

# 1 Mid-Holocene Climate Change over China: Model-Data Discrepancy

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## 12 **Abstract:**

13 The mid-Holocene period (MH) has long been an ideal target for the validation of Global  
14 Circulation Model (GCM) results against reconstructions gathered in global datasets. These  
15 studies aim to test the GCM sensitivity mainly to the seasonal changes induced by the orbital  
16 parameters (precession). Despite widespread agreement between model results and data on the  
17 MH climate, some important differences still exist. There is no consensus on the continental  
18 size (the area of the temperature anomaly) of the MH thermal climate response, which makes  
19 regional quantitative reconstruction critical to obtain a comprehensive understanding of the  
20 MH climate patterns. Here, we compare the annual and seasonal outputs from the most recent  
21 Paleoclimate Modelling Intercomparison Project Phase 3 (PMIP3) models with an updated  
22 synthesis of climate reconstruction over China, including, for the first time, a seasonal cycle of  
23 temperature and precipitation. Our results indicate that the main discrepancies between model  
24 and data for the MH climate are the annual and winter mean temperature. A  
25 warmer-than-present climate condition is derived from pollen data for both annual mean

26 temperature (~0.7 K on average) and winter mean temperature (~1 K on average), while most  
27 of the models provide both colder-than-present annual and winter mean temperature and a  
28 relatively warmer summer, showing linear response driven by the seasonal forcing. By  
29 conducting simulations in BIOME4 and CESM, we show that the surface processes are the key  
30 factors drawing the uncertainties between models and data. These results pinpoint the crucial  
31 importance of including the non-linear responses of the surface water and energy balance to  
32 vegetation changes.

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34 *Keywords:* PMIP3    Pollen data    Inverse Vegetation Model    Seasonal climate change

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## 37 **1. Introduction**

38        Much attention of paleoclimate study has been focused on the current interglacial (the  
39 Holocene), especially the mid-Holocene (MH,  $6\pm 0.5$  ka). The major difference in the  
40 experimental configuration between the MH and pre-Industrial (PI) arises from the orbital  
41 parameters which brings about an increase in the amplitude of the seasonal cycle of insolation  
42 of the Northern Hemisphere and a decrease in the Southern Hemisphere (Berger, 1978). Thus,  
43 the MH provides an excellent case study on which to base an evaluation of the climate response  
44 to changes in the distribution of insolation. Great efforts are devoted by the modeling  
45 community to the design of the MH common experiments using similar boundary conditions  
46 (Joussaume and Taylor., 1995; Harrison et al., 2002; Braconnot et al., 2007a, b). In addition,  
47 much work has been done to reconstruct the paleoclimate change based on different proxies at  
48 global and continental scale (Guiot et al., 1993; Kohfeld and Harrison, 2000; Prentice et al.,

49 2000; Bartlein et al., 2011). The greatest progress in understanding the MH climate change and  
50 variability has consistently been made by comparing large-scale analyses of data with  
51 simulations from global climate models (Joussaume et al., 1999; Liu et al., 2004; Harrison et al.,  
52 2014).

53 However, the source of discrepancies between model and data is still an open and stimulating  
54 question. Two types of inconsistencies have been identified: 1) where the model and data show  
55 opposite signs, for instance, paleoclimate evidence from data-records indicates an increase of  
56 about 0.5 K in global annual mean temperature during the MH compared with PI (Shakun et al.,  
57 2012; Marcott et al., 2013), while there is a cooling trend in model simulations (Liu et al., 2014).  
58 2) where the same trend is displayed by both model and data but with different magnitudes.  
59 Previous studies have shown that while climate models can successfully reproduce the direction  
60 and large-scale patterns of past climate changes, they tend to consistently underestimate the  
61 magnitude of change in the monsoons of the Northern Hemisphere as well as the amount of the  
62 MH precipitation over northern Africa (Braconnot et al., 2012; Harrison et al., 2015). Moreover,  
63 significant spatial variability has been noted in both observations and simulations (Peyron et al.,  
64 2000; Davis et al., 2003; Braconnot et al., 2007a; Wu et al., 2007; Bartlein et al., 2011). For  
65 instance, Marcott et al. (2013) reconstructed a cooling trend of global temperature during  
66 Holocene, mainly from the marine records (~80%). While based on 642 sub-fossil pollen data,  
67 Marsicek et al. (2018) shows a long-term warming defined the Holocene until around 2000  
68 years ago for Europe and North America continents. The different trends of pollen- and  
69 marine-based reconstruction indicate the spatial variability of annual temperature change  
70 during MH over the globe, which has already been investigated by Bartlein et al. (2010).  
71 That makes regional quantitative reconstruction (Davis et al., 2003; Mauri et al., 2015) essential  
72 to obtain a comprehensive understanding of the MH climate patterns, and to act as a benchmark  
73 to evaluate climate models (Fischer and Jungclaus, 2011; Harrison et al., 2014;).

74 China offers two advantages in respect to these issues. The sheer expanse of the country  
75 means that the continental response to insolation changes over a large region can be  
76 investigated. Moreover, the quantitative reconstruction of seasonal climate changes during the  
77 MH, based on the new pollen dataset, provides a unique opportunity to compare the seasonal  
78 cycles for models and data. Previous studies indicate that warmer and wetter than present  
79 conditions prevailed over China during the MH and that the magnitude of the annual  
80 temperature increases varied from 2.4-5.8 K spatially, with an annual precipitation increase in  
81 the range of 34-267 mm (e.g., Sun et al., 1996; Jiang et al., 2010; Lu et al., 2012; Chen et al.,  
82 2015). However, Jiang et al. (2012) clearly show a mismatch between multi-proxy  
83 reconstructions and model simulations. In terms of climate anomalies (MH-PI), besides the ~1  
84 K increase in summer temperature, 35 out of 36 Paleoclimate Modelling Intercomparison  
85 Projects (PMIP) models reproduce annual (~0.4 K) and winter temperatures (~1.4 K) that are  
86 colder than the baseline, and a drier-than-baseline climate in some western and middle regions  
87 over China is depicted in models (Jiang et al., 2013). Jiang et al. (2012) point out the  
88 model-data discrepancy over China during the MH, but the lack of seasonal reconstructions in  
89 their study limits comparisons with simulations.

90 An important issue raised by Liu et al. (2014) is that the discrepancy at the annual level could  
91 be due to incorrect reconstructions of the seasonal cycle, a key objective in our paper. Moreover,  
92 it has been suggested that the vegetation change can strengthen the temperature response in  
93 high latitudes (O’Ishi et al., 2009; Otto et al., 2009), as well as alter the hydrological conditions  
94 in the tropics (Liu et al., 2007). However, compared to the substantial land cover changes in the  
95 MH derived from pollen datasets (Ni et al., 2010; Yu et al., 2000), the changes in vegetation  
96 have not yet been fully quantified and discussed in PMIP3 (Taylor et al., 2012).

97 In this study, for the reconstruction, we firstly used the quantitative method of biomization to  
98 reconstruct vegetation types during the MH based on a new synthesis of pollen datasets, and

99 then used the Inverse Vegetation Model (Guiot et al., 2000; Wu et al., 2007) to obtain the  
100 annual, the mean temperature of the warmest month (MTWA) and the mean temperature of the  
101 coldest month (MTCO) climate features over China for the MH. In the case of PMIP3 models,  
102 we present a comprehensive evaluation of the PMIP3 simulations made with state-of-art  
103 climate models using reconstructions of temperature and precipitation. This is the first time that  
104 such progress towards a quantitative seasonal climate comparison for the MH over China has  
105 been made. This point is crucial because the MH PMIP3 experiment is essentially one that  
106 looks at the response of the models to changes in the seasonality of insolation, and the attempt  
107 to derive reconstructions of both summer and winter climate to compare with the simulations  
108 will thus be able to answer the question posed by Liu et al. (2014) on the importance of seasonal  
109 reconstruction.

## 110 **2. Data and Methodology**

### 111 **2.1 Data**

112 In this study, we collected 159 pollen records, covering most of China, for the MH period  
113 ( $6000\pm 500$   $^{14}\text{C}$  yr BP) (Fig. 1). Notably, according to IntCal13 (Reimer et al., 2013), the MH  
114 time slice  $6000\pm 500$   $^{14}\text{C}$  yr BP is about 6800 Cal BP (the average value), which is not totally  
115 consistent with the “mid-Holocene” used in CMIP5/PMIP3 experiment (6000 Cal BP). But for  
116 a better comparison with BIOME6000 (in which the MH is defined as  $6000\pm 500$   $^{14}\text{C}$  yr BP),  
117 we decided to choose the pollen data at  $6000\pm 500$   $^{14}\text{C}$  yr BP in our study. In all 159 records, 65  
118 were from the China Quaternary Pollen Database (CQPD, 2000), three were original datasets  
119 obtained in our study, and the others were digitized from pollen diagrams in published papers  
120 with a recalculation of pollen percentages based on the total number of terrestrial pollen types.  
121 These digitized 91 pollen records were selected according to three criteria: (1) clearly readable  
122 pollen diagrams with a reliable chronology with the minimum of three independent age control

123 points since the LGM; (2) including the pollen taxa during  $6000\pm 500$   $^{14}\text{C}$  yr BP period with a  
124 minimum sampling resolution of 1000 years per sample; (3) abandon the pollen records if the  
125 published paper mentions the influence of human activity. Based on the digitized pollen  
126 assemblages, we use biomization to get the biome scores and biome types. For age control,  
127 different dating methods are utilized in the collected pollen records, we applied the CalPal  
128 2007 (Weninger et al., 2007) to correct  $^{14}\text{C}$  age into calendar age so that they can be  
129 contrasted with each other. For lacustrine records, if the specific carbon pool age is mentioned  
130 in the literature, the calendar age is corrected after deducting the carbon pool. Otherwise, the  
131 influence of carbon pool is not considered. The age-depth model for the pollen records was  
132 estimated by linear interpolation between adjacent available dates or by regression. Using  
133 ranking schemes from the Cooperative Holocene Mapping Project, the quality of dating  
134 control for the mid-Holocene was assessed by assigning a rank from 1 to 7. And 70% of the  
135 records used in our study fell into the first and second classes (see Table 1 for detailed  
136 information) according to the Webb 1-7 standards (Webb, III T., 1985). Vegetation type was  
137 quantitatively reconstructed using biomization (Prentice et al., 1996), following the  
138 classification of plant functional types (PFTs) and biome assignment in China by the Members  
139 of China Quaternary Pollen Data (CQPD, 2000), which has been widely tested in surface  
140 sediment. The new sites (91 digitized data and three original data) added to our database  
141 improved the spatial coverage of pollen records, especially in the northwest, the Tibetan  
142 Plateau, the Loess Plateau and southern regions, where the data in the previous databases are  
143 very limited.

144 Modern monthly mean climate variables, including temperature, precipitation and  
145 cloudiness (total cloud fraction), applied in this study, have been collected for each modern  
146 pollen site based on the datasets (1951-2001) from 657 meteorological observation stations  
147 over China (China Climate Bureau, China Ground Meteorological Record Monthly Report,

148 1951-2001). The MH soil properties and characteristics used in inverse vegetation model were  
149 kept the same with PI conditions, which are derived from the digital world soil map produced  
150 by the Food and Agricultural organization (FAO) (FAO, 1995). Atmospheric CO<sub>2</sub>  
151 concentration for the MH was taken from ice core records (EPICA community members 2004),  
152 and was set at 270 ppmv.

153 A 3-layer back-propagation (BP) artificial neural network technique (ANN) was used for  
154 interpolation on each pollen site (Caudill and Butler, 1992). Five input variables (latitude,  
155 longitude, elevation, annual precipitation, annual temperature) and one output variable (biome  
156 scores) have been chosen in ANN for the modern vegetation. The ANN has been calibrated on  
157 the training set, and its performance has been evaluated on the verification set (20%, randomly  
158 extracted from the total sets). After a series of training run, the lowest verification error is  
159 obtained with 5 neurons in the hidden layer after 10000 iterations. In our study, at each pollen  
160 site, we firstly used the biomization to get the biome scores for both present-day and MH. The  
161 anomalies between past (6 ka) and modern vegetation indices (biome scores) was then  
162 interpolated to the 0.2×0.2° grid resolution by applying the ANN. After that, the modern grid  
163 values are added to the values of the grid of palaeo-anomalies to provide gridded paleo-biome  
164 indices. Finally, the biome with the highest index is attributed to each grid point. This ANN  
165 method is more efficient than many other techniques on condition that the results are validated  
166 by independent data sets, and therefore, it has been widely applied in paleoclimatology (Guiot  
167 et al., 1996; Peyron et al., 1998). The schematic diagram of ANN (Figure S1) can be found in  
168 Supplementary Information.

## 169 **2.2 Climate models**

170 PMIP, a long-standing initiative, is a climate-model evaluation project which provides an  
171 efficient mechanism for using global climate models to simulate climate anomalies in the past  
172 periods and to understand the role of climate feedback. In its third phase (PMIP3), the models

173 were identical to those used in the Climate Modelling Intercomparison Project 5 (CMIP5)  
174 experiments. The experimental set-up for the mid-Holocene simulations in PMIP3 followed the  
175 PMIP protocol (Braconnot et al., 2007a, b, 2012). The main forcing between the MH and PI in  
176 PMIP3 are the orbital configuration and CH<sub>4</sub> concentration. More precisely, the orbital  
177 configuration in the MH climate has an increased summer insolation and a decreased winter  
178 insolation in the Northern Hemisphere compared to the PI climate (Berger, 1978). Meantime,  
179 the CH<sub>4</sub> concentration is prescribed at 650 ppbv in the MH, while it is set at 760 ppbv in PI  
180 (Table 2).

