1	CMIP6/PMIP4 simulations of the mid-Holocene and Last Interglacial using
2	HadGEM3: comparison to the pre-industrial era, previous model versions,
3	and proxy data
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#### 33 ABSTRACT

34 Palaeoclimate model simulations are an important tool to improve our understanding of the

- 35 mechanisms of climate change. These simulations also provide tests of the ability of models to
- 36 simulate climates very different to today. Here we present the results from two brand-new
- 37 simulations using the latest version of the UK's physical climate model, HadGEM3-GC3.1; the mid-
- Holocene (~6 ka) and Last Interglacial (~127 ka) simulations, both conducted under the auspices of
- 39 CMIP6/PMIP4. This is the first time this version of the UK model has been used to conduct
- 40 paleoclimate simulations. These periods are of particular interest to PMIP4 because they represent the
- 41 two most recent warm periods in Earth history, where atmospheric concentration of greenhouse gases
- 42 and continental configuration are similar to the pre-industrial period, but where there were significant
- 43 changes to the Earth's orbital configuration, resulting in a very different seasonal cycle of radiative
- 44 forcing.
- 45

Results for these simulations are assessed firstly against the same model's preindustrial control 46 47 simulation (a simulation comparison, to describe and understand the differences between the PI and 48 the two paleo simulations), and secondly against previous versions of the same model relative to 49 newly-available proxy data (a model-data comparison, to compare all available simulations from the 50 same model with proxy data to assess any improvements due to model advances). The introduction of 51 this newly available proxy data adds further novelty to this study. Globally, for metrics such as 1.5m 52 temperature and surface rainfall, whilst both the recent paleoclimate simulations are mostly capturing 53 the expected sign and, in some places, magnitude of change relative to the preindustrial, this is geographically and seasonally dependent. Compared to newly-available proxy data (including SST 54 55 and rainfall), and also incorporating data from previous versions of the model, shows that the relative accuracy of the simulations appears to vary according to metric, proxy reconstruction used for 56 57 comparison and geographical location. In some instances, such as mean rainfall in the mid-Holocene, 58 there is a clear and linear improvement, relative to proxy data, from the oldest to the newest 59 generation of the model. When zooming into northern Africa, a region known to be problematic for 60 models in terms of rainfall enhancement, the behaviour of the West African monsoon in both recent 61 paleoclimate simulations is consistent with current understanding, suggesting a wetter monsoon 62 during the mid-Holocene and (more so) the Last Interglacial, relative to the preindustrial era. 63 However, regarding the well-documented 'Saharan greening' during the mid-Holocene, results here 64 suggest that the most recent version of the UK's physical model is still unable to reproduce the 65 increases suggested by proxy data, consistent with all other previous models to date. 66

#### 68 1. INTRODUCTION

- 69 Simulating past climates has been instrumental in improving our understanding of the mechanisms of
- 70 climate change (e.g. Gates 1976, Haywood et al. 2016, Jungclaus et al. 2017, Kageyama et al. 2017,
- 71 Kageyama et al. 2018, Kohfeld et al. 2013, Lunt et al. 2008, Otto-Bliesner et al. 2017, Ramstein et al.
- 72 1997), as well as in identifying and assessing discrepancies in palaeoclimate reconstructions (e.g.
- 73 Rind & Peteet 1985). Palaeoclimate scenarios can also provide tests of the ability of models to
- radian simulate climates that are very different to today, often termed 'out-of-sample' tests. This notion
- vulture robust simulations of past climates improve our confidence in future climate
- 76 change projections (Braconnot *et al.* 2011, Harrison *et al.* 2014, Taylor *et al.* 2011). Palaeoclimate
- scenarios have also been used to provide additional tuning targets for models (e.g. Gregoire *et al.*
- 78 2011), in combination with historical or pre-industrial conditions.
- 79

80 The international Climate Model Intercomparison Project (CMIP) and the Palaeoclimate Model

81 Intercomparison Project (PMIP) have spearheaded the coordination of the international palaeoclimate

82 modelling community to run key scenarios with multiple models, perform data syntheses, and

83 undertake model-data comparisons since their initiation twenty-five years ago (Joussaume & Taylor

- 84 1995). Now in its fourth incarnation, PMIP4 (part of the sixth phase of CMIP, CMIP6), it includes a
- 85 larger set of models than previously, and more palaeoclimate scenarios and experiments covering the
- 86 Quaternary (documented in Jungclaus et al. 2017, Kageyama et al. 2017, Kageyama et al. 2018 and

87 Otto-Bliesner *et al.* 2017) and Pliocene (documented in Haywood *et al.* 2016).

88

89 PMIP4 specifies experiment set-ups for two interglacial simulations: the mid-Holocene (MH) at ~6 ka 90 and the Last Interglacial (LIG) at ~127 ka (although spanning ~129-116 ka in its entirety). These are 91 the two most recent warm periods (particularly in the Northern Hemisphere) in Earth history, and are 92 of particular interest to PMIP4; indeed, the MH experiment is one of the two entry cards into PMIP 93 (Otto-Bliesner et al. 2017). This is because whilst the atmospheric concentration of greenhouse gases, 94 the extent of land ice, and the continental configuration is similar in these PMIP4 set-ups compared to 95 the pre-industrial (PI) period, significant changes to the seasonal cycle of radiative forcing, relative to today, do occur during these periods due to long-term variations in the Earth's orbital configuration. 96 97 The MH and LIG both have higher boreal summer insolation and lower boreal winter insolation 98 compared to the PI, as shown by Figure 1, leading to an enhanced seasonal cycle in insolation as well 99 as a change in its latitudinal distribution. The change is more significant in the LIG than the MH, due 100 to the larger eccentricity of the Earth's orbit at that time. Note that, in this figure and indeed all 101 subsequent figures using monthly or seasonal data, the data have been calendar adjusted (Joussaume 102 & Braconnot 1997) according to the method of Pollard & Reusch (2002) and Marzocchi et al. (2015); 103 see the Supplementary Material (SM1) for the same figure but using the modern calendar.

105 Palaeodata syntheses indicate globally warmer surface conditions of potentially ~0.7°C than PI in the MH (Marcott et al. 2013) and up to ~1.3°C in the LIG (Fischer et al. 2018). During both warm 106 107 periods there is abundant palaeodata evidence indicating enhancement of Northern Hemisphere 108 summer monsoons (e.g. Wang et al. 2008) and in the case of the Sahara, replacement of desert by 109 shrubs and steppe vegetation (e.g. Drake et al. 2011, Hoelzmann et al. 1998), grassland and 110 xerophytic woodland/scrubland (e.g. Jolly et al. 1998a, Jolly et al. 1998b, Joussaume et al. 1999) and 111 inland water bodies (e.g. Drake et al. 2011, Lezine et al. 2011). Recent palaeodata compilations 112 involving either air temperatures or SST (Capron et al. 2014, Hoffman et al. 2017) reveal that the 113 maximum temperatures were reached asynchronously in the LIG between the Northern and Southern 114 Hemispheres. Concerning precipitation, historically this has been lacking relative to temperature or 115 SST reconstructions. One often-cited study for the MH is that of Bartlein et al. (2011), comprising a combination of existing quantitative reconstructions based on pollen and plant macrofossils; this 116 117 provides evidence of the interaction between orbital variations and greenhouse gas forcing, and the 118 atmospheric circulation response. More recently, one newly-published dataset of LIG precipitation 119 proxy data (which the current study benefits from as part of the model-data comparison, see below) is 120 that of Scussolini et al. (2019). Here, a number of climate models are assessed against this brand-new 121 dataset, finding an agreement with proxy data over Northern Hemisphere landmasses, but less so in 122 the Southern Hemisphere (Scussolini et al. 2019).

123

124 Many modelling studies have been undertaken in an attempt to reproduce the changes suggested by 125 proxy data throughout the Quaternary, and especially during the interglacial periods discussed here, 126 and there is not scope in this current study to give a full review here. An overview of multi-model 127 assessments during the LIG can be found in Lunt et al. (2013). However, one example is the 128 aforementioned monsoon enhancement (and expansion/contraction) during the Quaternary, and 129 previous studies have focused on various aspects of this, such as whether any expansion was hemispherically consistent or asynchronous between hemispheres (e.g. Kutzbach et al. 2008, McGee 130 131 et al. 2014, Singarayer & Burrough 2015, Singarayer et al. 2017, Wang et al. 2006, Wang et al. 2014). During the LIG, the aforementioned asynchronous temperature distribution between the 132 hemispheres has been investigated by a number of model simulations, suggesting that this may have 133 134 been caused by meltwater induced shutdown of the Atlantic Meridional Overturning Circulation 135 (AMOC) in the early part of the LIG, due to the melting of the Northern Hemisphere ice sheets during 136 the preceding deglaciation (e.g. Carlson 2008, Smith & Gregory 2009, Stone et al. 2016).

