



Response of *Pinus sylvestris* var. *mongolica* to water change and the reconstruction of drought history for the past 260 years in northeast China

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Abstract. We present a 260-year annual PDSI reconstruction based on a regional tree-ring width chronology of Scots 6 7 pine (Pinus sylvestris var. mongolica) from four sample sites in the Daxing'an Mountains, northeast China. The model explained 38.2 % of the variance of annual PDSI during the calibration period from 1911 to 2010. Compared with local 8 9 historical documents, nearby forest fire history data and hydroclimate reconstructions, our reconstruction is accurate and representative, and recorded the same dry years/periods. The drought of 1920s-1930s was more severe in the 10 11 Daxing'an Mountains than in surrounding areas. A moisture increase caused by a recent rapid warming (warm-wet pattern) was identified for the Daxing'an Mountains, while a warm-dry pattern was found for the West-Central 12 Mongolian Plateaus (mild drier) and their transition zones: the East Mongolian Plateaus (severe drier). Overall, the 13 14 dry/wet variability of the Daxing'an Mountains and its relationship with the surrounding areas might be driven by Pacific and Atlantic Ocean oscillations (e.g., ENSO, PDO, AMO, NAO and SNAO) that influence the Asian monsoon, 15 16 and in turn the local temperature and precipitation that influences regional drought. However, the Monsoon Asia 17 Drought Atlas of "Cook" might inaccurately portray dry/wet variations in the Daxing'an Mountains.

18 Keywords: Drought reconstruction, Daxing'an Mountain, Tree rings, Pinus sylvestris, PDO and AMO, PDSI

19 1 Introduction

- 20 Drought as an important climate driver that is occurring more frequently with climate change and is a focus of scientific
- 21 efforts around the world (Bao et al. 2015; Cook et al. 2010; Dai 2011, 2013; Davi et al. 2006; Li et al. 2016). Severe
- 22 droughts can threaten agriculture and social activities, and also has a devastating impact on human lives and the survival
- 23 of native and domestic plants and animals (Bao et al. 2015; Cook et al. 2010; Dong et al. 2013; Shen 2008; Sun 2007).
- 24 Drought is one of the most severe and frequent natural disasters in China, especially in semi-arid and arid regions (Bao
- 25 et al. 2015; Chen et al. 2015; Cook et al. 2010; Dong et al. 2013; Liang et al. 2006; Shen 2008; Sun and Liu 2013; Xu





26 1998). For instance, the 1920s severe drought affected all of northern China with significant economic losses to society 27 and the economy (Dong et al. 2013; Liang et al. 2006; Shen 2008; Sun 2007). Natural droughts are preserved in the 28 rings of trees in arid or semi-arid regions (Bao et al. 2015; Chen et al. 2015; Liang et al. 2006; Sun and Liu 2013; Wang 29 and Song 2011). Recent studies indicate a trend of increasing drought frequency, persistence and severity due to global 30 warming in many regions of the world (Bao et al. 2015; Cook et al. 2010; Dai 2011, 2013; Schrier et al. 2013). A rapid 31 and pronounced warming accompanied by a decrease in precipitation has occurred in China, especially in high latitude 32 and high altitude regions (Bao et al. 2015; Chen et al. 2015; Cook et al. 2010; Dai 2013; Sun and Liu 2013; Zhu et al. 33 2017), producing severe and prolonged drought in recent decades, for example from 1999 to 2002 (Bao et al. 2015; Liu 34 et al. 2009; Shen 2008).

The Daxing'an Mountains in northeast China is the transition zone from semiarid climate in the east to more arid 35 36 conditions in the west, and monsoon driven precipitation in the south to a non-monsoon climate in the north (Bao et al. 37 2015; Zhao et al. 2002). The Asian monsoon system has a direct impact on the occurrence, intensity and severity of droughts and floods (Bao et al. 2015; Cook et al. 2010; Liang et al. 2006; Wang et al. 2013; Wang et al. 2005; Zhao et 38 al. 2002) that can have devastating effects on human society and economy as well as natural ecosystems (Sun 2007; 39 40 Xu 1998). For instance, the drought of 2009 affected all of northeast China, with limited irrigation water available to 720,000 81 people 41 than hectares of farmland, or drinking water for million more 42 (http://www.chinadaily.com.cn/cndy/2009-08/13/content_8562996.htm). In addition, drought affected regions had a higher risk of forest fires in spring and summer (Sun 2007). Drought can facilitate the occurrence of large wildfires. 43 For example, the Heilongjiang River fire in May 1987 killed over 200 people and burned ~73,000 km² (Sun 2007; Yao 44 45 et al. 2017).

To better characterize current and future drought it is important to understand past drought patterns and their potential 46 47 forcing mechanisms. However, the required meteorological records for the Daxing'an Mountains in northeast China 48 only began in the 1950s. Therefore, tree rings can provide an important high resolution proxy for long-term drought reconstructions (Cook et al. 2010; Dai 2011; Pederson et al. 2013), although few studies have been conducted in north 49 50 China, especially for hydroclimate reconstructions (Bao et al. 2015; Lv and Wang 2014; Wang and Lv 2012). Cook et al. (2010) reconstructed June–July–August Dai-PDSI for 534 grid points (Monsoon Asia Drought Atlas, MADA) in 51 52 monsoon influenced Asia using a chronology developed from 327 tree-ring series. However, recent studies show that 53 there has been some divergence of tree-ring-based drought reconstruction between the MADA and the individual sampling site or instrumental drought data, which might be caused by an insufficient spatiotemporal distribution of the 54 tree-ring network used by MADA, especially in eastern Asia (Li et al. 2015; Liu et al. 2016). Additional reconstructions 55 for eastern Asia are needed to gain a more thorough understanding of the Asian Monsoon climate variability. Many 56





57 researchers have used the Palmer drought severity index (PDSI), calculated from a water balance equation, 58 incorporating air temperature and precipitation, to estimate drought periodicity and intensity (Bao et al. 2015; Cook et 59 al. 2010; Dai 2011; Sun and Liu 2013). Here, we present a 260-year reconstruction of annual PDSI using tree ring 60 chronologies from the Daxing'an Mountains to identify the timing of droughts and their correlation with eastern 61 Mongolian Plateaus climate as well as their potential forcing mechanisms.

