



1 Intra-seasonal hydrological processes on the western Tibetan Plateau: Monsoonal
2 and convective rainfall events ~7.5 ka ago.

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15 **Keywords**

16

17 Early Middle Holocene

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21

22 **Abstract**

23

24 Billions of people depend on the precipitation of the Asian monsoons. The Tibetan Plateau and the
 25 Himalayas on the one hand strongly influence the monsoonal circulation pattern and on the other hand
 26 represent water towers of humanity. Understanding the dynamics of the Asian monsoons is one of the
 27 prime targets in climate research. Modern coupling of atmospheric circulation and hydrological cycle
 28 over and on the plateau can be observed and outlined, and lake level controlling factors be identified.



Recent monitoring of lakes showed that many of them have grown at least for decades, the causes being higher meltwater inflow or stronger rainfall of different sources, depending on the particular location of a drainage basin. The long-term dynamics, however, can be described best with the aid of high-resolution climate archives. We focus here on the often controversial discussion of Holocene lake development and selected the Bangong Co drainage basin on the western Tibetan Plateau as a case site. The aim of our study is, to identify the factors influencing lake level such as monsoonal or convective precipitation and meltwater. For doing so, shells of the aquatic gastropod genus *Radix* were collected from an early Middle Holocene sediment sequence in the Nama Chu sub-catchment of the eastern Bangong Co and sclerochronological isotope patterns of five shells obtained in weekly to sub-monthly resolution. Our data suggests that during ca. 7.5 ka ago, monsoonal rainfall was higher than today. However, summer precipitation was not continuous but affected the area as extended moisture pulses. This implicates that the northern boundary of the SW Asian monsoon was similar to modern times. We could identify convective rainfall events significantly stronger than today. We relate this to higher soil moisture and larger lake surface areas under higher insolation. The regional meltwater amount corresponds with westerly-derived winter snowfall. The snowfall amount was probably similar to modern times. Exceptionally heavy $\delta^{13}\text{C}$ values archived in the shells were likely, at least partly, triggered by biogenic methane production. We suggest that our approach is suitable to study other lake systems on the Tibetan Plateau from which fossil *Radix* shells can be obtained. It may thus help to infer palaeo-weather patterns across the plateau.

1. Introduction

1.1. Background and scope

The importance of the Tibetan Plateau and the Himalayas for the Asian atmospheric circulation patterns, particularly their influence on Asian monsoon intensities and distributions, has been demonstrated in numerous studies (e.g. Harris, 2006; Molnar et al., 2009; Boos and Kuang, 2010, Chen et al., 2010). The area represents a water tower furnishing large regions of eastern and southern



57 Asia (Immerzeel et al., 2010; Jacob et al., 2012), and it thus is of major interest to better understand
58 the coupling of atmospheric circulation and the hydrological cycle. Various lake systems on the
59 Tibetan Plateau have been studied with particular focus on Late Glacial and Holocene lake level
60 fluctuations evidencing changes in the hydrological cycle (e.g. Van Campo and Gasse, 1993; Avouac
61 et al., 1996; Lehmkuhl and Haselein, 2000; Ahlborn et al., 2015; Shi et al., 2017; Wünnemann et al.,
62 2018). Accordingly, since the Late Glacial lakes on the plateau were largest during the Early and
63 Middle Holocene and shrank to modern size during the Late Holocene (e.g. Lee et al., 2009; Liu et al.,
64 2013; Shi et al., 2017; in respect of the formal subdivision of the Holocene we follow Walker et al.,
65 2012). In modern times the numerous lakes scattered on the plateau span an area of 30,000 to 50,000
66 km² (Zheng, 1997; Kong et al., 2007; Ma et al., 2011) but this area was up to four times larger during
67 the Early and Middle Holocene (Hudson and Quade, 2013; Liu et al., 2013).

68 Recent, mainly satellite-based monitoring suggested that several lakes on the Tibetan Plateau
69 have grown at least since the 1970s (e.g. Liu et al., 2009; Zhang et al., 2011; Lei et al., 2013; Clewing
70 et al., 2014a). In respect of the western Tibetan Plateau, including Ladakh, Hutchinson (1937)
71 concluded that the contemporary lake level rise started already during the late 19th century. Causes
72 might be increase of meltwater inflow (Zhang et al., 2011) or higher monsoonal and/or westerly-
73 derived precipitation (Lei et al., 2013), depending on the particular lake system and its position on the
74 plateau. Kurita and Yamada (2008) discussed the role of local moisture recycling for the precipitation
75 amount and found it significant for the central Tibetan Plateau. The same hydrological factors of lake
76 dynamics on the Tibetan Plateau have to be considered throughout the Holocene (e.g. Gasse et al.,
77 1991; Wünnemann et al., 2010; Bird et al., 2014; Hou et al., 2017). However, they are often
78 controversially discussed against the background that palaeo-moisture sources have to be
79 reconstructed using proxies of different quality (e.g. Taft et al., 2014; Hillman et al., 2017;
80 Wünnemann et al., 2018).

81 One promising avenue of research to identify palaeo-hydrological processes is the
82 interpretation of stable isotope ratios of carbonatic lake sediments or corresponding carbonate shells
83 (e.g. Mischke et al., 2005; Henderson et al., 2010; Qiang et al., 2017; Liu et al., 2018). Observations of
84 modern processes and analyses of stable isotope behavior in precipitation, rivers and lakes have



85 provided a solid fundament for the interpretation of Tibetan Plateau palaeo-data retrieved from proxies
 86 (e.g. Araguás-Araguás, 1998; Pande et al., 2000; Gajurel et al., 2006; Tian, 2007; Hren et al., 2009;
 87 Bershaw et al., 2012; Taft et al., 2012; Yao, 2013; Gao, 2014; Mishra et al., 2014; Biggs et al., 2015;
 88 He et al., 2015).

89 It is under discussion whether plateau lakes had an extension during the Middle Holocene
 90 similar to that of the Early Holocene (e.g. Liu et al., 2013; Ahlborn et al., 2015; Shi et al., 2017), and
 91 when the climate was warmest and most humid (e.g. Morrill et al., 2006; Cheung et al., 2014). There
 92 are several studies, however, indicating that the factors controlling lake level may have changed prior
 93 to the Late Holocene aridification (e.g. Wei and Gasse, 1999; Bird et al., 2014; Shi et al., 2017). In
 94 addition, monsoonal precipitation across the Tibetan Plateau is triggered by SW and SE Asian summer
 95 monsoons on the eastern to central plateau while the western plateau is solely influenced by the SW
 96 Asian monsoon (e.g. Chen et al., 2015; Ramisch et al., 2016; Wünnemann et al., 2018). Controversial
 97 conclusions about the timing of humidity and temperature changes are also due to asynchronous
 98 behavior of the different Asian monsoon branches (e.g. An et al., 2000; Wang et al., 2010; Hudson and
 99 Quade, 2013). The Holocene climate optimum period was likely earlier on the western plateau than on
 100 the eastern plateau (e.g. Wang et al., 2010; Chen et al., 2015).

101 For Bangong Co, the largest lake system on the western Tibetan Plateau, Gasse et al. (1996)
 102 attributed the Holocene lake level changes mainly to changes of the SW Asian summer monsoon.
 103 Correspondingly, highest lake levels were assigned to monsoonal moisture maxima during ~9.5 to 8.7
 104 cal. ka B.P. and ~7.2.-6.3 cal. ka B.P. (Fontes et al., 1996; Gasse et al., 1996). Kong et al. (2007),
 105 however, concluded that even an enhanced SW Asian monsoon during the Early Holocene did not
 106 affect the western Tibetan Plateau significantly. Kong et al. (2007) though referred to Sumxi Co (Fig.
 107 1), a lake system ca. 120 km north of Bangong Co and thus farther from the northernmost monsoon
 108 front. Based on a cosmogenic ¹⁰Be chronology of the palaeo-shorelines, the authors summarized that
 109 high lake levels were most likely associated with increased recharge from melting glaciers.
 110 Wünnemann et al. (2010) reported for the neighboring Tso Kar (Fig. 1) that monsoonal precipitation
 111 was at maximum from 11.5 to 8.6 cal. ka B.P. but highest lake levels occurred during the early Middle
 112 Holocene due to meltwater increase. In respect of nearby Tso Moriri (Fig. 1), Leipe et al. (2014) also



113 suggested that meltwater was the main source to increase the lake level during the Middle Holocene
 114 but considered convective rainfall. As mentioned earlier, modern land-atmospheric moisture recycling
 115 is known from the Tibetan Plateau (Kurita and Yamada, 2008) and e.g. short-term convective rainfall
 116 has been observed over western Tibet and Ladakh (e.g. Gasse et al., 1991; Fontes et al., 1993; personal
 117 observations), however, not yet been inferred from Holocene proxies.

118 The aim of our study is to infer intra-seasonal hydrological processes on the Tibetan Plateau
 119 during the Middle Holocene, using Bangong Co as a model site. Which moisture sources were
 120 significant for the lake dynamics? How can we differentiate between monsoonal, westerly-derived or
 121 convective precipitation and meltwater? Can we distinguish regional convective from monsoonal
 122 rainfall, which both occur during the summer months? A potentially suitable, intra-seasonal
 123 environmental archive, which is available across the plateau, are the shells of the aquatic gastropod
 124 *Radix* (Basommatophora, Lymnaeidae). Taft et al. (2012, 2013) demonstrated that sclerochronological
 125 stable isotope patterns from *Radix* shells allow to outline hydrological processes in a sub-monthly
 126 resolution.

127

128 1.2. Regional setting and study areas

129

130 All study sites are located within the Bangong Co drainage basin (Figs. 1 and 2). The basin contains
 131 five interconnected lake sub-basins forming the transboundary Bangong Co lake system at 4241 m
 132 a.s.l. (SRTM elevation data v4.1; Fig. 2). It comprised a total water surface area of ca. 611 km² in
 133 2012, and stretches ca. 160 km within the western Bangong suture zone (Fig. 1; Fontes et al., 1996,
 134 Dortch et al., 2011; Gourbet et al., 2017). Particularly in the eastern Bangong Co lake system, a
 135 number of palaeo-shoreline features were observed (e.g. Fontes et al., 1996; Dortch et al., 2011;
 136 Clewing et al., 2014a; Fig. 3A), some as high as ca. 80 m above contemporary lake level, witnessing
 137 strong past lake level fluctuations and possibly indicating maximum lake extension during the Upper
 138 Pleistocene (Shi et al., 2001; Yu et al., 2001). Dortch et al. (2011) suggested a lake level ca. 10 m
 139 higher than the modern one during the Early to Middle Holocene, which resulted in a lake area of ca.
 140 810 km².



