

1 Mid-Holocene Climate Change over China: Model-Data Discrepancy

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12 **Abstract:**

13 The mid-Holocene period (MH) has long been an ideal target for the validation of Global
14 Circulation Model (GCM) results against reconstructions gathered in global datasets. These
15 studies aim to test the GCM sensitivity mainly to the seasonal changes induced by the orbital
16 parameters (longitude of the perihelion). Despite widespread agreement between model results
17 and data on the MH climate, some important differences still exist. There is no consensus on
18 the continental size (the area of the temperature anomaly) of the MH thermal climate response,
19 which makes regional quantitative reconstruction critical to obtain a comprehensive
20 understanding of the MH climate patterns. Here, we compare the annual and seasonal outputs
21 from the most recent Paleoclimate Modelling Intercomparison Project Phase 3 (PMIP3) models
22 with an updated synthesis of climate reconstruction over China, including, for the first time, a
23 seasonal cycle of temperature and precipitation. Our results indicate that the main discrepancies
24 between model and data for the MH climate are the annual and winter mean temperature. A
25 warmer-than-present climate condition is derived from pollen data for both annual mean

26 temperature (~0.7 K on average) and winter mean temperature (~1 K on average), while most
27 of the models provide both colder-than-present annual and winter mean temperature and a
28 relatively warmer summer, showing linear response driven by the seasonal forcing. By
29 conducting simulations in BIOME4 and CESM, we show that the surface processes are the key
30 factors drawing the uncertainties between models and data. These results pinpoint the crucial
31 importance of including the non-linear responses of the surface water and energy balance to
32 vegetation changes.

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34 *Keywords:* PMIP3 Pollen data Inverse Vegetation Model Seasonal climate change

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37 **1. Introduction**

38 Much attention of paleoclimate studies has been focused on the current interglacial (the
39 Holocene), especially the mid-Holocene (MH, 6 ± 0.5 ka). The major difference in the
40 experimental configuration between the MH and pre-Industrial (PI) arises from the orbital
41 parameters which brings about an increase in the amplitude of the seasonal cycle of insolation
42 of the Northern Hemisphere and a decrease in the Southern Hemisphere (Berger, 1978). Thus,
43 the MH provides an excellent case study on which to base an evaluation of the climate response
44 to changes in the distribution of insolation. Great efforts have been devoted by the modeling
45 community to design of MH common experiments using similar boundary conditions
46 (Joussaume and Taylor., 1995; Harrison et al., 2002; Braconnot et al., 2007a, b). In addition,
47 much work has been done to reconstruct the paleoclimate change based on different proxies at
48 global and continental scale (Guiot et al., 1993; Kohfeld and Harrison, 2000; Prentice et al.,

49 2000; Bartlein et al., 2011). The greatest progress in understanding the MH climate change and
50 variability has consistently been made by comparing large-scale analyses of data with
51 simulations from global climate models (Joussaume et al., 1999; Liu et al., 2004; Harrison et
52 al., 2014).

53 However, the source of discrepancies between model results and data is still an open and
54 stimulating question. Two types of inconsistencies have been identified: 1) where the model
55 and data show opposite signs, for instance, paleoclimate evidence from data-records indicates
56 an increase of about 0.5 K in global annual mean temperature during the MH compared with PI
57 (Shakun et al., 2012; Marcott et al., 2013), while there is a cooling trend in model simulations
58 (Liu et al., 2014). 2) where the same trend is displayed by both model and data but with different
59 magnitudes. Previous studies have shown that while climate models can successfully reproduce
60 the direction and large-scale patterns of past climate changes, they tend to consistently
61 underestimate the magnitude of change in the monsoons of the Northern Hemisphere as well as
62 the amount of the MH precipitation over northern Africa (Braconnot et al., 2012; Harrison et
63 al., 2015). Moreover, significant spatial variability has been noted in both observations and
64 simulations (Peyron et al., 2000; Davis et al., 2003; Braconnot et al., 2007a; Wu et al., 2007;
65 Bartlein et al., 2011). For instance, Marcott et al. (2013) reconstructed a cooling trend of global
66 temperature during Holocene, mainly from the marine records (~80%). While based on 642
67 sub-fossil pollen data, Marsicek et al. (2018) shows a long-term warming defined the Holocene
68 until around 2000 years ago for Europe and North America continents. The different trends of
69 pollen- and marine-based reconstruction indicate the spatial variability of annual temperature
70 change during MH over the globe, which has already been investigated by Bartlein et al. (2010).
71 That makes regional quantitative reconstruction (Davis et al., 2003; Mauri et al., 2015) essential
72 to obtain a comprehensive understanding of the MH climate patterns, and to act as a benchmark
73 to evaluate climate models (Fischer and Jungclaus, 2011; Harrison et al., 2014;).

74 China offers two advantages with respect to these issues. The sheer expanse of the country
75 means that the continental response to insolation changes over a large region can be investigated.
76 Moreover, the quantitative reconstruction of seasonal climate changes during the MH, based on
77 the new pollen dataset, provides a unique opportunity to compare the seasonal cycles for models
78 and data. Previous studies indicate that warmer and wetter than present conditions prevailed
79 over China during the MH and that the magnitude of the annual temperature increases varied
80 from 2.4-5.8 K spatially, with an annual precipitation increase in the range of 34-267 mm (e.g.,
81 Sun et al., 1996; Jiang et al., 2010; Lu et al., 2012; Chen et al., 2015). However, Jiang et al.
82 (2012) clearly show a mismatch between multi-proxy reconstructions and model simulations.
83 In terms of climate anomalies (MH-PI), besides the ~ 1 K increase in summer temperature, 35
84 out of 36 Paleoclimate Modelling Intercomparison Projects (PMIP) models reproduce annual
85 (~ 0.4 K) and winter temperatures (~ 1.4 K) that are colder than the baseline, and a drier-than-
86 baseline climate in some western and middle regions over China is depicted in models (Jiang
87 et al., 2013). Jiang et al. (2012) point out the model-data discrepancy over China during the
88 MH, but the lack of seasonal reconstructions in their study limits comparisons with simulations.

89 An important issue raised by Liu et al. (2014) is that the discrepancy at the annual level could
90 be due to incorrect reconstructions of the seasonal cycle, a key objective in our paper. Moreover,
91 it has been suggested that the vegetation change can strengthen the temperature response in
92 high latitudes (O'Ishi et al., 2009; Otto et al., 2009), as well as alter the hydrological conditions
93 in the tropics (Z. Liu et al., 2007). However, compared to the substantial land cover changes in
94 the MH derived from pollen datasets (Ni et al., 2010; Yu et al., 2000), the changes in vegetation
95 have not yet been fully quantified and discussed in PMIP3 (Taylor et al., 2012).

96 In this study, we first present new reconstructions. We use a quantitative biomization method
97 to reconstruct vegetation types during the MH, based on a new synthesis of pollen datasets, and
98 then use an Inverse Vegetation Model (Guiot et al., 2000; Wu et al., 2007) to obtain the mean

99 annual temperature, the mean temperature of the warmest month (MTWA), the mean
100 temperature of the coldest month (MTCO), the mean annual precipitation, July precipitation
101 and January precipitation over China for the MH. Furthermore, we present a comprehensive
102 evaluation of the PMIP3 simulations performed with state-of-art climate models, based on our
103 reconstructions of temperature and precipitation. This is the first time that such a progress
104 towards a quantitative seasonal climate comparison for the MH over China has been made. This
105 point is crucial because the MH PMIP3 experiment is essentially one that looks at the response
106 of the models to changes in the seasonality of insolation, and the attempt to derive
107 reconstructions of both summer and winter climate to compare with the simulations will thus
108 enable us to answer the question posed by Liu et al. (2014) on the importance of seasonal
109 reconstructions.

110 **2. Data and Methodology**

111 **2.1 Data**

112 In this study, we collected 159 pollen records, covering most of China, for the MH period
113 (6000 ± 500 ^{14}C yr BP) (Fig. 1). Notably, according to IntCal13 (Reimer et al., 2013), the MH
114 time slice 6000 ± 500 ^{14}C yr BP is about 6800 Cal BP (the average value), which is not totally
115 consistent with the “mid-Holocene” used in CMIP5/PMIP3 experiment (6000 Cal BP). But for
116 a better comparison with BIOME6000 (in which the MH is defined as 6000 ± 500 ^{14}C yr BP),
117 we decided to choose the pollen data at 6000 ± 500 ^{14}C yr BP in our study. In the 159 records,
118 65 were from the China Quaternary Pollen Database (CQPD, 2000), three were original datasets
119 obtained for our study, and the others were digitized from pollen diagrams in published papers
120 with a recalculation of pollen percentages based on the total number of terrestrial pollen types.
121 These digitized 91 pollen records were selected according to three criteria: (1) clearly readable
122 pollen diagrams with a reliable chronology with the minimum of three independent age control

123 points since the LGM; (2) including the pollen taxa during 6000 ± 500 ^{14}C yr BP period with a
124 minimum sampling resolution of 1000 years per sample; (3) abandon the pollen records if the
125 published paper mentions the influence of human activity. For the age control, different dating
126 methods are utilized in the collected pollen records, we applied CalPal 2007 (Weninger et al.,
127 2007) to correct ^{14}C age into calendar age so that they can be contrasted with each other. For
128 lacustrine records, if the specific carbon pool age is mentioned in the literature, the calendar
129 age is corrected after deducting the carbon pool. Otherwise, the influence of carbon pool is not
130 considered. The age-depth model for the pollen records was estimated by linear interpolation
131 between adjacent available dates or by regression. Using ranking schemes from the Cooperative
132 Holocene Mapping Project, the quality of dating control for the mid-Holocene was assessed by
133 assigning a rank from 1 to 7. 70% of the records used in our study fell into the first and second
134 classes (see Table 1 for detailed information) according to the Webb 1-7 standards (Webb, III
135 T., 1985). Vegetation type was quantitatively reconstructed using biomization (Prentice et al.,
136 1996), following the classification of plant functional types (PFTs) and biome assignment in
137 China by the Members of China Quaternary Pollen Data (CQPD, 2000), which has been widely
138 tested in surface sediment. The new sites (91 digitized data and three original data) added to
139 our database improved the spatial coverage of pollen records, especially in the northwest, the
140 Tibetan Plateau, the Loess Plateau and southern regions, where the data in the previous
141 databases are very limited.

142 Modern monthly mean climate variables investigated in this study, including temperature,
143 precipitation and cloudiness (total cloud fraction), have been collected for each modern pollen
144 site based on the datasets (1951-2001) from 657 meteorological observation stations over
145 China (China Climate Bureau, China Ground Meteorological Record Monthly Report, 1951-
146 2001). The MH soil properties and characteristics used in the inverse vegetation model were
147 kept the same with PI conditions, which are derived from the digital world soil map produced

148 by the Food and Agricultural organization (FAO) (FAO, 1995). Atmospheric CO₂
149 concentration for the MH was taken from ice core records (EPICA community members
150 2004), and was set at 270 ppmv.

151 A 3-layer back-propagation (BP) artificial neural network technique (ANN) was used for
152 interpolation on each pollen site (Caudill and Butler, 1992). Five input variables (latitude,
153 longitude, elevation, annual precipitation, annual temperature) and one output variable (biome
154 scores) have been chosen in ANN for the modern vegetation. The ANN has been calibrated
155 on the training set, and its performance has been evaluated on the verification set (20%,
156 randomly extracted from the total sets). After a series of training run, the lowest verification
157 error is obtained with 5 neurons in the hidden layer after 10000 iterations. In our study, at
158 each pollen site, we firstly applied the biomization method to get the biome scores for both
159 present-day and MH. The anomalies between past (6 ka) and modern vegetation indices
160 (biome scores) was then interpolated to the 0.2×0.2° grid resolution by applying the ANN.
161 After that, the modern grid values are added to the values of the grid of palaeo-anomalies to
162 provide gridded paleo-biome indices. Finally, the biome with the highest index is attributed to
163 each grid point. This ANN method is more efficient than many other techniques on the
164 condition that the results are validated by independent data sets, and therefore, it has been
165 widely applied in paleoclimatology (Guiot et al., 1996; Peyron et al., 1998). The schematic
166 diagram of ANN (Figure S1) can be found in Supplementary Information.

167 **2.2 Climate models**

168 PMIP, a long-standing initiative, is a climate-model evaluation project which provides an
169 efficient mechanism for using global climate models to simulate climate anomalies for past
170 periods and to understand the role of climate feedbacks. In its third phase (PMIP3, Braconnot
171 et al., 2011), the models were identical to those used in the Climate Modelling Intercomparison
172 Project 5 (CMIP5) experiments. The experimental set-up for the mid-Holocene simulations in

173 PMIP3 followed the PMIP protocol (Taylor et al., 2012,
174 <https://wiki.lsce.ipsl.fr/pmip3/doku.php/pmip3:design:6k:final>). The main forcing between the
175 MH and PI in PMIP3 is the change in the orbital configuration. More precisely, the orbital
176 configuration in the MH climate has an increased summer insolation and a decreased winter
177 insolation in the Northern Hemisphere compared to the PI climate (Berger, 1978). In addition,
178 the CH₄ concentration is prescribed at 650 ppbv in the MH, while it is set at 760 ppbv in PI
179 (Table 2).