137

138 The driving mechanism producing the climate and environmental changes indicated by the palaeodata

139 for the MH and LIG is different to current and future anthropogenic warming, as the former results

140 from orbital forcing changes whilst the latter results from increases in greenhouse gases. Moreover,

141 the orbital forcing primarily acts on shortwave radiation whereas greenhouse gas changes primarily 142 act upon the longwave radiation flux, and the orbital forcing can lead to uneven horizontal and 143 seasonal changes whereas greenhouse gas forcing can cause more uniform anomalies (it should be noted that whilst a precise calculation of the radiative forcing due to changes in MH and LIG 144 145 greenhouse gases is beyond the scope of this study, such a calculation could follow the methodology 146 of Gunnar et al. [1998]). Nevertheless, despite these differences in driving mechanism, these past 147 high latitude (and mainly Northern Hemisphere) warm intervals are a unique opportunity to 148 understand the magnitudes of forcings and feedbacks in the climate system that produce warm interglacial conditions, which can help us understand and constrain future climate projections (e.g. 149 150 Holloway et al. 2016, Rachmayani et al. 2017, Schmidt et al. 2014). Running the same model scenarios with ever newer models enables the testing of whether model developments are producing 151 152 improvements in palaeo model-data comparisons, assuming appropriate boundary conditions are used. Previous iterations of PMIP, with older versions of the PMIP4 models, have uncovered persistent 153 154 shortcomings (Harrison et al. 2015) that have not been eliminated despite developments in resolution, 155 model physics, and addition of further Earth system components. One key example of this is the 156 continued underestimation of the increase in rainfall over the Sahara in the MH PMIP simulations 157 (e.g. Braconnot et al. 2012).

158

159 In this study we run and assess the latest version of the UK's physical climate model, HadGEM3-160 GC3.1. Whilst older versions of the UK model have been included in previous iterations of CMIP, and whilst present-day and future simulations from this model are included in CMIP6, the novelty of 161 162 this study is that this is the first time this version has been used to conduct any paleoclimate 163 simulations. In Global Coupled (GC) version 3 (and therefore in the following GC3.1), there have been many updates and improvements, relative to its predecessors, which are discussed extensively in 164 165 Williams et al. (2017) and a number of companion scientific model development papers (see Section 166 2.1). As a brief introduction, however, GC3 includes a new aerosol scheme, multilayer snow scheme, multilayer sea ice and several other parametrization changes, including a set relating to cloud and 167 168 radiation, as well as a revision to the numerics of atmospheric convection (Williams et al. 2017). In 169 addition, the ocean component of GC3 has other changes including an updated ocean and sea ice 170 model, a new cloud scheme, and further revisions to all parametrization schemes (Williams et al. 171 2017). See Section 2.1 for further details.

172

Following the CMIP6/PMIP4 protocol, here the PMIP4 MH and LIG simulations have been
conducted and assessed, with the assessment adopting a two-pronged approach. Firstly a simulation
comparison is made between these simulations and the same model's PI simulation (to describe and
understand the differences between them). Secondly a model-data comparison is made between the
current and previous versions of the same model relative to newly-available proxy data, thereby

- assessing any improvements due to model advances. In addition to a global assessment, a secondary
- 179 focus of this paper is on the fidelity of the temperature anomalies and the degree of precipitation
- 180 enhancement in the Sahara, the latter of which has proved problematic for several generations of
- 181 models. Following this introduction, Section 2 describes the model, the experimental design, the
- 182 proxy data used for the model-data comparisons, and a brief discussion of the simulation spin-up
- 183 phases. Section 3 then presents the results, beginning with the simulation comparison and following
- 184 with the model-data comparison, and finally section 4 summarises and concludes.
- 185

#### 186 2. MODEL, EXPERIMENT DESIGN, DATA AND SPIN-UP SIMULATIONS

#### 187 **2.1. Model**

#### 188 2.1.1. Model terminology

189 In this paper, and consistent with CMIP nomenclature, the 'spin-up phase' of the simulations refers to 190 when they are spinning up to atmospheric and oceanic equilibrium, whereas the 'production run' refers to the end parts (usually the last 50 or 100 years) of the simulation used to calculate the 191 192 climatologies, presented as the results. When discussed as geological intervals, the preindustrial, mid-193 Holocene and Last Interglacial are referred to as the PI, MH and LIG respectively. In contrast, when 194 discussed as the three most recent simulations using HadGEM3 (see below), consistent with CMIP 195 they are referred to as the *piControl*, *midHolocene* and *lig127k* simulations, respectively. When the 196 midHolocene and lig127k are discussed collectively, they are referred to as the 'warm climate 197 simulations'; whilst it is acknowledged that other factors differentiate these simulations such as orbital configuration or CO<sub>2</sub>, 'warm climate simulations' was deemed an appropriate collective noun. 198 199

# 200 2.1.2. Model details

## 201 The warm climate simulations conducted here, and the *piControl* simulation (conducted elsewhere as

202 part of the UK's CMIP6 runs and used here for comparative purposes) were all run using the same

- fully-coupled GCM: the Global Coupled 3 configuration of the UK's physical climate model,
- HadGEM3-GC3.1. Full details on HadGEM3-GC3.1, and a comparison to previous configurations,
- are given in Williams *et al.* (2017) and Kuhlbrodt *et al.* (2018). Here, the model was run using the
- 206 Unified Model (UM), version 10.7, and including the following components: i) Global Atmosphere
- 207 (GA) version 7.1, with an N96 atmospheric spatial resolution (approximately 1.875° longitude by
- 208 1.25° latitude) and 85 vertical levels; ii) the NEMO ocean component, version 3.6, including Global
- 209 Ocean (GO) version 6.0 (ORCA1), with an isotropic Mercator grid which, despite varying in both
- 210 meridional and zonal directions, has an approximate spatial resolution of  $1^{\circ}$  by  $1^{\circ}$  and 75 vertical
- 211 levels; iii) the Global Sea Ice (GIS) component, version 8.0 (GSI8.0); iv) the Global Land (GL)
- 212 configuration, version 7.0, of the Joint UK Land Environment Simulator (JULES); and v) the OASIS3
- 213 MCT coupler. The official title for this configuration of HadGEM3-GC3.1 is HadGEM3-GC31-LL
- 214 N96ORCA1 UM10.7 NEMO3.6 (for brevity, hereafter HadGEM3).

- All of the above individual components are summarised by Williams *et al.* (2017) and detailed
- individually by a suite of companion papers (see Walters *et al.* 2017 for GA7 and GL7, Storkey *et al.*
- 218 2017 for GO6 and Ridley et al. 2017 for GIS8). However, a brief description of the major changes
- relative to its predecessor are given in the Supplementary Material. When all of these components are
- 220 coupled together to give GC3, there have been several improvements relative to its predecessor
- 221 (GC2), most noticeably to the large warm bias in the Southern Ocean (which was reduced by 75%), as
- well as an improved simulation of clouds, sea ice, the frequency of tropical cyclones in the Northern
- Hemisphere as well as the AMOC, and the Madden Julian Oscillation (MJO) (Williams *et al.* 2017).
- Relative to the previous fully-coupled version of the model (HadGEM2), which was submitted to the
- 225 last CMIP5/PMIP3 exercise, many systematic errors have been improved including a reduction of the
- temperature bias in many regions, a better simulation of mid-latitude synoptic variability, and an
- 227 improved simulation of tropical cyclones and the El Niño Southern Oscillation (ENSO) (Williams et
- **228** *al.* 2017).
- 229

230 Here, the *midHolocene* and *lig127k* simulations were both run on the UK National Supercomputing 231 Service, ARCHER, whereas the *piControl* was run on a different platform based within the UK Met 232 Office's Hadley Centre. While this may mean that anomalies computed against the *piControl* are 233 potentially influenced by different computing environments, and not purely the result of different 234 climate forcings, the reproducibility of GC3.1 simulations across different platforms has been tested 235 (Guarino et al. 2020a). It was found that, although a simulation length of 200 years is recommended 236 whenever possible to adequately capture climate variability across different platforms, the main 237 climate variables considered here (e.g. surface temperature) are not expected to be significantly different on a 100- or 50-year timescale (see, for example, Fig. 6 in Guarino et al. [2020a]) as they are 238 239 not directly affected by medium-frequency climate processes.

240

#### 241 **2.2. Experiment design**

Full details of the experimental design and results from the CMIP6 *piControl* simulation are

243 documented in Menary et al. (2018). Both the warm climate simulations followed the experimental

- 244 design given by Otto-Bliesner et al. (2017), and specified at
- 245 <u>https://pmip4.lsce.ipsl.fr/doku.php/exp\_design:index</u>. The primary differences from the *piControl*
- 246 were to the astronomical parameters and the atmospheric trace greenhouse gas concentrations,
- summarised in Table 1. For the astronomical parameters, these were prescribed in Otto-Bliesner *et al.*
- 248 (2017) according to orbital constants from Berger & Loutre (1991). However, in HadGEM3, the
- individual parameters (e.g. eccentricity, obliquity, etc) use orbital constants based on Berger (1978),
- according to the specified start date of the simulation. For the atmospheric trace greenhouse gas

- concentrations, these were based on recent reconstructions from a number of sources (see Table 1 for
  values, and section 2.2 in Otto-Bliesner *et al.* [2017] for a full list of references/sources).
- 253

All other boundary conditions, including solar activity, ice sheets, topography and coastlines, volcanic

- activity and aerosol emissions, are identical to the CMIP6 *piControl* simulation. Likewise, vegetation
- was prescribed to present-day values, to again match the CMIP6 *piControl* simulation. As such, the
- *piControl* and both the warm climate simulations actually include a prescribed fraction of urban land
- surface. As a result of this, our orbitally- and greenhouse gas-forced simulations should be considered
- as anomalies to the *piControl*, rather than absolute representations of the MH or LIG climate.
- 260
- Both the warm climate simulations were started from the end of the *piControl* spin-up phase (which
- ran for approximately 600 years), after which time the *piControl* was considered to be in atmospheric
- and oceanic equilibrium (Menary *et al.* 2018). To assess this, four metrics were used, namely net
- radiative balance at the top of the atmosphere (TOA), surface air temperature (SAT), full-depth ocean
- temperature (OceTemp) and full-depth ocean salinity (OceSal) (Menary *et al.* 2018). See Section 2.4
- 266 (and in particular Table 2) for an analysis of the equilibrium state of both the *piControl* and the warm
- climate simulations. Starting at the end of the *piControl*, these were then run for their own spin-up
- phases, 400 and 350 years for the *midHolocene* and *lig127k* respectively. Once the simulations were
- considered in an acceptable level of equilibrium (see Section 2.4), a production phase was run for 100
- and 200 years for the *midHolocene* and *lig127k* respectively, during which the full CMIP6/PMIP4
- 271 diagnostic profile was implemented to output both high and low temporal frequency variables.
- 272

