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Understanding the mechanisms behind high glacial productivity in the southern Brazilian margin

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17 Abstract. This study explores the mechanisms behind the high glacial productivity in the southern 18 Brazilian margin during the last 70 kyr using planktonic foraminifera assemblage and subsurface 19 temperatures derived through the Modern Analogue Technique. We show that enhanced glacial 20 productivity was driven by the synergy of two mechanisms operating in different seasons: (i) an enhanced 21 productivity in the upwelling region during short austral summer events; and (ii) the persistent presence 22 of the Plata Plume Water due to prolonged austral winter conditions. We suggest that the upwelling 23 systems in the southern Brazilian margin were more productive during the last glacial period due to the 24 enhanced Si supply for diatom production through high-Si thermocline waters preformed in the Southern 25 Ocean. We hypothesize that orbital forcing did not have a major influence on changes in upwelling 26 during the last glacial period. However, the more frequent northward intrusions of the Plata Plume Water 27 were modulated by austral winter insolation at 60°S through changes in the strength of alongshore SW-28 winds. After the Last Glacial Maximum, the reduced Si content of thermocline waters decreased 29 upwelling productivity, while lower austral winter insolation decreased the influence of the Plata Plume 30 Water over the southern Brazilian margin, reducing regional productivity.

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41 **1. Introduction**

42 Continental margins are regions of relatively high biological productivity and long-term carbon 43 storage due to high nutrient flux (i.e. continental discharge and upwelling) and shallow seafloor depths 44 (i.e. interception of sinking particulate organic matter) (Abrantes et al., 2016; Bianchi et al., 2005; 45 Brandini et al., 2018; Ito et al., 2016; Wang et al., 2015). The high biological productivity and exportation 46 of particulate organic carbon to the seafloor, the so-called "biological pump" (Turner, 2015), play a 47 paramount role in removing CO₂ from the atmosphere (Bianchi et al., 2005; Muller-Karger et al., 2005). 48 It is estimated that ca. 0.06Pg C yr⁻¹ is buried in continental margins accounting for >40% of the carbon 49 storage in the oceans (Muller-Karger et al., 2005). Opposing to this drawdown, the upwelling of CO₂-50 rich thermocline waters along continental margins can release CO_2 to the atmosphere (Bianchi et al., 51 2005; Ito et al., 2016). Thus, continental margins have a great potential to modulate the drawdown and 52 emission of atmospheric CO₂, influencing the Earth's climate system.

53 The southwestern Atlantic (southern Brazilian margin – SBM) is generally an oligotrophic area 54 bathed by nutrient-poor, warm and salty tropical waters of the Brazil Current (BC) (Fig. 1). However, 55 upwelling zones and riverine discharge inject nutrients into the photic zone (Brandini et al., 2018; 56 Campos et al., 2000, 2013; Garcia and Garcia, 2008; Möller et al., 2008) resulting in confined areas and 57 seasons with higher concentration of phyto-zooplankton biomass (Brandini et al., 2014; Rodrigues et al., 58 2014). During austral summer, upwelling zones are intensified due to the prevailing alongshore 59 northeasterly winds and the cyclonic meanders of the BC induced by the interaction of the current with 60 the morphology of the continental margin (Aguiar et al., 2014; Campos et al., 2000; Castelao et al., 61 2004). Winter conditions of vigorous alongshore SW-winds and a relatively weakened BC, allow the 62 northward intrusion of low-salinity waters from the Plata River along the SBM (Garcia and Garcia, 2008; 63 Möller et al., 2008). Both processes increase local productivity and lead to distinct changes in planktonic 64 community (Brandini et al., 2014; Rodrigues et al., 2014), that are preserved in sea-floor sediments and 65 can be used to reconstruct changes in productivity in the SBM over time (Gu et al., 2017; Lessa et al., 66 2017; Portilho-Ramos et al., 2015).

67 Previous paleoceanographic studies provide evidence for an extremely intense primary 68 productivity in the SBM probably related to an upwelling system during part of Marine Isotope Stage 5 69 (~90 – 130 kyr) forced by strengthened NE-winds and BC (Lessa et al., 2017; Portilho-Ramos et al., 70 2015). During the last glacial period (Marine Isotope Stages 2-4, ~11.7 – 71 kyr), primary productivity 71 weakened but was still significantly higher than the one occurring in the Holocene (Portilho-Ramos et 72 al., 2015). It has been suggested that the upwelling systems of the SBM were reduced during the last 73 glacial period, and may have been limited to short intervals of the austral summer due the prolonged 74 winter-like conditions of prevalent alongshore SW-winds and frequent cold front passages (Portilho-75 Ramos et al., 2015). However, high abundance of eutrophic dinoflagellate cysts suggests increased 76 primary productivity in the SBM during specific intervals of the last glacial period (Gu et al., 2017). 77 These high productivity periods would be triggered by the input of local (i.e. Itajaí River) and remote 78 riverine nutrient-rich freshwater (i.e. Plata River plume) (Gu et al., 2017). Additionally, a recent study 79 proposed that the periods of expansion and contraction of the upwelling zones of the SBM are modulated 80 by eccentricity (Lessa et al., 2017), providing yet another mechanism to explain the evolution of primary

productivity in the SBM. In summary, these studies show that different oceanographic mechanisms may
 have triggered high primary productivity in the SBM over time. These mechanism are, however, poorly

83 understood.

