

Dear Oliver Bothe,

Thank you very much for taking the time to review our discussion paper and for your constructive and detailed comments. Below we respond to your comments. Referee comments are set in blue italic font and author comments in black normal font.

**Response to Major Comments:**

*Your results and how much we can infer from them strongly hinges on the assumptions you make. You state those clearly and discuss them already. You do this in your discussion section. From my point of view it is necessary that you extend on these discussions already when you present your assumptions.*

*I understand that others may disagree with discussions taking place in the methods section. Indeed it may be that in a follow up review I say, I was wrong, because the manuscript reads better in this version. However considering this version of the manuscript, the lack of a discussion of your assumptions' appropriateness in the method section clouds the reading.*

*1. Section 3.1: Let me extend on my short summary. First, I think your assumptions are reasonable and well stated and do not invalidate your approach. However, they also can invite strong criticisms. You counter these mostly later in the manuscript, but I think you have to show early that you are thinking about this and why the assumptions are appropriate.*

*A number of questions you should probably deal with early on are, for example: Aren't models thought to be more homogenous than observations? Isn't it unlikely that the proxies really recorded the same 4D-signal? Less important is possibly, whether you can really capture the uncertainty about the signal in the simple estimates you take.*

We agree that it may be helpful to add more information on the assumptions earlier in the method section. Our study does not assume the same 4D-signal, but only assumes that the correlation structure in the models is realistic. We argue that simulating a reasonable correlation structure might be easier than simulating the right phase and amplitudes of the climate variability.

As we agree that our results hinge on the correlation structure, we suggest to address this point in the revised version early on by 1.) adding a new section 3.2, discussing the spatial correlation structure in the models vs. the spatial correlation structure in reanalysis data, and 2.) extending the discussion section to include a list of potential model shortcomings that may lead to an overestimation of the spatial coherency in the models (see also our response to your specific comments).

We suggest to leave the remaining part of the discussion (assumption of additive noise) in the discussion section.

Concerning 1.)

To check the realism of the correlation structure in the model simulations, we now further analysed the correlation structure of the surface temperature field in the 20C3M reanalysis product (Compo et al., 2006) (Fig.R1). Interestingly, analysing the full time-period of 1871 to 2011 results in a much higher decorrelation length than estimated for the Holocene, likely caused by the coherent anthropogenic forcing. Removing the last decades to minimise the

human influence, e.g., analysing 1871-1950 results in a correlation structure resembling the spatial correlation of MPI6k.

As we expect that the climate does not get more localised on longer time scales, but if anything, more spatially coherent (e.g., Jones et al., 1997; Kim and North 1991) this suggests that the decorrelation lengths used in this study might not be unrealistically large.

Thus, instead of relying on climate model simulations one could even obtain similar results based on the reanalysis correlation structure and assuming that the correlation structure is similar on longer time scales than on the time scales sampled by the instrumental data. To make this point, we suggest adding the reanalysis correlation structure estimated from the proxy positions in the manuscript Figure 3 (Fig.R2).

One could still argue that fine-scale structures (e.g., at the coast or at shelves) not resolved by the models (as well as by the reanalysis) might lead to localised variations as we already discuss in Section 5.1, but we do not see a clear evidence for this on inter-annual and longer time scales from analysing high-resolution model simulations (e.g., the AWI-FESOM simulation in an eddy-permitting resolution). However, as this latter work is still preliminary we would not include it and just discuss this possibility.

Concerning 2.)

There are several shortcomings in present climate model simulations such as the two simulations used here that may lead to an overestimation of the coherency in the models. Possibilities include that models underestimate internal climate variability that is generally more localised than externally forced climate variability (e.g., Laepple and Huybers, PNAS 2014). One possibility (Laepple and Huybers, GRL 2014) is that the model effective horizontal diffusivity may be too large which would reduce internal variability and lead to larger correlation structures. Further, the low, non-eddy permitting resolution of the model simulations used here might suppress small scale features and the role of persistent coastal currents.

