#### Response to Editor

# Dear Nathalie,

Kind regards.

Thank you for the new suggestions and comments on our manuscript. We went through them and corrected the

5 manuscript accordingly.

> We send you the responses to the comments and the revised manuscript as marked-up version. In the few cases we preferred to keep our solution and we provide our reasons.

> We hope that our corrections and adjustments will meet your requirements. Given the long time it took to go through the several review rounds we would be particularly grateful for a final decision soon.

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#### Fabian Rey & Co-authors

"1- Concerning the age model, please add a sentence to state that all the dates presented in your document have been taking into account to construct the age model"

- 15 We added a sentence in chapter 3.1 to underline that all radiocarbon dates were used to calculate the chronology. "2- Concerning the palynological method, please add a sentence to precise total number of taxa determined in the series and eventually in each sample. The number of NPP counted is important too as you present the results in % and not in absolute abundance or in fluxes. Are they really relevant if you count only few NPP comparing to 500 pollen (and only about 100 in the base of the diagram I understood). Won't it be better to propose here the curves
- 20 in spores cm-2 yr-1 such as for the charcoal particles? And so it will be independent of the pollen sum counted." We included a sentence stating the total number of terrestrial pollen types in chapter 3.2. However, the number of terrestrial pollen types per sample was already given for the pollen richness calculations (Fig. 3 and text). Since Reviewer #2 had suggested to show the coprophilous fungi spores as influx values, we had changed this already after the first review round, in Fig. 4 and in the corresponding text. The trends of percentages and influx are very
- 25 comparable, mainly due to the stable and reliable chronology throughout the sediment sequence (no sudden jumps in the chronology that would create influx artifacts). We are thus very confident to show the spores as percentages based on the sum of terrestrial pollen in Fig. 3.

"3- Page 6 line talked about the possibility of having reworked material through the increase of Pine, presence of Abies and Picea. In the same samples, you considered that Sporomiella and other palynomorphs are not in the same case. Could you justified that? Perhaps the hypothesis of long transport may be easier to justify here as Pine, Fir and Spruce live at different elevation. Please clarify that do not leave reader in such imprecision."

Increased values of Pinus, Abies and Picea in the very bottom part of sediment sequences are discussed as longdistance transport (Pinus) or reworking/ deposition of secondary pollen e.g. due to persisting meltwater input of retreating glaciers (Abies, Picea) since many years (Ammann & Tobolski, 1983; Lotter, 1999). We clarified this

35 in the text by separating both aspects. Since only very few pollen grains of Abies and Picea were found, the input of secondary pollen was rather low and the high numbers of coprophilous fungi spores can thus be considered as primary and not as secondary input.

"4- I have a question about the peak in Juniperus at 14.6 cal BP. Of course it occurs at the same time as those observed in other sites. Nevertheless in your record, how many samples define this event? If you have only one sample, it is not relevant in my opinion and you have to moderate your interpretations."

The *Juniperus* peak forms the significant local pollen assemblage zone (LPAZ) Moos-2. Statistically, zones must contain more than one sample. In our case, five samples are included in zone Moos-2. Indeed, we subsampled every cm in the Early Bølling period. We clarified this in chapter 3.2. Generally, the phase with *Juniperus* dominance at the onset of the Bølling was very short-term (150–200 years, see e.g. Ammann et al. 2013), thus the coverage with five samples can be considered as very good or high resolution (30-40 years/sample).

"5- You mentioned a North-South gradient with lagged reaction of the vegetation at the beginning of the Holocene. It would be very impressive to show this response gradient in a figure that represents the changes in the forest in the different series from N to S in the Alps. And in this figure it will be also informative to include the curve of Bergsee record that shows a similar response as in your record and which has a similar time resolution in the period from LGM to 11.5 cal BP. I follow the third reviewer on this point."

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- Here, our intention is not to write a comprehensive review paper on this topic, we thus prefer to not expand the composite figures by adding more sites. By adding more information to the figures, we fear that the readability of the figures may suffer and perhaps raise more questions (e.g. seeming shift of *Juniperus* peak due to chronological uncertainties) than provide answers. Specifically, we think that adding more information to figures is only useful
- 55 when this is helpful for the discussion (which we feel would be very difficult here). Thus to remain cautious in a first step that might be elaborated later when more highly reliable records will be available, we prefer to stay with our organization style that emphasizes the similarities and dissimilarities between sites north and south of the Alps in the discussion text, including the Bergsee record.

"6- Concerning the figures there is some amendments that are necessary to improve the read of the paper. First it
would be great to have the event chronology e.g. Oldest Dryas, Bolloing Allerod, Younger Dryas, Preboreal, 8.2
event, 4.2 event in all diagrams. It will reinforce your discussion if this was notified on the figures."
We deleted the AD/BC age scale from the figure (which we barely use) to make space for the requested information in the figures. We do not include the Preboreal Oscillation (PBO) and the 4.2 ka event because no remarkable

changes in vegetation are visible in the Moossee record during, around or after these events. The choice of climaticbreaks is now justified in the methods.

"a. In the figure 1, could you please add the location of the Bergsee, I think it is possible on your map."We added the location of the Bergsee record in the map.

"b. In the Figure 2, could you indicate where is the LST age on your age model curve by a star (the arrow is not sufficient in my opinion as we do not know to which date it corresponds on the figure), I suppose it has been included in the age model. Please confirm that in the text and complete the figure accordingly."

We added a star for the LST in the chronology. The information was already in the text (see chapter 3.1): "The chronology is based on 62 radiocarbon dates on terrestrial plant macrofossils and the Laacher See Tephra (LST; see Table 1)."

"c. In figure 3 it remains uneasy to see the low percentages even if you have superimposed the exaggeration curves.

75 I suggest to cut the diagram in two parts (trees and herbs) to have the possibility to enlarge the representation of the diagram. The two parts of the diagram could be represented one below the other with a reminder of the depths, ages and pollen zones. Please try to do that."

We deleted the AD/BC age scale. With this, the figure gets slimmer. As we previously mentioned, the readability will be much better with smaller page margins and with the upload of the higher resolved figures. Furthermore, a

80 splitting of the pollen diagram would create two asymmetric parts (more arboreal pollen types than herbaceous pollen types) which would not look attractive to the reader and impede a direct comparison of arboreal and non-

arboreal taxa. The two separate parts would not fit on one page either due to the axis length. A compression of the axis would lead to unreadable parts (e.g. zones, radiocarbon dates). Hence, we prefer to stay with our solution.

"d. Figure 4 would be modified to organize it from North the south to see the gradient you evoked in the text. In
that case, it would be interesting to add a diagram (synthetic) of the Bergsee to show and discuss more the similarities (Betula development)/differences (no Juniperus peak in Bergsee) and enlarge the gradient by showing other records (at least one more) from the north and the south to confirm the differences. See above too concerning the gradient and in the R3 review."

We already show a comparison of Moossee (north of the Alps) and Lago di Origlio (south of the Alps). South of 90 the Alps is more interesting to us because closer to the refugia and warmer, thus temporally ahead of the developments in Central European vegetation. Both records are well dated through their entire sedimentary sequences. In addition, we show the plant macrofossil record from Soppensee (north of the Alps) and it is the first time that this unique data set is plotted on an age scale. The transition from herb to shrub tundra (and later on the expansion of boreal forests) is clearly confirmed by plant macrofossil analysis. Adding more sites would probably

- 95 detract from our message. There is a prominent C-14 plateau at the onset of the Bølling which makes comparisons between sites difficult. Bergsee has in fact a *Juniperus* peak too (see Duprat-Oualid et al. 2017, Fig. 3, up to 30% of *Juniperus*) which is at 14,000 cal BP (shifted by more than 500 years in comparison to other well-dated records). Unfortunately, Duprat-Oualid and colleagues do not discuss this clear age offset in their paper, however, we assume that this might be a result either of locally different vegetation dynamics (the site is north of the Jura 100 Mountains and thus unsheltered against e.g. arctic air masses) or then age uncertainties.
- "e. On figure 5 please enlarge the numbering and characters as they are difficult to read at 100%. In fact, I agree with the R3 remarks on this figure as you could add other well-known records to compare to yours."
  Thank you, as we have deleted the AD/BC age scale, the whole figures needs less space and the readability should be fine with thinner pages margins and the higher resolution. In addition, we also enlarged the numbering and letters and put the figure in landscape format. However, by adding more information/ records, the readability would
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- letters and put the figure in landscape format. However, by adding more information/records, the readability would worsen to become less readable. More reservations we have however in regard to comparability of the sites (see remark d). We deeply hope on your comprehension for our choices for this one point, which is very important to us.

#### 110 Response to Referee #3

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We thank the reviewer for the comments. We went through all comments carefully and the replies are attached below. In some cases we had to elaborate a bit to clarify our point of view.

- 115 "1. -The scientific questions doesn't appear clearly in your introduction. You write that "Taken together, despite the long tradition of palaeoecological research in Central Europe with quite a high density of well-dated and highly resolved studies, a profound modern assessment of the major vegetation changes and their main causes is currently lacking": could you be more precise in your state of art? (Which vegetation changes, when and where, which causes, what are the remaining questions?)?"
- 120 We clarified this in the text by adding "for the complete post-LGM time period". So far, there is no study in Central Europe covering the last 19,000 years with robust chronology throughout the whole sediment sequence which is the novelty of our study.

"-Your introduction mentions 4 objectives: "(1) to reconstruct the timing of deglaciation and the establishment of first pioneer vegetation around the lake after the LGM, (2) to identify major postglacial changes in ecosystem evolution on the Swiss Plateau and to assess their causes, (3) to discuss the resilience and the vulnerability of

Central European lowland forests in the past to inform the near future and (4) to emphasize the utility of exceptional temporal precision and resolution". These points are important, I strongly agree with you.

However, your discussion needs to be improved to answer more clearly to your 4 objectives. I get the feeling that you're only discussing the first two objectives. Point 3 and 4 are not really discussed. The charcoal analysis and

- 130 the biodiversity analysis must be discussed in greater detail (the biodiversity results are not included in the discussion, you could also discuss the limits of your PRI and PIE analysis : PIE is the same during the oldest Dryas and the Holocene, but the ecosystems are not comparable). I suggest improving the discussion by around 30% to make it more informative, more precise and attractive to readers. I would encourage the authors to check the text carefully."
- Here, we do not agree with the reviewer. Indeed, we do discuss both latter points in detail under 5.2 (especially at the end of the chapter). That chapter is covering all important aspects of human impact on vegetation. We had already expanded the part with biodiversity because both reviewers #1 and #2 suggested this. We feel that expanding the discussion by one third markedly rather slow down the flow of the text, specifically we question if this would be more attractive to the readers. Most importantly, the first two reviewers were completely satisfied with the length of the discussion, thus we prefer to stay with the original version.
- "2. The text of the manuscript often lacks precision (time periods, climate events, north/south Alps...) and the discussion is not easy to follow. For example, the climatic breaks 1-5 (figs.3,4,5) used to examine the links between vegetation and climate are not identified and named in the text and the figures. The figure caption only mentions that "The orange horizontal dotted lines (1–5) mark important climatic breaks on the basis of temperature changes
- 145 (see Finsinger et al., 2019) and/ or changing moisture availability". I think you mean the Heinrich Event 1, Bolling and Holocene warmings and 8.2 ka. Finsinger et al is a nice paper but not a well reference for climate events and doesn't explain these events in his text. Please give the appropriate reference to all the climate events that are important during the late glacial and the Holocene, make them appear more clearly on the figures, and discuss them: Younger dryas (doesn't appear on the figure, why?), Preboreal oscillation, 4.2 ka and so on are missing. The
- 150 timing of the Heinrich event 1 and of each event must be discussed (remember you submit this manuscript to

Climate of the Past). It's an important point because you state that these important "climate breaks" (please avoid this term and give the right terminology, see the NorthGrip one) cause changes on the vegetation at Mossee "these vegetation shifts were released by climate changes at 19,000, 16,000, 14,700, 11,700 and 8200 cal BP". For me it's not very clear on the figures and several of the discussion/conclusions are not supported by the results. Before

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writing that "This climate synopsis allows for the first time a tentative regional assessment and discussion of climate amplitude variation and its impacts on vegetation for the past 19,000 years" p 6, the climate patterns should be better integrated with the pollen data and discussed in greater detail with consideration of trends and major events on the vegetation at a regional scale (see point3)."

