

1 The 4.2 ka BP event in the Levant

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19 **Abstract.** The 4.2 ka BP event is defined as a phase of environmental stress characterized by
20 severe and prolonged drought of global extent. The event is recorded from the North Atlantic
21 through Europe to Asia, and has led scientists to evoke a 300-yr global mega-drought. For the
22 Mediterranean and the Near East, this abrupt climate episode radically altered precipitation,
23 with an estimated 30-50% drop in rainfall in the eastern basin. While many studies have
24 highlighted similar trends in the northern Mediterranean (from Spain to Turkey and the northern
25 Levant), data from northern Africa and central/southern Levant are more nuanced, suggesting
26 a weaker imprint of this climate shift on the environment and/or different climate patterns. Here,
27 we critically review environmental reconstructions for the Levant and show that, while the 4.2
28 ka BP event also corresponds to a drier period, a different climate pattern emerges in the
29 central/southern Levant, with two arid phases framing a wetter period, suggesting a W-shaped
30 event. This is particularly well expressed by records from the Dead Sea area.

31 32 **1 Introduction**

33 While severe climate changes have been recorded during the Holocene (e.g. Mayewski et al.,
34 2004; Wanner et al., 2008; Magny et al., 2013; Solomina et al., 2015; Guiot and Kaniewski,
35 2015) with uncertain overall effects, one period of increasing aridity, termed the 4.2 ka BP
36 event (e.g. Weiss, 2016, 2017), has fueled debates on the causal link between climate shifts and
37 societal upheavals during the Bronze Age (e.g. Finné et al., 2011; Butzer, 2012; Clarke et al.,

38 2016). The 4.2 ka BP event, that lasted ~300 years (from 4200 to 3900 cal yr BP), is probably
39 one of the Holocene's best studied climatic events (e.g. Weiss et al., 1993; Cullen et al., 2000;
40 deMenocal, 2001; Weiss and Bradley, 2001; Staubwasser and Weiss, 2006; Weiss, 2017;
41 Manning, 2018; and references therein), although its chronology may be much wider than
42 traditionally reported, extending from 4500 to 3500 BP (Gasse, 2000; Booth et al., 2005). This
43 phase of aridity, considered to be a global event (Booth et al., 2005, 2006; Fisher et al., 2008;
44 Baker et al., 2009; Wanner et al., 2011, 2015), is now used as a formal boundary to separate the
45 Middle and Late Holocene (**Late Holocene Meghalayan Age**; Walker et al., 2012; Zanchetta et
46 al., 2016; and Letter from the International Union of Geological Sciences). According to Arz et
47 al. (2006), most records show a gradual climate shift rather than a specific abrupt event. Drought
48 concurs widespread cooling in the North Atlantic from 4300 to 4000 BP, as attested in Iceland
49 (lake Hvítárvatn and lake Haukadalsvatn; Geirsdóttir et al., 2013; Blair et al., 2015). The event
50 is also characterized by two short spikes of negative-type North Atlantic Oscillations (NAO) at
51 4300 and 3950 BP (Olsen et al., 2012). During this interval, the Atlantic subpolar and
52 subtropical surface waters cooled by 1° to 2°C (Bond et al., 1997, 2001; Bianchi and McCave,
53 1999; deMenocal, 2001).

54 Focusing on the 4.2 ka BP event in the Mediterranean, a detailed vegetation-based model shows
55 that a significant drop in precipitation began in the eastern basin ~4300 BP. These drier
56 conditions lasted until 4000 BP with peaks in drought during the period 4300-4200 BP (Guiot
57 and Kaniewski, 2015). **Based on these modelling data (and the selected datasets), the Western
58 Mediterranean does not appear to have been significantly affected by the precipitation anomaly.**

59 A climate model reported by Brayshaw et al. (2011) also suggests that the Eastern
60 Mediterranean was drier while the whole Mediterranean exhibited an increase in precipitation
61 for the period 6000-4000 BP. A bipolar east-west "climate see-saw" was proposed to explain
62 these contrasting spatio-temporal trends during the last millennia, with the hydro-climatic
63 schemes across the basin being mediated by a combination of different climate modes (Roberts
64 et al., 2012). It has been argued that the 4.2 ka BP event resulted from changes in the direction
65 and intensity of the cyclonic North Atlantic westerlies, controlled by the NAO (e.g. Cullen et
66 al., 2002; Kushnir and Stein, 2010; Lionello et al., 2013). These westerlies modulate moisture
67 transport across the Mediterranean and West Asia (see full map in Weiss et al., 2017), and, in
68 the Mediterranean, interact with the tropical (monsoonal) climatic system (e.g. Rohling et al.,
69 2002; Lamy et al., 2006; Lionello et al., 2006; Magny et al., 2009). The "climate see-saw"
70 model further suggests that precipitation regimes could not have solely been modulated by
71 NAO forcing, but also by other patterns (e.g. Polar/Eurasia and East Atlantic/Western Russia)

72 that acted in synergy (see full details in Roberts et al., 2012). For instance, other climate
73 regimes, such as shifts in the Intertropical Convergence Zone (ITCZ), may also have played
74 roles in mediating climate in the southern Mediterranean. In the Mediterranean basin, the 4.2
75 ka BP could thus be a combination of different forcing factors (depending on the location and
76 on seasonality) acting in tandem (e.g. Di Rita et al., 2018). Even if such hypotheses (Brayshaw
77 et al., 2011; Roberts et al., 2012; Guiot and Kaniewski, 2015) are based merely on modelling
78 data, they are useful in trying to understand the geographic dimensions of climate change during
79 this period, and to identify the mechanisms driving this important event.

80 Here, we probe several records from the Levant to critically review the climate context of the
81 4.2 ka BP event in the Eastern Mediterranean (Fig. 1). Our review is based on the core area of
82 the Central/Southern Levant, comprising Israel, the West Bank and Jordan, and on the Northern
83 Levant with Syria and Lebanon. Other regions have also been integrated into our analysis,
84 including Egypt (Nile Delta) and the Red Sea. All data (biotic and abiotic) were z-score
85 transformed to facilitate inter-site comparisons (see citations for the original curves). The
86 curves were directly drawn using the original values (when the data were available in Open
87 Access repositories) or extracted from the publications when the raw data were not available
88 (using the software GraphClick). This comprehensive west-east/north-south review of the
89 Mediterranean data places emphasis on different climate patterns/climatic modes.