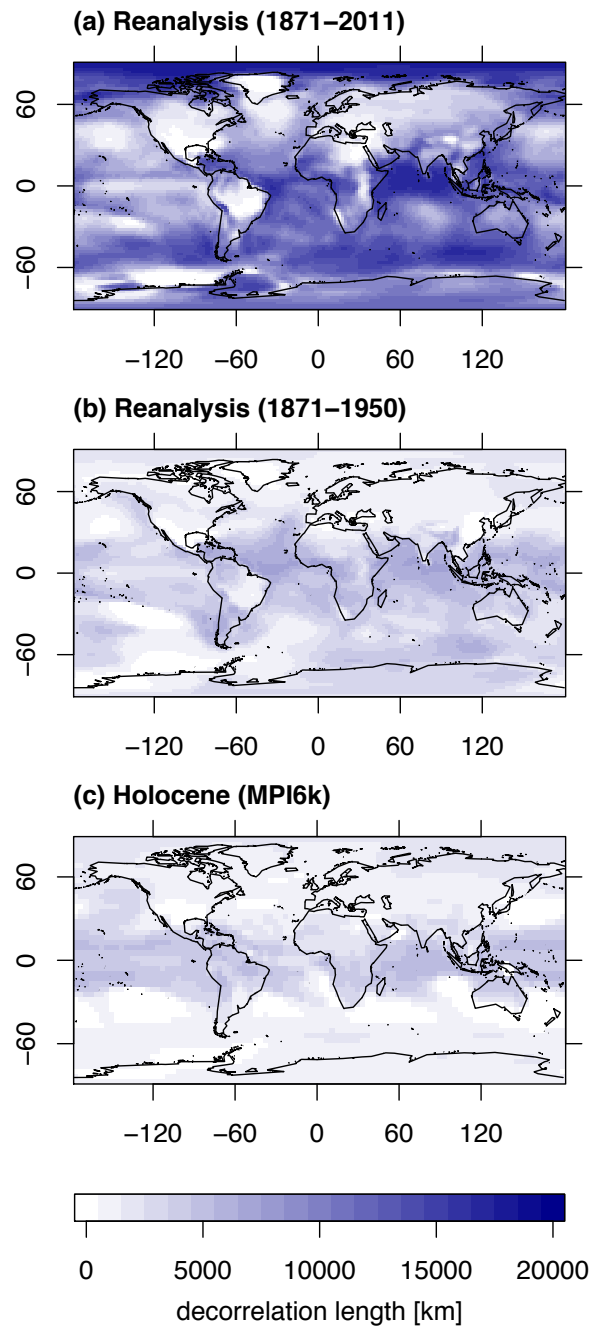


Fig.R1: Decorrelation length of reanalysis data and the 6ky simulation of MPI6k. The decorrelation length is similar for the Holocene and reanalysis data from 1871 to 1950 indicating that the Holocene spatial correlations are realistic.

