

# 1 Mid-Holocene Climate Change over China: Model-Data Discrepancy

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## 12 **Abstract:**

13 The mid-Holocene period (MH) has long been an ideal target for the validation of Global  
14 Circulation Model (GCM) results against reconstructions gathered in global datasets. These  
15 studies aim to test the GCM sensitivity mainly to the seasonal changes induced by the orbital  
16 parameters (precession). Despite widespread agreement between model results and data on the  
17 MH climate, some important differences still exist. There is no consensus on the continental  
18 size of the MH thermal climate response, which makes regional quantitative reconstruction  
19 critical to obtain a comprehensive understanding of the MH climate patterns. Here, we compare  
20 the annual and seasonal outputs from the most recent Paleoclimate Modelling Intercomparison  
21 Projects Phase 3 (PMIP3) models with an updated synthesis of climate reconstruction over  
22 China, including, for the first time, a seasonal cycle of temperature and precipitation. Our  
23 results indicate that the main discrepancies between model and data for the MH climate are the  
24 annual and winter mean temperature. A warmer-than-present climate condition is derived from  
25 pollen data for both annual mean temperature (~0.7 K on average) and winter mean temperature

26 (~1 K on average), while most of the models provide both colder-than-present annual and  
27 winter mean temperature and a relatively warmer summer, showing linear response driven by  
28 the seasonal forcing. By conducting simulations in BIOME4 and CESM, we show that the  
29 surface processes are the key factors drawing the uncertainties between models and data. These  
30 results pinpoint the crucial importance of including the non-linear responses of the surface  
31 water and energy balance to vegetation changes.

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33 *Keywords:* PMIP3    Pollen data    Inverse Vegetation Model    Seasonal climate change

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## 36 **1. Introduction**

37        Much attention of paleoclimate study has been focused on the current interglacial (the  
38 Holocene), especially the mid-Holocene (MH,  $6\pm 0.5$  ka). The major difference in the  
39 experimental configuration between the MH and pre-Industrial (PI) arises from the orbital  
40 parameters which brings about an increase in the amplitude of the seasonal cycle of insolation  
41 of the Northern Hemisphere and a decrease in the Southern Hemisphere (Berger, 1978). Thus,  
42 the MH provides an excellent case study on which to base an evaluation of the climate response  
43 to changes in the distribution of insolation. Great efforts are devoted by the modeling  
44 community to the design of the MH common experiments using similar boundary conditions  
45 (Joussaume and Taylor., 1995; Harrison et al., 2002; Braconnot et al., 2007a, b). In addition,  
46 much work has been done to reconstruct the paleoclimate change based on different proxies at  
47 global and continental scale (Guiot et al., 1993; Kohfeld and Harrison, 2000; Prentice et al.,  
48 2000; Bartlein et al., 2011). The greatest progress in understanding the MH climate change and

49 variability has consistently been made by comparing large-scale analyses of data with  
50 simulations from global climate models (Joussaume et al., 1999; Liu et al., 2004; Harrison et al.,  
51 2014).

52 However, the source of discrepancies between model and data is still an open and stimulating  
53 question. Two types of inconsistencies have been identified: 1) where the model and data show  
54 opposite signs, for instance, paleoclimate evidence from data-records indicates an increase of  
55 about 0.5 K in global annual mean temperature during the MH compared with PI (Shakun et al.,  
56 2012; Marcott et al., 2013), while there is a cooling trend in model simulations (Liu et al., 2014).  
57 2) where the same trend is displayed by both model and data but with different magnitudes.  
58 Previous studies have shown that while climate models can successfully reproduce the direction  
59 and large-scale patterns of past climate changes, they tend to consistently underestimate the  
60 magnitude of change in the monsoons of the Northern Hemisphere as well as the amount of the  
61 MH precipitation over northern Africa (Braconnot et al., 2012; Harrison et al., 2015). Moreover,  
62 significant spatial variability has been noted in both observations and simulations (Peyron et al.,  
63 2000; Davis et al., 2003; Braconnot et al., 2007a; Wu et al., 2007; Bartlein et al., 2011), which  
64 makes regional quantitative reconstruction (Davis et al., 2003; Mauri et al., 2015) essential to  
65 obtain a comprehensive understanding of the MH climate patterns, and to act as a benchmark to  
66 evaluate climate models (Fischer and Jungclaus, 2011; Harrison et al., 2014;).

67 China offers two advantages in respect to these issues. The sheer expanse of the country  
68 means that the continental response to insolation changes over a large region can be  
69 investigated. Moreover, the quantitative reconstruction of seasonal climate changes during the  
70 MH, based on the new pollen dataset, provides a unique opportunity to compare the seasonal  
71 cycles for models and data. Previous studies indicate that warmer and wetter than present  
72 conditions prevailed over China during the MH and that the magnitude of the annual  
73 temperature increases varied from 2.4-5.8 K spatially, with an annual precipitation increase in

74 the range of 34-267 mm (e.g., Sun et al., 1996; Jiang et al., 2010; Lu et al., 2012; Chen et al.,  
75 2015). However, Jiang et al. (2012) clearly show a mismatch between multi-proxy  
76 reconstructions and model simulations. In terms of climate anomalies (MH-PI), besides the ~1  
77 K increase in summer temperature, 35 out of 36 Paleoclimate Modelling Intercomparison  
78 Projects (PMIP) models reproduce annual (~0.4 K) and winter temperatures (~1.4 K) that are  
79 colder than the baseline, and a drier-than-baseline climate in some western and middle regions  
80 over China is depicted in models (Jiang et al., 2013). Jiang et al. (2012) point out the  
81 model-data discrepancy over China during the MH, but the lack of seasonal reconstructions in  
82 their study limits comparisons with simulations.

83 An important issue raised by Liu et al. (2014) is that the discrepancy at the annual level could  
84 be due to incorrect reconstructions of the seasonal cycle, a key objective in our paper. Moreover,  
85 it has been suggested that the vegetation change can strengthen the temperature response in  
86 high latitudes (O’Ishi et al., 2009; Otto et al., 2009), as well as alter the hydrological conditions  
87 in the tropics (Liu et al., 2007). However, compared to the substantial land cover changes in the  
88 MH derived from pollen datasets (Ni et al., 2010; Yu et al., 2000), the changes in vegetation  
89 have not yet been fully quantified and discussed in PMIP3 (Taylor et al., 2012).

90 In this study, for the reconstruction, we firstly used the quantitative method of biomization to  
91 reconstruct vegetation types during the MH based on a new synthesis of pollen datasets, and  
92 then used the Inverse Vegetation Model (Guiot et al., 2000; Wu et al., 2007) to obtain the  
93 annual, the mean temperature of the warmest month (MTWA) and the mean temperature of the  
94 coldest month (MTCO) climate features over China for the MH. In the case of PMIP3 models,  
95 we present a comprehensive evaluation of the PMIP3 simulations made with state-of-art  
96 climate models using reconstructions of temperature and precipitation. This is the first time that  
97 such progress towards a quantitative seasonal climate comparison for the MH over China has  
98 been made. This point is crucial because the MH PMIP3 experiment is essentially one that

99 looks at the response of the models to changes in the seasonality of insolation, and the attempt  
100 to derive reconstructions of both summer and winter climate to compare with the simulations  
101 will thus be able to answer the question posed by Liu et al. (2014) on the importance of seasonal  
102 reconstruction.

## 103 **2. Data and Methodology**

### 104 **2.1 Data**

105 In this study, we collected 159 pollen records, covering most of China, for the MH period  
106 ( $6000\pm 500$   $^{14}\text{C}$  yr BP) (Fig. 1). Of these, 65 were from the Chinese Quaternary Pollen Database  
107 (CQPD, 2000), three were original datasets obtained in our study, and the others were digitized  
108 from pollen diagrams in published papers with a recalculation of pollen percentages based on  
109 the total number of terrestrial pollen types. These digitized 91 pollen records were selected  
110 according to three criteria: (1) clearly readable pollen diagrams with a reliable chronology with  
111 the minimum of three independent age control points since the LGM; (2) including the pollen  
112 taxa during  $6000\pm 500$   $^{14}\text{C}$  yr BP period with a minimum sampling resolution of 1000 years per  
113 sample; (3) abandon the pollen records if the published paper mentions the influence of human  
114 activity. Based on the digitized pollen assemblages, we use biomization to get the biome scores  
115 and biome types. For age control, different dating methods are utilized in the collected pollen  
116 records, we applied the CalPal 2007 (Weninger et al., 2007) to correct  $^{14}\text{C}$  age into calendar  
117 age so that they can be contrasted with each other. For lacustrine records, if the specific  
118 carbon pool age is mentioned in the literature, the calendar age is corrected after deducting the  
119 carbon pool. Otherwise, the influence of carbon pool is not considered. The age-depth model  
120 for the pollen records was estimated by linear interpolation between adjacent available dates  
121 or by regression. Using ranking schemes from the Cooperative Holocene Mapping Project,  
122 the quality of dating control for the mid-Holocene was assessed by assigning a rank from 1 to

123 7. And 70% of the records used in our study fell into the first and second classes (see Table 1  
124 for detailed information) according to the Webb 1-7 standards (Webb, III T., 1985).  
125 Vegetation type was quantitatively reconstructed using biomization (Prentice et al., 1996),  
126 following the classification of plant functional types (PFTs) and biome assignment in China by  
127 the Members of China Quaternary Pollen Data (CQPD, 2000), which has been widely tested in  
128 surface sediment. The new sites (91 digitized data and three original data) added to our database  
129 improved the spatial coverage of pollen records, especially in the northwest, the Tibetan  
130 Plateau, the Loess Plateau and southern regions, where the data in the previous databases are  
131 very limited.

132 Modern monthly mean climate variables, including temperature, precipitation and  
133 cloudiness (total cloud fraction), applied in this study, have been collected for each modern  
134 pollen site based on the datasets (1951-2001) from 657 meteorological observation stations  
135 over China (China Climate Bureau, China Ground Meteorological Record Monthly Report,  
136 1951-2001). The MH soil properties and characteristics used in inverse vegetation model were  
137 kept the same with PI conditions, which are derived from the digital world soil map produced  
138 by the Food and Agricultural organization (FAO) (FAO, 1995). Atmospheric CO<sub>2</sub>  
139 concentration for the MH was taken from ice core records (EPICA community members 2004),  
140 and was set at 270 ppmv.

141 A 3-layer back-propagation (BP) artificial neural network technique (ANN) was used for  
142 interpolation on each pollen site (Caudill and Butler, 1992). Five input variables (latitude,  
143 longitude, elevation, annual precipitation, annual temperature) and one output variable (biome  
144 scores) have been chosen in ANN for the modern vegetation. The ANN has been calibrated on  
145 the training set, and its performance has been evaluated on the verification set (20%, randomly  
146 extracted from the total sets). After a series of training run, the lowest verification error is  
147 obtained with 5 neurons in the hidden layer after 10000 iterations. The anomalies between past

148 (6 ka) and modern vegetation indices (biome scores) was then interpolated to the  $0.2 \times 0.2^\circ$  grid  
149 resolution by applying the ANN. After that, the modern grid values are added to the values of  
150 the grid of palaeo-anomalies to provide gridded paleo-biome indices. Finally, the biome with  
151 the highest index is attributed to each grid point. This ANN method is more efficient than many  
152 other techniques on condition that the results are validated by independent data sets, and  
153 therefore, it has been widely applied in paleoclimatology (Guiot et al., 1996; Peyron et al.,  
154 1998).

