

Dear editor, this is the point by point response with which we aim to respond to all the questions raised by the reviewers.

Reviewer 1.

I think that the authors have addressed only part of my previous concerns, but the core of the problems that I find have not been solved. Specially, I am not convinced by the application of the clustering technique to the indices generated by the authors.

Sorry for the misunderstanding, we did not apply the clustering technique to compute the indices but to evaluate similarities among local series. We clarify this in lines 231-233.

The indices are semi quantitative, continuous and nonlinear variables. I think that, under these conditions, the use of the cluster techniques is questionable. In the new version I do not find convincing arguments justifying this application. The inconsistency of the results reinforces this. We do not agree with the reviewer on this point. We think that our results are not inconsistent. The drought indices inferred from low elevation sites show common patterns suggesting common climatic driving forces. In addition, the mountain environment drought indices show more local patterns and do not reflect as well the temporal patterns of the low elevation indices. The limitations on the use of rogations as climatic proxies is not related to the technique of the analysis as rogations in different environments reflect climate events that occur at different time scales.

Now, the authors emphasize the limitations in the DI Mountain cluster, whihc is Ok. However, I still think that it is a purely statistical artifact. I have provided different reasons I my previous reviews. On top of them, I provide an additional one. Figure 7 shows the spatial patterns of extreme drought years. 1685 and 1701 (bottom right panels of the figure) show that in these 'extreme' years of the mountain cluster, Teruel shows an index value of 0.

What is meant is that 1685 and 1701 cannot be considered as extreme drought years in Teruel. From the regional tree-ring width hydroclimate reconstruction near Teruel (Tejedor et al., 2016) we can see that both years were considered as 'normal'. This also means that the DI Mountain has a weaker and less robust physical meaning. We clearly stated this in the discussion and conclusion sections.

I think this is not acceptable and is another proof of the lack of physical foundation of this cluster analysis in an area of high precipitation and temperature variability, as acknowledged by the authors in lines 647-658.

We think we have detailed the potential drawbacks of the DI Mountain and the strengths and weaknesses of performing clustering techniques. Despite Teruel's high elevation (900 m.asl), the annual mean precipitation is fairly low (378 mm; AEMET, 2019). Nevertheless, the monthly precipitation varies with respect to that in the Ebro Valley, driven by convective storms during August, September and October. The strong precipitation and temperature variability are reflected in the individual DI clustered in the DI Mountain, including a weaker or less robust signal as opposed to the solid and neater DI Mediterranean and DI Ebro Valley (Figures 4 and 6). We do not see it as a lack of physical foundation of the cluster but as a reflection that rogation

ceremonies in high-elevation environments had a greater variability and intensity through time than in the low lands and Mediterranean coast. Therefore, DI Mountain results must be treated with caution, as we stated in the manuscript, but that does not invalidate it.

The new version of the discussion is focused in justifying that rogation records are good proxies for droughts. This has been proven in the literature and I am not questioning at all their value as local indicators of droughts.

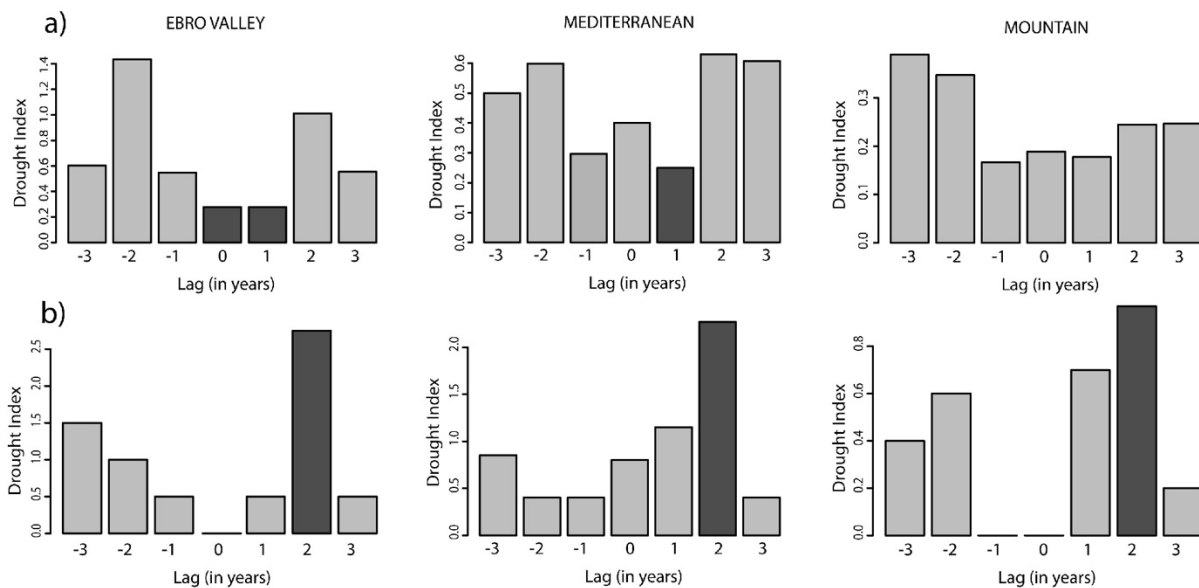
We also demonstrate that in some areas (Ebro Valley and Mediterranean coast) rogation records are suitable proxies for droughts not only as local indicators but also at a regional scale, which we believe is a novel finding.

My point is on how the cluster is applied and interpreted in that specific case, not on the validity of rogations.

We did not apply the clustering technique to compute the indices but to evaluate similarities among local series (lines 231-233).

Panel a) of figure 8 is from my viewpoint another proof of lack of consistency. How can the authors explain that they find in the Mediterranean cluster a significant signal before the volcanic eruptions? (year -1) Do they have an explanation for this?.

There are still uncertainties on the dating of volcanic events from ice-cores. Here, we only include the largest events on ice-cores from Sigl et al., 2015, which improve the uncertainty of the dating with respect to Gao et al., 2008 or Crowley and Utterman, 2013. However, there are still events with dating issues of ± 1 years. Therefore, we have been more restrictive with the significant level, now adjusted to $p < 0.01$.



I think that the only robust result is the impact of the Tambora eruption in panel b, a result previously reported in papers co-authored by some of the authors.

Although it is true that a drought response was also found in Dominguez-Castro et al., 2012 after the Tambora event, the methodology, the sites and the extent of the results are different. The response to Tambora event is large and significant in the three indices, including the DI Mountain, thus reaffirming their validity as extreme droughts proxies, and at the same time proving the consistency of these results. Lines 598-617.

So, I do not think the paper is acceptable for publication.

Additional comments

- The authors claim that they have computed the SPEI index since 1787. This index requires instrumental data to compute the AED. Which data have they used? This should be explained in the text, since, as they claim, the only long instrumental series in the region is the Barcelona temperature series. Is this a combination of instrumental and proxy records?. If so, this must be carefully described in the text.

We calculated the SPEI with the SPEI package (Begueria et al., 2014). From the various ways of calculating evapotranspiration we chose Thornwaite, which requires only temperature and latitude as inputs. These details are included in lines 275-277.

We used the temperature and precipitation instrumental data from Prohom et al., 2012; 2015 that can be downloaded here;

<http://www.meteo.cat/wpweb/climatologia/serveis-i-dades-climatiques/serie-climatica-historica-de-barcelona/>

- The language needs further revision. I asked in my previous review but it does not seem to have been taken into account too seriously.

This has been completed. The manuscript was reviewed by a native English speaker.

- Some references are missing or wrong. Examples:

line 168 AEMET 2012 missing

Corrected.

line 744-745 I have not been able to find Dominguez-Castro and Garcia Herrera GRL 2016

Corrected.

The reference to Garcia-Ruiz 2001 about climate change impacts in the Mediterranean should be updated. See for example

The climate of the Mediterranean region: from the past to the future

2012, Elsevier Insights, 592pp, ISBN: 978-0-12-416042-2, Ed. Lionello P.

Mediterranean Climate Variability

Done.

2006, Elsevier, Amsterdam, ISBN: 0-444-52170-4, 438 pp, Eds: Lionello P., P. Malanotte-Rizzoli and R. Boscolo

- DIMED appears in l 272, while its meaning is explained in line 324

Corrected.

Reviewer 3.- Reconsidered after major revisions

The manuscript is an interesting work on historical droughts in Northeast Iberian Peninsula, but in my opinion it needs some revisions before publishing:

Thank you for your comments.

1) In the literature you can find different definitions of the drought concept (atmospheric, meteorological, hydrological, agricultural), depending on the physical variable studied (relative humidity, rainfall, other elements of the hydrological cycle), and the duration of the event (days, months, seasons). I understand that here the authors are studying agricultural droughts, due to the origin of the data (rogations linked to agricultural production). In any case, it would be important to precise this point.

We appreciate your comment. However, it is difficult to determine one single type of drought. In cereals of long cycle, without any other artificial support, during the period of the year in which the harvest is in progress both the meteorological and the agricultural drought converge. The rogation was not only agronomical or focused on a drought or agricultural problem. They already inferred that the problem was meteorological. And they always asked for timely rain, appropriate rain, or consistent rain. Sometimes in despair they even asked that at least there be spray! In other words, they asked for the occurrence of a meteorological phenomenon. In consequence, the follow-up or sentinel that gives them information is agricultural, but their answer is by a meteorological anomaly, and they ask for the development of a normalized meteorology, that in consequence will allow a development of the appropriate agriculture. (Lines 199-206).

2) The nature of rogation ceremonies must be explained with more detail. For instance, is it possible to find a 'preventive rogation', that is, a ceremony organized before the event occur? In this sense, the date of the rogation is an important information. It may be the case that a dry winter provoked the rogation, but timely spring rainfalls yielded a good harvest. In that case, can we speak on 'drought'? In relation to previous comment, perhaps here we could speak on dry winter (meteorological drought), but not on 'agricultural drought', and, in consequence, this event is not comparable with other characterized by the water deficit during an entire year.

Rogation ceremonies are a relatively new climatic proxy indicator. Most of the methodological details and criteria can be found in Martín-Vide & Barriendos (1995), and Barriendos (1997). "Preventive" rogation is a concept that indicates a first institutional response when drought is in an early stage of crop affectation meaning that; i) no damages are recorded, ii) cereal crop is showing first negative effects by drought, iii) any rainfall event can correct the drought. Of course, a systematic record of dates for this and other rogation levels is important, in order to consider severity and duration of drought events.

Drought is a cumulative phenomenon. Then, as you comment, certainly a dry winter can give any "preventive" rogation of level 1 in early spring. In fact, drought in winter shows effects in agriculture during the next months. This affectation is recorded by the rogation system. Then, if drought is persistent during the following spring, rogation ceremonies convoked by institutions are increased to higher levels.

To answer your interesting question, if we would work just for economic or agricultural history, the truth is that this short drought would not exist, or it will not be considered because of absence of impact. However, in the context of climatic variability, any minor rogation convoked for a short event, even without impacts on agriculture, is recording a meteorological drought. In a similar way, instrumental records can show months or seasons with low values of precipitation, but cumulative yearly value can be normal if other months are rainier. If rogation proxies can detect a high-resolution event, we think this is an improvement on knowledge of climatic variability at different time scales.

Finally, we agree that the rogations system is a proxy developed within an agricultural framework (institutions monitoring crops) and thus the information available is in fact related to meteorological and agricultural droughts.

The 'annual' index (from December to August) may mask important intra-annual fluctuations, in my opinion it is preferable to divide the information into seasonal indices, following the different phases of the plant growing, from seed (autumn) to harvest (summer). In addition, all rogations are linked to cereal production? Other plants (fruits, olive trees) have different climatic limitations, and it would be possible that a single meteorological event (for instance, dry spring) was harmful for a specific plant, but not for another (for instance, the barley is more tolerant to drought than wheat).

This is a good remark. However, within the study area the main agricultural cereals are wheat and barley and are generally mixed i.e., cereal plots are mixed, and farmers usually do not focus on one single cereal but grow several. Therefore, in the study area there are not extensive areas that we can identify as of a single cereal. The greater agricultural homogeneity found in the Mediterranean and Ebro Valley might be one of the reasons of finding a common pattern. The greater specificity in mountain environment crops may be one of the causes of a weaker regional pattern.

In addition, when the traditional agriculture in the western Mediterranean is considered, the basic production of cereals were wheat and barley, and more specifically wheat. This was common practice beyond cultural, religious or political contexts. Bread is the basic food for most of the population during the Low Middle Age and Early Modern Epochs, as is indicated by historiography. It logically follows, then, that a permanent and complex monitoring system involving several public institutions (professionals, church, etc.) would focus on the most basic food production. Unfortunately, cultivation of other agricultural products does not have a similar monitoring system. To use other products for climatic proxies, we would have to collect information in an indirect way, such as from taxes statistics, tithes and other economic indicators. The reliability of the economic or tax information in Spain, however, is relatively low and irregular

due to the usual practice of farmers to hide it. We do not include such information in the manuscript.

3) I have doubts on the classification of the rogations (lines 205-207, Table 2). Were the ceremonies the same in all the cities and during the whole time period, from 1650 to 1899?

Yes. From our direct reading of historical archives, we can state that institutional controls in pre-industrial society were so strict that many elements didn't change for centuries. We have added information in the discussion, lines 503 to 519.

