



1 **The 4.2 ka BP event in the Levant**

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16

17 **Abstract.** The 4.2 ka BP event is defined as a phase of environmental stress characterized by
18 severe and prolonged drought of global extent. The event is recorded from the North Atlantic
19 through Europe to Asia, leading scientists to evoke a 300-yr global mega-drought. Focusing on
20 the Mediterranean and the Near East, this abrupt climate episode radically altered precipitation,
21 with an estimated 30-50% drop in precipitation in the eastern basin. While many studies reveal
22 similar trends in the northern Mediterranean (from Spain to Turkey and the northern Levant),
23 data from northern Africa and central/southern Levant are more nuanced, suggesting a weaker
24 imprint of this climate shift on the environment and/or different climate patterns. Here, we
25 provide a synthesis of environmental reconstructions for the Levant and show that, while the
26 4.2 ka BP event also corresponds to a drier period, a different climate pattern emerges in the
27 central/southern Levant, with two dry phases framing a wetter period, suggesting a W-shaped
28 event, particularly well defined by records from the Dead Sea area.

29

30 **1 Introduction**

31 While severe climate changes have been recorded during the Holocene (e.g. Mayewski et al.,
32 2004; Wanner et al., 2008; Magny et al., 2013; Solomina et al., 2015; Guiot and Kaniewski,
33 2015) with uncertain overall effects, one period of increasing aridity, termed the 4.2 ka BP
34 event (e.g. Weiss, 2016, 2017), has fueled debates on the causal link between climate shifts and
35 societal upheavals during the Bronze Age (e.g. Finné et al., 2011; Butzer, 2012; Clarke et al.,
36 2016). The 4.2 ka BP event, that lasted ~300 years (from 4200 to 3900 cal yr BP), is probably
37 one of the Holocene's best studied climatic events (e.g. Weiss et al., 1993; Cullen et al., 2000;



38 deMenocal, 2001; Weiss and Bradley, 2001; Staubwasser and Weiss, 2006; Weiss, 2017;
39 Manning, 2018; and references therein), although its chronology may be much broader than
40 traditionally reported, extending from 4500 to 3500 BP (Gasse, 2000; Booth et al., 2005). This
41 phase of aridity, considered as a global event (Booth et al., 2005, 2006; Fisher et al., 2008;
42 Baker et al., 2009; Wanner et al., 2011, 2015), is now used as a formal boundary between the
43 Middle and Late Holocene (Walker et al., 2012; Zanchetta et al., 2016; and Letter from the
44 International Union of Geological Sciences) while, according to Arz et al. (2006), most records
45 show a gradual climate shift rather than a specific abrupt event. Drought concurs widespread
46 cooling in the North Atlantic from 4300 to 4000 BP, as attested in Iceland (lake Hvítárvatn and
47 lake Haukadalsvatn; Geirsdóttir et al., 2013; Blair et al., 2015). The event is also characterised
48 by two short spikes of negative-type North Atlantic Oscillations (NAO) at 4300 and 3950 BP
49 (Olsen et al., 2012). During this interval, the Atlantic subpolar and subtropical surface waters
50 cooled by 1° to 2°C (Bond et al., 1997, 2001; Bianchi and McCave, 1999; deMenocal, 2001).
51 Focusing on the 4.2 ka BP event in the Mediterranean, a detailed vegetation model-based
52 approach shows that a significant drop in precipitation began ~4300 BP in the eastern basin.
53 These drier conditions lasted until 4000 BP with peaks in drought during the period 4300-4200
54 BP (Guiot and Kaniewski, 2015). Based on these model data, the Western Mediterranean was
55 not significantly affected by the precipitation anomaly. A climate model-based approach (step
56 of 2000 years) previously developed by Brayshaw et al. (2011) also indicates that the Eastern
57 Mediterranean was drier while the whole Mediterranean exhibited an increase in precipitation
58 for the period 6000-4000 BP. A bipolar east-west "climate see-saw" was proposed to explain
59 these contrasting spatio-temporal trends during the last millennia, with the hydro-climatic
60 schemes across the basin determined by a combination of different climate modes (Roberts et
61 al., 2012). It has been argued that the 4.2 ka BP event resulted from changes in the direction
62 and intensity of the cyclonic North Atlantic westerlies, controlled by the NAO (e.g. Cullen et
63 al., 2002; Kushnir and Stein, 2010; Lionello et al., 2013). These westerlies mediate moisture
64 transport across the Mediterranean and West Asia (see full map in Weiss et al., 2017), and, in
65 the Mediterranean, interact with the tropical (monsoonal) climatic system (e.g. Rohling et al.,
66 2002; Lamy et al., 2006; Lionello et al., 2006; Magny et al., 2009). The "climate see-saw"
67 model further suggests that precipitation regimes could not have solely been modulated by
68 NAO forcing, but also by other patterns (e.g. Polar/Eurasia and East Atlantic/Western Russia)
69 that acted in synergy (see full details in Roberts et al., 2012). For instance, other climate
70 regimes, such as shifts in the Intertropical Convergence Zone (ITCZ), may also have played
71 roles in mediating climate in the southern Mediterranean. In the Mediterranean basin, the 4.2



72 ka BP could thus be a combination of different forcing factors (depending on the location)
73 probably acting in synergy (e.g. Di Rita et al., 2018).
74 Here, we probe several records from the Levant to review the climate context of the 4.2 ka BP
75 event in the Eastern Mediterranean (Fig. 1). Our review is based on the core area of the
76 Central/Southern Levant, composed of Israel, the West Bank and Jordan, and on the Northern
77 Levant with Syria and Lebanon. Other regions have also been integrated into our analysis,
78 including Egypt (Nile Delta) and the Red Sea. All data (biotic and abiotic) were z-score
79 transformed to facilitate inter-site comparisons (the original curves can be found in the cited
80 references). This comprehensive west-east/north-south review of the Mediterranean data places
81 emphasis on different climate patterns/climatic modes.