## 155 **2.2 Climate models**

156 PMIP, a long-standing initiative, is a climate-model evaluation project which provides an  
157 efficient mechanism for using global climate models to simulate climate anomalies in the past  
158 periods and to understand the role of climate feedback. In its third phase (PMIP3), the models  
159 were identical to those used in the Climate Modelling Intercomparison Project 5 (CMIP5)  
160 experiments. The experimental set-up for the mid-Holocene simulations in PMIP3 followed the  
161 PMIP protocol (Braconnot et al., 2007a, b, 2012). The main forcing between the MH and PI in  
162 PMIP3 are the orbital configuration and  $\text{CH}_4$  concentration. More precisely, the orbital  
163 configuration in the MH climate has an increased summer insolation and a decreased winter  
164 insolation in the Northern Hemisphere compared to the PI climate (Berger, 1978). Meantime,  
165 the  $\text{CH}_4$  concentration is prescribed at 650 ppbv in the MH, while it is set at 760 ppbv in PI  
166 (Table 2).

167 All 13 models (Table 3) from PMIP3 that have the MH simulation have been included in  
168 our study, including eight ocean-atmosphere (OA) models and five  
169 ocean-atmosphere-vegetation (OAV) models. Means for the last 30 years were calculated from  
170 the archived time-series data on individual model grids for climate variables: near surface  
171 temperature and precipitation flux, which were bi-linearly interpolated to a uniform  $2.5^\circ$  grid,

172 in order to get the bioclimatic variables (e.g. MAT, MAP, MTWM, MTCO, July precipitation)  
173 onto a common grid for comparison with the reconstruction results.

### 174 **2.3 Vegetation model**

175 The vegetation model, BIOME4 is a coupled bio-geography and biogeochemistry model  
176 developed by Kaplan et al. (2003). Monthly mean temperature, precipitation, sunshine  
177 percentage (an inverse measure of cloud area fraction), absolute minimum temperature,  
178 atmospheric CO<sub>2</sub> concentration and subsidiary information about the soil's physical properties  
179 like water retention capacity and percolation rates are the main input variables for the models. It  
180 incorporates 13 plant functional types (PFTs), which have different bioclimatic limits. The  
181 PFTs are based on physiological attributes and bioclimatic tolerance limits such as heat,  
182 moisture and chilling requirements and resistance of plants to cold. These limits determine the  
183 areas where the PFTs could grow in a given climate. A viable combination of these PFTs  
184 defines a particular biome among 28 potential options. These 28 biomes can be further  
185 classified into 8 megabiomes (Table S1). BIOME4 has been widely utilized to analyze the past,  
186 present and potential future vegetation patterns (e.g. Bigelow et al., 2003; Diffenbaugh et al.,  
187 2003; Song et al., 2005). In this study, we conducted 13 PI and the MH biome simulations using  
188 PIMP3 climate fields (temperature, precipitation and sunshine) as inputs. The climate fields,  
189 obtained from PMIP3, are the monthly mean data of the last 30 model years.

### 190 **2.4 Statistics and interpolation for vegetation distribution**

191 To quantify the differences between simulated (by the climate-model output) and  
192 reconstructed (from pollen) between megabiomes, a map-based statistic (point-to-point  
193 comparison with observations) called  $\Delta V$  (Sykes et al., 1999; Ni et al., 2000) was applied to  
194 our study.  $\Delta V$  is based on the relative abundance of different plant life forms (e.g. trees, grass,  
195 bare ground) and a series of attributes (e. g. evergreen, needle-leaf, tropical, boreal) for each



196 vegetation class. The definitions and attributes of each plant form follow naturally from the  
197 BIOME4 structure and the vegetation attribute values in the  $\Delta V$  computation were defined for  
198 BIOME4 in the same way as for BIOME1 (Sykes et al., 1999). The abundance and attribute  
199 values are given in Table 4 and Table 5, which describe the typical floristic composition of the  
200 biomes. Weighting the attributes is subjective because there is no obvious theoretical basis for  
201 assigning relative significance. Transitions between highly dissimilar megabiomes have a  
202 weighting of close to 1, whereas transitions between less dissimilar megabiomes are assigned  
203 smaller values. The overall dissimilarity between model and data megabiome maps was  
204 calculated by averaging the  $\Delta V$  for the grids with pollen data, while the value was set at 0 for  
205 any grid without data.  $\Delta V$  values  $< 0.15$  can be considered to point to very good agreement  
206 between simulated and actual distributions, 0.15-0.30 is good, 0.30-0.45 is fair, 0.45-0.60 is  
207 poor, and  $> 0.80$  is very poor (adjusted from Zhang et al., 2010). For spatial pattern comparison,  
208 we compared the simulated vegetation distribution from BIOME4 from each model with the  
209 interpolated pattern of reconstruction.

## 210 **2.5 Inverse vegetation model**

211 Inverse Vegetation Model (Guiot et al., 2000; Wu et al., 2007), highly dependent on the  
212 BIOME4 model, is applied to our reconstruction. The key concept of this model can be  
213 summarized in two points: firstly, a set of transfer functions able to transform the model output  
214 into values directly comparable with pollen data is defined. There is not full compatibility  
215 between the biome typology of BIOME4 and the biome typology of pollen data. A transfer  
216 matrix (Table S2) was defined in our study where each BIOME4 vegetation type is assigned a  
217 vector of values, one of each pollen vegetation type, ranging from 0 (representing an  
218 incompatibility between BIOME4 type and pollen biome type) to 15 (corresponding to a  
219 maximum compatibility). Secondly, using an iterative approach, a representative set of climate  
220 scenarios compatible with the vegetation records is identified among the climate space,

221 constructed by systematically perturbing the input variables (e.g.  $\Delta T$ ,  $\Delta P$ ) of the model (Table  
222 S3).

223 Inverse Vegetation Model (IVM) provides a possibility, for the first time, to reconstruct both  
224 annual and seasonal climates for the MH over China. Moreover, it offers a way to consider the  
225 impact of CO<sub>2</sub> concentration on competition between PFTs as well as on the relative abundance  
226 of taxa, and thus make reconstruction from pollen records more reliable. More detailed  
227 information about IVM can be found in Wu et al. (2007).

228 We applied the inverse model to modern pollen samples to validate the approach by  
229 reconstructing the modern climate at each site and comparing it with the observed values. The  
230 high correlation coefficients ( $R=0.75-0.95$ ), intercepts close to 0 (except for the mean  
231 temperature of the warmest month), and slopes close to 1 (except for the July precipitation)  
232 demonstrated that the inversion method worked well for most variables in China (see Table 6).

### 233 **3. Results**

#### 234 **3.1 Comparison of annual and seasonal climate changes at the MH**

235 In this study, we collected 159 pollen records, broadly covering the whole of China (Fig. 1).  
236 To check the reliability of the collected data, we first categorized our pollen records into  
237 megabiomes in line with the standard tables developed for the BIOME6000 (Table S1), and  
238 compared them with the BIOME6000 dataset (Fig.2). The match between collected data and  
239 the BIOME6000 is more than 90% (145 out of 159 sites) for both the MH and PI.

240 Based on pollen records, the spatial pattern of climate changes over China during the MH,  
241 deduced from IVM, are presented in Fig. 3 (left panel, points), alongside the results from  
242 PMIP3 models (shaded in Fig. 3). For temperature, a warmer-than-present annual climate  
243 condition ( $\sim 0.7$  K on average) is derived from pollen data (the points in Fig. 3a), with the

244 largest increase occurring in the northeast (3-5 K) and a decrease in the northwest and on  
245 Tibetan Plateau. On the other hand, the results from a multi-model ensemble (MME) indicate a  
246 colder annual temperature generally ( $\sim -0.4$  K on average), with significant cooling in the south  
247 and slight warming in the northeast (shaded in Fig. 3a). Of the 13 models, 11 simulate a cooler  
248 annual temperature compared with PI as MME. However, two models (HadGEM2-ES and  
249 CNRM-CM5) present the same warmer condition as was found in the reconstruction (Fig. 3d).  
250 Compared to the reconstruction, the annual mean temperature during the MH is largely  
251 underestimated by most PMIP3 models, which depict an anomaly ranging from  $\sim -1$  to  $\sim -0.5$  K.  
252 Concerning seasonal change, during the MH, MTWA from the data is  $\sim 0.5$  K higher than PI,  
253 with the largest increase in the northeast and a decrease in the northwest. From model outputs,  
254 an average increase of  $\sim 1.2$  K is reproduced by MME, with a more pronounced warming at high  
255 latitudes which is consistent with the insolation change (Berger, 1978). Fig. 3e shows that all 13  
256 models reproduce the same warmer summer temperatures as the data, and that HadGEM2-ES  
257 and CNRM-CM5, reproduce the largest increases among the models. Although the warmer  
258 MTWA is consistent between the models and data, there is a discrepancy between them on  
259 MTCO. In Fig. 3c, the data show an overall increase of  $\sim 1$  K, with the largest increase occurring  
260 in the northeast and a decrease of opposite magnitude on the Tibetan Plateau. Inversely, MME  
261 reproduces a decreased MTCO with an average amplitude of  $\sim -1.3$  K, the coolest areas being  
262 the southeast, the Loess Plateau and the northwest. Similarly to the MME, all 13 models  
263 simulate a colder-than-present climate with amplitudes ranging from  $\sim -2.0$  K (CCSM4 and  
264 FGOALS-g2) to  $\sim -0.7$  K (HadGEM2-ES and CNRM-CM5).

265 Concerning annual change in precipitation, the reconstruction shows wetter conditions  
266 during the MH across almost the whole of China with the exception of part of the northwest.  
267 The southeast presents the largest increase in annual precipitation. All but 2 models  
268 (MIROC-ESM and FGOALS-g2) depict wetter conditions with an amplitude of  $\sim 10$  mm to  $\sim 50$

269 mm. The reconstruction and MME results also indicate an increased annual precipitation during  
270 MH (Fig.4a), with a much larger magnitude visible in the reconstruction (~30 mm, ~230 mm  
271 respectively). The main discrepancy in annual precipitation between simulations and  
272 reconstruction occurs in the northeast, which is depicted as drier by the models and wetter by  
273 the data. With regard to seasonal change, the reconstruction shows an overall increase in July  
274 rainfall (~50 mm on average), with a decrease in the northwestern regions and East Monsoon  
275 region at Yangtze River valley. In line with the reconstruction, the MME also shows an overall  
276 increase in rainfall (~7 mm on average), with a decrease in the northwest for July (Fig.4b).  
277 Notably, a much larger increase is simulated for the south and the Tibetan Plateau by the  
278 models, while the opposite pattern emerges along the eastern margin from both models and data.  
279 For January precipitation, the reconstruction shows an overall increase in most region (~15  
280 mm), except for the northwestern region, while MME indicates a slight decrease (~3 mm on  
281 average). More detailed information about the geographic distribution of simulated temperature  
282 and precipitation for each model can be found in Fig. S1-S6.

283 Table S4 provides the biome score from IVM for pollen data collected from published papers.  
284 The reconstructed climate change derived from IVM at each pollen site can be found in Table  
285 S5, in which the columns show the median and the 90% interval (5th to 95th percentage) for  
286 feasible climate values produced with the IVM approach. The simulated values for each of the  
287 climate variables as shown in the boxplots (Figure 3 and Figure 4) are given in the Table S6  
288 and Table S7.

289

### 290 **3.2 Comparison of vegetation change at the MH**

291 The use of the PMIP3 database is clearly limited by the different vegetation inputs among the  
292 models for the MH period (Table S8). Only HadGEM2-ES and HadGEM2-CC use a dynamic  
293 vegetation for the MH, and the other 11 models are prescribed to PI with or without interactive

294 LAI, which would introduce a bias to the role of vegetation-atmosphere interaction in the MH  
295 climates. To evaluate the model results against the reconstruction for the MH vegetation, we  
296 conducted 13 biome simulations in BIOME4 using PIMP3 climate fields, and the megabiome  
297 distribution for each model during the MH is displayed in Fig. 5 (see Fig. S7 for PI vegetation  
298 comparison). To quantify the model-data dissimilarity between megabiomes, a map-based  
299 statistic called  $\Delta V$  (Sykes et al., 1999; Ni et al., 2000) was applied here (detailed information is  
300 in the methodology section).

