Response to the editor and reviewers

We greatly appreciate the constructive comments and suggestions on the previous version of the manuscript from the editor and reviewers. The following is the point-by-point reply, with reference to the order of the comments made by the editor and reviewers.

According to the comments, the main changes we have made in this version are listed as below:

1. Both the referee and the editor suggested to include more information in the manuscript from our response to the review. We have added following the suggestions.
2. The data availability. For the data from China Quaternary Pollen Database, the original data is not public yet, and we can't share these data due to the rule of data usage. According to the suggestion from the editor, we added the statement and provided a contact in the data availability section (on page 22, lines 518-523): For the data from CQPD, the basic information (location, data supporter, age control and biome type of each site) can be found in CQPD (2000), while the original data are not publicly available yet. To whom request for the data, you can contact with Yunli Luo (lyl@ibcas.ac.cn, Institute of Botany, Chinese Academy of Sciences, Beijing, 100093, China), a core member and academic secretary of the CQPD.

The modifications for specific comments are indicated in each response and marked as underlined words in the marked-up manuscript below.

Response to the editor

Comment: Both there reviewers and myself are concerned that the responses to the review were NOT implemented in the manuscript. Referee#4 listed the most important comments/responses that do not appear in the manuscript. Moreover, according to the referee, your responses and the manuscript are giving contradictory information in a few cases. Therefore, I urge you to take into account in the manuscript all the responses that you gave to the referees.

Response: Thanks for the important comment. We have carefully checked the author's response.pdf, and the important clarifications and responses that we gave to the referees are now included in our manuscript or Supplementary Information. The main changes we have made in this version are listed as below:

1) Added the description of spatial variability for MH climate anomaly (on page 3, lines 64-71): For instance, the reconstruction used in Marcott et al. (2013) is mainly from the marine records (~80%), the cooling trend is largely associated with North Atlantic. And in Marsicek et al. (2018), they show a better consistency of temperature between model-data for Europe and North America continents during Holocene based on 642 sub-fossil pollen data. The different trends of pollen- and marine-based reconstruction indicate the spatial variability of annual temperature change during MH over the globe, which has already been investigated by Bartlein et al. (2010).
2) Added the definition of MH used in our study (on page 5, lines 114-119): Notably, according to IntCal13 (Reimer et al., 2013), the MH time slice 6000±500 14C yr BP is about 6800 Cal BP (the average value), which is not totally consistent with the "mid-Holocene" used in CMIP5/PMIP3 experiment (6000 Cal BP). But for a better comparison with BIOME6000 (in which the MH is defined as 6000±500 14C yr BP), we decided to choose the pollen data at 6000±500 14C yr BP in our study.
3) Added the description of ANN method (on page 7, lines 160-161 and lines 168-169). We also added the schematic diagram of ANN in Supplementary Information as Figure S1 on page 21.
4) A new-added section "Sensitivity test for vegetation feedback" (on pages 11-12, lines 248-269):

   "To quantify the vegetation feedback on climate change during mid-Holocene over China, we did the sensitivity test in CESM version 1.0.5. This version, developed at the National Center for Atmospheric Research, is a widely used coupled model with dynamic atmosphere (CAM4), land (CLM4), ocean (POP2), and sea-ice (CICE4) components (Gent et al., 2011). Here, we use ~2° resolution for the CAM4, configured by ~1.9° (latitude) × 2.5° (longitude) in the horizontal direction and 26

layers in the vertical direction. The POP2 adopts a finer grid, with a nominal 1° horizontal resolutions and 60 layers in the vertical direction. The land and sea-ice components have the same horizontal grids as the atmosphere and ocean components, respectively.

Two experiments were conducted, including a mid-Holocene (MH) experiment (6 ka) with original vegetation setting (prescribed as PI vegetation for MH) and a MH experiment with reconstructed vegetation (6 ka_VEG). In detail, experiment 6 ka used the MH orbital parameters (Eccentricity=0.018682; Obliquity=24.105°; Angular precession=0.87°) and modern vegetation (Salzmann et al., 2008). Compared to experiment 6 ka, experiment 6 ka_VEG used our reconstructed vegetation in China. Except for the changed vegetation, all other boundary conditions were kept unchanged in these two experiments, including the solar constant (1365 W m−2), modern topography and ice sheet, and pre-industrial greenhouse gases (CO2 = 280 ppmv; CH4 = 760 ppbv; N2O = 270 ppbv). Experiment 6 ka was initiated from the default pre-industrial simulation and run for 500 model years. Experiment 6 ka_VEG was initiated from model year 301 of experiment 6 ka and run for another 200 model years. We analyzed the computed climatological means of the last 50 model years from each experiment here."

5) Added the clarification of model resolution issue (on pages 17-18, lines 395-416):

"The discrepancies between model-data for MH climate change could also be resulted from the uncertainties in simulation. Firstly, the coarse spatial resolution of models. Previous study shows the GCMs from PMIP3 is reliable to simulate the geographical distribution of temperature and precipitation over China for present day without downscaling, but there is considerable bias between simulation and observation for precipitation (Jiang et al., 2016). In particular, the climate fields, directly used from the model output without downscaling, will not contain the spatial variability of modern climate that in topographically complex areas. And thus, it's necessary to check in which degree the model-data mismatch is related to rough topography used in the climate models. In PMIP3, MRI-CGCM3 has the highest resolution (Atmosphere: 320*160*L48; Ocean: 364*368*L51), while

IPSL-CM5A-LR has the lowest one (Atmosphere: 96*96*L39; Ocean: 182*149*L31). In Fig. 8 (enclosed below), we give the actual modern topography and the interpolated topography used in MRI-CGCM3 and IPSL-CM5A-LR. For MRI-CGCM3, the topography is very close to the observation, so for this model, the model-data discrepancy during MH over China is not related to the resolution. However, for the model with coarse resolution (IPSL-CM5A-LR), it's true that the coarse version of model will lead to bias in topography when the regional diversity is discussed. The variations in topography could influence the vegetation and hence the simulated climate. To quantify this impact, we compare the topography and PI climate results of IPSL-CM5A-LR and IPSL-CM5A-MR. Fig. 9 shows that the difference in topography caused by model resolution do has an impact on small scales (south region of the Tibetan Plateau), but not on the overall pattern. For a better comparison, the climate variables should be downscaled in the future work."

6) Added the Fig. 8 and Fig. 9 in the manuscript to illustrate the resolution issue (on pages 63 and 64).
7) Added the description of model bias in PI simulation (on page 18, lines 420-421), as well as the Figure S10 in Supplementary Information.
8) Added the comparison of AOVGCM and AOGCM (on page 19, lines 446-449): In conclusion, there is an obvious advantage of using AOVGCM instead of AOGCM when we discussing about the MH climate, but the premise is that the AOVGCM can simulate accurate vegetation distribution.

Comment: Moreover, as I already mentioned to you, according to CP (Copernicus) data policy, 'data that correspond to journal articles [are requested to be deposited] in reliable (public) data repositories, assigning digital object identifiers, and properly citing data sets as individual contributions' and the '[a]uthors are required to provide a statement on how their underlying research data can be accessed'.

The editor in chief of climate of the past and COPERNICUS journal agreed that it is not compulsory to deposit data in a public database. However, there must be a clear

statement on how the underlying data can be accessed.

First, the reference for CQPD (2000) is missing in the main paper. I assumed (but might be wrong) that it refers to Members of Quaternary Pollen Data Base in Institute of Geochemistry, Chinese Academy of Science: The evolution of the environment since 10000 years in the south of Liaoning Province, Science in China, 6, 603- 614, 1977 (in Chinese). Although this one is 1977. Could you please clarify this reference.

Response: The reference of CQPD (2000) is listed on page 36, lines 840-842.

Comment: Second, you must clearly state how the reader can obtain the same information that you got. Could you explain (in the paper, probably in the data availability section) the status of the CQPD (will the data ever be public, can outsiders find out who posted data and to whom the data should be asked?). Moreover, you must let readers know how they could access information from it. If the data are not publicly available (yet) you must identify the individual (name and contact details) to whom request for data should be sent. This information must be included in the 'data availability' section.

These requests seems to me to be fair. It will give the paper a minimum standard to be accepted for publication.

Response: we added the statement and provided a contact in the data availability (on page 22, lines 518-523): "For the data from CQPD, the basic information (location, data supporter, age control and biome type of each site) can be found in CQPD (2000), while the original data are not publicly available yet. To whom request for the data, you can contact with Yunli Luo (lyl@ibcas.ac.cn, Institute of Botany, Chinese Academy of Sciences, Beijing, 100093, China), a core member and academic secretary of the CQPD."