Many thanks, we improved this in the text and in the figure captions (Figs. 3-5) by adding the references for each
climatic break. We also name the correct terms in Figs. 3-5 (Oldest Dryas, Bølling, Allerød, Younger Dryas and Holocene). We do not add the PBO and the 4.2 ka event because no changes in vegetation are visible in the Moossee record around those events, as is the case at many other sites in the study region. The dotted orange lines in the figures are only standing for climatic breaks that led the vegetation reorganizations and are thus significant for the regional ecosystems. The Younger Dryas cooling was important, we fully agree, but boreal forest persisted

- 165 throughout the Younger Dryas and were only replaced after the sudden warming at the onset of the Holocene. In our composite figure 5 it should be clearly visible that after each dotted line (= climatic break) shifts in the vegetation composition occurred. We had already mentioned this in the abstract: 1<sup>st</sup> break = establishment of steppe tundra, 2<sup>nd</sup> break = spread of shrub tundra, 3<sup>rd</sup> break = expansion of boreal forests, 4<sup>th</sup> break = establishment of first temperate deciduous tree stands and 5<sup>th</sup> break = first occurrence of mesophilous *Fagus sylvatica* trees, now with the improvement in the method section it is indeed clearer.
  - "3. A regional synthesis of key pollen diagrams from north and south Alps is lacking to further understand and identify major postglacial changes in ecosystem evolution on the Swiss Plateau and to assess their causes (objective2). Only the pollen record from Lago di Origlio is plotted for comparison in fig. 4. It's not enough. More pollen diagrams (see table 2) are available and needed to answer to your objective and to discuss the vegetation-
- 175 changes relationships at a more regional scale (for example, to discuss the possible time lag between north and south). I recommend to add also the exceptional sequence from Lake Bergsee (Duprat-Oualid et al., 2017 in your new figure."

Table 2 is a compilation only of previously published radiocarbon dates from sites located closed to the LGM margin. Almost half of the studies mentioned in the table do not provide a pollen record. We chose representative key sites (with highly reliable chronologies) for our composite figure. Moossee is a good example for a site north of the Alps, Lago di Origlio is the key site for south of the Alps. Additionally, we show the plant macrofossils from Soppensee (north of the Alps) which refine or complementary to the pollen data. Others sites (and many more, incl. Bergsee) are discussed in the text, when indicated. In a review paper we would perhaps need to show

more pollen diagrams, this is true, but this is not the primary intention of our paper, instead here we want to presentthe novel data of the site Moossee and place them into a (reduced) data context, so that it makes sense for the discussion.

"4. The Holocene part of your pollen diagram (and biodiversity study) has already been published in Journal of Ecology (Rey et al., 2017). It's not mentioned in the introduction and not really discussed in the text. The authors need to clearly show what this new study brings in terms of results compared to the study of Rey et al (2017)."

190 Only the Neolithic-Early Bronze Age part has been previously published, not the Holocene. The published time window only covers ca. 3000 years which is about 1/4 of the Holocene, thus we must reject the observation of the

reviewer. Most importantly, apart from the 3000 years published previously, all the rest is novel and has never been published before. We underlined this in the text (chapter 5.2) so that it should be clear that only 3000 years of the Holocene have been published before.

195 "5. Abstract should be more informative: what are the conclusions about charcoals and fires during the Lateglacial?? What about the biodiversity analysis?"

We were focusing on climate-vegetation interactions in the abstract because we think that this is most relevant when submitting a manuscript to the Journal Climate of the Past. The length of abstracts is very limited and we cannot further expand it. However, we elaborate and discuss both aspects (fire and biodiversity) later on in the text.

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"6. The discussion on the age-model is too short. Do you accept all the 62 dates? What is the temporal resolution for the Lateglacial part? What about the large uncertainties between 18000 and 14000 yrs BP?"

We added text to stress that we included all 62 dates in the chronology, none was rejected. We used a generalizedmixed-effect regression model (GAM, see Heegaard et al., 2005) in the bottom part of the sediment sequence to

- 205 build the envelopes of our age-depth model. In contrast to other models, this GAM takes into account age and sample depth uncertainties and is thus more cautious and realistic for periods that are difficult to date because of the lack of macrofossils (that forced us to take larger sediment samples and thus increased depth uncertainties). Indeed, because of these reasons the GAM provides a larger age uncertainty envelope than *clam* which does not account for combined effects of age and depth uncertainties. We also clarified this in the text.
- 210 "7. Sofular cave isotope curve can be removed (not discussed) from the figure 5. Better replace it by speleothemsinferred climate signal (Alps or north Italy)."

We do not agree because in contrast to what the reviewer states we do discuss the stable isotope data (also the one from Sofular cave): "This rapid deglaciation in the Alps and the forest expansion in Southern and Central Europe was caused by a sudden ca. 4 °C warming, as indicated by chironomid and stable isotope records (von Grafenstein

- 215 et al., 1998, 1999; North Greenland Ice Core Project members, 2004; Heiri and Millet, 2005; Larocque and Finsinger, 2008; Fleitmann et al., 2009, see Fig. 5)." That record shows a very nice signal after 16'000 cal BP (post-HE-1 warming) and is covering the same time window as our pollen record. It is thus worth to keep it. "8. The references cited in this paper seems "lab or team centered". Could you add more key references to open
- the discussion (see Blaga et al., 2013 for biomarkers, Magny et al for Lake Lautrey, Brisset et al., 2015, Di Rita et al 2018 for millennial scle changes during Holocene)? Key references for the LGM, Lateglacial and Holocene climate patterns and timing are lacking: replace Finsinger et al 2019 cited everywhere by the right references relative to each climate event."

Thanks, the motivation to use Finsinger et al. 2019 was to keep it short, however we see that this might cause difficulties of tracking the events discussed in the text. Therefore, we included references for all climate breaks in

- 225 the text (see point 2). We recognize that it may seem lab or team centered, however, the Palaeoecology Group in Bern is the only one that was doing research on this issue during the past 40 years in Switzerland (including the sub-mediterranean south). Studies from other groups are sparse or completely lacking for that region. This is the reason for the decent number of "lab centered" citations, however, we feel that is not true that we are including too few citations from other research groups. For example, we are already citing three Magny publications (two
- of them are even shown in Fig. 5) and in total almost 2/3 of all citations are not "lab centered". Most importantly, again the other previous reviewers did not have any comment in this direction.
   9. Minor points:

a. P. 2, line 50: "Similarly, well-dated pollen profiles from Western and Central Europe covering the first two millennia of the Oldest Dryas (ca. 19,000–17,000 cal BP) are almost absent and the existing chronologies are

- 235 therefore inadequate (e.g. Woillard, 1978; Welten, 1982; Ammann and Tobolski, 1983; de Beaulieu and Reille, 1984; de Beaulieu and Reille, 1992)." This sentence needs to be corrected: for example, the Lake Bergsee pollen sequence covers this time period and is well dated; moreover, if the French long sequences are not very well dated (I agree with you), they cannot be neglected as they bring valuable information on past vegetation changes for this time period.
- 240 We state the Bergsee record in the next sentence. We do not write that there are no records available. We write that they are <u>almost</u> absent. However, we weakened the statement by writing "the existing chronologies are therefore <u>often</u> inadequate". With this it should be clear that there are almost no well-dated records for that period in southern Central Europe.

"b. P2, line56: "the main cause has been identified as the post HE1 warming": what do you mean by post HE1
warming? More precision are needed: timing, local or global signal?... Is it found in other papers than Samartin et al? marine cores?"

Samartin and colleagues were indeed the first ones to show a warming after 16,000 cal BP. Interestingly, also the stable isotope curve from Sofular cave shows a clear trend after 16,000 cal BP. North of the Alps, independent temperature reconstructions covering the same time period are unfortunately still missing but may hopefully follow

250 in future.

"c. There are numerous occasions where the authors write in the text (see reference...), just writing the reference is enough; you can also remove the lab number for dates/analysis in the text."

This is clearly a matter of taste. Since reviewers #1 and #2 were not commenting on this, we prefer to leave it as it is.

255 "d. P 7, Younger dryas: "the dominance of Pinus sylvestris-type and the decrease of Betula pollen after 12,900 cal BP, followed by an increase of herb pollen (≤ 20 %, see Poaceae, Artemisia) and Juniperus pollen (2–3 %), points to a transformation of closed mixed boreal forests into more open, pine-dominated parklands. We interpret this change as a consequence of climate cooling during the Younger Dryas": these changes are not clear on the pollen diagram. Better to include the YD in the Fig 4 to better seen the changes."

260 Thanks, we included this additional information on the right side of Figs. 3-5.

# Climate impacts on vegetation and fire dynamics since the last deglaciation at Moossee (Switzerland)

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Abstract. Since the Last Glacial Maximum (LGM, end ca. 19,000 cal BP) Central European plant communities were shaped by changing climatic and anthropogenic disturbances. Understanding long-term ecosystem reorganizations in response to past environmental changes is crucial to draw conclusions about the impact of future

- 275 climate change. So far, it has been difficult to address the post-deglaciation timing and ecosystem dynamics due to a lack of well-dated and continuous sediment sequences covering the entire period after the LGM. Here, we present a new palaeoecological study with exceptional chronological time control using pollen, spores and microscopic charcoal from Moossee (Swiss Plateau, 521 m a.s.l.) to reconstruct the vegetation and fire history over the last ca. 19,000 years. After lake formation in response to deglaciation, five major pollen-inferred
- 280 ecosystem rearrangements occurred at ca. 18,800 cal BP (establishment of steppe tundra), 16,000 cal BP (spread of shrub tundra), 14,600 cal BP (expansion of boreal forests), 11,600 cal BP (establishment of first temperate deciduous tree stands composed of e.g. Quercus, Ulmus, Alnus) and 8200 cal BP (first occurence of mesophilous Fagus sylvatica trees). These vegetation shifts were released by climate changes at 19,000, 16,000, 14,700, 11,700 and 8200 cal BP. Vegetation responses occurred with no apparent time lag to climate change, if the mutual
- 285 chronological uncertainties are considered. This finding is in agreement with further evidence from Southern and Central Europe and might be explained with proximity to the refugia of boreal and temperate trees (< 400 km) and rapid species spreads. Our palynological record sets the beginning of millennial-scale land use with periodically increased fire and agricultural activities of the Neolithic period at ca. 7000 cal BP-(5050 cal BC). Subsequently, humans rather than climate triggered changes in vegetation composition and structure. We conclude that Fagus 290 sylvatica forests were resilient to long-term anthropogenic and climatic impacts of the mid and the late Holocene. However, future climate warming and in particular declining moisture availability may cause unprecedented
- reorganizations of Central European beech-dominated forest ecosystems.

#### 1 Introduction

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In the near term, rapid climatic and environmental changes hold a substantial risk to modify irreversibly plant ecosystems in Europe (Schumacher and Bugmann, 2006; Kovats et al., 2014; Bugmann et al., 2015). Quantifying the response or resilience of ecosystems to environmental change in the past largely improves our capacity to assess future impacts of climate and global change (Henne et al., 2015). Specifically, palaeoecological data offer the great opportunity to study long-term climate-vegetation interactions under conditions that exceed the variability and duration recorded in historical archives or through measurements and experiments (Willis and Birks, 2006; Birks et al., 2016; Henne et al., 2018).

During the Last Glacial Maximum (LGM), large areas in Central and Southern Europe around the Alps, in the Jura Mountains, the Black Forest, the Vosges and the Apennines were covered by ice (Ehlers and Gibbard, 2004; Bini et al., 2009; Ehlers et al., 2011; Seguinot et al., 2018). The subsequent deglaciation is generally well-studied, however, timing issues remain due to dating uncertainties (e.g. Wirsig et al., 2016). Recent advances in Accelerator 305 Mass Spectrometry (AMS) radiocarbon dating offer the possibility to produce reliable results with relatively small chronological uncertainties, when using samples with extremely low carbon contents (Szidat et al., 2014; Uglietti et al., 2016). Radiocarbon dates on terrestrial plant remains extracted from the very bottom of lake sediments from sites close to the LGM glacier margins may thus help to refine the onset of deglaciation. However, only very few sedimentary records providing reliable deglaciation ages (i.e. no bulk dating, only terrestrial macrofossils, see 310 Finsinger et al., 2019) are available so far from the peri-alpine belt (e.g. Lister, 1988; Laroque and Finsinger, 2008; Lauterbach et al., 2012). Similarly, well-dated pollen profiles from Western and Central Europe covering the first two millennia of the Oldest Dryas (ca. 19,000-17,000 cal BP) are almost absent and the existing chronologies are therefore often inadequate (e.g. Woillard, 1978; Welten, 1982; Ammann and Tobolski, 1983; de Beaulieu and Reille, 1984; de Beaulieu and Reille, 1992). Conversely, the temporal evolution of the vegetation after 17,000 cal 315 BP is better known (e.g. Lotter, 1999; Tinner et al., 1999; Duprat-Oualid et al., 2017; Rey et al., 2017). Various sites south of the Alps indicate a first afforestation after 16,000 cal BP (e.g. Vescovi et al., 2007) and the main cause has been identified as the post-Heinrich event (HE) 1 warming (Samartin et al., 2012). At around 16,000 cal BP shrub and probably even tree birches expanded into the steppe tundra north of the Alps (Lotter, 1999; Duprat-Oualid et al., 2017; Rey et al., 2017), forming open parklands or shrub tundra. North of the Alps, forests 320 expanded after 14,700 cal BP as a consequence of the Bølling warming (see Ammann et al., 2013; van Raden et al., 2013), a process which was delayed by almost 1500 years compared to the lowlands south of the Alps (Vescovi et al., 2007). The reasons causing this long time-lag are not yet fully understood but might be related to a strong latitudinal temperature gradient and the presence of large ice masses (Heiri et al., 2014). The subsequent forested Late Glacial and Holocene vegetation history of the Swiss Plateau is best-studied and the chronological framework 325 is rather robust (e.g. Lotter et al., 1999; Wehrli et al., 2007; Rey et al., 2017). Taken together, despite the long tradition of palaeoecological research in Central Europe with quite a high density

of well-dated and highly resolved studies, a profound modern assessment of the major vegetation changes and their main causes is currently lacking <u>for the complete post-LGM time period</u>. Here, the novel Moossee record has the great potential to shed new light on the timing of lake formation and on important vegetation reorganizations for the past 19,000 years in a central area of the Swiss Plateau. In this study we aim (1) to reconstruct the timing of deglaciation and the establishment of first pioneer vegetation around the lake after the LGM, (2) to identify

major postglacial changes in ecosystem evolution on the Swiss Plateau and to assess their causes, (3) to discuss the resilience and the vulnerability of Central European lowland forests in the past to inform the near future and (4) to emphasize the utility of exceptional temporal precision and resolution.

