

1 Paleogeographic controls on the evolution of Late Cretaceous ocean
2 circulation

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16 **Abstract**

17 Understanding of the role of ocean circulation on climate during the Late Cretaceous is
18 contingent on the ability to reconstruct its modes and evolution. Geochemical proxies used to infer
19 modes of past circulation provide conflicting interpretations for the reorganization of the ocean
20 circulation through the Late Cretaceous. Here, we present climate model simulations of the
21 Cenomanian (100.5 – 93.9 Ma) and Maastrichtian (72.1 – 66.1 Ma) stages of the Cretaceous with the
22 CCSM4 earth system model. We focus on intermediate (500 – 1500 m) and deep (> 1500 m) ocean
23 circulation, and show that while there is continuous deep-water production in the southwestern Pacific,
24 major circulation changes occur between the Cenomanian and Maastrichtian. Opening of the Atlantic

25 and Southern Ocean, in particular, drives a transition from a mostly zonal circulation to enhanced
26 meridional exchange. Using additional experiments to test the effect of deepening of major ocean
27 gateways in the Maastrichtian, we demonstrate that the geometry of these gateways likely had a
28 considerable impact on ocean circulation. We further compare simulated circulation results with
29 compilations of ϵ_{Nd} records and show that simulated changes in Late Cretaceous ocean circulation are
30 reasonably consistent with proxy-based inferences. In our simulations, consistency with the geologic
31 history of major ocean gateways and absence of shift in areas of deep-water formation suggest that
32 Late Cretaceous trends in ϵ_{Nd} values in the Atlantic and southern Indian Oceans were caused by the
33 subsidence of volcanic provinces and opening of the Atlantic and Southern Oceans rather than
34 changes in deep-water formation areas and/or reversal of deep-water fluxes. However, the complexity
35 in interpreting Late Cretaceous ϵ_{Nd} values underscores the need for new records as well as specific ϵ_{Nd}
36 modeling to better discriminate between the various plausible theories of ocean circulation change
37 during this period.

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40 **1. Introduction**

41 Over the last several decades, a wealth of proxy data established that the Cretaceous period
42 was characterized by a greenhouse climate, with warmer than modern temperatures and an absence of
43 perennial polar ice sheets (e.g., Barron, 1983; Jenkyns et al., 2004; O'Brien et al., 2017). This
44 characterization draws on paleontological and paleobotanical data, including the findings of fossils of
45 ectothermic species (e.g., Tarduno et al., 1998) and woody vegetation (e.g., Bowman et al., 2014) at
46 polar latitudes, as well as geochemical studies indicating warm sea surface and deep ocean
47 temperatures at all latitudes (e.g., Wilson and Norris, 2001; Puc at et al., 2003; Friedrich et al., 2012;
48 MacLeod et al., 2013; O'Brien et al., 2017; Huber et al., 2018). Successive refinements of the data
49 indicating Cretaceous warmth also reveal a greater variability within Cretaceous climates than often
50 portrayed and includes carbon cycle perturbations referred to as ocean anoxic events (OAE, e.g.,
51 Schlanger and Jenkyns, 1976; Jenkyns, 2010) and intervals of cooler climatic conditions indicated by

52 evidence for polar sea ice (Davies et al., 2009; Bowman et al., 2013) and possibly short-lived polar ice
53 sheets (Price, 1999; Ladant and Donnadieu, 2016). Global paleotemperature compilations confirm this
54 variability and provide evidence of global warming through the Early Cretaceous to early Late
55 Cretaceous (Cenomanian-Turonian) interval of maximum temperatures followed by cooling through
56 the end of the Cretaceous (Cramer et al., 2011; O'Brien et al., 2017; Huber et al., 2018).

57 Early attempts at modeling past climates with atmosphere-only global climate models
58 suggested that Cretaceous warmth was the result of paleogeographic changes and higher atmospheric
59 CO₂ concentrations (Barron and Washington, 1982, 1984, 1985). The role of paleogeographic changes
60 on global temperature evolution across the Cretaceous has been debated for a long time (Poulsen et al.,
61 2001; Donnadieu et al., 2006; Fluteau et al., 2007). Recent experiments with models of higher
62 complexity and higher resolution support only a weak impact of Cretaceous paleogeographic changes
63 on global temperature evolution (Lunt et al., 2016; Tabor et al., 2016). In contrast, model simulations
64 (Poulsen and Zhou, 2013; Tabor et al., 2016) and paleo-CO₂ reconstructions (Fletcher et al., 2008;
65 Wang et al., 2014) suggest that atmospheric CO₂ concentrations provided a first order control on Late
66 Cretaceous temperatures. Indeed, compilations of paleo-CO₂ concentrations across the Cretaceous
67 suggest that CO₂ and temperatures broadly increased to peak levels during the Cenomanian and
68 Turonian thermal maximum, before decreasing throughout the Late Cretaceous (Wang et al., 2014).
69 The comparison between model simulations and proxy reconstructions of sea surface temperatures
70 (SST) provides further support for a Late Cretaceous cooling trend driven by decreasing CO₂ levels
71 (Tabor et al., 2016).

72 Late Cretaceous cooling is expressed heterogeneously at a regional scale and reveals inter-
73 basinal variations in both the surface and deep ocean (Friedrich et al., 2012; O'Brien et al., 2017;
74 Huber et al., 2018). For instance, records from the North Atlantic and Indian Ocean show cooling
75 from the Turonian to the mid-Campanian and stabilization or warming afterward, whereas records
76 from the Pacific Ocean and from the Atlantic and Indian sectors of the Southern Ocean show gradual
77 cooling from the Turonian to the Maastrichtian (e.g., MacLeod et al., 2005; Huber et al., 2018). These
78 distinct regional trends suggest that the pathways followed by water masses and connections between
79 ocean basins changed during the Late Cretaceous as a result of the evolving paleogeography.

80 This conjecture is corroborated by studies of the temporal trends and spatial variations in the
81 neodymium (Nd) composition of seawater (i.e. the ratio of $^{143}\text{Nd}/^{144}\text{Nd}$, expressed as ϵ_{Nd}). Seawater ϵ_{Nd}
82 values are mainly controlled by export of dissolved Nd through continental weathering and fluvial
83 runoff to the ocean (e.g., Frank, 2002; Goldstein and Hemming, 2003; Tachikawa et al., 2017) but
84 mass-balance calculations have shown that additional sources, such as exchange with continental
85 margins (or Boundary Exchange, Lacan and Jeandel, 2005), are required to close the Nd budget
86 (Tachikawa et al., 2003; Lacan and Jeandel, 2005; Arsouze et al., 2007; Rempfer et al., 2011).
87 Because the residence time of Nd in the ocean is shorter than the oceanic mixing time and initial Nd
88 isotopic ratios are not totally overprinted by particle and/or boundary exchange during circulation
89 (e.g., Frank, 2002; Tachikawa et al., 2003; Rempfer et al., 2011), the ϵ_{Nd} composition of water masses
90 acts as a quasi-conservative tracer reflecting the geographical provenance and oceanic pathway of
91 water masses (Piepgras and Wasserburg, 1982; Frank, 2002; Goldstein and Hemming, 2003; Moiroud
92 et al., 2016; van de Flierdt et al., 2016), and, as such, is used as a proxy for past ocean circulation.

93 Records of Nd isotopes illustrate, in particular, a long-term shift toward more unradiogenic
94 (lower) values in the Atlantic basin between the Turonian and the Campanian (e.g., Robinson et al.,
95 2010; MacLeod et al., 2011; Martin et al., 2012; Robinson and Vance, 2012; Moiroud et al., 2016;
96 Batenburg et al., 2018). However, there is no consensus on the specific modes and evolution of ocean
97 circulation across the Late Cretaceous as interpretation is complicated by the lack of Late Cretaceous
98 ϵ_{Nd} records in key places and times, by the possibility of modification of ϵ_{Nd} values along flow paths,
99 and by uncertainties in the paleodepth of sites where ϵ_{Nd} values were documented. Illustrating this lack
100 of consensus, deep-water formation during the Late Cretaceous has been hypothesized to occur
101 (alternatively or concurrently) in most high-latitudes basins, including the South Atlantic and Indian
102 Ocean (e.g., Robinson et al., 2010; Murphy and Thomas, 2012; Robinson and Vance, 2012), North
103 Atlantic (e.g., MacLeod et al., 2011; Martin et al., 2012), North Pacific (e.g., Hague et al., 2012;
104 Thomas et al., 2014; Dameron et al., 2017) and South Pacific (e.g., Thomas et al., 2014; Dameron et
105 al., 2017; Haynes et al., 2020), as well as possibly in the low latitudes (e.g., Friedrich et al., 2008;
106 MacLeod et al., 2008; MacLeod et al., 2011).

107 Numerical models have been instrumental in providing a framework for interpreting the
108 paleoceanographic data and in shedding light on new hypotheses, yet the location of possible sources
109 of deep-water differs among simulations almost as much as it does among conclusions of proxy
110 studies (e.g., Brady et al., 1998; Poulsen et al., 2001; Otto-Bliesner et al., 2002; Zhou et al., 2008;
111 Monteiro et al., 2012; Donnadieu et al., 2016; Ladant and Donnadieu, 2016; Lunt et al., 2016).
112 Numerous factors may explain this spread, in particular differences in model complexity and
113 resolution and differences in the paleogeography employed, which may vary across model studies
114 (Donnadieu et al., 2016; Lunt et al., 2016; Tabor et al., 2016). Even within identical Cretaceous time
115 slices, there can be significant differences in paleogeographic reconstructions resulting in additional
116 uncertainty regarding the areas of deep-water formation as well as the configuration of oceanic
117 gateways, and thereby the modes of ocean circulation (e.g., Donnadieu et al., 2016; Lunt et al., 2016;
118 Farnsworth et al., 2019). The considerable impact of breaching a continental barrier or closing an
119 oceanic seaway has long been demonstrated in idealized and paleoclimatic model studies (e.g.,
120 Toggweiler and Samuels, 1995; Poulsen et al., 2003; Sijp and England, 2004; Sepulchre et al., 2014;
121 Donnadieu et al., 2016; Elsworth et al., 2017; Ladant et al., 2018; Tabor et al., 2019).

122 Inter-basinal differences in temperature evolution and shifts in the global ocean circulation
123 therefore point toward a critical role of paleogeographic reorganizations, such as the geometry of
124 oceanic basins or the opening, closure and depth changes of oceanic gateways, regardless of the
125 evolution of atmospheric CO₂ during the Late Cretaceous. To our knowledge, only one coupled ocean-
126 atmosphere model study focused on the evolution of global ocean circulation during the Late
127 Cretaceous (Donnadieu et al., 2016). Using Cenomanian-Turonian and Maastrichtian simulations,
128 Donnadieu et al. (2016) demonstrated a shift toward a more vigorous ocean circulation in the Atlantic
129 between the Cenomanian and the Maastrichtian with an associated shift from deep-water formation in
130 the South Pacific (Cenomanian) to the South Atlantic and Indian Ocean (Maastrichtian). These
131 changes are associated with a reversal of deep-water fluxes across the Caribbean Seaway between
132 North and South America, which provides a possible explanation for decreasing ϵ_{Nd} values throughout
133 the Atlantic during the Late Cretaceous (Donnadieu et al., 2016). That study further suggested that

134 paleogeographic evolution during the Late Cretaceous eliminated conditions necessary for the
135 occurrence of OAEs (Donnadieu et al., 2016).

136 In this contribution, we present a comparison of Cenomanian and Maastrichtian simulations
137 (as well as a number of sensitivity experiments) similar to the Donnadieu et al. (2016) study but using
138 a recent and higher resolution earth system model. We evaluate the effect of changes in the depth of
139 major Maastrichtian gateways including the Labrador Seaway, Drake Passage, Caribbean Seaway and
140 Neotethys Seaway, as well as the effect of decreasing the atmospheric CO₂ concentration. The paper is
141 organized as follows: First, we briefly review the paleogeographic history of major Late Cretaceous
142 gateways to describe the rationale behind prescribed gateway changes. We then explore the evolution
143 of the global ocean circulation in the Late Cretaceous with a particular focus on the changes in
144 intermediate and deep-water currents across the globe. Finally, we compare our simulated ocean
145 circulation with compilations of geochemical data in order to provide an updated picture of the global
146 ocean circulation at the close of the Mesozoic era.

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149 **2. Paleogeographic considerations**

150 Advances in the knowledge of the geological history of ocean gateways, combined with
151 modeling of the likely effects of those changes, may provide critical arguments in favor of some
152 modes of Late Cretaceous ocean circulation over others. This section summarizes observations on
153 Late Cretaceous paleogeography in critical regions relative to the Cenomanian (~ 95 Ma) and early
154 Maastrichtian (~ 70 Ma) paleogeographic reconstructions used in our model simulations and
155 sensitivity experiments. These two reconstructions are based on proprietary paleogeographies
156 provided by Getech Plc (Fig. 1), which have been introduced by Lunt et al. (2016) and Tabor et al.
157 (2016).