Here we used records of planktonic foraminifera assemblage and associated subsurface temperature reconstructions derived by the Modern Analogue Technique (MAT) from piston core JPC-17 (27°52.73'S, 46°55.25'W) to understand the paleoceanographic processes controlling changes in biological productivity in the SBM over the last 70 kyr. The comparison of our results to previously published records from the SBM allowed us to recognize two different mechanisms modulating past productivity changes over the last glacial-interglacial cycle.

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91 2. Regional setting

92 The SBM is an oligotrophic margin under the influence of warm ($\geq 25^{\circ}$ C) and salty (≥ 35 psu) 93 Tropical Water that flows southward within the BC (Fig. 1). Interactions of the BC with the morphology 94 of the margin (i.e. changes in the orientation of the margin and the presence of a barrier represented by 95 the Abrolhos Bank) generates cyclonic meanders and eddies that bring cold ($\leq 20^{\circ}$ C) and nutrient-rich 96 thermocline waters (South Atlantic Central Waters (SACW) to shallower depths where they are subjected 97 to alongshore NE-winds (Aguiar et al., 2014; Campos et al., 2000; Castelao et al., 2004; Rodrigues and 98 Lorenzzetti, 2001). Once over the shelf, wind stress and the Ekman dynamics brings the SACW to the 99 surface creating mature upwelling zones in the SBM (Aguiar et al., 2014; Castelao et al., 2004). These 100 processes boost biological productivity in specific portions of the SBM such as off Vitória (~18°S), Cabo 101 Frio (22°-23°S) and Cape Santa Marta (27°-29°S) during the austral summer (Fig. 1). Marine sediment 102 core JPC-17 investigated in this study was collected off Cape Santa Marta (Fig. 1).

103 In the vicinity of Cape Santa Marta, local productivity is also enhanced by the injection of 104 nutrients from freshwater discharge of local (i.e. Itajaí River) and remote (i.e. Plata River and 105 Patos/Mirim Lagoon complex) sources (Garcia and Garcia, 2008; Möller et al., 2008). During the 106 summer (Fig. 1A), the upwelling favorable NE-winds and the strong BC block the northward penetration 107 of the Plata Plume Water (PPW) (at ca. 32°S) (Campos et al. 2013; Möller et al. 2008). During austral 108 winter (Fig. 1B), the weakened BC and the prevailing alongshore SW-winds increase the northward 109 intrusion (up to ca. 27°S) of the nutrient-rich, cold (\leq 18°C) and low salinity (\leq 33.5psu) PPW (Campos 110 et al. 2013; Möller et al. 2008).

Both upwelling and freshwater inject large amounts of nutrients into the oligotrophic SBM, modulating seasonally the biological productivity as well as plankton community in the region (Garcia and Garcia, 2008; Rodrigues et al., 2014). In the vicinity of the Cape Santa Marta, diatoms are the dominant group of phytoplankton, accounting for 29–90% of phytoplankton and 31–90% of the carbon biomass during the summer upwelling, while dinoflagellates dominates the phytoplankton during the winter intrusion of the PPW (Brandini et al., 2014).

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118 **3. Material and methods**

Piston core KNR159-5-17JPC (27°52,73'S and 46°55,25'W) recovered 15 m from which the
 uppermost 350 cm were investigated in this study. The core was raised from 1627 m water depth during

R/V KNORR cruise 159-5 from Woods Hole Oceanographic Institution (WHOI, USA) (Fig. 1). The
upper 350 cm of the core consist in dark gray carbonate sediments. This section was sampled
continuously every 10 cm and 2 g of sediment per sample were washed in 62 µm sieves.

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125 **3.1. Planktonic foraminifera assemblage**

126 Planktonic foraminifera from core JPC-17 were dry picked from >150 µm size fraction and 127 quantified in relative abundances from splits containing more than 300 specimens per sample. The 128 taxonomy was based on Stainforth et al., (1975). We assumed the effect of dissolution in our planktonic 129 for aminiferal faunal composition to be negligible since core JPC-17 was collected at 1627 m water depth, 130 well above the modern and glacial lysocline (Volbers and Henrich, 2004). Here we do not distinguish 131 between Globigerinoides ruber white and pink variety, and also counted Globigerinoides sacculifer and 132 Globigerinoides trilobus together as G. sacculifer since they are genetically the same species (André et 133 al., 2013). Considering the taxonomic ambiguity in distinguishing the small-sized (i.e. $\leq 250 \mu$ m) 134 specimens of Globigerinella calida and Globigerinella siphonifera (Vargas et al., 2002) we counted 135 them together as G. siphonifera.

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137 **3.2.** Subsurface temperature reconstruction

138 We reconstructed subsurface temperatures at 100 m water depth using the modern analog 139 technique (MAT) following Portilho-Ramos et al. (2015). The MAT was performed on the software C2 140 (Juggins, 2007) and the basic assumption is that temperature of ambient seawater is the primary control 141 of the foraminiferal assemblage (Morey et al., 2005). The planktonic foraminiferal calibration dataset 142 used here comprises 1052 surface samples from the Atlantic Ocean, from which 891 samples were 143 previously published in Kucera et al. (2005a) and 161 samples from North Atlantic eastern boundary 144 upwelling zones previously published in Salgueiro et al. (2014). The modern annual temperature values 145 at 100 m water depth from WOA 2009 (Locarnini et al., 2010) were extracted and used to calibrate the 146 MAT. For the MAT transfer function, the squared chord distance was applied as similarity measure. 147 Additionally, when reconstruction results were evaluated, the weighted mean of the best 10 modern 148 analogs was used (Kucera et al., 2005b). Using the leave-one-out cross-validation method, the root mean 149 square error of prediction of the transfer function is 0.95° C (R² = 0.98).

