

Reviewer 1

*“My main concerns rest with a need for some increased detail in the Introduction (to strengthen the need to tackle the question they pose) and Methods (at times it feels that the details are rather swiftly handled). I also have some questions around the discussion of differences in the signals recorded on glacial-interglacial timescales and longer term (Pleistocene-Pliocene). My suggestions are provided in more detail below, alongside some minor corrections (typos etc.)”*

We have increased the detail in the introduction (Page 2 lines 12-14) and methods (page 4 lines 18-4, page 5 lines 1-8, page 6 lines 16-17, lines 19-21, line 23, page 7 lines 10-17) and tightened up our use of language surrounding timescales throughout the manuscript.

*“(1) The Introduction (page 2) makes many statements about ‘low’ and ‘high’ CO<sub>2</sub> worlds, but no numbers are given. It would be useful to give this context, considering that an expected audience might span Quaternary scientists (for whom an interglacial CO<sub>2</sub> might be ‘high’) and those interested in Cenozoic climate evolution. Either state the known ranges where descriptive terms are used, or consider tabulating some of the studies you cite.”*

We now specific enumerate CO<sub>2</sub> levels in the introduction (Page 2 lines 12-14) and have tightened up our language on CO<sub>2</sub> levels throughout the manuscript.

*“Likewise, on page 2 lines 20-21 there is a note to different earth system sensitivity studies and their ‘differences’, but it isn’t clear whether these are (in)significant, within error etc. Adding some of these details would really help the reader to get a quick sense of whether the problem posed here (different CO<sub>2</sub> from different proxies) is something of major importance, or more nuanced and perhaps less critical.”*

We’ve added a further sentence here which now explains the discrepancy (Page 2 lines 21-24)

*(2) Methods detail. The methods section is well written, but on several occasions some details are missing which would help the reader to follow the flow and/or to understand the rationale for why certain approaches were taken. Specifically:*

*a. page 4 line 11: which alkenone-SST calibration was used? what was the value of modern salinity? The same as stated later for boron isotopes?*

*b. page 4 line 14-15: what were the instrument conditions for the d13C analysis? (GC method, IRMS conditions, reference gases or standards used for d13C ...). Or, less ideally, make reference to the Badger et al. (2013) study but only if the full instrument conditions are clearly stated there.*

*c. page 4 line 14-15: You state where the boron measurements were taken – for consistency can you also say where the d13C was measured?*

We have greatly expanded the Methods section and now include all of these details (Page 4 lines 18 – 24 and 5 lines 1-8).

*d. page 5 line 5: this range and uncertainty for UK37 and calcite d13C are not explained. Given known non-linearity in the SST calibration at the upper end, and*

*the notes of analytical variation in generating SSTs in this study (line 7 on this page), can the authors state whether this range of uncertainty is more than required, or is it instead a realistic estimate when different calibrations and replicates are considered? Later in the manuscript there is a suggestion that 'realistic' values are the focus here, but that is not obvious from this paragraph.*

We have added in an explanatory note about the alkenones earlier in the methods (Page 5 lines 5-7) the calcite  $\delta^{13}\text{C}$  uncertainty is a generous estimate based on analytical uncertainty of the measurements.

*e. page 5 line 10: state how was the disequilibrium was accounted for, even if it is just a simple step.*

This is just a simple subtraction, which we now include in the methods (Page 6 lines 1-2)

*f. page 6 line 1: confirm whether these are the same salinity values used for the alkenones (see comment (a) above).*

It is, and this is now included (Page 6 line 17, Page 4 line 14)

*g. Page 6 line 5: clarify whether these 'Values from Foster' are the reconstructed  $\text{CO}_2$ , or something else (the inorganic chemical constants from the previous sentence?).*

These are the reconstructed  $\text{CO}_2$  values, which we now state (Page 6 line 23)

*(3) Discussion of different timescales. On page 7 (line 17) the authors state that the  $p\text{CO}_2$  record is "largely stable and invariant ... through both the Pliocene and Pleistocene...". But in Figures 3 and 4 I would argue that there is still variability on orbital (glacial-interglacial timescales) which can be identified in the original alkenone  $\delta^{13}\text{C}$  data as well as in the reconstructed  $p\text{CO}_2$ . The apparent stability and lack of variance is instead reflected in the comparison of the longer term data sets i.e. between the Pliocene and Pleistocene, but only for  $p\text{CO}_2$  (all other measurements do seem to show an offset). This statement on page 7 (line 17) requires some expansion to account for the differences in temporal response i.e. whether there is "a lack of variability". What now becomes intriguing is that not only does  $p\text{CO}_2$  fail to record Pleistocene glacials, but apparently also Pliocene interglacials, despite offsets being determined in the original alkenone  $\delta^{13}\text{C}$  and epsilon-p values.*

Although there is variability in the alkenone  $\delta^{13}\text{C}$  in the Pliocene and the Pleistocene it is not on orbital timescales, and as can be seen in Figures 3 and 4 much of it is within error (especially once it is converted to  $\text{CO}_2$ ). While there are wiggles in the data, these do not match known glacial-interglacial cycles in the Pliocene, and whilst there is a positive slope in Figure 4b, it is not statistically different from zero, as our analysis shows.

*a. page 10 line 24: notes to the possible influence of an incorrect SST calculation on the  $\text{CO}_2$  calculation. Here, it would be useful to reflect on the uncertainty range used in the original calculations. Would using a different SST calibration yield a better result, especially given the known non-linearities in UK37-SST calibrations at high SSTs?*

We have added a sentence here (page 12 line 14-16) noting that if there is a SST error it would need to be substantial to go beyond what we include in the uncertainty propagation. The Mg/Ca record from Chalk et al., 2017 does not suggest that our alkenone SST is significantly muted (although likely recording a slightly different part of the water column).

*b. page 11 paragraph 2: parameterisation of physiological factors. The authors note that the dominant alkenone-producing species today is *Emiliana huxleyi*. But, given the importance of physiological factors suggested here, is it known that *E hux* has always been present/dominant at this site through the Pleistocene glacial-interglacial cycles? (the cited paper by Winter et al., 2002 is for modern seawater) Is it known which other species might contribute, and if they are closely related? For the Pliocene, this becomes perhaps more crucial: the manuscript does not highlight that in the absence of *E hux*, there must be a different set of producers in the Pliocene (which could perhaps account for a different relationship between epsilon-p and CO<sub>2</sub>?). Is there any information from this site about which coccolithophore species are present in the Pliocene and through the Pleistocene? If there isn't, then it would still be useful to state this as an uncertainty. Have the authors looked at alkenone accumulation rates as a possible indicator of export flux (and potentially productivity) to see if there are any glacial-interglacial or Pliocene-Pleistocene differences?*

*Emiliana huxleyi* coccoliths are first recognised in the fossil record at around 290 Ka, and take over as the dominant species in the ocean at around 82 Ka (Raffi et al., 2006) we now include this reference which was missed out), prior to this the closely related *Gephyrocapsa oceanica* (or related species) dominated, and was likely the major alkenone producer (Raffi et al., 2006). Most of our Pleistocene record therefore probably represents alkenones produced by *Gephyrocapsa* spp., whilst the Pliocene record is likely another closely related noelaerhabdaceae such as *Reticulofenestra*. We cannot therefore rule out these different species having different species having different physiological responses (although note that they are very closely related species of the same family and likely occupied a similar niche) and now include a sentence to that effect (page 13 lines 10-17). We do however note that the nearby Site 925 record is similarly invariant through the last glacial-interglacial cycle, and would have been produced entirely by *E. huxleyi*, and so this change in species cannot be the complete explanation.

*Minor corrections*

*- Format UK37' with the correct sub/superscript throughout*

Done.

*- page 7 line 16 – comment about glacial temperatures: no comment made about the, unusually cold SST which isn't in the glacial*

This is likely just an outlier and so we have chosen not to discuss it in detail.

*- page 6 line 11 – explain how "using alkenones limits the variation of cell geometry"... aren't alkenones synthesised by multiple species of haptophyte, which could have different cell geometries? Any citation for support?*

Using alkenones rather than other biomarkers or bulk organic matter to calculate  $\epsilon_p$  restricts source organisms to the very limited group of haptophytes that produce alkenones, this excludes organic

matter produced by organisms such as diatoms, radiolarians and dinoflagelates (for example) which have a much greater range of cell geometries. This is covered in Popp et al., (1998) and Laws et al., (1997) and we have now moved that reference from earlier in the sentence to make that clear (page 7 line 4)

*- page 7 lines 18-20 – this information about estimating ‘b’ seems out of place here, and interrupts the flow. Better in the methods section, or in the paragraph which follows where the differences to Seki can be outlined?*

This is already included in the methods section (page 5 line 15) so we have removed the sentence here to improve the flow.

*- page 10 line 15 – “and test which variables maybe responsible” ?*

Done (page 12 line 4)

*- page 10 line 16 – “largely invariant for the Pleistocene ...”?*

Done (page 12 line 5)

*- add analytical uncertainty bars to Figure Panels 3a and 3e (or state if these are too small to be seen)*

Done.

*- why are figures 3 and 4 showing different width of time for pliocene? Please can figure 3 show the pliocene data as well (which is shown in figures 2 and 4?)*

These figures show the new data, the remainder of the Pliocene data was published in Badger et al., (2013).

*- caption figure 4 – isnt lith size panels a and d? Clarify that the drop in lith size (page 9 lines 12-15) is reflected in mean/median (figure 4?), since there doesn't seem to be any shift in the ranges between the two time intervals.*

The caption and sentence (page 10 line 19) has been changed.

## Reviewer 2

*My only substantial comment is that I think the authors should calculate the Pliocene pCO<sub>2</sub> values from the alkenone proxy with the cell size corrections added (and do the same for the Pleistocene samples for comparison). I realize this doesn't change the orbital-scale insensitivity in the Pleistocene. But, it does allow comparison of the absolute value and magnitude of change between the Pleistocene and Pliocene windows in both proxies. It will also change the posterior distributions of the input variables for the alkenone pCO<sub>2</sub> reconstructions. My sense is that it will bring the b-parameter, ef, and SST posteriors more in line with the priors. The lith size changes are on the order of 150 to 200% higher in the Pliocene with respect to the Pleistocene (it appears from the figure). That is substantial and would increase the estimated Pliocene pCO<sub>2</sub> values into the high 300 to low 400 ppm range – very similar to the 11B pCO<sub>2</sub> estimates. This may indicate that the alkenone pCO<sub>2</sub> proxy agrees in magnitude with Plio-Pleistocene pCO<sub>2</sub> changes and thus may be sensitive at higher CO<sub>2</sub> levels but not at the very low Pleistocene glacial levels. The authors suggest this might be the case in the conclusions. If they show it is the case with their Pliocene reconstructions it would provide some nice empirical support (and they should mention this in the abstract).*

We chose not to build the lith size correction into our Bayesian approach because the correction, following Henderiks and Pagani (2007) and Seki et al. (2010) involves some rather arbitrary scaling. This is because the correction results in very low CO<sub>2</sub> during the Pleistocene compared to the ice core (Figure 6 panel c). If we follow Seki et al. (2010) and scale b' so that the ice core interval is correct and then apply this same scaling of b' to the Pliocene interval then, as the reviewer predicted, we do see a good overlap between the boron and the alkenone (new Figure 6). Although it should be noted that this correction does not induce glacial-interglacial cyclicity in the Pleistocene alkenone data. To more empirically examine if cell-size played a role in the differences as the reviewer suggests, but to also avoid the arbitrary nature of the cell-size correction which is difficult to enact in a Bayesian sense, we now include a figure showing lith size vs. posterior b (Figure 11). This shows that there is a good correlation between b and lith size ( $R^2 = 0.52$ ,  $p < 0.01$ ) but it is quite different to the relationship predicted by Hendriks and Pagani (2007) shown by the green dot-dash line in the Figure. This suggests that if cell-size is important, its influence on b is not as we currently understand it. We now add these two figures figure and text to this effect in the revised manuscript (Figure 6, Figure 11, page 7 lines 10-17, page 13, lines 1-6).

