

1 **Rogation ceremonies: A key to understanding past drought**
2 **variability in north-eastern Spain since 1650**

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13 **ABSTRACT**

14 In the northeast of the Iberian Peninsula, few studies have reconstructed drought
15 occurrence and variability for the pre-instrumental period using documentary evidence
16 and natural proxies. In this study, we compiled a unique dataset of rogation ceremonies
17 - religious acts asking God for rain - from 13 cities in the north-east of Spain and
18 investigated the annual drought variability from 1650 to 1899 AD. Three regionally
19 different coherent areas (Mediterranean, Ebro Valley and Mountain) were detected.
20 Both the Barcelona and the regional Mediterranean drought indices were compared
21 with the instrumental series of Barcelona for the overlapping period (1787-1899), where
22 we discovered a highly significant and stable correlation with the Standardized
23 Precipitation Index of May with a 4-month lag ($r=-0.46$ and $r=-0.53$; $p<0.001$,
24 respectively). We found common periods with prolonged droughts (during the mid and
25 late 18th century) and extreme drought years (1775, 1798, 1753, 1691 and 1817)
26 associated with more atmospheric blocking situations. A superposed epoch analysis
27 (SEA) was performed showing a significant decrease in drought events one year after
28 the volcanic events, which might be explained by the decrease in evapotranspiration
29 due to reduction in surface temperatures and, consequently, the higher availability of
30 water that increases soil moisture. In addition, we discovered a common and significant
31 drought response in the three regional drought indices two years after the Tambora
32 volcanic eruption. Our study suggests that documented information on rogations
33 contains important independent evidence to reconstruct extreme drought events in
34 areas and periods for which instrumental information and other proxies are scarce.
35 However, drought index at Mountain areas presents various limitations and its
36 interpretation must be treated with caution.

37

38 **1. Introduction**

39 Water availability is one of the most critical factors for human activities, human
40 wellbeing and the sustainability of natural ecosystems. Drought is an expression of a

41 precipitation deficit, which often lasts longer than a season, a year or even a decade.
42 Drought leads to water shortages associated with adverse impacts on natural systems
43 and socioeconomic activities, such as reductions in streamflow, crop failures, forest
44 decay or restrictions on urban and irrigation water supplies (Eslamian and Eslamian,
45 2017). Droughts represent a regular, recurrent process that occurs in almost all climate
46 zones. In the Mediterranean region, the impacts of climate change on water resources
47 give significant cause for concern. Spain is one of the European countries with a large
48 risk of drought caused by high temporal and spatial variability in the distribution of
49 precipitation (Vicente-Serrano et al., 2014; Serrano-Notivoli et al., 2017). Several recent
50 Iberian droughts and their impacts on society and the environment have been
51 documented in the scientific literature (e.g., Dominguez Castro et al., 2012; Trigo et al.
52 2013; Vicente-Serrano et al. 2014; Russo et al. 2015; Turco et al. 2017). For instance,
53 during the period from 1990 to 1995, almost 12 million people suffered from water
54 scarcity, the loss in agricultural production was an estimated 1 billion Euro, hydroelectric
55 production dropped by 14.5 % and 63% of southern Spain was affected by fires
56 (Dominguez Castro et al., 2012). One of the most recent droughts in Spain lasted from
57 2004 to 2005 (García-Herrera et al., 2007) and was associated with major socioeconomic
58 impacts (hydroelectricity and cereal production decreased to 40% and 60%,
59 respectively, of the average value).

60 In other European regions, drought intensity and frequency have been widely
61 studied, since their socio-economic and environmental impacts are expected to worsen
62 with climate change (e.g. Spinoni et al., 2018; Hanel et al., 2018). Long-term studies
63 using instrumental meteorological observations have helped in understanding European
64 drought patterns at various spatial and temporal scales (e.g. Spinoni et al., 2015; Stagge
65 et al., 2017). In addition, natural proxy data have provided a multi-centennial long-term
66 perspective in Europe by developing high-resolution drought indices derived mostly
67 from tree-ring records (e.g. Büntgen et al., 2010, 2011; Cook et al., 2015; Dobrovolný et
68 al. 2018). Finally, documentary records utilized in historical climatology have
69 complemented the understanding of droughts across Europe (e.g. Brázdil et al., 2005,
70 2010, 2018). These studies, covering the last few centuries, usually focus on specific
71 periods of extreme droughts and their societal impacts (e.g. Diodato and Bellochi, 2011;
72 Domínguez-Castro et al., 2012) and yet, studies attempting to develop continuous
73 drought indices for the last few centuries, inferred from documentary evidence, remain
74 an exception (e.g. Brázdil et al., 2013, 2016, 2018, 2019; Dobrovolný et al., 2015a,b;
75 Možný et al., 2016; Mikšovský et al., 2019).

76 In the Iberian Peninsula, natural archives including tree-ring chronologies, lake
77 sediments and speleothems have been used to deduce drought variability before the
78 instrumental period (Esper et al., 2015; Tejedor et al., 2016, 2017c; Benito et al., 2003,
79 2008; Pauling et al. 2006; Brewer et al., 2008; Carro-Calvo et al., 2013, Abrantes et al.,
80 2017, Andreu-Hayles et al., 2017). Nevertheless, most of the highly temporally resolved
81 natural proxy-based reconstructions represent high-elevation conditions during specific
82 periods of the year (mainly summer e.g. Tejedor et al., 2017c). Spain has a large amount
83 of documentary-based data with a good degree of continuity and homogeneity for many
84 areas, which enables important paleo climate information to be derived at different
85 timescales and for various territories. Garcia-Herrera et al. (2003) describe the main

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89 archives and discuss the techniques and strategies used to derive climate-relevant
90 information from documentary records. Past drought and precipitation patterns have
91 been inferred by exploring mainly rogation ceremonies and historical records from
92 Catalonia (Martin-Vide and Barriendos 1995; Barriendos, 1997; Barriendos and Llasat,
93 2003; Trigo et al. 2009), Zaragoza (Vicente-Serrano and Cuadrat, 2007), Andalusia
94 (Rodrigo et al., 1998; 2000), central Spain (Domínguez-Castro et al., 2008; 2012; 2014;
95 2016) and Portugal (Alcoforado et al. 2000). In north-eastern Spain, the most important
96 cities were located on the riverbanks of the Ebro Valley, which were surrounded by large
97 areas of cropland (Fig. 1). Bad wheat and barley harvests triggered socio-economic
98 impacts, including the impoverishment or malnutrition of whole families, severe
99 alteration of the market economy, social and political conflicts, marginality, loss of
100 population due to emigration and starvation, and diseases and epidemics, such as those
101 caused by pests (Tejedor, 2017a). Recent studies have related precipitation/drought
102 variability in regions of Spain to wheat yield variability (Ray et al., 2015; Esper et al.
103 2017). The extent of impacts caused by droughts depends on the socio-environmental
104 vulnerability of an area, and is related to the nature and magnitude of the drought and
105 the structure of societies, such as agricultural-based societies including trades (Scandlyn
106 et al., 2010; Esper et al. 2017).

107 During the past few centuries, Spanish society has been strongly influenced by
108 the Catholic Church. Parishioners firmly believed in the will of God and the church to
109 provide them with better harvests. They asked God to stop or provide rain through
110 rogations, a process created by bishop Mamertus in AD 469 (Fierro, 1991). The key factor
111 in evaluating rogation ceremonies for paleo-climate research is determining the severity
112 and duration of adverse climatic phenomena based on the type of liturgical act that was
113 organized after deliberation and decision-making by local city councils (Barriendos,
114 2005). Rogations are solemn petitions by believers asking God to grant specific requests
115 (Barriendos 1996, 1997). Then, *pro-pluviam* rogations were conducted to ask for
116 precipitation during a drought, and they therefore provide an indication of drought
117 episodes and clearly identify climatic anomalies and the duration and severity of the
118 event (Martín-Vide & Barriendos, 1995; Barriendos, 2005). In contrast, *pro-serenitate*
119 rogations were requests for precipitation to end during periods of excessive or
120 persistent rain causing crop failures and floods. In the Mediterranean basin, the loss of
121 crops triggered severe socio-economic problems and was related to insufficient rainfall.
122 Rogations were an institutional mechanism to address social stress in response to
123 climatic anomalies or meteorological extremes (e.g. Barriendos, 2005). The municipal
124 and ecclesiastical authorities involved in the rogation process guaranteed the reliability
125 of the ceremony and maintained a continuous documentary record of all rogations. The
126 duration and severity of natural phenomena that stressed society is reflected in the
127 different levels of liturgical ceremonies that were applied (e.g. Martin-Vide and
128 Barriendos, 1995; Barriendos, 1997; 2005). Through these studies, we learned that the
129 present heterogeneity of drought patterns in Spain also occurred over the past few
130 centuries, in terms of the spatial differences, severity and duration of the events
131 (Martin-Vide, 2001, Vicente-Serrano 2006b). Nevertheless, the fact that no compilation

132 has been made of the main historical document datasets assembled over the past
133 several years is impeding the creation of a continuous record of drought recurrences
134 and intensities in the north-east of the Iberian Peninsula.

