pollen is only preserved for 90 a long time in anoxic sediments, the geographic distribution of potential sites is biased 91 towards climates that are relatively wet today. Furthermore, the actual sampling of 92 potential sites is highly non-uniform, so there are large geographic gaps in data 93 coverage (Harrison et al., 2016). The lack of continuous climate fields is not ideal for 94 model evaluation, and so attempts have been made to generalize the site-based data 95 either through gridding, interpolation, or some form of multiple regression (see e.g. 96 Bartlein et al., 2011; Annan and Hargreaves, 2013). However, there has so far been no 97 attempt to produce a physically consistent, multi-variable reconstruction with explicit 98 uncertainties attached to it.

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100 A further characteristic of the LGM that creates problems for quantitative 101 reconstructions based on pollen data is the much lower atmospheric carbon dioxide 102 concentration,  $[CO_2]$ , compared to the pre-industrial Holocene.  $[CO_2]$  has a direct 103 effect on plant physiological processes. Low  $[CO_2]$  as experienced by plants at the 104 LGM is expected to have led to reduced water-use efficiency - the ratio of carbon 105 assimilation to the water lost through transpiration (Bramley et al., 2013). Most 106 reconstructions of moisture variables from pollen data, including most of the 107 reconstructions used by Bartlein et al. (2011), do not take [CO<sub>2</sub>] effects into account. 108 Yet several modelling studies have shown that the impact of low  $[CO_2]$  around the LGM on plant growth and distribution was large (e.g. Jolly and Haxeltine, 1997; 109 110 Cowling and Sykes, 1999; Harrison and Prentice, 2003; Bragg et al., 2013; Martin 111 Calvo et al., 2014; Martin Calvo and Prentice, 2015). A few reconstructions of LGM 112 climate based on the inversion of process-based biogeography models have also 113 shown large effects of low  $[CO_2]$  on reconstructed LGM palaeoclimates (e.g. Guiot et 114 al., 2000; Wu et al., 2007). The reconstructions of moisture variables in the Bartlein et 115 al. (2011) data set are thus probably not reliable, and likely to be biased low.

116 Prentice et al. (2017) demonstrated an approach to correct reconstructions of moisture 117 variables for the effect of  $[CO_2]$ , but this correction has not been applied globally. A 118 key side effect of applying this  $[CO_2]$  correction is to reconcile semi-quantitative 119 hydrological evidence for wet conditions at the LGM with the apparent dryness 120 suggested by the vegetation assemblages (Prentice et al., 2017). Similar 121 considerations apply to the interpretation of future climate changes in terms of 122 vegetational effects. Projections of future aridity (based on declining indices of 123 moisture availability) linked to warming are unrealistic, in a global perspective, 124 because of the counteracting effect of increased water use efficiency due to rising 125  $[CO_2]$  – which is generally taken into account by process-based ecosystem models, 126 but not by statistical models relying on projected changes in vapour pressure deficit or 127 MI (Keenan et al., 2011; Roderick et al., 2015; Greve et al., 2017).

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129 In this paper, we use variational data assimilation based on both pollen-based climate 130 reconstructions and climate model outputs to arrive at a best-estimate analytical 131 reconstruction of LGM climate, explicitly taking account of the impact of [CO2]. 132 Variational techniques provide a way of combining observations and model outputs to 133 produce climate reconstructions that are not exclusively constrained to one source of 134 information or the other (Nichols, 2010). We use the error contributions to the 135 analytical reconstruction to provide confidence intervals for these reconstructions and 136 also to delimit geographical regions for which the palaeodata provide no constraint on 137 the reconstructions. The resulting data set is expected provide a well-founded multi-138 variable LGM climate dataset for palaeoclimate model benchmarking in CMIP6.