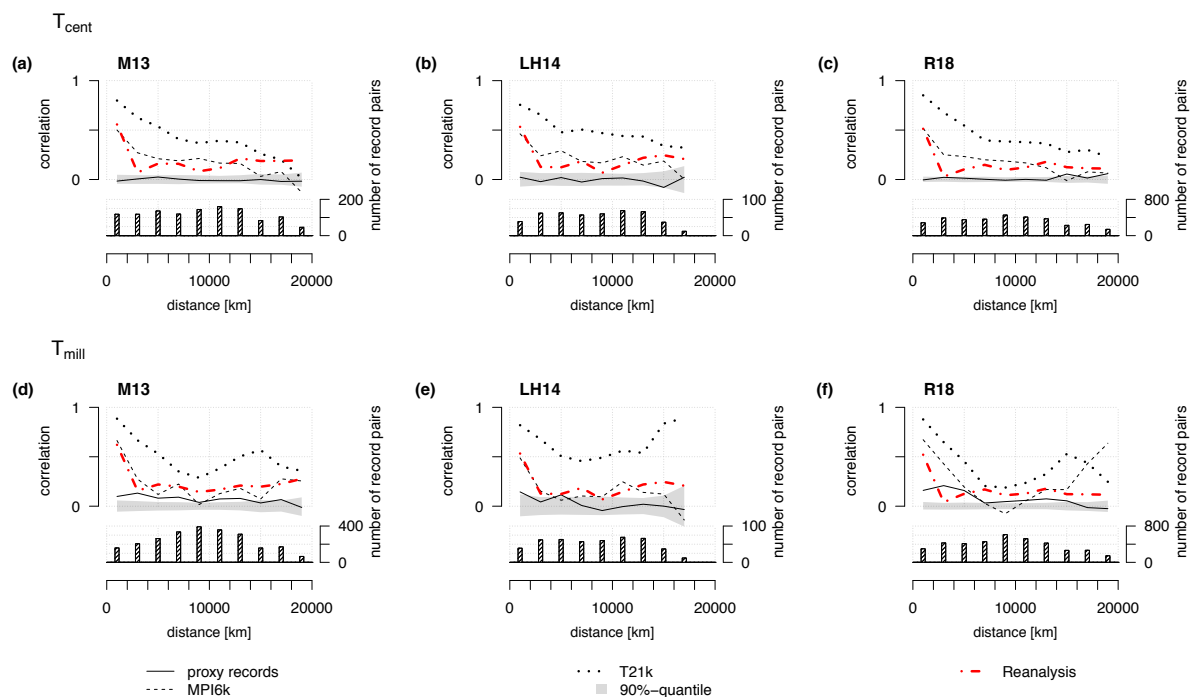


Fig.R2: Spatial correlation of reanalysis data for the time window from 1871 to 1950 (red lines). As the correlation over distance plots for the reanalysis data are very similar to the ones of the MPI6k this indicates that the spatial correlations of the model data are realistic.

*2. Reference to Reschke et al. (particularly page 6, line 2): Please provide some information in the methods section or at least in an appendix on the method (not least since Reschke et al. is not openly accessible).*

We will add more information in the method section on the reference Reschke et al. to render the manuscript more independent. See our response to the detailed comments below. We further added an unformatted version of the manuscript in the publicly AWI publication database and Researchgate website to allow an easier access to this reference.

### Response to Minor Comments:

#### General:

*Maybe mention your focus on the last 6kyr already in the title or at least in the abstract.*  
We will add this in the abstract.

#### Abstract:

*Suggestion: Maybe rethink the abstract to clarify how you proceed (e.g., page 1, line 17 and following). That is, state which simulations you use before mentioning how they influence the results, or don't mention the specific models at all but just highlight the contrasting results.*

We will mention the specific models in the abstract before explaining the results.

*Suggestion: Mention the use of the correlation structure before discussing its influence.*  
We will introduce the correlation structure in the first half of the abstract.

## Introduction:

*Page 2, line 9: If I understand your point correctly, this is not only about non-climatic influences but also about climatic influences different from the specific signal we are interested in.*

Exactly, we consider everything except the specific signal of interest as noise (thus non-climatic signals or climate signals not related to the specific signal of interest). We will rewrite lines 4-10 to make this clearer.

## Data:

*Page 3, line 12: You possibly should introduce the abbreviation for Methylation of Branched Tetraether - or simply skip mentioning them.*

We will follow your suggestion and skip the naming of the 'other' proxies.

*Page 3, line 24: Please discuss, why annual temperature is an appropriate choice.*

We will add the explanation of our annual mean temperature choice. The reason of our choice is to be consistent to the proxy dataset that we assume to record annual mean temperatures following the standard interpretation of these datasets. The annual mean interpretation is often chosen because of the lack of accurate information about the proxy and location-specific seasonality.

*Page 4, line 3: Are all the mentioned forcing factors really continuously transient in the simulation?*

Not all forcing factors are continuously transient for the entire T21k simulation. With the disappearance of the Eurasian (~8ky BP) and the Laurentide Ice Sheet (~6ky BP) the transient continental ice sheet forcing ended at around 6ky. As the retreat of the ice sheets ended, there is also no meltwater forcing in the northern hemisphere since 6ky BP. The meltwater fluxes for the southern hemisphere ended at 5ky BP (He, 2011). Thus, only the orbital forcing and the greenhouse gas concentrations are remaining. We will include this in the revised manuscript.

## Method:

*Page 5, line 8: Can you please provide slightly more information for what this reference is here? I do not directly see how it relates to the sentence.*

This reference explains the method used for filtering but as we describe the method in the next paragraph, we will remove the reference here.

*Page 5, line 13: What do you mean by resampled in this context? Further, could you give more details on your block averaging (e.g., block length).*

Our aim is to derive a time series from the annual model time series that resembles the proxy time series in having the same number and ages of the proxy observations. For this, we apply block averaging. To get the observation for the observation time  $t_i$  we average all observations between half the difference to the previous observation time ( $t_i - \Delta t_i/2$ ) and half the difference to the next observation time ( $t_i + \Delta t_{i+1}/2$ ). We chose the approach of averaging the annual time series instead of interpolating, as for marine records samples often

include adjacent depths or the sample distance is smaller than the typical mixing depth in the sediment (Berger and Heath, 1968).