Response to the reviewer

I think the authors should be commended for their response to (four) wide-ranging and thorough reviews. In particular, the addition of the sensitivity test comparing simulations with present-day as opposed to reconstructed mid-Holocene vegetation is a solid addition, and greatly strengthens the assertion that data-model mismatches are attributable to experimental design issues. Unfortunately, the clarifications and additions are found in the authors' response as opposed to the revised manuscript. (A quick example: I wondered what "continental size" meant (line 18 in the original manuscript). This was clarified in the authors' response (p. 37), but not in the revised paper.) Some of the clarifications and explanations are quite substantial, and aren't even hinted at in the revised manuscript, or appear out of place. For example, the sensitivity test described in the author's response (p. 9) isn't really introduced until the end of the discussion section. The material on p. 9 of the authors' response should appear in the paper itself, partially in section 2, as well as in the discussion.

Response: Thanks for the suggestion, the clarification of "continental size" is added in the manuscript (line 18, page 1), and the introduction of the sensitivity test is now described in section 2 (lines 248-269, page 10-11), as well as in the discussion.

I'm still concerned about resolution issues, and the direct use of model output at GCM resolutions (as opposed to the standard "apply-the-anomalies" approach, but perhaps my concern would be alleviated if the fragmented discussion of that issue in the authors' response appeared in one place in the revised manuscript.

Response: We totally agree with the referee that the downscaling of GCMs is important for model-data comparison, which should be carried out in our future work. In this revised version, concerning the model resolution, we combined the fragmented discussion from the author's response into a paragraph in the discussion section in the manuscript (on pages 17-18, lines 395-416). The content is below:

"The discrepancies between model-data for MH climate change could also be resulted from the uncertainties in simulation. Firstly, the coarse spatial resolution of

models. Previous study shows the GCMs from PMIP3 is reliable to simulate the geographical distribution of temperature and precipitation over China for present day without downscaling, but there is considerable bias between simulation and observation for precipitation (Jiang et al., 2016). In particular, the climate fields, directly used from the model output without downscaling, will not contain the spatial variability of modern climate that in topographically complex areas. And thus, it's necessary to check in which degree the model-data mismatch is related to rough topography used in the climate models. In PMIP3, MRI-CGCM3 has the highest resolution (Atmosphere: 320*160*L48; Ocean: 364*368*L51), while IPSL-CM5A-LR has the lowest one (Atmosphere: 96*96*L39; Ocean: 182*149*L31). In Fig. 8, we give the actual modern topography and the interpolated topography used in MRI-CGCM3 and IPSL-CM5A-LR. For MRI-CGCM3, the topography is very close to the observation, so for this model, the model-data discrepancy during MH over China is not related to the resolution. However, for the model with coarse resolution (IPSL-CM5A-LR), it's true that the coarse version of model will lead to bias in topography when the regional diversity is discussed. The variations in topography could influence the vegetation and hence the simulated climate. To quantify this impact, we compare the topography and PI climate results of IPSL-CM5A-LR and IPSL-CM5A-MR. Fig. 9 shows that the difference in topography caused by model resolution do has an impact on small scales (e.g. south region of the Tibetan Plateau), but not on the overall pattern. For a better comparison, the climate variables should be downscaled in the future work."

Although the authors' response will be available to readers, its structure, consisting of responses to the individual reviewers in turn, make it less useful, and I worry that readers likely ignore the in any case, assuming that if the paper has been published, all necessary changes have been made.

Response: As the referee said, thanks to the 4 reviewers and the editor, our paper received a wide-ranging and thorough review. For us, response to the individual reviewers in turn is the most direct and clear way. But concerning the numerical pages of our response (123 pages), we agree that readers are likely to ignore all necessary

changes that have been made in the revised version. According to the suggestion from both referee and the editor, the important and substantial changes will be implemented in the manuscript this time, as opposed to only in the response.pdf.

Specific comments:

Fig. R1 (Model bias discussion in the authors' response): I think that piControl values should be plotted on the x-axis, to conform to the convention of the predictor on the x-axis, response on the y-axis. Also, with the usual FGOAL-s2 outlier removed, then I do see a relationship for JJA. Fig. R1 does not appear anywhere in the revised version of the manuscript. Is there a further supplemental file that contains the "R" figures?

Response: we modified the figure according to the suggestion, and this figure is added in the supplementary as Figure S10 (on page 30). We also added the clarification of this issue in the manuscript, on page 18, lines 419-424.

Fig. R2 (Model resolution): Again, this material belongs in the paper, as opposed to the authors' response .pdf, where it is likely to be overlooked.

Response: The Fig. R2 was included in the manuscript, and renamed as Figure 8, on page 63.

Authors' response p 13: "The difference in topography caused by model resolution has influence on some small regional climate, but no significant change for general pattern." I think the idea is that resolution has an impact on small (or regional) scales, but not on the overall pattern. But those small or regional-scale variations in climate can have a large impact on vegetation and hence reconstructed climate.

Response: According to the referee's suggestion, we have modified the description as "Figure 9 shows that the difference in topography caused by model resolution do has an impact on small scales (south region of the Tibetan Plateau), but not on the overall

pattern. For a better comparison, the climate variables should be downscaled in the future work" on page 17, lines 413-416.

AOV vs. AO discussion (authors' response, p. 14): An abbreviated version of this discussion should appear in the paper.

Response: The comparison between two AOVGCM has already been described in the last revised version (on pages 17-18, lines 416-433). In this version, we added the AOV vs. AO discussion on page 19, lines 446-449 as "In conclusion, there is an obvious advantage of using AOVGCM instead of AOGCM when we discussing about the MH climate, but the premise is that the AOVGCM can simulate accurate vegetation distribution."

Reviewer 3's point 2: (More material describing the sensitivity test.) It would be worth pointing out (in the paper) that if vegetation were prescribed using reconstructed biomes, then that would reduce the power of the biome-based climate reconstructions (owing to the potential for circularity: "Prescribe the vegetation to get the vegetation.). I don't think that's really the case, but the possibility of circularity will worry some readers and should be acknowledged.

Response: For the description of sensitivity test, we have added a new section (sensitivity test for vegetation feedback) in part two, in which the model and experiment design for vegetation feedback are introduced (on pages 10-11, lines 248-269). For the potential circularity, we described it as "However, it should also be noted that prescribing the vegetation with reconstructed biomes would reduce the power of the biome-based climate reconstruction, owing to the potential circularity (prescribe the vegetation to get the vegetation)" on page 21, lines 505-508.

Authors' response p. 24 (and p. 26): "[Cloudiness is] an inverse measure of sunshine…". No it isn't (New et al., 1999, J. Climate 12:829-856). There is a strong latitudinal gradient in the relationship between the two.

Response: Thanks for the correction, we will pay attention to the difference between the two notions.

Line 21: "Project" (singular, not plural) (https://pmip3.lsce.ipsl.fr)

Response: Corrected on page 1, line 21.

Line 38: definition of "mid-Holocene" Again, the definition is clarified in the authors' response, but not the paper.

Response: The definition of mid-Holocene is now clarified in the manuscript on page 5, lines 114-118.

Line 52: (Source of discrepancies paragraph). The authors' response handles my concern well, but again, this should appear in the paper. (I'm not just trying to boost my citation count here. Marsicek et al. clearly dismiss the "Holocene conundrum", and so using the conundrum as a motivation for the current paper seems inadvisable—readers that know about Marsicek et al., will wonder why the conundrum is being used to motivate the current paper, and those data don't will be left with the impression that there's a sever mismatch.)

Response: Thanks for the important suggestion. We have added this issue in the source of discrepancies paragraph on page 3, lines 64-71. The description was wrote as below: "For instance, the reconstruction used in Marcott et al. (2013) is mainly from the marine records (~80%), the cooling trend is largely associated with North Atlantic. And in Marsicek et al. (2018), they show a better consistency of temperature between model-data for Europe and North America continents during Holocene based on 642 sub-fossil pollen data. The different trends of pollen- and marine-based reconstruction indicate the spatial variability of annual temperature change during MH over the globe, which has already been investigated by Bartlein et al. (2010)."

Line 141: (ANN description). Again, the authors' reply clarifies the approach, but that clarification does not appear in the paper, nor does Fig. "R2". (Moreover, there are two figures labelled "R2" in the response (p. 25 and p. 45)—the second (p. 45) probably should be R3.

Response: We added the ANN description:"In our study, at each pollen site, we firstly used the biomization to get the biome scores for both present-day and MH" on page 7, lines 160-161. And we also give the schematic diagram of ANN in Supplementary Information as Figure S1.

Table S5: What does "(varying from files)" mean?

Response: Although models use the prescribed vegetation for MH, the vegetation pattern is varying from the parameters settings, for instance, the leaf area index. Here, "varying from files" means "varying from files with different parameter settings". To make it more clear, we have modified it into "varying from parameters".

