

## **Response to the editor**

We greatly appreciate the constructive comments and suggestions on the previous version of the manuscript from the editor. The following is the point-by-point reply, with reference to the order of the comments made by the editor and reviewers.

**Comment:** Thank you for taking the time to answer the reviewers comments and to include them into your manuscript. Once more I want to congratulate you for the large amount of work performed in ‘rescuing’ data.

There are still some items that must be improved before publication. I bet that you will honestly make these changes.

1. I understood that the initial manuscript was thoroughly edited for English. Many pieces of text have been included in the manuscript. Therefore a full check for English is required. As an example, the English of section 4.2 as well as of the data availability section is poor. Other sections may suffer from the same problem.

In particular, I don’t understand that the simulations are reliable for temperature and precipitation but the bias is considerable for precipitation.

**Response:** According to the suggestion, we have fully checked the English of our manuscript, and the corrections can be found in the revised manuscript as below. For instance, we modified the sentence ‘the simulations are reliable for temperature and precipitation but the bias is considerable for precipitation’ into ‘previous studies show that the GCMs from PMIP3 are reliable to simulate the geographical distribution of temperature and precipitation over China for present day. However, compared with observation, most models have topography-related cold biases (Jiang et al., 2016)’ on page 17, lines 397-400.

2. Tables S6 and S7; Figures 3 and 4. Are the values (in the tables and in the box plot) an average over China or the mean of the values at the different points where there are data?

**Response:** The values in Tables S6 and S7; Figures 3 and 4 are the mean of the values at different points where there are data. We have added this remark in the description of these data in tables and figures on page 14 line 324.

3. Authors' response p13 (from the reviewer comments). You indicated that you modified the description. Indeed you included most of the reviewer's comment, except 'But those small or regional-scale variations in climate can have a large impact on vegetation and hence reconstructed climate'. My understanding is that this sentence is as important (if not more) than the previous one. Could you please include it in the manuscript.

**Response:** According to the suggestion, we have added the sentence in the manuscript on page 18, lines 415-416.

4. A big effort has been made for the references. However, there are still some issues.

a. The following references are both cited as (Li et al., 2011).

Li, X., Zhao, K., Dodson, J., and Zhou, X.: Moisture dynamics in central Asia for the last 15 kyr: new evidence from Yili Valley, Xinjiang, NW China, *Quaternary Science Reviews*, 30, 23-34, 2011.

Li, C., Wu, Y., and Hou, X.: Holocene vegetation and climate in Northeast China revealed from Jingbo Lake sediment, *Quaternary International*, 229, 67-73, 2011.

According to Copernicus Publications house standards they should be cited in the text as (X. Li et al., 2011) and (C. Li et al., 2011). Please check your manuscript.

**Response:** We have modified the citation in the manuscript on page 49, page 50 and page 51, Table 1.

b. I identified the same issue for (Liu et al., 2007), (Zhang et al., 2007), (Wang et al., 2010).

**Response:** We have modified the citation in the manuscript on page 4 line 93, pages 49-51, Table 1.

c. More classically, they are also two different references corresponding to (Li et al, 2003). They should be (Li et al, 2003a) and (Li et al, 2003b).

**Response:** According to the suggestion, we have modified the citation in the manuscript on page 50.

d. There is no reference corresponding to (Yang et al., 2001).

**Response:** We have added the citation on page 46 lines 1067-1069:

Yang, Y., Huang, C., Wang, S., and Kong, Z: Study on the mire development and palaeogeographical environment change since the early period of the Holocene in the east part of the Xiliaohe Plain, *Scientia Geographica Sinica*, 21, 242-249, 2001 (in Chinese with English abstract).

e. Most probably the reference 'Members of the China Quaternary Pollen Data Base' for (CQPD, 2000) will not be accepted. You should check with Copernicus on how to proceed. Indeed, if you indicate CQPD in the text, as a reference, it should be find AS IS in the list of references. At the moment it is not the case.

**Response:** According to previous experience (see Li et al., Large-scale vegetation history in China and its response to climate change since the Last Glacial Maximum, *Quaternary International*, 2019), it's ok to cite CQPD like this. But we agree with the editor that this citation could cause some confusion to the readers, we will check it with Copernicus if it isn't accepted.

f. The reference Members, M.P. should be MARGO Project Members.

**Response:** Corrected on page 36, line 852.

5. The data availability section. I appreciate your effort here. Now the colleagues interested in CQPD data know how to contact the authors to possibly use the data. There are still 91+3 dataset for which nothing is mentioned. My understanding is that you digitized most of them (91). It would be extremely useful if these data were available as well. Can they be requested to one of the co-authors of this paper? Could

that be mentioned in the data availability section? For the first three datasets in Table 1, you indicate that it is 'original data'. Then their digital version should be available somewhere. Could you mention where/how to get the values?

**Response:** For the 91 digitized data, they can be requested to the co-author of our paper Qin Li, we also provided the email address of her in the data section (on page 22 lines 516). About the 3 original data, they are given by the original author of these papers, which can also be archived by Qin Li.

6. Table 2. The eccentricity value for the PI should be 0.016724. Angular precession is not the correct astronomical term (neither in the table nor in the text). I would suggest 'longitude of the perihelion'. Please check all the values in that table.

**Response:** Thanks for the reminder, we have corrected the 'Angular precession' into 'longitude of the perihelion' on page 1 line 16, page 11 line 258 and page 53 of Table 2. We have also checked and corrected the value in Table 2 on page 53.

7. Figure S9. Why is it no 'Overall' values for MRI-CGCM3?

**Response:** Thanks for the reminder, the Figure S9 has been corrected on page 29 in Supplementary Information.

## Mid-Holocene Climate Change over China: Model-Data Discrepancy

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### Abstract:

The mid-Holocene period (MH) has long been an ideal target for the validation of Global Circulation Model (GCM) results against reconstructions gathered in global datasets. These studies aim to test the GCM sensitivity mainly to the seasonal changes induced by the orbital parameters (~~precession~~longitude of the perihelion). Despite widespread agreement between model results and data on the MH climate, some important differences still exist. There is no consensus on the continental size (the area of the temperature anomaly) of the MH thermal climate response, which makes regional quantitative reconstruction critical to obtain a comprehensive understanding of the MH climate patterns. Here, we compare the annual and seasonal outputs from the most recent Paleoclimate Modelling Intercomparison Project Phase 3 (PMIP3) models with an updated synthesis of climate reconstruction over China, including, for the first

time, a seasonal cycle of temperature and precipitation. Our results indicate that the main discrepancies between model and data for the MH climate are the annual and winter mean temperature. A warmer-than-present climate condition is derived from pollen data for both annual mean temperature ( $\sim 0.7$  K on average) and winter mean temperature ( $\sim 1$  K on average), while most of the models provide both colder-than-present annual and winter mean temperature and a relatively warmer summer, showing linear response driven by the seasonal forcing. By conducting simulations in BIOME4 and CESM, we show that the surface processes are the key factors drawing the uncertainties between models and data. These results pinpoint the crucial importance of including the non-linear responses of the surface water and energy balance to vegetation changes.

*Keywords:* PMIP3    Pollen data    Inverse Vegetation Model    Seasonal climate change

## 1. Introduction

Much attention of paleoclimate ~~study~~ studies has been focused on the current interglacial (the Holocene), especially the mid-Holocene (MH,  $6 \pm 0.5$  ka). The major difference in the experimental configuration between the MH and pre-Industrial (PI) arises from the orbital parameters which brings about an increase in the amplitude of

the seasonal cycle of insolation of the Northern Hemisphere and a decrease in the Southern Hemisphere (Berger, 1978). Thus, the MH provides an excellent case study on which to base an evaluation of the climate response to changes in the distribution of insolation. Great efforts ~~are~~ have been devoted by the modeling community to ~~the~~ design of ~~the~~ MH common experiments using similar boundary conditions (Joussaume and Taylor, 1995; Harrison et al., 2002; Braconnot et al., 2007a, b). In addition, much work has been done to reconstruct the paleoclimate change based on different proxies at global and continental scale (Guiot et al., 1993; Kohfeld and Harrison, 2000; Prentice et al., 2000; Bartlein et al., 2011). The greatest progress in understanding the MH climate change and variability has consistently been made by comparing large-scale analyses of data with simulations from global climate models (Joussaume et al., 1999; Liu et al., 2004; Harrison et al., 2014).

However, the source of discrepancies between model results and data is still an open and stimulating question. Two types of inconsistencies have been identified: 1) where the model and data show opposite signs, for instance, paleoclimate evidence from data-records indicates an increase of about 0.5 K in global annual mean temperature during the MH compared with PI (Shakun et al., 2012; Marcott et al., 2013), while there is a cooling trend in model simulations (Liu et al., 2014). 2) where the same trend is displayed by both model and data but with different magnitudes. Previous studies have shown that while climate models can successfully reproduce the direction and large-scale patterns of past climate changes, they tend to consistently underestimate the magnitude of change in the monsoons of the Northern Hemisphere as well as the

amount of the MH precipitation over northern Africa (Braconnot et al., 2012; Harrison et al., 2015). Moreover, significant spatial variability has been noted in both observations and simulations (Peyron et al., 2000; Davis et al., 2003; Braconnot et al., 2007a; Wu et al., 2007; Bartlein et al., 2011). For instance, Marcott et al. (2013) reconstructed a cooling trend of global temperature during Holocene, mainly from the marine records (~80%). While based on 642 sub-fossil pollen data, Marsicek et al. (2018) shows a long-term warming defined the Holocene until around 2000 years ago for Europe and North America continents. The different trends of pollen- and marine-based reconstruction indicate the spatial variability of annual temperature change during MH over the globe, which has already been investigated by Bartlein et al. (2010). That makes regional quantitative reconstruction (Davis et al., 2003; Mauri et al., 2015) essential to obtain a comprehensive understanding of the MH climate patterns, and to act as a benchmark to evaluate climate models (Fischer and Jungclauss, 2011; Harrison et al., 2014;).

China offers two advantages ~~in~~ with respect to these issues. The sheer expanse of the country means that the continental response to insolation changes over a large region can be investigated. Moreover, the quantitative reconstruction of seasonal climate changes during the MH, based on the new pollen dataset, provides a unique opportunity to compare the seasonal cycles for models and data. Previous studies indicate that warmer and wetter than present conditions prevailed over China during the MH and that the magnitude of the annual temperature increases varied from 2.4-5.8 K spatially, with an annual precipitation increase in the range of 34-267 mm (e.g., Sun et al., 1996;



Jiang et al., 2010; Lu et al., 2012; Chen et al., 2015). However, Jiang et al. (2012) clearly show a mismatch between multi-proxy reconstructions and model simulations. In terms of climate anomalies (MH-PI), besides the ~1 K increase in summer temperature, 35 out of 36 Paleoclimate Modelling Intercomparison Projects (PMIP) models reproduce annual (~0.4 K) and winter temperatures (~1.4 K) that are colder than the baseline, and a drier-than-baseline climate in some western and middle regions over China is depicted in models (Jiang et al., 2013). Jiang et al. (2012) point out the model-data discrepancy over China during the MH, but the lack of seasonal reconstructions in their study limits comparisons with simulations.

An important issue raised by Liu et al. (2014) is that the discrepancy at the annual level could be due to incorrect reconstructions of the seasonal cycle, a key objective in our paper. Moreover, it has been suggested that the vegetation change can strengthen the temperature response in high latitudes (O’Ishi et al., 2009; Otto et al., 2009), as well as alter the hydrological conditions in the tropics (Z. Liu et al., 2007). However, compared to the substantial land cover changes in the MH derived from pollen datasets (Ni et al., 2010; Yu et al., 2000), the changes in vegetation have not yet been fully quantified and discussed in PMIP3 (Taylor et al., 2012).

In this study, ~~for the reconstruction, we first present new reconstructions. We firstly~~ used ~~the a~~ quantitative biomization method ~~of biomization~~ to reconstruct vegetation types during the MH, based on a new synthesis of pollen datasets, and then used ~~the an~~ Inverse Vegetation Model (Guiot et al., 2000; Wu et al., 2007) to obtain the mean annual temperature, the mean temperature of the warmest month (MTWA) ~~and~~, the

mean temperature of the coldest month (MTCO), ~~the mean annual precipitation, July precipitation and January precipitation~~ climate features over China for the MH. ~~In the case of PMIP3 models~~ Furthermore, we present a comprehensive evaluation of the PMIP3 simulations ~~made performed~~ with state-of-art climate models, ~~based on our using~~ reconstructions of temperature and precipitation. This is the first time that such a progress towards a quantitative seasonal climate comparison for the MH over China has been made. This point is crucial because the MH PMIP3 experiment is essentially one that looks at the response of the models to changes in the seasonality of insolation, and the attempt to derive reconstructions of both summer and winter climate to compare with the simulations will thus ~~be able~~ enable us to answer the question posed by Liu et al. (2014) on the importance of seasonal reconstructions.