62 2 Study area and climate

The Daxing'an Mountains, in northeast Inner Mongolia and north-western Heilongjiang Province, form an important natural geographic divide between the Pacific Ocean and the north-western arid inland (Fig. 1). It is known to be a transition zone between regions with semiarid and arid, and monsoon and non-monsoon driven climates (Zhao et al. 2002). The summer monsoons from the south-east are blocked by these mountains and cannot penetrating farther to the northwest. The western region is most arid, while farther east the climate is more humid and the slopes are forested. Summer weather is characterized by periodic incursions of warm, humid air masses from low-latitude oceans. During the winter, dry and cold air persists air masses invade from high latitudes.

70 This study was conducted in the high-latitude forested portion of the Daxing'an Mountains. The forests are dominated 71 by Dahurian larch (Larix gmelinii Rupr.) and Scots pine (Pinus sylvestris L. var. mongolica Litv.). Soils are 72 predominantly brown coniferous and dark-brown forest peat (Xu 1998). Meteorological data from stations nearest to 73 our sample sites (Xiaoergou station; Table 1) have an annual mean temperature range from -2.6 to 2.0 °C. The extreme coldest and hottest months are January (-39.5 °C) and June (32.8 °C). Annual precipitation ranges from 289 to 1000 74 75 mm (averaging 500 mm) with high interannual variations. Rain during June to August accounts for 68% of total annual 76 precipitation (Fig. 2). Low relative humidity occurs in all months outside of the growing season. Severe drought occurs 77 frequently, especially in spring and summer (Sun 2007), and produces high fire risk. This region has the highest average 78 annual burned area in China (Sun 2007).

79 2.1 Tree-ring data

Trees were sampled from four little-disturbed Mongolian pine-dominated sites in centre Daxing'an Mountains in May 2011 and 2012 (Table 1; Fig. 1). The sites are separated by more than 100 km (Figure 1). One core was obtained at breast height from 120 living old trees using a 5.15-mm-diameter increment borer (500 mm length, two screws, Haglöf Sweden, Längsele, Sweden) (see Table 2 for detailed information). Each sample tree was selected to avoid the influence of identifiable stand disturbances (including animal and human disturbance, windstorm, snow and fire damage) and

85 any obvious abnormal growth. All cores were dried, mounted, surfaced, and cross-dated following standard techniques





of dendrochronology (Cook and Kairiukstis 1990; Fritts 1976). Ring widths were measured with a precision of 0.001
mm using a Velmex measuring system (Velmex, Inc., Bloomfield, NY, USA).

88 The quality of cross-dating and measurement was evaluated using the COFECHA program (Holmes 1983). Two cores, 89 that were weakly correlated with the master chronology were excluded from further analysis. Successively, the age-90 related trends were removed by fitting a cubic smoothing spline with a 50% frequency response cut-off at 2/3 of the 91 series length using the ARSTAN program (Cook and Kairiukstis 1990). Tree-ring indices were calculated as ratios 92 from the estimated growth curves. Autocorrelation was removed by autoregressive modelling, and site chronologies 93 were calculated using a bi-weighted robust mean (Cook and Kairiukstis 1990). Standard dendrochronological statistics were computed to evaluate the quality of chronologies between tree mean correlation (Rbt) (Cook and Kairiukstis 1990) 94 95 and ring mean sensitivity (Fritts 1976) (Table 3). The four chronologies have high values in standard deviation, mean 96 sensitivity, mean series correlation and agreement within population. The chronologies reflect high inter-annual 97 variation and a strong common signal and are excellent proxies for regional climate. Since all four chronologies agree well (Table 3), we merged all samples to develop a single robust regional chronology. Running RBAR (mean 98 99 correlation between series) and EPS (expressed population signal) statistics were calculated for 51-year intervals of the 100 chronology with 25-year overlaps to assess confidence in the chronology. RBAR averages variance among ring width 101 series in a chronology, which estimates chronology signal strength (Cook and Kairiukstis 1990). EPS estimates the 102 degree to which the chronology represents a hypothetical chronology based on a finite number of trees that match a hypothetically perfect chronology; EPS values greater than 0.85 are generally considered to be an acceptable threshold 103 104 for a reliable chronology (Wigley et al. 1984). The regional chronology spanned the period from 1725 to 2010, and the 105 reliable interval (EPS > 0.85) was 1751-2010 corresponding to eight cores/trees.

106 2.2 Climate and statistical analyses

107 Climate data were obtained from the China Meteorological Data Sharing Servicing System. The closest weather station to the sample sites is Xiaoergou (Table 1), about 70-91 km away. Large-scale climate data (e.g. Atlantic Multidecadal 108 109 Oscillation, AMO; Pacific Decadal Oscillation, PDO; North Atlantic Oscillation, NAO) and high-resolution gridded 110 climate data (Table 1; e.g. gridded temperature, precipitation and drought indices) were downloaded from the website: 111 http://climexp.knmi.nl/. Pearson correlation analysis was employed to determine climate-tree growth relationships. 112 The gridded climate dataset is much longer and has high homogeneity and coherency with instrumental records (Fig. 2), the gridded monthly total precipitation (CRU GPCC; Schneider et al. (2015)) and mean temperature (CRU TS3.23; 113 Jones and Harris (2013)) nearest to our sites were used for climate response analyses. Besides, the nearby gridded 114 115 monthly Palmer Drought Severity Index (PDSI) data from Dai (2011) (Dai-PDSI, hereafter), a most commonly used





116 drought index, was used to assess the effects of drought. Correlation analyses between the regional chronology and 117 monthly climatic records were calculated from the previous July to the current July.