We will rephrase the paragraph and include the details of the block averaging in the revised version.

*Suggestion: Page 5, line 16, "For each : :": I am not sure whether this description gives the reader enough information to redo your analyses. But I am neither sure that it does not. Maybe rethink this.*

We will rewrite this sentence to: 'For each proxy compilation (M13, LH14, R18), we estimated the time scale dependent ( $T_{cent}$ ,  $T_{mill}$ ) correlations between all possible proxy record pairs. We further estimated the time scale dependent correlations between all model time series pairs.' We further plan to make the R scripts available in an online repository.

*Page 5, line 20: Please give some more details here on what your reference work describes in this context.*

We will extend this description to make it more independent.

'For this step, the irregularly sampled time series were linearly interpolated onto a regular grid ( $\Delta t = 10y$ ) and subjected to a Gaussian filter with cut-off frequency  $1/400y$  ( $T_{cent}$ ) or  $1/1000y$  ( $T_{mill}$ ). This approach has been shown to deliver good results in tests using surrogate data with the sampling properties of Holocene marine sediment cores for the estimation of time scale dependent correlations (Reschke et al., 2019).'

## **Results:**

*Suggestion: Page 6, line 25: Would you be willing to discuss how realistic you regard the simulated correlation coefficients, and, possibly, how this may affect the relevance of your SNRs, e.g., if we assume the simulated correlation coefficients are not realistic.*

In the discussion section 5.1 'Spatial correlation structure of model simulations' we already discuss the possibility of overestimating model correlations and their role for the SNRs and we add an additional discussion on potential shortcomings of the climate model simulations. We would like to keep this structure and not to discuss this in the result section. However, as described in our first answer, we suggest to add a new section 3.2 in the method part, discussion the spatial correlation structure in the models vs. the spatial correlation structure in reanalysis data. This demonstrates that model correlations are not unrealistic and that the main conclusions of the manuscript could be obtained without the use of climate models, just relying on the (mainly inter-annual) instrumental correlation structure and assuming that on longer time scales the correlation should not decrease.

*Page 7, line 20: Maybe you should be more explicit in writing about the results for T21k (see also short technical comment below).*

We will rewrite the results of T21k-based estimates to be more explicit and precise.

## **Discussions:**

*Suggestion: I think it would be interesting to be more specific in what your results imply for interpreting the proxy records and derived larger scale reconstructions. And what it would mean, if your results are either too pessimistic or even too optimistic.*

We agree that such a discussion would be useful and will add a new discussion section on 'implications and future steps forward'. First, care should be taken in maximising the SNR when creating Holocene climate records. This includes an optimal measurement design (e.g., the choice of the sample size) for example supported by proxy forward modelling. Second, Holocene studies relying on a small number of records might be associated with a large uncertainty (except if these records have a higher quality than the average). Third, Holocene stacks relying on a large number of records, such as the stack in Marcott et al. (2013), will be robust if the errors are independent across sites but it will be very difficult to extract spatio-temporal patterns from these datasets.

If our results are too pessimistic (e.g., the true climate is more regional than simulated by the used model simulations), this would imply that individual proxy records in the Holocene can be safely interpreted as regionally representative climate signal as it is currently done in the literature. On the other hand, if our SNR estimates are too optimistic, the value of singular proxy reconstructions without additional expert knowledge would be very limited and stacks such as used by the tree ring community might be needed.

*Suggestion: Page 8, last paragraph: Are there potentially other reasons that may result in higher correlations, e.g., how the models are built. Did your department's earlier work hint to any further explanations, or did the PAGES project CVAS come up with some additional explanations?*

We agree that it would be useful for the reader to include a discussion on possible model shortcomings that could lead to an overestimation of spatial correlations (= underestimation of spatial degrees of freedom).

Possibilities include that models underestimate internal climate variability that is generally more localised than externally forced climate variability. One suggestion (Laepple and Huybers, GRL 2014) was that the model effective horizontal diffusivity may be too large which would reduce internal variability and lead to larger correlation structures. Further, the low, non-eddy resolving resolution of the models might suppress small scale features and the role of persistent coastal currents. We will add a discussion of these points.