90

91 **2 Chronology**

92 The comparison of multiple “4.2 ka BP” records involves assumptions regarding the relative
93 weight of such variables in shaping the final outcomes, and also requires strong evidence
94 regarding the sensitivity of each proxy to fully record the environmental parameters. Our review
95 also underscores the importance of robust chronologies in probing the spatial dimensions of the
96 4.2 event and its driving mechanisms. The age-model of some of the climate proxies is built on
97 radiocarbon (^{14}C) chronologies, with sometimes broad chronological windows due to the 2σ
98 calibrations. Telford et al. (2004) have previously shown that any single value, not its intercept
99 nor any other calculation, adequately describes the complex shape of a ^{14}C probability density
100 function, and that the use of the full probability distribution is recommended. Because it is
101 impossible to critically reevaluate each sequence mentioned in this review, one must refer to the
102 original papers for further information. To reduce the uncertainties resulting from the
103 calibration of ^{14}C measurements, high-resolution chronological datasets (e.g. Sharifi et al.,
104 2015; Cheng et al., 2016) here serve as anchor points for other sequences.

105

106 **3 A west-east gradient - northern Mediterranean**

107 While Mediterranean climate models (Brayshaw et al., 2011; Guiot and Kaniewski, 2015)
108 suggest that the 4.2 ka BP event is best expressed in the Eastern Mediterranean and West Asia,
109 drought nonetheless seems to be recorded in both western and eastern areas. A short review of
110 the palaeoclimate data from Spain to Turkey puts these drier conditions in wider perspective.
111 In Spain, drier environmental conditions were recorded at several locations such as the Doñana
112 National Park (Jiménez-Moreno et al., 2015), Sierra de Gádor (Carrión et al., 2003), Borreguiles
113 de la Virgen (Jiménez-Moreno and Anderson, 2012) and Lake Montcortès (Scussolini et al.,
114 2011). Further east, in Italy, several sites such as Renella Cave (Fig. 2; Drysdale et al., 2006;
115 Zanchetta et al., 2016), Corchia Cave (Fig. 2; Regattieri et al., 2014) or Lake Accesa (Magny
116 et al., 2009; revised chronology in Zanchetta et al., 2018) clearly point to a drought event. In
117 Croatia, a drier climate is attested at Lake Vrana (Island of Cres; Schmidt et al., 2000),
118 Bokanjačko blato karst polje (Dalmatia; Ilijanić et al., 2018) and at Mala Špilja cave (Island of
119 Mljet; Lončar et al., 2017). In the Balkan Peninsula, Lake Shkodra (Fig. 2;
120 Albania/Montenegro; Zanchetta et al., 2012), Lake Prespa (Republics of
121 Macedonia/Albania/Greece; Wagner et al., 2010), Lake Ohrid (Republics of
122 Macedonia/Albania; Wagner et al., 2010) and Lake Dojran (Fig. 2; Macedonia/Greece; Francke
123 et al., 2013; Thienemann et al., 2018; Rothacker et al., 2018) were also hit by drought, but of
124 varying intensities. In Albania, a pollen-based model underscores a moderate decline in
125 precipitation at Lake Maliq (Korçë; Bordon et al., 2009). In Greece, the Mavri Trypa Cave
126 (Peloponnese; Finné et al., 2017) and the Omalos Polje karstic depression (Crete; Styllas et al.,
127 2018) displayed a period of drier conditions centred on the 4.2 ka BP event. In Turkey, the last
128 “northern geographic step” before the Levant, drought is suggested at several locations. At Nar
129 Gölü (Dean et al., 2015), Lake Van (Lemcke and Sturm, 1996; Wick et al., 2003), Gölhisar
130 Gölü (Eastwood et al., 1999) and Eski Acıgöl (Roberts et al., 2008), drier conditions seem to
131 prevail.

132 These data from the northern Mediterranean point to a drought episode, broadly correlated with
133 the chronological window of the 4.2 ka BP event. This climate shift was recently framed by the
134 Agnano Mt Spina (~4400 BP) and the Avellino tephra layers (~3900 BP) in cores from the
135 Central Mediterranean (Zanchetta et al., 2018). As a consequence, the chronology of previous
136 palaeoenvironmental studies, including Lake Accesa, have been revised in line with this
137 tephrostratigraphy (Zanchetta et al., 2018).

138 Knowledge gaps remain regarding the teleconnections/synergy between different climate
139 patterns and their relative weight, according to the geographical location of the sites considered.

140 The potential climate changes that may have impacted the northern Mediterranean during the
141 4.2 ka BP have been extensively reviewed in the literature (e.g. Drysdale et al., 2006; Magny
142 et al., 2009; Dean et al., 2015; Zanchetta et al., 2016; Di Rita et al., 2018) and will be discussed
143 elsewhere in this special issue.

144

145 **4 A west-east gradient - southern Mediterranean**

146 Even if the 4.2 ka BP event is clearly delineated in the northern basin, the southern
147 Mediterranean shows different trends due to the influence of Saharan climate. While similar
148 dry conditions occurred concurrently in Morocco (Tigalmamine, Middle Atlas; Lambs et al.,
149 1995; Cheddadi et al., 1998) and Algeria (Gueldaman GLD1 Cave; Ruan et al., 2016), the same
150 arid conditions led to enhanced sediment delivery mediated by flash-flood activity (mainly due
151 to poor vegetation cover) during the 4.2 ka BP event. Such extreme hydrological events are
152 documented in fluvial stratigraphy from northern Africa (both in Morocco and Tunisia),
153 especially during the period 4100-3700 BP (Faust et al., 2004; Benito et al., 2015). These
154 hydrological events have also been identified in Central Tunisia, a desert margin zone
155 characterized by a transition from the sub-humid Mediterranean to arid Saharan climate.
156 Increased flood activity in river systems also occurred locally during the period 4100-3700 BP
157 (Zielhofer and Faust, 2008). In the central Medjerda basin (northern Tunisia), enhanced fluvial
158 dynamics started earlier, ~4700 BP, and lasted until ~3700 BP (Faust et al., 2004).