141

142 **Figure 1**

143

144 The Bangong Co drainage basin spans an area of ca. 31348 km² (including the lake surface
 145 area). The mountain ranges, which delimit the watershed, exceed 6000 m a.s.l. (Fig. 1). Cretaceous
 146 granodiorites, Cenozoic sandstones and conglomerates, and Late Palaeozoic and Jurassic limestones
 147 are widely distributed (Wang and Hu, 2004; Gourbet et al., 2017). Roughly, two thirds of the total
 148 catchment area drain into the easternmost basin, Nyak Co (Fig. 2). This causes an overspill of Nyak
 149 Co to its neighboring basin. Although Bangong Co has been a closed basin since ca. 7 ka when the
 150 palaeo-outflow Tangtse, a river valley connecting to the western terminus of the lake system (Fig. 1),
 151 was probably active for the last time (Brown et al. 2003; but compare Dortch et al., 2011), only the
 152 westernmost basin behaves like an endorheic lake. Four of the five lakes are overflowing to their
 153 neighboring basin in the west (personal observation FR, 2012). While Nyak Co has a relatively low
 154 salinity of ca. 0.5 psu, salinity increases significantly along the other basins (Ou, 1981; Wilckens,
 155 2014). This is in phase with $\delta^{18}\text{O}_w$, which shows a trend to heavier values towards the west (Wilckens,
 156 2014; Wen et al., 2016).

157

158 **Figure 2**

159 **Table 1**

160

161 The large alluvial fan of Chiao Ho (Fig. 2A, fossil shells site B) demonstrates long-term low-
 162 frequency activity of the northern Nyak Co catchment, which includes meltwater from glaciers (Wei et
 163 al., 2015). $\delta^{18}\text{O}_w$ of Chiao Ho (Fig. 2A, location 6) was ca. -13,9‰ (Wilckens et al., 2014; Table 1).
 164 $\delta^{18}\text{O}_w$ from the southern Nyak Co catchment, Makha River (Fig. 2A, location 7) and tributaries, is
 165 similar (Fontes et al., 1996; Wilckens, 2014; Wen et al., 2016; Table 1). The eastern catchment of
 166 Nyak Co is mainly drained by the Nama Chu and its tributaries (Figs. 2 and 3). The Nama Chu sub-
 167 catchment area spans ca. 3420 km² (Fig. 1). Hydrological parameters vary stronger here than in the



168 other sub-catchments and are compiled in Table 1 (locations 1-3, in Fig. 2). The Nama Chu and Chiao
 169 Ho sub-catchments are in direct neighborhood (Fig. 1).

170

171 **Figure 3**

172

173 The Nama Chu valley represents the main study area. Nama Chu represents partly a fluvial
 174 system and partly a sequence of ponds (Fig. 3A). We studied the Nama Chu valley from the river
 175 mouth at Nyak Co upwards to a saline pond (~30 psu), ca. 28 km from Nyak Co (Figs. 3A, B). The
 176 morphology of the valley is controlled by tectonics and alluvial, fluvial and periglacial processes.
 177 Alluvial fans, particularly from northern tributaries, block the water flow at several sections leading to
 178 the formation of ponds (Fig. 3A; personal observations 2009, 2012). It is likely that during past
 179 periods of higher precipitation the general morphology of the fluvio-lacustrine system was similar,
 180 based on the assumption that stronger water flow along the Nama Chu was synchronous to stronger
 181 lateral alluvial transport into the valley (see Fig. 3A). Field investigation (2012) of sediments exposed
 182 in Nama Chu pond basins (e.g. see Fig. 3B) demonstrated that permafrost mounds are widely
 183 distributed. They were probably formed by uplift of pond mud, the high water content of which
 184 became subject to continuous segregated ice formation (Wünnemann et al., 2008) when the mud
 185 became exposed during low water levels.

186 At the northern edge of the saline pond (triangle in Fig. 2B, camera symbol in Fig. 3A), ca. 4-
 187 5 m above the water level, we found sediments containing fossil shells of aquatic molluscs (Fig. 3C).
 188 This site is located ca. 45 m above the 2012 lake level of Nyak Co and we conclude that Nyak Co
 189 could not capture the palaeo-habitat during its Middle Holocene highstand. Consequently, the palaeo-
 190 habitat in Nama Chu can be considered an independent archive of palaeo-precipitation, meltwater
 191 events and other hydrological processes. The data from Nama Chu, however, can be scaled up for the
 192 Bangong Co drainage basin and partly western Tibet because the general underlying palaeo-
 193 hydrological processes were the same or at least very similar.

194

195 *1.3. Present climate conditions*



196
 197 The climate is classified after Köppen-Geiger as cold desert, BWk (Peel et al., 2007). Meteorological
 198 data are recorded at a station in Shiquanhe (also referred to as Ali, 32°30'N, 80°05'E, 4285 m a.s.l.),
 199 ca. 110 km south of Nyak Co (Fig. 1). Limited data from an automated weather station, set up close to
 200 the northern shore of Nyak Co, are in line with those from the station at Shiquanhe (Wen et al., 2016).
 201 Precipitation is mainly westerly-derived (Zhang et al., 2011) and convective rainfalls occur (Fontes et
 202 al., 1996; personal observation FR, 2012), which amount to 30-40% of the total rainfall (Maussion et
 203 al., 2014). Nyak Co is located north but close to the normal northward extension of the SW Asian
 204 summer monsoon (Gasse et al., 1991; Fontes et al., 1996; Wu et al., 2006; Tian et al., 2007). Wen et
 205 al. (2016) reported a short-term monsoonal rainfall event of 25 mm with $\delta^{18}\text{O}$ decreasing rapidly from
 206 -9 to ca. -30‰, due to the amount effect in isotope fractionation (e.g. Kurita et al., 2009). The
 207 weighted mean of $\delta^{18}\text{O}$ in summer precipitation is -14.3‰, and is -18.8‰ in winter (Yao et al., 2013).
 208 The $\delta^{18}\text{O}$ in precipitation ranges from ca. -30 to -2.5‰ (Wen et al., 2016). Mean annual precipitation
 209 is 70 mm (data from 1961 until 2009; Chinese Central Meteorological Office, 2010). Yu et al. (2007)
 210 noted 75 mm, Yao et al. (2013) 82 mm. Inter-annual variation can be strong (Wen et al., 2016). The
 211 annual potential evaporation can reach almost 2500 mm (Ou, 1981; Wen et al., 2016). The Bangong
 212 Co drainage system is located in a permafrost region (Wang and French, 1995; Ran et al., 2015;
 213 personal observations). The mean annual air temperature is 0.6°C (data from 1961 until 2009; Chinese
 214 Central Meteorological Office, 2010). Minimum monthly temperatures of ca. -20°C occur in January,
 215 maximum monthly temperatures are ca. 21°C during July (Ding et al., 2018). The lake is covered by
 216 ice from November to April (Wang et al., 2014).

217

218 **2. Material and methods**

219

220 *2.1. Drainage basin studies and sample sites*

221

222 Fieldwork was conducted in September 2012. Geomorphology was mainly studied at Nyak Co, along
 223 the northern Bangong Co shore as far as the third sub-basin west of Nyak Co, and in the Nama Chu



valley (Figs. 1-3). Observations included palaeo-shoreline, alluvial, periglacial and palaeo-glacial features and the water flow direction in the chain of lakes. Electric conductivity, pH and water temperature were measured. Water samples were taken for further analysis to the Freie Universität Berlin. Sites and data of water samples not indicated in Fig. 2 can be found in Wilckens (2014). A geological outcrop at the alluvial fan formed by Chiao Ho (Fig. 2; 33°37.629'N, 79°46.444'E, 4262 m a.s.l. with GPS) exhibited fluvio-lacustrine sediments with well-preserved *Radix* and other shells. A sediment sequence of 1.26 m thickness was sampled in 2 cm steps. The samples of ca. 200 g each were packed in plastic bags and transferred to Freie Universität Berlin for further analyses. In the Nama Chu valley, approximately 28 km east of Nyak Co, well-preserved fossil *Radix* shells were collected from a few cm thick sediment sequence (Figs. 2 and 3; 33°32.018'N, 80°14.176'E, 4297 m a.s.l. with GPS, 4286 m a.s.l. in SRTM) right below and in relation to a palaeo-shoreline. Additional bulk sediment samples were taken for further analysis at the Freie Universität Berlin.

236

2.2 Geomorphological maps, DEM and CORONA image

238

The topography in Figs. 1 and 2 is based on 90 m elevation SRTM v4.1 data (Jarvis et al., 2008) acquired year 2000. Catchment and sub-catchment boundaries and the drainage network were also calculated based on the same data set using the Arc Hydro Tools package (ESRI, 2011) in ArcGIS Desktop (ESRI, 2013) following standard workflows summarized in Dartiguenave (2007). Lake, catchment and sub-catchment areas were calculated based on SRTM v4.1 data in a projected coordinate reference system (WGS 84 / UTM zone 44N, EPSG: 32644) in ArcGIS Desktop (ESRI, 2013). Fig. 2 shows the extension and position of water bodies (incl. Nyak Co, dry and water filled basins, and rivers) as of September 2012 according to two Landsat 7 imagery datasets (Entity IDs: LE71460372012267PFS00 and LE71450372012260PFS00, acquired on 2012/09/23 and 2012/09/16, respectively). The CORONA image used in Fig. 3 was purchased from the US Geological Survey (Entity ID: DS1048-1134DA091; coordinates 33.480°N, 79.718°E; camera resolution: stereo medium; acquisition date: 27-SEP-1968).



251

252 2.3. Dating

253

254 2.3.1. Radiocarbon

255

256 A *Radix* shell from the Nama Chu sediment sequence, two *Radix* shells from the Chiao Ho geological
 257 outcrop and two charcoal samples from the same Chiao Ho sediment layers (Table 2) were dated at
 258 Poznan Radiocarbon Laboratory. Fontes et al. (1996) calculated a lake reservoir effect for Nyak Co of
 259 ~6670 years. In the *Radix*-containing sediments from Nama Chu, we could not find charcoal particles
 260 or other terrestrial organic remains for correcting the age but at Chiao Ho (Table 2). As Chiao Ho and
 261 Nama Chu drain neighboring areas and the distribution of carbonatic rocks is similar in both sub-
 262 catchments (Wang and Hu, 2004), we are confident that our age correction makes sense. The similar
 263 but independent electron spin resonance (ESR) age supports this conclusion.