Mid-Holocene Climate Change over China: Model-Data Discrepancy

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Abstract:

The mid-Holocene period (MH) has long been an ideal target for the validation of Global Circulation Model (GCM) results against reconstructions gathered in global datasets. These studies aim to test the GCM sensitivity mainly to the seasonal changes induced by the orbital parameters (precession). Despite widespread agreement between model results and data on the MH climate, some important differences still exist. There is no consensus on the continental size (the area of the temperature anomaly) of the MH thermal climate response, which makes regional quantitative reconstruction critical to obtain a comprehensive understanding of the MH climate patterns. Here, we compare the annual and seasonal outputs from the most recent Paleoclimate Modelling Intercomparison Project~~s~~ Phase 3 (PMIP3) models with an updated synthesis of climate reconstruction over China, including, for the first time, a seasonal cycle of temperature

and precipitation. Our results indicate that the main discrepancies between model and data for the MH climate are the annual and winter mean temperature. A warmer-than-present climate condition is derived from pollen data for both annual mean temperature (~0.7 K on average) and winter mean temperature (~1 K on average), while most of the models provide both colder-than-present annual and winter mean temperature and a relatively warmer summer, showing linear response driven by the seasonal forcing. By conducting simulations in BIOME4 and CESM, we show that the surface processes are the key factors drawing the uncertainties between models and data. These results pinpoint the crucial importance of including the non-linear responses of the surface water and energy balance to vegetation changes.

Keywords: PMIP3 Pollen data Inverse Vegetation Model Seasonal climate change

1. Introduction

Much attention of paleoclimate study has been focused on the current interglacial (the Holocene), especially the mid-Holocene (MH, 6±0.5 ka). The major difference in the experimental configuration between the MH and pre-Industrial (PI) arises from the orbital parameters which brings about an increase in the amplitude of the seasonal cycle of insolation of the Northern Hemisphere and a decrease in the Southern Hemisphere

(Berger, 1978). Thus, the MH provides an excellent case study on which to base an evaluation of the climate response to changes in the distribution of insolation. Great efforts are devoted by the modeling community to the design of the MH common experiments using similar boundary conditions (Joussaume and Taylor., 1995; Harrison et al., 2002; Braconnot et al., 2007a, b). In addition, much work has been done to reconstruct the paleoclimate change based on different proxies at global and continental scale (Guiot et al., 1993; Kohfeld and Harrison, 2000; Prentice et al., 2000; Bartlein et al., 2011). The greatest progress in understanding the MH climate change and variability has consistently been made by comparing large-scale analyses of data with simulations from global climate models (Joussaume et al., 1999; Liu et al., 2004; Harrison et al., 2014).

However, the source of discrepancies between model and data is still an open and stimulating question. Two types of inconsistencies have been identified: 1) where the model and data show opposite signs, for instance, paleoclimate evidence from data-records indicates an increase of about 0.5 K in global annual mean temperature during the MH compared with PI (Shakun et al.. 2012; Marcott et al., 2013), while there is a cooling trend in model simulations (Liu et al., 2014). 2) where the same trend is displayed by both model and data but with different magnitudes. Previous studies have shown that while climate models can successfully reproduce the direction and large-scale patterns of past climate changes, they tend to consistently underestimate the magnitude of change in the monsoons of the Northern Hemisphere as well as the amount of the MH precipitation over northern Africa (Braconnot et al., 2012; Harrison

et al., 2015). Moreover, significant spatial variability has been noted in both observations and simulations (Peyron et al., 2000; Davis et al., 2003; Braconnot et al., 2007a; Wu et al., 2007; Bartlein et al., 2011). For instance, Marcott et al. (2013) reconstructed a cooling trend of global temperature during Holocene, mainly from the marine records (~80%). While based on 642 sub-fossil pollen data, Marsicek et al. (2018) shows a long-term warming defined the Holocene until around 2000 years ago for Europe and North America continents. The different trends of pollen- and marine-based reconstruction indicate the spatial variability of annual temperature change during MH over the globe, which has already been investigated by Bartlein et al. (2010). ~~which~~ That makes regional quantitative reconstruction (Davis et al., 2003; Mauri et al., 2015) essential to obtain a comprehensive understanding of the MH climate patterns, and to act as a benchmark to evaluate climate models (Fischer and Jungclaus, 2011; Harrison et al., 2014).

China offers two advantages in respect to these issues. The sheer expanse of the country means that the continental response to insolation changes over a large region can be investigated. Moreover, the quantitative reconstruction of seasonal climate changes during the MH, based on the new pollen dataset, provides a unique opportunity to compare the seasonal cycles for models and data. Previous studies indicate that warmer and wetter than present conditions prevailed over China during the MH and that the magnitude of the annual temperature increases varied from 2.4-5.8 K spatially, with an annual precipitation increase in the range of 34-267 mm (e.g., Sun et al., 1996; Jiang et al., 2010; Lu et al., 2012; Chen et al., 2015). However, Jiang et al. (2012) clearly

show a mismatch between multi-proxy reconstructions and model simulations. In terms of climate anomalies (MH-PI), besides the ~1 K increase in summer temperature, 35 out of 36 Paleoclimate Modelling Intercomparison Projects (PMIP) models reproduce annual (~0.4 K) and winter temperatures (~1.4 K) that are colder than the baseline, and a drier-than-baseline climate in some western and middle regions over China is depicted in models (Jiang et al., 2013). Jiang et al. (2012) point out the model-data discrepancy over China during the MH, but the lack of seasonal reconstructions in their study limits comparisons with simulations.

An important issue raised by Liu et al. (2014) is that the discrepancy at the annual level could be due to incorrect reconstructions of the seasonal cycle, a key objective in our paper. Moreover, it has been suggested that the vegetation change can strengthen the temperature response in high latitudes (O'Ishi et al., 2009; Otto et al., 2009), as well as alter the hydrological conditions in the tropics (Liu et al., 2007). However, compared to the substantial land cover changes in the MH derived from pollen datasets (Ni et al., 2010; Yu et al., 2000), the changes in vegetation have not yet been fully quantified and discussed in PMIP3 (Taylor et al., 2012).

In this study, for the reconstruction, we firstly used the quantitative method of biomization to reconstruct vegetation types during the MH based on a new synthesis of pollen datasets, and then used the Inverse Vegetation Model (Guiot et al., 2000; Wu et al., 2007) to obtain the annual, the mean temperature of the warmest month (MTWA) and the mean temperature of the coldest month (MTCO) climate features over China for the MH. In the case of PMIP3 models, we present a comprehensive evaluation of

the PMIP3 simulations made with state-of-art climate models using reconstructions of temperature and precipitation. This is the first time that such progress towards a quantitative seasonal climate comparison for the MH over China has been made. This point is crucial because the MH PMIP3 experiment is essentially one that looks at the response of the models to changes in the seasonality of insolation, and the attempt to derive reconstructions of both summer and winter climate to compare with the simulations will thus be able to answer the question posed by Liu et al. (2014) on the importance of seasonal reconstruction.

2. Data and Methodology

2.1 Data

In this study, we collected 159 pollen records, covering most of China, for the MH period (6000±500 ^{14}C yr BP) (Fig. 1). Notably, according to IntCal13 (Reimer et al., 2013), the MH time slice 6000±500 ^{14}C yr BP is about 6800 Cal BP (the average value), which is not totally consistent with the "mid-Holocene" used in CMIP5/PMIP3 experiment (6000 Cal BP). But for a better comparison with BIOME6000 (in which the MH is defined as 6000±500 14C yr BP), we decided to choose the pollen data at 6000±500 14C yr BP in our study. ~~Of these~~In all 159 records, 65 were from the ~~Chinese~~ China Quaternary Pollen Database (CQPD, 2000), three were original datasets obtained in our study, and the others were digitized from pollen diagrams in published papers with a recalculation of pollen percentages based on the total number of terrestrial pollen types. These digitized 91 pollen records were selected according to three criteria: (1)

clearly readable pollen diagrams with a reliable chronology with the minimum of three independent age control points since the LGM; (2) including the pollen taxa during 6000±500 ^{14}C yr BP period with a minimum sampling resolution of 1000 years per sample; (3) abandon the pollen records if the published paper mentions the influence of human activity. Based on the digitized pollen assemblages, we use biomization to get the biome scores and biome types. For age control, different dating methods are utilized in the collected pollen records, we applied the CalPal 2007 (Weninger et al., 2007) to correct ^{14}C age into calendar age so that they can be contrasted with each other. For lacustrine records, if the specific carbon pool age is mentioned in the literature, the calendar age is corrected after deducting the carbon pool. Otherwise, the influence of carbon pool is not considered. The age-depth model for the pollen records was estimated by linear interpolation between adjacent available dates or by regression. Using ranking schemes from the Cooperative Holocene Mapping Project, the quality of dating control for the mid-Holocene was assessed by assigning a rank from 1 to 7. And 70% of the records used in our study fell into the first and second classes (see Table 1 for detailed information) according to the Webb 1-7 standards (Webb, III T., 1985). Vegetation type was quantitatively reconstructed using biomization (Prentice et al., 1996), following the classification of plant functional types (PFTs) and biome assignment in China by the Members of China Quaternary Pollen Data (CQPD, 2000), which has been widely tested in surface sediment. The new sites (91 digitized data and three original data) added to our database improved the spatial coverage of pollen records, especially in the northwest, the Tibetan Plateau, the Loess Plateau and southern

regions, where the data in the previous databases are very limited.