159 Further east, in Libya, the most dramatic environmental change in the area, related to the onset
160 of dry conditions, took place earlier, at ~5000 years BP in Tadrart Acacus (Lybian Sahara;
161 Cremaschi and Di Lernia, 1999). In the Jefara Plain, northwestern Libya, the “late Holocene
162 arid climate period” started after 4860-4620 BP (Giraudi et al., 2013). These two distant Libyan
163 areas are both dominated by Saharan climate, even though the Mediterranean is only 100 km
164 from the Jefara Plain. This is consistent with data from Giraudi et al. (2013), indicating that the
165 Saharan climate extends to the coast of the Mediterranean Sea in Libya. Focusing on the
166 Saharan climate/African monsoon, a general deterioration of the terrestrial ecosystem is
167 indicated at Lake Yoa, northern Chad, during the period ~4800-4300 BP. Since 4300 BP,
168 widespread dust mobilization and the rapid transition (4200-3900 BP) from a freshwater habitat
169 to a salt lake are both recorded (Kröpelin et al., 2008).

170 In Egypt, the last “southern step” before the Levant, no major changes have been recorded at
171 Lake Qarun (the deepest part of the Faiyum Depression; Baioumy et al., 2010) or the contrary
172 (desiccation of Nile-fed Lake Faiyum at ~4200 BP according to Hassan, 1997). A recent study
173 showed that Lake Qarun was low during the 4.2 ka BP event and that its level continued to fall

174 until 3200 BP, in accordance with the conclusions of Hassan (1997). The lake was finally cut
175 off from the Nile, with only rare inflows suggested by clayey silt depositions (Marks et al.,
176 2017). The level of Lake Moeris (Faiyum depression) dropped at ~4400 BP and rose again at
177 ~4000 BP (Hassan, 1986). During the 4.2 ka BP event, Nile base-flow conditions changed
178 considerably with reduced inputs from the White Nile, a dominant contribution from the Blue
179 Nile, and diminished precipitation (Stanley et al., 2003; Véron et al., 2013). The source of the
180 Blue Nile, Lake Tana (Fig. 3), also manifests a drier phase, leading to a reduction in Nile flow
181 during the same period (Marshall et al., 2011), in phase with other regional palaeoclimate
182 archives (Chalié and Gasse, 2002; Thompson et al., 2002). This drop in and/or failure of Nile
183 floods was recorded by a decreased Nile sediment supply (Fig. 3; Marriner et al., 2012) while
184 in the Burullus Lagoon (Nile Delta), reduced flow directly impacted marshland vegetation
185 (Bernhardt et al., 2012). The Nile Delta region is not directly affected by monsoonal rainfall
186 (this was also the case during the Holocene, and at longer Pleistocene timescales; Rossignol-
187 Strick, 1983; Arz et al., 2003; Felis et al., 2004; Grant et al., 2016). However, the Nile's
188 hydrological regime is essentially mediated by river discharge upstream, *i.e.* by the East African
189 monsoon regime, and only secondarily by *in situ* Mediterranean climatic conditions (Flaux et
190 al., 2013; Macklin et al., 2015). In the northern Red Sea, located between the Mediterranean
191 and Afro-SW-Asian monsoonal rainfall regimes, the 4.2 ka BP event has been identified by
192 enhanced evaporation/increased salinity in the Shaban Deep basin (Fig. 3; Arz et al., 2006).

193 All of this evidence from the southern Mediterranean/northern Africa points to hydrological
194 instability, both during and around the 4.2 ka BP event, due to multiple climate influences,
195 mainly the Saharan Africa. In many North African cases, records show that climate changes at
196 ~4200 BP are not characterized by abrupt events, but rather are part of either a long-term trend
197 or multicentennial-scale variations, as suggested by Arz et al. (2006) for the Red Sea. Focusing
198 on Nile flow, variations seem mainly to result from a shift in the dynamics of the ITCZ, which
199 migrates latitudinally in response to both orbitally-controlled climatic patterns (see Gasse,
200 2000; Ducassou et al., 2008; Kröpelin et al., 2008; Verschuren et al., 2009; Revel et al., 2010;
201 Flaux et al., 2013; Marriner et al., 2013), and from changes in the El Niño Southern Oscillation
202 (ENSO; see Moy et al., 2002; Leduc et al., 2009; Wolff et al., 2009), an important driver in
203 decadal variations in precipitation over large parts of Africa (Indeje et al., 2000; Nicholson and
204 Selato, 2000). The period encompassing the 4.2 ka BP event is consistent with a decrease in
205 ENSO-like frequency, and a southern shift in the mean summer position of the ITCZ
206 (Mayewski et al., 2004; Marshall et al., 2011) that may have reduced the interactions between
207 ENSO-like frequency and the Ethiopian Monsoon (Moy et al., 2002; Marriner et al., 2012).

208

209 **5 The 4.2 ka BP event in Northern Levant**

210 Environmental data from the Northern Levant derive from several locations in Syria and
211 Lebanon, spatially distributed from the coastal strip to the dry inland areas.

212

213 **5.1 Syria**

214 The northern coastal lowlands of Syria, where Tell Tweini (Fig. 3) and Tell Sukas are located,
215 are separated from the Ghab depression to the east by the Jabal an Nuşayriyah, a 140-km long
216 north-south mountain range 40- to 50-km wide with peaks culminating at ~1,200 m above sea
217 level. At Tell Tweini (Jableh), the pollen-based environmental reconstruction (TW-1 core)
218 shows that drier conditions prevailed during the 4.2 ka BP event with weaker annual inputs of
219 freshwater and ecological shifts induced by lower winter precipitation. The drier conditions
220 ended at ~3950 BP (Fig. 3; Kaniewski et al., 2008). At Tell Sukas, ~10 km south of Tell Tweini,
221 an increase in dryness during the 4.2 ka BP event only coincides with a decline in olive
222 exploitation, implying milder conditions (Sorrel et al., 2016). Olive abundances remain fairly
223 high at Tell Tweini during the event, although *Olea* pollen-type originated from the wild variety
224 (oleasters; Kaniewski et al., 2009), a tree species extremely resistant to drought that can survive
225 in arid habitats (Lo Gullo and Salleo, 1988), and that cannot unequivocally be used as a proxy
226 for “olive exploitation” (Kaniewski et al., 2009). In the Ghab Valley (e.g. van Zeist and
227 Woldring, 1980; Yasuda et al., 2000), no reliable conclusions on climate shifts can be reported
228 due to a floating chronology (e.g. Meadows, 2005). In continental Syria, at Qameshli (near the
229 Turkish-Iraqi borderline), modelled precipitation estimates (not based on paleoclimate proxy
230 data) evoke a potential regional crisis in the rainfall regime beginning at around 4200 BP
231 (Bryson and Bryson, 1997; Fiorentino et al., 2008), echoing Lake Neor (flank of the Talesh-
232 Alborz Mountains, Iran), where a major dust event, resulting from drier conditions, is clearly
233 depicted (Fig. 3; Sharifi et al., 2015). The Qameshli climate model was used to calculate a
234 potential decline in precipitation at Tell Breda (near Ebla) and Ras El-Ain. The two sites show
235 similar trends to Qameshli, with a major dry event at 4200 BP (Fiorentino et al., 2008). These
236 “time-series” (Bryson and Bryson, 1997; Fiorentino et al., 2008) are somewhat questionable as
237 they derive solely from the Macrophysical Climate Model developed by Bryson (1992;
238 corrected by Fiorentino et al., 2008). More datasets are therefore needed to test the veracity of
239 Fiorentino et al.’s conclusions. Taking into account the outcomes of these published models,
240 the data from Syria suggest that while the coastal area (Tell Sukas and Tell Tweini) was less
241 affected by aridity, drought was potentially widespread inland during the 4.2 ka BP event, from