135 Here we compiled 13 series of historical documentary information of the *pro-*
136 *pluviam* rogation data from the Ebro Valley and the Mediterranean Coast of Catalonia
137 (Fig. 1) from 1438 to 1945 (Tab. 1). The cities cover a wide range of elevations from
138 Barcelona, which is near the sea (9 m a.s.l.), to Teruel (915 m a.s.l.) (Fig 1). Although
139 some periods have already been analyzed for certain cities (i.e., Zaragoza in 1600-1900
140 AD by Vicente-Serrano and Cuadrat, 2007; Zaragoza, Calahorra, Teruel, Vic, Cervera
141 Girona, Barcelona, Tarragona and Tortosa in 1750-1850 AD by Dominguez-Castro et al.,
142 2012; La Seu d'Urgell, Girona, Barcelona, Tarragona, Tortosa and Cervera in 1760-1800
143 AD by Barriendos and Llasat, 2003), this is the first systematic approach that analyzes all
144 existing information for north-eastern Spain, including new, unpublished data for
145 Huesca (1557-1860 AD) and Barbastro (1646-1925 AD) and examines the 13 sites jointly
146 over a period of 250 years (1650-1899 AD). We analyzed droughts across the sites and
147 identified extreme drought years and common periods in frequency and intensity. We
148 also analyzed statistical links between drought indices and major tropical volcanic
149 events in order to determine the effects of strong eruptions on regional droughts.

150

151 **2. Methods**

152 **2.1. Study area**

153 The study area comprises the north-eastern part of Spain, with an area of
154 approximately 100,000 km², and includes three geological units, the Pyrenees in the
155 north, the Iberian Range in the south, and the large depression of the Ebro Valley
156 separating the two (Fig. 1). The Ebro Valley has an average altitude of 200 m a.s.l. and
157 its climate can be characterized as Mediterranean-type, with warm summers, cold
158 winters and continental characteristics increasing with distance inland. Certain
159 geographic aspects determine its climatic characteristics; for example, several mountain
160 chains isolate the valley from moist winds, preventing precipitation. Thus, in the central
161 areas of the valley, annual precipitation is low, with small monthly variations and an
162 annual precipitation in the central Ebro Valley of approximately 322 mm (Serrano-
163 Notivoli et al., 2017). In both the Pyrenees and the Iberian Range, the main climatic
164 characteristics are related to a transition from oceanic/continental to Mediterranean
165 conditions in the east. In addition, the barrier effect of the most frequent humid air
166 masses causes gradually higher aridity towards the east and south (Vicente-Serrano,
167 2005; López-Moreno & Vicente-Serrano, 2007). Areas above 2000 m a.s.l. receive
168 approximately 2,000 mm of precipitation annually, increasing to 2,500 mm in the
169 highest peaks of the mountain range (García-Ruiz, et al., 2001). Annual precipitation in
170 the Mediterranean coast is higher than that in the central Ebro Valley and ranges from
171 approximately 500 mm in Tortosa to 720 mm in Girona (Serrano-Notivoli et al., 2017).

172 **2.2. From historical documents to climate: Development of a drought index**
173 **for each location in NE Spain from 1650 to 1899 AD**

174 Historical documents from 13 cities in the northeast of Spain were compiled into a
175 novel dataset by using a consistent approach (Fig. 1, Tab. 1, Tab. 2). These historical
176 documents are the rogation ceremonies reported in the 'Actas Capitulares' of the
177 municipal archives or main cathedrals. The documents (described in Table 2) range from
178 461 years of continuous data in Girona, to 120 years in Lleida, with an average of 311
179 years of data on each station. Rogations were not only religious acts but also supported
180 by the participation of several institutions; agricultural organizations and municipal and
181 ecclesiastical authorities analyzed the situation and deliberated before deciding to hold
182 a rogation ceremony (Vicente-Serrano and Cuadrat, 2007). Usually, the agricultural
183 organizations would request rogations when they observed a decrease in rainfall, which
184 could result in weak crop development. The municipal authorities would then recognize
185 the predicament and discuss the advisability of holding a rogation ceremony. Whether
186 a rogation was celebrated or not was not arbitrary, since the cost was paid from the
187 public coffers. When the municipal authorities decided to hold a rogation, the order was
188 communicated to the religious authorities, who placed it on the calendar of religious
189 celebrations and organized and announced the event. Previous studies have reported
190 that winter precipitation is key for the final crop production in dry-farming areas of the
191 Ebro Valley (wheat and barley; Austin et al., 1998a, 1998b; McAneney and Arrué, 1993;
192 Vicente-Serrano and Cuadrat, 2007). In addition to winter rogations, most of the others
193 were held during the period of crop growth (March-May) and harvesting (June-August),
194 since the socio-economic consequences when the harvest was poor were more evident
195 at those times. Thus, it is reasonable to view rogations in an index from December to
196 August. Finally, from the various types of droughts, we will be referring to a combination
197 between meteorological and agricultural droughts. The rogation was not only
198 agronomical or focused on a drought or agricultural problem. They already inferred that
199 the problem was meteorological and therefore they always asked for timely rain,
200 appropriate rain, or consistent rain. In other words, they asked for the occurrence of a
201 meteorological phenomenon. In consequence, the follow-up or sentinel that gives them
202 information is agricultural, but their answer is by a meteorological anomaly, and they
203 ask for the development of a normalized meteorology, that in consequence will allow a
204 development of the appropriate agriculture.

205 The qualitative information contained in the rogations was transformed into a semi-
206 quantitative, continuous monthly series following the methodology of the Millennium
207 Project (European Commission, IP 017008-Domínguez-Castro et al., 2012). Only *pro-*
208 *pluviam* rogations were included in this study. According to the intensity of the religious
209 act, which were uniform ceremonies performed throughout the Catholic territories and
210 triggered by droughts, we categorized the events in 4 levels from low to high intensity:
211 0, there is no evidence of any kind of ceremony; 1, a simple petition within the church
212 was held; 2, intercessors were exposed within the church; and 3, a procession or
213 pilgrimage took place in the public itineraries, the most extreme type of rogation (see
214 Tab. 3). Although rogations have appeared in historical documents since the late 15th

215 century and were reported up to the mid-20th century, we restricted the common period
216 to 1650-1899 AD, since there are a substantial number of data gaps before and after this
217 period, although some stations do not cover the full period. A continuous drought index
218 (DI) was developed for each site by grouping the rogations at various levels. A simple
219 approach, similar to that of Martín-Vide and Barriendos (1995) and Vicente-Serrano and
220 Cuadrat (2007), was chosen. The annual DI values were obtained by determining the
221 weighted average of the number of levels 1, 2 and 3 rogations recorded between
222 December and August in each city. The weights of levels 1, 2 and 3 were 1, 2, and 3,
223 respectively. Accordingly, the drought index for each city is a continuous semi-
224 quantitative value from 0, indicating the absence of drought, to a maximum of 3 (Figure
225 2A).

226

227 **2.3. Clustering station drought to regional drought indices from 1650 to** 228 **1899 AD**

229 To evaluate similarities among local stations, we performed a cluster analysis (CA)
230 that separates data into groups (clusters) with minimum variability within each cluster
231 and maximum variability between clusters. We selected the period of common data
232 1650-1770 to perform the cluster analysis. The main benefit of a cluster analysis (CA) is
233 that it allows similar data to be grouped together, which helps to identify common
234 patterns between data elements. To assess the uncertainty in hierarchical cluster
235 analysis, the R package 'pvclust' (Suzuki and Shimodaira, 2006) was used. We used the
236 Ward's method in which the proximity between two clusters is the magnitude by which
237 the summed squares in their joint cluster will be greater than the combined summed
238 square in these two clusters $SS_{12} - (SS_1 + SS_2)$ (Ward, 1963; Everitt et al., 2001). Next, the
239 root of the square difference between co-ordinates of a pair of objects was computed
240 with its Euclidian distance. Finally, for each cluster within the hierarchical clustering,
241 quantities called *p*-values were calculated via multiscale bootstrap resampling (1000
242 times). Bootstrapping techniques do not require assumptions such as normality in
243 original data (Efron, 1979) and thus represent a suitable approach to the semi-
244 quantitative characteristics of drought indices (DI) derived from historical documents.
245 The *p*-value of a cluster is between 0 and 1, which indicates how strongly the cluster is
246 supported by the data. The package 'pvclust' provides two types of *p*-values: AU
247 (approximately unbiased *p*-value) and BP (bootstrap probability) value. AU *p*-value is
248 computed by multiscale bootstrap resampling and is a better approximation of an
249 unbiased *p*-value than the BP value computed by normal bootstrap resampling. The
250 frequency of the sites falling into their original cluster is counted at different scales, and
251 then the *p*-values are obtained by analyzing the frequency trends. Clusters with high AU
252 values, such as those >0.95, are strongly supported by the data (Suzuki and Shimodaira,
253 2006). Therefore, in this study, sites belonging to the same group were merged by
254 means of an arithmetical average (Eq.1).

255 Eq.1 *Regional Drought Index* (x) = $(x_1 + x_2 + x_3 \dots)/n$

256 where x_n represents each individual annual drought index, and n is the number of
257 drought indices per cluster. To evaluate the relationship of each site's rogations, we then
258 performed a matrix correlation (Spearman) between the new groups derived from the
259 cluster and each individual drought index for the 1650-1899 period.