Nevertheless, the institutional controls in pre-industrial society were so strict that many of its constituent parts remained unchanged for centuries, and rogation ceremonies are one such element. This can be explained by two different factors. First, rogation ceremonies are used within the framework of the Roman Church Liturgy, so changes can only be defined and ordered by the Vatican authorities. If there is a will to change criteria affecting the substance of liturgical ceremonies, all involved institutions must record considerations, petitions and decisions in official documents from official meetings, supported by public notaries. In addition, changes must be motivated from the highest institutional level (Pope) to the regional authorities (Bishops) and local institutions (Chapters, parishes...). This system was too complex to favor changes. A second mechanism guarantees the stability of the rogation system: if any minor or important change in rogations is instigated at local level by the population or local institutions, this interference directly affected the Roman Church Liturgy. However, it was a change not to be taken lightly as the Inquisition Court would start judicial proceedings, and could bring a criminal charge of heresy. The punishment was so hard that neither institutions nor the people were interested in introducing changes in rogations.

Severity indices are based on the type of ceremony, but is it a reliable criteria? In the discussion (lines 404-411), authors say that 'an index of level 2 does not necessarily imply that a drought was twice as intense as a drought classified as level 1, nor that the change in the intensity of droughts from level 1 to level 2 or from level 2 to level 3 has to be necessarily equivalent'. In that case, how must we interpret these indices? In my opinion, these indices only specify the nature of the ceremonies organized as response to natural hazards, but do not inform on the severity of the climatic event. In consequence, what is their utility from a climatic point of view? In my opinion, the binomial distribution (occurrence or not) is the more appropriate statistical approach to the treatment of this information.

The mechanisms underlying the triggering or absence of a rogation ceremony have been widely discussed in Barriendos et al., 1997; 2005 in Vicente-Serrano and Cuadrat, 2007 or even under the framework of a European funded project (Domínguez-Castro et al., 2012). The rogation ceremonies are indirect sources of climatic extreme events. The different types of rogations have a progressive meaning of climatic stress. For example, when a rogation of level 3 is performed, it means that the previous efforts of the society, municipal authorities and the church performing rogations of level 1 or 2 have not yielded results i.e. useful precipitation. Using a quantitative index such as the SPI, we could identify, for instance, droughts with an index of -2 and argued that those are twice as intense as those with an index of -1. However, here we cannot argue,

unlike with other quantitative indices like the SPI, that a drought classified with level 2 is twice as intense as one with level 1. Yet, we can infer with high confidence that if there was a drought of level 2 it is because those types of ceremonies of level 1 did not work, and therefore the drought was still an issue for the development of the crops i.e., there is a progressive drying, but it does not have to be twice as intense. With this modified paragraph (lines 531-542) we tried to be honest with the semi-quantitative nature of our data and thus explain the potential drawbacks.

In addition, we are trying to evaluate the spatial scope of the rogations as a climate proxy. We also perform a comparative analysis of the local series in an attempt to construct regional series (in which the local binomial character is modified). For a local analysis, the occurrence may or may not be the most appropriate technique. For our attempt to regionalize, the approach proposed (and widely discussed) is justified. Finally, the transformation from categorical to semi-quantitative values considers the incorporation of uncertainties, widely discussed in the text. Despite this potential loss of precision, our results show common and consistent patterns on a regional scale (Mediterranean and Ebro Valley). Not included in the manuscript.

4) Clustering is an appropriate tool to classify and group local series into regional series. There are very different clustering algorithms, hierarchical and not hierarchical. Why have you used Ward method with Euclidean distance, and not, for example, the non-hierarchical k-means, or other methods as the principal component analysis? Results of clustering must yield groups more or less homogeneous, but the chosen number of clusters is normally arbitrary. Why do you distinguish between Mediterranean and Ebro Valley group (dendrogram, Figure 3), if, as you say (lines 450-451) 'the high correlation between DIEV and DIMED is suggesting similar climatic characteristics'?

We know from observations that, although DIEV and DIMED locations have similar climatic characteristics, the Mediterranean coast locations have slightly higher precipitation totals. Then, we tried to differentiate that by developing two drought indices, which is supported by the cluster. One is reflecting the Ebro Valley conditions and the other is reflecting a more Mediterranean-like climate. Therefore, our final grouping is not only statistically significant, but it has also a geographical/physical meaning. Included in lines 428-429.

5) Validation of the regional drought indices is made using the overlapping period 1786-1899 between documentary and instrumental data. But, as you say in the discussion (lines 390-392) 'the apparent low frequency of rogations in the 19th century could be explained by a combination of political instability, and the loss of religiosity and historical documents'. I would add changes in the socioeconomic structures, organization of the cereal production, agricultural techniques, etc. In consequence, this period is not valid to calibrate and/or validate the rogation series in previous centuries. The cultural background, economic organization and technology of the 19th century was not the same that in previous centuries, and calibrations established for 19th century are not applicable to 17th century! In fact, you do not use this calibration (regression in Figure 5) to interpret previous data, only to validate the index during the 19th century.

We partially agree with this comment. It is true that there were changes in society and the political sphere but when there was an intense drought, the mechanism the society had to prevent it was still performing rogation ceremonies. That is also validated by the high correlation with the instrumental series. Additionally, the calibration period begins in 1787 when rogations were still deeply established in the society. We could show how internal historiographic criteria is applied to this manuscript, but we consider it is beyond the scope of the main message of the manuscript.

In any case, it is true that after 1834, when the Inquisition Court was abolished, liberal and democratic movements arrived from Europe and Spain experienced a strong process of anticlericalism, including a certain loss of religious values. This process obviously affected the occurrence of rogations and respective records on documentary series of public institutions. Still, this affirmation is valid only for specific areas or social contexts, because history is complex, non-linear and the development was not homogenous.

Besides, this analysis is only made for DIMED (Barcelona), and not for the other points in the studied area. I suggest to remove this analysis (and the Figure 5).

The analysis is performed for both DIMED and DI Barcelona included in DIMED, showing similar results and consistency through time. This analysis was a specific request by one of the reviewers, and we believe is highlighting the validity of the derived drought indices where we have instrumental data. Even with all the potential uncertainties, this comparison is one of the few forms of validation of this type of information given the absence of overlap with instrumental series. We prefer to keep it as is.

6) Superposed epoch analysis (SEA). Although you give a reference, a brief explanation on the basis and procedures of this method would be important.

Done. See lines 293-308.

7) Minor questions:

Line 301: 'The cluster analysis (CA, see methods) using the DI of the 13 locations for the period of 1650-1899 AD revealed three significantly coherent areas...' Erratum, I suppose, clustering is made using the period 1650-1770, common to all the stations, although the classification obtained is after applied to the complete period until 1899.

Sorry for the misunderstanding. As indicated in the methods, the cluster is made using the period 1650-1770. We have corrected this (lines 328-330).

Lines 373-375: 'However, two years after the Tambora eruption in April 1815, there was a significant ($p < 0.05$) increase in the three drought indices...' However? The time life of volcanic aerosols in the atmosphere is around one to two years. The Tambora aerosols caused a radiative forcing of the global climate system of about 5.6 W/m^2 for one to two years following the eruption (Brönnimann and Krämer, 2016). In consequence, this increase in the drought indices may be caused not by the volcanic eruption, but by the return to 'normal' conditions (or not forced climate variability).

The hydroclimatic volcanic response has been recently study using tree-ring records from Europe (Gao and Gao, 2017; Rao et al., 2017), showing a persistent drying in southern Europe two years

after the volcanic event, which is consistent with our results. In addition, a previous study with rogation ceremonies (Dominguez-Castro et al., 2012), also highlights the year 1817 as one of the driest and it is attributed by the climatic effects induced by the Tambora event. All of which is considered in the results (lines 397-405) and discussion (lines 598-617) sections.

Lines 417-418: 'the local series are compared with the regional reference series as a basic element of quality control'. But, if the regional series is obtained from the average of local series, here we have a circularity problem.

We appreciate your concern. To solve it, we performed a modified version of the leave-one-out calibration method. Both the SPI and the SPEI are then correlated with a DIMED version that excludes an individual DI each time. The results are shown in the figure below (now Figure S1). We found significant correlations ($p < 0.001$) on each case, including correlations above 0.45. We believe this example clearly shows that we do not have a circularity problem. We now include this in the methodology (lines 282-83) and in the results (367-369), and as Supplementary Figure 1.

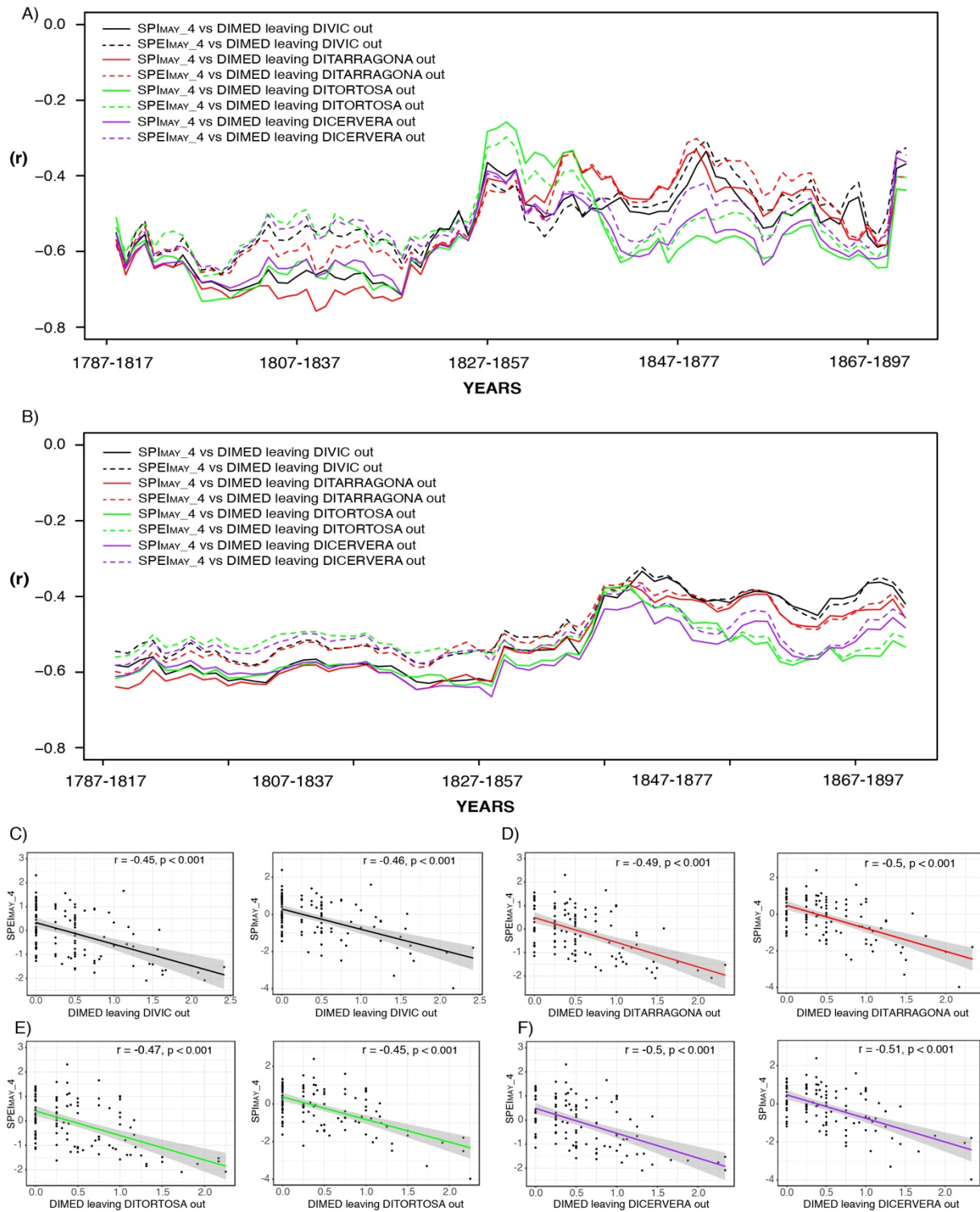


Figure S1. A) 30y moving correlation between a DIMED version leaving one out and the instrumental computed SPI and SPEI. B) Same but 50y moving correlations. C) Correlation (Spearman) between DIMED leaving DIVIC out and SPI_{MAY_4}, and SPEI_{MAY_4} for the full period

(1787-1899). D) Correlation between DIMED leaving DITARRAGONA out and SPIMAY_4, and SPEIMAY_4 for the full period (1787-1899). E) Correlation between DIMED leaving DITORTOSA out and SPIMAY_4, and SPEIMAY_4 for the full period (1787-1899). F) Correlation between DIMED leaving DICERVERA out and SPIMAY_4, and SPEIMAY_4 for the full period (1787-1899).

Line 432: 'the local series are separated by tens or hundreds of kilometers'. If you speak on meteorological droughts, this is not a problem, because the dynamical conditions provoking dry conditions are associated to the predominance of anticyclonic conditions, and the spatial extension of an anticyclone may be much greater. Again, we are speaking on the drought concept. Meteorological, hydrological, agricultural?

We appreciate your comment. We clarified that we are indeed talking about a drought that links various characteristics of meteorological and hydrological drought to agricultural impacts. In any case, a recent study on the major drought episodes on Spain (González-Hidalgo et al., 2018) shows that there are some persistent droughts affecting large areas of Spanish mainland, including the mountain areas, associated to the predominance of anticyclonic conditions. However, in general, the spatial extent of droughts includes a great variability due to multiple factors (not included in the manuscript).

Figure 5. Significance level must be added in the figures. D), E), F), correlation is the Pearson correlation coefficient?

We appreciate the suggestions. However, we already included the significant level together with the Spearman correlation in the latest version of the manuscript. Again, we believe the reviewers did not get the latest version of the manuscript. We are sorry for that. It is the Spearman correlation as stated in the caption.