158

159 *2.1. Equatorial Atlantic*

160 Rifting between western Africa and eastern Brazil began during the Early Cretaceous (Masle
161 et al., 1988). Marine waters invaded this narrow corridor from both ends during the Early Aptian and a
162 shallow marine connection between the Central and South Atlantic oceans existed around 104 Ma
163 (Brownfield and Charpentier, 2006; Ye et al., 2017). The NE-SW motion of the South American plate
164 relative to the African plate was accommodated across transform-related marginal ridges dividing the
165 Equatorial Atlantic Ocean into narrow basins during Albian-Cenomanian (Basile et al., 2005; Jones et
166 al., 2007), which restricted seawater exchanges between the Central and South Atlantic oceans and
167 favored euxinic conditions and black shale deposition in these basins (Pletsch et al., 2001; Ye et al.,
168 2017). Deep-water exchange among basins remained limited from the Turonian to the middle
169 Coniacian (Pletsch et al., 2001), but the disappearance of black shales in the Equatorial Atlantic during
170 the Campanian suggests the initiation of a reliable supply of oxygenated deep water from the South
171 Atlantic Ocean at this time (Jones et al., 2007), thereby marking the beginning of a fully opened
172 connection between the Central and South Atlantic oceans.

173 Our Cenomanian and Maastrichtian paleogeographies (Fig. 1) are consistent with this
174 geological history of the Equatorial Atlantic Seaway. In our Cenomanian paleogeography, this
175 gateway is restricted to a narrow channel with a maximum depth of ~ 2000 m, whereas in the
176 Maastrichtian paleogeography, the Atlantic is opened to full deep-water connection (> 3000 m)
177 between the North and South Atlantic.

178

179 *2.2. Labrador and East Greenland Seaways*

180 Rifting in the Labrador Sea began during the Early Cretaceous, possibly as early as the
181 Valanginian (Dickie et al., 2011), but the onset of sea-floor spreading took place between the
182 Campanian and the Danian (Roest and Srivastava, 1989; Chalmers and Pulvertaft, 2001). This onset
183 was associated with a deepening of the Labrador Sea as indicated by the presence of agglutinated
184 foraminifera from the Maastrichtian onwards (Kuhnt et al., 1989; Setoyama et al., 2017). East of
185 Greenland, the subsidence of the shallow seas occurred later during the Paleocene (Gernigon et al.,
186 2019).

187 The proto Labrador Sea is closed in our Cenomanian paleogeography (Fig. 1). Although
188 evidence suggests that a proto Labrador Sea potentially existed before the Campanian (Dickie et al.,
189 2011), it would have been restricted to shallow depths with limited influence on interbasinal exchange
190 due to the absence of a northward connection to the Arctic Ocean. The configuration of the proto
191 Labrador Sea in our Maastrichtian paleogeography (Fig. 1) is in line with the distribution of
192 agglutinated foraminifera (Setoyama et al., 2017), with shallow seas East of Greenland and a deeper
193 proto Labrador Sea to the south. However, the exact paleodepth of the Maastrichtian Labrador and
194 East Greenland seas is still poorly constrained. We investigate the possibility of the existence of
195 deeper marine channels in the Maastrichtian northern North Atlantic by deepening the Labrador and
196 East Greenland seas to 4000 m. This sensitivity experiment represents an end-member of the deepest
197 paleogeographic configuration of the northern North Atlantic in the Maastrichtian and we note that a
198 deep East Greenland Sea is not supported by Cretaceous geologic evidence.

199

200 *2.3. Drake Passage*

201 The history of Drake Passage is intertwined with the evolution of the South America–
202 Antarctic Peninsula–Scotia plate system (Eagles, 2016). The geometrical arrangement of southern
203 South America and the Antarctic Peninsula (AP) has been a matter of debate since the pioneering
204 work of Wegener (1924). Paleomagnetic inclinations measured in rocks from the Fuegian Andes have
205 been shown to be statistically indistinguishable from those of the Antarctic Peninsula for the Late
206 Cretaceous (Poblete et al., 2016), suggesting that the tip of the AP remained close to Tierra del Fuego.
207 In addition, rocks from the Navarino microplate (Fuegian Andes) recorded a 100° counterclockwise
208 rotation over the last 120 Myr, which suggests that the AP and the southern Andes formed a linear and
209 continuous margin during the Early Cretaceous (Poblete et al., 2016). Likewise, a clockwise rotation
210 was found in the apparent polar wander path of the AP coeval with the rotation of the Navarino
211 microplate, thus confirming the oroclinal bending of the Fuegian Andes (Milanese et al., 2019).
212 During the Cenomanian the oroclinal bending was at an early stage, such that the tip of the South
213 American plate was still connected to the AP, with the possible existence of a land bridge allowing
214 terrestrial and fresh water vertebrate taxa interchange (Poblete et al., 2016). The presence of a land

215 bridge for terrestrial exchange does not exclude the possible existence of seawater connections, but
216 indicates that any connections would have been restricted to shallow water depths. The oroclinal
217 bending continued during the Late Cretaceous but the AP and southernmost South America remained
218 close to each other during the Maastrichtian. This geography is supported by paleontological evidence
219 placing the onset of terrestrial faunistic isolation in South America in the Late Paleocene around 58
220 Ma (Reguero et al., 2014). The final disruption of the AP-Patagonia system occurred during the Early
221 Eocene but the development of deep-water exchange through the Drake passage only began during the
222 Late Eocene (Scher and Martin, 2006; Lagabrielle et al., 2009). In summary, although the complexity
223 of the South America–Antarctic Peninsula–Scotia plate system’s geologic history still hampers
224 comprehensive tectonic reconstructions of the Drake Passage region during the Late Cretaceous,
225 recent evidence indicates that any potential seawater connection would have been restricted to shallow
226 water.

227 In our Cenomanian paleogeography, the deepest part of the Drake Passage reaches ~ 800 m
228 along a narrow corridor, while in the Maastrichtian, only upper ocean water exchange is possible
229 through the Drake Passage as its deepest part reaches ~ 400 m. Thus, although our Cenomanian Drake
230 Passage is relatively deep, our Cenomanian and Maastrichtian paleogeographic reconstructions are
231 broadly consistent with paleomagnetic and paleontological data (Fig. 1). However, alternative
232 paleogeographic reconstructions exist, in which the Drake Passage exhibits an even deeper
233 configuration (Sewall et al., 2007; Donnadieu et al., 2016; Niezgodzki et al., 2017). Because the recent
234 study of Donnadieu et al. (2016) documents only minor changes to the global ocean circulation for
235 depths of the Drake Passage lower than 1000 m, we have chosen to prescribe a full deep-ocean
236 connection (4000 m) in our sensitivity experiment in order to maximize the potential impact of the
237 deepening of Drake Passage on ocean circulation, even if these abyssal depths are probably
238 exaggerated for the Maastrichtian (Fig. 1).

239

240 *2.4. Caribbean Seaway*

241 The Caribbean region has a complex geological evolution, which started during the Jurassic
242 with the dislocation of Pangaea (Pindell and Kennan, 2009). Rifting between North and South

243 America during the Jurassic and Early Cretaceous led to the opening of the proto-Caribbean Seaway.
244 To the west, subduction of the Farallon plate beneath the proto-Caribbean plate during the Early
245 Cretaceous formed an oceanic volcanic arc stretching from the northwestern tip of South America to
246 the southern tip of North America (Pindell and Kennan, 2009). Emplacement of the Caribbean Large
247 Igneous Province (CLIP) starting in the Cenomanian marked a turning point in the history of the
248 Caribbean region. This large (4 million km³) basaltic oceanic plateau was formed from 94–89 Ma
249 (Andjić et al., 2019, and references within) or 95–83 Ma (Dürkefälden et al., 2019) from volcanism
250 driven by melting during the initial plume head stage of the Galapagos hotspot. The CLIP was initially
251 located along the southern edge of the North America plate and the northwestern edge of the South
252 America plate, westward of the oceanic island arc (Andjić et al., 2019). Constructed from 8 – 20 km of
253 thick but warm (buoyant) basaltic flows emplaced on the oceanic crust of the Farallon plate, the CLIP
254 prevented subduction of the Caribbean plate (Pindell and Kennan, 2009). During the Cenomanian, the
255 CLIP was located in the Caribbean Seaway and its buoyancy restricted exchange to shallow water
256 passages (Buchs et al., 2018), including local subaerial emergence, as indicated by volcanoclastic
257 deposits exposed in the Western Cordillera of Colombia (Buchs et al., 2018). The CLIP then
258 progressively moved eastward relative to the North and South American plates during the Late
259 Cretaceous and new subduction zones were initiated on both the east and west sides of the CLIP,
260 leading to new volcanic oceanic arcs (Pindell and Kennan, 2009). Paleontological evidence also
261 supports restricted water exchange between the Pacific and the Atlantic during the latest Cretaceous
262 (Iturralde-Vinent, 2006; Ortiz-Jaureguizar and Pascual, 2011). Recent research, therefore, suggests
263 that the Caribbean Seaway was relatively shallow across the Late Cretaceous interval.

264 Our Cenomanian and Maastrichtian paleogeographies are consistent with a shallow Caribbean
265 Seaway. The Cenomanian Caribbean Seaway is deeper than that of the Maastrichtian, which is in
266 reasonable agreement with the progressive formation of the CLIP and its eastward motion between the
267 North and South American plates (Buchs et al., 2018). Although geologic evidence does not support
268 the existence of a deep-water connection between the Pacific and the Atlantic in the Late Cretaceous,
269 alternative paleogeographic reconstructions have been employed, in which the Caribbean Seaway is
270 opened to deep flow (Sewall et al., 2007; Donnadiou et al., 2016). As we did for the Drake Passage,

271 we investigate the consequences of prescribing a full deep-ocean connection through the Caribbean
272 Seaway, by deepening the southern portion of this seaway to 4000 m (Fig. 1).

273

274 *2.5. Neotethys Seaway*

275 The Neotethyan Ocean exhibits a complex geological history. There is evidence for Late
276 Cretaceous marine exchange between the Central Atlantic Ocean and the Neotethyan Ocean, which
277 mostly occurred through narrow and deep corridors (Stampfli, 2000; Stampfli and Borel, 2002; Nouri
278 et al., 2016). These corridors formed during the final break-up of the Pangaea supercontinent, which
279 led to the opening of the Alpine Tethyan Ocean during the Early Jurassic coeval with the opening of
280 the Central Atlantic Ocean (Stampfli and Borel, 2002). The Alpine Tethyan Ocean began to close in
281 the Early Cretaceous in response to the rotations of Africa plate and the Iberian plate (Stampfli and
282 Borel, 2002). During the Late Cretaceous, two deep marine corridors located on both sides of the
283 Anatolides-Taurides permitted water exchanges between the Central Atlantic Ocean and the
284 Neotethyan Ocean (Stampfli and Borel, 2002; Nouri et al., 2016) but it is unclear whether bathymetric
285 sills locally restricted these exchanges to shallow depths (Stampfli and Borel, 2002).

286 In our paleogeographic reconstructions, the Cenomanian Neotethys allows a deep-water
287 marine connection between the eastern Neotethys and the North Atlantic, whereas the Maastrichtian
288 Neotethyan Ocean does not (Fig. 1). The continued convergence of the African and Eurasian plates
289 throughout the Late Cretaceous (Stampfli and Borel, 2002) can be tentatively used to support the
290 existence of deeper connections in the Cenomanian than the Maastrichtian, but existing uncertainties
291 still preclude any firm conclusions on the absence of deep-water connection through the Neotethyan
292 Ocean in the Maastrichtian. Here, as above, we investigate the consequence of a full deep-ocean
293 connection (4000 m depth) between the Neotethyan Ocean and the North Atlantic.

294

295

296 **3. Model description and experimental design**

297 The simulations are performed with the CCSM4 earth system model (Gent et al., 2011, and
298 references therein). Our CCSM4 setup is comprised of the POP2 dynamic ocean model, the CAM4
299 atmosphere model, the CLM4 land surface model and the CICE4 sea ice model. The atmosphere and
300 land-surface components run on a finite-volume grid at 1.9° x 2.5° resolution with 26 uneven vertical
301 levels, while the ocean and sea-ice components run on a rotated pole distorted grid at roughly 1°
302 resolution with 60 vertical levels that vary in thickness with depth.

303 We perform two baseline simulations of the Cenomanian and early Maastrichtian, which are
304 branched from the 1500-year long CEN and MAA simulations described in Tabor et al. (2016). The
305 simulations are respectively run for 500 and 850 additional years with prescribed vegetation fields
306 adapted from Sewall et al. (2007) rather than the dynamic vegetation model of Tabor et al. (2016)
307 because the latter produced low vegetation density at high latitudes that did not agree well with fossil-
308 based reconstructions (Tabor et al., 2016). As a result, simulated high latitude land surface
309 temperatures tended to be too cold and seasonally variable. Switching to prescribed vegetation, based
310 on Sewall et al. (2007), helped reduce this temperature bias. Other boundary conditions do not change:
311 the atmospheric CO₂ concentration is set to 1120 ppm (4 times the preindustrial atmospheric levels,
312 PAL = 280 ppm) in line with proxy-based reconstructions (Wang et al., 2014). Other greenhouse gas
313 concentrations are set to their preindustrial values. We use a modern Earth orbital configuration and
314 the total incoming solar irradiance is reduced to appropriate Cenomanian and Maastrichtian values of
315 1353.9 and 1357.18 W.m⁻² respectively, following Gough (1981).