301 Fig. S8 shows the dissimilarity between simulations and observations for megabiomes  
302 during the MH, with the overall values for  $\Delta V$  ranging from 0.43 (HadGEM2-ES) to 0.55  
303 (IPSL-CM5A-LR). According to the classification of  $\Delta V$  (see in the methodology section) for  
304 the 13 models, 12 (all except HadGEM2-ES) showed poor agreement with the observed  
305 vegetation distribution. Most models poorly simulate the desert, grassland and tropical forest  
306 areas for both periods, but perform better for warm mixed forest, tundra and temperate forest.  
307 However, this statistic is based on a point-to-point comparison and so the  $\Delta V$  calculated here  
308 cannot represent an estimation of full vegetation simulation due to the uneven distribution of  
309 pollen data and the potentially huge difference in area of each megabiome. For instance, tundra  
310 in our data for PI is represented by only 4 points, which counts for a small contribution to the  
311  $\Delta V$  since we averaged it over a total of 159 points, but this calculation could induce a  
312 significant bias if these 4 points cover a large area of China.

313 So, we used the biome scores based on the artificial neural network technique as described by  
314 Guiot et al. (1996) for interpolation (the plots in red rectangle in Fig. 5), and compared the  
315 simulated vegetation distribution from BIOME4 for each model with the interpolated pattern.  
316 During the MH, most models are able to capture the tundra on the Tibetan Plateau as well as the  
317 combination of warm mixed forest and temperate forest in the southeast. However, all models  
318 fail to simulate or underestimate the desert area in the northwest compared to reconstructed data.

319 The main model-data inconsistency in the MH vegetation distribution occurs in the northeast,  
320 where data show a mix of grassland and temperate forest, and the models show a mix of  
321 grassland and boreal forest.

322 The area statistic carried out for simulated vegetation changes (Fig. 6) reveals that the main  
323 difference during the MH, compared with PI, is that grassland replaced boreal forest in large  
324 tracts of the northeast (Fig. 5, Fig. S7). No other significant difference in vegetation distribution  
325 between the two periods was derived from models. Unlike in models, three main changes in  
326 megabiomes during the MH are depicted by the data. Firstly, the megabiomes converted from  
327 grassland to temperate forest in the northeast. Secondly, a large area of temperate forest was  
328 replaced in the southeast by a northward expansion of warm mixed forest. Thirdly, in the  
329 northwest and at the northern margin of the Tibetan Plateau, part of the desert area changed into  
330 grassland. However, none of the models succeed in capturing these features, especially the  
331 transition from grassland into forest in the northeast during the MH. Therefore, this failure to  
332 capture vegetation changes between the two periods will lead to a cumulating inconsistency in  
333 the model-data comparison for climate anomalies because of the vegetation-climate feedbacks.

## 334 **4. Discussion**

### 335 **4.1 Validation and uncertainties for reconstruction**

336 To investigate the discrepancy between model-data for the MH climate change over China,  
337 the reliability of our reconstruction should be firstly considered. For the cross-proxy validation,  
338 we compared our reconstruction with previous studies concerning the MH climate change over  
339 China based on multiple proxies (including pollen, lake core, palaeosol, ice core, peat and  
340 stalagmite), the related references and detailed information are listed in Supplementary  
341 Information (Table S9 and Table S10). In comparison with PI condition, most reconstructions  
342 reproduced warmer and wetter annual condition during the MH (Fig. 7), same as our study. In

343 other words, this discrepancy between model-data for climate change over China during the  
344 MH is common and robust in reconstructions derived from different proxies. Our study just  
345 reinforces the picture given by the discrepancies between PMIP simulation and pollen data  
346 derived from a synthesis of the literature.

347 However, there are still some bias in the reconstruction. Estimated climates for the present  
348 day from IVM were compared with observed climates (Table 6), the slopes and intercepts show  
349 slightly bias for annual and January precipitation, while there is considerable bias between IVM  
350 reconstruction and observation for temperature and July precipitation. For the uncertainties on  
351 data reconstruction, IVM relies heavily on BIOME4, and since BIOME4 is a global vegetation  
352 model, it is possible that the spatial robustness of regional reconstruction could be less than that  
353 of global reconstruction due to the failure in simulating local features (Bartlein et al., 2011).  
354 Moreover, the output of the model is not directly compared to the pollen data, the conversion  
355 of BIOME4 biomes to pollen biomes by the transfer matrix may add the source of uncertainty  
356 in reconstruction. All these bias in reconstruction should be considered in the discrepancy  
357 between model-data for climate change during the MH over China.

#### 358 **4.2 Uncertainties for simulation**

359 Besides the qualitative consistency among models, caused by the protocol of PMIP3  
360 experiments (Table 2), a variability in the magnitude of anomalies between models is clearly  
361 illustrated by the boxplots (Fig.3 and Fig.4). These disparities in value or even pattern among  
362 models reflect the obvious differences in the response by the climate models to the MH forcing  
363 which raises on the question of the magnitude of feedbacks among models.

364 As positive feedbacks between climate and vegetation are important to explain regional  
365 climate changes, the failure to capture or the underestimation of the amplitude and pattern of  
366 the observed vegetation differences among models (see Section 3.2) could amplify and partly

367 account for the model-data disparities in climate change, mainly due to variations in the albedo.  
368 Because the HadGEM2-ES and HadGEM2-CC are the only two models in PMIP3 with  
369 dynamic vegetation simulation for the MH, we thus focused on them to examine the variations  
370 in vegetation fraction in the simulations. The main vegetation changes during the MH  
371 demonstrated by HadGEM2-ES are increased tree coverage (~15%) and a decreased bare soil  
372 fraction (~6%), while HadGEM2-CC depicts a ~3% decrease in tree fraction and a ~1%  
373 increase in bare soil (Fig. S9). We made a rough calculation of albedo variance caused solely by  
374 vegetation change for both two models and for our reconstruction, based on the area fraction  
375 and albedo value of each vegetation type (Betts, 2000; Bonfils et al., 2001; Oguntunde et al.,  
376 2006; Bonan, 2008).

377 The overall albedo change from the vegetation reconstruction during the MH shows a ~1.8%  
378 decrease when snow-free, with a much larger impact (~4.2% decrease) when snow-covered.  
379 The results from HadGEM2-ES are highly consistent with the albedo changes from the  
380 reconstruction, featuring a ~1.4% (~6.5%) decrease without (with) snow, while HadGEM2-CC  
381 produces an increased albedo value during the MH (~0.22% for snow-free, ~1.9% with  
382 snow-cover), depending on its vegetation simulation. Two ideas could be inferred from this  
383 calculation, 1) HadGEM2-ES is much better in simulating the MH vegetation changes than  
384 HadGEM2-CC. 2) the failure by models to capture these vegetation changes will result in a  
385 much larger impact on winter albedo (with snow) than summer albedo (without snow).

386 These surface albedo changes due to vegetation changes could have a cumulative effect on  
387 the regional climate by modifying the radiative fluxes. For instance, the spread of trees into the  
388 grassland biome in the northeast during the MH, revealed by the reconstruction in our study,  
389 should act as a positive feedback to climate warming by increasing the surface net shortwave  
390 radiation associated with reductions in albedo due to taller and darker canopies (Chapin et al.,  
391 2005). Previous studies show that cloud and surface albedo feedbacks on radiation are major



392 drivers of differences between model outputs for past climates. Moreover, the land surface  
393 feedback shows large disparities among models (Braconnot and Kageyama, 2015).

394 We used a simplified approach (Taylor et al., 2007) to quantify the feedbacks and to compare  
395 model behavior for the MH, thus justifying the focus on surface albedo and atmospheric  
396 scattering (mainly accounting for cloud change). Surface albedo and cloud change are  
397 calculated using the simulated incoming and outgoing radiative fluxes at the Earth's surface  
398 and at the top of atmosphere (TOA), based on data for the last 30 years averaged from all  
399 models. Using this framework, we quantified the effect of changes in albedo on the net  
400 shortwave flux at TOA (Braconnot and Kageyama, 2015), and further investigated the  
401 relationship between these changes and temperature change. Fig.8 shows that most models  
402 produced a negative cloud cover and surface albedo feedback on the annual mean shortwave  
403 radiative forcing. Concerning seasonal change, the shortwave cloud and surface feedback in  
404 most models tend to counteract the insolation forcing during the boreal summer, while they  
405 enhance the solar forcing during winter. A strong positive correlation between albedo feedback  
406 and temperature change is depicted, with a large spread in the models owing to the difference in  
407 albedo in the 13 models. In particular, CNRM-CM5 and HadGEM2-ES capture higher values  
408 of cloud and surface albedo feedback, which could be the reason for the reversal of the  
409 decreased annual temperature seen in other models (Fig. 3d).

410 However, the vegetation patterns produced by BIOME4 in Figure 5 are not used in PMIP3  
411 experiment setup, it's actually determined by the input variables from models. Previous study  
412 shows the GCMs from PMIP3 are reliable to simulate the geographical distribution of  
413 temperature and precipitation over China for present day without downscaling, but there is  
414 considerable bias between simulation and observation for precipitation (Jiang et al., 2016).  
415 Therefore, the disagreements of MH vegetation pattern possibly are inherited from the PI. To  
416 better quantify the vegetation-climate feedback, two experiments were conducted in CESM

417 version 1.0.5, including a mid-Holocene (MH) experiment (6 ka) with original vegetation  
418 setting (prescribed as PI vegetation for the MH) and a MH experiment with reconstructed  
419 vegetation (6 ka\_VEG). Figure 9 shows the climate anomalies (6 ka\_VEG minus 6 ka)  
420 between two simulations, for both annual and seasonal scale. For temperature, it's clear that  
421 the 6 ka\_VEG simulation reproduces a warmer annual mean climate (~0.3 K on average) as  
422 well as an obviously warmer winter (~0.6 K on average). For precipitation, the reconstructed  
423 vegetation leads to more annual and seasonal precipitation, which can also reconcile the  
424 discrepancy of increase amplitude for precipitation during the MH between model-data (data  
425 reproduced larger amplitude than model, revealed by our study). So the mismatch between  
426 model-data in MH vegetation could partly account for the discrepancy of climate due to the  
427 interaction between vegetation and climate through radiative and hydrological forcing with  
428 albedo. These results pinpoint the value of building a new generation of models able to capture  
429 not only the atmosphere and ocean response, but also the non-linear responses of vegetation and  
430 hydrology to the climate change.

## 431 **5. Conclusion**

432 In this study, we compare the annual and seasonal outputs from the PMIP3 models with an  
433 updated synthesis of climate reconstruction over China, including, for the first time, a seasonal  
434 cycle of temperature and precipitation. In response to the seasonal insolation change prescribed  
435 in PMIP3 for the MH, all models produce similar large-scale patterns for seasonal temperature  
436 and precipitation (higher than present July precipitation and MTWA, lower than present  
437 MTCO), with either an over- or underestimate of the climate changes when compared to the  
438 data. The main discrepancy emerging from the model-data comparison occurs in the annual and  
439 MTCO, where data show an increased value and most models simulate the opposite except  
440 CNRM-CM5 and HadGEM2-ES reproduced the higher-than-present annual temperature

441 during MH as data showed. By conducting simulations in BIOME4 and CESM, we show that  
442 the surface processes are the key factors drawing the uncertainties between models and data.  
443 These results pinpoint the crucial importance of including the non-linear responses of the  
444 surface water and energy balance to vegetation changes. Moreover, besides the vegetation  
445 influence, to which extent this model-data discrepancy is related to rough topography, soil type  
446 and other possible factors should be investigated in the future work.