## 2. Data and Methodology

### 2.1 Data

In this study, we collected 159 pollen records, covering most of China, for the MH period ( $6000 \pm 500$   $^{14}\text{C}$  yr BP) (Fig. 1). Notably, according to IntCal13 (Reimer et al., 2013), the MH time slice  $6000 \pm 500$   $^{14}\text{C}$  yr BP is about 6800 Cal BP (the average value), which is not totally consistent with the “mid-Holocene” used in CMIP5/PMIP3 experiment (6000 Cal BP). But for a better comparison with BIOME6000 (in which the MH is defined as  $6000 \pm 500$   $^{14}\text{C}$  yr BP), we decided to choose the pollen data at  $6000 \pm 500$   $^{14}\text{C}$  yr BP in our study. In ~~all the~~ 159 records, 65 were from the China Quaternary Pollen Database (CQPD, 2000), three were original datasets obtained ~~in for~~

our study, and the others were digitized from pollen diagrams in published papers with a recalculation of pollen percentages based on the total number of terrestrial pollen types. These digitized 91 pollen records were selected according to three criteria: (1) clearly readable pollen diagrams with a reliable chronology with the minimum of three independent age control points since the LGM; (2) including the pollen taxa during 6000±500 <sup>14</sup>C yr BP period with a minimum sampling resolution of 1000 years per sample; (3) abandon the pollen records if the published paper mentions the influence of human activity. ~~Based on the digitized pollen assemblages, we use biomization to get the biome scores and biome types.~~ For the age control, different dating methods are utilized in the collected pollen records, we applied ~~the~~ CalPal 2007 (Weninger et al., 2007) to correct <sup>14</sup>C age into calendar age so that they can be contrasted with each other. For lacustrine records, if the specific carbon pool age is mentioned in the literature, the calendar age is corrected after deducting the carbon pool. Otherwise, the influence of carbon pool is not considered. The age-depth model for the pollen records was estimated by linear interpolation between adjacent available dates or by regression. Using ranking schemes from the Cooperative Holocene Mapping Project, the quality of dating control for the mid-Holocene was assessed by assigning a rank from 1 to 7. ~~And~~ 70% of the records used in our study fell into the first and second classes (see Table 1 for detailed information) according to the Webb 1-7 standards (Webb, III T., 1985). Vegetation type was quantitatively reconstructed using biomization (Prentice et al., 1996), following the classification of plant functional types (PFTs) and biome assignment in China by the Members of China Quaternary

Pollen Data (CQPD, 2000), which has been widely tested in surface sediment. The new sites (91 digitized data and three original data) added to our database improved the spatial coverage of pollen records, especially in the northwest, the Tibetan Plateau, the Loess Plateau and southern regions, where the data in the previous databases are very limited.

Modern monthly mean climate variables investigated in this study, including temperature, precipitation and cloudiness (total cloud fraction), ~~applied in this study~~, have been collected for each modern pollen site based on the datasets (1951-2001) from 657 meteorological observation stations over China (China Climate Bureau, China Ground Meteorological Record Monthly Report, 1951-2001). The MH soil properties and characteristics used in the inverse vegetation model were kept the same with PI conditions, which are derived from the digital world soil map produced by the Food and Agricultural organization (FAO) (FAO, 1995). Atmospheric CO<sub>2</sub> concentration for the MH was taken from ice core records (EPICA community members 2004), and was set at 270 ppmv.

A 3-layer back-propagation (BP) artificial neural network technique (ANN) was used for interpolation on each pollen site (Caudill and Butler, 1992). Five input variables (latitude, longitude, elevation, annual precipitation, annual temperature) and one output variable (biome scores) have been chosen in ANN for the modern vegetation. The ANN has been calibrated on the training set, and its performance has been evaluated on the verification set (20%, randomly extracted from the total sets). After a series of training run, the lowest verification error is obtained with 5 neurons in the

hidden layer after 10000 iterations. In our study, at each pollen site, we firstly ~~used~~ applied the biomization method to get the biome scores for both present-day and MH. The anomalies between past (6 ka) and modern vegetation indices (biome scores) was then interpolated to the  $0.2 \times 0.2^\circ$  grid resolution by applying the ANN. After that, the modern grid values are added to the values of the grid of palaeo-anomalies to provide gridded paleo-biome indices. Finally, the biome with the highest index is attributed to each grid point. This ANN method is more efficient than many other techniques on the condition that the results are validated by independent data sets, and therefore, it has been widely applied in paleoclimatology (Guiot et al., 1996; Peyron et al., 1998). The schematic diagram of ANN (Figure S1) can be found in Supplementary Information.

## 2.2 Climate models

PMIP, a long-standing initiative, is a climate-model evaluation project which provides an efficient mechanism for using global climate models to simulate climate anomalies ~~in the for~~ past periods and to understand the role of climate feedbacks. In its third phase (PMIP3, Braconnot et al., 2011), the models were identical to those used in the Climate Modelling Intercomparison Project 5 (CMIP5) experiments. The experimental set-up for the mid-Holocene simulations in PMIP3 followed the PMIP protocol (~~Braconnot et al., 2007a, b; Taylor et al., 2012,~~ <https://wiki.lsce.ipsl.fr/pmip3/doku.php/pmip3:design:6k:final>). The main forcing between the MH and PI in PMIP3 ~~are is the change in~~ the orbital configuration ~~and CH<sub>4</sub> concentration~~. More precisely, the orbital configuration in the MH climate has an increased summer insolation and a decreased winter insolation in the Northern

Hemisphere compared to the PI climate (Berger, 1978). ~~Meantime~~In addition, the CH<sub>4</sub> concentration is prescribed at 650 ppbv in the MH, while it is set at 760 ppbv in PI (Table 2).

All 13 models (Table 3) from PMIP3 that have the MH simulation have been included in our study, including eight atmosphere-ocean (AO) models and five atmosphere-ocean-vegetation (AOV) models. Means for the last 30 years were calculated from the archived time-series data on individual model grids for climate variables. ~~∴~~ Then the near surface temperature and precipitation flux, ~~which~~ were bi-linearly interpolated to a uniform 2.5° grid, in order to ~~get compute~~ the bioclimatic variables (e.g. MAT, MAP, MTWM, MTCO, July precipitation) onto a common grid for comparison with the reconstruction results.

### **2.3 Vegetation model**

The vegetation model, BIOME4 is a coupled bio-geography and biogeochemistry model developed by Kaplan et al. (2003). Monthly mean temperature, precipitation, sunshine percentage (an inverse measure of cloud area fraction), absolute minimum temperature, atmospheric CO<sub>2</sub> concentration and subsidiary information about the soil's physical properties like water retention capacity and percolation rates are the main input variables ~~for the models~~. It ~~incorporates~~ represents 13 plant functional types (PFTs), which have different bioclimatic limits. The PFTs are based on physiological attributes and bioclimatic tolerance limits such as heat, moisture and chilling requirements and resistance of plants to cold. These limits determine the areas where the PFTs ~~could~~ can grow in a given climate. A viable combination of these PFTs defines

a particular biome among 28 potential options. These 28 biomes can be further classified into 8 megabiomes (Table S1). BIOME4 has been widely utilized to analyze the past, present and potential future vegetation patterns (e.g. Bigelow et al., 2003; Diffenbaugh et al., 2003; Song et al., 2005). In this study, we conducted 13 PI and ~~the~~ associated MH biome simulations using ~~PIIMP3-PMIP3~~ climate fields (temperature, precipitation and sunshine) as inputs. The climate fields, obtained from PMIP3, are the monthly mean data of the last 30 model years.

#### **2.4 Statistics and interpolation for vegetation distribution**

To quantify the differences between simulated (~~by-based on BIOME4 forced by~~ the climate\_-model output) and reconstructed (from pollen)-~~between~~ megabiomes, a map-based statistic (point-to-point comparison with observations) called  $\Delta V$  (Sykes et al., 1999; Ni et al., 2000) was applied to our study.  $\Delta V$  is based on the relative abundance of different plant life forms (e.g. trees, grass, bare ground) and a series of attributes (e. g. evergreen, needle-leaf, tropical, boreal) for each vegetation class. The definitions and attributes of each plant form follow naturally from the BIOME4 structure and the vegetation attribute values in the  $\Delta V$  computation were defined for BIOME4 in the same way as for BIOME1 (Sykes et al., 1999). The abundance and attribute values are given in Table 4 and Table 5, which describe the typical floristic composition of the biomes. Weighting the attributes is subjective because there is no obvious theoretical basis for assigning relative significance. Transitions between highly dissimilar megabiomes have a weighting of close to 1, whereas transitions between less dissimilar megabiomes are assigned smaller values. The overall dissimilarity between

model and data megabiome maps was calculated by averaging the  $\Delta V$  for the grids with pollen data, while the value was set at 0 for any grid without data.  $\Delta V$  values  $< 0.15$  can be considered to point to very good agreement between simulated and actual distributions, 0.15-0.30 is good, 0.30-0.45 is fair, 0.45-0.60 is poor, and  $> 0.80$  is very poor (adjusted from Zhang et al., 2010). ~~For spatial pattern comparison, we compared the simulated vegetation distribution from BIOME4 from each model with the interpolated pattern of reconstruction.~~

## 2.5 Inverse vegetation model

The Inverse Vegetation Model (Guiot et al., 2000; Wu et al., 2007), highly dependent on the BIOME4 model, is applied to our reconstruction. The key concept of this model can be summarized in two points: firstly, a set of transfer functions able to transform the model output into values directly comparable with pollen data is defined. There is not full compatibility between the biome typology of BIOME4 and the biome typology of pollen data. A transfer matrix (Table S2) was defined in our study where each BIOME4 vegetation type is assigned a vector of values, one of each pollen vegetation type, ranging from 0 (representing an incompatibility between BIOME4 type and pollen biome type) to 15 (corresponding to a maximum compatibility). Secondly, using an iterative approach, a representative set of climate scenarios compatible with the vegetation records is identified ~~among~~ within the climate space, constructed by systematically perturbing the input variables (e.g.  $\Delta T$ ,  $\Delta P$ ) of the model (Table S3).

The Inverse Vegetation Model (IVM) provides a possibility, for the first time, to reconstruct both annual and seasonal climates for the MH over China. Moreover, it



offers a way to consider the impact of CO<sub>2</sub> concentration on competition between PFTs as well as on the relative abundance of taxa, and thus make the reconstructions from pollen records more reliable. More detailed information about IVM can be found in Wu et al. (2007).

We applied the inverse model to modern pollen samples to validate the approach by reconstructing the modern climate at each site and comparing it with the observed values. The high correlation coefficients ( $R=0.75-0.95$ ), intercepts close to 0 (except for the mean temperature of the warmest month), and slopes close to 1 (except for the July precipitation) demonstrated that the inversion method worked well for most variables in China (see Table 6).

## 2.6 Sensitivity test for vegetation feedback

To quantify the vegetation feedback on climate change during mid-Holocene over China, we ~~did the~~ performed a sensitivity test ~~in using~~ CESM version 1.0.5. This version, developed at the National Center for Atmospheric Research, is a widely used coupled model with dynamic atmosphere (CAM4), land (CLM4), ocean (POP2), and sea-ice (CICE4) components (Gent et al., 2011). Here, we use  $\sim 2^\circ$  resolution for ~~the~~ CAM4; ~~configured by~~ ( $\sim 1.9^\circ$  for (latitudes)  $\times 2.5^\circ$  for (longitudes)) in the horizontal direction and 26 layers in the vertical direction. The POP2 adopts a finer grid, with a nominal  $1^\circ$  horizontal resolutions and 60 layers in the vertical direction. The land and sea-ice components have the same horizontal grids as the atmosphere and ocean components, respectively.

Two experiments were conducted, including a mid-Holocene (MH) experiment (6 ka)

with original vegetation setting (prescribed as PI vegetation for MH) and a MH experiment with reconstructed vegetation (6 ka\_VEG). In detail, experiment 6 ka used the MH orbital parameters (Eccentricity=0.018682; Obliquity=24.105°; Longitude of the perihelion ~~Angular precession~~=0.87°) and modern vegetation (Salzmann et al., 2008). Compared to experiment 6 ka, experiment 6 ka\_VEG used our reconstructed vegetation in China. Except for the changed vegetation, all other boundary conditions were kept unchanged in these two experiments, including the solar constant (1365 W m<sup>-2</sup>), modern topography and ice sheet, and pre-industrial greenhouse gases (CO<sub>2</sub> = 280 ppmv; CH<sub>4</sub> = 760 ppbv; N<sub>2</sub>O = 270 ppbv). Experiment 6 ka was initiated from the default pre-industrial simulation and run for 500 model years. Experiment 6 ka\_VEG was initiated from model year 301 of experiment 6 ka and run for another 200 model years. We analyzed the computed climatological means of the last 50 model years from each experiment here.

### **3. Results**

#### **3.1 Comparison of annual and seasonal climate changes at the MH**

In this study, we collected 159 pollen records, broadly covering the whole of China (Fig. 1). To check the reliability of the collected data, we first categorized our pollen records into megabiomes in line with the standard tables developed for the BIOME6000 (Table S1), and compared them with the BIOME6000 dataset (Fig.2). The match between collected data and the BIOME6000 is more than 90% (145 out of 159 sites) for both the MH and PI.

Based on pollen records, the spatial pattern of climate changes over China during the MH, deduced from IVM, are presented in Fig. 3 (left panel, points), alongside the results from PMIP3 models (shaded in Fig. 3). For temperature, a warmer-than-present annual climate condition ( $\sim 0.7$  K on average) is derived from pollen data (the points in Fig. 3a), with the largest increase occurring in the northeast (3-5 K) and a decrease in the northwest and on Tibetan Plateau. On the other hand, the results from a multi-model ensemble (MME) indicate a colder annual temperature generally ( $\sim -0.4$  K on average), with significant cooling in the south and slight warming in the northeast (shaded in Fig. 3a). Of the 13 models, 11 simulate a cooler annual temperature compared with PI as MME. However, two models (HadGEM2-ES and CNRM-CM5) present the same warmer condition as was found in the reconstruction (Fig. 3d). Compared to the reconstruction, the annual mean temperature during the MH is largely underestimated by most PMIP3 models, which depict an anomaly ranging from  $\sim -1$  to  $\sim -0.5$  K. Concerning seasonal change, during the MH, MTWA from the data is  $\sim 0.5$  K higher than PI, with the largest increase in the northeast and a decrease in the northwest. From model outputs, an average increase of  $\sim 1.2$  K is reproduced by MME, with a more pronounced warming at high latitudes which is consistent with the insolation change (Berger, 1978). Fig. 3e shows that all 13 models reproduce the same warmer summer temperatures as the data, and that HadGEM2-ES and CNRM-CM5, reproduce the largest increases among the models. Although ~~the warmer models simulate warmer~~ MTWA, ~~which~~ is consistent ~~between the models and data~~ ~~with reconstructions~~, there is a discrepancy between them on MTCO. In Fig. 3c, the data show an overall increase of

~1 K, with the largest increase occurring in the northeast and a decrease of opposite magnitude on the Tibetan Plateau. Inversely, MME reproduces a decreased MTCO with an average amplitude of ~-1.3 K, the areas with strongest cooling ~~ingest areas~~ being the southeast, the Loess Plateau and the northwest. Similarly to the MME, all 13 models simulate a colder-than-present climate with amplitudes ranging from ~-2.0 K (CCSM4 and FGOALS-g2) to ~-0.7 K (HadGEM2-ES and CNRM-CM5).