242 the south of Aleppo to the Turkish-Iraqi border. Unfortunately, no palaeoenvironmental data
243 are available for Tell Leilan despite its importance in narratives on the 4.2 ka BP event (e.g.
244 Weiss et al., 1993; Weiss, 2016, 2017).

245

246 **5.2 Lebanon**

247 In Lebanon, the main palaeoclimatic data in support of the 4.2 ka BP event derive from Jeita
248 Cave (Fig. 4) and Al Jourd marsh (Fig. 4). Jeita Cave is located on the western flank of central
249 Mount Lebanon. While the JeG-stm-1 stalagmite record ($\delta^{18}\text{O}$ and $\delta^{13}\text{C}$) does not show
250 compelling evidence for a rapid climate shift around 4200 BP (Verheyden et al., 2008), new
251 records (termed J1-J3; also based on $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$) reveal that the 4.2 ka BP event is well-
252 defined, with a pronounced phase of climate change from 4300 to 3950 BP (Fig. 4; Cheng et
253 al., 2016). According to Verheyden et al. (2008), due to the low time resolution of this part of
254 the JeG-stm-1 stalagmite (one sample every 180 years), the short-lived 4.2 ka BP event may
255 have been missed. Further north, at Sofular Cave (Turkey; Fig. 3), while the Stalagmite So-1 is
256 not affected by this low temporal resolution, no consistent and convincing signature for the 4.2
257 ka BP event was recorded (Göktürk et al., 2011), echoing the JeG-stm-1 stalagmite record. The
258 absence of a 4.2 ka BP signal at Sofular Cave may probably results from the orography of the
259 Black Sea and high precipitation that does not reflect the surrounding Mediterranean westerlies.

260 The climate reconstruction from Al Jourd marsh, based on environmental data from the Al
261 Jourd reserve (~70 km northeast of Jeita Cave), shows the same trends as the J1-J3 cores
262 (Cheddadi and Khater, 2016). The reconstructed precipitation results display a drier phase,
263 starting at ~4220 BP and lasting until ~3900 BP. At Ammiq (the Beqaa valley), a strong decline
264 in precipitation is recorded from ~4700 to ~3850 BP while at Chamsine/Anjar (Bekaa Valley),
265 the dry phase is centered on 4400 BP before a gradual return to wet conditions that peak at
266 ~3930 BP (Cheddadi and Khater, 2016). The chronological discrepancies arising from Ammiq
267 and Chamsine may potentially be the result of recalculated age-models, somewhat different
268 from the original studies (Hajar et al., 2008, 2010). The age-depth models for the two records
269 (Cheddadi and Khater, 2016) were modified and adjusted according to the marine
270 chronostratigraphy proposed by Rossignol-Strick (1995).

271 Data from Lebanon suggest that a drier period, centered on the 4.2 ka BP event, was recorded
272 (Cheng et al., 2016; Cheddadi and Khater, 2016). Sites in the Beeka Valley (Ammiq, Chamsine)
273 indicates that the drier phase started earlier, between 4700 and 4400 BP, but these sequences
274 are built upon revised age-models. The original interpretations suggest either an imprint of the

275 4.2 ka BP event at ~4000 BP (Hajar et al., 2008) or strong human impacts on the environment
276 (Hajar et al., 2010).

277

278 **6 The 4.2 ka BP event in Central/Southern Levant**

279 In this section, the 4.2 ka BP event is presented from northern to southern Israel.

280 Located in the foothills of Mount Hermon, in the Galilee Panhandle, at the sources of the Jordan
281 River, the site of Tel Dan (Israel) shows clear signatures of an arid event. A pollen-based
282 environmental reconstruction depicts drier conditions characterized by a sharp drop in surface
283 water between ~4100 and ~3900 BP, with two main inflections at ~4050 and ~3950 BP (Fig.
284 4; Kaniewski et al., 2017). Approximately 10-km from Tel Dan, cores from the Birkat Ram
285 crater lake (Northern Golan heights; Schwab et al., 2004), also located in the foothills of Mount
286 Hermon, were used to reconstruct climate trends during the last 6000 years (Neuman et al.,
287 2007a). The authors demonstrate that annual precipitation is comparatively uniform with no
288 distinctive fluctuations during the study period (Neuman et al., 2007a). The pollen diagram
289 from the Hula Nature Reserve (northwestern part of former Lake Hula, Israel) shows an
290 expansion in *Olea* before ~4110 BP (Baruch and Bottema, 1999; Van Zeist et al., 2009) but,
291 because no distinction can be made between the wild or cultivated variety, this would suggest
292 either i) the expansion of olive orchards or ii) drier conditions that favoured drought-resistant
293 trees, especially during a period characterized by decreasing cereals (see diagram in Van Zeist
294 et al., 2009). A pollen-based environmental reconstruction from the Sea of Galilee (Lake
295 Kinneret, Israel; e.g. Baruch 1986; Miebach et al., 2017) shows two decreases in the oak-pollen
296 curve, interpreted as drier climate conditions at 4300 and 3950 BP (Langgut et al., 2013), which
297 may fit within the broader framework of the 4.2 ka BP event. In the same core, a decrease in
298 tree-pollen scores was recorded around 4000 BP. According to the authors, it is unclear whether
299 this environmental signal is related to the 4.2 ka BP event (Schiebel and Litt, 2018).