264

265 2.3.2. Electron spin resonance (ESR)

266

267 Preparation and measurements

268

269 *Radix* shell samples from the Nama Chu sediment sequence were gently crushed in a ceramic mortar
 270 and sieved with 100 μm to remove finer material. Each aliquot containing 40 mg of the sample was
 271 measured with an X-band JEOL FA-100 spectrometer. The measurement parameters used were $324 \pm$
 272 5 mT magnetic field, 2mW microwave power, 0.1 mT modulation amplitude and scan time of 30s for
 273 5 times. The single aliquot additive dose method was used to calculate the equivalent dose (D_e) using
 274 CO_2^- radical signal at $g = 2.0006$. The irradiation of the sample was done with a Varian VF-50J X-ray
 275 tube with tungsten target with 50 kV and 1 mA (Oppermann and Tsukamoto, 2015). Aliquots were
 276 measured and irradiated within a thin quartz glass tube with 2 mm inner diameter. The sample tubes
 277 were sealed with parafilm and were placed upside down during the X-ray irradiation (Tsukamoto et
 278 al., 2015).



279

280 *Calibration of the X-ray dose*

281

282 The X-ray dose rate for calcium carbonate was calibrated using a modern coral sample. The coral
 283 sample was crushed and sieved between 100-150 μm and divided into two sets. One set of the sample
 284 coral was irradiated 75.6 Gy from a ^{60}Co gamma source at the Technical University of Denmark. The
 285 CO_2^- signal ($g = 2.0006$) from the coral from 3 aliquots of gamma irradiated coral (40 mg) were
 286 measured with ESR using the same condition as the shell after preheating at 120°C for 2 minutes.
 287 From the unirradiated set 3 aliquots were made and the same signal was measured after X-ray
 288 irradiations for 60s and 120s and preheat at 120°C for 2 minutes. The ESR intensity of the gamma
 289 irradiation coral was compared with the X-ray dose response curve. The gamma dose of 75.2 Gy is
 290 equivalent to 90s X-ray irradiation (Fig. 4a). The X-ray dose rate for calcium carbonate was calculated
 291 to $0.84 \pm 0.001 \text{ Gy/s}$.

292

293 **Fig. 4**

294

295 *Equivalent dose measurements*

296

297 Four natural aliquots of the *Radix* shell were preheated between 100 and 130°C for 2 minutes with a
 298 10°C increment (1 aliquot at each temperature) and the natural CO_2^- radical signal was measured with
 299 ESR. Then each aliquot was irradiated with 25 Gy X-ray, preheated at the same temperature and the
 300 ESR signal intensity was measured again. This process was repeated 3 times. The D_e values were
 301 calculated by extrapolating the dose response curve to zero intensity (Fig. 4b). The D_e values plotted
 302 against the preheat temperature are shown in Fig. 4c. The D_e values with preheats between 110 and
 303 130°C are consistent with each other. Therefore, a preheat at 120°C was chosen and 3 more aliquots
 304 were measured. The mean D_e value from the 4 aliquots was calculated to $29.5 \pm 1.1 \text{ Gy}$ (Table 3).

305

306 *Dose rate and ESR age*



307
 308 The external dose rate of the *Radix* shell was estimated using gamma spectrometry. About 5g sediment
 309 sample surrounding the shells was sealed within a plastic cylinder about a month to ensure equilibrium
 310 between ^{226}Ra and ^{222}Rn . The gamma rays from the sample were then measured using a Well-type
 311 high resolution gamma spectrometer. The results are summarized in Table 3. The measured activity of
 312 ^{238}U is about twice as large as ^{226}Ra . One possible explanation is that some ^{230}Th has been lost from
 313 the ^{238}U decay chain (Long et al., 2014). Therefore, the external dose rate was divided into 2 parts, 1)
 314 ‘supported part’ which is originated from ^{232}Th , ^{40}K and the equilibrium part of ^{238}U (calculated from
 315 ^{226}Ra activity) and 2) ‘unsupported part’ which is lost at ^{230}Th (calculated based on ^{238}U activity minus
 316 ^{226}Ra activity). The beta attenuation factors were calculated based on the thickness of the shell (70-80
 317 μm) and the dose rate conversion factors of Guérin et al. (2011) were used. An alpha dose efficiency
 318 of 0.1 ± 0.05 was assumed (e.g. Skinner, 1989). The cosmic dose rate was calculated following
 319 Prescott and Hutton (1994).

320

321 **Table 3**

322

323 *2.4. Sediment processing and assignment and documentation of molluscan shells*

324

325 The sediment samples were washed and sieved using mesh sizes of 1, 0.5, 0.25 and 0.1 mm. The
 326 sieved residue was visually analyzed using a Zeiss stereo microscope SV8. Shells of gastropods and
 327 bivalves were picked for palaeo-environmental reconstruction and tiny pieces of charcoal were
 328 separated for radiocarbon dating. Some shells were photographed using a Keyence VHX-1000
 329 microscope (e.g. Fig. 5).

330

331 *2.5. Stable isotopes*

332

333 Five *Radix* shells from the Nama Chu site (Figs. 2 and 3) were selected for stable isotope analysis
 334 based on shell preservation, completeness and sizes (Table 5; Fig. 5). *Radix* shells are built from



335 aragonite (e.g. Taft et al., 2012, 2013). First, the shells were cleaned in an ultrasonic bath and
 336 subsequently any residual sediment particles were removed manually with a small brush. Sub-
 337 sampling was conducted using a special dental drill device for milling the outer primary shell layer in
 338 a constant distance along the ontogenetic order of the shell increments with a maximum depth of 50
 339 μm . Up to 38 sub-samples were obtained from a single shell (Table 5), labeled in alphabetical order,
 340 with [a] representing the ontogenetically latest shell part at the outer rim. The sub-samples of $\sim 150 \mu\text{g}$
 341 were then measured for $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ ratios using a GasBench II linked to a MAT-253 ThermoFischer
 342 Scientific™ isotope ratio mass spectrometer at Freie Universität Berlin. The measurements were
 343 standardized against Carrara Marble (CAM) and Kaiserstuhl carbonatite in-house reference material
 344 (KKS), which had been calibrated against Vienna PeeDee Belemnite (V-PDB) international isotope
 345 reference material using NBS-18 and NBS-19. All results are reported in δ notation relative to V-PDB.
 346 The external error (simple standard deviation) of the measurements is $\pm 0.06\text{‰}$ for $\delta^{18}\text{O}$ and $\pm 0.04\text{‰}$
 347 for $\delta^{13}\text{C}$.

348

349 **3. Results**

350

351 *3.1. Dating*

352

353 *3.1.1. Radiocarbon*

354

355 A *Radix* shell sampled from the Nama Chu sediment layer was dated to 12670 ± 60 years B.P. Two
 356 *Radix* shells from the Chiao Ho alluvial section were dated to 8540 ± 40 and 8480 ± 40 years B.P.
 357 Two charcoal samples from the same Chiao Ho sediment layers were dated to 2275 ± 30 and 2400 ± 30
 358 years B.P. The ^{14}C ages of the two charcoal samples were subtracted from the ages of the two Chiao
 359 Ho *Radix* shells. Consequently, the lake reservoir effects for the latter shells are 6265 and 6080 ^{14}C
 360 years B.P. A mean lake reservoir effect of 6172 ^{14}C years B.P. was then subtracted from the age of the
 361 Nama Chu shell which results in 6498 years B.P. Calibration of 6498 years B.P. with CALIB (Stuiver
 362 et al., 2013, online executive version 7.0html) resulted in a weighted average age at 2σ precision of



363 7393 ± 114 cal. years B.P. The radiocarbon age of the Nama Chu sediment horizon is therefore
 364 considered ~7.4 ± 0.1 cal. ka B.P., which is early Middle Holocene. The data are compiled in Table 2.

365

366 **Table 2**

367

368 *3.1.2. Electron spin resonance*

369

370 The internal U content of the fossil *Radix* sample from Nama Chu was not measured. The U content of
 371 modern *Radix* shells from Bangong Co was measured and the mean value is 0.05 ± 0.01 (n = 6;
 372 Wassermann, 2014). However, it is well known that shells take up U from surroundings (e.g. Grün,
 373 1989). Schellmann et al. (2008) reported a mean U content of Holocene shells (> 2.5 ka) to be $2.8 \pm$
 374 2.7 ppm (n = 63). Assuming this mean U content as the current U content of the shells, we calculated
 375 the age by two scenarios: U content increased linearly with time (linear uptake, LU) or the uptake
 376 occurred at an early stage of the burial time (early uptake, EU). The calculated ages are 8.1 ± 1.0 ka
 377 (LU) and 7.4 ± 1 ka (EU) respectively (Table 3).

378

379 **Table 3**

380

381 *3.2. Features of the early Middle Holocene habitat inferred molluscan shells*

382

383 The sandy to fine-gravelly deposits sampled at a Nama Chu pond palaeo-shoreline (Fig. 3) exhibited
 384 shells from four molluscan genera (Fig. 3C). Shells of the aquatic gastropods *Radix* sp. and *Gyraulus*
 385 sp. were fairly abundant. In comparison, shells of the bivalve *Pisidium* sp. occurred less frequently and
 386 from the gastropod *Valvata* sp. only single shells were found. The ecological traits of these genera,
 387 which provide information about the palaeoenvironment, are compiled in Table 4.

388

389 **Table 4**

390



391 3.3. *Shell morphology and $\delta^{18}O$ and $\delta^{13}C$ values in early Middle Holocene Radix*

392

393 Prior to sub-sampling (micro-milling), the selected five shells, termed NC1-5, were measured in height
 394 and the number of whorls were counted. These data and the individual number of sub-samples are
 395 compiled in Table 5. As an example, the shell NC2 is figured (Fig. 5).