260 **2.4. Validation of the regional drought indices against overlapping** 261 **instrumental series.**

262 To better understand the relationship between the derived drought indices and the
263 instrumental series, we used the longest instrumental precipitation and temperature
264 series covering the period 1786-2014 AD (Prohom et al., 2012; Prohom et al., 2015) for
265 the city of Barcelona and thus overlapping the rogation ceremony period of the local DI
266 of Barcelona (DIBARCELONA) from 1786 to 1899 AD. However, the instrumental series
267 was homogenized and completed including data from cities nearby and along the
268 Mediterranean coast (see Prohom et al., 2015 for details). Therefore, the instrumental
269 series contains coherent regional information from a Mediterranean section similar to
270 our regional drought indices stations located along the Mediterranean coast. We then
271 calculated the Standardized Precipitation Index (SPI, McKee et al., 1993) and the
272 Standardized Evapotranspiration and Precipitation Index (SPEI, Vicente-Serrano et al.,
273 2010). SPEI was calculated with the R Package 'SPEI' (Begueria et al., 2014). From the
274 various ways of calculating evapotranspiration we chose Thornwaite, which only
275 requires temperature and latitude as input. Next, we calculated the Spearman
276 correlation between the drought indices of the Mediterranean coast and the SPI/SPEI at
277 different time scales including a maximum lag of 12 months covering the period 1787-
278 1899. Further exploration of the relationship between the drought indices inferred from
279 historical documents and the instrumental drought indices through time were
280 performed by 30- and 50-year moving correlations. Finally, to avoid the circularity
281 problem we performed the same analysis leaving one local station out each time.

282 **2.5. Detecting extreme drought years and periods in the north-east of Spain** 283 **between 1650-1899 AD and links to large-scale volcanic forcing**

284 To identify the extreme drought years, we selected those above the 99th percentile
285 of each regional drought index and mapped them in order to find common spatial
286 patterns. In addition, the 11-year running mean performed for each drought index
287 helped highlight drought periods within and among the drought indices. Finally, since
288 rogation ceremonies are a response of the population to an extreme event, we
289 performed a superposed epoch analysis (SEA; Panofsky and Brier, 1958) of the three
290 years before and after the volcanic event, using the package 'dplR' (Bunn, 2008) to
291 identify possible effects on the hydroclimatic cycle caused by volcanic eruptions. The
292 method involves sorting data into categories dependent on a key-date (volcanic events).
293 For each category, the year of the eruption is assigned as year 0, and we selected the
294 values of the drought indices for the three years prior to the eruption and three years
295 following in order to obtain a SEA matrix (number of volcanic events multiplied by 7).
296 For each particular event, the anomalies with respect to the pre-eruption average were
297 calculated to obtain a composite with all the events for the 7 years. Statistical

298 significance of the SEA was tested by a Monte-Carlo simulation based on the null
299 hypothesis of finding no association between the eruptions and the climatic variables
300 studied. Random years are chosen for each category as pseudo-event years, and the
301 average values are calculated for -3 to +3, the same as for real eruptions. This process is
302 repeated to create 10,000 randomly-generated composite matrices, which are sorted,
303 and a random composite distribution is created for each column in the matrix (i.e. year
304 relative to the eruption year 0). The distributions are then used to statistically compare
305 the extent to which the existing composites are anomalous. We used these distributions
306 to test the significance of the actual composites at a 99% confidence level. The largest
307 volcanic eruptive episodes (Sigl et al., 2015) chosen for the analysis were 1815, 1783,
308 1809, 1695, 1836, 1832, 1884 and 1862. In addition, we performed the SEA only with
309 the largest eruption of this period, the Tambora eruption in the year 1815.

310

311 **3. Results**

312 **3.1. From historical documents to climate: Development of a drought index for** 313 **each location in NE Spain from 1650 to 1899 AD**

314 We converted the ordinal data into continuous semi-quantitative index data by
315 performing a weighted average of the monthly data (see methods). As a result, we
316 developed an annual drought index (from the previous December to the current August)
317 containing continuous values from 0 to 3 collected from information on the annual mean
318 extreme droughts of each year for each of the 13 locations. The empirical cumulative
319 distribution function (EDCF, Fig.2A) confirmed that the new drought indices can be
320 treated as a continuous variable, since the drought index can take almost infinite values
321 in the range from 0 to 3 (Fig.2B). To study drought across the region, we performed a
322 cluster analysis including the annual drought indices of the 13 cities. These data were
323 then used to study the hydrological responses after strong tropical eruptions.

324 **3.2. Clustering station drought to regional drought indices from 1650 to 1899** 325 **AD**

326 The cluster analysis (CA, see methods) using the DI of the 13 locations and after
327 applied to the complete period until 1899 revealed three significant and physically
328 coherent areas, hereafter known as Mountain, Mediterranean and Ebro Valley (Fig. 3).
329 The first cluster includes cities with a similar altitude (Teruel, La Seu) and similar in
330 latitude (Barbastro, Lleida, Huesca, Girona, see Fig. 1). The cities within the second and
331 third clusters are near the Ebro River (Calahorra, Zaragoza and Tortosa) or have similar
332 climatic conditions (Cervera, Vic, Barcelona, Tarragona). Clusters two and three suggest
333 (Fig. 3) that the coherence of the grouping can be explained by the influence and
334 proximity of the Mediterranean Sea (Tortosa, Cervera, Tarragona, Vic and Barcelona)
335 and the influence of a more continental climate (Zaragoza and Calahorra). Accordingly,
336 three regional drought indices were developed by combining the individual DIs of each
337 group; DI Mountain (DIMOU), composed of Barbastro, Teruel, Lleida, La Seu, and Girona;
338 DI Mediterranean (DIMED), composed of Tortosa, Cervera, Tarragona, Vic and

339 Barcelona, and DI Ebro Valley (DIEV), comprising Zaragoza and Calahorra. The resulting
340 drought indices in regional DI series can also vary from 0 to 3 but show a relatively
341 continuous distribution range (Figure 2B).

342 The Spearman correlation matrix for the period 1650-1899 AD confirms the high
343 and significant ($p < 0.05$) correlations between each individual DI and its corresponding
344 group, confirming the validity of the new DI groups (Fig. 4). The correlations among the
345 cluster drought indices range from 0.76 (between DIEV and DIMED) to $r = 0.38$ (between
346 DIEV and DIMOU) and $r = 0.42$ (between DIMED and DIMOU). In DIEV, both of the local
347 DIs show similar correlations (Zaragoza, $r = 0.73$; Calahorra, $r = 0.75$). In the DIMED cluster,
348 the high correlations among the members show strong coherency. DIMOU is the most
349 heterogeneous cluster, with correlations of $r = 0.57$ for Barbastro and $r = 0.33$ for La Seu.
350 Although each individual DI within this group and within the DIMOU shows significant
351 correlation, individual DIs compared one to another reveal some correlation values not
352 to be significant ($p < 0.05$).

353 **3.3. Validation of the regional drought indices against overlapping instrumental** 354 **series.**

355 The highest Spearman correlation ($r = -0.46$; $p < 0.001$) between the Barcelona
356 drought index and the instrumental SPI over the full 113-year period (1787-1899 AD;
357 Fig.5C) was found for the SPI of May with a lag of 4 months (SPI_{MAY_4} hereafter). A slightly
358 lower, though still significant correlation was obtained from the SPEI of May with a lag
359 of 4 months ($SPEI_{MAY_4}$) ($r = -0.41$; $p < 0.001$, Fig.5D). The regional Mediterranean drought
360 index shows moderately higher correlations with the instrumental SPI ($r = -0.53$; $p < 0.001$)
361 and SPEI ($r = -0.50$; $p < 0.001$) computed for the same period and time scale. The moving
362 correlations analyses between DIMED, DIBARCELONA and SPI_{MAY_4} for 30 and 50 years
363 (Fig.5A; Fig.5B) presented significant values through the full period. However, the
364 agreement is especially higher and stable during the period 1787-1834. After 1835
365 despite that correlations remain significant, the instability is higher, and the agreement
366 decreased.

367 Furthermore, when the analysis was performed leaving one station out each time
368 (Fig. S1), the results remain significant ($p < 0.001$) and the correlation in all cases is above
369 0.45. The next step (iv) will address the selection of extreme drought years and periods
370 within the 250 years from 1650-1899 AD using information from the cluster analysis.

371 **3.4. Detecting extreme drought years and periods in the north-east of Spain** 372 **between 1650-1899 AD and links to large-scale volcanic forcing**

373 According to the cluster grouping, the three new spatially averaged drought
374 indices (DIEV, DIMED and DIMOU) are presented in Fig. 6. Mountain DI (DIMOU) had the
375 least number of drought events and a maximum DI of 1.6 in 1650 AD. The Ebro Valley DI
376 (DIEV) had the highest number of droughts (derived from the highest number of positive
377 index values) followed by the third region (Mediterranean DI, DIMED). The 17th and 18th
378 centuries exhibited a relatively large number of severe droughts (Fig. 6). High positive
379 index values over the duration of the DIs in all three series indicate that a drought period

380 occurred from 1740 to 1755 AD. The lowest DIs were found at the end of the 19th
381 century, meaning that droughts were less frequent in this period. The 11-year running
382 mean shows common periods with low DI values, such as 1706-1717, 1800-1811, 1835-
383 1846 and 1881-1892, which we infer to be 'normal' or drought-free. On the other hand,
384 1678-1689, 1745-1756, 1770-1781, and 1814-1825 are periods with continuously high
385 DIs, indicating that significant droughts affected the crops during these periods and
386 intense rogation ceremonies were needed.

387 In the Ebro Valley, the most extreme years (Fig. 6) (according to the 99%
388 percentile of the years 1650-1899) were 1775 (drought index value of 2.8), 1798 (2.7),
389 1691 (2.6), 1753 (2.5) and 1817 (2.5). Most of these extreme drought years can also be
390 found in DIMED 1753 (2.6), 1775 (2.5), 1737 (2.3), 1798 (2.2) and 1817 (2.2). In DIMOU,
391 the extreme drought years occurred in the 17th century: 1650 (1.6), 1680 (1.5), 1701
392 (1.5) and 1685 (1.4), and are spatially displayed in Fig. 7. In the years 1775 and 1798, the
393 Ebro Valley, Mediterranean and some mountain cites suffered from severe droughts. It
394 is notable that the year 1650 in the Mountain area presented high values of DI, while
395 the other locations had very low DI values (DIEV=0.4; DIMED=0.8).