Modern monthly mean climate variables, including temperature, precipitation and cloudiness (total cloud fraction), applied in this study, have been collected for each modern pollen site based on the datasets (1951-2001) from 657 meteorological observation stations over China (China Climate Bureau, China Ground Meteorological Record Monthly Report, 1951-2001). The MH soil properties and characteristics used in inverse vegetation model were kept the same with PI conditions, which are derived from the digital world soil map produced by the Food and Agricultural organization (FAO) (FAO, 1995). Atmospheric CO_2 concentration for the MH was taken from ice core records (EPICA community members 2004), and was set at 270 ppmv.

A 3-layer back-propagation (BP) artificial neural network technique (ANN) was used for interpolation on each pollen site (Caudill and Butler, 1992). Five input variables (latitude, longitude, elevation, annual precipitation, annual temperature) and one output variable (biome scores) have been chosen in ANN for the modern vegetation. The ANN has been calibrated on the training set, and its performance has been evaluated on the verification set (20%, randomly extracted from the total sets). After a series of training run, the lowest verification error is obtained with 5 neurons in the hidden layer after 10000 iterations. In our study, at each pollen site, we firstly used the biomization to get the biome scores for both present-day and MH. The anomalies between past (6 ka) and modern vegetation indices (biome scores) was then interpolated to the 0.2×0.2° grid resolution by applying the ANN. After that, the modern grid values are added to the values of the grid of palaeo-anomalies to provide gridded paleo-biome indices. Finally,

the biome with the highest index is attributed to each grid point. This ANN method is more efficient than many other techniques on condition that the results are validated by independent data sets, and therefore, it has been widely applied in paleoclimatology (Guiot et al., 1996; Peyron et al., 1998). The schematic diagram of ANN (Figure S1) can be found in Supplementary Information.

2.2 Climate models

PMIP, a long-standing initiative, is a climate-model evaluation project which provides an efficient mechanism for using global climate models to simulate climate anomalies in the past periods and to understand the role of climate feedback. In its third phase (PMIP3), the models were identical to those used in the Climate Modelling Intercomparison Project 5 (CMIP5) experiments. The experimental set-up for the mid-Holocene simulations in PMIP3 followed the PMIP protocol (Braconnot et al., 2007a, b, 2012). The main forcing between the MH and PI in PMIP3 are the orbital configuration and CH_4 concentration. More precisely, the orbital configuration in the MH climate has an increased summer insolation and a decreased winter insolation in the Northern Hemisphere compared to the PI climate (Berger, 1978). Meantime, the CH_4 concentration is prescribed at 650 ppbv in the MH, while it is set at 760 ppbv in PI (Table 2).

All 13 models (Table 3) from PMIP3 that have the MH simulation have been included in our study, including eight ~~ocean-atmosphere~~atmosphere-ocean (~~OA~~AO) models and five ~~ocean-atmosphere~~atmosphere-ocean-vegetation (~~OAV~~AOV) models. Means for the last 30 years were calculated from the archived time-series data on

individual model grids for climate variables: near surface temperature and precipitation flux, which were bi-linearly interpolated to a uniform 2.5° grid, in order to get the bioclimatic variables (e.g. MAT, MAP, MTWM, MTCO, July precipitation) onto a common grid for comparison with the reconstruction results.

2.3 Vegetation model

The vegetation model, BIOME4 is a coupled bio-geography and biogeochemistry model developed by Kaplan et al. (2003). Monthly mean temperature, precipitation, sunshine percentage (an inverse measure of cloud area fraction), absolute minimum temperature, atmospheric CO_2 concentration and subsidiary information about the soil's physical properties like water retention capacity and percolation rates are the main input variables for the models. It incorporates 13 plant functional types (PFTs), which have different bioclimatic limits. The PFTs are based on physiological attributes and bioclimatic tolerance limits such as heat, moisture and chilling requirements and resistance of plants to cold. These limits determine the areas where the PFTs could grow in a given climate. A viable combination of these PFTs defines a particular biome among 28 potential options. These 28 biomes can be further classified into 8 megabiomes (Table S1). BIOME4 has been widely utilized to analyze the past, present and potential future vegetation patterns (e.g. Bigelow et al., 2003; Diffenbaugh et al., 2003; Song et al., 2005). In this study, we conducted 13 PI and the MH biome simulations using PIMP3 climate fields (temperature, precipitation and sunshine) as inputs. The climate fields, obtained from PMIP3, are the monthly mean data of the last 30 model years.

2.4 Statistics and interpolation for vegetation distribution

To quantify the differences between simulated (by the climate-model output) and reconstructed (from pollen) between megabiomes, a map-based statistic (point-to-point comparison with observations) called ΔV（Sykes et al., 1999; Ni et al., 2000）was applied to our study. ΔV is based on the relative abundance of different plant life forms (e.g. trees, grass, bare ground) and a series of attributes (e. g. evergreen, needle-leaf, tropical, boreal) for each vegetation class. The definitions and attributes of each plant form follow naturally from the BIOME4 structure and the vegetation attribute values in the ΔV computation were defined for BIOME4 in the same way as for BIOME1（Sykes et al., 1999). The abundance and attribute values are given in Table 4 and Table 5, which describe the typical floristic composition of the biomes. Weighting the attributes is subjective because there is no obvious theoretical basis for assigning relative significance. Transitions between highly dissimilar megabiomes have a weighting of close to 1, whereas transitions between less dissimilar megabiomes are assigned smaller values. The overall dissimilarity between model and data megabiome maps was calculated by averaging the ΔV for the grids with pollen data, while the value was set at 0 for any grid without data. ΔV values < 0.15 can be considered to point to very good agreement between simulated and actual distributions, 0.15-0.30 is good, 0.30-0.45 is fair, 0.45-0.60 is poor, and > 0.80 is very poor (adjusted from Zhang et al., 2010). For spatial pattern comparison, we compared the simulated vegetation distribution from BIOME4 from each model with the interpolated pattern of reconstruction.

2.5 Inverse vegetation model

Inverse Vegetation Model (Guiot et al., 2000; Wu et al., 2007), highly dependent on the BIOME4 model, is applied to our reconstruction. The key concept of this model can be summarized in two points: firstly, a set of transfer functions able to transform the model output into values directly comparable with pollen data is defined. There is not full compatibility between the biome typology of BIOME4 and the biome typology of pollen data. A transfer matrix (Table S2) was defined in our study where each BIOME4 vegetation type is assigned a vector of values, one of each pollen vegetation type, ranging from 0 (representing an incompatibility between BIOME4 type and pollen biome type) to 15 (corresponding to a maximum compatibility). Secondly, using an iterative approach, a representative set of climate scenarios compatible with the vegetation records is identified among the climate space, constructed by systematically perturbing the input variables (e.g. ΔT, ΔP) of the model (Table S3).

Inverse Vegetation Model (IVM) provides a possibility, for the first time, to reconstruct both annual and seasonal climates for the MH over China. Moreover, it offers a way to consider the impact of CO_2 concentration on competition between PFTs as well as on the relative abundance of taxa, and thus make reconstruction from pollen records more reliable. More detailed information about IVM can be found in Wu et al. (2007).

We applied the inverse model to modern pollen samples to validate the approach by reconstructing the modern climate at each site and comparing it with the observed values. The high correlation coefficients (R=0.75–0.95), intercepts close to 0 (except

for the mean temperature of the warmest month), and slopes close to 1 (except for the July precipitation) demonstrated that the inversion method worked well for most variables in China (see Table 6).

2.6 Sensitivity test for vegetation feedback

To quantify the vegetation feedback on climate change during mid-Holocene over China, we did the sensitivity test in CESM version 1.0.5. This version, developed at the National Center for Atmospheric Research, is a widely used coupled model with dynamic atmosphere (CAM4), land (CLM4), ocean (POP2), and sea-ice (CICE4) components (Gent et al., 2011). Here, we use ~2° resolution for the CAM4, configured by ~1.9° (latitude) × 2.5° (longitude) in the horizontal direction and 26 layers in the vertical direction. The POP2 adopts a finer grid, with a nominal 1° horizontal resolutions and 60 layers in the vertical direction. The land and sea-ice components have the same horizontal grids as the atmosphere and ocean components, respectively.

Two experiments were conducted, including a mid-Holocene (MH) experiment (6 ka) with original vegetation setting (prescribed as PI vegetation for MH) and a MH experiment with reconstructed vegetation (6 ka_VEG). In detail, experiment 6 ka used the MH orbital parameters (Eccentricity=0.018682; Obliquity=24.105°; Angular precession=0.87°) and modern vegetation (Salzmann et al., 2008). Compared to experiment 6 ka, experiment 6 ka_VEG used our reconstructed vegetation in China. Except for the changed vegetation, all other boundary conditions were kept unchanged in these two experiments, including the solar constant (1365 W m^{-2}), modern topography and ice sheet, and pre-industrial greenhouse gases (CO_2 = 280 ppmv; CH_4

= 760 ppbv; N_2O = 270 ppbv). Experiment 6 ka was initiated from the default pre-industrial simulation and run for 500 model years. Experiment 6 ka_VEG was initiated from model year 301 of experiment 6 ka and run for another 200 model years. We analyzed the computed climatological means of the last 50 model years from each experiment here.