180 All 13 models (Table 3) from PMIP3 that have the MH simulation have been included in
181 our study, including eight atmosphere-ocean (AO) models and five atmosphere-ocean-
182 vegetation (AOV) models. Means for the last 30 years were calculated from the archived time-
183 series data on individual model grids for climate variables. Then the near surface temperature
184 and precipitation flux, were bi-linearly interpolated to a uniform 2.5° grid, in order to compute
185 the bioclimatic variables (e.g. MAT, MAP, MTWM, MTCO, July precipitation) onto a common
186 grid for comparison with the reconstruction results.

187 **2.3 Vegetation model**

188 The vegetation model, BIOME4 is a coupled bio-geography and biogeochemistry model
189 developed by Kaplan et al. (2003). Monthly mean temperature, precipitation, sunshine
190 percentage (an inverse measure of cloud area fraction), absolute minimum temperature,
191 atmospheric CO₂ concentration and subsidiary information about the soil's physical properties
192 like water retention capacity and percolation rates are the main input variables. It represents 13
193 plant functional types (PFTs), which have different bioclimatic limits. The PFTs are based on
194 physiological attributes and bioclimatic tolerance limits such as heat, moisture and chilling
195 requirements and resistance of plants to cold. These limits determine the areas where the PFTs
196 can grow in a given climate. A viable combination of these PFTs defines a particular biome
197 among 28 potential options. These 28 biomes can be further classified into 8 megabiomes

198 (Table S1). BIOME4 has been widely utilized to analyze the past, present and potential future
199 vegetation patterns (e.g. Bigelow et al., 2003; Diffenbaugh et al., 2003; Song et al., 2005). In
200 this study, we conducted 13 PI and associated MH biome simulations using PMIP3 climate
201 fields (temperature, precipitation and sunshine) as inputs. The climate fields, obtained from
202 PMIP3, are the monthly mean data of the last 30 model years.

203 **2.4 Statistics and interpolation for vegetation distribution**

204 To quantify the differences between simulated (based on BIOME4 forced by the climate
205 model output) and reconstructed (from pollen) megabiomes, a map-based statistic (point-to-
206 point comparison with observations) called ΔV (Sykes et al., 1999; Ni et al., 2000) was applied
207 to our study. ΔV is based on the relative abundance of different plant life forms (e.g. trees, grass,
208 bare ground) and a series of attributes (e. g. evergreen, needle-leaf, tropical, boreal) for each
209 vegetation class. The definitions and attributes of each plant form follow naturally from the
210 BIOME4 structure and the vegetation attribute values in the ΔV computation were defined for
211 BIOME4 in the same way as for BIOME1 (Sykes et al., 1999). The abundance and attribute
212 values are given in Table 4 and Table 5, which describe the typical floristic composition of the
213 biomes. Weighting the attributes is subjective because there is no obvious theoretical basis for
214 assigning relative significance. Transitions between highly dissimilar megabiomes have a
215 weighting of close to 1, whereas transitions between less dissimilar megabiomes are assigned
216 smaller values. The overall dissimilarity between model and data megabiome maps was
217 calculated by averaging the ΔV for the grids with pollen data, while the value was set at 0 for
218 any grid without data. ΔV values < 0.15 can be considered to point to very good agreement
219 between simulated and actual distributions, 0.15-0.30 is good, 0.30-0.45 is fair, 0.45-0.60 is
220 poor, and > 0.80 is very poor (adjusted from Zhang et al., 2010).

221 **2.5 Inverse vegetation model**

222 The Inverse Vegetation Model (Guiot et al., 2000; Wu et al., 2007), highly dependent on the
223 BIOME4 model, is applied to our reconstruction. The key concept of this model can be
224 summarized in two points: firstly, a set of transfer functions able to transform the model output
225 into values directly comparable with pollen data is defined. There is not full compatibility
226 between the biome typology of BIOME4 and the biome typology of pollen data. A transfer
227 matrix (Table S2) was defined in our study where each BIOME4 vegetation type is assigned a
228 vector of values, one of each pollen vegetation type, ranging from 0 (representing an
229 incompatibility between BIOME4 type and pollen biome type) to 15 (corresponding to a
230 maximum compatibility). Secondly, using an iterative approach, a representative set of climate
231 scenarios compatible with the vegetation records is identified within the climate space,
232 constructed by systematically perturbing the input variables (e.g. ΔT , ΔP) of the model (Table
233 S3).

234 The Inverse Vegetation Model (IVM) provides a possibility, for the first time, to reconstruct
235 both annual and seasonal climates for the MH over China. Moreover, it offers a way to consider
236 the impact of CO₂ concentration on competition between PFTs as well as on the relative
237 abundance of taxa, and thus make the reconstructions from pollen records more reliable. More
238 detailed information about IVM can be found in Wu et al. (2007).

239 We applied the inverse model to modern pollen samples to validate the approach by
240 reconstructing the modern climate at each site and comparing it with the observed values. The
241 high correlation coefficients ($R=0.75-0.95$), intercepts close to 0 (except for the mean
242 temperature of the warmest month), and slopes close to 1 (except for the July precipitation)
243 demonstrated that the inversion method worked well for most variables in China (see Table
244 6).

245 **2.6 Sensitivity test for vegetation feedback**

246 To quantify the vegetation feedback on climate change during mid-Holocene over China,
247 we performed a sensitivity test using CESM version 1.0.5. This version, developed at the
248 National Center for Atmospheric Research, is a widely used coupled model with dynamic
249 atmosphere (CAM4), land (CLM4), ocean (POP2), and sea-ice (CICE4) components (Gent et
250 al., 2011). Here, we use $\sim 2^\circ$ resolution for CAM4 ($\sim 1.9^\circ$ for latitudes $\times 2.5^\circ$ for longitudes) in
251 the horizontal direction and 26 layers in the vertical direction. The POP2 adopts a finer grid,
252 with a nominal 1° horizontal resolution and 60 layers in the vertical direction. The land and
253 sea-ice components have the same horizontal grids as the atmosphere and ocean components,
254 respectively.

255 Two experiments were conducted, including a mid-Holocene (MH) experiment (6 ka) with
256 original vegetation setting (prescribed as PI vegetation for MH) and a MH experiment with
257 reconstructed vegetation (6 ka_VEG). In detail, experiment 6 ka used the MH orbital
258 parameters (Eccentricity=0.018682; Obliquity=24.105°; Longitude of the perihelion =0.87°)
259 and modern vegetation (Salzmann et al., 2008). Compared to experiment 6 ka, experiment 6
260 ka_VEG used our reconstructed vegetation in China. Except for the changed vegetation, all
261 other boundary conditions were kept unchanged in these two experiments, including the solar
262 constant (1365 W m^{-2}), modern topography and ice sheet, and pre-industrial greenhouse gases
263 ($\text{CO}_2 = 280 \text{ ppmv}$; $\text{CH}_4 = 760 \text{ ppbv}$; $\text{N}_2\text{O} = 270 \text{ ppbv}$). Experiment 6 ka was initiated from the
264 default pre-industrial simulation and run for 500 model years. Experiment 6 ka_VEG was
265 initiated from model year 301 of experiment 6 ka and run for another 200 model years. We
266 analyzed the computed climatological means of the last 50 model years from each experiment
267 here.

268 **3. Results**

269 **3.1 Comparison of annual and seasonal climate changes at the MH**

270 In this study, we collected 159 pollen records, broadly covering the whole of China (Fig. 1).
271 To check the reliability of the collected data, we first categorized our pollen records into
272 megabiomes in line with the standard tables developed for the BIOME6000 (Table S1), and
273 compared them with the BIOME6000 dataset (Fig.2). The match between collected data and
274 the BIOME6000 is more than 90% (145 out of 159 sites) for both the MH and PI.

275 Based on pollen records, the spatial pattern of climate changes over China during the MH,
276 deduced from IVM, are presented in Fig. 3 (left panel, points), alongside the results from PMIP3
277 models (shaded in Fig. 3). For temperature, a warmer-than-present annual climate condition
278 (~ 0.7 K on average) is derived from pollen data (the points in Fig. 3a), with the largest increase
279 occurring in the northeast (3-5 K) and a decrease in the northwest and on Tibetan Plateau. On
280 the other hand, the results from a multi-model ensemble (MME) indicate a colder annual
281 temperature generally (~ -0.4 K on average), with significant cooling in the south and slight
282 warming in the northeast (shaded in Fig. 3a). Of the 13 models, 11 simulate a cooler annual
283 temperature compared with PI as MME. However, two models (HadeGEM2-ES and CNRM-
284 CM5) present the same warmer condition as was found in the reconstruction (Fig. 3d).
285 Compared to the reconstruction, the annual mean temperature during the MH is largely
286 underestimated by most PMIP3 models, which depict an anomaly ranging from ~ -1 to ~ 0.5 K.
287 Concerning seasonal change, during the MH, MTWA from the data is ~ 0.5 K higher than PI,
288 with the largest increase in the northeast and a decrease in the northwest. From model outputs,
289 an average increase of ~ 1.2 K is reproduced by MME, with a more pronounced warming at
290 high latitudes which is consistent with the insolation change (Berger, 1978). Fig. 3e shows that
291 all 13 models reproduce the same warmer summer temperatures as the data, and that

292 HadGEM2-ES and CNRM-CM5, reproduce the largest increases among the models. Although
293 models simulate warmer MTWA, which is consistent with reconstructions, there is a
294 discrepancy between them on MTCO. In Fig. 3c, the data show an overall increase of ~1 K,
295 with the largest increase occurring in the northeast and a decrease of opposite magnitude on the
296 Tibetan Plateau. Inversely, MME reproduces a decreased MTCO with an average amplitude of
297 ~-1.3 K, the areas with strongest cooling being the southeast, the Loess Plateau and the
298 northwest. Similarly to the MME, all 13 models simulate a colder-than-present climate with
299 amplitudes ranging from ~-2.0 K (CCSM4 and FGOALS-g2) to ~-0.7 K (HadGEM2-ES and
300 CNRM-CM5).

301 Concerning the annual change in precipitation, the reconstruction shows wetter conditions
302 during the MH across almost the whole of China with the exception of part of the northwest.
303 The southeast presents the largest increase in annual precipitation. All but 2 models (MIROC-
304 ESM and FGOALS-g2) depict wetter conditions with an amplitude of ~10 mm to ~50 mm. The
305 reconstruction and MME results also indicate an increased annual precipitation during MH
306 (Fig.4a), with a much larger magnitude visible in the reconstruction (~30 mm, ~230 mm
307 respectively). The main discrepancy in annual precipitation between simulations and
308 reconstruction occurs in the northeast, which is depicted as drier by the models and wetter by
309 the data. With regard to seasonal change, the reconstruction shows an overall increase in July
310 rainfall (~50 mm on average), with a decrease in the northwestern regions and East Monsoon
311 region at Yangtze River valley. In line with the reconstruction, the MME also shows an overall
312 increase in rainfall (~7 mm on average), with a decrease in the northwest for July (Fig.4b).
313 Notably, a much larger increase is simulated for the south and the Tibetan Plateau by the models,
314 while the opposite pattern emerges along the eastern margin from both models and data. For
315 January precipitation, the reconstruction shows an overall increase in most region (~15 mm),
316 except for the northwestern region, while MME indicates a slight decrease (~3 mm on average).

317 More detailed information about the geographic distribution of simulated temperature and
318 precipitation for each model can be found in Fig. S2-S7.

319 Table S4 provides the biome score from IVM for pollen data collected from published papers.
320 The reconstructed climate change derived from IVM at each pollen site can be found in Table
321 S5, in which the columns show the median and the 90% interval (5th to 95th percentage) for
322 feasible climate values produced with the IVM approach. The simulated values for each of the
323 climate variables as shown in the boxplots (Figure 3 and Figure 4) are given in the Table S6
324 and Table S7. All the values mentioned above are the mean of the values at 159 pollen sites.

325 **3.2 Comparison of vegetation change at the MH**

326 The use of the PMIP3 database is clearly limited by the different vegetation inputs among
327 the models for the MH period (Table S8). Only HadGEM2-ES and HadGEM2-CC use a
328 dynamic vegetation for the MH, and the vegetation of the other 11 models are prescribed to PI
329 with or without interactive LAI, which can introduce a bias to the role of vegetation-atmosphere
330 interaction in the MH climates. To evaluate the model results against the reconstruction for the
331 MH vegetation, we conducted 13 biome simulations with BIOME4 using the PMIP3 climate
332 fields, and the megabiome distribution for each model during the MH is displayed in Fig. 5 (see
333 Fig. S8 for a comparison of PI biomes). To quantify the model-data dissimilarity between
334 megabiomes, a map-based statistic called ΔV (Sykes et al., 1999; Ni et al., 2000) was applied
335 here (cf. Section 2.4).