82

83 **2 A west-east gradient - northern Mediterranean**

84 While climate models based on the Mediterranean tend to suggest that the 4.2 ka BP event
85 occurred mainly in the Eastern Mediterranean and West Asia, drought nonetheless seems to be
86 recorded from the western to the eastern areas. A short review of the palaeoclimate data from
87 Spain to Turkey puts these drier conditions in wider perspective.

88 In Spain, drier environmental conditions were recorded at several locations such as the Doñana
89 National Park (Jiménez-Moreno et al., 2015), Sierra de Gádor (Carrión et al., 2003), Borreguiles
90 de la Virgen (Jiménez-Moreno and Anderson, 2012) and Lake Montcortès (Scussolini et al.,
91 2011). Further east, in Italy, several sites such as Renella Cave (Fig. 2; Drysdale et al., 2006;
92 Zanchetta et al., 2016), Corchia Cave (Fig. 2; Regattieri et al., 2014) or Lake Accessa (Fig. 2;
93 Magny et al., 2009) clearly point to a drought event, with drier conditions (~4100-3950 BP)
94 bracketed by two wetter phases at Lake Accessa (Magny et al., 2009). In Croatia, a drier climate
95 is attested at Lake Vrana (Island of Cres; Schmidt et al., 2000), Bokanjačko blato karst polje
96 (Dalmatia; Ilijanić et al., 2018) and at Mala Špilja cave (Island of Mljet; Lončar et al., 2017).
97 In the Balkan Peninsula, Lake Shkodra (Fig. 2; Albania/Montenegro; Zanchetta et al., 2012),
98 Lake Prespa (Republics of Macedonia/Albania/Greece; Wagner et al., 2010), Lake Ohrid
99 (Republics of Macedonia/Albania; Wagner et al., 2010) and Lake Dojran (Fig. 2;
100 Macedonia/Greece; Francke et al., 2013; Thienemann et al., 2018) were also hit by drought of
101 various intensities. In Albania, a pollen-based model underscores a moderate decline in
102 precipitation at Lake Maliq (Korçë; Bordon et al., 2009). In Greece, the Mavri Trypa Cave
103 (Peloponnese; Finné et al., 2017) and the Omalos Polje karstic depression (Crete; Styllas et al.,
104 2018) displayed a period of drier conditions centred on the 4.2 ka BP event. In Turkey, the last
105 “northern geographic step” before the Levant, drought is attested at several locations. At Nar



106 Gölü (Dean et al., 2015), Lake Van (Lemcke and Sturm, 1996; Wick et al., 2003), Gölhisar
107 Gölü (Eastwood et al., 1999) and Eski Acıgöl (Roberts et al., 2008), drier conditions prevailed.
108 These data from the northern Mediterranean point to a more or less severe drought episode,
109 broadly correlated with the chronological window of the 4.2 ka BP event. The west-east
110 “climate see-saw” (Xoplaki et al., 2004; Roberts et al., 2012), not perceptible in this brief
111 synthesis because of assumed bias (only sites where drought is recorded are mentioned), is
112 however attested in Mediterranean climate reconstructions (Guiot and Kaniewski, 2015).
113 Knowledge gaps remain regarding the connection/synergy between different climate patterns,
114 and their relative weight, according to the geographical location of the sites considered. The
115 potential climate changes that may have impacted the northern Mediterranean during the 4.2 ka
116 BP have been extensively reviewed in the literature (e.g. Drysdale et al., 2006; Magny et al.,
117 2009; Dean et al., 2015; Zanchetta et al., 2016; Di Rita et al., 2018) and will be discussed
118 elsewhere in this special issue.

119

120 **3 A west-east gradient - southern Mediterranean**

121 Even if the 4.2 ka BP event is clearly delineated in the northern basin, the southern
122 Mediterranean shows different trends due to the influence of Saharan climate. While similar
123 dry conditions occurred concurrently in Morocco (Tigalmamine, Middle Atlas; Lambs et al.,
124 1995; Cheddadi et al., 1998) and Algeria (Gueldaman GLD1 Cave; Ruan et al., 2016), the same
125 arid conditions led to enhanced flash-flood activity (mainly due to poor vegetation cover)
126 during the 4.2 ka BP event, with a peak discharge in river flow regimes. Such extreme
127 hydrological events are documented in fluvial stratigraphy from northern Africa (both in
128 Morocco and Tunisia), especially during the period 4100-3700 BP (Faust et al., 2004; Benito
129 et al., 2015). These hydrological events have also been identified in Central Tunisia, a desert
130 margin zone characterized by a transition from the sub-humid Mediterranean to arid Saharan
131 climate. Increased flood activity in river systems also occurred locally during the period 4100-
132 3700 BP (Zielhofer and Faust, 2008). In the central Medjerda basin (northern Tunisia),
133 enhanced fluvial dynamics started earlier, ~4700 BP, and lasted until ~3700 BP (Faust et al.,
134 2004).