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# 335 2 Study site

Moossee is a small eutrophic lake at 512 m a.s.l. (47°1′17.0″N, 7°29′1.7″E) located on the Swiss Plateau within the periphery of the Swiss capital Bern. The study area geologically belongs to the carbonate-rich molasse region with predominantly sandstone in between the Jura Mountains in the north and the Alps in the south (Schmid et al., 2004). The lake formed after the retreat of the Rhône glacier after the LGM and has a surface area of 0.31 km<sup>2</sup>,

- 340 with one main inflow in the west and one outflow in the east (Fig. 1b). The maximum water depth is 22 m, with generally anoxic waters in the hypolimnion below 12 m (Guthruf et al., 1999). The lake used to be at least ten times larger in the past, but its size has shrunk due to peat formation over the millennia and artificial lake level lowering (by 4–5 m) since the late 18<sup>th</sup> century. Lake levels were lowered to drain the wetlands for peat exploitation and to gain agricultural land (von Büren, 1943; Guthruf et al., 1999; Harb, 2017, Fig. 1b). The climate at Moossee
- 345 is oceanic with mean annual temperatures of 8.8 °C and an annual rainfall of 1059 mm (data from from Bern-Zollikofen at ca. 3 km distance, MeteoSwiss, 2017). July is the warmest month with a mean temperature of 18.6 °C. The wettest months are May–August with more than 100 mm of rainfall per month (MeteoSwiss, 2017). Alnus glutinosa and Fraxinus excelsior form fragmented stands along the lake shore, whereas mixed Fagus sylvatica forests are dominant on the more elevated surrounding hills. The remaining and rather flat areas are either
- intensively used for agriculture or covered by settlements and infrastructure. The earliest archaeological findings around the lake date back to the Magdalenien and the Upper Palaeolithic ca. 15,950–14,750 cal BP (14,000–12,800 cal BC). At that time, two reindeer hunter camps (Moosbühl I and II) were located at the former lake shore (Bullinger et al., 1997; Harb, 2017; Nielsen, 2018, Fig. 1b). Many finds, including preserved lake-shore villages, are known from the Neolithic from ca. 6450 cal BP on (4500 cal BC), impressively documenting the strong
  prehistoric human activities in the region (Hafner et al., 2012; Harb, 2017, Fig. 1b). The following Bronze Age and Early Iron Age is represented with scattered artifacts and grave mounds in the proximity of the lake (Harb, 2017). In the Bern area the first urban centre was the oppidum Brenodor, which was built during the Late Iron Age or La Tène period (Ebnöther and Wyss, 2004). It persisted during the Roman Age as vicus Brenodurum. The Iron Age and Roman ruins (e.g. fortifications, bath, amphitheatre) are still visible in the town on the Enge peninsula at
- 360 5 km distance from Moossee (Ebnöther and Wyss, 2004). Finally, the medieval city centre, which is part of the UNESCO World Heritage "Old City of Bern", was founded in 1191 AD around the Nydegg castle that already existed before (Hofer and Meyer, 1991).

#### 3 Materials and methods

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### 3.1 Coring and chronology

365 Six parallel sediment cores were retrieved at 19 m water depth with a UWITEC piston corer in the eastern part of the lake. Three cores (Moos A–C, core diameter: 60 mm, core length: 300 cm) reached coring depths of ca. 17.5 m. For the other three cores (Moos F–H, core diameter: 90 mm, core length: 200 cm), due to higher friction it was only possible to recover the uppermost 7 m. A master sequence with a total length of 16.44 m was defined using the Moos F–H cores for the uppermost 7 m and the Moos A–C cores for the remaining part. The sediment material below 13.5 m was not analyzed due to frequent sand layers in the lowermost part resulting in very low pollen concentrations.

The chronology is based on 62 radiocarbon dates on terrestrial plant macrofossils and the Laacher See Tephra (LST; see Table 1). The radiocarbon content of terrestrial plant remains was measured at the LARA laboratory at the University of Bern using accelerator mass spectrometry (AMS, see Szidat et al., 2014). None of the 62 radiocarbon dates was rejected. From 435–691 cm, additional varve counts were applied to refine the chronology. Here, the program OxCal 4.3 (V-sequence, Bronk Ramsey, 1994, 1995, 2001; Bronk Ramsey et al., 2001) and the IntCal13 calibration curve (Reimer et al., 2013) were used to estimate the age-depth model and its 95 % (2 $\sigma$ ) probabilities (partly published, see Rey et al., 2019b). For the remaining part (0–435 cm and 691–1335 cm), a

smooth-spline curve (smoothing level = 0.3) was calculated with the program clam 2.2 (Blaauw, 2010) to assess the final age-depth model (Fig. 2). The modelled curve runs within the 95 % ( $2\sigma$ ) probabilities of the calibrated radiocarbon ages and the  $2\sigma$  confidence envelope of a generalized mixed-effect regression that includes both, sample depth and age uncertainties (GAM, Heegaard et al., 2005).

### 3.2 Pollen, non-pollen palynomorphs and charcoal analysis

A total of 514 samples for pollen and microscopic charcoal analyses were taken from the sediment core from 1296 385 cm to the top. The standard sampling was 1 cm<sup>3</sup> every 10 cm. A higher resolution was implemented for the Oldest Dryas (18,800-14,700 cal BP), for the Early Bølling (14,700-14,400) - and for the Neolithic-Mid BBronze Age (7400-3200 cal BP, see Rey et al., 2019a). All palynological samples were treated with HCl, KOH, HF, acetolysis, sieved with a mesh size of 0.5 mm and mounted in glycerine following standard approaches (Moore et al., 1991). Lycopodium tablets (University of Lund batch no. 1031 with 20,848 ± 3457 spores per tablet) were added before 390 the chemical treatment to estimate microfossil concentrations (Stockmarr, 1971). Pollen, spores and non-pollen palynomorphs (NPPs) were identified under a light microscope at 400× magnification using palynological keys (Moore et al., 1991; Beug, 2004), photo atlases (Reille, 1992) and the reference collection at the Institute of Plant Sciences (University of Bern). Betula nana and tree Betula pollen grains were separated following Birks (1968) and Clegg et al. (2005). Cerealia-type pollen was identified according to size, pore diameter and annulus thickness (see Beug, 2004).

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Pollen and spores were used to infer extra-local to regional vegetation dynamics (Conedera et al., 2006). A minimum pollen sum of 500 terrestrial pollen grains per sample was counted. For the lowest part of the sediment core, the minimum pollen sum was > 100 terrestrial pollen grains due to low pollen concentration. A total number of 165 terrestrial pollen types were identified. Sporormiella and Cercophora (coprophilous fungal spores, see e.g. 400 van Geel et al., 2003) was used as a proxy for grazing activities of herbivores (e.g. Gill et al., 2013; Rey et al., 2017) and livestock farming (e.g. Rey et al., 2013; Schwörer et al., 2015). The pollen and NPP results are presented in percentages of the terrestrial pollen sum excluding Cannabis sativa pollen (due to artificial pollen input by hemp retting, see Ranalli and Venturi, 2004) and pollen of aquatic plants (Fig. 3). For Sporormiella and Cercophora influx values (spores cm<sup>-2</sup> yr<sup>-1</sup>) are also shown (Fig. 4).

- 405 We used microscopic charcoal as a proxy for regional fire activity (Tinner et al., 1998; Adolf et al., 2018). Particles  $> 10 \ \mu m$  and  $< 500 \ \mu m$  were analyzed and counted on the pollen slides following Tinner and Hu (2003) and Finsinger and Tinner (2005). The data are presented as microscopic charcoal influx values (particles cm<sup>-2</sup> yr<sup>-1</sup>, Fig. 3). Local pollen assemblage zones (LPAZ) were identified using optimal sum-of-squares partitioning (Birks and Gordon, 1985), while statistically significant zones were determined following the broken-stick method (Bennett, 410 1996). For simplification and comparison with the LPAZ, we added important climatic breaks (1-5) that are either related to temperature changes (breaks 1-4, see compilation of chironomid-based July air temperature estimate since the LGM in Finsinger et al. (2019) or increases of moisture availability e.g. Tinner and Lotter, 2001; Joannin et al., 2013). The evidence for the temperature and moisture breaks comes from previous studies; break 1 corresponds to the onset of the Oldest Dryas (19,000 cal BP, e.g. Wirsig et al., 2016); break 2 to the post-HE-1 warming (16,000 cal BP, e.g. Samartin et al., 2012); break 3 to the onset of the Bølling (14,700 cal BP, e.g. van 415
- Raden et al., 2013); break 4 to onset of the Holocene (11,700 cal BP, e.g. Heiri et al., 2015); and break 5 to the 8.2 ka event (8200 cal BP, e.g. Tinner and Lotter, 2001; Joannin et al., 2013). This climate synopsis allows for the first time a tentative regional assessment and discussion of climate amplitude variation and its impacts on

Formatted: Font: Italic Formatted: Font: Italic Formatted: Font: Italic Formatted: Superscript Formatted: Superscript vegetation for the past 19,000 years. All calculations were run with the program R statistics (R Development Core Team, 2018). The data were plotted with the use of the programs Tilia 2.0.60 and CorelDraw.

# 3.3 Biodiversity estimations and ordination analysis

We first applied rarefaction analysis to calculate palynological richness (PRI), which is frequently used as a proxy for local to regional species richness (e.g. Birks and Line, 1992; Odgaard, 1999; Schwörer et al., 2015; Birks et al., 2016; Rey et al., 2019a). Rarefaction analysis assesses the number of taxa per sample after setting a constant minimum terrestrial pollen sum (Birks and Line, 1992), which was 116 in our case. Subsequently, the probability of interspecific encounter (PIE, Hurlbert, 1971) was taken as a measure of palynological evenness (van der Knaap, 2009). To assess distortion biases related to pollen production and dispersal on PRI (e.g. through high-pollen producers such as Pinus sylvestris, Corylus avellana), evenness-detrended palynological richness (DE-PRI) was calculated (see Colombaroli and Tinner, 2013). The aim of this procedure is to remove the evenness trend from

- PRI by applying an ordinary least square regression (OLS) between PRI and PIE and adding the deriving residuals 430 (PRI - PIE) to the original PRI values (residuals + PRI). Only if PRI and DE-PRI indicate comparable trends and changes, we suppose that PRI is uninfluenced by evenness effects and thus primarily reflects species richness. The program R statistics (R Development Core Team, 2018) was applied for the calculations.
- We used ordination analysis (Birks and Gordon, 1985; Ter Braak and Prentice, 1988) to identify gradients in 435 vegetation composition over time using the program Canoco 5 (Šmilauer and Lepš, 2014). We first performed a detrended correspondence analysis (DCA, Birks and Gordon, 1985) by segments without down-weighting of rare species to assess the appropriate response model (i.e. unimodal vs. linear) for our pollen percentage data. Since there is important turnover in the species composition as indicated by the rather long gradient length of the first DCA axis (3.41 SD), we retained the unimodal response model (DCA, Šmilauer and Lepš, 2014).