*Page 4 line 15 – how were alkenone 13C isotope measurements calibrated and what was the replicate precision and the accuracy (i.e. uncertainty from analysis plus uncertainty in realizing the VPDB scale). (Same comment for p5 line 6).*

We've now substantially expanded the methods section (page 4 lines 20-24, page 5 lines 1-8) and include this information there.

*Page 9 line 20 – The previous paragraph stated that there is some evidence for a reduction in productivity during glacials and if that translates to cell-specific growth rates then it could explain some of the lack of signal in the alkenone pCO<sub>2</sub> reconstructions. In light of that observation, the following statement is confusing to me: "This suggests that either our understanding of growth rate effects on CO<sub>2</sub>("p-alk) is incorrect, or the estimation of cell size using preserved liths does ot*

*capture original cell size variations: : :” Doesn’t the prior statement suggest that our understanding of growth rate effects may actually be correct?*

Our point in the previous paragraph is that growth rates could be part of the story (if the evidence of low productivity is correct) but that the scale of change required to move the reconstructed  $\text{CO}_2(\epsilon_{\text{p-alk}})$  in line with the  $\text{CO}_2(\delta_{11\text{Bplank}})$  and ice core  $\text{CO}_2$  for the glacial is very substantial (see Figure 1), and greater than we think likely based on our current understanding of how growth rates would effect  $\epsilon_{\text{p}}$ . This suggests to us that if growth rate is the main cause for the discrepancy our understanding of growth rate effects on  $\epsilon_{\text{p}}$  is incorrect. We have added text to explain this (page 11 line 5-6).

*Page 10 line 25 – This paragraph is quite instructive, nice! One question is how the SST posterior is calculated? For the Pliocene, the SST would also affect the  $p\text{CO}_2$  estimated by the 11B method. Thus, if one assumes a  $p\text{CO}_2$  from the 11B and then gets a posterior SST from the alkenone proxy, this different SST would change the 11B  $p\text{CO}_2$  estimate and thus the alkenone SST posterior based upon the earlier 11B  $\text{CO}_2$  value is no longer correct.*

This is potentially correct, but we chose not to do this for two reasons, 1) the temperature effect on  $\text{CO}_2(\delta_{11\text{Bplank}})$  is actually fairly minor (less so than  $\text{CO}_2(\epsilon_{\text{p-alk}})$ ) and 2) as we use different SST records for  $\text{CO}_2(\epsilon_{\text{p-alk}})$  and  $\text{CO}_2(\delta_{11\text{Bplank}})$  ( $U_{37}^{K'}$  for the former and Mg/Ca in planktic foraminifera for the latter) to keep the carrier organisms the same, producing a suitable SST for the  $\text{CO}_2(\delta_{11\text{Bplank}})$  would be non-trivial.

*Page 11 line 5 – “: :the current understanding of the  $\text{CO}_2(\text{ep-alk})$  proxy is wanting.” Yes, the  $b$  term may not in fact capture the scaling of physiological parameters or the truly important parameters.*

Although this may not have been the reviewers intention, we have lifted this nice phrasing almost in its entirety and added it at page 12 line 21-2, we hope they don't mind!

*Page 11 line 19 – The sentence starting, “Additionally,  $\text{CO}_2$  optima: : :” is a bit unclear. I think the authors are saying that different species have different  $\text{CO}_2$  optima so that CCM effects may vary between regions where different species dominate? But maybe not that. Please rewrite and clarify.*

We've restructured this sentence (page 14 lines 3-5) which is now hopefully clearer.

Additionally, following correspondence prompted by the publication of the discussion paper, we now improve the clarity and robustness of our citation of the ice core data with the addition of Table 1, and changed the discussion of other  $\text{CO}_2(\epsilon_{\text{p-alk}})$  records from the Pleistocene to better represent the intent of some of those works (page 11 lines 11-20).

# Insensitivity of alkenone carbon isotopes to atmospheric CO<sub>2</sub> at low to moderate CO<sub>2</sub> levels

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**Abstract** Atmospheric  $p\text{CO}_2$  is a critical component of the global carbon system and is considered to be the major control of Earth's past, present and future climate. Accurate and precise reconstructions of its concentration through geological time are, therefore, crucial to our understanding of the Earth system. Ice core records document  $p\text{CO}_2$  for the past 800 kyrs, but at no point during this interval were CO<sub>2</sub> levels higher than today. Interpretation of older  $p\text{CO}_2$  has been hampered by discrepancies during some time intervals between two of the main ocean-based proxy methods used to reconstruct  $p\text{CO}_2$ : the carbon isotope fractionation that occurs during photosynthesis as recorded by haptophyte biomarkers (alkenones) and the boron isotope composition ( $\delta^{11}\text{B}$ ) of foraminifer shells. Here we present alkenone and  $\delta^{11}\text{B}$ -based  $p\text{CO}_2$  reconstructions generated from the same samples from the Pliocene and across a Pleistocene glacial-interglacial cycle at ODP Site 999. ~~across a glacial-interglacial cycle.~~ We find a muted response to  $p\text{CO}_2$  in the alkenone record compared to contemporaneous ice core and  $\delta^{11}\text{B}$  records, suggesting caution in the interpretation of alkenone-based records at low  $p\text{CO}_2$  levels. This is possibly caused by the physiology of CO<sub>2</sub> uptake in the haptophytes. Our new understanding resolves some of the inconsistencies between the proxies and highlights that caution may be required when interpreting alkenone-based reconstructions of  $p\text{CO}_2$ .

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## 1. Introduction

Understanding the absolute level and evolution of atmospheric  $p\text{CO}_2$  through geological time is essential to our understanding of the Earth's Climate System. As both a fundamental, first order control, and a contributor to multiple dynamic feedbacks, atmospheric  $p\text{CO}_2$  is critical in setting Earth's surface temperature (Lacis et al., 2010). Reconstructing  $p\text{CO}_2$  evolution improves the understanding of both the mechanisms behind past climate change (Chalk et al., 2017), and provides novel constraints on climate sensitivities (Martínez-Botí et al., 2015; PALAEOSENS, 2012). This then allows ground-truthing of our understanding the climate and the Earth system models that are used for predicting future climate change.

Over the past two decades, two common marine-based  $\text{CO}_2$  proxies have emerged – alkenone-based  $\epsilon_p$  values ( $\text{CO}_{2(\text{ep-alk})}$ ), utilising the carbon isotopic fractionation imparted during photosynthesis in a subgroup of haptophytes (Bidigare et al., 1997), and planktic foraminiferal  $\delta^{11}\text{B}$  values ( $\text{CO}_{2(\delta^{11}\text{Bplank})}$ ), based on the pH control of boron speciation and isotopic fractionation in seawater (Hemming and Hanson, 1992). Multiple records of atmospheric  $p\text{CO}_2$  now exist for the Cenozoic from both methods, showing a broadly similar long-term trend from a high- $\text{CO}_2$  greenhouse world of the early Cenozoic when  $\text{CO}_2$  exceeded 400  $\mu\text{atm}$  and may have been as higher than 1000  $\mu\text{atm}$  to a low- $\text{CO}_2$  bi-polar glaciated world of the present late Pleistocene, when  $\text{CO}_2$  fell to below 300  $\mu\text{atm}$  (Anagnostou et al., 2016; Foster et al., 2017; Pagani et al., 2005, 2011; Pearson et al., 2009; Sossian et al., 2018; Super et al., 2018).

However, discrepancies have recently become apparent between both methods when applied to the last 20 Ma (Badger et al., 2013a, 2013b). Specifically, the  $\text{CO}_{2(\text{ep-alk})}$  reconstructions often suggest a lower magnitude of short-term  $p\text{CO}_2$  change compared to that from  $\text{CO}_{2(\delta^{11}\text{Bplank})}$  (Badger et al., 2013a). Whilst this could be partially explained by mismatches between the sampling intervals, or by the influence of local surface water disequilibrium with the atmosphere with respect to  $\text{CO}_2$ , this discrepancy remains even for records generated from exactly the same sediment samples (Badger et al., 2013b vs Martínez-Botí et al., 2015). Both the  $\text{CO}_{2(\text{ep-alk})}$  and  $\text{CO}_{2(\delta^{11}\text{Bplank})}$  have been used to estimate Earth system sensitivity in the Pliocene (~~e.g. Pagani et al., (2009) vs. Martínez-Botí et al., (2015)~~) with differing results:  $\text{CO}_{2(\text{ep-alk})}$  suggesting higher than present earth system sensitivity Pliocene (7-10 °C per  $\text{CO}_2$  doubling; Pagani et al., 2009), whilst  $\text{CO}_{2(\delta^{11}\text{Bplank})}$  records sensitivity in line with our estimates for today (<5 °C per  $\text{CO}_2$  doubling; Martínez-Botí et al., 2015). ~~;~~ ~~a~~ Although this is at least partly due to the



different approaches used to calculate Earth system sensitivity in the two studies, it is also due to the differences in reconstructed  $p\text{CO}_2$  from the two approaches.

The  $\text{CO}_{2(\text{ep-alk})}$  and  $\text{CO}_{2(\delta_{11}\text{Bplank})}$  palaeobarometers are both based on mechanistic frameworks that have been calibrated in either the modern ocean or laboratory culture (Bidigare et al., 1997; Hemming and Hanson, 1992; Pagani et al., 2002; Sanyal and Hemming, 1996). These proxies can be further ground-truthed in the recent geological past, when ice core records provide high-quality  $p\text{CO}_2$  data for the last 800 kyrs (Bereiter et al., 2015 [and Table 1](#)). In previous work, both  $\text{CO}_{2(\delta_{11}\text{Bplank})}$  (Chalk et al., 2017; Foster, 2008; Foster and Sexton, 2014; Henehan et al., 2013; Hönisch and Hemming, 2005; Sanyal et al., 1995) and  $\text{CO}_{2(\text{ep-alk})}$  (Jasper and Hayes, 1990) yield  $p\text{CO}_2$  records similar in absolute value and amplitude of change to those derived from ice cores. However, the emerging discrepancies between the two methods (Badger et al., 2013a, 2013b; Martínez-Botí et al., 2015**b**) necessitate revisiting this validation, both between the two proxies, and between marine proxy and ice core reconstructions.

The ice core records  $p\text{CO}_2$  of the Pleistocene glacial-interglacial cycles (Bereiter et al., 2015 [and Table 1](#); [Petit et al., 1999](#)) provide an opportunity for cross-calibrating proxy methods for determining atmospheric  $p\text{CO}_2$  in the geological archive ( $\text{CO}_{2(\text{ep-alk})}$  and  $\text{CO}_{2(\delta_{11}\text{Bplank})}$ ) with the direct- $\text{CO}_2$  measurements from the ice cores.

## 15 **1.2 Study Site**

Ocean Drilling Program Site 999 is located in the Caribbean Sea (12° 44.639' N, 78° 44.360' W, 2838m water depth; Figure 1), has an orbitally calibrated age model and has been used previously for  $\text{CO}_2$  reconstructions. Our temporal sampling resolution is ~6 kyrs in the Pleistocene and ~9 kyrs in the Pliocene. Although  $\text{CO}_{2(\text{ep-alk})}$  and  $\text{CO}_{2(\delta_{11}\text{Bplank})}$  are independent of one another in many respects, they both rely on assumptions about the equilibrium of surface seawater with the atmosphere with respect to  $\text{CO}_2$ , sea surface temperature, and on well-constrained age models, which can make direct comparison between records from different sites difficult. Here we overcome these problems by producing  $\text{CO}_{2(\text{ep-alk})}$  and  $\text{CO}_{2(\delta_{11}\text{Bplank})}$  records from identical horizons in the same deep ocean sediment core in 1) the late Pleistocene, permitting direct comparison to ice core data (Figure 2a, Figure 3), and 2) across the intensification of Northern Hemisphere glaciation (INHG) in the Pliocene (Martínez-Botí et al., 2015**a**; Seki et al., 2010) (Figure 2b).