135 Further east, in Libya, the most dramatic environmental change in the area, related to the onset
136 of dry conditions, took place earlier, at ~5000 years BP in Tadrart Acacus (Lybian Sahara;
137 Cremaschi and Di Lernia, 1999). In the Jefara Plain, northwestern Libya, the “late Holocene
138 arid climate period” started after 4860-4620 BP (Giraudi et al., 2013). These two distant Libyan
139 areas both show the main influence of the Saharan Africa, even though the Mediterranean is



140 only 100 km from the Jefara Plain. This is consistent with data from Giraudi et al. (2013),
141 indicating that the Saharan climate extends to the coast of the Mediterranean Sea in Libya.
142 Focusing on the Saharan climate/African monsoon, a general deterioration of the terrestrial
143 ecosystem is indicated at Lake Yoa, northern Chad, during the period ~4800-4300 BP. Since
144 4300 BP, widespread dust mobilization and a rapid transition (4200-3900 BP) from a freshwater
145 habitat to a true salt lake are both recorded (Kröpelin et al., 2008).

146 In Egypt, the last “southern step” before the Levant, no major changes have been recorded at
147 Lake Qarun (the deepest part of the Faiyum Depression; Baioumy et al., 2010) or the contrary
148 (desiccation of Nile-fed Lake Faiyum at ~4200 BP according to Hassan, 1997). The level of
149 Lake Moeris (Faiyum depression) dropped at ~4400 BP and rose again at ~4000 BP (Hassan,
150 1986). During the 4.2 ka BP event, Nile base-flow conditions changed considerably with
151 reduced inputs from the White Nile, a dominant contribution from the Blue Nile, and
152 diminished precipitation (Stanley et al., 2003). The source of the Blue Nile, Lake Tana, also
153 manifests a drier phase, leading to a reduction of the Nile flow during the same period (Marshall
154 et al., 2011), in phase with other regional palaeoclimate archives (Chalié and Gasse, 2002;
155 Thompson et al., 2002). This drop in and/or failure of Nile floods was recorded by a decreased
156 Nile delta sediment supply (Fig. 3; Marriner et al., 2012) while in the Burullus Lagoon (Nile
157 Delta), reduced flow directly impacted marshland vegetation (Bernhardt et al., 2012). The Nile
158 delta region is not directly affected by monsoonal rainfall (this was also the case during the
159 Holocene, and at longer Pleistocene timescales; Rossignol-Strick, 1983; Arz et al., 2003; Felis
160 et al., 2004; Grant et al., 2016). However, the Nile’s hydrological regime is essentially mediated
161 by river discharge upstream, *i.e.* by the East African monsoon regime, and only secondarily by
162 *in situ* Mediterranean climatic conditions (Flaux et al., 2013; Macklin et al., 2015). In the
163 northern Red Sea, located between the Mediterranean and Afro-SW-Asian monsoonal rainfall
164 regimes, the 4.2 ka BP event has been identified by enhanced evaporation/increased salinity in
165 the Shaban Deep basin (Fig. 3; Arz et al., 2006).

166 All of this evidence from the southern Mediterranean/northern Africa points to hydrological
167 instability, both during and around the 4.2 ka BP event, due to multiple climate influences,
168 mainly the Saharan Africa. In many North African cases, records show that climate changes at
169 ~4200 BP are not characterized by abrupt events, but are rather part of either a long-term trend
170 or multicentennial-scale variations, as suggested by Arz et al. (2006) for the Red Sea. Focusing
171 on Nile flow, variations seem mainly to result from a shift in the dynamics of the ITCZ, which
172 migrates latitudinally in response to both orbitally-controlled climatic patterns (see Gasse,
173 2000; Ducassou et al., 2008; Kröpelin et al., 2008; Verschuren et al., 2009; Revel et al., 2010;



174 Flaux et al., 2013; Marriner et al., 2013), and from changes in the El Niño Southern Oscillation
175 (ENSO; see Moy et al., 2002; Leduc et al., 2009; Wolff et al., 2009), an important driver in
176 decadal variations in precipitation over large parts of Africa (Indeje et al., 2000; Nicholson and
177 Selato, 2000). The period encompassing the 4.2 ka BP event is consistent with a decrease in
178 ENSO-like frequency, and a southern shift in the mean summer position of the ITCZ
179 (Mayewski et al., 2004; Marshall et al., 2011) that may have reduced the interactions between
180 the ENSO-like frequency and the Ethiopian Monsoon (Moy et al., 2002; Marriner et al., 2012).
181

182 **4 The 4.2 ka BP event in Northern Levant**

183 Environmental data from the Northern Levant originate from several locations in Syria and
184 Lebanon, spatially distributed from the coastal strip to the dry continental areas.

185

186 **4.1 Syria**

187 The northern coastal lowlands of Syria, where Tell Tweini (Fig. 3) and Tell Sukas are located,
188 are separated from the Ghab depression to the east by the Jabal an Nuşayriyah, a 140-km long
189 north-south mountain range 40- to 50-km wide with peaks culminating at ~1,200 m above sea
190 level. At Tell Tweini (Jableh), the pollen-based environmental reconstruction (TW-1 core)
191 shows that drier conditions prevailed during the 4.2 ka BP event with weaker annual inputs of
192 freshwater and ecological shifts induced by lower winter precipitation. The drier conditions
193 ended at ~3950 BP (Fig. 3; Kaniewski et al., 2008). At Tell Sukas, ~10 km south of Tell Tweini,
194 an increase in dryness during the 4.2 ka BP event only coincides with a decline in olive
195 exploitation, implying milder conditions (Sorrel et al., 2016). Olive abundances also maintain
196 fairly high levels at Tell Tweini during the event, but *Olea* pollen-type originated from the wild
197 variety (oleasters; Kaniewski et al., 2009), a tree species extremely resistant to drought that can
198 survive in arid habitats (Lo Gullo and Salleo, 1988), and cannot definitively be used as a proxy
199 for “olive exploitation” (Kaniewski et al., 2009). In the Ghab Valley (e.g. van Zeist and
200 Woldring, 1980; Yasuda et al., 2000), no reliable information on climate shifts can be displayed
201 due to a floating chronology (e.g. Meadows, 2005). In continental Syria, at Qameshli (near the
202 Turkish-Iraqi borderline; Fig. 3), modelled precipitation estimates evoke a major regional crisis
203 in the rainfall regime starting at 4200 BP (Bryson and Bryson, 1997; Fiorentino et al., 2008),
204 echoing Lake Neor (flank of the Talesh-Alborz Mountains, Iran), where a major dust event,
205 resulting from drier conditions, is clearly depicted (Fig. 3; Sharifi et al., 2015). The Qameshli
206 climate model was used to calculate a potential decline in precipitation at Tell Breda (near Ebla)
207 and Ras El-Ain (near Tell Leilan). The two sites show similar trends to Qameshli, with a major