118 A linear regression model was used to develop the drought reconstruction, and a traditional split-period calibration 119 verification method was applied to examine model fit (Fritts 1976). Statistical parameters included the R^2 , Sign test (ST), reduction of error (RE), coefficient of efficiency (CE), product means test (PMT) and root mean square error 120 121 (RMSE) (Cook and Kairiukstis 1990; Fritts 1976). Spatial correlation of measured and reconstructed drought variables 122 with regional gridded CRU-PDSI (Schrier et al. 2013) were performed to examine the spatial representativeness of our 123 reconstruction using the KNMI climate explorer. We also carried out the superposed epoch analysis (SEA) between 124 nearby forest fire history and drought variables to further validate the accuracy of our reconstruction, since seasonal or 125 annual droughts are usually a key factor in forest fire severity in the Daxing'an Mountains (Shen 2008; Sun 2007). Two 126 regional forest fire event lists (Mengkeshan and Pangu; Fig. 1) reconstructed by tree-ring scars in nearby forest were 127 used (Yao et al. 2017) and the SEA were carried out using software FHAES V2.0.0 (https://www.frames.gov/partnersites/fhaes/download-fhaes/). In addition, the consistency between our reconstruction and other local drought related 128 time series including the gridded Standardised Precipitation-Evapotranspiration Index (SPEI), the Monsoon Asia 129 130 Drought Atlas (MADA) from Cook et al. (2010) (Cook-PDSI, hereafter) and Self-calibrating PDSI from Schrier et al. (2013) (scPDSI, hereafter), and nearby tree-ring-based hydroclimatic reconstructions (the December-March 131 132 precipitation reconstruction of the A'li River (AR) in the Daxing'an Mountain from Lv and Wang (2014), the April-August SPEI reconstruction of the Hulun Buir steppe (HB) on the east edge of Mongolian Plateaus from the western 133 134 Daxing an Mountains (Bao et al. 2015), and the tree-ring-based streamflow reconstruction of Selenge River (SR) from 135 Davi et al. (2006) in the Mongolian Plateaus, Mongolia) were evaluated and described by filtering and moving correlations. To identify spatialtemporal patterns of drought in Northeast Asia and their relationship with our 136 reconstructed drought history, we further analyzed correlations with four other hydroclimatic reconstructions from the 137 Daxing'an Mountains and the Mongolian Plateaus (Fig. 1). To make the comparison better visualized, all above series 138 139 were standardized using Z-scores and then smoothed with a 21-year moving averaged to highlight low-frequency 140 drought signals.

To evaluate the extreme dry and wet years in the historical period, we defined extremely dry and wet years with the annual PDSI value being lower or higher than the average +/- 1.5 SD. We assessed the multiyear dry/wet periods based on the intensity (average departure values from the long-term mean) and magnitude (cumulative departure values from the long-term mean). A spectral analysis were applied to identify the periodicity of dry/wet variability and possible effects of large-scale climate using Multi-taper method (MTM) program (Mann and Lees 1996). To further confirm the linkage between large-scale climate and regional drought, we analysed their relationship with Pearson correlation





- 147 analysis. Teleconnections between reconstructed drought variables and global sea surface temperature $(0.5^{\circ} \times 0.5^{\circ})$ were
- 148 carried out to verify the potential drivers of large-scale climate on local drought.
- 149 3 Results

150 3.1 Tree growth-climate relationships

The radial growth of Scots pine was significantly (p < 0.05) positively correlated with precipitation in all months except 151 the previous November and current February (Fig. 4a). Temperature of the previous November to current May (except 152 153 for current April) was significantly correlated with ring widths at the 95% confidence level (Fig. 4a). The highest positive Pearson's correlation coefficients were found between the ring width Scots pine chronology and monthly total 154 precipitation of October (r = 0.35, p < 0.05) and previous December temperature (r = 0.35, p < 0.05). Radial growth of 155 Scots pine in the Daxing'an Mountains was influenced by both precipitation and temperature, but the effects of 156 157 precipitation were stronger, which revealed annual precipitation sensitivity of the Scots pine chronology during the last 158 century (Fig. 4a). Furthermore, we calculated the correlation between the tree-ring index and Dai-PDSI (common period of 1901-2010), which takes into account temperature and precipitation (Dai 2011). Significant (p < 0.05) positive 159 160 correlations between tree rings and PDSI was found for all months from the previous July to the current July (Fig. 4b). The correlation between tree growth and annual (Jan-Dec) average PDSI had the highest correlation (r = 0.62, p < 0.62161 162 0.0001, n = 110) between tree growth and PDSI data among the annual, seasonal or individual month scales. The results confirmed that water conditions had a significant controlling influence on Scots pine growth over a last century (Fig. 163 164 6).

165 3.2 PDSI reconstruction

The regression model between the tree-ring indices (predictors) and annual PDSI (predicted) for the calibration periodwas as follows:

$$D_t = 6.69 I_t - 7.13, (R = 0.62, N = 100, F = 60.52, p < 0.0001)$$
 (1)

where D_t is the annual PDSI and I_t is the tree-ring index at year *t*. For the calibration period 1911–2010, the reconstruction explained 38.2% of the PDSI variation (37.6% after accounting for the loss of degrees of freedom). As shown in Figure 5a, the actual and estimated annual PDSI of Daxing'an Mountain have similar trends and are parallel to each other during the calibration period. However, the estimated PDSI did not capture the magnitude of extreme dry or wet conditions. Spatial correlation analysis show that the actual and estimated PDSI had a strong and similar spatial correlation pattern with the Northeast Asia grided scPDSI ($0.5^{\circ} \times 0.5^{\circ}$) (Fig. 6).





- 175 The split calibration-verification test showed that the explained variances were high during the two calibration periods
- and the statistics of *R*, R^2 , ST, PMT are all significant at p < 0.05, which indicated that the model was reliable (Table
- 177 4). The most rigorous tests, RE and CE, were also positive for both verification periods (Cook and Kairiukstis 1990;
- 178 Fritts 1976) (Table 4).