447

448

#### 449 **Data availability**

450 The PMIP3 output is publicly available at website (<http://pmip3.lsce.ipsl.fr/>) by the climate  
451 modelling groups, the 65 pollen biomization results are provided by Members of China  
452 Quaternary Pollen Data Base, Table 1 shows the information (including references) of the 91  
453 collected pollen records and 3 original ones in our study, the biome scores of these 94 pollen  
454 records derived from IVM are listed in Table S4. All the reconstructed climate values at each  
455 pollen site from IVM are provided in Table S5.

#### 456 **Author contribution**

457 Yating Lin carried out the model-data analysis and prepared for the first manuscript, Gilles  
458 Ramstein contributed a lot to the paper's structure and content, Haibin Wu provided the  
459 reconstruction results from IVM and contributed the paper's structure and content. Raj  
460 Rani-Singh conducted the BIOME4 simulations. Ran Zhang carried out the simulation in  
461 CESM. Pascale Braconnot, Masa Kegeyama and Zhengtang Guo contributed great ideas on  
462 model-data comparison work. Qin Li and Yunli Luo provided pollen data. All co-authors  
463 helped to improve the paper.

464 **Competing interest**

465 The authors declare no competing interests.

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**Table 1. Basic information of the pollen dataset used in this study**

<b>Site</b>	<b>Lat</b>	<b>Lon</b>	<b>Alt</b>	<b>Webb 1-7</b>	<b>Source</b>
<b>Sujiawan</b>	35.54	104.52	1700	2	original data (Zou et al., 2009)
<b>Xiaogou</b>	36.10	104.90	1750	2	original data (Wu et al., 2009)
<b>Dadiwan</b>	35.01	105.91	1400	1	original data (Zou et al., 2009)
<b>Sanjiaocheng</b>	39.01	103.34	1320	1	Chen et al., 2006
<b>Chadianpo</b>	36.10	114.40	65	2	Zhang et al., 2007
<b>Qindeli</b>	48.08	133.25	60	2	Yang and Wang, 2003
<b>Fuyuanchuangye</b>	47.35	133.03	56	3	Xia, 1988
<b>Jingbo Lake</b>	43.83	128.50	350	2	Li et al., 2011
<b>Hani Lake</b>	42.22	126.52	900	1	Cui et al., 2006
<b>Jinchuan</b>	42.37	126.43	662	5	Jiang et al., 2008
<b>Maar Lake</b>	42.30	126.37	724	1	Liu et al., 2009
<b>Maar Lake</b>	42.30	126.37	724	1	Liu et al., 2008
<b>Xie Lake SO4</b>	37.38	122.52	0	1	Zhou et al., 2008
<b>Nanhuiheming Core</b>	31.05	121.58	7	2	Jia and Zhang, 2006
<b>Toushe</b>	23.82	120.88	650	1	Liu et al., 2006
<b>Dongyuan Lake</b>	22.17	120.83	415	2	Lee et al., 2010
<b>Yonglong CY</b>	31.78	120.44	5	3	Zhang et al., 2004
<b>Hangzhou HZ3</b>	30.30	120.33	6	4	Liu et al., 2007
<b>Xinhua XH1</b>	32.93	119.83	2	3	Shu et al., 2008
<b>ZK01</b>	31.77	119.80	6	2	Shu et al., 2007
<b>Chifeng</b>	43.97	119.37	503	2	Xu et al., 2002
<b>SZK1</b>	26.08	119.31	9	1	Zheng et al., 2002
<b>Gucheng</b>	31.28	118.90	6	4	Yang et al., 1996
<b>Lulong</b>	39.87	118.87	23	2	Kong et al., 2000
<b>Hulun Lake</b>	48.92	117.42	545	1	Wen et al., 2010
<b>CH-1</b>	31.56	117.39	5	2	Wang et al., 2008
<b>Sanyi profile</b>	43.62	117.38	1598	4	Wang et al., 2005
<b>Xiaoniuchang</b>	42.62	116.82	1411	1	Liu et al., 2002
<b>Haoluku</b>	42.87	116.76	1333	2	Liu et al., 2002
<b>Liuzhouwan</b>	42.71	116.68	1410	7	Liu et al., 2002
<b>Poyang Lake 103B</b>	28.87	116.25	16	4	Jiang and Piperno, 1999
<b>Baiyangdian</b>	38.92	115.84	8	2	Xu et al., 1988
<b>Bayanchagan</b>	42.08	115.35	1355	1	Jiang et al., 2006
<b>Huangjiapu</b>	40.57	115.15	614	7	Sun et al., 2001
<b>Dingnan</b>	24.68	115.00	250	2	Xiao et al., 2007
<b>Guang1</b>	36.02	114.53	56	1	Zhang et al., 2007
<b>Angulinao</b>	41.33	114.35	1315	1	Wang et al., 2010
<b>Yangyuanxipu</b>	40.12	114.22	921	6	Wang et al., 2003

<b>Shenzhen Sx07</b>	22.75	113.78	2	2	Zhang and Yu, 1999
<b>GZ-2</b>	22.71	113.51	1	7	Wang et al., 2010
<b>Daihai99a</b>	40.55	112.66	1221	2	Xiao et al., 2004
<b>Daihai</b>	40.55	112.66	1221	2	Sun et al., 2006
<b>Sihenan profile</b>	34.80	112.40	251	1	Sun and Xia, 2005
<b>Diaojiaohaizi</b>	41.30	112.35	2015	1	Yang et al., 2001
<b>Ganhaizi</b>	39.00	112.30	1854	3	Meng et al., 2007
<b>Jiangling profile</b>	30.35	112.18	37	1	Xie et al., 2006
<b>Helingeer</b>	40.38	111.82	1162	3	Li et al., 2011
<b>Shennongjia2</b>	31.75	110.67	1700	1	Liu et al., 2001
<b>Huguangyan Maar Lake B</b>	21.15	110.28	59	2	Wang et al., 2007
<b>Yaoxian</b>	35.93	110.17	1556	2	Li et al., 2003
<b>Jixian</b>	36.00	110.06	1005	6	Xia et al., 2002
<b>Shennongjia Dajiu Lake</b>	31.49	110.00	1760	2	Zhu et al., 2006
<b>Qigai nuur</b>	39.50	109.85	1300	1	Sun and Feng, 2013
<b>Beizhuangcun</b>	34.35	109.53	519	1	Xue et al., 2010
<b>Lantian</b>	34.15	109.33	523	1	Li and Sun, 2005
<b>Bahanniao</b>	39.32	109.27	1278	1	Guo et al., 2007
<b>Midiwan</b>	37.65	108.62	1400	1	Li et al., 2003
<b>Jinbian</b>	37.50	108.33	1688	2	Cheng, 2011
<b>Xindian</b>	34.38	107.80	608	1	Xue et al., 2010
<b>Nanguanzhuang</b>	34.43	107.75	702	1	Zhao et al., 2003
<b>Xifeng</b>	35.65	107.68	1400	3	Xu, 2006
<b>Jiyuan</b>	37.13	107.40	1765	3	Li et al., 2011
<b>Jiacunyuan</b>	34.27	106.97	1497	2	Gong, 2006
<b>Dadiwan</b>	35.01	105.91	1400	1	Zou et al., 2009
<b>Maying</b>	35.34	104.99	1800	1	Tang and An, 2007
<b>Huiningxiaogou</b>	36.10	104.90	1750	2	Wu et al., 2009
<b>Sujiawan</b>	35.54	104.52	1700	2	Zou et al., 2009
<b>QTH02</b>	39.07	103.61	1302	1	Yu et al., 2009
<b>Laotanfang</b>	26.10	103.20	3579	2	Zhang et al., 2007
<b>Hongshui River2</b>	38.17	102.76	1511	1	Ma et al., 2003,
<b>Ruoergai</b>	33.77	102.55	3480	1	Cai, 2008
<b>Hongyuan</b>	32.78	102.52	3500	2	Wang et al., 2006
<b>Dahaizi</b>	27.50	102.33	3660	1	Li et al., 1988
<b>Shayema Lake</b>	28.58	102.22	2453	1	Tang and Shen, 1996
<b>Luanhaizi</b>	37.59	101.35	3200	5	Herzschuh et al., 2006
<b>Lugu Lake</b>	27.68	100.80	2692	1	Zheng et al., 2014
<b>Qinghai Lake</b>	36.93	100.73	3200	2	Shen et al., 2004
<b>Dalianhai</b>	36.25	100.41	2850	3	Cheng et al., 2010
<b>Erhai ES Core</b>	25.78	100.19	1974	1	Shen et al., 2006
<b>Xianmachi profile</b>	25.97	99.87	3820	7	Yang et al., 2004

<b>TCK1</b>	26.63	99.72	3898	1	Xiao et al., 2014
<b>Yidun Lake</b>	30.30	99.55	4470	4	Shen et al., 2006
<b>Kuhai lake</b>	35.30	99.20	4150	1	Wischniewski et al., 2011
<b>Koucha lake</b>	34.00	97.20	4540	2	Herzschuh et al., 2009
<b>Hurleg</b>	37.28	96.90	2817	2	Zhao et al., 2007
<b>Basu</b>	30.72	96.67	4450	3	Tang et al., 1998
<b>Tuolekule</b>	43.34	94.21	1890	1	An et al., 2011
<b>Balikun</b>	43.62	92.77	1575	1	Tao et al., 2010
<b>Cuona</b>	31.47	91.51	4515	3	Tang et al., 2009
<b>Dongdaohaizi2</b>	44.64	87.58	402	1	Li et al., 2001
<b>Bositeng Lake</b>	41.96	87.21	1050	1	Xu, 1998
<b>Cuoqin</b>	31.00	85.00	4648	4	Luo, 2008
<b>Yili</b>	43.86	81.97	928	2	Li et al., 2011
<b>Bangong Lake</b>	33.75	78.67	4241	1	Huang et al., 1996
<b>Shengli</b>	47.53	133.87	52	2	CQPD, 2000
<b>Qingdeli</b>	48.05	133.17	52	1	CQPD, 2000
<b>Changbaishan</b>	42.22	126.00	500	2	CQPD, 2000
<b>Liuhe</b>	42.90	125.75	910	7	CQPD, 2000
<b>Shuangyang</b>	43.27	125.75	215	1	CQPD, 2000
<b>Xiaonan</b>	43.33	125.33	209	1	CQPD, 2000
<b>Tailai</b>	46.40	123.43	146	5	CQPD, 2000
<b>Sheli</b>	45.23	123.31	150	4	CQPD, 2000
<b>Tongtu</b>	45.23	123.30	150	7	CQPD, 2000
<b>Yueyawan</b>	37.98	120.71	5	1	CQPD, 2000
<b>Beiwangxu</b>	37.75	120.61	6	1	CQPD, 2000
<b>East Tai Lake1</b>	31.30	120.60	3	1	CQPD, 2000
<b>Suzhou</b>	31.30	120.60	2	7	CQPD, 2000
<b>Sun-Moon Lake</b>	23.51	120.54	726	2	CQPD, 2000
<b>West Tai Lake</b>	31.30	119.80	1	1	CQPD, 2000
<b>Changzhou</b>	31.43	119.41	5	1	CQPD, 2000
<b>Dazeyin</b>	39.50	119.17	50	7	CQPD, 2000
<b>Hailaer</b>	49.17	119.00	760	2	CQPD, 2000
<b>Cangumiao</b>	39.97	118.60	70	1	CQPD, 2000
<b>Qianhuzhuang</b>	40.00	118.58	80	6	CQPD, 2000
<b>Reshuitang</b>	43.75	117.65	1200	1	CQPD, 2000
<b>Yangerzhuang</b>	38.20	117.30	5	7	CQPD, 2000
<b>Mengcun</b>	38.00	117.06	7	5	CQPD, 2000
<b>Hanjiang-CH2</b>	23.48	116.80	5	2	CQPD, 2000
<b>Hanjiang-SH6</b>	23.42	116.68	3	7	CQPD, 2000
<b>Hanjiang-SH5</b>	23.45	116.67	8	2	CQPD, 2000
<b>Hulun Lake</b>	48.90	116.50	650	1	CQPD, 2000
<b>Heitutang</b>	40.38	113.74	1060	1	CQPD, 2000
<b>Zhujiang delta PK16</b>	22.73	113.72	15	7	CQPD, 2000