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151 **3.3. Age model**

152 An age model for core JPC-17 has been previously published on the basis of calibrated 153 radiocarbon AMS ¹⁴C ages, δ^{18} O in both planktonic and benthic foraminifera as well as regional 154 planktonic foraminifera biostratigraphy (Portilho-Ramos et al., 2014a; Tessin and Lund, 2013). 155 Reversals in radiocarbon ages from ca. 16 to 21 calibrated kiloannum before present (i.e. 1950 AD) (cal 156 ka BP) were detected by Tessin and Lund (2013). These authors excluded four radiocarbon ages (i.e. 50, 157 54, 58 and 82 cm core depth) out of 17 dated samples from core JPC-17 because of reversals (Table 1). 158 The radiocarbon age obtained at 56 cm from Portilho-Ramos et al., 2014a) is placed within this interval 159 and also seems to be reversed. Considering these age reversals, the chronology of the JPC-17 was 160 improved using the R script BACON version 2.2, which uses Bayesian statistics to reconstruct

161 accumulation histories for sedimentary deposits and considers a Student-t model to address outlying 162 (reversed) ages (Blaauw and Christen, 2011). Thus, as explained by Blaauw and Christen (2011), 163 BACON version 2.2 is not affected by outlying ages. For the upper 190 cm of core JPC-17, all AMS ¹⁴C 164 ages (Table 1) were calibrated using the IntCal13 calibration curve (Reimer et al., 2013) with a reservoir 165 correction age of 400 \pm 100 yr (1 σ error). For the core section that extrapolates the radiocarbon range (i.e. 166 191 - 350 cm), two additional tie-points (Table 1) were obtained by aligning the benthic foraminifera 167 stable oxygen isotopes (δ^{18} O) record from JPC-17 to benthic δ^{18} O of nearby core GL-1090 (Santos et al., 168 2017) (Fig. 1) and to the intermediate-depth South Atlantic benthic δ^{18} O stack LS16 (Lisiecki and Stern, 169 2016) using the Analyseries 2.0.5.2 software (Paillard et al., 1996) (Fig. 2). The benthic δ^{18} O curve from 170 JPC-17 is a combination of published Cibicidoides spp. (Tessin and Lund, 2013) and unpublished 171 *Cibicidoides* spp. δ^{18} O provided by WHOI (Fig. 2). The latest follow the methodology applied in (Curry 172 and Oppo, 2005). Error estimations of the δ^{18} O tie-points followed Santos et al. (2017), which take into 173 account the mean resolution of the JPC-17 benthic δ^{18} O record around the tie-point depth, the mean 174 resolution of the reference curve around the tie-point age, a matching error visually estimated when 175 defining tie-points and the absolute age error of the time-scale used for the reference record. Beside the 176 default parameters of the software, the following settings were used: mem.mean = 0.4, acc.shape = 0.5. 177 and t.a =9/t.b = 10. A total of 10,000 age-depth realizations have been used to calculate the median age 178 and the 1σ analytical uncertainty at 5 mm resolution (Fig. 2B). The chronology of core JPC-17 was 179 additionally supported by planktonic foraminifera biostratigraphy (Ericson and Wollin, 1968; Portilho-180 Ramos et al., 2014b), where the presence of Globorotalia menardii and the low abundance of 181 Globorotalia inflata indicate Biozone Z (Holocene), while the absence of G. menardii and the high 182 abundance of G. inflata characterize the glacial Biozone Y (last glacial period) (Fig. 2).

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184 4. Results

185 In contrast to a previous chronology (Portilho-Ramos et al., 2014a), the new age model for the 186 upper 350cm of core JPC-17 spans the last ca. 70 cal ka BP (Fig. 2). The benthic oxygen isotope records 187 from core JPC-17 display a clear glacial-interglacial pattern, comparable to the benthic δ^{18} O record of 188 nearby core GL-1090 as well as that of the intermediate-depth South Atlantic benthic δ^{18} O stack LS16 189 (Lisiecki and Stern, 2016) (Fig. 2).

Planktonic foraminifera assemblage is composed of 28 species and subspecies. The following
six species accounted for more than 70% of total planktonic assemblage: *G. ruber* (39%); *Globigerina glutinata* (13%); *G. bulloides* (11.2%); *G. inflata* (8.8%); *G. sacculifer* (5%); and *G. siphonifera* (2.5%).
The abundance of *G. menardii* (0.7%), *Pulleniatina obliquiloculata* (0.3%), *Orbulina universa* (0.3%), *Globorotalia crassaformis* (0.3%), *Neogloboquadrina dutertrei* (5.5%) and *Globorotalia truncatulinoides* (3.9%) were published in Portilho-Ramos et al., (2014a).

In general, the distribution of the most abundant species follows the glacial-interglacial pattern over the last 70 kyr (Fig. 3). The abundance of the non-spinose species *G. bulloides* and *G. inflata* were higher during the last glacial period (mean of 12% and 9.6%, respectively) and lower during the Holocene (mean of 4.6% and ~2%, respectively) (Fig. 3A, 3B, respectively). In contrast, the abundance of spinose species displayed the opposite behavior. The abundance of *G. ruber* ranged from 25 to 50% (mean of 201 39%) during the glacial and increased after 40 cal ka BP towards the Holocene (mean of 47) (Fig. 3C).

202 The abundance of *G. sacculifer* and *G. siphonifera* display similar patterns (Fig. 3D, E, respectively),

and ranged between 1.6–10.4% and 0–7.6% (respectively), with higher abundance during the postglacial
 interval (mean of 7.5 and 6.9%, respectively).