300 Along the coast, at Tel Akko (Acre, Israel), a pollen-based climate reconstruction shows
301 negative precipitation anomalies centered on the period ~4200-4000 BP, corresponding to an
302 ~12% decrease in annual precipitation (Fig. 4; Kaniewski et al., 2013, 2014). At Soreq Cave
303 (Judean Mountains, Israel), rainfall was ~30% lower for the period 4200-4050 BP (Fig. 4; Bar-
304 Matthews et al., 1997, 1999, 2003; Bar-Matthews and Ayalon, 2011). While it has been noted
305 that oxygen isotope ratios in speleothems cannot be used as a simple rainfall indicator (Frumkin
306 et al., 1999; Kolodny et al., 2005; Litt et al., 2012), a similar value was suggested for the Eastern
307 Mediterranean with a decrease in annual precipitation of ~30% (Fig. 2; Kaniewski et al., 2013).

308 Focusing on the Dead Sea (Israel, Jordan and the West Bank), a lake-level reconstruction points
309 to two sea-level drops at ~4400 BP and ~4100 BP, separated by a short rise at ~4200/4150 BP
310 (Fig. 4; e.g. Bookman (Ken-Tor) et al., 2004; Migowski et al., 2006; Kagan et al., 2015). A
311 similar short wet phase is recorded at Tel Akko at ~4100 BP (Kaniewski et al., 2013) and ~4000
312 BP at Tel Dan (Kaniewski et al., 2017), suggesting that minor chronological discrepancies can
313 result from radiocarbon dating. The pollen-based environmental reconstruction from Ze'elim
314 Gully (Dead Sea) echoes the Dead Sea level scores and suggests that drier climate conditions
315 prevailed at ~4300 BP and ~3950 BP, engendering an expansion of olive horticulture during
316 the period ~4150-3950 BP, which implies milder conditions (Neuman et al., 2007a; Langgut et
317 al., 2014, 2016). Pollen data from a core drilled on the Ein Gedi shore (Dead Sea) were also
318 used to reconstruct the temporal variations in rainfall (Litt et al., 2012). While the 4.2 ka BP
319 event corresponds to a relatively wet and cool period, two slightly drier phases were also
320 recorded at ~4400-4300 BP and ~3900 BP (Litt et al., 2012). Even if some issues persist, the
321 chronology of the Dead Sea (Ze'elim and Ein Feshkha sections) was strongly improved by
322 Kagan et al. (2010, 2011) who produced Bayesian age-depth deposition models using the OxCal
323 P-sequence model. The refined chronology of the Ze'elim Gully sequence, and the Dead Sea,
324 are described in detail in Kagan et al. (2015).

325 The core DS 7-1 SC (Dead Sea; Heim et al., 1997), the core from Ein Feshkha (Dead Sea;
326 Neuman et al., 2007b), and the marine cores off the Israeli coast (Schilman et al., 2001) were
327 not included in our analysis because they do not cover the period under consideration.

328
329 Data from the southern Levant are complex compared to those from the northern
330 Mediterranean. While the sites suggest that drier conditions were recorded during the 4.2 ka BP
331 event from the Mediterranean coast to the Dead Sea, they nonetheless show that drought must
332 be integrated into a broader chronological framework, disrupted by a short wetter period. This
333 W-shaped event is attested at several sites, suggesting that the W shape is not “noise” but a
334 regional phenomenon, spanning the Central-Southern Levant. A W-shaped event is clearly
335 highlighted in the Dead Sea records (Litt et al., 2012; Langgut et al., 2014, 2016; Kagan et al.,
336 2015; see Fig. 4) as well as at Soreq Cave ($\delta^{18}\text{O}$, Fig. 4; Bar-Matthews et al., 2003; Bar-
337 Matthews and Ayalon, 2011) and is more or less attested in the Sea of Galilee (Langgut et al.,
338 2013; Schiebel and Litt, 2018), at Tel Dan, and Tel Akko (Kaniewski et al., 2013, 2017). This
339 W-shaped event may be a local expression of the North-Atlantic Bond event 3 (Bond et al.,
340 1997) because it has already been demonstrated that drier/wetter phases in the eastern

341 Mediterranean were associated with cooling/warming periods in the North Atlantic during the
342 past 55 kyr (Bartov et al., 2003).

343

344 **7 Climatic hypotheses to explain the 4.2 ka BP event in the Levant**

345 **7.1 North Atlantic**

346 Kushnir and Stein (2010) have clearly noted that variability in southern Levant precipitation is
347 closely linked with a seesaw pressure gradient between the eastern North Atlantic and Eurasia.
348 Furthermore, they also evoke the apparent link between Atlantic Multidecadal Variability
349 [Atlantic Multidecadal Sea Surface Temperature (SST) variability] and atmospheric circulation
350 (see Kushnir, 1994; Ziv et al. 2006; Kushnir and Stein, 2010). Slowly paced Holocene
351 variability is generally modulated by: a colder than normal North Atlantic resulting in higher
352 than normal precipitation in the central Levant while a warmer than normal North Atlantic leads
353 to lower precipitation. This suggests that i) the North Atlantic is a key pacemaker in driving the
354 long-term hydroclimatic variability of the Levant during the Holocene, and ii) there is a non-
355 linear response to global climatic events, such as the 4.2 ka BP event, consistent with
356 pronounced cooling in Eastern Mediterranean winter SSTs and cold events in northern latitudes
357 (Kushnir and Stein, 2010). It appears that sudden Northern Hemisphere cold episodes contrast
358 with milder and more slowly paced Holocene variability.

359

360 **7.2 A climate “see-saw” model**

361 A bipolar southeast-southwest “climate see-saw” in the Mediterranean is one of the climatic
362 modes that explains the spatio-temporal variability of precipitation over the basin during the
363 winter (Kutiel et al., 1996; Xoplaki et al., 2004), in connection with a positive or negative NAO.
364 The dipole precipitation pattern results both from local cyclogenesis and southward shifts of
365 storm tracks from Western Europe towards the Mediterranean (and vice-versa). Drier
366 conditions in the Eastern Mediterranean mainly derive from high pressure systems over
367 Greenland/Iceland and relatively low pressure over southwestern Europe (Roberts et al., 2012),
368 pointing to a weakening of the zonal atmospheric circulation over Europe (Guiot and
369 Kaniewski, 2015). According to Xoplaki et al. (2004), the outcomes of such a pattern over most
370 of the Mediterranean region result in above normal precipitation, with peak values on the
371 western seaboard and lower values in the southeastern part of the basin. This scheme fits with
372 Brayshaw et al.’s (2011) model that displays wetter conditions over large parts of the
373 Mediterranean basin while the Eastern Mediterranean was drier. These conclusions are
374 supported by Guiot and Kaniewski (2015). According to Roberts et al. (2012), this mode also

375 prevailed during the Little Ice Age, with drier conditions over the Eastern Mediterranean and
376 wetter patterns over the Western Mediterranean (with an opposite scheme during the Medieval
377 Climate Anomaly).