179 3.3 Drought-wet variations

- 180 The reconstructed annual PDSI with 11-year moving average exhibited a mean of 0.48 and a standard deviation (SD)
- 181 of ± 1.15 during the past 260 years (Fig. 5b). Reconstruction of the annual PDSI displayed strong interannual to decadal
- 182 scale variability throughout the period 1751 to 2010. During the last 260 years, there were 22 extreme dry years
- 183 (accounting for 8.5%) and 15 extreme wet years (5.8%) (Table 5). Most extreme dry years occurred in the 19^{th} (12 184 years, accounting for 48%) and 20^{th} (9 years, accounting for 36%) centuries, and a majority of extreme wet years
- 185 occurred in the 20th century (9 years, accounting for 60%). Among the extreme years, 1784, 1853, 1818, 1862 and 1863
- 186 were the five driest years, and 1998, 1952, 1770, 1993 and 1766 were the five wettest years (Table 5). We also found
- 187 that many extreme dry or wet years occurred in succession.

Compared with the severe single-year droughts, multi-year droughts had the greatest effect on tree growth, and we 188 further defined the dry and wet periods as those when the 11-year moving average PDSI was more than 0.5 SD from 189 the mean for at least 2 consecutive years. Four dry periods, AD 1751–1752, 1812–1817, 1847–1866 and 1908–1927, 190 191 and four wet periods 1757-1771, 1881-1902, 1952-1955 and 1989-2004 were identified (Table 5). The dry periods of 192 1847-1866 and 1906-1927 were the longest, spanning 20 years, while the longest wet period, from 1881–1902, lasted 193 for 22 years (Table 5). The multiyear drought of 1847-1866 was the most serious due to long duration and intensity, 194 and 1906-1927 was the second most significant drought (Table 6). Wet periods of 1757-1771 and 1989-2004 were the 195 most remarkable in terms of intensity and duration (Table 6).

- 196 Spectral analysis revealed that the dry and wet variations in the Daxing'an Mountain climate had some significant (95%
- 197 or 99% confidence level) periodicities at 46.5-78.7 (99%), 12.05-12.33, 5.75-5.82 (99%), 4.95-5.02, 4.78-4.83, 3.32
- 198 (99%), 2.92, 2.44-2.5, 2.26-2.32 (99%), 2.09-2.15 (99%) and 2.01-2.02 (99%) years, which corresponded to significant
- 199 cycle peaks present in Figure 7.

200 4 Discussion

201 4.1 Climate-growth relationship

202 Scots pine is an extremely drought-tolerant species and drought stress is thought to be the main climate limitation for 203 its radial growth in semi-arid or arid regions, such as in the Mongolia Plateaus and western Daxing'an Mountains (Bao





204 et al. 2015; Davi et al. 2006; Liu et al. 2009; Pederson et al. 2013). Previous dendroclimatic studies from these regions 205 suggest that radial growth of Scots pine is sensitive to humidity, precipitation or drought (e.g. PDSI, SPEI), and most 206 analyses have reconstructed hydroclimatic history (Bao et al. 2015; Liu et al. 2009). In these areas, the radial growth 207 of Scots pine usually has a typical climatic (drought) response pattern with positive tree growth response to increasing precipitation and negative response to increasing temperature (Bao et al. 2015; Davi et al. 2006; Liu et al. 2009). This 208 209 typical drought response pattern usually is found in other drought or wetness tree ring reconstructions (Li et al. 2016; Liu et al. 2016). In this study, the correlation between tree-ring indices and monthly precipitation and temperature data 210 211 revealed that the radial growth of Scots pine was mainly limited by water, which is consistent with the physiological 212 characteristics of tree species living in arid regions. A significant positive relationship between the tree-ring index and 213 PDSI in all months supported moisture as the main limiting factor for radial growth of Scots pine (Fig. 4b).

214 A drought response was also found in Dahurian larch (Wang and Lv 2012), another important conifer tree species in 215 the study area. However, the typical drought response to temperature was not obvious, and the radial growth of Scots pine was not significantly negatively correlated with growing season (July-September) temperature (Fig. 4a). On the 216 217 contrary, a significant positive response of radial growth to winter (non-growing season) temperature was found, 218 suggesting that higher winter and spring temperatures prolong the growth period and increased nutrient availability for trees during the summer (Hollesen et al. 2015; Zhu et al. 2017). This phenomenon might be due to the relatively humid 219 220 climate and the northern latitude of our study sites, where the positive effect of temperature was greater than the negative effect resulting from drought stress (Wang and Song 2011). Similar drought response patterns were also found 221 222 in tree-ring-based drought reconstructions in the middle Qilian Mountains (Sun and Liu 2013) and the Tienshan 223 Mountains of western China (Chen et al. 2015).

224 4.2 Comparison with regional record

225 We used local historical records to verify our PDSI reconstruction for the timing of extreme dry years or periods. During the last 260 years, 60.1% (13/22) of extreme dry years were noted in historical documents (Shen 2008; Sun 226 227 2007). Tree rings can not fully record the continuous drought events (years) resulting in a limited percentage or correspondence. For example, the extreme drought years of 1860-1865 were recorded only during 1861 in our 228 reconstruction. Thus, some severe drought events affect radial tree growth in some but not all years (Fritts 1976). 229 230 Besides, the lag response of radial growth to climate (drought) might have a great contribution to unrecorded extreme drought events (Fritts 1976), for instance local historical documents record the dry years of 1817 and 1855 and these 231 appear narrower rings in that year, or as an extreme dry event in the following year. Two multiyear droughts recorded 232 233 in tree-rings, 1847–1866 and 1908–1927, can both be identified in historical documents (Shen 2008; Sun 2007). 234 Moreover, SEA between forest fire history and reconstructed drought variables revealed that a significant drop of PDSI





values occurred during the year of the forest fire in Mengkeshan and Pangu (Fig. 8), further validating the accuracy of our reconstruction. Spatial correlation analysis indicated a strong correlation pattern between our reconstruction and gridded scPDSI in Northeast Asia (Fig. 9), and our reconstruction also represents drought/wet variations in surrounding geographic regions. During the common periods, our reconstruction shares a similar dry/wet fluctuation with the precipitation of A'li River and SPEI of Hulun Buir steppe both in the low and high frequency (Fig. 9b-d). Significant (p < 0.05) correlations among them were found in low and high frequency and some common dry/wet periods were highlighted in Figure 9, which confirmed that our drought reconstruction could almost fully account for the dry/wet

242 variations of the Daxing'an Mountains, northeast China.