#### 440 4 Results and interpretation

### 4.1 Lithology and sedimentation

The lowermost part of the sediment sequence (1644-1300 cm, 19,000 cal BP and older), which was not analyzed for pollen and microscopic charcoal, consists of clay and sand layers. From 1300 cm (19,000 cal BP) upward, the sediment content changes to silty clay without sand layers until 980.5 cm (14,400 cal BP). According to the age-445 depth model (Fig. 2), the sedimentation rate is steadily decreasing at the same time, suggesting the establishment of relatively stable soil conditions shortly after deglaciation. From 980.5 cm (14,400 cal BP) to the top, the sediment consists of calcite-rich fine detritus gyttja. Between 712-429 cm (7550-2650 cal BP, see Rey et al., 2019a, b) the sediment is continuously varved. Some additional partly laminated sections are present at 980.5-768 cm (14,400-8900 cal BP) and at 415-134 cm (2350-300 cal BP). The sedimentation rate becomes rather stable from 980.5 cm (14,400 cal BP) onward and stays more or less constant until 429 cm (2650 cal BP). This 450 stabilization is probably linked to forests growing in the catchment reducing the total erosional input. The uppermost 429 cm (2650 cal BP to the present) are characterized by a steep increase of the sedimentation rate, which is most likely related to increased erosion in response to forest openings and agricultural activities in the catchment of the lake

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### 455 4.2 Vegetation and fire history

The pollen sequence (Fig. 3) is subdivided into 21 local pollen assemblage zones (LPAZ) and five subzones (Moos-1a, 1b, 20a, 20b and 20c). The high number of statistically significant zones, especially in the upper part of the diagram (i.e. at 7300-2900 cal BP) is related to the exceptionally high sample resolution and the rather strong vegetation changes. For simplification and better comparison of the LPAZ, we added important climatic 460 breaks (1-5) that are either related to temperature changes (breaks 1-4, see compilation of chironomid-based July air temperature estimate since the LGM in Finsinger et al. (2019) break 1 = onset of the Oldest Dryas (19,000 cal BP, Wirsig et al., 2016); break 2 = post-HE-1 warming (16,000 cal BP, Samartin et al., 2012); 3 = onset of the Bølling (14,700 cal BP, van Raden et al., 2013); 4 = onset of the Holocene (11,700 cal BP, Heiri et al., 2015), see also compilation of chironomid based July air temperature estimate since the LGM in Finsinger et al. (2019)) or increases of moisture availability (break 5 = 8.2 ka event (8200 cal BP., e.g. Tinner and Lotter, 2001; Joannin et 465 al., 2013). break 1 = onset of the Oldest Dryas (19,000 cal BP, Wirsig et al., 2016); break 2 = post HE 1 warming (16,000 cal BP, Samartin et al., 2012); 3 = onset of the Bølling (14,700 cal BP, van Raden et al., 2013); 4 = onset of the Holocene (11,700 cal BP, Heiri et al., 2015), see also compilation of chironomid-based July air temperature estimate since the LGM in Finsinger et al. (2019))This climate synopsis allows for the first time a tentative regional 470 assessment and discussion of climate amplitude variation and its impacts on vegetation for the past 19,000 years. Herbaceous pollen grains from Poaceae, Artemisia, Helianthemum, Thalictrum, Chenopodiaceae, Rubiaceae and Saxifraga aizoides-type are dominant at the end of the LGM (climatic break 1, ca. 19,000-18,800 cal BP, LPAZ Moos 1a, Wirsig et al. 2016; Finsinger et al. 2019). This indicates the establishment of open steppe/ tundra vegetation in the region including some first pioneer dwarf shrubs such as Salix herbacea (macrofossils found, see 475 Table 1), quickly after deglaciation. The slightly-increased pollen percentages of Pinus sylvestris-type (up to 18 %) may be interpreted as long-distance transport from forested, formerly unglaciated areas and single grains of Abies alba and Picea abies might point to reworking processes probably due to persisting meltwater influence of the retreating Rhône glacier.and/ or long-distance transport. High values of Sporormiella (percentages up to 6 %, influx up to 35 spores cm<sup>-2</sup> yr<sup>-1</sup>, see Fig. 4) as a proxy for local grazing (van Geel, 2006) may be indicative of the 480 presence of Pleistocene megafauna such as Mammuthus primigenius (wolly mammoth), Coelodonta antiquitatis (wolly rhinoceros), Rangifer tarandus (reindeer) and others that were preferentially living in the cold steppe environment at that time (e.g. Nielsen, 2013). Charcoal influx values are extremely low (< 50 particles cm<sup>-2</sup> yr<sup>-1</sup>),

From 18,800–16,000 cal BP (between climatic breaks 1 and 2, LPAZ Moos-1a), the pollen record indicates that
an open, species-rich (see *Gypsophila repens*-type and *Rumex acetosa*-type pollen curves), herb dominated steppe tundra persisted around Moossee. A first slight increase of shrub pollen percentages (from 7 to 14 %) mainly from *Betula nana, Salix, Juniperus* and *Ephedra fragilis*-type suggest that patches of dwarf shrubs established in the region after 17,000 cal BP. This is underlined by findings of *Betula nana* remains (see Table 1). *Sporormiella* values remain high (at 1–5 % respectively around 20 spores cm<sup>-2</sup> yr<sup>-1</sup>) and *Cercophora* values peak (at 2.5 %
respectively 20 spores cm<sup>-2</sup> yr<sup>-1</sup>, see Fig. 4), pointing to prevalence of wild animals grazing at the lake. Charcoal influx values stay low (10–40 particles cm<sup>-2</sup> yr<sup>-1</sup>), suggesting that regional fires were still absent.

suggesting rare or almost no fire activity in the region due to scarce vegetation cover.

Pollen percentages of tree *Betula* are markedly increasing (values up to 21 %) after the end of HE-1 (climatic break
2) during LPAZ Moos-1b (16,000–14,600 cal BP), suggesting the regional establishment of tree *Betula* stands or woods. Shrub pollen percentages (i.e. *Betula nana, Ephedra fragilis*-type, *Salix, Juniperus* and *Hippophaë*

495 *rhamnoides*) stay at 12–20 %, whereas non-arboreal pollen (= NAP) values decrease but remain very high (55–75

%, see pollen percentages of *Artemisia*, *Heliathemum*, *Thalictrum* and Chenopodiaceae). This change points to an expansion of shrub tundra with *Betula nana*, *Salix*, *Juniperus* and maybe even some small growing *Betula* trees into the catchment of Moossee and is possibly related to climate warming after 16,200 cal BP (Samartin et al., 2012; Finsinger et al., 2019). The values of *Sporormiella* and *Cercophora* diminish and fade out at the same time, suggesting that some of the megaherbivores producing a lot of dung (e.g. *Mammuthus primigenius*) may have become extinct locally (see Nielsen, 2013; Cupillard et al., 2015). Charcoal influx prevail at low values (< 40

particles cm<sup>-2</sup> yr<sup>-1</sup>), indicating that despite higher total biomass and fuel availability, regional fire activity did not

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increase.
Pollen data suggest that at the onset of the Bølling warming at ca. 14,700 cal BP (climatic break 3; van Raden et al., 2013; Finsinger et al., 2019), juniper shrub thickets or woods expanded (*Juniperus* pollen percentages > 65 %) and other woody taxa (peaks of *Hippophaë rhamnoides* and *Salix* pollen), leading to the gradual replacement of shrub and steppe tundra by boreal birch-pine forests (LPAZ Moos-2, ca. 14,600–14,400 cal BP, Fig. 3). Mixed *Juniperus* shrublands persisted for the subsequent 150–200 years until the onset of a marked expansion of tree *Betula* (pollen > 60 %), suggesting the establishment of birch forests around Moossee (ca. 14,400–13,750 cal BP, 510
LPAZ Moos-3). This birch forest expansion resulted in an essential change in lithology (see Sect. 4.1).

- Subsequently, pollen of *Pinus sylvestris*-type steadily increases, suggesting the establishment of birch-pine forest around the lake (LPAZ Moos-4, 13,750–11,050 cal BP). These boreal forests prevailed through the Allerød warm period (13,900–12,900 cal BP, van Raden et al., 2013), the subsequent Younger Dryas (12,700–11,700 cal BP, Finsinger et al., 2019) and during the first centuries of the Holocene, as suggested by the pollen assemblages.
- 515 However, the dominance of *Pinus sylvestris*-type and the decrease of *Betula* pollen after 12,900 cal BP, followed by an increase of herb pollen (≤ 20 %, see Poaceae, *Artemisia*) and *Juniperus* pollen (2–3 %), points to a transformation of closed mixed boreal forests into more open, pine-dominated parklands. We interpret this change as a consequence of climate cooling during the Younger Dryas. *Sporormiella* fungal spores are only occurring sporadically which might indicate a low grazing activity in the close vicinity of the lake. Charcoal influx values
- 520 increase temporarily (> 1000 particles cm<sup>-2</sup> yr<sup>-1</sup>) at the onset of mixed birch-pine forest formation and remain at elevated but stable values (> 100 particles cm<sup>-2</sup> yr<sup>-1</sup>), suggesting slightly enhanced fire activity after 13,400 cal BP. The re-establishment of closed mixed birch-pine forests occurred shortly after the onset of the Holocene (climate break 4, ca. 11,700 cal BP, <u>Heiri et al., 2015</u>; Finsinger et al., 2019) as indicated by the increase of arboreal pollen (> 80 %). Continuous curves of *Quercus*, *Alnus glutinosa*-type, *Corylus avellana* and *Ulmus* pollen suggest the
- 525 presence of first temperate forest stands likely in response to the Holocene climate warming already at ca. 11,700– 11,500 cal BP. However, temperate trees and shrubs (i.e. *Quercus, Corylus avellana, Ulmus, Tilia, Acer* and *Hedera helix*) expanded only after ca. 11,100 cal BP (LPAZ Moos-5, 11,050–8600 cal BP) as indicated by the pollen percentages, replacing the boreal forests within ca. 200–400 years (decrease of tree *Betula* and *Pinus sylvestris*-type pollen). This continental open forest and shrub vegetation was advantaged by the continental
- 530 climate with hot and dry summers of the Early Holocene (Tinner and Lotter, 2001; Heiri et al., 2015). However, at 9000–8600 cal BP, *Fraxinus excelsior* pollen percentages increase and tree pollen indicative of mixed oak forests (*Quercus, Ulmus, Tilia, Acer* and *Fraxinus excelsior*, e.g. Welten, 1982) reach their highest values. Charcoal influx values remain low (Fig. 3), suggesting no significant increase of fire activity during the Early Holocene.
- 535 During LPAZ Moos-6 (8600–7250 cal BP), *Corylus avellana* declined, and less heliophilous trees such as *Fraxinus excelsior* and *Tilia* expanded. Likely in response to climate change around 8200 cal BP (climatic break

5, onset of mid Holocene), *Abies alba* and *Fagus sylvatica* pollen reach their empirical limit (i.e. continuous curves at 8400 and 8200 cal BP respectively), suggesting the local establishment of first stands of these tree species (Birks and Tinner, 2016). At the same time, *Alnus glutinosa*-type pollen percentages are steadily increasing, whereas percentages values of mixed oak forest and *Corylus avellana* start to decline. The pollen assemblages suggest that the decline of heliophilous deciduous forests continued after 7250 cal BP (LPAZ Moos-7 to 8, 7250–6400 cal BP), when mixed beech–silver fir forests expanded massively. The general prevalence of mesophilous tree species throughout LPAZ Moos-7 to LPAZ Moos-21 was likely caused by a gradual shift towards more oceanic climate conditions during the Mid–Late Holocene (Tinner and Lotter 2001, 2006), as e.g. reconstructed on the basis of

- 545 higher lake levels (Magny, 2013; Joannin et al., 2013). However, this long-term dominance of dark mesophilous mixed beech–silver fir forests and the replacement of the formerly widespread mixed oak–linden–elm–maple forests was also affected by agricultural activities, starting as early as 7000 cal BP (5050 cal BC, first pollen grains of cultural indicators such as Cerealia-type and *Plantago lanceolata*).
- The pollen stratigraphy indicates a stepwise intensification of land use over the millennia with NAP (including cultural indicator pollen) peaking at 5600 cal BP (3650 cal BC, LPAZ Moos-10, Neolithic), 3850 cal BP (1900 cal BC, LPAZ Moos-16, Early Bronze Age), 3500 cal BP (1550 cal BC, LPAZ Moos-18, Middle Bronze Age), 2600 cal BP (650 cal BC, LPAZ Moos 20b, Iron Age), 1800 cal BP (cal AD 150, LPAZ Moos 20b, Roman Period), 700 cal BP (cal AD 1250, LPAZ Moos 21, Middle Ages) as well as 200 cal BP (cal AD 1750, LPAZ Moos 21, Modern times). Each of these land-use phases were generally accompanied by a decrease of tree pollen percentages
  of late successional *Fagus sylvatica* and *Abies alba* and by the expansion of light-demanding pioneers such as
- *Betula* trees and *Corylus avellana* shrubs. Mixed beech forests were able to recover after disturbances as suggested by the cyclical shape of the *Fagus sylvatica* curve. However, less resilient trees such as *Tilia, Ulmus, Taxus baccata* and the liana *Hedera helix* could not cope with the repeated forest disruptions mainly through logging, browsing, pollarding and massively increased fire disturbance (see- Rey et al., 2019a for more details) and were strongly reduced or even disappeared after 4500 to 3500 cal BP (2550-1550 eal BC).