In terms of CO<sub>2</sub>, ODP Site 999 in the Caribbean Sea is today slightly out of equilibrium with the atmosphere, with surface waters a little oversaturated in CO<sub>2</sub>, providing a small net source of CO<sub>2</sub> to the atmosphere (~21 μatm; Takahashi et al., 2009). However the site has been shown to be suitable for recording past changes in pCO<sub>2</sub> (Foster, 2008; Foster and Sexton, 2014) and the air-sea equilibrium is not thought to have changed significantly from the Pliocene to today (see discussion in Bartoli et al., 2011). It is one of few sites where both alkenone and boron isotope records can be acquired given the good preservation of both foraminifera and organic matter (Badger et al., 2013b; Foster, 2008; Foster and Sexton, 2014; Martínez-Botí et al., 2015a), and Pliocene records of both are available (Badger et al., 2013b; Bartoli et al., 2011; Martínez-Botí et al., 2015a). It also has been demonstrated previously to record glacial-interglacial cycles of pH/CO<sub>2</sub> (Foster, 2008; Henehan et al., 2013) and a Pleistocene CO<sub>2</sub>( $\delta^{11}\text{B}_{\text{plank}}$ ) record from 0-250 ka has been recently published (Chalk et al., 2017).

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## 2 Methods

### 2.1 Alkenone isotopes

Our new alkenone based CO<sub>2</sub> record was calculated following Badger et al., (2013b), with modern day phosphate used in the estimation of the 'b' term,  $U_{37}^{K'}$  ~~UK37~~ temperatures, and modern day salinity (35 psu). Samples were freeze dried, ground to a fine powder by hand, and extracted by Soxhlet apparatus using a dichloromethane (DCM) / methanol azeotrope (2:1, v/v) refluxing for 24 hours. Total lipid extracts were divided into three fractions (F) by small (4 cm) silica chromatography columns, with fractions eluting in 3 mL of *n*-hexane (F1), DCM (F2) and ethyl acetate/*n*-hexane (1:3. v:v, F3) respectively. Alkenones eluted in F2. Alkenone identification was confirmed by GC mass spectrometry (ThermoQuest Trace MS, He carrier gas) Alkenone isotope analyses were performed using a ThermoFisher Delta V connected via a Gas Chromatograph (GC) isolink and ConFlo IV to a Trace GC. The GC oven was programmed to increase in temperature from 70 °C to 200 °C at 20 °C min<sup>-1</sup>, then to 300 °C at 6 °C min<sup>-1</sup> and held isothermal for 25 min. Conversion to VPDB was performed by reference to a laboratory standard gas of known  $\delta^{13}\text{C}$  and system performance was monitored using in-house fatty acid methyl ester and *n*-alkane standard mixtures of known isotopic composition. Long term precision is approximately 0.3 ‰. To estimate SST, the F2 fraction was also analysed by GC- flame ionisation detection (Hewlett Packard 5890 Series II), the GC oven was programmed

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to increase in temperature from 70 °C to 130 °C at 20 °C min<sup>-1</sup>, then to 300 °C at 4 °C min<sup>-1</sup> and held isothermal for 25 min. An approximately 50 m, 0.32 mm internal diameter capillary column with a 0.12 µm thick dimethylpolysiloxane equivalent film. A H<sub>2</sub> carrier gas was used, and quantification was monitored using an hexadecan-2-ol standard added prior to column chromatography. System performance as monitored with an in-house fatty acid methyl ester standard.- Alkenone ratios were converted to SST using the global core-top calibration of (Müller et al., (1998), although this is a linear calibration, our uncertainty treatment (see below) should encompass any minor deviation from linear as  $U_{37}^{K'}$  approaches 1 (see also the discussion in (Badger et al., (2013b)). All alkenone analyses were carried out at the Bristol node of the of the NERC Life Sciences Mass Spectrometry Facility hosted by the Organic Geochemistry Unit, University of Bristol.

The alkenone isotope  $\delta^{13}\text{C}$  value is used to calculate the total carbon isotope fractionation that occurs during algal growth ( $\epsilon_p$ ).

10 This isotopic fractionation has been shown to be controlled by  $[\text{CO}_2]_{(\text{aq})}$  (equation 1; Jasper & Hayes 1990) which can then be converted to atmospheric  $\text{CO}_2$  using Henry's law.

Equation 1. 
$$\epsilon_p = \epsilon_f - \frac{b}{[\text{CO}_2]_{(\text{aq})}}$$

To calculate  $\epsilon_p$  from alkenone  $\delta^{13}\text{C}$  values the carbon isotopic composition of DIC is required, this is calculated from planktic foraminiferal calcite  $\delta^{13}\text{C}$ , whilst the fractionation which occurs during carbon fixation ( $\epsilon_f$ ), is here assumed constant. The 'b'

15 term is the sum of other physiological factors (such as growth rate, ~~and~~ cell size, ~~and~~ light limitation) which is estimated from the relationship shown in the modern ocean between 'b' and dissolved reactive phosphate  $[\text{PO}_4^{3-}]$ . Further details of the treatment can be detailed in Badger et al., (2013b).

Error bars in relevant figures are all 1sd and based on a full Monte Carlo propagation (n=10000) of the following uncertainties:

$\pm 2$  °C and  $\pm 0.1$  ‰ were applied to temperature and foraminiferal calcite  $\delta^{13}\text{C}$ , (normal probability function (pdf), 2σ error) and  $\pm 2$  and  $\pm 0.1$  to salinity and  $[\text{PO}_4^{3-}]$ , respectively (2σ; uniform pdf). Uncertainties on alkenone  $\delta^{13}\text{C}$  were estimated from replicate runs and calcite  $\delta^{13}\text{C}$  from repeat runs of an internal standard. Integrated analytical and calibration uncertainties for alkenone based temperatures were estimated and conservative estimates of likely variation for salinity and  $[\text{PO}_4^{3-}]$  were used.

An 11 % error on the slope of  $b = a[\text{PO}_4^{3-}] + c$  was assumed, where  $a = 116.96$  and  $c = 81.41$  (Pagani et al., 1999).

For consistency with the  $\text{CO}_2(\delta^{11}\text{B}_{\text{plank}})$  record for this Site, we now adjust for the disequilibrium by subtracting the present day  $\text{CO}_2$  surplus, and thus, have recalculated the included values of Badger et al., (2013b) accordingly. SSTs for our new Pliocene data were published in Davis et al., (2013).

## 2.2 Boron Isotopes

5 Boron isotope data were published in (Chalk et al., 2017) and are from the same core samples as our alkenone measurements. *Globigerinoides ruber sensu stricto* (white,  $n \sim 200$  individuals from 300-355 $\mu\text{m}$ ) samples were measured for boron isotope composition on Thermo Scientific Neptune MC-ICPMS at the University of Southampton according to methods described elsewhere (Foster et al., 2013; Martínez-Botí et al., 2015a; Rae et al., 2011). Analytical uncertainty is given by the external reproducibility of repeat analyses of Japanese Geological Survey Porites coral standard (JCP) at the University of Southampton  
10 following Henehan et al., (2013) and is typically  $<0.2 \text{ ‰}$  (at 95 % confidence). Metal element:calcium ratios (Li, Mg, B, Na, Al, Mn, Ba, Sr, Cd, U, Nd, and Fe) were analyzed using an Thermo Element 2XR ICP-MS at the University of Southampton). Here, these data are used to assess adequacy of clay removal ( $\text{Al}/\text{Ca} < 100 \mu\text{mol}/\text{mol}$ ) and to generate down core temperature. pH and  $\text{CO}_2$  were calculated using a Monte Carlo approach (uncertainties are 2sd,  $n = 10000$  replicates) using R (R Core Team, 2015), for pH we use a boron isotopic composition of seawater of 39.6 ‰ (2sd of 0.1, Foster et al. 2010) and experimentally  
15 determined isotopic fractionation factor (1.027, Klochko et al., 2006) as well as the species specific calibration for *G. ruber* of Henehan et al 2013 (also with incorporated uncertainties). For the  $\text{CO}_2$  calculations we use a range of salinity (equal to used in the  $\text{CO}_2(\text{ep-alk})$  calculations) and total alkalinity (Talk) that encompasses the modern values (34-37 and 2100-2500  $\mu\text{M}$ , respectively, both with a uniform rather than normal probability distribution. Temperature was determined using Mg/Ca of *G. ruber* following established methods (Delaney and Boyle, 1985; Evans and Müller, 2012)). Mg/Ca SST of planktic  
20 foraminifera is used for  $\text{CO}_2(\delta^{11}\text{B}_{\text{plank}})$  and alkenone  $U_{37}^{K'}$  for  $\text{CO}_2(\text{ep-alk})$ , so that the carrier organisms for the  $\text{CO}_2$  reconstruction and SST measurements match, ensuring the temperature measurement is coming from the appropriate part of the water column. Inorganic chemical constants were used from the seacarb package in R (Gattuso et al., 2015), and using published values for the pKB (Dickson, 1990). Reconstructed atmospheric  $\text{CO}_2$  v-values from Foster, (2008) were recalculated to match this approach. All uncertainties are included in our simulation and are roughly equivalent to those assumed for the alkenone data  
25 and are exactly the same as those used for Martinez-Boti et al. 2015 excluding the  $\delta^{11}\text{B}_{\text{sw}}$ , thus providing a fair comparison.

### 2.3 Coccolith length measurements

The uptake of CO<sub>2</sub> into the coccolithophore cell is effected by the cell size and geometry (~~Laws et al., 1997; Popp et al., 1998~~), using alkenones limits the variation of cell geometry by restricting the source organism to one with exclusively spherical cells (Laws et al., 1997; Popp et al., 1998), but some change in cell size is possible. Coccolith size is used as an semi-quantitative proxy for cell size because coccolith size is typically larger on larger cells, with that relationship being broadly consistent within a single taxonomic group where growth behaviour is broadly comparable (Gibbs et al., 2013; Henderiks, 2008; Sheward et al., 2017). Long-axis coccolith length measurements were therefore taken from 100 specimens of the family Noelaerhabdaceae per sample from standard smear slides. Specimens were imaged at 1500x magnification and measured using CellID software.

- 10 To investigate the potential influence of changing cell size on CO<sub>2</sub>(ep-alk) Equation 1 can be adapted:

Equation 2 
$$\varepsilon_p = \varepsilon_f - \frac{b'}{[CO_2]_{(aq)}}$$

With b' calculated from using the volume to surface area ratio (V:SA) of modern and fossil coccospheres (Equation 3; Henderiks and Pagani, 2007)

Equation 3 
$$b' = b \frac{V:SA_{fossil}}{V:SA_{Ehux}}$$

- 15 V:SA<sub>Ehux</sub> is 0.9 ± 0.1 μm in modern haptophytes (Popp et al., 1998) and V:SA<sub>fossil</sub> can be estimated from lith size measurements (Equation 4; Henderiks and Pagani, 2007)

Equation 4 
$$D_{cell} = 0.55 + 0.88L_{coccolith}$$

## 2.4 Age Model

For the interval 0-500 ka, we generated a detailed age model by tuning the planktic foraminifer (*G. ruber*)  $\delta^{18}\text{O}$  record from Site 999 (at ~0.5 to 2.0 kyr resolution) (Schmidt et al., 2006) to the LR04 benthic  $\delta^{18}\text{O}$  stack (Lisiecki and Raymo, 2005) using the Analyseries software (Paillard et al., 1996), the Pliocene portion of Site 999 is part the LR04 stack and that astronomically  
5 tuned age model is used here (Lisiecki and Raymo, 2005).

## 2.5 Bayesian exploration of $\text{CO}_2(\text{ep-alk})$ input variables

In order to examine the influence of the various input parameters for the calculation of  $p\text{CO}_2$  from alkenone  $\delta^{13}\text{C}$  values, we carry out a second set of Monte Carlo simulations ( $n=100,000$ ) with expanded uncertainty. In this case, we more fully explore uncertainty space using the following input uncertainties (at 95 % confidence or full range): SST (normal distribution,  $\pm 6^\circ\text{C}$ ),  
10  $\varepsilon_f$  (uniform distribution, 24 to 28),  $b$  (normal distribution,  $\pm 40$ ),  $\text{CO}_2$  disequilibrium ( $20 \pm 20$ ). These input distributions are our *prior* distributions. We then evaluate the  $\text{CO}_2$  output for each alkenone sample against synchronous ice core  $p\text{CO}_2$  and boron isotope  $p\text{CO}_2$  for the Pleistocene and Pliocene, respectively. By only selecting those simulated alkenone  $p\text{CO}_2$  levels that agree with ice core or  $p\text{CO}_2(\delta_{11\text{Bplank}})$  (including associated uncertainties), we can re-evaluate the input distributions (our *posterior*) and gain insights into the relative importance of each of the input variables in potentially driving the observed  
15 disagreements in  $p\text{CO}_2$ . Uncertainties in the  $p\text{CO}_2(\delta_{11\text{Bplank}})$  are as described above, and we apply an uncertainty of  $\pm 6$  ppm (2s) for the ice core  $p\text{CO}_2$  record (Ahn et al., 2012).