336 Fig. S9 shows the dissimilarity between simulations and observations for megabiomes during
337 the MH, with the overall values for ΔV ranging from 0.43 (HadGEM2-ES) to 0.55 (IPSL-
338 CM5A-LR). According to the classification of ΔV (cf. Section 2.4) for the 13 models, 12 (all
339 except HadGEM2-ES) showed poor agreement with the observed vegetation distribution. Most
340 models poorly simulate the desert, grassland and tropical forest areas for both periods, but

341 perform better for warm mixed forest, tundra and temperate forest. However, this statistics is
342 based on a point-to-point comparison and so the ΔV calculated here cannot represent an
343 estimation of full vegetation simulation due to the uneven distribution of pollen data and the
344 potentially huge difference in area of each megabiome. For instance, tundra in our data for PI
345 is represented by only 4 points, which counts for a small contribution to the ΔV since we
346 averaged it over a total of 159 points, but this calculation could induce a significant bias if these
347 4 points are representative of a large area of China.

348 So, we used the biome scores based on the artificial neural network technique as described
349 by Guiot et al. (1996) for interpolation (the plots in red rectangle in Fig. 5), and compared the
350 simulated vegetation distribution from BIOME4 for each model with the interpolated pattern.
351 During the MH, most models are able to capture the tundra on the Tibetan Plateau as well as
352 the combination of warm mixed forest and temperate forest in the southeast. However, all
353 models fail to simulate or underestimate the desert area in the northwest compared to
354 reconstructed data. The main model-data inconsistency in the MH vegetation distribution
355 occurs in the northeast, where data show a mix of grassland and temperate forest, and the
356 models show a mix of grassland and boreal forest.

357 The area statistics carried out for simulated vegetation changes (Fig. 6) reveals that the main
358 difference during the MH, compared with PI, is that grassland replaced boreal forest in large
359 tracts of the northeast (Fig. 5, Fig. S8). No other significant difference in vegetation distribution
360 between the two periods was derived from models. Unlike in models, three main changes in
361 megabiomes during the MH are depicted by the data. Firstly, the megabiomes converted from
362 grassland to temperate forest in the northeast. Secondly, a large area of temperate forest was
363 replaced in the southeast by a northward expansion of warm mixed forest. Thirdly, in the
364 northwest and at the northern margin of the Tibetan Plateau, part of the desert area changed into
365 grassland. However, none of the models succeed in capturing these features, especially the

366 transition from grassland into forest in the northeast during the MH. Therefore, this failure to
367 capture vegetation changes between the two periods will lead to a cumulating inconsistency in
368 the model-data comparison for climate anomalies because if these computed vegetation were
369 used as boundary conditions in MH climate simulations.

370 **4. Discussion**

371 **4.1 Validation and uncertainties of the reconstructions**

372 To investigate the discrepancy between model and reconstructions for the MH climate change
373 over China, the reliability of our reconstruction should be first considered. We therefore
374 compared our reconstruction with previous studies concerning the MH climate change over
375 China based on multiple proxies (including pollen, lake core, palaeosol, ice core, peat and
376 stalagmite), the related references and detailed information are listed in Supplementary
377 Information (Table S9 and Table S10). In comparison with PI conditions, most reconstructions
378 reproduced warmer and wetter annual condition during the MH (Fig. 7), as in our study. In
379 other words, this model-data discrepancy for climate change over China during the MH is
380 common and robust w.r.t. reconstructions derived from different proxies. Our study reinforces
381 the picture given by the discrepancies between PMIP simulations and pollen data based on a
382 synthesis of the literature.

383 However, there could still be some biases due to the reconstruction method. Estimated
384 climates for the present day from IVM were compared with observed climates (Table 6). The
385 slopes and intercepts show a slight bias for annual and January precipitation, while there is a
386 larger bias between IVM reconstruction and observation for temperature and July precipitation.
387 IVM relies heavily on BIOME4, and since BIOME4 is a global vegetation model, it is possible
388 that the spatial robustness of regional reconstruction could be less than that of global
389 reconstruction due to the failure in simulating local features (Bartlein et al., 2011). Moreover,

390 the output of the model cannot be directly compared to the pollen data, the conversion of
391 BIOME4 biomes to pollen biomes by the transfer matrix may add the source of uncertainty in
392 reconstruction. All these biases in reconstruction should be considered in the evaluation of the
393 discrepancy between model and data for climate change during the MH over China.

394 **4.2 Uncertainties for simulations**

395 The discrepancies between model and data for MH climate change can also result from
396 uncertainties in simulation and/or model characteristics. First, the coarse spatial resolution of
397 models can be a factor of discrepancy: previous studies show that the GCMs from PMIP3 are
398 reliable to simulate the geographical distribution of temperature and precipitation over China
399 for present day. However, compared with observation, most models have topography-related
400 cold biases (Jiang et al., 2016). The climate fields, directly used from the model output without
401 downscaling, will not contain the spatial variability of modern climate that in topographically
402 complex areas. Thus, it is necessary to check to which degree the model-data mismatch is
403 related to rough topography used in the climate models. In PMIP3, MRI-CGCM3 has the
404 highest resolution (Atmosphere: 320*160*L48; Ocean: 364*368*L51), while IPSL-CM5A-LR
405 has the lowest one (Atmosphere: 96*96*L39; Ocean: 182*149*L31). In Fig. 8, we give the
406 actual modern topography and the interpolated topography used in MRI-CGCM3 and IPSL-
407 CM5A-LR. For MRI-CGCM3, the topography is very close to the observation, so for this model,
408 the model-data discrepancy during MH over China is not related to the resolution. However,
409 for the model with coarse resolution (IPSL-CM5A-LR), it is true that the coarse version of
410 model will lead to bias in topography when the regional diversity is discussed. The spatial
411 variations in topography could influence the vegetation and hence the simulated climate. To
412 quantify this impact, we compare the topography and PI climate results of IPSL-CM5A-LR and
413 IPSL-CM5A-MR. Fig. 9 shows that the difference in topography caused by model resolution
414 does have an impact on small scales (e.g. south region of the Tibetan Plateau), not on the overall

415 pattern. But those small or regional-scale variations in climate can have a large impact on
416 vegetation and hence reconstructed climate. For a better comparison, in the future work,
417 downscaled climate variables should be considered.

418 Secondly, besides the qualitative consistency among models, caused by the protocol of
419 PMIP3 experiments (Table 2), a variability in the magnitude of anomalies between models is
420 clearly illustrated by the boxplots (Fig.3 and Fig.4), especially for the temperature anomaly.
421 Fig. S10 demonstrates that there is no clear relationship between PI temperature and
422 temperature anomaly (MH-PI). In other words, these disparities in value or even pattern among
423 models do not related to the difference in PI simulations in a simple manner, instead, they reflect
424 the obvious differences in the response by the climate models to the MH forcing, which raises
425 on the question of the magnitude of feedbacks among models.

426 As positive feedbacks between climate and vegetation are important to explain regional
427 climate changes, the failure of the models to represent the amplitude and pattern of the observed
428 vegetation differences (see Section 3.2) could amplify and partly account for the model-data
429 disparities in climate change, mainly due to variations in the albedo. Because the HadGEM2-
430 ES and HadGEM2-CC are the only two models in PMIP3 with dynamic vegetation simulation
431 for the MH, we focused on these models to examine the variations in vegetation fraction in the
432 simulations. The main vegetation changes during the MH demonstrated by HadGEM2-ES are
433 increased tree coverage (~15%) and a decreased bare soil fraction (~6%), while HadGEM2-CC
434 depicts a ~3% decrease in tree fraction and a ~1% increase in bare soil (Fig. S11). We made a
435 rough calculation of albedo variance caused solely by vegetation change for both two models
436 and for our reconstruction, based on the area fraction and albedo value of each vegetation type
437 (Betts, 2000; Bonfils et al., 2001; Oguntunde et al., 2006; Bonan, 2008). The overall albedo
438 change from the vegetation reconstruction during the MH shows a ~1.8% decrease when snow-
439 free, with a much larger impact (~4.2% decrease) when snow-covered. The results from

440 HadGEM2-ES are highly consistent with the albedo changes from the reconstruction, featuring
441 a ~1.4% (~6.5%) decrease without (with) snow, while HadGEM2-CC produces an increased
442 albedo value during the MH (~0.22% for snow-free, ~1.9% with snow-cover), depending on its
443 vegetation simulation. Two ideas could be inferred from this calculation, 1) HadGEM2-ES is
444 much better in simulating the MH vegetation changes than HadGEM2-CC. 2) the failure by
445 models to capture these vegetation changes will result in a much larger impact on winter albedo
446 (with snow) than summer albedo (without snow). In conclusion, there is an obvious advantage
447 of using AOVGCM instead of AOGCM when we discussing about the MH climate, but the
448 premise is that the AOVGCM can simulate accurate vegetation distribution.

449 These surface albedo changes due to vegetation changes could have a cumulative effect on
450 the regional climate by modifying the radiative fluxes. For instance, the spread of trees into the
451 grassland biome in the northeast during the MH, revealed by the reconstruction in our study,
452 should act as a positive feedback to climate warming by increasing the surface net shortwave
453 radiation associated with reductions in albedo due to taller and darker canopies (Chapin et al.,
454 2005). Previous studies show that cloud and surface albedo feedbacks on radiation are major
455 drivers of differences between model outputs for past climates. Moreover, the land surface
456 feedback shows large disparities among models (Braconnot and Kageyama, 2015).

457 We used a simplified approach (Taylor et al., 2007) to quantify the feedbacks and to compare
458 model behavior for the MH, thus justifying the focus on surface albedo and atmospheric
459 scattering (mainly accounting for cloud change). Surface albedo and cloud change are
460 calculated using the simulated incoming and outgoing radiative fluxes at the Earth's surface
461 and at the top of atmosphere (TOA), based on data for the last 30 years averaged from all models.
462 Using this framework, we quantified the effect of changes in albedo on the net shortwave flux
463 at TOA (Braconnot and Kageyama, 2015), and further investigated the relationship between
464 these changes and temperature change. Fig.10 shows that most models produced a negative

465 cloud cover and surface albedo feedback on the annual mean shortwave radiative forcing.
466 Concerning seasonal change, the shortwave cloud and surface feedback in most models tend to
467 counteract the insolation forcing during the boreal summer, while they enhance the solar forcing
468 during winter. A strong positive correlation between albedo feedback and temperature change
469 is depicted, with a large spread in the models owing to the difference in albedo in the 13 models.
470 In particular, CNRM-CM5 and HadGEM2-ES capture higher values of cloud and surface
471 albedo feedback, which could be the reason for the reversal of the decreased annual temperature
472 seen in other models (Fig. 3d).

473 However, the vegetation patterns produced by BIOME4 in Figure 5 are not used in PMIP3
474 experiment setup. They are only determined by the input variables from models. Therefore,
475 the disagreements of MH vegetation pattern are possibly inherited from the PI. To better
476 quantify the vegetation-climate feedback, two experiments were conducted in CESM version
477 1.0.5, including a mid-Holocene (MH) experiment (6 ka) with original vegetation setting
478 (prescribed as PI vegetation for the MH) and a MH experiment with reconstructed vegetation
479 (6 ka_VEG). Fig. 11 shows the climate anomalies (6 ka_VEG minus 6 ka) between two
480 simulations, for both annual and seasonal scale. For temperature, it is clear that the 6 ka_VEG
481 simulation reproduces a warmer annual mean climate (~ 0.3 K on average) as well as an
482 obviously warmer winter (~ 0.6 K on average). For precipitation, the reconstructed vegetation
483 leads to more annual and seasonal precipitation, which can also reconcile the model-data
484 discrepancy of increase amplitude for precipitation during the MH (data reproduced larger
485 amplitude than model, revealed by our study). So the mismatch between model and data in
486 MH vegetation could partly account for the discrepancy of climate due to the interaction
487 between vegetation and climate through radiative and hydrological forcing with albedo. These
488 results highlight the value of building a new generation of models able to capture not only the

489 atmosphere and ocean response, but also the non-linear responses of vegetation and
490 hydrology to climate change.