396 We performed a superposed epoch analysis (SEA, see methods) to study the
397 drought response over north-east Iberia to major volcanic eruptions (Fig. 8a). The figure
398 shows significant decreases ($p < 0.05$) in the Ebro Valley and Mediterranean DI values
399 during the year a volcanic event occurred and for the following year. We did not find a
400 post-volcanic drought response in the Mountain area. No significant response was found
401 for any of the DIs two or three years after the volcanic eruptions, including the major
402 ones. However, two years after the Tambora eruption in April 1815, there was a
403 significant ($p < 0.05$) increase in the three drought indices (DIEV, DIMED and DIMOU) (Fig.
404 8b).

405

406 **4. Discussion**

407 In the northeast Iberian Peninsula, drought recurrence, intensity, persistence
408 and spatial variability have mainly been studied by using instrumental data covering the
409 past ca. 60 years (Vicente-Serrano et al., 2014; Serrano-Notivoli et al., 2017). In addition,
410 natural proxy data, including specially tree-ring chronologies, have been used to infer
411 drought variability before the instrumental period (Esper et al., 2015; Tejedor et al.,
412 2016, 2017c; Andreu-Hayles et al., 2017). Nevertheless, most of such highly temporally
413 resolved natural proxy-based reconstructions represent high-elevation conditions
414 during specific periods of the year and as a consequence, drought behavior in large low
415 elevation areas remains poorly explored. In these areas however, documentary records
416 as rogation ceremonies, have demonstrated potential to complement the
417 understanding of droughts across Europe (e.g. Brázdil et al., 2005, 2010, 2018).

418 Still, rogation ceremonies need to be considered as a "cultural" proxy affected by
419 a certain degree of subjectivity due to the perception of people about hydroclimate

420 events. In consequence, the analysis must be cautious, taking into account their
421 historical and sociological nature. Further limitations are related to their binomial
422 character (occurrence or not of rogation ceremonies), the cumulative character of
423 drought and then the difficulty of the interpretation of sequential rogations or the
424 restrictions to perform a rigorous calibration-verification approach due to a lack of
425 overlapping periods with observational weather series.

426 Despite these limitation, and potential variations in the timing of occurrence of
427 rogations in different areas or periods due to differences/variations in agricultural
428 practices, we developed drought indices (DI) derived from rogations occurred from early
429 winter to August that can be considered as reliable drought proxies (even if only in some
430 environments and some specific historical periods). More specifically, we found that i)
431 DI series exhibit a coherent regional pattern but their reliability is lower in mountain
432 areas, ii) Represent a useful climate proxy for at least the period 1650-1830's but its
433 reliability decreases thereafter.

434 Due to the cumulative character of drought, the delays between drought and
435 rogation occurrence and their differential influence on different agricultural species and
436 environmental conditions an accurate definition of the temporal scale in drought that is
437 represented by the rogation is challenging. In this paper, for comparative purposes, a
438 conservative approach is used by combining rogations occurred from December to
439 August in an index trying to account for general drought conditions occurred during the
440 whole crop growing season across the whole study area (spring and summer) but also
441 including previous conditions that may have impact in final production (spring and
442 winter rogations are likely to reflect drought conditions occurred in winter and previous
443 autumn).

444 Further limitations when dealing with historical documents as a climatic proxy
445 are related to converting binomial qualitative information (occurrence or not of rogation
446 ceremonies) into quantitative data (e.g. Vicente-Serrano and Cuadrat, 2007;
447 Dominguez-Castro et al., 2008). Here, we followed the methodology proposed in the
448 Millennium Project (European Commission, IP 017008) and also applied in Domínguez-
449 Castro et al., (2012). According to such proceedings and considering both the occurrence
450 or otherwise of rogation ceremonies and the intensity of the religious acts, the
451 information contained in historical documents can be transformed into a semi-
452 quantitative time series (including continuous values from 0 to 3). To that extent, the
453 ECDF analysis helped in understanding the nature of the historical documents when
454 transformed into semi-quantitative data, confirming that they can be treated as a
455 continuous variable. We then aggregated the annual values to develop a continuous
456 semi-quantitative drought index (DI) where values can range from zero (absence of
457 drought) to a maximum of 3 (severe drought). This set of procedures technically solves
458 the structural problem of the data. However, we have added complexity to its
459 interpretation since, for example, an index of level 2 does not necessarily imply that a
460 drought was twice as intense as a drought classified as level 1, nor that the change in
461 the intensity of droughts from level 1 to level 2 or from level 2 to 3 has to be necessarily

462 equivalent. Yet, we can infer with much confidence that if there was a drought of level
463 2 it is because those types of ceremonies of level 1, if occur, did not work, and therefore
464 the drought was still an issue for the development of the crops i.e., there is a progressive
465 drying, but it does not have to be twice as intense. Hence, this must be taken into
466 account when interpreting the indices.

467 The confirmation of rogation ceremonies as a valid drought proxy requires an
468 additional procedure -the calibration/verification approach. However, continuous
469 rogation documents end in the 19th century, whereas instrumental weather data
470 generally begins in the 20th century (Gonzalez-Hidalgo et al., 2011). In the study area,
471 only the continuous and homogenized instrumental temperature and precipitation
472 series of Barcelona (Prohom et al., 2012; 2015) overlap the existing drought indices. Our
473 results suggest that rogation ceremonies are not only valid as local indicators (good
474 calibration/ verification with the local DIBARCELONA), but they also have regional
475 representativeness (DIMED) and provide valuable climatic information (good
476 calibration/ verification with the regional DIMED). To the best of our knowledge, this is
477 the first time that rogation ceremonies in the Iberian Peninsula have been calibrated
478 with such a long instrumental period. The correlation is maximized in May, the key
479 month for the harvest to develop properly. In addition, the 4-month lag confirms the
480 importance of the end of winter and spring precipitation for good crop growth. The high
481 DIMED correlation ($r=-0.53$; $p<0.001$) indicates not only that this cluster captures the
482 Mediterranean drought signal, but also that it can be used as a semi-quantitative proxy,
483 with verification results similar to the standards required in dendroclimatology (Fritts et
484 al., 1990).

485 In spite of being statistically valid for the whole analyzed period, the suitability
486 of the drought index significantly varies in time. The agreement with instrumental
487 weather data is especially higher during the period 1787-1834 but decrease thereafter.
488 It is challenging to determine whether the decrease in the number of rogations after
489 1835 is due to the lack of droughts, the loss of documents, or a loss of religiosity. For
490 instance, after the Napoleonic invasion (1808-1814) and the arrival of new liberal
491 ideologies (Liberal Triennial 1820-1823), there was a change in the mentality of people
492 in the big cities. These new liberal ideas were concentrated in the places where
493 commerce and industry began to replace agriculturally based economies, leading to
494 strikes and social demonstrations demanding better labor rights. New societies were
495 less dependent on agriculture; hence, in dry spells, the fear of losing crops was less
496 evident and fewer rogations were performed. In short, the apparent decrease of
497 rogations in the 19th century could be explained by a combination of political instability
498 in the main cities and the loss of religiosity and historical documents. Nevertheless, the
499 institutional controls in pre-industrial society were so strict that many of its constituent
500 parts remained unchanged for centuries, and rogation ceremonies are one of such
501 elements. This can be explained by two different factors. First, rogation ceremonies are
502 used within the framework of the Roman Church Liturgy, so changes can only be defined
503 and ordered by the Vatican authorities. If there is a will to change criteria affecting the
504 substance of liturgical ceremonies, all involved institutions must record considerations,

505 petitions and decisions in official documents from official meetings, supported by public
506 notaries. In addition, changes must be motivated from the highest institutional level
507 (Pope) to the regional authorities (Bishops) and local institutions (Chapters, parishes...
508 This system was too complex to favor changes. A second mechanism guarantees the
509 stability of the rogation system: if any minor or important change in rogations was
510 instigated at local level by the population or local institutions, this interference directly
511 affected the Roman Church Liturgy. Then, it was a change not to be taken lightly as the
512 Inquisition Court would start judicial proceedings and could bring a criminal charge of
513 heresy. The punishment was so hard that neither institutions nor the people were
514 interested in introducing changes in rogations.

515 To further calibrate the potential of this source of information as a climatic proxy,
516 we need to consider the existence of coherent spatial patterns in the distribution of
517 droughts. The instrumental climate data is subject to quality controls to determine the
518 extent to which patterns reflect elements of the climatic cycle or may be due to errors
519 of measurement, transcription of information etc (e.g. Alexanderson, 1986). In this
520 paper, the local series are compared with the regional reference series as a basic
521 element of quality control (e.g. Serrano-Notivoli et al., 2017). The interpretation of other
522 proxies, such as tree-ring records are subject to similar quality control procedures to
523 guarantee the spatial representativeness of the information they contain (e.g. Esper et
524 al., 2015; Duchesne et al., 2017; Tejedor et al., 2017c).

