# 1 Aridification signatures from fossil pollen indicate a drying

# 2 climate in east-central Tibet during the late Eocene

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18 Abstract. Central Asia experienced a number of significant elevational and climatic changes during the

- 19 Cenozoic, but much remains to be understood regarding the timing and driving mechanisms of these changes, as
- 20 well as their influence on ancient ecosystems. Here we describe the palaeoecology and palaeoclimate of a new
- 21 section from the Nangqian Basin in Tibet, northwestern China, here dated as Bartonian (41.2–37.8 Ma; late
- 22 Eocene) based on our palynological analyses. Located on the east-central part of what is today the Tibetan
- 23 Plateau, this section is excellently placed for better understanding the palaeoecological history of Tibet
- following the India-Asia collision. Our new palynological record reveals that a strongly seasonal steppe-desert
- 25 ecosystem characterised by drought-tolerant shrubs, diverse ferns and an underlying component of broad-leaved
- 26 forests existed in east-central Tibet during the Eocene, influenced by a southern monsoon. A transient warming
- 27 event, possibly the Middle Eocene Climatic Optimum (MECO; 40 Ma), is reflected in our record by a temporary
- 28 increase in regional tropical taxa and a concurrent decrease in steppe-desert vegetation. In the late Eocene, a

29 drying signature in the palynological record is linked to proto-Paratethys sea retreat, which caused widespread long-term aridification across the region. To better distinguish between local climatic variation and farther-30 31 reaching drivers of Central Asian palaeoclimate and elevation, we correlated key palynological sections across 32 the Tibetan Plateau by means of established radioisotopic ages and biostratigraphy. This new palynozonation 33 illustrates both intra- and inter-basinal floral response to Qinghai-Tibetan uplift and global climate change 34 during the Paleogene, and provides a framework for the age assignment of future palynological studies in 35 Central Asia. Our work highlights the ongoing challenge of integrating various deep time records for the 36 purpose of reconstructing palaeoelevation, indicating that a multiproxy approach is vital for unravelling the 37 complex uplift history of Tibet and its resulting influence on Asian climate.

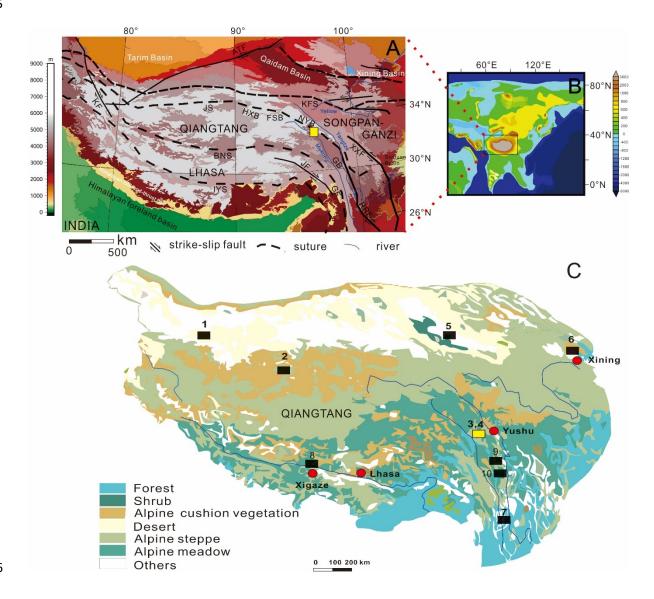
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#### 39 1. Introduction

40 A series of major geological events occurred during the Cenozoic, which led to a fundamental change in 41 the global climate (Zachos et al., 2001). The most important events include the formation of the polar ice cap 42 (e.g., DeConto and Pollard, 2003; Pagani et al., 2011), regression of the proto-Paratethys Sea from Eurasia 43 (Abels et al., 2011; Bosboom et al., 2014; Caves et al., 2015; Bougeois et al., 2018; Kaya et al., 2019; Meijer et 44 al., 2019), and uplift of the Qinghai-Tibetan region (Dupont-Nivet et al., 2007, 2008; Molnar et al., 2010; Miao 45 et al., 2012; Hu et al., 2016; Li et al., 2018). Today the Tibetan Plateau (TP) is the highest elevated plateau in the 46 world, with a complex uplift history beyond a simple collision between the Indian and Asian continents (Molnar 47 and Tapponnier, 1975; Aitchison and Davis, 2001; Wang, C.S., et al., 2008; Xia et al., 2011; Aitchison et al., 2011; Zhang et al., 2012; Wang, C.W., 2014; Spicer et al., 2020). Here, the term 'Tibetan Plateau' is used in the 48 49 paper to denote the geographic extent occupied by the modern plateau, but should not be taken to imply that an 50 elevated expanse of low relief topography existed across this region in the Eocene (Spicer et al., 2020). 51 Previous studies indicate that retreat of the proto-Paratethys Sea and the uplift of Tibet as well as other 52 ranges to the north, such as the Altai, Sayan, and Hangay (Caves et al., 2014), may have been responsible for 53 monsoon intensification and aridification across the Asian continental interior in the Paleogene, although the 54 timing of these mechanisms, and their roles in forcing climate dynamics, are still debated (Caves et al., 2015; 55 Spicer, 2017). In particular, a lack of consensus exists regarding the onset of Asian aridification, whether it was 56 a Paleogene or Neogene phenomenon, and its relationship with Tibetan uplift (e.g., Dupont-Nivet et al. 2007; 57 Xiao et al., 2010; Miao et al., 2012; Caves et al., 2015; Liu et al., 2016; Wang et al., 2018; Li L. et al., 2019;

Paeth et al., 2019). Aridification in northeastern Tibet appears to have intensified after the Middle Eocene Climatic Optimum (MECO;~ 40 Ma), a short-lived warming event documented in marine records globally. The drying climate after this event is primarily linked to the second regression of the proto-Paratethys Sea, which reduced moisture supply via the westerlies to Central Asia (Kaya et al., 2019). In northeastern Tibet, the regional disappearance of perennial lakes, accompanied by an increase in pollen from xerophytic plants, marks a permanent aridification step in the Asian terrestrial record after ~40 Ma (Bosboom et al., 2014); however, these climatic trends are yet to be identified in central Tibet.

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67 Figure 1: (A) Tectonic map of the Tibetan Plateau (TP) with major sedimentary basins (HXB: Hoh Xil Basin; FSB:

68 Fenghuo Shan basins; NYB: Nangqian-Yushu basins; GB: Gongjo Basin), sutures (JS: Jinsha suture; BNS: Bangong-

- 69 Nujiang suture; IYS: Indus-Yalu suture), and major faults (KF: Karakorum fault; ATF: Altyn Tagh fault; KFS:
- 70 Kunlun fault system; XXF: Xiangshuihe-Xiaojiang fault system; RRF: Red River fault; GF: Gaoligong fault; JF:
- 71 Jiali fault) indicated, redrawn after Horton et al. (2002). The yellow rectangle indicates the location of this study in