491 **5. Conclusion**

492 In this study, we compare the annual and seasonal outputs from the PMIP3 models with an
493 updated synthesis of climate reconstructions over China, including, for the first time, the
494 seasonal cycle of temperature and precipitation. In response to the seasonal insolation change
495 prescribed in PMIP3 for the MH, all models produce similar large-scale patterns for seasonal
496 temperature and precipitation (higher than present July precipitation and MTWA, lower than
497 present MTCO). The main discrepancy emerging from the model-data comparison occurs for
498 the mean annual temperature and MTCO, where data show an increased value and most
499 models simulate the opposite except CNRM-CM5 and HadGEM2-ES that reproduced the
500 higher-than-present MH annual temperature. By conducting simulations with BIOME4 and
501 CESM, we show that surface processes are the key factors explaining the discrepancies
502 between models and data. These results show the importance of including the non-linear
503 responses of the surface water and energy balance related to vegetation changes. However, it
504 should also be noted that prescribing the vegetation with reconstructed biomes would reduce
505 the power of the biome-based climate reconstruction, owing to the potential circularity
506 (prescribe the vegetation to get the vegetation). Moreover, besides the vegetation influence, to
507 the impact of rough topography, soil type and other possible factors on model-data
508 discrepancy remains to be investigated in future work.

509

510 **Data availability**

511 The PMIP3 output is publicly available on the PMIP website (<http://pmip3.lsce.ipsl.fr/>) . The
512 65 pollen biomization results are provided by Members of China Quaternary Pollen Data Base

513 (CQPD), Table 1 shows the information (including references) of the 91 collected pollen
514 records and 3 original ones in our study, the biome scores of these 94 pollen records derived
515 from IVM are listed in Table S4, and the digitized data of pollen can be requested to Qin Li
516 (liqin@mail.igccas.ac.cn). All the reconstructed climate values at each pollen site from IVM
517 are provided in Table S5. For the data from CQPD, the basic information (location, data
518 supporter, age control and biome type of each site) can be found in CQPD (2000), while the
519 original data are not publicly available yet. These data can be requested to Yunli Luo
520 (lyl@ibcas.ac.cn, Institute of Botany, Chinese Academy of Sciences, Beijing, 100093, China),
521 a core member and academic secretary of the CQPD.

522 **Author contribution**

523 Yating Lin carried out the model-data analysis and prepared the first manuscript, Gilles
524 Ramstein contributed a lot to the paper's structure and content, Haibin Wu provided the
525 reconstruction results from IVM and contributed the paper's structure and content. Raj Rani-
526 Singh conducted the BIOME4 simulations. Ran Zhang carried out the simulation in CESM.
527 Pascale Braconnot, Masa Kegeyama and Zhengtang Guo contributed great ideas on model-data
528 comparison work. Qin Li and Yunli Luo provided pollen data. All co-authors helped to improve
529 the paper.

530 **Competing interest**

531 The authors declare no competing interests.

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Table 1. Basic information of the pollen dataset used in this study

Site	Lat	Lon	Alt	Webb 1-7	Source
Sujiawan	35.54	104.52	1700	2	original data (Zou et al., 2009)
Xiaogou	36.10	104.90	1750	2	original data (Wu et al., 2009)
Dadiwan	35.01	105.91	1400	1	original data (Zou et al., 2009)
Sanjiaocheng	39.01	103.34	1320	1	Chen et al., 2006
Chadianpo	36.10	114.40	65	2	Z. Zhang et al., 2007
Qindeli	48.08	133.25	60	2	Yang and Wang, 2003
Fuyuanchuangye	47.35	133.03	56	3	Xia, 1988
Jingbo Lake	43.83	128.50	350	2	C. Li et al., 2011
Hani Lake	42.22	126.52	900	1	Cui et al., 2006
Jinchuan	42.37	126.43	662	5	Jiang et al., 2008
Maar Lake	42.30	126.37	724	1	Liu et al., 2009
Maar Lake	42.30	126.37	724	1	Liu et al., 2008
Xie Lake SO4	37.38	122.52	0	1	Zhou et al., 2008
Nanhuiheming Core	31.05	121.58	7	2	Jia and Zhang, 2006
Toushe	23.82	120.88	650	1	Liu et al., 2006
Dongyuan Lake	22.17	120.83	415	2	Lee et al., 2010
Yonglong CY	31.78	120.44	5	3	Zhang et al., 2004
Hangzhou HZ3	30.30	120.33	6	4	J. Liu et al., 2007
Xinhua XH1	32.93	119.83	2	3	Shu et al., 2008
ZK01	31.77	119.80	6	2	Shu et al., 2007
Chifeng	43.97	119.37	503	2	Xu et al., 2002
SZK1	26.08	119.31	9	1	Zheng et al., 2002
Gucheng	31.28	118.90	6	4	Yang et al., 1996
Lulong	39.87	118.87	23	2	Kong et al., 2000
Hulun Lake	48.92	117.42	545	1	Wen et al., 2010
CH-1	31.56	117.39	5	2	Wang et al., 2008
Sanyi profile	43.62	117.38	1598	4	Wang et al., 2005
Xiaoniuchang	42.62	116.82	1411	1	Liu et al., 2002
Haolulu	42.87	116.76	1333	2	Liu et al., 2002
Liuzhouwan	42.71	116.68	1410	7	Liu et al., 2002
Poyang Lake 103B	28.87	116.25	16	4	Jiang and Piperno, 1999
Baiyangdian	38.92	115.84	8	2	Xu et al., 1988
Bayanchagan	42.08	115.35	1355	1	Jiang et al., 2006
Huangjiapu	40.57	115.15	614	7	Sun et al., 2001
Dingnan	24.68	115.00	250	2	Xiao et al., 2007
Guang1	36.02	114.53	56	1	Z. Zhang et al., 2007
Angulinao	41.33	114.35	1315	1	H. Wang et al., 2010
Yangyuanxipu	40.12	114.22	921	6	Wang et al., 2003

Shenzhen Sx07	22.75	113.78	2	2	Zhang and Yu, 1999
GZ-2	22.71	113.51	1	7	X. Wang et al., 2010
Daihai99a	40.55	112.66	1221	2	Xiao et al., 2004
Daihai	40.55	112.66	1221	2	Sun et al., 2006
Sihenan profile	34.80	112.40	251	1	Sun and Xia, 2005
Diaojiaohaizi	41.30	112.35	2015	1	Yang et al., 2001
Ganhaizi	39.00	112.30	1854	3	Meng et al., 2007
Jiangling profile	30.35	112.18	37	1	Xie et al., 2006
Helingeer	40.38	111.82	1162	3	X. Li et al., 2011
Shennongjia2	31.75	110.67	1700	1	Liu et al., 2001
Huguangyan Maar Lake	21.15	110.28	59	2	Wang et al., 2007
Yaodian	35.93	110.17	1556	2	Li et al., 2003a
Jixian	36.00	110.06	1005	6	Xia et al., 2002
Shennongjia Dajiu Lake	31.49	110.00	1760	2	Zhu et al., 2006
Qigai nuur	39.50	109.85	1300	1	Sun and Feng, 2013
Beizhuangcun	34.35	109.53	519	1	Xue et al., 2010
Lantian	34.15	109.33	523	1	Li and Sun, 2005
Bahanniao	39.32	109.27	1278	1	Guo et al., 2007
Midiwan	37.65	108.62	1400	1	Li et al., 2003b
Jinbian	37.50	108.33	1688	2	Cheng, 2011
Xindian	34.38	107.80	608	1	Xue et al., 2010
Nanguanzhuang	34.43	107.75	702	1	Zhao et al., 2003
Xifeng	35.65	107.68	1400	3	Xu, 2006
Jiyuan	37.13	107.40	1765	3	X. Li et al., 2011
Jiacunyuanyuan	34.27	106.97	1497	2	Gong, 2006
Dadiwan	35.01	105.91	1400	1	Zou et al., 2009
Maying	35.34	104.99	1800	1	Tang and An, 2007
Huiningxiaogou	36.10	104.90	1750	2	Wu et al., 2009
Sujiawan	35.54	104.52	1700	2	Zou et al., 2009
QTH02	39.07	103.61	1302	1	Yu et al., 2009
Laotanfang	26.10	103.20	3579	2	W. Zhang et al., 2007
Hongshui River2	38.17	102.76	1511	1	Ma et al., 2003,
Ruergai	33.77	102.55	3480	1	Cai, 2008
Hongyuan	32.78	102.52	3500	2	Wang et al., 2006
Dahaizi	27.50	102.33	3660	1	Li et al., 1988
Shayema Lake	28.58	102.22	2453	1	Tang and Shen, 1996
Luanhaizi	37.59	101.35	3200	5	Herzschuh et al., 2006
Lugu Lake	27.68	100.80	2692	1	Zheng et al., 2014
Qinghai Lake	36.93	100.73	3200	2	Shen et al., 2004
Dalianhai	36.25	100.41	2850	3	Cheng et al., 2010
Erhai ES Core	25.78	100.19	1974	1	Shen et al., 2006
Xianmachi profile	25.97	99.87	3820	7	Yang et al., 2004
TCK1	26.63	99.72	3898	1	Xiao et al., 2014

Yidun Lake	30.30	99.55	4470	4	Shen et al., 2006
Kuhai lake	35.30	99.20	4150	1	Wischniewski et al., 2011
Koucha lake	34.00	97.20	4540	2	Herzschuh et al., 2009
Hurleg	37.28	96.90	2817	2	Zhao et al., 2007
Basu	30.72	96.67	4450	3	Tang et al., 1998
Tuolekule	43.34	94.21	1890	1	An et al., 2011
Balikun	43.62	92.77	1575	1	Tao et al., 2010
Cuona	31.47	91.51	4515	3	Tang et al., 2009
Dongdaohaizi2	44.64	87.58	402	1	Li et al., 2001
Bositeng Lake	41.96	87.21	1050	1	Xu, 1998
Cuoqin	31.00	85.00	4648	4	Luo, 2008
Yili	43.86	81.97	928	2	X. Li et al., 2011
Bangong Lake	33.75	78.67	4241	1	Huang et al., 1996
Shengli	47.53	133.87	52	2	CQPD, 2000
Qingdeli	48.05	133.17	52	1	CQPD, 2000
Changbaishan	42.22	126.00	500	2	CQPD, 2000
Liuhe	42.90	125.75	910	7	CQPD, 2000
Shuangyang	43.27	125.75	215	1	CQPD, 2000
Xiaonan	43.33	125.33	209	1	CQPD, 2000
Tailai	46.40	123.43	146	5	CQPD, 2000
Sheli	45.23	123.31	150	4	CQPD, 2000
Tongtu	45.23	123.30	150	7	CQPD, 2000
Yueyawan	37.98	120.71	5	1	CQPD, 2000
Beiwangxu	37.75	120.61	6	1	CQPD, 2000
East Tai Lake1	31.30	120.60	3	1	CQPD, 2000
Suzhou	31.30	120.60	2	7	CQPD, 2000
Sun-Moon Lake	23.51	120.54	726	2	CQPD, 2000
West Tai Lake	31.30	119.80	1	1	CQPD, 2000
Changzhou	31.43	119.41	5	1	CQPD, 2000
Dazeyin	39.50	119.17	50	7	CQPD, 2000
Hailaer	49.17	119.00	760	2	CQPD, 2000
Cangumiao	39.97	118.60	70	1	CQPD, 2000
Qianhuzhuang	40.00	118.58	80	6	CQPD, 2000
Reshuitang	43.75	117.65	1200	1	CQPD, 2000
Yangerzhuang	38.20	117.30	5	7	CQPD, 2000
Mengcun	38.00	117.06	7	5	CQPD, 2000
Hanjiang-CH2	23.48	116.80	5	2	CQPD, 2000
Hanjiang-SH6	23.42	116.68	3	7	CQPD, 2000
Hanjiang-SH5	23.45	116.67	8	2	CQPD, 2000
Hulun Lake	48.90	116.50	650	1	CQPD, 2000
Heitutang	40.38	113.74	1060	1	CQPD, 2000
Zhujiang delta PK16	22.73	113.72	15	7	CQPD, 2000
Angulitun	41.30	113.70	1400	7	CQPD, 2000