525 We were aware of the potential drawbacks and dealt with the problem of analyzing
526 the spatial representativeness of the rogation series through a cluster analysis. We thus
527 identified the extent to which the local rogation series show similar patterns to those
528 observed in neighboring records and can, therefore, be considered as representative of
529 the climate behavior at a sub-regional scale. Clustering is a descriptive technique (Soni,
530 2012), the solution is not unique, and the results strongly rely upon the analyst's choice
531 of parameter. However, we found three significant ($p < 0.05$) and consistent structures
532 across the drought indices based on historical documents. DIEV shows a robust and
533 coherent cluster associated with droughts in the Ebro Valley area, including the cities of
534 Zaragoza and Calahorra. The high correlation among the local drought indices suggests
535 an underlying coherent climatic signal. DIMED shows also a robust and coherent cluster
536 associated with droughts in the Mediterranean coast area, including high correlation
537 between the local drought Indices of Tortosa, Tarragona, Barcelona, Vic and Cervera.
538 The high correlation between DIEV and DIMED suggests similar climatic characteristics.
539 Furthermore, the main cities among these two clusters share similar agrarian and
540 political structures that support the comparison. Still, we know from observations that,
541 although DIEV and DIMED locations have similar climatic characteristics, the
542 Mediterranean coast locations have slightly higher precipitation totals, which is
543 supported by the cluster. One is reflecting the Ebro Valley conditions and the other is
544 reflecting a more Mediterranean-like climate. Therefore, our final grouping is not only
545 statistically significant, but it has also a geographical/physical meaning.

546 We found that DIMON shows a less robust and complex structure. This cluster
547 includes local drought indices located in mountain or near mountain environments.
548 Although there is a high correlation between the local DIs and the regional DIMOU
549 suggesting a common climatic signal, the low correlation among local drought indices
550 might be explained by the fact that the productive system of the mountain areas is not
551 only based on agriculture, but also on animal husbandry, giving them an additional
552 resource for survival in cases of extreme drought. Therefore, the DIMOU cluster might
553 not only be collecting climatic information but also diverse agricultural practices or even
554 species, translated into a weaker regional common pattern. For instance, Cervera and
555 Lleida share similar annual precipitation totals, but belong to the Mediterranean and the
556 Mountain drought indices respectively. Lleida is located in a valley with an artificial
557 irrigation system since the Muslim period, which is fed by the river Segre (one of the
558 largest tributaries to the Ebro river). The drought in the Pyrenees is connected with a
559 shortage of water for the production of energy in the mills, as well as to satisfy irrigated
560 agriculture. However, the irrigation system itself allowed Lleida to manage the resource
561 and hold out much longer. Therefore, only the most severe droughts, and even those in
562 an attenuated form, were perceived in the city. Cervera, located in the Mediterranean
563 mountains, in the so-called pre-littoral system and its foothills, has a different
564 precipitation dynamic that is more sensitive to the arrival of humid air from the
565 Mediterranean. In addition, Lleida had a robust irrigation system that Cervera did not
566 have. The droughts in Cervera are more akin to the "Mediterranean" ones and thus its
567 presence in the Mediterranean drought index seems to be consistent.

568 DIMOU has a weaker climatological support and thus it should be interpreted with
569 particular caution. Yet, this important constraint in the interpretation of DIMOU is not
570 problematic from a practical point of view, since it represents an area in which there are
571 other proxy records (e.g. tree-rings) covering a wide spatio-temporal scale and valuable
572 as drought proxies (e.g. Tejedor et al., 2016; 2017c). The consistency of the clusters in
573 the Ebro Valley and the coastal zones (DIMED and DIEV) is especially encouraging and
574 reflects the high potential of rogations as a drought proxy. It is precisely in these areas
575 that there are no relict forests, due to human intervention, and therefore no centennial
576 tree-ring reconstructions can be performed to infer past climates. Consequently, in
577 these environments, the information from historical documents is especially relevant.

578 These findings open a new line of research that the authors will continue exploring
579 in future studies. We believe that these results highlight the validity of the drought
580 indices to be taken as continuous variables. In addition, the analysis confirmed that the
581 grouping made by the cluster analysis demonstrates spatial coherency among the
582 historical documents. For some places such as the mountain areas, where the
583 population had other ways of life in addition to agriculture, *pro-pluviam* rogation
584 ceremonies may have a weaker climatic significance. However, *pro-pluviam* rogations
585 may be especially relevant in valleys and coastal areas where there are no other climatic
586 proxies. The exploration of historical documents from the main Cathedrals or municipal
587 city archives, the Actas Capitulares, yielded the different types and payments of the
588 rogation ceremonies that were performed in drought-stressed situations.

589 Despite general limitations, our results are comparable and in agreement with
590 other drought studies based on documentary sources describing the persistent drought
591 phase affecting the Mediterranean and the Ebro Valley areas in the second half of the
592 18th century (as found in Vicente-Serrano and Cuadrat, (2007) for Zaragoza). The results
593 for the second half of the 18th century also agree with the drought patterns previously
594 described for Catalonia (Barriendos, 1997, 1998; Martín-Vide and Barriendos, 1995).
595 Common drought periods were also found in 1650-1775 for Andalusia (Rodrigo et al.,
596 1999, 2000) and in 1725-1800 for Zamora (Domínguez-Castro et al., 2008). In general,
597 based on documentary sources from Mediterranean countries, the second half of the
598 18th century has the highest drought persistency and intensity, which may be because
599 there were more blocking situations in this period (Luterbacher et al. 2002, Vicente-
600 Serrano and Cuadrat, 2007). The period of 1740-1800 AD coincides with the so-called
601 ‘Maldá anomaly period’; a phase characterized by strong climatic variability, including
602 extreme drought and wet years (Barriendos and Llasat, 2003). The 18th century is the
603 most coherent period, including a succession of dry periods (1740-1755), extreme years
604 (1753, 1775 and 1798) and years with very low DIs, which we interpret as normal years.
605 Next, the period from 1814-1825 is noteworthy due to its prolonged drought. The causes
606 of this extreme phase are still unknown although Prohom et al. (2016) suggested that
607 there was a persistent situation of atmospheric blocking and high-pressure conditions
608 at the time.

609 Results are also in line with described hydroclimatic responses to volcanic
610 forcing. In the Ebro Valley and the Mediterranean area, rogation ceremonies were
611 significantly less frequent in the year of volcanic eruptions and for the following year.
612 Such patterns may be explained by the volcanic winter conditions, which are associated
613 with reductions in temperature over the Iberian Peninsula 1-3 years after the eruption
614 (Fischer et al., 2007; Raible et al., 2016). The lower temperature is experienced in spring
615 and summer after volcanic eruptions compared to spring and summer conditions of non-
616 volcanic years. This might be related to a reduction in evapotranspiration, which reduces
617 the risk of droughts. This reinforces the significance of volcanic events in large-scale
618 climate changes. Furthermore, a significant increase in the intensity of the droughts was
619 observed two years after the Tambora eruption in the three clusters (Fig.8) in agreement
620 with findings by Trigo et al., (2009). This result is similar to that of a previous study using
621 rogation ceremonies in the Iberian Peninsula, although it was based on individual and
622 not regional drought indices (Dominguez-Castro et al., 2010). In addition, the normal
623 conditions in the year of the Tambora eruption and the following year, and the increased
624 drought intensity two years after the event, are in agreement with recent findings on
625 hydroclimatic responses after volcanic eruptions (Fischer et al., 2007; Wegmann et al.,
626 2014; Rao et al., 2017; Gao and Gao 2017), although based on tree ring data only. In
627 addition, Gao and Gao, (2017) highlight the fact that high-latitude eruptions tend to
628 cause drier conditions in western-central Europe two years after the eruptions. Rao et
629 al., (2017) suggested that the forced hydroclimatic response was linked to a negative
630 phase of the East Atlantic Pattern (EAP), which causes anomalous spring uplift over the
631 western Mediterranean. This pattern was also found in our drought index for the

632 Tambora eruption (1815 AD), but no significant pattern was found in north-east Spain
633 for the other major (according to Sigl et al., 2015) volcanic eruptions. In particular, the
634 mountain areas show less vulnerability to drought compared to the other regions. This
635 is mainly due to the fact, that mountainous regions experience less evapotranspiration,
636 more snow accumulation and convective conditions that lead to a higher frequency of
637 thunderstorms during the summertime. Volcanic forcing, however, may differentially
638 modulate seasonal climate conditions by their influence on the North Atlantic Oscillation
639 and in the East Atlantic circulation patterns. This seasonal detail cannot be clarified in
640 our research due to the annual scale used to compute the drought indices.

641

642 **5. Conclusions**

643 We developed a new dataset of historical documents by compiling historical
644 records (rogation ceremonies) from 13 cities in the northeast of the Iberian Peninsula.
645 These records were transformed into semi-quantitative continuous data to develop
646 drought indices (DIs). We regionalized them by creating three DIs (Ebro Valley,
647 Mediterranean and Mountain) covering the period from 1650 to 1899 AD. The intensity
648 of the DI is given by the strength and magnitude of the rogation ceremony, and the
649 spatial extent of the DI is given by the cities where the rogations were held.