Most striking are the massive forest openings during the Iron Age/ Roman Period (> 30 % NAP, LPAZ Moos 20b, 2600–1600 cal BP-(650 cal BC – cal AD 350))) and from the Middle Ages onward (> 60 % NAP, LPAZ Moos 21, 1050 cal BP-(cal AD 900) – today) which we interpret as the influence of large settlements or urban centres within close proximity (< 8 km) of the lake. The related strong increases of *Quercus* pollen percentages might point to forest management favouring oak for construction and forest pasture (acorn feeding, e.g. Gobet et al., 2000; Wick, 2015). Sporadic *Sporormiella* fungal spores suggest pastoral farming close to the lake. Charcoal influx values generally follow the land-use phases showing two major peaks at 5600 cal BP-(3650 cal BC) and 700 cal BP-(cal AD 1250), pointing to two phases of highest fire activity during the past 19,000 years (with up to 26,000 particles cm<sup>-2</sup> yr<sup>-1</sup>). The close link to pollen of crops and weeds as well as the related declines of forests, suggest that anthropogenic burning was related to slash-and-burn activities or maintenance of open fields (Tinner et al., 2005).

#### 4.3 Biodiversity reconstruction and ordination

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PRI (palnyological richness) and DE-PRI (eveness-detrended palynological richness) are very similar suggesting that overall, PRI is likely unaffected by evenness effects (Fig. 3). The agreement is particularly good during the periods 18,900–14,500 cal BP and 8600 cal BP to present. Here, both PRI and DE-PRI are slightly fluctuating around 15 pollen types per sample. Palynological evenness as inferred from PIE is stable (PIE at 0.8–0.9) in phases

where PRI and DE-PRI are in agreement. Significantly lower values (PIE down to 0.5) are recorded from 14,500– 8600 cal BP when pollen grains from few taxa are dominant (either *Juniperus, Betula, Pinus sylvestris*-type or *Corylus avellana*). There, palynological richness drops (PRI < 10 pollen types per sample) whereas DE-PRI stays stable at around 15 pollen types per sample. We thus assume that evenness distortions lead to underestimations of species richness during the period of strong *Juniperus, Betula, Pinus* (ca. 14,500–11,100 cal BP) and *Corylus* dominance (10,800–9000 cal BP, Fig. 3) and that such evenness distortions can be corrected by considering DE-PRI.

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Both richness values (PRI, DE-PRI) generally increase (up to 20–25 pollen types per sample) during phases with higher human impact around 5650 cal BP (3700 cal BC), at 4650 cal BP (2700 cal BC), around 3850 cal BP (1900 cal BC) and 3500 cal BP (1550 cal BC) as well as after 2600 cal BP (650 cal BC). These increases are directly linked to human induced forest openings and the introduction of cultivated plants (e.g. Cerealia-type) as well as the expansion of weeds (e.g. *Plantago lanceolata*), apophytes (e.g. *Urtica*) and heliophilous shrubs (e.g. *Corylus avellana*). Interestingly, also the tundra phase (18,900–14,600 cal BP) was rather species rich suggesting that PRI and DE-PRI are correlated with openness. A rather low share (25.6 %) of the total pollen data variance is explained by DCA axis 1. Nevertheless, the DCA scores might indicate a signal of openness as the DCA axis 1 goes almost in line with the <u>non-arboreal pollen (NAP) and</u>. Poaceae percentages <u>as well asand</u> DE-PRI (Fig. 3).

### 5 Discussion

#### 5.1 Vegetation and fire dynamics from the last deglaciation to the Mid Holocene

- 595 The extent of the ice sheet around the Alpine arch during the LGM has been thoroughly studied in the past and the results are available as high-quality maps (e.g. Ehlers and Gibbard, 2004, see Fig. 1a). Radiocarbon dates on terrestrial plant remains preserved in the bottom part of lake sediment sequences can be used to track the onset of deglaciation (Wirsig et al., 2016; Rey et al., 2017). At Moossee, the earliest date gives a calibrated age of 19,200 cal BP (Table 1), which is well in line with the results from other locations around the Alps (Table 2). The 600 unpublished basal dates of Lago di Monate (lab. code BE-8023.1.1) and Gola di Lago (lab. code BE-12286.1.1) derive from new lake sediment cores that are currently under investigation. Interestingly, most of the sites (including Moossee) with calibrated ages older than 18,000 cal years were located rather close to the margin of the former ice sheet (Fig. 1a, Table 2) and below < 500 m of ice (Bini et al., 2009). In contrast, sites with younger radiocarbon ages (17,270-17,820 cal BP, e.g. Lotter and Zbinden, 1989; Tinner et al., 1999; Ravazzi et al., 2014) 605 were either situated below a thicker ice sheet (750-1000 m) or higher up (Gola di Lago: ca. 970 m a.s.l.) and generally further away from the glacier tongues. However, the relatively small age difference between all these sites suggests that the collapse of the ice sheet in the peri-alpine belt occurred within 1000-1500 years, starting not later than 19,300 cal BP at the end of the LGM (23,000-19,000 cal BP for the Alps, Kaltenrieder et al., 2009; Hughes et al., 2013; Samartin et al., 2016). The huge loss of ice masses and the sudden retreat of glaciers were
- 610 likely controlled by increasing summer insolation (Berger and Loutre, 1991) as well as constantly rising CO<sub>2</sub> and CH<sub>4</sub> concentrations in the atmosphere (Lourantou et al., 2010).
   The pollen assemblage shows that pioneer plants colonized the bare grounds around Moossee shortly after glacial
  - retreat (ca. 19,000 cal BP) to form quickly open, species rich and herb-dominated steppe tundra communities (see biodiversity estimations and DCA in Fig. 3). First, arboreal plants (i.e. dwarf shrubs) could establish
- 615 contemporaneously as indicated by a *Salix herbacea* leaf and *Betula nana* plant remains (see Table 1). Comparable

vegetation patterns were found at several other sites north of the Alps (e.g. Welten, 1982; Ammann, 1989; Hadorn, 1992; Duprat-Oualid et al., 2017), although only a few have radiocarbon-dated macrofossils of dwarf shrubs such as at Soppensee (*Dryas octopetala* leaves, Lotter, 1999, see Fig. 4), Wauwilermoos (twiglet of Ericaceae, Beckmann, 2004) and Burgäschisee (unidentified arboreal twiglets, Rey et al., 2017) older than 18,000 cal BP. South of the Alps, and close to the LGM refugia (Eastern Po Plain, Kaltenrieder et al., 2009), *Juniperus* shrublands spread on formerly glaciated areas above 400 m a.s.l. (e.g. Tinner et al., 1999, *Juniperus* stomata around 17,500 cal BP, high pollen values before 18,000 cal BP), possibly as a result of climate warming (+ 2.5–3 °C) around ca. 18,800 cal BP (Samartin et al., 2016; Finsinger et al., 2019). Post\_-LGM climate warming may have also triggered tree species expansions south of the Alps at altitudes  $\leq$  350 m a.s.l. (*Larix decidua, Pinus* sp. and *Betula*) between

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17,500–16,000 cal BP (see Finsinger et al., 2006; Monegato et al., 2007).
North of the Alps, a first important vegetation shift after the establishment of the steppe tundra occurred at ca. 16,000 cal BP with the expansion of shrub tundra around Moossee (see pollen of tree *Betula, Betula nana, Juniperus* and *Salix*, macrofossils of *Betula nana*). A similar vegetation shift has been recorded elsewhere in the Central European lowlands (Duprat-Oualid et al., 2017; Rey et al., 2017), pointing to a regional establishment of shrub tundra with probably even some tree birch stands. Plant macrofossil data from Soppensee (Lotter, 1999, see Fig. 4), suggest a coeval establishment of dwarf-birch thickets on the Swiss Plateau, while several records of Welten (1982) point to increasing *Betula* abundances. Indeed, the chironomid-inferred July temperature estimates from Lago di Origlio (Samartin et al., 2012, corrected to the altitude of Moossee 521 m a.s.l. assuming a constant

635 2–2.5 °C reaching temperatures of 10–11.5 °C that are suitable for tree growth (Lang 1994) after 16,000 cal BP, even after considering a latitudinal temperature gradient (see Figs. 4 and 5, Samartin et al., 2012; Finsinger et al., 2019). Other factors than summer temperatures such as cold air extrusions from the still existing Scandinavian ice sheet in the north and a stronger latitudinal temperature gradient (Heiri et al. 2014) may have prevented the establishment of dense *Betula* forests north of the Alps (Rey et al., 2013). Indeed, south of the Alps, in more

modern temperature rate of 6 °C km<sup>-1</sup>, Livingstone and Lotter, 1998), indicate a July air temperature warming of

- sheltered positions, widespread afforestation with *Pinus cembra* and *Larix decidua* started at around 16,500–16,000 cal BP (e.g. Tinner et al., 1999; Hofstetter et al., 2007; Vescovi et al. 2007; Pini et al., 2016, see Fig. 4). South of the Alps, sites above 1000 m a.s.l. became ice-free but remained unforested (Vescovi et al., 2007; Pini, 2002), with treeline positions around 800–1000 m a.s.l. (Vescovi et al., 2007).
- High and almost continuous abundances of coprophilous *Sporormiella* and *Cercophora* spores from the onset of
  the Moossee record until ca. 15,250 cal BP could be indicative of Pleistocene megaherbivores (e.g. *Mammuthus primigenius, Coelodonta antiquitatis, Rangifer tarandus*). Similar results are recorded at Burgäschisee (Rey et al., 2017, see Fig. 4) and fit well with numerous finds of remains of these animals (e.g. bones, tusks, antlers) in the region (Nielsen, 2013; Cupillard et al., 2015). On-site hunter camps located at the former lake shore of Moossee (Fig. 1b), dated to 16,950–14,750 cal BP (14,000–12,800 cal BC, Harb, 2017; Nielsen, 2018), suggest intense
- 650 hunting. Hunting combined with climate warming may have caused the regional extinctions of *Mammuthus primigenius*, *Coelodonta antiquitatis* and *Rangifer tarandus* during the Late Glacial (see Nielsen, 2013; Cupillard et al., 2015), as also evidenced by decreasing numbers dung spores after 15,500 cal BP (Fig. 4). Juniper shrublands expanded massively at Moossee after ca. 14,600 cal BP (onset of the Bølling) which is in agreement with the well-dated pollen record at Gerzensee (van Raden et al., 2013). Only ca. 200 years later, *Betula*
- 655 forests took over and completed the initial afforestation which is widely recorded in pollen assemblages across the Swiss Plateau (e.g. Ammann, 1989; Rey et al., 2017) and unambiguously confirmed by *Betula pubescens* and *B*.

pendula macroremains at Soppensee (Lotter, 1999, see Fig. 4). Contemporaneously, sites up to 1800 m a.s.l. in the Alps turned ice-free (Welten, 1982; Ilyashuk, et al., 2009). South of the Alps, dense boreal forests with Pinus sylvestris and Betula established in the lowlands (Vescovi et al., 2007) and the tree line reached at least 1850 m a.s.l. (Tinner and Vescovi, 2007, Marta et al., 2013). This rapid deglaciation in the Alps and the forest expansion in Southern and Central Europe was caused by a sudden ca. 4 °C warming, as indicated by chironomid and stable isotope records (von Grafenstein et al., 1998, 1999; North Greenland Ice Core Project members, 2004; Heiri and Millet, 2005; Larocque and Finsinger, 2008; Fleitmann et al., 2009, see Fig. 5).

- After ca. 13,600 cal BP (during the Allerød) mixed birch-pine forests were spreading at Moossee and all across 665 Southern Central Europe (e.g. Clark et al., 1989; Lotter, 1999). In Northern Italy temperate tree stands established, probably in response to increasing summer temperatures (Vescovi et al., 2007, Lotter et al., 2012). The early spread of temperate trees south of the Alps (e.g. Quercus, Tilia, Ulmus) is evidenced by Quercus bud scales at Lago Piccolo di Avigliana (Finsinger et al., 2006) and Lago di Ragogna (Monegato et al., 2007). Summer warming (Heiri et al., 2015, see Fig. 5) may also have triggered the increase of regional forest fires observed at Moossee 670 and elsewhere north of the Alps (Rey et al., 2017).
- Boreal forests prevailed around Moossee during the Younger Dryas cold period (ca. 12,600-11,700 cal BP), when a summer temperature cooling of ca. 2-4 °C north of the Alps (Lotter et al., 2000; Heiri et al., 2015; Finsinger et al., 2019, Fig. 5) initiated a recovery of cold steppe-tundra vegetation (e.g. Artemisia, Chenopodiaceae). At the same time, late successional pine trees increasingly outcompeted pioneer birch trees, forming open pine -dominated
- 675 forests, a finding well supported by the macrofossil evidence (Lotter, 1999). Chironomid-based summer temperature reconstructions (Samartin et al., 2012; Heiri et al., 2014) suggest only a marginal cooling in southern Europe, which nevertheless affected temperate trees stands (Tinner et al., 1999; Finsinger et al., 2006; Vescovi et al., 2007). This different magnitude of climate cooling may be related to the sheltered location of these lakes in the lee of the Alps, preventing direct influences from the polar ice masses and the North Atlantic during the 680 Younger Dryas (Samartin et al., 2012; Heiri et al., 2014).