Bataigou	40.92	113.63	1357	1	CQPD, 2000
Dahewan	40.87	113.57	1298	2	CQPD, 2000
Yutubao	40.75	112.67	1254	7	CQPD, 2000
Zhujiang delta K5	22.78	112.63	12	1	CQPD, 2000
Da-7	40.52	112.62	1200	3	CQPD, 2000
Hahai-1	40.17	112.50	1200	5	CQPD, 2000
Wajianggou	40.50	112.50	1476	4	CQPD, 2000
Shuidong Core A1	21.75	111.07	-8	2	CQPD, 2000
Dajahu	31.50	110.33	1700	2	CQPD, 2000
Tianshuigou	34.87	109.73	360	7	CQPD, 2000
Mengjiawan	38.60	109.67	1190	7	CQPD, 2000
Fuping BK13	34.70	109.25	422	7	CQPD, 2000
Yaocun	34.70	109.22	405	2	CQPD, 2000
Jinbian	37.80	108.60	1400	4	CQPD, 2000
Dishaogou	37.83	108.45	1200	2	CQPD, 2000
Shuidonggou	38.20	106.57	1200	5	CQPD, 2000
Jiuzhoutai	35.90	104.80	2136	7	CQPD, 2000
Luojishan	27.50	102.40	3800	1	CQPD, 2000
RM-F	33.08	102.35	3400	2	CQPD, 2000
Hongyuan	33.25	101.57	3492	1	CQPD, 2000
Wasong	33.20	101.52	3490	1	CQPD, 2000
Guhu Core 28	27.67	100.83	2780	7	CQPD, 2000
Napahai Core 34	27.80	99.60	3260	2	CQPD, 2000
Lop Nur	40.50	90.25	780	7	CQPD, 2000
Chaiwobao1	43.55	87.78	1100	2	CQPD, 2000
Chaiwobao2	43.33	87.47	1114	1	CQPD, 2000
Manasi	45.97	84.83	257	2	CQPD, 2000
Wuqia	43.20	83.50	1000	7	CQPD, 2000
Madagou	37.00	80.70	1370	2	CQPD, 2000
Tongyu	44.83	123.10	148	5	CQPD, 2000
Nanjing	32.15	119.05	10	2	CQPD, 2000
Banpo	34.27	109.03	395	1	CQPD, 2000
QL-1	34.00	107.58	2200	7	CQPD, 2000
Dalainu	43.20	116.60	1290	7	CQPD, 2000
Qinghai	36.55	99.60	3196	2	CQPD, 2000

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1135 **Table 2. Earth's orbital parameters and trace gases as recommended by the PMIP3**
 1136 **project**

Simulation	Orbital parameters			Trace gases		
	Eccentricity	Obliquity(°)	Longitude of the perihelion(°)	CO ₂ (ppmv)	CH ₄ (ppbv)	N ₂ O(ppbv)
PI	0,016724	23,446	102,04	280	760	270
MH	0,018682	24,105	0,87	280	650	270

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1139 **Table 3. PMIP3 model characteristics and references**

<i>Model Name</i>	<i>Modelling centre</i>	<i>Type</i>	<i>Grid</i>	<i>Reference</i>
BCC-CSM-1-1	BCC-CMA (China)	AOVGCM	Atm: 128×64×L26; Ocean: 360×232×L40	Xin et al. (2013)
CCSM4	NCAR (USA)	AOGCM	Atm: 288 × 192×L26; Ocean: 320×384×L60	Gent et al. (2011)
CNRM-CM5	CNRM&CERFACS (France)	AOGCM	Atm: 256 × 128×L31; Ocean: 362×292×L42	Voltaire et al. (2012)
CSIRO-Mk3-6-0	QCCCE, Australia	AOGCM	Atm: 192 × 96×L18; Ocean: 192×192×L31	Jeffrey et al. (2013)
FGOALS-g2	LASG-IAP (China)	AOVGCM	Atm: 128 × 60×L26; Ocean: 360×180×L30	Li et al. (2013)
FGOALS-s2	LASG-IAP (China)	AOVGCM	Atm: 128 × 108×L26; Ocean: 360×180×L30	Bao et al. (2013)
GISS-E2-R	GISS (USA)	AOGCM	Atm: 144 × 90×L40; Ocean: 288×180×L32	Schmidt et al. (2014a,b)
HadGEM2-CC	Hadley Centre (UK)	AOVGCM	Atm: 192 × 145×L60; Ocean: 360×216×L40	Collins et al. (2011)
HadGEM2-ES	Hadley Centre (UK)	AOVGCM	Atm: 192 × 145×L38; Ocean: 360×216×L40	Collins et al. (2011)
IPSL-CM5A-LR	IPSL (France)	AOVGCM	Atm: 96 × 96×L39; Ocean: 182×149×L31	Dufresne et al. (2013)
MIROC-ESM	Utokyo&NIES (Japan)	AOVGCM	Atm: 128×64×L80; Ocean: 256×192×L44	Watanabe et al. (2011)
MPI-ESM-P	MPI (Germany)	AOGCM	Atm: 196×98×L47; Ocean: 256×220×L40	Giorgetta et al. (2013)
MRI-CGCM3	MRI (Japan)	AOGCM	Atm: 320 × 160×L48; Ocean: 364×368×L51	Yukimoto et al. (2012)

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1143 **Table 4. Important values for each plant life form used in the ΔV statistical calculation**
 1144 **as assigned to the megabiomes**

<i>Megabiomes</i>	<i>Life form</i>		
	Trees	Grass/grass	Bare ground
<i>Tropical forest</i>	1		
<i>Warm mixed forest</i>	1		
<i>Temperate forest</i>	1		
<i>Boreal forest</i>	1		
<i>Grassland and dry shrubland</i>	0.25	0.75	
<i>Savanna and dry woodland</i>	0.5	0.5	
<i>Desert</i>		0.25	0.75
<i>Tundra</i>		0.75	0.25

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1146 **Table 5. Attribute values and the weights for plant life forms used by the ΔV statistic**

<i>Life form</i>	<i>Attribute</i>				
	Trees	Evergreen	Needle-leaf	Tropical	Boreal
<i>Tropical forest</i>	1		0	1	0
<i>Warm mixed forest</i>	0.75		0.25	0	0
<i>Temperate forest</i>	0.5		0.5	0	0.5
<i>Boreal forest</i>	0.25		0.75	0	1
<i>Grassland and dry shrubland</i>	0.75		0.25	0.75	0
<i>Savanna and dry woodland</i>	0.25		0.75	0	0.5
<i>weights</i>	0.2		0.2	0.3	0.3
Grass/Shrub		Warm	Arctic/alpine		
<i>Grassland and dry shrubland</i>	1		0		
<i>Savanna and dry woodland</i>	0.75		0		
<i>Desert</i>	1		0		
<i>Tundra</i>	0		1		
<i>weights</i>	0.5		0.5		
Bare Ground		Arctic/alpine			
<i>Desert</i>	0				
<i>Tundra</i>	1				
<i>weight</i>	1				

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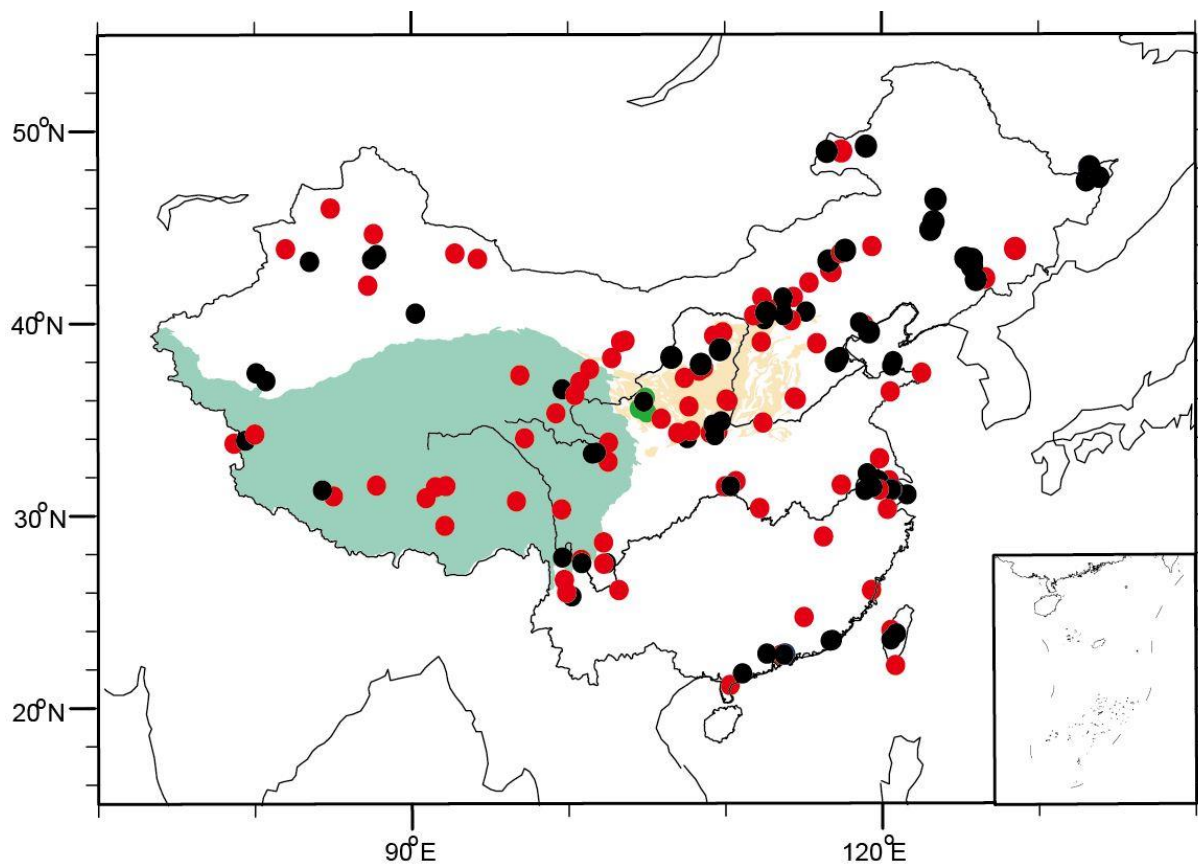
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Table 6. Regression coefficients between the reconstructed climates by inverse vegetation models and observed meteorological values

Climate parameter	Slope	Intercept	R	ME	RMSE
MAT	0.82±0.02	0.92±0.18	0.89	0.16	3.25
MTCO	0.81±0.01	-1.79±0.18	0.95	-0.17	3.19
MTWA	0.75±0.03	4.57±0.60	0.75	-0.19	4.02
MAP	1.15±0.02	32.90±18.41	0.94	138.01	263.88
Pjan	1.01±0.02	0.32±0.47	0.94	0.52	8.89
Pjul	1.30±0.03	-21.67±4.52	0.89	16.45	52.9

The climatic parameters used for regression are the actual values (data source: China Climate Bureau, China Ground Meteorological Record Monthly Report, 1951-2001). MAT annual mean temperature, MTCO mean temperature of the coldest month, MTWA mean temperature of the warmest month, MAP annual precipitation, RMSE the root-mean-square error of the residuals, ME mean error of the residuals, Pjan: precipitation of January, Pjul: precipitation of July, R is the correlation coefficient, ± stand error

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1180 **Figure 1.** Distribution of pollen sites during mid-Holocene period in China. Black circle is the
1181 original China Quaternary Pollen Database, red circles are digitized ones from published
1182 papers, green circles represent the three original pollen data used in this study. The area with
1183 green color represents the Tibetan Plateau, yellow color for the Loess Plateau.