396

397 **Fig. 5**

398

399 **Table 5**

400

401 All sclerochronological isotope patterns and single isotope values are shown in Fig. 6 and Table 6,
 402 respectively. The range of $\delta^{18}O$ values in all five shells that were analyzed, is from -10.2‰ in shell
 403 NC3 to -2.5‰ in shell NC5. The mean oxygen isotope compositions of shells NC1-4 are in the range
 404 between -9.2 and -7.5‰. Shell NC5 exhibits a mean $\delta^{18}O$ value of -4.6‰. The range of $\delta^{13}C$ values in
 405 all five shells analyzed is from 3.2‰ in NC4 to 8.4‰ in NC1. The mean carbon isotope values of the
 406 shells are in the range of 4.9 to 6.5‰. The correlations between oxygen and carbon stable isotope
 407 patterns are $r^2 = 0.8$ for NC1, 0.5 for NC2 and NC3, 0.4 for NC4 and 0.8 for NC5.

408

409 **Fig. 6**

410

411 **Table 6**

412

413 **4. Discussion**

414

415 *4.1. Age of Radix shells*

416

417 Two dating methods were applied; radiocarbon, which produced an age for the Nama Chu shells of
 418 $\sim 7.4 \pm 0.1$ cal. ka B.P., and electron spin resonance, which gave an age of 8.1 ± 1 ka (LU model) and



419 7.4 ± 1 ka (EU model). The inferred lake reservoir effect of ~ 6200 years suggests strong detrital input
 420 of old carbon. Fontes et al. (1996) calculated a lake reservoir effect of 6670 years for a sediment core
 421 taken from central eastern Nyak Co. On the one hand this difference of ~ 500 years does not
 422 significantly increase temporal uncertainty of our early Middle Holocene case study and on the other
 423 hand it could be due to higher detrital input of Jurassic limestone by the Makha River (Fig. 2), which is
 424 draining the southern catchment of Nyak Co. The EU-model-ESR age of 7.4 ± 1 ka is very similar to
 425 the radiocarbon age of 7.4 ± 0.1 ka. We thus tentatively consider an approximate age of ~ 7.5 ka to
 426 address the palaeo-habitat and are confident to report early Middle Holocene processes. Although the
 427 five shells used for stable isotope analyses came from a single few cm thick sediment layer, we
 428 assume that they rather reflect a multi-decadal period, and thus represent environmental archives of
 429 five different years. This assumption is supported by the sclerochronological stable isotope patterns
 430 (Table 6; 4.3.).

431

432 *4.2. Habitat simulation with the aid of early Middle Holocene aquatic molluscs*

433

434 The fossil assemblage indicates a shallow littoral environment (Table 4). This is in line with the
 435 observation that the sediments were deposited along a palaeo-shore. We therefore use the palaeo-
 436 shoreline as contemporary water level (Fig. 2C). Considering the reconstruction of Dortch et al. (2011)
 437 that the Early to Middle Holocene lake level of Nyak Co was ca. 10 m higher than nowadays, the
 438 difference to the level of the Nama Chu pond was ca. 35 m. When the pond was filled up to the
 439 palaeo-shoreline, it was approximately 5-6 m deep and interconnected with the neighboring ponds
 440 (Fig. 2C). The short-term grain size changes, e.g. from fine sand to fine gravel, show that there were
 441 significant hydrological changes, but generally it can be assumed that it was a lacustrine to semi-
 442 lacustrine habitat. Salinity was in the range of freshwater to oligohaline (Table 4).

443

444 *4.3. $\delta^{18}O$ and $\delta^{13}C$ values in shells from early Middle Holocene Radix shells*

445

446 *4.3.1. Mean values and range of values*



447

448 The range of mean $\delta^{18}\text{O}$ values of the five shells from -9.2 to -4.6‰ indicates that the Nama Chu
449 palaeo-habitat was located in a dynamic hydrological system. This becomes even more evident when
450 comparing the most negative (-10.2‰) and the least negative (-2.5‰) values. Modern shells from
451 Nyak Co have mean values of -2.18 and -2.23‰ (Taft et al., 2013). Several authors who studied
452 precipitation or aquatic systems on the plateau (e.g. Fontes et al., 1996; Cai et al., 2012; Wünnemann
453 et al., 2018) have argued that not temperature but precipitation source and amount, and evaporation are
454 the dominant factors in oxygen isotope fractionation. Based on precipitation recorded at Shiquanhe
455 (Fig. 1), Yu et al. (2007), however, concluded that variations in $\delta^{18}\text{O}$ relate closely to temperature
456 variations. Bangong Co water, on the other hand, was considered to be mainly controlled by local
457 relative humidity (Wen et al., 2016). We interpret the lower $\delta^{18}\text{O}$ values of Nama Chu compared to
458 Nyak Co primarily as an effect of shorter water residence time, i.e. the water is less influenced by
459 evaporation. Mean -9.2‰ indicates a significantly stronger water flow than mean -4.6‰. The range
460 reflects semi-lacustrine to lacustrine conditions. A correlation of $r^2=0.8$ between oxygen and carbon
461 stable isotope values (Table 6) in shells NC1 (mean $\delta^{18}\text{O}$ of -7.5‰) and NC5 (mean $\delta^{18}\text{O}$ of -4.6‰)
462 indicates some degree of covariance, which is typical for closed-basin lakes and ponds (Li and Ku,
463 1997; Taft et al., 2013). The closed-basin periods, however, likely did not last for long because the
464 freshwater molluscan assemblage demonstrates that salinity did not vary much. On the other hand,
465 modern shells from Nyak Co show rather low $\delta^{18}\text{O}$, which co-varies with $\delta^{13}\text{C}$ (Taft et al., 2013),
466 indicating a closed basin but the lake spills over to its neighboring basin and salinity is low. The water
467 sources of the Nama Chu palaeo-habitat are discussed under 4.3.2.

468 Mean $\delta^{13}\text{C}$ values of the five shells are in a range of 4.9 to 6.5‰, which is exceptionally
469 positive, compared to other (semi-) lacustrine systems (e.g. Leng and Marshall 2004) but in line with
470 $\delta^{13}\text{C}_{\text{DIC}}$ from modern sediments of the Nama Chu pond (Table 1). Shells of *Radix* sp. living in Nyak
471 Co show mean $\delta^{13}\text{C}$ values of -2.35 and -2.48‰ (Taft et al., 2013). Fontes et al. (1996), however,
472 reported $\delta^{13}\text{C}$ values from early Holocene Nyak Co carbonates of up to 7.2‰, which they related to
473 enhanced aquatic photosynthesis during evaporative shallow lake conditions and/or to some methane
474 formation within bottom sediments. Other regional high $\delta^{13}\text{C}$ values were found in Sumxi Co (Fig. 1)



475 carbonates (Fontes et al., 1993) and in a Tso Moriri (Fig. 1) sediment core (Mishra et al., 2015). The
476 latter authors concluded that the role of phytoplankton productivity was minimal because of
477 oligotrophic conditions (Mishra et al., 2015). Goto et al. (2003) reported similar high $\delta^{13}\text{C}$ values from
478 central Tibetan Plateau Siling Co, which they related to evaporation (Stiller et al., 1985). The even
479 more positive $\delta^{13}\text{C}$ values of carbonates from Lake Caohai (China, Guizhou Province) were explained
480 by bacterial degradation of aquatic organic matter, generating methane, preferentially $^{12}\text{CH}_4$, and
481 leading to an enrichment of ^{13}C in the lake water and carbonate (Zhu et al., 2013).

482 We consider that the high $\delta^{13}\text{C}$ values were likely triggered by a combination of the cited
483 factors plus detrital input. Effective evaporation is reflected by corresponding $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ values
484 (Horton et al., 2016). The most negative $\delta^{18}\text{O}$ value is from the same shell as the least positive $\delta^{13}\text{C}$
485 value; the least negative $\delta^{18}\text{O}$ value is from the same shell as the most positive $\delta^{13}\text{C}$ value; etc. Organic
486 productivity was probably higher than in Nyak Co, due to the fact that the Nama Chu pond was only
487 5-6 m deep, and light could penetrate to the bottom and trigger photosynthetic processes in all water
488 layers. Aquatic plants and algae utilize CO_2 as source of carbon for photosynthetic processes with
489 preferable uptake of ^{12}C (Chikaraishi, 2014), which leads to a ^{13}C enrichment. Methane bubbling was
490 observed (2009, 2012) and it is likely that organic-rich mud was available for microbes also during the
491 early Middle Holocene. Liu et al. (2017) outlined methanogenic pathways from a short Nyak Co
492 sediment core. $\delta^{13}\text{C}_{\text{CH}_4}$ was in a range of ca. -60 to -110‰. Seasonal permafrost thawing could have
493 triggered another methanogenic pathway (Rivkina et al., 2007). Permafrost represents a considerable
494 carbon pool (Wagner et al., 2007; Mackelprang et al., 2011). Methane bubbling means that preferably
495 ^{12}C was removed from the Nama Chu palaeo-habitat, leaving the remaining carbon ^{13}C enriched
496 (Walter et al., 2006). Detrital input of old carbon from Jurassic and Permian limestone (Wang and Hu,
497 2004) is considered to represent another cause for the high $\delta^{13}\text{C}$ values. The limestones probably
498 represent shallow water tropical carbonate formations which may exhibit $\delta^{13}\text{C}$ values as positive (e.g.
499 Isozaki et al., 2007) as was measured in the fossil *Radix* shells. The relatively high ^{14}C reservoir effect
500 in the Bangong Co system (Fontes et al., 1996; this study) indicates detrital input of old carbon.

501

502 4.3.2. Sclerochronological patterns



503
 504 The palaeo-environmental setting suggests that the Nama Chu pond was sensitive to short-term
 505 atmospherical, hydrological, limnological and hydromorphological changes. It thus can be expected
 506 that the five *Radix* shells, which were formed in equilibrium with the pond water, archive early Middle
 507 Holocene hydrological signals over their life spans of ca. 12-15 months (Taft et al., 2012, 2013). The
 508 sediment sequence from which the shells were sampled represents a multi-decadal period, and the
 509 individual ranges of stable isotopes and their mean values (4.3.1.) indicate that the five shells reflect
 510 five different years around ~7.5 ka. The interpretation of isotopic signatures of the shells is based on
 511 the following considerations:

512 a) Precipitation source: Regional monsoonal (summer) precipitation has mean $\delta^{18}\text{O}$ values of
 513 ca. -14 to -16‰ (Yu et al., 2007; Yao et al., 2013) and can be as low as -30‰ in case of short-term
 514 heavy rainfall (Wen et al., 2016). Monsoonal rainfall is therefore isotopically lighter than the pond
 515 water and negative excursions can be expected in the isotope patterns of the shells. Convective clouds
 516 form by regional moisture evaporation particularly during May to October when the lake surfaces are
 517 not covered by ice. Seasonal permafrost thawing provides soil moisture, which becomes part of the
 518 convective system. Potential monsoonal rainfall would add to the soil moisture. Measured $\delta^{18}\text{O}$ values
 519 of regional convective rainfall range from ca. -5.5 to 0.2‰ (Fontes et al., 1996; Mishra et al., 2014)
 520 and thus at least in shells NC1-4 positive isotopic excursions can be expected, in case of a significant
 521 amount. June snowfall over Tso Moriri (Fig. 1) exhibited a $\delta^{18}\text{O}$ value of -22.4‰ (Biggs et al., 2015).
 522 Regional snowfall accumulates mainly in winter and is westerly-derived (Biggs et al., 2015). The
 523 oxygen isotope composition of local rivers is dominated by meltwater and ranges from ca. -12 to -
 524 14‰ in the Nyak Co catchment (Fontes et al., 1996; Wilckens, 2014; Wen et al., 2016). Meltwater
 525 pulses thus will lead to negative excursions in $\delta^{18}\text{O}$ patterns of the Nama Chu shells. Regional
 526 meltwater increases in May and peaks in July (personal communication with local people, 2012). In
 527 the case of Nama Chu snowmelt and permafrost thawing have to be considered.