#### 273 **2.3. Data**

- Recent data syntheses compiling quantitative surface temperature and rainfall reconstructions wereused in order to evaluate the warm climate simulations.
- 276
- 277 For the MH, the global-scale continental surface mean annual temperature (MAT) and rainfall (or
- 278 mean annual precipitation, MAP) reconstructions from Bartlein et al. (2011), with quantitative
- 279 uncertainties accounting for climate parameter reconstruction methods, were used (see Data
- 280 Availability for access details). They rely on a combination of existing quantitative reconstructions
- based on pollen and plant macrofossils and are inferred using a variety of methods (see Bartlein *et al.*
- 282 2011 for further details). At each site, the 6 ka anomaly (corresponding to the 5.5-6.5 ka average
- value), is given relative to the present day, and in the case where modern values could not be directly
- inferred from the record, modern climatology values (1961-1990) were extracted from the Climate
- 285 Research Unit historical climatology data set (New *et al.* 2002). Further proxy data for the MH, such
- as SST reconstructions, are not included here, as an extensive model-data comparison is presented in a
- 287 companion paper (Brierley *et al.* 2020).

289 For the LIG, two recent different sets of surface temperature data are available. Firstly, the Capron et 290 al. (2017) 127 ka timeslice of SAT and sea surface temperature (SST) anomalies (relative to pre-291 industrial, 1870-1899), is based on polar ice cores and marine sediment data that are (i) located 292 poleward of 40° latitude and (ii) have been placed on a common temporal framework (see Data 293 Availability for access details). Polar ice core water isotope data are interpreted as annual mean 294 surface air temperatures, while most marine sediment-based reconstructions are interpreted as summer 295 (defined here as July-September, JAS) SST signals. For each site, the 127 ka value was calculated as 296 the average value between 126 and 128 ka using the surface temperature curve resampled every 0.1 297 ka. Here, we use the SST anomalies only. Secondly, a global-scale time slice of SST anomalies, 298 relative to pre-industrial (1870-1889), at 127 ka was built, based on the recent compilation from 299 Hoffman et al. (2017), which includes both annual and summer SST reconstructions (see Data 300 Availability for access details). This adds further novelty to this study, by using a new combined dataset based on this existing data. The 127 ka values at each site were extracted, following the 301 302 methodology they proposed for inferring their 129, 125 and 120 ka time slices i.e. the SST value at 303 127 ka was taken on the provided mean 0.1 ka interpolated SST curve for each core location. Data 304 syntheses from both Capron et al. (2014, 2017) and Hoffman et al. (2017) are associated with 305 quantitative uncertainties accounting for relative dating and surface temperature reconstruction 306 methods. Here, the two datasets are treated as independent data benchmarks, as they use different 307 reference chronologies and methodologies to infer temporal surface temperature changes, and therefore they should not be combined. See Capron et al. (2017) for a detailed comparison of the two 308 309 syntheses. A model-data comparison exercise using existing LIG data compilations focusing on 310 continental surface temperature (e.g. Turney and Jones 2010) was not attempted, as they do no benefit yet from a coherent chronological framework, preventing the definition of a robust time slice 311 312 representing the 127 ka terrestrial climate conditions (Capron et al. 2017).

313

A brand-new, recently-published dataset of proxy precipitation anomalies (again, relative to the 314 315 preindustrial) is also used for model-data comparison purposes here, adding further novelty to this 316 study. The proxy data are compiled from existing literature by Scusscolini et al. (2019), and the 317 dataset includes 138 proxy locations from a number of paleoclimatic archives including pollen, fossils 318 other than pollen, lacustrine or marine sediment composition, loess deposits, and other multi-proxy 319 sources. Note that, as Scusscolini et al. (2019) observe, unlike temperature anomalies the majority of 320 precipitation anomalies in the existing literature are not quantitative. To allow a quantitative 321 comparison, Scusscolini et al. (2019) use a semi-quantitative scale, based on their expert judgement, to show a LIG that is 'much wetter', 'wetter', 'no discernible change', 'drier' and 'much drier', 322 relative to the PI. The same scale is therefore used here. See Scusscolini et al. (2019) for further 323

324 information, and see Data Availability for access details).

#### 326 **2.4. Spin-up simulations**

327 As briefly mentioned above, both the warm climate simulations had a spin-up phase before the main production run was started, briefly discussed here. As an example of atmospheric equilibrium, annual 328 329 global mean 1.5 m air temperature and TOA radiation from both warm climate simulations, compared 330 to the *piControl*, are summarised in Table 2; see Supplementary Material (SM2) for the timeseries of 331 these fields. For the warm climate simulations, despite considerable interannual variability and 332 arguably more so than in the *piControl* (see SM2), both are showing long-term trends of -0.06°C century<sup>-1</sup> and -0.16°C century<sup>-1</sup> for the last 100 years of the *midHolocene* and *lig127k*, respectively 333 (Table 2). The spatial patterns of these trends, also shown in the Supplementary Material (SM3), are 334 335 similar in both warm climate simulations, with much of the statistically significant cooling occurring 336 over high latitude regions in both Hemispheres, and particularly so over Antarctica in the  $lig_{127k}$ simulation (SM3). The TOA radiation balance is also showing long-term (and again slightly 337

negative) trends by the end of the simulations, with -0.05 W  $m^2$  and -0.06 W  $m^2$  for the the

- 339 *midHolocene* and *lig127k*, respectively.
- 340

341 As an example of oceanic equilibrium, annual global mean full-depth OceTemp and OceSal are

- shown in Table 2 (and again visualised in the Supplementary Material, SM4). OceTemp is steadily
- increasing throughout the *piControl*, and this continues in both warm climate simulations, whereas
- there is a dramatic fall in ocean salinity in these simulations (SM4). Concerning the long-term trends,
- 345 Menary *et al.* (2018) considered values acceptable for equilibrium to be < +/-0.035°C century<sup>-1</sup> and <
- +/-0.0001 psu century<sup>-1</sup> (for OceTemp and OceSal, respectively); as shown in Table 2, although both
- 347 warm climate simulations meet the temperature criterion, the *midHolocene* it is not meeting the
- 348 salinity criterion (-0.0004 psu). However, running for several thousands of years (and > 5 years of
- computer time), which would be needed to reach true oceanic equilibrium, was simply unfeasible heregiven time and resource constraints.

351

#### 352 **3. RESULTS**

#### **353 3.1. Production runs results**

The warm climate production runs were undertaken following the spin-up phase, with the climatology of each simulation being compared to that from the *piControl*, as well as available proxy data, using

- 356 either annual means or summer/winter seasonal means. For the latter, depending on the availability of
- the proxy data, Northern Hemisphere summer is defined as either June-August (JJA) or JAS, and
- 358 Northern Hemisphere winter is defined as either December-February (DJF) or January-March (JFM);
- and vice versa for Southern Hemisphere summer/winter. As briefly introduced in Section 1, the focus
- is on two separate measures: i) to describe and understand the differences between the two most

- 361 recent warm climate simulations and the *piControl* in terms of temperature, rainfall and
- 362 atmospheric/oceanic circulation changes; and ii) to compare both current simulations, as well as
- 363 simulations from previous versions of the UK model (where available), with the aforementioned
- newly-available proxy data, to assess any improvements due to model advances. A final aim,
- 365 discussed only briefly here but shown in the Supplementary Material, is to include previous CMIP5
- 366 models to address the question of whether any of the simulations produce enough rainfall to allow
- 367 vegetation growth across the Sahara: the mid-Holocene 'Saharan greening'.
- 368

# **369 3.1.1. Do the CMIP6 HadGEM3 warm climate simulations show temperature, rainfall and**

#### atmospheric/oceanic circulation differences when compared to the pre-industrial era?

371 Here we focus on mean differences between the HadGEM3 warm climate simulations and the 372 corresponding *piControl*. Calendar adjusted annual and seasonal mean summer/winter 1.5 m air 373 temperature anomalies (relative to the *piControl*) from both warm climate simulations are shown in 374 Figure 2. As an example and for comparative purposes, the same figure but where the data are based 375 on the modern calendar is shown in the Supplementary Material (SM5); this suggests that the impact 376 of the calendar adjustments on this field, and at this spatial and temporal scale, is negligible, with the only obvious impact occurring over the Northern Hemisphere polar regions during JJA in both 377 378 simulations, but more so in the  $lig_{127k}$  simulation (due to the larger changes in insolation resulting in 379 a larger change to the calendar, relative to the MH). Consistent with the seasonality of the changes, 380 the differences between either simulation are less at the annual timescale (Figure 2a and d) than 381 during individual seasons, but are still nevertheless to statistically significant at the 99% level. During 382 JJA, the *midHolocene* is showing a widespread statistically significant increase in temperatures of up to 2°C across the entire Northern Hemisphere north of 30°N, more in some places e.g. Greenland 383 384 (Figure 2b), consistent with the increased latitudinal and seasonal distribution of insolation caused by 385 known differences in the Earth's axial tilt (Berger & Loutre 1991, Otto-Bliesner et al. 2017). The only places showing a reduction in temperature are West and Central Africa (around 10°N) and 386 387 northern India; this, as discussed below, is likely related to increased rainfall in response to a stronger 388 summer monsoon, but could also be due to the resulting increase in cloud cover (reflecting more 389 insolation) or a combination of the two. During DJF, only the Northern Hemisphere high latitudes 390 (north of 60°N) continue this warming trend, with the rest of continental Africa and Asia showing a reduction in temperature (Figure 2c). These patterns are virtually the same in the lig127k simulation 391 392 (Figure 2e and f), just much more pronounced (with statistically significant temperature increases during JJA of 5°C or more); again, this is consistent with the differences in the Earth's axial tilt, which 393 394 were more extreme (and therefore Northern Hemisphere summer experienced larger insolation 395 changes) in the LIG relative to the MH (Berger & Loutre 1991, Otto-Bliesner et al. 2017). Another 396 clear feature of these figures, at either annual or seasonal timescales, is polar amplification, which is 397 likely associated with changes in sea-ice; as shown in the Supplementary Material (SM6), statistically

significant decreases in sea-ice are shown throughout the polar regions of both hemispheres in the
 *midHolocene*, relative to the *piControl*. The same is true for the *lig127k* simulation, just more
 pronounced (not shown).