Concerning the annual change in precipitation, the reconstruction shows wetter conditions during the MH across almost the whole of China with the exception of part of the northwest. The southeast presents the largest increase in annual precipitation. All but 2 models (MIROC-ESM and FGOALS-g2) depict wetter conditions with an amplitude of ~10 mm to ~50 mm. The reconstruction and MME results also indicate an increased annual precipitation during MH (Fig.4a), with a much larger magnitude visible in the reconstruction (~30 mm, ~230 mm respectively). The main discrepancy in annual precipitation between simulations and reconstruction occurs in the northeast, which is depicted as drier by the models and wetter by the data. With regard to seasonal change, the reconstruction shows an overall increase in July rainfall (~50 mm on average), with a decrease in the northwestern regions and East Monsoon region at Yangtze River valley. In line with the reconstruction, the MME also shows an overall increase in rainfall (~7 mm on average), with a decrease in the northwest for July (Fig.4b). Notably, a much larger increase is simulated for the south and the Tibetan Plateau by the models, while the opposite pattern emerges along the eastern margin from both models and data. For January precipitation, the reconstruction shows an

overall increase in most region (~15 mm), except for the northwestern region, while MME indicates a slight decrease (~3 mm on average). More detailed information about the geographic distribution of simulated temperature and precipitation for each model can be found in Fig. S2-S7.

Table S4 provides the biome score from IVM for pollen data collected from published papers. The reconstructed climate change derived from IVM at each pollen site can be found in Table S5, in which the columns show the median and the 90% interval (5th to 95th percentage) for feasible climate values produced with the IVM approach. The simulated values for each of the climate variables as shown in the boxplots (Figure 3 and Figure 4) are given in the Table S6 and Table S7. All the values mentioned above are the mean of the values at 159 pollen sites.

### 3.2 Comparison of vegetation change at the MH

The use of the PMIP3 database is clearly limited by the different vegetation inputs among the models for the MH period (Table S8). Only HadGEM2-ES and HadGEM2-CC use a dynamic vegetation for the MH, and the vegetation of the other 11 models are prescribed to PI with or without interactive LAI, which ~~would can~~ introduce a bias to the role of vegetation-atmosphere interaction in the MH climates. To evaluate the model results against the reconstruction for the MH vegetation, we conducted 13 biome simulations ~~in~~ with BIOME4 using ~~PMIP3~~ the PMIP3 climate fields, and the megabiome distribution for each model during the MH is displayed in Fig. 5 (see Fig. S8 for a comparison of PI ~~vegetation-comparison~~ biomes). To quantify the model-data dissimilarity between megabiomes, a map-based statistic called  $\Delta V$  (Sykes et al., 1999;

Ni et al., 2000) was applied here (~~detailed information is in the methodology section~~cf. Section 2.4).

Fig. S9 shows the dissimilarity between simulations and observations for megabiomes during the MH, with the overall values for  $\Delta V$  ranging from 0.43 (HadGEM2-ES) to 0.55 (IPSL-CM5A-LR). According to the classification of  $\Delta V$  (~~see in the methodology section~~cf. Section 2.4) for the 13 models, 12 (all except HadGEM2-ES) showed poor agreement with the observed vegetation distribution. Most models poorly simulate the desert, grassland and tropical forest areas for both periods, but perform better for warm mixed forest, tundra and temperate forest. However, this statistic<sub>s</sub> is based on a point-to-point comparison and so the  $\Delta V$  calculated here cannot represent an estimation of full vegetation simulation due to the uneven distribution of pollen data and the potentially huge difference in area of each megabiome. For instance, tundra in our data for PI is represented by only 4 points, which counts for a small contribution to the  $\Delta V$  since we averaged it over a total of 159 points, but this calculation could induce a significant bias if these 4 points ~~cover~~are representative of a large area of China.

So, we used the biome scores based on the artificial neural network technique as described by Guiot et al. (1996) for interpolation (the plots in red rectangle in Fig. 5), and compared the simulated vegetation distribution from BIOME4 for each model with the interpolated pattern. During the MH, most models are able to capture the tundra on the Tibetan Plateau as well as the combination of warm mixed forest and temperate forest in the southeast. However, all models fail to simulate or underestimate the desert

area in the northwest compared to reconstructed data. The main model-data inconsistency in the MH vegetation distribution occurs in the northeast, where data show a mix of grassland and temperate forest, and the models show a mix of grassland and boreal forest.

The area statistics carried out for simulated vegetation changes (Fig. 6) reveals that the main difference during the MH, compared with PI, is that grassland replaced boreal forest in large tracts of the northeast (Fig. 5, Fig. S8). No other significant difference in vegetation distribution between the two periods was derived from models. Unlike in models, three main changes in megabiomes during the MH are depicted by the data. Firstly, the megabiomes converted from grassland to temperate forest in the northeast. Secondly, a large area of temperate forest was replaced in the southeast by a northward expansion of warm mixed forest. Thirdly, in the northwest and at the northern margin of the Tibetan Plateau, part of the desert area changed into grassland. However, none of the models succeed in capturing these features, especially the transition from grassland into forest in the northeast during the MH. Therefore, this failure to capture vegetation changes between the two periods will lead to a cumulating inconsistency in the model-data comparison for climate anomalies because ~~of the vegetation-climate feedbacks~~ if these computed vegetation were used as boundary conditions in MH climate simulations.

## 4. Discussion

### 4.1 Validation and uncertainties ~~for-of the~~ reconstructions

To investigate the discrepancy between model and reconstructions ~~data~~ for the MH climate change over China, the reliability of our reconstruction should be first~~ly~~ considered. ~~For the cross-proxy validation, w~~We therefore compared our reconstruction with previous studies concerning the MH climate change over China based on multiple proxies (including pollen, lake core, palaeosol, ice core, peat and stalagmite), the related references and detailed information are listed in Supplementary Information (Table S9 and Table S10). In comparison with PI ~~condition~~conditions, most reconstructions reproduced warmer and wetter annual condition during the MH (Fig. 7), ~~same~~ as in our study. In other words, this ~~discrepancy between~~ model-data discrepancy for climate change over China during the MH is common and robust ~~in~~ w.r.t. reconstructions derived from different proxies. Our study ~~just~~ reinforces the picture given by the discrepancies between PMIP simulations~~s~~ and pollen data ~~derived from~~ based on a synthesis of the literature.

However, there ~~could are~~ still be some biases due to~~in~~ the reconstruction method. Estimated climates for the present day from IVM were compared with observed climates (Table 6).~~;~~ The slopes and intercepts show a slightly bias for annual and January precipitation, while there is ~~considerable~~ a larger bias between IVM reconstruction and observation for temperature and July precipitation. ~~For the uncertainties on data reconstruction,~~ IVM relies heavily on BIOME4, and since BIOME4 is a global vegetation model, it is possible that the spatial robustness of



regional reconstruction could be less than that of global reconstruction due to the failure in simulating local features (Bartlein et al., 2011). Moreover, the output of the model ~~is~~ cannot be directly compared to the pollen data, the conversion of BIOME4 biomes to pollen biomes by the transfer matrix may add the source of uncertainty in reconstruction. All these biases in reconstruction should be considered in the evaluation of the discrepancy between model and data for climate change during the MH over China.

#### **4.2 Uncertainties for simulations**

The discrepancies between model and data for MH climate change ~~could~~ can also ~~be~~ resulted from ~~the~~ uncertainties in ~~simulations~~ simulation and/or model characteristics. ~~Firstly~~, the coarse spatial resolution of models can be a factor of discrepancy; ~~Previous study~~ studies shows that the GCMs from PMIP3 ~~is~~ are reliable to simulate the geographical distribution of temperature and precipitation over China for present day ~~without downscaling~~. However, compared with observation, ~~but~~ most models have topography-related cold ~~there is considerable~~ biases between ~~simulation and observation for precipitation~~ (Jiang et al., 2016). ~~In particular,~~ The climate fields, directly used from the model output without downscaling, will not contain the spatial variability of modern climate that in topographically complex areas. ~~And~~ Thus, it is's necessary to check in to which degree the model-data mismatch is related to rough topography used in the climate models. In PMIP3, MRI-CGCM3 has the highest resolution (Atmosphere: 320\*160\*L48; Ocean: 364\*368\*L51), while IPSL-CM5A-LR has the lowest one (Atmosphere: 96\*96\*L39; Ocean: 182\*149\*L31).

In Fig. 8, we give the actual modern topography and the interpolated topography used in MRI-CGCM3 and IPSL-CM5A-LR. For MRI-CGCM3, the topography is very close to the observation, so for this model, the model-data discrepancy during MH over China is not related to the resolution. However, for the model with coarse resolution (IPSL-CM5A-LR), it ~~is's~~ true that the coarse version of model will lead to bias in topography when the regional diversity is discussed. The spatial variations in topography could influence the vegetation and hence the simulated climate. To quantify this impact, we compare the topography and PI climate results of IPSL-CM5A-LR and IPSL-CM5A-MR. Fig. 9 shows that the difference in topography caused by model resolution ~~does de~~ has an impact on small scales (e.g. south region of the Tibetan Plateau), ~~but~~ not on the overall pattern. But those small or regional-scale variations in climate can have a large impact on vegetation and hence reconstructed climate. For a better comparison, in the future work, the downscaled climate variables should be downscaled in the future work considered.

Secondly, besides the qualitative consistency among models, caused by the protocol of PMIP3 experiments (Table 2), a variability in the magnitude of anomalies between models is clearly illustrated by the boxplots (Fig.3 and Fig.4), especially for the temperature anomaly. Fig. S10 demonstrates that there is no ~~any~~ clear relationship between PI temperature and temperature anomaly (MH-PI). In other words, these disparities in value or even pattern among models ~~are not do not resulted from~~ related to the difference in PI simulations in a simple manner, instead, they reflect the obvious differences in the response by the climate models to the MH forcing, which raises on

the question of the magnitude of feedbacks among models.

As positive feedbacks between climate and vegetation are important to explain regional climate changes, the failure of the models to ~~capture or the underestimation~~ ~~of~~ represent the amplitude and pattern of the observed vegetation differences ~~among models~~ (see Section 3.2) could amplify and partly account for the model-data disparities in climate change, mainly due to variations in the albedo. Because the HadGEM2-ES and HadGEM2-CC are the only two models in PMIP3 with dynamic vegetation simulation for the MH, we ~~thus~~ focused on ~~them~~ these models to examine the variations in vegetation fraction in the simulations. The main vegetation changes during the MH demonstrated by HadGEM2-ES are increased tree coverage (~15%) and a decreased bare soil fraction (~6%), while HadGEM2-CC depicts a ~3% decrease in tree fraction and a ~1% increase in bare soil (Fig. S11). We made a rough calculation of albedo variance caused solely by vegetation change for both two models and for our reconstruction, based on the area fraction and albedo value of each vegetation type (Betts, 2000; Bonfils et al., 2001; Oguntunde et al., 2006; Bonan, 2008).

The overall albedo change from the vegetation reconstruction during the MH shows a ~1.8% decrease when snow-free, with a much larger impact (~4.2% decrease) when snow-covered. The results from HadGEM2-ES are highly consistent with the albedo changes from the reconstruction, featuring a ~1.4% (~6.5%) decrease without (with) snow, while HadGEM2-CC produces an increased albedo value during the MH (~0.22% for snow-free, ~1.9% with snow-cover), depending on its vegetation simulation. Two ideas could be inferred from this calculation, 1) HadGEM2-ES is

much better in simulating the MH vegetation changes than HadGEM2-CC. 2) the failure by models to capture these vegetation changes will result in a much larger impact on winter albedo (with snow) than summer albedo (without snow). In conclusion, there is an obvious advantage of using AOVGCM instead of AOGCM when we discussing about the MH climate, but the premise is that the AOVGCM can simulate accurate vegetation distribution.

These surface albedo changes due to vegetation changes could have a cumulative effect on the regional climate by modifying the radiative fluxes. For instance, the spread of trees into the grassland biome in the northeast during the MH, revealed by the reconstruction in our study, should act as a positive feedback to climate warming by increasing the surface net shortwave radiation associated with reductions in albedo due to taller and darker canopies (Chapin et al., 2005). Previous studies show that cloud and surface albedo feedbacks on radiation are major drivers of differences between model outputs for past climates. Moreover, the land surface feedback shows large disparities among models (Braconnot and Kageyama, 2015).