528 b) Precipitation amount: Quantification is difficult but rainfall, which is intensive enough to
 529 wash in soil, can be identified using $\delta^{13}\text{C}$ (Taft et al., 2012, 2013). $\delta^{13}\text{C}$ of dissolved soil carbonate
 530 from Ladakh revealed values from ca. -20 to -28‰ (Longbottom et al., 2014). Dissolved organic



531 carbon from terrestrial plants is in a similar range (Cloern et al., 2002; Wynn et al., 2007). The mean
 532 $\delta^{13}\text{C}$ values of the Nama Chu shells range from 4.9 to 6.5‰. Carbon washed in from soil thus would
 533 lead to negative isotope excursions in the sclerochronological patterns.

534 c) Evaporation and ice cover period: From November to April, Nyak Co is covered by ice
 535 (Wang et al., 2014), and it can be assumed that the surface of the Nama Chu palaeo-pond was frozen
 536 for a similar period although possibly with a seasonal lag of some weeks due to the lower water depth.
 537 The ice cover period may have been shorter during the early Middle Holocene. Ice cover prevents
 538 exchange between atmosphere and pond water, and potential changes in isotope composition must be
 539 intrinsic. Consequently, variation of oxygen isotope values is considered to be low during ice cover
 540 conditions, carbon isotope values, however, decrease due to reduced productivity under lower light
 541 penetration and lower temperatures. Evaporation is effective from approximately May to October and
 542 leads to heavier isotope values but is potentially superimposed by meltwater inflow and rainfall. The
 543 effect of evaporation can be seen best regarding the dry period after summer rainfall until the
 544 beginning of ice cover (Taft et al., 2013). The mean isotope values of the shells (Table 6), however,
 545 show clearly the inter-annually varying influence of evaporation.

546 d) Organic productivity: Main controlling factors are light and temperature (Chikaraishi,
 547 2014) and thus periodically higher $\delta^{13}\text{C}$ shell values reflect the summer season while lower $\delta^{13}\text{C}$ shell
 548 values indicate reduced productivity of water plants and algae during winter. The productivity of
 549 microbes is exemplified by archaeans and briefly outlined in the next paragraph.

550 e) Methanogenesis: During biogenic methane production preferably ^{12}C is processed (Walter
 551 et al., 2006). While the gas will leave the habitat by bubbling during the summer months triggering ^{13}C
 552 enrichment in the water, it may accumulate under ice in winter. It was observed (FR, 2013) on the
 553 eastern Tibetan Plateau that *Radix* moves on the underside of pond ice and likely consumes algal
 554 growth there. Thus it can be expected that methanogenesis is occasionally archived in *Radix* shells.
 555 Recent observation (2009, 2012) of methane bubbling in the Nama Chu pond hints at this possibility.

556 f) Temporal resolution: Although *Radix* is active in all seasons and even under ice, it grows
 557 much slower in winter than in summer (Gaten, 1986). Data from modern *Radix* shells indicate that
 558 growth was ca. three times slower during the ice cover period compared to the average ice-free period



559 (Taft et al., 2013). The temporal resolution of the summer isotopic signals archived in the shell is thus
 560 significantly higher (ca. weekly) than of those archived in winter.

561

562 It is unlikely that the five early Middle Holocene *Radix* individuals hatched and died during the same
 563 time of a year but represent records of different length and seasonality. Maximum shell height and
 564 number of whorls are notably lower in NC5 (Table 5) suggesting that this individual had a
 565 comparatively shorter lifespan. Ice cover periods identified in the isotope patterns can, for example, be
 566 used to infer the chronology of the individual isotope patterns. The ice cover period in shell NC2 (Fig.
 567 6) is from [t] to [p]. $\delta^{18}\text{O}$ shows little variation in this shell section and $\delta^{13}\text{C}$ values are relatively low.
 568 Interestingly $\delta^{13}\text{C}$ increases temporarily around [s]. We speculate that methanogenesis was responsible
 569 for this effect. A similar $\delta^{13}\text{C}$ excursion can be seen in shell NC1 (Fig. 6) where the ice cover period is
 570 from [p] to [k] and is even more significant (double peak) in shell NC3 where the ice cover period is
 571 from [q] to [l]. In shell NC4, $\delta^{18}\text{O}$ shows little variation from [t] to [a], which is much too long a
 572 period for ice cover, suggesting superimposition by other factors. The lowest $\delta^{13}\text{C}$ values imply that
 573 ice cover was roughly from [s] to [n]. In shell NC5, we tentatively appoint the ice cover period to [o]
 574 to [k]. $\delta^{18}\text{O}$ shows little variation and $\delta^{13}\text{C}$ values are low here. Using this seasonal marker, we discuss
 575 the complete isotope patterns of NC1-5 in ontogenetic chronology (Fig. 6).

576 Shell NC1: This gastropod hatched during early summer. The general trend of $\delta^{13}\text{C}$ shows
 577 increasing productivity to [w], which is overprinted by two negative excursions, with minimum values
 578 at [z10] and [z2]. During the first negative $\delta^{13}\text{C}$ excursion, $\delta^{18}\text{O}$ decreases correspondingly. We
 579 interpret this as significant monsoonal precipitation, bringing isotopic lighter rain into the pond and
 580 triggering the inwash of isotopically light soil carbon. The second negative $\delta^{13}\text{C}$ excursion is
 581 accompanied by a positive $\delta^{18}\text{O}$ peak at [z3]. Again a significant inwash of soil carbon occurred but
 582 this time triggered by convective rainfall. The following increase of $\delta^{18}\text{O}$ to [x] is considered to reflect
 583 the dominance of evaporation, which is in line with the increasing $\delta^{13}\text{C}$ values. The subsequent shift to
 584 lighter stable isotope values represents the transition to winter conditions, with reduced evaporation
 585 and decreasing primary bioproductivity. The ice cover period from [p] to [k] is followed by an
 586 increase of bioproductivity until again summer conditions were reached. $\delta^{18}\text{O}$ was strongly dominated



587 by evaporation suggesting that there was little snowfall during the preceding winter and thus no
 588 significant influence by meltwater. The gastropod died before potential (second) summer rainfall
 589 events occurred. Occasional light rain could have fallen but cannot be detected in the isotope pattern
 590 because of signal weakness.

591 Shell NC2: This gastropod hatched when significant monsoon moisture penetrated the western
 592 Tibetan Plateau, likely during middle summer. Indication are negative excursions of $\delta^{18}\text{O}$ [z7] and
 593 $\delta^{13}\text{C}$ [z6]. Inwash of light terrestrial carbon stopped immediately with the termination of heavy rainfall
 594 leading to a steep increase of $\delta^{13}\text{C}$ to the high summer bioproductivity level [z3]. The subsequent
 595 increase of $\delta^{18}\text{O}$ to [z3] is due to evaporation dominating potential lighter rainfalls and snowmelt. A
 596 second monsoonal rainfall period is indicated by abrupt decreases at [z2] of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$. The
 597 following steep increase of $\delta^{18}\text{O}$ is due to evaporation and likely represents September, when rainfall
 598 amounts were low and meltwater played a minor role, due to lower temperatures. Such a September
 599 pattern was found in modern *Radix* sp. from Nyak Co (Taft et al., 2013). The subsequent turnover of
 600 isotope signatures to lighter values can be explained by increasingly weaker insolation and colder
 601 temperatures, likely during October ~7.5 ka. In November the pond became ice covered ([t] to [p]).
 602 The following spring (May) primary bioproductivity increased quickly and the pattern shows no
 603 negative excursions until [b]. The simultaneous increase of $\delta^{18}\text{O}$ is modest to [e] but stronger
 604 afterwards to [b], likely because the evaporation signal could dominate the meltwater signal only in
 605 summer. The synchronous abrupt negative excursions in $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ from [b] to [a] may indicate a
 606 monsoonal moisture pulse.

607 Shell NC3: This *Radix* individual hatched in early summer when primary bioproductivity
 608 started to increase significantly. A small negative excursion of $\delta^{13}\text{C}$ and an even smaller negative peak
 609 of $\delta^{18}\text{O}$ at [z2] may represent a monsoonal moisture pulse. With a mean of -9.2‰, $\delta^{18}\text{O}$ of the pond
 610 was relatively negative and thus monsoonal rainfall is likely not well evidenced in the pattern. The
 611 negative $\delta^{18}\text{O}$ peak at [x] is considered a meltwater pulse and not heavy rainfall because $\delta^{13}\text{C}$ did not
 612 react. $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ peak at [u], likely in September. Autumn turnover is indicated by steep decreases
 613 of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ towards the ice cover period [q] to [l]. Spring (May) is characterized by increasing



614 $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ values to [e]. A simultaneous drop of both isotope values to [c] may exhibit a
615 monsoonal moisture pulse.