316 The gateway sensitivity experiments, in which either the Labrador Seaway, Drake Passage,
317 Caribbean Seaway, or Neotethys Seaway, is deepened to 4000 m, are branched from the 850-year long
318 extension of our Maastrichtian simulation. Note that we refer to these bathymetric regions as gateways
319 (or seaways) for simplicity although they may not be gateways in its truest sense (i.e. a narrow passage
320 connecting two otherwise separated ocean basins). The baseline Maastrichtian case and four
321 sensitivity experiments are each run for another 950 years. We also perform another sensitivity
322 experiment in which atmospheric CO₂ levels are decreased to 560 ppm (2x PAL) in a Maastrichtian
323 configuration because proxy records indicate that the latest Cretaceous was a time of lower CO₂
324 concentrations than the Cenomanian (e.g., Breecker et al., 2010; Wang et al., 2014; Foster et al.,

325 2017). As the Cenomanian and baseline Maastrichtian simulations, the 2x CO₂ simulation is branched
326 from the 1500-year long MAA2x simulation described in Tabor et al. (2016) and is run for 1350 years
327 with the Sewall et al. (2007) prescribed vegetation fields and keeping the other boundary conditions
328 identical to that of the baseline Maastrichtian experiment. In total, the Cenomanian and 2x CO₂
329 Maastrichtian simulations have been run for 2000 and 2850 years respectively, whereas the baseline
330 Maastrichtian and the gateway sensitivity simulations have been run for 3300 years. Note that the
331 baseline Maastrichtian and 2x CO₂ Maastrichtian simulations are identical to those used in Haynes et
332 al. (2020).

333 At the end of integration, the simulations have reached quasi-equilibrium in the deep ocean, as
334 characterized by timeseries of temperature and meridional overturning circulation (MOC, Fig. 2). A
335 small residual trend exists in the intermediate ocean of the Maastrichtian simulation (1000 m
336 temperatures), which is probably linked to the interval of MOC intensification in this simulation (Fig.
337 2). This small trend is unlikely to affect the outcomes of this study because the patterns of the ocean
338 circulation do not change during the interval of lower MOC intensity.

339 Our version of CCSM4 incorporates an ideal age tracer of water masses, a common tool for
340 tracking water mass pathways. Ideal age is an excellent tracer in a fully equilibrated ocean but for an
341 ocean that is initiated from an unequilibrated state (as in this study), the ideal age tracer is affected by
342 the spinup history and does not track the equilibrium circulation. To use this tracer quantitatively in
343 this study, the simulations would require an additional 2000 years of integration, a computational
344 expense that we could not afford. Alternative techniques, such as Newton-Krylov solvers, exist to
345 estimate the equilibrium values of ocean tracers in an offline procedure (e.g., Bardin et al., 2014;
346 Lindsay, 2017), and such analyses be the focus of future work. In this paper, we use the ideal age
347 tracer only as a qualitative, complementary diagnostic of deep-water formation regions.

348 Results presented in the following sections are averaged over the last 100 years of each
349 simulation. We first describe general characteristics of the surface climate, the global overturning
350 circulation, and how ocean temperatures respond to changing paleogeography. Next, we focus on the
351 intermediate and deep circulation and analyze how circulation patterns differ between the Cenomanian
352 and the Maastrichtian. To characterize differences, we track the exchange of water across major

353 oceanic sections by calculating positive and negative water fluxes (Table S1) for three depth ranges—
354 upper ocean (< 500 m depth), intermediate ocean (500 – 1500 m) and deep ocean (> 1500 m). Note
355 that we refer to the net exchange across a section as the sum of positive and negative fluxes across the
356 section. Simulated changes in ocean circulation between the Cenomanian and the Maastrichtian and
357 between the Maastrichtian and the sensitivity experiments are then compared to previous modeling
358 studies and geochemical data.

359

360

361 **4. Results**

362 *4.1. Cenomanian circulation*

363 *4.1.1. Surface climate and global overturning circulation*

364 The global-average annual surface ocean (upper 100 m) temperature of the Cenomanian
365 simulation reaches 26.1 °C. Maximum upper ocean temperatures of more than 34 °C are found in the
366 low-latitudes in the western Pacific Ocean and in the Saharan epicontinental sea in Africa, whereas the
367 eastern Pacific Ocean is much cooler because of wind-driven upwelling (Fig. 3A). Relatively warm (>
368 10 °C) waters exist in the high-latitudes in the Southern Ocean and the North Pacific, though high-
369 latitude coastal and Arctic Ocean waters are colder. Arctic Ocean mean surface ocean temperatures
370 average 2.7 °C. The cold conditions in the Arctic Ocean allow for the formation of winter sea ice (Fig.
371 S1). The Southern Ocean does not freeze seasonally with the exception of an inlet between the
372 Antarctic and Australian continents (Fig. S1).

373 The modeled upper ocean salinity generally correlates with patterns of precipitation minus
374 evaporation (PME). The highest open ocean salinities are found in subtropical evaporative areas in the
375 center of major ocean gyres while lower values are found in the equatorial Neotethyan Ocean and
376 western Pacific and in the high-latitudes (Fig. 3B and 3C). The Arctic Ocean contains low salinity
377 values reflecting the fact that it is a nearly enclosed basin in a region of net freshwater input. In
378 addition, freshwater input from continental rivers affects the spatial distribution of salinity (Fig. 3D),
379 in particular in coastal areas and epicontinental seas. The epicontinental northwestern part of Asia is a

380 region of low salinity due to the isolation of this seaway from the open ocean and of the supply of
381 freshwater from runoff and precipitation. Other low salinity coastal waters are found at equatorial
382 latitudes in enclosed epicontinental basins on the eastern coast of West Africa (Saharan epicontinental
383 sea) and on the northwestern coast of South America as well as in the isolated high-latitude basin
384 located between Australia and Antarctica. In contrast, high salinity waters are found in enclosed
385 subtropical basins, such as on the western coast of South America and on the Asian margin of the
386 Neotethyan Ocean as well as in the Gulf of Mexico (Fig. 3B). These high salinity areas correlate with
387 regions of high temperature, low river freshwater input and largely negative PME (Fig. 3A-D).

388 The Pacific sector of the Southern Ocean is comparatively warmer and more saline than other
389 high-latitude regions. Cooler and fresher waters in the North Pacific are due to the mixing of North
390 Pacific waters with cold, fresh Arctic waters across the Cenomanian Bering Strait. In the Indo-Atlantic
391 sector of the Southern Ocean, seawater salinities are lower than in the Pacific sector due to the large
392 relative freshwater flux from riverine input into a smaller basin (Table S2). The other major reason for
393 this South Pacific anomaly is a temperature- and salt-advection feedback linked to the winter
394 deepening of the mixed-layer depth (MLD) associated with a large area of deep-water formation (Fig.
395 3E). The same process occurs in the North Atlantic, albeit at a smaller scale in terms of areal extent
396 and of depth reached by sinking waters (Fig. 3F). Predicted global MOC is essentially fed by sinking
397 South Pacific waters, which drive a strong overturning cell in the Southern Hemisphere, with a
398 maximum of ~ 18 Sv around 40°S and 2000 m, and whose lower limb extends to approximately 40°N
399 at depths of ~ 4000 m (Fig. 4A). In the Northern Hemisphere, the formation of intermediate waters in
400 the North Atlantic leads to a weak Atlantic Meridional Overturning Circulation (Figs. 4A and S2),
401 which reaches up to $\sim 1500 - 2000$ m around 40°N (Fig. S2).

402 *4.1.2. Intermediate (500 – 1500 m) circulation*

403 The intermediate ocean circulation is fed primarily by two sources: upwelling of deep waters
404 and sinking of upper ocean waters to intermediate depths (Fig. 3E and 3F). North Atlantic
405 intermediate waters are composed of upper waters that sink in the North Atlantic, upwelled deep
406 waters from the Neotethyan Ocean that were advected across the Mediterranean (Table S1,
407 Mediterranean section, and Fig. 5A), and weaker inputs of intermediate waters from the Pacific and

408 central Atlantic (Table S1, Caribbean and Central Atlantic sections, and Fig. 5A). More than 90% of
409 the intermediate waters advected out of the North Atlantic flow westward across the Caribbean
410 Seaway (Table S1, Caribbean and Central Atlantic sections) while the remaining fraction flows
411 southward through the South Atlantic to the Southern Ocean where it is joined by weak transport of
412 Pacific intermediate waters across Drake Passage (Table S1, South Atlantic and Drake sections, and
413 Fig. 5A). The South Atlantic intermediate waters are then advected eastward through the Indian Ocean
414 and then northeastward to the eastern Neotethyan Ocean (Figs. S3 and S4). These waters eventually
415 flow into the Pacific by joining an eastern Neotethyan Ocean recirculation of Pacific intermediate
416 waters, forming a narrow, intense eastward current that follows the Australian coast (Fig. S4). In
417 contrast, intermediate waters circulating northwestward toward the western Neotethyan Ocean
418 originate exclusively from Pacific intermediate waters. The Pacific intermediate water system is
419 essentially comprised of a mixture of North Atlantic intermediate waters that flow westward through
420 the Caribbean Seaway, upwelled Pacific deep waters and recirculated Indian Ocean intermediate
421 waters mentioned above.

422 *4.1.3. Deep (> 1500 m) circulation*

423 The southwestern Pacific is the source region for deep waters in our Cenomanian simulation
424 (Fig. 3E). These sinking waters either fill the deep eastern Pacific basin or are advected westward
425 across the Indonesian section, following a strong coastal current around Australia (Figs. 6A and 7).
426 Deep waters crossing the Indonesian section following this westward current mostly recirculates back
427 to the Pacific and mix with the eastern Pacific deep waters to fill the North Pacific basin. Less than
428 10% of the westward flowing deep waters that have crossed the Indonesian section are advected
429 southward across the East Indian section to the Southern Ocean (Table S1, Indonesian and East Indian
430 sections). Deep waters exported northward toward to western Neotethyan Ocean mostly come from a
431 deep intermediate westward current that follows the southern tip of Asia between ~ 800 and 2400 m
432 (Fig. 7C and Table S1, Indo-Asian and Tethys sections). In the Southern Ocean, deep waters are
433 advected to the South Atlantic but regions of shallow bathymetry (e.g., the Kerguelen Plateau) largely
434 restrict deep-water flow and these waters ultimately well up to shallower depths (Fig. 6A). The fate of
435 deep waters flowing northward from the Neotethyan basin is similar. These waters are advected across

436 the western Neotethyan Ocean to the North Atlantic, where they are upwelled to shallower depths
437 because the Caribbean Seaway is closed to deep flow (Fig. 6A). An examination of the zonally
438 averaged ideal age values in the Atlantic basin reveals that the deepest-sinking waters in the North
439 Atlantic winter MLD regions reach the deep ocean (Figs. S5 and 3F). These waters are mostly
440 restricted to the North Atlantic; indeed, only a tiny fraction of North Atlantic deep waters is advected
441 southward into the central Atlantic (Table S1).

442 In summary, the bathymetric restrictions in the Cenomanian Atlantic, western Neotethys and
443 Southern Ocean largely confine deep-water circulation to the Pacific and eastern Neotethyan Ocean.
444 In contrast, a vigorous intermediate circulation marked by a strong circum-equatorial global current
445 exists, although the restricted Central and South Atlantic basins remain mostly stagnant.

446

447 *4.2. Maastrichtian circulation*

448 *4.2.1. Evolution of surface climate and global overturning circulation*

449 The combined changes in paleogeography and solar constant from the Cenomanian to the
450 Maastrichtian lead to a global SST warming of only ~ 0.1 °C, suggesting that changes in
451 paleogeography may cause cooling that compensates for the increasing solar constant (Lunt et al.,
452 2016). Though the global temperature change is minimal, there are substantial regional temperature
453 and salinity changes at the surface in the Maastrichtian compared to the Cenomanian (Tabor et al.,
454 2016). Maastrichtian North Pacific surface ocean waters warm significantly because of the closure of
455 the Arctic connection (Fig. 8A and 9A). As a result, the Arctic Ocean becomes more enclosed, cools
456 and, as a region of net freshwater input, freshens (Fig. 8 A-D and 9A-B). The reduction in the intensity
457 of the circum-equatorial global current (Table S1 and Fig. 9C-D) in the Maastrichtian reduces coastal
458 upwellings of deeper and colder waters on the northern coast of Africa and South America, leading to
459 surface warming of up to a few degrees. The eastern equatorial Pacific warms because of a weaker
460 Walker circulation, which reduces the east-west ocean temperature gradient (Poulsen et al., 1998;
461 Tabor et al., 2016). The PME in the eastern equatorial Pacific increases in the Maastrichtian and leads
462 to lower salinity (Fig. 8B-C and 9B). The opening of the South Atlantic Ocean and Southern Ocean

463 during the Late Cretaceous created a wider basin, which allows for a large subpolar gyre to form (Fig.
464 9D). This gyre reduces the advection of warm and saline subtropical waters into the Southern Ocean
465 along the eastern coast of Africa (Fig. 9C and 9D), and the Southern Ocean cools and freshens as a
466 result (Fig. 9A and 9B). In addition, the Ekman pumping associated with the subpolar gyre leads to
467 upwelling of deeper and colder waters to the surface, which contributes to cooling of the South
468 Atlantic and Indian Oceans. In the northern part of the eastern Neotethyan Ocean, the salinity increase
469 (Fig. 9B) is due to changes in the patterns of surface currents, which limits the northward advection of
470 fresher Neotethyan equatorial waters in the Maastrichtian. Finally, cooling in the North Atlantic and
471 warming in the Pacific sector of the Southern Ocean are related to changes in the MOC (Fig. 4B). In
472 contrast to the Cenomanian, the Maastrichtian North Atlantic does not exhibit deep intermediate water
473 formation (Fig. 8E and 8F). This elimination of proto AMOC weakens the advection of warm and
474 saline subtropical waters into the North Atlantic, leading to surface cooling. Conversely, the
475 intensification of South Pacific deep-water formation drives a more expansive global MOC (Fig. 4B)
476 and is associated with surface warming (Fig. 9A) via reinforcement of the temperature- and salt-
477 advection feedback.