Figure 7. The legend is arbitrary, why do you distinguish between 2.1-2.6 and 2.7-3 DI values? The legend was based in percentiles. Now we include it in the caption.

Reference

Brönnimann S, Krämer D. 2016. Tambora and the 'Year Without a Summer' of 1816. A perspective on Earth and Human Systems Science. *Geographica Bernensia* G90, 48 pp, doi:10.4480/GB2016.690.01

Reviewer 4. Accepted subject to minor revisions.

Rogation ceremonies: key to understand past drought variability in northeastern Spain since 1650. This study compiles and quantitatively analyses drought indices derived from documentary data on rogation ceremonies in northeastern Spain to further insights into historical droughts but also to understand the role of volcanic forcing on past event.

The most importantly contribution of the paper is that it provides a very interesting insight into both the strengths and weaknesses of documentary sources, especially approaches that seek to

derive quantitative estimates from qualitative data. It is my view that the paper should be accepted following minor revision.

Many thanks for your positive comments and constructive review.

I suggest some points below that that the authors need to address. Most of my comments seek clarity and explanation. I also request the authors to give the paper a thorough edit. There are instances of misspelling or improper English scattered throughout the paper. This deserves considerable attention. There are also instances of long tracts of text that make it hard for the reader to track key points. Please use paragraphs more effectively to deal with this. There are also very long sentences at times (e.g. end of introduction) that need to be broken up.

Done.

I would like to know more about the documentary sources consulted. We don't get to know much about this aspect despite so much interpretation later depending on these sources. In line with comments from previous reviewers the original sources and their consultation/analysis needs to be given greater attention in the paper.

We provided detailed information about the documentary sources in the supplementary documents-Table S1. We have now moved that into Table 2.

In any case, a complete relation of documentary sources consulted requires an enormous display of pages of references. Case by case, each document can take hundreds of pages. A summary (as displayed now in Table 2) quantifying the collected sources is usually accepted. The main issue in displaying our effort is because we worked with primary sources, such as the administrative documentary sources, where every location usually contains 400-600 volumes of manuscripts. Rogations and other climatic descriptions are contained into these documentary series. Making a reference for every case would imply producing a book of references, which is not practical considering present editorial criteria. Users must be confident we work with all the quality requirements to preserve traceability of all the information used into the present manuscript.

The key methodological steps in the paper are as follows:

- 1) Development of a semi-quantitative series from qualitative data derived from documentary sources on rogation ceremonies. This is done using an established technique. I have no concerns to note.

- 2) Clustering of series to develop regional drought indices

This again seems to follow best practice. Importantly the analysis is not entirely statistically based and physical reasoning around the derived clusters is given. This is important as such techniques are somewhat subjective and the authors are transparent in their choices. The limitations of the approach are clearly articulated in the discussion.

- 3) Validation of the resultant series against instrumental records.

4) The performance of an epoch analysis to detect volcanic influence on historical droughts. This section is given the least attention in methods and most prominence in the abstract. I think that the authors need to explain this approach in more and sufficient detail to allow reader fully understand what they are doing here. A short paragraph should suffice. Why this method and what are the assumptions/strengths/weaknesses around the approach and desired attribution statements.

Many thanks for highlighting the merits of the manuscript. We now include a more detail section on the superposed epoch analysis (lines 294-308) and strengths/weaknesses are addressed in the discussion section.

I do not have local knowledge of the region but I find the results interesting, especially for the mountainous region. It seems the other two regions show similar results that are coherent with findings from previous studies. Indeed in discussion this aspect of the coherence of results needs to be moved further up. This is important information to have before getting into the limitations. Thanks for this suggestion, we now moved it further up.

I find the weaker results from the mountain region interesting. Some effort is expended on trying to explain why this difference and at times the authors get into attributing different processes. First, is this something seen in recent times when we have measurements?

This is an interesting point and the answer is not straightforward. First, the social and agrarian political system changed drastically during the first third of the 20th century with the construction of reservoirs. Both the Ebro Valley and much of the Catalan coast are flanked by two large mountain systems, the Pyrenees to the north, and the Iberian system from northwest to southeast. It is in these mountains where the highest rainfall occurs and therefore where the reservoirs are located. The ability to manage water resources became a determining factor for the local agriculture, which little by little began to generate more irrigated agricultural lands and less rain-fed agriculture. Second, in situations of anticyclonic stability that prevent the arrival of fronts loaded with humidity in the Ebro Valley, there are frequent convective storms in the Pyrenees and in the Iberian System. Although is true that most of the instrumental stations in Spain are located under 1,000 masl, we now know from observations that mountain regions are less affected by droughts than the lowlands. As noted above, there is a recent study on the major drought episodes on Spain (González-Hidalgo et al., 2018) showing that there are some persistent droughts affecting large areas of Spanish mainland, including the mountain areas. However, in general, the spatial extent of droughts includes a great variability (not included in the manuscript).

Second, given that the performance of a rogation was done based in part on the wishes of agricultural institutions, is there a risk that mountainous regions would have weaker political power in influencing rogations. Therefore, the lack of intense droughts in mountainous region or the disagreement with other regions, may be due to its weaker economic importance rather than anything to do with drought directly? I think the authors need to mention this possibility.

Your comment is very appropriated and well-focused. This argument could be negative for rogations in mountainous areas. But the rogation ceremonies system was not organized in a hierarchical structure, meaning that solicitudes were not sent to urban areas in lowlands, bishop authorities, or other administrations. Rogation ceremonies were not decided or prioritized from a distance. In fact, rogations ceremonies were adjusted to the capacities of every local community. This system is adapted to produce quick and efficient responses from local ecclesiastical authorities to local civil authorities, assessed by agricultural associations. The main idea of rogations is that after the first warning, the celebration of a rogation will be held within the next 4-6 days.

With the present manuscript and other works in progress, we are able to distinguish different climatic variabilities, confirming possible singularities in mountain areas. Rogation ceremonies help in this approach, because they can detect a range of climatic change from local sensitivities to large scale regional events or even global processes (such as volcanic events) (not included in the manuscript).

I also think that the authors could make more of the issues they run into for the mountainous region as a case of the challenges of using documentary sources. This needs to be mentioned in the abstract as its lessons are important for other studies.

Done.

The authors rightly state that the drought index for the mountainous region should be treated with extreme caution.

Thank you for your considerations. That is what we try to express in the revised version.

Need to be careful of tense used in abstract.

Done.

References

AEMET, 2019. State Meteorological Agency. Retrieved March, 11th 2019 from:

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Rogation ceremonies: A key to understanding past drought variability in north-eastern Spain since 1650

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ABSTRACT

In the northeast of the Iberian Peninsula, drought recurrence, intensity, persistence and spatial variability have mainly been studied by using instrumental data covering the past ca. 60 years. Fewer studies have reconstructed drought occurrence and variability for the pre-instrumental period using documentary evidence and natural proxies. In this study, we compiled a unique dataset of rogation ceremonies, religious acts asking God for rain, from 13 cities in the north-east of Spain and investigated the annual drought variability from 1650 to 1899 AD. We converted the qualitative information into three regionally different coherent areas (Mediterranean, Ebro Valley and Mountain) with semi-quantitative, annually resolved (December to August) drought indices, according to the type of religious act. Both the Barcelona and the regional Mediterranean Drought Indices were compared with the instrumental series of Barcelona for the overlapping period (1787-1899), where we discovered a highly significant and stable correlation with the Standard Precipitation Drought Index of May with a 4-month lag ($r=-0.46$ and $r=-0.53$; $p<0.001$, respectively), thus confirming the validity of the local and regional Drought Indices derived from the historical documents as drought proxies. On the other hand, the Mountain Drought Index presents various limitations and its interpretation must be treated with caution. We found common periods with prolonged droughts (during the mid and late 18th century) and extreme drought years (1775, 1798, 1753, 1691 and 1817) associated with more atmospheric blocking situations. A superposed epoch analysis (SEA) was performed to test the regional hydroclimatic responses after major tropical volcanic eruptions. The SEA shows a significant decrease in drought events one year after the volcanic events, which might be explained by the decrease in evapotranspiration due to reduction in surface temperatures and, consequently, the higher availability of water that increases soil moisture. In addition, we discovered a common and significant drought response in the three regional drought indices two years after the Tambora volcanic eruption. Documented information on rogations thus contains important independent evidence to reconstruct extreme drought events for specific seasons in areas and periods for which instrumental information and other proxies are scarce.

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61 1. Introduction

62 Water availability is one of the most critical factors for human activities, human
 63 wellbeing and the sustainability of natural ecosystems. Drought is an expression of a
 64 precipitation deficit, which often lasts longer than a season, a year or even a decade.
 65 Drought leads to water shortages associated with adverse impacts on natural systems
 66 and socioeconomic activities, such as reductions in streamflow, crop failures, forest
 67 decay or restrictions on urban and irrigation water supplies (Eslamian and Eslamian,
 68 2017). Droughts represent a regular, recurrent process that occurs in almost all climate
 69 zones. In the Mediterranean region, the impacts of climate change on water resources
 70 give significant cause for concern. Spain is one of the European countries with a large
 71 risk of drought caused by high temporal and spatial variability in the distribution of
 72 precipitation (Vicente-Serrano et al., 2014; Serrano-Notivoli et al., 2017). Several recent
 73 Iberian droughts and their impacts on society and the environment have been
 74 documented in the scientific literature (e.g., Dominguez Castro et al., 2012; Trigo et al.
 75 2013; Vicente-Serrano et al. 2014; Russo et al. 2015; Turco et al. 2017). For instance,
 76 during the period from 1990 to 1995, almost 12 million people suffered from water
 77 scarcity, the loss in agricultural production was an estimated 1 billion Euro, hydroelectric
 78 production dropped by 14.5 % and 63% of southern Spain was affected by fires
 79 (Dominguez Castro et al., 2012). One of the most recent droughts in Spain lasted from
 80 2004 to 2005 (García-Herrera et al., 2007) and was associated with major socioeconomic
 81 impacts (hydroelectricity and cereal production decreased to 40% and 60%,
 82 respectively, of the average value).

83 In other European regions, drought intensity and frequency have been widely
 84 studied, since their socio-economic and environmental impacts are expected to worsen
 85 with climate change (e.g. Spinoni et al., 2018; Hanel et al., 2018). Long-term studies
 86 using instrumental meteorological observations have helped in understanding European
 87 drought patterns at various spatial and temporal scales (e.g. Spinoni et al., 2015; Stagge
 88 et al., 2017). In addition, natural proxy data have provided a multi-centennial long-term
 89 perspective in Europe by developing high-resolution drought indices derived mostly
 90 from tree-ring records (e.g. Büntgen et al., 2010, 2011; Cook et al., 2015; Dobrovolný et
 91 al. 2018). Finally, documentary records utilized in historical climatology have
 92 complemented the understanding of droughts across Europe (e.g. Brázdil et al., 2005,
 93 2010, 2018). These studies, covering the last few centuries, usually focus on specific
 94 periods of extreme droughts and their societal impacts (e.g. Diodato and Bellocchi, 2011;
 95 Domínguez-Castro et al., 2012) and yet, studies attempting to develop continuous
 96 drought indices for the last few centuries, inferred from documentary evidence, remain
 97 an exception (e.g. Brázdil et al., 2013, 2018; Dobrovolný et al. 2015a,b, 2019).

98 In the Iberian Peninsula, natural archives including tree-ring chronologies, lake
 99 sediments and speleothems have been used to deduce drought variability before the
 100 instrumental period (Esper et al., 2015; Tejedor et al., 2016, 2017c; Benito et al., 2003,
 101 2008; Pauling et al. 2006; Brewer et al., 2008; Carro-Calvo et al., 2013, Abrantes et al.,

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116 2017, Andreu-Hayles et al., 2017). Nevertheless, most of the highly temporally resolved
 117 natural proxy-based reconstructions represent high-elevation conditions during specific
 118 periods of the year (mainly summer e.g. Tejedor et al., 2017c). Spain has a large amount
 119 of documentary-based data with a good degree of continuity and homogeneity for many
 120 areas, which enables important paleo climate information to be derived at different
 121 timescales and for various territories. Garcia-Herrera et al. (2003) describe the main
 122 archives and discuss the techniques and strategies used to derive climate-relevant
 123 information from documentary records. Past drought and precipitation patterns have
 124 been inferred by exploring mainly rogation ceremonies and historical records from
 125 Catalonia (Martin-Vide and Barriendos 1995; Barriendos, 1997; Barriendos and Llasat,
 126 2003; Trigo et al. 2009), Zaragoza (Vicente-Serrano and Cuadrat, 2007), Andalusia
 127 (Rodrigo et al., 1998; 2000), central Spain (Domínguez-Castro et al., 2008; 2012; 2014;
 128 2016) and Portugal (Alcoforado et al. 2000). In north-eastern Spain, the most important
 129 cities were located on the riverbanks of the Ebro Valley, which were surrounded by large
 130 areas of cropland (Fig. 1). Bad wheat and barley harvests triggered socio-economic
 131 impacts, including the impoverishment or malnutrition of whole families, severe
 132 alteration of the market economy, social and political conflicts, marginality, loss of
 133 population due to emigration and starvation, and diseases and epidemics, such as those
 134 caused by pests (Tejedor, 2017a). Recent studies have related precipitation/drought
 135 variability in regions of Spain to wheat yield variability (Ray et al., 2015; Esper et al.
 136 2017). The extent of impacts caused by droughts depends on the socio-environmental
 137 vulnerability of an area, and is related to the nature and magnitude of the drought and
 138 the structure of societies, such as agricultural-based societies including trades (Scandlyn
 139 et al., 2010; Esper et al. 2017).