## 3 Results and Discussion

Alkenone and *G. ruber*  $\delta^{13}\text{C}$  values (Figure 3a,e) were used to calculate  $\varepsilon_p$  values (Figure 3c,g). Alkenone  $\delta^{13}\text{C}$  values are relatively stable through the Pleistocene portion of the record, varying between -24.5 ‰ and -23.2 ‰. Values are slightly  
20 higher in the Pliocene, varying between -24.1 ‰ and -21.7 ‰, *G. ruber*  $\delta^{13}\text{C}$  values are relatively stable through the whole record, varying between 0.53 ‰ and 1.57 ‰. These give rise to  $\varepsilon_p$  values which are similarly fairly stable, varying between 10.5 ‰ and 12.2 ‰ in the Pleistocene, and between 9.53 ‰ and 11.8 ‰ in the Pliocene. Our  $U_{37}^{K'}$  SST (Figure 3c,g) record shows warmer temperatures in the Pliocene of around  $27^\circ\text{C}$ , with cooler temperatures recorded in the Pleistocene, with the coldest SST recorded in the glacial which is  $\sim 2^\circ\text{C}$  cooler than the interglacial. These record are combined (see Methods)

to produce the  $p\text{CO}_2$  record (Figure 2, 3c,f) which shows largely stable and invariant values through both the Pliocene and Pleistocene portions of our record. ~~We estimate the 'b' term of equation 1 using the modern day relationship observed between 'b' and  $[\text{PO}_4^{3-}]$ . This term combines all other physiological factors which may influence  $\epsilon_p$ , including cell size, growth rate and light limitation.~~

- 5 Published low temporal resolution Pliocene records from Site 999 (Seki et al., 2010), using both the  $\text{CO}_2(\text{ep-alk})$  and  $\text{CO}_2(\delta^{11}\text{Bplank})$  palaeobarometers, show a  $p\text{CO}_2$  decrease at  $\sim 2.8$  Ma. However, this agreement relies on correcting the  $\text{CO}_2(\text{ep-alk})$  for changes in haptophyte cell size, which was based on a low temporal resolution lith size record (Seki et al., 2010). Changes in haptophyte cell size alter the volume:surface area ratio available for gaseous exchange, and can therefore modify the fractionation recorded by  $\text{CO}_2(\text{ep-alk})$  (Popp et al., 1998). Our new  $\text{CO}_2(\text{ep-alk})$  record at Site 999 now spans 3.3- 2.6 Ma at higher temporal resolution,
- 10 supplementing data from Badger et al., (2013b). A lith size record has also been generated for the same samples used for  $\text{CO}_2(\text{ep-alk})$  for 3.3-2.6 Ma (Davis et al., 2013). We find no evidence to support the change in lith size applied by Seki et al., (2010) with lith size (and hence cell size) remaining stable across the primary  $p\text{CO}_2$  change at 2.8 Ma (Davis et al., 2013). Consequently, although our new  $\text{CO}_2(\text{ep-alk})$  record is higher resolution than that of Seki et al., (2010), we no longer have any evidence for the cell size shift at 2.7 Ma (Figure 4).
- 15 We compare our record with the  $\text{CO}_2(\delta^{11}\text{Bplank})$  records of Martínez-Botí et al., (2015) in Figure 2. With the cell size correction now removed, the decrease in  $\text{CO}_2(\text{ep-alk})$  across the INHG, and the agreement of  $\text{CO}_2(\text{ep-alk})$  with  $\text{CO}_2(\delta^{11}\text{Bplank})$ , both now disappear (red symbols, Figure 2b). As such,  $\text{CO}_2(\text{ep-alk})$  for the whole of this Pliocene interval (2.6 – 3.3 Ma) remains stable and low (mean  $\text{CO}_2(\text{ep-alk}) = 251 \pm 13$ ;  $1\sigma$  min=228 max=286  $\mu\text{atm}$ ), whereas  $\text{CO}_2(\delta^{11}\text{Bplank})$  is on average higher and more variable (mean  $\text{CO}_2(\delta^{11}\text{Bplank}) = 342 \pm 50$ ;  $1\sigma$  min=234 max=452  $\mu\text{atm}$ ).
- 20 In the Pleistocene, our  $\text{CO}_2(\text{ep-alk})$  record covers one complete glacial-interglacial (G-IG) cycle from 110 – 260 ka, encompassing Marine Isotope Stage (MIS) 5,6,7 the end of MIS 8, and terminations II and III (red open diamonds, Figure 2a). The  $\text{CO}_2(\delta^{11}\text{Bplank})$  record of Chalk et al. (2017) covers two G-IG cycles from the late Holocene to MIS8 (blue open circles, Figure 2a).  $\delta^{11}\text{Bplank}$  closely tracks the rise and fall of  $p\text{CO}_2$  derived from ice cores (Chalk et al., 2017), with  $\text{CO}_2(\delta^{11}\text{Bplank})$  exhibiting similar values to atmospheric  $\text{CO}_2$  within uncertainty (Figure 2a), and with only small deviations from ice core  $\text{CO}_2$  as a result

of: (i) the noise in the reconstruction; and (ii) perhaps a small diagenetic effect on  $\text{CO}_2(\delta^{11}\text{Bplank})$  relating to periods of carbonate dissolution in portions of the core which show high foraminiferal fragmentation (e.g. MIS 5d; Schmidt et al., 2006).

In contrast,  $\text{CO}_2(\text{ep-alk})$  is within error of the ice core data only during the interglacials when  $\text{CO}_2$  partial pressures are similar to those of the pre-industrial era. Crucially,  $\text{CO}_2(\text{ep-alk})$  clearly fails to record the lower  $p\text{CO}_2$  of the glacials, remaining at around  
5  $260 \mu\text{atm}$  throughout (mean  $\text{CO}_2(\text{ep-alk})=259\pm 27$ ; Figure 2a). This concentration of  $p\text{CO}_2$  is also very close to that recorded by  $\text{CO}_2(\text{ep-alk})$  in the Pliocene at this Site (mean  $\text{CO}_2(\text{ep-alk})=252\pm 26 \mu\text{atm}$ ; Figure 2). Similar alkenone behaviour has also been observed in another, albeit lower resolution, record from ODP Site 925 (Zhang et al., 2013; Figure 2), where the  $\text{CO}_2(\text{ep-alk})$  remains unchanged during the Pleistocene (20 – 170 Ka) and Pliocene.

Overall, these results suggest that, at least at these sites, the  $\text{CO}_2(\delta^{11}\text{Bplank})$  palaeobarometer does faithfully record atmospheric  
10  $\text{CO}_2$  change, whereas the  $\text{CO}_2(\text{ep-alk})$  proxy is unable to reconstruct the low levels of atmospheric  $\text{CO}_2$  during the glacial. This suggests that, in its present and frequently applied form,  $\text{CO}_2(\text{ep-alk})$  is not accurately recording atmospheric  $\text{CO}_2$ , and this could explain the discrepancy between the Pliocene  $\text{CO}_2(\text{ep-alk})$  and  $\text{CO}_2(\delta^{11}\text{Bplank})$  records. We further evaluate this by using regression analysis between ice core and the paired-proxy data (Figure 5).  $\text{CO}_2(\delta^{11}\text{Bplank})$  levels are largely consistent with those determined from ice cores, clustering around the 1:1 line with a slope also close to 1 ( $0.95\pm 0.13$ ) (Figure 5a), whereas variance in  $\text{CO}_2(\text{ep-alk})$   
15  $\text{CO}_2(\text{ep-alk})$  is strongly muted compared to that observed in the ice core data (Figure 5b).

As both cell size (Popp et al., 1998) and growth rate (Bidigare et al., 1997) can modify  $\delta^{13}\text{C}_{\text{alk}}$  via the ‘b’ term, we investigated whether either of these could explain the muted response of  $\text{CO}_2(\text{ep-alk})$  to atmospheric  $\text{CO}_2$ . Haptophyte cell size can be estimated from their lith size, but as noted above, there is no evidence for significant changes in the Pliocene (Davis et al., 2013) nor is there evidence for any change across MIS5-8 (Figure 4a). There is an overall reduction in mean lith size from the  
20 Pliocene to the Pleistocene (Figure 4a, b), which could offset a long term  $p\text{CO}_2$  decline and thus explain the apparent lack of difference between Pliocene and Pleistocene  $\text{CO}_2(\text{ep-alk})$  at Site 999 (Figure 6). However, this longer-term reduction in lith size cannot explain the muted response to Pleistocene G-IG  $\text{CO}_2$  change.



Growth rate is more difficult to reconstruct; most available proxy systems reconstruct phytoplankton or whole ecosystem productivity, rather than coccolithophorid growth rate. However emerging trace metal datasets do suggest changing productivity on glacial-interglacial timescales at Site 999, with lower productivity in the glacial (Trumbo, 2015). If lower productivity is linked with a simultaneous reduction in growth rate, then it could explain some of the lack of signal in  $\text{CO}_2(\text{ep-alk})$ .

5 ~~alk); however to reduce the  $\text{CO}_2(\text{ep-alk})$  sufficiently to overlap with ice core  $\text{CO}_2$  would require an order of magnitude reduction in growth rates during the glacial. -~~

This suggests that either our understanding of growth rate effects on  $\text{CO}_2(\text{ep-alk})$  is incorrect, or the estimation of cell size using preserved liths does not capture original cell size variations, or a combination of these or other factors leads to the rather muted trends in  $\text{CO}_2(\text{ep-alk})$  through the glacial-interglacial cycle.

10 The failure of  $\text{CO}_2(\text{ep-alk})$  from these two sites to record the G-IG  $p\text{CO}_2$  variation also necessitates reassessment of earlier  $\text{CO}_2(\text{ep-alk})$  studies that were able to reconstruct such changes. For instance whilst Jasper and Hayes, (1990) replicated the  $\text{CO}_2$  change over the last 100 kyrs of the Vostok ice core from DSDP Site 619 (Gulf of Mexico); ~~Figure 1,6) and (Bae et al., (2015) are able to replicate the ice core data at a site in the Japan Sea, records from the Arabian Sea (Palmer et al., 2010), Angola Current (Andersen et al., 1999) and the equatorial Atlantic (Zhang et al., 2013) either fail to record ice core  $\text{CO}_2$  or require additional~~

15 ~~corrections to do so (Figure 7). - However, It may also be that at some sites, such as at a record from the Equatorial Pacific Site (MANOP site C; (Figure 1, 76)- these records represent changing air-sea disequilibrium also failed to replicate the  $p\text{CO}_2$  changes observed in the ice core data over the last 255 kyrs, and instead was interpreted as recording changes in air sea equilibrium, not atmospheric  $\text{CO}_2$  (Jasper et al., 1994). Smoothing and correction for estimated growth rates revealed the gross features of the ice core record (Stoll and Schrag, 2000), but still only recorded 30-35 % of the variance in the ice core data~~

20 ~~(Bereiter et al., 2015; Stoll and Schrag, 2000). These Ttwo of these studies interpreted their data using a different  $\epsilon_p$  relationship than later work; when these data are recalculated using the more recent model the patterns remain unchanged (Figure 76). What is more, in a global alkenone  $\delta^{13}\text{C}$  calibration study (Pagani et al., 2002) aimed at replicating Holocene atmospheric conditions it was noted that low latitude (sub-tropical) sites perform poorly, consistent with our observations. Considering this~~

present study and previously published work, 3-5 out of 4-7 late Pleistocene alkenone  $\delta^{13}\text{C}$  studies do not show the variations in  $p\text{CO}_2$  evident from contemporaneous ice core records (Figure 2; Figure 76).