378

379 **7.3 Cyprus lows**

380 While a dominant NAO forcing may explain Western Mediterranean aridity, the Eastern
381 Mediterranean appears to be mostly mediated by other climatic modes. In particular,
382 precipitation variability has not been uniform due to shifts in cyclone-migration tracks
383 (northern/southern). Rainfall in the Levant mostly originates from mid-latitude cyclones
384 (Cyprus lows) during their eastward passage over the eastern Mediterranean (Enzel et al., 2003;
385 Zangvil et al., 2003; Saaroni et al., 2010). During wet years, more intense cyclones frequently
386 migrate over the Eastern Mediterranean (and vice-versa), reflecting variations in the long-term
387 mean low pressure, with positive pressure anomalies consistent with reduced cyclonic activity
388 near the surface. Under this scenario, the most probable cause for drought events in the Levant
389 is that the 500-hPa (upper level anomalies) and sea-level pressure patterns were not conducive
390 to cyclone migration over the Eastern Mediterranean. Instead, their tracks were probably further
391 to the north, potentially impacting western Turkey and Greece (Enzel et al., 2003).

392

393 **8 Conclusions**

394 At the scale of the Levant, a climate shift is clearly documented by sediment records during the
395 chronological frame of the 4.2 ka BP event. Nonetheless, some locations show that other
396 regional/local forcing agents may be involved, yielding different outcomes that must be more
397 closely addressed in the future. Concerning the climate mechanism driving the 4.2 ka BP event,
398 we can assume that, despite the clear geographical dimensions of the 4.2 ka event (Zanchetta et
399 al., 2016; Di Rita et al., 2018), the patterns responsible for the event are not yet fully understood.
400 This also raises a key question: how did societies adapt to this ~300-year (or longer) drought?
401 This knowledge gap is still widely debated and must be addressed using high-resolution local
402 records in proximity to archaeological sites to fully understand the resilience and adaptive
403 strategies of the Levant's diverse peoples and polities.

404

405 **9 Author contributions**

406 DK, NM, RC, JG and EVC conceived the review and wrote the paper.

407

408

409 **10 Competing interests**

410 The authors declare that they have no conflict of interest.

411

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417

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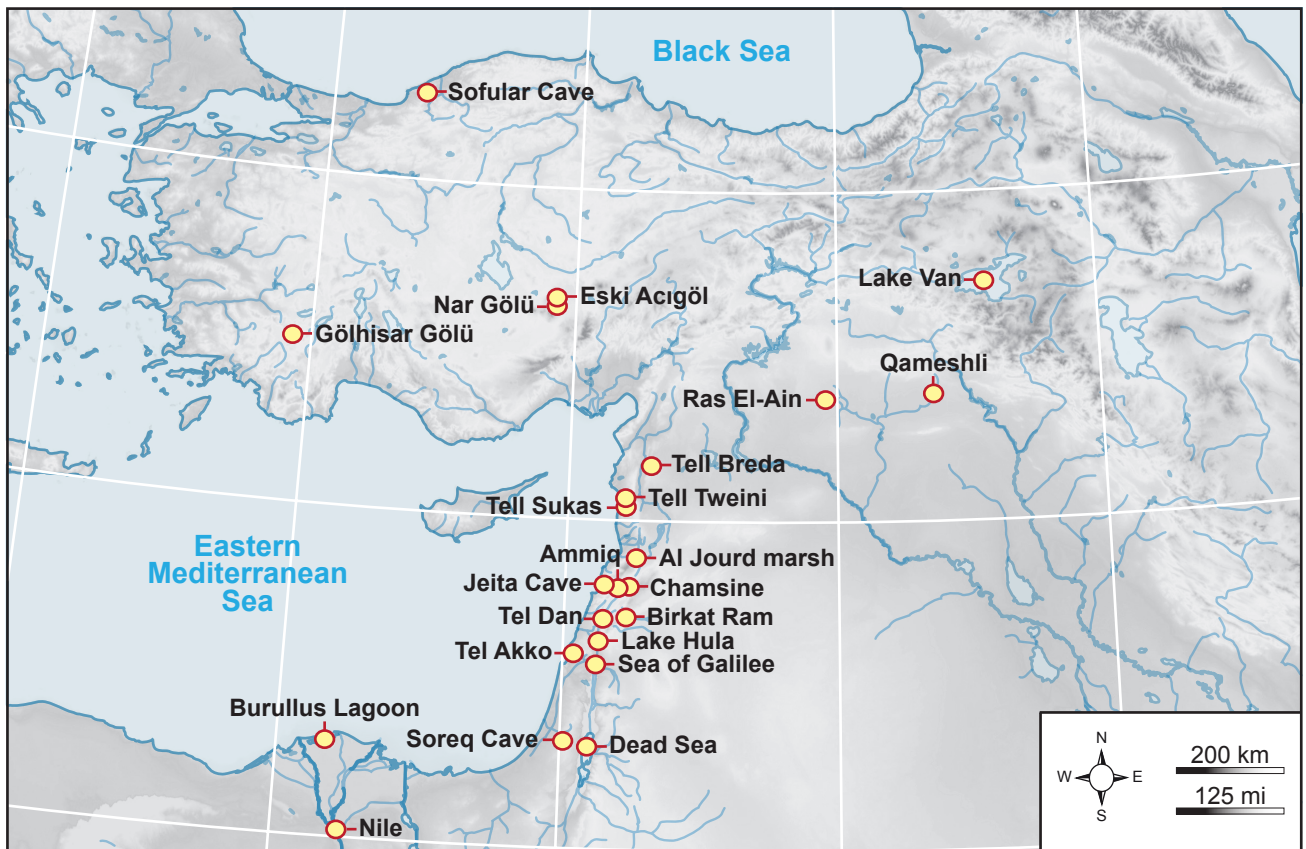


Figure 1. Geographical location of some of the main Levantine sites discussed in this study. Nearby sites in Turkey and Egypt are also displayed on the map (see the manuscript for full references).