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### 141 2 Methods

### 142 **2.1 Pollen-based climate reconstructions**

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144 Bartlein et al. (2011) provided a global synthesis of pollen-based quantitative climate 145 reconstructions for the LGM. The Bartlein et al. (2011) data set includes 146 reconstructions of climate anomalies (differences between LGM and recent climates) 147 for six variables (and their uncertainties), specifically mean annual temperature 148 (MAT), mean temperature of the coldest month (MTCO), mean temperature of the 149 warmest month (MTWA), growing degree days above a baseline of above 5°C 150 (GDD5), mean annual precipitation (MAP), and an index of plant-available moisture 151 (the ratio of actual to equilibrium evapotranspiration, or  $\alpha$ ). There are a small number 152 of LGM sites (94) in the Bartlein et al. (2011) data set where model inversion was 153 used to make the reconstructions of  $\alpha$  and MAP; these were excluded from our 154analysis. There are no data from Australia in the Bartlein et al. (2011) data set, and we 155 therefore use quantitative reconstructions of MAT and another moisture index (MI), 156 the ratio of MAP to potential evapotranspiration, from Prentice et al. (2017). Prentice 157 et al. (2017) provide values of MI both before and after correction for  $[CO_2]$ ; we use 158 the uncorrected values in order to apply the correction for  $[CO_2]$  within our 159 assimilation framework. For consistency between the two data sets, we re-expressed 160 reconstructions of  $\alpha$  in terms of MI via the Fu-Zhang formulation of the Budyko 161 relationship between actual evapotranspiration, potential evapotranspiration and 162 precipitation (Zhang et al., 2004; Gallego-Sala et al., 2016).

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164 The spatial coverage of the final data set is uneven (Figure 1). There are many more 165 data points in Europe and North America than elsewhere. South America has the 166 fewest (14 sites). The number of variables available at each site varies: although most 167 sites (279) have reconstructions of at least three variables, some sites have 168 reconstructions of only one variable (60). Nevertheless, in regions where there is 169 adequate coverage, the reconstructed anomaly patterns are coherent, plausible and 170 consistent among variables.

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Figure 1: The distribution of the site-based reconstructions of climatic variables at
the Last Glacial Maximum. The plots show sites providing reconstructions of (a)
moisture index (MI), (b) mean annual precipitation (MAP), (c) mean annual
temperature (MAT), (d) mean temperature of the coldest month (MTCO), (e) mean
temperature of the warmest month (MTWA), and (f) growing degree days above a
baseline of 5°C (GDD5). The original reconstructions are from Bartlein et al. (2011)
and Prentice et al. (2017).

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182 For this application, we derived absolute LGM climate reconstructions by adding the 183 reconstructed climate anomalies at each site to the modern climate values from the 184 Climate Research Unit (CRU) historical climatology data set (CRU CL v2.0 dataset, 185 New et al., 2002), which provides climatological averages of monthly temperature, 186 precipitation and cloud cover fraction for the period 1961-1990 CE. Most of the 187 climate variables (MTCO, MTWA, MAT, MAP) can be calculated directly from the 188 CRU CL v2.0 dataset. GDD5 was calculated from pseudo-daily data derived by linear 189 interpolation of the monthly temperatures. MI was calculated from the CRU climate 190 variables using the radiation calculations in the SPLASH model (Davis et al., 2017). 191 For numerical efficiency, we non-dimensionalised all of the absolute climate 192 reconstructions (and their standard errors) before applying the variational techniques 193 (for details, see Cleator et al., 2019a).

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## 196 2.2 Climate model simulations





197 Eight LGM climate simulations (Table 1) from the third phase of the 198 Palaeoclimate Modelling Intercomparison Project (PMIP3: Braconnot et al., 2012) 199 were used to create a prior. The PMIP LGM simulations were forced by known 200 changes in incoming solar radiation, changes in land-sea geography and the extent 201 and location of ice sheets, and a reduction in [CO<sub>2</sub>] to 185 ppm (see Braconnot et al., 202 2012 for details of the modelling protocol). We used the last 100 years of each LGM 203 simulation. We interpolated monthly precipitation, monthly temperature and monthly 204 fraction of sunshine hours from each LGM simulation and its pre-industrial (PI) 205 control to a common 2 x 2° grid. Simulated climate anomalies (LGM minus PI) for 206 each grid cell were then added to modern climate values calculated from the CRU CL 207 2.0 data set (New et al., 2002), as described for the pollen-based reconstructions, to 208 derive absolute climate values. We calculated the multi-model mean and variance 209 (Figure 2) across the models for each of the climate variables to produce the gridded 210 map used as the prior.