After 11,700 cal BP at the onset of the Holocene, boreal forests became dense in the peri-alpine lowlands (Tinner et al., 1999; Rey et al., 2017). Chironomid-based July temperature estimates (Heiri et al., 2015; Finsinger et al., 2019), other biotic proxies (Lotter et al., 2000, Birks and Ammann, 2000) as well as oxygen isotope records (von Grafenstein et al., 1998, 1999; 2000; Schwander et al., 2000) suggest a sudden warming of 3-4 °C within only ca.

- 685 50 years (Tinner and Kaltenrieder, 2005). In response to this rapid and strong climate warming, stands of temperate trees (e.g. Quercus, Alnus, Ulmus) established north of the Alps at 11,600-11,100 (Fig. 3) to gradually replace the former mixed pine-birch forests shortly after 11,100 cal BP. By 10,500 cal BP mixed oak-linden-elm-maple forests prevailed. In the forelands of the southern Alps, first mixed oak-linden-elm stands had established already during the Late Glacial, so that the Early Holocene population expansions of temperate trees (e.g. Quercus, Ulmus,
- 690 Tilia) were far more rapid and accomplished by ca. 11,500-11,300 cal BP (Tinner et al., 1999; Finsinger et al., 2006; Vescovi et al., 2007), i.e. 800-1000 years earlier than north of the Alps. This finding is in agreement with process-based dynamic vegetation simulations, which suggest that after a first establishment of boreal and temperate tree stands, population expansions usually last 500-1000 years before coming to a (high) biomass equilibrium with climate (Lotter and Kienast, 1992; Wick and Möhl, 2006; Henne et al., 2011; Schwörer et al., 2014).
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The dominance of mixed oak-linden-elm-maple forests over millennia in Central Europe (see e.g. Hadorn, 1992; Lotter, 1999; Litt et al., 2009; Rey et al., 2017) was most likely favoured by continental climate as indicated by

maximum summer and minimum winter insolation (Berger and Loutre, 1991), 1.5-2 °C warmer summers than today (e.g. Heiri et al., 2015) and generally drier conditions as reflected by lower lake levels (e.g. Magny et al., 2012, see Fig. 5). This forest type persisted until ca. 8500-8000 cal BP (Figs. 3 and 5), when Abies alba and Fagus sylvatica tree stands established around Moossee. Both tree species are shade-tolerant and competitive under mesophytic conditions (Tinner and Lotter, 2006; Tinner et al., 2013; Lauber et al., 2014). Similarly, Alnus and Fraxinus excelsior, both well-adapted to wet soils and moist conditions (Lauber et al., 2014; Rey et al., 2017), expanded as well. The establishment and massive spread of mesophilous mixed beech forests after 7500 cal BP (see high number of Fagus sylvatica bud scales in Table 1) is well studied on the Swiss Plateau (e.g. Lotter, 1999; Wehrli et al., 2007) and the causes for this change have been intensely discussed in the past (Tinner and Lotter, 2006). Decreasing summer temperatures (Heiri et al., 2015; Finsinger et al., 2019) and increasing moisture availability (e.g. Magny et al., 2011; Magny et al., 2012; Joannin et al. 2013, see Fig. 5) suggest climate as the main trigger of this drastic change in Central European forest composition.

The onset of land use and agricultural activities around Moossee is documented as early as 7000 cal BP (5050 cal

#### 710 5.2 Vegetation and land-use history during the Mid and Late Holocene

BC) by the first cultural indicator pollen such as Cerealia-type and Plantago lanceolata (see Figs. 3 and 5). Increases of microscopic charcoal, which fall into a phase with fairly closed mixed beech-oak forests (Figs. 3 and 5), suggest a drastic increase of fire activity at the onset of the farming. After 6500 cal BP (4550 cal BC) intensified 715 agricultural activities caused a first dieback of the mixed beech forests. Our interpretation is in good agreement with coeval on-site archaeological evidence (e.g. log boat made of Tilia wood; Hafner et al., 2012; Harb, 2017). Many lowland sites south and north of the Alps indicate a contemporaneous opening of the forests. The strong link with increasing fire activities suggests that farmers used fire to gain arable and pastoral land (i.e. slash-and-burn, e.g. Tinner et al., 1999; Kleinmann et al., 2015; Rey et al., 2017, 2019a). Disruption and land-use phases generated 720 typical successional cycles starting with arboreal pioneers (Corylus aveilana, tree Betula and Alnus) rapidly spreading after disturbance. These light-demanding pioneers were regularly replaced by Fraxinus excelsior and Ulmus, which were in turn replaced by late-successional Fagus sylvatica and Abies alba (e.g. Kleinmann et al., 2015). Most strikingly, a recent high precision and high-resolution study covering three millennia from the Neolithic to the Bronze Age (ca. 7000-4000 cal BP) was able to numerically demonstrate that land-use phases and 725 the subsequent forest successions were regionally to supra-regionally synchronous (Rey et al., 2019a). The most reasonable explanation for such a striking pattern is climate and its influences on human activities. Indeed, Rey et al. (2019a) found that land-use phases generally coincided with warm and dry periods as indicated by lower lake levels in Western Switzerland and Eastern France (Magny, 2013) as well as higher solar irradiance (Steinhilber et al., 2009). This finding underlines that climate may have governed harvest success and through that prehistoric 730 human population densities, an environmental effect that on the long-term was counteracted by stepwise introductions of technological innovations (e.g. metal tools, new crops; Tinner et al., 2003, Wirtz and Lemmen, 2003).

Our palaeobotanical data indicate a steady intensification of agricultural activities during the Late Holocene (from ca. 3850 cal BP-(1900 cal BC) onward), which is primarily evidenced in regions on the Swiss Plateau that are climatically favourable for crop production (i.e. < 550 m a.s.l., Ammann, 1989; Hadorn, 1992; Rey et al., 2017). Many tree species were strongly affected by fire disturbance, browsing and/ or overexploitation and some of them (e.g. Tilia, Taxus baccata) even collapsed completely (Rey et al., 2017). Contrarily, several taxa such as Quercus

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effects of open land creation and the introduction of new species, total biodiversity increased (Fig. 3), suggesting that human activities related to farming were crucial for the establishment of a mosaic of diverse communities (e.g. hay meadows, orchards, hedges). As a consequence, during the Late Holocene (i.e. the past 5000 years) humans gradually replaced climate as the driving factor of vegetation structure and composition. Woodlands increasingly impoverished, leading to\_monospecific Picea, Quercus or Fagus forest communities that are best suited for timber, fuel and fodder production. The strong increase of biodiversity in open habitats (meadows, fields, hedges) thus contrasted diversity losses in forests and woodlands (Colombaroli and Tinner, 2013). We can show that in regard to the dominant species, beech forests were able to recover even after the most intense anthropogenic disturbances (e.g. Iron Age, Roman Period, Middle Ages). Therefore, Central European beech may presumably prevail in the future if the amplitude of the anticipated climate warming remains within the Mid Holocene variability range (ca. + 2 °C compared to the 20th century, Heiri et al., 2015; Finsinger et al., 2019). However, if intense forestry should decline, e.g. as a consequence of nature protection measures, more diverse forests may reestablish. If climate should become > 2° C warmer, possibly causing a reduction of moisture availability (Kovats et al, 2014; Henne et al. 2018), drought-sensitive beech may rapidly decline, giving way to unprecedented forest communities that will likely include drought-resistant deciduous species such as Quercus pubescens, droughtresistant evergreen broadleaved species such as Quercus ilex and warm-temperate conifers such as Abies alba (Bugmann et al., 2015; Henne et al., 2015; Henne et al., 2018).

and Fagus were promoted as fruit trees (Gobet et al., 2000; Wick, 2015), while others were introduced (e.g. Juglans regia, Castanea sativa) for the same reason (Tinner et al., 2003; Conedera et al., 2004). Thanks to the combined

#### 6 Conclusions

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post-LGM period. Radiocarbon dating on terrestrial plant remains (i.e. Salix herbacea leaf) resulted in a calibrated age of ca. 19,200 cal BP (18,890–19,520 cal BP, 95 % (2σ) probabilities) for the bottom of the sediment sequence. 760 To our knowledge, together with the novel radiocarbon date from the bottom sediments of Lago di Monate of 19,300 cal BP (18,780-19,930 cal BP, 95 % (25) probabilities, see Table 2), this date provides the oldest age coming from peri-alpine lakes that were created by deglaciation after the ice collapse at the end of the LGM. Deglaciation was followed by the rapid establishment of pioneer steppe vegetation. After HE-1 (end ca. 16,700 765 cal BP, Stanford et al., 2011) shrubs (Betula nana, Juniperus, Salix) and probably trees (likely Betula pubescens, Betula pendula) expanded, which is comparable to recent ecosystem changes in the Arctic in response to ongoing global warming (Pearson et al., 2013, Brugger et al., 2019). Starting points of important vegetation reorganizations at 16,000, 14,600, 11,600 and 8200 cal BP were strongly linked to climate change (temperature and/ or

We present a novel highly-resolved vegetation and fire history record from Central Europe that covers the entire

- precipitation shifts). No apparent inertia nor lags of population establishments were detected, implying a very high 770 sensitivity and adjustment capacity of plant communities to climatic and environmental changes at decadal scales. These rapid responses without any apparent lags (due to e.g. migration) are explained by the very efficient distribution mechanisms of plants (e.g. winged fruits or bird transport of acorns, see Firbas, 1949, Tinner and Lotter, 2006) and the proximity to the refugia (< 400 km) of temperate and boreal species (Kaltenrieder et al., 2009, Samartin et al., 2012, Gubler et al., 2018). The onset of varved sediments (7000 cal BP) was closely related
- to vegetation opening for land use. Land use gradually overrode climate as the dominant factor in determining 775 vegetation composition and structure during the Late Holocene. Present-day beech forests have been shaped by anthropogenic disturbances over millennia and were resilient to Mid and Late Holocene climate change. However,

recent climate warming may exceed the Mid and Late Holocene climate variability releasing sudden collapses and unprecedented reorganizations of Central European ecosystems.

#### 780 Data availability

The complete pollen and microscopic charcoal data sets are available through the Neotoma Palaeoecology Database (https://www.neotomadb.org). Pollen: http://dx.doi.org/10.21233/6N58-K786 (Rev et al., 2020a); Microscopic charcoal: http://dx.doi.org/10.21233/XD5R-PF83 (Rey et al., 2020b).

# Author contributions

785 F.R. carried out all pollen and most of the quantitative analyses, constructed the chronology, arranged all figures and led the writing. E.G. initially designed the research project and helped with interpreting the data sets. C.S. calculated the zonation and gave important scientific inputs. A.H. obtained funding and contributed with the discussion of local and regional archaeology. S.S. was responsible for radiocarbon dating. W.T. helped designing the research project, received funding and wrote major parts of the manuscript. All authors contributed and commended critically on the manuscript and gave final approval for publication.

### **Competing interests**

The authors declare that they have no conflict of interest.

# Acknowledgements

We thank Willi Tanner, Julia Rhiner, Sandra O. Brügger, Stéphanie Samartin, Lena Thöle and Petra Beffa for their 795 valuable help during the corings, Edith Vogel for executing the radiocarbon dating, André F. Lotter for his important inputs into the analysis of varved lake sediments, Stamatina Makri and Julian Laabs for helping with the maps, Werner E. Stöckli for providing the idea of gaining oldest bottom lake sediment dates, Adriano Boschetti and the Beyond Lake Villages (BeLaVi) project team for constructive discussions, Jacqueline van Leeuwen for double checking some pollen identifications and César Morales del Molino for his help with archiving the data 800 sets. We are grateful to the editor Nathalie Combourieu-Nebout and threetwo anonymous reviewers for their valuable comments on a previous versions of the manuscript.

### **Financial support**

This research study has been supported by the Swiss National Science Foundation (SNF 200021\_149203/1).

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Table 1: Radiocarbon dates and calibrated ages from the Moossee record. Uncertainties of <sup>14</sup> C ages refer to 68 % probabilities (1σ) whereas ranges of
calibrated and modelled ages represent 95 % probabilities $(2\sigma)$ .