3. Results

3.1 Comparison of annual and seasonal climate changes at the MH

In this study, we collected 159 pollen records, broadly covering the whole of China (Fig. 1). To check the reliability of the collected data, we first categorized our pollen records into megabiomes in line with the standard tables developed for the BIOME6000 (Table S1), and compared them with the BIOME6000 dataset (Fig.2). The match between collected data and the BIOME6000 is more than 90% (145 out of 159 sites) for both the MH and PI.

Based on pollen records, the spatial pattern of climate changes over China during the MH, deduced from IVM, are presented in Fig. 3 (left panel, points), alongside the results from PMIP3 models (shaded in Fig. 3). For temperature, a warmer-than-present annual climate condition (~0.7 K on average) is derived from pollen data (the points in Fig. 3a), with the largest increase occurring in the northeast (3-5 K) and a decrease in the northwest and on Tibetan Plateau. On the other hand, the results from a multi-model ensemble (MME) indicate a colder annual temperature generally (~-0.4 K on average), with significant cooling in the south and slight warming in the northeast (shaded in Fig.

3a). Of the 13 models, 11 simulate a cooler annual temperature compared with PI as MME. However, two models (HadeGEM2-ES and CNRM-CM5) present the same warmer condition as was found in the reconstruction (Fig. 3d). Compared to the reconstruction, the annual mean temperature during the MH is largely underestimated by most PMIP3 models, which depict an anomaly ranging from ~-1 to ~0.5 K. Concerning seasonal change, during the MH, MTWA from the data is ~0.5 K higher than PI, with the largest increase in the northeast and a decrease in the northwest. From model outputs, an average increase of ~1.2 K is reproduced by MME, with a more pronounced warming at high latitudes which is consistent with the insolation change (Berger, 1978). Fig. 3e shows that all 13 models reproduce the same warmer summer temperatures as the data, and that HadGEM2-ES and CNRM-CM5, reproduce the largest increases among the models. Although the warmer MTWA is consistent between the models and data, there is a discrepancy between them on MTCO. In Fig. 3c, the data show an overall increase of ~1 K, with the largest increase occurring in the northeast and a decrease of opposite magnitude on the Tibetan Plateau. Inversely, MME reproduces a decreased MTCO with an average amplitude of ~-1.3 K, the coolest areas being the southeast, the Loess Plateau and the northwest. Similarly to the MME, all 13 models simulate a colder-than-present climate with amplitudes ranging from ~-2.0 K (CCSM4 and FGOALS-g2) to ~-0.7 K (HadGEM2-ES and CNRM-CM5).

Concerning annual change in precipitation, the reconstruction shows wetter conditions during the MH across almost the whole of China with the exception of part of the northwest. The southeast presents the largest increase in annual precipitation. All

but 2 models (MIROC-ESM and FGOALS-g2) depict wetter conditions with an amplitude of ~10 mm to ~50 mm. The reconstruction and MME results also indicate an increased annual precipitation during MH (Fig.4a), with a much larger magnitude visible in the reconstruction (~30 mm, ~230 mm respectively). The main discrepancy in annual precipitation between simulations and reconstruction occurs in the northeast, which is depicted as drier by the models and wetter by the data. With regard to seasonal change, the reconstruction shows an overall increase in July rainfall (~50 mm on average), with a decrease in the northwestern regions and East Monsoon region at Yangtze River valley. In line with the reconstruction, the MME also shows an overall increase in rainfall (~7 mm on average), with a decrease in the northwest for July (Fig.4b). Notably, a much larger increase is simulated for the south and the Tibetan Plateau by the models, while the opposite pattern emerges along the eastern margin from both models and data. For January precipitation, the reconstruction shows an overall increase in most region (~15 mm), except for the northwestern region, while MME indicates a slight decrease (~3 mm on average). More detailed information about the geographic distribution of simulated temperature and precipitation for each model can be found in Fig. ~~S1~~S2-~~S6~~S7.

Table S4 provides the biome score from IVM for pollen data collected from published papers. The reconstructed climate change derived from IVM at each pollen site can be found in Table S5, in which the columns show the median and the 90% interval (5th to 95th percentage) for feasible climate values produced with the IVM approach. The simulated values for each of the climate variables as shown in the

boxplots (Figure 3 and Figure 4) are given in the Table S6 and Table S7.

3.2 Comparison of vegetation change at the MH

The use of the PMIP3 database is clearly limited by the different vegetation inputs among the models for the MH period (Table S8). Only HadGEM2-ES and HadGEM2-CC use a dynamic vegetation for the MH, and the other 11 models are prescribed to PI with or without interactive LAI, which would introduce a bias to the role of vegetation-atmosphere interaction in the MH climates. To evaluate the model results against the reconstruction for the MH vegetation, we conducted 13 biome simulations in BIOME4 using PIMP3 climate fields, and the megabiome distribution for each model during the MH is displayed in Fig. 5 (see Fig. ~~S7~~ S8 for PI vegetation comparison). To quantify the model-data dissimilarity between megabiomes, a map-based statistic called ΔV (Sykes et al., 1999; Ni et al., 2000) was applied here (detailed information is in the methodology section).

Fig. ~~S8~~ S9 shows the dissimilarity between simulations and observations for megabiomes during the MH, with the overall values for ΔV ranging from 0.43 (HadGEM2-ES) to 0.55 (IPSL-CM5A-LR). According to the classification of ΔV (see in the methodology section) for the 13 models, 12 (all except HadGEM2-ES) showed poor agreement with the observed vegetation distribution. Most models poorly simulate the desert, grassland and tropical forest areas for both periods, but perform better for warm mixed forest, tundra and temperate forest. However, this statistic is based on a point-to-point comparison and so the ΔV calculated here cannot represent an estimation

of full vegetation simulation due to the uneven distribution of pollen data and the potentially huge difference in area of each megabiome. For instance, tundra in our data for PI is represented by only 4 points, which counts for a small contribution to the ΔV since we averaged it over a total of 159 points, but this calculation could induce a significant bias if these 4 points cover a large area of China.

So, we used the biome scores based on the artificial neural network technique as described by Guiot et al. (1996) for interpolation (the plots in red rectangle in Fig. 5), and compared the simulated vegetation distribution from BIOME4 for each model with the interpolated pattern. During the MH, most models are able to capture the tundra on the Tibetan Plateau as well as the combination of warm mixed forest and temperate forest in the southeast. However, all models fail to simulate or underestimate the desert area in the northwest compared to reconstructed data. The main model-data inconsistency in the MH vegetation distribution occurs in the northeast, where data show a mix of grassland and temperate forest, and the models show a mix of grassland and boreal forest.

The area statistic carried out for simulated vegetation changes (Fig. 6) reveals that the main difference during the MH, compared with PI, is that grassland replaced boreal forest in large tracts of the northeast (Fig. 5, Fig. ~~S7~~S8). No other significant difference in vegetation distribution between the two periods was derived from models. Unlike in models, three main changes in megabiomes during the MH are depicted by the data. Firstly, the megabiomes converted from grassland to temperate forest in the northeast. Secondly, a large area of temperate forest was replaced in the southeast by a northward

expansion of warm mixed forest. Thirdly, in the northwest and at the northern margin of the Tibetan Plateau, part of the desert area changed into grassland. However, none of the models succeed in capturing these features, especially the transition from grassland into forest in the northeast during the MH. Therefore, this failure to capture vegetation changes between the two periods will lead to a cumulating inconsistency in the model-data comparison for climate anomalies because of the vegetation-climate feedbacks.

4. Discussion

4.1 Validation and uncertainties for reconstruction

To investigate the discrepancy between model-data for the MH climate change over China, the reliability of our reconstruction should be firstly considered. For the cross-proxy validation, we compared our reconstruction with previous studies concerning the MH climate change over China based on multiple proxies (including pollen, lake core, palaeosol, ice core, peat and stalagmite), the related references and detailed information are listed in Supplementary Information (Table S9 and Table S10). In comparison with PI condition, most reconstructions reproduced warmer and wetter annual condition during the MH (Fig. 7), same as our study. In other words, this discrepancy between model-data for climate change over China during the MH is common and robust in reconstructions derived from different proxies. Our study just reinforces the picture given by the discrepancies between PMIP simulation and pollen data derived from a synthesis of the literature.

However, there are still some bias in the reconstruction. Estimated climates for the

present day from IVM were compared with observed climates (Table 6), the slopes and intercepts show slightly bias for annual and January precipitation, while there is considerable bias between IVM reconstruction and observation for temperature and July precipitation. For the uncertainties on data reconstruction, IVM relies heavily on BIOME4, and since BIOME4 is a global vegetation model, it is possible that the spatial robustness of regional reconstruction could be less than that of global reconstruction due to the failure in simulating local features (Bartlein et al., 2011). Moreover, the output of the model is not directly compared to the pollen data, the conversion of BIOME4 biomes to pollen biomes by the transfer matrix may add the source of uncertainty in reconstruction. All these bias in reconstruction should be considered in the discrepancy between model-data for climate change during the MH over China.