616 Shell NC4: This snail hatched in late summer because primary bioproductivity was already
617 quite high. The period to [z] may represent September because of evaporation dominating $\delta^{18}\text{O}$. In
618 September ~ 7.5 ka, just before the autumn turnover started, strong convective rainfall is evidenced by
619 $\delta^{18}\text{O}$ becoming heavier and the abrupt negative excursion of $\delta^{13}\text{C}$. Autumn (October) turnover is
620 clearly indicated by decreasing stable isotope values towards the ice cover period which was likely
621 from [s] to [n]. The following spring (May) is characterized by increasing bioproductivity, $\delta^{18}\text{O}$,
622 however, showing a negative trend. This may be explained by meltwater dominating evaporation. It is
623 possible but unlikely that the negative excursion of $\delta^{13}\text{C}$ at [b] was caused by rainfall because $\delta^{18}\text{O}$ of
624 the pond water remained unchanged at ca. -8% , and rainfall with similar values is difficult to infer for
625 the region as no such value has been reported.

626 Shell NC5: This individual hatched in late summer when evaporation became dominant and
627 primary bioproductivity reached its maximum. The simultaneous negative peaks of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ at
628 [r] indicate a September monsoonal moisture pulse. September is inferred because of the evaporation
629 signal still increasing due to rainfall/humidity ceasing. On the other hand, bioproductivity had started
630 to decrease. The autumn turnover terminated with the beginning of the ice cover period which is
631 approximately from [o] to [k]. The following spring (May) triggered bioproductivity ($\delta^{13}\text{C}$) and
632 evaporation began dominating the $\delta^{18}\text{O}$ values to [d]. Subsequently, both isotope values drop, which
633 we consider the pattern of monsoonal moisture penetration into the area (Fig. 6).

634

635 Four out of five of the sclerochronological stable isotope records exhibit significant rainfall periods. In
636 shell NC3 the signals are less clear, which might be due to the generally lighter $\delta^{18}\text{O}$ of the palaeo-
637 pond water. Five to eight rainfall events are related to monsoonal moisture, while two events evidence
638 strong convective rainfall. Shell NC2 indicates that two monsoonal moisture pulses could appear
639 during one season. Isotope patterns of modern *Radix* shells from lake basins with regular monsoonal
640 precipitation, Bangda Co and Donggi Cona (eastern Tibetan Plateau), reveal single extended events,
641 relating to the summer rain season (Taft et al., 2012, 2013). The monsoonal behavior in the study area



642 on the western Tibetan Plateau was thus quite different. The data suggest that during the early Middle
643 Holocene the monsoonal moisture did not penetrate much further onto the plateau than nowadays,
644 with the difference, rainfall events/periods happened more regularly and were stronger. Two shell
645 patterns (NC1, NC4) indicate convective rainfall, which we consider stronger or more extended than
646 those observed in modern times. This can be explained by higher summer insolation (Berger and
647 Loutre, 1991), moister soils due to monsoonal precipitation and much more extended lake surfaces
648 (Liu et al., 2013) around ~7.5 ka. The average annual precipitation amount was likely several times
649 higher than nowadays. These suppositions are basically in line with other early Middle Holocene
650 records from the western plateau (Gasse et al., 1991, 1996; Fontes et al., 1993; Brown et al., 2003;
651 Wünnemann et al., 2010).

652 There are no glaciers located in the Nama Chu catchment and there is no indication that it was
653 different under early Middle Holocene climate. The amount of meltwater that reached the palaeo-pond
654 was therefore mainly dependent on westerly-derived snowfall during winter. Summer snowfall occurs
655 nowadays but snow normally melts within hours to a couple of days (personal observations) and thus
656 does not add to spring meltwater from accumulated winter snowfall. These processes unlikely changed
657 during the Holocene. The role of seasonal permafrost thawing for the hydrological system remains
658 unclear. The five shell patterns indicate inter-annual differences in meltwater amounts. While in shells
659 NC1 and NC5 little influence of meltwater on $\delta^{18}\text{O}$ can be inferred, the influence is significant in shell
660 NC2, the isotopically light meltwater mitigating the evaporation signal during spring and early
661 summer. The strong meltwater pulse identified in NC3 can be possibly related to the outburst of a
662 meltwater-fed pond in the upper catchment of Nama Chu. The domination of meltwater in the isotope
663 pattern of NC4 is in line with the pattern of a modern *Radix* from southern Nyak Co (Taft et al., 2013),
664 sampled not far from the mouth of the Makha River (Fig. 2), which is draining meltwater (personal
665 observation FR, 2012). Based on our data, we suggest that the westerly influence during ~7.5 ka
666 winters was similar to modern times.

667 The ice cover period during ~7.5 ka was recorded by all shells. The data, however, do not
668 allow to infer whether the length of the ice cover period differed from the modern situation. This is



669 also due to the weak observational record for comparison and that we do not have a good modern
 670 analogue for the Nama Chu palaeo-pond.

671 The influence of biogenic methane production on $\delta^{13}\text{C}$ is likely, due to the high mean values in
 672 the context of methane bubbling observation. On the other hand, specific positive excursions during
 673 the ice cover period, cannot easily be explained by primary producers' productivity pulses. We
 674 consider the influence of methane production on the $\delta^{13}\text{C}$ of certain ponds or lakes underestimated.

675

676 5. Conclusions

677

678 The sclerochronological isotope patterns of early Middle Holocene *Radix* shells are suitable to report
 679 hydrological processes from the western Tibetan Plateau in ca. weekly (summer) to sub-monthly
 680 (winter) resolution over the lifespan of the gastropod, which is about one year.

681 We infer from our data that i) monsoonal rainfall reached the area more regularly and in
 682 higher amounts than nowadays; ii) monsoonal rainfall did not prevail over the whole summer season
 683 but penetrated the western Tibetan Plateau as extended moisture pulses; iii) the northern boundary of
 684 the SW Asian summer monsoon was in a similar position as in modern times but the monsoonal
 685 system was more dynamic; iv) significant convective rainfall occurred and can be clearly distinguished
 686 from monsoonal precipitation with the aid of stable isotope patterns; significant convective rainfall is
 687 due to higher summer insolation (evaporation), higher soil moisture (by monsoonal penetration) and
 688 much larger lake surface areas during ~ 7.5 ka; v) isotopic signals of monsoonal and regional
 689 convective precipitation can be clearly differentiated from meltwater signals in the records; vi) in the
 690 study area, the meltwater amount correlates with westerly-derived winter snowfall amount; the
 691 snowfall amount during the early Middle Holocene was probably similar to modern times; vii)
 692 biogenic methane production could likely be identified in the isotope patterns and is possibly
 693 underestimated in lake systems.

694

695 Author contribution



LT and FR prepared the original manuscript with contributions from all co-authors. FR conceptualized the overarching research goals and aims. ST developed the design of the dating methods. LT and UW performed and interpreted the stable isotope data. HC was responsible for the coordination of the research activity planning and execution. CA and TW investigated the ecological traits of the molluscs. CL was responsible for the visualization and presentation of the data.

701

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713

714 **References**

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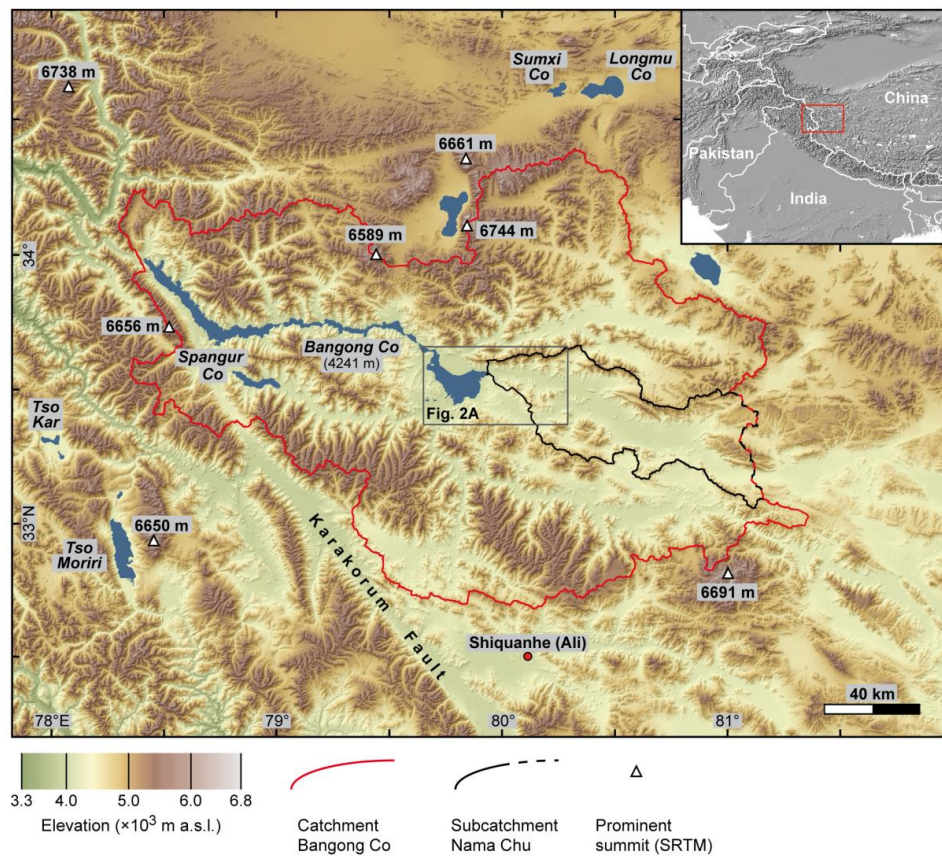
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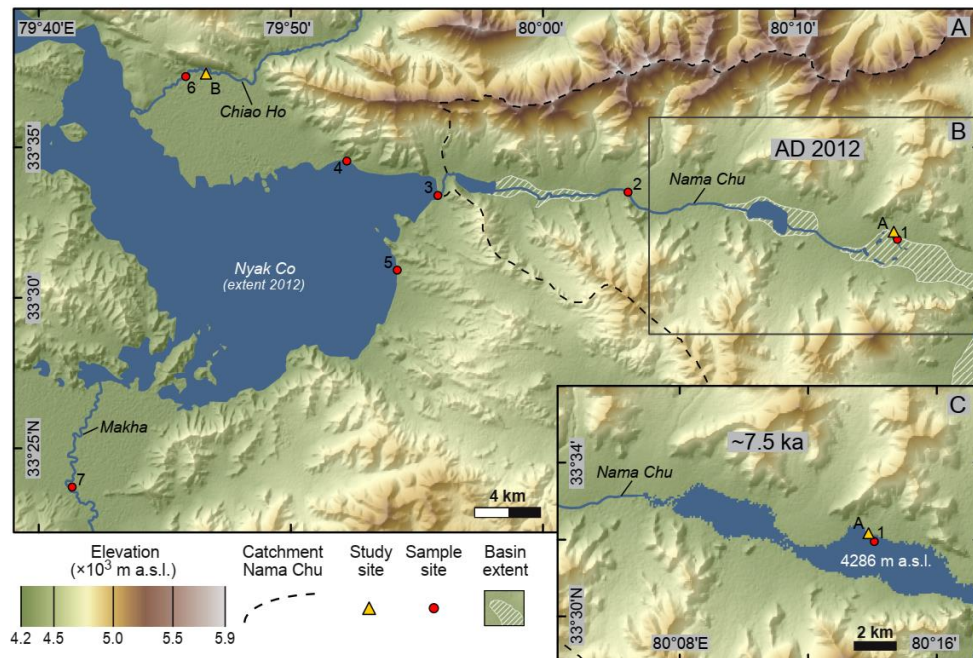
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1045 **Figure captions**



1046

1047 **Fig. 1.** Digital elevation model (SRTM) of part of the western Tibetan Plateau showing the
1048 transboundary Bangong Co drainage basin with lake system, total catchment (red line) and sub-
1049 catchment of Nama Chu valley (black line). All elevations are derived from SRTM.