650 Our study highlights three considerations: i) the spatial and temporal resolution
651 of rogations should be taken into account, particularly when studying specific years,
652 since the use of *pro-pluviam* rogations gives information about drought periods and not
653 about rainfall in general. Accordingly, it must be stressed that the drought indices
654 developed here are not precipitation reconstructions; rather, they are high-resolution
655 extreme event reconstructions of droughts spells. The comparison of these results with
656 other continuous proxy records must be carried out with caution (Dominguez-Castro et
657 al., 2008), although here we found a very high and stable correlation with the
658 instrumental series for the overlapping period, which opens new lines of research. ii)
659 The validity of rogation ceremonies as a high-resolution climatic proxy to understand
660 past drought variability in the coastal and lowland regions of the north-eastern
661 Mediterranean Iberian Peninsula is clearly supported by our study. This is crucial,
662 considering that most of the high-resolution climatic reconstructions for the northern
663 Iberian Peninsula have been developed using tree-ring records collected from high-
664 elevation sites (>1,600 m a.s.l.) in the Pyrenees (Büntgen et al., 2008, 2017; Dorado-
665 Liñán et al., 2012) and the Iberian Range (Esper et al., 2015, Tejedor et al., 2016, 2017a,
666 2017b, 2017c), to deduce the climate of mountainous areas. iii) Particularly in the
667 Mediterranean and in the Ebro Valley areas, significant imprints of volcanic eruptions
668 are found in the drought indices derived from the rogation ceremonies. These results
669 suggest that DI is a good proxy to identify years with extreme climate conditions in the
670 past at low elevation sites.

671 In addition, recent studies have emphasized the great precipitation (González-
672 Hidalgo, et al., 2011; Serrano-Notivol et al., 2017) and temperature variabilities
673 (González-Hidalgo, et al., 2015) within reduced spaces, including those with a large

674 altitudinal gradient, such as our study area. Finally, the historical data from rogations
675 covers a gap within the instrumental measurement record of Spain (i.e., which starts in
676 the 20th century). Hence, rogation data are key to understanding the full range of past
677 climate characteristics (in lowlands and coastal areas), in order to accurately
678 contextualize current climate change. We encourage the use of further studies to better
679 understand past droughts and their influence on societies and ecosystems; learning
680 from the past can help to adapt to future scenarios, especially because climate variability
681 is predicted to increase in the same regions where it has historically explained most of
682 the variability in crop yields.

683

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686

687 **Author contributions.**

688 E.T., and J.M.C. conceived the study. J.M.C. and M.B. provided the data. E.T. and M.d.L.
689 conducted the data analysis, and E.T. wrote the paper with suggestions of all the
690 authors. All authors discussed the results and implications and commented on the
691 manuscript at all stages.

692

693 **Competing interests.**

694 The authors declare no competing interests.

695

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698

699 **Data availability.**

699 The datasets generated during and/or analysed during the current study are available
700 from the corresponding author on reasonable request.

701

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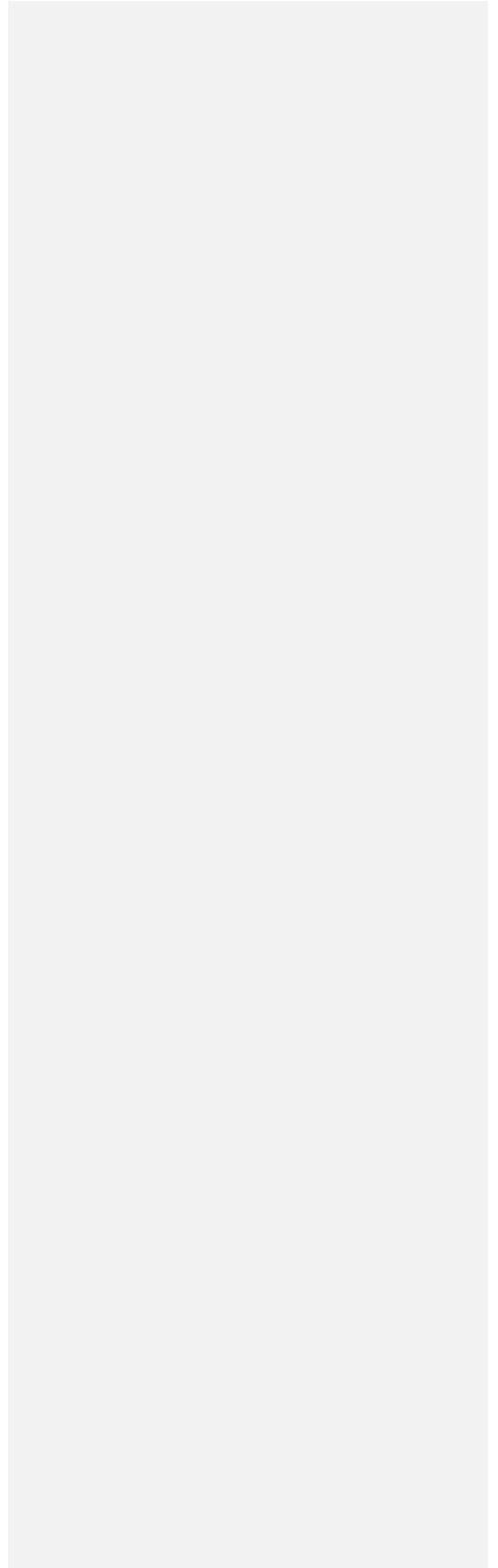
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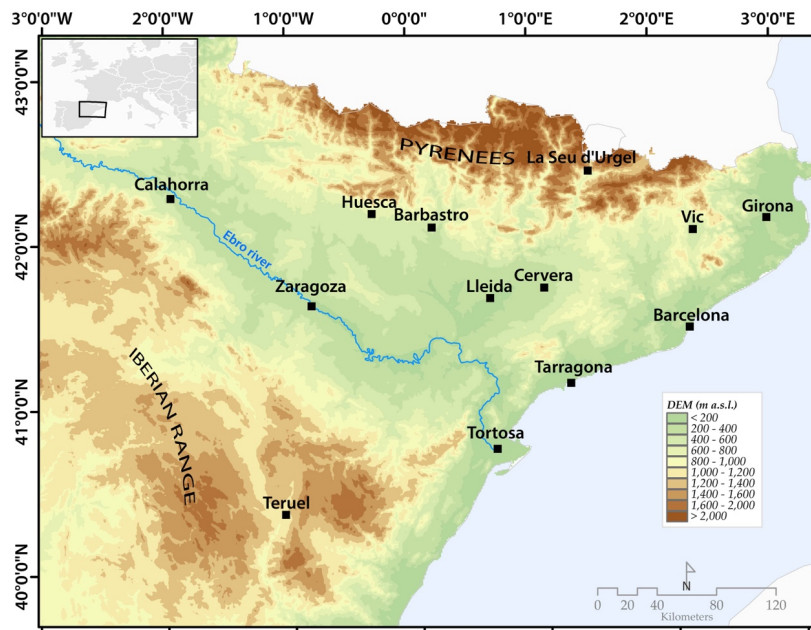
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983 **Figures and tables**



984

985 Figure 1. Location of the historical documents in the northeast of Spain.

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	Site	Latitude (degrees)	Longitude (degrees)	Altitude (m.a.s.l.)	Start (Years AD)	End	Extension (years)
989							
990	<i>Zaragoza</i>	41.64	-0.89	220	1589	1945	356
991	<i>Teruel</i>	40.34	-1.1	915	1609	1925	316
992	<i>Barbastro</i>	42.03	0.12	328	1646	1925	279
993	<i>Calahorra</i>	42.3	-1.96	350	1624	1900	276
994	<i>Huesca</i>	42.13	-0.4	457	1557	1860	303
995	<i>Girona</i>	42.04	2.93	76	1438	1899	461
996	<i>Barcelona</i>	41.38	2.17	9	1521	1899	378
997	<i>Tarragona</i>	41.11	1.24	31	1650	1874	224
998	<i>Tortosa</i>	40.81	0.52	14	1565	1899	334
999	<i>LaSeu</i>	42.35	1.45	695	1539	1850	311
1000	<i>Vic</i>	41.92	2.25	487	1570	1899	329
	<i>Cervera</i>	41.67	1.27	548	1484	1850	366
	<i>Lleida</i>	41.61	0.62	178	1650	1770	120