- 72 the Nangqian Basin. (B) late–middle Eocene (40 Ma) palaeogeographic reconstruction with the Qinghai-Tibetan
- 73 region indicated by a black rectangle (redrawn after Tardif et al., 2020). (C) Modern vegetation distributions on the
- 74 Tibetan Plateau with major towns indicated in red (redrawn after Baumann et al., 2009). Numbers indicate the
- 75 positions of palynological assemblages that are correlated in Fig. 3 and the text: 1. Tarim Basin; 2. Hoh Xil Basin; 3,
- 76 4. Nangqian Basin (this study indicated by a yellow rectangle); 5. Qaidam Basin; 6. Xining Basin; 7. Jianchuan Basin;
- 77 8. Xigaze Basin; 9. Markam Basin; 10. Gonjo Basin.
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The uplifting, large-scale thrusting and striking of Tibet caused several Paleogene intracontinental basins to 79 80 form within the northern and central Qinghai-Tibetan region, including the Nangqian Basin. Situated in the Yushu area (Fig. 1), this basin lies directly above the Lhasa terrane, which comprised part of NE Gondwana in 81 82 the Late Triassic to Early Jurassic and formed through a subduction-accretion process similar to that of the later 83 India-Asia collision (Liu et al., 2009). Subsequent to its formation, the Nangqian Basin was infilled with nonmarine sedimentary deposits (Wang et al., 2001; 2002), and is now a key site for understanding the Cenozoic 84 tectonics, palaeoelevation and paleoclimatic changes that took place in the Qinghai-Tibetan region since the 85 collision of the Indian and Asian tectonic plates (Gupta et al., 2004; Molnar, et al., 2004; Wang et al., 2001). 86 87 Previous palynological studies from this part of the plateau revealed a relatively dry climate with brief humid 88 intervals in the late Eocene, dominated by drought-tolerant (xerophytic) and salt-tolerant (halophytic) steppe-89 desert vegetation (Wei, 1985; Yuan et al., 2017).

90 This climate and palaeoflora were very similar to contemporaneous plateau ecosystems further to the north, such as the Xining (Dupont-Nivet et al. 2007, 2008; Hoorn et al., 2012) and Hoh Xil (Liu et al., 2003; Miao et 91 92 al., 2016) basins, demonstrating the potential for these successions to be biostratigraphically correlated. 93 Furthermore, oxygen isotope records indicate that both northern and east-central Tibet received moisture 94 dominantly via the westerlies, which have maintained a semi-arid to arid climate in Central Asia since the early Eocene (Caves et al., 2015; Caves Rugenstein and Chamberlain, 2018. This suggests that aridification across 95 96 this part of Tibet in the Eocene was related to large-scale atmospheric transport, and justifies a comparison of 97 palynological records in the northern and central parts of the TP.

98 In contrast, southeastern Tibet seems to have experienced a more humid climate hosting widespread

99 conifer and warm-temperate broad-leaved forests (Li et al., 2008; Su et al., 2018), likely influenced by a

100 Paleogene Inter-tropical Convergence Zone (ITCZ) -driven monsoon system similar to the modern Indonesia-

- 101 Australia Monsoon (I-AM; Spicer et al., 2017). Today this summer-wet, winter-dry monsoonal regime presides
- 102 over a biodiversity hotspot in southern Asia; similarly seasonal climates in the past are thought to also have

stimulated high biodiversity (Spicer, 2017). Southerly moisture has probably rarely extended northward of the

104 central TP (Caves Rugenstein and Chamberlain, 2018); moreover, southern Tibetan Eocene floras display a

modern aspect (e.g., Linnemann et al., 2018) that is quite different to more ancestral steppe vegetation hosted inthe northern TP.

107 The extent and timing of mechanisms that promoted somewhat different floras south and north of the 108 Tibetan–Himalayan orogen remain poorly understood, with Licht et al. (2014) reporting marked monsoon-like 109 patterns in both regions during the Eocene, utilising records from northwest China and Myanmar. The role of 110 Qinghai-Tibetan uplift also remains unclear, with contrasting models of plateau evolution supported by various 111 tectonic, isotopic, modelling, and biological evidence (e.g., Mulch and Chamberlain, 2006; Rowley and Currie, 112 2006; Ding et al., 2014; Li et al., 2015; Jin et al., 2018; Botsyun et al., 2019; Su et al., 2019; Valdes et al., 2019;

113 Shen and Poulsen, 2019 and see summaries in Spurlin et al., 2005; Wang et al., 2014; Spicer, 2017).

114 Accordingly, further stratigraphic and paleoenvironmental studies of the sedimentary successions within these

basins are necessary to provide clarification on local vs. regional climatic changes experienced as a result of

uplift, global cooling, and progressive aridification in Central Asia during the Paleogene.

The location of the Nangqian Basin on the east-central part of the TP provides an ideal locality for testing the influence of these mechanisms on Asian palaeoenvironments and climates. We selected the Ria Zhong (RZ) section in the Nangqian Basin for palynological analyses, and correlated this section with previous studies from this and other TP basins. These new results better constrain the biostratigraphy of Paleogene successions across the plateau, and provide new information on the depositional environment, and elevational and climatic changes in eastern Tibet during the Eocene. We further synthesise results previously published in Chinese journals, making these results accessible for an international audience.

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#### 125 2. Geological background, stratigraphy and lithofacies

The Nangqian Basin is located on the border between the Qinghai Province and Tibet Autonomous Region at an elevation of approximately 4500–5000 m and characterized by a continental seasonal monsoon climate, with long, cold winters, and short, rainy, and cool to warm summers (Yuan et al., 2017). Most of the annual precipitation occurs from June to September, when on average, most days in each month experience some rainfall (Qinghai BGMR, 1991). The region presently hosts alpine steppe and meadow characterised by Cyperaceae, Asteraceae, Amaranthaceae, and Poaceae, as well as conifer and broad-leaved forests dominated by 132 conifers such as *Pinus*, *Picea*, *Abies*, *Tsuga*, and deciduous angiosperms such as *Quercus* (oak) and *Betula* 

(birch) although intensive logging has markedly contracted these forests to steep slopes and remote areas(Herzschuh, 2007; Baumann et al., 2009).

135 Although the timing of the Indo-Asian collision remains uncertain (e.g., Xia et al., 2011; Zhang et al., 136 2012; Wang et al., 2014), its initiation formed north-eastward extrusion facilitated by motion along a series of 137 contraction deformation and strike-slip faults in eastern Tibet, including the Yushu-Nangqian thrust belt and the 138 Jinshajiang strike-slip fault system (Fig. 1; Hou et al., 2003; Yin and Harrison, 2000; Spurlin et al., 2005). The 139 Nanggian Basin is one of four sedimentary basins in the Nanggian-Yushu region that formed during Paleogene 140 contraction (Horton et al., 2002), ~80 km-long in S-N direction, and 15 km-wide in E-W direction, and situated 141 in the eastern part of the Qiangtang terrane (Fig. 1; Hou et al., 2003). The tectonic evolutionary history of the 142 area includes an early stage extrusion thrust foreland basin, a middle stage strike-slip foreland basin, and the late 143 stage extrusion strike-slip foreland basin (Wang et al., 2001, 2002; Mao et al., 2010; Jiang et al., 2011). 144 Paleozoic, Mesozoic, and Paleogene sedimentary rocks exposed along the Yushu-Nangqian traverse 145 include Carboniferous-Triassic marine carbonates and minor clastic units overlain by Jurassic, Cretaceous, and 146 Paleogene red beds (Liu, 1988; Qinghai BGMR, 1991). The southern area mainly comprises the Carboniferous 147 Zhaduo Group ( $C^{1}zd$ ), whereas the northern area is dominated by younger strata comprising the Upper Triassic Jieza Group (T<sup>3</sup>iz; Qinghai BGMR, 1991). Our study concentrated on the Cenozoic gypsum-bearing Gongjue 148 149 Formation, which unconformably overlies Carboniferous-Triassic rocks and may be conformable with 150 underlying Upper Cretaceous strata (Qinghai BGMR, 1983a, 1983b, 1991). It is divided into five lithological 151 units ( $Eg^1$ – $Eg^5$ ), from bottom to top.  $Eg^1$  comprises shallow lacustrine facies reaching a thickness of ca. 400 m, which lie unconformably on a basement of Carboniferous-Permian sedimentary rocks. The strata in units Eg<sup>2</sup>, 152 153  $Eg^4$ , and  $Eg^5$  were mainly formed in an alluvial environment with rapid sedimentation rates, with strata reaching 154 a thickness of ca. 530 m, 1100 m, and 2500 m respectively.