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Model name	Туре	1	Resolution		Year	Reference
		Atmosphere	Ocean	Sea Ice	length	
CCSM4	OA	192, 288	320, 384	320, 384	365	Gent et al. (2011)
CNRM-CM5	OA	128, 256	292, 362	292, 362	365-	Voldoire et al.
					366	(2012)
MPI-ESM-P	OA	96, 192	220, 256	220, 256	365-	Jungclaus et al.
					366	(2006)
MRI-	OA	160, 320	360, 368	360, 368	365	Yukimoto et al.
CGCM3						(2011)
FGOALS-g2	OA	64, 128	64, 128	64, 128	365	Li et al. (2013)
COSMOS-ASO	OAC	96, 48	120, 101	120, 101	360	Budich et al.
						(2010)
IPSL-CM5A-LR	OAC	96, 96	149, 182	149, 182	365	Dufresne et al.,
						2013
MIROC-ESM	OAC	64, 128	192, 256	192, 256	365	Watanabe et al.
						(2011)





## 212

- 213 Table 1: Details of the models from the third phase of the Palaeoclimate Modelling
- 214 Intercomparison Project (PMIP3) that were used for the Last Glacial Maximum
- (LGM) simulations used to create the prior. Coupled ocean-atmosphere models are
  indicated as OA, which OAC models have a fully interactive carbon cycle. The
  resolution in the atmospheric, oceanic and sea ice components of the models is given
- 217 resolution in the atmospheric, oceanic and sea ice components of the n 218 in terms of numbers of grid cells in latitude and longitude.
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Figure 2: Uncertainties associated with the climate prior. The climate is derived from 221 222 a multi-model mean of the ensemble of models from the Palaeoclimate Modelling 223 Intercomparison Project (PMIP) and is shown in SI Figure 1. The uncertainties 224 shown here are the standard deviation of the non-dimensionalised multi-model 225 ensemble values. The individual plots show the uncertainties for the simulated (a) 226 moisture index (MI), (b) mean annual precipitation (MAP), (c) mean annual 227 temperature (MAT), (d) mean temperature of the coldest month (MTCO), (e) mean 228 temperature of the warmest month (MTWA) and growing degree days above a 229 baseline of 5° C (GDD5).

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- 233 2.3 Water-use efficiency calculations





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235 We applied the general approach developed by Prentice et al. (2017) to correct pollen-236 based statistical reconstructions to account for [CO<sub>2</sub>] effects. The approach as 237 implemented here is based on equations (Appendix 1) that link moisture index (MI) to 238 transpiration and the ratio of leaf-internal to ambient CO<sub>2</sub>. The correction is based on 239 the principle that the rate of water loss per unit carbon gain is inversely related to 240 effective moisture availability as sensed by plants. The method involves solving a 241 non-linear equation that relates rate of water loss per unit carbon gain to MI, 242 temperature and CO<sub>2</sub> concentration. The equation is derived from theory that predicts 243 the response of the ratio of leaf-internal to ambient  $[CO_2]$  to vapour pressure deficit 244and temperature (Prentice et al., 2014; Wang et al., 2014).

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## 246 2.4 Application of variational techniques

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248 Variational data assimilation techniques provide a way of combining observations and 249 model outputs to produce climate reconstructions that are not exclusively constrained 250 to one source of information or the other (Nichols, 2010). We use the 3D-variational 251 method to find the best linear unbiased estimate (or analytical reconstruction) of the 252 palaeoclimate given the site-based reconstructions and the model-based prior. Our 253 approach is fully described in Cleator et al. (2019a) but with an observation operator 254based on the water-use efficiency calculations described in section 2.3. To avoid sharp 255 changes in time and/or space in the analytical reconstructions, we assume that the 256correlation matrix of the errors of the prior both spatially and temporally is Gaussian, 257 in order to create a climate anomaly field that is smooth both from month to month 258 and from grid cell to grid cell. The degree of correlation is controlled through two 259 length scales: a spatial length scale that determines how correlated the error in the 260 prior is between different geographical areas, and a temporal length scale that 261 determines how correlated it is through the seasonal cycle. We used a temporal length 262 scale ( $L_t$ ) of 1 month and a spatial length scale ( $L_s$ ) of 400km. Sensitivity experiments 263 (Cleator et al., 2019a) have shown that a temporal length scale of 1 month provides an 264adequately smooth solution for the seasonal cycle, both using single sites and over 265 multiple grid cells. A spatial length scale of 400km also provides a reasonable 266 reflection of the large-scale coherence of regional climate change.