401

402 Calendar adjusted seasonal mean summer and winter surface daily rainfall anomalies (again relative 403 to the *piControl*) from both warm climate simulations are shown in Figure 3. In line with the 404 aforementioned increased latitudinal and seasonal distribution of insolation, the largest differences in 405 either simulation occur during Northern Hemisphere summer (Figure 3b and e). Both warm climate 406 simulations are showing statistically against increases in rainfall around the monsoon regions, 407 especially over northern India and equatorial Africa, more so in the lig127k (Figure 3e). Both 408 simulations are also showing oceanic drying relative to the *piControl*, especially in the equatorial 409 Atlantic and Pacific, again more pronounced in the lig127k (Figure 3e). In contrast, during DJF, less 410 of an impact is seen in either simulation relative to the *piControl*, with a small but statistically significant increase in rainfall in oceanic equatorial regions but drying over tropical land regions e.g. 411 412 southern Africa, central Brazil and northern Australia (Figure 3c and f). Again, consistent with the 413 increased insulation changes during the LIG compared to the MH, these differences are stronger in the 414 *lig127k* simulation (Figure 3f). Consistent with the temperature differences, these signals are again 415 weaker at the annual timescale but are nevertheless statistically significant (Figure 3a and b).

416

417 A measure of oceanic circulation is also considered here, shown by the three HadGEM3 simulations of meridional overturning circulation (MOC) in the Atlantic basin and globally (Figure 4a-c and d-f, 418 419 respectively). Although not identical, the differences are nevertheless negligible, with both warm 420 climate simulations almost exactly reproducing the structures of weakly and strongly overturning 421 MOC seen in the *piControl*; for example, the strongly overturning MOC in the upper levels of the Atlantic is marginally stronger in the *midHolocene* at ~30-40°N relative to the other two simulations, 422 423 but the structures are very similar. This suggests that the changes to atmospheric fields such as P-E. 424 energy fluxes and wind stress (in response to the insolation changes) are having a minimal impact on 425 the overturning circulation, and this is consistent with other work (e.g. Guarino et al. [2020b]).

426

427 A key region of interest, concerning mean precipitation changes and changes to the extent and

428 latitudinal distribution of monsoon regions, is northern Africa, primarily because of the

429 aforementioned inability of previous models to reproduce the increases shown by the proxy data here

430 (e.g. Braconnot *et al.* 2007, Braconnot *et al.* 2012). Therefore, Figure 5 reproduces the above

431 precipitation changes but zooms into Africa and additionally includes calendar adjusted mean JJA (the

432 primary monsoon region) 850mb wind anomalies (relative to the *piControl*). In response to the

433 increased Northern Hemisphere summer insolation, the West African monsoon is enhanced in both

434 simulations, with positive (negative) rainfall anomalies across sub-Saharan Africa (eastern equatorial

435 Atlantic) suggesting a northward displacement of the rainfall maxima. This is consistent with 436 previous work, with a northward movement of the rainbelt being associated with increased advection 437 of moisture into the continent (Huag et al. 2001, Singarayer et al. 2017, Wang et al. 2014). This increased advection of moisture is shown by the enhanced low-level westerlies at all latitudes but 438 439 especially over the regions of rainfall maxima in Figure 5a and b, drawing in more moisture from the 440 tropical Atlantic, which are consistent with previous work documenting the intensified monsoon 441 circulation (Huag et al. 2001, Singarayer et al. 2017, Wang et al. 2006). This pattern is enhanced in 442 the *lig127k* relative to the *midHolocene*, again in response to the stronger insolation changes relative 443 to the MH, and the northward displacement of the central rainbelt is more pronounced in the  $lig_{127k}$ 444 simulation (Figure 5c).

445

446 The change to the intensity and the spatial pattern (e.g. latitudinal positioning and extent) of the West 447 African monsoon is further shown in Figure 6, which shows calendar adjusted daily JJA rainfall by latitude over West Africa (averaged over 20°W-15°E, land points only) from both warm climate 448 449 simulations. This figure also includes MH and LIG simulations from previous generations of the 450 same model. It should be noted that although LIG experiments have been conducted previously with 451 both model-model and model-data comparisons being made (Lunt et al. 2013), all of these 452 experiments were carried out using early versions of the models and were thus not included in 453 CMIP5. Moreover, as part of their assessment Lunt et al. (2013) considered a set of four simulations, 454 at 130, 128, 125 and 115 ka, none of which are directly comparable to the current HadGEM3 *lig127k* simulation. Instead, a LIG simulation has recently been undertaken using one of the original versions 455 of the UK's physical climate model, HadCM3, and so this is used here to compare with the *lig127k* 456 457 simulation.

458

Beginning with the recent paleoclimate HadGEM3 simulations, in line with the changes in insolation
both warm climate simulations are showing higher absolute values at their peak (between ~7.5-10°N)

than the *piControl* (Figure 6a). Concerning anomalies, both simulations are showing a large increase

462 in rainfall relative to the *piControl* (of  $\sim 2$  and 6 mm day<sup>-1</sup> for the *midHolocene* and *lig127k*,

463 respectively) over the monsoon region between  $\sim 10-12^{\circ}$ N (Figure 6b). Relative to previous versions

of the same model, the previous generation (HadGEM2-ES) is slightly drier then HadGEM3 over this

region for its PI simulation and slightly wetter for its MH simulation; conversely, the version before

- that (HadCM3) is consistently wetter than HadGEM3, for all of its simulations (Figure 6a). There
- 467 also appears to be a northward displacement in the oldest version, with the largest difference between
- 468 the simulations and their corresponding PI simulations occurring at  $\sim 11^{\circ}$ N in the two most recent
- 469 versions of the model, whereas in HadCM3 this appears to be shifted northwards to ~12.5°N (Figure
- 470 6b). This northward displacement in certain models is consistent with previous work (e.g. Huag et al.
- 471 2001, Otto-Bliesner et al. 2017, Singarayer et al. 2017, Wang et al. 2014). In terms of the latitudinal

- 472 extent, the results suggest that all warm climate simulations (regardless of generation) are producing a
- 473 wider Northern Hemisphere monsoon region (i.e. a greater northerly extent) relative to each version's
- 474 PI, with rainfall falling to near zero at ~18°N in the PI simulations but extending to 20°N (and above,
- 475 in terms of the LIG simulations) in both warm climate simulations (Figure 6a). This is again
- 476 consistent with previous work, where various theories are compared as to the reasons behind the
- 477 latitudinal changes in the rainbelt's position, one which is a symmetric expansion during boreal
- 478 summer (Singarayer & Burrough 2015, Singarayer et al. 2017).
- 479

#### 480 **3.1.2.** Simulation comparison and Model-Data comparison: Do the CMIP6 HadGEM3

# 481 simulations reproduce the 'reconstructed' climate based on available proxy data, and has there 482 been any noticeable improvement relative to previous versions of the same model?

483 Although the above analysis is useful and confirms that the most recent warm climate simulations are 484 responding consistently to the increased latitudinal and seasonal distribution of insolation, it does not give any information on which (if any) of the simulations is most accurate or which version of the 485 486 model is better at reproducing proxy-observed conditions. Therefore, here we bring in a comparison 487 with newly-available proxy data, comparing these to all versions of the model, focusing on surface air 488 temperature, SST and rainfall (drawing direct comparisons, as well as using the root mean square 489 error (RMSE, but without a cut-off threshold), between both proxy vs simulated data and HadGEM3 490 vs previous versions. The aim of this is to firstly see how well the current warm climate simulations 491 are reproducing the 'observed' approximate magnitudes and patterns of change, and secondly to 492 assess any possible improvement from previous versions of the same model. It is worth noting that 493 both simulated and proxy anomalies contain a high level of uncertainty (as measured by the standard 494 deviation), and in many locations the uncertainty is larger than the anomalies themselves (not shown). 495 The following results should therefore be considered with this caveat in mind.