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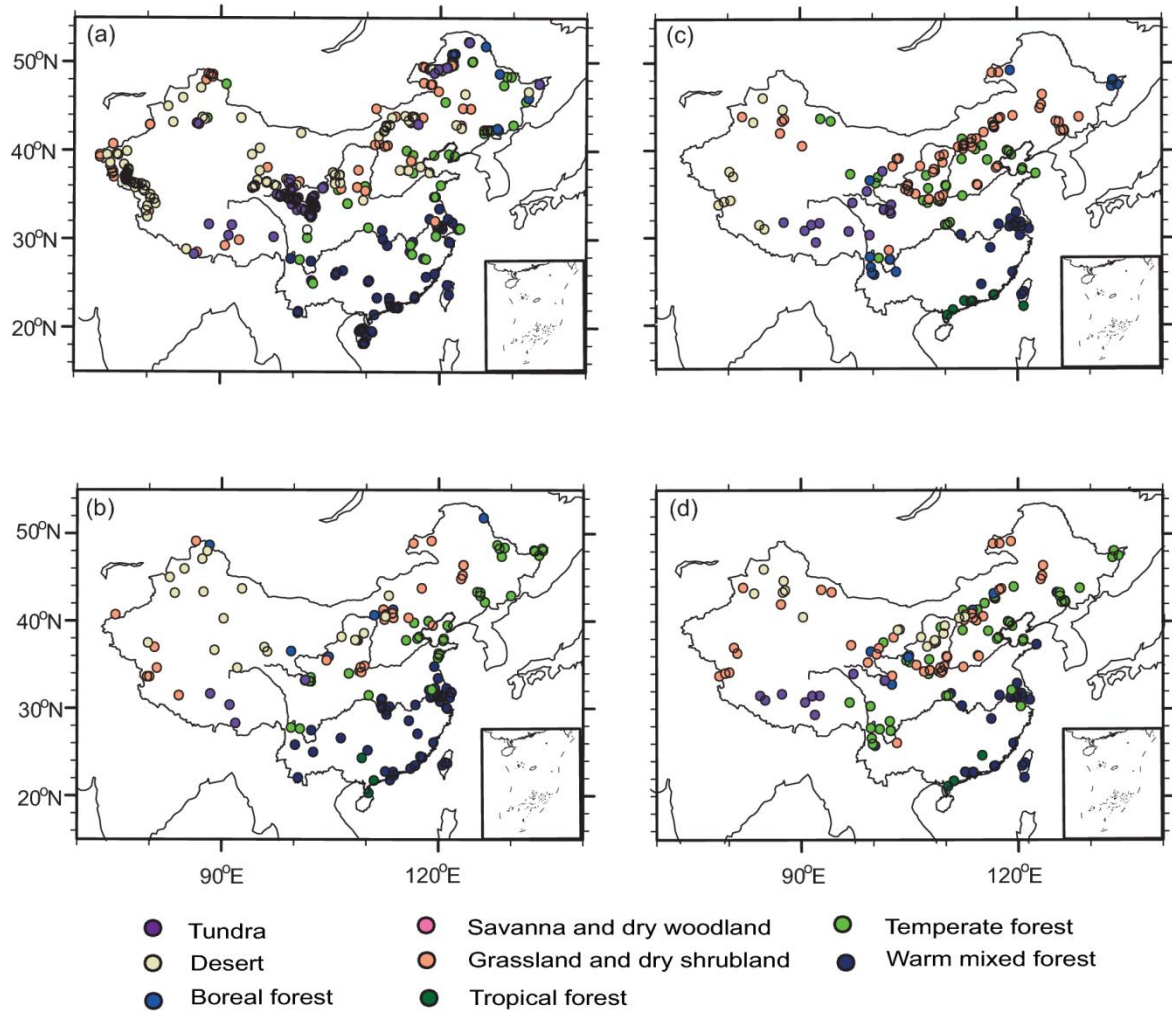
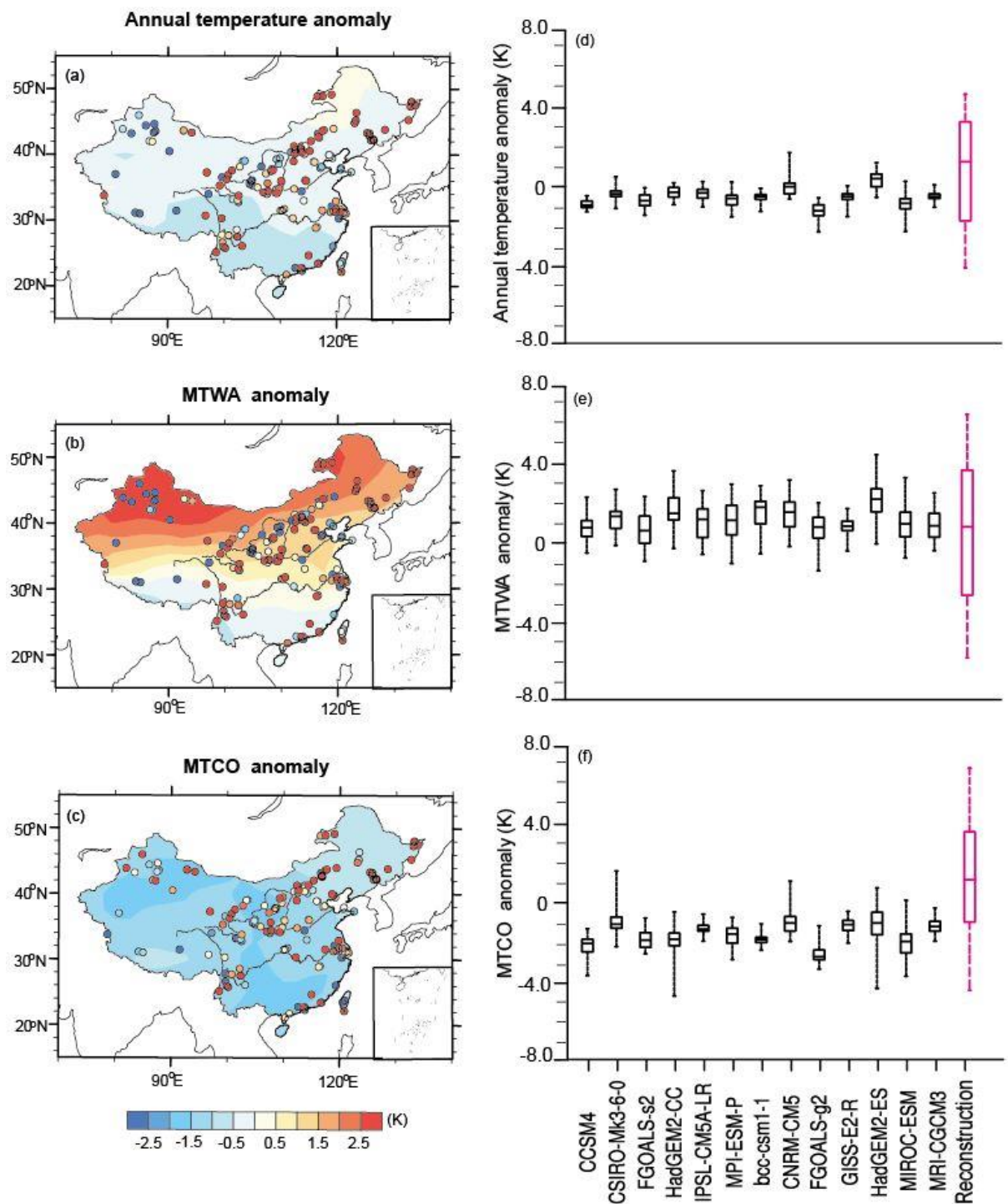
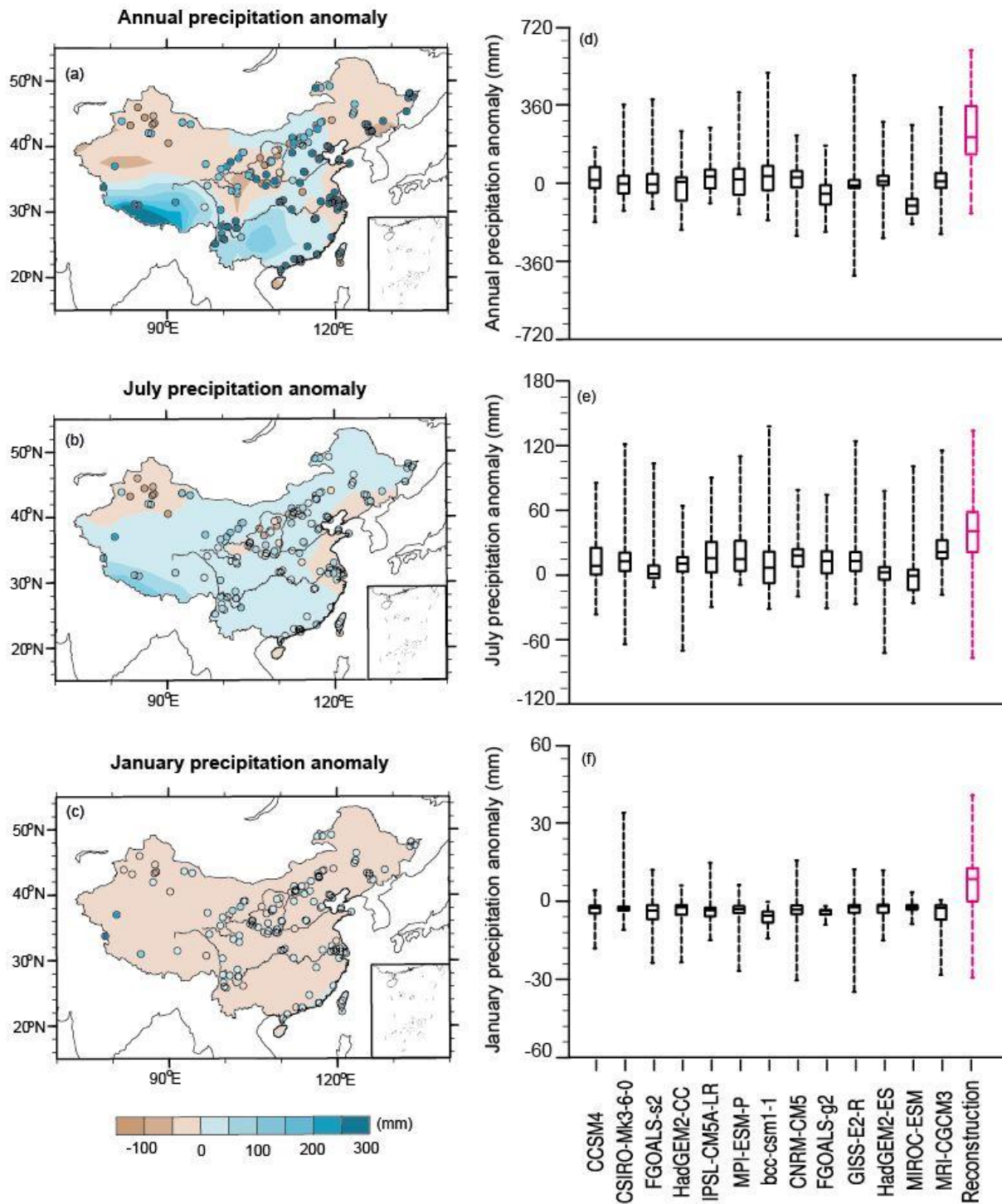


Figure 2. Comparison of megabiomes for PI (first row) and the MH (second row): (a,b) BIOME6000, (c,d) pollen data collected in this study.



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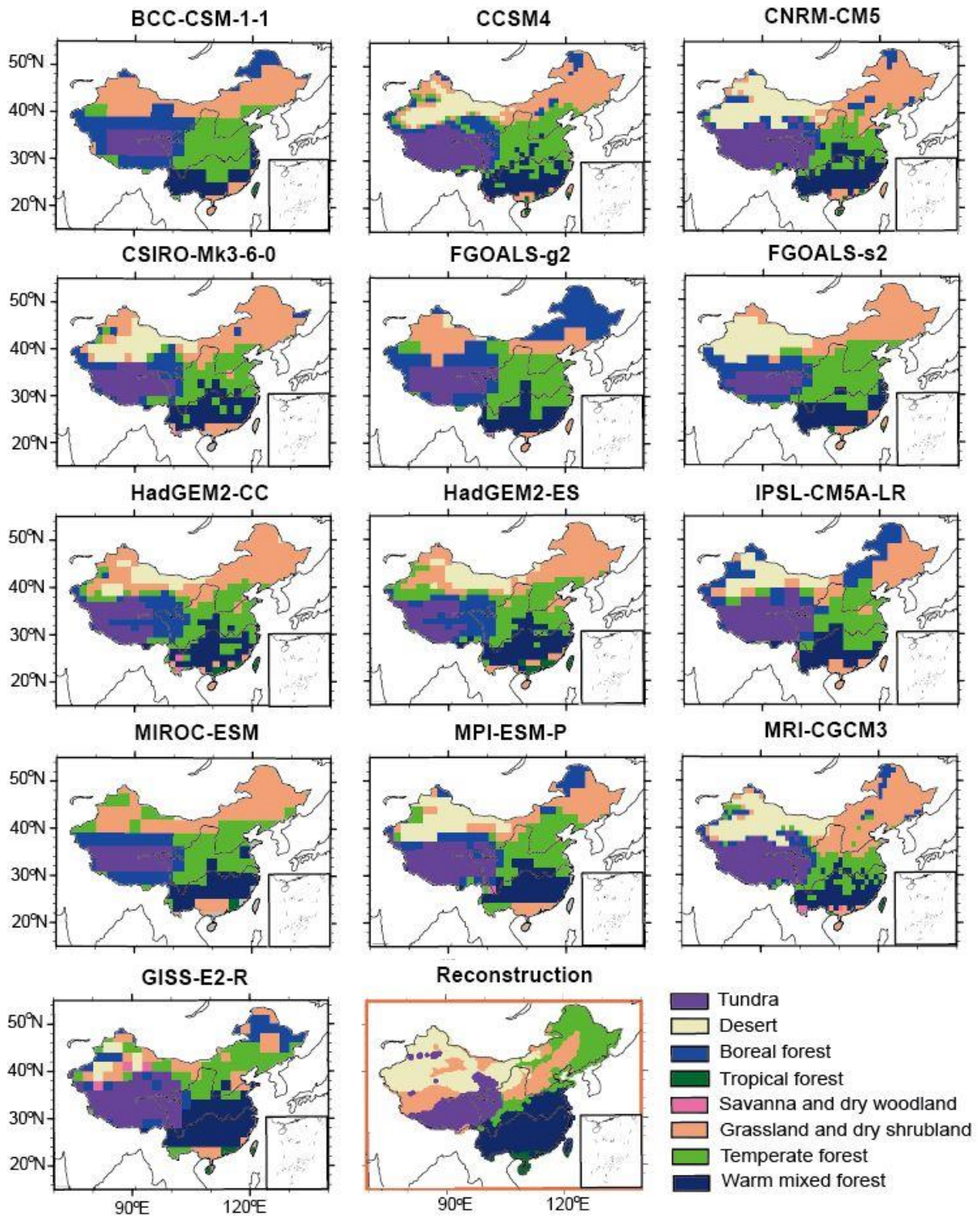
1226 **Figure 3.** Model-data comparison for annual and seasonal (MTWA and MTCO) temperature
 1227 (K). For the left panel (a-c), points represent the reconstruction from IVM, shades show the
 1228 last 30-year means simulation results of multi-model ensemble (MME) for 13 PMIP3 models.
 1229 The box-and-whisker plots (d-f) show the changes as shown by each PMIP3 model and the
 1230 reconstruction. (d) considers changes in annual temperature, (e) indicates changes in MTWA,
 1231 and (f) shows changes in MTCO. The lines in each box shows the median value from each set
 1232 of measurements, the box shows the 25%-75% range, and the whiskers show the 90% interval
 1233 (5th to 95th percentile).