140 During the past few centuries, Spanish society has been strongly influenced by
 141 the Catholic Church. Parishioners firmly believed in the will of God and the church to
 142 provide them with better harvests. They asked God to stop or provide rain through
 143 rogations, a process created by bishop Mamertus in AD 469 (Fierro, 1991). The key factor
 144 in evaluating rogation ceremonies for paleo-climate research is determining the severity
 145 and duration of adverse climatic phenomena based on the type of liturgical act that was
 146 organized after deliberation and decision-making by local city councils (Barriendos,
 147 2005). Rogations are solemn petitions by believers asking God to grant specific requests
 148 (Barriendos 1996, 1997). Then, pro-pluviam rogations were conducted to ask for
 149 precipitation during a drought, and they therefore provide an indication of drought
 150 episodes and clearly identify climatic anomalies and the duration and severity of the
 151 event (Martín-Vide & Barriendos, 1995; Barriendos, 2005). In contrast, *pro-serenitate*
 152 rogations were requests for precipitation to end during periods of excessive or
 153 persistent rain causing crop failures and floods. In the Mediterranean basin, the loss of
 154 crops triggered severe socio-economic problems and was related to insufficient rainfall.
 155 Rogations were an institutional mechanism to address social stress in response to
 156 climatic anomalies or meteorological extremes (e.g. Barriendos, 2005). The municipal
 157 and ecclesiastical authorities involved in the rogation process guaranteed the reliability
 158 of the ceremony and maintained a continuous documentary record of all rogations. The

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177 duration and severity of natural phenomena that stressed society is reflected in the
178 different levels of liturgical ceremonies that were applied (e.g. Martin-Vide and
179 Barriendos, 1995; Barriendos, 1997; 2005). Through these studies, we learned that the
180 present heterogeneity of drought patterns in Spain also occurred over the past few
181 centuries, in terms of the spatial differences, severity and duration of the events
182 (Martin-Vide, 2001, Vicente-Serrano 2006b). However, the fact that no compilation has
183 been made of the main historical document datasets assembled over the past several
184 years is impeding the creation of a continuous record of drought recurrences and
185 intensities in the north-east of the Iberian Peninsula.

186 Here we compiled 13 series of historical documentary information of the *pro-*
187 *pluviam* rogation data from the Ebro Valley and the Mediterranean Coast of Catalonia
188 (Fig. 1) from 1438 to 1945 (Tab. 1). The cities cover a wide range of elevations from
189 Barcelona, which is near the sea (9 m a.s.l.), to Teruel (915 m a.s.l.) (Fig 1). Although
190 some periods have already been analyzed for certain cities (i.e., Zaragoza in 1600-1900
191 AD by Vicente-Serrano and Cuadrat, 2007; Zaragoza, Calahorra, Teruel, Vic, Cervera
192 Girona, Barcelona, Tarragona and Tortosa in 1750-1850 AD by Dominguez-Castro et al.,
193 2012; La Seu d'Urgell, Girona, Barcelona, Tarragona, Tortosa and Cervera in 1760-1800
194 AD by Barriendos and Llasat, 2003), this is the first systematic approach that analyzes all
195 existing information for north-eastern Spain, including new, unpublished data for
196 Huesca (1557-1860 AD) and Barbastro (1646-1925 AD) and examines the 13 sites jointly
197 over a period of 250 years (1650-1899 AD). We analyzed droughts across the sites and
198 identified extreme drought years and common periods in frequency and intensity. We
199 also analyzed statistical links between drought indices and major tropical volcanic
200 events in order to determine the effects of strong eruptions on regional droughts.

201

202 2. Methods

203 2.1. Study area

204 The study area comprises the north-eastern part of Spain, with an area of
205 approximately 100,000 km², and includes three geological units, the Pyrenees in the
206 north, the Iberian Range in the south, and the large depression of the Ebro Valley
207 separating the two (Fig. 1). The Ebro Valley has an average altitude of 200 m a.s.l. and
208 its climate can be characterized as Mediterranean-type, with warm summers, cold
209 winters and continental characteristics increasing with distance inland. Certain
210 geographic aspects determine its climatic characteristics; for example, several mountain
211 chains isolate the valley from moist winds, preventing precipitation. Thus, in the central
212 areas of the valley, annual precipitation is low, with small monthly variations and an
213 annual precipitation in the central Ebro Valley of approximately 322 mm (Serrano-
214 Notivoli et al., 2017). In both the Pyrenees and the Iberian Range, the main climatic
215 characteristics are related to a transition from oceanic/continental to Mediterranean
216 conditions in the east. In addition, the barrier effect of the most frequent humid air
217 masses causes gradually higher aridity towards the east and south (Vicente-Serrano,
218 2005; López-Moreno & Vicente-Serrano, 2007). Areas above 2000 m a.s.l. receive

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249 approximately 2,000 mm of precipitation annually, increasing to 2,500 mm in the
250 highest peaks of the mountain range (García-Ruiz, et al., 2001). Annual precipitation in
251 the Mediterranean coast is higher than that in the central Ebro Valley and ranges from
252 approximately 500 mm in Tortosa to 720 mm in Girona (Serrano-Notivoli et al., 2017).

253 2.2. From historical documents to climate: Development of a drought index 254 for each location in NE Spain from 1650 to 1899 AD

255 Historical documents from 13 cities in the northeast of Spain were compiled into a
256 novel dataset by using a consistent approach (Fig. 1, Tab. 1, Tab. 2). These historical
257 documents are the rogation ceremonies reported in the 'Actas Capitulares' of the
258 municipal archives or main cathedrals. The documents (described in Table 2) range from
259 461 years of continuous data in Girona, to 120 years in Lleida, with an average of 311
260 years of data on each station. Rogations were not only religious acts but also supported
261 by the participation of several institutions; agricultural organizations and municipal and
262 ecclesiastical authorities analyzed the situation and deliberated before deciding to hold
263 a rogation ceremony (Vicente-Serrano and Cuadrat, 2007). Usually, the agricultural
264 organizations would request rogations when they observed a decrease in rainfall, which
265 could result in weak crop development. The municipal authorities would then recognize
266 the predicament and discuss the advisability of holding a rogation ceremony. Whether
267 a rogation was celebrated or not was not arbitrary, since the cost was paid from the
268 public coffers. When the municipal authorities decided to hold a rogation, the order was
269 communicated to the religious authorities, who placed it on the calendar of religious
270 celebrations and organized and announced the event. Previous studies have reported
271 that winter precipitation is key for the final crop production in dry-farming areas of the
272 Ebro Valley (wheat and barley; Austin et al., 1998a, 1998b; McAneney and Arrué, 1993;
273 Vicente-Serrano and Cuadrat, 2007). In addition to winter rogations, most of the others
274 were held during the period of crop growth (March-May) and harvesting (June-August),
275 since the socio-economic consequences when the harvest was poor were more evident
276 at those times. Thus, it is reasonable to view rogations in an index from December to
277 August. Finally, from the various types of droughts, we will be referring to a combination
278 between meteorological and agricultural droughts. The rogation was not only
279 agronomical or focused on a drought or agricultural problem. They already inferred that
280 the problem was meteorological and therefore they always asked for timely rain,
281 appropriate rain, or consistent rain. In other words, they asked for the occurrence of a
282 meteorological phenomenon. In consequence, the follow-up or sentinel that gives them
283 information is agricultural, but their answer is by a meteorological anomaly, and they
284 ask for the development of a normalized meteorology, that in consequence will allow a
285 development of the appropriate agriculture.

286 The qualitative information contained in the rogations was transformed into a semi-
287 quantitative, continuous monthly series following the methodology of the Millennium
288 Project (European Commission, IP 017008-Domínguez-Castro et al., 2012). Only *pro-*
289 *pluviam* rogations were included in this study. According to the intensity of the religious
290 act, which were uniform ceremonies performed throughout the Catholic territories and

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316 triggered by droughts, we categorized the events in 4 levels from low to high intensity:
317 0, there is no evidence of any kind of ceremony; 1, a simple petition within the church
318 was held; 2, intercessors were exposed within the church; and 3, a procession or
319 pilgrimage took place in the public itineraries, the most extreme type of rogation (see
320 Tab. 3). Although rogations have appeared in historical documents since the late 15th
321 century and were reported up to the mid-20th century, we restricted the common period
322 to 1650-1899 AD, since there are a substantial number of data gaps before and after this
323 period, although some stations do not cover the full period. A continuous drought index
324 (DI) was developed for each site by grouping the rogations at various levels. A simple
325 approach, similar to that of Martín-Vide and Barriendos (1995) and Vicente-Serrano and
326 Cuadrat (2007), was chosen. The annual DI values were obtained by determining the
327 weighted average of the number of levels 1, 2 and 3 rogations recorded between
328 December and August in each city. The weights of levels 1, 2 and 3 were 1, 2, and 3,
329 respectively. Accordingly, the drought index for each city is a continuous semi-
330 quantitative value from 0, indicating the absence of drought, to a maximum of 3 (Figure
331 2A).

332

333 2.3. Clustering station drought to regional drought indices from 1650 to 334 1899 AD

335 To evaluate similarities among local stations, we performed a cluster analysis (CA)
336 that separates data into groups (clusters) with minimum variability within each cluster
337 and maximum variability between clusters. We selected the period of common data
338 1650-1770 to perform the cluster analysis. The main benefit of a cluster analysis (CA) is
339 that it allows similar data to be grouped together, which helps to identify common
340 patterns between data elements. To assess the uncertainty in hierarchical cluster
341 analysis, the R package 'pvclust' (Suzuki and Shimodaira, 2006) was used. We used the
342 Ward's method in which the proximity between two clusters is the magnitude by which
343 the summed squares in their joint cluster will be greater than the combined summed
344 square in these two clusters $SS_{12} - (SS_1 + SS_2)$ (Ward, 1963; Everitt et al., 2001). Next, the
345 root of the square difference between co-ordinates of a pair of objects was computed
346 with its Euclidian distance. Finally, for each cluster within the hierarchical clustering,
347 quantities called p-values were calculated via multiscale bootstrap resampling (1000
348 times). Bootstrapping techniques do not require assumptions such as normality in
349 original data (Efron, 1979) and thus represent a suitable approach to the semi-
350 quantitative characteristics of drought indices (DI) derived from historical documents.
351 The *p-value* of a cluster is between 0 and 1, which indicates how strongly the cluster is
352 supported by the data. The package 'pvclust' provides two types of *p-values*: AU
353 (approximately unbiased *p-value*) and BP (bootstrap probability) *value*. AU *p-value* is
354 computed by multiscale bootstrap resampling and is a better approximation of an
355 unbiased *p-value* than the BP value computed by normal bootstrap resampling. The
356 frequency of the sites falling into their original cluster is counted at different scales, and
357 then the *p-values* are obtained by analyzing the frequency trends. Clusters with high AU

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376 values, such as those >0.95, are strongly supported by the data (Suzuki and Shimodaira,
377 2006). Therefore, in this study, sites belonging to the same group were merged by
378 means of an arithmetical average (Eq.1).

379 Eq.1 *Regional Drought Index* (x) = $(x_1 + x_2 + x_3 \dots)/n$

380 where x_n represents each individual annual drought index, and n is the number of
381 drought indices per cluster. To evaluate the relationship of each site's rogations, we then
382 performed a matrix correlation (Spearman) between the new groups derived from the
383 cluster and each individual drought index for the 1650-1899 period.

384 2.4. Validation of the regional Drought indices against overlapping 385 instrumental series.

386 To better understand the relationship between the derived drought indices and the
387 instrumental series, we used the longest instrumental precipitation and temperature
388 series covering the period 1786-2014 AD (Prohom et al., 2012; Prohom et al., 2015) for
389 the city of Barcelona and thus overlapping the rogation ceremony period of the local DI
390 of Barcelona (DIBARCELONA) from 1786 to 1899 AD. However, the instrumental series
391 was homogenized and completed including data from cities nearby and along the
392 Mediterranean coast (see Prohom et al., 2015 for details). Therefore, the instrumental
393 series contains coherent regional information from a Mediterranean section similar to
394 our regional drought indices stations located along the Mediterranean coast. We then
395 calculated the Standardized Precipitation Index (SPI, McKee et a., 1993) and the
396 Standardized Evapotranspiration and Precipitation Index (SPEI, Vicente-Serrano et al.,
397 2010). SPEI was calculated with the R Package 'SPEI' (Begueria et al., 2014). From the
398 various ways of calculating evapotranspiration we chose Thornwaite, which only
399 requires temperature and latitude as input. Next, we calculated the Spearman
400 correlation between the drought indices of the Mediterranean coast and the SPI/SPEI at
401 different time scales including a maximum lag of 12 months covering the period 1787-
402 1899. Further exploration of the relationship between the drought indices inferred from
403 historical documents and the instrumental drought indices through time, were
404 performed by 30- and 50-year moving correlations. Finally, to avoid the circularity
405 problem we performed the same analysis leaving one local station out each time.

406 2.5. Detecting extreme drought years and periods in the north-east of Spain 407 between 1650-1899 AD and links to large-scale volcanic forcing

408 To identify the extreme drought years, we selected those above the 99th percentile
409 of each regional drought index and mapped them in order to find common spatial
410 patterns. In addition, the 11-year running mean performed for each drought index
411 helped highlight drought periods within and among the drought indices. Finally, since
412 rogation ceremonies are a response of the population to an extreme event, we
413 performed a superposed epoch analysis (SEA; Panofsky and Brier, 1958) of the three
414 years before and after the volcanic event, using the package 'dplr' (Bunn, 2008) to
415 identify possible effects on the hydroclimatic cycle caused by volcanic eruptions. The
416 method involves sorting data into categories dependent on a key-date (volcanic events).