1050

1051 **Fig. 2. A.** Digital elevation model (SRTM) of the eastern Bangong Co system (Nyak Co) with
1052 locations of water samples, study sites and major tributaries indicated by symbols. **B.** Focal area as in
1053 2012. **C.** Water extension of focal area simulated for ~7.5 ka, based on the morphology of basins,
1054 palaeo-shorelines and the altitudinal position of fossil shell bearing fluvio-lacustrine sediments.

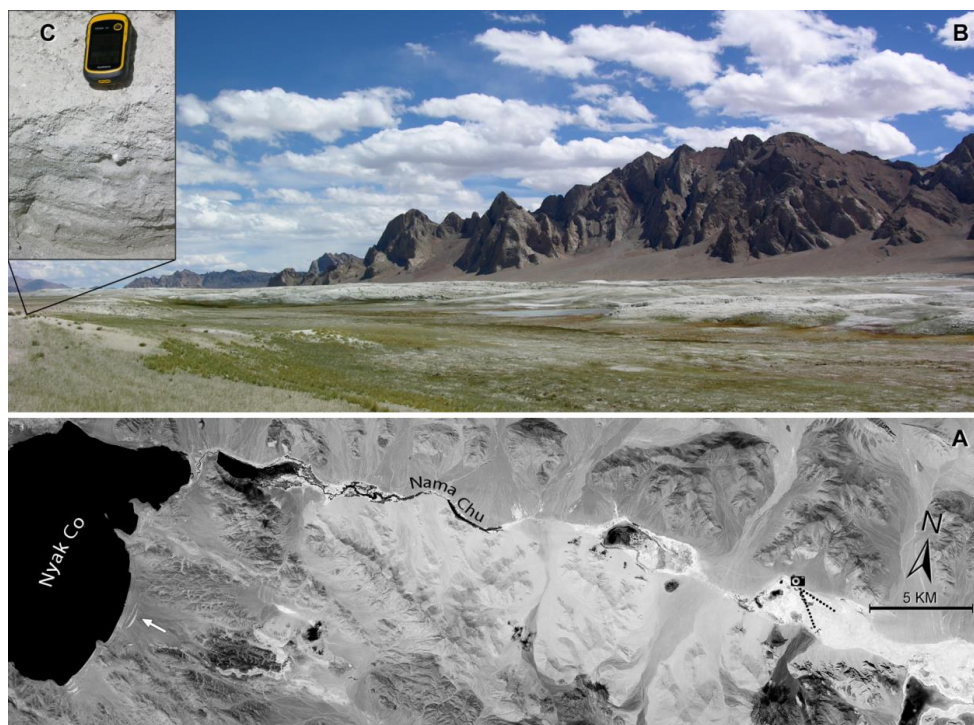
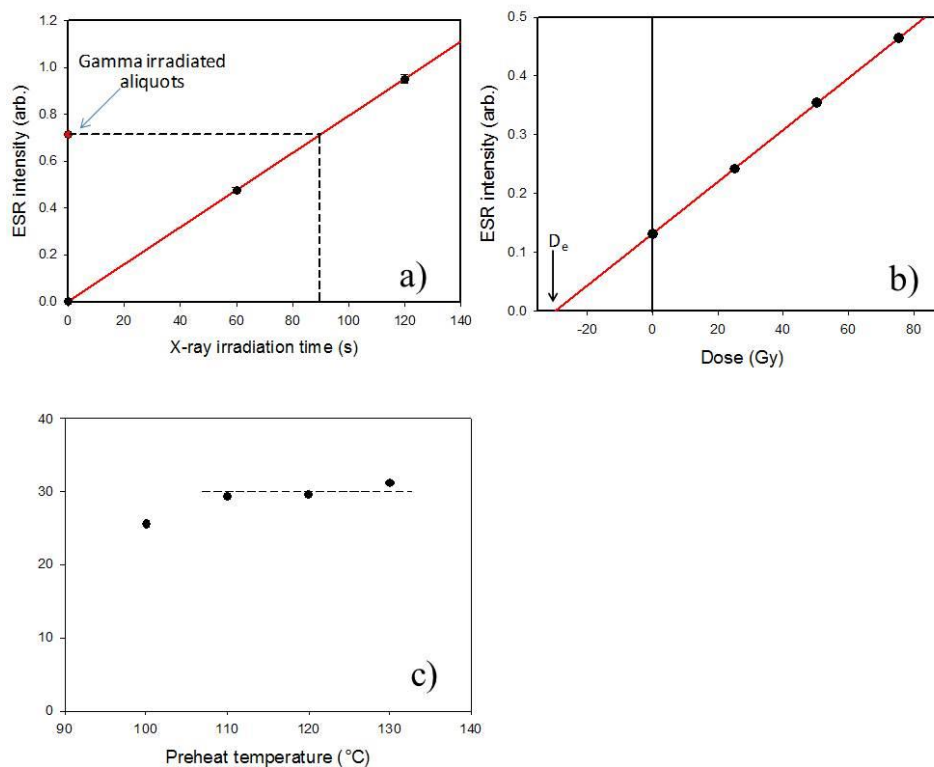


Fig. 3. A. CORONA satellite image of western Nama Chu valley and Nyak Co, the easternmost lake basin of the Bangong Co system (compare Fig. 1); arrow: palaeo-shoreline features. Camera symbol and dotted lines refer to figure 3B; **B.** Photograph taken in September 2012 showing the modern setting of the studied palaeo-hydrological system in Nama Chu valley; the greyish undulated landscape between the grassland and the mountains represents frozen mounds of lacustrine sediments, formed by permafrost processes; **C.** Littoral sediments of ~7.5 ka age, from which the studied molluscan shells were sampled (Handheld GPS for scale); the sediments were found along a palaeo-shoreline.



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1065 **Fig. 4. A.** X-ray calibration of calcium carbonate using a modern coral sample. Each data point is the

1066 mean of 3 aliquots. **B.** Single aliquot additive dose D_e measured from one aliquot of *Radix* shell

1067 preheated at 120 $^{\circ}\text{C}$. **C.** D_e values of the *Radix* shell sample measured at different preheat temperatures.



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Fig. 5. One (NC2) of the five (NC1-5) fossil *Radix* sp. shells from Nama Chu valley, which were sub-
 sampled sclerochronologically for stable isotope analyses.

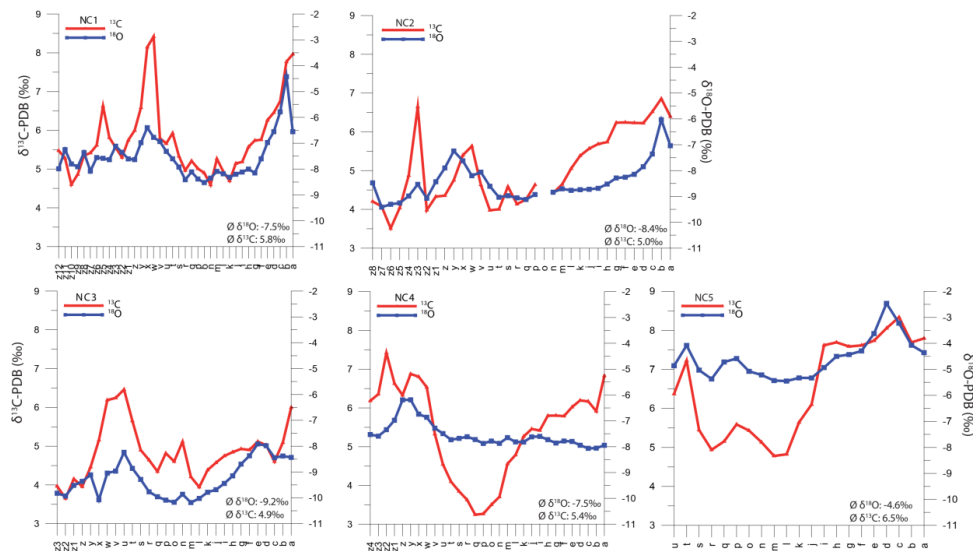


Fig. 6. Sclerochronological $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ patterns from studied early Middle Holocene *Radix* sp. shells (NC1-NC5) sampled from Nama Chu valley sediments.

Table captions

Table 1. Selected water parameters from Nyak Co (3-5) and two tributaries (6 and 7) and from Nama Chu (1 and 2). Except for electric conductivity, psu, T and pH, all analytical data from Wilckens (2014). Location numbers refer to Fig. 2.