The focus of this study is the Eg<sup>3</sup> unit which has a more complex depositional history; it is the thickest (reaching 3500 m) of the five units, and the most widely distributed unit in the Nangqian Basin. Eg<sup>3</sup> is divided into three members: 1) the Ri'Anongguo conglomerate member, which reaches a thickness of approx. 1300 m; 2) the Dong Y'ru sandstone member with limestone beds, which reaches a thickness of 700–1000 m; and 3) the uppermost Gouriwa member, comprising mudstones (generally developed as red beds) intercalated with gypsum and reaching 900–1200 m in thickness (Wang et al., 2002). This latter member has been interpreted as being deposited in a fluviolacustrine environment under a range of climatic conditions (Wang et al., 2001, 2002; Jiang et al., 2011). Based on palynological analyses and ostracod assemblages, these mudstone-dominated successions
(Eg<sup>3</sup>) have been dated as late Eocene to Oligocene in age (Wei, 1985; Yuan et al., 2017), which is corroborated
by 38–37 Ma <sup>40</sup>Ar/<sup>39</sup>Ar ages from interbedded volcanic rocks in the uppermost strata of the Nangqian Basin
(Spurlin et al., 2005).

166 Though few palynological data currently exist from the Nangqian Basin (Wei, 1985; Yuan et al., 2017), 167 palynology has been extensively applied for biostratigraphic purposes, as well as to infer Cenozoic climatic 168 changes, in basins across the TP, including the Qaidam Basin (Xu et al., 1958; Zhu et al., 1985; Wang et al., 169 1999; Sun et al., 2005; Lu et al., 2010; Ji et al., 2011; Miao et al., 2011, 2012, 2013a; Cai et al., 2012; Herb et 170 al., 2015; Wei et al., 2015), Xining Basin (Dupont-Nivet et al., 2008; Miao, 2010; Hoorn et al., 2012; Miao et 171 al., 2013b; Bosboom et al., 2014), Hoh Xil Basin (Liu et al., 2003; Miao et al., 2016), Tarim Basin (Sun et al., 172 1999; Zhu et al., 2005; Bosboom et al., 2011; Wang et al., 2013), Jianchuan Basin (Li L. et al., 2019), and the 173 Xigaze region of Tibet (Li et al., 2008). Most of these studies are limited to the sedimentary successions within 174 the foreland basins of the northern TP, rendering it important to gather further data on central Tibetan basins that 175 preserve a complex sequence of Cenozoic deformation in relation to the Indo-Asian collision zone (Spurlin et 176 al., 2005). Furthermore, correlation of the above-mentioned northern successions with our new section from the 177 Nangqian Basin (presented in Section 5.1) is valuable for advancing understanding of differences in vegetational 178 composition across the TP, as well as the paleoenvironmental and climatic signals recorded by these ecosystems. 179

# 180 **3.** Materials and Methods

181 In this study, the RZ section located in the northwestern part of the Nangqian Town (N32°12'10'',

182 E96°27'19.42", altitude 3681 m) was sampled for sedimentological and palynological analyses (Fig. 1). The RZ

section is a ca. 260 m thick portion of the Gongjue Formation where it represents the uppermost Gouriwa

184 Member of the Eg<sup>3</sup> unit (Fig. S1). The sediments mainly comprise lacustrine facies represented by red

185 mudstones and siltstones, intercalated with gypsum beds. A more detailed description of the sedimentology,

- 186 geochemistry, and palynofacies of the section are presented in a separate manuscript (Yuan et al., in prep.). A
- 187 total of 71 palynological samples were collected from mudstones or fine-grained siltstones.
- 188 The samples were first treated with 36% HCl and 39% HF to remove carbonates and silicates and then
- sieved through a 10  $\mu$ m nylon mesh. Subsequently, the residue was density separated using ZnCl2 (density =
- 190 2.1). The organic residue was mounted on microscopic slides in glycerin jelly. All slides were examined at the

Swedish Museum of Natural History under a Leica light-microscope (OLYMPUS BX51), and micrographs were taken of selected specimens. As is standard for palynostratigraphic studies, we used primarily light microscopy (LM) to identify, count, and photograph palynomorphs present in the samples. An ESEM FEI Quanta FEG 650 scanning electron microscope (SEM) was used to obtain additional detailed surface images of *Ephedripites* (*Ephedripites*), *Ephedripites* (*Distachyapites*), and other key species. Slides and residues are hosted at the Swedish Museum of Natural History, Stockholm, Sweden.

197 From each of the 21 productive samples > 200 grains were identified and counted, and the pollen diagrams 198 (Fig. 2, Fig. S2 and S3) plotted using TGView© and Tilia© 2.0 software (Grimm, 1991). We assigned fossil 199 pollen taxa to Ecological groups or Plant Functional Types (PFTs) according to their correspondence with 200 nearest living relatives (NLR) in modern Asian biomes (following the approach of Hoorn et al., 2012). 201 Statistical analysis of the palynological assemblages was conducted using CONISS (Constrained Incremental 202 Sums of Squares cluster analysis), a multivariate agglomerative method for defining zones hierarchically 203 (Grimm, 1987). A stratigraphically constrained analysis was performed on pollen-percentage values with square 204 root transformation (Edwards and Cavalli Sforza's chord distance) which up-weights rare variables relative to 205 abundant ones, and is therefore particularly appropriate for pollen datasets (Grimm, 1987). Results of the 206 CONISS ordination on all taxa were presented as a dendrogram onto the pollen diagram (Fig. S2), and the 207 ordination was then repeated to test the robustness of the stratigraphic zones by excluding the "Other / Unknown 208 / Unresolved NLR" ecological group. Very similar zones were retained in the new cluster analysis (Fig. S3), 209 increasing confidence that these zones represent true changes in vegetation and climate dynamics recorded 210 throughout the section. Both CONISS ordinations were used in conjunction with the taxonomic and quantitative 211 composition of the palynological assemblage, in order to demarcate zones and subzones within the section.

212

#### 213 **4. Results**

Recovery of palynomorphs was generally poor, with only 21 productive samples out of the 71 processed samples, indicating a productivity ratio of 30%. Nevertheless, well-preserved palynological assemblages were recovered throughout the section, enabling a representative portrayal of vegetation changes through time to be reconstructed. In total 26 spore and 81 pollen taxa (5 gymnosperm and 76 angiosperm morphospecies) were able to be identified, which are illustrated (Plate I, II, III) and grouped into seven different Plant Functional Types (PFTs) that represent various ecological groups (Fig. 2). Overall trends for the RZ section include rare conifers

and a general dominance of steppe-desert pollen in all zones. Ferns are abundant and diverse, particularly in the
lower part of the section (Zone I), while temperate and warm broad-leaved forest are relatively diverse and
present throughout, but not particularly abundant in any zone. Steppe-desert pollen decreases concurrently with
a spike in tropical forest pollen in Zone II, and then resurges to dominance in Zone III.

224 While the generally high proportion of spores suggests a significant proportion of local deposition (at site), 225 as a whole the palynological assemblages are taken to reflect the regional vegetation, and may also include some 226 taxa that are prone to longer-distance transport. These latter taxa are mostly trees, and are normally present in 227 small percentages except for Pinus, which can comprise 10-50% in the palynological records of deserts and steppe-deserts (but is extremely rare in our section; Ma et al., 2008; Hoorn et al., 2012). Studies on the 228 229 correspondence between the modern pollen rain and regional vegetation on the Tibetan Plateau indicate 230 generally good agreement, and confirm that the use of palynology for palaeoenvironmental reconstruction in 231 deep time is therefore also appropriate (Cour et al., 1999; Li et al., 2020).