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429 For each category, the year of the eruption is assigned as year 0, and we selected the
430 values of the drought indices for the three years prior to the eruption and three years
431 following in order to obtain a SEA matrix (number of volcanic events multiplied by 7).
432 For each particular event, the anomalies with respect to the pre-eruption average were
433 calculated to obtain a composite with all the events for the 7 years. Statistical
434 significance of the SEA was tested by a Monte-Carlo simulation based on the null
435 hypothesis of finding no association between the eruptions and the climatic variables
436 studied. Random years are chosen for each category as pseudo-event years, and the
437 average values are calculated for -3 to +3, the same as for real eruptions. This process is
438 repeated to create 10,000 randomly-generated composite matrices, which are sorted,
439 and a random composite distribution is created for each column in the matrix (i.e. year
440 relative to the eruption year 0). The distributions are then used to statistically compare
441 the extent to which the existing composites are anomalous. We used these distributions
442 to test the significance of the actual composites at a 99% confidence level. The largest
443 volcanic eruptive episodes (Sigl et al., 2015) chosen for the analysis were 1815, 1783,
444 1809, 1695, 1836, 1832, 1884 and 1862. In addition, we performed the SEA only with
445 the largest eruption of this period, the Tambora eruption in the year 1815.

446

447 3. Results

448 3.1. From historical documents to climate: Development of a drought index for 449 each location in NE Spain from 1650 to 1899 AD

450 We converted the ordinal data into continuous semi-quantitative index data by
451 performing a weighted average of the monthly data (see methods). As a result, we
452 developed an annual drought index (from the previous December to the current August)
453 containing continuous values from 0 to 3 collected from information on the annual mean
454 extreme droughts of each year for each of the 13 locations. The empirical cumulative
455 distribution function (EDCF, Fig.2A) confirmed that the new drought indices can be
456 treated as a continuous variable, since the Drought Index can take almost infinite values
457 in the range from 0 to 3 (Fig.2B). To study drought across the region, we performed a
458 cluster analysis including the annual drought indices of the 13 cities. These data were
459 then used to study the hydrological responses after strong tropical eruptions.

460 3.2. Clustering station drought to regional drought indices from 1650 to 1899 461 AD

462 The cluster analysis (CA, see methods) using the DI of the 13 locations and after
463 applied to the complete period until 1899 revealed three significant and physically
464 coherent areas, hereafter known as Mountain, Mediterranean and Ebro Valley (Fig. 3).
465 The first cluster includes cities with a similar altitude (Teruel, La Seu) and similar in
466 latitude (Barbastro, Lleida, Huesca, Girona, see Fig. 1). The cities within the second and
467 third clusters are near the Ebro River (Calahorra, Zaragoza and Tortosa) or have similar
468 climatic conditions (Cervera, Vic, Barcelona, Tarragona). Clusters two and three suggest
469 (Fig. 3) that the coherence of the grouping can be explained by the influence and

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499 proximity of the Mediterranean Sea (Tortosa, Cervera, Tarragona, Vic and Barcelona)
500 and the influence of a more continental climate (Zaragoza and Calahorra). Accordingly,
501 three regional drought indices were developed by combining the individual DIs of each
502 group; DI Mountain (DIMOU), composed of Barbastro, Teruel, Lleida, La Seu, and Girona;
503 DI Mediterranean (DIMED), composed of Tortosa, Cervera, Tarragona, Vic and
504 Barcelona, and DI Ebro Valley (DIEV), comprising Zaragoza and Calahorra. The resulting
505 drought indices in regional DI series can also vary from 0 to 3, but show a relatively
506 continuous distribution range (Figure 2B).

507 The Spearman correlation matrix for the period 1650-1899 AD confirms the high
508 and significant ($p < 0.05$) correlations between each individual DI and its corresponding
509 group, confirming the validity of the new DI groups (Fig. 4). The correlations among the
510 cluster drought indices range from 0.76 (between DIEV and DIMED) to $r = 0.38$ (between
511 DIEV and DIMOU) and $r = 0.42$ (between DIMED and DIMOU). In DIEV, both of the local
512 DIs show similar correlations (Zaragoza, $r = 0.73$; Calahorra, $r = 0.75$). In the DIMED cluster,
513 the high correlations among the members show strong coherency. DIMOU is the most
514 heterogeneous cluster, with correlations of $r = 0.57$ for Barbastro and $r = 0.33$ for La Seu.
515 Although each individual DI within this group and within the DIMOU shows significant
516 correlation, individual DIs compared one to another reveal some correlation values not
517 to be significant ($p < 0.05$).

518 3.3. Validation of the regional Drought indices against overlapping instrumental 519 series.

520 The highest Spearman correlation ($r = -0.46$; $p < 0.001$) between the Barcelona
521 Drought Index and the instrumental SPI over the full 113-year period (1787-1899 AD;
522 Fig.5C) was found for the SPI of May with a lag of 4 months (SPI_{MAY_4} hereafter). A slightly
523 lower, though still significant correlation was obtained from the SPEI of May with a lag
524 of 4 months ($SPEI_{MAY_4}$) ($r = -0.41$; $p < 0.001$, Fig.5D). The regional Mediterranean Drought
525 Index shows moderately higher correlations with the instrumental SPI ($r = -0.53$; $p < 0.001$)
526 and SPEI ($r = -0.50$; $p < 0.001$) computed for the same period and time scale. The moving
527 correlations between DIMED and SPI_{MAY_4} for 30 and 50 years (Fig.5A; Fig.5B) presented
528 higher and more stable correlations through the full period than with DIBARCELONA.
529 The relationship with the $SPEI_{MAY_4}$ was also high and stable throughout the overlapping
530 period, although lower than with SPI_{MAY_4} . Furthermore, when the analysis was
531 performed leaving one station out each time (Fig. S1), the results remain significant
532 ($p < 0.001$) and the correlation in all cases is above 0.45. The next step (iv) will address
533 the selection of extreme drought years and periods within the 250 years from 1650-
534 1899 AD using information from the cluster analysis.

535 3.4. Detecting extreme drought years and periods in the north-east of Spain 536 between 1650-1899 AD and links to large-scale volcanic forcing

537 According to the cluster grouping, the three new spatially averaged drought
538 indices (DIEV, DIMED and DIMOU) are presented in Fig. 6. Mountain DI (DIMOU) had the
539 least number of drought events and a maximum DI of 1.6 in 1650 AD. The Ebro Valley DI

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(DIEV) had the highest number of droughts (derived from the highest number of positive index values) followed by the third region (Mediterranean DI, DIMED). The 17th and 18th centuries exhibited a relatively large number of severe droughts (Fig. 6). High positive index values over the duration of the DIs in all three series indicate that a drought period occurred from 1740 to 1755 AD. The lowest DIs were found at the end of the 19th century, meaning that droughts were less frequent in this period. The 11-year running mean shows common periods with low DI values, such as 1706-1717, 1800-1811, 1835-1846 and 1881-1892, which we infer to be 'normal' or drought-free. On the other hand, 1678-1689, 1745-1756, 1770-1781, and 1814-1825 are periods with continuously high DIs, indicating that significant droughts affected the crops during these periods and intense rogation ceremonies were needed.

In the Ebro Valley, the most extreme years (Fig. 6) (according to the 99th percentile of the years 1650-1899) were 1775 (drought index value of 2.8), 1798 (2.7), 1691 (2.6), 1753 (2.5) and 1817 (2.5). Most of these extreme drought years can also be found in DIMED 1753 (2.6), 1775 (2.5), 1737 (2.3), 1798 (2.2) and 1817 (2.2). In DIMOU, the extreme drought years occurred in the 17th century: 1650 (1.6), 1680 (1.5), 1701 (1.5) and 1685 (1.4), and are spatially displayed in Fig. 7. In the years 1775 and 1798, the Ebro Valley, Mediterranean and some mountain cities suffered from severe droughts. It is notable that the year 1650 in the Mountain area presented high values of DI, while the other locations had very low DI values (DIEV=0.4; DIMED=0.8).

We performed a superposed epoch analysis (SEA, see methods) to study the drought response over north-east Iberia to major volcanic eruptions (Fig. 8a). The figure shows significant decreases ($p < 0.05$) in the Ebro Valley and Mediterranean DI values during the year a volcanic event occurred and for the following year. We did not find a post-volcanic drought response in the Mountain area. No significant response was found for any of the DIs two or three years after the volcanic eruptions, including the major ones. However, two years after the Tambora eruption in April 1815, there was a significant ($p < 0.05$) increase in the three drought indices (DIEV, DIMED and DIMOU) (Fig. 8b).

591

592 4. Discussion

593 In this project, we were aware of the potential drawbacks and dealt with the
594 problem of analyzing the spatial representativeness of the rogation series through a
595 cluster analysis. We thus identified the extent to which the local rogation series show
596 similar patterns to those observed in neighboring records and can, therefore, be
597 considered as representative of the climate behavior at a sub-regional scale. Clustering
598 is a descriptive technique (Soni, 2012), the solution is not unique, and the results
599 strongly rely upon the analyst's choice of parameter. However, we found three
600 significant ($p < 0.05$) and consistent structures across the drought indices based on
601 historical documents. DIEV shows a robust and coherent cluster associated with
602 droughts in the Ebro Valley area, including the cities of Zaragoza and Calahorra. The high

Moved down [1]: end of the 19th century is due to the lack of droughts, the loss of documents, or a loss of religiosity within these periods. For instance, after the Napoleonic invasion (1808-1814) and the arrival of new liberal ideologies (Liberal Triennial 1820-1823), there was a change in the mentality of people in the big cities. These new liberal ideas were concentrated in the places where commerce and industry began to replace agriculturally based economies, leading to strikes and social demonstrations demanding better labor rights. New societies were less dependent on agriculture; hence, in dry spells, the fear of losing crops was less evident and fewer rogations were performed.

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770 correlation among the local Drought Indices suggests an underlying coherent climatic
 771 signal. DIMED shows also a robust and coherent cluster associated with droughts in the
 772 Mediterranean coast area, including high correlation between the local Drought Indices
 773 of Tortosa, Tarragona, Barcelona, Vic and Cervera. The high correlation between DIEV
 774 and DIMED suggests similar climatic characteristics. Furthermore, the main cities among
 775 these two clusters share similar agrarian and political structures, that support the
 776 comparison. Still, we know from observations that, although DIEV and DIMED locations
 777 have similar climatic characteristics, the Mediterranean coast locations have slightly
 778 higher precipitation totals, which is supported by the cluster. One is reflecting the Ebro
 779 Valley conditions and the other is reflecting a more Mediterranean-like climate.
 780 Therefore, our final grouping is not only statistically significant, but it has also a
 781 geographical/physical meaning.

782 Finally, we found that DIMON shows a less robust and complex structure. This cluster
 783 includes local Drought Indices located in mountain or near mountain environments.
 784 Although there is a high correlation between the local DIs and the regional DIMOU
 785 suggesting a common climatic signal, the low correlation among local Drought Indices
 786 might be explained by the fact that the productive system of the mountain areas is not
 787 only based on agriculture, but also on animal husbandry, giving them an additional
 788 resource for survival in cases of extreme drought. Therefore, the DIMOU cluster might
 789 not only be collecting climatic information but also diverse agricultural practices or even
 790 species, translated into a weaker regional common pattern. For instance, Cervera and
 791 Lleida share similar annual precipitation totals, but belong to the Mediterranean and the
 792 Mountain Drought Indices respectively. Lleida is located in a valley with an artificial
 793 irrigation system since the Muslim period, which is fed by the river Segre (one of the
 794 largest tributaries to the Ebro river). The drought in the Pyrenees is connected with a
 795 shortage of water for the production of energy in the mills, as well as to satisfy irrigated
 796 agriculture. However, the irrigation system itself allowed Lleida to manage the resource
 797 and hold out much longer. Therefore, only the most severe droughts, and even those in
 798 an attenuated form, were perceived in the city. Cervera, located in the Mediterranean
 799 mountains, in the so-called pre-littoral system and its foothills, has a different
 800 precipitation dynamic that is more sensitive to the arrival of humid air from the
 801 Mediterranean. In addition, Lleida had a robust irrigation system that Cervera did not
 802 have. The droughts in Cervera are more akin to the "Mediterranean" ones and thus its
 803 presence in the Mediterranean Drought Index seems to be consistent.

804 DIMOU has a weaker climatological support and thus it should be interpreted with
 805 particular caution. However, this important constraint in the interpretation of DIMOU is
 806 not problematic from a practical point of view, since it represents an area in which there
 807 are other proxy records (e.g. tree-rings) covering a wide spatio-temporal scale and
 808 valuable as drought proxies (e.g. Tejedor et al., 2016; 2017c). The consistency of the
 809 clusters in the Ebro Valley and the coastal zones (DIMED and DIEV) is especially
 810 encouraging and reflects the high potential of rogations as a drought proxy. It is precisely
 811 in these areas that there are no relict forests, due to human intervention, and therefore
 812 no centennial tree-ring reconstructions can be performed to infer past climates.

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841 Consequently, in these environments, the information from historical documents is
842 especially relevant.