Lab. code	Depth (cm)	<sup>14</sup> C age (BP) <sup>a</sup>	Age range (cal BP) <sup>b</sup>	Modelled age range (cal BP) <sup>c</sup>	Material	
BE-7363.1.1	78.6-75.9	$150\pm20$	5-280	-135-420	Picea abies needle	
BE-7362.1.2	104.7-102.2	$240\pm70$	5-480	-230-700	Leaf indet	
BE-7361.1.1	187.5-186.3	$450\pm20$	495-525	475-545	Leaf fragments indet	
BE-7360.1.1	241.6-239.8	$1065\pm20$	930-1050	870-1110	Alnus glutinosa fruit	
BE-7359.1.1	261.1-259.9	$1110\pm35$	935-1170	820-1285	Alnus glutinosa fruit	
BE-7358.1.1	324.4-321.1	$1495\pm40$	1305-1520	1205-1620	Betula fruits, Fagus sylvatica bud scales	
BE-7357.1.1	328.0-325.1	$1535\pm30$	1360-1520	1270-1600	Betula fruits, Fagus sylvatica bud scales, Salix bud scale, bud scale indet	
BE-7356.1.1	330.8-328.6	$1555\pm40$	1360-1535	1285-1625	Betula fruit, Fagus sylvatica bud scale, Populus tremula floral bract	
BE-7355.1.1	338.5-332.2	$1620\pm40$	1410-1605	1320-1700	Betula fruits, Fagus sylvatica bud scale, Populus tremula floral bract, Quercus bud scales	
BE-7354.1.1	346.9-339.8	$1700\pm40$	1535-1705	1450-1785	Betula fruits, Populus tremula floral bract	
BE-7353.1.1	386.5-385.9	$2060\pm35$	1935-2120	1840-2215	Terrestrial fruit indet	
BE-7352.1.1	416.2-409.3	$2290\pm50$	2150-2400	2025-2525	Betula fruit, Betula fruits scales	
BE-7351.1.1	435.0-432.7	$2420\pm35$	2350-2700	2655-2705	Fagus sylvatica bud scales	
BE-7350.1.1	439.0-438.1	$2630\pm50$	2540-2860	2730-2775	Betula fruit, Betula fruit scale	
BE-7349.1.1	441.4-439.7	$2730\pm35$	2760-2920	2765-2810	Abies alba bud scale, Fagus sylvatica bud scales, terrestrial fruit indet	
BE-7348.1.1	447.0-446.4	$2795\pm40$	2790-2990	2875-2920	Fagus sylvatica bud scale	
BE-7347.1.1	449.9-448.8	$2810\pm45$	2790-3055	2910-2960	Betula fruit, Fagus sylvatica bud scales	
BE-7346.1.1	453.0-452.5	$2900\pm45$	2890-3170	2965-3020	Bud scale indet	
BE-7345.1.1	461.1-460.0	$3010\pm40$	3070-3340	3085-3135	Abies alba bud scale, Alnus glutinosa bud scale, Betula fruits, bud scale indet, petiole indet	
BE-7344.1.1	468.3-466.9	$3010\pm40$	3070-3340	3185-3240	Alnus glutinosa fruit, Betula fruits, Populus tremula floral bract	
BE-7343.1.1	472.7-472.0	$3070\pm45$	3165-3380	3245-3300	Alnus glutinosa bud scale	
BE-7342.1.1	495.8-495.0	$3245\pm50$	3375-3575	3490-3550	Leaf fragments indet	
BE-7341.1.1	498.0-497.3	$3315\pm40$	3455-3640	3520-3580	Abies alba bud scale, Fagus sylvatica bud scale	
BE-7340.1.1	500.2-499.0	$3295\pm45$	3405-3635	3555-3610	Fagus sylvatica bud scale, terrestrial fruit indet	
BE-7339.1.1	502.9-501.3	$3395\pm40$	3515-3820	3585-3650	Abies alba bud scale, Betula fruit, Fagus sylvatica bud scales, Quercus bud scale, bud scale indet, terrestrial	
BE-5380.1.1	520.2-519.5	$3615\pm35$	3835-4070	3840-3885	fruit indet Alnus glutinosa fruit, terrestrial plant remain indet	
BE-5631.1.1	540.3-539.8	$3765\pm40$	3985-4245	4065-4105	Alnus glutinosa fruit, leaf fragment indet	
BE-5381.1.1	543.5-543.0	$3710\pm35$	3930-4150	4110-4145	Betula fruit, Fagus sylvatica bud scales	
BE-5632.1.1	548.4-547.7	$3865\pm40$	4155-4415	4180-4220	Fagus sylvatica bud scales	
BE-5382.1.1	553.1-552.4	$3865\pm35$	4160-4415	4270-4305	Fagus sylvatica bud scales	
BE-5383.1.1	569.8-569.2	$4190\pm35$	4590-4840	4655-4685	Abies alba needle, Fagus sylvatica bud scale	
BE-5633.1.1	572.4-571.6	$4160\pm40$	4570-4830	4695-4720	Alnus glutinosa fruit, Betula fruit, Fagus sylvatica bud scale	

Lab. code	Depth (cm)	<sup>14</sup> C age (BP) <sup>a</sup>	Age range (cal BP) <sup>b</sup>	Modelled age range (cal BP) <sup>c</sup>	Material
BE-5384.1.1	578.7-578.3	$4250\pm20$	4825-4855	4835-4860	Populus tremula floral bract, leaf fragments indet
BE-5385.1.1	604.6-604.0	$4730\pm20$	5330-5580	5330-5360	Alnus glutinosa bud scale, Alnus glutinosa catki Betula fruits
BE-5634.1.1	606.3-605.8	$4740\pm40$	5325-5585	5355-5385	Alnus glutinosa bud scale, Alnus glutinosa fruit
BE-5635.1.1	607.7-607.2	$4690\pm45$	5315-5580	5395-5420	Alnus glutinosa bud scale, Betula fruit
BE-5636.1.1	612.0-611.5	$4830\pm70$	5330-5720	5500-5530	Betula fruits, Fagus sylvatica bud scale
BE-5386.1.1	618.7-618.1	$4970\pm25$	5615-5745	5640-5675	Abies alba seed, Fagus sylvatica bud scale, lea fragments indet
BE-5387.1.1	624.2-623.6	$5035\pm40$	5660-5900	5720-5755	Abies alba needle, Abies alba bud scale, petioles inde
BE-5388.1.1	625.9-625.2	$5060\pm35$	5730-5905	5755-5790	Alnus glutinosa bud scale, Betula fruit, Fagus sylvatio bud scale, leaf fragments indet
BE-5637.1.1	630.8-630.3	$5005\pm50$	5620-5895	5850-5885	Betula fruit, Fagus sylvatica bud scale
BE-5389.1.1	636.2-635.5	$5235\pm40$	5915-6175	5965-6005	Petiole indet
BE-5638.1.1	641.3-640.8	$5375\pm40$	6005-6280	6105-6140	Alnus glutinosa bud scale
BE-5390.1.1	646.2-645.5	$5370\pm60$	6000-6285	6230-6270	Fagus sylvatica bud scale
BE-5639.1.1	652.7-652.1	$5660\pm45$	6315-6550	6380-6420	Fagus sylvatica bud scales
BE-5391.1.1	656.2-655.8	$5655\pm25$	6355-6495	6430-6475	Fagus sylvatica bud scale, bud scale indet, petio indet
BE-5392.1.1	659.8-659.3	$5760\pm25$	6490-6635	6495-6535	Alnus glutinosa catkin, Alnus glutinosa fruits
BE-5640.1.1	669.1-668.6	$5875\pm45$	6565-6795	6625-6670	Fagus sylvatica bud scale
BE-5393.1.1	675.7-675.2	$5940\pm25$	6680-6845	6740-6790	Fagus sylvatica bud scales, bud scales indet
BE-5394.1.1	678.3-677.8	$5990\pm40$	6735-6940	6790-6845	Fagus sylvatica bud scale, leaf fragments indet
BE-5641.1.1	689.8-689.4	$6135\pm45$	6910-7160	7010-7065	Fagus sylvatica bud scale, petiole indet
BE-5642.1.1	691.2-690.8	$6210\pm45$	6995-7250	7035-7095	Alnus glutinosa fruit, Tilia anthers
BE-5395.1.1	767.0-765.0	$7850\pm110$	8440-8990	8160-9270	Terrestrial fruit indet
BE-5396.1.1	798.0-796.0	$8165\pm25$	9015-9245	8890-9370	Tilia fruit scale
BE-5397.1.1	867.0-865.0	$9380\pm30$	10,520-10,690	10,440-10,805	Pinus sylvestris bud scale, Populus tremula floral bra Populus tremula fruits, Quercus bud scale, Ulmus b
BE-5398.1.1	883.0-881.0	$9980\pm60$	11,250-11,710	10,960-11,890	scale Betula fruits, Betula fruit scales, Pinus sylvestris b scales
	933.0-932.0		12,885-13,185 <sup>d</sup>	12,740-13,350	
BE-5399.1.1	949.0-947.0	11,675 ± 35	13,440-13,570	13,360-13,650	Betula fruits, Betula fruit scale, Juniperus needles
BE-5400.1.1	967.0-965.0	$12{,}200\pm70$	13,810-14,350	13,520-14,600	Betula fruits, Betula fruit scale
BE-5401.1.1	983.0-981.0	$12,\!350\pm80$	14,070-14,830	13,700-15,210	Betula fruits
BE-5402.1.1	1010.0-1008.0	$12,\!720\pm170$	14,310-15,680	13,650-16,360	Betula nana leaf
BE-5403.1.1	1110.0-1105.0	14,000 ± 210	16,340-17,560	15,740-18,160	Betula nana fruit, Betula nana fruit scale, Betula na leaf
BE-5404.1.1	1340.0-1335.0	$15{,}900\pm130$	18,890-19,520	18,560-19,850	Salix herbacea leaf, rhizome indet

<sup>a</sup> Stuiver and Pollach, 1977

<sup>b</sup> Stuiver and Reimer, 1993; Reimer et al., 2013

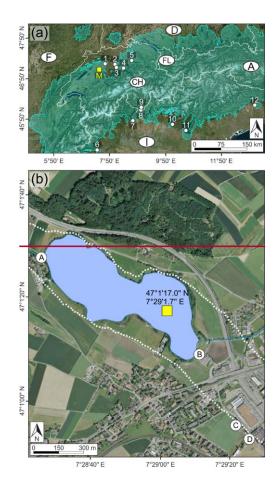
 $^{\rm c}$  Bronk Ramsey, 1994, 1995, 2001; Bronk Ramsey et al., 2001; Heegaard et al., 2005 $^{\rm d}$  van Raden et al., 2013

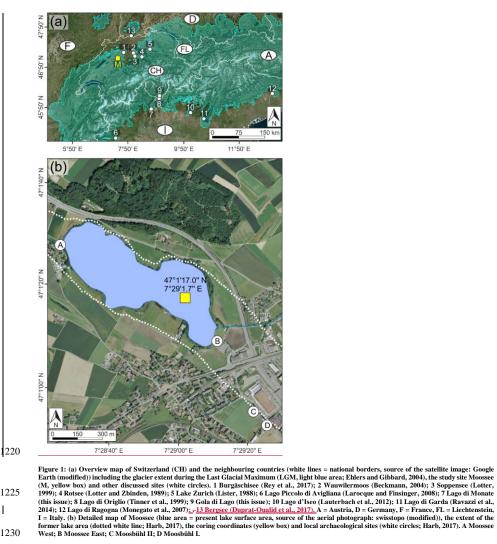
Table 2: Oldest radiocarbon dates from terrestrial plant remains found in sediment sequences from lakes north and south of the Alps. Uncertainties of
<sup>14</sup> C ages refer to 68% probabilities (1) whereas ranges of calibrated ages represent 95% probabilities (2).