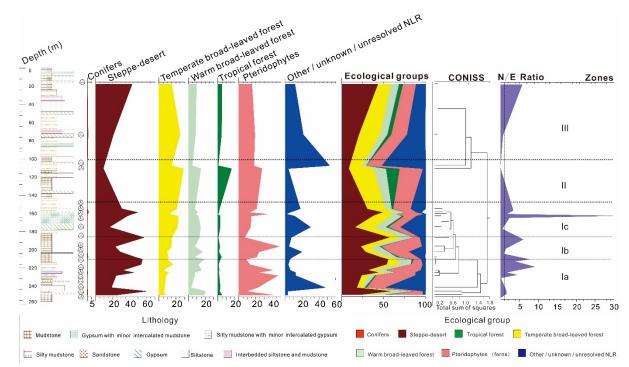




Figure 2: Cumulative pollen summary diagram of the Ria Zhong (RZ) section in the Nangqian Basin, Yushu area, Tibet, with palynomorph percentages of the total pollen sum plotted on the x-axis, and zones and subzones based on CONISS ordinations. Pollen taxa are grouped in Plant Functional Types (PFTs) according to their correspondence with nearest living relatives (NLR), indicated in the legend. Some taxa have multiple or unresolved botanical affinities, and are thus assigned to the "Other / unknown / unresolved NLR" group. Productive horizons are

- 239 indicated by a small trilete spore to the right of the simplified section log. The *Nitraria/Ephedra* (N/E) pollen ratio is
- 240 plotted in purple, with a dashed line indicating the transition point between desert/semi-desert ecosystems (< 1) and
- 241 steppe-desert (> 1).

# 243 **4.1** Stratigraphic zonation based on palynology

- 244 Based on results of two CONISS ordinations combined with the taxonomic and quantitative composition
- of the palynological assemblage (see Methods section; Fig. 2, Fig. S2 and S3), the succession was divided into
- three zones (I, II, III) of which Zone I was further divided into three subzones (a, b, c), all of which demonstrate
- 247 unique vegetation dynamics within that zone. Important trends for each zone and subzone are described below.
- 248 The zone boundaries are positioned at the upper limit of the samples that mark each boundary. A complete
- 249 overview of the raw counts, percentages and arithmetic means are given in the supplementary information.

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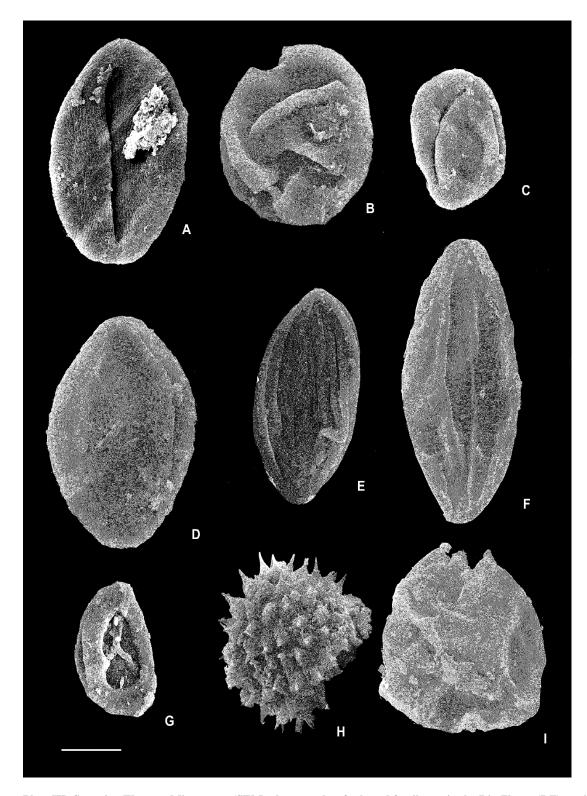


Plate I: Light micrographs of selected pollen grains and spores from the Ria Zhong (RZ) section, Nangqian Basin.
Scale bar – 10μm. 1-12. *Nitrariadites/Nitraripollis*. 13-20. *Meliaceoidites*. 21-25. *Qinghaipollis*. 26-32. *Rhoipites*. 33-36.

- 256 Fupingopollenites. 59-61. Ilexpollenites. 62. Aceripollenites. 63-67. Euphorbiacites. 68-69. Faguspollenites. 70.
- 257 Retitricolporites. 71. Chenopodipollis. 72. Echitriporites sp. 73. Sporopollis. 74. Caprifoliipites / Oleoidearumpollenites?.
- 258 75-76. Pterisisporites. 77. Unidentified baculate spore. 78. Liliacidites. 79-80. Pterisisporites. 81. Taxodiacites. 82-83.
- 259 Deltoidospora. 84. Lycopodiumsporites. 85. Spinizonocolpites. 86-88. Verrucosisporites. 90. Lygodiumsporites.
- 260
- 261



- 262
- 263 Plate II: Light micrographs of ephedroid pollen from the Ria Zhong (RZ) section, Nangqian Basin. Scale bar 10μm.
- 264 A. Ephedripites (Distachyapites) cheganica. B. Ephedripites (Distachyapites) fusiformis. C1-C4. Ephedripites
- 265 (Distachyapites) megafusiformis. D1-D2. Ephedripites (Distachyapites) eocenipites. E1-E3. Ephedripites (Distachyapites)
- 266 nanglingensis. F. Ephedripites (Distachyapites) obesus. G. Ephedripites (Ephedripites) bernheidensis. I. Ephedripites
- 267 (Ephedripites) sp. 2 (Han et al., 2016). K. Ephedripites (Ephedripites) sp. b. H. Ephedripites (Ephedripites)
- 268 montanaensis. J. Ephedripites (Ephedripites) sp. a. L. Steevesipollenites cf S. binodosus. M. Steevesipollenites
- 269 jiangxiensis.
- 270





- 272 Plate III: Scanning Electron Microscope (SEM) photographs of selected fossil taxa in the Ria Zhong (RZ) section,
- 273 Nangqian Basin. Scale bar 10µm. A, B, C. Nitrariadites/Nitraripollis. D. Retitricolporites. E. Ephedripites
- 274 (Ephedripites) sp. 2 (Han et al., 2016). F. Ephedripites (Distachyapites) eocenipites. G. Pterisisporites. H. Unidentified
- 275 baculate spore. I. *Momipites*.
- 276

#### 277 4.1.1 Zone I (17 samples, 251–155 m)

278 Conifers in this zone are rare, represented only by Taxodiacites (Cupressaceae) and Tsugaepollenites 279 (Pinaceae), and never comprising more than 3%. The assemblage is dominated by steppe-desert taxa, which 280 together comprise nearly 40% and include numerous types of *Ephedripites* (Plate II), *Nitrariadites/Nitraripollis*, 281 and Qinghaipollis, together with more rare xerophytic taxa such as Chenopodipollis and Nanlingpollis. The 282 second most abundant group is the Pteridophytes (ferns), which is also the most diverse of all the groups 283 represented in the RZ section. Broad-leaved forest forms a minor component of the palynological record, with 284 warm forest being more abundant than temperate forest and represented primarily by Rutaceoipollenites. 285 Tropical forest pollen is rare, and includes Spinizonocolpites and Fupingopollenites. Some pollen types have 286 unresolved botanical affinities or affinities with multiple ecological groups, and these are grouped separately but 287 do not provide ecological information. 288 Zone I is divided into three subzones on the basis of abundance patterns among particular palynomorph 289 taxa. Subzone Ia (9 samples, 251–209 m) is unique in that Ephedripites (steppe-desert group), 290 Cupuliferoipollenites (temperate broad-leaved forest), and Rutaceoipollenites (warm broad-leaved forest) are 291 more abundant than in other subzones of Zone I, while Momipites / Engelhardthioipollenites (warm broad-292 leaved forest) is less abundant, and Aceripollenites + Faguspollenites (temperate broad-leaved forest) are very 293 rare compared to the remainder of Zone I. Of the entire section, Caryophyllidites (steppe-desert) only occurs in 294 Subzone Ib (3 samples, 203–187 m), which also records a spike of Momipites/Engelhardthioipollenites (warm 295 broad-leaved forest). Subzone Ic (6 samples, 175.5–155 m) contains the greatest proportion of Nanlingpollis 296 (steppe-desert) in the entire section, as well as spikes of Aceripollenites + Fraxinoipollenites (temperate broad-297 leaved forest), while Qinghaipollis (steppe-desert) and ferns decrease in this subzone.