496

497 Before the spatial patterns are compared, it is useful to assess global means from the three HadGEM3 498 simulations (focusing on 1.5 m air temperature, calculated both annually and during Northern and 499 Southern Hemisphere summer, JJA and DJF respectively). Table 3 shows these global means, where 500 it is clear that when annual means are considered, the *midHolocene* simulation is actually cooler than 501 the *piControl*. This discrepancy with the palaeodata, which at many locations suggests a warmer MH 502 relative to PI, is consistent with previous work using other models (e.g. Lui et al. 2014). The lig127k 503 simulation is, however, warmer than the *piControl* simulation. Given the seasonal distribution of 504 insolation in these two simulations, it is expected that the largest difference to the *piControl* occurs 505 during boreal summer, and indeed it does; during JJA, there is a warmer *lig127k* and a slightly warmer *midHolocene* (1.69°C and 0.07°C, respectively). The opposite is true during DJF. 506 507

508 Concerning the spatial patterns during the MH, Figure 7 shows simulated surface MAT anomalies 509 from the current *midHolocene* simulation and those from two previous versions of the same model, 510 versus MH proxy anomalies from Bartlein et al. (2011). Note that, here, statistical significance of the simulated anomalies has not been shown, because firstly the aim here is to assess all differences 511 512 regardless of significance and secondly because a measure of statistical significance (for HadGEM3) 513 has already been presented in Figure 2; statistical significance from the other versions of the same 514 model is virtually identical (not shown). Globally, all three models are showing a reasonable level of 515 agreement to the proxy data, with  $RMSE = 2.45^{\circ}C$ ,  $2.42^{\circ}C$  and  $2.37^{\circ}C$  for HadGEM3, HadGEM2-ES 516 and HadCM3, respectively (Table 4a). Using this metric, the oldest version of the model (HadCM3) 517 is doing marginally better than the other models, relative to the proxy data. Spatially, however, there are differences to the proxy data and between model generations. Although all three generations 518 519 appear to be able to reproduce the sign of temperature change for many locations, with both simulated 520 and proxy anomalies suggesting increases in temperature North of 30°N and especially over northern Europe, the Arctic Circle increases are not as homogenous in HadCM3 (Figure 7d) and indeed this 521 522 model shows cooling over the Greenland Sea. Although this cannot be corroborated by the proxy 523 data, due to a lack of coverage, neither of the later generation models show this to the same extent 524 (Figure 7b and c). Discrepancies with the proxy data also occur in all three simulations across the 525 Mediterranean region, where all three simulations suggest a small warming but the proxy data indicate 526 cooling (Figure 7). Moreover, regarding the magnitude of change, all three simulations are 527 underestimating the temperature increase across most of the Northern Hemisphere, with for example increases of up to 1°C across Europe from the simulations compared to 3-4°C increases from the 528 529 proxy data. In the simulations, temperature anomalies only reach these magnitudes in the Northern 530 Hemisphere polar region (i.e. north of  $70^{\circ}$ N), not elsewhere. Further equatorward, all three simulations are identifying a slight cooling over the West African monsoon region (as discussed 531 532 above), but the accuracy of this relative to the proxy data is difficult to ascertain given the lack of 533 coverage across Africa and, where there are data locations, a highly variable sign of change (Figure 534 7a).

535

A similar conclusion can be drawn from MAP, shown in Figure 8, where all three simulations are 536 537 correctly reproducing the sign of change across most of the Northern Hemisphere, although more so 538 in the two most recent generations of the model (HadGEM3 and HadGEM2-ES), but in some places 539 not the magnitude. Over the eastern US, for example, rainfall decreases of up to 200 mm yr<sup>-1</sup> are 540 being shown by the simulations (Figure 8b-d) whereas the proxy data suggests a much stronger drying 541 of up to 400 mm yr<sup>-1</sup> (Figure 8a). Elsewhere, such as over Europe and Northern Hemisphere Africa, 542 the simulations more accurately reproduce the magnitude of rainfall increases; both simulated and proxy anomalies show increases of 200-400 mm yr<sup>-1</sup>. Globally, Table 4a suggests that the most recent 543

544 generation model, HadGEM3, is doing better than the others, relative to the proxy data (RMSE =

545 285.9 mm yr<sup>-1</sup>, 293.5 mm yr<sup>-1</sup> and 304.7 mm yr<sup>-1</sup> for HadGEM3, HadGEM2-ES and HadCM3,

- respectively). In terms of how the spatial patterns change according to model version, during the MH
- 547 the two most recent simulations generally agree ( $RMSE = 90.8 \text{ mm year}^{-1}$ , Table 4a) and show similar
- spatial patterns; focusing again on the African monsoon region (for the aforementioned reasons), both
- simulations show a drier equatorial Atlantic during the MH and then increased rainfall around 10°N
- 550 (Figure 8b and c for HadGEM3 and HadGEM2-ES, respectively). Both simulations also suggest that
- the increases in rainfall extend longitudinally across the entire African continent, with the largest
- changes not only occurring across western and central regions but also further east. In contrast,
- globally HadCM3 agrees less with HadGEM3 (RMSE =  $121.8 \text{ mm year}^{-1}$ , <u>Table 4a</u>) and only
- suggests a wetter MH over West Africa, not further east. HadCM3, and indeed HadGEM2-ES, also
- differs from the most recent simulation over the equatorial Atlantic, showing a region of drying that is
- not only stronger in magnitude but also larger in terms of spatial extent; whilst still present in
- 557 HadGEM3, this feature that is much weaker (Figure 8b-d).
- 558

559 Concerning the spatial patterns during the LIG, Figure 9 shows simulated mean SST anomalies 560 (calculated both annually and during JAS/JFM) from the current *lig127k* simulation and that from the 561 oldest version of the same model, versus LIG proxy anomalies from two sources, Capron et al. (2017) 562 and Hoffman et al. (2017). No LIG simulation using HadGEM2-ES is currently available. When 563 annual anomalies are considered, there is relatively good agreement globally between HadGEM3 and 564 the proxy data where RMSE =  $3.03^{\circ}$ C and  $2.42^{\circ}$ C for the Capron *et al.* (2017) and Hoffman *et al.* (2017) data, respectively (Table 4b). HadCM3 performs marginally better when compared to the 565 566 Capron et al. (2017) data, but worse when compared to the Hoffman et al. (2017) data (Table 4b). 567 Similarly varying results also occur when JAS and JFM anomalies are considered, with HadGEM3 comparing slightly better or worse than HadCM3 according to season and proxy dataset used; all of 568 569 the values, however, show relatively good agreement, with no simulation exceeding  $RMSE = 4.5^{\circ}C$  in 570 any season or with any dataset (Table 4b). Spatially, HadGEM3 is showing a general agreement 571 between simulated and proxy annual and JAS anomalies in the Northern Hemisphere (and in 572 particular in the North Atlantic), with both suggesting increased temperatures during the LIG of up to 5°C (Figure 9a and b). HadCM3 is not capturing these magnitudes at the annual timescale (Figure 9d) 573 574 and, despite showing greater warming during JAS, is still lower than HadGEM3; this is more in 575 agreement with the proxy data at higher latitudes (e.g. the western Norwegian Sea at  $\sim 70^{\circ}$ N) but less 576 so further south (Figure 9e). This might suggest that, in this region, HadGEM3 is actually 577 overestimating the degree of warming. Nevertheless, in both versions of the model there are 578 discrepancies concerning not just in the magnitude but also in the sign of change, such as in the 579 eastern Norwegian Sea or the Labrador Sea, where reconstructions suggest a cooler LIG but both 580 versions show a consistent warming (Figure 9b and e). This is, however, consistent with previous 581 work, and earlier climate models have also failed to capture this cooling (Capron et al. 2014, Stone et

582 al. 2016). In Southern Hemisphere summer, JFM, both versions agree on a general (but weak)

- 583 cooling in the South Atlantic relative to preindustrial and a weak warming in the Southern Ocean
- 584 (Figure 9c and f). In contrast certain proxy locations (such as off the coast of southern Africa) suggest
- a much warmer LIG than preindustrial, which is opposite to the simulated cooling in the same region 585
- 586 (Figure 9c and f). Further south, the majority of simulated anomalies reproduce the observed sign of
- 587 change, but not the magnitude; here, the simulations suggest temperature increases of up to 1°C.
- whereas both proxy datasets suggest SST increases of 2-3°C depending on location (Figure 9c and f). 588
- 589

590 For rainfall changes during the LIG, Figure 10 shows simulated annual mean surface rainfall

591 anomalies from the current *lig127k* simulation and that from the oldest version of the same model, 592 versus LIG proxy anomalies from Scusscolini et al. (2019). Note that the simulated anomalies shown 593 here are annual anomalies, as opposed to daily anomalies in Figure 3, to be consistent with the proxy 594 data. Note also that, for these proxy reconstructions, a semi-quantitative scale is used by Scusscolini et al. (2019) rather than actual anomalies and is therefore reproduced here; this ranges from a unitless 595 596 -2 to 2, corresponding to 'Much wetter LIG anomaly', 'Wetter', 'No noticeable anomaly', 'Drier' and 597 'Much drier LIG anomaly'. It is for this reason that RMSE values have not been calculated here. As 598 was suggested from the MH simulations (Figure 8), both versions of the model are showing similar 599 patterns of rainfall changes, along the same lines as those seen during the MH but again enhanced 600 (Figure 10). Both versions are showing enhanced rainfall across the Northern Hemisphere equatorial 601 zone and in particular the monsoon regions during the LIG, often exceeding 500 mm year<sup>-1</sup> in some 602 places. In the Northern Hemisphere, both versions of the model are generally in agreement with the proxy data, with most proxy locations showing 'Wetter' or 'Much wetter' conditions. There are, 603 604 however, some discrepancies elsewhere, such as the regions of tropical drying over e.g. Brazil and southern Africa in the simulations being in stark contrast to the 'Wetter' conditions suggested by the 605 606 proxy data (Figure 10). Concerning the differences in the spatial patterns between the model versions, 607 although both generations qualitatively show similar patterns, there are subtle differences. Again 608 focusing on the African monsoon region, HadGEM3 shows greatly increased rainfall across all of 609 sub-Saharan Africa, centred on 10°N but extending from ~5°N to almost 20°N and longitudinally 610 across the entire African continent (Figure 10a). In contrast, and similar to the MH results, in 611 HadCM3 the largest rainfall increases are less apparent over East Africa (Figure 10b). 612