843 Confirmation of rogation ceremonies as a valid drought proxy (even if only in some
844 environments) requires an additional procedure, the calibration/verification approach.
845 However, the reliable and continuous rogation documents end in the 19th century,
846 whereas instrumental weather data generally begins in the 20th century (Gonzalez-
847 Hidalgo et al., 2011). In the study area, only the continuous and homogenized
848 instrumental temperature and precipitation series of Barcelona (Prohom et al., 2012;
849 2015) overlap the existing Drought Indices. Our results suggest that rogation ceremonies
850 are not only valid as local indicators (good calibration/ verification with the local
851 DIBARCELONA), but they also have regional representativeness (DIMED) and provide
852 valuable climatic information (good calibration/ verification with the regional DIMED).
853 To the best of our knowledge, this is the first time that rogation ceremonies in the
854 Iberian Peninsula have been calibrated with such a long instrumental period. The
855 correlation is maximized in May, the key month for the harvest to develop properly. In
856 addition, the 4-month lag confirms the importance of the end of winter and spring
857 precipitation for good crop growth. The high DIMED correlation ($r=-0.53$; $p<0.001$)
858 indicates not only that this cluster captures the Mediterranean drought signal, but also
859 that it can be used as a semi-quantitative proxy, with verification results similar to the
860 standards required in dendroclimatology (Fritts et al., 1990).

861 These findings open a new line of research that the authors will continue
862 exploring in future studies. We believe that these results highlight the validity of the
863 Drought Indices to be taken as continuous variables. In addition, the analysis confirmed
864 that the grouping made by the cluster analysis demonstrates spatial coherency among
865 the historical documents. For some places such as the mountain areas, where the
866 population had other ways of life in addition to agriculture, pro-pluviam rogation
867 ceremonies may have a weaker climatic significance. However, pro-pluviam rogations
868 may be especially relevant in valleys and coastal areas where there are no other climatic
869 proxies. The exploration of historical documents from the main Cathedrals or municipal
870 city archives, the Actas Capitulares, yielded the different types and payments of the
871 rogation ceremonies that were performed in drought-stressed situations. In fact, it is
872 challenging to determine whether the decrease in the number of rogations at the
873 beginning and end of the 19th century is due to the lack of droughts, the loss of
874 documents, or a loss of religiosity within these periods. For instance, after the
875 Napoleonic invasion (1808-1814) and the arrival of new liberal ideologies (Liberal
876 Triennial 1820-1823), there was a change in the mentality of people in the big cities.
877 These new liberal ideas were concentrated in the places where commerce and industry
878 began to replace agriculturally based economies, leading to strikes and social
879 demonstrations demanding better labor rights. New societies were less dependent on
880 agriculture; hence, in dry spells, the fear of losing crops was less evident and fewer
881 rogations were performed. In short, the apparent low frequency of rogations in the 19th
882 century could be explained by a combination of political instability in the main cities and
883 the loss of religiosity and historical documents. Nevertheless, the institutional controls

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910 in pre-industrial society were so strict that many of its constituent parts remained
911 unchanged for centuries, and rogation ceremonies are one such element. This can be
912 explained by two different factors. First, rogation ceremonies are used within the
913 framework of the Roman Church Liturgy, so changes can only be defined and ordered
914 by the Vatican authorities. If there is a will to change criteria affecting the substance of
915 liturgical ceremonies, all involved institutions must record considerations, petitions and
916 decisions in official documents from official meetings, supported by public notaries. In
917 addition, changes must be motivated from the highest institutional level (Pope) to the
918 regional authorities (Bishops) and local institutions (Chapters, parishes...). This system
919 was too complex to favor changes. A second mechanism guarantees the stability of the
920 rogation system: if any minor or important change in rogations was instigated at local
921 level by the population or local institutions, this interference directly affected the
922 Roman Church Liturgy. However, it was a change not to be taken lightly as the Inquisition
923 Court would start judicial proceedings, and could bring a criminal charge of heresy. The
924 punishment was so hard that neither institutions nor the people were interested in
925 introducing changes in rogations.

926 Further limitations when dealing with historical documents as a climatic proxy
927 are related to converting binomial qualitative information (occurrence or not of rogation
928 ceremonies) into quantitative data (e.g. Vicente-Serrano and Cuadrat, 2007;
929 Dominguez-Castro et al., 2008). Here, we followed the methodology proposed in the
930 Millennium Project (European Commission, IP 017008) and also applied in Domínguez-
931 Castro et al., (2012). According to such proceedings and considering both the occurrence
932 or otherwise of rogation ceremonies and the intensity of the religious acts, the
933 information contained in historical documents can be transformed into a semi-
934 quantitative time series (including continuous values from 0 to 3). To that extent, the
935 ECDF analysis helped in understanding the nature of the historical documents when
936 transformed into semi-quantitative data, confirming that they can be treated as a
937 continuous variable. We then aggregated the annual values to develop a continuous
938 semi-quantitative drought index (DI) where values can range from zero (absence of
939 drought) to a maximum of 3 (severe drought). This set of procedures technically solves
940 the structural problem of the data. However, we have added complexity to its
941 interpretation since, for example, an index of level 2 does not necessarily imply that a
942 drought was twice as intense as a drought classified as level 1, nor that the change in
943 the intensity of droughts from level 1 to level 2 or from level 2 to 3 has to be necessarily
944 equivalent. Yet, we can infer with much confidence that if there was a drought of level
945 2 is because those types of ceremonies of level 1 did not work, and therefore the
946 drought was still an issue for the development of the crops i.e., there is a progressive
947 drying, but it does not have to be twice as intense. Hence, this must be taken into
948 account when interpreting the indices.

949 Moreover, to further calibrate the potential of this source of information as a
950 climatic proxy, we need to consider the existence of coherent spatial patterns in the
951 distribution of droughts. The instrumental climate data is subject to quality controls to
952 determine the extent to which patterns reflect elements of the climatic cycle or may be

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954 due to errors of measurement, transcription of information etc (e.g. Alexanderson,
955 1986). In this project, the local series are compared with the regional reference series
956 as a basic element of quality control (e.g. Serrano-Notivoli et al., 2017). The
957 interpretation of other proxies, such as tree-ring records are subject to similar quality
958 control procedures to guarantee the spatial representativeness of the information they
959 contain (e.g. Esper et al., 2015; Tejedor et al., 2017c).

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960 The use of similar methods for quality control or analysis of spatial
961 representativeness of the rogation series encompass specific pitfalls such as; i)
962 instrumental weather series can be compared with nearby series (including networks of
963 thousands of weather stations) (e.g. Serrano-Notivoli et al., 2017), whereas that
964 proximity is further apart in the rogation series ii) other proxy records such as tree-ring
965 chronologies are developed from information obtained from tens or hundreds of trees
966 to ensure the representativeness of the resulting series (Duchesne et al., 2017). At the
967 same time, these chronologies share an observational period with the climatic data
968 allowing the calibration/ verification approach (Fritts et al., 1990).

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969 In general, none of these quality control options are viable in the rogation series
970 since i) the local series are separated by tens or hundreds of kilometers, ii) They do not
971 overlap in time with observational weather series, which hinders a rigorous calibration-
972 verification approach, iii) the structure of the data itself (binomial or semi-quantitative
973 at best) does not facilitate the calibration/ verification approach in the few cases in
974 which this control is feasible.

975 Despite these limitations, when our results are compared to other drought
976 studies based on documentary sources, the persistent drought phase affecting the
977 Mediterranean and the Ebro Valley areas in the second half of the 18th century is similar
978 to that found in Vicente-Serrano and Cuadrat, (2007) for Zaragoza. The results for the
979 second half of the 18th century also agree with the drought patterns previously
980 described for Catalonia (Barriendos, 1997, 1998; Martín-Vide and Barriendos, 1995).
981 Common drought periods were also found in 1650-1775 for Andalusia (Rodrigo et al.,
982 1999, 2000) and in 1725-1800 for Zamora (Domínguez-Castro et al., 2008). In general,
983 based on documentary sources from Mediterranean countries, the second half of the
984 18th century has the highest drought persistency and intensity, which may be because
985 there were more blocking situations in this period (Luterbacher et al. 2002, Vicente-
986 Serrano and Cuadrat, 2007). The period of 1740-1800 AD coincides with the so-called
987 'Maldá anomaly period'; a phase characterized by strong climatic variability, including
988 extreme drought and wet years (Barriendos and Llasat, 2003). The 18th century is the
989 most coherent period, including a succession of dry periods (1740-1755), extreme years
990 (1753, 1775 and 1798) and years with very low DIs, which we interpret as normal years.
991 Next, the period from 1814-1825 is noteworthy due to its prolonged drought. The causes
992 of this extreme phase are still unknown. However, Prohom et al. (2016) suggested that
993 there was a persistent situation of atmospheric blocking and high-pressure conditions
994 at the time.

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996 In the Ebro Valley and the Mediterranean area, rogation ceremonies were
997 significantly less frequent in the year of volcanic eruptions and for the following year.
998 Such patterns may be explained by the volcanic winter conditions, which are associated
999 with reductions in temperature over the Iberian Peninsula 1-3 years after the eruption
1000 (Fischer et al., 2007; Raible et al., 2016). The lower temperature is experienced in spring
1001 and summer after volcanic eruptions compared to spring and summer conditions of non-
1002 volcanic years. This might be related to a reduction in evapotranspiration, which reduces
1003 the risk of droughts. This reinforces the significance of volcanic events in large-scale
1004 climate changes. In addition, the lower temperatures may benefit the soil moisture of
1005 croplands.

1006 Furthermore, a significant increase in the intensity of the droughts was observed
1007 two years after the Tambora eruption in the three clusters (Fig.8) in agreement with
1008 findings by Trigo et al., 2009. This result is similar to that of a previous study using
1009 rogation ceremonies in the Iberian Peninsula, although it was based on individual and
1010 not regional drought indices (Dominguez-Castro et al., 2010). In addition, the normal
1011 conditions in the year of the Tambora eruption and the following year, and the increased
1012 drought intensity two years after the event, are in agreement with recent findings on
1013 hydroclimatic responses after volcanic eruptions (Fischer et al., 2007; Wegmann et al.,
1014 2014; Rao et al., 2017; Gao and Gao 2017), although based on tree ring data only. In
1015 addition, Gao and Gao, (2017) highlight the fact that high-latitude eruptions tend to
1016 cause drier conditions in western-central Europe two years after the eruptions. Rao et
1017 al., (2017) suggested that the forced hydroclimatic response was linked to a negative
1018 phase of the East Atlantic Pattern (EAP), which causes anomalous spring uplift over the
1019 western Mediterranean. This pattern was also found in our drought index for the
1020 Tambora eruption (1815 AD), but no significant pattern was found in north-east Spain
1021 for the other major (according to Sigl et al., 2015) volcanic eruptions. In particular, the
1022 mountain areas show less vulnerability to drought compared to the other regions. This
1023 is mainly due to the fact, that mountainous regions experience less evapotranspiration,
1024 more snow accumulation and convective conditions that lead to a higher frequency of
1025 thunderstorms during the summertime.

1026 5. Conclusions

1027 We developed a new dataset of historical documents by compiling historical
1028 records (rogation ceremonies) from 13 cities in the northeast of the Iberian Peninsula.
1029 These records were transformed into semi-quantitative continuous data to develop
1030 drought indices (DIs). We regionalized them by creating three DIs (Ebro Valley,
1031 Mediterranean and Mountain) covering the period from 1650 to 1899 AD. The intensity
1032 of the DI is given by the strength and magnitude of the rogation ceremony, and the
1033 spatial extent of the DI is given by the cities where the rogations were held.

1034 Our study highlights three considerations: i) the spatial and temporal resolution
1035 of rogations should be taken into account, particularly when studying specific years,
1036 since the use of *pro-pluviam* rogations gives information about drought periods and not
1037 about rainfall in general. Accordingly, it must be stressed that the drought indices

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1052 developed here are not precipitation reconstructions; rather, they are high-resolution
1053 extreme event reconstructions of droughts spells. The comparison of these results with
1054 other continuous proxy records must be carried out with caution (Dominguez-Castro et
1055 al., 2008), although here we found a very high and stable correlation with the
1056 instrumental series for the overlapping period, which opens new lines of research. ii)
1057 The validity of rogation ceremonies as a high-resolution climatic proxy to understand
1058 past drought variability in the coastal and lowland regions of the north-eastern
1059 Mediterranean Iberian Peninsula is clearly supported by our study. This is crucial,
1060 considering that most of the high-resolution climatic reconstructions for the northern
1061 Iberian Peninsula have been developed using tree-ring records collected from high-
1062 elevation sites (>1,600 m a.s.l.) in the Pyrenees (Büntgen et al., 2008, 2017; Dorado-
1063 Liñán et al., 2012) and the Iberian Range (Esper et al., 2015, Tejedor et al., 2016, 2017a,
1064 2017b, 2017c), to deduce the climate of mountainous areas. iii) Particularly in the
1065 Mediterranean and in the Ebro Valley areas, significant imprints of volcanic eruptions
1066 are found in the drought indices derived from the rogation ceremonies. These results
1067 suggest that DI is a good proxy to identify years with extreme climate conditions in the
1068 past at low elevation sites.

1069 In addition, recent studies have emphasized the great precipitation (González-
1070 Hidalgo, et al., 2011; Serrano-Notivoli et al., 2017) and temperature variabilities
1071 (González-Hidalgo, et al., 2015) within reduced spaces, including those with a large
1072 altitudinal gradient, such as our study area. In addition, the historical data from
1073 rogations covers a gap within the instrumental measurement record of Spain (i.e., which
1074 starts in the 20th century). Hence, rogation data are key to understanding the full range
1075 of past climate characteristics (in lowlands and coastal areas), in order to accurately
1076 contextualize current climate change. We encourage the use of further studies to better
1077 understand past droughts and their influence on societies and ecosystems; learning
1078 from the past can help to adapt to future scenarios, especially because climate variability
1079 is predicted to increase in the same regions where it has historically explained most of
1080 the variability in crop yields.