298

#### 299 4.1.2 Zone II (2 samples, 110–107 m)

300 No conifer pollen occurs in this zone, and on average, the steppe-desert taxa *Ephedripites* (gymnosperm),
 301 *Nitrariadites/Nitraripollis* and *Qinghapollis* (angiosperms) are far less abundant than in other parts of the

section (average 9% in Zone II vs 38% (Zone I) and 32 % (Zone III)). However, a spike in the ancestral (old)

303 *Ephedra* type is observed during Zone II, which is not observed in the other zones or later in the Eocene (Yuan

et al., 2017). Notably, tropical forest pollen increases markedly in this zone (as regional input), comprising

305 mostly *Fupingopollenites*, while temperate broad-leaved forest (*Aceripollenites*, cf. *Caprifoliipites*) and warm

306 broad-leaved forest (Rutaceoipollenites) are also more prevalent. Pollen of unknown or multiple affinities is

higher in this zone, and reflected by spikes of Labitricolpites and Rhoipites.

308

#### 309 4.1.3 Zone III (3 samples, 107–16 m)

Conifers in this zone are very rare, represented only by *Tsugaepollenites*. Steppe-desert taxa again dominate this zone, with *Nitrariadites/Nitraripollis* increasing steadily through the section. Temperate broadleaved forest is now much more common than warm broad-leaf or tropical forest pollen, while ferns are least common in this zone but still plentiful.

314

#### 315 **5.** Discussion

#### 316 **5.1 Age assignment**

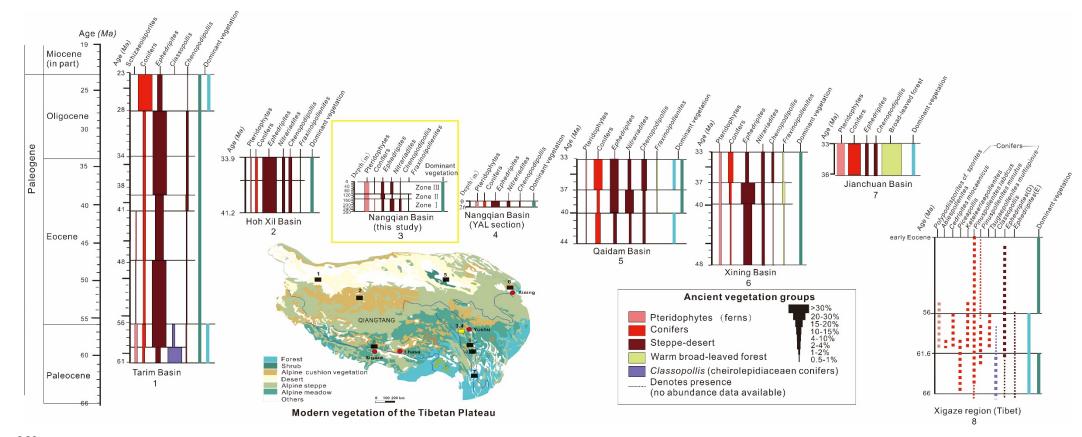
Age constraints for the RZ section are provided by the K-Ar ages from shoshonitic lavas and felsic and 317 318 porphyry intrusions that are either interbedded with, or unconformably overlie, the lacustrine to alluvial 319 Nangqian strata. Emplacement ages across the Nangqian Basin vary between 32.04-36.5 Ma (Deng et al., 320 1999);  $37.0 \pm 0.2$  Ma $-38.2 \pm 0.1$  Ma (Spurlin et al., 2005); 37.1-37.8 Ma (Zhu et al., 2006); and  $35.6 \pm 0.3-39.5$ 321  $\pm$  0.3 Ma (Xu et al., 2016). In the latter study, zircon U–Pb age data were derived from felsic intrusions sampled 322 at two localities in the Nangqian Basin (Boza and Nangqian). The syenite porphyries from the Boza area 323 (further south of the RZ section) show an emplacement age of 35.58± 0.33 Ma, while the monzonite porphyries 324 from the Nangqian area (just southeast of the RZ section) have older magmatic emplacement ages, ranging from 325  $39.5 \pm 0.3$  Ma to  $37.4 \pm 0.3$  Ma. As this age range is broadly coeval with the age of the mafic volcanic rocks in 326 the Nangqian Basin (37.0–38.2 Ma; Spurlin et al., 2005) as well as the age range obtained by Zhu et al. (2006), 327 here we consider  $\sim$ 37–38 Ma to represent a minimum age for the RZ section. This is also congruent with 328 palynological evidence for the overall age of the sampled strata (Fig. 3), which is discussed in more detail 329 below. 330 The assemblage from the RZ section is very similar to those from the Yang Ala section in the Nangqian

Basin, dated as late Eocene (Yuan et al., 2017), the Eocene Wuqia assemblage (site 98) from the west Tarim

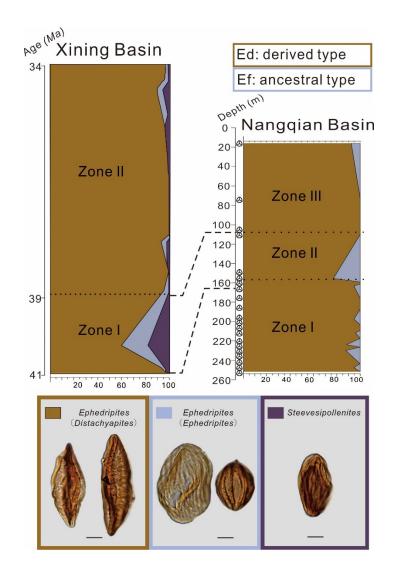
- Basin (Wang et al., 1990a; 1990b), the late middle Eocene–late Eocene assemblage from the upper Niubao
- Formation, Lunpola Basin (Song and Liu, 1982; Li J.G. et al., 2019), and the Bartonian (41.2–37.8 Ma) part of
- the palynological record in the Xining Basin (Dupont-Nivet et al., 2008; Hoorn et al., 2012; Han et al., 2016).
- 335 Specifically, the absence of *Classopollis, Exesipollenites*, and *Cycadopites* combined with the predominance of

*Nitrariadites/Nitraripollis* and *Ephedripites* pollen, and the presence of the middle Eocene–Neogene genus *Fupinggopollenites* (Liu, 1985), indicates that the RZ section cannot be older than middle Eocene (Fig. 3). It is
also unlikely to be of latest Eocene age or younger due to the lack of significant conifers that become more
common approaching the Eocene–Oligocene Transition (Hoorn et al., 2012; Page et al., 2019; Fig. 3). Specific
ranges and abundance patterns of these and other key taxa within Eocene Tibetan basins (Fig. 3; Fig. 4) enable
the age of the section to be better constrained, which is explored in greater detail below.