613 It would therefore be reasonable to say that, for both MH and LIG simulations, whilst the most recent 614 version of the model is capturing the sign and magnitude of change relative to proxy reconstructions 615 (for either temperature or rainfall) in some locations, this is highly geographically dependent and there 616 are locations where the current simulation fails to capture even the sign of change. Compared to 617 previous versions of the same model, any improvement also appears to be highly variable according

618 to metric, proxy reconstruction used for comparison and geographical location, with for example 619 HadGEM3 showing some improvement relative to previous versions for rainfall during the MH, but 620 not surface air temperature. The accuracy of the most recent model, and indeed previous generations, also appears to be seasonally dependent, with the most recent *lig127k* simulation correctly 621 reproducing both the sign and magnitude of change during Northern Hemisphere summer in some 622 623 locations, but not during Southern Hemisphere summer or annually. It would also appear that, for 624 both the MH and LIG simulations, whilst there is less difference between the most recent two 625 configurations of the model, they are nevertheless quite different to the oldest version. For global 626 mean annual rainfall during the MH, Table 4a shows a linear progression of improvement across the 627 three versions of the model, as well as more agreement between the two most recent model 628 generations. This is also true when just the region of rainfall maxima in northern Africa is considered, 629 with both of the two most recent generations, and especially HadGEM2-ES, being marginally closer to the proxy data than HadCM3 (RMSE =  $463.7 \text{ mm yr}^{-1}$ ,  $424.5 \text{ mm yr}^{-1}$  and  $468.4 \text{ mm yr}^{-1}$  for 630 631 HadGEM3, HadGEM2-ES and HadCM3, respectively). In all simulations, although spatial patterns of rainfall are similar, there are discrepancies especially over the African monsoon region; the oldest 632 633 version of the model, for example, only shows rainfall increases over West Africa, whereas the two 634 most recent versions imply Africa-wide rainfall increases at this latitude. If a comparison is made 635 with satellite-derived rainfall data for the modern West African monsoon (not shown), results suggest 636 that rainfall maxima are not just limited to West Africa but also occur over the central region and East 637 Africa, more consistent with the two most recent versions of the model. One reason for HadCM3 not identifying this longitudinal extent might be connected to the very coarse spatial resolution of this 638 model, relative to the others, impacting any topographically-induced rainfall, especially over the East 639 640 African Highlands.

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#### 642 3.1.3. Saharan greening

Finally, a brief discussion is given on the 'Saharan greening' question. Given that the warm climate
simulations, and indeed the *piControl*, did not use interactive vegetation, it is not possible to directly
test if the model is reproducing the Saharan greening that proxy data suggest. For example, Jolly *et*al. (1998a, 1998b) analysed MH pollen assemblages across northern Africa and suggested that some

areas south of 23°N (characterised by desert today) were grassland and xerophytic

- 648 woodland/scrubland during the MH (Joussaume et al. 1999). To circumvent this caveat, Joussaume et
- 649 *al.* (1999) developed a method for indirectly assessing Saharan greening, based on the annual mean
- 650 rainfall anomaly relative to a given model's modern simulation. Using the water-balance module
- from the BIOME3 equilibrium vegetation model (Haxeltine & Prentice 1996), Joussaume *et al.*
- (1999) calculated the increase in mean annual rainfall, zonally averaged over 20°W-30°E, required to
- support grassland at each latitude from 0 to 30°N, compared to the modern rainfall at that latitude.
- This was then used to create maximum and minimum estimates, within which bounds the model's

annual mean rainfall anomaly must lie to suggest enough of an increase to support grassland(Joussaume *et al.* 1999).

657

Therefore, an adapted version of Figure 3a in Joussaume et al. (1999) is shown in the Supplementary 658 659 Material (SM7), which shows mean annual rainfall anomalies by latitude (to be consistent with the 660 proxy data-based threshold) from not only the current *midHolocene* simulation, but also all previous 661 MH simulations from CMIP5. Concerning the threshold required to support grassland, it is clear that 662 although the current *midHolocene* simulation is just within the required bounds at lower latitudes (e.g. 663 up to 17°N), north of this the current *midHolocene* simulation is not meeting the required threshold, neither are any of the other CMIP5 models after  $\sim 18^{\circ}N$  (SM7). It would therefore appear that the 664 'Saharan greening' problem has yet to be resolved, and may well only be reproduced once interactive 665 666 vegetation, and indeed interactive dust, is included in the simulation; given the current lack of an

- 667 interactive vegetation/dust model, vegetation-related climate feedbacks (e.g. albedo) on the system are668 therefore currently missing.
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#### 670 4. SUMMARY AND CONCLUSIONS

671 This study has conducted and assessed the mid-Holocene and Last Interglacial simulations using the

- 672 latest version of the UK's physical climate model, HadGEM3-GC3.1, comparing the results firstly
- 673 with the model's preindustrial simulation and secondly with previous versions the same model,
- against available proxy data. Therefore this study is novel, being the first time this version of the UK
- model has been used to conduct any paleoclimate simulations and therefore being the first time we are
- 676 in a position to include them as part of the UK's contribution to CMIP6/PMIP4. Both the
- 677 *midHolocene* and *lig127k* simulations followed the experimental design defined in Otto-Bliesner *et al.*
- 678 (2017) and the CMIP6/PMIP4 protocol. Both simulations were run for a 350-400 year spin-up phase,
- during which atmospheric and oceanic equilibrium were assessed, and once an acceptable level of
- equilibrium had been reached, the production runs were started.
- 681

682 Globally, whilst both the recent simulations are mostly capturing the sign and, in some places, 683 magnitude of change relative to the PI, similar to previous model simulations this is geographically 684 and seasonally dependent. It should be noted that the proxy data (against which the simulations are 685 evaluated) also contain a high level of uncertainty in both space and time (in terms of both seasons 686 and geological era), and so it is encouraging that the simulations are generally reproducing the large-687 scale sign of change, if not at an individual location. Compared to previous versions of the same 688 model, this appears to vary according to metric, proxy reconstruction used for comparison and geographical location. In some instances, such as annual mean rainfall in the MH, there is a clear and 689 690 linear improvement (relative to proxy data) through the model generations when rainfall is considered

691 globally; likewise there is more accuracy in the two recent versions (again relative to proxy data) than

the oldest version when only the West African monsoon region is considered (see Table 4a and theRMSE values discussed in the concluding paragraph of Section 3.1.2).

694

Likewise, when zooming into Africa, the behaviour of the West African monsoon in both HadGEM3 695 696 one climate simulations is consistent with current understanding (e.g. Huag et al. 2001, Singarayer et 697 al. 2017, Wang et al. 2014), which suggests a wetter (and possibly latitudinally wider, and/or 698 northwardly displaced) monsoon during the MH and LIG, relative to the PI. Regarding model 699 development in simulating the West African monsoon, there are differences between model 700 generations; the oldest version of the model, for example, limits the rainfall increases to over sub-701 Saharan West Africa only, whereas the two most recent versions imply Africa-wide (i.e. across all 702 longitudes) rainfall increases at this same latitude. Lastly, regarding the well-documented 'Saharan 703 greening' during the MH, results here suggest that the most recent version of the UK's physical 704 climate model is consistent with all other previous models to date.

705

706 In conclusion, the results suggest that the most recent version of the UK's physical climate model is 707 reproducing climate conditions consistent with the known changes to insolation during these two 708 warm periods. Even though the *lig127k* simulation did not contain any influx of Northern 709 Hemisphere meltwater, shown by previous work to be a critical forcing in LIG simulations (causing 710 regions of both warming and cooling, according to location), it is still nevertheless showing increased 711 temperatures in certain regions. Another limitation of using this particular version of the model is 712 that certain processes, such as vegetation and atmospheric chemistry, were prescribed, rather than allowed to be dynamically evolving. Moreover, for practical reasons some of the boundary conditions 713 714 were left as PI, such as vegetation, anthropogenic deforestation and aerosols; a better simulation might be achieved if these were prescribed for the MH and LIG. Processes and boundary conditions 715 716 such as these may be of critical importance regarding climate sensitivity during the MH and the LIG, 717 and therefore ongoing work is underway to repeat both of these experiments using the most recent version of the UK's Earth Systems model, UKESM1. Here, although the atmospheric core is 718 719 HadGEM3, UKESM1 contains many other earth system components (e.g. dynamic vegetation), and 720 therefore in theory should be able to better reproduce these paleoclimate states.

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#### 722 DATA AVAILABILITY

723 The model simulations will be uploaded in the near future to the Earth System Grid Federation

(ESGF) WCRP Coupled Model Intercomparison Project (Phase 6), but are not yet publicly available.