181 All 13 models (Table 3) from PMIP3 that have the MH simulation have been included in  
182 our study, including eight atmosphere-ocean (AO) models and five  
183 atmosphere-ocean-vegetation (AOV) models. Means for the last 30 years were calculated from  
184 the archived time-series data on individual model grids for climate variables: near surface  
185 temperature and precipitation flux, which were bi-linearly interpolated to a uniform 2.5° grid,  
186 in order to get the bioclimatic variables (e.g. MAT, MAP, MTWM, MTCO, July precipitation)  
187 onto a common grid for comparison with the reconstruction results.

### 188 **2.3 Vegetation model**

189 The vegetation model, BIOME4 is a coupled bio-geography and biogeochemistry model  
190 developed by Kaplan et al. (2003). Monthly mean temperature, precipitation, sunshine  
191 percentage (an inverse measure of cloud area fraction), absolute minimum temperature,  
192 atmospheric CO<sub>2</sub> concentration and subsidiary information about the soil's physical properties  
193 like water retention capacity and percolation rates are the main input variables for the models. It  
194 incorporates 13 plant functional types (PFTs), which have different bioclimatic limits. The  
195 PFTs are based on physiological attributes and bioclimatic tolerance limits such as heat,  
196 moisture and chilling requirements and resistance of plants to cold. These limits determine the  
197 areas where the PFTs could grow in a given climate. A viable combination of these PFTs



198 defines a particular biome among 28 potential options. These 28 biomes can be further  
199 classified into 8 megabiomes (Table S1). BIOME4 has been widely utilized to analyze the past,  
200 present and potential future vegetation patterns (e.g. Bigelow et al., 2003; Diffenbaugh et al.,  
201 2003; Song et al., 2005). In this study, we conducted 13 PI and the MH biome simulations using  
202 PIMP3 climate fields (temperature, precipitation and sunshine) as inputs. The climate fields,  
203 obtained from PMIP3, are the monthly mean data of the last 30 model years.

#### 204 **2.4 Statistics and interpolation for vegetation distribution**

205 To quantify the differences between simulated (by the climate-model output) and  
206 reconstructed (from pollen) between megabiomes, a map-based statistic (point-to-point  
207 comparison with observations) called  $\Delta V$  (Sykes et al., 1999; Ni et al., 2000) was applied to  
208 our study.  $\Delta V$  is based on the relative abundance of different plant life forms (e.g. trees, grass,  
209 bare ground) and a series of attributes (e. g. evergreen, needle-leaf, tropical, boreal) for each  
210 vegetation class. The definitions and attributes of each plant form follow naturally from the  
211 BIOME4 structure and the vegetation attribute values in the  $\Delta V$  computation were defined for  
212 BIOME4 in the same way as for BIOME1 (Sykes et al., 1999). The abundance and attribute  
213 values are given in Table 4 and Table 5, which describe the typical floristic composition of the  
214 biomes. Weighting the attributes is subjective because there is no obvious theoretical basis for  
215 assigning relative significance. Transitions between highly dissimilar megabiomes have a  
216 weighting of close to 1, whereas transitions between less dissimilar megabiomes are assigned  
217 smaller values. The overall dissimilarity between model and data megabiome maps was  
218 calculated by averaging the  $\Delta V$  for the grids with pollen data, while the value was set at 0 for  
219 any grid without data.  $\Delta V$  values  $< 0.15$  can be considered to point to very good agreement  
220 between simulated and actual distributions, 0.15-0.30 is good, 0.30-0.45 is fair, 0.45-0.60 is  
221 poor, and  $> 0.80$  is very poor (adjusted from Zhang et al., 2010). For spatial pattern comparison,

222 we compared the simulated vegetation distribution from BIOME4 from each model with the  
223 interpolated pattern of reconstruction.

## 224 **2.5 Inverse vegetation model**

225 Inverse Vegetation Model (Guiot et al., 2000; Wu et al., 2007), highly dependent on the  
226 BIOME4 model, is applied to our reconstruction. The key concept of this model can be  
227 summarized in two points: firstly, a set of transfer functions able to transform the model output  
228 into values directly comparable with pollen data is defined. There is not full compatibility  
229 between the biome typology of BIOME4 and the biome typology of pollen data. A transfer  
230 matrix (Table S2) was defined in our study where each BIOME4 vegetation type is assigned a  
231 vector of values, one of each pollen vegetation type, ranging from 0 (representing an  
232 incompatibility between BIOME4 type and pollen biome type) to 15 (corresponding to a  
233 maximum compatibility). Secondly, using an iterative approach, a representative set of climate  
234 scenarios compatible with the vegetation records is identified among the climate space,  
235 constructed by systematically perturbing the input variables (e.g.  $\Delta T$ ,  $\Delta P$ ) of the model (Table  
236 S3).

237 Inverse Vegetation Model (IVM) provides a possibility, for the first time, to reconstruct both  
238 annual and seasonal climates for the MH over China. Moreover, it offers a way to consider the  
239 impact of CO<sub>2</sub> concentration on competition between PFTs as well as on the relative abundance  
240 of taxa, and thus make reconstruction from pollen records more reliable. More detailed  
241 information about IVM can be found in Wu et al. (2007).

242 We applied the inverse model to modern pollen samples to validate the approach by  
243 reconstructing the modern climate at each site and comparing it with the observed values. The  
244 high correlation coefficients ( $R=0.75-0.95$ ), intercepts close to 0 (except for the mean  
245 temperature of the warmest month), and slopes close to 1 (except for the July precipitation)  
246 demonstrated that the inversion method worked well for most variables in China (see Table 6).

## 247 **2.6 Sensitivity test for vegetation feedback**

248 To quantify the vegetation feedback on climate change during mid-Holocene over China, we  
249 did the sensitivity test in CESM version 1.0.5. This version, developed at the National Center  
250 for Atmospheric Research, is a widely used coupled model with dynamic atmosphere (CAM4),  
251 land (CLM4), ocean (POP2), and sea-ice (CICE4) components (Gent et al., 2011). Here, we use  
252  $\sim 2^\circ$  resolution for the CAM4, configured by  $\sim 1.9^\circ$  (latitude)  $\times$   $2.5^\circ$  (longitude) in the horizontal  
253 direction and 26 layers in the vertical direction. The POP2 adopts a finer grid, with a nominal  $1^\circ$   
254 horizontal resolutions and 60 layers in the vertical direction. The land and sea-ice components  
255 have the same horizontal grids as the atmosphere and ocean components, respectively.

256 Two experiments were conducted, including a mid-Holocene (MH) experiment (6 ka) with  
257 original vegetation setting (prescribed as PI vegetation for MH) and a MH experiment with  
258 reconstructed vegetation (6 ka\_VEG). In detail, experiment 6 ka used the MH orbital  
259 parameters (Eccentricity=0.018682; Obliquity=24.105°; Angular precession=0.87°) and  
260 modern vegetation (Salzmann et al., 2008). Compared to experiment 6 ka, experiment 6  
261 ka\_VEG used our reconstructed vegetation in China. Except for the changed vegetation, all  
262 other boundary conditions were kept unchanged in these two experiments, including the solar  
263 constant ( $1365 \text{ W m}^{-2}$ ), modern topography and ice sheet, and pre-industrial greenhouse gases  
264 ( $\text{CO}_2 = 280 \text{ ppmv}$ ;  $\text{CH}_4 = 760 \text{ ppbv}$ ;  $\text{N}_2\text{O} = 270 \text{ ppbv}$ ). Experiment 6 ka was initiated from the  
265 default pre-industrial simulation and run for 500 model years. Experiment 6 ka\_VEG was  
266 initiated from model year 301 of experiment 6 ka and run for another 200 model years. We  
267 analyzed the computed climatological means of the last 50 model years from each experiment  
268 here.

## 269 **3. Results**

### 270 **3.1 Comparison of annual and seasonal climate changes at the MH**

271 In this study, we collected 159 pollen records, broadly covering the whole of China (Fig. 1).  
272 To check the reliability of the collected data, we first categorized our pollen records into  
273 megabiomes in line with the standard tables developed for the BIOME6000 (Table S1), and  
274 compared them with the BIOME6000 dataset (Fig.2). The match between collected data and  
275 the BIOME6000 is more than 90% (145 out of 159 sites) for both the MH and PI.

276 Based on pollen records, the spatial pattern of climate changes over China during the MH,  
277 deduced from IVM, are presented in Fig. 3 (left panel, points), alongside the results from  
278 PMIP3 models (shaded in Fig. 3). For temperature, a warmer-than-present annual climate  
279 condition ( $\sim 0.7$  K on average) is derived from pollen data (the points in Fig. 3a), with the  
280 largest increase occurring in the northeast (3-5 K) and a decrease in the northwest and on  
281 Tibetan Plateau. On the other hand, the results from a multi-model ensemble (MME) indicate a  
282 colder annual temperature generally ( $\sim -0.4$  K on average), with significant cooling in the south  
283 and slight warming in the northeast (shaded in Fig. 3a). Of the 13 models, 11 simulate a cooler  
284 annual temperature compared with PI as MME. However, two models (HadGEM2-ES and  
285 CNRM-CM5) present the same warmer condition as was found in the reconstruction (Fig. 3d).  
286 Compared to the reconstruction, the annual mean temperature during the MH is largely  
287 underestimated by most PMIP3 models, which depict an anomaly ranging from  $\sim -1$  to  $\sim 0.5$  K.  
288 Concerning seasonal change, during the MH, MTWA from the data is  $\sim 0.5$  K higher than PI,  
289 with the largest increase in the northeast and a decrease in the northwest. From model outputs,  
290 an average increase of  $\sim 1.2$  K is reproduced by MME, with a more pronounced warming at high  
291 latitudes which is consistent with the insolation change (Berger, 1978). Fig. 3e shows that all 13  
292 models reproduce the same warmer summer temperatures as the data, and that HadGEM2-ES

293 and CNRM-CM5, reproduce the largest increases among the models. Although the warmer  
294 MTWA is consistent between the models and data, there is a discrepancy between them on  
295 MTCO. In Fig. 3c, the data show an overall increase of  $\sim 1$  K, with the largest increase occurring  
296 in the northeast and a decrease of opposite magnitude on the Tibetan Plateau. Inversely, MME  
297 reproduces a decreased MTCO with an average amplitude of  $\sim -1.3$  K, the coolest areas being  
298 the southeast, the Loess Plateau and the northwest. Similarly to the MME, all 13 models  
299 simulate a colder-than-present climate with amplitudes ranging from  $\sim -2.0$  K (CCSM4 and  
300 FGOALS-g2) to  $\sim -0.7$  K (HadGEM2-ES and CNRM-CM5).

301 Concerning annual change in precipitation, the reconstruction shows wetter conditions  
302 during the MH across almost the whole of China with the exception of part of the northwest.  
303 The southeast presents the largest increase in annual precipitation. All but 2 models  
304 (MIROC-ESM and FGOALS-g2) depict wetter conditions with an amplitude of  $\sim 10$  mm to  $\sim 50$   
305 mm. The reconstruction and MME results also indicate an increased annual precipitation during  
306 MH (Fig.4a), with a much larger magnitude visible in the reconstruction ( $\sim 30$  mm,  $\sim 230$  mm  
307 respectively). The main discrepancy in annual precipitation between simulations and  
308 reconstruction occurs in the northeast, which is depicted as drier by the models and wetter by  
309 the data. With regard to seasonal change, the reconstruction shows an overall increase in July  
310 rainfall ( $\sim 50$  mm on average), with a decrease in the northwestern regions and East Monsoon  
311 region at Yangtze River valley. In line with the reconstruction, the MME also shows an overall  
312 increase in rainfall ( $\sim 7$  mm on average), with a decrease in the northwest for July (Fig.4b).  
313 Notably, a much larger increase is simulated for the south and the Tibetan Plateau by the  
314 models, while the opposite pattern emerges along the eastern margin from both models and data.  
315 For January precipitation, the reconstruction shows an overall increase in most region ( $\sim 15$   
316 mm), except for the northwestern region, while MME indicates a slight decrease ( $\sim 3$  mm on

317 average). More detailed information about the geographic distribution of simulated temperature  
318 and precipitation for each model can be found in Fig. S2-S7.

319 Table S4 provides the biome score from IVM for pollen data collected from published papers.  
320 The reconstructed climate change derived from IVM at each pollen site can be found in Table  
321 S5, in which the columns show the median and the 90% interval (5th to 95th percentage) for  
322 feasible climate values produced with the IVM approach. The simulated values for each of the  
323 climate variables as shown in the boxplots (Figure 3 and Figure 4) are given in the Table S6  
324 and Table S7.

