- 1 Siberian tree-ring and stable isotope proxies as indicators of temperature and moisture
- 2 changes after major stratospheric volcanic eruptions

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#### Abstract

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47 Stratospheric volcanic eruptions have far-reaching impacts on global climate and society. Tree rings can provide valuable climatic information on these impacts across different spatial and 48 49 temporal scales. Here we explore the suitability of tree-ring width (TRW), maximum latewood density (MXD), cell wall thickness (CWT), and  $\delta^{13}$ C and  $\delta^{18}$ O in tree-ring cellulose for the 50 detection of climatic changes in northeastern Yakutia (YAK), eastern Taimyr (TAY) and Rus-51 sian Altai (ALT) sites caused by six major stratospheric volcanic eruptions (535, 540, 1257, 52 53 1640, 1815 and 1991). Our findings suggest that TRW, MXD, and CWT show strong summer air temperature anom-54 55 alies in 536, 541-542, and 1258-1259 at all study sites. However, they do not reveal distinct and coherent fingerprints after other eruptions. Based on  $\delta^{13}C$  data, 536 was extremely humid 56 in YAK and TAY, whereas 541 and 542 were humid years in TAY and ALT. In contrast, the 57 1257 eruption of Samalas likely led to at least two dry summers across all three Siberian sites. 58 59 No further extreme hydro-climatic anomalies occurred at Siberian sites after the 1991 eruption. 60 Summer sunshine duration decreased significantly in 536, 541-542, 1258-1259 in YAK, and 61 536 in ALT. We show that trees growing at YAK responded mainly during the first year after the eruptions, whereas a two years delay occurs at TAY and ALT. 62 63 Since climatic responses to large volcanic eruptions are different, and thus affect ecosystem functioning and productivity differently in space and time, a combined assessment of multiple 64 65 tree-ring parameters is needed to provide a more complete picture of past climate dynamics, which in turns appears fundamental to validate global climate models. 66

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**Key words:**  $\delta^{13}$ C and  $\delta^{18}$ O in tree-ring cellulose, tree-ring width, maximum latewood density, cell wall thickness, drought, temperature, precipitation, sunshine duration, vapor pres-

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#### 1. Introduction

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Major stratospheric volcanic eruptions can substantially modify the Earth's radiative balance and cool the troposphere. This is due to the massive injection of sulphate aerosols, which are able to reduce surface temperatures on timescales ranging from months to years (Robock, 2000). The global cooling associated with the radiative effects of volcanic aerosols, which absorb terrestrial radiation and scatter incoming solar radiation significantly, has been estimated to about 0.5°C during the two years following the Mount Pinatubo eruption in June 1991 (Hansen et al., 1996). Since trees – as living organisms – are impacted in their metabolism by environmental changes, their responses to these changes are recorded in the biomass, as it is found in tree-ring parameters (Schweingruber, 1996). The decoding of tree-ring archives therefore is used to reconstruct past climates. A summer cooling of the Northern Hemisphere (NH) ranging from 0.6°C to 1.3°C has been reported after the strongest eruptions of the past 1,500 years: CE 1257 Samalas, 1452/3 Unknown, 1600 Huaynaputina, and 1815 Tambora eruptions based on tree-ring width (TRW) and maximum latewood density (MXD) reconstructions (Briffa et al., 1998; Schneider et al., 2015; Stoffel et al., 2015; Wilson et al., 2016; Esper et al., 2017; Guillet et al., 2017). According to climate simulations, significant changes in the precipitation regime can also be expected after large volcanic eruptions; these include, among others, rainfall deficit in monsoon prone regions and in Southern Europe (Joseph and Zeng, 2011) as well as wetter than normal conditions in Northern Europe (Robock and Liu 1994; Gillet et al., 2004; Peng et al., 2009; Meronen et al., 2012; Iles et al., 2013; Wegmann et al., 2014). However, despite recent advances in the field, the impacts of stratospheric volcanic eruptions on the hydro-climatic variability at regional scales remain largely unknown. Therefore, this relevant knowledge about moisture anomalies is critically needed, especially at high-latitude sites where tree growth is mainly limited by summer temperatures.

As dust and aerosol particles of large volcanic eruptions affect primarily the radiation regime, three major drivers of plant growth, i.e. photosynthetic active radiation (PaR), temperature and vapor pressure deficit (VPD) will be affected by volcanic activity. This is reflected in reduced TRW as a result of reduced photosynthesis but even more so by low temperature. As cell division is also temperature dependent, its rate (tree-ring growth) will exponentially decrease with decreasing temperature below +3°C (Körner, 2015), outweighing the "low light / low-photosynthesis" effect by far. Furthermore, over the last years, some studies using mainly carbon isotopic signals ( $\delta^{13}$ C) in tree rings showed eco-physiological responses of trees to volcanic eruptions at mid- (Battipaglia et al., 2007) or high- (Gennaretti et al., 2017) latitudes. By contrast, a combination of both carbon ( $\delta^{13}$ C) and oxygen ( $\delta^{18}$ O) isotopes in tree rings has been employed only rarely to trace CE volcanic eruptions in high-latitude or high-altitude proxy records (Churakova (Sidorova) et al., 2014). Approaches including TRW, MXD and cell wall thickness (CWT) as well as  $\delta^{13}$ C and  $\delta^{18}$ O in tree cellulose are a promising way to disentangle hydro-climatic variability as well as winter and early spring temperatures at high-latitude and high-altitude sites (Sidorova et al., 2008, 2010, 2011; Churakova (Sidorova) et al., 2014). In that sense, recent work has allowed the retrieval of high-resolution, seasonal information on water and carbon limitations on growth during spring and summer from CWT measurements (Panyushkina et al., 2003; Sidorova et al., 2011; Fonti et al., 2013; Bryukhanova et al., 2015). Depending on site conditions,  $\delta^{13}$ C variations reflect light (stand density) (Loader et al., 2013), water availability (soil properties) and air humidity (proximity to open waters, i.e. rivers, lakes, swamps and orography) as these parameters have been recognized to modulate the stomatal conductance (g<sub>l</sub>) controlling carbon isotopic discrimination.

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Depending on the study site, a decrease in the carbon isotope ratio can be expected after stratospheric volcanic eruptions due to limited photosynthetic activity and higher stomatal conductance, which in turn would be the result of decreased temperatures, VPD and a reduction in light intensity. By contrast, volcanic eruptions have also been credited for an increase in photosynthesis as dust and aerosol particles cause an increased light scattering, compensating for the light reduction (Gu et al., 2003). A significant increase in  $\delta^{13}$ C values in tree-ring cellulose should be interpreted as an indicator of drought (stomatal closure) or high photosynthesis (Farquhar et al., 1982). In the past, very limited attention has been given to the elemental and isotopic composition of tree rings in years during which they may have been subjected to the climatic influence of powerful, but remote, and often tropical, volcanic eruptions. In this study, we aim to fill this gap by investigating the response of different components of the Siberian climate system (i.e. temperature, precipitations, VPD, and sunshine duration) to the largest volcanic events of the last 1,500 years. By doing so, we seek to extend our understanding of the effects of volcanic eruptions on climate by combining multiple climate sensitive variables measured in tree rings that were formed around the time of the largest volcanic eruptions with a Volcanic Explosivety Index (VEI) exceeding 5. We focus our investigation on remote, two high-latitude (northeastern Yakutia), YAK and eastern Taimyr (TAY) and one high-altitude (Russian Altai, ALT) Siberian sites, where long tree-ring chronologies with high climate sensitivity exist. Therefore, we developed a dataset including five tree-ring proxies: TRW, MXD, CWT,  $\delta^{13}$ C and  $\delta^{18}$ O stable isotope chronologies derived from larch trees to (1) determine the major climatic drivers of the above mentioned proxies and to evaluate their suitability in terms of climate responsiveness, for each proxy separately and in combination; and (2) based on these analyses reconstruct the climatic effect of these unusually large CE volcanic eruptions (Table 1).