342 Ephedra is a gymnosperm shrub with the oldest macrofossils from the Early Cretaceous (Bolinder et al., 343 2016; Han et al., 2016) but the genus is probably older, dating to the Triassic (Yang, 2002; Sun and Wang, 2005) or even the Permian (Wang, 2004) based on the ephedroid pollen record. Its current distribution is limited 344 345 primarily to arid and semiarid regions of the world (Stanley et al., 2001), and the fossil pollen representative, 346 Ephedripites, is widespread in Cenozoic evaporates, indicating the xerophytic nature of this genus (Sun and 347 Wang, 2005). The Xining Basin in northern Tibet records a particularly time-extensive section with good age 348 control (Dupont-Nivet et al., 2008, 2008; Hoorn et al., 2012; Meijer et al., 2019) that reveals a detailed pattern 349 of changes in Ephedripites pollen during the middle-late Eocene. After 38.8 Ma, Ephedripites comprised ca. 350 20–60% of the total palynological composition in the Xining Basin, with a predominance of the derived type, 351 Ephedripites subgen. Distachyapites (Han et al., 2016). Prior to this (ca. 41-38.8 Ma), the record comprised a 352 mix of the derived type, the ancestral type (Ephedripites subgen. Ephedripites), and another ephedroid genus, 353 Steevesipollenites (Han et al., 2016; Bolinder et al., 2016). A similar pattern is observed in the Nangqian Basin, 354 with a spike of the ancestral type of *Ephedra* only recorded in Zone II, and not observed in the rest of the RZ section or elsewhere in the Nangqian Basin (Yuan et al., 2017). This suggests a correlation between Zone I of 355 356 the Xining Basin with Zone II of the RZ section (Fig. 4). As it is possible that the change in Ephedripites 357 diversity may not have occurred across Tibet simultaneously (i.e., at  $\sim$ 39 Ma), we suggest that this most likely 358 constrains the age of the RZ section to late Eocene (Bartonian; 41.2–37.8 Ma).



- 361 Figure 3: Palynozonation of the Paleogene successions across the northern, central, and southern TP, with numbers under each section indicating the associated basin: 1. Tarim Basin
- 362 (Wang et al., 1990a; 1990b); 2. Hoh Xil Basin (Miao et al., 2016); 3, 4. Nangqian Basin (this study; Yuan et al., 2017). 5. Qaidam Basin (Lu et al., 1985; Zhang et al., 2006; Miao et al.,
- 363 2016); 6. Xining Basin (Wang et al., 1990a; 1990b; Hoorn et al., 2012); 7. Jianchuan Basin (Wu et al., 2018); 8. Xigaze Basin (Li et al., 2008). The dominant ancient vegetation
- reconstructed from palynological assemblages is shown to the right of each section. Modern vegetation map redrawn from Baumann et al. (2009).



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Figure 4: Eocene ephedroid pollen composition in the Xining (northeastern TP) and Nangqian (east-central TP)
basins, illustrating the distributions of *Ephedripites* subgen. *Ephedripites* (ancestral type; "Ef"), *Ephedripites* subgen. *Distachyapites* (derived type; "Ed"), and *Steevesipollenites*. Productive horizons for the Rhia Zong (RZ) section are
indicated by a small trilete spore to the right of the marked depths.

In addition to the proportions of the ancestral vs. derived type of *Ephedripites*, a significant spike in 371 tropical forest pollen at this time, combined with a large decrease in steppe-desert pollen, suggests that Zone II 372 373 of the RZ section reflects a temporary warming interval in the Eocene. Although the increase in tropical forest 374 taxa in this zone does not indicate an actual biome shift in the Nangqian region from "steppe" to "tropical forest", it suggests a change in regional climate through increased input of regional tropical taxa. This could 375 376 possibly be concurrent with the MECO (~40 Ma), a transient warming event that preceded rapid aridification in Central Asia (driven primarily by proto-Paratethys sea retreat; Kaya et al., 2019). This interval is followed by a 377 378 change in lithofacies (decreasing thickness of gypsum beds) and an increase in steppe-desert pollen records in

379 northwestern China (Bosboom et al., 2014). Similar trends are also observed in the Nanggian Basin (Fig. 2), 380 suggesting a possible correlation. However, it must be considered that the upper zones of the RZ section yielded 381 a low number of samples (Zone II comprises only 2 samples; Zone III has 3), and the tropical forest spike is 382 only present in one of these samples. This places statistical limitations on the interpretations that can be drawn, 383 therefore further investigations should be made in Nangqian and other parts of Tibet to corroborate this finding. 384 Accordingly, for the moment we do not date the RZ section on the basis of a tentative correlation to the MECO 385 at ~40 Ma; however, available evidence does suggest that the spike of tropical forest represents a shift in 386 regional climate. The palynomorphs from these samples were not degraded or compressed to a greater degree 387 than palynomorphs from the rest of the section, and of a similar colour and appearance, suggesting it is unlikely 388 that the pollen in Zone II represents reworking or contamination. Furthermore, the increase in tropical forest 389 taxa is accompanied by a large decrease in steppe-desert pollen which is not observed in the other zones of this 390 section (average 9% steppe-desert pollen in Zone II vs 38% (Zone I) and 32 % (Zone III)), nor later in the 391 Eocene in the Nangqian Basin (Yuan et al., 2017). This further indicates a shift in the regional climate to warmer 392 and wetter at this time.

In northern Tibet, Pinaceae (conifers) abruptly increased in the palynological record at 36.55 Ma (Page et al., 2019), which is not observed in the RZ section. The rare conifers in this latter assemblage are in accordance with the minimum depositional age constraints of ~37–38 Ma from overlying volcanic rocks. In conjunction with the palynostratigraphic correlations from across Tibet (Fig. 3), as well as the change in the proportions of the ancestral vs. derived type of *Ephedripites* (Fig. 4), the age of the complete section is proposed to be Bartonian (41.2–37.8 Ma; Fig. 3; Fig. 4).

399

#### 400 **5.2 Paleoclimate**

401 The RZ section records three distinct palaeofloras in east-central Tibet that evolved in response to changing 402 climate in the Eocene (Fig. 5). During deposition of Zone I, the climate was warm, and vegetation was 403 characterised by steppe-desert shrubs, diverse ferns, and a lesser component of temperate and warm broadleaved forest. Interestingly, prominent vegetation groups with very different moisture requirements existed 404 405 within a limited distance of each another in the Nangqian area. A very diverse and abundant pteridophyte (fern) 406 community, as well as conifers such as Taxodiacites and Tsugaepollenites would have required higher humidity 407 (Liu et al., 2012; Kotthoff et al., 2014), but the abundant halophytic and xerophytic steppe-desert vegetation 408 would likely only have been competitive in arid environments. The dominant plants belonging to these salt- and

409 drought- tolerant groups (Nitraria and Ephedra) grow today in Central Asian regions with MAP of 100mm or 410 less, and are also associated with arid palaeoenvironments through the Cenozoic (Sun and Wang, 2005). 411 Although the conifers (produced by cypress and Tsuga) could have been windblown from further distances, the 412 coexistence of such diverse and abundant ferns and steppe-desert vegetation in the landscape, PFTs with 413 opposing moisture requirements for competitiveness, has not been observed in other Tibetan basins to date 414 (Miao et al., 2016, Table 1), and therefore seems not to reflect conventional spatial patterning of less water-415 dependant vegetation growing upland. Rather, it may suggest an environment with strongly seasonal 416 precipitation that would favour lush vegetation growth for a restricted interval and alternately, xerophytic 417 vegetation during the dry season.