243 It's important to note that our drought reconstruction and the MADA of "Cook" from the same PDSI grid was not consistent and showed a completely opposite trend ($R_{\rm L} = -0.19^{**}$; p < 0.01) in low frequency (Fig. 9). Negative 244 correlations between the MADA and the SPEI ($R_{\rm L} = -0.311^*$; p = 0.03) and scPDSI ($R_{\rm L} = -0.126$; p = 0.236), positive 245 correlations between our drought reconstruction and the SPEI ($R_L = 0.950^{**}$; p < 0.01) and scPDSI ($R_L = 0.807^{**}$; p246 < 0.01) were also found, although it has a seasonal difference with our drought reconstruction. These both imply that 247 248 MADA of "Cook" might be inaccurate or even reversed in characterizing dry/wet variations in the Daxing'an Mountains. Similar divergence of tree-ring-based drought reconstruction between the MADA and individual sampling 249 sites was also found by Li et al. (2015) from Guancen Mountain and Liu et al. (2016) from central Inner Mongolia. The 250 251 insufficient spatiotemporal distribution of tree-ring network, especially in eastern China, used by MADA might be the main reason for this divergence/inaccuracy (Cook et al. 2010; Li et al. 2015; Liu et al. 2016). Therefore, our drought 252 253 reconstruction is necessary to gain a thorough understanding of the East Asian Monsoon climate variability.

In a larger spatial scale, the streamflow reconstruction of Selenge River in the West-Central Mongolian Plateaus from 254 255 Davi et al. (2006) presented a significant positive correlation with our drought reconstruction in low frequency ($R_{\rm L}$ = 256 0.29^{**} ; p < 0.01) during the full periods. Our reconstructed PDSI also displayed some common variation trends or dry/wet periods with the reconstructed streamflow variations from the Selenge River (Davi et al. 2006), especially in 257 258 the decadal scale. These relationships suggest that there are common drivers affecting the dry/wet variations of the Daxing'an Mountains and the West-Central Mongolian Plateau, although there might be some discordance. Among 259 those differences, the most obvious is the completely different dry/wet variation trends among the Daxing'an Mountains 260 261 (wetter), the West-Central Mongolian Plateaus (mild drier) as well as their transition zones: The East Mongolian Plateaus (Hulun Buir steppe; drier) since the late 1970s (Fig. 10a). Similar results were also found by Dai (2013), who 262 263 presented a different dry-wet pattern under global warming using observations and models. In the Tibetan Plateau, Li et al. (2016) found moisture increase coherent to rapid warming (warm-wet). Although the reason for this divergence 264 needs to be further studied, it might be related to the different response to the phase shift (negative to positive) of the 265





266 Pacific Decadal Oscillation (PDO) in 1976 and 1977 (Ma 2007; Wang et al. 2014). Ma (2007) found that the positive phase of PDO usually corresponds to the drought period with warming and less precipitation, while the negative PDO 267 phase often matches the wet period with low temperature and more precipitation. Besides, the drought trend caused by 268 the persistent significant warming in semi-arid or arid regions might be more serious than in semi-humid or humid 269 regions (Dai 2013). In addition, a different record of severe drought that occurred over a large geographic area of 270 271 northern Asia during the 1920s to 1930s, has been reported by many other studies in north China (Bao et al. 2015; Chen et al. 2015; Liang et al. 2006; Liu et al. 2009). As indicated by the tree-ring series, the drought event of 1920s-1930s 272 273 in the Daxing'an Mountains is more severe than in the transitional East Mongolian Plateaus (the Hulun Buir steppe), 274 which was consistent with the result of Dong et al. (2013). The drought, however, was not found in the West-Central 275 Mongolian plateaus (the Selenge River). On the contrary it was very moist in that time (Fig. 10). Different spatial 276 patterns of severe drought over the northeast Asian might be associated with the intensity and scope of the strong El 277 Niño-Southern Oscillation (ENSO) during this period (Dong et al. 2013).

278 4.3 Linkages to the Pacific and Atlantic Oceans

Spectral analysis revealed that some significant cycles exist in our drought reconstruction (Fig. 7). Among them, are 279 significant high-frequency 2.0 - 5.8-year periodicities within the 2-7 year cycles of ENSO (Li et al. 2013), and we have 280 shown that the dry/wet variations in the Daxing'an Mountain might be related to ENSO. Similarly, local dry-wet 281 282 changes driven by large-scale climate oscillation of the ENSO occur in tree-ring-based hydroclimatic reconstructions, such in northeast China (Bao et al. 2015; Lv and Wang 2014; Wang and Lv 2012), northwest China (Chen et al. 2015; 283 284 Sun and Liu 2013) and the Mongolian Plateaus (Davi et al. 2006). Strong connection appears between our 285 reconstruction and annual SSTs over the Pacific Ocean, especially nearby the equator, the north Pacific, as well as the east and west coasts of the Pacific Ocean (Fig. 11). We also calculated the correlation between the Niño 3 index and 286 287 the dry-wet index (averaged Z-scores) of the Daxing'an Mountains and show a significant postive correlation exists between them in both low and high frequencies (Table 7, Fig. 10b). These results reveal the potential links between 288 289 ENSO and dry/wet variations of the Daxing'an Mountains, Northeast China. Although the mechanisms need to be 290 further studied, the close relationship between the oscillatory changes of North Atlantic SST and the Asian monsoon have been demonstrated. ENSO might indirectly influence the dry-wet change of the Daxing'an Mountains by affecting 291 292 the local climate (Shuai et al. 2016). Wang et al. (2013) found that the ENSO could potentially drive or affect the Asian 293 monsoon, which in turn affects temperature and precipitation to drive local drought variations, as a possible driving 294 mechanism (Fig. 12). Significant postive correlations between the Niño 3 index and local climate (temperature and 295 precipitation) further confirms our inference (Table 7).