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#### 2. Material and methods

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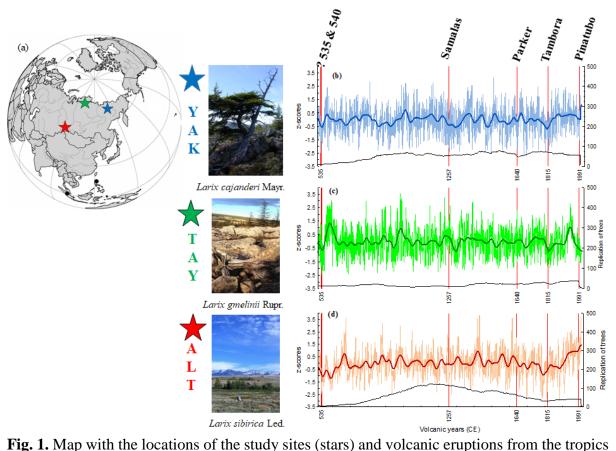
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147 *2.1. Study sites* 

The study sites are situated in Siberia (Russian Federation), far away from industrial centers, in zones characterized by continuous permafrost in northeastern Yakutia (YAK, 69°N, 148°E); eastern Taimyr (TAY, 70°N, 103°E) and in the Altai mountains (ALT, 50°N, 89°E) (Fig. 1a, Table 2). Tree-ring samples were collected during several expeditions and included old relict wood and living larch trees, Larix cajanderi Mayr (max. 1216 years) in YAK, Larix gmelinii Rupr. (max. 640 years) in TAY and Larix sibirica Ldb. (max. 950 years) in ALT. TRW chronologies have been developed and published in the past (Fig. 1, Hughes et al., 1999; Sidorova and Naurzbaev 2002; Sidorova 2003 for YAK; Naurzbaev et al., 2002 for TAY; Myglan et al., 2008 for ALT). Mean annual air temperature is lower at the high-latitude YAK and TAY sites than at the highaltitude ALT site (Table 2). Annual precipitation totals are very low for all study sites. The vegetation period calculated with a growth threshold of +5°C (Fritts 1976; Schweingruber 1996) is very short (50-120 days) at all locations (Table 2). Sunshine duration for tree growth is higher at YAK and TAY (ca. 18-20 h/day in summer) compared to ALT (ca. 18 h/day in summer) (Sidorova et al., 2005; Myglan et al., 2008; Sidorova et al., 2011; Churakova (Sidorova) et al., 2014).



(black dots) considered in this study (a). Annual tree-ring width index (light lines) and smoothed by 51-year Hamming window (bold lines) chronologies from northeastern Yakutia (YAK - blue, b) (Hughes et al., 1999; Sidorova and Naurzbaev 2002; Sidorova 2003), eastern Taimyr (TAY - green, c) (Naurzbaev et al., 2002), and Russian Altai (ALT - red, d) (Myglan et al., 2009) were constructed based on larch trees (Photos: V. Myglan – ALT, M. M.

2.2. Selection of the study periods and larch subsamples

Naurzbaev – YAK, TAY).

Volcanic aerosols deposited in ice core records (Gao et al., 2008; Crowley and Untermann, 2013; Sigl et al., 2015) attest to 6 major volcanic events in CE 535, 540, 1257, 1640, 1815, and 1991 with the VEI>6, that may have had a noticeable impact on the climate system globally.

To investigate climatic impacts of these eruptions in Siberian regions we developed MXD, CWT,  $\delta^{13}$ C and  $\delta^{18}$ O chronologies for the following periods around (± 10 years): CE 525-545, 1247-1267, 1630-1650, 1805-1825, and 1950-2000, with the latter being used to calibrate treering proxy versus available climate data (Table 2). Material was prepared from the 2000-yr long TRW chronologies available at each of the sites from the previous studies (Fig. 1 b-d). According to the level of conservation of the material, the largest possible number of samples was prepared for each of the proxies. Unlike TRW, which could be measured on virtually all samples, some of the material was not available with sufficient quality to allow for tree-ring anatomy and stable isotope analysis. We therefore use a smaller sample size for CWT (n=4) and stable isotopes (n=4) than for TRW (n=12) or MXD (n=12). Nonetheless, replications are still comparable with those used in reference papers in the fields of CWT and isotope analyses (Loader et al., 1997; Panyushkina et al., 2003; Fonti et al., 2013).

**Table 1.** List of stratospheric volcanic eruptions used in the study.

Study period	Date of eruption	Volcano	Volcanic	Location,	References
(CE)	Month/Day/Year	name	Explosivity	coordinates	
			Index (VEI)		
525-545	NA/NA/535	Unknown	?	Unknown	Stothers, 1984
	NA/NA/540	Unknown	?	Unknown	Sigl et al., 2015
1247-1267	May-October/NA/ 1257	Samalas	7	Indonesia, 8.42°N, 116.47°E	Lavigne et al., 2013; Stothers, 2000; Sigl et al., 2015
1630-1650	December/26/1640	Parker	5	Philippines, 6°N, 124°E	Zielinski et al., 1994
1805-1825	April/10/1815	Tambora	7	Indonesia, 8°S, 118°E	Zielinski et al., 1994
1950 - 2000	June/15/1991	Pinatubo	6	Philippines, 15°N, 120°E	Zielinski et al., 1994; Sigl et al., 2015

NA – not available.

Site	Species	Location	Weather	]	Meteorolo	gical parameter	'S	Length of	Thawing	Annual air	Annual
			station					vegetation	permafrost	temperature	precipitation
				T	P	S	VPD	period (day)	depth	(°C)	(mm)
				(°C)	(mm)	(h/month)	(kPa)	r ()	•	( 0)	()
				Periods				_	(max, cm)		
YAK	Larix	69°N,	Chokurdach	1950-	1966-	1961-2000	1950-	50-70*	20-50*	-14.7	205
	cajanderi	148°E	62°N,	2000	2000		2000				
	Mayr.		147°E,								
			61 m. a.s.l.								
TAY	Larix	70°N,	Khatanga	1950-	1966-	1961-2000	1950-	90**	40-60**	-13.2	269
	gmelinii _	103°E	71°N,	2000	2000		2000				
	Rupr.		102°E,								
			33m. a.s.l.								
ALT	Larix	50°N,	Mugur Aksy	1963-	1966-			90-120***	80-100***	-2.7	153
	sibirica	89°E	50°N, 90°E	2000	2000						
	Ledeb.		1850 m.								
			a.s.l.								
			Kosh-Agach			1961-2000	1950-	_			
			50°N, 88°E				2000				
			1758 m.a.s.l.								