4.2 Uncertainties for simulation

The discrepancies between model-data for MH climate change could also be resulted from the uncertainties in simulation. Firstly, the coarse spatial resolution of models. Previous study shows the GCMs from PMIP3 is reliable to simulate the geographical distribution of temperature and precipitation over China for present day without downscaling, but there is considerable bias between simulation and observation for precipitation (Jiang et al., 2016). In particular, the climate fields, directly used from the model output without downscaling, will not contain the spatial variability of modern climate that in topographically complex areas. And thus, it's necessary to check in which degree the model-data mismatch is related to rough topography used in the climate models. In PMIP3, MRI-CGCM3 has the highest resolution (Atmosphere:

320*160*L48; Ocean: 364*368*L51), while IPSL-CM5A-LR has the lowest one (Atmosphere: 96*96*L39; Ocean: 182*149*L31). In Fig. 8, we give the actual modern topography and the interpolated topography used in MRI-CGCM3 and IPSL-CM5A-LR. For MRI-CGCM3, the topography is very close to the observation, so for this model, the model-data discrepancy during MH over China is not related to the resolution. However, for the model with coarse resolution (IPSL-CM5A-LR), it's true that the coarse version of model will lead to bias in topography when the regional diversity is discussed. The variations in topography could influence the vegetation and hence the simulated climate. To quantify this impact, we compare the topography and PI climate results of IPSL-CM5A-LR and IPSL-CM5A-MR. Fig. 9 shows that the difference in topography caused by model resolution do has an impact on small scales (e.g. south region of the Tibetan Plateau), but not on the overall pattern. For a better comparison, the climate variables should be downscaled in the future work.

Secondly, b~~B~~esides the qualitative consistency among models, caused by the protocol of PMIP3 experiments (Table 2), a variability in the magnitude of anomalies between models is clearly illustrated by the boxplots (Fig.3 and Fig.4), especially for the temperature anomaly. Figure S10 demonstrates that there is no any clear relationship between PI temperature and temperature anomaly (MH-PI). In other words, these disparities in value or even pattern among models are not resulted from the difference in PI simulation, instead, they reflect the obvious differences in the response by the climate models to the MH forcing which raises on the question of the magnitude of feedbacks among models.

As positive feedbacks between climate and vegetation are important to explain regional climate changes, the failure to capture or the underestimation of the amplitude and pattern of the observed vegetation differences among models (see Section 3.2) could amplify and partly account for the model-data disparities in climate change, mainly due to variations in the albedo. Because the HadGEM2-ES and HadGEM2-CC are the only two models in PMIP3 with dynamic vegetation simulation for the MH, we thus focused on them to examine the variations in vegetation fraction in the simulations. The main vegetation changes during the MH demonstrated by HadGEM2-ES are increased tree coverage (~15%) and a decreased bare soil fraction (~6%), while HadGEM2-CC depicts a ~3% decrease in tree fraction and a ~1% increase in bare soil (Fig. ~~S9~~S11). We made a rough calculation of albedo variance caused solely by vegetation change for both two models and for our reconstruction, based on the area fraction and albedo value of each vegetation type (Betts, 2000; Bonfils et al., 2001; Oguntunde et al., 2006; Bonan, 2008).

The overall albedo change from the vegetation reconstruction during the MH shows a ~1.8% decrease when snow-free, with a much larger impact (~4.2% decrease) when snow-covered. The results from HadGEM2-ES are highly consistent with the albedo changes from the reconstruction, featuring a ~1.4% (~6.5%) decrease without (with) snow, while HadGEM2-CC produces an increased albedo value during the MH (~0.22% for snow-free, ~1.9% with snow-cover), depending on its vegetation simulation. Two ideas could be inferred from this calculation, 1) HadGEM2-ES is much better in simulating the MH vegetation changes than HadGEM2-CC. 2) the failure by models to

capture these vegetation changes will result in a much larger impact on winter albedo (with snow) than summer albedo (without snow). In conclusion, there is an obvious advantage of using AOVGCM instead of AOGCM when we discussing about the MH climate, but the premise is that the AOVGCM can simulate accurate vegetation distribution.

These surface albedo changes due to vegetation changes could have a cumulative effect on the regional climate by modifying the radiative fluxes. For instance, the spread of trees into the grassland biome in the northeast during the MH, revealed by the reconstruction in our study, should act as a positive feedback to climate warming by increasing the surface net shortwave radiation associated with reductions in albedo due to taller and darker canopies (Chapin et al., 2005). Previous studies show that cloud and surface albedo feedbacks on radiation are major drivers of differences between model outputs for past climates. Moreover, the land surface feedback shows large disparities among models (Braconnot and Kageyama, 2015).

We used a simplified approach (Taylor et al., 2007) to quantify the feedbacks and to compare model behavior for the MH, thus justifying the focus on surface albedo and atmospheric scattering (mainly accounting for cloud change). Surface albedo and cloud change are calculated using the simulated incoming and outgoing radiative fluxes at the Earth's surface and at the top of atmosphere (TOA), based on data for the last 30 years averaged from all models. Using this framework, we quantified the effect of changes in albedo on the net shortwave flux at TOA (Braconnot and Kageyama, 2015), and further investigated the relationship between these changes and temperature change. Fig. ~~8~~ 10

shows that most models produced a negative cloud cover and surface albedo feedback on the annual mean shortwave radiative forcing. Concerning seasonal change, the shortwave cloud and surface feedback in most models tend to counteract the insolation forcing during the boreal summer, while they enhance the solar forcing during winter. A strong positive correlation between albedo feedback and temperature change is depicted, with a large spread in the models owing to the difference in albedo in the 13 models. In particular, CNRM-CM5 and HadGEM2-ES capture higher values of cloud and surface albedo feedback, which could be the reason for the reversal of the decreased annual temperature seen in other models (Fig. 3d).

However, the vegetation patterns produced by BIOME4 in Figure 5 are not used in PMIP3 experiment setup, it's actually determined by the input variables from models. ~~Previous study shows the GCMs from PMIP3 are reliable to simulate the geographical distribution of temperature and precipitation over China for present day without downscaling, but there is considerable bias between simulation and observation for precipitation (Jiang et al., 2016).~~ Therefore, the disagreements of MH vegetation pattern possibly are inherited from the PI. To better quantify the vegetation-climate feedback, two experiments were conducted in CESM version 1.0.5, including a mid-Holocene (MH) experiment (6 ka) with original vegetation setting (prescribed as PI vegetation for the MH) and a MH experiment with reconstructed vegetation (6 ka_VEG). Fig~~ure 9~~. 11 shows the climate anomalies (6 ka_VEG minus 6 ka) between two simulations, for both annual and seasonal scale. For temperature, it's clear that the 6 ka_VEG simulation reproduces a warmer annual mean climate (~0.3 K on average) as well as an

obviously warmer winter (~0.6 K on average). For precipitation, the reconstructed vegetation leads to more annual and seasonal precipitation, which can also reconcile the discrepancy of increase amplitude for precipitation during the MH between model-data (data reproduced larger amplitude than model, revealed by our study). So the mismatch between model-data in MH vegetation could partly account for the discrepancy of climate due to the interaction between vegetation and climate through radiative and hydrological forcing with albedo. These results pinpoint the value of building a new generation of models able to capture not only the atmosphere and ocean response, but also the non-linear responses of vegetation and hydrology to the climate change.

5. Conclusion

In this study, we compare the annual and seasonal outputs from the PMIP3 models with an updated synthesis of climate reconstruction over China, including, for the first time, a seasonal cycle of temperature and precipitation. In response to the seasonal insolation change prescribed in PMIP3 for the MH, all models produce similar large-scale patterns for seasonal temperature and precipitation (higher than present July precipitation and MTWA, lower than present MTCO), with either an over- or underestimate of the climate changes when compared to the data. The main discrepancy emerging from the model-data comparison occurs in the annual and MTCO, where data show an increased value and most models simulate the opposite except CNRM-CM5 and HadGEM2-ES reproduced the higher-than-present annual temperature during MH

as data showed. By conducting simulations in BIOME4 and CESM, we show that the surface processes are the key factors drawing the uncertainties between models and data. These results pinpoint the crucial importance of including the non-linear responses of the surface water and energy balance to vegetation changes. However, it should also be noted that prescribing the vegetation with reconstructed biomes would reduce the power of the biome-based climate reconstruction, owing to the potential circularity (prescribe the vegetation to get the vegetation). Moreover, besides the vegetation influence, to which extent this model-data discrepancy is related to rough topography, soil type and other possible factors should be investigated in the future work.