478 *4.2.2. Temperature changes in the intermediate and deep ocean*

479 The Cenomanian to Maastrichtian paleogeographic evolution, in particular the widening of the
480 Atlantic Ocean, the northward migration of the Indian and Australian subcontinents, and the varying
481 configuration of major gateways, results in a complete reorganization of intermediate and deep ocean
482 circulation (Table S1 and Figs. 5A-B and 6A-B). This reorganization leads to significant changes in
483 temperatures in the ocean interior in the Maastrichtian relative to the Cenomanian (Fig. 10 and S6).
484 The global temperature change essentially reflects the Pacific signal because of the size of the Pacific
485 basin in both stages (Fig. S3). In the South Pacific Ocean, increased ventilation in the Maastrichtian
486 explains most of the warming signal (Fig. 10B and S6). In the North Pacific, Maastrichtian
487 intermediate water cooling is attributed to restriction to shallow water depths (< 500 m) of flow
488 through the Caribbean Passage, hampering westward advection of North Atlantic waters below the
489 uppermost ocean layers. It is important to note that this restriction is only significant because, in the
490 Cenomanian, North Atlantic intermediate waters are warmer than North Pacific intermediate waters

491 due to deep-water formation occurring in the North Atlantic. In the Maastrichtian, due to the absence
492 of deep-water formation in the North Atlantic, intermediate waters are colder because of reduced
493 ventilation (Fig. 10C and S6) and the geometry and bathymetry of the Caribbean Seaway and of the
494 western Neotethyan Ocean, which isolates the basin from intermediate and deep waters exchange with
495 the Pacific and Neotethyan Oceans.

496 The northward displacement of India and the widening of the Atlantic in the Maastrichtian
497 paleogeography reduce the isolation of the deep South Atlantic, and this basin is invaded by deep flow
498 from the Pacific via the Indian Ocean (Table S1, East Indian and South African sections, and Fig. 6B)
499 leading to lower temperatures (Fig. 10C and S6). Finally, the Neotethyan basin is mostly warmer in
500 the Maastrichtian than it is during the Cenomanian (Fig. 10D). The small deep ocean warming is
501 explained by advection of warmer deep waters formed in the South Pacific. The larger upper
502 intermediate ocean (centered on ~ 500 m depth) warming is explained by differences in the
503 configuration of the western Neotethyan Ocean. In the Cenomanian simulation, Neotethyan upper
504 intermediate waters, formed in the late winter when the MLD deepens (Fig. 3F), are advected toward
505 the North Atlantic because the western Neotethyan Ocean is open to intermediate and deep waters
506 (Fig. S7A). The closure of the western Neotethyan Ocean to intermediate and deep waters in the
507 Maastrichtian simulation hampers this advection, and flow of these waters shifts southward to the
508 eastern Neotethyan Ocean (Fig. S7B). These sinking upper intermediate waters carry a higher
509 temperature and salinity signal into the eastern Neotethyan Ocean, which can be followed on transects
510 across the Neotethyan Ocean (Fig. S7C-F), and are responsible for the warming of this part of the
511 basin in the Maastrichtian.

512 *4.2.3. Evolution of the intermediate (500 – 1500 m) circulation*

513 With the restriction of intermediate and deep flow through the Caribbean Seaway and the
514 western Neotethyan Ocean, the sources of intermediate waters in the North Atlantic Ocean are deep
515 waters advected from the South Atlantic that are upwelled in the North Atlantic (Fig. 5B) and winter
516 downwelling of upper ocean waters in the northern part of the basin (Fig. 8F). North Atlantic
517 intermediate waters return to the Pacific via the South Atlantic and the Indian Ocean (Table S1),
518 following a strong eastward coastal current around the northern tip of Australia (Fig. S8) similar to

519 that existing in the Cenomanian simulation (Fig. S4). In the eastern Neotethyan Ocean, intermediate
520 waters are primarily composed of intermediate Pacific waters that flow westward across the
521 Indonesian section between 0 and 10°S (Fig. S8), of eastern Neotethyan Ocean deep waters that are
522 upwelled to shallower depths (Table S1, Indo-Asian section and Fig. 6), and of winter upper ocean
523 waters that sink in the northern part of the eastern Neotethys (Fig. S7B). These eastern Neotethyan
524 Ocean intermediate waters flow eastward into the Pacific following a southward current along the
525 eastern Indian margin and mostly join the strong eastward current circulating around Australia (Fig.
526 S8).

527 *4.2.4. Evolution of the deep (> 1500 m) circulation*

528 In the Maastrichtian, as in the Cenomanian, deep waters are formed in the South Pacific,
529 mostly in the western part of the basin, and flow northwestward along the Australian coast (Fig. 11).
530 Along the northern continental slope of the Australian margin, deep waters either cross the Indonesian
531 section eastward or recirculate to fill the Pacific basin (Table S1 and Fig. 11). As in the Cenomanian,
532 deep waters advected across the Indonesian section then either fill the Indian Ocean (Table S1, East
533 Indian section) or journey northward to recirculate toward the Pacific Ocean or the eastern Neotethyan
534 Ocean (Table S1, Indo-Asian section and Fig. 11). Because the connections through the western
535 Neotethyan Ocean are restricted to shallow flow in the Maastrichtian, there is no deep flow across the
536 western Neotethys (Table S1, Tethys and Mediterranean sections, and Fig. 6B). In contrast, the
537 opening of the South Atlantic and Southern Ocean allows stronger deep-water flow from the Indian
538 Ocean into the South Atlantic (Table S1, East Indian, West Indian and South African sections, and
539 Fig. 6B), which is then advected northward to the North Atlantic (Table S1, South and Central
540 Atlantic sections) and progressively upwelled to shallower depths.

541 In the Maastrichtian simulation, the net deep circulation appears to flow in the opposite
542 direction of the intermediate circulation (Figs. 5B and 6B). The Maastrichtian circulation is also
543 characterized by more intense meridional exchanges (compare Cenomanian and Maastrichtian
544 meridional sections in Table S1, for instance the East Indian, South Atlantic and Central Atlantic
545 sections) whereas the Cenomanian circulation is dominated by zonal flow (Table S1, for instance the
546 Indonesian, Tethys and Caribbean sections).

547

548 *4.3. Sensitivity of the Maastrichtian circulation to ocean gateways and atmospheric CO₂*

549 As shown above, changes in paleogeography between the Cenomanian and Maastrichtian lead
550 to substantial changes in simulated intermediate and deep ocean circulation. In this section, we
551 analyze the influence of specific gateways and lower atmospheric CO₂ levels on Maastrichtian ocean
552 circulation.

553

554 *4.3.1. Deepening of the Labrador Seaway*

555 *4.3.1.1. Temperature changes in the ocean*

556 Deepening the Labrador Seaway only marginally impacts the global ocean circulation. In this
557 experiment, as in the baseline Maastrichtian configuration, deep-water formation takes place in the
558 South Pacific and mostly in the western part of that basin. The maximum winter MLD in both
559 hemispheres is only weakly different from that of the Maastrichtian (Fig. S9A) and the resulting MOC
560 is nearly identical in structure and intensity (Fig. 4C). In the northern North Atlantic and western
561 Neotethyan Ocean, the slight deepening of the maximum winter mixed layers (Fig. S9A) is associated
562 with surface ocean warming, whereas the surface ocean cools south of Greenland (Fig. 12A). There
563 are only minor temperature changes in other oceanic basins or in the ocean interior (Fig. 12A and
564 S10).

565 The pattern of upper ocean temperature change is linked to the altered bathymetry of the Deep
566 Labrador Seaway experiment, leading to substantial reorganization of upper ocean currents in the
567 northern North Atlantic (Fig. S11). In the Maastrichtian simulation, waters originating from the North
568 Atlantic subtropical latitudes are largely confined south of Greenland because the shallow bathymetry
569 of the seas bathing the east of Greenland and modern Europe (Fig. S11A). An intense southward flow
570 originating from higher Arctic latitudes exist along the eastern margin of Greenland. This flow then
571 circulates southeastward around the southern edge of the Eurasian continent toward the western
572 Neotethyan Ocean. In the Deep Labrador Seaway experiment, the deepening of the seas south and east
573 of Greenland breaks the confinement of North Atlantic subtropical waters south of Greenland, which

574 are instead advected eastward toward the western Neotethyan Ocean along the southern margin of the
575 Eurasian continental landmass (Fig. S11B). This eastward current also blocks the southern penetration
576 of the east Greenland current originating from Arctic latitudes, the intensity of which is also reduced.
577 In summary, warm subtropical waters flow eastward in the Deep Labrador Seaway experiment rather
578 than being confined south of Greenland, which cools the upper ocean there and warms the western
579 part of Europe. In the region east of Greenland, the decreased supply of cold high-latitude waters
580 leads to warming (Fig. 12A).

581 *4.3.1.2. Intermediate and deep circulation changes*

582 There are no changes in the direction of intermediate and deep-water transports across major
583 oceanic sections in the Deep Labrador Seaway experiment relative to the Maastrichtian simulation
584 (Figs. 5B-C and 6B-C). The water fluxes are generally slightly higher, which is probably linked to the
585 deepening of the North Atlantic and western Neotethyan Oceans winter MLD and associated increase
586 in the vigor of ocean circulation (Fig. S12).

587

588 *4.3.2. Deepening of the Drake Passage*

589 *4.3.2.1. Temperature changes in the ocean*

590 Deepening of the Drake Passage has a more significant effect on global ocean circulation than
591 the deepening of the Labrador Seaway. Although deep-water formation still occurs in the South
592 Pacific, the intensity of the MOC decreases (Fig. 4D) because deep-water formation is greatly reduced
593 in the South Pacific, in particular along the eastern edge of Zealandia (Fig. S9B). At the latitudes of
594 the Drake Passage, the MLD increases across the whole South Pacific (Fig. S9B) because of the
595 establishment of a deep-water connection through the Drake Passage, which increases the intensity of
596 the eastward current in the South Pacific. The reduction in the intensity of deep-water formation drives
597 upper ocean temperature cooling in the South Pacific, which is partly carried, albeit weakly, at depth
598 to the Atlantic through the Drake Passage (Figs. 12B and S13). The Atlantic is thus better ventilated
599 because the deep Drake Passage connection allows newly formed, young deep waters to invade the
600 Atlantic (Table S1). In contrast, the North Pacific and Neotethyan Oceans are less well ventilated

601 because of lower rates of deep-water formation and a lower advection of deep waters across the
602 Indonesian section (Table S1), associated with a small warming.

603 *4.3.2.2. Intermediate circulation changes*

604 The intermediate circulation with an open Drake Passage undergoes only a few changes
605 relative to the Maastrichtian. An eastward current develops across Drake Passage and joins the
606 southward flow from the Atlantic Ocean. This increase in the net supply of intermediate waters in the
607 Southern Ocean (Table S1, Drake, South Atlantic and South African sections) drives a reversal of the
608 intermediate circulation west of India (Table S1, West Indian section, and Figs. 5B and 5D). This
609 northward water flux enhances the intensity of the intermediate circulation in the eastern Neotethyan
610 Ocean (Table S1, Indo-Asian section) but the structure of the circulation does not change (Figs. S8
611 and S14). The Pacific intermediate circulation is also similar in the Drake Passage experiment as it is
612 in the Maastrichtian simulation.

613 *4.3.2.3. Deep circulation changes*

614 The deep circulation in the equatorial eastern Neotethyan Ocean and at the Neotethyan-Pacific
615 boundary does not change (Fig. S14), but opening the Drake Passage to deep circulation significantly
616 reduces the flux of deep-water flowing westward across the Indonesian section and into the Indian
617 sector of the Southern Ocean (Table S1 and Fig. 6D). This change is balanced by eastward flow across
618 the Drake Passage, which becomes the dominant source of deep waters in the Atlantic sector of the
619 Southern Ocean. In the Indian and Neotethyan Oceans, most of the water flow directions are similar to
620 the Maastrichtian simulation except west of India where the net southward deep-water flow stops. In
621 contrast to the Maastrichtian simulation, with deepening of the Drake Passage, deep waters in the
622 South and North Atlantic mostly originate from Pacific waters flowing eastward through the Drake
623 Passage rather than waters from the Indian Ocean.

624

625 *4.3.3. Deepening of the Caribbean Seaway*

626 *4.3.3.1. Temperature change in the ocean*

627 Similar to the deepening of the Drake Passage, the opening of the Caribbean Seaway to deep
628 flow causes profound restructuring of the global ocean circulation. Deep-water formation continues to

629 take place in the South Pacific with a reduction in the depth of the winter mixed-layer east of
630 Zealandia relative to the Maastrichtian simulation (Fig. S9C). Consequently, the global MOC is
631 slightly weaker between 2000 and 3000 m (Fig. 4E). The deepening of the Caribbean Seaway leads to
632 cooling of the Atlantic intermediate and deep waters and only minor temperature changes in the
633 Pacific, Indian and Neotethyan Oceans relative to the Maastrichtian, whereas it leads to limited and
634 spatially heterogeneous upper ocean temperature changes (Figs. 12C and S15). As in the Deep Drake
635 Passage experiment relative to the Maastrichtian, the Atlantic Ocean is better ventilated in the Deep
636 Caribbean Seaway experiment than in the Maastrichtian simulation, although intermediate and deep
637 waters invade the Atlantic from the north of the basin rather than from the south.