The temperature at 100 m-water depth derived from MAT ranged from 16°C to 21.3°C over the last 70 kyr with lower temperatures recorded during the glacial (16°–20.3°C) in comparison to the Holocene (~21°C) (Fig. 3F). A pronounced warming trend is observed after 30 cal ka BP toward the Holocene.

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210 5. Discussion

211 Planktonic foraminifera G. bulloides is a non-spinose surface-dwelling species generally 212 inhabiting regions of cold and high phytoplankton biomass typically associated with upwelling zones 213 (Lessa et al., 2014; Mohtadi et al., 2007; Sautter and Thunell, 1991). Thus, high abundances of G. 214 bulloides in marine sediments from regions potentially affected by upwelling have been widely used as 215 an upwelling indicator (Godad et al., 2011; Peeters et al., 2002), including the SBM upwelling zones 216 (Lessa et al., 2017; Portilho-Ramos et al., 2015). The last glacial abundance of G. bulloides in core JPC-217 17 (8–18%) (Fig. 3A) closely matches those found in surface sediments from the Cabo Frio upwelling 218 (10–20%) (Lessa et al., 2014), suggesting the occurrence of a sustained upwelling off Cape Santa Marta 219 in the SBM. It also closely matches glacial records from cores collected further north at the SBM such 220 as GL-75 (21°83`S; 40°01`W) (Portilho-Ramos et al., 2015), GL-77 (21°12'S; 40°02'W) (Petró et al., 221 2016) and SAN 76 (24°26'S; 42°17'W) (Toledo et al., 2007), where G. bulloides ranged between 8%-222 17%, suggesting widespread cooling and elevated productivity at the SBM during the last glacial period 223 relative to the modern oligotrophic conditions (Fig. 4A, B). During the last glacial period, the reduced 224 abundance of non-upwelling species G. ruber (25-50%) and other warm and oligotrophic symbiont-225 bearing species like G. sacculifer (2.1-10.4%) and G. siphonifera (0.3%-4.3%) (Fig. 3) support the 226 occurrence of cold-productive conditions promoted by upwelling. Simultaneously, lower temperatures 227 at 100 m water depth (\leq 20°C) suggest that SACW may have been frequently located in the photic zone. 228 The isotherm of 20°C is used to track the boundary between Tropical Water and SACW (Castelao et al., 229 2004), and has been used as a proxy for the presence of SACW in the photic zone in the past (Portilho-230 Ramos et al., 2015; Lessa et al., 2017). It should be highlighted that a relative warming of thermocline 231 waters observed after 30cal ka BP may be related to heat accumulation in the western South Atlantic 232 associated to the glacial reduced mode of the Atlantic meridional overturning circulation (Santos et al., 233 2017) as well as increased transport of heat from Indian Ocean into South Atlantic through Agulhas 234 Leakage (Martínez-Méndez et al., 2010).

Enhanced glacial productivity in the SBM was recently reported by a 74 kyr-long record of dinoflagellate cysts from adjacent core GeoB2107-3 (27°17'S; 46°45"W) (Gu et al., 2007). The authors provide evidences for increased eutrophic conditions associated to more frequent northward intrusions of the PPW (Fig. 4D) (Gu et al., 2017). However, *G. bulloides* is virtually absent in surface sediments deposited under the influence of the PPW to the north from the Brazil-Malvinas Confluence (Chiessi et al., 2007). Thus, more frequent northward penetrations of the PPW in our study site is unlikely to explain 241 the enhanced glacial abundance of G. bulloides. In addition, the abundance of the eutrophic 242 environmental dinocysts and G. bulloides show different behavior along the last glacial period (Fig. 4A, 243 D). The dinocysts increased in abundance between 54–74 cal ka BP and 14–40 cal ka BP, suggesting 244 increased productivity related to frequent northward intrusions of the PPW, while the opposite is 245 observed between 40-54 cal ka BP (Fig. 4D), suggesting reduced influence of the PPW and relatively 246 low productivity (Gu et al., 2017). In contrast, the abundance of the G. bulloides remained relatively high 247 during the entire glacial with a decrease trend after 30 cal ka BP toward the Holocene (Figs. 3A, 4B). 248 Furthermore, enhanced abundance of G. bulloides during the last glacial period is also observed as far 249 north as 21°S (i.e. core GL-75) (Fig. 4A) (Portilho-Ramos et al., 2015), which is unlikely be explained 250 by continuous presence of the PPW (Möller et al., 2008).

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5.1. Modern and past seasonal productivity processes in the SBM

253 Modern surface productivity in the SBM is seasonally modulated by two different processes 254 that inject nutrients in the photic zone: (i) austral summer coastal and shelf-break upwelling (Campos et 255 al., 2013); and (ii) austral winter northward intrusions of the PPW (Garcia and Garcia, 2008; Möller et 256 al., 2008). During austral summer upwelling events, diatoms are the dominant group of the 257 phytoplankton, accounting for 29-90% of phytoplankton density and 31-90% of the carbon biomass, 258 while dinoflagellate is the dominant group during the austral winter associated to northward intrusions 259 of the PPW (Brandini et al., 2014). We suggest that both taxa reveal different seasonal conditions during 260 the last glacial period, with G. bulloides recording upwelling events during austral summer and 261 dinoflagellate recording northward intrusions of the PPW during austral winter.