Our Bayesian approach allows us to explore the  $\text{CO}_{2(\text{ep-alk})}$  proxy, as it was mathematically expressed by Bidigare et al (1997) and subsequent authors, and test which variables may be responsible for causing the observed disagreements with the ice core and  $\text{CO}_{2(\delta_{11\text{Bplank}})}$  records given a largely invariant  $\epsilon_p$  for the Pleistocene. Figure 87 illustrates the prior distributions of the input variables (blue) and an example posterior for the alkenone sample at 150 kyr (red). As can be seen in this example, selecting only those simulations of  $\text{CO}_{2(\text{ep-alk})}$  that overlap with the ice core  $\text{CO}_2$  for this time interval shifts the distributions such that an agreement is found when b is lower than the prior,  $\epsilon_f$  tends to be higher than the prior and SST and  $\text{CO}_2$  disequilibrium are little different. Figure 98 shows the posterior median and 95 % distribution of b,  $\epsilon_f$  and SST for all the samples from the Pleistocene and Pliocene in time series. Patterns that emerge are illustrated in Figure 109, where a negative relationship between  $p\text{CO}_2$  and posterior  $\epsilon_f$  and a positive relationship between  $p\text{CO}_2$  and posterior b and SST is evident. For SST it should also be noted that for the Pleistocene the posterior correlates well with the prior, while for the Pliocene it is significantly elevated (Figure 109), perhaps suggesting a role for incorrect SST in driving some of the lack of Pliocene to Pleistocene change in  $\text{CO}_{2(\text{ep-alk})}$  observed (Figure 2). This SST change would however need to be substantial and go beyond the  $\pm 2$  °C we include in our uncertainty propagation, and would also potentially influence  $\text{CO}_{2(\delta_{11\text{Bplank}})}$ , further complicating this finding.

We recognize that the nature of the patterns we observe here is a function somewhat of the range used for each input term. The chosen ranges are however conservative, but realistic, assessments of the likely uncertainty associated with each term. For instance,  $b \pm 40$  encompasses the residual scatter around the relationship between b and  $[\text{PO}_4]$  described by Pagani et al., (2005). In addition to pointing towards a potential underestimate of Pliocene SST with the  $U_{37}^{K'}$  proxy at ODP 999, this Bayesian treatment supports the assertion that the current understanding of the  $\text{CO}_{2(\text{ep-alk})}$  proxy is wanting and that the b term may not in fact capture the scaling of the relevant physiological parameters or those that are truly important. In particular, it appears that the physiological parameters packaged in the b-term, and potentially the degree of fractionation upon fixation,  $\epsilon_f$ , are themselves a function of  $\text{CO}_2$  or some parameter that correlates with  $\text{CO}_2$  (e.g. temperature, nutrients, growth rate etc.).

As noted above mean lith size is significantly different for the Pliocene and Pleistocene. A comparison of our posterior b and lith size does reveal a good correlation between these variables (Figure 11;  $r^2 = 0.52$ ,  $p < 0.01$ ), though this is largely, but not exclusively, a function of the mean change across the Plio-Pleistocene. Importantly, the observed relationship between b and lith size is very different from that described in (Henderiks and Pagani, (2007)). Suggesting that if lith size is important, our understanding, at least as laid out in (Henderiks and Pagani, (2007)) is incorrect.

An alternative explanation however could be that the ~~invariant~~-parameterisation of physiological factors into the 'b' term-model ~~could be~~ simply flawed in general, or is at least lacking important components. The dominant species producing alkenones in this part of the Caribbean today, ~~and likely since its first appearance 268 kyrs ago~~, is *Emiliana huxleyi* (Winter et al., 2002). *E. huxleyi* first appears 290 kyrs ago, but did not become the dominant Noelaerhabdaceae until ~82 Ka when it began to outcompete the closely related *Gephyrocapsa* spp. which in turn took over from *Reticulofenestra* in the late Pliocene (Gradstein et al., 2012; Raffi et al., 2006). ~~Both our Pleistocene-alkenone records are therefore a composite of closely-related but distinct noelaerhabdacean species, with nether record dominated by *E. huxleyi*. We cannot rule out that there could be physiological differences between the extant *E. huxleyi* species and the alkenone producers for our record.~~ However the Site 925  $\text{CO}_2(\text{ep-alk})$  record of the last glacial-interglacial cycle, which would be primarily sourced from *E. huxleyi* is similarly flat, suggesting that species specific biosynthesis differences are unlikely to be the whole story. The *Reticulofenestra-Gephyrocapsa-Emiliana* lineage has strong stratigraphic, morphological and genetic support, with *Emiliani* and *Gephyrocapsa* only recently genetically diverging (Bendif et al., 2016). Likely these taxa shared the same or similar ecologies. Recent experimental work has shown that this globally important species has evolved a carbon concentrating mechanism (CCM) to respond to limiting  $\text{CO}_2$  by upregulating genes at low DIC to maintain carbon requirements (Bach et al., 2013). CCMs result in a breakdown of the relationship between  $\epsilon_p$  and  $\text{CO}_2$  as defined and calibrated by Bidigare et al., (1997). It has been thought that the increased expression of CCMs will cause  $\epsilon_p$  values to decrease, due to the isotopic offset between  $\text{CO}_{2(\text{aq})}$  and  $\text{HCO}_3^-$  and decreased carbon leakage from the cell (Zhang et al., 2013), effectively exacerbating the expected trend towards lower  $\epsilon_p$  values at lower  $p\text{CO}_2$  and inconsistent with our observation of relatively stable  $\epsilon_p$  values across G-IG cycles.

However, CCMs appear to modulate carbon flow across cellular compartments (e.g. cytosol, chloroplast and calcification vesicle), and could also yield elevated rather than lower  $\epsilon_p$  due to the concentrating of  $\text{CO}_2$  at the site of carbon fixation (Bolton and Stoll, 2013). Additionally, as temperature modulates resource allocation between biosynthesis and photosynthesis (Sett et al., 2014),  $\text{CO}_2$  optima are species specific and vary with temperature which may explain why some sites in the region with  
5 different dominant haptophyte species are capable of recording G-IG changes; ~~whilst others struggle, as temperature modulates resource allocation between biosynthesis and photosynthesis (Sett et al., 2014)~~. Furthermore, it has been postulated that changes in carbonate chemistry affect the redox state inside *E. huxleyi* cells which subsequently causes a reorganization of carbon flux within and across cellular compartments (Rokitta et al., 2012). Such a re-distribution of inorganic carbon amongst different pathways also likely influences  $\epsilon_p$  and is currently not mechanistically represented by Bidigare et al., (1997) and  
10 other models.

#### 4. Conclusions

Our data show that the classical application of the alkenone  $p\text{CO}_2$  proxy fails to capture glacial-interglacial changes observed in the ice cores. With increased confidence in  $\text{CO}_2(\delta_{11}\text{Bplank})$  supplied by that proxy's ability to capture Pleistocene  $p\text{CO}_2$  variability, our data also suggest that the discrepancy between  $\text{CO}_2(\delta_{11}\text{Bplank})$ - and  $\text{CO}_2(\text{ep-alk})$  in the Pliocene may also be due to  
15 problems with  $\text{CO}_2(\text{ep-alk})$ . Emerging insights into coccolithophore  $\text{CO}_2$  allocation pathways and their sensitivity to  $\text{CO}_2$  and temperature, in conjunction with our inter-proxy comparisons, indicate that the long-standing  $\text{CO}_2(\text{ep-alk})$  proxy requires major revision and recalibration. If CCMs are preferentially more important for the alkenone palaeobarometer than growth rate, the muted alkenone palaeobarometer response may be limited to the low  $\text{CO}_2$  world of the Plio-Pleistocene and particularly in tropical waters where  $\text{CO}_2[\text{aq}]$  is especially low. By extension, this proxy (and interpretations based on it) likely retains utility  
20 at the higher  $\text{CO}_2$  levels typical of the early Cenozoic (and at high latitudes where  $\text{CO}_2[\text{aq}]$  is high) where active carbon uptake is less likely (Zhang et al., 2013). This is especially true if haptophyte CCMs only evolved in the late Miocene as a response to declining  $\text{CO}_2$  levels (Bolton and Stoll, 2013). Regardless, the discrepancy between  $\text{CO}_2(\text{ep-alk})$  and ice core  $\text{CO}_2$  records indicates that alkenone isotopes in several locations do not faithfully record atmospheric  $\text{CO}_2$  at relatively low, Plio-Pleistocene-like  $\text{CO}_2$  levels. Furthermore, the muted response of  $\text{CO}_2(\text{ep-alk})$  to  $[\text{CO}_2(\text{aq})]$  at lower concentrations calls into

question the underlying basis of the high climate sensitivities previously reconstructed using this method in the Plio-Pleistocene (Pagani et al., 2009). This, coupled with further evidence of the fidelity of  $\text{CO}_2(\delta_{11}\text{Bplank})$  at Site 999 suggests that the climate sensitivities derived from  $\text{CO}_2(\delta_{11}\text{Bplank})$  (which are consistent with climate models used both in palaeoclimate and future climate projections) are more accurate (Martínez-Botí et al., 2015<sup>a</sup>).

## Author contributions

MPSB and GLF conceived the study [conceptualization], MPSB, TBC and GLF designed the methodology, carried out data collection and analysed the data [formal analysis, investigation, methodology]. PRB, SJG, HP and AM performed data collection, PFS finalised the age model [investigation]. MPSB wrote the manuscript and prepared figures [Visualisation, Writing – original draft]. RDP (PI) and GLF and DNS (CoIs) supervised the project and acquired funding [Funding acquisition & Supervision]. All authors contributed to interpretation, writing and reviewing the manuscript [Writing – review & editing].

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## Tables

**Table 1: Sources of ice core data used throughout as compiled by Bereiter et al., (2015)**

Age interval (Kyr BP)	Ice core location	Reference
-0.051 – 1.8	Law Dome	Rubino et al., (2013)
1.8 – 2	Law Dome	MacFarling Meure et al., (2006)
2 – 11	Dome C	Monnin et al., 2001, (2004)
11 – 22	WAIS	Marcott et al., (2014)
22 – 40	Siple Dome	Ahn and Brook, (2014)
40 – 60	TALDICE	Bereiter et al., (2012)
60 – 115	EDML	Bereiter et al., (2012)
105 – 155	Dome C Sublimation	Schneider et al., (2013)
155 – 393	Vostok	Petit et al., (1999)