Map location	1	2	3	4	5	6	7
Geographical coordinates	33.5326°N 33.3945°N 80.2346°E 79.6880°E	33.5581°N 80.0558°E	33.5573°N 79.9319°E	33.5767°N 79.8695°E	33.5152°N 79.9043°E	33.6219°N 79.7633°E	
Sampling date	16.09.2012 17.09.2012	16.09.2012	11.09.2012	14.09.2012	11.09.2012	14.09.2012	
Water T in °C	20.2	23.3	13.8	19.2	18.2	13.3	11.2



1094								
1095	EC $\mu\text{S}/\text{cm}$	41600	731	786	909	920	555	239
1096								
1097	psu	29.89	0.37	0.51	0.51	0.53	0.35	0.16
1098								
1099	pH	8.8	8.4	9.3	9.3	8.9	8.8	8.5
1100								
1101	$\delta^{18}\text{O}_\text{W} \text{‰}$	n.a.	-13.0	-8.4	-3.9	-3.5	-13.9	-13.3
1102								
1103	$\delta\text{D}_\text{W} \text{‰}$	n.a.	-91.5	-75.7	-48.8	-48.6	-100.2	-99.3
1104								
1105	$\delta^{13}\text{C}_\text{DIC} \text{‰}$	+6.2	+0.7	+0.1	+2.4	+2.2	-1.0	-6.5
1106								
1107	$\text{HCO}_3 \text{ } \mu\text{mol}/\text{l}$	22786	4827	3327	4338	5390	3686	1875
1108								
1109	$\text{SO}_4 \text{ } \mu\text{mol}/\text{l}$	55164	916	1343	999	895	531	135
1110								
1111	Na $\mu\text{mol}/\text{l}$	154539	2540	3741	4741	4654	1662	557
1112								
1113	Cl $\mu\text{mol}/\text{l}$	n.a.	1439	2200	2821	2933	1015	296
1114								
1115								
1116	Ca $\mu\text{mol}/\text{l}$	1241	1347	599	439	482	1332	586
1117								
1118	Mg $\mu\text{mol}/\text{l}$	34584	1399	1917	2292	2218	876	407
1119								
1120	K $\mu\text{mol}/\text{l}$	4617	113	143	624	223	74	49
1121								
1122	B $\mu\text{mol}/\text{l}$	11962	88	125	312	300	76	120
1123								
1124								

1125

1126 **Table 2.** AMS radiocarbon dates of three fossil *Radix* sp. shells and of two charcoal samples.

Site/Sample ID	Material	^{14}C -age yr BP	Res. corrected age cal. yr BP	Laboratory ID
Chiao Ho 34-36 S	Radix shell	8540 ± 40	2211 ± 55	Poz-53269
Chiao Ho 40-42 S	Radix shell	8480 ± 40	2428 ± 85	Poz-53271
Chiao Ho 34-36 C	Charcoal	2275 ± 30	2275 ± 30	Poz-53314
Chiao Ho 40-42 C	Charcoal	2400 ± 30	2400 ± 35	Poz-53315
Nama Chu	Radix shell	12670 ± 60	7393 ± 114	Poz-53277

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Table 3. Dose rate, equivalent dose and ESR age.

Table 3: Dose rate, equivalent dose and ESR age.

^{238}U (Bq/kg)	27.6 ± 1.5	Internal U (ppm)*	2.8 ± 2.7
^{226}Ra (Bq/kg)	14.6 ± 0.3	Internal dose rate (Gy/ka), early uptake	0.66 ± 0.46
^{232}Th (Bq/kg)	18.4 ± 0.2	Internal dose rate (Gy/ka), linear uptake	0.33 ± 0.33
K (Bq/kg)	687 ± 5	Total dose rate (Gy/ka), early uptake	3.97 ± 0.49
External dose rate (Gy/ka)	2.91 ± 0.21	Total dose rate (Gy/ka), linear uptake	3.64 ± 0.41
Cosmic dose rate (Gy/ka)	0.40 ± 0.04	Age, early uptake (ka)	7.4 ± 1.0
D _e (Gy)	29.5 ± 1.1	Age, linear uptake (ka)	8.1 ± 1.0

* mean value of Holocene shells (Schellmann et al., 2008).

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Table 4. Classification, and biological and ecological traits of early Middle Holocene molluscs from the study area using the best modern analogue approach. Compiled from Burky et al., 1981; Clewing et al., 2013, 2014a, 2014b; Frömming, 1956; Gittenberger et al., 1998; Glöer, 2002; Killeen et al., 2004; Meier-Brook, 1969, 1975; Økland and Kuiper, 1982; Økland, 1990; Taft et al., 2012, 2013; Turner et al., 1998; Wilckens, 2014; Zettler et al., 2006; and personal observations.

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Taxon	<i>Radix</i> sp.	<i>Gyraulus</i> sp.	<i>Valvata</i> sp.	<i>Pisidium</i> sp.
Classification	Gastropoda, Basommatophora, Lymnaeidae	Gastropoda, Basommatophora, Planorbidae	Gastropoda, Allogastropoda, Valvatidae	Bivalvia, Veneroida, Sphaeriidae
Life span (years)	0.5-1.5	1-1.5	1-2	0.5-3
Salinity range	freshwater to meso-haline (≤ 14 psu)	freshwater to oligo-haline (≤ 5 psu)	freshwater to oligo-haline (≤ 5 psu)	freshwater to oligo-haline (≤ 3 psu)
pH range	5.2-10.4	5.0-10.4	5.0-9.6	4.0-9.3
Aquatic system	wetlands, fluvial and lacustrine systems (moderate water movement preferred)	fluvial and lacustrine systems (still water conditions preferred)	fluvial and lacustrine systems (still to slow moving water conditions preferred)	wetlands, fluvial and lacustrine systems (moderate water movement preferred)
Water depth	most common in shallow littoral (ca. 0.1-2 m)	most common in shallow littoral (ca. 0.1-2 m)	most common in littoral (1.5-3 m)	most common in shallow littoral (ca. 0.1-2 m)
Substrate	epibenthic on all kinds of substrates (e.g. pebbles, sand, gyttja, water plants)	epibenthic on different solid substrates (e.g. pebbles, water plants) and on gyttja	epibenthic on all kinds of substrates (preferably organic-rich sediment)	endo- or epibenthic; soft substrates (most common in/on organic-rich silt and fine sand)



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1177 **Table 5.** Size parameters and number of sub-samples of *Radix* shells used for stable isotope analyses.

Sample ID	Height in cm	N whorls	N sub-samples
NC1	1.59	4.6	38
NC2	1.34	5.1	34
NC3	1.39	5	29
NC4	1.49	4.8	30
NC5	1.28	3.6	21

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1179 **Table 6.** $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ values from the five selected *Radix* shells NC1-5. Letter “a” indicates the sub-
 1180 sample from the outer rim of the aperture and thus the latest/youngest shell in ontogeny. The last
 1181 letters are mostly combined with numbers and vary due to the different sizes of the shells and
 1182 corresponding differences in maximum sub-sample numbers and represent the earliest (embryonic)
 1183 and thus oldest shell in ontogeny (z12, z8, z3, z4, u). Data are presented in individual graphs in Fig. 6.

	NC1		NC2		NC3		NC4		NC5	
	$\delta^{13}\text{C}$	$\delta^{18}\text{O}$	$\delta^{13}\text{C}$	$\delta^{18}\text{O}$	$\delta^{13}\text{C}$	$\delta^{18}\text{O}$	$\delta^{13}\text{C}$	$\delta^{18}\text{O}$	$\delta^{13}\text{C}$	$\delta^{18}\text{O}$
a	8.0	-6.6	6.4	-7.0	6.0	-8.4	6.8	-7.9	7.8	-4.4
b	7.8	-4.4	6.9	-6.0	5.1	-8.4	5.9	-8.1	7.7	-4.1
c	6.7	-5.8	6.5	-7.4	4.6	-8.4	6.2	-8.1	8.3	-3.2
d	6.5	-6.6	6.2	-7.9	5.0	-8.0	6.2	-7.9	8.1	-2.5
e	6.3	-7.0	6.2	-8.1	5.1	-7.9	6.0	-7.8	7.7	-3.6
f	5.8	-7.6	6.2	-8.3	4.9	-8.4	5.8	-7.8	7.6	-4.3
g	5.7	-8.1	6.2	-8.3	4.9	-8.7	5.8	-7.9	7.6	-4.4
h	5.6	-8.0	5.7	-8.5	4.8	-9.2	5.8	-7.7	7.7	-4.5
i	5.2	-8.1	5.7	-8.7	4.7	-9.4	5.4	-7.6	7.6	-4.9
j	5.1	-8.2	5.6	-8.7	4.6	-9.7	5.5	-7.6	6.1	-5.3
k	4.7	-8.3	5.4	-8.7	4.4	-9.8	5.3	-7.8	5.6	-5.3
l	4.9	-8.2	5.1	-8.8	3.9	-10.0	4.8	-7.8	4.8	-5.5
m	5.3	-8.1	4.6	-8.7	4.2	-10.2	4.6	-7.6	4.8	-5.4
n	4.6	-8.3	4.4	-8.8	5.1	-9.9	3.7	-7.9	5.1	-5.2
o	4.9	-8.5	4.4	-6.5	4.6	-10.2	3.5	-7.8	5.4	-5.1
p	5.0	-8.4	4.6	-8.9	4.8	-10.1	3.3	-7.9	5.6	-4.6
q	5.2	-8.1	4.3	-9.1	4.3	-10.0	3.2	-7.7	5.2	-4.7
r	5.0	-8.4	4.1	-9.1	4.6	-9.8	3.6	-7.6	4.9	-5.4
s	5.3	-7.9	4.6	-9.0	4.9	-9.3	3.8	-7.7	5.4	-5.0
t	5.9	-7.6	4.0	-9.0	5.7	-8.9	4.1	-7.7	7.2	-4.1
u	5.7	-7.3	4.0	-8.6	6.5	-8.2	4.5	-7.5	6.4	-4.9
v	5.8	-6.9	4.6	-8.1	6.2	-9.0	5.3	-7.3		
w	8.4	-6.8	5.6	-8.2	6.2	-9.0	6.5	-6.9		
x	8.1	-6.4	5.4	-7.6	5.2	-10.1	6.8	-6.7		
y	6.6	-7.0	4.8	-7.2	4.5	-9.1	6.9	-6.2		
z	6.0	-7.6	4.4	-7.9	4.0	-9.4	6.3	-6.2		
z1	5.7	-7.6	4.3	-8.4	4.2	-9.5	6.6	-7.0		
z2	5.3	-7.4	4.0	-9.1	3.7	-9.9	7.4	-7.3		



z3	5.6	-7.1	6.6	-8.5	4.0	-9.8	6.4	-7.6		
z4	5.8	-7.6	4.9	-9.0			6.2	-7.5		
z5	6.6	-7.6	4.0	-9.3						
z6	5.6	-7.6	3.5	-9.3						
z7	5.4	-8.1	4.1	-9.4						
z8	5.3	-7.4	4.2	-8.5						
z9	4.9	-7.9								
z10	4.6	-7.8								
z11	5.3	-7.2								
z12	5.5	-8.0								
Ø	5.8	-7.5	5.1	-8.4	4.9	-9.3	5.4	-7.5	6.5	-4.6