262 Prolonged winter-like conditions of prevalent alongshore SW-winds and frequent cold front 263 passages during the last glacial period may have limited the SBM upwelling systems to a short period of 264 austral summer-like conditions, as suggested by Portilho-Ramos et al. (2015). Furthermore, increased 265 continental runoff (i.e. Itajaí River, Plata River and Patos/Mirim Lagoon complex) associated with 266 enhanced precipitation over SE South America (Cruz et al., 2005; Wang et al., 2007) as well as a vigorous 267 alongshore SW-winds were favorable to the northward penetration of the PPW. These conditions 268 increased the abundance of dinocysts characteristic of eutrophic conditions in the SBM during the glacial 269 (Gu et al., 2017). The lower sea level (Waelbroeck et al., 2002) may have caused offshore displacement 270 of the PPW over to our core site location (Lantzsch et al., 2014).

271 Importantly, our reconstructed temperature at 100 m water depth indicates that SACW may 272 have reached the photic zone during the last glacial period (Fig. 3F). Modern hydrographic data and 273 model simulations show that shelf-break upwelling in the SBM induced by the interaction of the BC with 274 bottom topography occurs year-long but is modulated by seasonal alongshore-wind direction (Brandini 275 et al., 2018; Campos et al., 2013). Thus, a prolonged presence of the low salinity PPW in the region may 276 have increased the upper water stratification and suppressed the surfacing of SACW, favoring the 277 proliferation of eutrophic dinocysts. In contrast, during short austral summer periods, the strengthening 278 of both the alongshore NE-winds and the BC hampered the northward PPW migration, inducing the 279 upwelling of SACW and creating favorable conditions to the proliferation of G. bulloides. Owing to the 280 low resolution of core JPC-17, we cannot rule out an anthiphase between the G. bulloides (i.e. core JPC-

17) and the dinocyst (i.e. core GeoB2107-3) records that would assign the SW-winds and the associated
northward penetration of PPW a key role on controlling the upwelling zones in the SBM during the last
glacial period.

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285 5.2. Orbital forcing of SBM upwelling systems

286 A recent study proposed orbitally forced changes in insolation (eccentricity) as a major 287 mechanism modulating the intensification/deintensification as well as the expansion/contraction 288 dynamics of the SBM upwelling zones (Lessa et al., 2017). In accordance to these authors, eccentricity 289 maximum (≥ 0.03) during MIS5 would have altered the seasonality of the wind regime by controlling 290 the amplitude of austral summer and winter insolation and the South Atlantic subtropical high-pressure 291 position. Thus, prolonged NE-winds during austral summer promoted intensification and expansion 292 (from 24°S to 28°S) of the SE-Brazil upwelling systems during MIS5. On the other hand, eccentricity 293 minimum (≤ 0.02) during the Holocene would result in weak NE-winds and deintensifying and 294 contracting these upwelling systems in comparison to MIS5 (Lessa et al., 2017).

295 However, the orbital mechanism proposed by Lessa et al. (2017) does not explain the 296 intensified/expanded upwelling in the SBM during the last glacial period, since eccentricity was ≤ 0.02 297 during the entire interval (Fig. 4C). Interestingly, the dinocyst record from core GeoB2107-3 matches 298 austral winter (June) insolation at 60°S very well over the last 70 kyr (Fig. 4D), highlighting the close 299 connection between dinocyst abundance and winter conditions through vigorous alongshore SW-winds 300 and increased presence of the PPW in our core site. Periods of increased austral winter insolation at 65°S 301 may have steeped the thermal gradient between the high and mid latitudes in the Atlantic sector of the 302 Southern Ocean, intensifying the alongshore SW-wind system and the northward incursion of PPW, 303 thereby boosting the eutrophic environmental dinocyst productivity in the SBM.

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305 5.3. The silicic acid leakage hypothesis (SALH)

In sections 5.1 and 5.2, we showed that *G. bulloides* and dinocysts record different seasonal productivity processes in the SMB. While austral winter productivity events were triggered by the more frequent northward intrusions of the PPW, it is not clear what could explain the occurrence of austral summer productivity events related to upwelling in the SBM.

310 We suggest that, rather than being driven by changes in upwelling intensity as observed during 311 interglacial MIS5 (Lessa et al., 2017; Portilho-Ramos et al., 2015), the increased productivity may have 312 been a result of increased silicic acid (Si(OH)₄) content supplied by the glacial SACW. Several 313 paleorecords and model experiments addressed the hypothesis of increased export of dissolved Si(OH)4 314 preformed in the Southern Ocean that have fueled primary diatom productivity in low latitude upwelling 315 zones and continental margins during the last glacial period, the so called "silicic acid leakage 316 hypothesis" (SALH) (Bradtmiller et al., 2007; DeMaster, 2002; Matsumoto et al., 2014; Sarmiento et al., 317 2004). The SALH postulates that during glacial periods, imposed sea ice around Antarctica displaced the 318 zone of high diatom production to the North of the Antarctic Polar Front (APF), where thermocline 319 waters (i.e. Subantarctic Mode Water, a precursor of SACW and Antarctic Intermediate Water - AAIW) 320 are formed (Abelmann et al., 2015; Bradtmiller et al., 2007; Sarmiento et al., 2004). Thus, (unused) high321 Si waters were exported from the Southern Ocean to the low latitude world ocean, where diatom 322 production increased at the expense of other types of phytoplankton (Bradtmiller et al., 2007; Griffiths 323 et al., 2013; Sarmiento et al., 2004). In the Brazilian margin, the silicon isotopic composition (δ^{30} Si) of 324 sponge spicules from nearby core GeoB2107-3 indicates no substantial difference in the Si(OH)₄ content 325 of AAIW between the Last Glacial Maximum and the Holocene, which would contradict the SALH 326 (Hendry et al., 2012). However, high Si(OH)₄ pulses that occurred during the Younger Dryas and 327 Heinrich Stadials (Hendry et al., 2012) make the Si(OH)₄ content of the average last glacial AAIW higher 328 than that of the average Holocene AAIW. Significant increment of Si(OH)₄ transported by AAIW was 329 also observed in the western equatorial Atlantic at the onset of the last glacial (40-80 cal ka BP), which 330 was not followed by enhanced surface productivity indicating that AAIW Si(OH)4 did not reach the 331 photic zone (Griffiths et al., 2013). However, equatorial Atlantic upwelling zones are fed by SACW and 332 high glacial opal burial as a consequence of enhanced surface diatoms production due to intense 333 upwelling is considered a direct evidence from the SALH (Bradtmiller et al., 2007). Indeed, SACW is 334 the major conduit for sub-Antarctic thermocline waters involved in SALH (Sarmiento et al., 2004) and 335 bear a great potential to boost primary production in the SBM (Campos et al., 2000).