## Figures

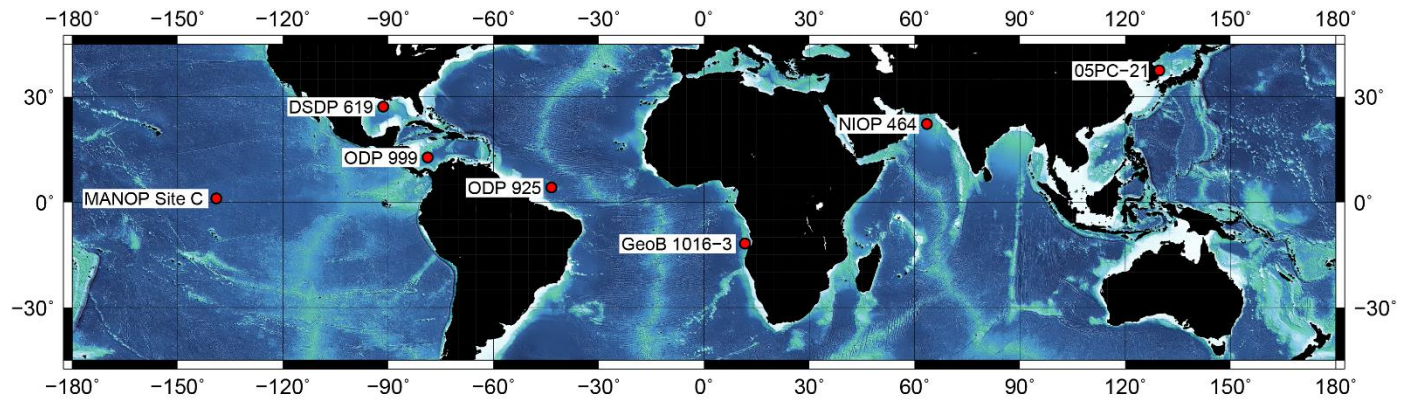
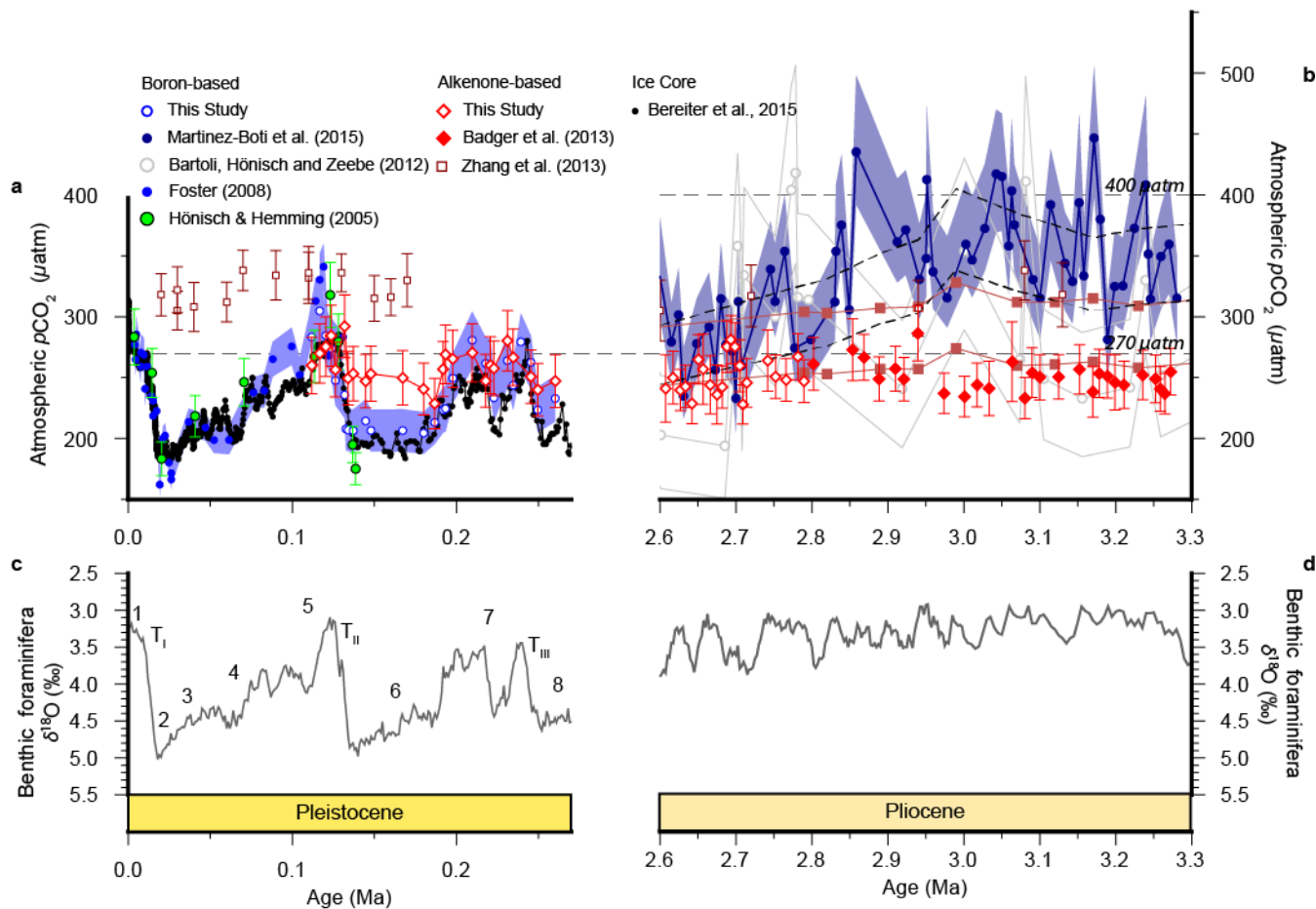


Figure 1 Site map. Locations of sites discussed the text.

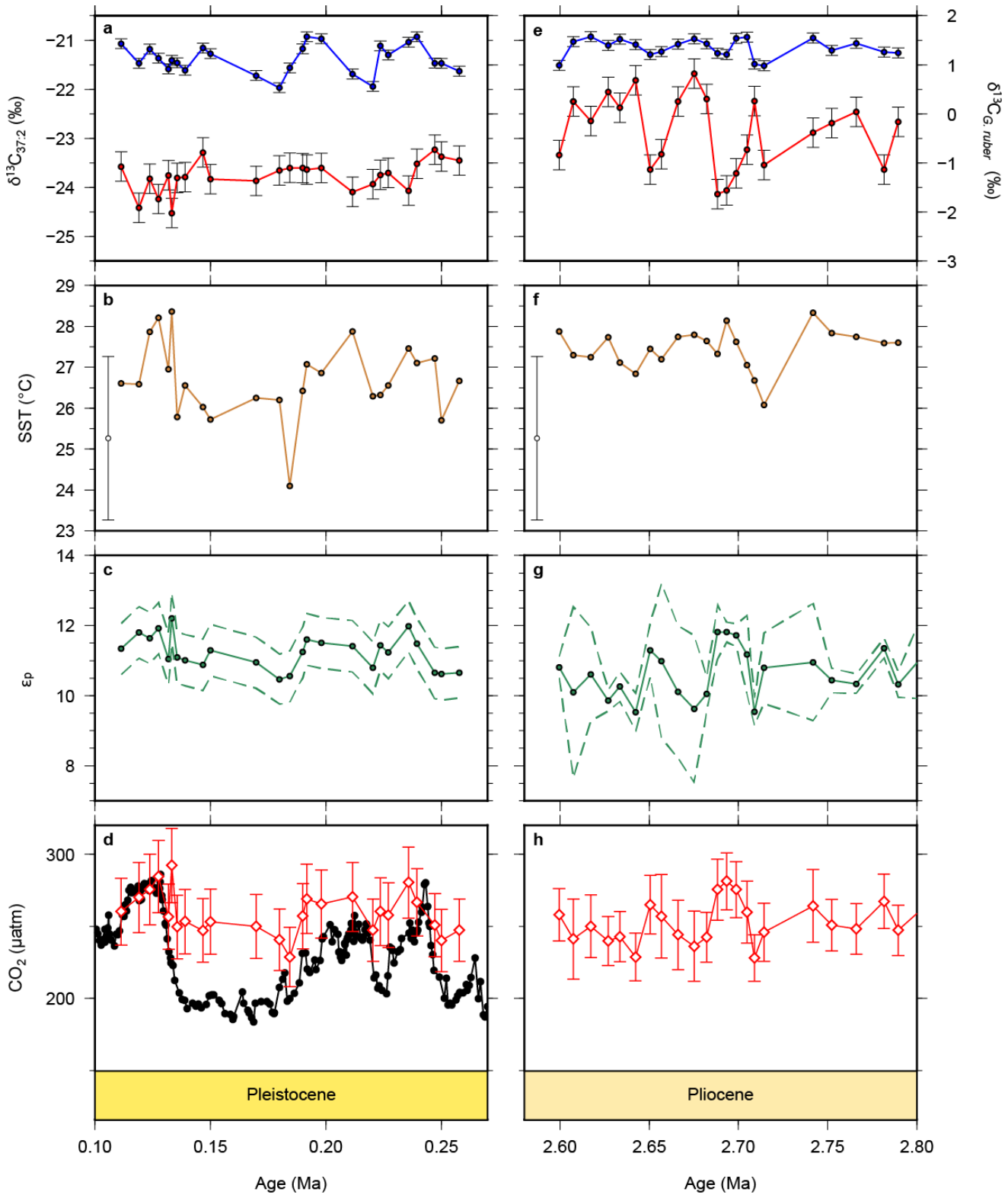




**Figure 2 Atmospheric CO<sub>2</sub> reconstructions through the Plio-Pleistocene. a:** Published boron isotope CO<sub>2</sub>( $\delta^{11}\text{B}_{\text{plank}}$ ) records from ODP Site 999 (open blue circles; (Chalk et al., 2017), bright blue filled circles; (Foster, 2008 recalculated as described in the text), grey open circles (Bartoli et al., 2011), dark blue filled circles (Martínez-Botí et al., 2015)) and DSDP Site 668 (green filled circles (Hönisch and Hemming, 2005)); **b:** published CO<sub>2</sub>( $\text{ep-alk}$ ) records from ODP Site 925 (maroon open squares (Zhang et al., 2013)) and ODP Site 999 (red filled diamonds (Badger et al., 2013b) and ice core records (black filled squares (Bereiter et al., 2015 and Table 1; Petit et al., 1999))), as well as our new alkenone isotope records from ODP Site 999 (red open diamonds). The lith-size corrected (black dashed envelope) and uncorrected (red solid envelope) of Seki et al., (Seki et al., 2010) are also shown. All records are shown with 1 $\sigma$  uncertainties as described elsewhere. **c:** benthic foraminiferal stable oxygen isotope stack (Lisiecki and Raymo, 2005) with Marine Isotope Stages (MIS; numerals) and Terminations (T) indicated.







5 | **Figure 3** New and recalculated data for  $\text{CO}_{2(\text{ep-alk})}$  for the Pleistocene and Pliocene from ODP Site 999. Alkenone  $\delta^{13}\text{C}$  values are shown as red circles for the Pleistocene (a) and Pliocene (b) with *G. ruber*  $\delta^{13}\text{C}$  from the same samples shown in blue. Alkenone unsaturation-derived SST is shown for the Pleistocene (b) and Pliocene (f). The Pliocene SST data has been previously published as Davis et al., 2013 and is from the same samples as our alkenone  $\delta^{13}\text{C}$  values. Calculated  $\epsilon_p$  data for the Pleistocene (c) and Pliocene (g) and atmospheric  $p\text{CO}_2$  from  $\text{CO}_{2(\text{ep-alk})}$  for the Pleistocene (d) and Pliocene (h) (red diamonds). Ice core  $p\text{CO}_2$  data is shown for the Pleistocene (black circles) for comparison (Bereiter et al., 2015 [and Table 1](#)).