<b>Angulitun</b>	41.30	113.70	1400	7	CQPD, 2000
<b>Bataigou</b>	40.92	113.63	1357	1	CQPD, 2000
<b>Dahewan</b>	40.87	113.57	1298	2	CQPD, 2000
<b>Yutubao</b>	40.75	112.67	1254	7	CQPD, 2000
<b>Zhujiang delta K5</b>	22.78	112.63	12	1	CQPD, 2000
<b>Da-7</b>	40.52	112.62	1200	3	CQPD, 2000
<b>Hahai-1</b>	40.17	112.50	1200	5	CQPD, 2000
<b>Wajianggou</b>	40.50	112.50	1476	4	CQPD, 2000
<b>Shuidong Core A1</b>	21.75	111.07	-8	2	CQPD, 2000
<b>Dajahu</b>	31.50	110.33	1700	2	CQPD, 2000
<b>Tianshuigou</b>	34.87	109.73	360	7	CQPD, 2000
<b>Mengjiawan</b>	38.60	109.67	1190	7	CQPD, 2000
<b>Fuping BK13</b>	34.70	109.25	422	7	CQPD, 2000
<b>Yaocun</b>	34.70	109.22	405	2	CQPD, 2000
<b>Jinbian</b>	37.80	108.60	1400	4	CQPD, 2000
<b>Dishaogou</b>	37.83	108.45	1200	2	CQPD, 2000
<b>Shuidonggou</b>	38.20	106.57	1200	5	CQPD, 2000
<b>Jiuzhoutai</b>	35.90	104.80	2136	7	CQPD, 2000
<b>Luojishan</b>	27.50	102.40	3800	1	CQPD, 2000
<b>RM-F</b>	33.08	102.35	3400	2	CQPD, 2000
<b>Hongyuan</b>	33.25	101.57	3492	1	CQPD, 2000
<b>Wasong</b>	33.20	101.52	3490	1	CQPD, 2000
<b>Guhu Core 28</b>	27.67	100.83	2780	7	CQPD, 2000
<b>Napahai Core 34</b>	27.80	99.60	3260	2	CQPD, 2000
<b>Lop Nur</b>	40.50	90.25	780	7	CQPD, 2000
<b>Chaiwobao1</b>	43.55	87.78	1100	2	CQPD, 2000
<b>Chaiwobao2</b>	43.33	87.47	1114	1	CQPD, 2000
<b>Manasi</b>	45.97	84.83	257	2	CQPD, 2000
<b>Wuqia</b>	43.20	83.50	1000	7	CQPD, 2000
<b>Madagou</b>	37.00	80.70	1370	2	CQPD, 2000
<b>Tongyu</b>	44.83	123.10	148	5	CQPD, 2000
<b>Nanjing</b>	32.15	119.05	10	2	CQPD, 2000
<b>Banpo</b>	34.27	109.03	395	1	CQPD, 2000
<b>QL-1</b>	34.00	107.58	2200	7	CQPD, 2000
<b>Dalainu</b>	43.20	116.60	1290	7	CQPD, 2000
<b>Qinghai</b>	36.55	99.60	3196	2	CQPD, 2000

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1055 **Table 2. Earth's orbital parameters and trace gases as recommended by the PMIP3**  
 1056 **project**

Simulation	Orbital parameters			Trace gases		
	Eccentricity	Obliquity(°)	Angular precession(°)	CO <sub>2</sub> (ppmv)	CH <sub>4</sub> (ppbv)	N <sub>2</sub> O(ppbv)
<b>PI</b>	0,0167724	23,446	102,04	280	760	270
<b>MH</b>	0,018682	24,105	0,87	280	650	270

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1059 **Table 3. PMIP3 model characteristics and references**

<i>Model Name</i>	<i>Modelling centre</i>	<i>Type</i>	<i>Grid</i>	<i>Reference</i>
<b>BCC-CSM-1-1</b>	BCC-CMA (China)	AOVGCM	Atm: 128×64×L26; Ocean: 360×232×L40	Xin et al. (2013)
<b>CCSM4</b>	NCAR (USA)	AOGCM	Atm: 288 × 192×L26; Ocean: 320×384×L60	Gent et al. (2011)
<b>CNRM-CM5</b>	CNRM&CERFACS (France)	AOGCM	Atm: 256 × 128×L31; Ocean: 362×292×L42	Voltaire et al. (2012)
<b>CSIRO-Mk3-6-0</b>	QCCCE, Australia	AOGCM	Atm: 192 × 96×L18; Ocean: 192×192×L31	Jeffrey et al. (2013)
<b>FGOALS-g2</b>	LASG-IAP (China)	AOVGCM	Atm: 128 × 60×L26; Ocean: 360×180×L30	Li et al. (2013)
<b>FGOALS-s2</b>	LASG-IAP (China)	AOVGCM	Atm: 128 × 108×L26; Ocean: 360×180×L30	Bao et al. (2013)
<b>GISS-E2-R</b>	GISS (USA)	AOGCM	Atm: 144 × 90×L40; Ocean: 288×180×L32	Schmidt et al. (2014a,b)
<b>HadGEM2-CC</b>	Hadley Centre (UK)	AOVGCM	Atm: 192 × 145×L60; Ocean: 360×216×L40	Collins et al. (2011)
<b>HadGEM2-ES</b>	Hadley Centre (UK)	AOVGCM	Atm: 192 × 145×L38; Ocean: 360×216×L40	Collins et al. (2011)
<b>IPSL-CM5A-LR</b>	IPSL (France)	AOVGCM	Atm: 96 × 96×L39; Ocean: 182×149×L31	Dufresne et al. (2013)
<b>MIROC-ESM</b>	Utokyo&NIES (Japan)	AOVGCM	Atm: 128×64×L80; Ocean: 256×192×L44	Watanabe et al. (2011)
<b>MPI-ESM-P</b>	MPI (Germany)	AOGCM	Atm: 196×98×L47; Ocean: 256×220×L40	Giorgetta et al. (2013)
<b>MRI-CGCM3</b>	MRI (Japan)	AOGCM	Atm: 320 × 160×L48; Ocean: 364×368×L51	Yukimoto et al. (2012)

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1063 **Table 4. Important values for each plant life form used in the  $\Delta V$  statistical calculation**  
 1064 **as assigned to the megabiomes**

<i>Megabiomes</i>	<i>Life form</i>		
	<b>Trees</b>	<b>Grass/grass</b>	<b>Bare ground</b>
<i>Tropical forest</i>	1		
<i>Warm mixed forest</i>	1		
<i>Temperate forest</i>	1		
<i>Boreal forest</i>	1		
<i>Grassland and dry shrubland</i>	0.25	0.75	
<i>Savanna and dry woodland</i>	0.5	0.5	
<i>Desert</i>		0.25	0.75
<i>Tundra</i>		0.75	0.25

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1066 **Table 5. Attribute values and the weights for plant life forms used by the  $\Delta V$  statistic**

<i>Life form</i>	<i>Attribute</i>			
	<b>Evergreen</b>	<b>Needle-leaf</b>	<b>Tropical</b>	<b>Boreal</b>
<b><i>Trees</i></b>				
<i>Tropical forest</i>	1	0	1	0
<i>Warm mixed forest</i>	0.75	0.25	0	0
<i>Temperate forest</i>	0.5	0.5	0	0.5
<i>Boreal forest</i>	0.25	0.75	0	1
<i>Grassland and dry shrubland</i>	0.75	0.25	0.75	0
<i>Savanna and dry woodland</i>	0.25	0.75	0	0.5
<i>weights</i>	0.2	0.2	0.3	0.3
<b><i>Grass/Shrub</i></b>	<b>Warm</b>	<b>Arctic/alpine</b>		
<i>Grassland and dry shrubland</i>	1	0		
<i>Savanna and dry woodland</i>	0.75	0		
<i>Desert</i>	1	0		
<i>Tundra</i>	0	1		
<i>weights</i>	0.5	0.5		
<b><i>Bare Ground</i></b>	<b>Arctic/alpine</b>			
<i>Desert</i>	0			
<i>Tundra</i>	1			
<i>weight</i>	1			

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**Table 6. Regression coefficients between the reconstructed climates by inverse vegetation models and observed meteorological values**

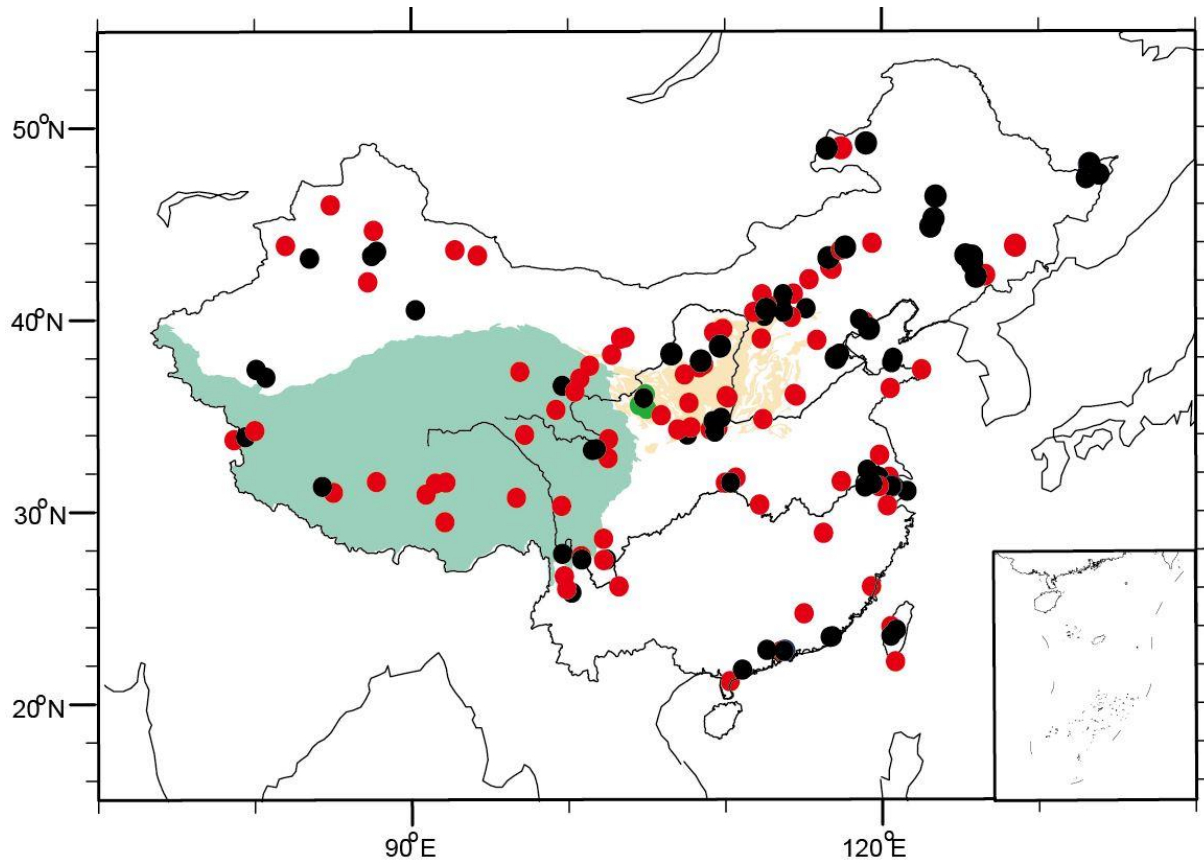
Climate parameter	Slope	Intercept	R	ME	RMSE
<b>MAT</b>	0.82±0.02	0.92±0.18	0.89	0.16	3.25
<b>MTCO</b>	0.81±0.01	-1.79±0.18	0.95	-0.17	3.19
<b>MTWA</b>	0.75±0.03	4.57±0.60	0.75	-0.19	4.02
<b>MAP</b>	1.15±0.02	32.90±18.41	0.94	138.01	263.88
<b>Pjan</b>	1.01±0.02	0.32±0.47	0.94	0.52	8.89
<b>Pjul</b>	1.30±0.03	-21.67±4.52	0.89	16.45	52.9