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268We generated composite errors on the analytical reconstructions (Figure 3) by 269 combining the errors from the site-based reconstructions and from the prior. There are 270 regions where all of the models systematically differ from the site-based 271 reconstructions (Harrison et al., 2015) but nevertheless the inter-model variability is 272 low, which would lead to a very small contribution to the composite errors from the 273 prior. We therefore calculated the error of the prior from an equal combination of the 274global error, the average error between each grid cell, and local error, the variance 275 between the different models. The reliability of the analytical reconstructions was 276assessed by comparing these composite errors with the errors on the prior. We masked





- 277  $\,$  out cells where the inclusion of site-based reconstructions does not produce an
- 278 improvement of > 5% from the prior.

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Figure 3: Uncertainties on the analytical reconstructions. These non-dimensionalised
uncertainties represent a combination of the errors on the site-based reconstructions,
and the grid-based errors in the prior and the global uncertainty from the prior.

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# 286 3 Results

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288 The analytical reconstructions (Figure 4) show an average year-round cooling of -6.9 289 °C in the northern extratropics. The cooling is larger in winter (-8.2 °C) than in 290 summer (-3.8 °C). A limited number of grid cells in central Eurasia show warmer-291 than-present summers, and higher MAT. Temperature changes are more muted in the 292 tropics, with an average change in MAT of -3.5 °C. The cooling is somewhat lower 293 in summer than winter (-2.1 °C compared to -3.3 °C). Reconstructed temperature 294 changes were slightly smaller in the southern extratropics, with average changes in 295 MAT of -0.8°C, largely driven by cooling in winter.

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Changes in moisture-related variables (MAP, MI) across the northern hemisphere aregeographically more heterogeneous than temperature changes. Reconstructed MAP is





greater than present in western North America (158 mm) but less than present (-342 mm) in eastern North America. Most of Europe is reconstructed as drier than present (-241mm), the same for eastern Eurasia (-126 mm) and the Far East (-43 mm). The patterns in MI are not identical to those in MAP, because of the influence of temperature on MI, but regional changes are generally similar to those shown by MAP. Most of the tropics are shown as drier than present while the southern hemisphere extratropics are wetter than present, in terms of both MAP and MI.



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310 Figure 4: Analytically reconstructed climate, where areas for which the site-based 311 data provide no constraint on the prior have been masked out. The individual plots 312 show reconstructed (a) moisture index (MI), (b) mean annual precipitation (MAP), 313 (c) mean annual temperature (MAT), (d) mean temperature of the coldest month 314 (MTCO), (e) mean temperature of the warmest month (MTWA) and growing degree 315 days above a baseline of  $5^{\circ}$  C (GDD5).

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317 The reconstructed temperature patterns are not fundamentally different from those 318 shown by Bartlein et al. (2011) but the analytical dataset provides information for a 319 much larger area (1643% increase) thanks to the method's imposition of consistency 320 among different climate variables, and smooth variations both in space and through





321 the seasonal cycle. There are systematic differences however between the analytical 322 reconstructions and the pollen-based reconstructions in terms of moisture-related 323 variables, and this largely reflects the influence of [CO2] that is included in the 324 analysis. Accounting for the physiological impact of [CO<sub>2</sub>] means that the analytical 325 reconstructions indicate wetter than present conditions in many regions (Figure 5a, 326 Figure 5b), for example in southern Africa where several of the original pollen-based 327 reconstructions show no change in MAP or MI compared to present, but the analytical 328 reconstruction shows wetter conditions than present. In some regions, incorporating 329 the impact of [CO<sub>2</sub>] reverses the sign of the reconstructed changes. Part of northern 330 Eurasia is reconstructed as being wetter than present, despite pollen-based 331 reconstructions indicating conditions drier than present, as shown by SI Figure SI 3 332 (both in terms of MAP and MI). The relative changes in MAP and MI are similar 333 (Figure 5c), implying that the reconstructed changes are driven by changes in 334 precipitation rather than temperature.