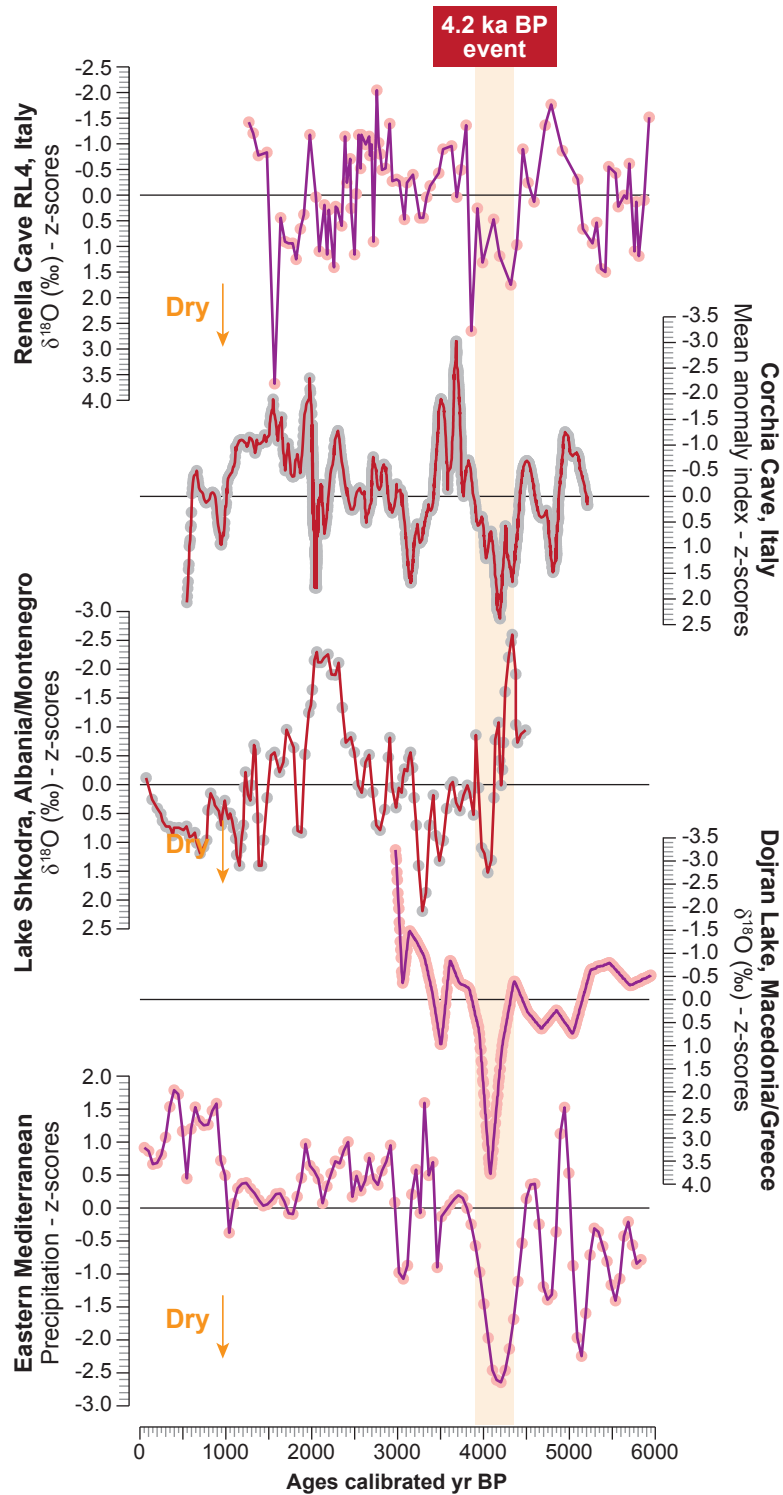


Figure 2. Paleoclimate series (z-score transformed), with the type of climate proxy noted. The orange vertical band represents the 4.2 ka BP event. From top to bottom, Renella Cave (Italy, Drysdale et al., 2006; Zanchetta et al., 2016), Corchia Cave (Italy, Regattieri et al., 2014), Lake Shkodra (Albania / Montenegro, Zanchetta et al., 2012), and Lake Dojran (Macedonia / Greece, Francke et al., 2013; Thienemann et al., 2018), and the Eastern Mediterranean (Kaniewski et al., 2013).