<sup>\*</sup>Abaimov, 1996; Hughes et al., 1999; Churakova (Sidorova) et al., 2016

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<sup>203 \*\*</sup>Naurzbaev et al., 2002

**<sup>204</sup>** \*\*\*Sidorova et al., 2011

*2.3. Tree-ring width analysis* 

Ring width of 12 trees was re-measured for each selected period. Cross-dating was checked by comparison with the existing complete 2000-yr TRW chronologies (Fig. 1). The TRW series were standardized using the ARSTAN program (Cook and Krusic, 2008) based on the negative exponential curve (k>0) or a linear regression (any slope) prior to averaging with the bi-weight robust mean (Cook and Kairiukstis 1990). Signal strength in regional TRW chronologies was assessed with the Expressed Population Signal (EPS) statistics as it measures how well the finite sample chronology compares with a theoretical population chronology based on an infinite number of trees (Wigley et al., 1984). RBAR and EPS values of stable isotope chronologies were calculated for the period from 1950 to 2000, for which individual trees were analyzed separately, and show the common signal with an EPS > 0.85. Back in time, we used pooled material only. For all other tree-ring parameters, EPS also exceeds the threshold of 0.85.

2.4. Image analysis of cell wall thickness (CWT)

Analysis of wood anatomical features was performed for all studied periods with an AxioVision scanner (Carl Zeiss, Germany). Micro-sections were prepared using a sliding microtome and stained with methyl blue (Furst, 1979). Tracheids in each tree ring were measured along five radial files of cells (Munro et al., 1996; Vaganov et al., 2006) selected for their larger tangential cell diameter (T). For each tracheid, CWT and the radial cell diameter (D) were computed. In a second step, tracheid anatomical parameters were averaged for every tree ring. Site chronologies are presented for the complete annual ring chronology without standardization due to the absence of low-frequency trend. CWT data from ALT for the periods 1790-1835 and 1950-2000 were used from the past studies (Sidorova et al., 2011; Fonti et al., 2013) and for YAK for the period from 1600-1980 from Panyushkina et al. (2003). Unfortunately, the remaining YAK sample size was too small for anatomical analyses. Thus it was impossible to produce a clear anatomical signal for the

230	CE 536 ring from existing material. As a result, cell wall thickness is missing for this year at TAY
231	(Fig. 2).
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233	2.5. Maximum latewood density (MXD)
234	Maximum latewood density chronologies from ALT were available continuously for the period
235	CE 1407-2007 from Schneider et al. (2015) and for YAK and TAY the period CE 1790-2004 from
236	Sidorova et al. (2010). For any of the other periods, at least six cross-sections (for CE 516-560,
237	only four sections could be used, as this period is not as well replicated) were sawn with a double-
238	bladed saw, to a thickness of 1.2 mm, at right angles to the fiber direction. Samples were exposed
239	to X-rays for 35-60 min (Schweingruber 1996). MXD measurements were obtained with a reso-
240	lution of 0.01 mm, and brightness variations transferred into (g•cm³) using a calibration wedge
241	(Lenz et al., 1976; Eschbach et al., 1995) from a Walesch X-ray densitometer 2003. All MXD
242	series were detrended in ARSTAN by calculating subtractions from straight-line functions (Fritts,
243	1976). Site chronologies were developed for each volcanic period using the bi-weight robust mean.
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245	2.6. Stable carbon $(\delta^{13}C)$ and oxygen $(\delta^{18}O)$ isotopes in tree-ring cellulose
246	During photosynthetic CO <sub>2</sub> assimilation <sup>13</sup> CO <sub>2</sub> is discriminated against <sup>12</sup> CO <sub>2</sub> , leaving the newly
247	produced assimilates depleted in $^{13}$ C. The carbon isotope discrimination ( $^{13}\Delta$ ) is partitioned in the
248	diffusional component with $a=4.4\%$ and the biochemical fractionation with $b=27\%$ , for C3
249	plants, during carboxylation via Rubisco. The $^{13}\Delta$ is directly proportional to the $c_i/c_a$ ratio, where
250	$c_i$ is the leaf intercellular, and $c_a$ the ambient CO <sub>2</sub> concentration. This ratio reflects the balance
251	between stomatal conductance $(g_l)$ and photosynthetic rate $(A_N)$ . A decrease in $g_l$ at a given $A_N$
252	results in a decrease of $^{13}\Delta$ , as $c_i/c_a$ decreases and vice versa. The same is true when $A_N$ increases
253	or decreases at a given g <sub>1</sub> . Since CO <sub>2</sub> and H <sub>2</sub> O gas exchange are strongly interlinked with the C-
254	isotope fractionation $^{13}\Delta$ is controlled by the same environmental variables i.e. PaR, CO <sub>2</sub> , VPD
255	and temperature (Farquhar et al., 1982, 1989; Cernusak et al., 2013).

The oxygen isotopic compositions of tree-ring cellulose record the  $\delta^{18}O$  of the source water de-256 rived from precipitation, which itself is related to temperature variations at middle and high lati-257 tudes (Craig, 1961; Daansgard, 1964). It is modulated by evaporation at the soil surface and to a 258 259 larger degree by evaporative and diffusion processes in leaves; the process is largely controlled by the vapor pressure deficit (Dongmann et al., 1972, Farquhar and Loyd, 1993, Cernusak et al., 260 261 2016). A further step of fractionation occurs as sugar molecules are transferred to the locations of 262 growth (Roden et al., 2000). During the formation of organic compounds the biosynthetic fractionation leads to a positive shift of the  $\delta^{18}$ O values by 27% relative to the leaf water (Sternberg, 263 2009). The oxygen isotope variation in tree-ring cellulose therefore reflects a mixed climate infor-264 mation, often dominated by a temperature, source water or sunshine duration modulated by the 265 266 VPD influence. The cross-sections of relict wood and cores from living trees used for the TRW, MXD and CWT 267 measurements were then selected for the isotope analyses. We analyzed four subsamples for each 268 269 studied period according to the standards and criteria described in Loader et al. (2013). The first 50 yrs. of each sample were excluded to limit juvenile effects (McCarroll and Loader, 2004). After 270 splitting annual rings with a scalpel, the whole wood samples were enclosed in filter bags. α-271 272 cellulose extraction was performed according to the method described by Boettger et al. (2007). For the analyses of  ${}^{13}\text{C}/{}^{12}\text{C}$  and  ${}^{18}\text{O}/{}^{16}\text{O}$  isotope ratios, 0.2-0.3 mg and 0.5-0.6 mg of cellulose were 273 weighed for each annual ring, into tin and silver capsules, respectively. Carbon and oxygen iso-274 275 topic ratios in cellulose were determined with an isotope ratio mass spectrometer (Delta-S, Finni-276 gan MAT, Bremen, Germany) linked to two elemental analyzers (EA-1108, and EA-1110 Carlo Erba, Italy) via a variable open split interface (CONFLO-II, Finnigan MAT, Bremen, Germany). 277 278 The <sup>13</sup>C/<sup>12</sup>C ratio was determined separately by combustion under oxygen excess at a reactor temperature of 1020°C. Samples for <sup>18</sup>O/<sup>16</sup>O ratio measurements were pyrolyzed to CO at 1080°C 279 280 (Saurer et al., 1998). The instrument was operated in the continuous flow mode for both, the C and