336 Currently, diatoms dominate the phytoplankton in the SBM during austral summer SACW 337 upwelling (Brandini et al., 2014) and are an important component of the diet of the symbiotic-barren G. 338 bulloides, which can alternatively feed on zooplankton (i.e. copepods) (Sautter and Thunell, 1991; 339 Schiebel and Hemleben, 2017; Thunell and Sautter, 1992). Within age model uncertainties (including 340 also the radiocarbon reversals in our core) and considering the different temporal resolution of the 341 records, the glacial high abundance of G. bulloides in core JPC-17 matches well with high biogenic 342 Opal% in cores RC24-01 and RC24-07 from the equatorial upwelling off NW-Africa (Bradtmiller et al., 343 2007) as well as core RC13-254, to the north of the APF Front (Atlantic sector) (Mortlock et al., 1991) 344 (Fig. 4B, F and G). It is noteworthy that SACW also receives contributions from the Indian Ocean 345 through the Agulhas Leakage (warm water route; Donners and Drijfhout, 2004), where the production 346 of opal (north of the APF) and export of silicic acid remained high over the entire last glaciation (Dezileau 347 et al., 2003). We suggest that G. bulloides in the SBM may have benefited the silicic acid-induced diatom 348 blooms by directly feeding diatoms and/or indirectly by preving other zooplankton that also feed on 349 diatoms. Thus, the increased abundance of G. bulloides in the SBM during the last glacial period was 350 related to upwelling-driven high productivity during short austral summer periods, as previously 351 suggested in Portilho-Ramos et al. (2015). In contrast, prolonged austral winter conditions with vigorous 352 alongshore SW-winds as well as increased precipitation over SE South America (Cruz et al., 2005; Wang 353 et al., 2007) increased the northward penetration of the PPW leading to enhanced eutrophic 354 environmental dinocyst productivity during the last glaciation (Gu et al., 2017). Taken together, both 355 processes may have boosted biological primary productivity along the year during the last glacial period. 356 This is supported by enhanced abundance of deep-dwelling herbivorous planktonic foraminifera species 357 Globorotalia inflata (Schiebel and Hemleben, 2017) that calcifies between 200 and 400 m water depth 358 (Chiessi et al., 2007) and would have benefited by grazing the increased amount of sinking organic 359 particles.

360

361 5.4. Post-glacial conditions

362 After the Last Glacial Maximum, the abundance of G. bulloides and eutrophic dinocycts 363 decrease until the onset of the Holocene, suggesting decreased regional productivity and more 364 oligotrophic conditions in comparison to the last glacial period (Fig. 4B, D). The presence of oligotrophic 365 conditions is supported by increased abundance of tropical symbiont-bearing species G. ruber (37-52%), 366 G. sacculifer (6-8.8%) and G. siphonifera (6.3-7.5%) (Fig. 3). The low abundance of G. inflata also 367 suggests oligotrophic conditions during the Holocene (Fig. 3B). Despite the favorable conditions for 368 upwelling in the SBM during the Holocene (i.e. occurrence of alongshore NE-winds and a strong BC; 369 Chiessi et al., 2014; Lessa et al., 2017; Portilho-Ramos et al., 2015), upwelling productivity may have 370 been hampered by the reduced export of pre-formed silicic acid through SACW (Fig. 4F, G). This is 371 supported by the substantial decrease of biogenic opal in equatorial upwelling cores RC24-01 and RC24-372 07 after 15 cal ka BP (Fig. 4F; Bradtmiller et al., 2007). Indeed, the retraction of Antarctic sea ice 373 displaced the zone of enhanced biogenic opal production to the south of the APF, retaining the excess of 374 silicic acid and opal burial in the Southern Ocean (Bradtmiller et al., 2007; Sarmiento et al., 2004) as 375 evidenced by increased Holocene biogenic opal to the South of the APF at core RC13-259 (Fig. 4G; 376 Mortlock et al., 1991). In addition, low austral winter insolation at 65°S and reduced sea ice may have 377 decrease the thermal gradient between the high and mid latitudes in the Atlantic sector of the Southern 378 Ocean and consequently weakened the alongshore SW-winds in the SBM, inhibiting the northward 379 intrusions of the PPW. Simultaneously, the high sea level stand modified the SBM morphology 380 increasing the width of the southern Brazilian shelf and, displacing the core of the upwelling zone to the 381 inner shelf off Cape Santa Marta where it is controlled by local factors such as coastal wind system 382 (Campos et al., 2013; Möller et al., 2008). The high temperatures at 100 m water depth from core JPC-383 17 (Fig. 3F) support this hypothesis, suggesting that the SACW was not frequently in the photic zone at 384 the core location.