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The climatic parameters used for regression are the actual values (data source: China Climate Bureau, China Ground Meteorological Record Monthly Report, 1951-2001). MAT annual mean temperature, MTCO mean temperature of the coldest month, MTWA mean temperature of the warmest month, MAP annual precipitation, RMSE the root-mean-square error of the residuals, ME mean error of the residuals, Pjan: precipitation of January, Pjul: precipitation of July, R is the correlation coefficient, ± stand error

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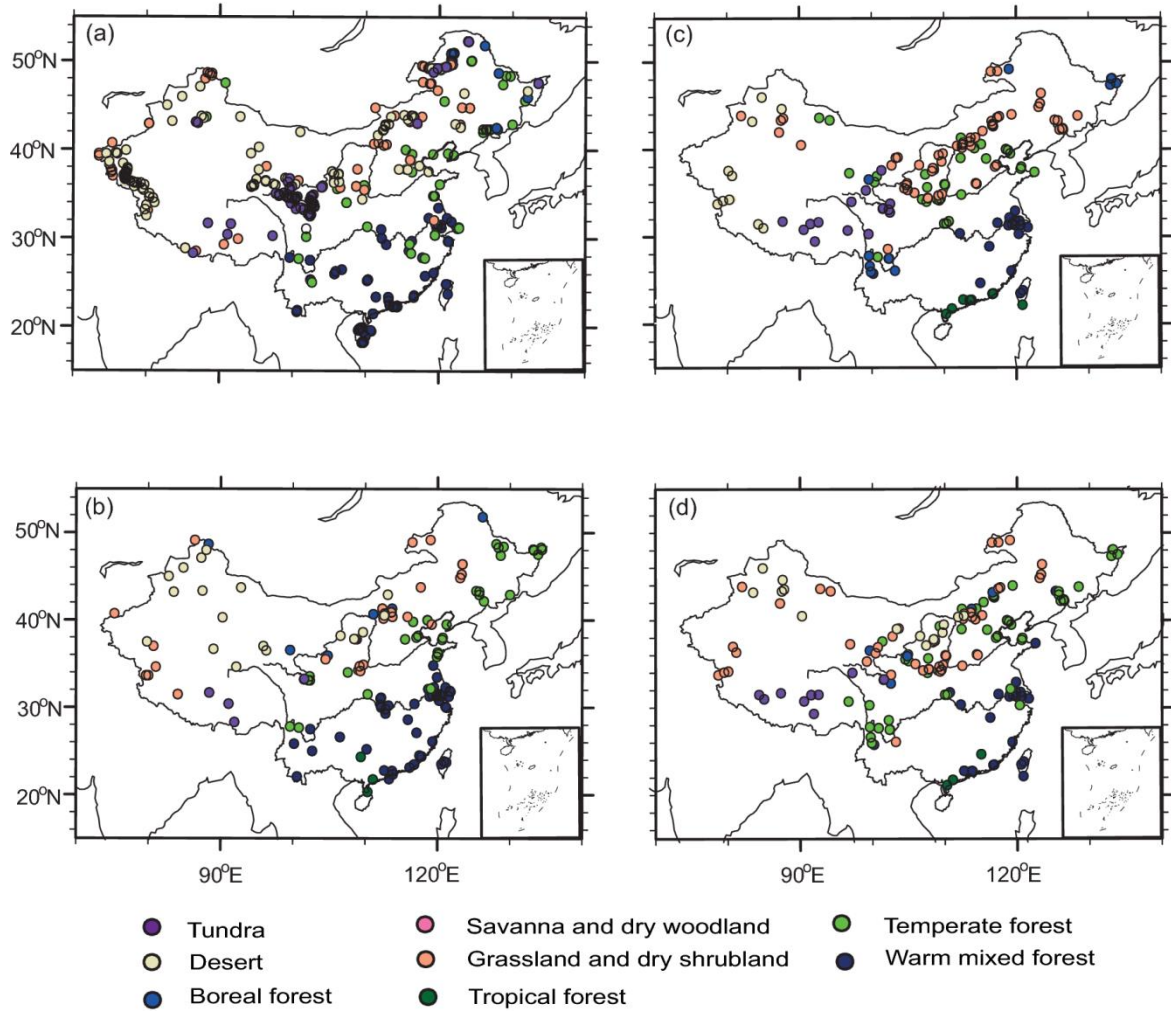


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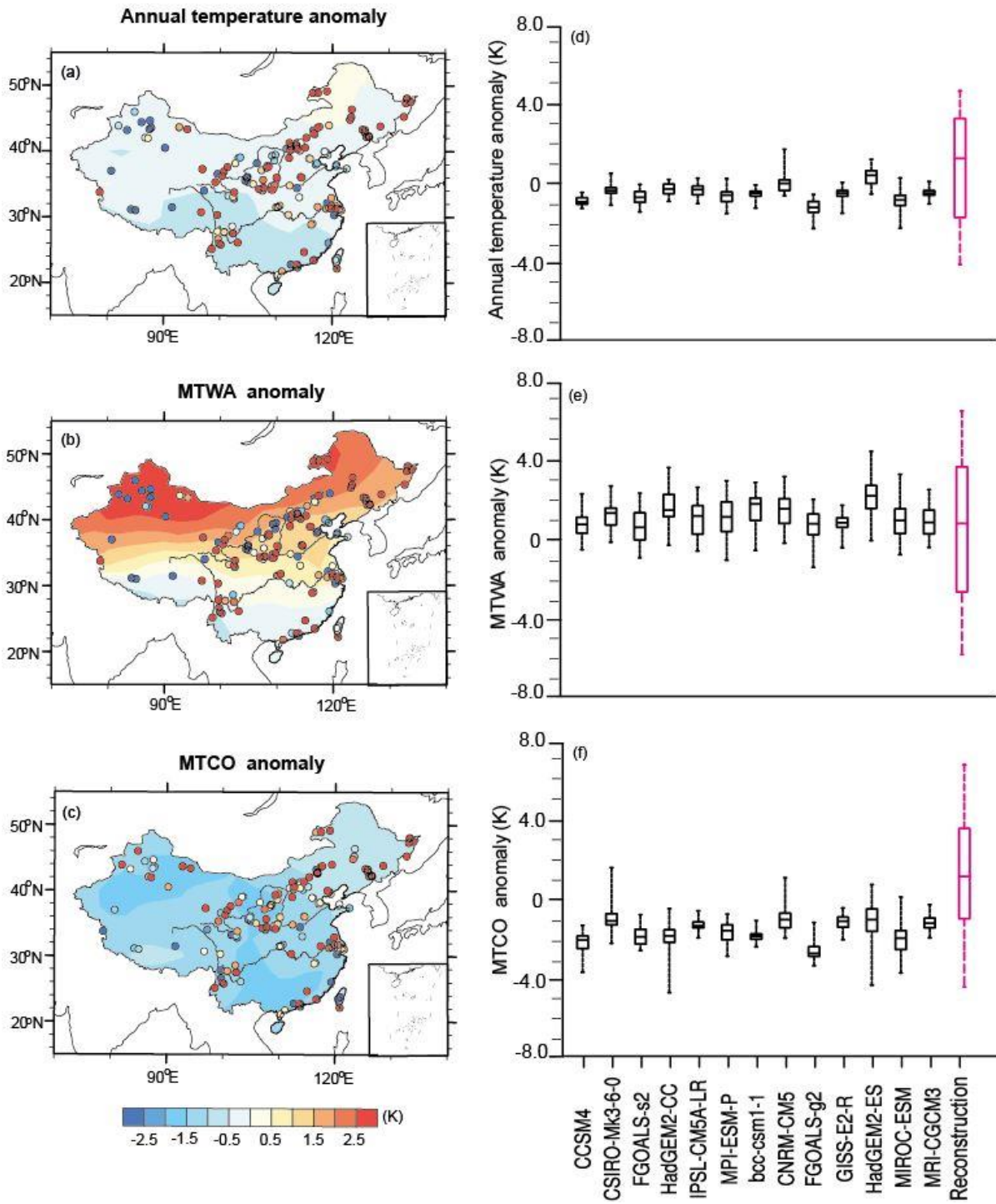
1100 **Figure 1.** Distribution of pollen sites during mid-Holocene period in China. Black circle is the  
1101 original China Quaternary Pollen Database, red circles are digitized ones from published  
1102 papers, green circles represent the three original pollen data used in this study. The area with  
1103 green color represents the Tibetan Plateau, yellow color for the Loess Plateau.

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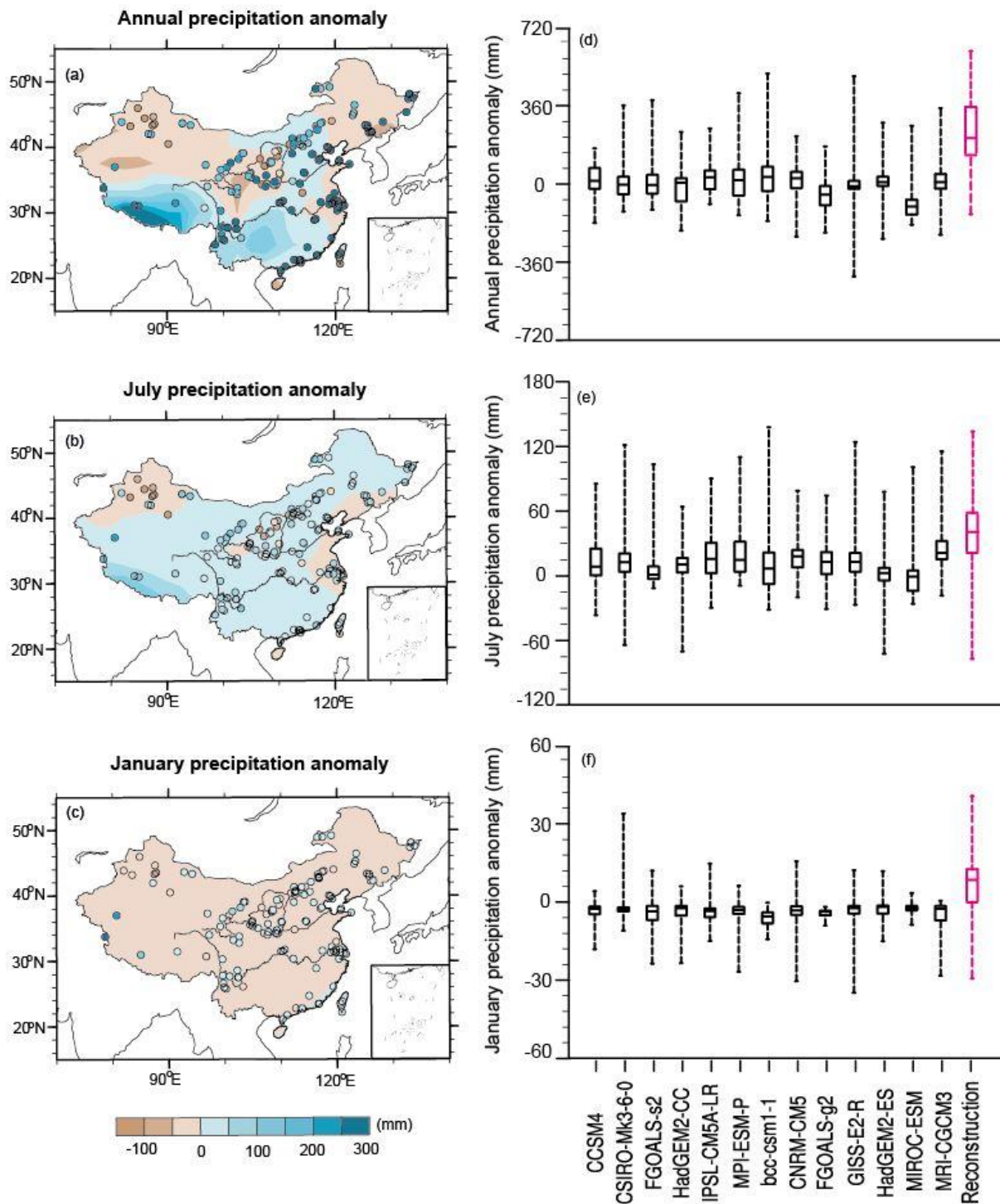
**Figure 2.** Comparison of megabiomes for PI (first row) and the MH (second row): (a,b) BIOME6000, (c,d) pollen data collected in this study.