Data availability

The PMIP3 output is publicly available at website (http://pmip3.lsce.ipsl.fr/) by the climate modelling groups, the 65 pollen biomization results are provided by Members of China Quaternary Pollen Data Base (CQPD), Table 1 shows the information (including references) of the 91 collected pollen records and 3 original ones in our study, the biome scores of these 94 pollen records derived from IVM are listed in Table S4. All the reconstructed climate values at each pollen site from IVM are provided in Table S5. For the data from CQPD, the basic information (location, data supporter, age control and biome type of each site) can be found in CQPD (2000), while the original data are not publicly available yet. To whom request for the data, you can contact with Yunli Luo (lyl@ibcas.ac.cn, Institute of Botany, Chinese Academy of Sciences, Beijing,

100093, China), a core member and academic secretary of the CQPD.

Author contribution

Yating Lin carried out the model-data analysis and prepared for the first manuscript, Gilles Ramstein contributed a lot to the paper's structure and content, Haibin Wu provided the reconstruction results from IVM and contributed the paper's structure and content. Raj Rani-Singh conducted the BIOME4 simulations. Ran Zhang carried out the simulation in CESM. Pascale Braconnot, Masa Kegeyama and Zhengtang Guo contributed great ideas on model-data comparison work. Qin Li and Yunli Luo provided pollen data. All co-authors helped to improve the paper.

Competing interest

The authors declare no competing interests.

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Table 1. Basic information of the pollen dataset used in this study

Site	Lat	Lon	Alt	Webb 1-7	Source
Sujiawan	35.54	104.52	1700	2	original data (Zou et al., 2009)
Xiaogou	36.10	104.90	1750	2	original data (Wu et al., 2009)
Dadiwan	35.01	105.91	1400	1	original data (Zou et al., 2009)
Sanjiaocheng	39.01	103.34	1320	1	Chen et al., 2006
Chadianpo	36.10	114.40	65	2	Zhang et al., 2007
Qindeli	48.08	133.25	60	2	Yang and Wang, 2003
Fuyuanchuangye	47.35	133.03	56	3	Xia, 1988
Jingbo Lake	43.83	128.50	350	2	Li et al., 2011
Hani Lake	42.22	126.52	900	1	Cui et al., 2006
Jinchuan	42.37	126.43	662	5	Jiang et al., 2008
Maar Lake	42.30	126.37	724	1	Liu et al., 2009
Maar Lake	42.30	126.37	724	1	Liu et al., 2008
Xie Lake SO4	37.38	122.52	0	1	Zhou et al., 2008
Nanhuiheming Core	31.05	121.58	7	2	Jia and Zhang, 2006
Toushe	23.82	120.88	650	1	Liu et al., 2006
Dongyuan Lake	22.17	120.83	415	2	Lee et al., 2010
Yonglong CY	31.78	120.44	5	3	Zhang et al., 2004
Hangzhou HZ3	30.30	120.33	6	4	Liu et al., 2007
Xinhua XH1	32.93	119.83	2	3	Shu et al., 2008
ZK01	31.77	119.80	6	2	Shu et al., 2007
Chifeng	43.97	119.37	503	2	Xu et al., 2002
SZK1	26.08	119.31	9	1	Zheng et al., 2002
Gucheng	31.28	118.90	6	4	Yang et al., 1996
Lulong	39.87	118.87	23	2	Kong et al., 2000
Hulun Lake	48.92	117.42	545	1	Wen et al., 2010
CH-1	31.56	117.39	5	2	Wang et al., 2008
Sanyi profile	43.62	117.38	1598	4	Wang et al., 2005
Xiaoniuchang	42.62	116.82	1411	1	Liu et al., 2002
Haoluku	42.87	116.76	1333	2	Liu et al., 2002
Liuzhouwan	42.71	116.68	1410	7	Liu et al., 2002
Poyang Lake 103B	28.87	116.25	16	4	Jiang and Piperno, 1999
Baiyangdian	38.92	115.84	8	2	Xu et al., 1988
Bayanchagan	42.08	115.35	1355	1	Jiang et al., 2006
Huangjiapu	40.57	115.15	614	7	Sun et al., 2001
Dingnan	24.68	115.00	250	2	Xiao et al., 2007
Guang1	36.02	114.53	56	1	Zhang et al., 2007
Angulinao	41.33	114.35	1315	1	Wang et al., 2010

Yangyuanxipu	40.12	114.22	921	6	Wang et al., 2003
Shenzhen Sx07	22.75	113.78	2	2	Zhang and Yu, 1999
GZ-2	22.71	113.51	1	7	Wang et al., 2010
Daihai99a	40.55	112.66	1221	2	Xiao et al., 2004
Daihai	40.55	112.66	1221	2	Sun et al., 2006
Sihenan profile	34.80	112.40	251	1	Sun and Xia, 2005
Diaojiaohaizi	41.30	112.35	2015	1	Yang et al., 2001
Ganhaizi	39.00	112.30	1854	3	Meng et al., 2007
Jiangling profile	30.35	112.18	37	1	Xie et al., 2006
Helingeer	40.38	111.82	1162	3	Li et al., 2011
Shennongjia2	31.75	110.67	1700	1	Liu et al., 2001
Huguangyan Maar Lake B	21.15	110.28	59	2	Wang et al., 2007
Yaoxian	35.93	110.17	1556	2	Li et al., 2003
Jixian	36.00	110.06	1005	6	Xia et al., 2002
Shennongjia Dajiu Lake	31.49	110.00	1760	2	Zhu et al., 2006
Qigai nuur	39.50	109.85	1300	1	Sun and Feng, 2013
Beizhuangcun	34.35	109.53	519	1	Xue et al., 2010
Lantian	34.15	109.33	523	1	Li and Sun, 2005
Bahanniao	39.32	109.27	1278	1	Guo et al., 2007
Midiwan	37.65	108.62	1400	1	Li et al., 2003
Jinbian	37.50	108.33	1688	2	Cheng, 2011
Xindian	34.38	107.80	608	1	Xue et al., 2010
Nanguanzhuang	34.43	107.75	702	1	Zhao et al., 2003
Xifeng	35.65	107.68	1400	3	Xu, 2006
Jiyuan	37.13	107.40	1765	3	Li et al., 2011
Jiacunyuan	34.27	106.97	1497	2	Gong, 2006
Dadiwan	35.01	105.91	1400	1	Zou et al., 2009
Maying	35.34	104.99	1800	1	Tang and An, 2007
Huiningxiaogou	36.10	104.90	1750	2	Wu et al., 2009
Sujiawan	35.54	104.52	1700	2	Zou et al., 2009
QTH02	39.07	103.61	1302	1	Yu et al., 2009
Laotanfang	26.10	103.20	3579	2	Zhang et al., 2007
Hongshui River2	38.17	102.76	1511	1	Ma et al., 2003,
Ruoergai	33.77	102.55	3480	1	Cai, 2008
Hongyuan	32.78	102.52	3500	2	Wang et al., 2006
Dahaizi	27.50	102.33	3660	1	Li et al., 1988
Shayema Lake	28.58	102.22	2453	1	Tang and Shen, 1996
Luanhaizi	37.59	101.35	3200	5	Herzschuh et al., 2006
Lugu Lake	27.68	100.80	2692	1	Zheng et al., 2014
Qinghai Lake	36.93	100.73	3200	2	Shen et al., 2004
Dalianhai	36.25	100.41	2850	3	Cheng et al., 2010

Erhai ES Core	25.78	100.19	1974	1	Shen et al., 2006
Xianmachi profile	25.97	99.87	3820	7	Yang et al., 2004
TCK1	26.63	99.72	3898	1	Xiao et al., 2014
Yidun Lake	30.30	99.55	4470	4	Shen et al., 2006
Kuhai lake	35.30	99.20	4150	1	Wischnewski et al., 2011
Koucha lake	34.00	97.20	4540	2	Herzschuh et al., 2009
Hurleg	37.28	96.90	2817	2	Zhao et al., 2007
Basu	30.72	96.67	4450	3	Tang et al., 1998
Tuolekule	43.34	94.21	1890	1	An et al., 2011
Balikun	43.62	92.77	1575	1	Tao et al., 2010
Cuona	31.47	91.51	4515	3	Tang et al., 2009
Dongdaohaizi2	44.64	87.58	402	1	Li et al., 2001
Bositeng Lake	41.96	87.21	1050	1	Xu, 1998
Cuoqin	31.00	85.00	4648	4	Luo, 2008
Yili	43.86	81.97	928	2	Li et al., 2011
Bangong Lake	33.75	78.67	4241	1	Huang et al., 1996
Shengli	47.53	133.87	52	2	CQPD, 2000
Qingdeli	48.05	133.17	52	1	CQPD, 2000
Changbaishan	42.22	126.00	500	2	CQPD, 2000
Liuhe	42.90	125.75	910	7	CQPD, 2000
Shuangyang	43.27	125.75	215	1	CQPD, 2000
Xiaonan	43.33	125.33	209	1	CQPD, 2000
Tailai	46.40	123.43	146	5	CQPD, 2000
Sheli	45.23	123.31	150	4	CQPD, 2000
Tongtu	45.23	123.30	150	7	CQPD, 2000
Yueyawan	37.98	120.71	5	1	CQPD, 2000
Beiwangxu	37.75	120.61	6	1	CQPD, 2000
East Tai Lake1	31.30	120.60	3	1	CQPD, 2000
Suzhou	31.30	120.60	2	7	CQPD, 2000
Sun-Moon Lake	23.51	120.54	726	2	CQPD, 2000
West Tai Lake	31.30	119.80	1	1	CQPD, 2000
Changzhou	31.43	119.41	5	1	CQPD, 2000
Dazeyin	39.50	119.17	50	7	CQPD, 2000
Hailaer	49.17	119.00	760	2	CQPD, 2000
Cangumiao	39.97	118.60	70	1	CQPD, 2000
Qianhuzhuang	40.00	118.58	80	6	CQPD, 2000
Reshuitang	43.75	117.65	1200	1	CQPD, 2000
Yangerzhuang	38.20	117.30	5	7	CQPD, 2000
Mengcun	38.00	117.06	7	5	CQPD, 2000
Hanjiang-CH2	23.48	116.80	5	2	CQPD, 2000
Hanjiang-SH6	23.42	116.68	3	7	CQPD, 2000
Hanjiang-SH5	23.45	116.67	8	2	CQPD, 2000