1001 Table 1. Historical document characteristics in the northeast of Spain.

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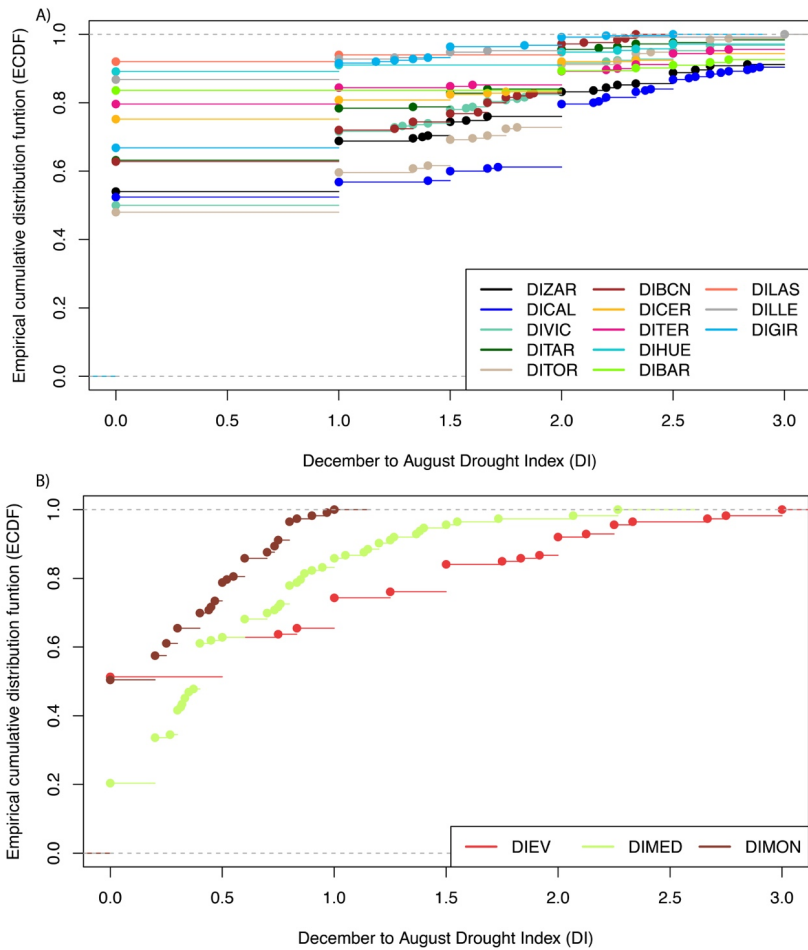
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<p>Teruel</p> <ul style="list-style-type: none">• Chapter Acts of the Holy Church and Cathedral of Teruel, 1604-1928, 28 vols. <p>Barbastro</p> <ul style="list-style-type: none">• Cathedral Archive of Barbastro 'Libro de Gestis', Barbastro (Huesca), 1598-1925, 23 vols. <p>Barcelona</p> <ul style="list-style-type: none">• City Council Historical Archive of Barcelona (AHMB), "Manual de Novells Ardits" o "Dietari de l'Antic Consell Barceloni", 49 vols., 1390-1839.• City Council Historical Archive of Barcelona (AHMB), "Acords", 146 vols., 1714-1839.• City Council Administrative Archive of Barcelona (AACB), "Actes del Ple", 100 vols., 1840-1900.• Chapter Acts of the Cathedral Historical Archive of Barcelona (ACCB), "Exemplaria", 6 vols., 1536-1814.• More than 20 private and institutional dietaries. <p>Calahorra</p> <ul style="list-style-type: none">• Chapter Acts of the Cathedral Historical Archive of Calahorra (La Rioja), 1451-1913, 35 vols.• Archives of Convento de Santo Domingo 1782-1797. First volume. 158 pages. <p>Cervera</p> <ul style="list-style-type: none">• Regional Historical Archive of Cervera (AHCC), Comunitat de preveres, "Consells", 12 vols., 1460-1899.• Regional Historical Archive of Cervera (AHCC), "Llibre Verd del Racional", 1 vol., 1448-1637.• Regional Historical Archive of Cervera (AHCC), "Llibres de Consells", 212 vols., 1500-1850. <p>Gerona</p> <ul style="list-style-type: none">• City Council Historical Archive of Girona (AHMG), "Manuals d'Acords", 409 vols., 1421-1850. <p>Huesca</p> <ul style="list-style-type: none">• Chapter Acts of the Cathedral Historical Archive of Huesca, 1557-1860, 15 vols. <p>La Seu d'Urgell</p> <ul style="list-style-type: none">• City Council Historical Archive of La Seu d'Urgell (AHMSU), "Llibres de consells i resolucions", 47 vols., 1434-1936. <p>Lleida</p> <ul style="list-style-type: none">• National Library of Madrid (BNM), Manuscript 18496, "Llibre de Notes Assenyalades de la Ciutat de Lleida", 1 vol.• Chapter Acts of the Cathedral Historical Archive of Lleida (ACL), "Actes Capitulars", 109 vols., 1445-1923. <p>Tarragona</p> <ul style="list-style-type: none">• City Council Historical Archive of Tarragona (AHMT), "Llibres d'Acords", 92 vols., 1800-1874.• Departmental Historical Archive of Tarragona (AHPT), "Liber Consiliorum", 286 vols., 1358-1799.• Regional Historical Archive of Reus (AHCR), "Actes Municipals", 10 vols., 1493-1618.• Regional Historical Archive of Reus (AHCR), Comunitat de Preveres de Sant Pere, "Llibre de resolucions", 2 vols., 1450-1617. <p>Tortosa</p> <ul style="list-style-type: none">• City Council Historical Archive of Tortosa (AHMTO), "Llibres de provisions i acords municipals", 119 vols., 1348-1855.• Chapter Acts of the Cathedral Historical Archive of Tortosa (ACCTO), "Actes Capitulars", 217 vols., 1566-1853. <p>Vic</p> <ul style="list-style-type: none">• Chapter Acts of the Cathedral Historical Archive of Vic (AEV, ACCV), "Liber porterii", 10 vols., 1392-1585.• Chapter Acts of the Cathedral Historical Archive of Vic (AEV, ACCV), "Secretariae Liber", 30 vols., 1586-1909.• City Council Historical Archive of Vic (AHMV), "Indice de los Acuerdos de la Ciudad de Vich des del año 1424", 2 vols., 1424-1833.• City Council Historical Archive of Vic (AHMV), "Llibre d'Acords", 49 vols., 1424-1837. <p>Zaragoza</p> <ul style="list-style-type: none">• Chapter Acts of the Cathedral Historical Archive 'Libro de Actas del Archivo de la Basílica del Pilar', 1516-1668, 17 vols. 2.600 pages.• City Council Historical Archive of Zaragoza, 1439-1999. 1308 vols. 35.000 pages.• City Council Historical Archive of Zaragoza. 'Libro de Actas del Archivo Metropolitano de La Seo de Zaragoza', 1475-1945. 81 vols. 12.150 pages.
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1050 Table 2. Documentary references for administrative public documentary sources used
1051 for rogation monthly indices (all documents are generated and initialed by public
1052 notaries). Noted that only the official documents are shown. Each documentary record
1053 is given reliability load with the public notary rubric that acts like secretary. This
1054 procedure is currently still in force for the same type of document, which is still
1055 generated at present time.

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1058 Figure 2. The empirical cumulative distribution function (ECDF), used to describe a
 1059 sample of observations of a given variable. Its value at a given point is equal to the
 1060 proportion of observations from the sample that are less than or equal to that point.
 1061 ECDF performed for the local drought indices (A) and the regional drought indices (B).

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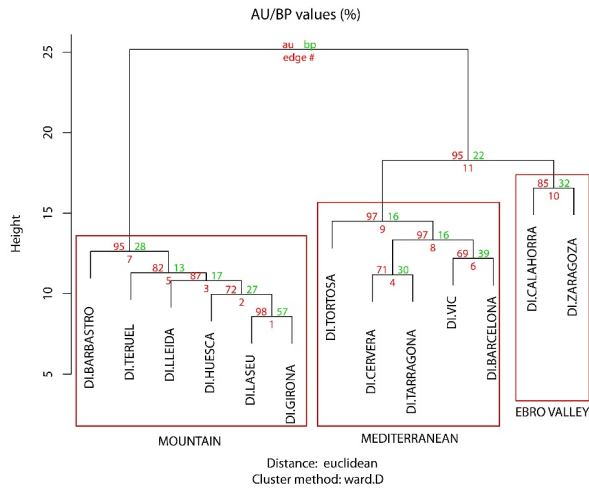
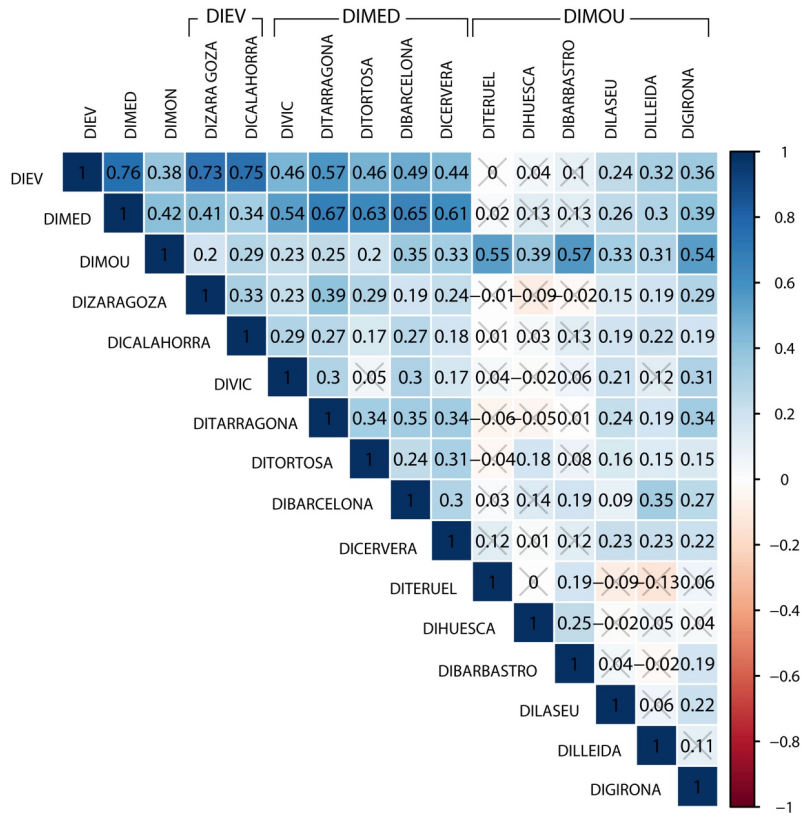


Figure 3. Dendrogram showing the hierarchical cluster analysis of the drought indices developed from the historical documents for each location. The AU (approximately unbiased *p-value*) is indicated in red and the BP (bootstrap probability) is presented in green.



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1085 Figure 4. Correlation matrix (Spearman) between the individual drought indices and the
 1086 cluster drought indices for the period of 1650-1899. Values are significant at $p < 0.05$,
 1087 except those marked with a gray cross, which are not significant.