638 *4.3.3.2. Intermediate circulation changes*

639 As in the Deep Drake Passage experiment, deepening the Caribbean Seaway does not cause
640 major changes to the modeled global intermediate circulation compared to the Maastrichtian
641 simulation. Changes include the development of weak exchanges of similar magnitude between the
642 Atlantic and the Pacific across the Caribbean Seaway as well as the reversal of the intermediate flow
643 across the West Indian section (Table S1 and Fig. 5E). However, the fluxes of water transported by
644 these altered flows are small and the overall structure of the intermediate circulation in the Deep
645 Caribbean Seaway remains similar to that of the Maastrichtian (Table S1 and Fig. 5E).

646 *4.3.3.3. Deep circulation changes*

647 The most salient consequence of the deepening of the Caribbean Seaway on the deep
648 circulation is the reversal of the water fluxes in the Atlantic, from a net northward-dominated flow in
649 the Maastrichtian simulation to a southward-dominated flow in the Deep Caribbean Seaway
650 experiment (Figs. 6B and 6E) due to the invasion of Pacific deep waters into the Atlantic. In the
651 Southern Ocean, the net transport of water shifts from westward-dominated transport to eastward-
652 dominated transport across the South African section (Table S1 and Figs. 6B and 6E). As in other
653 Maastrichtian simulations, deep waters formed in the South Pacific flow across the Indonesian section
654 and are either advected into the Indian sector of the Southern Ocean or recirculated to the Pacific
655 (Figs. 11 and S14). However a stronger eastward deep-water flow exists at the southern tip of the
656 Asian continent because of the entrainment created by the opening of the Caribbean Seaway to deep

657 circulation (Table S1, Figs. 6E and S14). This strong current and the reversal of the net transport of
658 deep waters between the Atlantic and Indian sectors of the Southern Ocean induce a reversal of the
659 deep flow west of India (Table S1, West Indian section and Fig. 6E). The Southern Ocean is filled
660 with a combination of westward-flowing Indian Ocean deep waters and southward-flowing Atlantic
661 deep waters, which originate from the Pacific and have been advected through the Caribbean Seaway.

662

663 *4.3.4. Deepening of the Neotethys Seaway*

664 *4.3.4.1. Temperature change in the ocean*

665 In the Maastrichtian and sensitivity simulations described so far, the Neotethys Seaway is
666 shallow and inhibits intermediate and deep ocean circulation (Fig. 1). The deepening of the Neotethys
667 Seaway causes a significant reorganization of the circulation. As in the Deep Drake Passage and Deep
668 Caribbean Seaway simulations, deep-water formation occurs in the South Pacific, although the
669 maximum late winter MLD is reduced relative to the Maastrichtian simulation (Fig. S9D), leading to a
670 slight slowdown of the global MOC (Fig. 4F). Changes in ocean temperatures are minor except in the
671 North Atlantic and Neotethyan Oceans at intermediate depth (Figs. 12D and S16). At these depths, the
672 eastern Neotethyan Ocean cools slightly and the western Neotethyan and North Atlantic warm slightly
673 (Figs. 12D and S16). These changes are due to the opening of intermediate and deep connections
674 between the North Atlantic and Neotethyan Oceans. The warmer and saltier sinking winter upper
675 intermediate waters (~ 500 m depth) in the eastern Neotethyan Ocean (Fig. S9D) are advected toward
676 the North Atlantic rather than the Indian Ocean (Fig. S17), which leads to the observed intermediate
677 temperature signal. It is noteworthy that this reorganization of water currents caused by the deepening
678 of the Neotethys Seaway is opposite the reorganization caused by the restriction of the Neotethys
679 Seaway that occurs between the Cenomanian and the Maastrichtian (Figs. S7 and S17).

680 *4.3.4.2. Intermediate circulation changes*

681 In the Deep Neotethys Seaway experiment the directions of the net intermediate transports of
682 water across oceanic sections are also similar to that of the Maastrichtian (Table S1 and Fig. 5B and
683 5F). The deep western Neotethyan Ocean provides an outlet for North Atlantic intermediate waters
684 across the Mediterranean section, which increases the intermediate water fluxes out of the North

685 Atlantic (Fig. 5F). However, part of these eastward flowing intermediate waters recirculate to the
686 North Atlantic, both in the uppermost intermediate ocean (~ 500 m), where they join the westward
687 flowing waters that have sunk in winter in the eastern Neotethyan Ocean (Fig. S17), and in the deeper
688 intermediate ocean (Fig. S18). As a consequence, the net intermediate water transport across the
689 Mediterranean section only slightly increases from 0.2 Sv in the Maastrichtian simulation to 0.5 Sv in
690 the Deep Neotethys Seaway experiment (Fig. 5F). The invasion of the Neotethyan Ocean with North
691 Atlantic intermediate waters also reduces the inflow of Pacific intermediate waters in the eastern
692 Neotethyan Ocean (Table S1, Tethys and Indo-Asian sections and Figs. 5F and S18), which leads to
693 the reversal of the intermediate flow across the eastern West Indian section (Table S1 and Fig. 5F).
694 Other net intermediate transports remain in the same direction as in the Maastrichtian simulation.

695 *4.3.4.3. Deep circulation changes*

696 The main circulation difference caused by the deepening of the Neotethys Seaway is a reversal
697 of the deep-water flow direction in the Atlantic basin from northward to southward (Figs. 6B and 6F).
698 In the equatorial Neotethyan Ocean and Neotethyan-Pacific boundary, deep water circulation is
699 similar to that in the Maastrichtian simulation (Fig. S14); however, the deep eastward Pacific return
700 flow is reduced (Fig. 6F and Table S1, Indonesian section). This change is because the deepening of
701 the Neotethys Seaway opens a deep-water pathway for westward flowing deep waters formed in the
702 South Pacific. These South Pacific deep waters divide between a southwestward component, which
703 flows into the Indian sector of the Southern Ocean, and a northwestward component, which flows into
704 the Neotethyan Ocean (Fig. 6F). The northwestward deep-water flow across the Neotethyan Ocean
705 induces a reversal of the deep circulation west of India, from a southward-dominated flow in the
706 Maastrichtian to a northward-dominated flow in the Deep Neotethys Seaway experiment. The
707 Neotethyan deep waters then flow into the Atlantic sector of the Southern Ocean via the North
708 Atlantic, which explains the reversal of deep-water flow in this basin. The Southern Ocean is bathed
709 by a combination of deep waters coming from the southern Indian Ocean route and from the
710 Neotethyan-Atlantic route (Fig. 6F).

711

712 *4.3.5. Decreasing atmospheric CO₂ concentration*

713 *4.3.5.1. Temperature changes in the ocean*

714 Reducing the atmospheric CO₂ concentration only marginally impacts the simulated ocean
715 circulation, even though a ~ 2.5 – 3°C cooling is observed both at the surface and in the ocean interior
716 (Fig. 12E and S19). Deep-water formation occurs in the South Pacific as in the Maastrichtian and
717 gateway sensitivity experiments (Fig. S9E). Maximum late winter MLD increases in the western part
718 of the South Pacific and decreases in the eastern part relative to the Maastrichtian simulation. The
719 global MOC slightly intensifies (Fig. 4G) because the MLD increase occurs in the region where
720 deepest waters are formed (Fig. 8E).

721 *4.3.5.2. Intermediate and deep circulation changes*

722 As in the Deep Labrador Seaway experiment, there are no changes in the direction of the
723 intermediate and deep circulation in the 2x CO₂ experiment compared to the Maastrichtian (Figs. 5B
724 and 5G, and 6B and 6G). The more intense 2x CO₂ MOC drives enhancement of the intermediate and
725 deep fluxes (Table S1) across most oceanic sections but the absence of significant changes in the
726 water mass pathways indicate that the simulated cooling is exclusively due to the radiative effect of
727 the lower atmospheric CO₂ concentration.

728

729

730 **5. Discussion**

731 With the exception of the Deep Labrador Seaway and the 2x CO₂ experiments, each gateway
732 change profoundly alters Maastrichtian deep ocean water mass pathways. The deepening of the Drake
733 Passage and Caribbean and Neotethys Seaways opens barriers to deep circulation, leading to changes
734 in the intensity of circulation and pathways of deep-water flow. At intermediate depths, gateway
735 changes affect the origin and intensity of intermediate circulation, but have a lesser effect on the flow
736 pathway within and between basins.

737

738 *5.1. Comparison to previous model results*

739 *5.1.1 Late Cretaceous changes in ocean circulation*

740 To our knowledge only Donnadieu et al. (2016) has investigated changes in ocean circulation
741 from the beginning to the end of the Late Cretaceous. That study uses the FOAM model (Jacob, 1997)
742 to conduct simulations of the Cenomanian/Turonian and Maastrichtian using paleogeographies from
743 Sewall et al. (2007). Donnadieu et al. (2016) (hereafter D16) report that the deep ocean circulation in
744 FOAM is highly sensitive to Late Cretaceous paleogeographic evolution and that these
745 paleogeographic changes are responsible for a shift in the sources of Atlantic deep waters and a
746 reversal of the Atlantic deep-water flow, which provide an explanation for the observed decrease in
747 ϵ_{Nd} in the Atlantic and Indian Ocean during the Late Cretaceous. Our simulations differ substantially
748 from those of D16 in the paleogeography employed, in particular the configuration of ocean gateways,
749 and in the locations of deep-water formation, which critically affects the simulated pathways of
750 intermediate and deep water masses.

751 The baseline Cenomanian simulation of D16 shows deep-water formation in the North and
752 South Pacific as well as the South Atlantic. North Atlantic deep waters are sourced from the Pacific
753 and enter the Atlantic through a relatively deep Caribbean Seaway (2000-2500 m), whereas deep
754 waters formed in the South Atlantic are mostly advected toward the eastern Neotethyan Ocean (Figs.
755 2a and 3c in D16). In our Cenomanian simulation, in which the Caribbean Seaway is closed to deep
756 flow, North and South Atlantic deep waters originate from the Pacific via Neotethyan and Indian
757 routes, respectively (Fig. 6A).

758 The baseline Maastrichtian simulation of D16 exhibits a shift in deep-water formation from
759 the South Pacific to the South Indian Ocean while deep-water formation in the North Pacific and
760 South Atlantic persists. In those simulations, enhanced South Atlantic deep-water formation drives
761 enhanced northward export of deep waters into the North Atlantic, and these deep waters are advected
762 into the Pacific through a deep Caribbean Seaway (Figs. 2b, 3b and 3d in D16). In our baseline
763 Maastrichtian simulation, the South and North Atlantic are ventilated by deep waters forming in the
764 South Pacific and flowing westward along a pathway through the Indian Ocean but the shallow
765 Caribbean and Neotethys Seaways confine deep-water in the North Atlantic. Interestingly, our Deep
766 Caribbean Seaway experiment, in which the configuration of the Caribbean Seaway is closer to that of
767 the Maastrichtian simulation of D16, predicts a Pacific to Atlantic flow of deep waters across the

768 Caribbean Seaway (Fig. 6E) whereas D16 experiment predicts the opposite. Contrasts in these model
769 results are directly linked to the different areas of deep-water formation in the Southern Ocean
770 predicted by the two models.

771 The substantial differences between CESM and FOAM and in the details of the simulations
772 make it difficult to unambiguously explain the substantial changes in the source and circulation of
773 deep waters. In comparison to FOAM, CESM is more complex and has higher spatial resolution. In
774 addition, FOAM and CESM simulations differ in the details of the paleogeographies and initial
775 conditions, which hamper explicit examination of why the two models do not form deep waters in the
776 same locations. However, we speculate that freshwater supply via continental runoff is one mechanism
777 that might lead to these different locations of deep-water formation. In both our Cenomanian and
778 Maastrichtian simulations, the South Pacific is a region of low runoff supply relative to the other
779 sectors of the Southern Ocean (Table S1, Figs. 3D and 8D, and Fig. S20A-B). In addition, the higher
780 elevation and more extensive meridional span of the Rocky Mountains in our reconstructions (Fig.
781 S20C-D) compared to the Sewall et al. (2007) paleogeography used by D16 (Figs. 4 and 5 of Sewall et
782 al., 2007) blocks the advection of moisture across North America (e.g., Maffre et al., 2018), which
783 contributes to decreased surface salinity and prevents deep-water formation in the North Pacific.
784 Finally the lower resolution of FOAM in the atmosphere (7.5° longitude by 4.5° latitude) smooths the
785 Rocky Mountains even more. As a consequence, the moisture flux out of the North Pacific driven by
786 Northern Hemisphere Westerlies may be enhanced in D16, leading to increased North Pacific surface
787 salinity and more favorable conditions for deep-water formation.