We used a simplified approach (Taylor et al., 2007) to quantify the feedbacks and to compare model behavior for the MH, thus justifying the focus on surface albedo and atmospheric scattering (mainly accounting for cloud change). Surface albedo and cloud change are calculated using the simulated incoming and outgoing radiative fluxes at the Earth's surface and at the top of atmosphere (TOA), based on data for the last 30 years averaged from all models. Using this framework, we quantified the effect of changes in albedo on the net shortwave flux at TOA (Braconnot and Kageyama, 2015), and further

investigated the relationship between these changes and temperature change. Fig.10 shows that most models produced a negative cloud cover and surface albedo feedback on the annual mean shortwave radiative forcing. Concerning seasonal change, the shortwave cloud and surface feedback in most models tend to counteract the insolation forcing during the boreal summer, while they enhance the solar forcing during winter. A strong positive correlation between albedo feedback and temperature change is depicted, with a large spread in the models owing to the difference in albedo in the 13 models. In particular, CNRM-CM5 and HadGEM2-ES capture higher values of cloud and surface albedo feedback, which could be the reason for the reversal of the decreased annual temperature seen in other models (Fig. 3d).

However, the vegetation patterns produced by BIOME4 in Figure 5 are not used in PMIP3 experiment setup. ~~They are only it's actually~~ determined by the input variables from models. Therefore, the disagreements of MH vegetation pattern ~~possibly~~ are possibly inherited from the PI. To better quantify the vegetation-climate feedback, two experiments were conducted in CESM version 1.0.5, including a mid-Holocene (MH) experiment (6 ka) with original vegetation setting (prescribed as PI vegetation for the MH) and a MH experiment with reconstructed vegetation (6 ka\_VEG). Fig. 11 shows the climate anomalies (6 ka\_VEG minus 6 ka) between two simulations, for both annual and seasonal scale. For temperature, ~~it's~~ it is clear that the 6 ka\_VEG simulation reproduces a warmer annual mean climate (~0.3 K on average) as well as an obviously warmer winter (~0.6 K on average). For precipitation, the reconstructed vegetation leads to more annual and seasonal precipitation, which can also reconcile

the ~~model-data~~ discrepancy of increase amplitude for precipitation during the MH ~~between model data~~ (data reproduced larger amplitude than model, revealed by our study). So the mismatch between model- ~~and~~ data in MH vegetation could partly account for the discrepancy of climate due to the interaction between vegetation and climate through radiative and hydrological forcing with albedo. These results ~~pinpoint~~ highlight the value of building a new generation of models able to capture not only the atmosphere and ocean response, but also the non-linear responses of vegetation and hydrology to ~~the~~ climate change.

## 5. Conclusion

In this study, we compare the annual and seasonal outputs from the PMIP3 models with an updated synthesis of climate reconstructions over China, including, for the first time, ~~a the~~ seasonal cycle of temperature and precipitation. In response to the seasonal insolation change prescribed in PMIP3 for the MH, all models produce similar large-scale patterns for seasonal temperature and precipitation (higher than present July precipitation and MTWA, lower than present MTCO), ~~with either an over or underestimate of the climate changes when compared to the data~~. The main discrepancy emerging from the model-data comparison occurs ~~in for~~ the mean annual temperature and MTCO, where data show an increased value and most models simulate the opposite except CNRM-CM5 and HadGEM2-ES that reproduced the higher-than-present MH annual temperature ~~during MH as data showed~~. By conducting simulations ~~in with~~ BIOME4 and CESM, we show that ~~the~~ surface processes are the key factors ~~drawing~~

~~explaining~~ the ~~uncertainties-discrepancies~~ between models and data. These results ~~pinpoint-show~~ the ~~crucial~~ importance of including the non-linear responses of the surface water and energy balance ~~related~~ to vegetation changes. However, it should also be noted that prescribing the vegetation with reconstructed biomes would reduce the power of the biome-based climate reconstruction, owing to the potential circularity (prescribe the vegetation to get the vegetation). Moreover, besides the vegetation influence, ~~to which extent this model data discrepancy is related to~~ the impact of rough topography, soil type and other possible factors on model-data discrepancy ~~remains to should~~ be investigated in ~~the~~ future work.

### **Data availability**

The PMIP3 output is publicly available ~~at-on the PMIP~~ website (<http://pmip3.lsce.ipsl.fr/>) ~~by the climate modelling groups,~~ The 65 pollen biomization results are provided by Members of China Quaternary Pollen Data Base (CQPD), Table 1 shows the information (including references) of the 91 collected pollen records and 3 original ones in our study, the biome scores of these 94 pollen records derived from IVM are listed in Table S4, and the digitized data of pollen can ~~be requested to Qin Li (liqin@mail.iggcas.ac.cn).~~ All the reconstructed climate values at each pollen site from IVM are provided in Table S5. For the data from CQPD, the basic information (location, data supporter, age control and biome type of each site) can be found in CQPD (2000), while the original data are not publicly available yet.

~~To whom request for the data, you can contact with~~ These data can be requested to

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### **Author contribution**

Yating Lin carried out the model-data analysis and prepared ~~for~~ the first manuscript, Gilles Ramstein contributed a lot to the paper's structure and content, Haibin Wu provided the reconstruction results from IVM and contributed the paper's structure and content. Raj Rani-Singh conducted the BIOME4 simulations. Ran Zhang carried out the simulation in CESM. Pascale Braconnot, Masa Kegeyama and Zhengtang Guo contributed great ideas on model-data comparison work. Qin Li and Yunli Luo provided pollen data. All co-authors helped to improve the paper.

### **Competing interest**

The authors declare no competing interests.

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**Table 1. Basic information of the pollen dataset used in this study**

Site	Lat	Lon	Alt	Webb 1-7	Source
Sujiawan	35.54	104.52	1700	2	original data (Zou et al., 2009)
Xiaogou	36.10	104.90	1750	2	original data (Wu et al., 2009)
Dadiwan	35.01	105.91	1400	1	original data (Zou et al., 2009)
Sanjiaocheng	39.01	103.34	1320	1	Chen et al., 2006
Chadianpo	36.10	114.40	65	2	Z. Zhang et al., 2007
Qindeli	48.08	133.25	60	2	Yang and Wang, 2003
Fuyuanchuangye	47.35	133.03	56	3	Xia, 1988
Jingbo Lake	43.83	128.50	350	2	C. Li et al., 2011
Hani Lake	42.22	126.52	900	1	Cui et al., 2006
Jinchuan	42.37	126.43	662	5	Jiang et al., 2008
Maar Lake	42.30	126.37	724	1	Liu et al., 2009
Maar Lake	42.30	126.37	724	1	Liu et al., 2008
Xie Lake SO4	37.38	122.52	0	1	Zhou et al., 2008
Nanhuiheming Core	31.05	121.58	7	2	Jia and Zhang, 2006
Toushe	23.82	120.88	650	1	Liu et al., 2006
Dongyuan Lake	22.17	120.83	415	2	Lee et al., 2010
Yonglong CY	31.78	120.44	5	3	Zhang et al., 2004
Hangzhou HZ3	30.30	120.33	6	4	J. Liu et al., 2007
Xinhua XH1	32.93	119.83	2	3	Shu et al., 2008
ZK01	31.77	119.80	6	2	Shu et al., 2007
Chifeng	43.97	119.37	503	2	Xu et al., 2002
SZK1	26.08	119.31	9	1	Zheng et al., 2002
Gucheng	31.28	118.90	6	4	Yang et al., 1996
Lulong	39.87	118.87	23	2	Kong et al., 2000
Hulun Lake	48.92	117.42	545	1	Wen et al., 2010
CH-1	31.56	117.39	5	2	Wang et al., 2008
Sanyi profile	43.62	117.38	1598	4	Wang et al., 2005
Xiaoniuchang	42.62	116.82	1411	1	Liu et al., 2002
Haoluku	42.87	116.76	1333	2	Liu et al., 2002
Liuzhouwan	42.71	116.68	1410	7	Liu et al., 2002
Poyang Lake 103B	28.87	116.25	16	4	Jiang and Piperno, 1999
Baiyangdian	38.92	115.84	8	2	Xu et al., 1988
Bayanchagan	42.08	115.35	1355	1	Jiang et al., 2006
Huangjiapu	40.57	115.15	614	7	Sun et al., 2001
Dingnan	24.68	115.00	250	2	Xiao et al., 2007
Guang1	36.02	114.53	56	1	Z. Zhang et al., 2007
Angulinao	41.33	114.35	1315	1	H. Wang et al., 2010

<b>Yangyuanxipu</b>	40.12	114.22	921	6	Wang et al., 2003
<b>Shenzhen Sx07</b>	22.75	113.78	2	2	Zhang and Yu, 1999
<b>GZ-2</b>	22.71	113.51	1	7	<u>X.</u> Wang et al., 2010
<b>Daihai99a</b>	40.55	112.66	1221	2	Xiao et al., 2004
<b>Daihai</b>	40.55	112.66	1221	2	Sun et al., 2006
<b>Sihenan profile</b>	34.80	112.40	251	1	Sun and Xia, 2005
<b>Diaojiaohaizi</b>	41.30	112.35	2015	1	Yang et al., 2001
<b>Ganhaizi</b>	39.00	112.30	1854	3	Meng et al., 2007
<b>Jiangling profile</b>	30.35	112.18	37	1	Xie et al., 2006
<b>Helingeer</b>	40.38	111.82	1162	3	<u>X.</u> Li et al., 2011
<b>Shennongjia2</b>	31.75	110.67	1700	1	Liu et al., 2001
<b>Huguangyan Maar Lake</b>	21.15	110.28	59	2	Wang et al., 2007
<b>B</b>					
<b>Yaoxian</b>	35.93	110.17	1556	2	Li et al., 2003 <u>a</u>
<b>Jixian</b>	36.00	110.06	1005	6	Xia et al., 2002
<b>Shennongjia Dajiu Lake</b>	31.49	110.00	1760	2	Zhu et al., 2006
<b>Qigai nuur</b>	39.50	109.85	1300	1	Sun and Feng, 2013
<b>Beizhuangcun</b>	34.35	109.53	519	1	Xue et al., 2010
<b>Lantian</b>	34.15	109.33	523	1	Li and Sun, 2005
<b>Bahanniao</b>	39.32	109.27	1278	1	Guo et al., 2007
<b>Midiwan</b>	37.65	108.62	1400	1	Li et al., 2003 <u>b</u>
<b>Jinbian</b>	37.50	108.33	1688	2	Cheng, 2011
<b>Xindian</b>	34.38	107.80	608	1	Xue et al., 2010
<b>Nanguanzhuang</b>	34.43	107.75	702	1	Zhao et al., 2003
<b>Xifeng</b>	35.65	107.68	1400	3	Xu, 2006
<b>Jiyuan</b>	37.13	107.40	1765	3	<u>X.</u> Li et al., 2011
<b>Jiacunyuan</b>	34.27	106.97	1497	2	Gong, 2006
<b>Dadiwan</b>	35.01	105.91	1400	1	Zou et al., 2009
<b>Maying</b>	35.34	104.99	1800	1	Tang and An, 2007
<b>Huiningxiaogou</b>	36.10	104.90	1750	2	Wu et al., 2009
<b>Sujiawan</b>	35.54	104.52	1700	2	Zou et al., 2009
<b>QTH02</b>	39.07	103.61	1302	1	Yu et al., 2009
<b>Laotanfang</b>	26.10	103.20	3579	2	<u>W.</u> Zhang et al., 2007
<b>Hongshui River2</b>	38.17	102.76	1511	1	Ma et al., 2003,
<b>Ruoergai</b>	33.77	102.55	3480	1	Cai, 2008
<b>Hongyuan</b>	32.78	102.52	3500	2	Wang et al., 2006
<b>Dahaizi</b>	27.50	102.33	3660	1	Li et al., 1988
<b>Shayema Lake</b>	28.58	102.22	2453	1	Tang and Shen, 1996
<b>Luanhaizi</b>	37.59	101.35	3200	5	Herzschuh et al., 2006
<b>Lugu Lake</b>	27.68	100.80	2692	1	Zheng et al., 2014
<b>Qinghai Lake</b>	36.93	100.73	3200	2	Shen et al., 2004
<b>Dalianhai</b>	36.25	100.41	2850	3	Cheng et al., 2010

<b>Erhai ES Core</b>	25.78	100.19	1974	1	Shen et al., 2006
<b>Xianmachi profile</b>	25.97	99.87	3820	7	Yang et al., 2004
<b>TCK1</b>	26.63	99.72	3898	1	Xiao et al., 2014
<b>Yidun Lake</b>	30.30	99.55	4470	4	Shen et al., 2006
<b>Kuhai lake</b>	35.30	99.20	4150	1	Wischniewski et al., 2011
<b>Koucha lake</b>	34.00	97.20	4540	2	Herzschuh et al., 2009
<b>Hurleg</b>	37.28	96.90	2817	2	Zhao et al., 2007
<b>Basu</b>	30.72	96.67	4450	3	Tang et al., 1998
<b>Tuolekule</b>	43.34	94.21	1890	1	An et al., 2011
<b>Balikun</b>	43.62	92.77	1575	1	Tao et al., 2010
<b>Cuona</b>	31.47	91.51	4515	3	Tang et al., 2009
<b>Dongdaohaizi2</b>	44.64	87.58	402	1	Li et al., 2001
<b>Bositeng Lake</b>	41.96	87.21	1050	1	Xu, 1998
<b>Cuoqin</b>	31.00	85.00	4648	4	Luo, 2008
<b>Yili</b>	43.86	81.97	928	2	X. Li et al., 2011
<b>Bangong Lake</b>	33.75	78.67	4241	1	Huang et al., 1996
<b>Shengli</b>	47.53	133.87	52	2	CQPD, 2000
<b>Qingdeli</b>	48.05	133.17	52	1	CQPD, 2000
<b>Changbaishan</b>	42.22	126.00	500	2	CQPD, 2000
<b>Liuhe</b>	42.90	125.75	910	7	CQPD, 2000
<b>Shuangyang</b>	43.27	125.75	215	1	CQPD, 2000
<b>Xiaonan</b>	43.33	125.33	209	1	CQPD, 2000
<b>Tailai</b>	46.40	123.43	146	5	CQPD, 2000
<b>Sheli</b>	45.23	123.31	150	4	CQPD, 2000
<b>Tongtu</b>	45.23	123.30	150	7	CQPD, 2000
<b>Yueyawan</b>	37.98	120.71	5	1	CQPD, 2000
<b>Beiwangxu</b>	37.75	120.61	6	1	CQPD, 2000
<b>East Tai Lake1</b>	31.30	120.60	3	1	CQPD, 2000
<b>Suzhou</b>	31.30	120.60	2	7	CQPD, 2000
<b>Sun-Moon Lake</b>	23.51	120.54	726	2	CQPD, 2000
<b>West Tai Lake</b>	31.30	119.80	1	1	CQPD, 2000
<b>Changzhou</b>	31.43	119.41	5	1	CQPD, 2000
<b>Dazeyin</b>	39.50	119.17	50	7	CQPD, 2000
<b>Hailaer</b>	49.17	119.00	760	2	CQPD, 2000
<b>Cangumiao</b>	39.97	118.60	70	1	CQPD, 2000
<b>Qianhuzhuang</b>	40.00	118.58	80	6	CQPD, 2000
<b>Reshuitang</b>	43.75	117.65	1200	1	CQPD, 2000
<b>Yangerzhuang</b>	38.20	117.30	5	7	CQPD, 2000
<b>Mengcun</b>	38.00	117.06	7	5	CQPD, 2000
<b>Hanjiang-CH2</b>	23.48	116.80	5	2	CQPD, 2000
<b>Hanjiang-SH6</b>	23.42	116.68	3	7	CQPD, 2000
<b>Hanjiang-SH5</b>	23.45	116.67	8	2	CQPD, 2000