### 325 **3.2 Comparison of vegetation change at the MH**

326 The use of the PMIP3 database is clearly limited by the different vegetation inputs among the  
327 models for the MH period (Table S8). Only HadGEM2-ES and HadGEM2-CC use a dynamic  
328 vegetation for the MH, and the other 11 models are prescribed to PI with or without interactive  
329 LAI, which would introduce a bias to the role of vegetation-atmosphere interaction in the MH  
330 climates. To evaluate the model results against the reconstruction for the MH vegetation, we  
331 conducted 13 biome simulations in BIOME4 using PIMP3 climate fields, and the megabiome  
332 distribution for each model during the MH is displayed in Fig. 5 (see Fig. S8 for PI vegetation  
333 comparison). To quantify the model-data dissimilarity between megabiomes, a map-based  
334 statistic called  $\Delta V$  (Sykes et al., 1999; Ni et al., 2000) was applied here (detailed information is  
335 in the methodology section).

336 Fig. S9 shows the dissimilarity between simulations and observations for megabiomes  
337 during the MH, with the overall values for  $\Delta V$  ranging from 0.43 (HadGEM2-ES) to 0.55  
338 (IPSL-CM5A-LR). According to the classification of  $\Delta V$  (see in the methodology section) for  
339 the 13 models, 12 (all except HadGEM2-ES) showed poor agreement with the observed  
340 vegetation distribution. Most models poorly simulate the desert, grassland and tropical forest

341 areas for both periods, but perform better for warm mixed forest, tundra and temperate forest.  
342 However, this statistic is based on a point-to-point comparison and so the  $\Delta V$  calculated here  
343 cannot represent an estimation of full vegetation simulation due to the uneven distribution of  
344 pollen data and the potentially huge difference in area of each megabiome. For instance, tundra  
345 in our data for PI is represented by only 4 points, which counts for a small contribution to the  
346  $\Delta V$  since we averaged it over a total of 159 points, but this calculation could induce a  
347 significant bias if these 4 points cover a large area of China.

348 So, we used the biome scores based on the artificial neural network technique as described by  
349 Guiot et al. (1996) for interpolation (the plots in red rectangle in Fig. 5), and compared the  
350 simulated vegetation distribution from BIOME4 for each model with the interpolated pattern.  
351 During the MH, most models are able to capture the tundra on the Tibetan Plateau as well as the  
352 combination of warm mixed forest and temperate forest in the southeast. However, all models  
353 fail to simulate or underestimate the desert area in the northwest compared to reconstructed data.  
354 The main model-data inconsistency in the MH vegetation distribution occurs in the northeast,  
355 where data show a mix of grassland and temperate forest, and the models show a mix of  
356 grassland and boreal forest.

357 The area statistic carried out for simulated vegetation changes (Fig. 6) reveals that the main  
358 difference during the MH, compared with PI, is that grassland replaced boreal forest in large  
359 tracts of the northeast (Fig. 5, Fig. S8). No other significant difference in vegetation distribution  
360 between the two periods was derived from models. Unlike in models, three main changes in  
361 megabiomes during the MH are depicted by the data. Firstly, the megabiomes converted from  
362 grassland to temperate forest in the northeast. Secondly, a large area of temperate forest was  
363 replaced in the southeast by a northward expansion of warm mixed forest. Thirdly, in the  
364 northwest and at the northern margin of the Tibetan Plateau, part of the desert area changed into  
365 grassland. However, none of the models succeed in capturing these features, especially the

366 transition from grassland into forest in the northeast during the MH. Therefore, this failure to  
367 capture vegetation changes between the two periods will lead to a cumulating inconsistency in  
368 the model-data comparison for climate anomalies because of the vegetation-climate feedbacks.

## 369 **4. Discussion**

### 370 **4.1 Validation and uncertainties for reconstruction**

371 To investigate the discrepancy between model-data for the MH climate change over China,  
372 the reliability of our reconstruction should be firstly considered. For the cross-proxy validation,  
373 we compared our reconstruction with previous studies concerning the MH climate change over  
374 China based on multiple proxies (including pollen, lake core, palaeosol, ice core, peat and  
375 stalagmite), the related references and detailed information are listed in Supplementary  
376 Information (Table S9 and Table S10). In comparison with PI condition, most reconstructions  
377 reproduced warmer and wetter annual condition during the MH (Fig. 7), same as our study. In  
378 other words, this discrepancy between model-data for climate change over China during the  
379 MH is common and robust in reconstructions derived from different proxies. Our study just  
380 reinforces the picture given by the discrepancies between PMIP simulation and pollen data  
381 derived from a synthesis of the literature.

382 However, there are still some bias in the reconstruction. Estimated climates for the present  
383 day from IVM were compared with observed climates (Table 6), the slopes and intercepts show  
384 slightly bias for annual and January precipitation, while there is considerable bias between IVM  
385 reconstruction and observation for temperature and July precipitation. For the uncertainties on  
386 data reconstruction, IVM relies heavily on BIOME4, and since BIOME4 is a global vegetation  
387 model, it is possible that the spatial robustness of regional reconstruction could be less than that  
388 of global reconstruction due to the failure in simulating local features (Bartlein et al., 2011).  
389 Moreover, the output of the model is not directly compared to the pollen data, the conversion



390 of BIOME4 biomes to pollen biomes by the transfer matrix may add the source of uncertainty  
391 in reconstruction. All these bias in reconstruction should be considered in the discrepancy  
392 between model-data for climate change during the MH over China.

#### 393 **4.2 Uncertainties for simulation**

394 The discrepancies between model-data for MH climate change could also be resulted from  
395 the uncertainties in simulation. Firstly, the coarse spatial resolution of models. Previous study  
396 shows the GCMs from PMIP3 is reliable to simulate the geographical distribution of  
397 temperature and precipitation over China for present day without downscaling, but there is  
398 considerable bias between simulation and observation for precipitation (Jiang et al., 2016). In  
399 particular, the climate fields, directly used from the model output without downscaling, will  
400 not contain the spatial variability of modern climate that in topographically complex areas.  
401 And thus, it's necessary to check in which degree the model-data mismatch is related to rough  
402 topography used in the climate models. In PMIP3, MRI-CGCM3 has the highest resolution  
403 (Atmosphere: 320\*160\*L48; Ocean: 364\*368\*L51), while IPSL-CM5A-LR has the lowest  
404 one (Atmosphere: 96\*96\*L39; Ocean: 182\*149\*L31). In Fig. 8, we give the actual modern  
405 topography and the interpolated topography used in MRI-CGCM3 and IPSL-CM5A-LR. For  
406 MRI-CGCM3, the topography is very close to the observation, so for this model, the  
407 model-data discrepancy during MH over China is not related to the resolution. However, for  
408 the model with coarse resolution (IPSL-CM5A-LR), it's true that the coarse version of model  
409 will lead to bias in topography when the regional diversity is discussed. The variations in  
410 topography could influence the vegetation and hence the simulated climate. To quantify this  
411 impact, we compare the topography and PI climate results of IPSL-CM5A-LR and  
412 IPSL-CM5A-MR. Fig. 9 shows that the difference in topography caused by model resolution  
413 do has an impact on small scales (e.g. south region of the Tibetan Plateau), but not on the

414 overall pattern. For a better comparison, the climate variables should be downscaled in the  
415 future work.

416 Secondly, besides the qualitative consistency among models, caused by the protocol of  
417 PMIP3 experiments (Table 2), a variability in the magnitude of anomalies between models is  
418 clearly illustrated by the boxplots (Fig.3 and Fig.4), especially for the temperature anomaly. Fig.  
419 S10 demonstrates that there is no any clear relationship between PI temperature and  
420 temperature anomaly (MH-PI). In other words, these disparities in value or even pattern among  
421 models are not resulted from the difference in PI simulation, instead, they reflect the obvious  
422 differences in the response by the climate models to the MH forcing which raises on the  
423 question of the magnitude of feedbacks among models.

424 As positive feedbacks between climate and vegetation are important to explain regional  
425 climate changes, the failure to capture or the underestimation of the amplitude and pattern of  
426 the observed vegetation differences among models (see Section 3.2) could amplify and partly  
427 account for the model-data disparities in climate change, mainly due to variations in the albedo.  
428 Because the HadGEM2-ES and HadGEM2-CC are the only two models in PMIP3 with  
429 dynamic vegetation simulation for the MH, we thus focused on them to examine the variations  
430 in vegetation fraction in the simulations. The main vegetation changes during the MH  
431 demonstrated by HadGEM2-ES are increased tree coverage (~15%) and a decreased bare soil  
432 fraction (~6%), while HadGEM2-CC depicts a ~3% decrease in tree fraction and a ~1%  
433 increase in bare soil (Fig. S11). We made a rough calculation of albedo variance caused solely  
434 by vegetation change for both two models and for our reconstruction, based on the area fraction  
435 and albedo value of each vegetation type (Betts, 2000; Bonfils et al., 2001; Oguntunde et al.,  
436 2006; Bonan, 2008).

437 The overall albedo change from the vegetation reconstruction during the MH shows a ~1.8%  
438 decrease when snow-free, with a much larger impact (~4.2% decrease) when snow-covered.  
439 The results from HadGEM2-ES are highly consistent with the albedo changes from the  
440 reconstruction, featuring a ~1.4% (~6.5%) decrease without (with) snow, while HadGEM2-CC  
441 produces an increased albedo value during the MH (~0.22% for snow-free, ~1.9% with  
442 snow-cover), depending on its vegetation simulation. Two ideas could be inferred from this  
443 calculation, 1) HadGEM2-ES is much better in simulating the MH vegetation changes than  
444 HadGEM2-CC. 2) the failure by models to capture these vegetation changes will result in a  
445 much larger impact on winter albedo (with snow) than summer albedo (without snow). In  
446 conclusion, there is an obvious advantage of using AOVGCM instead of AOGCM when we  
447 discussing about the MH climate, but the premise is that the AOVGCM can simulate accurate  
448 vegetation distribution.

449 These surface albedo changes due to vegetation changes could have a cumulative effect on  
450 the regional climate by modifying the radiative fluxes. For instance, the spread of trees into the  
451 grassland biome in the northeast during the MH, revealed by the reconstruction in our study,  
452 should act as a positive feedback to climate warming by increasing the surface net shortwave  
453 radiation associated with reductions in albedo due to taller and darker canopies (Chapin et al.,  
454 2005). Previous studies show that cloud and surface albedo feedbacks on radiation are major  
455 drivers of differences between model outputs for past climates. Moreover, the land surface  
456 feedback shows large disparities among models (Braconnot and Kageyama, 2015).

457 We used a simplified approach (Taylor et al., 2007) to quantify the feedbacks and to compare  
458 model behavior for the MH, thus justifying the focus on surface albedo and atmospheric  
459 scattering (mainly accounting for cloud change). Surface albedo and cloud change are  
460 calculated using the simulated incoming and outgoing radiative fluxes at the Earth's surface  
461 and at the top of atmosphere (TOA), based on data for the last 30 years averaged from all

462 models. Using this framework, we quantified the effect of changes in albedo on the net  
463 shortwave flux at TOA (Braconnot and Kageyama, 2015), and further investigated the  
464 relationship between these changes and temperature change. Fig.10 shows that most models  
465 produced a negative cloud cover and surface albedo feedback on the annual mean shortwave  
466 radiative forcing. Concerning seasonal change, the shortwave cloud and surface feedback in  
467 most models tend to counteract the insolation forcing during the boreal summer, while they  
468 enhance the solar forcing during winter. A strong positive correlation between albedo feedback  
469 and temperature change is depicted, with a large spread in the models owing to the difference in  
470 albedo in the 13 models. In particular, CNRM-CM5 and HadGEM2-ES capture higher values  
471 of cloud and surface albedo feedback, which could be the reason for the reversal of the  
472 decreased annual temperature seen in other models (Fig. 3d).

473 However, the vegetation patterns produced by BIOME4 in Figure 5 are not used in PMIP3  
474 experiment setup, it's actually determined by the input variables from models. Therefore, the  
475 disagreements of MH vegetation pattern possibly are inherited from the PI. To better quantify  
476 the vegetation-climate feedback, two experiments were conducted in CESM version 1.0.5,  
477 including a mid-Holocene (MH) experiment (6 ka) with original vegetation setting  
478 (prescribed as PI vegetation for the MH) and a MH experiment with reconstructed vegetation  
479 (6 ka\_VEG). Fig. 11 shows the climate anomalies (6 ka\_VEG minus 6 ka) between two  
480 simulations, for both annual and seasonal scale. For temperature, it's clear that the 6 ka\_VEG  
481 simulation reproduces a warmer annual mean climate ( $\sim 0.3$  K on average) as well as an  
482 obviously warmer winter ( $\sim 0.6$  K on average). For precipitation, the reconstructed vegetation  
483 leads to more annual and seasonal precipitation, which can also reconcile the discrepancy of  
484 increase amplitude for precipitation during the MH between model-data (data reproduced  
485 larger amplitude than model, revealed by our study). So the mismatch between model-data in  
486 MH vegetation could partly account for the discrepancy of climate due to the interaction

487 between vegetation and climate through radiative and hydrological forcing with albedo. These  
488 results pinpoint the value of building a new generation of models able to capture not only the  
489 atmosphere and ocean response, but also the non-linear responses of vegetation and  
490 hydrology to the climate change.