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1235 **Figure 4.** Model-data comparison for annual, July and January precipitation (mm). For the
 1236 left panel (a,b), points represent the reconstruction from IVM, shades show the last 30-year
 1237 means simulation results of multi-model ensemble (MME) for 13 PMIP3 models. The box-
 1238 and-whisker plots (d-f) show the changes as shown by each PMIP3 model and the
 1239 reconstruction. (d) considers changes in annual precipitation, (e) indicates changes in July
 1240 precipitation, and (f) shows changes in January precipitation. The lines in each box shows the
 1241 median value from each set of measurements, the box shows the 25%-75% range, and the
 1242 whiskers show the 90% interval (5th to 95th percentile).

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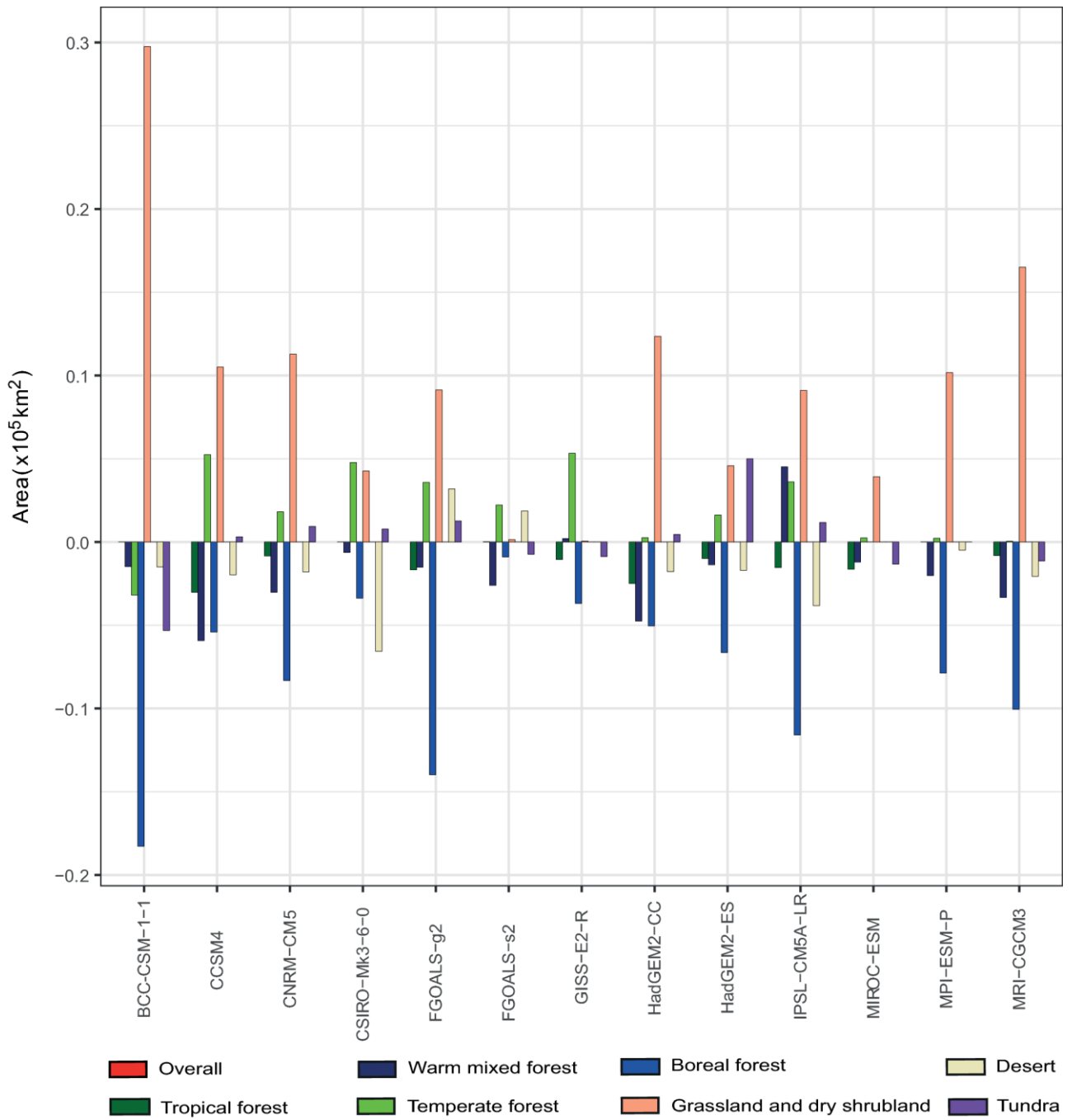
1245 **Figure 5.** Comparison of interpolated megabiomes distribution (plot in red rectangle) with the
 1246 simulated spatial pattern from BIOME4 for each model during mid-Holocene.

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1252 **Figure 6.** Changes in the extent of each megabiome as a consequence of simulated climate
 1253 changes for each model, both expressed as change relative to the PI extent of same
 1254 megabiome.

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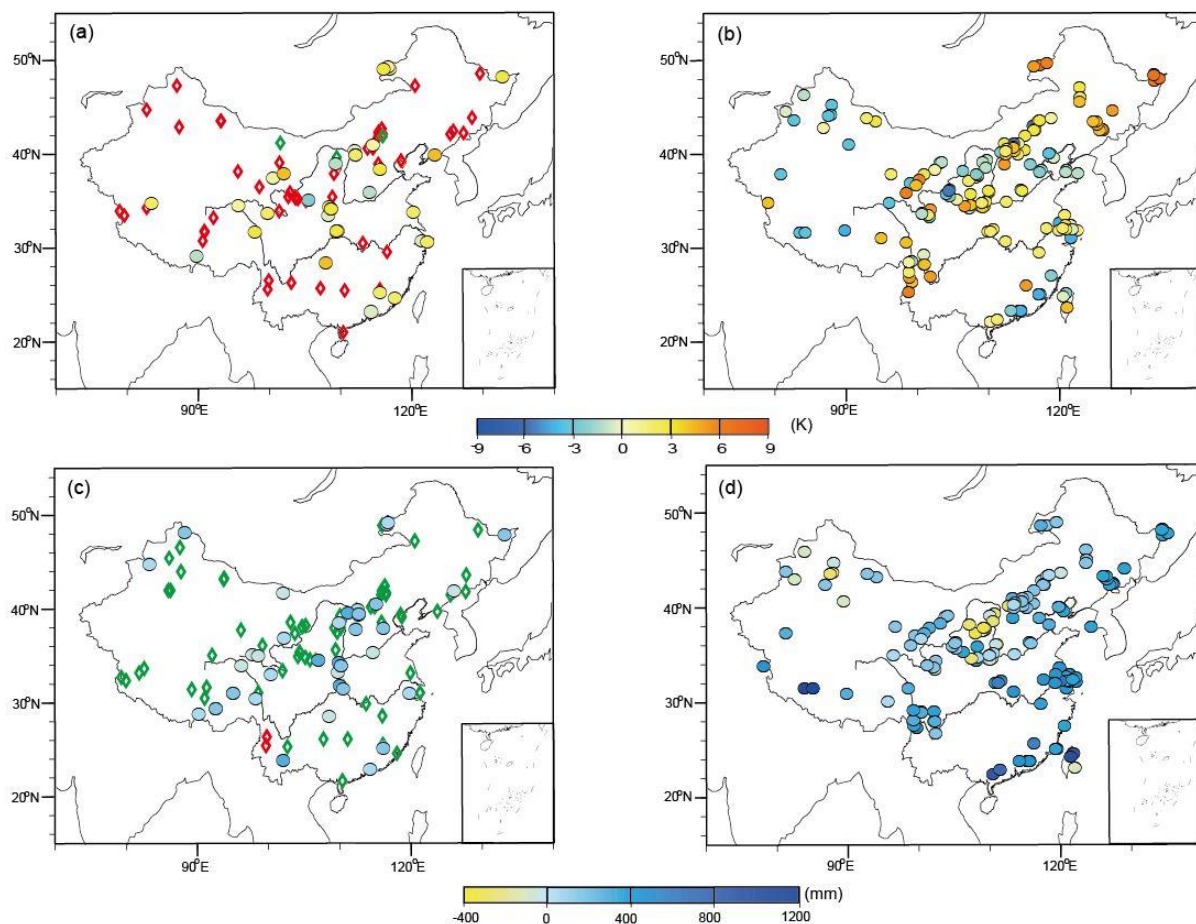
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1265 **Figure 7.** Comparison between the climate reconstruction and previous reconstruction over
1266 China. (a) Previous temperature results. Diamond is the qualitative reconstruction, red is the
1267 temperature increase and green is the temperature decrease; Circle is quantitative
1268 reconstruction; (b) Mean annual temperature reconstruction in this study; (c) Previous
1269 precipitation results, diamond is the qualitative reconstruction, red is the precipitation
1270 decrease and green is the precipitation increase; Circle is the quantitative reconstruction; (d)
1271 Mean annual precipitation reconstruction in this study.