385

386 6. Conclusions

387 In this study we used planktonic foraminifera assemblage and associated 100 m water depth 388 temperatures to discuss changes in productivity in the southern Brazilian margin over the last 70 kyr. 389 The enhanced abundance of upwelling indicator Globigerina bulloides (12-16%) together with the 390 reduced abundance of oligotrophic species and subsurface temperatures lower than 20°C suggest the 391 occurrence of upwelling off Cape Santa Marta during the last glacial period. We suggest that rather than 392 being driven by changes in upwelling intensity, the increased productivity may have been a result of 393 increased silicic acid export from the Southern Ocean through South Atlantic Central Water. Our results 394 show that orbital forcing did not have a major influence on changes in upwelling during the last glacial 395 period. We further show that more frequent northward intrusions of Plata Plume Water modulated by 396 austral winter insolation at 65°S through enhanced alongshore SW-winds boosted austral winter 397 productivity at the SBM. Thus, a productive upwelling during short austral summer events and the 398 prolonged presence of Plata Plume Water during austral winter enhanced the biological productivity 399 year-round in the SBM during the last glacial period relative to modern conditions. After the Last Glacial 400 Maximum, low silicic acid content in thermocline waters decreased the productivity of the upwelling,

401 while lower austral winter insolation at 65°S and associated weakened SW-winds reduced the presence 402 of the Plata Plume Water in the SBM. In addition, last deglaciation sea level rise may have modified the 403 geomorphology of the SBM limiting the upwelling system to the coast, southern to Cape Santa Marta. 404 405 Acknowledgments. We thank two anonymous Referees for their constructive comments. We are 406 grateful to Delia Oppo and William B. Curry from Woods Hole Oceanographic Institute, United States, 407 for providing the oxygen isotope data from core JPC-17 used here. R.C.P.-R. thanks PNPD scholarship 408 from CAPES. T.M.L.P. thanks PIBIC scholarship from CNPq (2017-482). C.M.C. acknowledges the 409 financial support from FAPESP (grant 2012/17517-3), CAPES (grants 1976/2014 and 564/2015) and 410 CNPq (grants 302607/2016-1 and 422255/2016-5). 411 412 Data availability. The data reported here will be archived in in the World Data Center PANGAEA 413 (www.pangaea.de). 414 415 **Author contributions** 416 R.C.P.-R. and C.M.C. designed the study. R.C.P.-R. and T.M.L.P. analyzed planktonic foraminifera 417 assemblage. R.C.P.-R and C.M.C. wrote the manuscript. R.C.P.-R. performed the Modern Analogue 418 Technique. R.C.P.-R. and C.F.B performed age modeling. All authors contributed to the interpretation 419 of the data. 420 421 Competing interests. The authors declare that they have no conflict of interest. 422 423 7. References 424 Abelmann, A., Gersonde, R., Knorr, G., Zhang, X., Chapligin, B., Maier, E., Esper, O., Friedrichsen, 425 H., Lohmann, G., Meyer, H. and Tiedemann, R.: The seasonal sea-ice zone in the glacial 426 Southern Ocean as a carbon sink, Nat. Commun., 6(1), 8136, doi:10.1038/ncomms9136, 2015. 427 Abrantes, F., Cermeno, P., Lopes, C., Romero, O., Matos, L., Van Iperen, J., Rufino, M. and 428 Magalhães, V.: Diatoms Si uptake capacity drives carbon export in coastal upwelling systems, 429 Biogeosciences, 13(14), 4099-4109, doi:10.5194/bg-13-4099-2016, 2016. 430 Aguiar, A. L., Cirano, M., Pereira, J. and Marta-Almeida, M.: Upwelling processes along a western 431 boundary current in the Abrolhos-Campos region of Brazil, Cont. Shelf Res., 85, 42-59, 432 doi:10.1016/j.csr.2014.04.013, 2014.

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Depth	¹⁴ C age	1 sigma error	Calibrated age	1 sigma error	Notes	δ ¹⁸ O tie-	Estimated	Reference
(cm)	(yr)	(yr)	(cal ka BP)	(yr)		points	error	
10	4140	80	4228	283				Tessin and Lund (2013)
18	6970	20	7463	201				Tessin and Lund (2013)
26	9895	25	10784	233				Tessin and Lund (2013)
30	10555	25	11795	298				Tessin and Lund (2013)
34	11955	30	13454	195				Tessin and Lund (2013)
38	12870	30	14550	341				Tessin and Lund (2013)
42	13650	35	16001	390				Tessin and Lund (2013)
50	4190	15	4281	264	reversal			Tessin and Lund (2013)
54	14080	35	16569	338				Tessin and Lund (2013)
56	10000	60	10967	147	reversal			Portilho-Ramos et al. (2014)
58	10990	35	12371	273	reversal			Tessin and Lund (2013)
66	10790	25	12091	273	reversal			Tessin and Lund (2013)
74	18010	100	20922	282				Tessin and Lund (2013)
82	16120	80	18994	199	reversal			Tessin and Lund (2013)
90	18100	60	20975	282				Tessin and Lund (2013)
98	19020	70	22074	292				Tessin and Lund (2013)
190	32550	270	37946	312				Portilho-Ramos et al. (2014)
260						57614	3870	This study
350						70000	2260	This study

Table 1: Chronology of core JPC-17 obtained by accelerator mass spectrometry (AMS) ¹⁴C dating on planktonic foraminifera shells (Tessin and Lund, 2013; Portilho-Ramos633et al., 2014a) and stable oxygen isotope (δ^{18} O) tie-points tuned to the LS16 stack from Lisiecki and Stern (2016).