<b>Hulun Lake</b>	48.90	116.50	650	1	CQPD, 2000
<b>Heitutang</b>	40.38	113.74	1060	1	CQPD, 2000
<b>Zhujiang delta PK16</b>	22.73	113.72	15	7	CQPD, 2000
<b>Angulitun</b>	41.30	113.70	1400	7	CQPD, 2000
<b>Bataigou</b>	40.92	113.63	1357	1	CQPD, 2000
<b>Dahewan</b>	40.87	113.57	1298	2	CQPD, 2000
<b>Yutubao</b>	40.75	112.67	1254	7	CQPD, 2000
<b>Zhujiang delta K5</b>	22.78	112.63	12	1	CQPD, 2000
<b>Da-7</b>	40.52	112.62	1200	3	CQPD, 2000
<b>Hahai-1</b>	40.17	112.50	1200	5	CQPD, 2000
<b>Wajianggou</b>	40.50	112.50	1476	4	CQPD, 2000
<b>Shuidong Core A1</b>	21.75	111.07	-8	2	CQPD, 2000
<b>Dajahu</b>	31.50	110.33	1700	2	CQPD, 2000
<b>Tianshuigou</b>	34.87	109.73	360	7	CQPD, 2000
<b>Mengjiawan</b>	38.60	109.67	1190	7	CQPD, 2000
<b>Fuping BK13</b>	34.70	109.25	422	7	CQPD, 2000
<b>Yaocun</b>	34.70	109.22	405	2	CQPD, 2000
<b>Jinbian</b>	37.80	108.60	1400	4	CQPD, 2000
<b>Dishaogou</b>	37.83	108.45	1200	2	CQPD, 2000
<b>Shuidonggou</b>	38.20	106.57	1200	5	CQPD, 2000
<b>Jiuzhoutai</b>	35.90	104.80	2136	7	CQPD, 2000
<b>Luojishan</b>	27.50	102.40	3800	1	CQPD, 2000
<b>RM-F</b>	33.08	102.35	3400	2	CQPD, 2000
<b>Hongyuan</b>	33.25	101.57	3492	1	CQPD, 2000
<b>Wasong</b>	33.20	101.52	3490	1	CQPD, 2000
<b>Guhu Core 28</b>	27.67	100.83	2780	7	CQPD, 2000
<b>Napahai Core 34</b>	27.80	99.60	3260	2	CQPD, 2000
<b>Lop Nur</b>	40.50	90.25	780	7	CQPD, 2000
<b>Chaiwobao1</b>	43.55	87.78	1100	2	CQPD, 2000
<b>Chaiwobao2</b>	43.33	87.47	1114	1	CQPD, 2000
<b>Manasi</b>	45.97	84.83	257	2	CQPD, 2000
<b>Wuqia</b>	43.20	83.50	1000	7	CQPD, 2000
<b>Madagou</b>	37.00	80.70	1370	2	CQPD, 2000
<b>Tongyu</b>	44.83	123.10	148	5	CQPD, 2000
<b>Nanjing</b>	32.15	119.05	10	2	CQPD, 2000
<b>Banpo</b>	34.27	109.03	395	1	CQPD, 2000
<b>QL-1</b>	34.00	107.58	2200	7	CQPD, 2000
<b>Dalainu</b>	43.20	116.60	1290	7	CQPD, 2000
<b>Qinghai</b>	36.55	99.60	3196	2	CQPD, 2000

**Table 2. Earth's orbital parameters and trace gases as recommended by the PMIP3 project**

Simulation	Orbital parameters			Trace gases		
	Eccentricity	Obliquity(°)	<del>Angular precession</del> Longitude of the perihelion(°)	CO <sub>2</sub> (ppmv)	CH <sub>4</sub> (ppbv)	N <sub>2</sub> O(ppbv)
PI	0,0167724	23,446	102,04	280	760	270
MH	0,018682	24,105	0,87	280	650	270

**Table 3. PMIP3 model characteristics and references**

Model Name	Modelling centre	Type	Grid	Reference
<i>BCC-CSM-1-1</i>	BCC-CMA (China)	AOVGCM	Atm: 128×64×L26; Ocean: 360×232×L40	Xin et al. (2013)
<i>CCSM4</i>	NCAR (USA)	AOGCM	Atm: 288 × 192×L26; Ocean: 320×384×L60	Gent et al. (2011)
<i>CNRM-CM5</i>	CNRM&CERFACS (France)	AOGCM	Atm: 256 × 128×L31; Ocean: 362×292×L42	Voldoire et al. (2012)
<i>CSIRO-Mk3-6-0</i>	QCCCE, Australia	AOGCM	Atm: 192 × 96×L18; Ocean: 192×192×L31	Jeffrey et al. (2013)
<i>FGOALS-g2</i>	LASG-IAP (China)	AOVGCM	Atm: 128 × 60×L26; Ocean: 360×180×L30	Li et al. (2013)
<i>FGOALS-s2</i>	LASG-IAP (China)	AOVGCM	Atm: 128 × 108×L26; Ocean: 360×180×L30	Bao et al. (2013)
<i>GISS-E2-R</i>	GISS (USA)	AOGCM	Atm: 144 × 90×L40; Ocean: 288×180×L32	Schmidt et al. (2014a,b)
<i>HadGEM2-CC</i>	Hadley Centre (UK)	AOVGCM	Atm: 192 × 145×L60; Ocean: 360×216×L40	Collins et al. (2011)
<i>HadGEM2-ES</i>	Hadley Centre (UK)	AOVGCM	Atm: 192 × 145×L38; Ocean: 360×216×L40	Collins et al. (2011)
<i>IPSL-CM5A-LR</i>	IPSL (France)	AOVGCM	Atm: 96 × 96×L39; Ocean: 182×149×L31	Dufresne et al. (2013)
<i>MIROC-ESM</i>	Utokyo&NIES (Japan)	AOVGCM	Atm: 128×64×L80; Ocean: 256×192×L44	Watanabe et al. (2011)
<i>MPI-ESM-P</i>	MPI (Germany)	AOGCM	Atm: 196×98×L47; Ocean: 256×220×L40	Giorgetta et al. (2013)
<i>MRI-CGCM3</i>	MRI (Japan)	AOGCM	Atm: 320 × 160×L48; Ocean: 364×368×L51	Yukimoto et al. (2012)

**Table 4. Important values for each plant life form used in the ΔV statistical**

calculation as assigned to the megabiomes

<i>Megabiomes</i>	<i>Life form</i>		
	<b>Trees</b>	<b>Grass/grass</b>	<b>Bare ground</b>
<i>Tropical forest</i>	1		
<i>Warm mixed forest</i>	1		
<i>Temperate forest</i>	1		
<i>Boreal forest</i>	1		
<i>Grassland and dry shrubland</i>	0.25	0.75	
<i>Savanna and dry woodland</i>	0.5	0.5	
<i>Desert</i>		0.25	0.75
<i>Tundra</i>		0.75	0.25

**Table 5. Attribute values and the weights for plant life forms used by the  $\Delta V$  statistic**

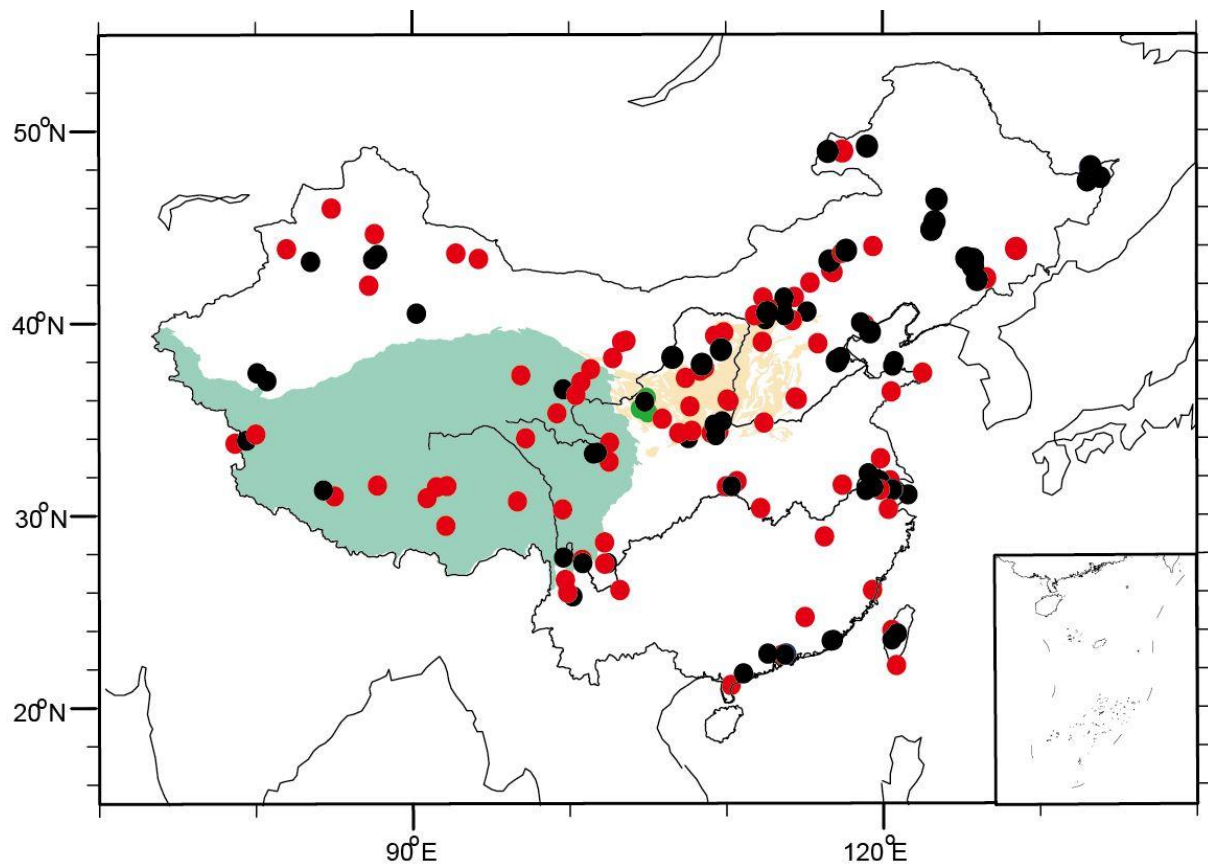
<i>Life form</i>	<i>Attribute</i>				
	<b>Trees</b>	Evergreen	Needle-leaf	Tropical	Boreal
<i>Tropical forest</i>	1		0	1	0
<i>Warm mixed forest</i>	0.75		0.25	0	0
<i>Temperate forest</i>	0.5		0.5	0	0.5
<i>Boreal forest</i>	0.25		0.75	0	1
<i>Grassland and dry shrubland</i>	0.75		0.25	0.75	0
<i>Savanna and dry woodland</i>	0.25		0.75	0	0.5
<i>weights</i>	0.2		0.2	0.3	0.3
<b>Grass/Shrub</b>		Warm	Arctic/alpine		
<i>Grassland and dry shrubland</i>	1		0		
<i>Savanna and dry woodland</i>	0.75		0		
<i>Desert</i>	1		0		
<i>Tundra</i>	0		1		
<i>weights</i>	0.5		0.5		
<b>Bare Ground</b>		Arctic/alpine			
<i>Desert</i>	0				
<i>Tundra</i>	1				
<i>weight</i>	1				

**Table 6. Regression coefficients between the reconstructed climates by inverse vegetation models and observed meteorological values**