208 dry event at 4200 BP (Fiorentino et al., 2008). Data from Syria suggest that while the coastal
209 area (Tell Sukas and Tell Tweini) was less impacted, drought was widespread inland during the
210 4.2 ka BP event, from the south of Alep to the eastern Turkish-Iraqi borderline.

211

212 **4.2 Lebanon**

213 In Lebanon, the main climatic arguments supporting the 4.2 ka BP event derive from Jeita Cave
214 (Fig. 4) and Al Jourd marsh (Fig. 4). Jeita Cave is located on the western flank of central Mount
215 Lebanon. While the JeG-stm-1 stalagmite record ($\delta^{18}\text{O}$ and $\delta^{13}\text{C}$) does not show compelling
216 evidence for a rapid climate shift around 4200 BP (Verheyden et al., 2008), new records (termed
217 J1-J3; also based on $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$) reveal that the 4.2 ka BP event is well-defined, with a
218 pronounced phase of climate change from 4300 to 3950 BP (Fig. 4; Cheng et al., 2016).
219 According to Verheyden et al. (2008), due to the low time resolution of this part of the JeG-
220 stm-1 stalagmite (one sample every 180 years), the short-term 4.2 ka BP event may not have
221 been observed. Further north, at Sofular Cave (Turkey; Fig. 3), while the Stalagmite So-1 is not
222 affected by this low temporal resolution, no consistent and convincing signature for the 4.2 ka
223 BP event was recorded (Göktürk et al., 2011), echoing the JeG-stm-1 stalagmite record.

224 The climate reconstruction from Al Jourd marsh, based on environmental data from the Al
225 Jourd reserve (~70 km northeast of Jeita Cave), shows the same trends as the J1-J3 cores
226 (Cheddadi and Khater, 2016). The reconstructed precipitation results display a drier phase,
227 starting at ~4220 BP and lasting until ~3900 BP. At Ammiq (the Beqaa valley), a strong decline
228 in precipitation is recorded from ~4700 to ~3850 BP while at Chamsine/Anjar (Bekaa Valley),
229 the dry phase is centered on 4400 BP before a gradual return to wet conditions that peak at
230 ~3930 BP (Cheddadi and Khater, 2016).

231 Data from Lebanon suggest that a drier period, centered on the 4.2 ka BP event, was recorded.
232 Sites in the Beeka Valley (Ammiq, Chamsine) clearly delineate that the drier phase started
233 earlier, between 4700 and 4400 BP.

234

235 **5 The 4.2 ka BP event in Central/Southern Levant**

236 The 4.2 ka BP event is here presented from northern to southern Israel.

237 Located in the foothills of Mount Hermon, in the Galilee Panhandle, at the sources of the Jordan
238 River, the site of Tel Dan (Israel) shows clear imprints of a drier event. A pollen-based
239 environmental reconstruction depicts drier conditions characterized by a sharp drop in surface
240 water between ~4100 and ~3900 BP, with two main peaks at ~4050 and ~3950 BP (Fig. 4;
241 Kaniewski et al., 2017). Approximately 10-km from Tel Dan, cores from the Birkat Ram crater