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338 Figure 5: Impact of  $CO_2$  on reconstructions of moisture-related variables. The 339 individual plots show (a) the change in moisture index (MI) and (b) the change in 340 mean annual precipitation (MAP) before (crosses) and after (circles) the 341 physiological impacts of  $[CO_2]$  on water-use efficiency are taken into account. The 342 third plot (c) shows the relative difference in MI and MAP as a result of  $[CO_2]$ , 343 shown as the percentage difference between the calculations made with and without 344 consideration of the  $[CO_2]$  effect.

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## 346 4 Discussion

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348 Variational data assimilation techniques provide a way of combining observations and 349 model outputs, taking account the uncertainties in both, to produce a best-estimate 350 analytical reconstruction of LGM climate. These reconstructions extend the 351 information available from site-based reconstructions both spatially and through the 352 seasonal cycle. Our new analytical data set characterizes the seasonal cycle across a 353 much larger region of the globe than the data set that is currently being used for 354 benchmarking of palaeoclimate model simulations. We therefore suggest that this data





set (Cleator et al. 2019b) should be used for evaluating the CMIP6-PMIP4 LGMsimulations.

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Some areas are still poorly covered by quantitative pollen-based reconstructions of LGM climate, most notably South America. More pollen-based climate reconstructions would provide one solution to this problem – and there are many pollen records that could be used for this purpose (Flantua et al., 2015; Herbert and Harrison, 2016; Harrison et al., 2016). It would also be possible to incorporate other sources of quantitative information within the variational data assimilation framework.

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366 One of the benefits of the analytical framework applied here is that it allows the 367 influence of changes in [CO2] on the moisture reconstructions to be taken into 368 account. Low  $[CO_2]$  must have reduced plant water-use efficiency, because at low 369 [CO<sub>2</sub>] plants need to keep stomata open for longer in order to capture sufficient CO<sub>2</sub>. 370 Statistical reconstruction methods, whether based on modern analogues or modern 371 climate transfer functions, cannot account for such effects. Climate reconstruction 372 methods based on the inversion of process-based ecosystem models can do so (see 373 e.g. Guiot et al., 2000; Wu et al., 2007; Wu et al., 2009; Izumi and Bartlein, 2016) but 374 are critically dependent on the reliability of the vegetation model used. Most of the 375 palaeoclimate reconstructions have been made by inverting some version of the 376 BIOME model (Kaplan et al., 2003), which makes use of bioclimatic thresholds to 377 separate different plant functional types (PFTs). As a result, reconstructions made by 378 inversion show "jumps" linked to shifts between vegetation types dominated my 379 different PFTs whereas, as has been shown recently (Wang et al., 2017), differences 380 in water use efficiency of different PFTs can be almost entirely accounted for by a 381 single equation, as proposed here. The response of plants to changes in [CO<sub>2</sub>] is non-382 linear (Harrison and Bartlein, 2012), and the effect of the change between recent and 383 pre-industrial or mid-Holocene conditions is less than that between pre-industrial and 384 glacial conditions. Nevertheless, it would be worth taking this effect into account in 385 making reconstructions of interglacial time periods as well.

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387 The influence of individual pollen-based reconstructions on the analytical 388 reconstruction of seasonal variability, or the geographic area influenced by an 389 individual site, is crucially dependent on the choice of length scales. We have adopted 390 conservative length scales of 1 month and 400 km, based on sensitivity experiments 391 made for southern Europe (Cleator et al., 2019a). These length scales produce 392 numerically stable results for the LGM, and the paucity of data for many regions at 393 the LGM means that using fixed, conservative length scales is likely to be the only 394 practical approach. However, in so far as the spatial length scale is related to 395 atmospheric circulation patterns, there is no reason to suppose that the optimal spatial 396 length scale will be the same from region to region. The density and clustering of 397 pollen-based reconstructions could also have a substantial effect on the optimal spatial 398 length scale. A fixed 1-month temporal length scale is appropriate for climates that





have a reasonably smooth and well defined seasonal cycle, either in temperature or precipitation. However, in climates where the seasonal cycle is less well defined, for example in the wet tropics, or in situations where there is considerable variability on sub-monthly time scales, other choices might be more appropriate. For time periods such as the mid-Holocene, which have an order of magnitude more site-based data, it could be useful to explore the possibilities of variable length scales.