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- 419

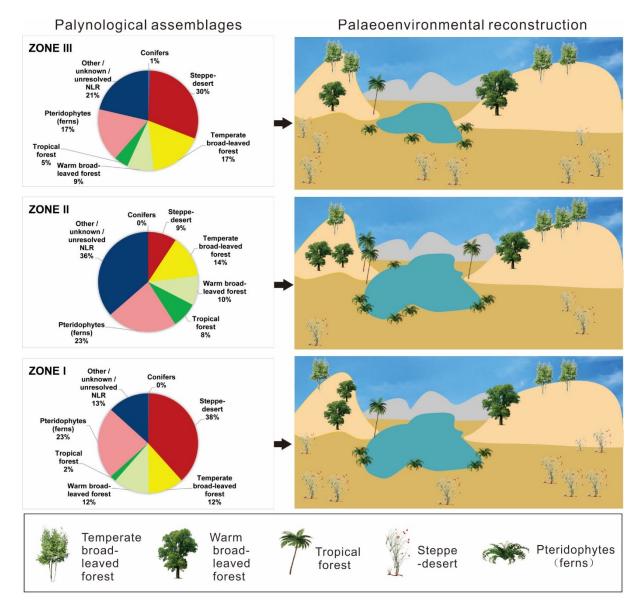


Figure 5: Palaeoenvironmental reconstruction of the Nangqian area, illustrating the three distinct floral assemblages
 recovered from the RZ section. Vegetation during deposition of Zone I was dominated by steppe-desert shrubs, which
 decreased sharply in Zone II in conjunction with a spike in tropical forest. Afterwards the basin became drier and
 steppe-desert vegetation again dominated the landscape.

425 Based on a comparison of existing palynofloral records with our new section, the northern regions of the 426 plateau (Tarim, Qaidam, Hoh Xil, and Xining basins) were already significantly more arid than the central TP in the middle Eocene, having hosted greater proportions of xerophytic plants (Fig. 3). Therefore, precipitation in 427 428 the greater Nangqian region would have been unlikely to derive from the westerlies, which served as the dominant moisture source northward of the central TP since at least the early Eocene (Caves et al., 2015). This 429 430 suggests that the central TP could have instead been influenced by a southern monsoon system similar to the modern I-AM in the middle-late Eocene, although not to the degree experienced by southern Tibet, which 431 hosted greater proportions of forest and was likely more humid (e.g., Jianchuan Basin; Fig. 3). However, it 432 433 should be borne in mind that rainfall seasonality is not always a proxy for the existence of monsoons; although 434 leaf form is the preferred method for detecting monsoons in deep time climates (Spicer et al., 2017), the absence 435 of well-preserved fossil leaf assemblages from the Nangqian Basin to date prevents this comparison. 436 Furthermore, palynological records alone are not sufficient for detecting whether the nature of monsoons in the Eocene was more similar to the present I-AM or South Asian Monsoon (SAM), which contributes mostly to the 437 438 moisture in the Nangqian region today (Li L. et al., 2019). 439 Our results indicate that the temporary warming interval recorded in Zone II prompted a considerable change in the vegetation in east-central Tibet, encouraging the temporary spread of (dry) forests in the region, 440 while steppe-desert vegetation contracted. Warming is reflected by an atypical spike in tropical forest, while a 441 442 warm broad-leaved forest spike in northeastern Tibet is coincident with the MECO (Hoorn et al., 2012; tropical 443 forest is exceedingly rare in the latter area during the middle-late Eocene). In order to estimate relative humidity in arid environments such as these, the Nitraria/Ephedra (N/E) ratio can be used to distinguish between 444 desert/semi-desert (< 1) and steppe-desert (> 1; Li et al., 2005; Hoorn et al., 2012). Although both genera 445 446 occupy arid environments today, Ephedra is currently distributed primarily throughout deserts, semi-deserts and 447 grasslands globally (Stanley et al., 2001), while Nitraria is a relatively more humid steppe-desert taxon (Cour et al., 1999; Sun and Wang, 2005; Jiang and Ding, 2008; Li et al., 2009; Zhao and Herzschuh, 2009). 448 449 In the RZ section, the proportion of temperate broad-leaved forest in relation to warm broad-leaf and

450 tropical forest became much greater in the upper part (Fig. 2), indicating a cooler climate in the late Eocene,

451 which matches cooling trends recorded by clumped isotopes both in the Nangqian Basin (Li L. et al., 2019) and in the Xining Basin (Page et al., 2019). Importantly, the N/E ratio in the RZ section is lowest immediately 452 453 following the warming interval in Zone II (Fig. 2) and persists for an extended period, indicating rapid, 454 prolonged aridification. An overall expansion of steppe-desert vegetation is observed in Zone III, corresponding 455 with patterns observed on the northeastern TP in the late Eocene (Hoorn et al., 2012; Bosboom et al., 2014). 456 Accordingly, our vegetation results have implications for understanding the importance and extent of 457 aridification across Central Asia in the late Eocene, which was primarily driven by proto-Paratethys Sea 458 regression (Kaya et al., 2019). Ecosystem responses to this event on both the northeastern and east-central parts 459 of the TP demonstrates that aridification across the Asian continental interior in the late Eocene could have been 460 further-reaching than previously thought. Our findings show that after sea regression, westerly moisture supply 461 carried from the proto-Paratethys Sea was reduced as far as central Tibet. This provides further support for the 462 argument that this sea was a major source of moisture for the Asian interior, and thus a primary driver of Central 463 Asian climate during the Eocene (Bosboom et al., 2014; Bougeois et al., 2018; Kaya et al., 2019; Meijer et al., 2019). 464

465 Long-term aridification in the late Eocene exerted further influence on vegetational composition in east-466 central Tibet with regards to the proportions of the ancestral vs. derived types of *Ephedripites*. In modern and 467 Quaternary settings, this has been developed as a ratio to distinguish between desert and steppe-desert 468 environments, termed the Ephedra fragilis-type s.1./Ephedra distachya-type (Ef/Ed) ratio (whereby E. fragilis 469 represents the ancestral type and E. distachya, the derived type; Fig. 4). Tarasov et al. (1998) found the E. 470 *fragilis*-type s.l. to be common in arid climates with mean temperatures of the warmest month above  $22^{\circ}$ C. 471 Herzschuh et al. (2004) applied the Ef/Ed ratio to Holocene pollen spectra from the Alashan Plateau and tested 472 its reliability with a regional modern pollen dataset, finding Ef/Ed ratios > 10 in most samples from desert sites, and values < 5 in most samples from the sites with more favourable climates (e.g., forest-steppe, steppe, and 473 474 alpine meadow).

In the middle–late Eocene of Central Asia, the ancestral type of *Ephedripites* never comprises more than
25% of the ephedroid pollen sum in northeastern Tibet while the derived type makes up at least 60% (Xining
Basin; Han et al., 2016 and Qaidam Basin; Zhu et al., 1985; Miao et al., 2013a; Jiuquan Basin; Miao et al.,
2008), and this also appears true for northwestern Tibet (Tarim Basin; Wang, et al., 1990b; Hoh Xil Basin; Miao

et al., 2016) and east-central Tibet (Yuan et al., 2017; this study). Therefore, Ef/Ed ratios > 10 (supposedly

480 indicative of desert ecosystems) are never observed, despite the N/E ratio indicating regular existence of deserts

481 or semi-deserts in northern Tibet (Zhu et al., 1985; Hoorn et al., 2012; Miao et al., 2016), and central Tibet 482 (Yuan et al., 2017; this study) in the Paleogene. Sedimentological evidence suggests the N/E ratio to be more 483 reliable for these deep time environments, with Nitraria and Ephedra pollen being widely distributed in 484 evaporites and red beds indicating deposition in arid or semi-arid climates (Sun and Wang, 2005). Therefore, 485 while pollen ratios appear to reflect reliable functions of climate and landscape change for modern and 486 Holocene settings (Li et al., 2010), our results identify possible contradictions between the N/E and Ef/Ed pollen 487 ratios. This indicates that further verification of these pollen ratios in modern settings and across larger spatial 488 scales is necessary for reliable palaeoenvironmental reconstructions in deep time.