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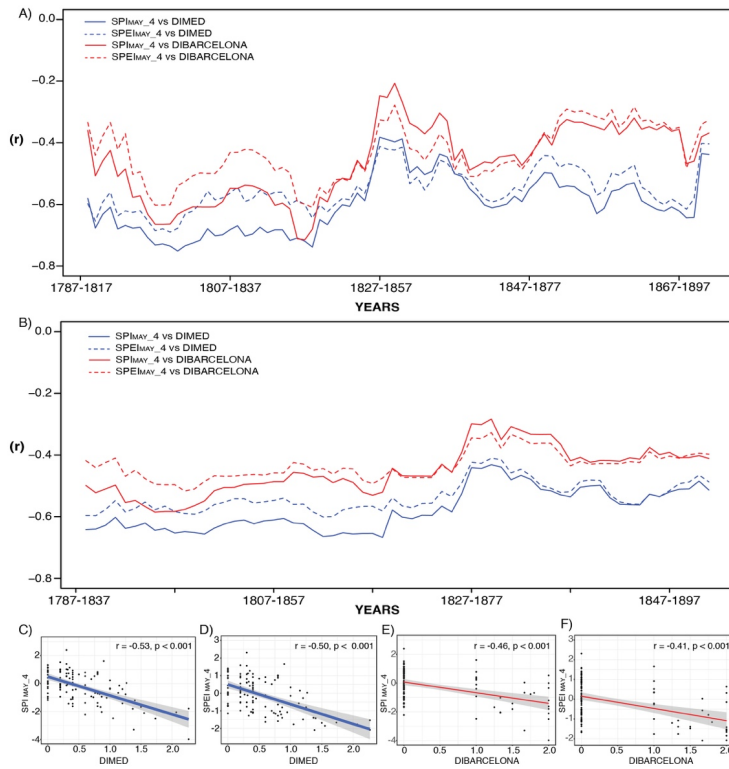
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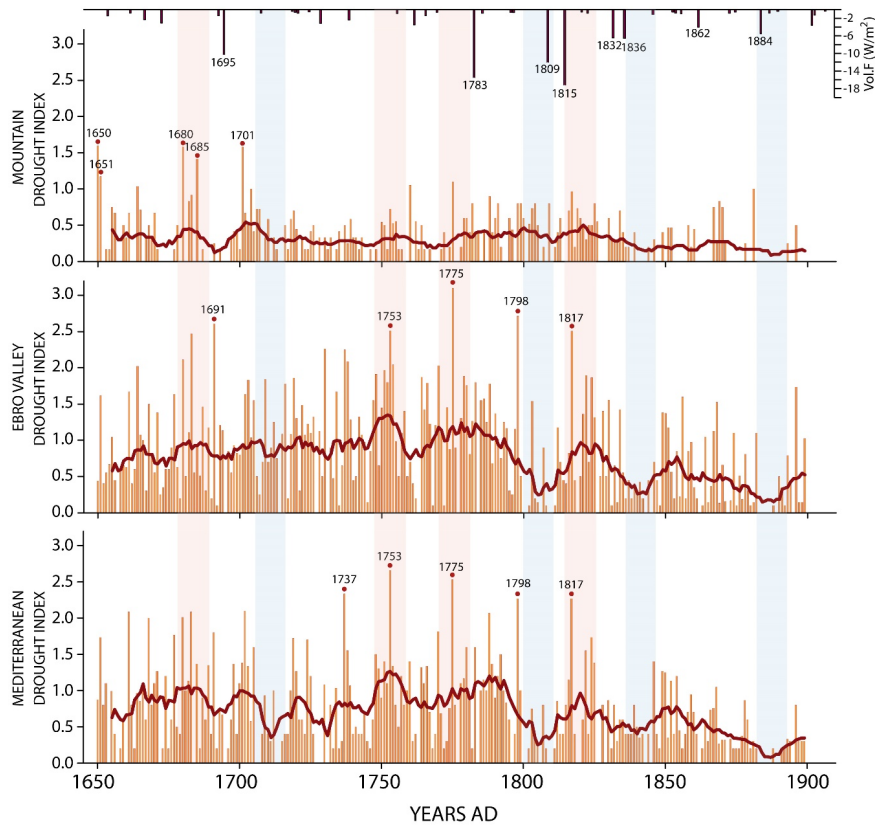
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1097 Figure 5. A) 30y moving correlation between DIMED, DIBARCELONA and the
 1098 instrumental computed SPI and SPEI. B) Same but 50y moving correlations. C)
 1099 Correlation (Spearman) between DIMED and SPI_{MAY_4} for the full period (1787-1899).
 1100 D) Correlation between DIMED and SPEI_{MAY_4} for the full period (1787-1899). E)
 1101 Correlation between DIBARCELONA and SPI_{MAY_4} for the full period (1787-1899). F)
 1102 Correlation between DIBARCELONA and SPEI_{MAY_4} for the full period (1787-1899).

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1107 Figure 6. Drought indices of the three clusters, DIMOU (Mountain), DIEV (Ebro Valley)
 1108 and DIMED (Mediterranean). Vertical orange bars represent the drought index
 1109 magnitude, 0 denotes normal conditions, and 3 denotes an extreme drought year. The
 1110 extreme drought index years are also highlighted with a red circle. Extreme volcanic
 1111 events from Sigl et al., 2015, are shown in the top panel. Vertical pink shadows indicate
 1112 extreme common (for all three clusters) drought periods, while blue shadows indicate
 1113 common periods with fewer droughts.

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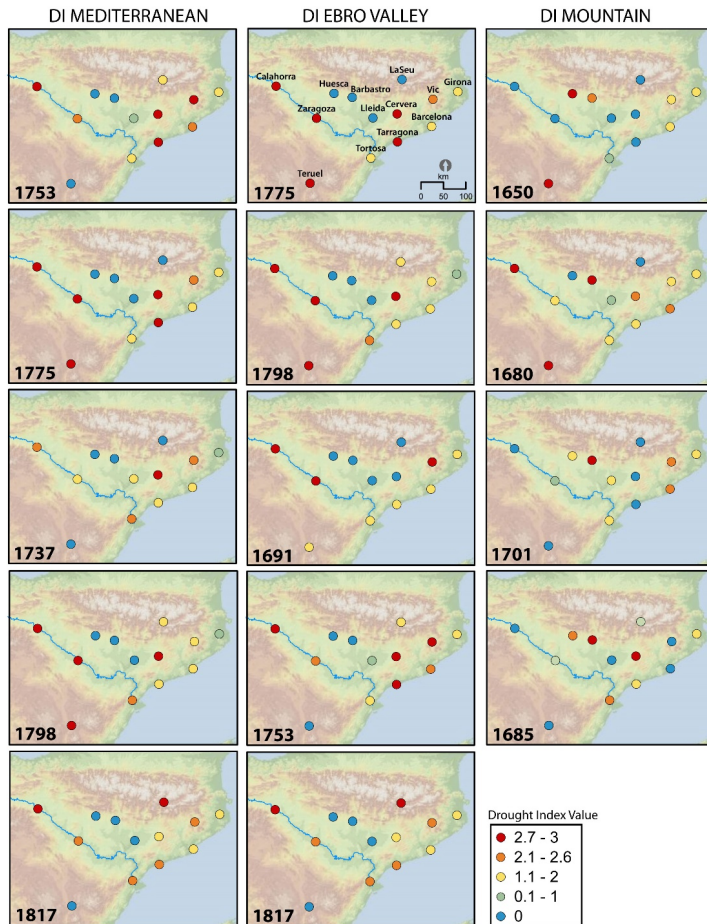
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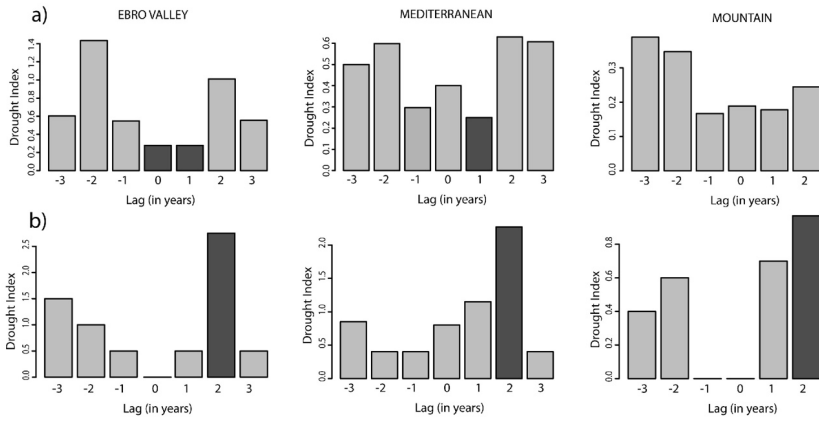
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1121 Figure 7. Spatial distribution of the most extreme drought years (based on the 99th
 1122 percentile of the cluster drought indices). The distribution is ordered top-down. The
 1123 drought index value (magnitude) for each site within the cluster is also represented.
 1124 The legend of the drought index value is based on the 30th, 60th, 70th and 90th
 1125 percentiles.

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1128 Figure 8. a) Superposed epoch analysis (SEA) of the three regional drought indices,
 1129 DIMOU (Mountain), DIEV (Ebro Valley) and DIMED (Mediterranean), with major volcanic
 1130 events from Sigl et al., 2015. Black shadows show significance at $p < 0.01$, i.e., significantly
 1131 lower or higher drought index values after the volcanic event. b) SEA of only the
 1132 Tambora (1815) event showing a significant ($p < 0.01$) increase in the drought index.

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Level	Type of ceremony
0	No ceremonies
1	Petition within the church
2	Masses and processions with the intercessor within the church
3	Pilgrimage to the intercessor of other sanctuary or church

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Table 3. Rogation levels according to the type of ceremony celebrated.

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