O isotopes. The isotopic values were expressed in the delta notation multiplied by 1000 relative to 281 282 the international standards (Eq. 1): 283  $\delta$  sample =  $R_{\text{sample}}/R_{\text{standard}}-1$ (Eq. 1) where  $R_{sample}$  is the molar fraction of  $^{13}C/^{12}C$  or  $^{18}O/^{16}O$  ratio of the sample and  $R_{standard}$  the molar 284 fraction of the standards, Vienna Pee Dee Belemnite (VPDB) for carbon and Vienna Standard 285 Mean Ocean Water (VSMOW) for oxygen. The precision is  $\sigma \pm 0.1\%$  for carbon and  $\sigma \pm 0.2\%$ 286 for oxygen. To remove the atmospheric  $\delta^{13}$ C trend after CE 1800 from the carbon isotope values 287 in tree rings (i.e. Suess effect, due to fossil fuel combustion) we used atmospheric  $\delta^{13}$ C data from 288 Francey et al. (1999), http://www.cmdl.noaa.gov./info/ftpdata.html). These corrected series were 289 used for all statistical analyses. The  $\delta^{18}$ O cellulose series were not detrended. 290 291 292 2.7. Climatic data 293 Meteorological series were obtained from local weather stations close to the study sites and used 294 for the computation of correlation functions between tree-ring proxies and monthly climatic parameters (Table 2). Sunshine duration data were obtained from available Kosh-Agach meteoro-295 logical station (http://aisori.meteo.ru/ClimateR). 296 297 2.8. Statistical analysis 298 299 All chronologies for each period were normalized to z-scores (Fig. 2). To assess post-volcanic 300 climate variability, we used Superposed Epoch Analysis (SEA, Panofsky and Brier, 1958) with 301 the five proxy chronologies available at each of the three study sites. In this experiment, the 15 302 years before and after a volcanic eruption were analyzed. SEA is applied to the six annually dated 303 volcanic eruptions (Table 1). To test the sensitivity of the studied tree-ring parameters to climate, bootstrap correlation functions 304 have been computed between proxy chronologies and monthly climate predictors using the 305 'bootRes' package of R software (R Core Team 2016) for the period 1950 (1966)-2000. 306

To estimate whether volcanic years can be considered as extreme, we computed Probability Density Functions (PDFs, Stirzaker, 2003) for each study site and for each tree-ring parameter over a period of 221 years for which measurements are available (Fig. S1). A year is considered (very) extreme if the value of a given parameter is below the (5<sup>th</sup>) 10<sup>th</sup> percentile of the PDF. We applied unpaired t-test statistics to check significance between each proxy and each site.

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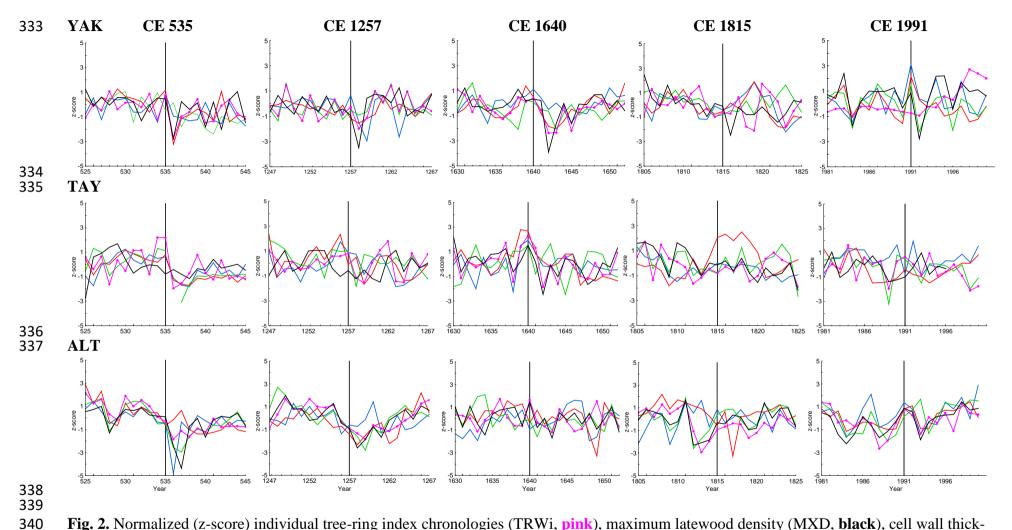
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### 3. Results

3.1. Anomalies in tree-ring proxy chronologies after stratospheric volcanic eruptions Normalized TRW chronologies show negative deviations the year following the eruptions at YAK and ALT with significant anomalies in CE 536 (-2.7  $\sigma$  and -1.8  $\sigma$  for YAK and ALT respectively) and a delayed decrease, two years after the events, at TAY (Fig. 2). Regarding CWT, a strong decrease is observed in CE 536 at YAK. Only two layers of cells were formed in CE 536 as compared to the 11-20 layers of cells formed on average during "normal" years. In addition, we also observe the formation of frost rings in ALT between CE 536 and 538, as well as in 1259. Furthermore, we reveal decreasing MXD values for ALT (-4.4  $\sigma$ ) and YAK (-2.8  $\sigma$ ) were observed in CE 537. However, for TAY, we found less pronounced patterns of variation (Fig. 2). In this regard, the sharpest decrease is observed in the CWT chronologies from YAK (-3.9σ) in CE 541, as well as in TAY (-3.0  $\sigma$ ) and ALT (-2.9  $\sigma$ ) one and two years, respectively after the eruptions (Fig. 2). The  $\delta^{18}$ O chronologies show a distinct decrease one year after the eruptions for YAK - $3.9 \,\sigma$ , in the year of 1259, TAY -3.0 $\sigma$  in 537, and ALT - 2.9  $\sigma$  in 537 only (Fig. 2, Fig. S1). Finally,  $\delta^{13}$ C negative anomalies are observed in TAY, and – to a lesser extent – in YAK two years after almost all of the eruptions, but are largely absent from the ALT chronology. The CE 540 eruption was recorded in CWT and  $\delta^{13}$ C at YAK only (Fig. 2). With respect to the CE 1257 Samalas eruption (Fig. 2), the year following the eruption was recorded as very extreme in the TRW and CWT chronologies at all sites whereas very extreme anomalies were recorded in  $\delta^{13}$ C for CE 1259 (see Fig. S1).