725 The simulations are, however, available by directly contacting the lead author. For the MH

- reconstructions, the data can be found within the Supplementary Online Material of Bartlein *et al.*
- 727 (2011), at https://link.springer.com/article/10.1007/s00382-010-0904-1. For the LIG temperature
- reconstructions, the data can be found within the Supplementary Online Material of Capron *et al.*

- 729 (2017), at https://www.sciencedirect.com/science/article/pii/S0277379117303487?via%3Dihub, and
- the Supplementary Online Material of Hoffman *et al.* (2017), at
- 731 https://science.sciencemag.org/content/suppl/2017/01/23/355.6322.276.DC1. The LIG temperature
- reconstructions created here, based on the above Hoffman *et al.* (2017) data, are currently available by
- directly contacting the lead author. For the LIG precipitation reconstructions, the data can be found
- within the Supplementary Online Material of Scusscolini *et al.* (2019), at
- 735 <u>https://advances.sciencemag.org/content/suppl/2019/11/18/5.11.eaax7047.DC1</u>.
- 736

#### 737 COMPETING INTERESTS

- The authors declare that they have no conflict of interest.
- 739

#### 740 AUTHOR CONTRIBUTION

- 741 CJRW conducted the *midHolocene* simulation, carried out the analysis, produced the figures, wrote
- the majority of the manuscript, and led the paper. MVG conducted and provided the *lig127k*
- simulation, and contributed to some of the analysis and writing. EC provided the proxy data, and
- contributed to some of the writing. IMV provided the HadCM3 LIG simulation. PJV provided the
- HadCM3 MH simulation. JS contributed to some of the writing. All authors proofread the
- 746 manuscript and provided comments.
- 747

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1063	phase was run in three separate parts, to accommodate for minor changes/updates in the model as the
1064	simulation progressed. Note also that the first $\sim 50$ years of the <i>lig127k</i> simulation have been
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1088	grassland at each latitude, within which simulations must lie if producing enough rainfall to support
1089	grassland (adapted from Figure 3a in Joussaume et al. [1999])
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	piControl	midHolocene	lig127k	
Astronomical para				
Eccentricity	0.016764	0.018682	0.039378	
Obliquity	23.459	24.105°	24.04°	
Perihelion-180°	100.33	0.87°	275.41°	
Date of vernal	March 21 at noon	March 21 at noon	March 21 at noon	
equinox				
Trace gases				
CO <sub>2</sub>	284.3 ppm	264.4 ppm	275 ppm	
CH4	808.2 ppb	597 ppb	685 ppb	
N2O	273 ppb	262 ppb	255 ppb	
<b>Other GHG gases</b>	CMIP DECK	CMIP DECK	CMIP DECK	
	piControl	piControl	piControl	

1094 Table 1 - Astronomical parameters and atmospheric trace gas concentrations used in HadGEM3

1095 simulations

Variable	piControl	midHolocene	lig127k
TOA (W m <sup>2</sup> )	-0.002	-0.05	-0.06
1.5 m air temp (°C)	0.03	-0.06	-0.16
OceTemp (°C)	0.035	0.03	0.03
OceSal (psu)	0.0001	-0.0004	0.00007

1100 Table 2 - Trends (per century) in global mean measures of climate equilibrium for the last hundred

1101 years of the simulations, adapted from and including *piControl* results from Menary *et al.* (2018)

	Means (°C)			Anomalies (°C)		
Time period	piControl	midHolocene	lig127k	midHolocene – piControl	lig127k – piControl	
Annual	13.8	13.67	14.29	-0.12	0.49	
JJA	15.68	15.75	17.37	0.07	1.69	
DJF	11.86	11.55	11.39	-0.31	-0.47	

1105 Table 3 - Global 1.5 m air temperature means and anomalies from HadGEM3 *piControl*,

*midHolocene* and *lig127k* production runs

a)

1117

	Simu	lations vs proxy d	ata	Simulations vs simulations		
Metric	HadGEM3	HadGEM2-ES	HadCM3	HadGEM2-ES v HadGEM3	HadCM3 v HadGEM3	
MAT (°C)	2.45 2.42 2.37			0.65	0.57	
No. of locations	638			Global coverage		
MAP (mm year <sup>-1</sup> )	285.9 293.5 304.7		304.7	90.8 121.8		
No. of locations	651			Global coverage		

1118

1119

b)

1120

Metric	Simulations vs proxy data					
	Yearly		JAS		JFM	
	HadGEM3	HadCM3	HadGEM3	HadGEM3 HadCM3		HadCM3
SST from						
Capron et	3.03	3.04	3.03	2.98	2.81	2.62
al. (2017)						
No. of	3		24		15	
locations	3		27		15	
SST from						
Hoffman et	2.42	3.02	1.99	2.78	4.28	3.97
al. (2017)						
No. of	86		10		6	
locations	86		12		0	

1121

1122 Table 4 - RMSE values (for various metrics) between simulations from different generations of the

same model versus proxy data, and versus each other: a) MAT and MAP from the MH simulations

1124 versus proxy data from Bartlein et al. (2011); b) SST from the LIG simulations versus proxy data

1125 from Capron et al. (2017) and Hoffman et al. (2017). Regarding the proxy data comparisons in b), for

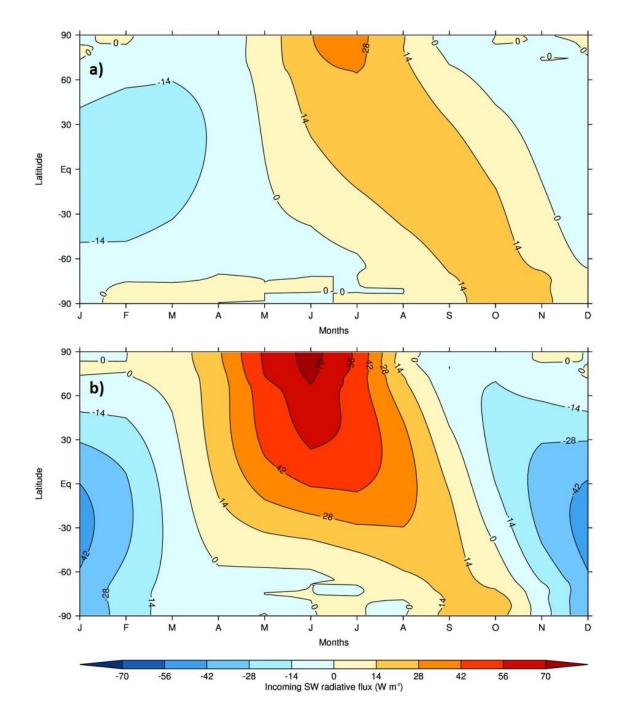
1126 JAS the simulated SST anomalies are compared to Northern Hemisphere summer reconstructions and

1127 for JFM the simulated SST anomalies are compared to Southern Hemisphere summer reconstructions

1128

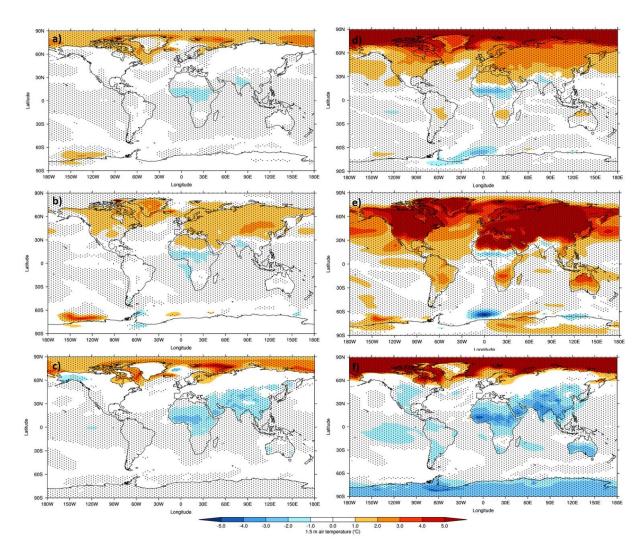
1129

#### 1131 FIGURES



1133 Figure 1 - Calendar adjusted latitude-month insolation (incoming SW radiative flux) anomalies: a)

*midHolocene - piControl*; b) *lig127k - piControl* 

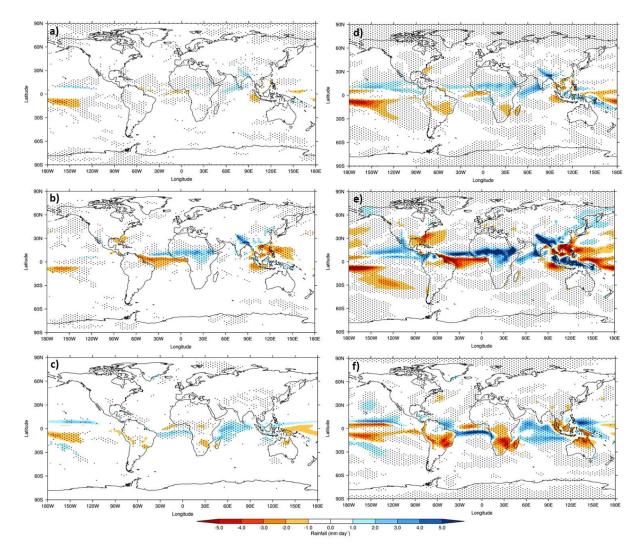


1137 Figure 2 - Calendar adjusted 1.5 m air temperature climatology differences, HadGEM3 *midHolocene* 

and *lig127k* production runs versus HadGEM3 *piControl* production run: a-c) *midHolocene* –

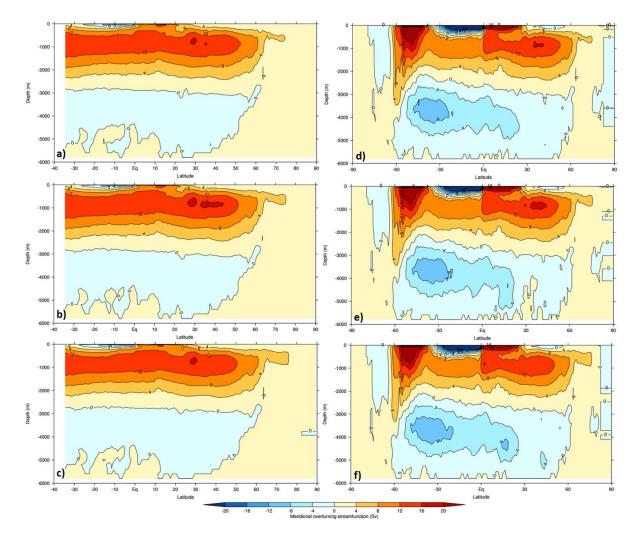
1139 *piControl*; d-f) *lig127k – piControl*. Top row: Annual; Middle row: Northern Hemisphere summer