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We have used a 5% reduction in the analytical uncertainty to identify regions where the incorporation of site-based data has a negligible effect on the prior as a way of masking out regions for which the observations have effectively no impact on the analytical reconstructions. The choice of a 5% cut-off is arbitrary, but little would be gained by imposing a more stringent cut-off at the LGM given that many regions are represented by few observations. A more stringent cut-off could be applied for other time intervals with more data.

413

414 There have been a few previous attempts to use data assimilation techniques to 415 generate spatially continuous palaeoclimate reconstructions. Annan and Hargreaves 416 (2013) used a similar multi-model ensemble as the prior and the pollen-based 417 reconstructions from Bartlein et al. (2011) to reconstruct MAT at the LGM. However, 418 they made no attempt to reconstruct other seasonal variables, either independently, or 419 through exploiting features of the simulations (as we have done here) to generate 420 seasonal reconstructions. Kalman particle filter approaches (e.g. Goosse et al., 2006) 421 produce seasonal and geographical estimates of palaeoclimate, but particle filters 422 cannot produce estimates of climate outside the realm of the model simulations. Our 423 3-D variational data assimilation approach has the great merit of being able to 424 produce seasonally coherent reconstructions generalized over space, while at the same 425 time being capable of producing reconstructions that are outside those captured by the 426 climate model, because they are not constrained by a specific source (Nichols, 2010). 427 This property is of particular importance if the resulting data set is to be used for 428 climate model evaluation, as we propose.

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## 714 Figures and Tables Captions

716 Figure 1: The distribution of the site-based reconstructions of climatic variables at the 717 Last Glacial Maximum. The individual plots show sites providing reconstructions of 718 (a) moisture index (MI), (b) mean annual precipitation (MAP), (c) mean annual 719 temperature (MAT), (d) mean temperature of the coldest month (MTCO), (e) mean 720 temperature of the warmest month (MTWA) and growing degree days above a 721 baseline of 5° C (GDD5). The original reconstructions are from Bartlein et al. (2011) 722 and Prentice et al. (2017). 723 724 Figure 2: Uncertainties associated with the climate prior. The climate is derived from 725 a multi-model mean of the ensemble of models from the Palaeoclimate Modelling 726 Intercomparison Project (PMIP) and is shown in SI Figure 1. The uncertainties shown 727 here are the standard deviation of the multi-model ensemble values. The individual 728 plots show the uncertainties for the simulated (a) moisture index (MI), (b) mean 729 annual precipitation (MAP), (c) mean annual temperature (MAT), (d) mean 730 temperature of the coldest month (MTCO), (e) mean temperature of the warmest 731 month (MTWA) and growing degree days above a baseline of 5° C (GDD5).

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Figure 3: Uncertainties on the analytical reconstructions. These uncertainties represent
a combination of the errors on the site-based reconstructions, and the grid-based
errors on the prior and the global uncertainty from the prior.

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Figure 4: Analytically reconstructed climate, where areas for which the site-based data provide no constraint on the prior have been masked out. The individual plots show reconstructed (a) moisture index (MI), (b) mean annual precipitation (MAP), (c) mean annual temperature (MAT), (d) mean temperature of the coldest month (MTCO), (e) mean temperature of the warmest month (MTWA) and growing degree days above a baseline of  $5^{\circ}$  C (GDD5).

