

Dear Editor,

We very much appreciate the comments and suggestions made by the reviewers.

Since both reviewers are concerned by the fact that we are using a quantitative approach with semi quantitative data, we will better explain the limitations of our proxy in the revised version. We also clarify the nature of our data by performing an Empirical Cumulative Distribution Function. The derived drought indices can take values between 0 and 3 (see Fig. 2AB, included now in the manuscript), and thus can be considered as a continuous variable. In addition, as suggested by #Anonymous reviewer 1, we have now included a new paragraph in the manuscript showing the ‘validation’ of our data, including the new Figure 5, which we believe clearly shows the strength of rogation ceremonies as drought proxies.

Lines 254-266;

‘To better understand the relationship with the derive drought indices and the instrumental series, we used the longest instrumental precipitation and temperature series covering the period 1786-2017 (Prohom et al., 2012; Prohom et al., 2015) for the city of Barcelona and thus overlap the rogation ceremony’s period from 1786 to 1899. The instrumental series was homogenized and developed including data from cities nearby and along the Mediterranean coast (see Prohom et al., 2015 for details). We then calculated the Standardized Precipitation Index (SPI, McKee et a., 1993) and the Standardized Evapotranspiration and Precipitation Index (SPEI, Begueria et al., 2014) and calculated spearman correlation between DIMED and the SPI/SPEI at different time scales including a maximum lag of 12 months covering the period 1787-1899. To further explore the relationship between the drought indices inferred from historical documents and the instrumental drought indices through time, we performed 30 and 50 years moving correlations.’

Lines 324-333;

‘The maximum correlation ($r=-0.61$; $p<0.001$) between the Mediterranean Drought Index and the instrumental SPI over the full 113-year period (1787-1899 AD; Fig.5C) is found for the SPI of May with a lag of 4 months (SPI_{MAY_4} hereafter). Slightly lower, though still significant correlation, is obtained when using the SPEI of May with a lag of 4 months (SPEI_{MAY_4}) ($r=-0.56$; $p<0.001$, Fig.5D). The moving correlations between SPI_{MAY_4} and DIMED for 30 and 50 years (Fig.5A; Fig.5B) show high and stable correlation through the full period. The relationship with the SPEI_{MAY_4} is also high and stable throughout the overlapping period, although lower than with SPI_{MAY_4}.’

We performed a cluster analysis to study meaningful groups of historical documents that share common characteristics. We agree with the reviewers that multiple cluster techniques will provide different results, but in this specific case we believe that the three clusters have spatial coherency (as commented in detail in the point by point response below).

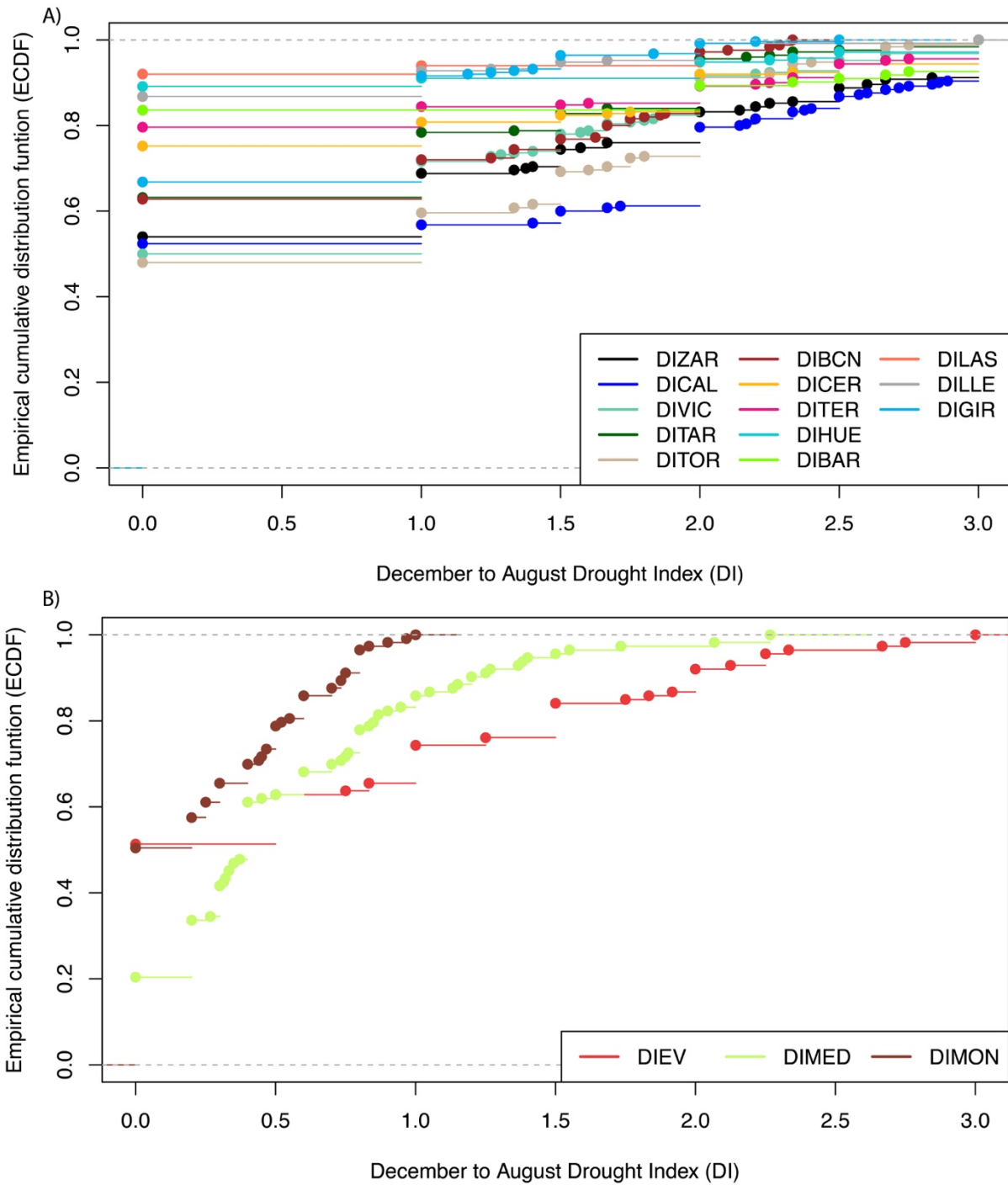


Figure 2. The empirical cumulative distribution function (ECDF) used to describe a sample of observations for a given variable. Its value at a given point is equal to the proportion of observations from the sample that are less than or equal to that point. ECDF performed for the local drought indices (A) and the regional drought indices (B).

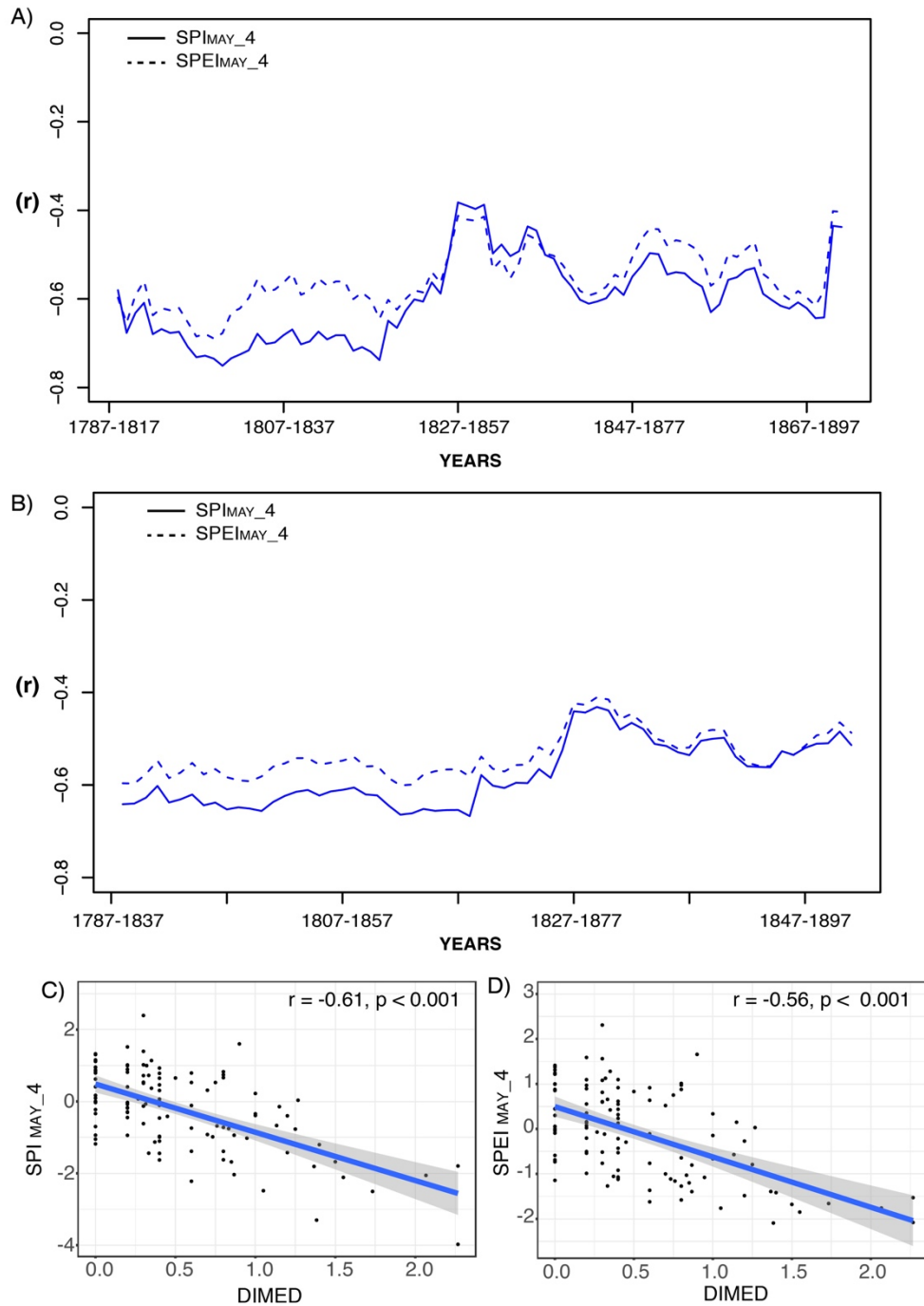


Figure 5. A) 30y moving correlation between DIMED and the instrumental computed SPI and SPEI. B) Same but 50y moving correlations. C) Correlation between DIMED and SPI_{MAY_4} for the full period (1787-1899). D) Correlation between DIMED and SPEI_{MAY_4} for the full period (1787-1899).