**Fig. 2.** Normalized (z-score) individual tree-ring index chronologies (TRWi, pink), maximum latewood density (MXD, black), cell wall thickness (CWT, green),  $\delta^{13}$ C (red) and  $\delta^{18}$ O (blue) in tree-ring cellulose chronologies from YAK, TAY and ALT for the specific periods 10 years before and after the eruptions CE 535, 1257, 1640, 1815 and 1991 are presented. Vertical lines showed year of the eruptions.

The impacts of the more recent CE 1640 Parker, 1815 Tambora, and 1991 Pinatubo eruptions are, by contrast, by far less obvious. In CE 1643, extreme decreases are observed in the TRW and CWT series of the high-latitude sites YAK and TAY, whereas tree-ring proxies are not clearly affected at ALT. No extreme anomalies are observed in CE 1816 in Siberia regardless of the site and the tree-ring parameter analyzed. The ALT  $\delta^{13}$ C chronology can be seen as an exception to the rule here as it evidenced extreme values in CE 1817. Finally, the Pinatubo eruption is captured in CE 1992 by MXD and CWT chronologies from YAK and classified as extreme in the CWT and  $\delta^{18}$ O chronologies from ALT in 1993 (Fig. S1, right panel). Overall, the SEA (Fig. 3) shows the high spatiotemporal variability and complexity of the response of the Siberian climate system to the largest volcanic events over past millennium (CE 535, 1257, 1641, 1815 and 1991). A lagged response by one year after the eruptions is observed in the CWT proxies at all three sites (Fig. 3c). The behavior of isotope chronologies is rather more complex, with a distinct decrease in  $\delta^{13}$ C (Fig. 3b) at the high-latitude sites (YAK, TAY), whereas  $\delta^{18}$ O series (Fig. 3a) are impacted mainly at the high-altitude ALT site. We find significant differences (p=0.014, df=40, n=21) between averaged δ<sup>13</sup>C chronologies of the YAK and ALT sites. SEA for TRW (Fig. 3d) and MXD (Fig. 3e) show a more drastic decrease of values during the first year, mainly for YAK, when compared to other proxies and study sites (Fig. 3 a,b,c).

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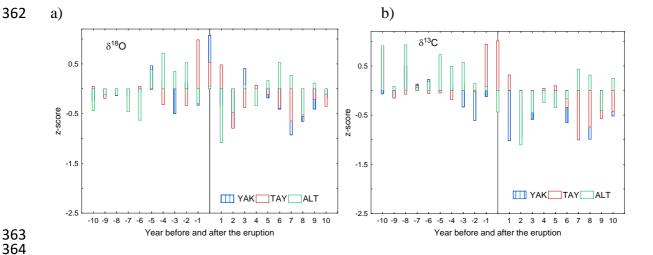
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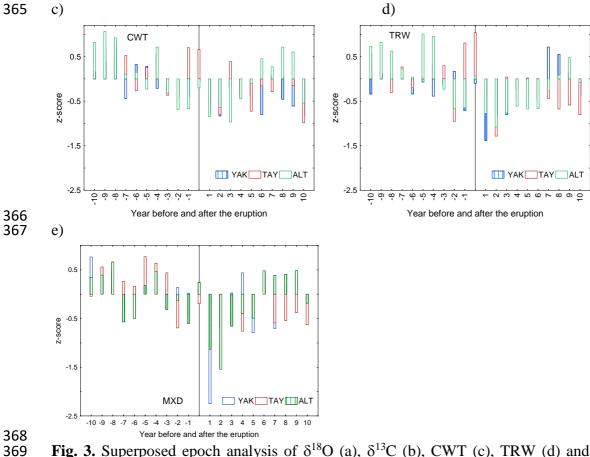
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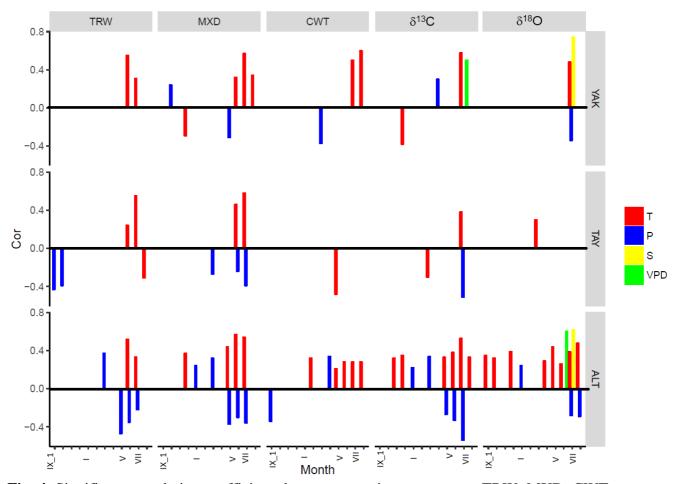


**Fig. 3.** Superposed epoch analysis of  $\delta^{18}O$  (a),  $\delta^{13}C$  (b), CWT (c), TRW (d) and MXD (e) chronologies for each study site and for the major volcanic eruptions in CE 535, 1257, 1641, 1815 and 1991.

3.2. Tree-ring proxies versus meteorological series

### 3.2.1. Monthly air temperatures and sunshine duration

Bootstrapped functions evidence significant positive correlations (p<0.05) between TRW and MXD chronologies and mean summer (June-July) temperatures at all sites. Temperatures at the beginning (June) and the end of the growing season (mid-August) influenced the MXD chronology in ALT (r = 0.57) and YAK (r = 0.55), respectively (Fig. 4). July temperatures appear as a key factor for determining tree growth as they significantly impact CWT,  $\delta^{13}$ C, and  $\delta^{18}$ O (with the exception of TAY for the latter) chronologies (r=0.28-0.60) at YAK and ALT.



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Fig. 4. Significant correlation coefficients between tree-ring parameters: TRW, MXD, CWT,

 $\delta^{13}C$  and  $\delta^{18}O$  versus weather station data: temperature (T, red), precipitation (P, blue), vapor

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pressure deficit (VPD, green), and sunshine duration (S, yellow) from September of the previ-

ous year to August of the current year for three study sites were calculated. Table 2 lists stations

used in the analysis.

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Namely, February and March temperatures affected significantly  $\delta^{18}\text{O}$  as recorded in the cellu-

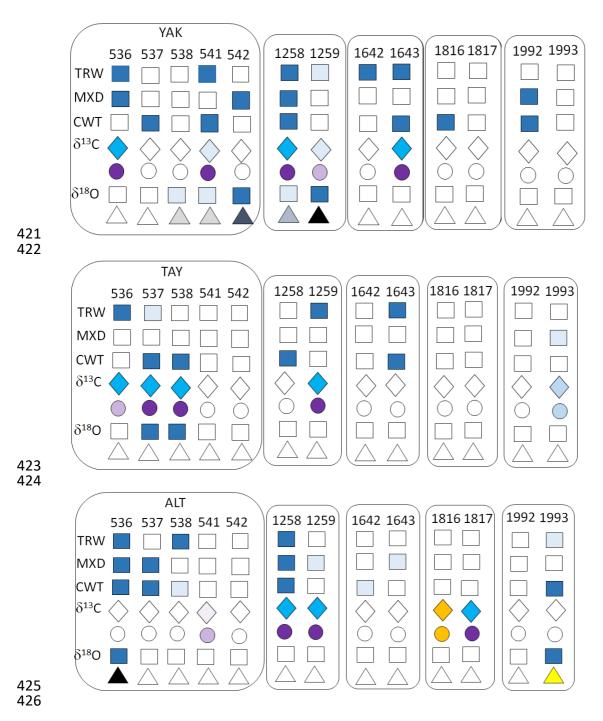
lose chronologies at YAK, ALT (r=0.25, r=0.26), while March and May (r=0.30) temperatures

in TAY, respectively.