296 The 12.05-12.33-year cycles were close to the 10- to 12-year activity cycle indicating that dry/wet variations in the 297 Daxing'an Mountains might be controlled by solar activity (Shindell et al. 1999). Many previous studies have 298 demonstrated that solar activity can drive local dry-wet variations (Chen et al. 2015; Hodell et al. 2001; Sun and Liu 299 2013). In northeastern China, Hong et al. (2001) also found the signals of solar activity in a 6000-year record of drought and precipitation. Significant postive correlations between the Total Solar Irradiance (TSI; reconstruction from IPCC 300 301 AR5) and the dry-wet index (averaged Z-scores) of the Daxing'an Mountains both in low and high frequencies, and 302 between the TSI and local climate (temperature and precipitation) further confirmed the relationship between solar 303 activity and local drought (Table 7, Fig. 10b). Wang et al. (2005) found a potential link between the Asian monsoon 304 and solar changes. Local drought variations of the Daxing'an Mountains might be driven by solar activity that affects 305 the Asian monsoon and influences local climate (temperature and precipitation) (Fig. 12).

306 Cycles of 46.5 - 48.8 years might be related to the Pacific Decadal Oscillation (PDO), since it coincided with the 50-307 70 year cycle of PDO (Macdonald and Case 2005). This was verified by the strong connection between our drought reconstruction and annual SSTs over the Pacific Ocean (Fig. 11). The cycles/signals of PDO widely exist in most tree-308 309 ring-based drought reconstructions (Bao et al. 2015; Chen et al. 2015; Sun and Liu 2013; Wang and Lv 2012), and 310 many studies have confirmed that PDO can influence drought conditions in China (Bao et al. 2015; Cook et al. 2010; Ma 2007). The potential linkages between the PDO and local drought in the Daxing'an Mountains is further confirmed 311 312 by the significant postive correlations between the PDO index (Mann and Lees 1996) and the dry-wet index of the Daxing an Mountains both in low and high frequencies (Table 7, Fig. 10b). The positive/warm phase of the PDO index 313 314 usually corresponds with drought periods, and the PDO negative/cold phase often matches wet periods (Ma 2007). For 315 example, the severe drought of the 1920s - 1930s corresponds to the PDO negative phase. The PDO might drive the dry/wet variations of the Daxing'an Mountains by modifing the intensity or location of Asia Monsoon (Bao et al. 2015; 316 Cook et al. 2010; Ma 2007). Significant postive correlations between the PDO index and local climate (temperature 317 and precipitation) were also found, revealing that the PDO may affect the dry/wet variations of the Daxing'an Mountains 318 319 by regulating the Asian monsoon to affect local temperature or precipitation (Fig. 12). Similar results were found in a 320 nearby tree-ring-based drought reconstruction ((Bao et al. 2015).

321 Ultimately, the cycles around 73-years might result from oscillatory changes in the North Atlantic SST, which has a

322 period of 60–90 years (Knudsen et al. 2011). Spatial correlations between our drought reconstruction and annual SSTs

323 also show a stong teleconnection over the Atlantic Ocean (Fig. 11), which further confirmed potential linkages between

324 the North Atlantic SSTs and dry-wet cycles in the Daxing'an Mountains. Although our study area is far away from the

325 Atlantic Ocean, many studies have confirmed that large-scale climate oscillations in the Atlantic Ocean (such as the

326 Atlantic Multidecadal Oscillation (AMO), North Atlantic Oscillation (NAO) as well as Summer NAO (SNAO)) could





327 affact the local climate or tree growth in China (Bates 2007; Linderholm et al. 2011; Linderholm et al. 2013; Sun et al. 328 2008; Wang et al. 2011). Most dendroclimatological studies on drought reconstruction also found cycles/signals of 329 oscillatory changes correlated with North Atlantic SSTs (e.g. AMO, NAO and SNAO), including in the Daxing'an 330 Mountains (Lv and Wang 2014; Wang and Lv 2012), eastern Mongolian Plateaus (Bao et al. 2015; Liu et al. 2009), West-Central Mongolia (Davi et al. 2006), and northwest China (Chen et al. 2015; Sun and Liu 2013). Forthmore, we 331 332 also identified significant negative/postive correlation between the dry-wet change of the Daxing'an Mountains (Z-333 score) and the AMO, NAO and SNAO index both in low or high frequency (Table 7, Fig. 10c). The strong AMO signal 334 (Wang et al. 2011) and teleconnections with SNAO (Linderholm et al. 2013) also have been found in tree-ring widths 335 of Scots pine in northeast China and eastcentral Siberia during the last 400 years. All of these studies confirmed that oscillatory changes of the North Atlantic SST (e.g. AMO, NAO and SNAO) could drive dry-wet changes in the 336 337 Daxing'an Mountains. Although its mechanism needs to be further studied, the close relationship between the 338 oscillatory changes of North Atlantic SST and the Asian monsoon has been demonstrated. Recent studies have shown 339 that the AMO (Wang et al. 2013), NAO (Feng and Hu 2008) and SNAO (Linderholm et al. 2011) all have the potential to drive or affect the Asian monsoon. In this study, although only the AMO index was significantly correlated with 340 local climate (temperature and precipitation) (Table 7, Fig. 10c), it also confirmed that the oscillatory changes of North 341 342 Atlantic SST, especially the AMO, could drive wet-dry changes in the Daxing'an Mountains by influening the Asia 343 Monsoon (local temperature and precipitation, Fig. 12) (Bao et al. 2015; Chen et al. 2015; Cook et al. 2010; Li et al.

344 2015; Linderholm et al. 2011; Sun et al. 2008).

345 5 Conclusion

In this study, we developed a 260 years (1751 to 2010) tree-ring chronology for Scots pine (Pinus sylvestris L. var. 346 mongolica Lity.) from four sample sites of the Daxing'an Mountains, in northeast China. Using a significant correlation 347 between the tree-ring index and annual Dai-PDSI (R = 0.62, p < 0.01), we reconstructed a new annual PDSI record for 348 349 the Daxing'an Mountain that explains 38.2 % of the PDSI variance during the period 1911-2010. Four dry and wet 350 periods were found during the past 260 years. The extreme dry years in our reconstruction are consistent with local historical records and nearby forest fire history. Results show that our reconstruction not only accounted for the dry 351 352 and wet variations for the Daxing'an Mountains, but also are representative of the West-Central Mongolian Plateaus, 353 especially at the decadal scale. Drought of 1920s-1930s in the Daxing'an Mountains was more severe than in 354 surrounding areas. Moreover, there has been obvious warming and wetting since the late 1970s, which is distinct from events that occurred on the Mongolian Plateaus, especially in its transition zones. The MADA of "Cook" might be 355 inaccurate or even reversed in referring to the dry/wet variations in the Daxing'an Mountains, which might be due to 356 insufficient spatiotemporal distribution of the tree-ring network in eastern China. Overall, the dry/wet variability of the 357





- 358 Daxing'an Mountains and its relationship with the surrounding areas might be driven by oscillations of the Pacific and
- 359 Atlantic Oceans (e.g., ENSO, PDO, AMO, NAO and SNAO). Those large-scale climate oscillations controls the Asian
- 360 monsoon, which in turn affects temperature and precipitation to influence local drought variations.