This is the Point-by-point response with which we respond to all suggestions and comments of the reviewers.

Anonymous reviewer 1.

The paper aims to characterize the variability of droughts in NE Spain since 1650 using records from rogation ceremonies from 13 cities. This type of records have been used in the literature as proxy for droughts in the last years with success, as can be seen in the literature and is well reflected in the references of the manuscript. Most of those previous studies are focused on certain locations, but there have also been previous exercises analyzing jointly these records. The main novelty here is the use of cluster analysis to identify spatial patterns within NE using these rogation ceremonies.

Many thanks for the positive comments.

I have several major methodological problems in the type of treatment used in the manuscript that prevent me from acceptance. 1- For every location the authors generate an index which ranges from 0 to 3 depending on the frequency and type of rogations. According to the manuscript, the index is computed as a weighted average of the reports found for a given year between December and August.

The weight depends on the type of rogation held, according to a given protocol. In my view this must be interpreted with caution due to different reasons. First the same value can be reached with different extremes. Thus, a value of 2 (moderate drought) can be obtained with one single record of level 2 or with two records: one rogation ceremony of level one and another one of level 3 $(1 \times 1 + 1 \times 3)/2 = 2$. The climatic difference is really relevant, since in the second case the drought should have been much more extreme than in the first one.

We appreciate the comments and understand the reviewer's concerns. We believe through the Empirical Cumulative Distribution Function analysis and the validation section we now assert the validity of the methods used to convert the categorical information to semiquantitative data. Please note that we have now changed quantitative by semiquantitative throughout the whole manuscript. We further extend the explanation of the limitations of our data in.

Lines 385-394; 'Further limitations of converting qualitative information into quantitative data refer to the fact that, for instance, a drought index of level 2 does not necessarily imply a drought twice as intense as a drought index of level 1. This is an inherent limitation when dealing with historical documents as a climate proxy, and different approaches have been applied in the scientific literature (Vicente-Serrano and Cuadrat, 2007; Dominguez-Castro et al., 2008). In our paper, we follow the methodology proposed in the Millennium Project (European Commission, IP 017008) and demonstrated in Domínguez-Castro et al., (2012)'. To that extent, the ECDF helped understanding the nature of the historical documents when transformed into semiquantitative data which confirm that they can be treated as a continuous variable'.

This index is semi quantitative because the levels are assigned after analyzing the ritual and due to the lack of overlap with the instrumental record, it is just an assessment expressed in a quantitative scale.

Please see answer above.

Finally, the index is not linear, in the sense that a drought of level 3 should not necessarily be three times more intense than a drought of level 1. All these cautions should be taken into account when applying to

the index built in the manuscript. The authors claim (l 249 for example), that they have obtained a continuous quantitative index, but these cautions are not mentioned in the text.

We have now extended the description of the drought index limitations including the following suggested changes, please see above. However, the fact that the correlation of the overlapping period between the instrumental and the regional DIMED is very high and stable over time suggests that the rogations ceremonies can be considered as a drought indicator. In such a catholic society, similar droughts throughout the territory would trigger similar religious acts, which at the same time cost money. The authorities and the church would not perform an expensive rogation ceremony of level 3, unless drought is severe, and the yearly harvest is in danger.

Next, a cluster analysis is performed to identify spatial patterns. According to the manuscript, there are three patterns: Mediterranean, Mountain and Ebro Valley. I think that this division does not make sense from the climatological viewpoint due to several reasons: - Lerida (other times called Lleida) and Cervera are two locations separated around 50 km, they are both included in the Ebro valley, at a similar distance from the sea and with no relevant mountains in between (see figure 1). On top, the pluviograms are very similar, check the Iberian climatological Atlas, for instance (http://www.aemet.es/documentos/es/conocermas/recursos_en_linea/publicaciones_y_estudios/publicaciones/Atlas-climatologico/Atlas.pdf). However, Lerida is included within the Mountain cluster and Cervera within the Mediterranean one. This is difficult to understand. - Teruel, in the middle of the Iberian range, is included within the Mountain cluster, which is mostly composed by locations close to the Pyrenees. Teruel is around 400 km from the closest location in the cluster. Its precipitation regimen is poorly associated with those in the Pyrenees. Additionally, as can be seen in figure3, Teruel index is only significantly correlated with Barbastro and non significantly with the rest. - Gerona (or Girona, depending on the text or figure) shows similar problems with the rest of the Mountain cluster with 3 nonsignificant correlations and two very poor correlations (up to 0.22). Anyone familiar with the climate of Spain (as the authors) should be aware of these issues, that are also evident in figure 5. Consequently, I think that of physical meaning of the cluster is very poor and the patterns might be an statistical artifact.

We appreciate this a comment, and now have explained in the revised version. Lines 403-417;

'In addition, the clusters might not only be collecting climatic information but also diverse agricultural practices or even species. For instance, Cervera and Lleida, sharing similar annual precipitation totals, belong to the Mediterranean and the Mountain Drought Indices respectively. Lleida is located in a valley with an artificial irrigation system since the Muslim period, which is fed by the river Segre (one of the largest tributaries to the Ebro river). The drought in the Pyrenees is connected with a shortage of water for the production of energy in the mills as well as to satisfy irrigated agriculture. However, the irrigation system itself allowed them to manage the resource and resist much longer. Therefore, only the most severe droughts, and even so in an attenuated form, are perceived in the city. Cervera, located in the mountains, in the so-called pre-littoral system and its foothills, has a different precipitation dynamic more sensitive to the arrival of humid air from the Mediterranean. Besides, Lleida had a robust irrigation system that Cervera did not have. The droughts in Cervera are therefore more "Mediterranean" like and thus it is consistent its presence in the Mediterranean Drought Index.'

This is not strange, since the usual clustering techniques use Euclidean distances to define clusters and they are appropriate for quantitative variables. Unfortunately, the methods section does not provide information on the distance used to measure the stations proximity.

We apologize for that, although it was included in the submitted manuscript denoted as Figure 2. Now the cluster analysis is explained more clearly and in more detail. Lines 227-237;

'We used the Ward's method in which the proximity between two clusters is the magnitude by which the summed squared in their joint cluster will be greater than the combined summed square in these two clusters $SS_{12} - (SS_1 + SS_2)$ (Ward, 1963; Everitt et al., 2001). Then, the root of the square difference between co-ordinates of pair of objects is computed with its Euclidian distance. Finally, for each cluster within the hierarchical clustering, quantities called p-values are calculated via multiscale bootstrap resampling (1000 times). Bootstrapping techniques does not require assumptions such as normality in original data (Efron, 1979) and thus represents a suitable approach applied to the semiquantitative characteristics of drought indices (DI) derived from historical documents...'

In my view, the authors should repeat the clustering process but applying a technique appropriate to their data (semiquantitative and nonlinear indices with a short range 0-3) and should interpret the results much more carefully. To add credibility to the exercise, I suggest that they compute the SPI or SPEI indices for the 13 locations during the instrumental period and check and compare the results with those obtained with the historical indices. This would provide a certain idea of the consistency of the results.

We have now better justify the cluster technique applied. In addition, we have incorporated the validation section, including the calculation of the instrumental SPI and SPEI drought indices suggested by the reviewer. However, the validation between instrumental data and the Drought Indices derived from historical documents cannot be extended to the 13 cities due to the lack of overlapping periods. Most of the instrumental records in Spain, especially in small towns such as those studied here, begin in the second half of the 20th century. We believe that the high correlation found between the instrumental series of Barcelona and the Mediterranean Drought Index is already asserting the validity of our methodology to convert the rogation ceremonies into a continuous drought index.

Minor comments Language should be rechecked since there are several grammar errors. The authors should unify terminology (Lleida/Lerida; Girona/Gerona) The references to gray literature in Spanish should be eliminated or minimized.

Done.

#Anonymous reviewer 2.

This study is very interesting and provides new and valuable data to the scientific knowledge on droughts in the northeast of Spain in the last centuries. The main contribution to the historical climatology of this region lies in the fact that the study assembles an important set of series of rogation ceremonies, including two new unpublished series (Barbastro and Huesca). The study has potential to be published in Climate of the Past, however, in my opinion there are aspects of methodology and discussion that must be improved and completed in order to raise the overall quality of the article and achieve the quality standards of the journal.

Many thanks for the positive comments.

Main remarks:

1. An important recommendation is about the presentation of the method and its limitations. Data of rogation ceremonies were converted into a "Drought Index" (DI) which was developed and applied in previous publications, as referred by the authors. However, the DI description is not totally correct when the authors simply say that "rogation data was transformed into quantitative monthly series" since the DI is, in fact, defined by an ordinal scale of intensity of droughts. Therefore, the study is based in a semi quantitative approach (DI series), which must be clearly stated in the methodology, as also the inherent limitations for the significance of the DI series should be more detailed and emphasized (in section 2, "Methods").

We appreciate the suggestions, which we believe have been now clarify. As responded in the general response. We also clarify the nature of our data by performing an Empirical Cumulative Distribution Function. The derived drought indices can take values between 0 and 3 (see Fig. 2AB, included now in the manuscript), and thus can be considered as a continuous variable. In addition, as suggested by #Anonymous reviewer 1, we have now included a new paragraph in the manuscript showing the 'validation' of our data, including the new Figure 5, which we believe clearly shows the strength of rogation ceremonies as drought proxies.

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We have now extended the description of the drought index limitations including the following suggested changes. Lines 385-394;

'Further limitations of converting qualitative information into quantitative data refer to the fact that, for instance, a drought index of level 2 does not necessarily imply a drought twice as intense as a drought index of level 1. This is an inherent limitation when dealing with historical documents as a climate proxy, and different approaches have been applied in the scientific literature (Vicente-Serrano and Cuadrat, 2007; Dominguez-Castro et al., 2008). In our paper, we follow the methodology proposed in the Millennium Project (European Commission, IP 017008) and demonstrated in Domínguez-Castro et al., (2012). To that extent, the ECDF helped understanding the nature of the historical documents when transformed into semiquantitative data which confirm that they can be treated as a continuous variable.'