242 lake (Northern Golan heights; Schwab et al., 2004), also located in the foothills of Mount
243 Hermon, were used to reconstruct climate trends during the last 6000 years (Neuman et al.,
244 2007a). The authors demonstrate that annual precipitation is comparatively uniform with no
245 distinctive fluctuations during the studied period (Neuman et al., 2007a). The pollen diagram
246 from the Hula Nature Reserve (northwestern part of former Lake Hula, Israel) shows an
247 expansion in *Olea* before ~4110 BP (Baruch and Bottema, 1999; Van Zeist et al., 2009) but,
248 because no distinction can be made between the wild or cultivated variety, this would suggest
249 either i) the extension of olive orchards or ii) drier conditions that favoured drought-resistant
250 trees, especially during a period of decreasing cereals (see diagram in Van Zeist et al., 2009).
251 A pollen-based environmental reconstruction from the Sea of Galilee (Lake Kinneret, Israel;
252 e.g. Baruch 1986; Miebach et al., 2017) shows two decreases in the oak-pollen curve,
253 interpreted as drier climate conditions at 4300 and 3950 BP (Langgut et al., 2013), which may
254 fit within the broader framework of the 4.2 ka BP event. In the same core, a decrease in tree-
255 pollen scores was recorded around 4000 BP. According to the authors, it is uncertain whether
256 or not this environmental signal is related to the 4.2 ka BP event (Schiebel and Litt, 2018).
257 In the coastal area, at Tel Akko (Acre, Israel), a pollen-based climate reconstruction shows
258 negative precipitation anomalies centered on the period ~4200-4000 BP, corresponding to a
259 ~12% decrease in annual precipitation (Fig. 4; Kaniewski et al., 2013, 2014). At Soreq Cave
260 (Judean Mountains, Israel), decreases in rainfall have been interpreted to have been ~30% lower
261 for the period 4200-4050 BP (Fig. 4; Bar-Matthews et al., 1997, 1999, 2003; Bar-Matthews and
262 Ayalon, 2011). While it has been noted that oxygen isotope ratios in speleothems cannot be
263 used as a simple rainfall indicator (Frumkin et al., 1999; Kolodny et al., 2005; Litt et al., 2012),
264 a similar value was suggested for the Eastern Mediterranean with a decrease in annual
265 precipitation of ~30% (Fig. 3; Kaniewski et al., 2013).
266 Focusing on the Dead Sea (Israel, Jordan and the West Bank), a lake-level reconstruction points
267 to two drops at ~4400 BP and ~4100 BP, separated by a short rise at ~4200/4150 BP (Fig. 4;
268 e.g. Bookman (Ken-Tor) et al., 2004; Migowski et al., 2006; Kagan et al., 2015). A similar short
269 wet phase is recorded at Tel Akko at ~4100 BP (Kaniewski et al., 2013) and ~4000 BP at Tel
270 Dan (Kaniewski et al., 2017), suggesting that minor chronological discrepancies can result from
271 radiocarbon dating. The pollen-based environmental reconstruction from Ze'elim Gully (Dead
272 Sea) echoes the Dead Sea level scores and suggests that drier climate conditions prevailed at
273 ~4300 BP and ~3950 BP, engendering an expansion of olive horticulture during the period
274 ~4150-3950 BP, which implies milder conditions (Neuman et al., 2007a; Langgut et al., 2014,
275 2016). Pollen data recovered from a core drilled on the Ein Gedi shore (Dead Sea) were also



276 used to reconstruct the temporal variations in rainfall (Litt et al., 2012). While the 4.2 ka BP
277 event corresponds to a relatively wet and cool period, two slightly drier phases were also
278 recorded at ~4400-4300 BP and ~3900 BP (Litt et al., 2012). This pattern, two drier periods
279 framing a wetter phase (~4150-3950 BP), suggest an inverted parallel with the Central
280 Mediterranean where two wet periods are juxtaposed against a drier phase bracketed between
281 ~4100 and 3950 BP (Magny et al., 2009).

282 The core DS 7-1 SC (Dead Sea; Heim et al., 1997), the core from Ein Feshkha (Dead Sea;
283 Neuman et al., 2007b), and the marine cores off the Israeli coast (Schilman et al., 2001) were
284 not included in our analysis because they do not cover the period under consideration.

285

286 Data from the southern Levant are complex compared to those from the northern
287 Mediterranean. While the sites suggest that drier conditions were recorded during the 4.2 ka BP
288 event from the Mediterranean coast to the Dead Sea, they nonetheless show that drought must
289 be integrated into a broader chronological framework, disrupted by a short humid period. The
290 latter is clearly highlighted in the Dead Sea records (Litt et al., 2012; Langgut et al., 2014, 2016;
291 Kagan et al., 2015; see Fig. 4) as well as at Soreq Cave ($\delta^{18}\text{O}$, Fig. 4; Bar-Matthews et al., 2003;
292 Bar-Matthews and Ayalon, 2011) and is more or less attested in the Sea of Galilee (Langgut et
293 al., 2013; Schiebel and Litt, 2018), at Tel Dan, and Tel Akko (Kaniewski et al., 2013, 2017).
294 This W-shaped event may be a local expression of the North-Atlantic Bond event 3 (Bond et
295 al., 1997) as it has already been demonstrated that drier/wetter phases in the eastern
296 Mediterranean were associated with cooling/warming periods in the North Atlantic during the
297 past 55 kyr (Bartov et al., 2003).

298

299 **6 Climatic hypotheses behind the 4.2 ka BP event in the Levant**

300 **6.1 North Atlantic**

301 Kushnir and Stein (2010) have clearly noted that southern Levant precipitation variability is
302 closely linked with a seesaw pressure gradient between the eastern North Atlantic and Eurasia,
303 and they also evoked the apparent link between Atlantic Multidecadal Variability [Atlantic
304 Multidecadal Sea Surface Temperature (SST) variability] and atmospheric circulation (see
305 Kushnir, 1994; Ziv et al. 2006; Kushnir and Stein, 2010). Slowly paced Holocene variability is
306 generally modulated by: a colder than normal North Atlantic resulting in higher than normal
307 precipitation in the central Levant while a warmer than normal North Atlantic leads to lower
308 precipitation. This suggests that i) the North Atlantic is a key pacemaker with regards to the
309 long-term hydroclimatic variability of the Levant during the Holocene, and ii) there is a non-



310 linear response to global climatic events, such as the 4.2 ka BP event, consistent with
311 pronounced cooling in Eastern Mediterranean winter SSTs and cold events in northern latitudes
312 (Kushnir and Stein, 2010). It appears that sudden Northern Hemisphere cold episodes contrast
313 with milder and more slowly paced Holocene variability.