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362 Author Contributions

For this article, XW and SH initiated the study, LZ and QY performed field sampling and data preprocessing, LZ performed statistical analyses and wrote the manuscript, DC and SH wrote partial discussion and revised the whole

365 manuscript, XW performed partial analyses and produced figures.

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371 Conflict of Interest Statement

- 372 The authors declare that the research was conducted in the absence of any commercial or financial relationships that
- 373 could be construed as a potential conflict of interest.

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481 Tables

Site	Lat (N)	Lon (E)	Alt (m)	Time-Span, AD	Size
Xiaoergou	49°12′	123°43′	286.1	1957-2014	
TS3.23 Mean T	51.25°	123.75°	-	1901-2014	0.5°*0.5
GPCC Precipitation	51.25°	123.75°	-	1901-2014	2.5°*2.5
Dai-PDSI	51.25°	123.75°	-	1911-2013	2.5°*2.5
Cook-PDSI	51.25°	123.75°	-	1725-2005	2.5°*2.5
sc-PDSI	51.25°	123.75°	-	1901-2013	0.5°*0.5
CSIC-SPEI	51.25°	123.75°	-	1901-2012	0.5°*0.5

483





484 **Table 2** Site description and statistical characteristic for the *Pinus sylvestris* chronologies in the Daxing'an Mountains.

Site	Lat. (N)	Long. (E)	Elev. (m)	No. of trees	Time span	EPS ^a	Rbar ^b	
Keyihe (KY)	50°39′44.8″	122°23′13.3″	550	36	1725-2010	0.93	0.57	•
Alihe (AL)	50°38′37.7″	124°28′28.7″	380	32	1742-2010	0.87	0.52	
Ganhe (GH)	50°43′51.9″	123°05′56.9″	760	19	1793-2010	0.88	0.59	
Jinhe (JH)	50°26′16.7″	121°59′46.7″	830	33	1769-2011	0.95	0.61	
Region (RE)	-	-	-	-	1725-2010	0.97	0.51	

485 ^a Expressed population signal statistic.

486 ^b Rbar = the mean correlation coefficient between all tree-ring series used in a chronology.





487 **Table 3** Five-chronology correlation matrix over the common period 1793–2010.

	AL	GH	JH	Region
KY	0.38**	0.46**	0.55**	0.81**
AL		0.33**	0.32**	0.68**
GH			0.32**	0.72**
JH				0.74**

488 ** Significance level (p < 0.01). The site codes are identical with those in Table 2.





489 Table 4 Calibration and verification statistics for the PDSI reconstruction

Calibration	r	Verification	R^2	RE	CE	ST	PMT	RMSE
1911-2010	0.62**	-	-	0.38	-	(74, 26)**	8.04**	1.4
1961-2010	0.53**	1911-1960	0.47**	0.47	0.47	(39, 11)**	5.24**	1.34
1911-1960	0.69**	1961-2010	0.28**	0.28	0.25	(34, 16)*	6.23**	1.25

490 $\overline{* = p < 0.05, ** = p < 0.01.}$





Dry_year (Rank)	PDSI	Dry_year (Rank)	PDSI	Wet_year (Rank)	PDS
1784 (1)	-3.574	1909 (16)	-2.484	1998 (1)	2.52
1853 (2)	-3.315	1916 (17)	-2.479	1952 (2)	2.09
1818 (3)	-3.238	1854 (18)	-2.405	1770 (3)	2.02
1862 (4)	-3.006	1865 (19)	-2.314	1993 (4)	2.01
1863 (5)	-3.001	1861 (20)	-2.310	1766 (5)	1.79
1918 (6)	-2.991	1864 (21)	-2.283	1897 (6)	1.72
1919 (7)	-2.977	1856 (22)	-2.275	1996 (7)	1.66
1915 (8)	-2.882			1899 (8)	1.65
1917 (9)	-2.777			1755 (9)	1.57
1852 (10)	-2.733			1999 (10)	1.54
1851 (11)	-2.716			2000 (11)	1.48
1860 (12)	-2.695			1997 (12)	1.45
1967 (13)	-2.671			1994 (13)	1.42
1925 (14)	-2.660			1769 (14)	1.40
1911 (15)	-2.581			1764 (15)	1.27

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493





Year	Dry/Wet	Duration	Magnitude	Intensity
1751-1752	Dry	2	-2.36	-1.33
1757-1771	Wet	15	19.52	1.30
1812-1817	Dry	6	-3.73	-0.62
1847-1866	Dry	20	-32.70	-1.64
1881-1902	Wet	22	18.98	0.86
1906-1927	Dry	20	-31.79	-1.59
1952-1955	Wet	4	2.78	0.69
1989-2004	Wet	16	19.67	1.23





Table 7 Correlation coefficients between large-scale climate and local annual mean temperature, total precipitation, actual Dai-PDSI
 as well as the Z-score of dry/wet variation among the Daxing'an Mountains (DM_{Z-score})