However, the fact that the correlation of the overlapping period between the instrumental and the regional DIMED is very high and stable over time suggests that the rogations ceremonies can be considered as a drought indicator. In such a catholic society, similar droughts throughout the territory would trigger similar religious acts, which at the same time cost money. The authorities and the church would not perform an expensive rogation ceremony of level 3, unless drought is severe and the yearly harvest is in danger.

2. Another important weakness of the study is the total absence of information on the historical archives visited and basic description of sources gathered within the data collection. In text, I have found only a

reference to the “Actas Capitulares” of the cathedrals. That’s all? the single information provided on these important issues are the location and periods of the series (table I) which in my opinion is poor and quite insufficient to the readers and interested researchers. I suggest changing and complete this table or, preferably, add a new table with the recommended contents or even include a dedicated appendix.

We apologize for the absence of information on the historical archives that were visited. Now this information is included in the supplementary material Table S1.

3. In the methodology description the authors did not mention the completeness of the rogation records of the 13 collected series or even if there some possible gaps our periods with doubtful information from 1650 to 1899. Is it possible to estimate (approximately) the degree of temporal continuity of each series of rogation records? All uncertainties related with the study must be clearly stated. If the 13 series are complete permitting a suitable chronological analysis, please emphasize this fact, otherwise the readers may not be aware on the reliability of the data.

We appreciate this comment. While the temporal length of each site was presented in Table 1, we provide more detailed information in the introduction and in the method sections. Lines 176-178; ‘The extension of the consulted documents (described in Table S1) ranges from 461 years of continues data in Girona, to 120 years in Lleida, with an average of 311 years of data on each station.

4. In the “Discussion” section some comments are missing about the apparent lack of coherence of cluster “Mountain” among the three defined drought patterns regions. As the authors pointed out, the correlations of DI within this group were weak or without statistical significance, but this evidence should be interpreted. What facts could explain this incoherency (or at least contribute to understand it). In my opinion these comments are relevant to support the consistency of the regional classification of drought series.

We have now extended the discussion part. Lines 473-480;

‘In particular, the mountain areas show less vulnerability to drought compared to the other regions. This is mainly due to the fact, that mountainous regions experience less evapotranspiration, more snow accumulation and convective conditions that lead to a higher frequency of thunderstorms during the summertime. In addition, the productive system of the mountain areas is not only based on agriculture but also on animal husbandry, giving them an additional source for living in case of extreme drought. This might explain the lower coherence among stations within the DIMOU.’

5. In the “Results” section is included a detection of the extreme drought years in the northeast of Spain (3.3). Some aspects shown in figure 5 appear somewhat surprising, particularly when we compare the DI level occurred in quite closer cities in certain extreme drought years (see the example of Lleida and Cervera in 1775 and 1798) and some (apparently) contradictory results emerge. Since droughts are regional climatological events, not “local” phenomena, how can be explained such apparent spatial inconsistency? Some comments or plausible arguments should be added in Discussion section to avoid possible questions or doubts that, reasonably, may arise to the readers.

We appreciate the comments. We modified the corresponding text as follows: Lines 403-;417
‘In addition, the clusters might not only be collecting climatic information but also diverse agricultural practices or even species. For instance, Cervera and Lleida, sharing similar annual precipitation totals,

belong to the Mediterranean and the Mountain Drought Indices respectively. Lleida is located in a valley with an artificial irrigation system since the Muslim period, which is fed by the river Segre (one of the largest tributaries to the Ebro river). The drought in the Pyrenees is connected with a shortage of water for the production of energy in the mills as well as to satisfy irrigated agriculture. However, the irrigation system itself allowed them to manage the resource and resist much longer. Therefore, only the most severe droughts, and even so in an attenuated form, are perceived in the city. Cervera, located in the mountains, in the so-called pre-littoral system and its foothills, has a different precipitation dynamic more sensitive to the arrival of humid air from the Mediterranean. Besides, Lleida had a robust irrigation system that Cervera did not have. The droughts in Cervera are therefore more "Mediterranean" like and thus it is consistent its presence in the Mediterranean Drought Index.

Minor comments: Line 129: "regional droughts" instead of regional drought"; Line 134: Consider replace "geological formations" by "geological units" or geological regions"; Table 1: add variables units (are totally absent); Cities names are not uniformized in the text, figures and tables (e.g. Lleida and Lerida, etc.)

Done.

Rogation ceremonies: key to understand past drought variability in northeastern Spain since 1650

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ABSTRACT

In the northeast of the Iberian Peninsula, drought recurrence, intensity, persistence and spatial variability have been mainly studied by using instrumental data covering the past ca. 60 years. Fewer studies have reconstructed drought occurrence and variability for the preinstrumental period using documentary evidence and natural proxies. In this study, we compiled a unique dataset of rogation ceremonies, religious acts to ask god for rain, from 13 cities in the northeast of Spain and investigated the annual drought variability from 1650 to 1899 AD. We converted the qualitative information into three regionally different coherent areas (Mediterranean, Ebro Valley and Mountain) with semiquantitative, annually resolved (December to August) drought indices according to the type of religious act. The Mediterranean Drought Index was compared with the instrumental series of Barcelona for the overlapping period (1787-1899) and we discovered a highly significant and stable correlation with the Standard Precipitation Drought Index of May with a 4 months lag ($r=-0.53$; $p<0.001$), asserting the validity of the regional Drought Indices derived from the historical documents as drought proxies. We found common periods with prolonged droughts (during the mid and late 18th century) and extreme drought years (1775, 1798, 1753, 1691 and 1817) associated with more blocking situations. A superposed epoch analysis (SEA) was performed to test the regional hydroclimatic responses after major tropical volcanic eruptions. The SEA shows a significant decrease in drought events one year after the volcanic events, which might be explained by the decrease in evapotranspiration due to decreases in surface temperatures and, consequently, the higher water availability that increases soil moisture. In addition, we discovered a common and significant drought response two years after the Tambora volcanic eruption in the three regional drought indices. Documented information on rogations thus contains important independent information to reconstruct extreme drought events for specific seasons in areas and periods for which instrumental information and other proxies are scarce.

1. Introduction

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43 Water availability is one of the most critical factors for human activities, human
44 wellbeing and the sustainability of natural ecosystems. Drought is an expression of a
45 precipitation deficit, which is often longer than a season, a year or even a decade.
46 Drought leads to water shortages associated with adverse impacts on natural systems
47 and socioeconomic activities, such as reductions in streamflow, crop failures, forest
48 decay or restrictions on urban and irrigation water supplies (Eslamian and Eslamian,
49 2017). Droughts represent a regular, recurrent process that occurs in almost all climate
50 zones. In the Mediterranean region, the impacts of climate change on water resources
51 are of significant concern (García-Ruiz et al., 2001). Spain is one of the European
52 countries with a large risk of drought caused by high temporal and spatial variability in
53 the distribution of the precipitation (Vicente-Serrano et al., 2014; Serrano-Notivoli et al.,
54 2017). Several recent Iberian droughts and their impacts on society and the environment
55 have been documented in the scientific literature (e.g., Dominguez Castro et al., 2012;
56 Trigo et al. 2013; Vicente-Serrano et al. 2014; Russo et al. 2015; Turco et al. 2017). For
57 instance, during the period from 1990 to 1995, almost 12 million people suffered from
58 water scarcity, the loss in agricultural production was an estimated 1 billion Euro,
59 hydroelectric production dropped by 14.5 % and 63% of southern Spain was affected by
60 fires (Dominguez Castro et al., 2012). One of the most recent droughts in Spain lasted
61 from 2004 to 2005 (García-Herrera et al., 2007) and was associated with major
62 socioeconomic impacts (hydroelectricity and cereal production decreased to 40% and
63 60%, respectively, of the average value).

64 In other European regions, drought intensity and frequency has largely been
65 studied as their socio-economic and environmental impacts are expected to increase
66 with climate change (e.g. Spinoni et al., 2018; Hanel et al., 2018). Long-term studies
67 using instrumental meteorological observations have helped understanding European
68 drought patterns at various spatial and temporal scales (e.g. Spinoni et al., 2015; Stagge
69 et al., 2017). In addition, natural proxy data have provided a multicentennial long-term
70 perspective in Europe by developing high-resolution drought indices derived mostly
71 from tree-ring records (e.g. Büntgen et al., 2011; Cook et al., 2015). Finally, documentary
72 records utilized in historical climatology have complemented the understanding of
73 droughts across Europe (e.g. Brázdil et al., 2005, 2010). These studies, covering the last
74 few centuries are usually focused in specific periods of extreme droughts and their
75 societal impacts (e.g. Diodato and Bellochi, 2011; Domínguez-Castro et al., 2012) and
76 yet, studies that attempt to develop continues drought indices for the last centuries,
77 inferred from documentary evidences, remain an exception (e.g. Brázdil et al., 2013).

78 In the Iberian Peninsula, natural archives including tree-ring chronologies, lake
79 sediments and speleothems have been used to infer drought variability before the
80 instrumental period (Esper et al., 2015; Tejedor et al., 2016, 2017c; Benito et al., 2003,
81 2008; Pauling et al. 2006; Brewer et al., 2008; Carro-Calvo et al., 2013, Abrantes et al.,
82 2017, Andreu-Hayles et al., 2017). Nevertheless, most of the highly temporally resolved
83 natural proxy-based reconstructions represent high-elevation conditions during specific
84 periods of the year (mainly summer e.g., Tejedor et al., 2017c). Spain has a high amount
85 of documentary-based data with a good degree of continuity and homogeneity for many