*Page 9, line 7: Do I miss it, or do you omit to specify "N".*

We actually missed to specify N which is the number of sites ranging from 3 to 50. We will add this.

*Suggestion: Page 9, line 18: Maybe make the points of this paragraph already stronger when you present the results.*

We mention this already in page 7, line 23. 'An analysis of the proxy-specific SNRs yielded higher uncertainties due to the relatively small number of record pairs (see Fig. S6-S15 for the complete set of results)' but will make this point clearer and link it to the sensitivity study discussion.

*Suggestion: Page 10, line 1: Can you discuss, how assuming a more appropriate seasonal and depth choice would influence your results?*

Currently, we interpret all records from proxy types as annual mean surface temperature. As different proxies are recording different parts of the climate component, we expect that the correlation among time series from different proxies is lower than for time series of the same proxy which recorded the temperature from a more similar climate component. This is already discussed in lines 3-8.

However, a different season or depth might also have a different correlation structure in the model which will influence our results. Calculating the correlation structure of summer and winter in both models suggests that this can increase or decrease the correlation and seems to be model dependent. Thus, the net-effect on the SNRs is not clear.

Finally, even for one proxy type and proxy carrier (e.g., foraminifera), the recorded season and depth is location-specific and this will reduce the correlation compared to the correlation of the climate sampled at any globally fixed season or depth. However, this reduction in the SNR (that is defined at the moment for annual mean temperatures, but could be changed to any globally fixed season or depth) is real.

We will add a discussion of the latter two points.

*Page 10, line 1: Isn't the work of Jonkers and Kucera and further of their colleagues relevant here?*

This is right. We will add appropriate reference (e.g., Jonkers and Kucera, 2017).

*Page 10, line 1: I may be wrong, but I think, the work of Jessica Tierney and colleagues on TEX86 calibrations is relevant here.*

Our point is that we have seasonal and depth-specific differences in the recorded climate component. In case of TEX86 it was suggested that this proxy records sub-surface temperatures. We will additionally add Tierney and Tingley (2015) proposing a calibration for the upper 200m.

### **Conclusion:**

*Suggestion: Page 11, line 18: I think you could be more explicit about the relevance of your work.*

We will add a new section in the discussion on 'Implications and future steps forward' as described above and in the response to reviewer 2. We will further extend/modify this conclusion statement to:

Nevertheless, our SNR estimates are still relevant for synthesis and model comparison efforts (e.g., Marcott et al., 2013), that usually interpret all proxy records together. While in the ideal case, most errors will be averaged out in global stacks based on a large number of records, the interpretation of spatio-temporal patterns will be very uncertain.

### **Response to Technical Comments:**

*General: I don't mind seeing "years" written out instead of abbreviated. At least in the abstract I think it would be better to write "400 years" instead of "400y".*

We agree and will change this in the abstract.

*Page 1, line 18: Maybe skip "rather"*

We agree.

*Page 1, line 20: If I understand the sentence correctly, the second "SNRs" plus its article is superfluous.*

We agree.



*Page 1, line 24: I don't think the first sentence of the paragraph is necessary.*

At the moment, one of the shortcomings of our study is that we are not able to make robust statements about specific proxy types as the amount of proxy-specific records is too low as shown in our sensitivity study. In this sense, we would prefer to keep the sentence in the abstract.

*Page 4, line 1: If I understand the sentence correctly, it is incomplete.*

Thanks for spotting this. It is missing an 'and' which we will correct.

*Page 4, line 7ff: Does this sentence and the next refer to both models or do you mean that you use for TraCE all three mentioned variables? Please clarify.*

This refers to both models. We will clarify this.

*Page 7, line 19 "differ ..": Please clarify: do you mean they differ between the two simulations?*

Yes. We will rewrite it to: 'For all three proxy compilations (M13, LH14, R18) the SNRs obtained for mixed proxy types depend on the choice of the model simulation.'

*Page 7, line 20 "consistent with": Please clarify: Do you mean they are consistent with the model or they are consistent in the analyses using this model.*

The latter, we will add that the SNRs estimated for the three datasets M13, LH14 and R18 are more similar and therefore more consistent if the analysis uses the T21k simulation. Based on MPI6k the SNR estimates are more different so that the results are less consistent than the estimates based on T21k.

Once again, thank you for your comments,  
Maria Reschke

## **References:**

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