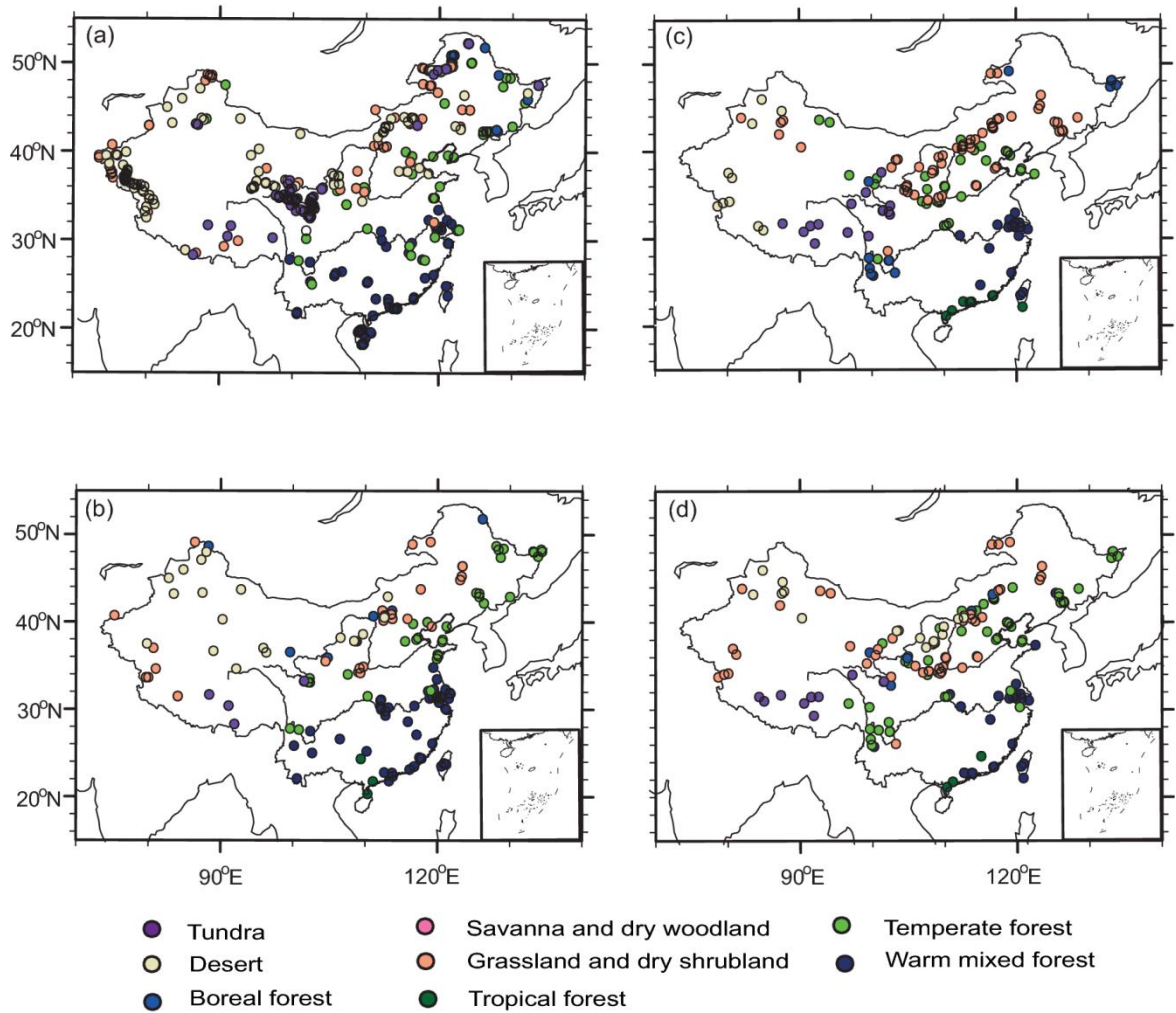
<b>Climate parameter</b>	<b>Slope</b>	<b>Intercept</b>	<b>R</b>	<b>ME</b>	<b>RMSE</b>
<b>MAT</b>	0.82±0.02	0.92±0.18	0.89	0.16	3.25
<b>MTCO</b>	0.81±0.01	-1.79±0.18	0.95	-0.17	3.19
<b>MTWA</b>	0.75±0.03	4.57±0.60	0.75	-0.19	4.02
<b>MAP</b>	1.15±0.02	32.90±18.41	0.94	138.01	263.88
<b>Pjan</b>	1.01±0.02	0.32±0.47	0.94	0.52	8.89
<b>Pjul</b>	1.30±0.03	-21.67±4.52	0.89	16.45	52.9

The climatic parameters used for regression are the actual values (data source: China

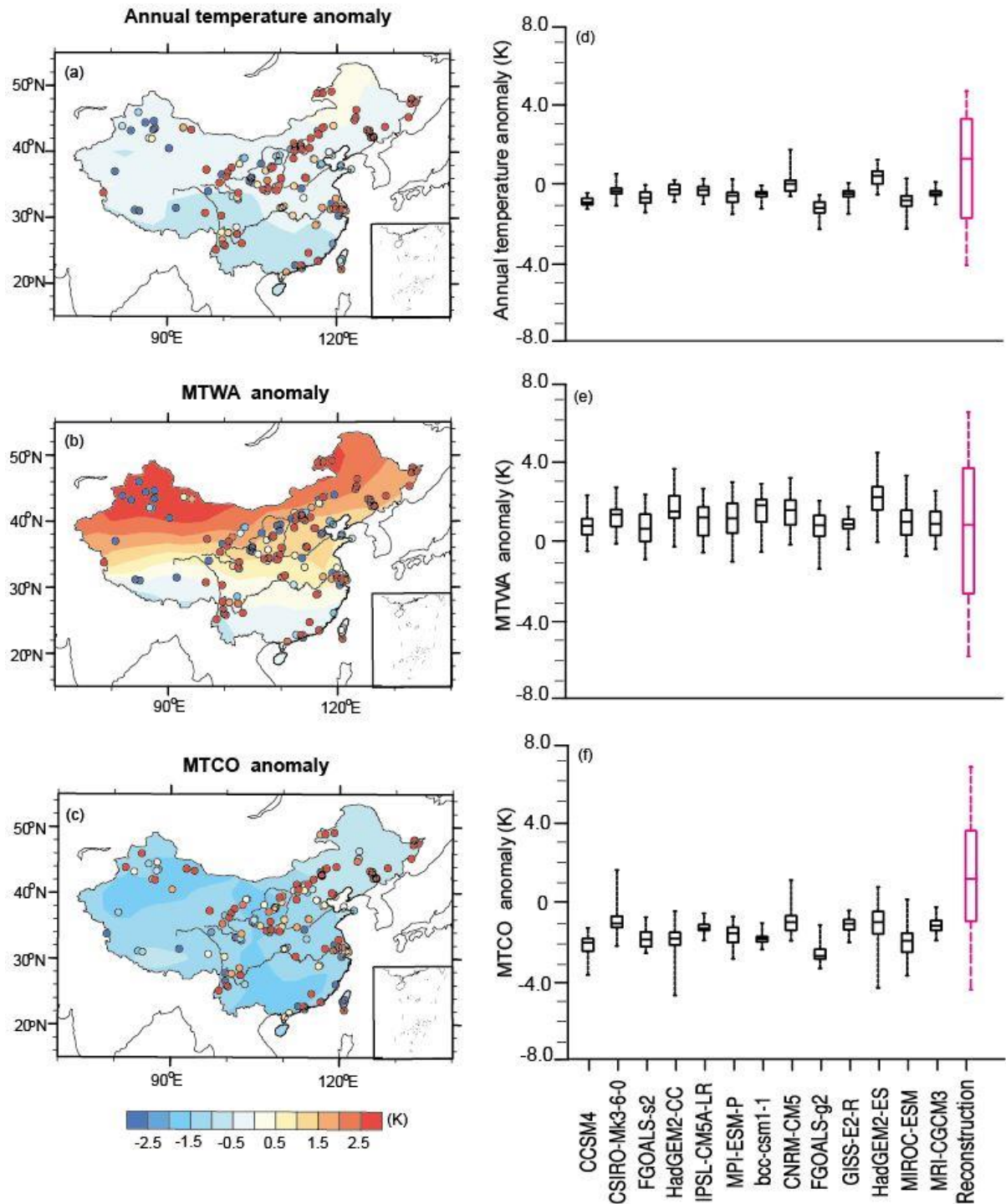
Climate Bureau, China Ground Meteorological Record Monthly Report, 1951-2001). MAT annual mean temperature, MTCO mean temperature of the coldest month, MTWA mean temperature of the warmest month, MAP annual precipitation, RMSE the root-mean-square error of the residuals, ME mean error of the residuals, Pjan: precipitation of January, Pjul: precipitation of July, R is the correlation coefficient,  $\pm$  stand error



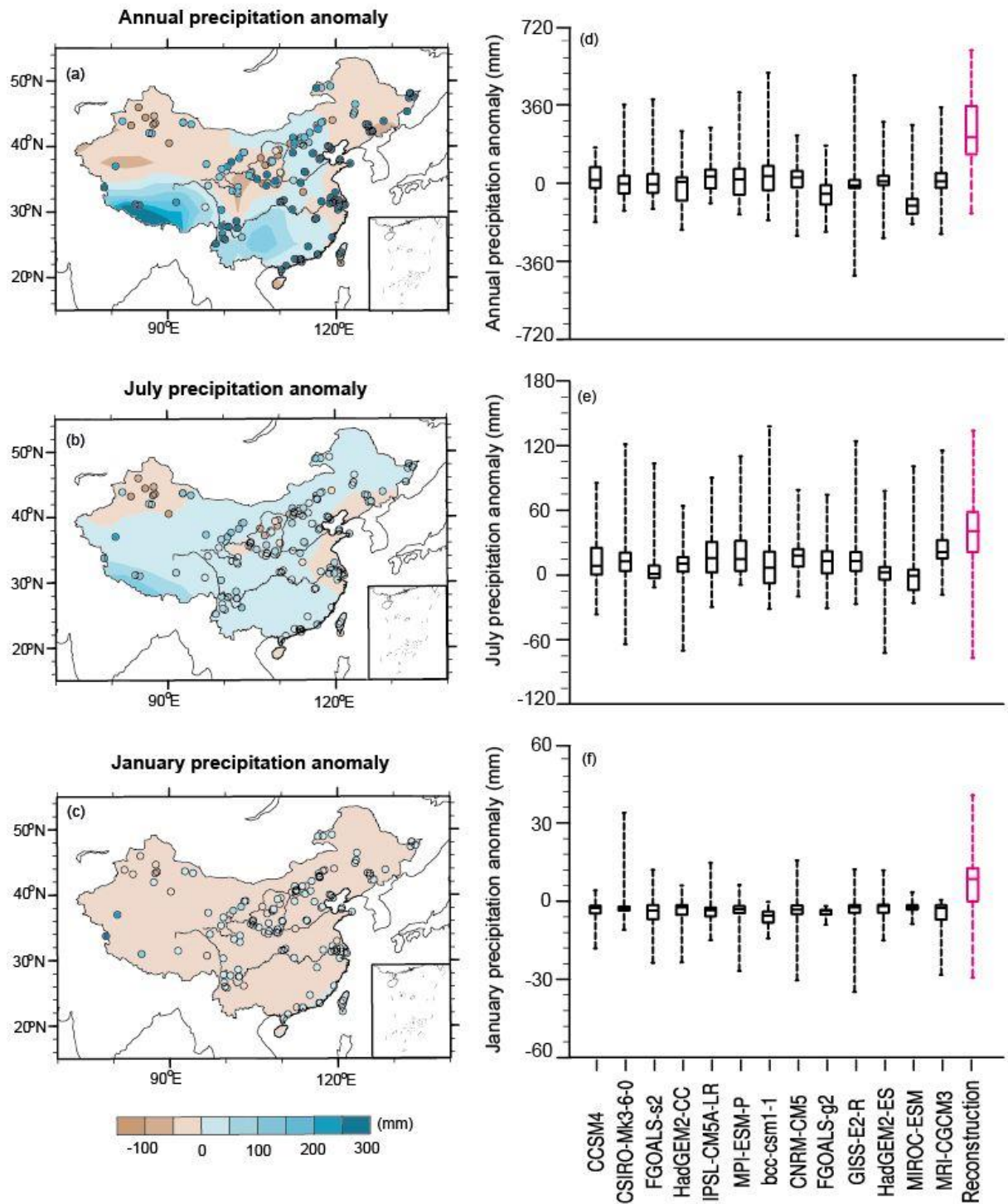
**Figure 1.** Distribution of pollen sites during mid-Holocene period in China. Black circle is the original China Quaternary Pollen Database, red circles are digitized ones from published papers, green circles represent the three original pollen data used in this study. The area with green color represents the Tibetan Plateau, yellow color for the Loess Plateau.



**Figure 2.** Comparison of megabiomes for PI (first row) and the MH (second row): (a,b) BIOME6000, (c,d) pollen data collected in this study.