392	Correlation analysis between July temperature and July sunshine duration showed significant
393	correlation for YAK (r=0.56) and ALT (r=0.34). July sunshine duration are strongly and posi-
394	tively correlated with $\delta^{18}\!O$ in larch tree-ring cellulose chronologies from YAK (r=0.73) and
395	ALT (r=0.51) for the period 1961-2000.
396	
397	3.2.2. Monthly precipitation
398	The strongest July precipitation signal is observed at ALT (r=-0.54) and TAY (r=-0.51) with
399	$\delta^{13}$ C chronologies ( $p$ <0.05). In addition, at ALT a positive relationship is observed between
400	March precipitation and TRW ( $p$ <0.05) (r=0.37), MXD (r=0.32) and CWT (r=0.34), respec-
401	tively. At YAK, July precipitation showed negative relationship with $\delta^{18}\text{O}$ in tree-ring cellulose
402	(r=-0.34; p<0.05) only.
403	
404	3.2.3. Vapor pressure deficit (VPD)
405	June VPD is significantly and positively correlated with the $\delta^{18}\text{O}$ chronology from ALT (r=0.67
406	$p < 0.05$ , respectively) for the period 1950-2000. The $\delta^{13}$ C in tree-ring cellulose from YAK cor-
407	relate with July VPD only (r=0.69 $p$ <0.05). We did not find a significant influence of VPD in
407 408	relate with July VPD only (r=0.69 $p$ <0.05). We did not find a significant influence of VPD in TAY tree-ring and stable isotope parameters.
408	
408 409	TAY tree-ring and stable isotope parameters.
408 409 410	TAY tree-ring and stable isotope parameters.  3.2.4. Synthesis of the climate data analysis
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408 409 410 411 412	TAY tree-ring and stable isotope parameters.  3.2.4. Synthesis of the climate data analysis  In summary, we found that during the instrumental period of weather station observations (Table 2) mainly summer temperature influenced TRW, MXD and CWT from the HL sites (YAK,
408 409 410 411 412 413	TAY tree-ring and stable isotope parameters.  3.2.4. Synthesis of the climate data analysis  In summary, we found that during the instrumental period of weather station observations (Table 2) mainly summer temperature influenced TRW, MXD and CWT from the HL sites (YAK, TAY), while stable carbon and oxygen isotopes were affected by summer precipitation (YAK,

3.3. Response of Siberian larch trees to climatic changes after the major volcanic eruptions

Based on the statistical analysis above for the calibration period, we assumed that these relationships would not change over time and will provide information about climatic changes during past volcanic periods (Fig. 5).



**Fig. 5.** Response of larch trees from Siberia to the CE volcanic eruptions (Table 1) with percentile of distribution considered as very extreme (< 5th, intensive color), extreme (>5th, <10th,

429	light color) and non-extreme (>10th, white color). July temperature changes presented as a
430	square from <b>heavy blue</b> (cold) to <b>light blue</b> (moderate). Summer vapor pressure deficit (VPD)
431	variabilities are shown as a circle from purple (low), light purple (moderate decrease) to or-
432	ange (increase, developing to dry air). July precipitation presented as a rhomb from heavy tur-
433	quoise (wet), light blue (moderate) to orange (dry). Low July sunshine duration shown as
434	black triangle, while high – as yellow.
435	
436	3.3.1. Temperature proxies
437	We found strong summer air temperature anomalies at all sites after the 535 and 1257 CE vol-
438	canic eruptions. The temperature decrease was found in the TRW and CWT datasets at all sites,
439	and also in the MXD datasets at YAK and ALT (Fig. 5). For the volcanic eruptions in later
440	centuries, the evidence for a decrease in temperature was not as pronounced. Namely, no strong
441	drop in summer temperature was found for ALT in CE 1642 nor 1643, an extreme cold in TAY
442	for 1643 only, while still a cold summer in YAK for both years; 1816 was cold only in YAK
443	(based on the CWT chronology), but not at the other sites. CE 1992 was recorded as a cold year
444	based on MXD and CWT from YAK, but again not for the other sites; CE 1993 was an extreme
445	year for ALT based on CWT and $\delta^{18}O$ .
446	
447	3.3.2. Moisture proxies: precipitation and VPD
448	Based on the climatological analysis with the local weather stations data (Table 2, Fig. 4) for
449	all studied sites we considered $\delta^{13}C$ in cellulose chronologies as proxies for precipitation
450	changes. Yet, CWT from ALT could be considered as a proxy with mixed temperature and
451	precipitation signal (Fig. 4, Fig. 5). Therefore, CE 536 was extremely humid in YAK and TAY,
452	as well as 541 and 542 in TAY and ALT. CE 1258 was dry in YAK and ALT, while drier than
453	normal conditions occurred in 1259 for all studied sites. CE 1641 was dry in TAY; 1642 in

454	YAK and ALT. A rather wet summer was in TAY during 1815 and 1816 years. CE 1991 was
455	wet in YAK, 1992 in ALT followed by a dry summer in 1993 (Fig. 5).
456	
457	3.3.3. Sunshine duration proxies
458	Instrumental measurements of sunshine duration (Table 2) in YAK and ALT during the recent
459	period showed a significant link with $\delta^{18}O$ cellulose. Based on this we conclude that sunshine
460	duration decreased significantly in 536, 541, 542, 1258 and 1259 in YAK, and 536 in ALT.
461	Conversely, summer 1993 in ALT was very sunny (Fig. 5).
462	
463	4. Discussion
464	In this paper, we analyze climatic anomalies in years following selected, large volcanic erup-
465	tions of the CE using long-term, tree-ring multi-proxy chronologies for $\delta^{13}C$ and $\delta^{18}O$ , TRW,
466	MXD, CWT for the high-latitude (YAK, TAY) and high-altitude (ALT) sites. The main goal
467	was to explore the suitability of the above-mentioned proxies for the detection of abrupt cli-
468	matic changes caused by volcanic eruptions: (i) for each proxy alone, and (ii) for the combined
469	use of all proxies, to reconstruct the respective climatic changes, which should go beyond tem-
470	perature. Since trees as living organisms respond to various climatic impacts, the carbon assim-
471	ilation and growth patterns accordingly leave unique "finger prints" in the photosynthates,
472	which is recorded in the wood of the tree rings specifically and individually for each proxy.
473	
474	4.1. Evaluation of the applied proxies in Siberian tree-ring data
475	This study clearly shows that each proxy has to be analyzed and interpreted specifically for its
476	validity on each studied site and evaluated for its suitability for the reconstruction of abrupt
477	climatic changes.