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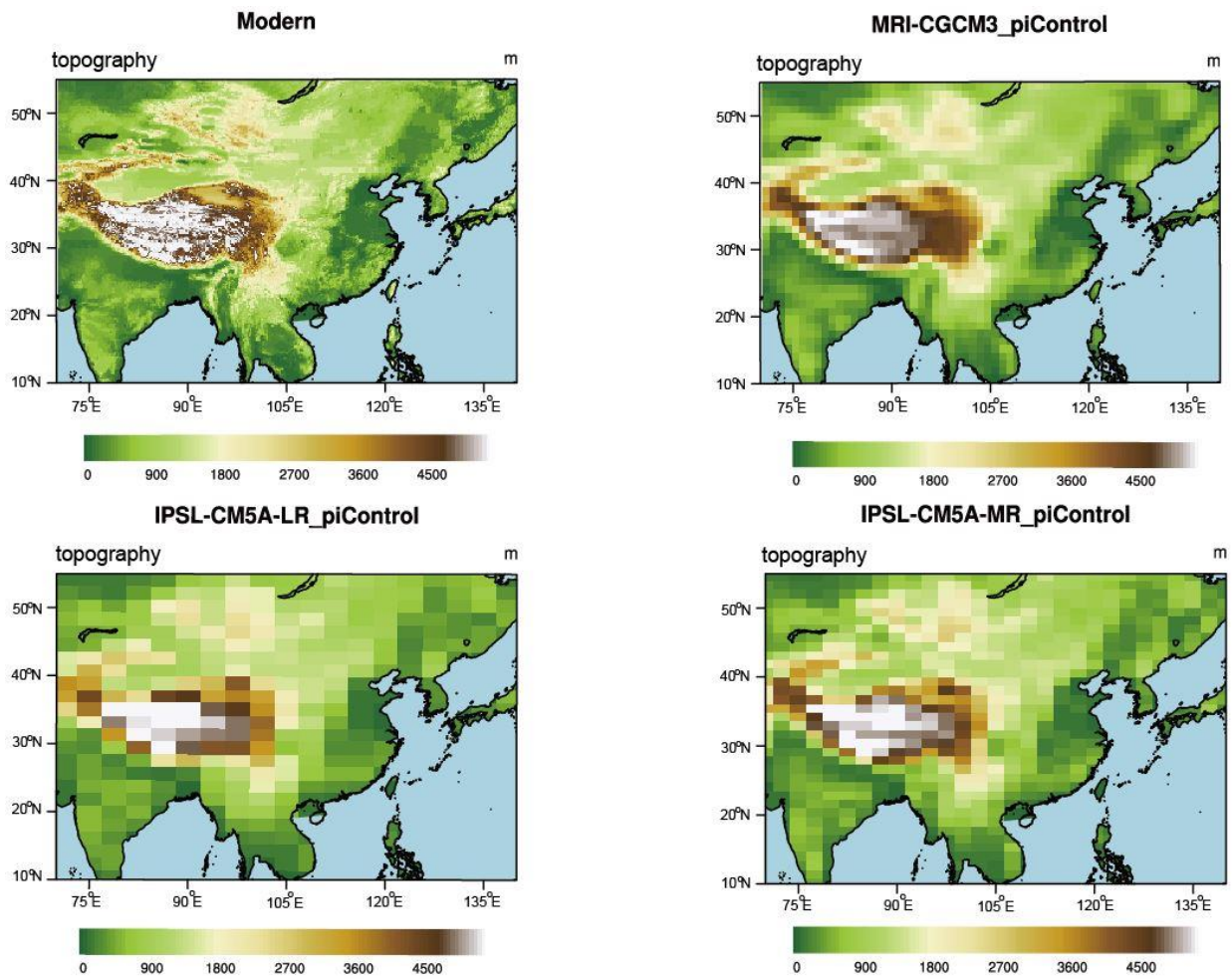
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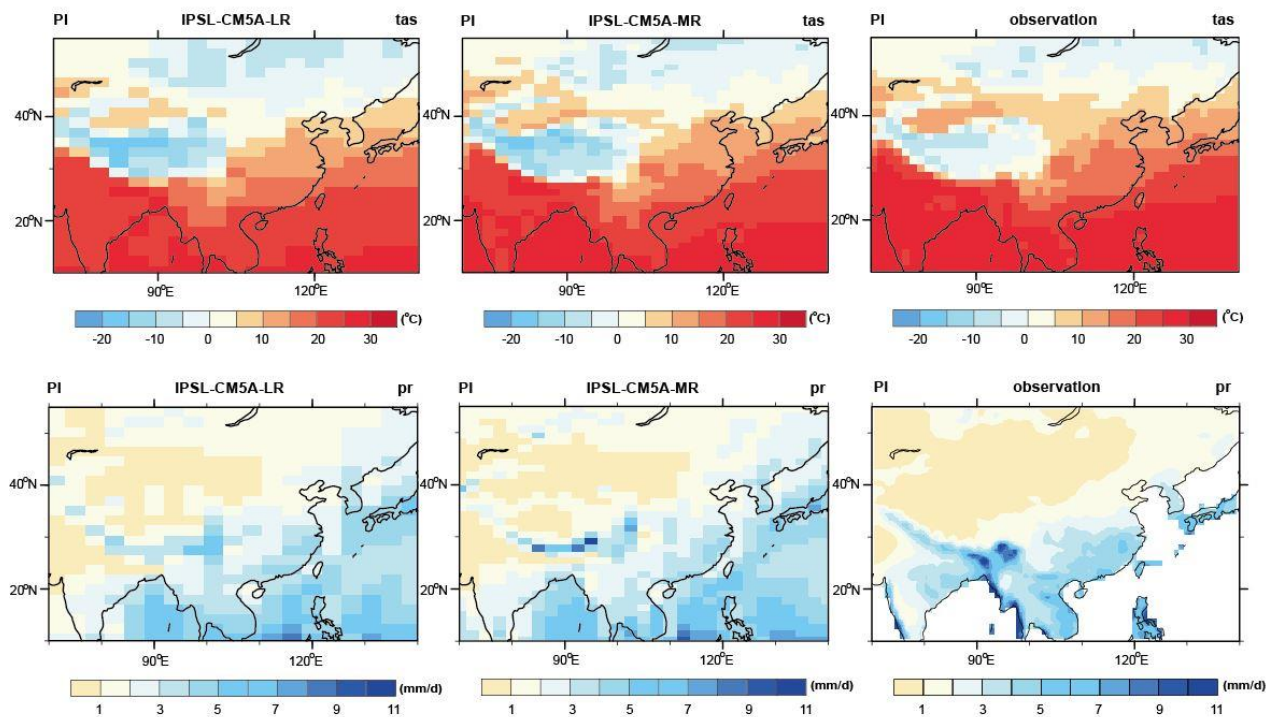
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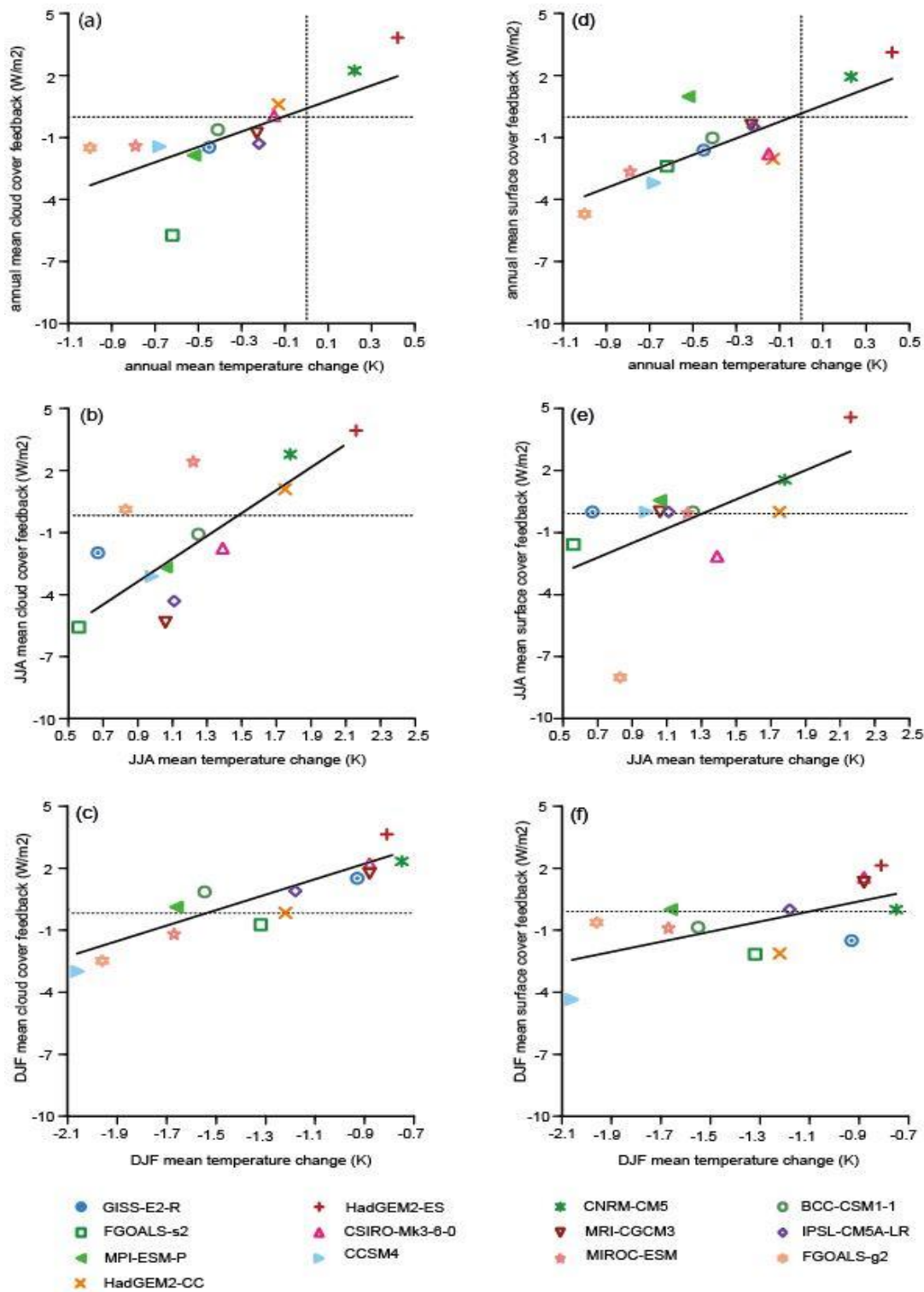
Figure 8. The topography comparison between models and observation.

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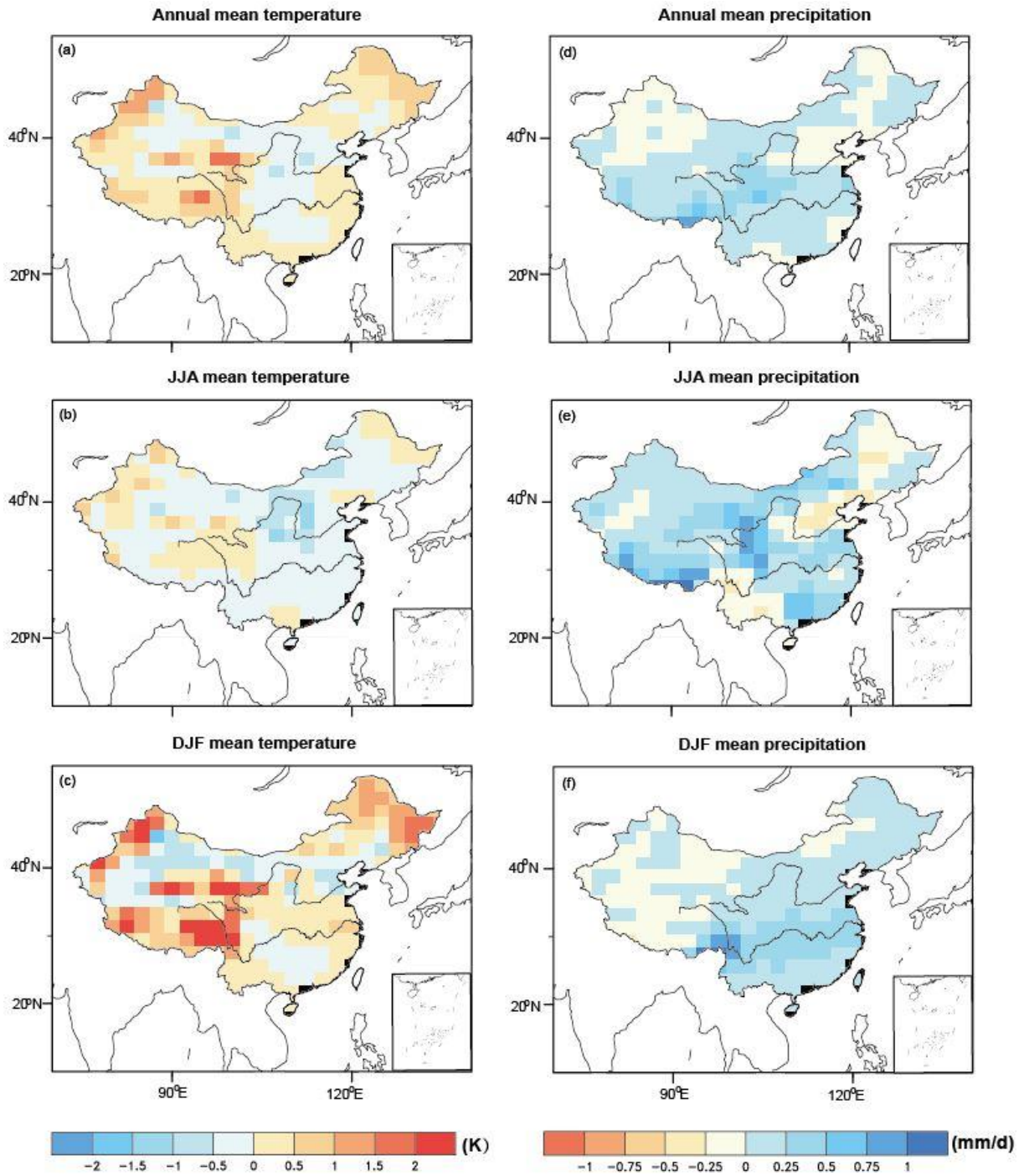
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1300 **Figure 9.** The preindustrial climate comparison between simulation and observation. Tas
1301 means temperature above 2m surface, pr means precipitation.



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1303 **Figure 10.** Scatter plots showing temperature, cloud cover feedback and surface albedo
 1304 feedback changes during the MH. The values shown are the simulated 30-year mean anomaly
 1305 (MH-PI) for the 13 models. **a**, annual mean temperature relative to the annual mean cloud
 1306 cover feedback and **d**, annual surface albedo feedback. **b**, Summer (JJA) mean temperature
 1307 relative to the summer mean cloud cover feedback and **e**, Summer surface albedo feedback.
 1308 **c**, Winter (DJF) mean temperature relative to the summer mean cloud cover feedback and **f**,
 1309 Winter surface albedo feedback. The horizontal and vertical lines in plots represent the value
 1310 of 0.



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1313 **Figure 11.** Climate anomalies between the two experiments (6 ka and 6 ka_VEG) conducted
1314 in CESM version 1.0.5. The anomalies (6 ka_VEG-6 ka) of temperature and precipitation at
1315 both annual and seasonal scale are presented, and all these climate variables are calculated as
1316 the last 50-year means from two simulations.

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