## 491 **5. Conclusion**

492 In this study, we compare the annual and seasonal outputs from the PMIP3 models with an  
493 updated synthesis of climate reconstruction over China, including, for the first time, a seasonal  
494 cycle of temperature and precipitation. In response to the seasonal insolation change prescribed  
495 in PMIP3 for the MH, all models produce similar large-scale patterns for seasonal temperature  
496 and precipitation (higher than present July precipitation and MTTWA, lower than present  
497 MTCO), with either an over- or underestimate of the climate changes when compared to the  
498 data. The main discrepancy emerging from the model-data comparison occurs in the annual and  
499 MTCO, where data show an increased value and most models simulate the opposite except  
500 CNRM-CM5 and HadGEM2-ES reproduced the higher-than-present annual temperature  
501 during MH as data showed. By conducting simulations in BIOME4 and CESM, we show that  
502 the surface processes are the key factors drawing the uncertainties between models and data.  
503 These results pinpoint the crucial importance of including the non-linear responses of the  
504 surface water and energy balance to vegetation changes. However, it should also be noted that  
505 prescribing the vegetation with reconstructed biomes would reduce the power of the  
506 biome-based climate reconstruction, owing to the potential circularity (prescribe the vegetation  
507 to get the vegetation). Moreover, besides the vegetation influence, to which extent this  
508 model-data discrepancy is related to rough topography, soil type and other possible factors  
509 should be investigated in the future work.

510

511 **Data availability**

512 The PMIP3 output is publicly available at website (<http://pmip3.lsce.ipsl.fr/>) by the climate  
513 modelling groups, the 65 pollen biomization results are provided by Members of China  
514 Quaternary Pollen Data Base (CQPD), Table 1 shows the information (including references)  
515 of the 91 collected pollen records and 3 original ones in our study, the biome scores of these  
516 94 pollen records derived from IVM are listed in Table S4. All the reconstructed climate  
517 values at each pollen site from IVM are provided in Table S5. For the data from CQPD, the  
518 basic information (location, data supporter, age control and biome type of each site) can be  
519 found in CQPD (2000), while the original data are not publicly available yet. To whom  
520 request for the data, you can contact with Yunli Luo ([lyl@ibcas.ac.cn](mailto:lyl@ibcas.ac.cn), Institute of Botany,  
521 Chinese Academy of Sciences, Beijing, 100093, China), a core member and academic  
522 secretary of the CQPD.

523 **Author contribution**

524 Yating Lin carried out the model-data analysis and prepared for the first manuscript, Gilles  
525 Ramstein contributed a lot to the paper's structure and content, Haibin Wu provided the  
526 reconstruction results from IVM and contributed the paper's structure and content. Raj  
527 Rani-Singh conducted the BIOME4 simulations. Ran Zhang carried out the simulation in  
528 CESM. Pascale Braconnot, Masa Kegeyama and Zhengtang Guo contributed great ideas on  
529 model-data comparison work. Qin Li and Yunli Luo provided pollen data. All co-authors  
530 helped to improve the paper.

531 **Competing interest**

532 The authors declare no competing interests.

533

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**Table 1. Basic information of the pollen dataset used in this study**

Site	Lat	Lon	Alt	Webb 1-7	Source
Sujiawan	35.54	104.52	1700	2	original data (Zou et al., 2009)
Xiaogou	36.10	104.90	1750	2	original data (Wu et al., 2009)
Dadiwan	35.01	105.91	1400	1	original data (Zou et al., 2009)
Sanjiaocheng	39.01	103.34	1320	1	Chen et al., 2006
Chadianpo	36.10	114.40	65	2	Zhang et al., 2007
Qindeli	48.08	133.25	60	2	Yang and Wang, 2003
Fuyuanchuangye	47.35	133.03	56	3	Xia, 1988
Jingbo Lake	43.83	128.50	350	2	Li et al., 2011
Hani Lake	42.22	126.52	900	1	Cui et al., 2006
Jinchuan	42.37	126.43	662	5	Jiang et al., 2008
Maar Lake	42.30	126.37	724	1	Liu et al., 2009
Maar Lake	42.30	126.37	724	1	Liu et al., 2008
Xie Lake SO4	37.38	122.52	0	1	Zhou et al., 2008
Nanhuiheming Core	31.05	121.58	7	2	Jia and Zhang, 2006
Toushe	23.82	120.88	650	1	Liu et al., 2006
Dongyuan Lake	22.17	120.83	415	2	Lee et al., 2010
Yonglong CY	31.78	120.44	5	3	Zhang et al., 2004
Hangzhou HZ3	30.30	120.33	6	4	Liu et al., 2007
Xinhua XH1	32.93	119.83	2	3	Shu et al., 2008
ZK01	31.77	119.80	6	2	Shu et al., 2007
Chifeng	43.97	119.37	503	2	Xu et al., 2002
SZK1	26.08	119.31	9	1	Zheng et al., 2002
Gucheng	31.28	118.90	6	4	Yang et al., 1996
Lulong	39.87	118.87	23	2	Kong et al., 2000
Hulun Lake	48.92	117.42	545	1	Wen et al., 2010
CH-1	31.56	117.39	5	2	Wang et al., 2008
Sanyi profile	43.62	117.38	1598	4	Wang et al., 2005
Xiaoniuchang	42.62	116.82	1411	1	Liu et al., 2002
Haoluku	42.87	116.76	1333	2	Liu et al., 2002
Liuzhouwan	42.71	116.68	1410	7	Liu et al., 2002
Poyang Lake 103B	28.87	116.25	16	4	Jiang and Piperno, 1999
Baiyangdian	38.92	115.84	8	2	Xu et al., 1988
Bayanchagan	42.08	115.35	1355	1	Jiang et al., 2006
Huangjiapu	40.57	115.15	614	7	Sun et al., 2001
Dingnan	24.68	115.00	250	2	Xiao et al., 2007
Guang1	36.02	114.53	56	1	Zhang et al., 2007
Angulinao	41.33	114.35	1315	1	Wang et al., 2010
Yangyuanxipu	40.12	114.22	921	6	Wang et al., 2003

<b>Shenzhen Sx07</b>	22.75	113.78	2	2	Zhang and Yu, 1999
<b>GZ-2</b>	22.71	113.51	1	7	Wang et al., 2010
<b>Daihai99a</b>	40.55	112.66	1221	2	Xiao et al., 2004
<b>Daihai</b>	40.55	112.66	1221	2	Sun et al., 2006
<b>Sihenan profile</b>	34.80	112.40	251	1	Sun and Xia, 2005
<b>Diaojiaohaizi</b>	41.30	112.35	2015	1	Yang et al., 2001
<b>Ganhaizi</b>	39.00	112.30	1854	3	Meng et al., 2007
<b>Jiangling profile</b>	30.35	112.18	37	1	Xie et al., 2006
<b>Helingeer</b>	40.38	111.82	1162	3	Li et al., 2011
<b>Shennongjia2</b>	31.75	110.67	1700	1	Liu et al., 2001
<b>Huguangyan Maar Lake B</b>	21.15	110.28	59	2	Wang et al., 2007
<b>Yaoxian</b>	35.93	110.17	1556	2	Li et al., 2003
<b>Jixian</b>	36.00	110.06	1005	6	Xia et al., 2002
<b>Shennongjia Dajiu Lake</b>	31.49	110.00	1760	2	Zhu et al., 2006
<b>Qigai nuur</b>	39.50	109.85	1300	1	Sun and Feng, 2013
<b>Beizhuangcun</b>	34.35	109.53	519	1	Xue et al., 2010
<b>Lantian</b>	34.15	109.33	523	1	Li and Sun, 2005
<b>Bahanniao</b>	39.32	109.27	1278	1	Guo et al., 2007
<b>Midiwan</b>	37.65	108.62	1400	1	Li et al., 2003
<b>Jinbian</b>	37.50	108.33	1688	2	Cheng, 2011
<b>Xindian</b>	34.38	107.80	608	1	Xue et al., 2010
<b>Nanguanzhuang</b>	34.43	107.75	702	1	Zhao et al., 2003
<b>Xifeng</b>	35.65	107.68	1400	3	Xu, 2006
<b>Jiyuan</b>	37.13	107.40	1765	3	Li et al., 2011
<b>Jiacunyuan</b>	34.27	106.97	1497	2	Gong, 2006
<b>Dadiwan</b>	35.01	105.91	1400	1	Zou et al., 2009
<b>Maying</b>	35.34	104.99	1800	1	Tang and An, 2007
<b>Huiningxiaogou</b>	36.10	104.90	1750	2	Wu et al., 2009
<b>Sujiawan</b>	35.54	104.52	1700	2	Zou et al., 2009
<b>QTH02</b>	39.07	103.61	1302	1	Yu et al., 2009
<b>Laotanfang</b>	26.10	103.20	3579	2	Zhang et al., 2007
<b>Hongshui River2</b>	38.17	102.76	1511	1	Ma et al., 2003,
<b>Ruoergai</b>	33.77	102.55	3480	1	Cai, 2008
<b>Hongyuan</b>	32.78	102.52	3500	2	Wang et al., 2006
<b>Dahaizi</b>	27.50	102.33	3660	1	Li et al., 1988
<b>Shayema Lake</b>	28.58	102.22	2453	1	Tang and Shen, 1996
<b>Luanhaizi</b>	37.59	101.35	3200	5	Herzschuh et al., 2006
<b>Lugu Lake</b>	27.68	100.80	2692	1	Zheng et al., 2014
<b>Qinghai Lake</b>	36.93	100.73	3200	2	Shen et al., 2004
<b>Dalianhai</b>	36.25	100.41	2850	3	Cheng et al., 2010
<b>Erhai ES Core</b>	25.78	100.19	1974	1	Shen et al., 2006
<b>Xianmachi profile</b>	25.97	99.87	3820	7	Yang et al., 2004

<b>TCK1</b>	26.63	99.72	3898	1	Xiao et al., 2014
<b>Yidun Lake</b>	30.30	99.55	4470	4	Shen et al., 2006
<b>Kuhai lake</b>	35.30	99.20	4150	1	Wischniewski et al., 2011
<b>Koucha lake</b>	34.00	97.20	4540	2	Herzschuh et al., 2009
<b>Hurleg</b>	37.28	96.90	2817	2	Zhao et al., 2007
<b>Basu</b>	30.72	96.67	4450	3	Tang et al., 1998
<b>Tuolekule</b>	43.34	94.21	1890	1	An et al., 2011
<b>Balikun</b>	43.62	92.77	1575	1	Tao et al., 2010
<b>Cuona</b>	31.47	91.51	4515	3	Tang et al., 2009
<b>Dongdaohaizi2</b>	44.64	87.58	402	1	Li et al., 2001
<b>Bositeng Lake</b>	41.96	87.21	1050	1	Xu, 1998
<b>Cuoqin</b>	31.00	85.00	4648	4	Luo, 2008
<b>Yili</b>	43.86	81.97	928	2	Li et al., 2011
<b>Bangong Lake</b>	33.75	78.67	4241	1	Huang et al., 1996
<b>Shengli</b>	47.53	133.87	52	2	CQPD, 2000
<b>Qingdeli</b>	48.05	133.17	52	1	CQPD, 2000
<b>Changbaishan</b>	42.22	126.00	500	2	CQPD, 2000
<b>Liuhe</b>	42.90	125.75	910	7	CQPD, 2000
<b>Shuangyang</b>	43.27	125.75	215	1	CQPD, 2000
<b>Xiaonan</b>	43.33	125.33	209	1	CQPD, 2000
<b>Tailai</b>	46.40	123.43	146	5	CQPD, 2000
<b>Sheli</b>	45.23	123.31	150	4	CQPD, 2000
<b>Tongtu</b>	45.23	123.30	150	7	CQPD, 2000
<b>Yueyawan</b>	37.98	120.71	5	1	CQPD, 2000
<b>Beiwangxu</b>	37.75	120.61	6	1	CQPD, 2000
<b>East Tai Lake1</b>	31.30	120.60	3	1	CQPD, 2000
<b>Suzhou</b>	31.30	120.60	2	7	CQPD, 2000
<b>Sun-Moon Lake</b>	23.51	120.54	726	2	CQPD, 2000
<b>West Tai Lake</b>	31.30	119.80	1	1	CQPD, 2000
<b>Changzhou</b>	31.43	119.41	5	1	CQPD, 2000
<b>Dazeyin</b>	39.50	119.17	50	7	CQPD, 2000
<b>Hailaer</b>	49.17	119.00	760	2	CQPD, 2000
<b>Cangumiao</b>	39.97	118.60	70	1	CQPD, 2000
<b>Qianhuzhuang</b>	40.00	118.58	80	6	CQPD, 2000
<b>Reshuitang</b>	43.75	117.65	1200	1	CQPD, 2000
<b>Yangerzhuang</b>	38.20	117.30	5	7	CQPD, 2000
<b>Mengcun</b>	38.00	117.06	7	5	CQPD, 2000
<b>Hanjiang-CH2</b>	23.48	116.80	5	2	CQPD, 2000
<b>Hanjiang-SH6</b>	23.42	116.68	3	7	CQPD, 2000
<b>Hanjiang-SH5</b>	23.45	116.67	8	2	CQPD, 2000
<b>Hulun Lake</b>	48.90	116.50	650	1	CQPD, 2000
<b>Heitutang</b>	40.38	113.74	1060	1	CQPD, 2000
<b>Zhujiang delta PK16</b>	22.73	113.72	15	7	CQPD, 2000