788

789 *5.1.2 Sensitivity of ocean circulation to atmospheric CO₂ levels*

790 Ocean circulation is mostly insensitive to reducing the atmospheric CO₂ concentrations in our
791 Maastrichtian configuration. The intermediate and deep water mass pathways are identical although
792 the intensity of the water fluxes across major oceanic gateways is slightly enhanced in the 2x CO₂
793 simulation (Haynes et al., 2020). This insensitivity of Late Cretaceous ocean circulation to CO₂ levels
794 is consistent with the results of Donnadiu et al. (2016), which shows that Late Cretaceous simulations
795 performed at 2x, 4x and 8x CO₂ PAL predict similar areas of deep-water formation. In contrast,

796 Farnsworth et al. (2019) recently reported that reducing atmospheric CO₂ levels from 4x to 2x in a
797 Maastrichtian configuration in the HadCM3BL-M2.1aD earth system model led to a shift in deep-
798 water formation area from the South Pacific Ocean to the South Atlantic and Indian Oceans. This high
799 sensitivity to CO₂ only occurs in the Maastrichtian simulation among all the 12 Cretaceous
800 simulations (one per Cretaceous stage) performed by Farnsworth et al., and occurs again only once (in
801 the Selandian stage, ~ 60.6 Ma) among all their 7 Paleogene simulations. In the other simulations, both
802 the 2x and 4x CO₂ simulations predict similar areas of deep-water formation. The temporal proximity
803 of the Maastrichtian and Selandian stages led Farnsworth et al. (2019) to suggest that the time period
804 close to the Cretaceous/Paleogene boundary might be particularly sensitive to atmospheric CO₂ but it
805 is not clear in this case why their simulation of the Danian stage (~ 63.9 Ma) does not exhibit a similar
806 behavior. As Farnsworth et al. (2019) do not provide a detailed analysis of ocean circulation changes
807 in the Maastrichtian and Selandian stages relative to the others, we can only speculate that these
808 changes might be partly caused by high-latitude smoothing, which is performed on the simulations to
809 ensure model stability and which varies between stages (Lunt et al., 2016; Farnsworth et al., 2019).

810 More generally, the impact of atmospheric CO₂ levels on ocean circulation has been shown to
811 significantly vary in past greenhouse climate modeling work (Poulsen et al., 2001; Lunt et al., 2010;
812 Poulsen and Zhou, 2013; Donnadieu et al., 2016; Hutchinson et al., 2018; Farnsworth et al., 2019; Zhu
813 et al., 2020). The causes for this large spread in results may be diverse and are difficult to isolate but
814 we hypothesize that the model climate sensitivity to CO₂ and the range of atmospheric CO₂ levels
815 investigated could explain such variability. Winguth et al. (2010) report results of Paleocene-Eocene
816 Thermal Maximum (PETM) simulations using the CCSM3 fully-coupled model (with the CAM3
817 atmospheric model) and show that ocean circulation and deep-water formation areas remain similar
818 regardless of CO₂, although the intensity of overturning decreases with increasing CO₂. More recently,
819 Zhu et al. (2020) report results of PETM simulations performed at 1x, 3x, 6x and 9x CO₂ PAL using
820 the CESM1.2 ESM (with the CAM5 atmospheric model) and document a shift in deep and
821 intermediate water formation areas between 1x and 3x CO₂ and complete cessation of deep-water
822 formation at 6x and 9x CO₂. The climate sensitivity of CESM1.2 has been shown to be greater than
823 that of CCSM3, and, contrary to CCSM3, to increase with background CO₂ levels (Zhu et al., 2019).

824 Earth System Models with high climate sensitivity to CO₂ may demonstrate a higher sensitivity of
825 ocean circulation to CO₂ because the climate state in which the radiative forcing of CO₂ leads to a
826 warming sufficient to stop deep-water formation can be expected to occur for smaller changes in
827 atmospheric CO₂ levels.

828

829 *5.2. Evolution of intermediate and deep-water circulation during the Late Cretaceous*

830 *5.2.1. Neodymium isotope compilation*

831 A compilation of Cenomanian and Maastrichtian ϵ_{Nd} values is shown on Fig. 13 and Tables S3
832 and S4 (modified from Moiroud et al., 2016). The ϵ_{Nd} values at each site are averaged between 100 Ma
833 and 90 Ma for the Cenomanian and between 75 Ma and 65 Ma for the Maastrichtian. We perform this
834 temporal averaging because the paleogeographies of the Cenomanian and Maastrichtian are not
835 reconstructed with a temporal resolution higher than a few million years. It is thus not possible to
836 attribute a precise age to our Cenomanian (or Maastrichtian) paleogeography, which could equally
837 appropriately represent a 97 Ma or a 92 Ma paleogeography.

838 The Cenomanian is characterized by Atlantic and southern Indian Ocean ϵ_{Nd} values that range
839 mainly between ~ -5 to ~ -6 in the intermediate ocean and ~ -6 to ~ -8 in the deep (Fig. 13).
840 Exceptions to this are the anomalously low ϵ_{Nd} values recorded in the intermediate western equatorial
841 Atlantic (Demerara Rise, MacLeod et al., 2008; Jiménez Berrocoso et al., 2010; MacLeod et al., 2011;
842 Martin et al., 2012). The tropical Pacific has a high ϵ_{Nd} signature of ~ -3 : however, it is only
843 represented by a single data point at Shatsky Rise (Murphy and Thomas, 2012).

844 From the Cenomanian to the Maastrichtian, ϵ_{Nd} values generally decrease by ~ 2 to 3 in the
845 Atlantic and southern Indian Oceans. In the Pacific Ocean, Maastrichtian ϵ_{Nd} values are ~ -3.5 to -5.5
846 (Fig. 13). These ϵ_{Nd} trends have been the focus of numerous hypotheses suggesting the reorganization
847 of ocean circulation through the Late Cretaceous (e.g., Robinson et al., 2010; MacLeod et al., 2011;
848 Martin et al., 2012; Robinson and Vance, 2012; Murphy and Thomas, 2013; Voigt et al., 2013;
849 Donnadieu et al., 2016; Moiroud et al., 2016). It has been suggested that the subsidence of large
850 volcanic provinces, such as Kerguelen Plateau, could have decreased the supply of radiogenic material
851 to the Southern Ocean and could have shifted the signature of Maastrichtian deep water masses

852 formed in the South Atlantic (Robinson et al., 2010) or southern Indian Ocean (Murphy and Thomas,
853 2012) to lower values. Similar shifts toward lower ϵ_{Nd} values in the North Atlantic support hypotheses
854 that suggest that by the Maastrichtian, the central and South Atlantic had deepened enough to allow
855 northward export of deep waters from the Southern Ocean to the North Atlantic (Robinson et al.,
856 2010; Murphy and Thomas, 2012; Robinson and Vance, 2012).

857 The cessation of Pacific deep-water supply across the Caribbean Seaway in combination with
858 an increased deep-water formation in the Atlantic and Indian sectors of the Southern Ocean has also
859 been proposed to the ϵ_{Nd} shifts toward lower values (MacLeod et al., 2008; Donnadieu et al., 2016).
860 Alternatively, these shifts could be explained by initiation of deep-water formation in the North
861 Atlantic and invasion of the Southern Ocean by North Atlantic deep waters flowing across the
862 equatorial Atlantic (MacLeod et al., 2005; MacLeod et al., 2011; Martin et al., 2012).

863 All of these hypotheses explain the similarity in deep-water ϵ_{Nd} values between the North
864 Atlantic, South Atlantic and Indian Oceans in the Maastrichtian (Fig. 13) by greater communication
865 between the basins (Robinson and Vance, 2012; Murphy and Thomas, 2013; Moiroud et al., 2016).
866 Other records instead suggest that bathymetric barriers of the Rio Grande Rise (RGR) – Walvis Ridge
867 (WR) system in the South Atlantic prevented deep north-south flow between the North Atlantic and
868 the Southern Ocean until the Paleogene (Voigt et al., 2013; Batenburg et al., 2018) although recent
869 work suggest that deep channels existed through the RGR-WR system in the Late Cretaceous
870 (Moiroud et al., 2016; Pérez-Díaz and Eagles, 2017).

871 The opening of the Atlantic and Southern Ocean nonetheless played a major role in the
872 convergent evolution of ϵ_{Nd} values in the Late Cretaceous by affecting intermediate and deep flow
873 patterns as well as the residence time of water masses and, hence, local ϵ_{Nd} inputs such as boundary
874 exchange.

875

876 *5.2.2. Cenomanian circulation*

877 In contrast to the model simulations of Donnadieu et al. (2016) and the observational
878 hypotheses of Murphy and Thomas (2012, 2013) and Robinson et al. (2010), our Cenomanian
879 simulation indicates deep-water formation in the southwestern Pacific, along the eastern coast of

880 Australia, rather than in the South Atlantic or southern Indian Ocean (Fig. 3E). However, the deep-
881 water pathway simulated in our Cenomanian simulation, with waters traveling from their
882 Southwestern Pacific source into the southern Indian and South Atlantic Oceans following a strong
883 westward current around the Australian continent, is reasonably consistent with existing ϵ_{Nd} proxy
884 records. These deep waters would potentially have carried low ϵ_{Nd} values into the Indian and Atlantic
885 sectors of the Southern Ocean because modern ϵ_{Nd} values of the margins close to the deep-water
886 formation region in our Cenomanian simulation (eastern coast of Australia and Antarctic coast west of
887 the Ross Sea) are typically between ~ -7 and ~ -20 (Jeandel et al., 2007; Roy et al., 2007). In the
888 South Atlantic and southern Indian Ocean, deep-water ϵ_{Nd} values may have been modified by the
889 addition of radiogenic contributions from recently active volcanic provinces (e.g., Kerguelen Plateau)
890 that would raise the seawater value. Alternatively, it is possible that bathymetric barriers limited
891 southwestern Pacific deep-water advection to the South Atlantic and southern Indian Ocean
892 sufficiently to allow the ϵ_{Nd} signature of these deep-water to be overprinted by regional ϵ_{Nd} supply in
893 the Southern Ocean.

894 South Atlantic and southern Indian Ocean intermediate and deep sites do show a relatively
895 large range of ϵ_{Nd} values (between ~ -5 and ~ -10 , Fig. 13) and there is a wide range of possible ϵ_{Nd}
896 sources with very different ϵ_{Nd} values. The African craton and Brazilian shield in the South Atlantic
897 are unradiogenic (ϵ_{Nd} values < -10) (Jeandel et al., 2007), as are Antarctic terranes in the Atlantic and
898 Indian sectors of the Southern Ocean (Roy et al., 2007). In contrast, the volcanic provinces of Walvis
899 Ridge and Rio Grande Rise (O'Connor and Duncan, 1990; Murphy and Thomas, 2013; Voigt et al.,
900 2013) and large igneous provinces of the Kerguelen Plateau and Rajmahal traps (Mahoney et al.,
901 1995; Coffin et al., 2002) exhibit more radiogenic values (ϵ_{Nd} values > -5). Precisely attributing the
902 contribution of each source, including input of southwestern Pacific deep waters, to the South Atlantic
903 and southern Indian Ocean ϵ_{Nd} values is, therefore, difficult.

904 Our Cenomanian simulation predicts an inflow of intermediate and deep waters into the North
905 Atlantic from the Tethys and Mediterranean sections (Table S1 and Figs. 5A and 6A). These
906 intermediate and deep waters mostly originate from the equatorial and tropical Pacific via an intense
907 eastward current existing between ~ 800 and 2400 m at the southern tip of Asia, which subsequently

908 follows the eastern coast of Africa into the Neotethyan Ocean and the North Atlantic (Figs. 14A and
909 7C). Records from the equatorial Pacific (Murphy and Thomas, 2012) shows moderately high ϵ_{Nd}
910 values (> -6) from the Cenomanian onwards. In addition, modern compilations of the ϵ_{Nd} signature of
911 the continental margins in the eastern Mediterranean Sea (Ayache et al., 2016) and on the northeastern
912 coast of Africa (Jeandel et al., 2007) indicate relatively radiogenic ϵ_{Nd} values (> -6). Inputs of
913 radiogenic intermediate and deep waters from the Pacific into the North Atlantic via this Neotethyan
914 pathway, regardless of whether sediment/water exchange in the Neotethyan Ocean may have
915 contributed to their isotopic composition, provides a possible explanation for the ϵ_{Nd} signature of the
916 deep North Atlantic (Fig. 13), which has more radiogenic values than the nearby North American and
917 North African continents (Jeandel et al., 2007).

918 Intermediate and deep-water advection through the Neotethyan Ocean constitutes an
919 alternative possibility to the direct deep-water advection from the Pacific to the North Atlantic through
920 the Caribbean Seaway suggested by Donnadiou et al. (2016), which is problematic given that the
921 Caribbean Seaway was probably closed to intermediate and deep-water flow as early as the
922 Cenomanian (e.g., Buchs et al., 2018). However, other events may also have contributed to raising the
923 ϵ_{Nd} values of North Atlantic intermediate and deep waters. In particular, volcanism related to the initial
924 emplacement of the CLIP in the Caribbean Seaway during the Cenomanian could have supplied
925 radiogenic material to the North Atlantic without requiring intermediate and deep-water exchange
926 across the Caribbean Seaway or the Neotethyan Ocean. This input would raise the ϵ_{Nd} values of North
927 Atlantic waters and could account for the high ϵ_{Nd} values (~ -5) observed in Cenomanian samples at
928 Blake Nose in the intermediate North Atlantic (MacLeod et al., 2008). Another possible explanation
929 for Blake Nose and other intermediate North Atlantic ϵ_{Nd} values could be a local supply of Pacific
930 surface waters in the North Atlantic following a proto-Gulf Stream (Fig. 14B). The radiogenic surface
931 signal could then have been transported to intermediate waters (Fig. 14C) via intermediate water
932 formation in the North Atlantic (Figs. 3F and S5).