314

315 **6.2 A climate see-saw model**

316 A bipolar southeast-southwest "climate see-saw" in the Mediterranean is one of the climatic
317 modes that explains the spatio-temporal variability of precipitation over the basin during winter-
318 time (Kutiel et al., 1996; Xoplaki et al., 2004), in connection with a positive or negative NAO.
319 The dipole precipitation pattern results both from local cyclogenesis and southward shifts of
320 storm tracks from Western Europe towards the Mediterranean (and vice-versa). Drier
321 conditions in the Eastern Mediterranean mainly derive from high pressure systems over
322 Greenland/Iceland and relatively low pressure over southwestern Europe (Roberts et al., 2012),
323 pointing to a weakening of the zonal atmospheric circulation over Europe (Guiot and
324 Kaniewski, 2015). According to Xoplaki et al. (2004), the outcomes of such a pattern over most
325 of the Mediterranean region result in above normal precipitation, with peak values on the
326 western seaboard and lower values in the southeastern part of the basin. This scheme fits with
327 the model of Brayshaw et al. (2011) that displays wetter conditions over large part of the
328 Mediterranean basin while the Eastern Mediterranean was drier, and also mirrors the model
329 developed by Guiot and Kaniewski (2015). According to Roberts et al. (2012), this mode also
330 prevailed during the Little Ice Age, with drier conditions over the Eastern Mediterranean and
331 wetter patterns over the Western Mediterranean (with an opposite scheme during the Medieval
332 Climate Anomaly).

333

334 **6.3 Cyprus lows**

335 While a dominant NAO forcing may explain Western Mediterranean aridity, the Eastern
336 Mediterranean appears to be mostly mediated by other climatic modes, and precipitation
337 variability has also not been uniform according to cyclone-migration tracks (northern/southern).
338 Rainfall in the Levant mostly originates from mid-latitude cyclones (Cyprus lows) during their
339 eastward passage over the eastern Mediterranean (Enzel et al., 2003; Zangvil et al., 2003;
340 Saaroni et al., 2010). During wet years, more intense cyclones frequently migrate over the
341 Eastern Mediterranean (and vice-versa), reflecting variants of the long-term mean low pressure,
342 with positive pressure anomalies consistent with reduced cyclonic activity near the surface.
343 Under this scenario, the most probable cause for drought events in the Levant is that the 500-



344 hPa (upper level anomalies) and sea-level pressure patterns were not conducive to cyclone
345 migration over the Eastern Mediterranean. Instead, their tracks were probably farther to the
346 north, potentially impacting western Turkey and Greece (Enzel et al., 2003).

347

348 **7 Conclusions**

349 The comparison of multiple records of the 4.2 ka BP event involves assumptions regarding the
350 relative weight of such variables in shaping the final outcomes, and also requires strong
351 evidence about the sensitivity of each proxy to fully record the environmental parameters. Our
352 study also underscores the importance of robust chronologies in looking to probe the spatial
353 dimensions of the 4.2 event and its driving mechanisms. Concerning the Levant, the various
354 palaeoclimate proxies sometimes show contrasting outcomes, suggesting variable sensitivity or
355 the absence of forcing agents. At the scale of the Levant, the 4.2 ka BP event is clearly recorded
356 but several locations show that other regional/local patterns may be involved, yielding different
357 outcomes that must be more closely addressed in the future. Concerning the climate shift
358 driving the 4.2 ka BP event, we can assume that, despite the clear geographical articulation of
359 the 4.2 ka event (Zanchetta et al., 2016; Di Rita et al., 2018), the patterns responsible for the
360 event are not yet fully understood. This also raises a key question, how did societies adapt to
361 this ~300 year (or longer) drought? This knowledge gap is still widely debated and must be
362 addressed locally to fully understand the resilience and adaptive strategies of the Levant's
363 diverse peoples and polities.