<b>Angulitun</b>	41.30	113.70	1400	7	CQPD, 2000
<b>Bataigou</b>	40.92	113.63	1357	1	CQPD, 2000
<b>Dahewan</b>	40.87	113.57	1298	2	CQPD, 2000
<b>Yutubao</b>	40.75	112.67	1254	7	CQPD, 2000
<b>Zhujiang delta K5</b>	22.78	112.63	12	1	CQPD, 2000
<b>Da-7</b>	40.52	112.62	1200	3	CQPD, 2000
<b>Hahai-1</b>	40.17	112.50	1200	5	CQPD, 2000
<b>Wajianggou</b>	40.50	112.50	1476	4	CQPD, 2000
<b>Shuidong Core A1</b>	21.75	111.07	-8	2	CQPD, 2000
<b>Dajahu</b>	31.50	110.33	1700	2	CQPD, 2000
<b>Tianshuigou</b>	34.87	109.73	360	7	CQPD, 2000
<b>Mengjiawan</b>	38.60	109.67	1190	7	CQPD, 2000
<b>Fuping BK13</b>	34.70	109.25	422	7	CQPD, 2000
<b>Yaocun</b>	34.70	109.22	405	2	CQPD, 2000
<b>Jinbian</b>	37.80	108.60	1400	4	CQPD, 2000
<b>Dishaogou</b>	37.83	108.45	1200	2	CQPD, 2000
<b>Shuidonggou</b>	38.20	106.57	1200	5	CQPD, 2000
<b>Jiuzhoutai</b>	35.90	104.80	2136	7	CQPD, 2000
<b>Luojishan</b>	27.50	102.40	3800	1	CQPD, 2000
<b>RM-F</b>	33.08	102.35	3400	2	CQPD, 2000
<b>Hongyuan</b>	33.25	101.57	3492	1	CQPD, 2000
<b>Wasong</b>	33.20	101.52	3490	1	CQPD, 2000
<b>Guhu Core 28</b>	27.67	100.83	2780	7	CQPD, 2000
<b>Napahai Core 34</b>	27.80	99.60	3260	2	CQPD, 2000
<b>Lop Nur</b>	40.50	90.25	780	7	CQPD, 2000
<b>Chaiwobao1</b>	43.55	87.78	1100	2	CQPD, 2000
<b>Chaiwobao2</b>	43.33	87.47	1114	1	CQPD, 2000
<b>Manasi</b>	45.97	84.83	257	2	CQPD, 2000
<b>Wuqia</b>	43.20	83.50	1000	7	CQPD, 2000
<b>Madagou</b>	37.00	80.70	1370	2	CQPD, 2000
<b>Tongyu</b>	44.83	123.10	148	5	CQPD, 2000
<b>Nanjing</b>	32.15	119.05	10	2	CQPD, 2000
<b>Banpo</b>	34.27	109.03	395	1	CQPD, 2000
<b>QL-1</b>	34.00	107.58	2200	7	CQPD, 2000
<b>Dalainu</b>	43.20	116.60	1290	7	CQPD, 2000
<b>Qinghai</b>	36.55	99.60	3196	2	CQPD, 2000

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1128 **Table 2. Earth's orbital parameters and trace gases as recommended by the PMIP3**  
 1129 **project**

Simulation	Orbital parameters			Trace gases		
	Eccentricity	Obliquity(°)	Angular precession(°)	CO <sub>2</sub> (ppmv)	CH <sub>4</sub> (ppbv)	N <sub>2</sub> O(ppbv)
<b>PI</b>	0,0167724	23,446	102,04	280	760	270
<b>MH</b>	0,018682	24,105	0,87	280	650	270

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1132 **Table 3. PMIP3 model characteristics and references**

<i>Model Name</i>	<i>Modelling centre</i>	<i>Type</i>	<i>Grid</i>	<i>Reference</i>
<b>BCC-CSM-1-1</b>	BCC-CMA (China)	AOVGCM	Atm: 128×64×L26; Ocean: 360×232×L40	Xin et al. (2013)
<b>CCSM4</b>	NCAR (USA)	AOGCM	Atm: 288 × 192×L26; Ocean: 320×384×L60	Gent et al. (2011)
<b>CNRM-CM5</b>	CNRM&CERFACS (France)	AOGCM	Atm: 256 × 128×L31; Ocean: 362×292×L42	Voltaire et al. (2012)
<b>CSIRO-Mk3-6-0</b>	QCCCE, Australia	AOGCM	Atm: 192 × 96×L18; Ocean: 192×192×L31	Jeffrey et al. (2013)
<b>FGOALS-g2</b>	LASG-IAP (China)	AOVGCM	Atm: 128 × 60×L26; Ocean: 360×180×L30	Li et al. (2013)
<b>FGOALS-s2</b>	LASG-IAP (China)	AOVGCM	Atm: 128 × 108×L26; Ocean: 360×180×L30	Bao et al. (2013)
<b>GISS-E2-R</b>	GISS (USA)	AOGCM	Atm: 144 × 90×L40; Ocean: 288×180×L32	Schmidt et al. (2014a,b)
<b>HadGEM2-CC</b>	Hadley Centre (UK)	AOVGCM	Atm: 192 × 145×L60; Ocean: 360×216×L40	Collins et al. (2011)
<b>HadGEM2-ES</b>	Hadley Centre (UK)	AOVGCM	Atm: 192 × 145×L38; Ocean: 360×216×L40	Collins et al. (2011)
<b>IPSL-CM5A-LR</b>	IPSL (France)	AOVGCM	Atm: 96 × 96×L39; Ocean: 182×149×L31	Dufresne et al. (2013)
<b>MIROC-ESM</b>	Utokyo&NIES (Japan)	AOVGCM	Atm: 128×64×L80; Ocean: 256×192×L44	Watanabe et al. (2011)
<b>MPI-ESM-P</b>	MPI (Germany)	AOGCM	Atm: 196×98×L47; Ocean: 256×220×L40	Giorgetta et al. (2013)
<b>MRI-CGCM3</b>	MRI (Japan)	AOGCM	Atm: 320 × 160×L48; Ocean: 364×368×L51	Yukimoto et al. (2012)

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1136 **Table 4. Important values for each plant life form used in the  $\Delta V$  statistical calculation**  
 1137 **as assigned to the megabiomes**

<i>Megabiomes</i>	<i>Life form</i>		
	<b>Trees</b>	<b>Grass/grass</b>	<b>Bare ground</b>
<i>Tropical forest</i>	1		
<i>Warm mixed forest</i>	1		
<i>Temperate forest</i>	1		
<i>Boreal forest</i>	1		
<i>Grassland and dry shrubland</i>	0.25	0.75	
<i>Savanna and dry woodland</i>	0.5	0.5	
<i>Desert</i>		0.25	0.75
<i>Tundra</i>		0.75	0.25

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1139 **Table 5. Attribute values and the weights for plant life forms used by the  $\Delta V$  statistic**

<i>Life form</i>	<i>Attribute</i>			
	<b>Evergreen</b>	<b>Needle-leaf</b>	<b>Tropical</b>	<b>Boreal</b>
<b><i>Trees</i></b>				
<i>Tropical forest</i>	1	0	1	0
<i>Warm mixed forest</i>	0.75	0.25	0	0
<i>Temperate forest</i>	0.5	0.5	0	0.5
<i>Boreal forest</i>	0.25	0.75	0	1
<i>Grassland and dry shrubland</i>	0.75	0.25	0.75	0
<i>Savanna and dry woodland</i>	0.25	0.75	0	0.5
<i>weights</i>	0.2	0.2	0.3	0.3
<b><i>Grass/Shrub</i></b>	<b>Warm</b>	<b>Arctic/alpine</b>		
<i>Grassland and dry shrubland</i>	1	0		
<i>Savanna and dry woodland</i>	0.75	0		
<i>Desert</i>	1	0		
<i>Tundra</i>	0	1		
<i>weights</i>	0.5	0.5		
<b><i>Bare Ground</i></b>	<b>Arctic/alpine</b>			
<i>Desert</i>	0			
<i>Tundra</i>	1			
<i>weight</i>	1			

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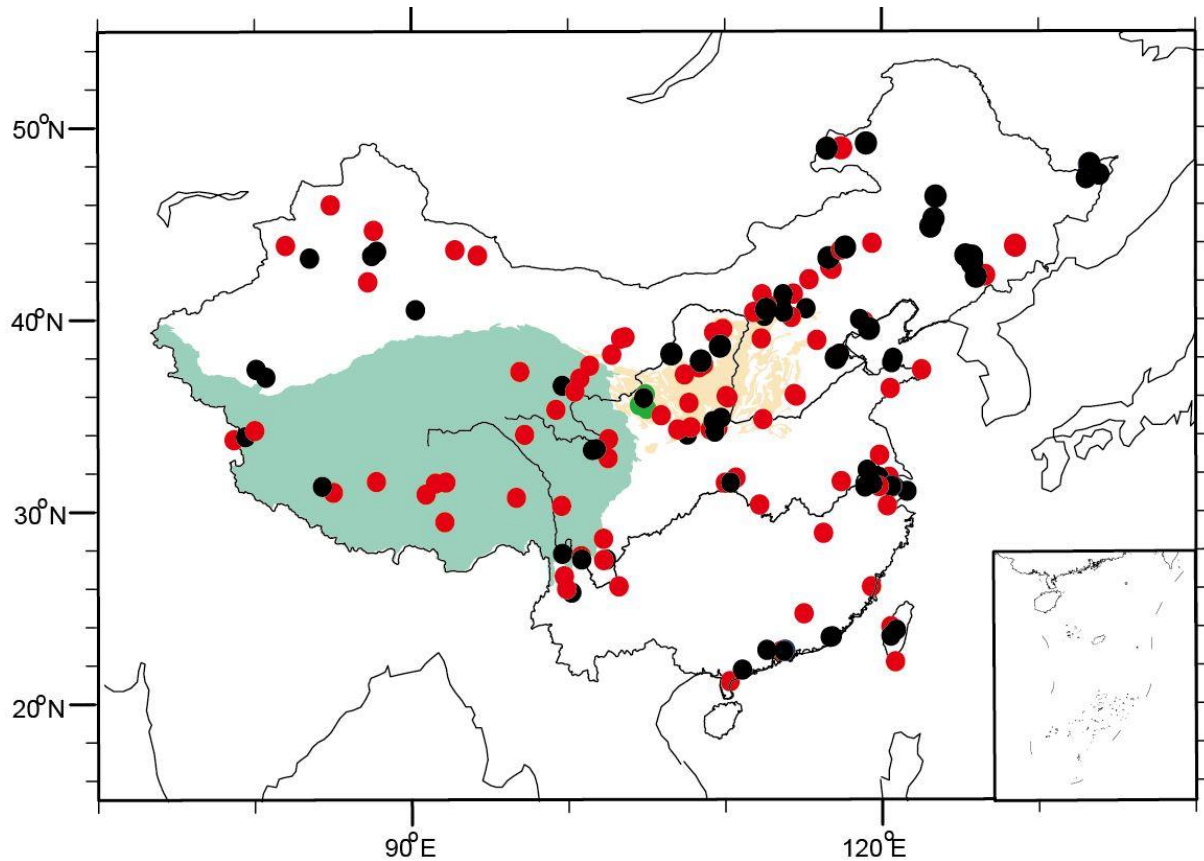
1141 **Table 6. Regression coefficients between the reconstructed climates by inverse**  
 1142 **vegetation models and observed meteorological values**

Climate parameter	Slope	Intercept	R	ME	RMSE
<b>MAT</b>	0.82±0.02	0.92±0.18	0.89	0.16	3.25
<b>MTCO</b>	0.81±0.01	-1.79±0.18	0.95	-0.17	3.19
<b>MTWA</b>	0.75±0.03	4.57±0.60	0.75	-0.19	4.02
<b>MAP</b>	1.15±0.02	32.90±18.41	0.94	138.01	263.88
<b>Pjan</b>	1.01±0.02	0.32±0.47	0.94	0.52	8.89
<b>Pjul</b>	1.30±0.03	-21.67±4.52	0.89	16.45	52.9

1143 The climatic parameters used for regression are the actual values (data source: China Climate  
 1144 Bureau, China Ground Meteorological Record Monthly Report, 1951-2001). MAT annual  
 1145 mean temperature, MTCO mean temperature of the coldest month, MTWA mean temperature  
 1146 of the warmest month, MAP annual precipitation, RMSE the root-mean-square error of the  
 1147 residuals, ME mean error of the residuals, Pjan: precipitation of January, Pjul: precipitation of  
 1148 July, R is the correlation coefficient, ± stand error