TRW in temperature-limited environments is a proxy for summer temperature reconstructions, 478 479 as growth is a temperature-controlled process. Temperature clearly determines the duration of 480 the growing season and the rate of cell division (Cuny et al., 2014). Accordingly, low growing season temperatures are reflected in narrow tree rings. The upper temperature limit is species 481 482 and biome specific. In most cases tree growth is limited by drought rather than by high temper-483 atures, since water shortage and VPD increase with increasing temperature. Still this does not 484 make TRW a suitable proxy to determine the influence of water availability and air humidity, 485 especially at the temperature-limited sites. 486 MXD chronologies obtained for the Eurasian subarctic record mainly a July-August temperature signal (Vaganov et al., 1999; Sidorova et al., 2010; Büntgen et al., 2016) and add valuable 487 488 information about climate conditions toward the end of the growth season. Similarly, CWT is 489 an anatomical parameter, which contains information on carbon sink limitation of the cambium 490 due to extreme cold conditions (Panyushkina et al., 2003; Fonti et al., 2013; Bryukhanova et al., 2015). The clear signal about reduced number of cells within a season, for example, strong 491 492 decreasing CWT in CE 536 at YAK or formation of frost rings in ALT (CE 536-538, 1259) has 493 been shown in our study. Low  $\delta^{13}$ C values can be explained by a reduction in photosynthesis caused by volcanic dust 494 veils. For the distinction whether  $\delta^{13}$ C is predominantly determined by  $A_N$  or  $g_l$  the combined 495 evaluation with  $\delta^{18}$ O or TRW is needed. High  $\delta^{18}$ O values indicate high VPD, which induces a 496 497 reduction in stomatal conductance, reducing the back diffusion of depleted water molecules 498 from the ambient air. This confirms a sunny year CE 1991 in YAK and to some extent in ALT 499 with warm and dry weather conditions. Interestingly, we also find less negative values for  $\delta^{13}$ C in the same period. This shows that the two isotopes correlate with each other and this indicates 500 501 the need for a combined evaluation of the C and O isotopes (Scheidegger et al., 2000) taking 502 into account precautions as suggested by Roden and Siegwolf (2012).

4.2. Lag between volcanic events and response in tree rings

In most of the discussed events, we observe a certain delay – or lag – between the eruption and the response in tree rings of one year or more (Fig. 3). This lag is explained by the tree's use of stored carbohydrates, which are the substrate for needle and early wood production. These stored carbohydrates carry the isotopic signal of previous years and depending on their remobilization and use mask the signals in freshly produced biomass. The delayed signal could also reflect the time needed for the dust veil to be transported to the study sites.

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4.3. Temperature and sunshine duration changes after stratospheric volcanic eruptions Correlation functions show that MXD and CWT (with the exception of TAY in the latter case), and to a lesser extent also TRW chronologies, portray the strongest signals for summer (June-August) temperatures. In addition, significant information about sunshine duration can be derived from the YAK and ALT  $\delta^{18}$ O series. Thus, we hypothesize that extremely narrow TRW and very negative anomalies observed in the MXD and CWT chronologies of YAK and to a lesser extent at ALT, in CE 536 and 1258 along with low  $\delta^{18}$ O values (except for ALT in CE 1257) reflect cold conditions in summer. Presumably, the temperatures were below the threshold values for growth (Körner, 2015). This hypothesis of a generalized regional cooling after both eruptions is further confirmed by the occurrence of frost rings at all sites in CE 536 (Myglan et al., 2008; Guillet et al., 2017), as well as in neighboring Mongolia (D'Arrigo et al., 2001). The unusual cooling in CE 536 is also evidenced by a very small number of cells formed at YAK (Churakova (Sidorova) et al., 2014). According to the CWT chronologies, this cooling likely persisted throughout the region in CE 537 and was limited to TAY and ALT in CE 538 with formation of frost rings in ALT. Although  $\delta^{18}$ O is an indirect proxy for needle temperature, low  $\delta^{18}$ O values in CE 536 and 1258 for YAK and ALT are a result of low irradiation, leading

527	to low temperature and low VPD (high stomatal conductance), both likely a result from volcanic
528	dust veils.
529	Similarly, in the aftermath of the Samalas eruption, the persistence of summer cooling is limited
530	to CE 1259 only at the three study sites, which is in line with findings of Guillet et al., (2017).
531	Interestingly, a slight decrease in oxygen isotope chronologies – which can be related to low
532	levels of summer sunshine duration (i.e. low leaf temperatures) – allows for hypothesizing that
533	cool conditions could have prevailed.
534	For all later high-magnitude CE eruptions, temperature-sensitive tree-ring proxies do not evi-
535	dence a generalized drop in summer temperatures. Paradoxically, the impacts of the Tambora
536	eruption, known for its triggering of a widespread "year without summer" (Harrington, 1992),
537	did only induce abnormal CWT at YAK, but no anomalies are observed at sites TAY and ALT,
538	except for the positive deviation of $\delta^{13}C$ (Fig. 2). While these findings may seem surprising,
539	they are in line with the TRW and MXD reconstructions of Briffa et al., (1998) or Guillet et al.,
540	(2017), who found limited impacts of the CE 1815 Tambora event in Eastern Siberia and Alaska
541	using TRW and MXD data only. The inclusion of CWT chronologies, not used in their recon-
542	structions, further confirm the absence of a significant cooling in this region following the sec-
543	ond largest eruption of the last millennium.
544	Finally, in CE 1992, our results evidence cold conditions in YAK, which is consistent with
545	weather observations showing that the below-average anomalies in summer temperatures (after
546	Pinatubo eruption) were indeed limited to Northeastern Siberia (Robock, 2000). As both iso-
547	topes indicate a reduction in stomatal conductance, we found that warm (in agreement with
548	MXD and CWT) and dry conditions were prevalent for YAK and ALT at this time. This iso-
549	topic constellation was confirmed by the positive relationships between VPD and $\delta^{18}O$ and $\delta^{13}C$
550	for YAK and ALT.

However, temperature and sunshine duration are not always highly coherent over time due to the influence of other factors, like Arctic Oscillations as it was suggested for Fennoscandia regions by Loader et al. (2013).

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#### 4.4. Moisture changes

Water availability is a key parameter for Siberian trees as they are growing under extremely continental conditions with hot summers and cold winters, and even more so with very low annual precipitation (Table 2). Continuous permafrost, in addition, is playing a crucial role, and can be considered as a buffer for additional water sources during hot summers (Sugimoto et al., 2002; Boike et al., 2013; Saurer et al., 2016). Yet, thawed permafrost water is not always available for roots due to the surficial structure of the root plate or extremely cold water temperature (close to 0°C), which can hardly be utilized by trees (Churakova (Sidorova) et al., 2016). Thus, Siberian trees are highly susceptible to drought, induced by dry and warm air during July and therefore the stable carbon isotopes can be sensitive indicators of such conditions. After volcanic eruptions, however, low light intensity due to dust veils induce low temperatures and reduced VPD, the driver for evapotranspiration. Under such conditions drought stress is unlikely to occur. However, the transition phases with changes from cool and moist to warm and dry conditions are more critical when drought is more likely to occur. In our study, higher  $\delta^{13}$ C values in tree-ring cellulose indicate increasing drought conditions as a consequence of reduced precipitation for two years after the CE 1257 volcanic eruption at all three sites. A local drought developed at YAK at the beginning of CE 1643, while a shift to dryer conditions was observed at TAY in the beginning of summer CE 1815 until 1820. No further extreme hydro-climatic anomalies occurred at Siberian sites in the aftermath of the Pinatubo eruption.