1081

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1086

1087 Author Contributions statement

1088 E.T., and J.M.C. conceived the study. J.M.C. and M.B. provided the data. E.T. and M.d.L.
1089 conducted the data analysis, and E.T. wrote the paper with suggestions of all the authors. All
1090 authors discussed the results and implications and commented on the manuscript at all stages.

1091 Competing interests statement

1092 The authors declare no competing interests.

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1372 Monsoons: Possible Cause for “Years without a Summer”. *J. Climate*, 27, 3683-3691,
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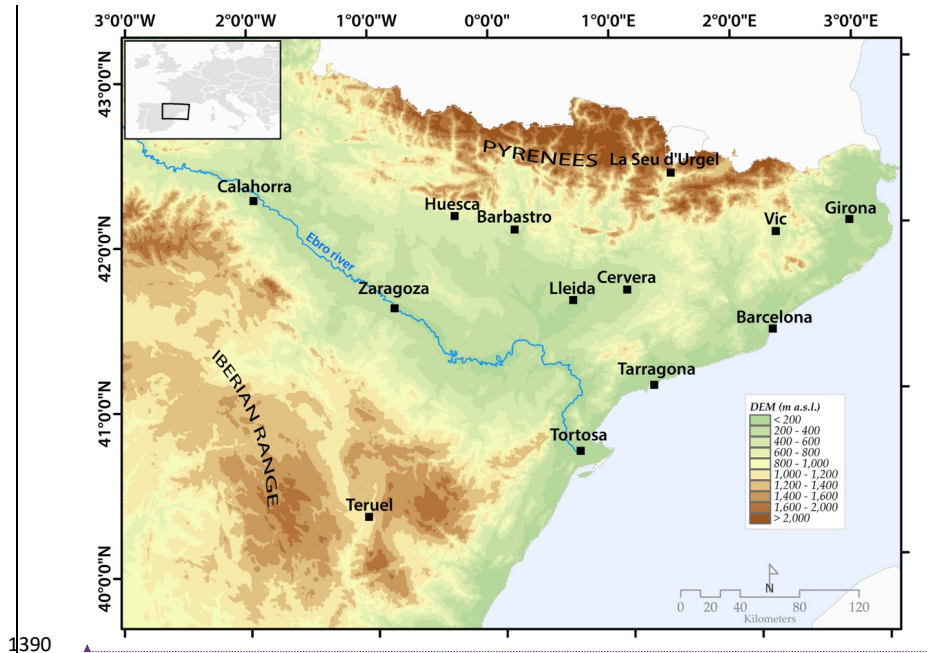
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1389 **Figures and tables**



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1391 Figure 1. Location of the historical documents in the northeast of Spain.

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	Site	Latitude (degrees)	Longitude (degrees)	Altitude (m.a.s.l.)	Start (Years AD)	End	Extension (years)
1395							
1396	Zaragoza	41.64	-0.89	220	1589	1945	356
1397	Teruel	40.34	-1.1	915	1609	1925	316
1398	Barbastro	42.03	0.12	328	1646	1925	279
1399	Calahorra	42.3	-1.96	350	1624	1900	276
1400	Huesca	42.13	-0.4	457	1557	1860	303
1401	Girona	42.04	2.93	76	1438	1899	461
1402	Barcelona	41.38	2.17	9	1521	1899	378
1403	Tarragona	41.11	1.24	31	1650	1874	224
1404	Tortosa	40.81	0.52	14	1565	1899	334
1405	LaSeu	42.35	1.45	695	1539	1850	311
1406	Vic	41.92	2.25	487	1570	1899	329
	Cervera	41.67	1.27	548	1484	1850	366
	Lleida	41.61	0.62	178	1650	1770	120

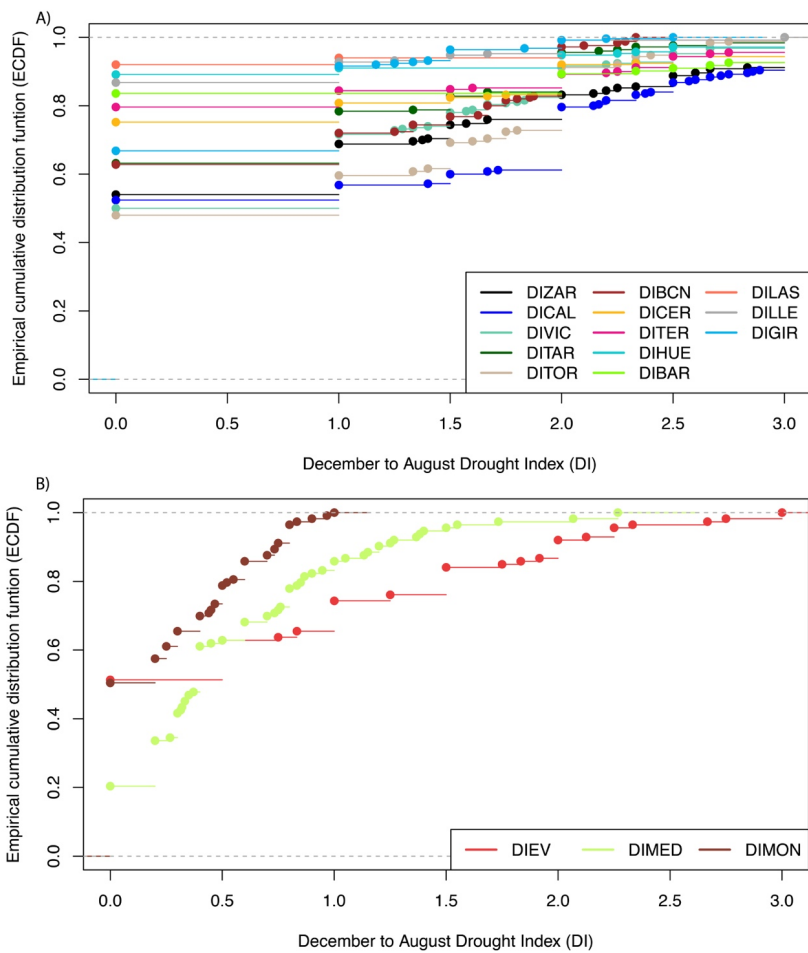
1407 Table 1. Historical document characteristics in the northeast of Spain.

1408	
1409	Teruel
1410	• Chapter Acts of the Holy Church and Cathedral of Teruel, 1604-1928, 28 vols.
1411	Barbastro
1412	• Cathedral Archive of Barbastro 'Libro de Gestis', Barbastro (Huesca), 1598-1925, 23 vols.
1413	Barcelona
1414	• City Council Historical Archive of Barcelona (AHMB), "Manual de Novells Ardits" o "Dietari de l'Antic Consell Barceloni", 49 vols., 1390-1839.
1415	
1416	• City Council Historical Archive of Barcelona (AHMB), "Acords", 146 vols., 1714-1839.
1417	• City Council Administrative Archive of Barcelona (AACB), "Actes del Ple", 100 vols., 1840-1900.
1418	• Chapter Acts of the Cathedral Historical Archive of Barcelona (ACCB), "Exemplaria", 6 vols., 1536-1814.
1419	• More than 20 private and institutional dietaries.
1420	Calahorra
1421	• Chapter Acts of the Cathedral Historical Archive of Calahorra (La Rioja), 1451-1913, 35 vols.
1422	• Archives of Convento de Santo Domingo 1782-1797. First volume. 158 pages.
1423	Cervera
1424	• Regional Historical Archive of Cervera (AHCC), Comunitat de preveres, "Consells", 12 vols., 1460-1899.
1425	• Regional Historical Archive of Cervera (AHCC), "Libre Verd del Racional", 1 vol., 1448-1637.
1426	• Regional Historical Archive of Cervera (AHCC), "Llibres de Consells", 212 vols., 1500-1850.
1427	Gerona
1428	• City Council Historical Archive of Girona (AHMG), "Manuels d'Acords", 409 vols., 1421-1850.

1429	<u>Huesca</u>
1430	• Chapter Acts of the Cathedral Historical Archive of Huesca, 1557-1860, 15 vols.
1431	<u>La Seu d'Urgell</u>
1432	• City Council Historical Archive of La Seu d'Urgell (AHMSU), "Llibres de consells i resolucions", 47 vols., 1434-1936.
1433	<u>Lleida</u>
1434	• National Library of Madrid (BNM), Manuscript 18496, "Llibre de Notes Assenyalades de la Ciutat de Lleida", 1 vol.
1435	• Chapter Acts of the Cathedral Historical Archive of Lleida (ACL), "Actes Capitulars", 109 vols., 1445-1923.
1436	<u>Tarragona</u>
1437	• City Council Historical Archive of Tarragona (AHMT), "Llibres d'Acords", 92 vols., 1800-1874.
1438	• Departmental Historical Archive of Tarragona (AHPT), "Liber Consiliorum", 286 vols., 1358-1799.
1439	• Regional Historical Archive of Reus (AHCR), "Actes Municipals", 10 vols., 1493-1618.
1440	• Regional Historical Archive of Reus (AHCR), Comunitat de Preveres de Sant Pere, "Llibre de resolucions", 2 vols., 1450-1617.
1441	<u>Tortosa</u>
1442	• City Council Historical Archive of Tortosa (AHMTO), "Llibres de provisions i acords municipals", 119 vols., 1348-1855.
1443	• Chapter Acts of the Cathedral Historical Archive of Tortosa (ACCTO), "Actes Capitulars", 217 vols., 1566-1853.
1444	<u>Vic</u>
1445	• Chapter Acts of the Cathedral Historical Archive of Vic (AEV, ACCV), "Liber porterii", 10 vols., 1392-1585.
1446	• Chapter Acts of the Cathedral Historical Archive of Vic (AEV, ACCV), "Secretariae Liber", 30 vols., 1586-1909.
1447	• City Council Historical Archive of Vic (AHMV), "Indice de los Acuerdos de la Ciudad de Vich des del año 1424", 2 vols., 1424-1833.
1448	• City Council Historical Archive of Vic (AHMV), "Llibre d'Acords", 49 vols., 1424-1837.
1449	<u>Zaragoza</u>
1450	• Chapter Acts of the Cathedral Historical Archive 'Libro de Actas del Archivo de la Basílica del Pilar', 1516-1668, 17 vols. 2.600 pages.
1451	
1452	• City Council Historical Archive of Zaragoza, 1439-1999, 1308 vols. 35.000 pages.
1453	• City Council Historical Archive of Zaragoza. 'Libro de Actas del Archivo Metropolitano de La Seo de Zaragoza', 1475-1945. 81 vols. 12.150 pages.
1454	

1455 [Table 2. Documentary references for administrative public documentary sources used](#)
1456 [for rogation monthly indices \(all documents are generated and initialed by public](#)
1457 [notaries\). Noted that only the official documents are shown. Each documentary record](#)
1458 [is given reliability load with the public notary rubric that acts like secretary. This](#)
1459 [procedure is currently still in force for the same type of document, which is still](#)
1460 [generated at present time.](#)

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1463 Figure 2. The empirical cumulative distribution function (ECDF), used to describe a
 1464 sample of observations of a given variable. Its value at a given point is equal to the
 1465 proportion of observations from the sample that are less than or equal to that point.
 1466 ECDF performed for the local drought indices (A) and the regional drought indices (B).