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88 areas, which allows the derivation of important paleo climate information at different
89 timescales and for various territories. Garcia-Herrera et al. (2003) describe the main
90 archives and discuss the techniques and strategies used to derive climate-relevant
91 information from documentary records. Past drought and precipitation patterns have
92 been inferred by exploring mainly rogation ceremonies and historical records from
93 Catalonia (Martin-Vide and Barriendos 1995; Barriendos, 1997; Barriendos and Llasat,
94 2003; Trigo et al. 2009), Zaragoza (Vicente-Serrano and Cuadrat, 2007), Andalusia
95 (Rodrigo et al., 1998; 2000), central Spain (Domínguez-Castro et al., 2008; 2012; 2014;
96 2016) and Portugal (Alcoforado et al. 2000). In northeastern Spain, the most important
97 cities were located on the riversides of the Ebro Valley, which were surrounded by large
98 cropland areas (Fig. 1). Bad wheat and barley harvests triggered socio-economic
99 impacts, including the impoverishment or malnutrition of families, the severe alteration
100 of the market economy, social and political conflicts, marginality, loss of population due
101 to emigration and starvation and diseases and epidemics, such as those caused by pests
102 (Tejedor, 2017a). Recent studies have related precipitation/drought variability in
103 regions of Spain to wheat yield variability (Ray et al., 2015; Esper et al. 2017). The extent
104 of impacts caused by droughts depends on the socio-environmental vulnerability of an
105 area. This is related to the nature and magnitude of the drought and the social structure
106 of societies, such as agricultural-based societies including trades (Scandyln et al., 2010;
107 Esper et al. 2017). During the past few centuries, Spanish society has been strongly
108 influenced by the Catholic Church. Parishioners firmly believe in the will of God and the
109 church to provide them with better harvests. They asked God to stop or provoke rain
110 through rogations, a process created by bishop Mamertus in AD 469 (Fierro, 1991). The
111 key factor in evaluating rogation ceremonies for paleo climate research is determining
112 the severity and duration of adverse climatic phenomena based on the type of liturgical
113 act that was organized after the deliberation and decision-making of local city councils
114 (Barriendos, 2005). Rogations are solemn petitions by believers to ask God specific
115 requests (Barriendos 1996, 1997). *Pro pluviam* rogations were conducted to ask for
116 precipitation during a drought, and they therefore provide an indication of drought
117 episodes and clearly identify climatic anomalies and the duration and severity of the
118 event (Martín-Vide & Barriendos, 1995; Barriendos, 2005). In contrast, *pro serenitate*
119 rogations were requests for precipitation to end during periods of excessive or
120 persistent precipitation, which caused crop failures and floods. In the Mediterranean
121 basin, the loss of crops triggered important socio-economic consequences and was
122 related to insufficient rainfall. Rogations were an institutional mechanism to address
123 social stress in response to climatic anomalies or meteorological extremes (e.g.
124 Barriendos, 2005). The municipal and ecclesiastical authorities involved in the rogation
125 process guaranteed the reliability of the ceremony and maintained a continuous
126 documentary record of all rogations. The duration and severity of natural phenomena
127 that stressed society can be reflected by the different levels of liturgical ceremonies that
128 were applied (e.g. Martín-Vide and Barriendos, 1995; Barriendos, 1997; 2005). Through
129 these studies, we learned that the present heterogeneity of drought patterns in Spain
130 also occurred in the past few centuries, in terms of the spatial differences, severity and
131 duration of the events (Martin-Vide, 2001, Vicente-Serrano 2006b). However, a

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135 compilation of the main historical document datasets that have been compiled over the
136 past several years is lacking, impeding the creation of a continuous record of drought
137 recurrences and intensities in the northeast of the Iberian Peninsula.

138 Here we compiled 13 series of historical documentary information of the *pro*
139 *pluviam* rogation data from the Ebro Valley and the Mediterranean Coast of Catalonia
140 (Fig. 1) from 1438 to 1945 (Tab. 1). Regarding the location of the cities, they cover a wide
141 range spanning from Barcelona, which is near the sea (9 m a.s.l.), and Teruel (915 m
142 a.s.l.) (Fig 1). Although some periods have already been analyzed for certain cities (i.e.,
143 Zaragoza in 1600-1900 AD by Vicente-Serrano and Cuadrat, 2007; Zaragoza, Calahorra,
144 Teruel, Vic, Cervera Girona, Barcelona, Tarragona and Tortosa in 1750-1850 AD by
145 Dominguez-Castro et al., 2012; La Seu d'Urgell, Girona, Barcelona, Tarragona, Tortosa
146 and Cervera in 1760-1800 AD by Barriendos and Llasat, 2003), this is the first systematic
147 approach analyzing all existing information for northeastern Spain, including new
148 unpublished data for Huesca (1557-1860 AD) and Barbastro (1646-1925 AD) and
149 examining the 13 sites jointly for a period of 250 years (1650-1899 AD). We analyzed
150 droughts across the sites and identify extreme drought years and common periods in
151 frequency and intensity. We also analyze statistical links between drought indices and
152 major tropical volcanic events in order to determine the effects of strong eruptions on
153 regional droughts.

154

155 2. Methods

156 2.1. Study area

157 The study area comprises the northeastern part of Spain, with an area of
158 approximately 100,000 km², and includes three geological units, the Pyrenees in the
159 north, the Iberian Range in the south, and the large depression of the Ebro Valley that
160 separates them (Fig. 1). The Ebro Valley has an average altitude of 200 m a.s.l. The Ebro
161 Valley climate can be characterized as a Mediterranean type climate, with warm
162 summers, cold winters and increasing continental characteristics with distance from the
163 coast. Some geographic aspects determine its climatic characteristics; for example,
164 several mountainous chains isolate the valley from moist winds, preventing
165 precipitation. Thus, in the central areas of the valley, annual precipitation is low, with
166 small monthly variations and an annual precipitation in the central Ebro Valley of
167 approximately 322 mm (AEMET, 2012). In both the Pyrenees and the Iberian Range, the
168 main climatic characteristics are related to a transition from oceanic/continental to
169 Mediterranean conditions in the East. In addition, a gradually higher aridity towards the
170 east and the south is caused by the barrier effect of the most frequent humid air masses
171 (Vicente-Serrano, 2005; López-Moreno & Vicente-Serrano, 2007). Areas above 2000 m
172 a.s.l. receive approximately 2,000 mm of precipitation annually, increasing to 2,500 mm
173 of precipitation in the highest peaks of the mountain range (García-Ruiz, et al., 2001).
174 The annual precipitation in the Mediterranean coast is higher than that in the middle
175 Ebro Valley and ranges from approximately 500 mm in Tortosa to 720 mm in Girona
176 (Serrano-Notivol et al., 2017).

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187 **2.2. From historical documents to climate: Development of drought index**
188 **for each location in NE Spain from 1650 to 1899 AD**

189 Historical documents from 13 cities in the northeast of Spain were compiled into a
190 novel dataset by using a consistent approach (Fig. 1, Tab. 1, [Tab. S1](#)). These historical
191 documents are the rogation ceremonies reported in the 'Actas Capitulares' of the
192 municipal archives or main cathedrals. [The extension of the consulted documents](#)
193 [\(described in Table S1\) ranges from 461 years of continues data in Girona, to 120 years](#)
194 [in Lleida, with an average of 311 years of data on each station.](#) Rogations not only were
195 religious acts but also were supported by the participation of several institutions;
196 agricultural organizations and municipal and ecclesiastical authorities analyzed the
197 situation and deliberated before deciding to hold a rogation ceremony (Vicente-Serrano
198 and Cuadrat, 2007). Usually, the agricultural organizations would request rogations
199 when they observed a [decrease](#) in rainfall, which could result in weak crop development.
200 Then, municipal authorities would recognize the setback and discuss the advisability of
201 holding a rogation ceremony. Whether a rogation was celebrated or not was not
202 arbitrary, since rogations had a price paid by public coffers. When the municipal
203 authorities decided to hold a rogation, the order was communicated to the religious
204 authorities, who placed the rogation on the calendar of religious celebrations and
205 organized and announced the rogation. Previous studies have reported that winter
206 precipitation is key for the final crop production in dry-farming areas of the Ebro Valley
207 (wheat and barley; Austin et al., 1998a, 1998b; McAnaney and Arrué, 1993; Vicente-
208 Serrano and Cuadrat, 2007). In addition to winter rogations, most of the rogations were
209 held during the vegetation growth period (March-May) and harvest period (June-
210 August), since the socio-economic consequences when the harvest was poor were more
211 evident during these periods. Thus, it is reasonable to consider those rogations in an
212 index from December to August.

213 The qualitative information contained conveyed by the rogations was transformed
214 into a [semiquantitative](#) continuous monthly series following the methodology of the
215 Millennium Project (European Commission, IP 017008-Domínguez-Castro et al., 2012).
216 Only *pro pluviam* rogations were included in this study. According to the intensity of the
217 religious act, [which were homogenously performed throughout the Catholic territories](#)
218 [and triggered by droughts, we](#) categorized the events in 4 levels from low to high
219 intensity: 0, there is no evidence of any kind of ceremony; 1, a simple petition within the
220 church was held; 2, intercessors were exposed within the church; and 3, a procession or
221 pilgrimage took place in the public itineraries, the most extreme type of rogation (see
222 Tab. 2). Although rogations have appeared in historical documents since the late 15th
223 century and were reported up to the mid 20th century, we restricted the common period
224 to 1650-1899 AD, since there are a substantial number of data gaps before and after this
225 period, [although some stations do not extent the full period.](#) A continuous drought index
226 (DI) was developed for each site by grouping the rogations at various levels. A simple
227 approach, similar to that of Martín-Vide and Barriendos (1995) and Vicente-Serrano and
228 Cuadrat (2007), was performed. The annual DI values were obtained by determining the
229 weighted average of the number of level 1, 2 and 3 rogations recorded between

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234 December and August in each city. The weights of levels 1, 2 and 3 were 1, 2, and 3,
235 respectively. Accordingly, the drought index for each city is a continuous
236 semiquantitative value from 0, indicating the absence of drought, to a maximum of 3,
237 (Figure 2A).

238 **2.3. Clustering station drought to regional drought indices from 1650 to** 239 **1899 AD**

241 To develop regional drought indices, we performed a cluster analysis (CA) that
242 separates data into groups (clusters) with minimum variability within each cluster and
243 maximum variability between clusters. We selected the period of common data 1650-
244 1770 to perform the cluster analysis. The main benefit of performing a cluster analysis
245 (CA) is that it allows similar data to be grouped together, which helps in the identification
246 of common patterns between data elements. To assess the uncertainty in hierarchical
247 cluster analysis, the R package 'pvclust' (Suzuki and Shimodaira, 2006) was used. We
248 used the Ward's method in which the proximity between two clusters is the magnitude
249 by which the summed squared in their joint cluster will be greater than the combined
250 summed square in these two clusters $SS_{12} - (SS_1 + SS_2)$ (Ward, 1963; Everitt et al., 2001).
251 Then, the root of the square difference between co-ordinates of pair of objects is
252 computed with its Euclidian distance. Finally, for each cluster within the hierarchical
253 clustering, quantities called p-values are calculated via multiscale bootstrap resampling
254 (1000 times). Bootstrapping techniques does not require assumptions such as normality
255 in original data (Efron, 1979) and thus represents a suitable approach applied to the
256 semiquantitative characteristics of drought indices (DI) derived from historical
257 documents. The p-value of a cluster is a value between 0 and 1, which indicates how
258 strongly the cluster is supported by the data. The package 'pvclust' provides two types
259 of p-values: AU (approximately unbiased p-value) and BP (bootstrap probability) value.
260 AU p-value is computed by multiscale bootstrap resampling and is a better
261 approximation of an unbiased p-value than the BP value computed by normal bootstrap
262 resampling. The frequency of the sites falling into their original cluster is counted at
263 different scales, and then the p-values are obtained by analyzing the frequency trends.
264 Clusters with high AU values, such as those >0.95, are strongly supported by the data
265 (Suzuki and Shimodaira, 2006). Therefore, in this study, sites belonging to the same
266 group were merged by means of an arithmetical average (Eq.1).