Code (Fig. 1a)	Site name	Reference	<sup>14</sup> C age (BP) <sup>a</sup>	Age (cal BP) <sup>b</sup>	Age range (cal BP) <sup>b</sup>	Material
М	Moossee	This issue	15,900 ± 130	19,180	18,890-19,520	Salix herbacea leaf, rhizome indet
1	Burgäschisee	Rey et al., 2017	$15{,}380\pm70$	18,660	18,490-18,800	Twiglet indet
2	Wauwilermoos	Beckmann, 2004	$15{,}300\pm130$	18,560	18,260-18,830	Ericaceae twig
3	Soppensee	Hajdas et al., 1993; Lotter, 1999	$14,\!190\pm120$	17,270	16,900-17,620	Terrestrial plant remains indet
4	Rotsee	Lotter and Zbinden, 1989	14,600 ± 200	17,770	17,250-18,270	Terrestrial plant remains indet
5	Zürichsee	Lister, 1988	$14{,}600\pm250$	17,760	17,110-18,370	Twiglet indet
6	Lago Piccolo di Avigliana	Larocque and Finsinger, 2008	$14{,}930\pm80$	18,150	17,930-18,360	Wood indet
7	Lago di Monate	This issue	16,000 ± 250	19,310	18,780-19,930	Deciduous leaf fragments indet
8	Lago di Origlio	Tinner et al., 1999; Samartin et al., 2012	$14{,}520\pm80$	17,700	17,480-17,930	Wood indet
9	Gola di Lago	This issue	$14{,}640\pm70$	17,820	17,610-18,010	Deciduous leaf, twig fragments
10	Lago d'Iseo	Lauterbach et al., 2012	$14{,}950\pm130$	18,180	17,870-18,500	Terrestrial plant remains indet
11	Lago di Garda	Ravazzi et al., 2014	$14{,}350\pm70$	17,490	17,220-17,710	Hippophaë seed, leaf fragment indet
12	Lago di Ragogna	Monegato et al., 2007	$14{,}490 \pm 130$	17,660	17,310-17,980	•

<sup>a</sup> Stuiver and Pollach, 1977

<sup>b</sup> Stuiver and Reimer, 1993; Reimer et al., 2013





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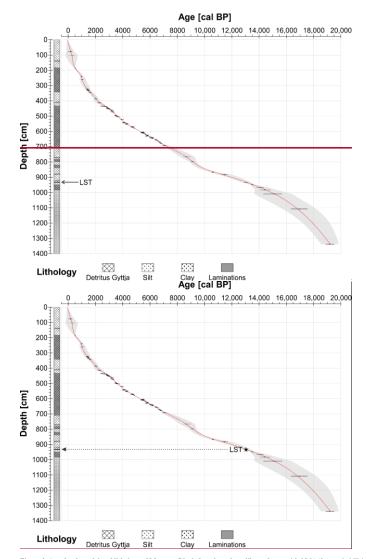
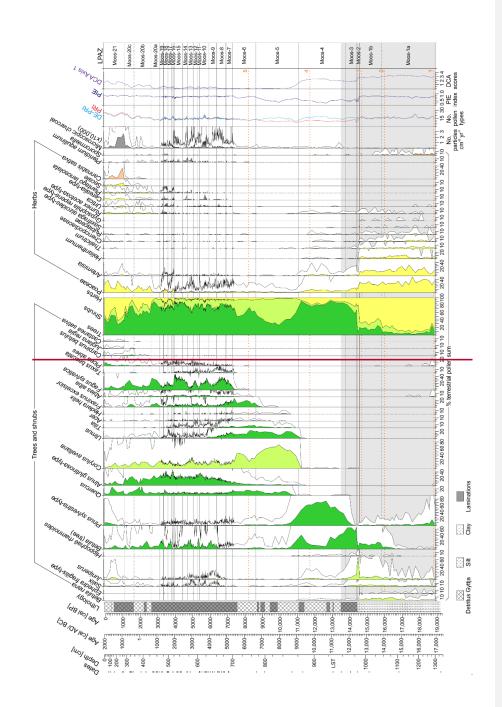


Figure 2. Age-depth model and lithology of Moossee. Black dots show the calibrated ages with 95 % (2σ) probabilities (IntCal13, Reimer et al., 2013). The red line is the modelled chronology using OxCal 4.3 from 435–691 cm (V-sequence, see Bronk Ramsey 1994, 1995, 2001; Bronk Ramsey et al., 2001) and clam 2.2 smooth spline at smoothing level = 0.3 from 0–435 cm and from 691–1335 cm (Blaauw, 2010). The 95 % (2σ) probabilities of the model (grey area) were calculated using again OxCal V-sequence (435–691 cm) and a generalized mixed-effect regression (GAM, 0–435 cm and 691–1335 cm, see Heegaard et al., 2005). LST = Laacher See Tephra (black line at 932–933 cm and black star).



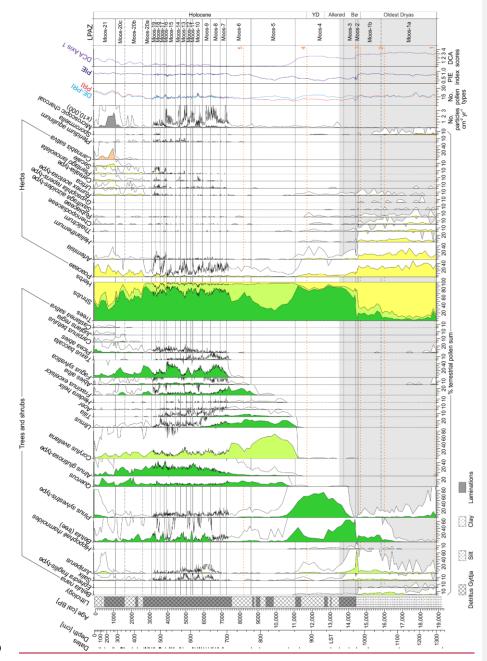
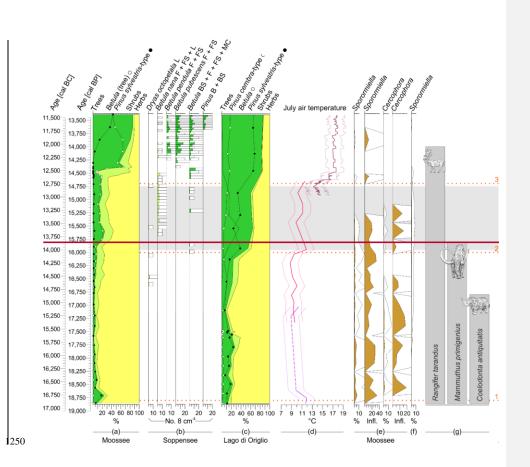


Figure 3. Moossee sediment sequence. Presented are the dates (small black lines), the lithology, percentages of selected pollen types, *Pteridium aquilinum* (fern spore) and *Sporormiella* (coprophilous fungal spore), microscopic charcoal influx values, the palynological richness (PRI, red line), the evenness-detrended palynological richness (DE-PRI, light blue line), the palynological evenness (PE, dark blue line) as well as the detrended correspondence analysis (DCA, purple line). Empty curves show 10x exaggerations. LST = Laacher See Tephra; **B**\_9 = Bolling; **VD** = **Younger Dryas**. The horizontal light grey har indicates the time window of Fig. 4. The orange horizontal dotted lines (1–5) mark important climatic breaks on the basis of temperature changes (see Finsinger et al., 2019) and/ or changing moisture availability (e.g. Joanni et al., 2013). 1 = onset of the Oldest Dryas (19,000 cal BP, Wirsig et al., 2016): 2 = post-HE-1 warning (16,000 cal BP, Samartin et al., 2012); 3 = onset of the Balling (VI = Aurining (16,000 cal BP, Samartin et al., 2012); 3 = onset of the Balling (12,000 cal BP, Samartin et al., 2012); 3 = onset of the Balling (12,000 cal BP, Samartin et al., 2012); 3 = onset of the Balling (12,000 cal BP, Samartin et al., 2012); 3 = onset of the Balling (14,700 cal BP, Heiri et al., 2015); 5 = 8.2 ka event (8200 cal BP, Tinner and Lotter, 2001).



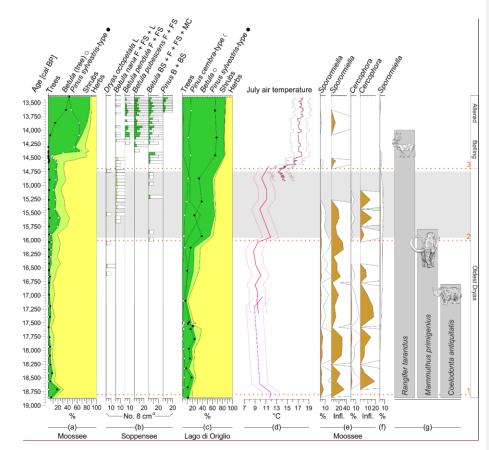
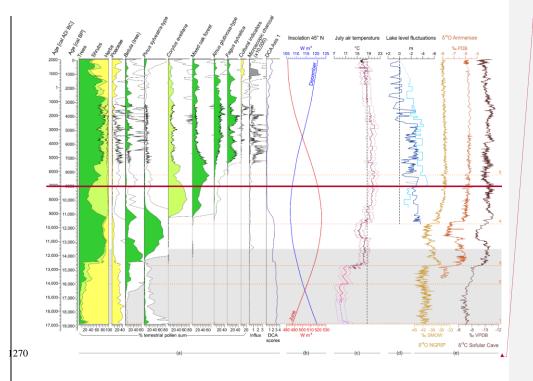


Figure 4. Composite. (a) Main pollen percentages of Moossee including pollen percentages of *Betula* (tree) and *Pinus sylvestris*-type. (b) Concentrations of selected plant macrofossils at Soppense (Lotter, 1999), B = bud, BS = bud scale, F = fruit, FS = fruit scale, L = leaf, MC = male catkin. (c) Main pollen percentages of Lago di Origilo including pollen percentages of *Betula*, *Pinus sylvestris*-type and *Pinus cembra*-type (Tinner et al., 1999). (d) Mean July air temperature estimates for the altitude of Moossee (S1 m as.1), using chironomid-inferred stacked temperature record representing mean July air temperature estimates for the altitude of Moossee (S1 m as.1), using chironomid-inferred stacked temperature record representing mean July air temperature estimates in the Northern and Central Swiss Alps (dark red lines, Heiri et al., 2015). Temperatures ecorrected under the assumption of a constant modern temperature rate of 6 °C km<sup>-1</sup> (Livingstone and Lotter, 1998) and plotted according to Finsinger et al. (2019). The Origilo record is only shown for the oldest part (older than 14,600 cal yr BP). Dotted lines reflect standard error estimates (e) *Spororniella* and *Cercophora* percentages and influx values (sporse can "yr<sup>-1</sup>) of Moossee. (f) *Spororniella* percentages of Burgiachisee (Rey et al., 2017). (2) Presence and extinction of important Pleistocene megafauna (Nielsen, 2013; Cupillard et al., 2015). The horizontal light grey bar indicates the time window of local archaeological findings (reindeer hunter camps, Bullinger et al., 1997; Nielsen, 2013; Cupillard et al., 2015). Empty curves show 10x exaggerations (h, e, 0, The orange horizontal findings (reindeer hunter camps, Sullings et al., 1997; Nielsen, 2013). Set (Presence and et al., 2015). The spresence and et al., 2015), and the Oldest Dryas (19,000 cal BP, Wirsig et al., 2016; 2) = post-HE-1 warming (16,000 cal BP, Samartin et al., 2012; 3 = onset of the Bolling (14,700 cal BP, van Raden et al., 2013). 



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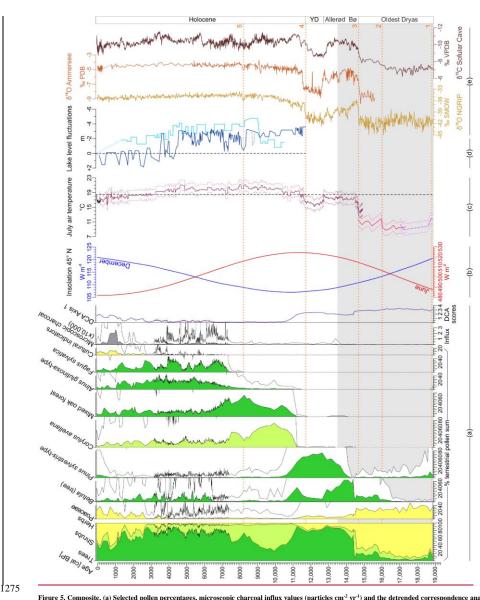


Figure 5. Composite. (a) Selected pollen percentages, microscopic charcoal influx values (particles cm<sup>2</sup> yr<sup>4</sup>) and the detrended correspondence analysis (DCA, purple line) of Moossee. Mixed oak forest = 2 *Quercus* + *Ulmus* + *Fraxinus excelsior* + *Tilia* + *Accr*. Cultural indicators = 2 Cercalia-type + *Plantago lanceolata*. Empty curves show 10x exaggerations, (b) June (red line) and December (blue line) insolation for 45°N (Berger and Loutre, 1991). (c) Mean July air temperature estimates for the altitude of Moossee (521 m a.s.l.) using chironomid-based temperature reconstructions from Lago della Costa (light purple lines, Samartin et al., 2015), add oi Origilo (pink lines, Samartin et al., 2012) and a chironomid-harered stacked temperature representing mean July air temperature estimates in the Northern and Central Swiss Alps (dark red lines, Heiri et al., 2015). Additionally, historical (1755–2018 AD) 30-yr moving average July air temperature measurements from Basel-Binningen (black line) are shown. Temperatures were corrected under the assumption of a constant modern temperature rate of 6 °C km<sup>4</sup> (Livingstone and Lotter, 1998) and plotted according to Finsinger et al. (2019). The black vertical dashed line indicates today's average July air temperatures (= 18.3 °C) in Bern/ Zollkofen (MeteoSwiss, 2017). The Origilo record is only shown for the oldest part (older than 14,600 call yr BP). Dotted lines reflect standard error estimates. (d) Relative bake vertical dashed line indicates today's average July air temperature (c Core Projet members, 2004), Ammersee (dark corage line, von Grafenstein et al., 2029). The horizontal light grey bar indicates the time window of Fig. 4. The orange horizontal dotted lines (1-60 mark lines villowed 10-60 mark line) and sofular Cave (brown line, Fleitmann et al., 2020). The horizontal light grey bar indicates the time window of Fig. 4. The orange horizontal dotted lines (1-60 mark line) (1-900 cal BP, Vanrig et BP, Vinrig et BP, Vinrig et BP, Vinrig et BP, Vinrig et