933 As pointed out in many studies, Demerara Rise and Cape Verde ϵ_{Nd} signatures stand out
934 relative to other intermediate and deep sites (MacLeod et al., 2008; Jiménez Berrocoso et al., 2010;
935 MacLeod et al., 2011; Martin et al., 2012). As in the simulation of Donnadiou et al. (2016), our

936 Cenomanian simulation does not produce low latitude intermediate or deep-water formation at
937 Demerara Rise, as has been suggested by previous work (Friedrich et al., 2008; MacLeod et al., 2008;
938 MacLeod et al., 2011; Martin et al., 2012). It does, however, show that Demerara Rise is bathed by a
939 mixture of intermediate waters formed in the North Atlantic and originating from the Neotethyan
940 Ocean, while the deeper Cape Verde site is mostly influenced by deeper waters from the Neotethys
941 (Fig. 14C). It has been suggested that the low ϵ_{Nd} values at Demerara Rise could be due to boundary
942 exchange with detrital material with extremely unradiogenic signature from the nearby Guyana shield
943 (Donnadieu et al., 2016), possibly in conjunction with very restricted local circulation (Moiroud et al.,
944 2016). Our model results support boundary exchange as an explanation for very low Demerara Rise
945 values but we cannot exclude the possibility that climate models are unable to reproduce low-latitude
946 intermediate or deep-water formation at Demerara Rise because of missing processes or insufficiently
947 detailed local paleogeography. Similarly, our results lead us to follow the suggestion that Cape Verde
948 basin values could be driven by local boundary exchange close to the western African craton (Moiroud
949 et al., 2016). We note that this conclusion is consistent with the results of Tachikawa et al. (1999;
950 2003), which report more unradiogenic values closer to the African continent at a site located in the
951 high organic flux Mauritanian upwelling region rather than at a site located farther from the coast,
952 which suggests a significant influence of boundary exchange processes in this region (Tachikawa et
953 al., 2003).

954

955 *5.2.3. Late Cretaceous circulation changes*

956 The opening of the Atlantic and Southern Oceans in our Maastrichtian simulations leads to an
957 increased exchange of intermediate and deep waters between ocean basins (Figs. 5B and 6B), in line
958 with previous model simulations (Donnadieu et al., 2016) and proxy-based evidence (e.g., Robinson et
959 al., 2010; MacLeod et al., 2011; Friedrich et al., 2012; Martin et al., 2012; Robinson and Vance, 2012;
960 Murphy and Thomas, 2013; Huber et al., 2018).

961 The evolution of the ocean circulation between the Cenomanian and the baseline
962 Maastrichtian, 2x CO₂ Maastrichtian or Deep Labrador Seaway experiments is reasonably consistent
963 with the ϵ_{Nd} evolution to lower values. Because the 2x CO₂ Maastrichtian and Deep Labrador Seaway

964 circulations are nearly identical to that of the baseline Maastrichtian experiment, we focus on the
965 baseline Maastrichtian simulation. This simulation estimates higher rates of deep water export from
966 the southwestern Pacific to the Indian and Atlantic sectors of the Southern Ocean than the
967 Cenomanian simulation (Fig. 6A-B). The absence of major changes in the provenance of deep currents
968 between our Cenomanian and Maastrichtian model runs in the southern Indian and South Atlantic
969 Oceans suggests that the main cause of the observed decrease in ϵ_{Nd} in these basins might have been
970 higher inputs of unradiogenic deep waters into the southern Indian and South Atlantic Oceans driven
971 by higher deep-water export rates and, therefore, less time for reactions with more radiogenic
972 sediments (e.g., Haynes et al., 2020). Alternatively, the observed ϵ_{Nd} trend might be caused by the
973 progressive subsidence of large igneous provinces, such as the Kerguelen Plateau, which would reduce
974 the supply of radiogenic volcanic material to the Southern Ocean (Murphy and Thomas, 2013). These
975 two hypotheses are not mutually exclusive and are difficult to test. However, we note that the shift
976 toward lower ϵ_{Nd} values in the Indian and South Atlantic Oceans is predicted by the slightly enhanced
977 intensity of ocean circulation in the 2x CO₂ Maastrichtian simulation relative to the baseline
978 Maastrichtian and is consistent with observational and model-based evidence for lower atmospheric
979 CO₂ during the Maastrichtian (e.g., Wang et al., 2014; Tabor et al., 2016; Foster et al., 2017).

980 In our baseline Maastrichtian simulation, northward-flowing deep waters from the Southern
981 Ocean dominate the Atlantic and could, therefore, advect low ϵ_{Nd} values to the North Atlantic and
982 explain the observed ϵ_{Nd} signature shift in this basin (Figs. 6B and 13). This idea is consistent with
983 previous arguments for the onset of an input of southern water masses into the North Atlantic
984 (Robinson et al., 2010; Robinson and Vance, 2012; Murphy and Thomas, 2013). Indeed, in contrast to
985 the separating role of the RGR-WR system on deep water masses suggested by Voigt et al. (2013) and
986 Batenburg et al. (2018), gaps in the RGR-WR system in our Maastrichtian simulation are deep enough
987 to allow northward flow of deep-water.

988 Other studies have suggested that intermediate and deep waters could be sourced from high
989 (MacLeod et al., 2011; Martin et al., 2012) or from low (Friedrich et al., 2008; MacLeod et al., 2008;
990 MacLeod et al., 2011) latitude regions in the North Atlantic but deep-water formation there is not
991 supported in our Maastrichtian simulation or in other recent coupled climate model simulations of the

992 Late Cretaceous (Donnadieu et al., 2016; Lunt et al., 2016; Niezgodzki et al., 2017; Farnsworth et al.,
993 2019; Niezgodzki et al., 2019). However, North Atlantic deep-water formation in the Cenozoic has
994 been shown to be sensitive to details of North Atlantic configuration and bathymetry (Stärz et al.,
995 2017; Vahlenkamp et al., 2018; Hutchinson et al., 2019). It is, therefore, possible that existing Late
996 Cretaceous paleogeographic reconstructions are not sufficiently detailed, thereby inhibiting the
997 modeled onset of North Atlantic deep-water production.

998 The Deep Caribbean Seaway and Deep Drake Passage simulations produce Pacific
999 intermediate and deep waters that invade the Atlantic Ocean via northern or southern routes,
1000 respectively (Figs. 5D-E and 6D-E). This increased supply of Pacific waters into the Atlantic would be
1001 expected to increase the ϵ_{Nd} signature of the Atlantic basin, which is at odds with the observed ϵ_{Nd}
1002 decrease by ~ 2 to 3 units from the Cenomanian to the Maastrichtian (e.g., Robinson et al., 2010;
1003 MacLeod et al., 2011; Martin et al., 2012; Robinson and Vance, 2012; Murphy and Thomas, 2013;
1004 Moiroud et al., 2016). Our simulations, therefore, argue against the presence of these deep gateways
1005 during the latest Cretaceous, in agreement with recent progress in the understanding of the geological
1006 history of these gateways but in notable contrast to the simulations of Donnadieu et al. (2016).

1007 In the Deep Neotethys simulation as in the baseline Maastrichtian simulation, high volumetric
1008 flow rates of deep waters are exported from the southwestern Pacific to the Indian sector of the
1009 Southern Ocean (Fig. 6F), which, in conjunction with the subsidence of volcanic provinces could
1010 explain the ϵ_{Nd} decrease in this basin. Because the Neotethyan Ocean is open to intermediate and deep
1011 circulation in this experiment, the deep North Atlantic is filled with westward flowing deep waters
1012 from the Neotethyan Ocean, which then flow southward into the South Atlantic. These deep waters are
1013 composed of a mixture of southwestern Pacific deep waters with low ϵ_{Nd} values traveling across the
1014 Indian Ocean and of deep waters that have circulated in the tropical and equatorial Pacific Ocean and
1015 had their ϵ_{Nd} signature shifted toward higher values (e.g., Hague et al., 2012; Thomas et al., 2014;
1016 Haynes et al., 2020), before flowing into the eastern Neotethyan Ocean following the southern tip of
1017 Asia between ~ 2000 and 3000 m (Figs. 15 and S14, Deep Neotethys Indonesian section). The low ϵ_{Nd}
1018 values observed in the Maastrichtian Atlantic could be consistent with a Deep Neotethys Seaway
1019 scenario if deep waters flowing into the North Atlantic were composed of a greater proportion of

1020 Pacific deep waters that traveled along the Indian Ocean and retained lower ϵ_{Nd} values than Pacific
1021 deep waters that traveled along the southern tip of Asia and acquired higher ϵ_{Nd} values. However, this
1022 hypothesis is less elegant and conceptually more complicated than the invasion of the North Atlantic
1023 by deep waters from the Southern Ocean with low ϵ_{Nd} values into the North Atlantic, as suggested by
1024 our baseline Maastrichtian (and Deep Labrador Seaway and 2x CO₂ Maastrichtian) simulation. In
1025 addition, the Deep Neotethys hypothesis is not easily reconciled with the geological context of a
1026 progressively resorbing Neotethyan Ocean during the Late Cretaceous (Stampfli, 2000).

1027 Our Maastrichtian simulations offer no better solution to the low ϵ_{Nd} signature of Demerara
1028 Rise and Cape Verde records (MacLeod et al., 2008; Jiménez Berrocso et al., 2010; MacLeod et al.,
1029 2011; Martin et al., 2012) than local boundary exchange processes within restricted basins (Donnadieu
1030 et al., 2016; Moiroud et al., 2016; Batenburg et al., 2018), at least until the extreme end of the
1031 Maastrichtian when a convergence of Demerara Rise and other North Atlantic sites ϵ_{Nd} values is
1032 observed (MacLeod et al., 2011). Likewise, our simulations do not provide a particular solution to the
1033 high ϵ_{Nd} values recorded in Newfoundland basin in the Maastrichtian North Atlantic (Fig. 13). Thus,
1034 we concur with the suggestion that local processes involving more radiogenic material might
1035 contribute to this signal (Robinson and Vance, 2012), possibly as early as the Cenomanian (Fig. 13).

1036

1037 *5.2.4. Oxygen and carbon isotopes*

1038 In contrast to the limited impact of specific gateway configurations on ocean temperatures in
1039 the Maastrichtian (Fig. 12A-D), regional surface and deep temperature changes of as much as $\sim 3^{\circ}\text{C}$
1040 are simulated between the Cenomanian and the Maastrichtian (Fig. 9A and S6). However, even in
1041 basins where these regional temperature changes are consistent with the direction of $\delta^{18}\text{O}$ changes
1042 between the Cenomanian and the Maastrichtian, they fall short of explaining the amplitude of $\delta^{18}\text{O}$
1043 change observed in the proxy records (Huber et al., 2018). For example, the ~ 1 to 1.5 ‰ positive
1044 benthic $\delta^{18}\text{O}$ trend observed at Blake Nose (Huber et al., 2002; Huber et al., 2018) could in part be
1045 explained by the $\sim 2^{\circ}\text{C}$ cooling predicted by our model in the North Atlantic (Fig. 10 and S6) between
1046 the Cenomanian and the Maastrichtian, but the parallel positive planktic $\delta^{18}\text{O}$ trend is not reproduced
1047 in our simulations (Fig. 9A). Similarly, in contrast to proxy observations, our model does not predict

1048 any significant temperature change at Exmouth Plateau in the southern Indian Ocean or in the deep
1049 equatorial Pacific (Ando et al., 2013; Falzoni et al., 2016). In the Atlantic sector of the Southern
1050 Ocean, our model predicts a small cooling, which is consistent with the $\delta^{18}\text{O}$ proxy record in terms of
1051 direction of change but not in amplitude (Huber et al., 2018).

1052 As demonstrated in detail by Tabor et al. (2016), accounting for lower Maastrichtian
1053 atmospheric CO_2 levels allows better consistency between model results of the Cenomanian and
1054 Maastrichtian and observations (Fig. 16). North Atlantic Blake Nose temperature decreases by more
1055 than 4°C at ~ 1000 m depth if Maastrichtian CO_2 levels are reduced by a factor of 2 relative to
1056 Cenomanian levels, in agreement with the ~ 1 to 1.5 ‰ benthic $\delta^{18}\text{O}$ trend. This cooling is paralleled
1057 by a ~ 2 to 3 $^\circ\text{C}$ surface cooling in agreement with the planktic $\delta^{18}\text{O}$ record. With a halving of CO_2 ,
1058 the model also predicts a cooling of ~ 2 to 2.5 $^\circ\text{C}$ both at the surface and intermediate depth in the
1059 Indian Ocean at Exmouth Plateau and in the deep equatorial Pacific at Shatsky Rise, as well as a more
1060 pronounced cooling > 4 $^\circ\text{C}$ at the surface and ~ 3 $^\circ\text{C}$ in the intermediate and deep ocean in the Atlantic
1061 sector of the Southern Ocean (Fig. 16 and Tables S3 and S4). These simulated temperature changes
1062 are in better agreement with proxy records (Ando et al., 2013; Falzoni et al., 2016; Huber et al., 2018)
1063 than in the absence of CO_2 induced cooling, in particular for benthic records. The amplitude of change
1064 in planktic $\delta^{18}\text{O}$ between the Cenomanian and Maastrichtian is indeed generally larger than that of the
1065 benthic $\delta^{18}\text{O}$ (Huber et al., 2018).

1066 Part of the mismatch between simulated temperature changes and $\delta^{18}\text{O}$ records may also
1067 pertain to the fact that foraminiferal $\delta^{18}\text{O}$ is a proxy for temperature and seawater $\delta^{18}\text{O}$. Foraminiferal
1068 $\delta^{18}\text{O}$ values are generally converted to temperatures using the consensus value of -1 ‰ for mean ice-
1069 free seawater $\delta^{18}\text{O}$ (Shackleton and Kennett, 1975; Pearson, 2012) but regional deviations from the
1070 global mean seawater $\delta^{18}\text{O}$ can exert a strong control on the conversion of foraminiferal $\delta^{18}\text{O}$ values to
1071 ocean temperatures, in particular in the upper ocean. The mid-Cretaceous simulations of Zhou et al.
1072 (2008) with the GENESIS-MOM coupled model indicate significant surface variability in seawater
1073 $\delta^{18}\text{O}$ in spite of the absence of a river routing scheme. Because precipitation and runoff are depleted in
1074 $\delta^{18}\text{O}$ relative to seawater, the upper ocean could exhibit lower seawater $\delta^{18}\text{O}$ in regions of high

1075 precipitation and/or high runoff input, with a substantial impact on reconstructed ocean temperatures
1076 (Huber et al., 2018).