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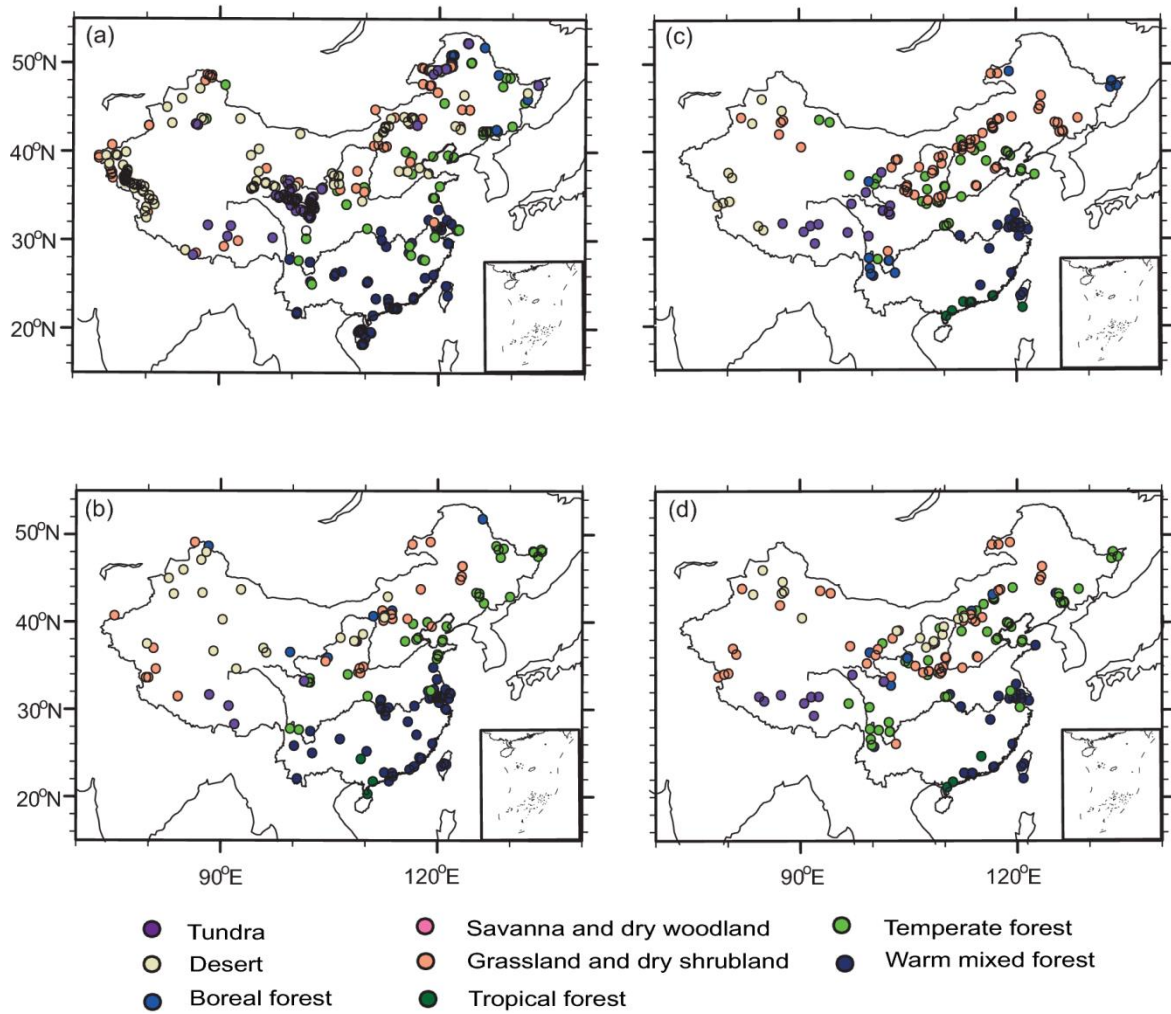


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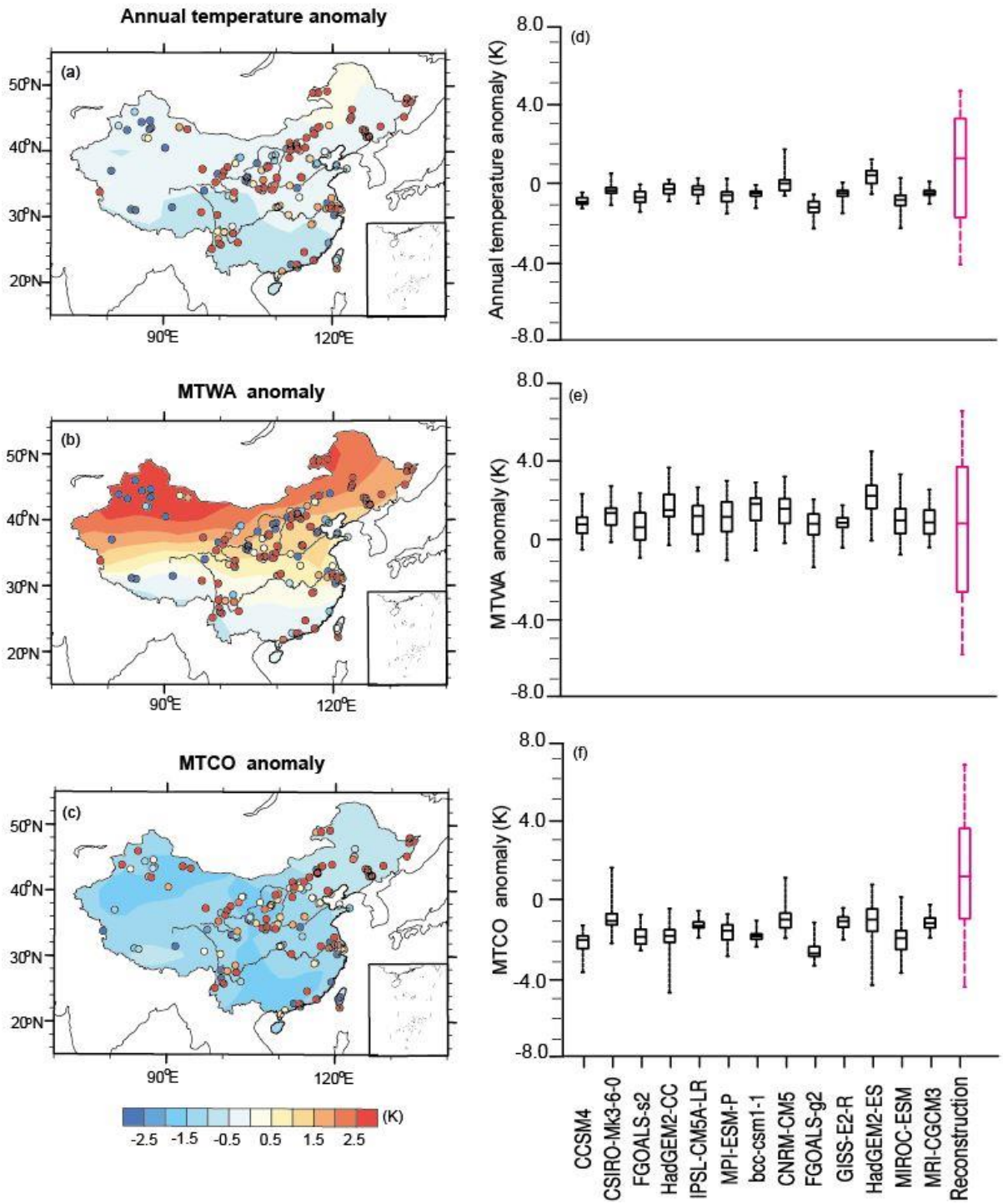
**Figure 1.** Distribution of pollen sites during mid-Holocene period in China. Black circle is the original China Quaternary Pollen Database, red circles are digitized ones from published papers, green circles represent the three original pollen data used in this study. The area with green color represents the Tibetan Plateau, yellow color for the Loess Plateau.



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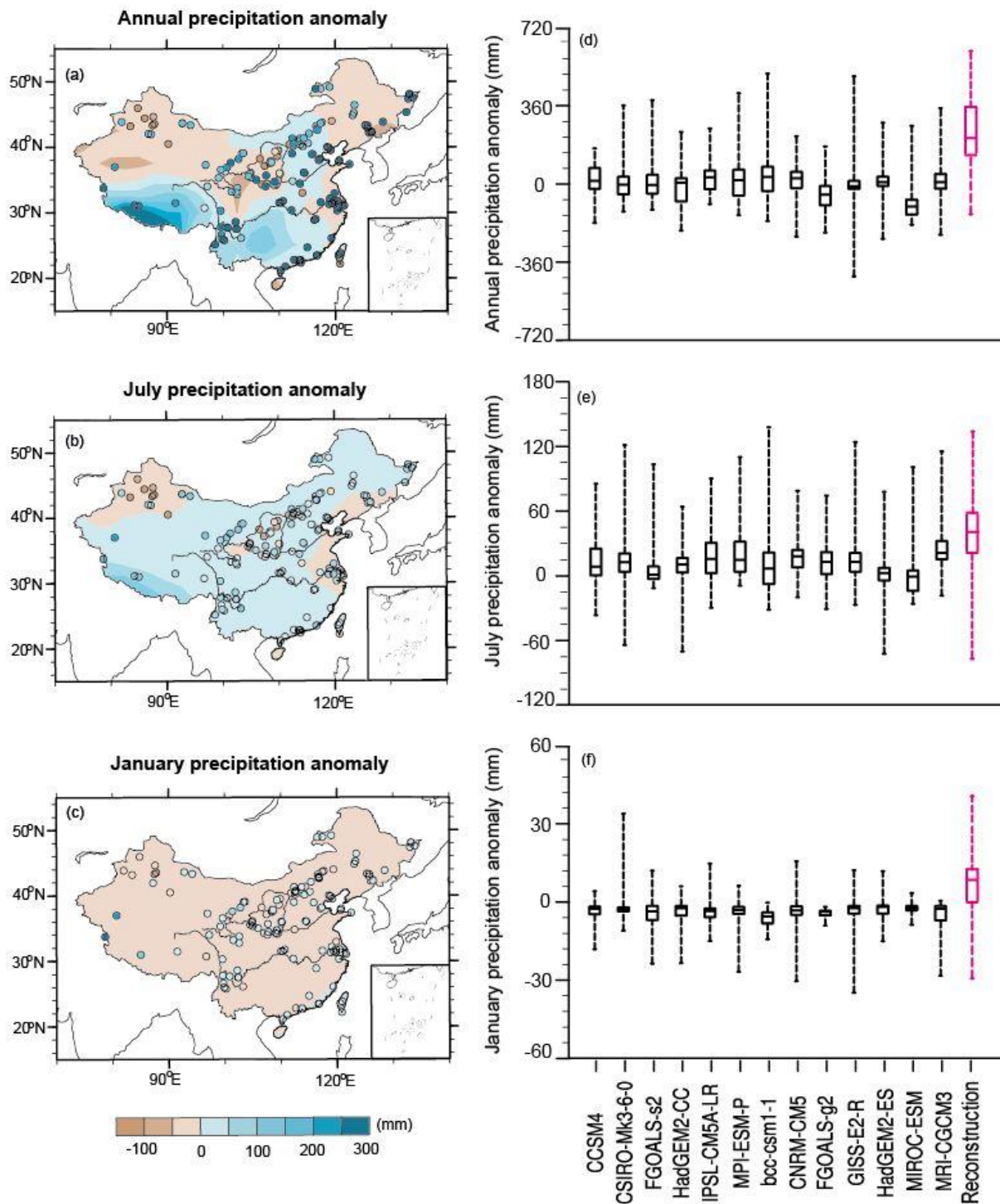


**Figure 2.** Comparison of megabiomes for PI (first row) and the MH (second row): (a,b) BIOME6000, (c,d) pollen data collected in this study.



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1221 **Figure 3.** Model-data comparison for annual and seasonal (MTWA and MTCO) temperature  
 1222 (K). For the left panel (a-c), points represent the reconstruction from IVM, shades show the  
 1223 last 30-year means simulation results of multi-model ensemble (MME) for 13 PMIP3 models.  
 1224 The box-and-whisker plots (d-f) show the changes as shown by each PMIP3 model and the  
 1225 reconstruction. (d) considers changes in annual temperature, (e) indicates changes in MTWA,  
 1226 and (f) shows changes in MTCO. The lines in each box shows the median value from each set  
 1227 of measurements, the box shows the 25%-75% range, and the whiskers show the 90% interval  
 1228 (5th to 95th percentile).