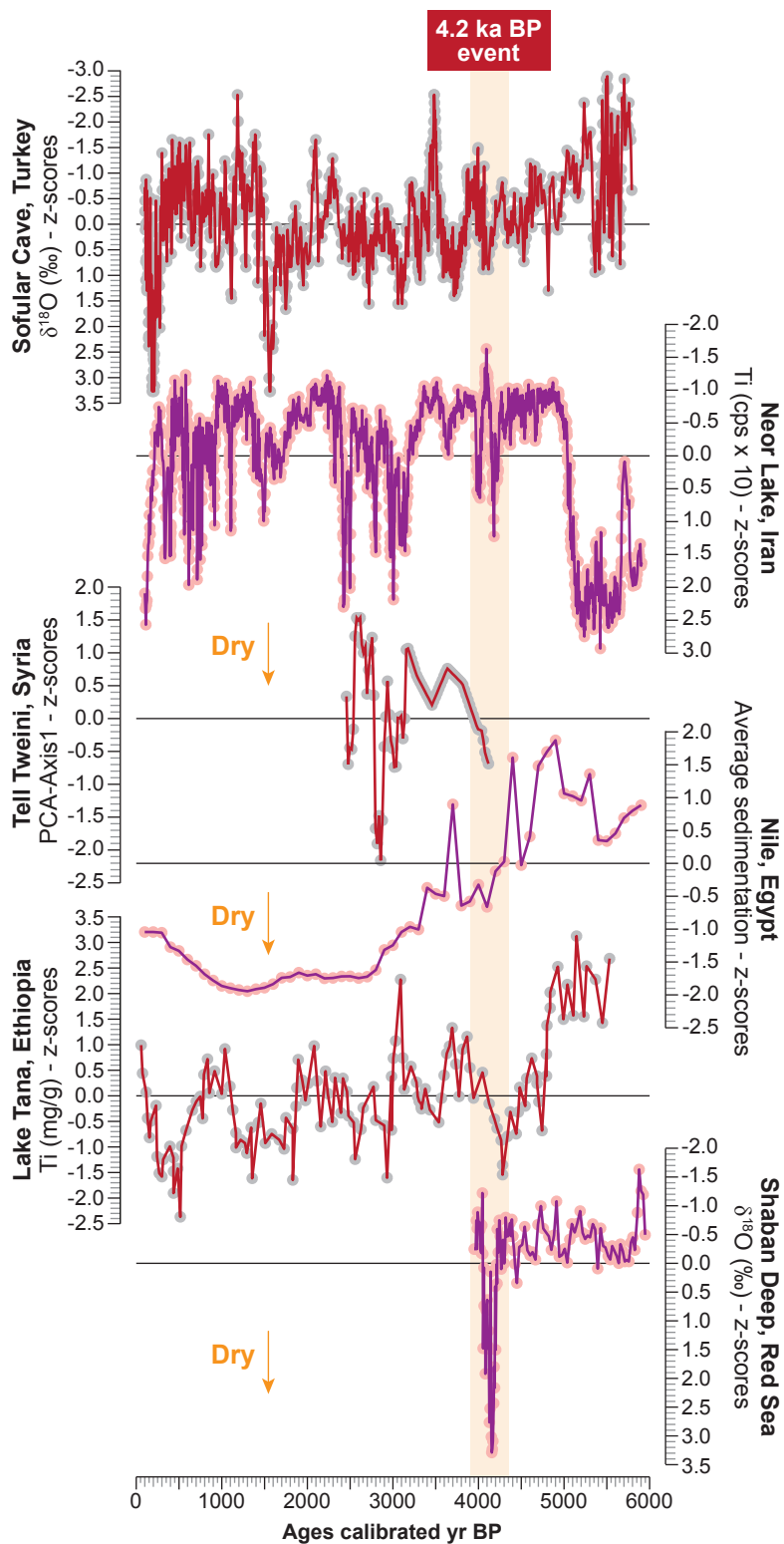


Figure 3. Paleoclimate series (z-score transformed), with the type of climate proxy noted. The orange vertical band represents the 4.2 ka BP event. From top to bottom, Sofular cave (Turkey, Göktürk et al., 2011), Neor Lake (Iran, Sharifi et al., 2015), Tell Tweini (Syria, Kaniewski et al., 2008), Nile (Egypt, Marriner et al., 2012), Lake Tana (Marshall et al., 2011), and Shaban deep (Red Sea, Arz et al., 2006).

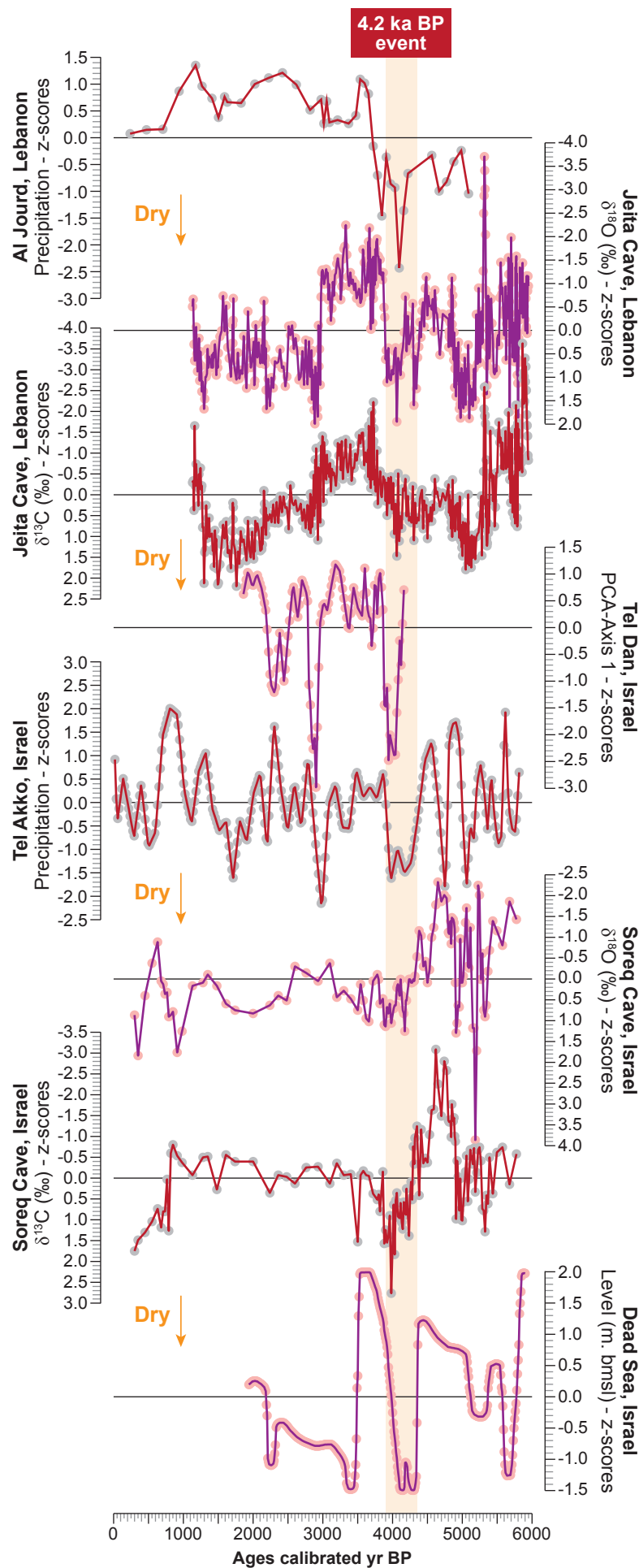


Figure 4. Paleoclimate series (z-score transformed), with the type of climate proxy noted. The orange vertical band represents the 4.2 ka BP event. From top to bottom, Al Jourd (Lebanon, Cheddadi and Khater, 2016), Jeita Cave (Lebanon, Cheng et al., 2016), Tel Dan (Israel, Kaniewski et al., 2017), Tel Akko (Israel, Kaniewski et al., 2013), Soreq Cave (Israel, Bar-Matthews et al., 2003; Bar-Matthews and Ayalon, 2011), and Dead Sea (Israel, Bookman (Ken-Tor) et al., 2004; Migowski et al., 2006; Kagan et al., 2015).