267 Eq.1 *Regional Drought Index* $(\bar{x}) = (x_1 + x_2 + x_3 \dots) / n$

268 where x_n represents each individual annual drought index, and n is the number of
269 drought indices per cluster. Then, to evaluate the relationship of each site's rogations,
270 we performed a matrix correlation (Spearman) between the new groups derived from
271 the cluster and each individual drought index for the period of 1650-1899.

272 **2.4. Validation of the regional Drought indices against overlapping** 273 **instrumental series.**

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278 To better understand the relationship between the derive drought indices and the
279 instrumental series, we used the longest instrumental precipitation and temperature
280 series covering the period 1786-2017 AD (Prohom et al., 2012; Prohom et al., 2015) for
281 the city of Barcelona and thus overlap the rogation ceremony's period from 1786 to
282 1899 AD. The instrumental series was homogenized and developed including data from
283 cities nearby and along the Mediterranean coast (see Prohom et al., 2015 for details).
284 We then calculated the Standardized Precipitation Index (SPI, McKee et a., 1993) and
285 the Standardized Evapotranspiration and Precipitation Index (SPEI, Begueria et al., 2014)
286 and calculated Spearman correlation between DIMED and the SPI/SPEI at different time
287 scales including a maximum lag of 12 months covering the period 1787-1899. To further
288 explore the relationship between the drought indices inferred from historical
289 documents and the instrumental drought indices through time, we performed 30 and
290 50 years moving correlations.

291 **2.5. Detecting extreme drought years and periods in the northeast of Spain** 292 **between 1650-1899 AD and links to large-scale volcanic forcing**

293 To identify the extreme drought years, we selected those years above the 99th
294 percentile of each regional drought index and mapped them in order to find common
295 spatial patterns. In addition, the 11-year running mean performed for each drought
296 index helped highlight drought periods within and among the drought indices. Finally,
297 since rogation ceremonies are a response of the population to an extreme event, we
298 performed a superposed epoch analysis (SEA; Panofsky and Brier, 1958) of the three
299 years before and after the volcanic event, using the package 'dplr' (Bunn, 2008) to
300 identify possible effects on the hydroclimatic cycle caused by volcanic eruptions. The
301 largest (Sigl et al., 2015) volcanic eruptive episodes chosen for the analysis were 1815,
302 1783, 1809, 1695, 1836, 1832, 1884 and 1862. In addition, we performed the SEA only
303 with the largest eruption of this period, the Tambora eruption in the year 1815.

304 **3. Results**

305 **3.1. From historical documents to climate: Development of drought index for** 306 **each location in NE Spain from 1650 to 1899 AD** 307

308 Performing a weighted average of the monthly data (see methods), we
309 converted the ordinal data into continuous **semiquantitative** index data. As a result, we
310 developed an annual drought index (from the previous December to the current August)
311 for each of the 13 locations that contains continuous values from 0 to 3 collected from
312 information on the annual mean extreme droughts of each year. **The EDCF (Fig.2A)**
313 **confirmed that the new drought indices can be treated as a continue variable since the**
314 **Drought Index can take almost infinite values in the range from 0 to 3. Then, to study**
315 drought across the region, we performed a cluster analysis including the annual drought
316 indices of the 13 cities. These data were then used to study the hydrological responses
317 after strong tropical eruptions.

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336 **3.2. Clustering station drought to regional drought indices from 1650 to 1899**
337 **AD**

338 The cluster analysis (CA, see methods) using the DI of the 13 locations for the
339 period of 1650-1899 AD revealed three significantly coherent areas, hereafter known as
340 Mountain, Mediterranean and Ebro Valley (Fig. 3). The first cluster includes cities that
341 are similar in altitude (Teruel, La Seu) and similar in latitude (Barbastro, Lleida, Huesca,
342 Girona, see Fig. 1). The cities within the second and third clusters are near the Ebro River
343 (Calahorra, Zaragoza and Tortosa) or have similar climatic conditions (Cervera, Vic,
344 Barcelona, Tarragona). Clusters two and three suggest (Fig. 3) that the coherence of the
345 grouping can be explained by the influence and proximity of the Mediterranean Sea
346 (Tortosa, Cervera, Tarragona, Vic and Barcelona) and the influence of a more continental
347 climate (Zaragoza and Calahorra). Accordingly, three regional drought indices were
348 developed by combining the individual DIs of each group; DI Mountain (DIMOU),
349 composed of Barbastro, Teruel, Lleida, La Seu, and Girona; DI Mediterranean (DIMED),
350 composed of Tortosa, Cervera, Tarragona, Vic and Barcelona, and DI Ebro Valley (DIEV),
351 composed of Zaragoza and Calahorra. Resulting drought indices in regional DI series can
352 also varies from 0 to 3 but showing a quite continuous distribution range (Figure 2B).

353 The Spearman correlation matrix for the period of 1650-1899 AD confirms the
354 high and significant ($p < 0.05$) correlations between each individual DI and its
355 corresponding group, asserting the validity of the new DI groups (Fig. 4). The correlations
356 among the cluster drought indices range from 0.76 (between DIEV and DIMED) to $r = 0.38$
357 (between DIEV and DIMOU) and $r = 0.42$ (between DIMED and DIMOU). In DIEV, both of
358 the local DIs show similar correlations (Zaragoza, $r = 0.73$; Calahorra, $r = 0.75$). In the
359 DIMED cluster, the high correlations among the members show a strong coherency.
360 DIMOU is the most heterogeneous cluster, with correlations of $r = 0.57$ for Barbastro and
361 $r = 0.33$ for La Seu. Although each individual DI within this group and within the DIMOU
362 shows significant correlation, when individual DIs are compared between each other,
363 some correlation values are not significant ($p < 0.05$).

364 **3.3. Validation of the regional Drought indices against overlapping instrumental**
365 **series.**

366 The maximum correlation ($r = -0.53$; $p < 0.001$) between the Mediterranean Drought
367 Index and the instrumental SPI over the full 113-year period (1787-1899 AD; Fig. 5C) is
368 found for the SPI of May with a lag of 4 months ($SPI_{MAY, 4}$ hereafter). Slightly lower,
369 though still significant correlation, is obtained when using the SPEI of May with a lag of
370 4 months ($SPEI_{MAY, 4}$) ($r = -0.50$; $p < 0.001$, Fig. 5D). The moving correlations between
371 $SPI_{MAY, 4}$ and DIMED for 30 and 50 years (Fig. 5A; Fig. 5B) show high and stable correlation
372 throughout the full period. The relationship with the $SPEI_{MAY, 4}$ is also high and stable
373 throughout the overlapping period, although lower than with $SPI_{MAY, 4}$. The next step
374 (iv) will address the selection of extreme drought years and periods within the 250 years
375 from 1650-1899 AD using information from the cluster analysis.

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3.4. Detecting extreme drought years and periods in the northeast of Spain between 1650-1899 AD and links to large-scale volcanic forcing

According to the cluster grouping, the three new spatially averaged drought indices (DIEV, DIMED and DIMOU) are presented in Fig. 6. Mountain DI (DIMOU) had the least number of drought events and a maximum DI of 1.6 in 1650 AD. The Ebro Valley DI (DIEV) had the highest number of droughts (inferred by the highest number of positive index values) followed by the third region (Mediterranean, DI DIMED). The 17th and 18th centuries exhibited a relatively high number of strong droughts (Fig. 6). A drought period, as indicated by the high positive index values over the duration of the DIs in all three series, occurred from 1740 to 1755 AD. The lowest DIs were found at the end of the 19th century; thus, this period experienced a reduced drought frequency. The 11-year running mean shows common periods with low DI values, such as 1706-1717, 1800-1811, 1835-1846 and 1881-1892, which we infer to be 'normal' or without droughts. On the other hand, 1678-1689, 1745-1756, 1770-1781, and 1814-1825 are periods with continuously high DIs, indicating that significant droughts affected the crops during these periods and intense rogation performances were needed.

In the Ebro Valley, the most extreme years (Fig. 6) (according to the 99% percentile of the years 1650-1899) were 1775 (drought index value of 2.8), 1798 (2.7), 1691 (2.6), 1753 (2.5) and 1817 (2.5). Most of these extreme drought years can also be found in the Mediterranean DI 1753 (2.6), 1775 (2.5), 1737 (2.3), 1798 (2.2) and 1817 (2.2). For the DI Mountain, the extreme drought years occurred in the 17th century: 1650 (1.6), 1680 (1.5), 1701 (1.5) and 1685 (1.4). These extreme drought years are spatially displayed in Fig. 7. In the years 1775 and 1798, the Ebro Valley, Mediterranean and some mountain cities suffered from severe droughts. It is notable that the year 1650 in the Mountain area presented high values of DI, while the other locations had very low DI values (DIEV=0.4; DIMED=0.8).

We performed a superposed epoch analysis (SEA, see methods) to study the drought response over NE Iberia to major volcanic eruptions (Fig. 8a). The figure shows significant decreases ($p < 0.05$) in the Ebro Valley and Mediterranean DI values during the year of and one year after volcanic events. We did not find a post-volcanic drought response in the Mountain area. No significant response was found for any of the DIs two or three years after the volcanic eruptions, including the major volcanic eruptions. However, two years after the Tambora eruption in April 1815, there was a significant ($p < 0.05$) increase in the three drought indices (DIEV, DIMED and DIMOU) (Fig. 8b), in agreement with findings of Trigo et al. (2009).