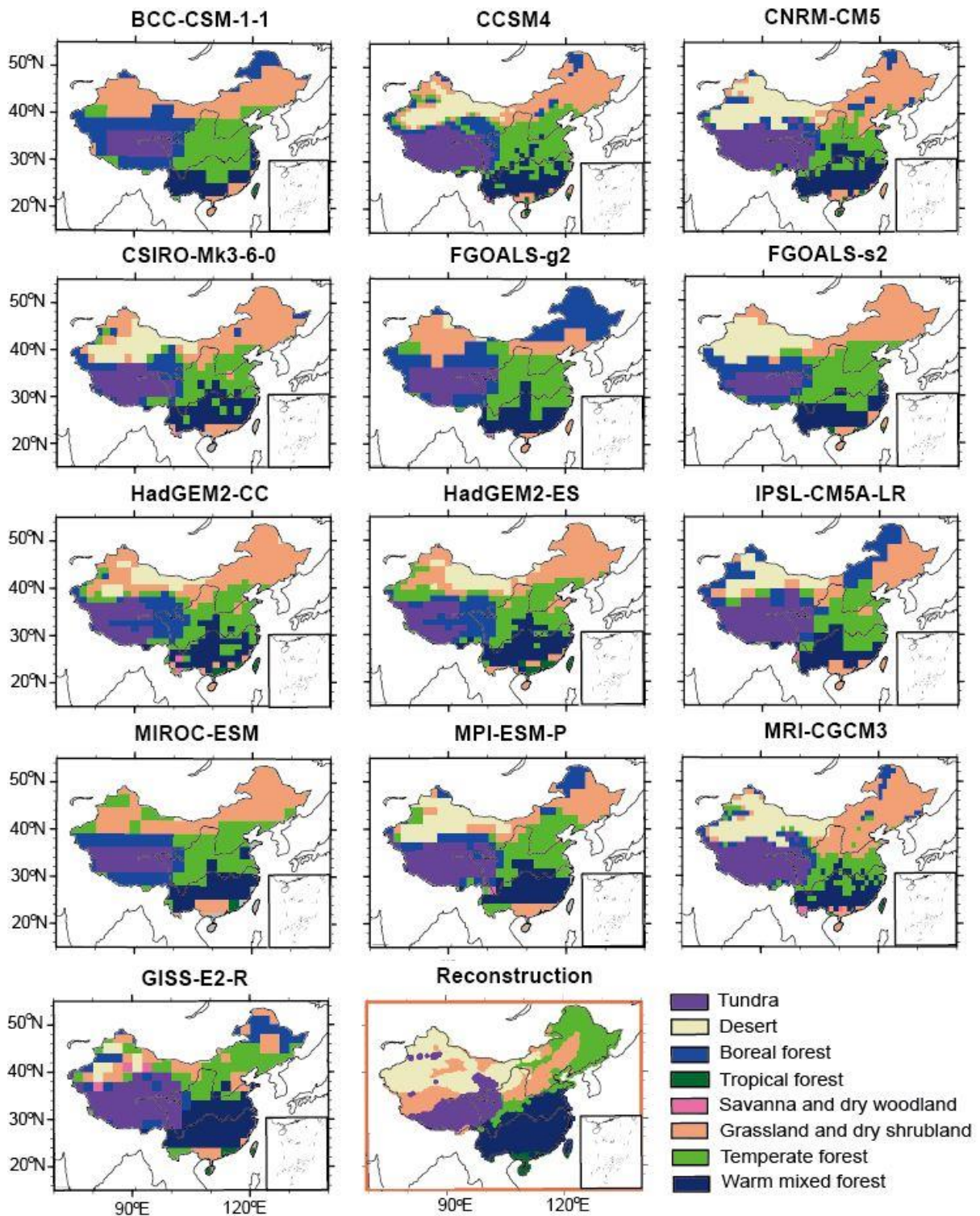


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1230 **Figure 4.** Model-data comparison for annual, July and January precipitation (mm). For the  
 1231 left panel (a,b), points represent the reconstruction from IVM, shades show the last 30-year  
 1232 means simulation results of multi-model ensemble (MME) for 13 PMIP3 models. The  
 1233 box-and-whisker plots (d-f) show the changes as shown by each PMIP3 model and the  
 1234 reconstruction. (d) considers changes in annual precipitation, (e) indicates changes in July  
 1235 precipitation, and (f) shows changes in January precipitation. The lines in each box shows the  
 1236 median value from each set of measurements, the box shows the 25%-75% range, and the  
 1237 whiskers show the 90% interval (5th to 95th percentile).

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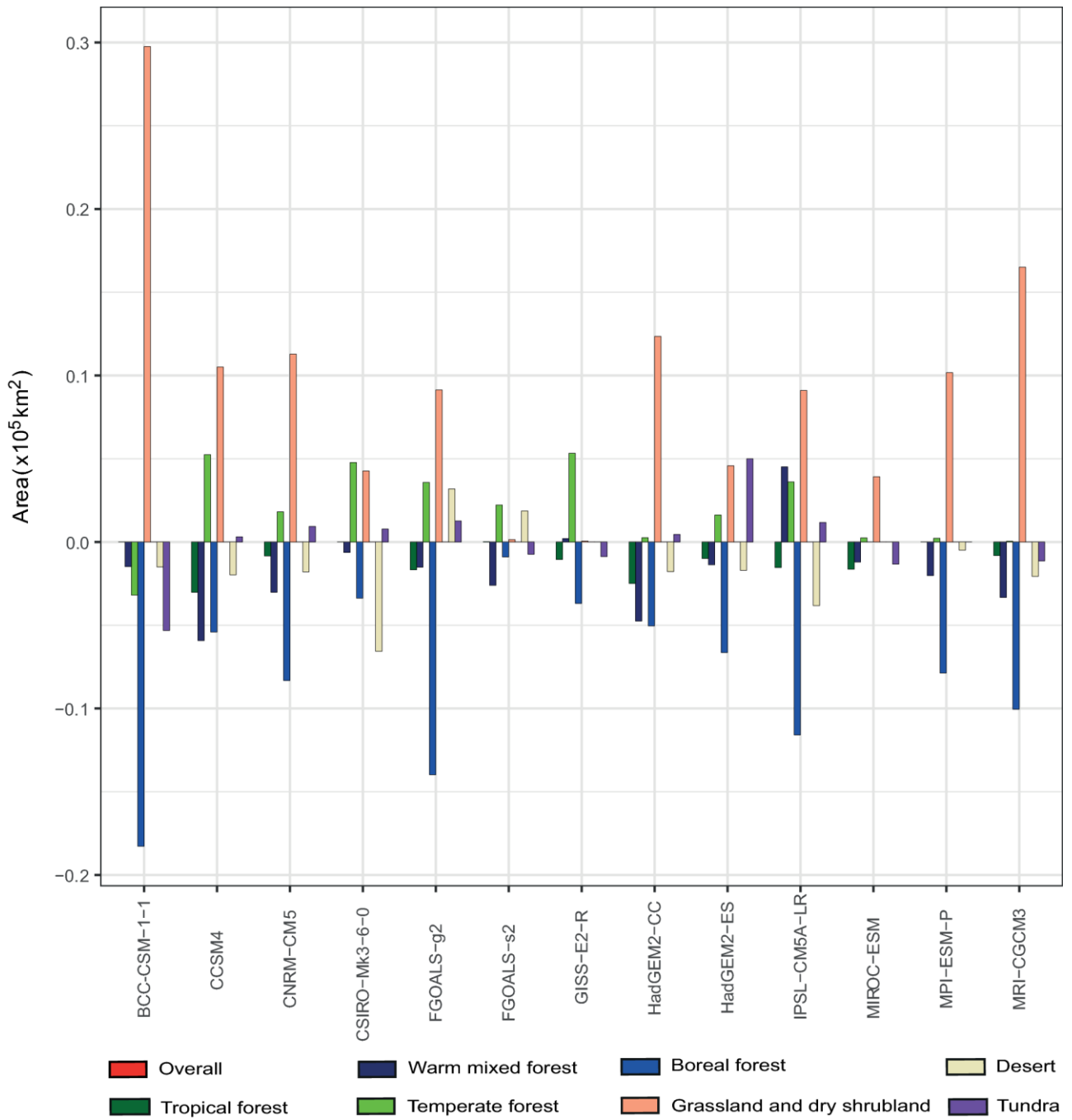
1240 **Figure 5.** Comparison of interpolated megabiomes distribution (plot in red rectangle) with the  
 1241 simulated spatial pattern from BIOME4 for each model during mid-Holocene.

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1247 **Figure 6.** Changes in the extent of each megabiome as a consequence of simulated climate  
 1248 changes for each model, both expressed as change relative to the PI extent of same  
 1249 megabiome.

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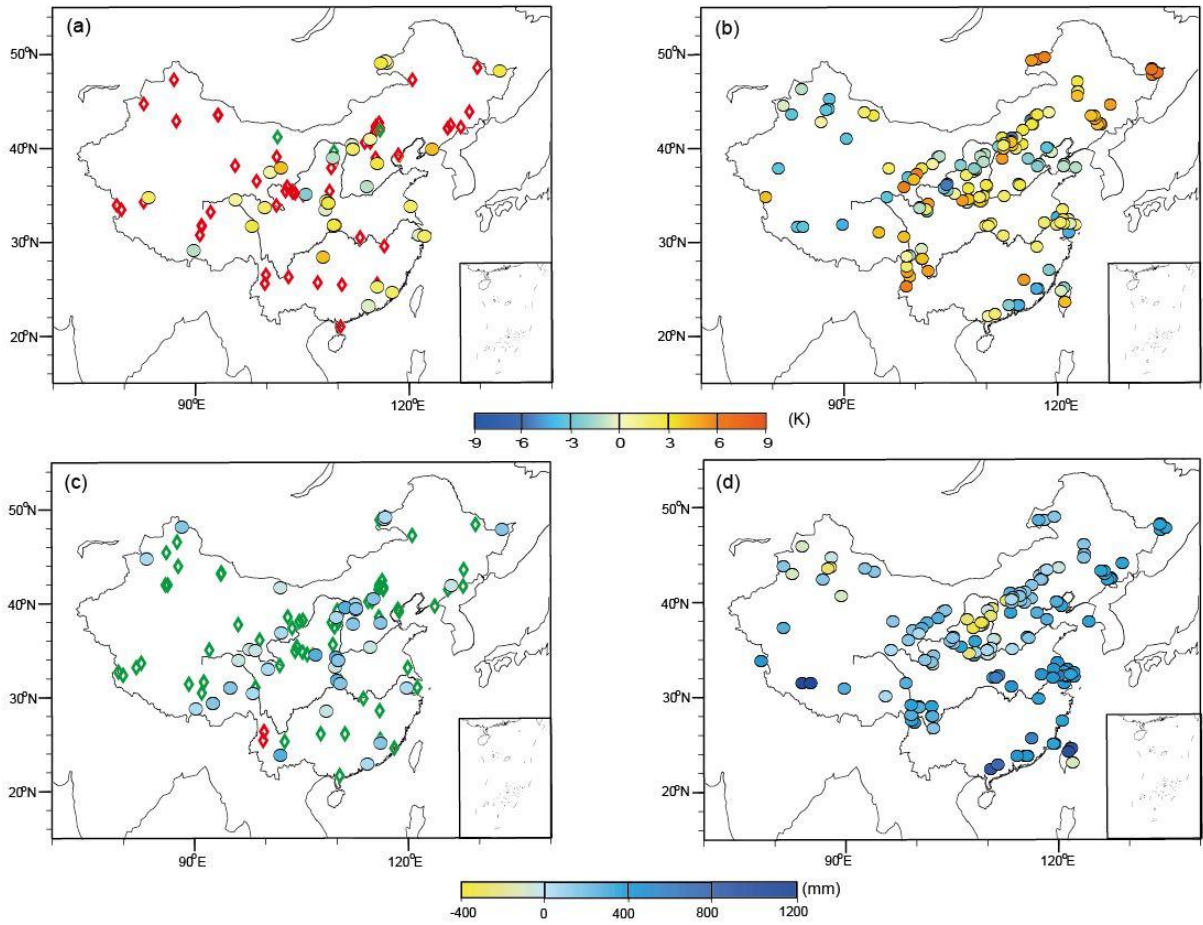
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1260 **Figure 7.** Comparison between the climate reconstruction and previous reconstruction over  
1261 China. (a) Previous temperature results. Diamond is the qualitative reconstruction, red is the  
1262 temperature increase and green is the temperature decrease; Circle is quantitative  
1263 reconstruction; (b) Mean annual temperature reconstruction in this study; (c) Previous  
1264 precipitation results, diamond is the qualitative reconstruction, red is the precipitation  
1265 decrease and green is the precipitation increase; Circle is the quantitative reconstruction; (d)  
1266 Mean annual precipitation reconstruction in this study.

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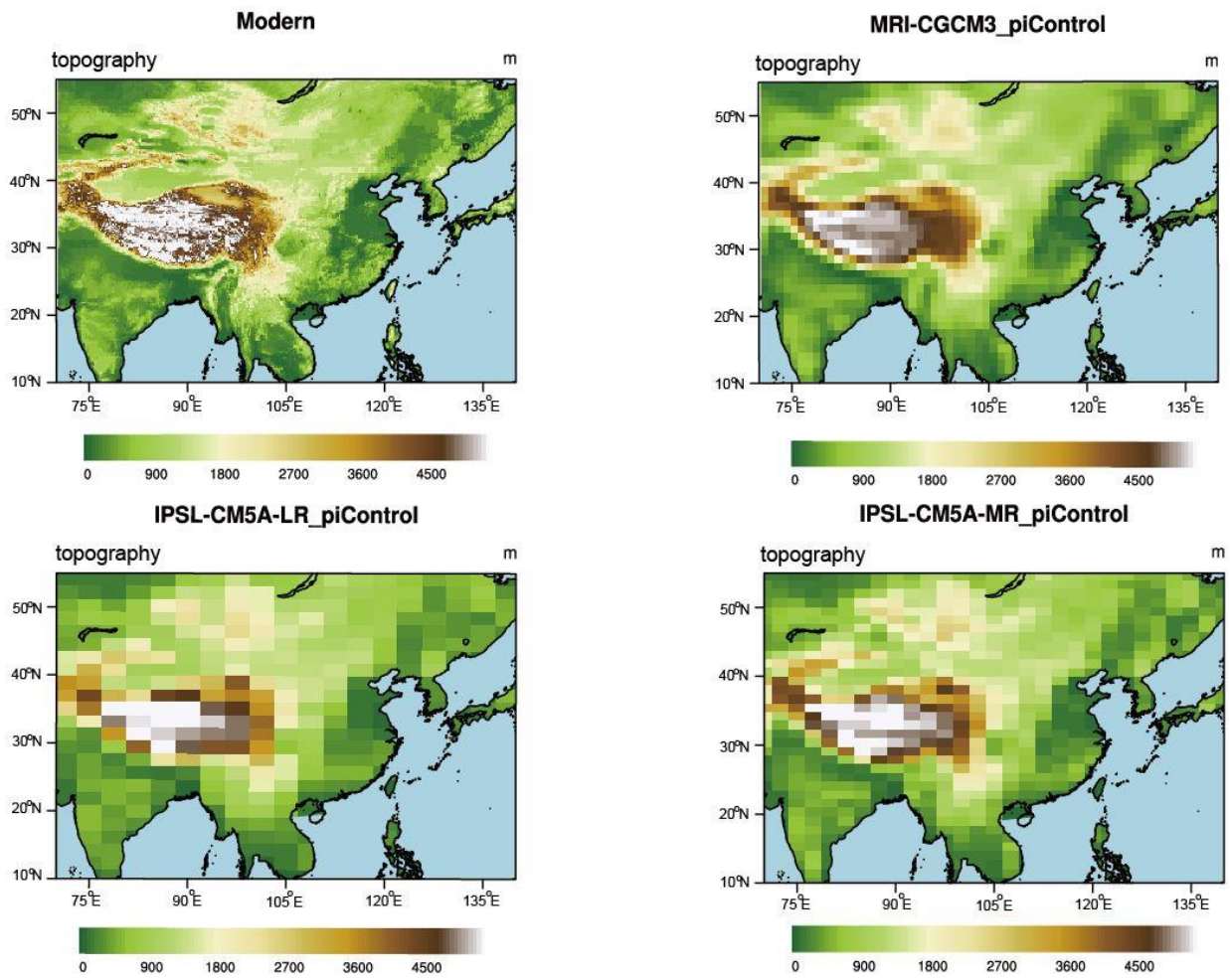
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**Figure 8.** The topography comparison between models and observation.