1077 Alternatively, if significant polar ice sheets developed during the Late Cretaceous, which is
1078 unlikely during the Cenomanian based on recent observational and model studies (e.g., MacLeod et
1079 al., 2013; Ladant and Donnadieu, 2016) but is more debated for the cooler climates of the
1080 Maastrichtian (e.g., Miller et al., 1999; Bowman et al., 2013; Ladant and Donnadieu, 2016; Huber et
1081 al., 2018), mean seawater $\delta^{18}\text{O}$ may have shifted toward higher values. A positive shift in seawater
1082 $\delta^{18}\text{O}$ would have reduced the magnitude of seawater cooling required to explain the increasing values
1083 in foraminiferal $\delta^{18}\text{O}$ through the Maastrichtian. However, latest reviews suggest that, in the absence
1084 of direct evidence for ice sheet and synchronicity between indirect evidence, Cretaceous ice sheets
1085 might only have existed, if ever, as small ice sheets with limited impact on seawater $\delta^{18}\text{O}$ (Huber et
1086 al., 2018).

1087 Finally, we note that CO_2 -induced cooling may play a role in explaining the Cenomanian to
1088 Maastrichtian decrease in vertical $\delta^{13}\text{C}$ gradients (Huber et al., 2018) because the temperature
1089 dependence of metabolic rates in ocean planktonic communities may have increased surface to deep
1090 $\delta^{13}\text{C}$ gradient in warmer climates (John et al., 2013), by promoting increased rates of primary
1091 productivity, thereby enhancing surface $\delta^{13}\text{C}$ values, and/or increased remineralization of organic
1092 matter, which would enhance the ^{13}C depletion in the ocean interior.

1093 In summary, the comparison of model results to planktic and benthic $\delta^{18}\text{O}$ records confirms
1094 that prescribing lower atmospheric CO_2 levels in the Maastrichtian configuration is necessary to
1095 reproduce the cooling trend observed in the data. However, the absence of changes in ocean
1096 circulation with decreasing CO_2 levels and the limited changes in temperature produced by the
1097 deepening of gateways compared to that produced by lower CO_2 levels indicate that changes in both
1098 atmospheric CO_2 and paleogeography, likely with a strong influence from the nature of ocean
1099 gateways, are needed to reconcile model results and different proxy data into an internally consistent
1100 picture of evolving ocean circulation across the Late Cretaceous.

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1102

1103 6. Conclusion

1104 Our CCSM4 earth system model simulations of the Cenomanian and Maastrichtian
1105 demonstrate significant reorganizations of the deep and intermediate ocean circulation during the Late
1106 Cretaceous, which are predominantly controlled by the configuration of major oceanic gateways. Our
1107 model predicts continuous deep-water formation in the southwestern Pacific in the Late Cretaceous
1108 but show that the Cenomanian to Maastrichtian interval witnessed the transition from an essentially
1109 zonal ocean circulation during the Cenomanian to one with increased meridional water exchanges
1110 during the Maastrichtian. We show that the simulated ocean circulation compares reasonably well to
1111 global ϵ_{Nd} records and that the Caribbean Seaway and Drake Passage were likely restricted to shallow
1112 circulation in the Maastrichtian, in agreement with current paleobathymetric knowledge (e.g., Buchs et
1113 al., 2018). In contrast, our simulations cannot discriminate whether deep connections existed across
1114 the Neotethyan Ocean on the basis of the comparison with ϵ_{Nd} records.

1115 We are more confident in interpreting large basin-scale ϵ_{Nd} trends (such as the Atlantic and
1116 Indian Oceans ϵ_{Nd} decrease between the Cenomanian and Maastrichtian) than local ϵ_{Nd} values.
1117 However, our interpretation of the larger patterns in the ϵ_{Nd} records is limited by several factors. First,
1118 paleogeographic uncertainties require that we average ϵ_{Nd} values over long time intervals. We are
1119 therefore bound to miss higher frequency climatic and oceanic variability, which might explain
1120 regional ϵ_{Nd} signatures. Second, most of the neodymium signatures are between ~ -5 and ~ -10 , which
1121 are relatively “middle-of-the-road” values that could be explained by a large number of plausible, not
1122 mutually exclusive, scenarios. Third, spatial and temporal resolution of the data is low for important
1123 intervals; there is a real need for increased Cretaceous ϵ_{Nd} records in particular from the
1124 south(western) Pacific and from the Indian Ocean, regions which are critically under sampled. These
1125 issues notwithstanding, direct comparison between ϵ_{Nd} records and oceanic currents is a step forward
1126 to understanding the ocean circulation of the Late Cretaceous, with future advances likely requiring
1127 specific modeling of the water mass signature in ϵ_{Nd} (Arsouze et al., 2007; Sepulchre et al., 2014; Gu
1128 et al., 2019).

1129 Ultimately, our work highlights the critical impact of gateway configurations in the Late
1130 Cretaceous oceanic evolution. The geologic history of major ocean gateways and the continuous deep-
1131 water formation in the South Pacific in our simulations suggest that the Late Cretaceous trend in ϵ_{Nd}
1132 values in the Atlantic and southern Indian Oceans was caused by subsidence of volcanic provinces and
1133 opening of the Atlantic and Southern Oceans rather than changes in deep-water formation areas and/or
1134 reversal of deep-water fluxes. However, other plausible scenarios consistent with Late Cretaceous ϵ_{Nd}
1135 values remain and new studies combining proxy records, detailed paleogeographic reconstructions and
1136 ϵ_{Nd} modeling will therefore be key to improving our understanding of Late Cretaceous climates.

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1139 **Data availability**

1140 All model outputs and scripts for reproducing this work are archived at the University of Michigan or
1141 NCAR Cheyenne supercomputer and Campaign storage space. Model variables used to reproduce the
1142 figures shown in the manuscript can be found at <https://doi.org/10.5281/zenodo.3741722>.

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1145 **Author contributions**

1146 JBL performed model simulations with the help of CJP and CRT and model analyses. FF reviewed the
1147 paleogeographic history of ocean gateways. All authors contributed to discussing and interpreting the
1148 results and writing the paper.

1149

1150

1151 **Competing interests**

1152 The authors declare that they have no conflict of interest.

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1154

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1168 **References**

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1551 **Figure legends**

1552

1553 **Figure 1.** Bathymetry of the Cenomanian and Maastrichtian configurations and enlargements of
1554 regions where bathymetric changes were made in the gateway sensitivity experiments.

1555

1556 **Figure 2.** (A) Timeseries of temperature at the sea surface and in the intermediate (1000 m) and deep
1557 (3000 m) ocean, showing that the model has reached quasi-equilibrium at the end of the simulations.

1558 The gap between years 850 and 930 in the Maastrichtian simulation (red line) is due to unfortunate
1559 loss of data. Only the ends of the sensitivity simulations (Deep Labrador Seaway, Deep Drake

1560 Passage, Deep Caribbean Seaway and Deep Neotethys Seaway) are shown because the full history of
1561 the evolution of these simulations was not conserved. Note that the first 1500 years of the simulations,

1562 described in Tabor et al. (2016), are omitted on this figure. (B) Timeseries of the meridional
1563 overturning circulation. Note that the maximum overturning intensity is negative because the

1564 circulation is anticlockwise.

1565

1566 **Figure 3.** Climate diagnostics for the Cenomanian simulation. (A) Surface ocean (upper 100 m)
1567 temperature (°C), (B) Surface ocean (upper 100 m) salinity (PSU), (C) Precipitation minus
1568 evaporation (mm/day), (D) Runoff freshwater flux (mSv), (E and F) Late winter maximum mixed
1569 layer depth (m).

1570

1571 **Figure 4.** Global meridional overturning circulation (clockwise positive) for each experiment. (A)
1572 Cenomanian, (B) Maastrichtian, (C) Deep Labrador Seaway, (D) Deep Drake Passage, (E) Deep
1573 Caribbean Seaway, (F) Deep Neotethys Seaway, (G) 2x CO₂ Maastrichtian.

1574

1575 **Figure 5.** Intermediate (500 – 1500 m) water flow across major oceanic sections defined in Table S1
1576 and represented in green for each simulation. Because flows vary across the range of depth depicted,
1577 summed flow in both directions across each section is shown with the larger flux in red and the
1578 smaller in orange. Thus, the direction of the red arrow gives the direction of the net intermediate flow
1579 across a section and the magnitude of the net flow is given by the difference between the fluxes
1580 represented by the red and orange arrows. Abbreviated sections: C (Caribbean), CA (Central Atlantic),
1581 D (Drake), EI (East Indian), IA (Indo-Asian), Ind (Indonesian), Med (Mediterranean), SA (South
1582 Atlantic), SAf (South African), SC (South China), Tet (Tethys), WI (West Indian).

1583

1584 **Figure 6.** Deep (> 1500 m) water flow across major oceanic sections defined in Table S1 and
1585 represented in green for each simulation. As in Figure 5, because flows vary across the range of depth
1586 depicted, summed flow in both directions across each section is shown with the larger flux in red and
1587 the smaller in orange. Thus, the direction of the red arrow gives the direction of the net intermediate
1588 flow across a section and the magnitude of the net flow is given by the difference between the fluxes
1589 represented by the red and orange arrows. Abbreviated sections: C (Caribbean), CA (Central Atlantic),
1590 D (Drake), EI (East Indian), IA (Indo-Asian), Ind (Indonesian), Med (Mediterranean), SA (South
1591 Atlantic), SAf (South African), SC (South China), Tet (Tethys), WI (West Indian).

1592

1593 **Figure 7.** Cenomanian deep circulation (3000 m) in (A) the eastern Neotethyan Ocean and (B) the
1594 southwestern Pacific Ocean. Orange arrows represent major deep current systems in the eastern
1595 Neotethyan Ocean and southwestern Pacific Ocean. Purple contours represent regions of deep waters
1596 formation (contour 500 m). Section A-B defines the Indonesian section of Table S1. (C) Fluxes of
1597 water across the Indonesian section over the whole water column.

1598

1599 **Figure 8.** Climate diagnostics for the Maastrichtian simulation. (A) Surface ocean (upper 100 m)
1600 temperature ($^{\circ}\text{C}$), (B) Surface ocean (upper 100 m) salinity (PSU), (C) Precipitation minus
1601 evaporation (mm/day), (D) Runoff freshwater flux (mSv), (E and F) Late winter maximal mixed layer
1602 depth (m).

1603

1604 **Figure 9.** Climate diagnostics for the Maastrichtian simulation relative to the Cenomanian simulation.
1605 (A) Surface ocean (upper 100 m) temperature difference ($^{\circ}\text{C}$), (B) Surface ocean (upper 100 m)
1606 salinity difference ($^{\circ}\text{C}$), (C and D) Cenomanian and Maastrichtian barotropic streamfunction (Sv).

1607

1608 **Figure 10.** Zonally averaged temperature difference ($^{\circ}\text{C}$) between the Maastrichtian and the
1609 Cenomanian simulations. (A) Global average, (B, C, D) Pacific, Atlantic and Indo-Neotethyan
1610 average, based on basins defined in Fig. S3.

1611

1612 **Figure 11.** Maastrichtian deep circulation (3000 m) in (A) the eastern Neotethyan and Indian Oceans
1613 and (B) the southwestern Pacific Ocean. Orange arrows represent major deep current systems in the
1614 eastern Neotethyan and Indian Oceans and southwestern Pacific Ocean. Purple contours represent
1615 regions of deep waters formation (contour 500 m). Section A-B defines the Indonesian section of
1616 Table S1. (C) Fluxes of water across the Indonesian section over the whole water column.

1617

1618 **Figure 12.** Surface, intermediate and deep ocean temperature difference ($^{\circ}\text{C}$) between the sensitivity
1619 experiments and the Maastrichtian simulation.

1620

1621 **Figure 13.** Cenomanian and Maastrichtian ϵ_{Nd} compilation modified from Moiroud et al. (2016), with
1622 few additions (Tables S3 and S4). The ϵ_{Nd} values at each site are averaged between 100 Ma and 90 Ma
1623 for the Cenomanian and between 75 Ma and 65 Ma for the Maastrichtian. Site numbers are shown for
1624 clarity.

1625

1626 **Figure 14.** Cenomanian ocean circulation in (A) the northern Neotethyan Ocean at 1500 m depth, (B)
1627 the North Atlantic Ocean between 0 and 500 m depth and (C) the North Atlantic Ocean between 1500
1628 and 2000 m depth. Orange contours represent major pathways of water masses. Purple contours are
1629 the maximum winter MLD (500 m contours).

1630

1631 **Figure 15.** Deep Neotethys Seaway deep ocean circulation in the northern Indian and Neotethys
1632 Oceans at (A) 3000 m depth and (B) 2500 m depth. Orange contours represent major pathways of
1633 water masses.

1634

1635 **Figure 16.** Surface, intermediate and deep ocean temperature difference ($^{\circ}\text{C}$) between the 2x CO_2
1636 Maastrichtian and Cenomanian simulations.

1637