489 A comparison of palynological assemblages across the Qinghai-Tibetan region indicates that vegetation has 490 changed markedly from the Paleogene to the present (Fig. 3). While the Nangqian region was dominated by 491 steppe-desert shrubs in the past, it now hosts primarily alpine biomes, as do the Hoh Xil and Xining basins. In 492 contrast, the Tarim and Qaidam basins are now significantly more arid than in the Eocene, and forest- and shrub-493 steppe have been replaced with desert vegetation (Fig. 3). The Jianchuan Basin to the south was dominated by 494 mixed tropical-subtropical coniferous and broad-leaved forest (Wu et al., 2018), and is also forested today (but 495 with species of a less thermophilic nature). Similarly, the Markam and Gonjo basins host alpine meadow and 496 forest today; although detailed palynological records have not vet been recovered, macrobotanical fossils 497 suggest these areas were dominated by mixed broad-leaved and coniferous forest in the late Eocene-early 498 Oligocene (Su et al., 2018; Studnicki-Gizbert et al., 2008). The above changes indicate that late Paleogene and 499 Neogene topographic growth (creating new high-elevation biomes; Fig. 1A and B), the aridification of inner 500 Asia (Caves et al., 2014, 2016), and global cooling (Zachos et al., 2001; DeConto and Pollard, 2003; Pagani et 501 al., 2011) were all drivers of Cenozoic vegetation shifts across the TP.

502

#### 503 **5.3 Elevational implications**

High-altitude conifers are rare in this particular record, although the high-elevation genus *Tsugaepollenites*(Fauquette et al., 2006) is present. This could be driven by four possible factors: 1) taphonomy i.e., the
assemblage has a high proportion of autochthonous spores and pollen with little input from the peripheral
mountains, 2) elevation of this region was relatively low in the middle–late Eocene (< 3000m as proposed by</li>
Botsyun et al., 2019; also see Wei et al., 2016), 3) due to the generally wetter climate in relation to the
northeastern plateau basins, conifers are not competitive and surrounding mountains are instead forested by

temperate angiosperms, and 4) central Tibet recorded regional pollen transported by different atmospheric

511 circulation systems.

512 Regarding the first possibility, conifers are windblown and can be transported far distances (Lu et al., 2008; 513 Ma et al., 2008; Zhou et al., 2011); as the region already likely experienced a monsoonal climate (Spicer, 2017; 514 Licht et al., 2014; Caves et al., 2017; this study) we consider it unlikely that our assemblages record little to no 515 regional vegetation. The second factor, elevation history of the TP, is a controversial topic of discussion, and 516 palynological evidence from the RZ section does not provide strong support either for or against a relatively low 517 middle-late Eocene palaeoaltitude in the region. Although the upper part of the RZ section in the Nangqian 518 Basin likely just pre-dates the high-elevation signal further to the north from 37 Ma onwards (Dupont-Nivet et al., 2008; Hoorn et al., 2012; Page et al., 2019), an expanding body of data indicates that a proto-Tibetan 519 520 Highland with complex topography was already in place during the Paleogene (Xu et al., 2013; Ding et al., 521 2014; Wang et al., 2014; Valdes et al., 2019). 522 Isotopic evidence suggests moderate to high elevations for the Nangqian Basin in the late Eocene (valley 523 floor 2.7 (+0.6/-0.4) km above sea level; surrounding mountains  $3.0 \pm 1.1$  km above sea level; Li L. et al., 524 2019). In the adjacent Gonjo Basin, stable isotope data suggest the basin had already attained 2100-2500 m 525 palaeoelevation by the early Eocene (Tang et al., 2017). Some of the broad-leaved angiosperms trees present in 526 the new Nanggian assemblage could have grown at maximum elevations of 3600-4000 m during the Eocene 527 (Ilex, Quercus: Song et al., 2010), and therefore their presence in lieu of abundant conifers is not in 528 contradiction with an elevated topography in parts of east-central Tibet at this time. This has significance for 529 other Asian palynological studies that infer regional palaeoaltitudes and uplift history of Tibet based solely on 530 palynological records from a single locality: a multi-proxy approach is clearly necessary to address the complex 531 history of Tibetan uplift in future research.

532 Palynological data from the RZ assemblage supports climate (the third possibility) rather than altitude as a 533 primary driving factor of vegetational composition: locally wetter conditions in the east-central region of the TP 534 (see Section 5.2) would likely have promoted angiosperm tree growth over cold-temperate conifers that can 535 withstand drought better, and utilise a winter wet growing season unlike deciduous angiosperms (Dupont-Nivet et al., 2008; Hoorn et al., 2012; Page et al., 2019). The last possibility is also supported, with the palynology of 536 537 this study suggesting that central Tibet was influenced by two atmospheric circulation systems: predominantly 538 the westerlies from the north (Caves Rugenstein and Chamberlain, 2018), and (to a limited degree) by a southern monsoon, which could conceivably also have transported wind-blown pollen from sub-tropical and 539 540 warm temperate broad-leaved forests in the south (Su et al., 2018). Today, the Nangqian region receives nearly

541 70% of its moisture from the SAM, with the Westerlies from the north making up the remainder (Li L. et al., 542 2019). This indicates that atmospheric circulation systems have changed considerably in east-central Tibet from 543 the Paleogene to Neogene, despite the existence of monsoons in this region since at least the Eocene (Licht et 544 al., 2014; Caves et al., 2017; Spicer, 2017). Based on the above, we propose that both local climatic conditions 545 and the influence of different regional atmospheric circulation systems contributed to the development of a 546 unique floral ecosystem in east-central Tibet during the late Eocene.

547

### 548 6. Conclusions

549 On the basis of palynological assemblages, we conclude that the rocks of the RZ section (Nanggian Basin) 550 are Bartonian (41.2–37.8 Ma; late Eocene) in age. They record a strongly seasonal steppe-desert ecosystem characterised by Ephedra and Nitraria shrubs, diverse ferns and an underlying component of broad-leaved 551 552 forests. The climate became significantly warmer for a short period, encouraging regional forest growth and a proliferation of the thermophilic ancestral Ephedra type, but rapidly aridified thereafter due primarily to 553 554 regression of the proto-Paratethys Sea. This is in conjunction with observed environmental shifts in northeastern 555 Tibet, suggesting widespread Asian aridification in the late Eocene. A new palynozonation better constrains the 556 biostratigraphy of Paleogene successions across the northern, central, and southern TP, and also illustrates local ecological variability during the Eocene. This highlights the ongoing challenge of integrating various deep time 557 558 records for the purpose of reconstructing palaeoelevation, and suggests that a multiproxy approach is vital for 559 unravelling the complex uplift history of the Qinghai-Tibetan region.

560

## 561 Author contribution

Q.Y., V.V., F.S.S., D.L.G., H.C.W. and Q.S.F. conceptualized the study. Q.Y., F.S.S., H.C.W., Z.J.Q., Y.S.D.
and J.J.S. carried out fieldwork. Q.Y., N.B., V.V. and C.R. collected and analysed the data. Q.Y. wrote the first

draft and N.B., V.V., and C.R. participated in review and editing of the final draft.

565

#### 566 Competing interests

567 The authors declare that they have no conflict of interest.

568

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578	
579	Data availability
580	The authors declare that all data supporting the findings of this study are available in the supplementary
581	information or published in a data repository at the following DOI: http://dx.doi.org/10.17632/xvp68wsd2p.4.
582	
583	Supplementary information
584	Supplementary information is available for this paper (Fig. S1, S2, S3).
585	
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