4. Discussion

The exploration of historical documents from the main Cathedrals or the municipal city archives, the so called 'Actas Capitulares', yielded the different types and payments of the rogation ceremonies that were performed in drought stress situations. In fact, it is challenging to determine whether the decrease in the number of rogations

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431 at the beginning and at the end of the 19th century is due to the lack of droughts, the
432 loss of documents, or a loss of religiosity within these periods. For instance, after the
433 Napoleonic invasion (1808-1814) and the arrival of new liberal ideologies (Liberal
434 Triennial 1820-1823), there was a change in the mentality of people in the big cities.
435 These new liberal ideas were concentrated in the places where commerce and industry
436 began to replace agriculturally based economies, leading to strikes and social
437 demonstrations demanding better labor rights. New societies were less dependent on
438 agriculture; hence, in dry spells, the fear of losing crops was less evident and fewer
439 rogations were performed. In summary, the apparent low frequency of rogations in the
440 19th century could be explained by a combination of political instability and the loss of
441 religiosity and historical documents. Further limitations of converting qualitative
442 information into quantitative data refer to the fact that, for instance, a drought index of
443 level 2 does not necessarily imply a drought twice as intense as a drought index of level
444 1. This is an inherent limitation when dealing with historical documents as a climate
445 proxy, and different approaches have been applied in the scientific literature (e.g.
446 Vicente-Serrano and Cuadrat, 2007; Dominguez-Castro et al., 2008). In our paper, we
447 follow the methodology proposed in the Millennium Project (European Commission, IP
448 017008) and demonstrated in Dominguez-Castro et al., (2012). To that extent, the ECDF
449 helped understanding the nature of the historical documents when transformed into
450 semiquantitative data, which confirm that they can be treated as a continuous variable.

451 Besides, the drought indices of different cities had similar characteristics, which
452 allowed the grouping. Clustering is a descriptive technique (Soni, 2012), the solution is
453 not unique, and the results strongly rely upon the analyst's choice of parameters and
454 yet, we found three significant ($p < 0.05$) and consistent structures across the drought
455 stations. The fact that the main cities were located along the Ebro River, which is
456 surrounded by vast areas of river orchards and watered crops, could have delayed the
457 occurrence of rogation ceremonies, since the food supply of the region enables better
458 adaptation to droughts. This might also explain the similarities between DIEV and
459 DIMED. In addition, the clusters might not only be collecting climatic information but
460 also diverse agricultural practices or even species. For instance, Cervera and Lleida,
461 sharing similar annual precipitation totals, belong to the Mediterranean and the
462 Mountain Drought Indices respectively. Lleida is located in a valley with an artificial
463 irrigation system since the Muslim period, which is fed by the river Segre (one of the
464 largest tributaries to the Ebro river). The drought in the Pyrenees is connected with a
465 shortage of water for the production of energy in the mills as well as to satisfy irrigated
466 agriculture. However, the irrigation system itself allowed them to manage the resource
467 and resist much longer. Therefore, only the most severe droughts, and even so in an
468 attenuated form, are perceived in the city. Cervera, located in the mountains, in the so-
469 called pre-littoral system and its foothills, has a different precipitation dynamic more
470 sensitive to the arrival of humid air from the Mediterranean. Besides, Lleida had a robust
471 irrigation system that Cervera did not have. The droughts in Cervera are therefore more
472 "Mediterranean" like and thus it seems consistent its presence in the Mediterranean
473 Drought Index.

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475 The Mediterranean Drought Index is then compared with the longest existing
476 instrumental series for the city of Barcelona for the 1787-1899 AD period. To the best of
477 our knowledge this is the first time that rogation ceremonies in the Iberian Peninsula
478 are calibrated with such a long instrumental period. The correlation is maximized in May,
479 the key month for the development of the harvest. In addition, the accumulated of 4
480 months is confirming the importance of the end of winter and spring precipitation for
481 the appropriate development of the crops. The high DIMED correlation ($r=-0.53$;
482 $p<0.001$) indicates not only that this cluster is indeed capturing the Mediterranean
483 drought signal, but also that it can indeed be used as a semiquantitative proxy.

484 In fact, it opens a new line of research that the authors will continue exploring in
485 future studies. We believe that these results highlight the validity of the Drought Indices
486 to be consider as continuous variables. In addition, by performing this analysis we also
487 confirm that the grouping made by the cluster analysis demonstrates spatial coherency
488 among the historical documents.

489 Compared to other drought studies based on documentary sources, the
490 persistent drought phase affecting the Mediterranean and the Ebro Valley areas in the
491 second half of the 18th century is similar to that found in Vicente-Serrano and Cuadrat,
492 (2007) for Zaragoza. The results for the second half of the 18th century also agree with
493 the drought patterns previously described for Catalonia (Barriendos, 1997, 1998;
494 Martín-Vide and Barriendos, 1995). Common drought periods were also found in 1650-
495 1775 for Andalusia (Rodrigo et al., 1999, 2000) and in 1725-1800 for Zamora
496 (Domínguez-Castro et al., 2008). In general, based on documentary sources from
497 Mediterranean countries, the second half of the 18th century has the highest drought
498 persistence and intensity, which may be because there were more blocking situations in
499 this period (Luterbacher et al. 2002, Vicente-Serrano and Cuadrat, 2007). The period of
500 1740-1800 AD coincides with the so-called 'Maldá anomaly period'; a phase
501 characterized by strong climatic variability, including extreme drought and wet years
502 (Barriendos and Llasat, 2003). The 18th century is the most coherent period, including a
503 succession of dry periods (1740-1755), extreme years (1753, 1775 and 1798) and years
504 with very low DIs, which we interpret as normal years. Next, the period from 1814-1825
505 is noteworthy due to its prolonged drought. The causes of this extreme phase are still
506 unknown. However, Prohom et al. (2016) suggested these years experienced a
507 persistent situation of atmospheric blocking and high-pressure conditions.

508 In the Ebro Valley and the Mediterranean area, rogation ceremonies were
509 significantly less frequent in the year of and one year after volcanic eruptions. Such
510 patterns may be explained by the volcanic winter conditions, which are associated with
511 reductions in temperature over the Iberian Peninsula 1-3 years after the eruption
512 (Fischer et al., 2007; Raible et al., 2016). The lower temperature is experienced in spring
513 and summer after volcanic eruptions compared to spring and summer conditions of
514 nonvolcanic years. This might be related to a reduction in evapotranspiration, which
515 reduces the risk of droughts. This reinforces the significance of volcanic events in large-

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518 scale climate changes. In addition, the lower temperatures may benefit the soil moisture
519 of croplands.

520 Furthermore, a significant increase in the intensity of the droughts was observed
521 two years after the eruptive Tambora event in the third cluster (Mountain, Fig. 3). The
522 normal conditions in the year of and the year after the Tambora eruption and the
523 increased drought intensity two years after the event are in agreement with recent
524 findings about hydroclimatic responses after volcanic eruptions (Fischer et al., 2007;
525 Wegmann et al., 2014; Rao et al., 2017; Gao and Gao 2017) though based on tree ring
526 data only. In addition, Gao and Gao, (2017) highlight the fact that high latitude eruptions
527 tend to cause drier conditions in western-central Europe two years after the eruptions.
528 Rao et al., (2017) suggested that the forced hydroclimatic response was linked to a
529 negative phase of the East Atlantic Pattern (EAP), which causes anomalous spring uplift
530 over the western Mediterranean. This pattern was also found in our drought index for
531 the Tambora eruption (1815 AD), but no significant pattern was found in the NE of Spain
532 for the other major (according to Sigl et al., 2015) volcanic eruptions. In particular, the
533 mountain areas show less vulnerability to drought compared to the other regions. This
534 is mainly due to the fact, that mountainous regions experience less evapotranspiration,
535 more snow accumulation and convective conditions that lead to a higher frequency of
536 thunderstorms during the summertime. In addition, the productive system of the
537 mountain areas is not only based on agriculture but also on animal husbandry, giving
538 them an additional source for living in case of extreme drought. This might explain the
539 lower coherence among stations within the DIMOU.

540 5. Conclusions

541 We developed a new dataset of historical documents by compiling historical
542 records (rogation ceremonies) from 13 cities in the northeast of the Iberian Peninsula.
543 These records were transformed into semiquantitative continuous data to develop
544 drought indices (DIs). We regionalized them by creating three DIs (Ebro Valle,
545 Mediterranean and Mountain), which cover the period from 1650 to 1899 AD. The
546 intensity of the DI is given by the strength and magnitude of the rogation ceremony, and
547 the spatial extent of the DI is given by the cities where the rogations were held.

548 Our study highlights three considerations: i) the spatial and temporal resolution
549 of rogations should be taken into account, particularly when studying specific years,
550 since the use of *pro-pluviam* rogations gives information about drought periods and not
551 about rainfall in general. Accordingly, it must be stressed that the drought indices
552 developed here are not precipitation reconstructions; rather, they are high-resolution
553 extreme event reconstructions of droughts spells. The comparison of these results with
554 other continuous proxy records must be carried out with caution (Dominguez-Castro et
555 al., 2008), although here we found a very high and stable correlation with the
556 instrumental series for the overlapping period, which opens new lines of research. ii)
557 The validity of rogation ceremonies as a high-resolution climatic proxy to understand
558 past drought variability in the coastal and lowland regions of the northeastern
559 Mediterranean Iberian Peninsula is clearly supported by our study. This is crucial,

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569 considering that most of the high-resolution climatic reconstruction for the northern
570 Iberian Peninsula have been developed using tree-ring records collected from high-
571 elevation sites (>1,600 m a.s.l.) in the Pyrenees (Büntgen et al., 2008, 2017; Dorado-
572 Liñán et al., 2012) and the Iberian Range (Esper et al., 2015, Tejedor et al., 2016, 2017a,
573 2017b, 2017c), thus inferring the climate of mountainous areas. ~~iii~~ Particularly in the
574 Mediterranean and in the Ebro Valley areas, imprints of volcanic eruptions are
575 significantly detected in the drought indices derived from the rogation ceremonies.
576 These results suggest that DI is a good proxy to identify years with extreme climate
577 conditions in the past at low elevation sites.

578 In addition, recent studies have emphasized the great precipitation (González-
579 Hidalgo, et al., 2011; Serrano-Notivoli et al., 2017) and temperature variabilities
580 (González-Hidalgo, et al., 2015) within reduced spaces, including those with a large
581 altitudinal gradient, such as our study area. In addition, the rogations' historical data
582 covers a gap within the instrumental measurement record of Spain (i.e., which starts in
583 the 20th century). Hence, rogation data are key to understanding the full range of past
584 climate characteristics (in lowlands and coastal areas) to accurately contextualize the
585 current climate change. We encourage the use of further studies to better understand
586 past droughts and their influence on societies and ecosystems; learning from the past
587 can help adaptation in the future, especially because climate variability is predicted to
588 increase in the same regions where climate variability historically explained most of the
589 variability in crop yield.