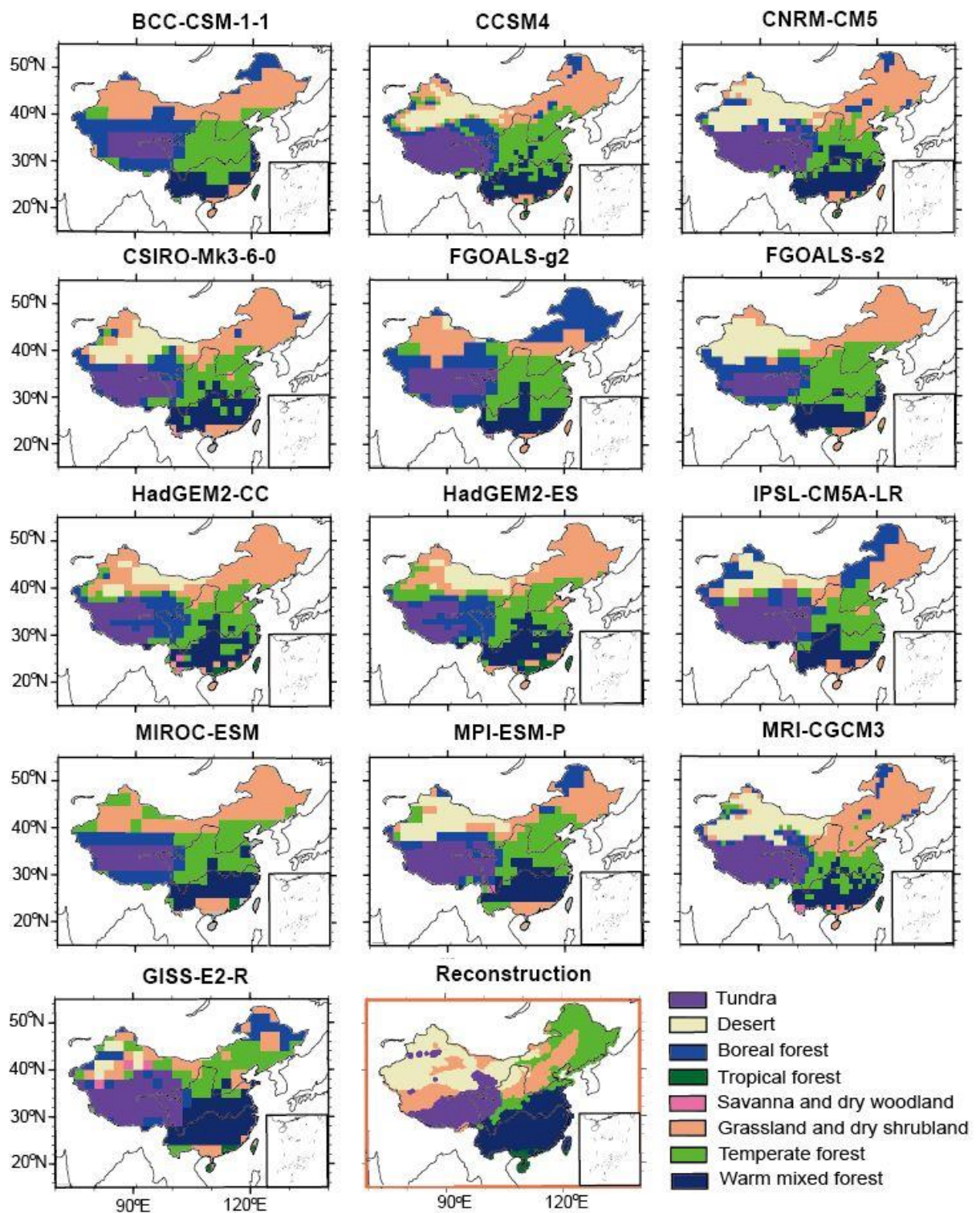


**Figure 3.** Model-data comparison for annual and seasonal (MTWA and MTCO) temperature (K). For the left panel (a-c), points represent the reconstruction from IVM, shades show the last 30-year means simulation results of multi-model ensemble (MME) for 13 PMIP3 models. The box-and-whisker plots (d-f) show the changes as shown by each PMIP3 model and the reconstruction. (d) considers changes in annual temperature, (e) indicates changes in MTWA, and (f) shows changes in MTCO. The lines in each box shows the median value from each set of measurements, the box shows the 25%-75% range, and the whiskers show the 90% interval (5th to 95th percentile).

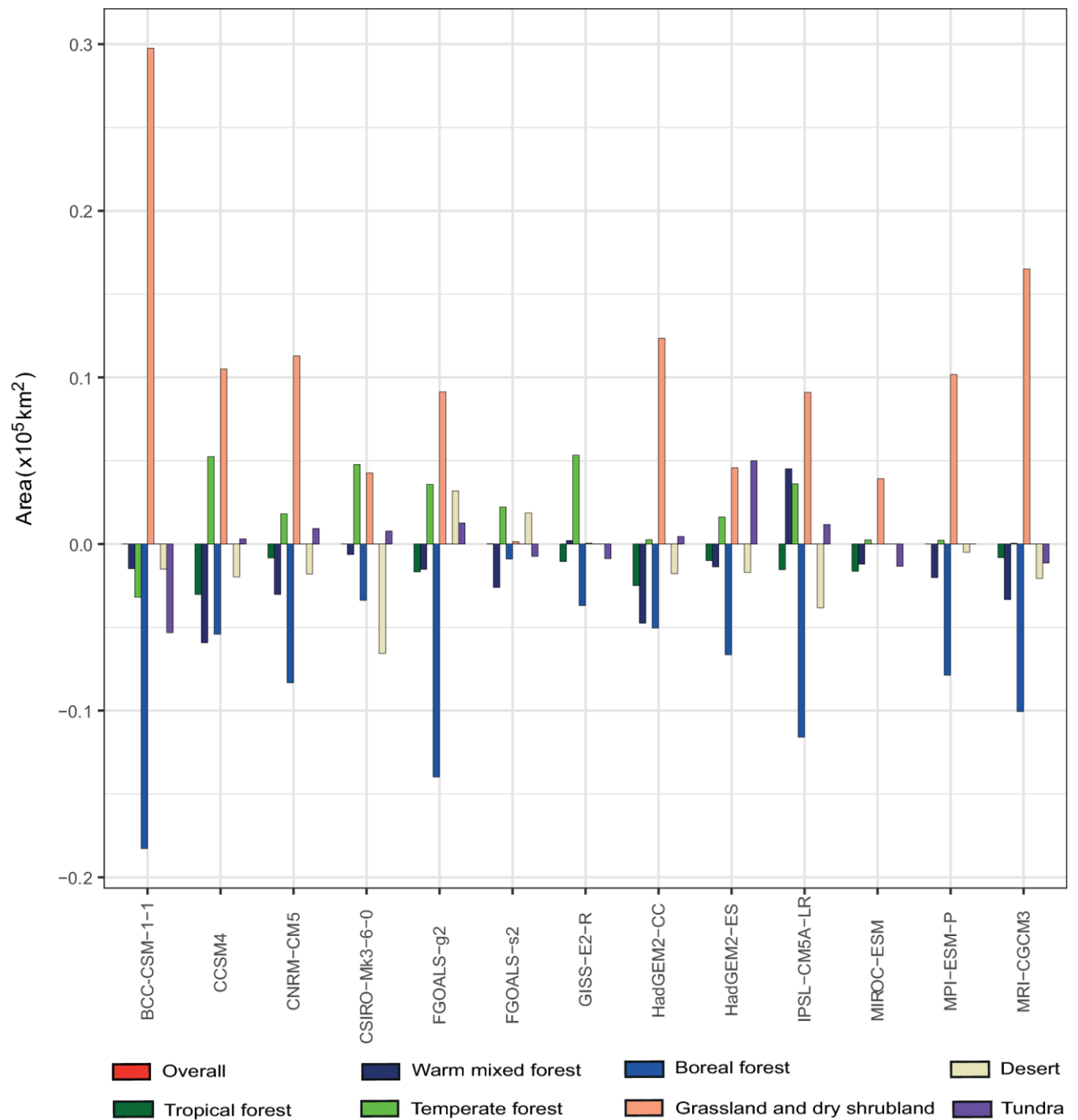


**Figure 4.** Model-data comparison for annual, July and January precipitation (mm). For the left panel (a,b), points represent the reconstruction from IVM, shades show the last 30-year means simulation results of multi-model ensemble (MME) for 13 PMIP3 models. The box-and-whisker plots (d-f) show the changes as shown by each PMIP3 model and the reconstruction. (d) considers changes in annual precipitation, (e) indicates changes in July precipitation, and (f) shows changes in January precipitation. The lines in each box shows the median value from each set of measurements, the box shows the 25%-75% range, and the whiskers show the 90% interval (5th to 95th percentile).

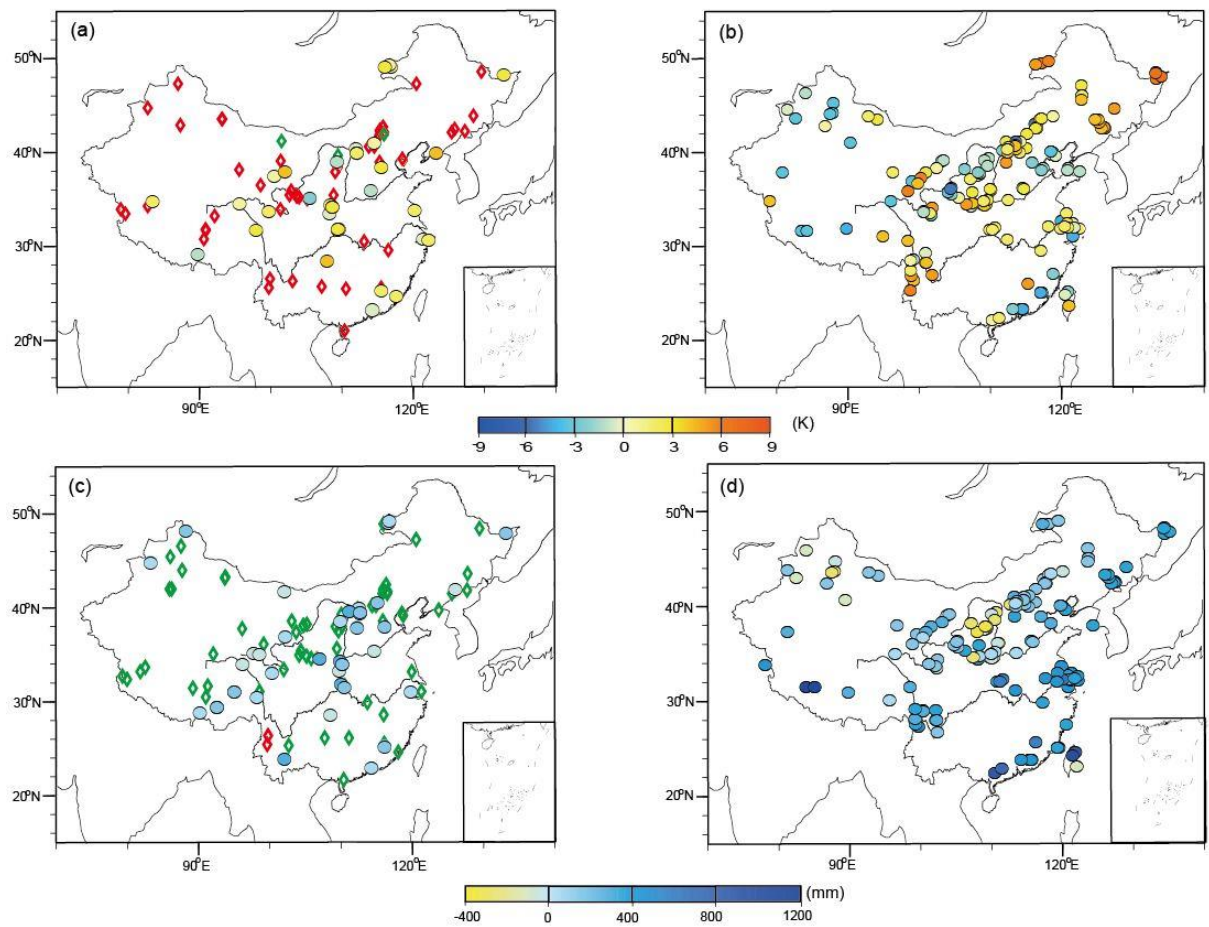




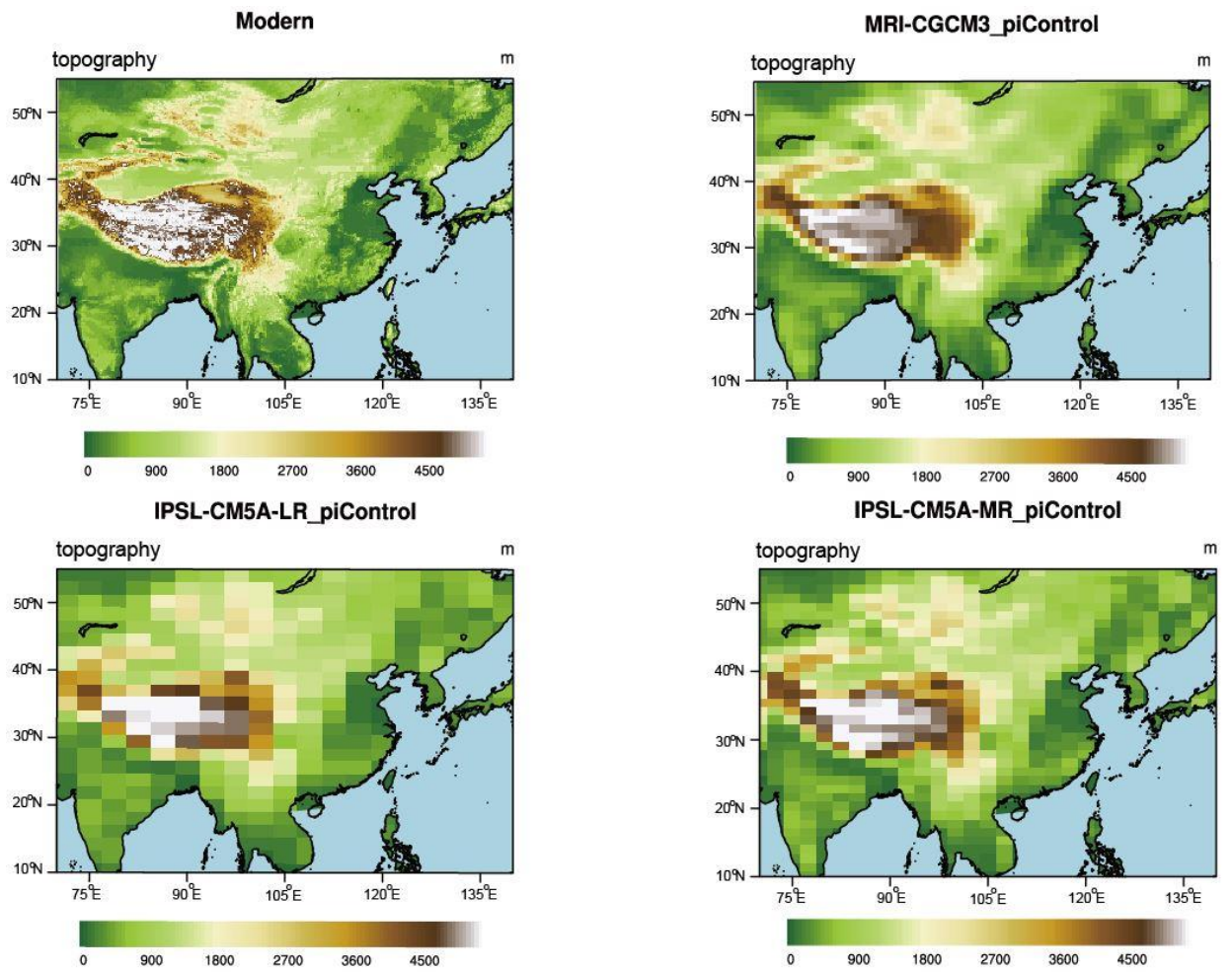
**Figure 5.** Comparison of interpolated megabiomes distribution (plot in red rectangle) with the simulated spatial pattern from BIOME4 for each model during mid-Holocene.