	Temperature		Precipitation		PDSI			DM _Z -score				
	R	р	Ν	R	р	N	R	р	Ν	R	р	Ν
AMO	0.44**	0.00	106	0.30**	0.00	106	0.44**	0.00	96	0.35**	0.00	282
PDO	0.46**	0.00	106	0.39**	0.00	106	0.51**	0.00	96	0.34**	0.00	282
NAO	0.17	0.08	106	-0.04	0.71	106	-0.08	0.43	96	-0.21**	0.00	282
SNAO	0.22*	0.02	110	0.08	0.39	110	0.08	0.42	100	0.13*	0.05	246
TSI	0.23*	0.01	110	0.35**	0.00	110	0.34**	0.00	100	0.12*	0.04	286
Niño 3	0.34**	0.00	106	0.26**	0.01	106	0.28**	0.01	96	0.14**	0.02	282

498 Note: The AMO, PDO, NAO, SNAO, TSI and Niño 3 are refer to the Atlantic Multidecadal Oscillation reconstruction from Mann

499 et al. (2009), the Pacific Decadal Oscillation reconstruction from Mann et al. (2009), , the Multi-decadal Winter North Atlantic

500 Oscillation reconstruction from Trouet et al. (2009), the summer NAO based on the 20C reanalysis sea-level pressure reconstruction

501 (SNAO), the Total Solar Irradiance reconstruction from IPCC AR5 and the Niño 3 reconstruction from Mann et al. (2009). All above 502 data were downloaded from <u>http://climexp.knmi.nl/</u>. * p < 0.05, ** p < 0.01





503 Figures



504505 Fig. 1: Sampling sites and weather station distribution map.









Fig. 2: Monthly sum of precipitation (P) and mean temperature (T) of the Xiaoergou (A) meteorological station (1957–2014) 508 and grids (B) data (1901-2014); the sum of annual precipitation (C), and the average of annual temperature (D) and 509 PDSI (E). The dashed line indicates the linear fitting values.







510 511

Fig. 3: The regional tree-ring width chronology of Pinus sylvestris in the Daxing'an Mountains . (B) The RBAR, EPS, and 511 512 513 (C) sample size for the chronology are also shown. RBAR and EPS are computed using 51-year windows. The reliable portion of the chronology is determined by the EPS value > 0.85.







514 515 516 517 Fig. 4: Pearson correlation coefficients between tree-ring index of Pinus sylvestris and monthly total precipitation, mean temperature (A) and Dai-PDSI (B). Significant correlations (p < 0.05) are indicated by dash lines. The letter "-" in abscissa represents the previous year.







518 519

Fig. 5: PDSI reconstruction in the Daxing'an Mountains, northeast China. (A) Comparison of the observed and 520 reconstructed annual PDSI during the calibration period 1911-2010; (B) Tree-ring reconstruction of annual PDSI, 521 plotted annually from 1725 to 2010 (cyan line), along with a smoothed 11-year moving average (red line); Blue filled 522 triangles indicate nearby regionally forest fire event records reconstracted by tree-ring scars from Mengkeshan (down) 523 and Pangu (up).







Fig. 6: Spatial correlation fields of (A) actual and (B) reconstructed annual Dai-PDSI for the Daxing'an Mountain with regional grided scPDSI for the period 1911–2010 (<u>http://climexp.knmi.nl/</u>).







527 528

Fig. 7: Multi-taper method power spectrum of reconstructed Dai-PDSI for the period AD 1751-2010. The 95% and 99% 529 confidence level relative to red noise are shown and the numbers refer to the significant period in years.







530Lags (a)531Fig. 8: Superposed epoch analyses of reconstructed Dai-PDSI (1751-2010) with regional wildfire years of (A) Mengkeshan532(1743-2010) and (B) Pangu (1767-2010) in the Daxing'an Mountains, northeast China. The analysis window includes six533years before and four years after each fire year (year "0"). Black bars mark statistically significant departures (p < 0.05;</td>534dashed lines) from mean conditions.







535 536

536Fig. 9: Comparisons of (A) drought reconstruction of "Cook" from same PDSI grid derived from the Monsoon Asia Drought537Atlas (MADA, Cook et al. (2010)), (B) Winter precipitation reconstruction of the A'li River in northeastern China (AR,538Lv and Wang (2014)), (C) the mean annual PDSI reconstruction for the Daxing'an Mountain (TS, in this study), (D)539April–August SPEI reconstruction of the Hulun Buir steppe in eastern Mongolian Plateaus (HB, Bao et al. (2015)) and540(E) April–October streamflow reconstruction of the Selenge River in northeastern Mongolia(SR, Davi et al. (2006)). All541above series were standardized using Z-scores (high frequency) and then smoothed with a 21-year moving averaged542(low frequency; red bold line). Blue (dry) and red (wet) shading are low and high PDSI zones with good agreements.543Correlation coefficients in low (RL) and high (RH) frequency are listed in the figure. ** p < 0.01







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Fig. 10: Comparisons of drought reconstruction and other large-scale climate system cycles. (A), dry/wet variation among 546 the Daxing'an Mountains (average of our reconstruction and the precipitation reconstruction of the A'li River), the 547 Mongolian Plateaus (streamflow reconstruction of the Selenge River) as well as their transition zones (SPEI reconstruction of the Hulun Buir steppe); (B), the drought reconstruction of the Daxing'an Mountains, the Pacific 548 549 Decadal Oscillation and the Niño 3 index reconstruction from Mann et al. (2009) as well as the Total Solar Irradiance 550 reconstruction from IPCC AR5; (C) the drought reconstruction of the Daxing'an Mountains, the Atlantic Multidecadal 551 Oscillation reconstruction from Mann et al. (2009), the Multi-decadal Winter North Atlantic Oscillation reconstruction 552 from (Trouet et al. (2009)) and the summer NAO based on the 20C reanalysis sea-level pressure reconstruction (SNAO). 553 All above series were standardized using Z-scores and then smoothed with a 21-year moving averaged to highlight low-554 frequency drought signals. Significant correlation coefficients (** p < 0.01) are listed in the figure.







555-0.6-0.5-0.4-0.3-0.20.20.30.40.50.6556Fig. 11: Spatial correlations between estimated temperature with sea surface temperature in global scale. The spatial
correlation was carried out for annual PDSI covering a time span from AD 1911 to 2010.0.40.50.6







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Fig. 12: Spatial correlations between annual East Asian monsoon indexs with local (A) temperature, (B) precipitation and 560 (C) scPDSI from AD 1948 to 2010.