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1148 **Figure 3.** Model-data comparison for annual and seasonal (MTWA and MTCO) temperature  
 1149 (K). For the left panel (a-c), points represent the reconstruction from IVM, shades show the  
 1150 last 30-year means simulation results of multi-model ensemble (MME) for 13 PMIP3 models.  
 1151 The box-and-whisker plots (d-f) show the changes as shown by each PMIP3 model and the  
 1152 reconstruction. (d) considers changes in annual temperature, (e) indicates changes in MTWA,  
 1153 and (f) shows changes in MTCO. The lines in each box shows the median value from each set  
 1154 of measurements, the box shows the 25%-75% range, and the whiskers show the 90% interval  
 1155 (5th to 95th percentile).



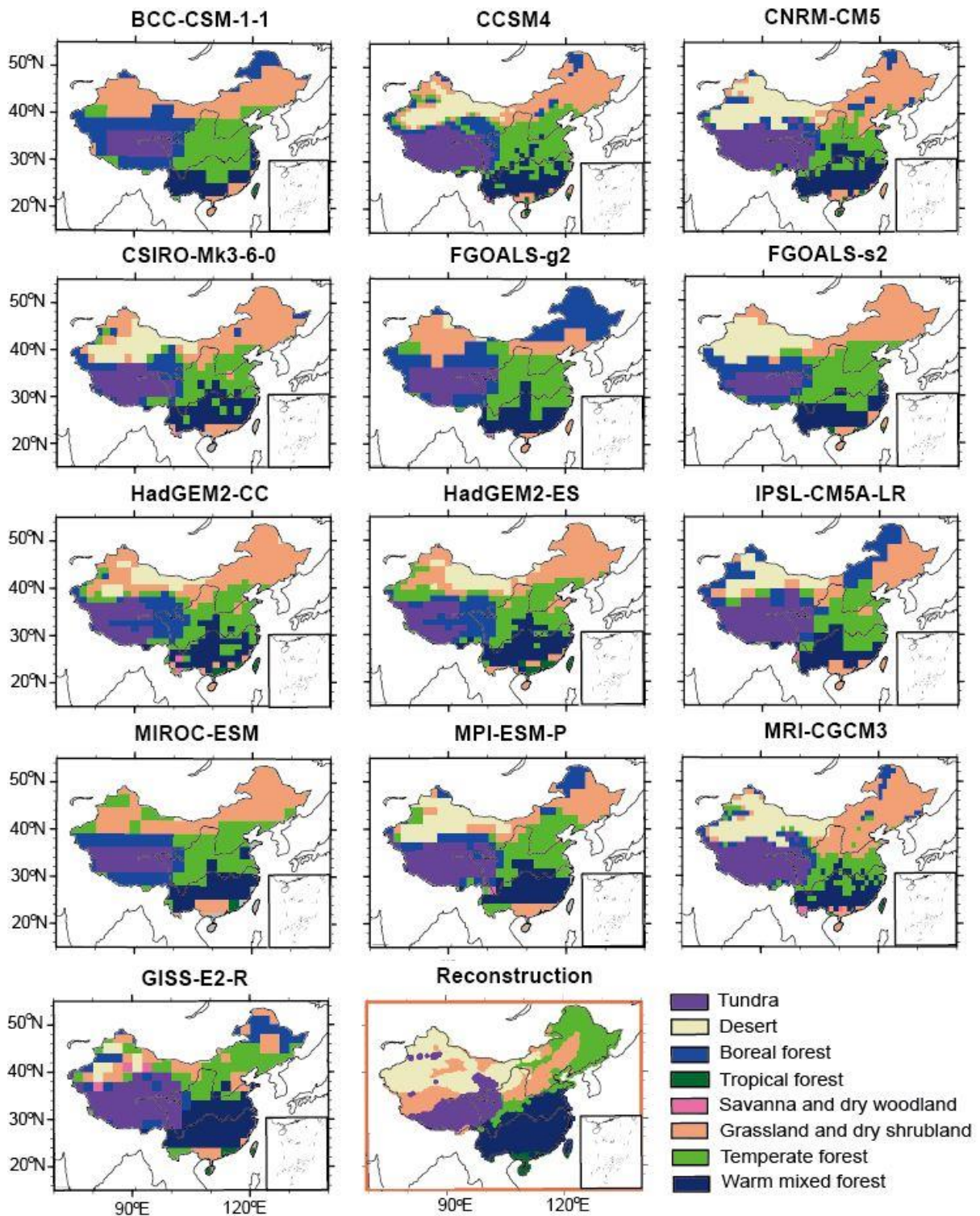


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1157 **Figure 4.** Model-data comparison for annual, July and January precipitation (mm). For the  
 1158 left panel (a,b), points represent the reconstruction from IVM, shades show the last 30-year  
 1159 means simulation results of multi-model ensemble (MME) for 13 PMIP3 models. The  
 1160 box-and-whisker plots (d-f) show the changes as shown by each PMIP3 model and the  
 1161 reconstruction. (d) considers changes in annual precipitation, (e) indicates changes in July  
 1162 precipitation, and (f) shows changes in January precipitation. The lines in each box shows the  
 1163 median value from each set of measurements, the box shows the 25%-75% range, and the  
 1164 whiskers show the 90% interval (5th to 95th percentile).

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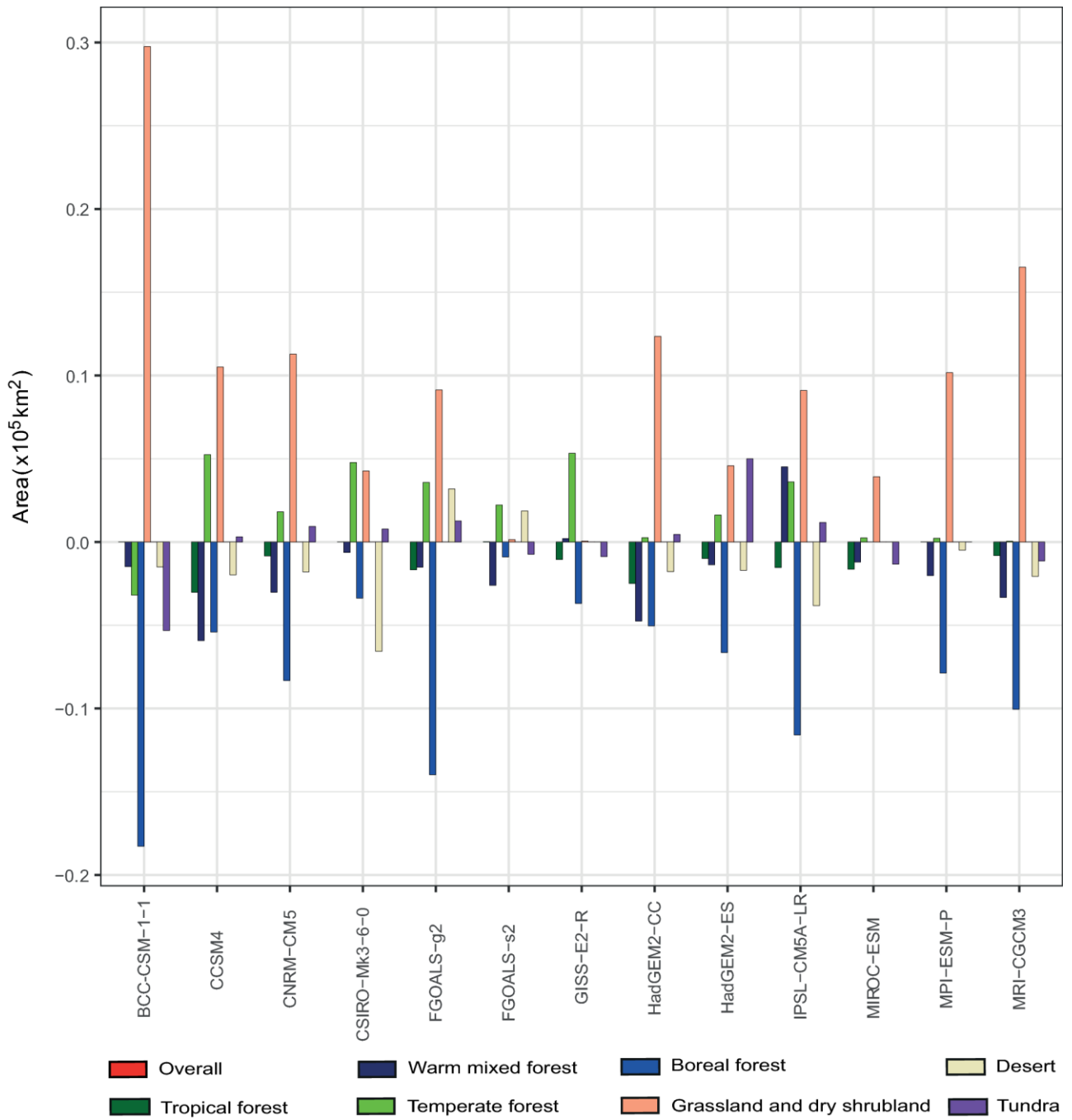
1167 **Figure 5.** Comparison of interpolated megabiomes distribution (plot in red rectangle) with the  
 1168 simulated spatial pattern from BIOME4 for each model during mid-Holocene.

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1174 **Figure 6.** Changes in the extent of each megabiome as a consequence of simulated climate  
 1175 changes for each model, both expressed as change relative to the PI extent of same  
 1176 megabiome.