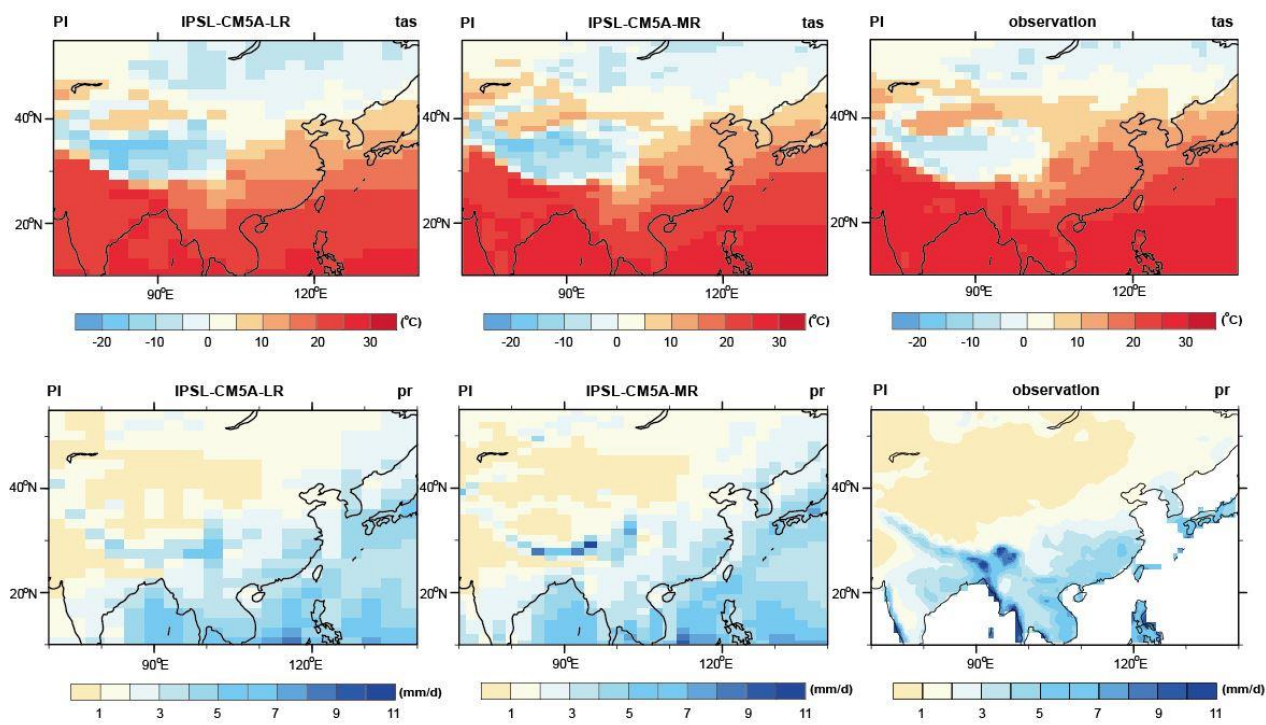


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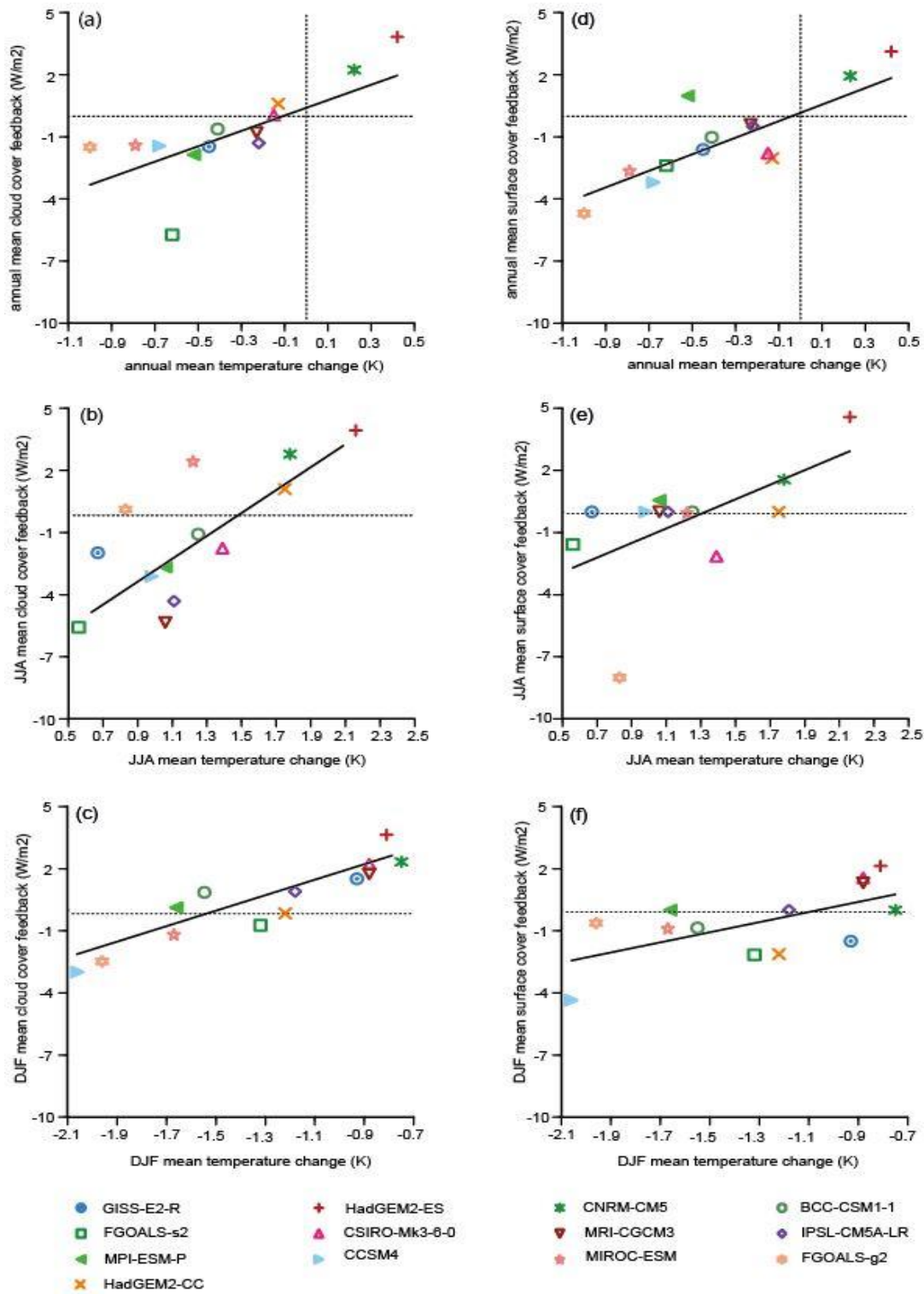
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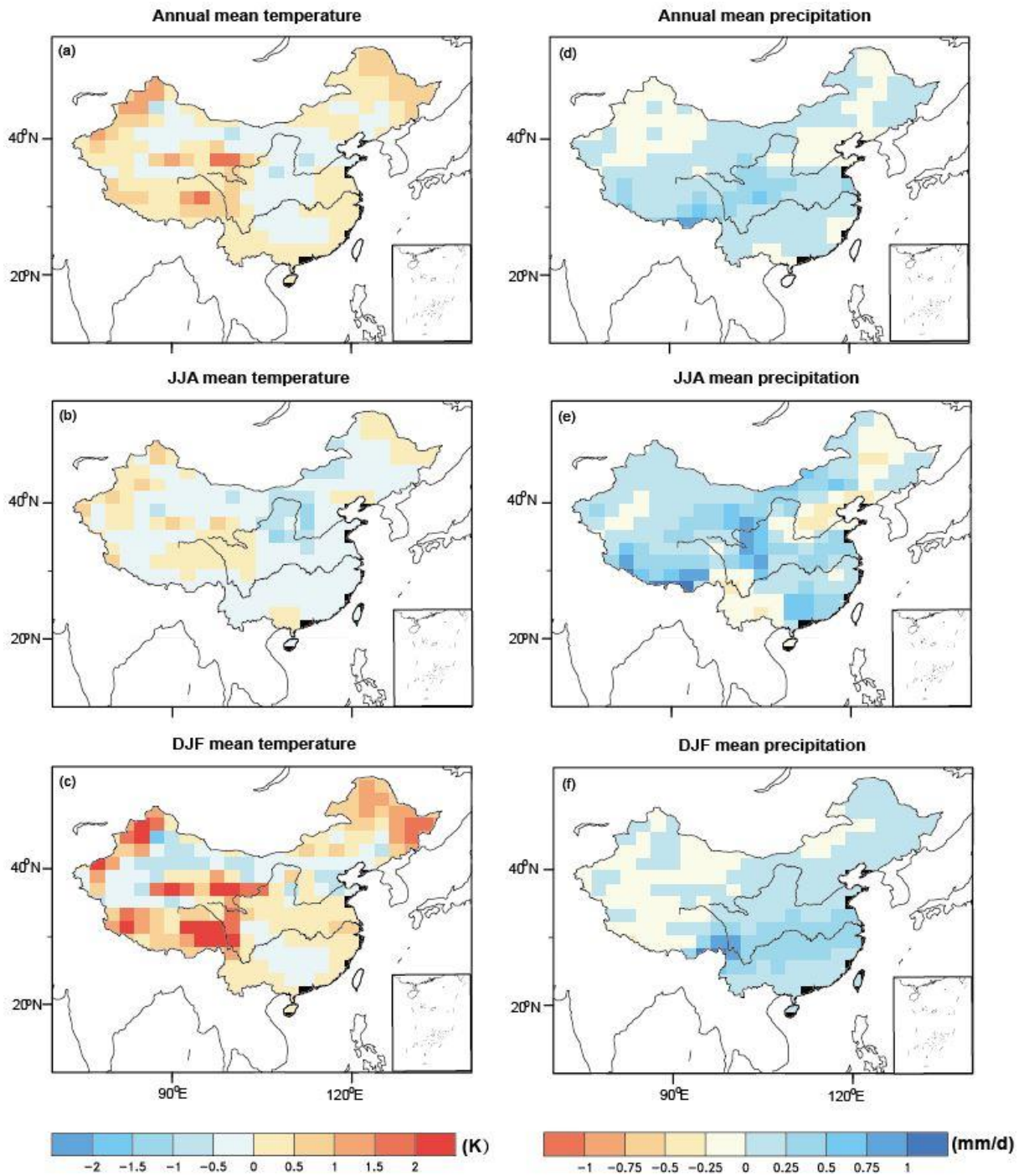
1295 Figure 9. The preindustrial climate comparison between simulation and observation. Tas  
1296 means temperature above 2m surface, pr means precipitation.





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1298 **Figure 10.** Scatter plots showing temperature, cloud cover feedback and surface albedo  
 1299 feedback changes during the MH. The values shown are the simulated 30-year mean anomaly  
 1300 (MH-PI) for the 13 models. **a**, annual mean temperature relative to the annual mean cloud  
 1301 cover feedback and **d**, annual surface albedo feedback. **b**, Summer (JJA) mean temperature  
 1302 relative to the summer mean cloud cover feedback and **e**, Summer surface albedo feedback.  
 1303 **c**, Winter (DJF) mean temperature relative to the summer mean cloud cover feedback and **f**,  
 1304 Winter surface albedo feedback. The horizontal and vertical lines in plots represent the value  
 1305 of 0.



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1308 **Figure 11.** Climate anomalies between the two experiments (6 ka and 6 ka\_VEG) conducted  
1309 in CESM version 1.0.5. The anomalies (6 ka\_VEG-6 ka) of temperature and precipitation at  
1310 both annual and seasonal scale are presented, and all these climate variables are calculated as  
1311 the last 50-year means from two simulations.

1312