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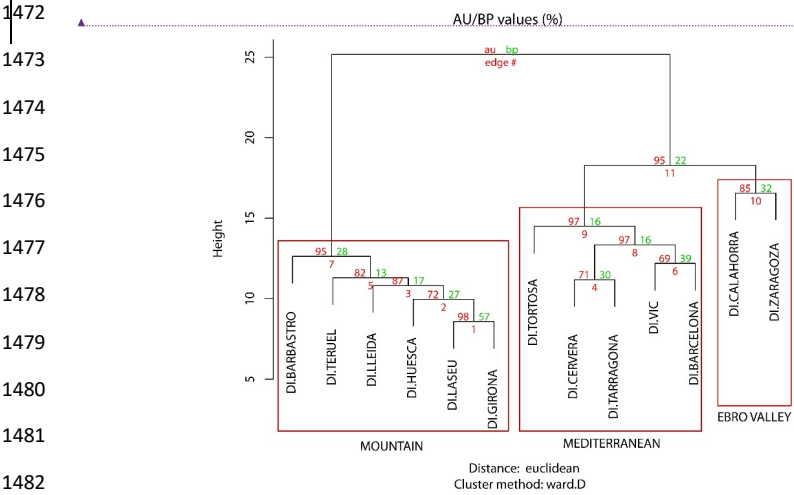
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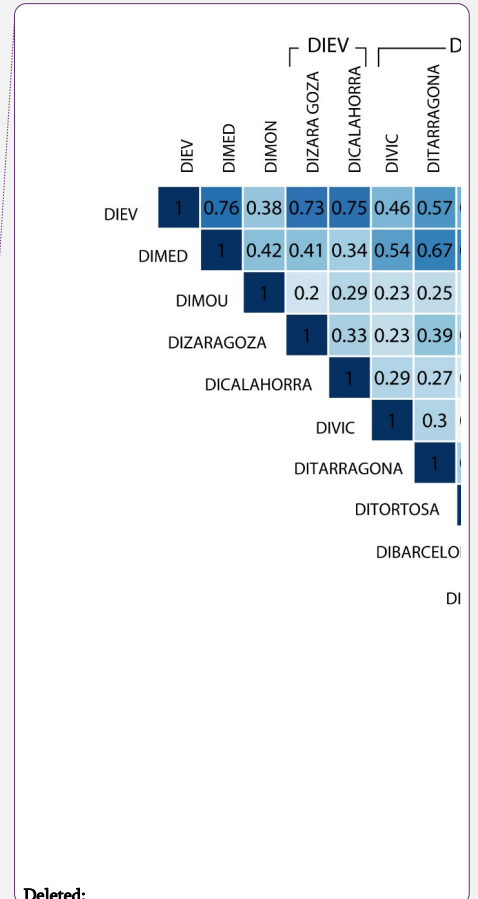
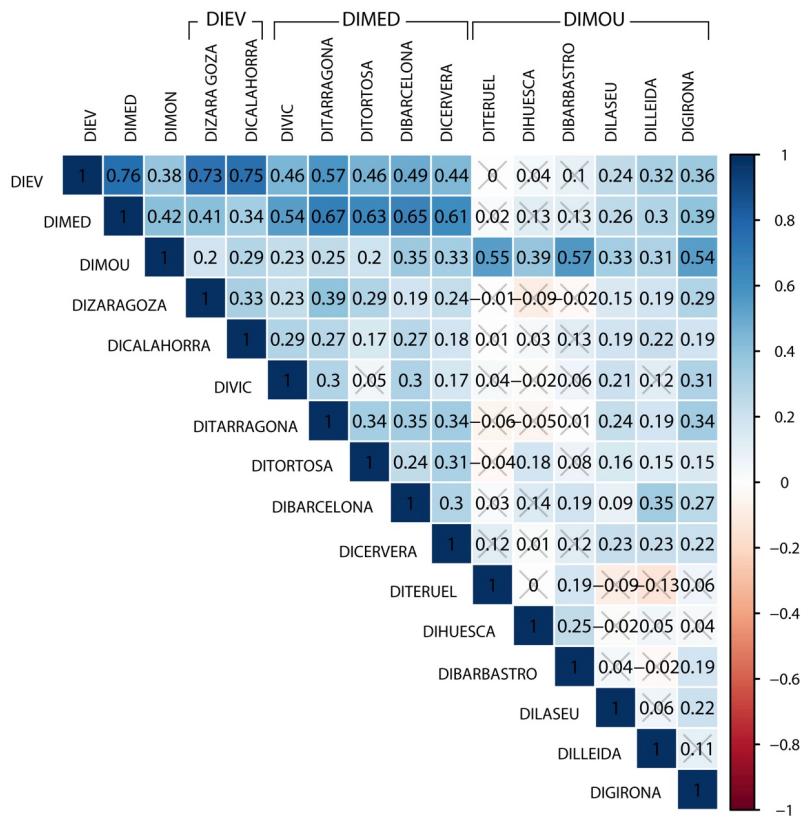
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1483 Figure 3. Dendrogram showing the hierarchical cluster analysis of the drought indices
1484 developed from the historical documents for each location. The AU (approximately
1485 unbiased *p-value*) is indicated in red and the BP (bootstrap probability) is presented in
1486 green.

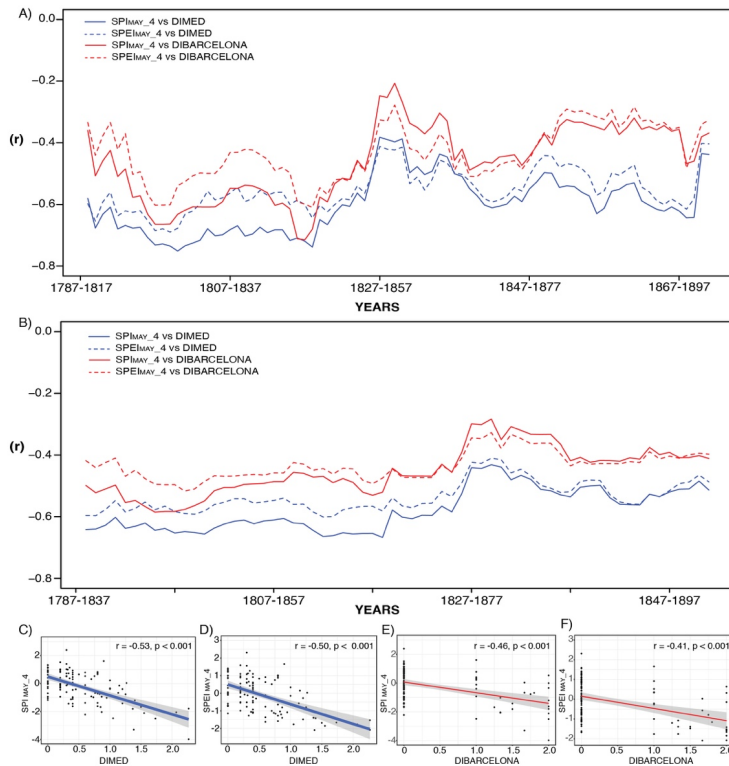
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 1490 Figure 4_ Correlation matrix (Spearman) between the individual drought indices and the
 1491 cluster drought indices for the period of 1650-1899. Values are significant at $p < 0.05$,
 1492 except those marked with a gray cross, which are not significant.

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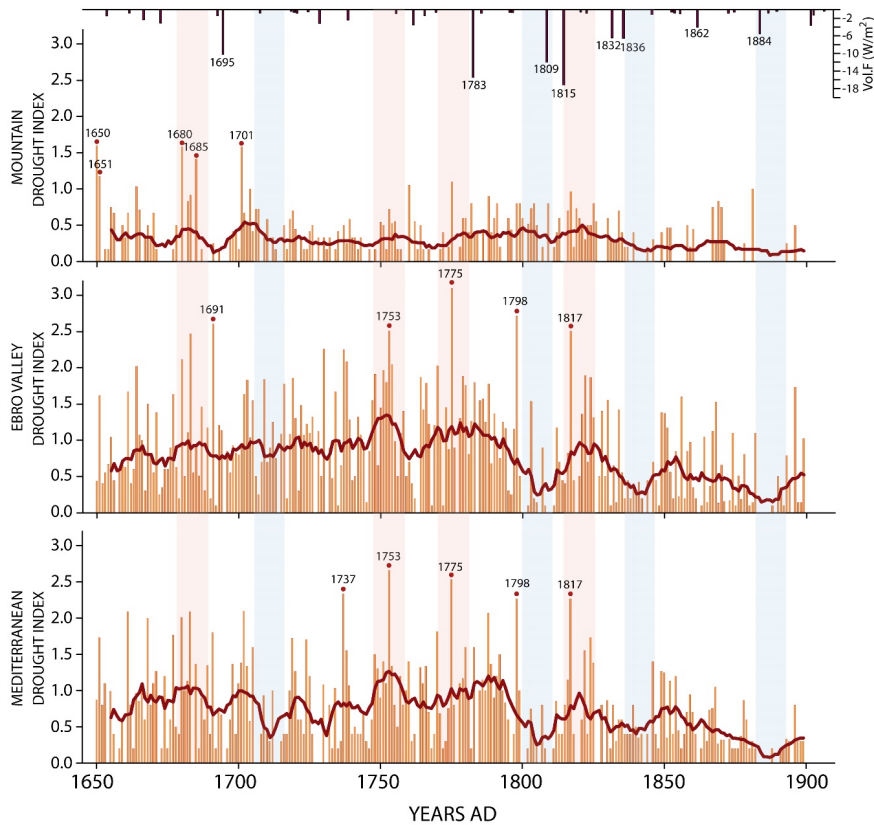
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1503 Figure 5. A) 30y moving correlation between DIMED, DIBARCELONA and the
 1504 instrumental computed SPI and SPEI. B) Same but 50y moving correlations. C)
 1505 Correlation (Spearman) between DIMED and SPI_{MAY_4} for the full period (1787-1899).
 1506 D) Correlation between DIMED and SPEI_{MAY_4} for the full period (1787-1899). E)
 1507 Correlation between DIBARCELONA and SPI_{MAY_4} for the full period (1787-1899). F)
 1508 Correlation between DIBARCELONA and SPEI_{MAY_4} for the full period (1787-1899).

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1514 Figure 6. Drought indices of the three clusters, DIMOU (Mountain), DIEV (Ebro Valley)
 1515 and DIMED (Mediterranean). Vertical orange bars represent the drought index
 1516 magnitude, 0 denotes normal conditions, and 3 denotes an extreme drought year.
 1517 The extreme drought index years are also highlighted with a red circle. Extreme volcanic
 1518 events from Sigl et al., 2015, are shown in the top panel. Vertical pink shadows indicate
 1519 extreme common (for all three clusters) drought periods, while blue shadows indicate
 1520 common periods with fewer droughts.

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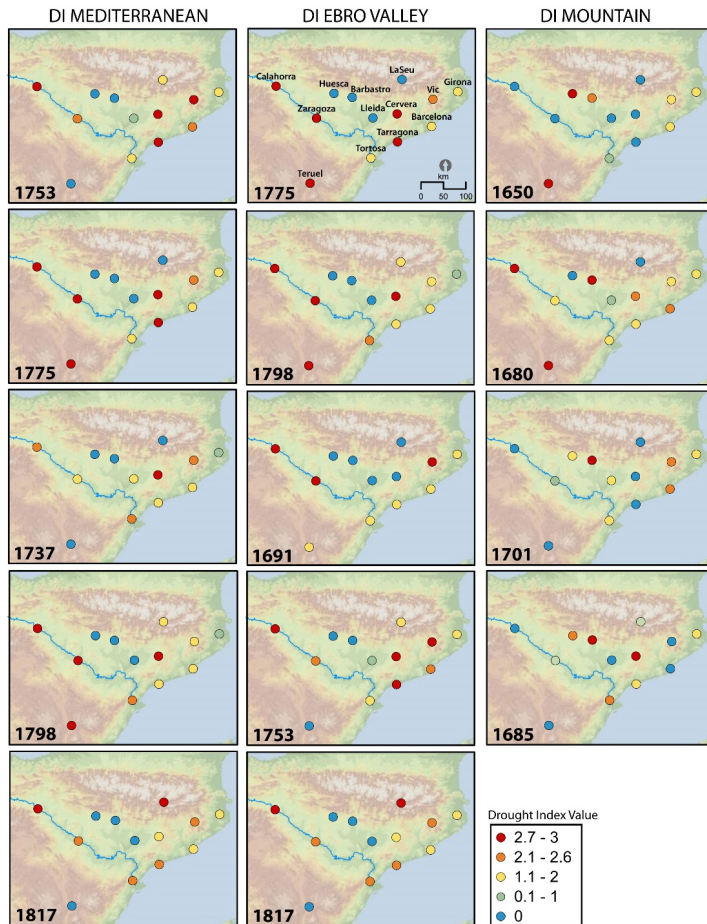
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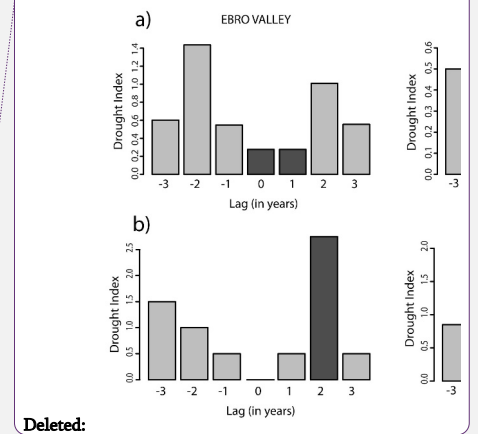
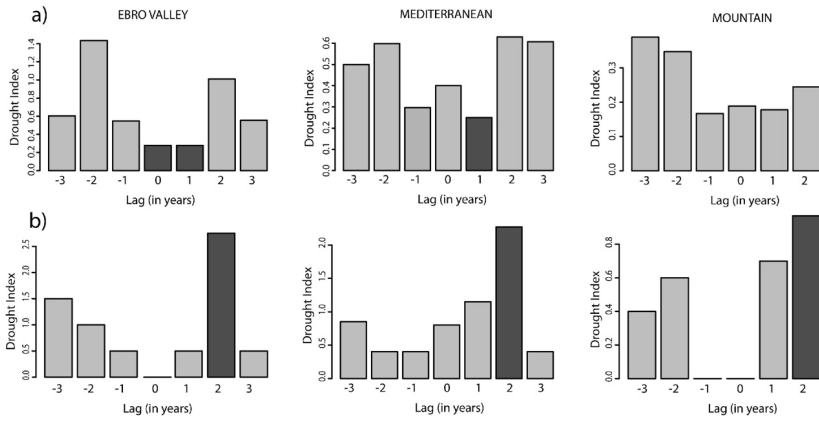


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1528 Figure 7. Spatial distribution of the most extreme drought years (based on the 99th
 1529 percentile of the cluster drought indices). The distribution is ordered top-down. The
 1530 drought index value (magnitude) for each site within the cluster is also represented.
 1531 The legend of the drought index value is based on the 30th, 60th, 70th and 90th
 1532 percentiles.

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1535 Figure 8. a) Superposed epoch analysis (SEA) of the three regional drought indices,
 1536 DIMOU (Mountain), DIEV (Ebro Valley) and DIMED (Mediterranean), with major volcanic
 1537 events from Sigl et al., 2015. Black shadows show significance at $p < 0.01$, i.e., significantly
 1538 lower or higher drought index values after the volcanic event. b) SEA of only the
 1539 Tambora (1815) event showing a significant ($p < 0.01$) increase in the drought index.

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Level	Type of ceremony
0	No ceremonies
1	Petition within the church
2	Masses and processions with the intercessor within the church
3	Pilgrimage to the intercessor of other sanctuary or church

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Table 3. Rogation levels according to the type of ceremony celebrated.

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