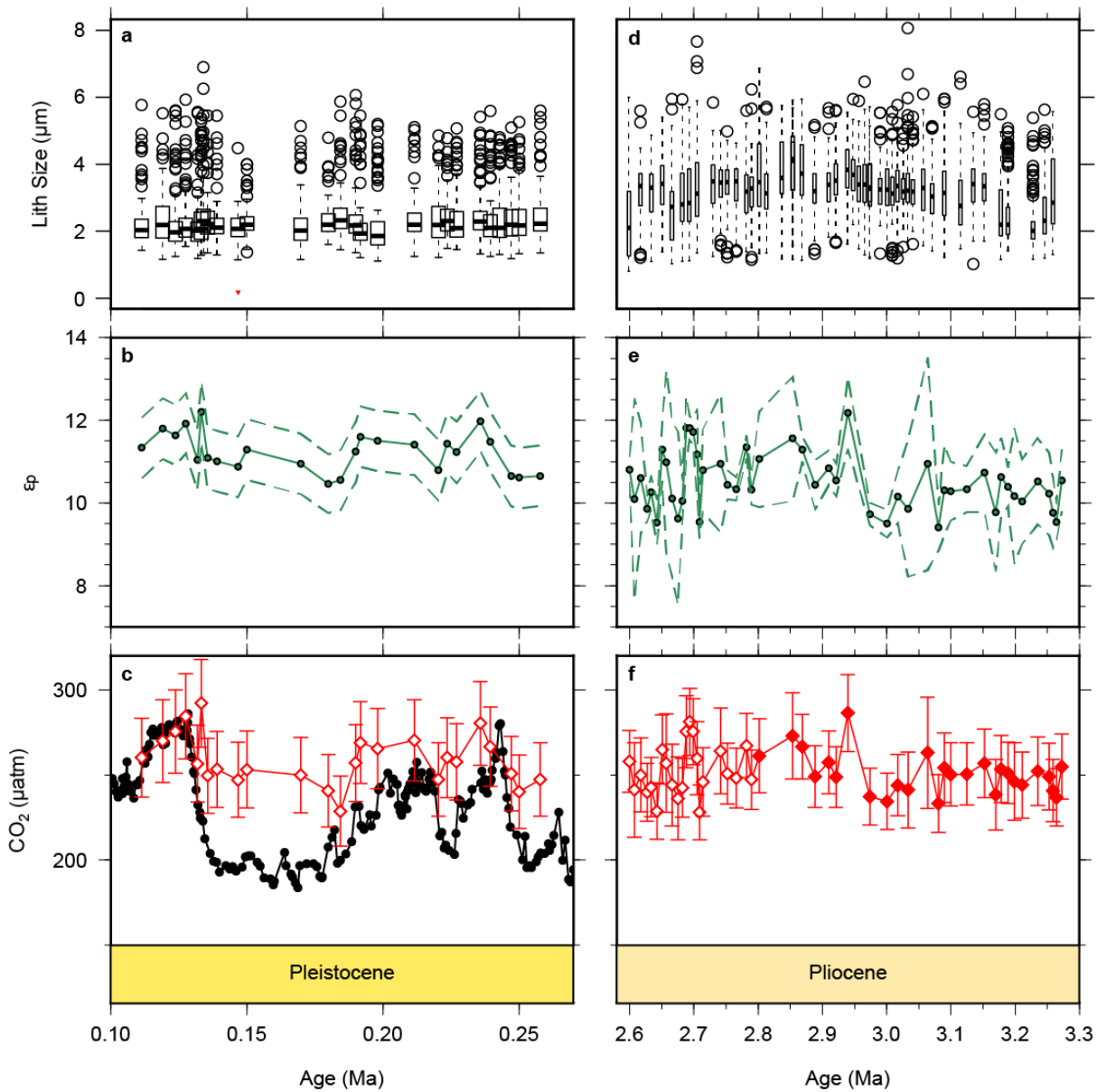


Figure 4 Lith Size data for samples used for CO<sub>2</sub> calculations. Pleistocene lith size (a,b) are from this study, whilst Pliocene (b) values were published previously (Davis et al., 2013) but are from the same samples as our CO<sub>2</sub> estimates. Pleistocene ε<sub>p</sub> (b) are from this study, whilst the Pliocene data is from this study (2.6-2.8 Ma) and for Badger et al., (2013b) (2.8-3.3 Ma). The lower panels show CO<sub>2</sub>(<sub>ep-alk</sub>) for the Pleistocene (c) and Pliocene (f) as red diamonds. The filled diamonds in (f) are (Badger et al., 2013a). The Pleistocene ice core data (Bereiter et al., 2015 and Table 1) are shown for comparison in (c). The drop in lith size from the Pliocene to Pleistocene is similar to what has been documented previously (YOUNG, 1990). Outliers in a and d were calculated following the 1.5 rule in R (R Core Team, 2015).

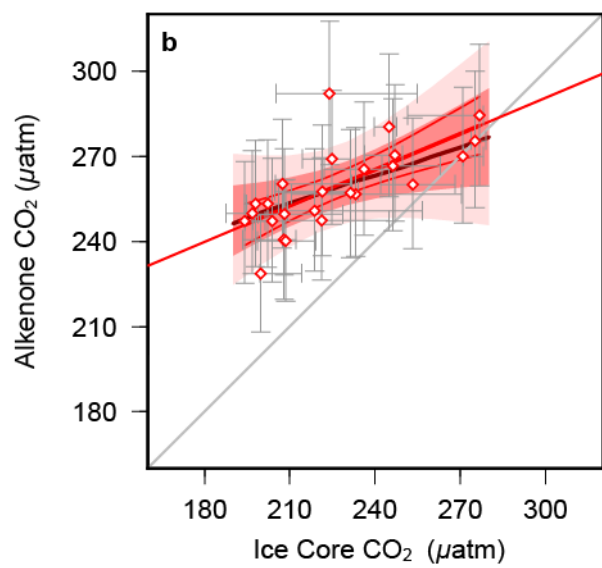
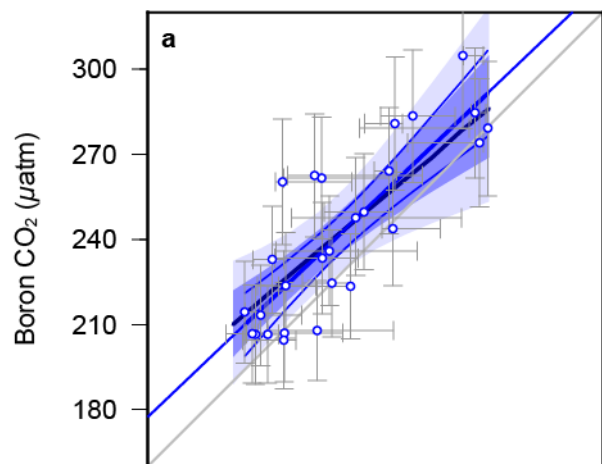
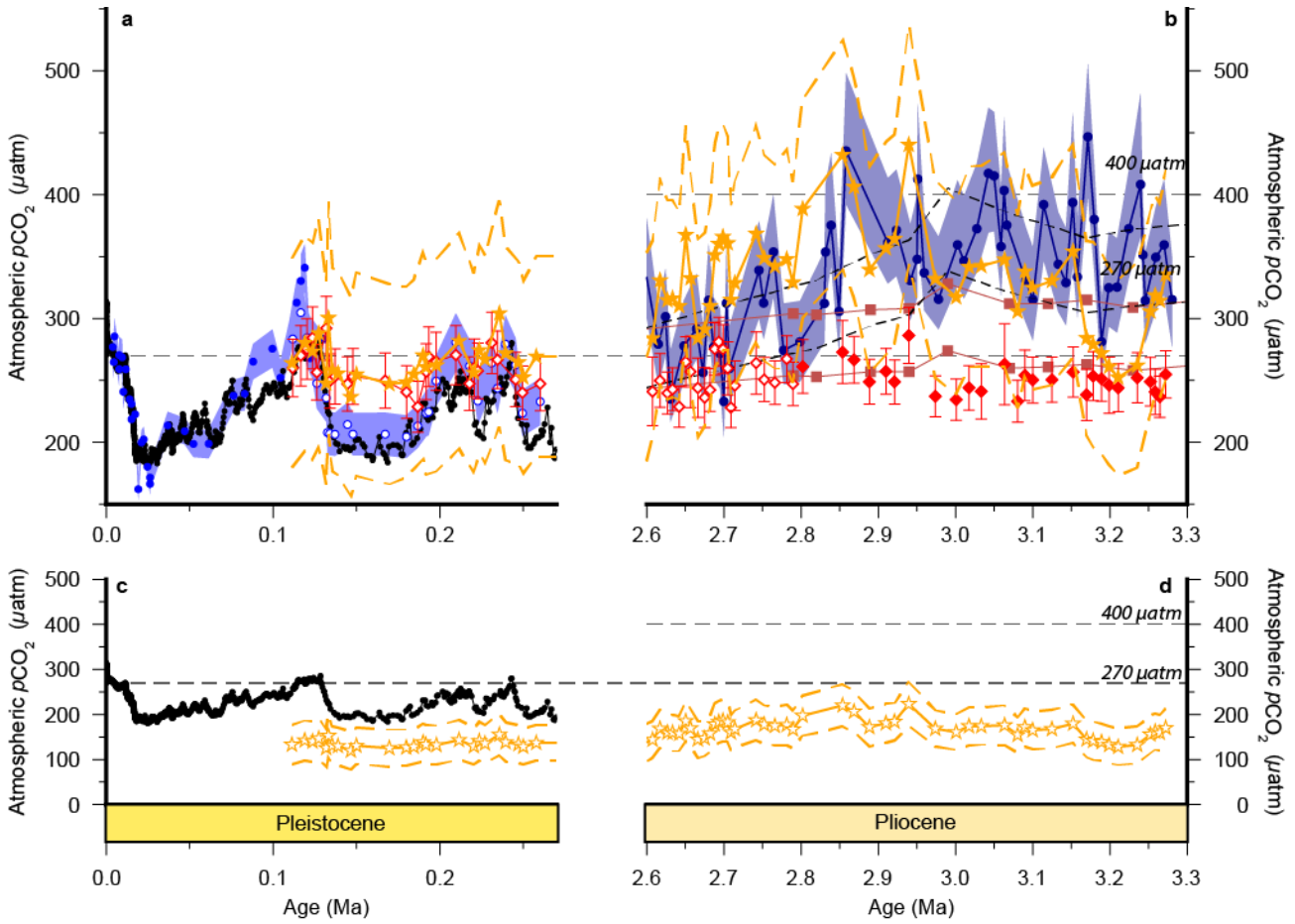
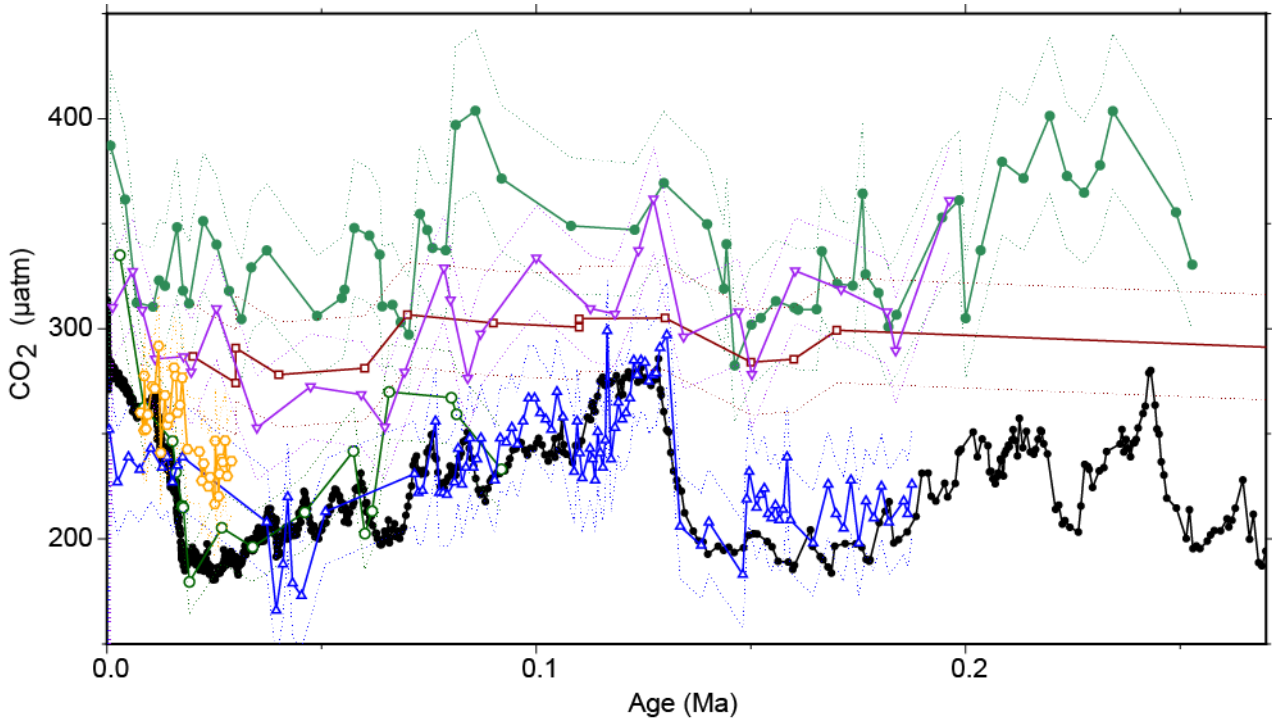


Figure 5 Regression analyses of proxy-based  $p\text{CO}_2$  with ice core data a;  $\text{CO}_2(\delta^{11}\text{B}_{\text{plank}})$  (Chalk et al., 2017) and b;  $\text{CO}_2(\text{ep-alk})$  vs ice core data (Bereiter et al., 2015 [and Table 1](#)) for MIS5-8, interpolated in the age domain. Regression lines (in red/blue) are linear fits with 68 and 95 % confidence intervals, calculated by bootstrapping the uncertainties in proxy  $p\text{CO}_2$  (Monte Carlo method described in methods). Uncertainty in the ice core values are by estimated by applying a 3000 uncertainty in the age model during interpolation. 5 Uncertainty envelopes considering data points alone (no bootstrap) are solid lines, with pmax regressions in the thicker, darker colours. A 1:1 line is shown in grey for comparison. Statistical calculations were performed in R (R Core Team, 2015).