Figure 1: Surface salinity in southwestern Atlantic Ocean (Locarnini et al., 2010) during (A) austral
summer (December-February, DJF) and (B) winter (June-August, JJA) showing the location of cores
JPC-17 (this study), GeoB2107-3 (27°17'S; 46°45'W; Gu et al., 2017), GL-1090 (24°92'S; 42°51' W;
Santos et al., 2017) and GL-75 (Portilho-Ramos et al., 2015). Blue arrows indicate the western boundary
Brazil Current (BC) and the gray arrows show the regional prevailing alongshore wind direction
(northeastern – NE and southwestern – SW). Figure created using the Ocean Data View software (ODV

650 - version, 4.7.10., http://odv.awi.de, 2018).



664 Figure 2: Age model of core JPC-17. (A) Comparison between the benthic foraminifera δ^{18} O record of 665 JPC-17 (composed of published Cibicidoides spp. (Tessin and Lund, 2013; T&L, 2013) and unpublished 666 Cibicidoides spp. data from Woods Hole Oceanographic Institution - WHOI) to the benthic foraminifera 667 δ^{18} O record of core GL-1090 (Santos et al., 2017) as well as to the intermediate-depth South Atlantic 668 benthic δ^{18} O stack LS16 (Lisiecki and Stern, 2016). Asterisks represent the calibrated radiocarbon ages 669 published in (green) Tessin and Lund (2013) and (black) Portilho-Ramos et al. (2014b), while yellow 670 stars represent the δ^{18} O tie-points shown in Table 2. (B) Abundance of the main biostratigraphical 671 planktonic foraminifera species Globorotalia menardii and Globorotalia inflata from core JPC-17. 672 Marine Isotopic Stages 1 to 4 (MIS1-4; MIS 2 and 4 indicated by vertical blue bars) are shown at the 673 top, while letters Z (vertical grey bar) and Y in the bottom correspond to biostratigraphical biozones of 674 Ericson and Wollin (1968). (C) Age-depth model based on Bacon v. 2.2 (Blaauw and Christeny, 2011). 675 The symbols represent the positions of the calibrated AMS ${}^{14}C$ ages benthic $\delta^{18}O$ tie-points listed in Table 1. Error estimations of δ^{18} O tie-points follow Santos et al. (2017) that take into account the mean 676 677 resolution of the JPC-17 benthic δ^{18} O record around the tie-point depth, the mean resolution of the 678 reference curve around the tie-point age, a matching error visually estimated when defining tie-points 679 and the absolute age error of the time-scale used for the reference record. The vertical yellow bar marks 680 the interval with reversed radiocarbon ages listed in Table 1.



712 Figure 3: Relative abundance of planktonic foraminifera species and reconstructed subsurface 713 temperature (100 m water depth) from core JPC-17 over the last 70 kyr. (A) Relative abundance of 714 Globigerina bulloides, (B) Globorotalia inflata, (C) Globigerinoides ruber, (D) Globigerinoides 715 sacculifer and (E) Globigerinella siphonifera. (F) Temperature at 100m water depth. Blue dashed line 716 in (F) indicates the 20°C isotherm which defines the modern maximum temperature of South Atlantic 717 Central Water (Castelao et al., 2004), the water mass entering the photic zone in the upwelling sites of 718 the southern Brazilian margin. The vertical yellow bar marks the interval with reversed radiocarbon ages 719 listed in Table 1.



- 758 Figure 4: Mechanism behind the variability of the productivity in the southern Brazilian margin over
- 759 the last 70 kyr. Abundance of upwelling indicator species G. bulloides from (A) core GL-75 (21°S)
- 760 (Portilho-Ramos et al., 2015) and (B) core JPC-17 (27°S) (this study). C. Eccentricity and austral summer
- 761 (January) insolation at 15°S (Berger and Loutre, 1991). (**D**) Abundance of dinoflagellate cysts from core
- 762 GeoB2107-3 (27°S) representing the influence of the Plata Plume Water in the southern Brazilian margin
- 763 (Gu et al., 2017) and austral winter (June) insolation at 65°S (Berger and Loutre, 1991). (E) Antarctic
- sea ice presence (SIP) in the Atlantic Sector core TN057-13-PC4 (53°20'S; 5°10'W; Shemesh et al 2002)
- and Indian Sector core SO136-111 (56°40'S; 160°14'W; Crosta et al., 2004) sectors of the Southern
- 766 Ocean derived from diatoms assemblage. (F) Opal content in Equatorial Atlantic upwelling off NW-
- 767 African cores RC14-01 (0.55°N; 13°65′W) and core RC24-07 (1°33′S; 11°92′W) (Bradtmiller et al.,
- 768 2007) as well as (G) in Atlantic sector of the Southern Ocean cores RC13-254 (48°34'S; 5°34'E) and
- core RC13-259 (53°53'S; 4°56'W) as a proxy for silicic acid transport toward low latitudes (Mortlock
- et al., 1991). Core RC13-254 is located to the north of the Antarctic Polar Zone (APZ) while core RC13-
- 771 254 is located to the south of the APZ. Note the inverted (RC13-259) axis in (**F**).