364

365 **8 Author contributions**

366 DK, NM, RC, JG and EVC conceived the review and wrote the paper.

367

368 **9 Competing interests**

369 The authors declare that they have no conflict of interest.

370

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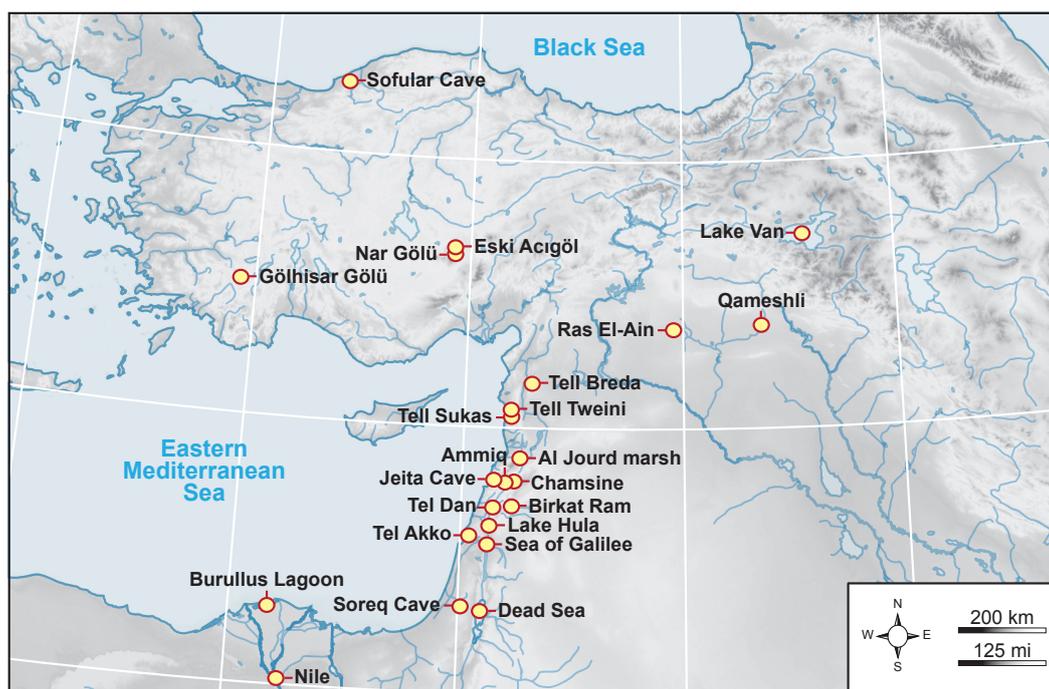
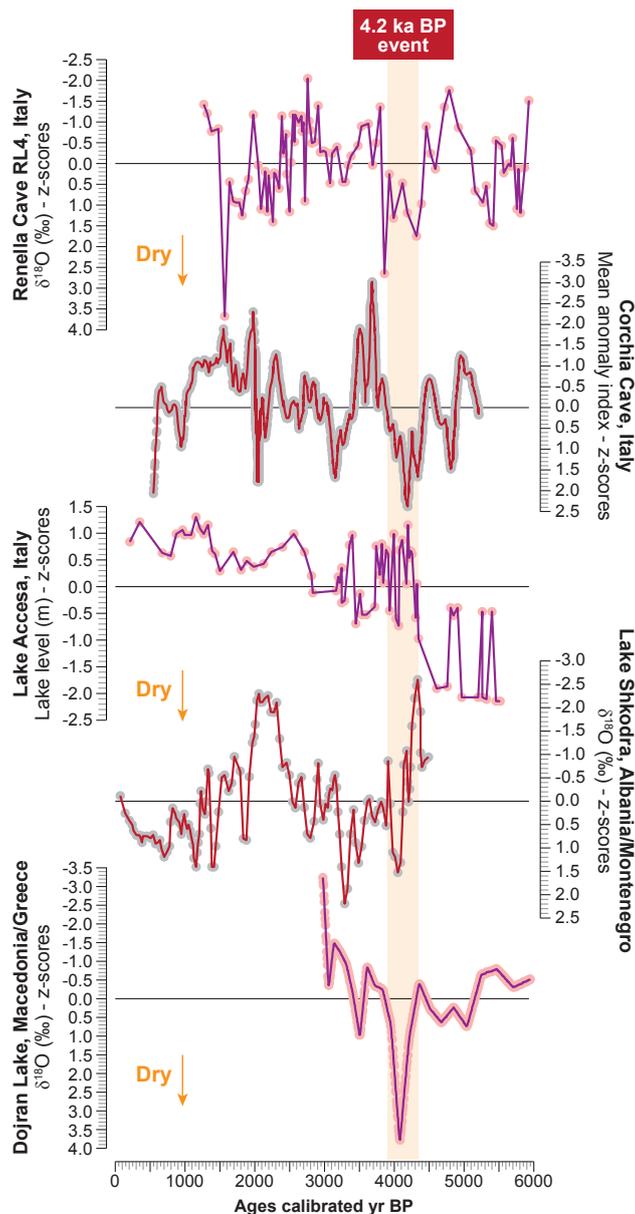


Figure 1. Geographical location of some of the main Levantine sites discussed in this study. Nearby sites in Turkey and Egypt are also displayed on the map (see the manuscript for full references).



27

Figure 2. Paleoclimate series (z-score transformed), with the type of climate proxy noted. The orange vertical band represents the 4.2 ka BP event. From top to bottom, Renella Cave (Italy, Drysdale et al., 2006; Zanchetta et al., 2016), Corchia Cave (Italy, Regattieri et al., 2014), Lake Accessa (Italy, Magny et al., 2009), Lake Shkodra (Albania / Montenegro, Zanchetta et al., 2012), and Lake Dojran (Macedonia / Greece, Francke et al., 2013; Thienemann et al., 2018).