743

Figure 5: Impact of  $CO_2$  on reconstructions of moisture-related variables. The individual plots show (a) the change in moisture index (MI) and (b) the change in mean annual precipitation (MAP) when the physiological impacts of  $[CO_2]$  on wateruse efficiency are taken into account. The third plot (c) shows the relative difference in MI and MAP as a result of  $[CO_2]$ , shown as the percentage difference between the no- $[CO_2]$  and  $[CO_2]$  calculations.

750

751 Table 1: Details of the models from the Palaeoclimate Modelling Intercomparison

752 Project (PMIP) that were used for the Last Glacial Maximum (LGM) simulations used

to create the prior.





#### 754 Appendix

755 We define e as the water lost by transpiration (E) per unit carbon gained by 756 photosynthesis (A). This term, the inverse of the water use efficiency, is given by:

757 
$$e = E/A = 1.6 D / ((1 - \chi) c_a)$$
 (A1)

758 where *D* is the leaf-to-air vapour pressure deficit (Pa),  $c_a$  is the ambient CO<sub>2</sub> partial 759 pressure (Pa) and  $\chi$  is the ratio of leaf-internal CO<sub>2</sub> partial pressure ( $c_i$ ) to  $c_a$ . An 760 optimality-based model (Prentice *et al.* 2014), which accurately reproduces global 761 patterns of  $\chi$  and its environmental dependencies inferred from leaf  $\delta^{13}$ C 762 measurements (Wang *et al.* 2017), predicts that:

763 
$$\chi = (\Gamma^*/c_a) + (1 - \Gamma^*/c_a) \xi/(\xi + \sqrt{D})$$
 (A2a)

764 and

765 
$$\xi = \sqrt{(\beta(K + \Gamma^*)/1.6 \eta^*)}$$
 (A2b)

766 where Γ\* is the photorespiratory compensation point of C<sub>3</sub> photosynthesis (Pa), β is a 767 constant (estimated as 240 by Wang *et al.* 2017), *K* is the effective Michaelis-Menten 768 coefficient of Rubisco (Pa), and η\* is the ratio of the viscosity of water (Pa s) at 769 ambient temperature to its value at 25°C. Here *K* depends on the Michaelis-Menten 770 coefficients of Rubisco for carboxylation ( $K_c$ ) and oxygenation ( $K_o$ ), and on the 771 partial pressure of oxygen *O* (Farquhar *et al.* 1980):

772 
$$K = K_{\rm C} (1 + O/K_{\rm O})$$
 (A3)

T73 Standard values and temperature dependencies of  $K_C$ ,  $K_O$ , Γ\* and η\* are assigned as in Wang *et al.* (2017).

775 The moisture index MI is expressed as

776 
$$MI = P/E_q, E_q = \sum_n (R_n/\lambda) s/(s + \gamma)$$
 (A4)

777 where *P* is annual precipitation,  $R_n$  is net radiation for month n,  $\lambda$  is the latent heat of 778 vaporization of water, s is the derivative of the saturated vapour pressure of water 779 with respect to temperature (obtained from a standard empirical formula also used by 780 Wang et al. 2017), and y is the psychrometer constant. We assume that values of MI 781 reconstructed from fossil pollen assemblages, using contemporary pollen and climate 782 data either in a statistical calibration method or in a modern-analogue search, need to 783 be corrected in such a way as to preserve the contemporary relationship between MI 784 and *e*, while taking into account the change in *e* that is caused by varying  $c_a$  and 785 temperature away from contemporary values. The sequence of calculations is as 786 follows. (1) Estimate *e* and its derivative with respect to temperature  $(\partial e/\partial T)$  for the 787 contemporary  $c_a$  and climate, using equations (A1) – (A3) above. (2) Use the e and  $\partial e/\partial t$ 788  $\partial T$  to calculate  $\partial D/\partial T$  given the palaeo  $c_a$  (measured in ice-core data) and temperature 789 (reconstructed from pollen data), via a series of analytical equations that relate  $\partial e/\partial T$ 790 to  $\partial D/\partial T$  and hence to s. (3) Use the new  $\partial D/\partial T$  and relative humidity (from the 791 PMIP3 average) to derive a new value of s. (4) Re-calculate MI using a palaeo 792 estimate of  $R_n$  (modelled as in Davis et al., 2017) and the new value of *s*.