**Figure 6 Cell size corrections to CO<sub>2(ep-alk)</sub>. Ornamentation is the same as Figure 1, with the addition of cell size corrected CO<sub>2(ep-alk)</sub>. The smaller liths than modern *E. huxleyii* across all of our records of our records mean that a direct application of the method of Henderiks and Pagani (2007) result in substantially lower CO<sub>2(ep-alk)</sub> throughout (orange open stars, panels c,d). As the main interest is in the effect of the Plio-pleistocene change in cell size we observe, we adjusted the b' term so that CO<sub>2(ep-alk)</sub> matched our uncorrected CO<sub>2(ep-alk)</sub> record during the last interglacial (orange filled stars, panels a,b).**

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**Figure 76** Recalculated  $\text{CO}_2(\text{ep-alk})$ . Previous work (Jasper et al., 1994; Jasper and Hayes, 1990) calculated  $\text{CO}_2(\text{ep-alk})$  using a different model; here we recalculate the earlier work using the modern methodology and Monte Carlo propagation applied to our other sites.

5 All previous records have been recalculated using the same methodology as our new record, with some corrections and adjustments (for example for growth rate or lith size) removed to allow direct comparisons. Records are from MANOP Site C from the central equatorial Pacific ( $0^\circ 57.2' \text{ N}$ ,  $138^\circ 57.3 \text{ W}$ ; green filled circles; Jasper et al., 1994), DSDP Site 619 in the Pigmy Basin, northern Gulf of Mexico ( $27^\circ 11.6' \text{ N}$ ,  $91^\circ 24.5' \text{ W}$ ; green open circles Jasper and Hayes, 1990), site 05PC-21 from the Japan Sea ( $38.40^\circ \text{ N}$ ,  $131.55^\circ \text{ E}$ ; blue open triangles, (Bae et al., 2015), site NIOP464 in the Arabian Sea ( $22.15^\circ \text{ N}$ ,  $63.35^\circ \text{ E}$ ; orange open hexagons (Palmer et al., 2010), site GeoB 1016-3 in the Angola Current ( $11.59^\circ \text{ S}$ ,  $11.70^\circ \text{ E}$ , purple inverted triangles (Andersen et al., 1999) and ODP Site 925 ( $4^\circ 12.25' \text{ N}$ ,  $43^\circ 29.33' \text{ W}$ ; dark red open squares, (Zhang et al., 2013), MANOP Site C from the central equatorial Pacific ( $0^\circ 57.2' \text{ N}$ ,  $138^\circ 57.3 \text{ W}$ ) is shown as green filled squares/circles, DSDP Site 619 in the Pigmy Basin, northern Gulf of Mexico ( $27^\circ 11.6' \text{ N}$ ,  $91^\circ 24.5' \text{ W}$ ) is shown as open squares, and ice-Ice core data are shown as filled black circles and lines (Bereiter et al., 2015 and Table 1). Dashed lines are  $2\sigma$  uncertainties are from Monte Carlo error propagation as described elsewhere in the text. ~~Neither core lith nor growth rate corrections were applied.~~

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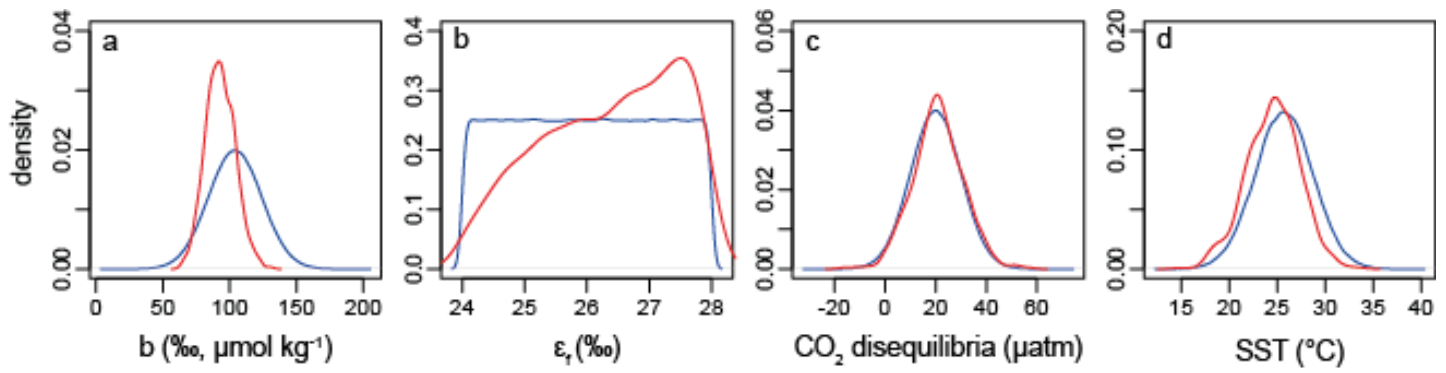


Figure 87. Example of the Bayesian treatment of the  $\text{CO}_2(\text{ep-alk})$  proxy, the sample shown is 0.15 Ma from ODP Site 999. In all panels the prior is shown in blue and the posterior in red. (a) the b-term, (b)  $\epsilon_r$ , (c) the extent of  $\text{CO}_2$  disequilibria, (d) sea surface temperature.

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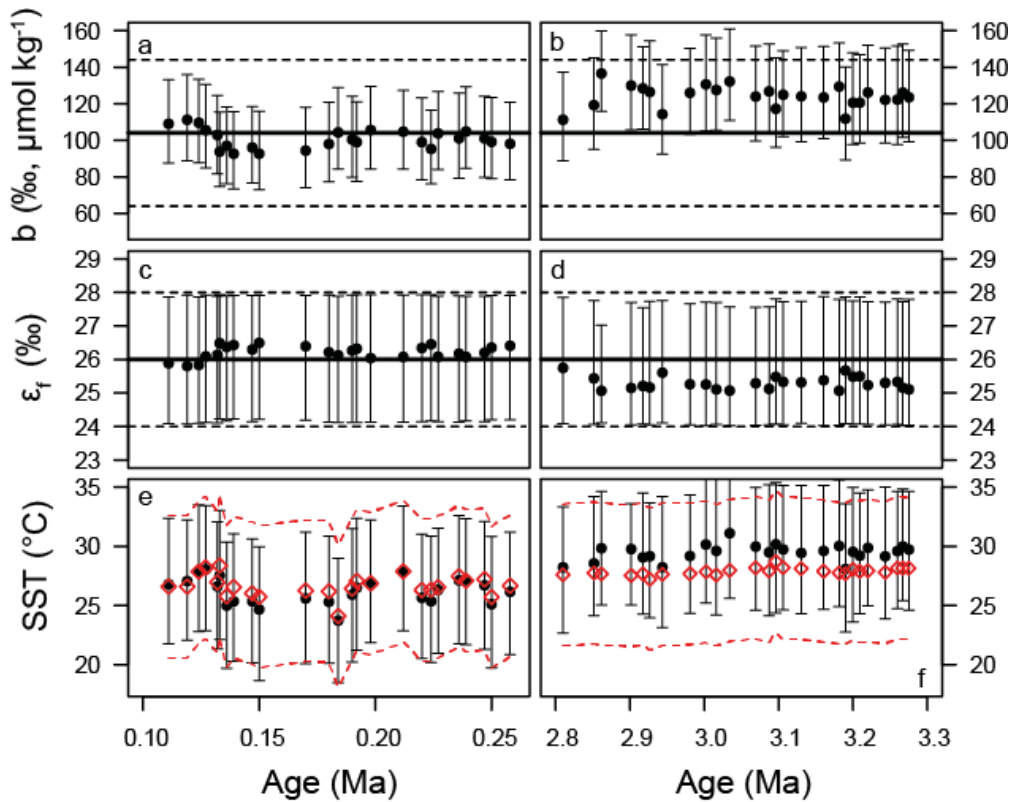


Figure 98. Timeseries of priors and posteriors for the b-term (a, b),  $\epsilon_f$  (c,d) and SST (e,f). The Pleistocene is shown on the panels on the left and the Pliocene on the right. In panels a-d the mean of the prior distribution is shown as a thick black line. For the b-term 95% of the input distribution is shown as a dotted line, for  $\epsilon_f$  the total range is shown. See Figure 7 for examples of these distributions as probability functions. For SST (e,f) the prior is shown as red diamonds with 95 % of the distribution shown as the dashed lines. In all panels the median of the posterior distributions are shown as circles with error bars encompassing 95 % of the range.

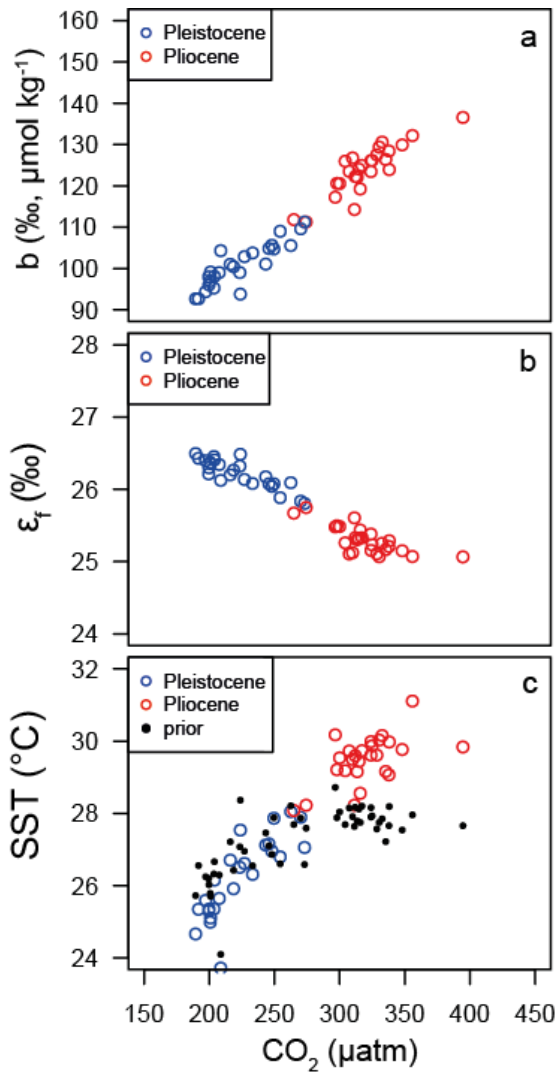
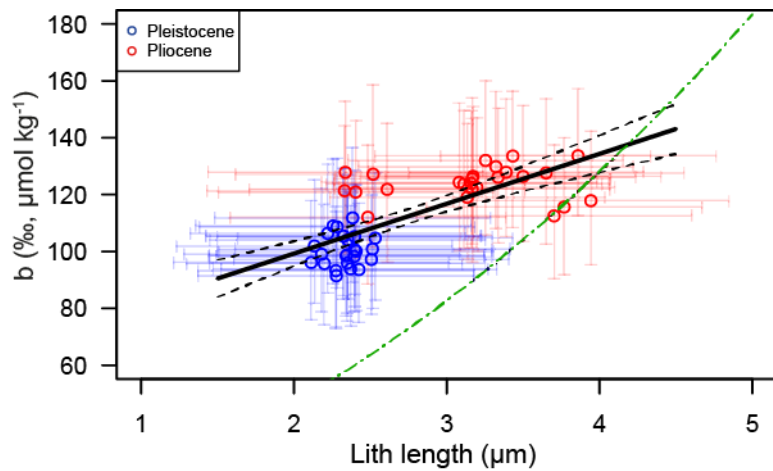


Figure 109. Relationships between CO<sub>2</sub> and (a) b-term, (b) ε<sub>f</sub> and (c) SST. In each panel the median of each posterior distribution is shown in red for the Pliocene and blue for the Pleistocene. Note that the CO<sub>2</sub> for each data point is either from the ice core or CO<sub>2(δ11Bplank)</sub> for the Pleistocene and Pliocene, respectively. The linear patterns that emerge here essentially represent the relationships of the Bidigare et al., (1997) approach given our otherwise invariant ε<sub>p</sub>.



**Figure 11. Comparison between the posterior distribution for the Pliocene (red circles) and Pleistocene (blue circles) and the lith size correction of (Henderiks and Pagani, (2007) (green dot-dash line).**