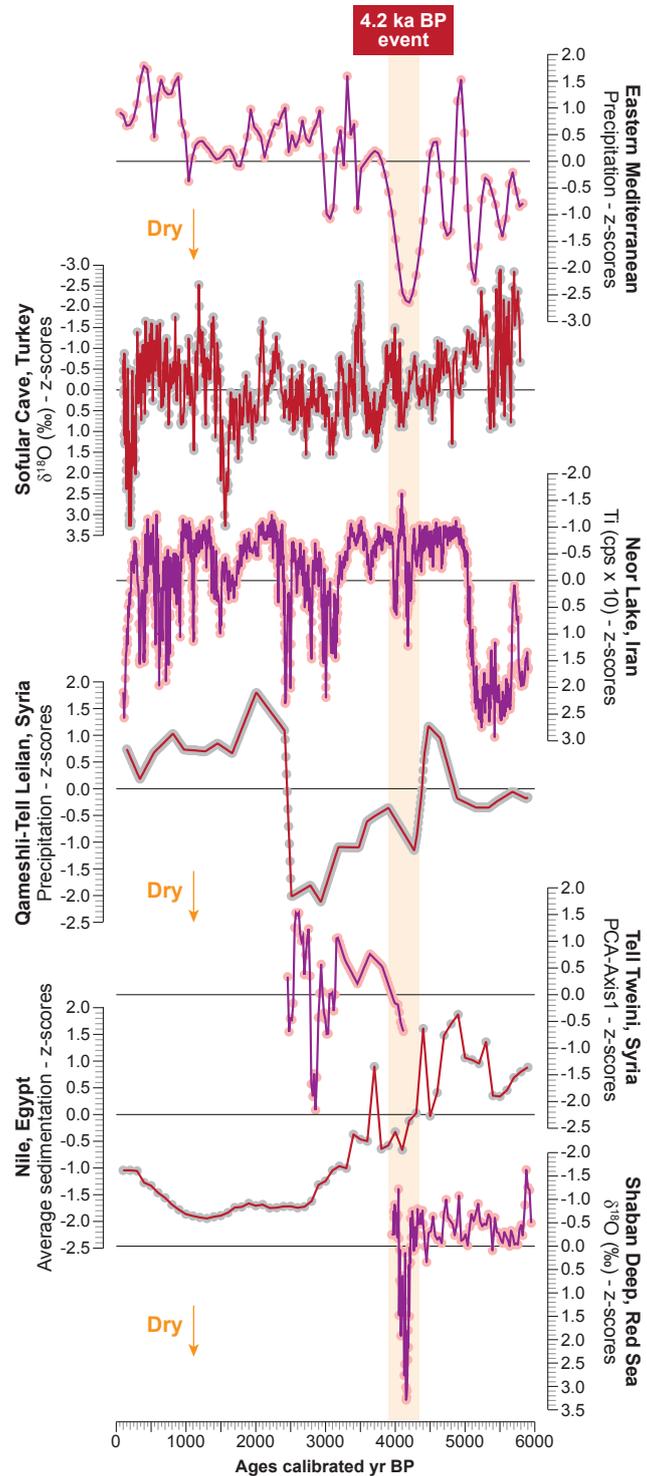


Figure 3. Paleoclimate series (z-score transformed), with the type of climate proxy noted. The orange vertical band represents the 4.2 ka BP event. From top to bottom, Eastern Mediterranean (Kaniewski et al., 2013), Sofular cave (Turkey, Gökürk et al., 2011), Neor Lake (Iran, Sharifi et al., 2015), Qameshli (Syria, Bryson and Bryson, 1997; Fiorentino et al., 2008), Tell Tweini (Syria, Kaniewski et al., 2008), Nile (Egypt, Marriner et al., 2012), and Shaban deep (Red Sea, Arz et al., 2006).

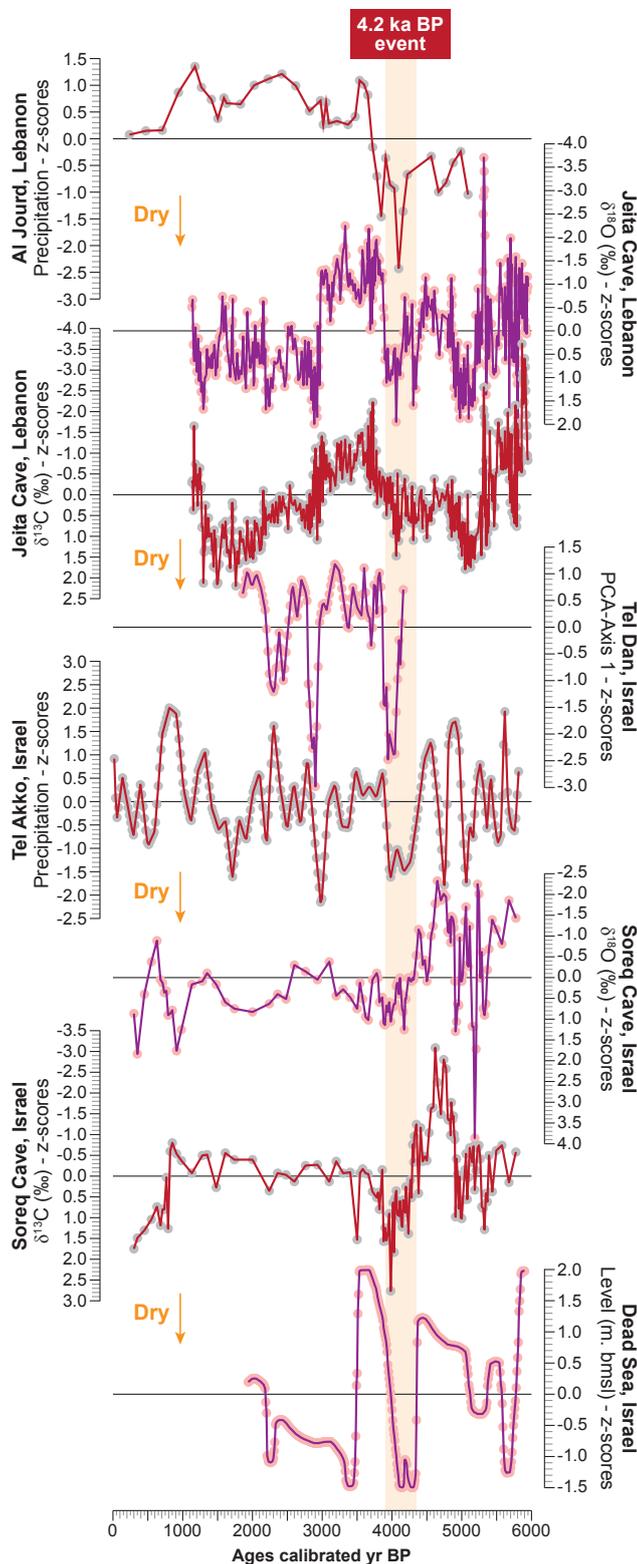


Figure 4. Paleoclimate series (z-score transformed), with the type of climate proxy noted. The orange vertical band represents the 4.2 ka BP event. From top to bottom, Al Jourd (Lebanon, Cheddadi and Khater, 2016), Jeita Cave (Lebanon, Cheng et al., 2016), Tel Dan (Israel, Kaniewski et al., 2017), Tel Akko (Israel, Kaniewski et al., 2013), Soreq Cave (Israel, Bar-Matthews et al., 2003; Bar-Matthews and Ayalon, 2011), and Dead Sea (Israel, Bookman (Ken-Tor) et al., 2004; Migowski et al., 2006; Kagan et al., 2015).