- 1140 (JJA); Bottom row: Northern Hemisphere winter (DJF). Stippling shows statistical significance (as
- 1141 calculated by a Student's T-test) at the 99% level
- 1142





1144 Figure 3 - Same as Figure 2, but for daily surface rainfall differences

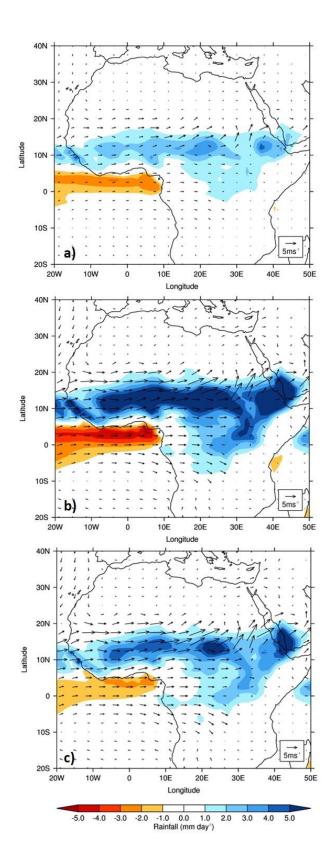




1146 Figure 4 - Annual mean meridional overturning streamfunction climatologies from HadGEM3: a-c)

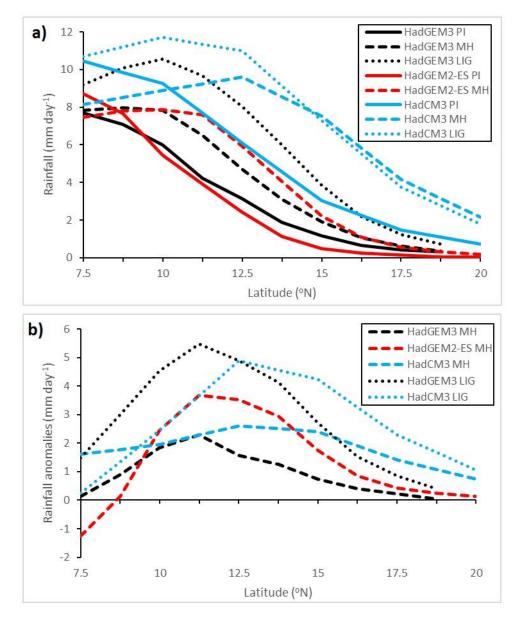
1147 Atlantic basin; d-f) Global. Top row: *piControl* simulation; Middle row: *midHolocene* simulation;

**1148** Bottom row: *lig127k* simulation



1151 Figure 5 - Calendar adjusted JJA daily surface rainfall and 850mb wind climatology differences,

- 1152 HadGEM3 *midHolocene* and *lig127k* production runs versus HadGEM3 *piControl* production run: a)
- 1153 *midHolocene piControl*; b) *lig127k piControl*; c) *lig127k midHolocene*



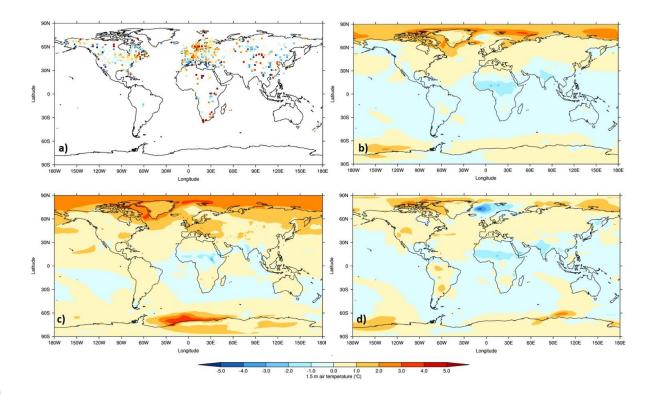
1154

1155 Figure 6 - Calendar adjusted JJA daily rainfall climatology by latitude, averaged over West Africa

1156 (20°W-15°E, land points only), for the various generations of the UK's physical climate model: a)

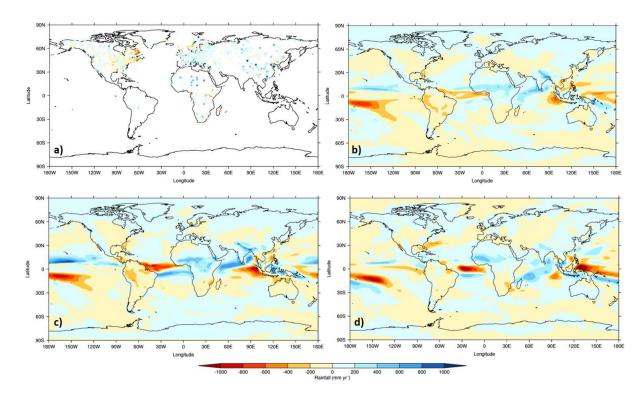
1157 Absolute values; b) Anomalies (MH or LIG – PI). Solid lines show PI simulations, dashed lines show

1158 MH simulations and dotted lines show LIG simulations

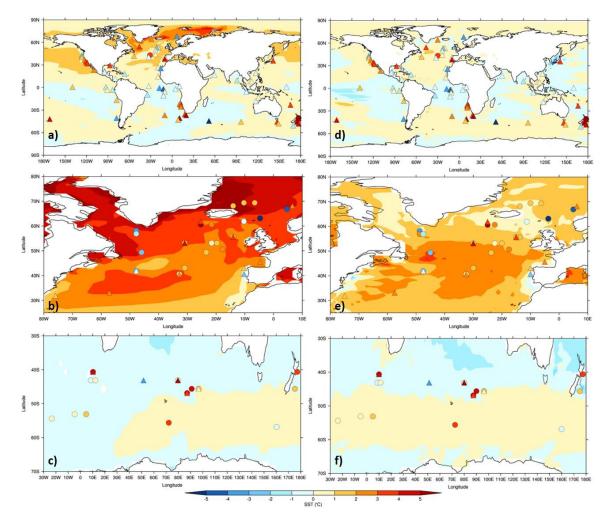


1160 Figure 7 - Calendar adjusted mean annual surface air temperature anomalies from simulated model

- 1161 data versus proxy data. Background data show simulated anomalies (MH PI) from different
- 1162 generations of the same model: a) Proxy data anomalies (MH PI) from Bartlein et al. (2011), with
- 1163 locations projected onto model grid; b) HadGEM3; c) HadGEM2-ES; d) HadCM3



1166 Figure 8 - Same as Figure 7, but for rainfall anomalies



1169 Figure 9 - Calendar adjusted SST anomalies from model simulated data versus proxy data.

1170 Background data show simulated anomalies (LIG - PI climatology) from different generations of the

same model, circles show proxy data anomalies (LIG – preindustrial) from Capron et al. (2017) and

triangles show anomalies from Hoffman et al. (2017). Proxy data locations are projected onto model

1173 grid: a-c) HadGEM3; d-f) HadCM3. Top row: Annual; Middle row: Northern Hemisphere summer

1174 (JAS); Bottom row: Southern Hemisphere summer (JFM)

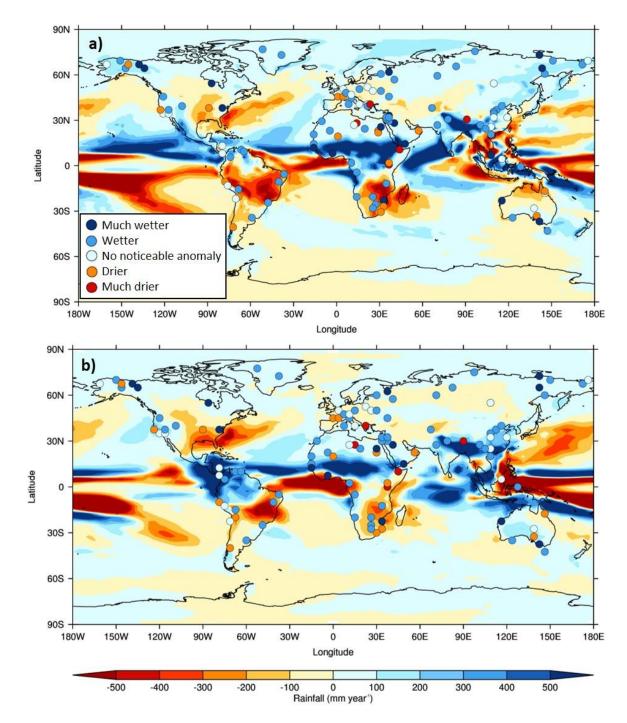


Figure 10 - Calendar adjusted annual surface rainfall anomalies from model simulated data versus
proxy data. Background data show simulated anomalies (LIG - PI climatology) from different
generations of the same model, circles show proxy data anomalies (LIG – preindustrial) from
Scussolini et al. (2019). Proxy data locations are projected onto model grid: a) HadGEM3; b)
HadCM3. Inset shows semi-quantitative scale of proxy data, adapted from Scussolini et al. (2019)