**Figure 6.** Changes in the extent of each megabiome as a consequence of simulated climate changes for each model, both expressed as change relative to the PI extent of same megabiome.



**Figure 7.** Comparison between the climate reconstruction and previous reconstruction over China. (a) Previous temperature results. Diamond is the qualitative reconstruction, red is the temperature increase and green is the temperature decrease; Circle is quantitative reconstruction; (b) Mean annual temperature reconstruction in this study; (c) Previous precipitation results, diamond is the qualitative reconstruction, red is the precipitation decrease and green is the precipitation increase; Circle is the quantitative reconstruction; (d) Mean annual precipitation reconstruction in this study.



**Figure 8.** The topography comparison between models and observation.

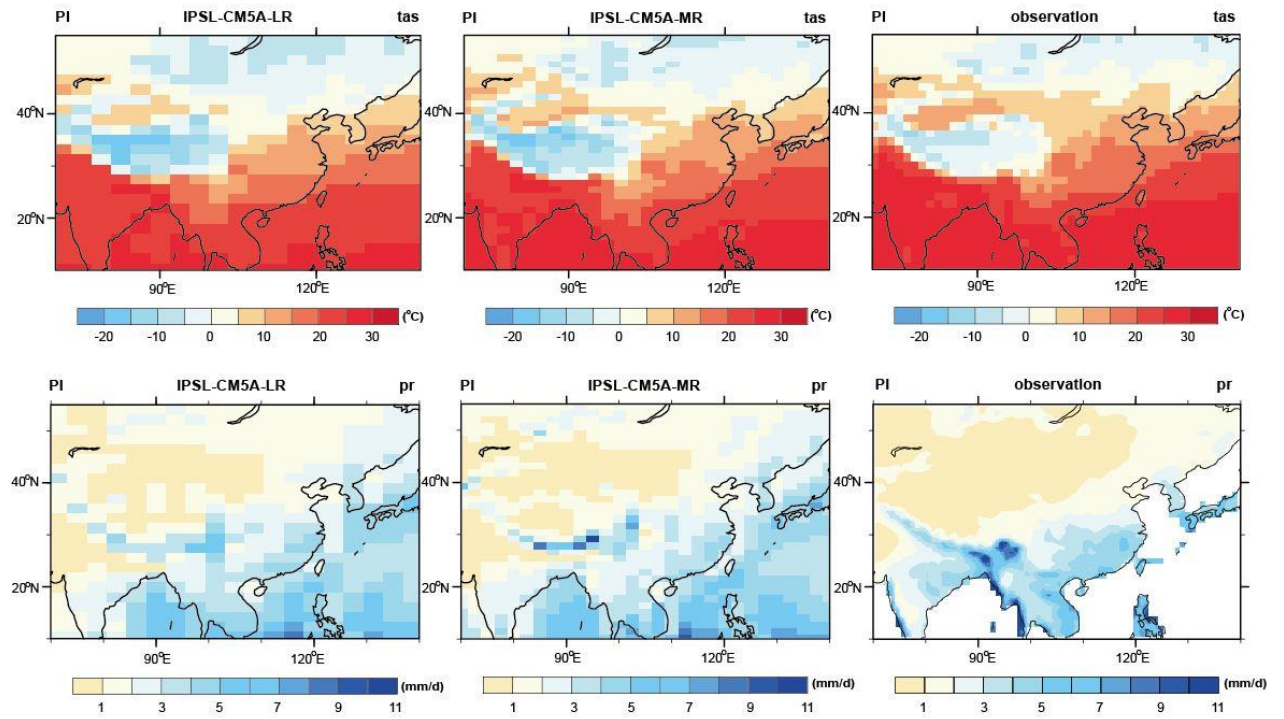
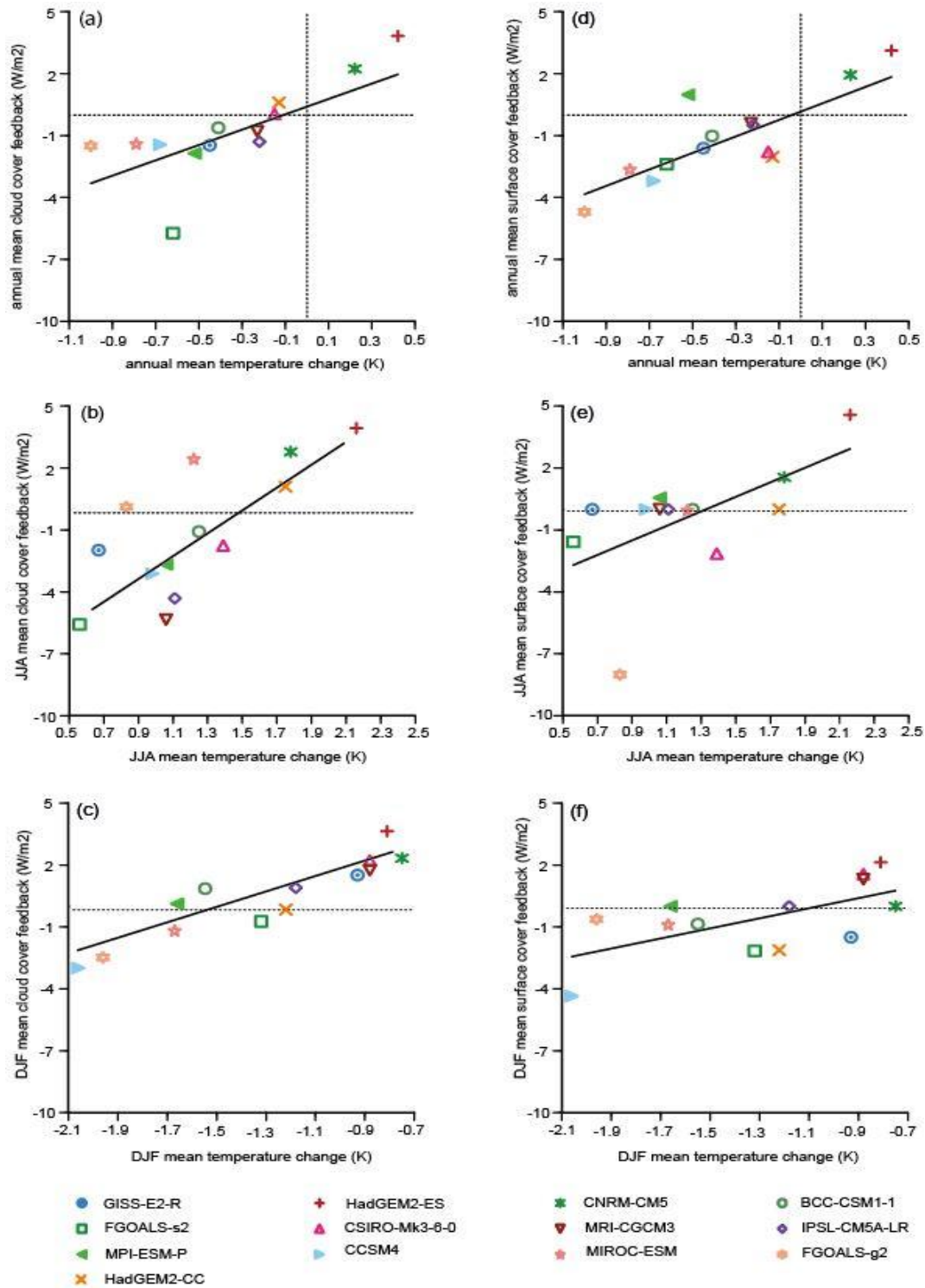
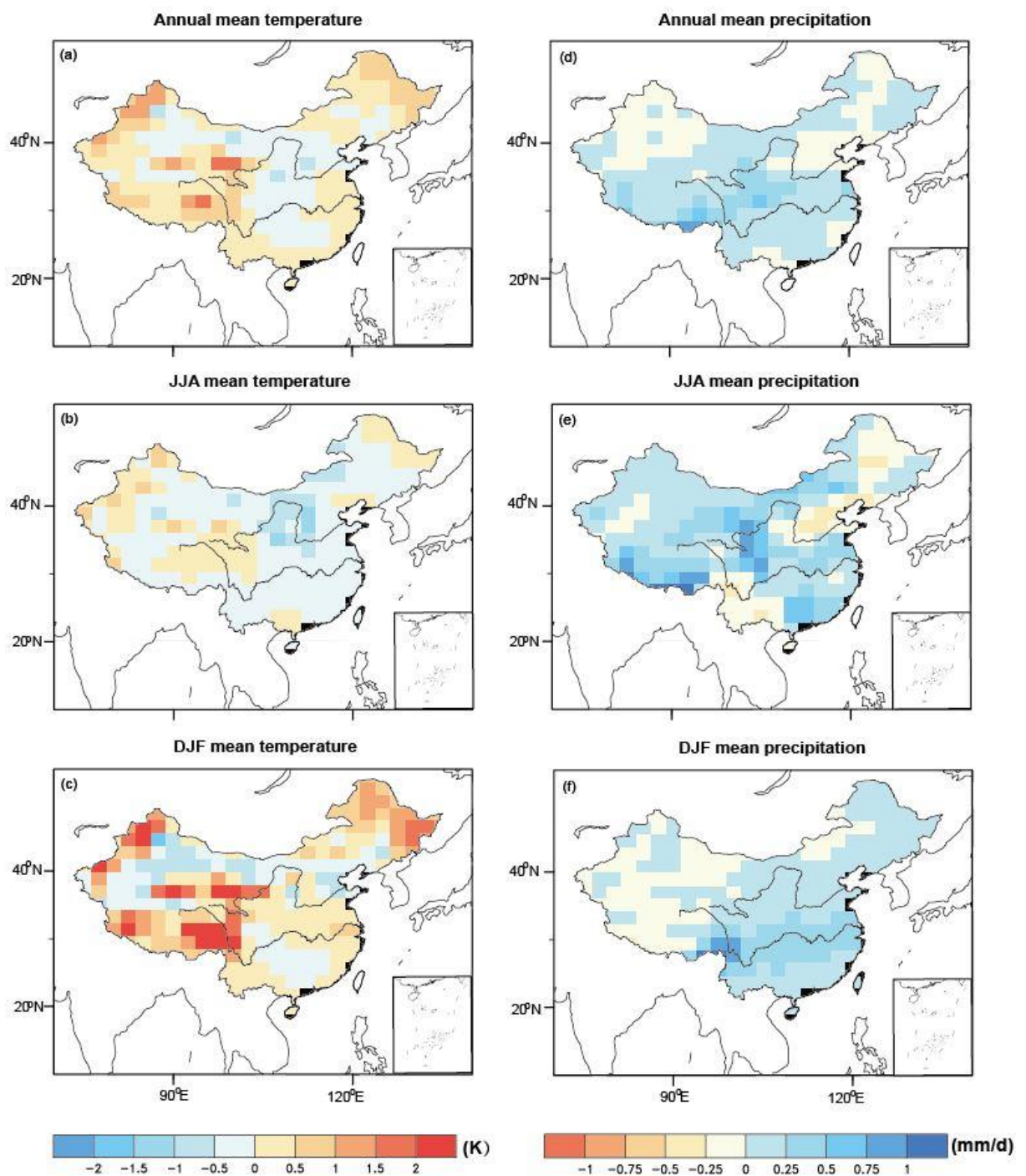


Figure 9. The preindustrial climate comparison between simulation and observation. Tas means temperature above 2m surface, pr means precipitation.



**Figure 10.** Scatter plots showing temperature, cloud cover feedback and surface albedo feedback changes during the MH. The values shown are the simulated 30-year mean anomaly (MH-PI) for the 13 models. **a**, annual mean temperature relative to the annual mean cloud cover feedback and **d**, annual surface albedo feedback. **b**, Summer (JJA) mean temperature relative to the summer mean cloud cover feedback and **e**, Summer surface albedo feedback. **c**, Winter (DJF) mean temperature relative to the summer mean cloud cover feedback and **f**, Winter surface albedo feedback. The horizontal and vertical lines in plots represent the value of 0.



**Figure 11.** Climate anomalies between the two experiments (6 ka and 6 ka\_VEG) conducted in CESM version 1.0.5. The anomalies (6 ka\_VEG-6 ka) of temperature and precipitation at both annual and seasonal scale are presented, and all these climate variables are calculated as the last 50-year means from two simulations.