590

591 Acknowledgments

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593 Clima, Cambio Global y Sistemas Naturales, BOA 147 of 18-12-2002) and FEDER funds.
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595

596 Author Contributions statement

597 E.T., and J.M.C. conceived the study. J.M.C. and M.B. provided the data. E.T. and M.d.L.
598 conducted the data analysis, and E.T. wrote the paper with suggestions of all the authors. All
599 authors discussed the results and implications and commented on the manuscript at all stages.

600 Competing interests statement

601 The authors declare no competing interests.

602

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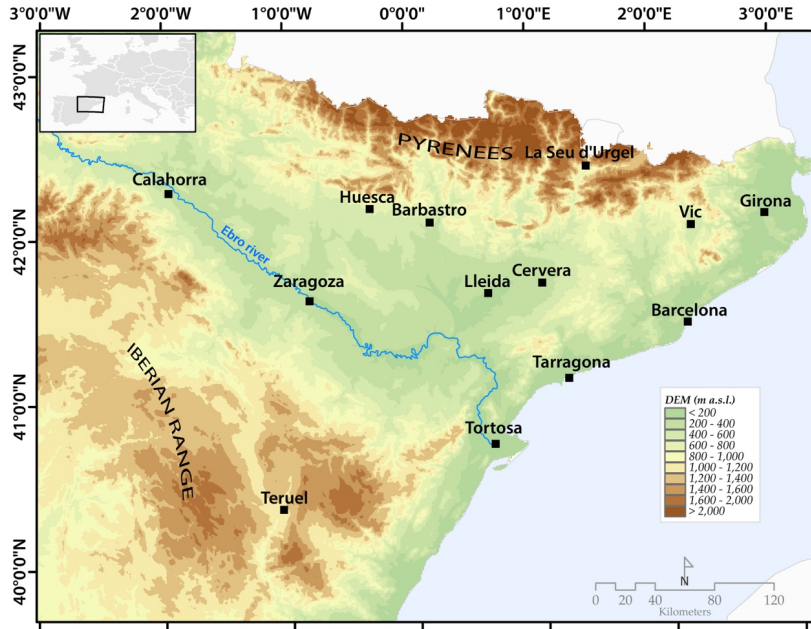
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851

Figures and tables



852

853 **Figure 1. Location of the historical documents in the northeast of Spain.**

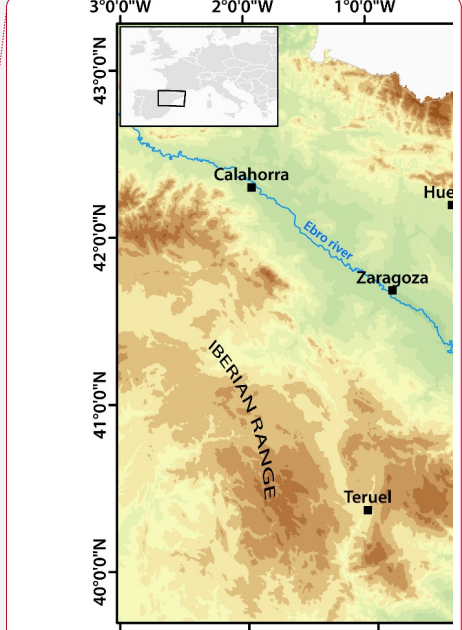
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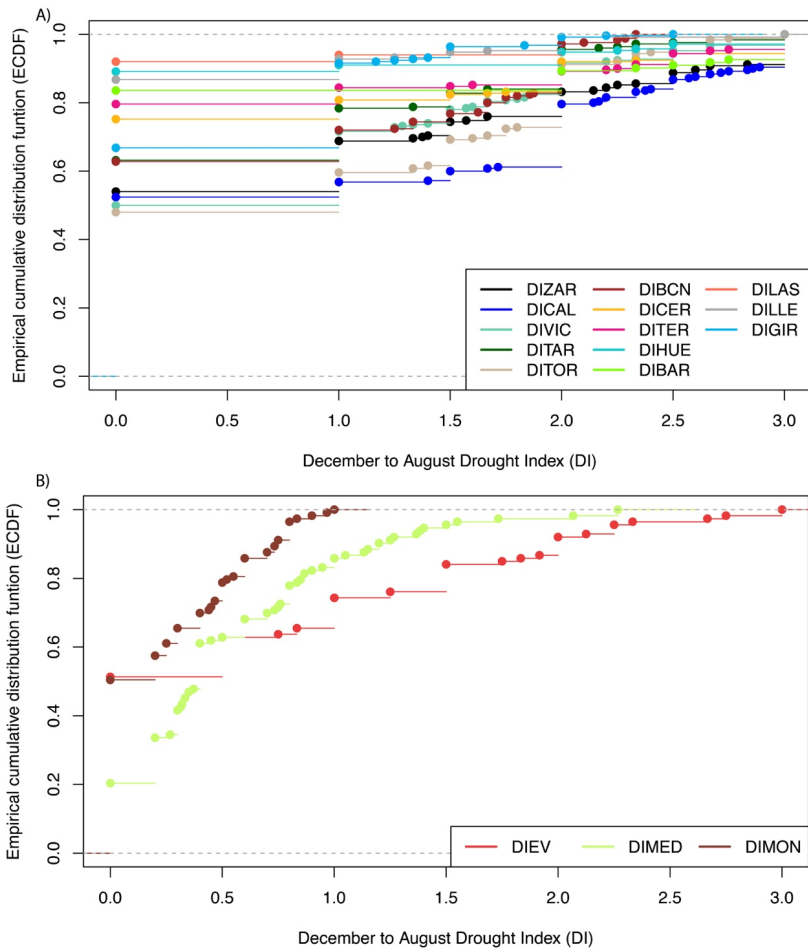
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Site	Latitude (degrees)	Longitude (degrees)	Altitude (m.a.s.l.)	Start (Years AD)	End	Extension (years)
Zaragoza	41.64	-0.89	220	1589	1945	356
Teruel	40.34	-1.1	915	1609	1925	316
Barbastro	42.03	0.12	328	1646	1925	279
Calahorra	42.3	-1.96	350	1624	1900	276
Huesca	42.13	-0.4	457	1557	1860	303
Girona	42.04	2.93	76	1438	1899	461
Barcelona	41.38	2.17	9	1521	1899	378
Tarragona	41.11	1.24	31	1650	1874	224
Tortosa	40.81	0.52	14	1565	1899	334
La Seu	42.35	1.45	695	1539	1850	311
Vic	41.92	2.25	487	1570	1899	329
Cervera	41.67	1.27	548	1484	1850	366
Leida	41.61	0.62	178	1650	1770	120

Table 1. Historical document characteristics in the northeast of Spain.

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Figure 2.

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889 Figure 2. The empirical cumulative distribution function (ECDF), used to describe a
 890 sample of observations of a given variable. Its value at a given point is equal to the
 891 proportion of observations from the sample that are less than or equal to that point.
 892 ECDF performed for the local drought indices (A) and the regional drought indices (B).

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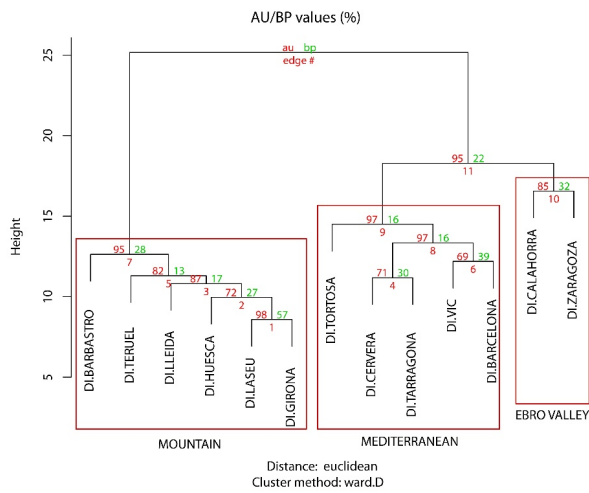
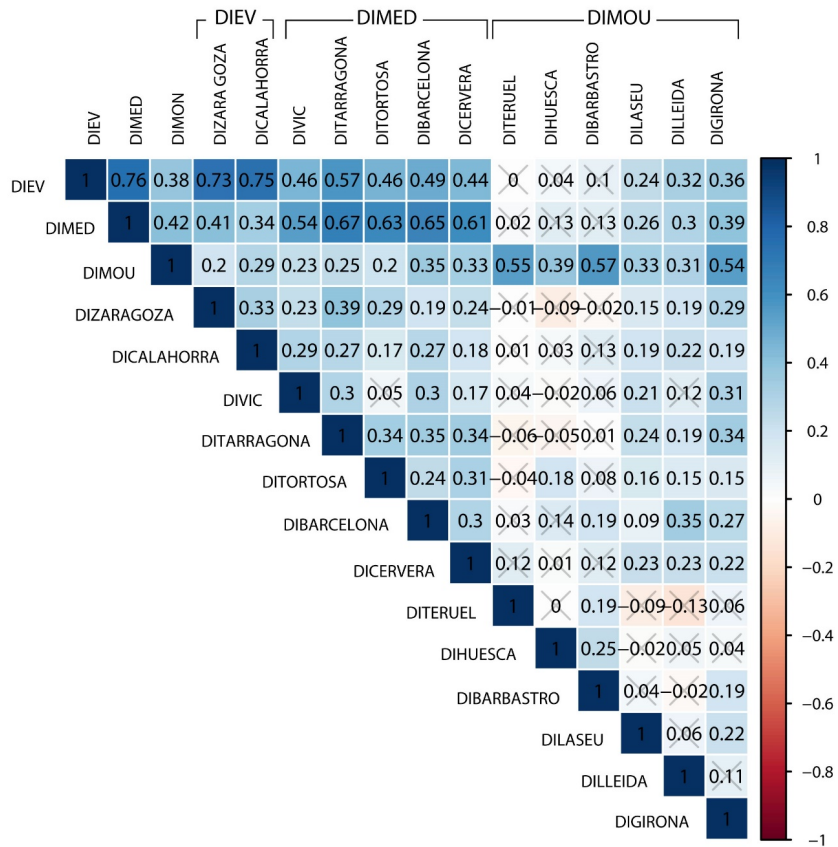


Figure 3. Dendrogram showing the hierarchical cluster analysis of the drought indices developed from the historical documents for each location. The AU (approximately unbiased *p-value*) is indicated in red and the BP (bootstrap probability) is presented in green.