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576	4.5. Synthetized interpretation from the multi-parameter tree-ring proxies
577	Our analysis demonstrates the added value of a tree-ring derived multi-proxy approach to better
578	capture the climatic variability after large volcanic eruptions. Besides the well-documented ef-
579	fects of temperature derived from TRW and MXD, CWT, stable carbon and oxygen isotopes
580	in tree ring cellulose provide important and complementary information about moisture and
581	sunshine duration changes (an indirect proxy for leaf temperature effective for air-to-leaf VPD)
582	after stratospheric volcanic eruptions.
583	In detail, our results reveal a complex behavior of the Siberian climatic system to the largest
584	eruptions of the Common Era. The CE 535 and CE 1257 Samalas eruptions caused substantial
585	cooling – very likely induced by dust veils (Churakova (Sidorova) et al., 2014; Guillet et al.,
586	2017; Helama et al., 2018) – as well as humid conditions at the high-latitude sites. Conversely,
587	only local climate responses were observed after the CE 1641 Parker, 1815 Tambora, and 1991
588	Pinatubo eruptions. Similar site-dependent impacts were found in CE 1453, 1458 and 1601
589	(Fig. S1), frequently referred to as the coldest summers of the last millennium in the Northern
590	Hemisphere based on TRW and MXD reconstructions (Schneider et al., 2015; Stoffel et al.,
591	2015; Wilson et al., 2016; Guillet et al., 2017). This absence of widespread and intense cooling
592	or reduction of precipitation over vast regions of Siberia may result from the location and
593	strength of the volcanic eruption, atmospheric transmissivity as well as from the modulation of
594	radiative forcing effects by regional climate variability. These results are consistent with other
595	regional studies, which interpreted the spatio-temporal heterogeneity of tree responses to past
596	volcanic events (Wiles et al., 2014; Esper et al., 2017; Barinov et al., 2018) in terms of regional
597	climate peculiarities.

### **5. Conclusions**

In this study, we demonstrate that the consequences of volcanic eruptions on climate are complex and heterogeneous between sites and among events. That said, we also show that each proxy alone can not provide the full information on an eruption but that it contributes to the understanding and the full picture by adding to a single, specific factor, which is critical for a comprehensive description of climate dynamics induced by volcanism and the inclusion of these phenomena in global climate models. Therefore, the application of a multiple tree-ring parameter approach provides much more detailed information. The multi-proxy approach allows refining the interpretation and improves our understanding of the heterogeneity of climatic signals after CE stratospheric volcanic eruptions, which are recorded in multiple tree-ring and stable isotope parameters from the vast Siberian regions.

Author contribution: TRW analysis was performed at V.N. Sukachev Institute of Forest SB RAS by O.V. Churakova (Sidorova), D.V. Ovchinnikov, V.S. Myglan and O.V. Naumova. CWT analysis was carried out at the V. N. Sukachev Institute of Forest SB RAS, Krasnoyarsk, Russia by M. Fonti and at the University of Arizona by I. Panyushkina. Stable isotope analysis was conducted at the Paul Scherrer Institute (PSI), by O. V. Churakova (Sidorova), M. Saurer, and R. Siegwolf. MXD measurements were realized with a DENDRO Walesh 2003 densitometer at WSL and at the V.N. Sukachev Institute of Forest SB RAS, Krasnoyarsk, Russia by O. V. Churakova (Sidorova) and A. V. Kirdyanov. Samples from YAK and TAY were collected by M. M. Naurzbaev. All authors contributed significantly to the data analysis and paper writing.

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access at the Swiss Federal Institute for Forest, Snow and Landscape Research (WSL).

639	Figure legend
640	
641	Fig. 1. Map with the locations of the study sites (stars) and volcanic eruptions (black dots)
642	considered in this study (a). Annual tree-ring width index (light lines) and smoothed by 51-year
643	Hamming window (bold lines) chronologies from northeastern Yakutia (YAK - blue, b)
644	(Hughes et al., 1999; Sidorova 2003), eastern Taimyr (TAY - green, c) (Naurzbaev et al.,
645	2002), and Russian Altai (ALT - red, d) (Myglan et al., 2009) were constructed based on larch
646	trees (Photos: V. Myglan – ALT, M. M. Naurzbaev – YAK, TAY).
647	
648	Fig. 2. Normalized (z-score) individual tree-ring index chronologies (TRWi, pink), maximum
649	latewood density (MXD, <b>black</b> ), cell wall thickness (CWT, <b>green</b> ), $\delta^{13}$ C ( <b>red</b> ) and $\delta^{18}$ O ( <b>blue</b> )
650	in tree-ring cellulose chronologies from YAK, TAY and ALT for the specific periods 10 years
651	before and after the eruptions CE 535, 1257, 1640, 1815 and 1991 are presented. Vertical lines
652	showed year of the eruptions.
653	
654	<b>Fig. 3.</b> Superposed Epoch Analysis (SEA) of $\delta^{18}O$ (a), $\delta^{13}C$ (b), CWT (c), TRW (d) and MXD
655	(e) chronologies for each study site by combination of the major volcanic eruptions CE 535,
656	1257, 1641, 1815 and 1991.
657	
658	Fig. 4. Significant correlation coefficients between tree-ring parameters and weather station
659	data: temperature (red), precipitation (blue), vapor pressure deficit (green), and sunshine du-
660	ration (yellow) from September of the previous year to August of the current year for three
661	study sites were calculated. Table 2 lists stations used in the analysis.
662	

Fig. 5. Response of larch trees from Siberia to the CE volcanic eruptions (Table 1) with per-
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black triangle, while high – as yellow.
<b>Table 1.</b> List of stratospheric volcanic eruptions used in the study.
Table 2. Summary of tree-ring sites in northeastern Yakutia (YAK), eastern Taimyr (TAY) and
Table 2. Summary of tree-ring sites in northeastern Yakutia (YAK), eastern Taimyr (TAY) and
<b>Table 2.</b> Summary of tree-ring sites in northeastern Yakutia (YAK), eastern Taimyr (TAY) and Altai (ALT), and weather stations used in the study. Monthly air temperature (T, °C), precipi-
<b>Table 2.</b> Summary of tree-ring sites in northeastern Yakutia (YAK), eastern Taimyr (TAY) and Altai (ALT), and weather stations used in the study. Monthly air temperature (T, °C), precipitation (P, mm), sunshine duration (S, h/month) and vapor pressure deficit (VPD, kPa) data were

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