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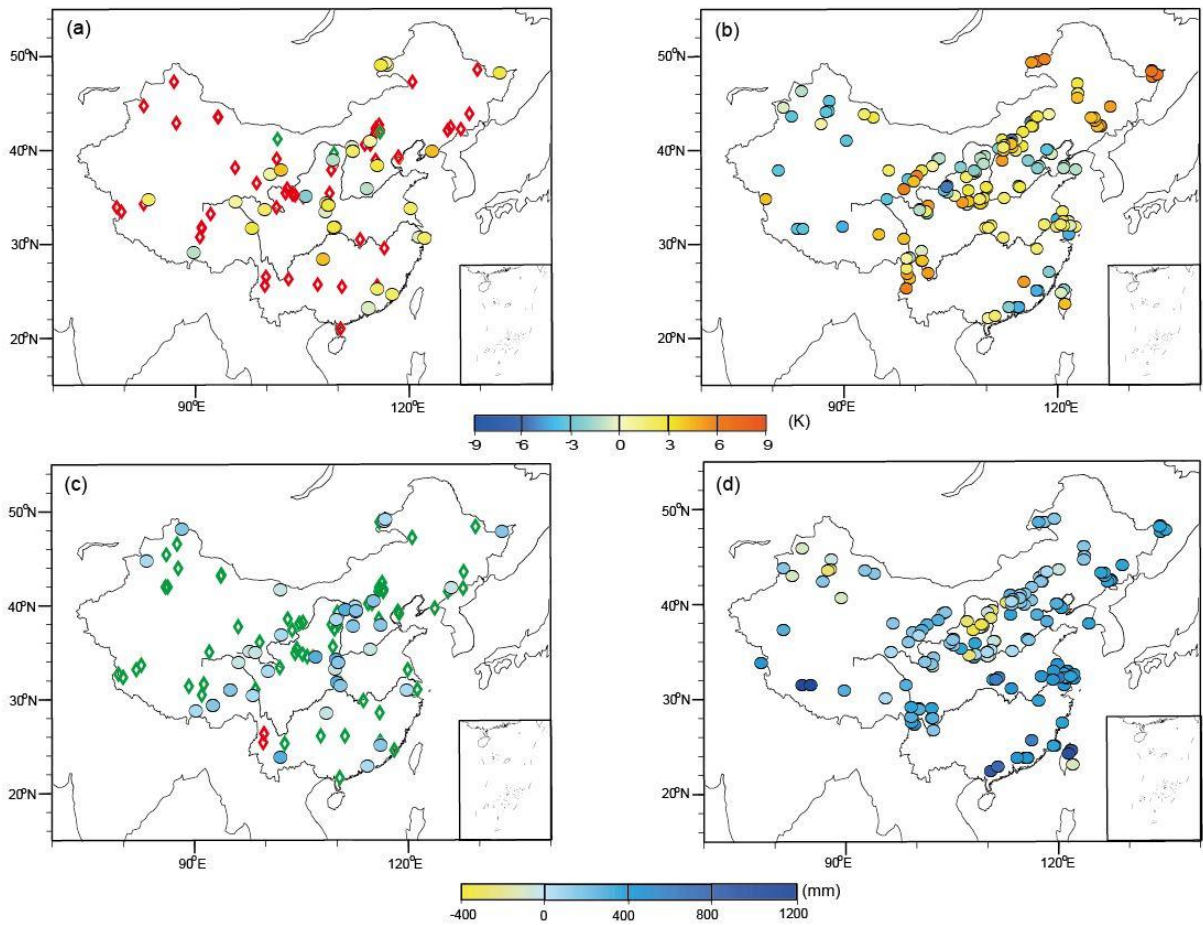
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1187 **Figure 7.** Comparison between the climate reconstruction and previous reconstruction over  
1188 China. (a) Previous temperature results. Diamond is the qualitative reconstruction, red is the  
1189 temperature increase and green is the temperature decrease; Circle is quantitative  
1190 reconstruction; (b) Mean annual temperature reconstruction in this study; (c) Previous  
1191 precipitation results, diamond is the qualitative reconstruction, red is the precipitation increase  
1192 and green is the precipitation decrease; Circle is the quantitative reconstruction; (d) Mean  
1193 annual precipitation reconstruction in this study.

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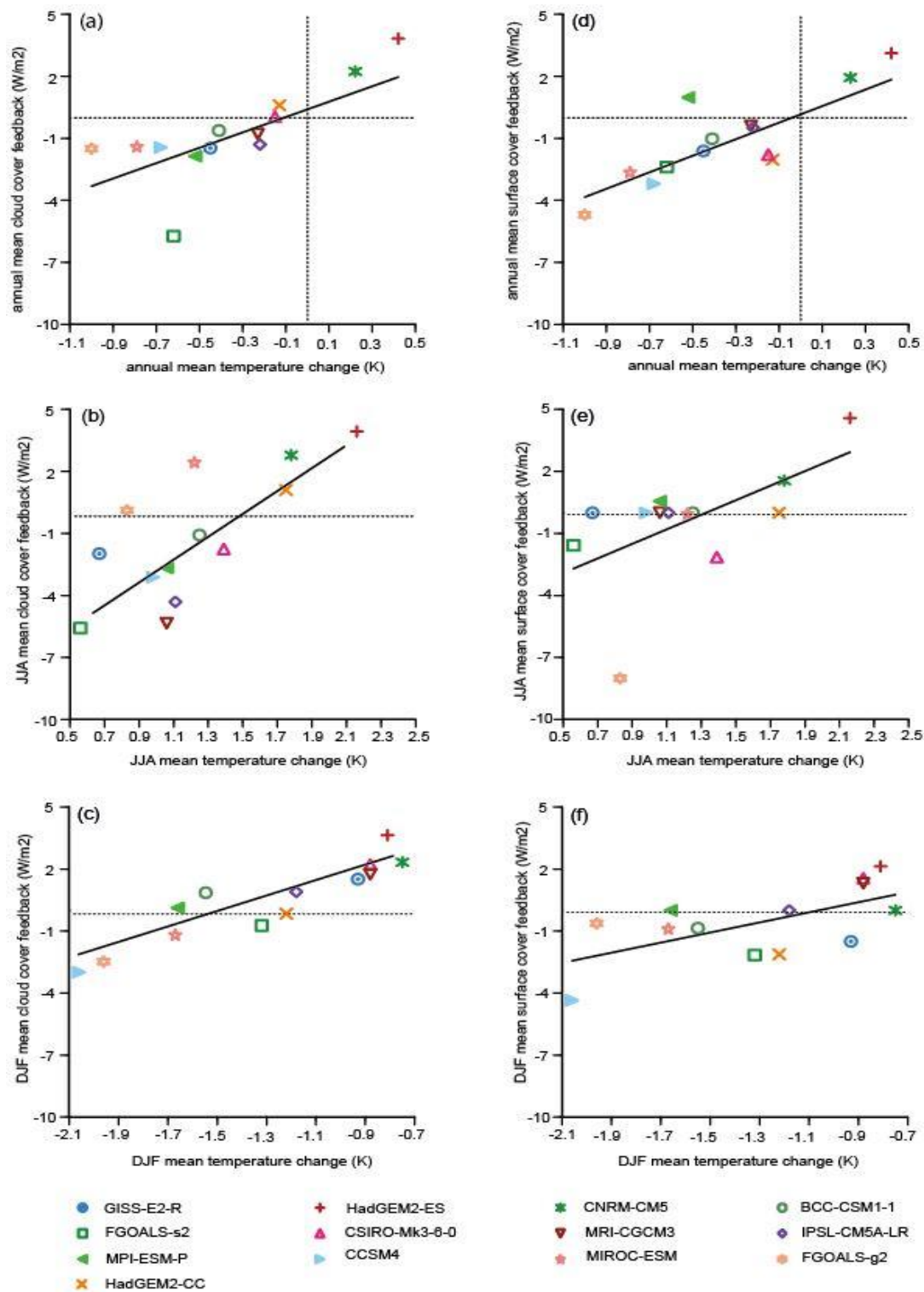
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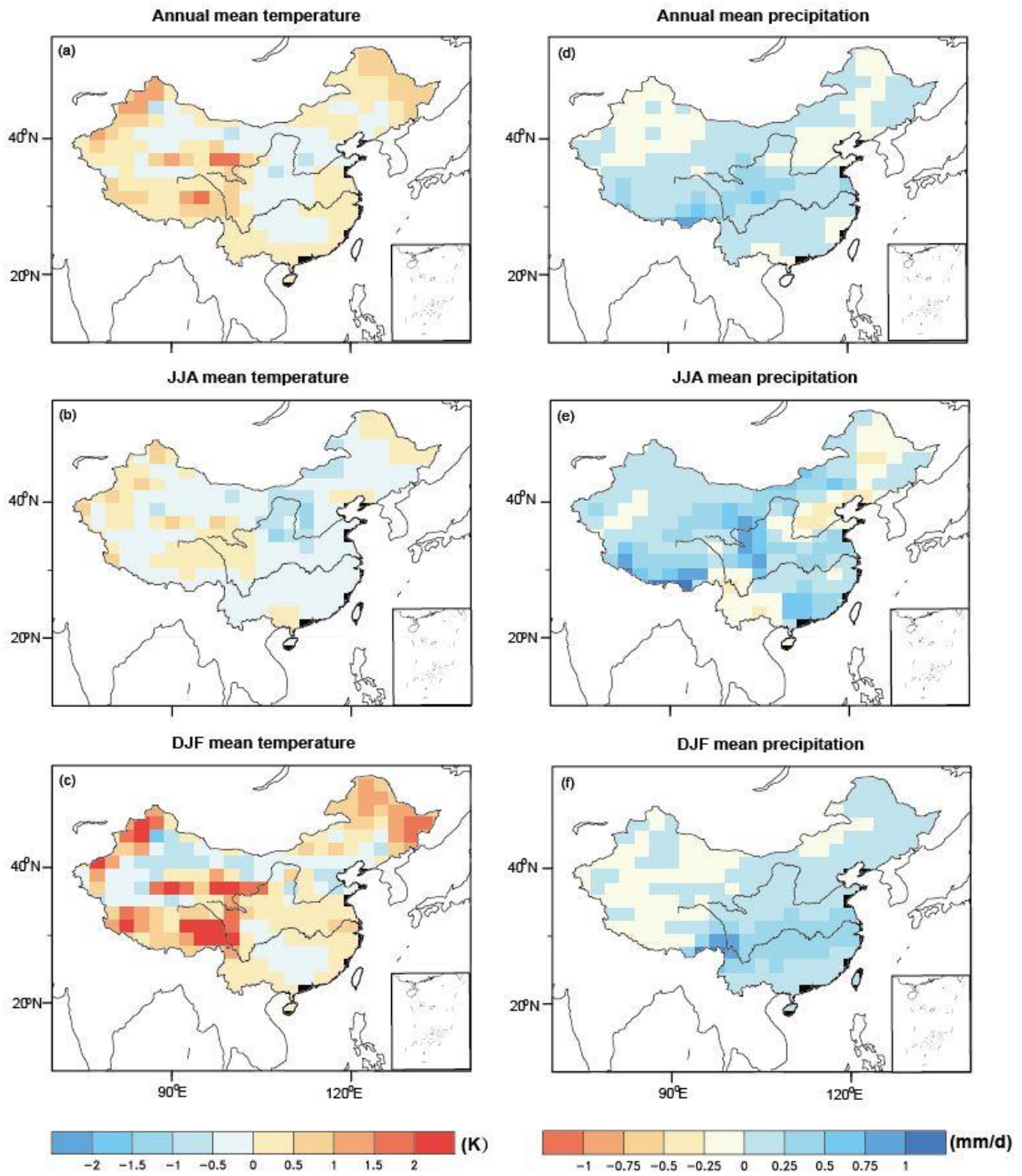
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1202 **Figure 8.** Scatter plots showing temperature, cloud cover feedback and surface albedo  
 1203 feedback changes during the MH. The values shown are the simulated 30-year mean anomaly  
 1204 (MH-PI) for the 13 models. **a**, annual mean temperature relative to the annual mean cloud  
 1205 cover feedback and **d**, annual surface albedo feedback. **b**, Summer (JJA) mean temperature  
 1206 relative to the summer mean cloud cover feedback and **e**, Summer surface albedo feedback.  
 1207 **c**, Winter (DJF) mean temperature relative to the summer mean cloud cover feedback and **f**,  
 1208 Winter surface albedo feedback. The horizontal and vertical lines in plots represent the value  
 1209 of 0.





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1212 **Figure 9.** Climate anomalies between the two experiments (6 ka and 6 ka\_VEG) conducted in  
1213 CESM version 1.0.5. The anomalies (6 ka\_VEG-6 ka) of temperature and precipitation at  
1214 both annual and seasonal scale are presented, and all these climate variables are calculated as  
1215 the last 50-year means from two simulations.

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