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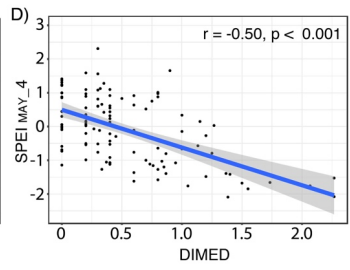
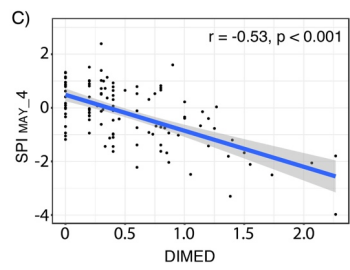
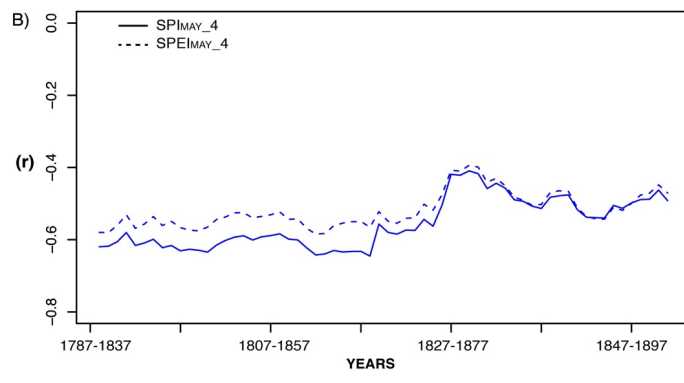
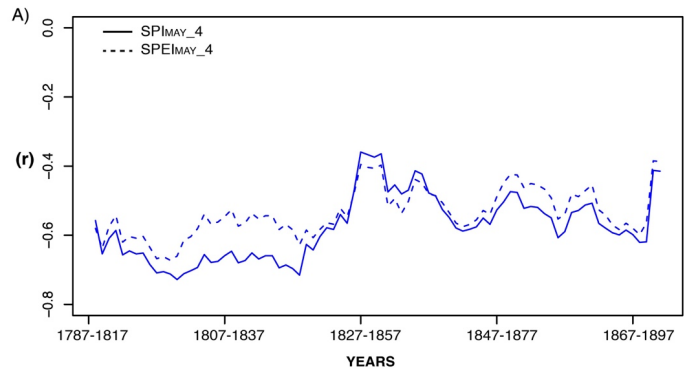
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 929 Figure 4. Correlation matrix (Spearman) between the individual drought indices and the
 930 cluster drought indices for the period of 1650-1899. Values are significant at $p < 0.05$,
 931 except those marked with a gray cross, which are not significant.

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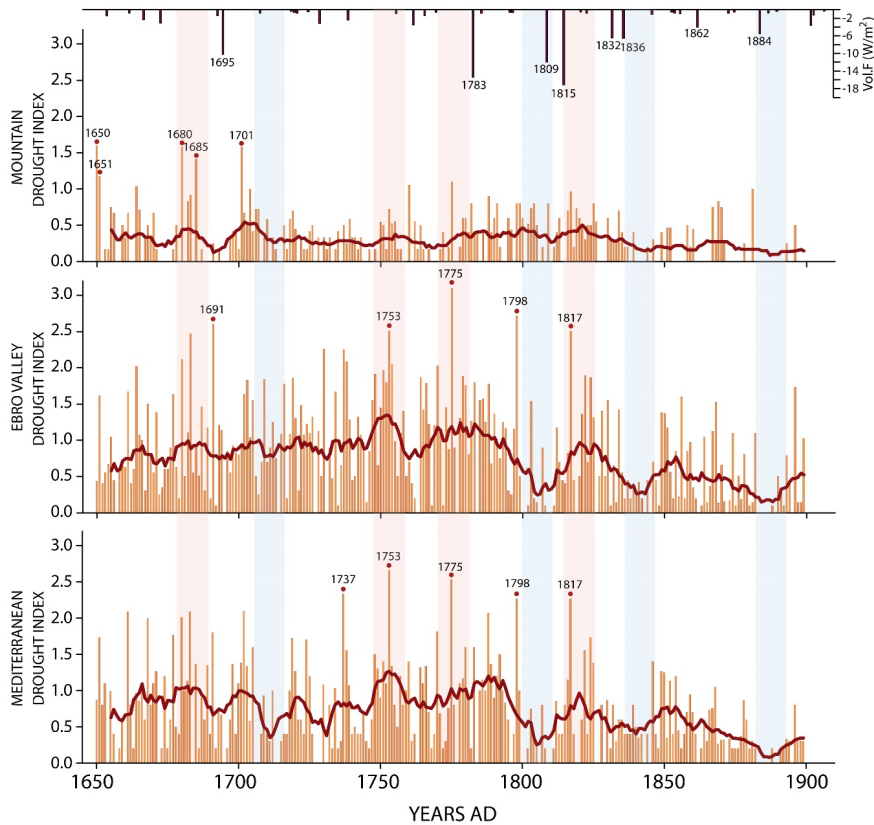
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942 Figure 5. A) 30y moving correlation between DIMED and the instrumental computed SPI
 943 and SPEI. B) Same but 50y moving correlations. C) Correlation between DIMED and
 944 SPI_{MAY_4} for the full period (1787-1899). D) Correlation between DIMED and SPEI_{MAY_4}
 945 for the full period (1787-1899).

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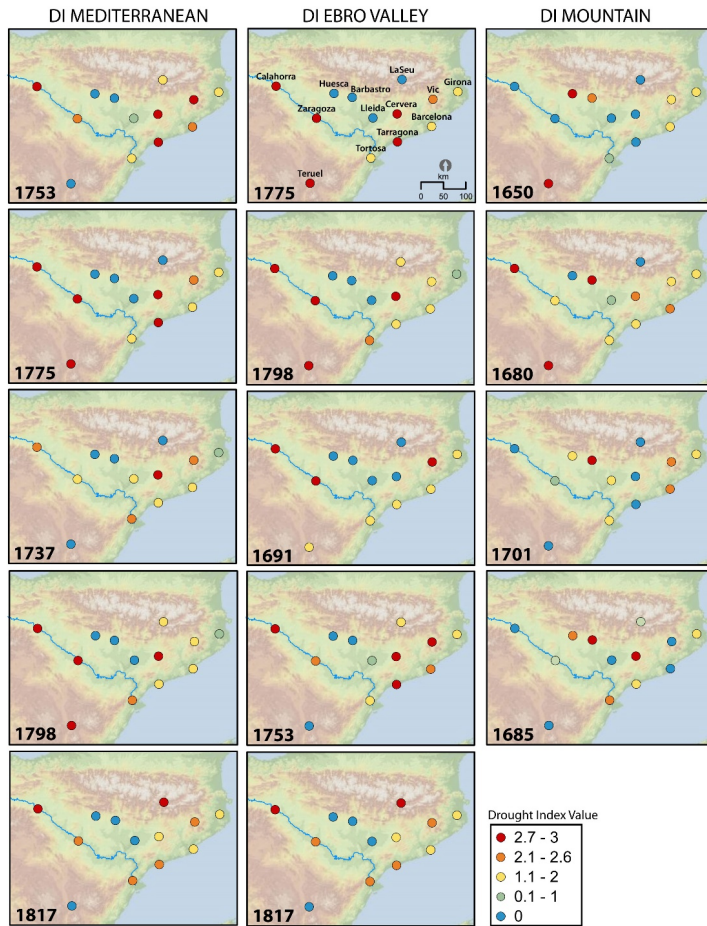
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Figure 6. Drought indices of the three clusters, DIMOU (Mountain), DIEV (Ebro Valley) and DIMED (Mediterranean). Vertical orange bars represent the drought index magnitude, 0 denotes normal conditions, and 3 denotes an extreme drought year. The extreme drought index years are also highlighted with a red circle. Extreme volcanic events from Sigl et al., 2015, are shown in the top panel. Vertical pink shadows indicate extreme common (for all three clusters) drought periods, while blue shadows indicate common periods with fewer droughts.

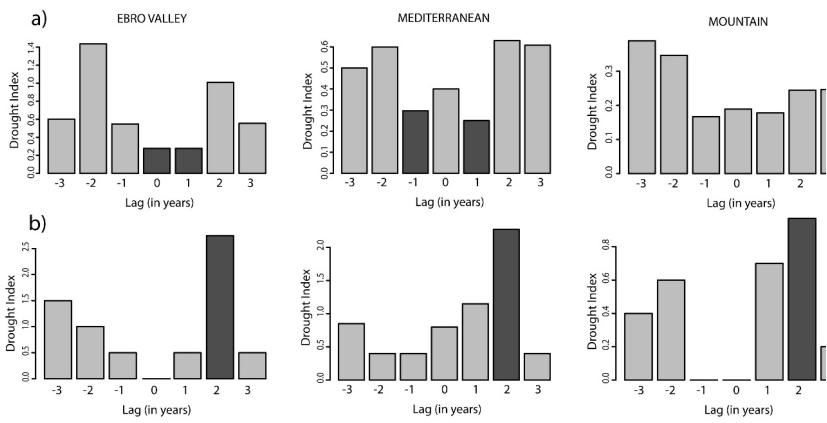
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Figure 7. Spatial distribution of the most extreme drought years (based on the 99th percentile of the cluster drought indices). The distribution is ordered top-down. The drought index value (magnitude) for each site within the cluster is also represented.

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 971 Figure 8. a) Superposed epoch analysis (SEA) of the three regional drought indices,
 972 DIMOU (Mountain), DIEV (Ebro Valley) and DIMED (Mediterranean), with major volcanic
 973 events from Sigl et al., 2015. Black shadows show significance at $p < 0.05$, i.e., significantly
 974 lower or higher drought index values after the volcanic event. b) SEA of only the
 975 Tambora (1815) event showing a significant ($p < 0.05$) increase in the drought index.

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Level	Type of ceremony
0	No ceremonies
1	Petition within the church
2	Masses and processions with the intercessor within the church
3	Pilgrimage to the intercessor of other sanctuary or church

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Table 2. Rogation levels according to the type of ceremony celebrated.