Hulun Lake	48.90	116.50	650	1	CQPD, 2000
Heitutang	40.38	113.74	1060	1	CQPD, 2000
Zhujiang delta PK16	22.73	113.72	15	7	CQPD, 2000
Angulitun	41.30	113.70	1400	7	CQPD, 2000
Bataigou	40.92	113.63	1357	1	CQPD, 2000
Dahewan	40.87	113.57	1298	2	CQPD, 2000
Yutubao	40.75	112.67	1254	7	CQPD, 2000
Zhujiang delta K5	22.78	112.63	12	1	CQPD, 2000
Da-7	40.52	112.62	1200	3	CQPD, 2000
Hahai-1	40.17	112.50	1200	5	CQPD, 2000
Wajianggou	40.50	112.50	1476	4	CQPD, 2000
Shuidong Core A1	21.75	111.07	-8	2	CQPD, 2000
Dajahu	31.50	110.33	1700	2	CQPD, 2000
Tianshuigou	34.87	109.73	360	7	CQPD, 2000
Mengjiawan	38.60	109.67	1190	7	CQPD, 2000
Fuping BK13	34.70	109.25	422	7	CQPD, 2000
Yaocun	34.70	109.22	405	2	CQPD, 2000
Jinbian	37.80	108.60	1400	4	CQPD, 2000
Dishaogou	37.83	108.45	1200	2	CQPD, 2000
Shuidonggou	38.20	106.57	1200	5	CQPD, 2000
Jiuzhoutai	35.90	104.80	2136	7	CQPD, 2000
Luojishan	27.50	102.40	3800	1	CQPD, 2000
RM-F	33.08	102.35	3400	2	CQPD, 2000
Hongyuan	33.25	101.57	3492	1	CQPD, 2000
Wasong	33.20	101.52	3490	1	CQPD, 2000
Guhu Core 28	27.67	100.83	2780	7	CQPD, 2000
Napahai Core 34	27.80	99.60	3260	2	CQPD, 2000
Lop Nur	40.50	90.25	780	7	CQPD, 2000
Chaiwobao1	43.55	87.78	1100	2	CQPD, 2000
Chaiwobao2	43.33	87.47	1114	1	CQPD, 2000
Manasi	45.97	84.83	257	2	CQPD, 2000
Wuqia	43.20	83.50	1000	7	CQPD, 2000
Madagou	37.00	80.70	1370	2	CQPD, 2000
Tongyu	44.83	123.10	148	5	CQPD, 2000
Nanjing	32.15	119.05	10	2	CQPD, 2000
Banpo	34.27	109.03	395	1	CQPD, 2000
QL-1	34.00	107.58	2200	7	CQPD, 2000
Dalainu	43.20	116.60	1290	7	CQPD, 2000
Qinghai	36.55	99.60	3196	2	CQPD, 2000

Table 2. Earth's orbital parameters and trace gases as recommended by the PMIP3 project

Simulation	Orbital parameters			Trace gases		
	Eccentricity	Obliquity(°)	Angular precession(°)	CO_2(ppmv)	CH_4(ppbv)	N_2O(ppbv)
PI	0,0167724	23,446	102,04	280	760	270
MH	0,018682	24,105	0,87	280	650	270

Table 3. PMIP3 model characteristics and references

Model Name	*Modelling centre*	*Type*	*Grid*	*Reference*
BCC-CSM-1-1	BCC-CMA (China)	AOVGCM	Atm: 128×64×L26; Ocean: 360×232×L40	Xin et al. (2013)
CCSM4	NCAR (USA)	AOGCM	Atm: 288 × 192×L26; Ocean: 320×384×L60	Gent et al. (2011)
CNRM-CM5	CNRM&CERFACS (France)	AOGCM	Atm: 256 × 128×L31; Ocean: 362×292×L42	Voldoire et al. (2012)
CSIRO-Mk3-6-0	QCCCE, Australia	AOGCM	Atm: 192 × 96×L18; Ocean: 192×192×L31	Jeffrey et al. (2013)
FGOALS-g2	LASG-IAP (China)	AOVGCM	Atm: 128 × 60×L26; Ocean: 360×180×L30	Li et al. (2013)
FGOALS-s2	LASG-IAP (China)	AOVGCM	Atm: 128 × 108×L26; Ocean: 360×180×L30	Bao et al. (2013)
GISS-E2-R	GISS (USA)	AOGCM	Atm: 144 × 90×L40; Ocean: 288×180×L32	Schmidt et al. (2014a,b)
HadGEM2-CC	Hadley Centre (UK)	AOVGCM	Atm: 192 × 145×L60; Ocean: 360×216×L40	Collins et al. (2011)
HadGEM2-ES	Hadley Centre (UK)	AOVGCM	Atm: 192 × 145×L38; Ocean: 360×216×L40	Collins et al. (2011)
IPSL-CM5A-LR	IPSL (France)	AOVGCM	Atm: 96 × 96×L39; Ocean: 182×149×L31	Dufresne et al. (2013)
MIROC-ESM	Utokyo&NIES (Japan)	AOVGCM	Atm: 128×64×L80; Ocean: 256×192×L44	Watanabe et al. (2011)
MPI-ESM-P	MPI (Germany)	AOGCM	Atm: 196×98×L47; Ocean: 256×220×L40	Giorgetta et al. (2013)
MRI-CGCM3	MRI (Japan)	AOGCM	Atm: 320 × 160×L48; Ocean: 364×368×L51	Yukimoto et al. (2012)

Table 4. Important values for each plant life form used in the ΔV statistical calculation as assigned to the megabiomes

Megabiomes	*Life form*		
	Trees	**Grass/grass**	**Bare ground**
Tropical forest	1		
Warm mixed forest	1		
Temperate forest	1		
Boreal forest	1		
Grassland and dry shrubland	0.25	0.75	
Savanna and dry woodland	0.5	0.5	
Desert		0.25	0.75
Tundra		0.75	0.25

Table 5. Attribute values and the weights for plant life forms used by the ΔV statistic

Life form	***Attribute***			
Trees	Evergreen	Needle-leaf	Tropical	Boreal
Tropical forest	1	0	1	0
Warm mixed forest	0.75	0.25	0	0
Temperate forest	0.5	0.5	0	0.5
Boreal forest	0.25	0.75	0	1
Grassland and dry shrubland	0.75	0.25	0.75	0
Savanna and dry woodland	0.25	0.75	0	0.5
weights	0.2	0.2	0.3	0.3
Grass/Shrub	Warm	Arctic/alpine		
Grassland and dry shrubland	1	0		
Savanna and dry woodland	0.75	0		
Desert	1	0		
Tundra	0	1		
weights	0.5	0.5		
Bare Ground	Arctic/alpine			
Desert	0			
Tundra	1			
weight	1			

Table 6. Regression coefficients between the reconstructed climates by inverse vegetation models and observed meteorogical values

Climate parameter	**Slope**	**Intercept**	**R**	**ME**	**RMSE**
MAT	0..82±0..02	0.92±0.18	0.89	0.16	3.25
MTCO	0.81±0.01	-1.79±0.18	0.95	-0.17	3.19
MTWA	0.75±0.03	4.57±0.60	0.75	-0.19	4.02
MAP	1.15±0.02	32.90±18.41	0.94	138.01	263.88
Pjan	1.01±0.02	0.32±0.47	0.94	0.52	8.89
Pjul	1.30±0.03	-21.67±4.52	0.89	16.45	52.9

The climatic parameters used for regression are the actual values (data source: China Climate Bureau, China Ground Meteorological Record Monthly Report, 1951-2001). MAT annual mean temperature, MTCO mean temperature of the coldest month, MTWA mean temperature of the warmest month, MAP annual precipitation, RMSE the root-mean-square error of the residuals, ME mean error of the residuals, Pjan: precipitation of January, Pjul: precipitation of July, R is the correlation coefficient, ± stand error

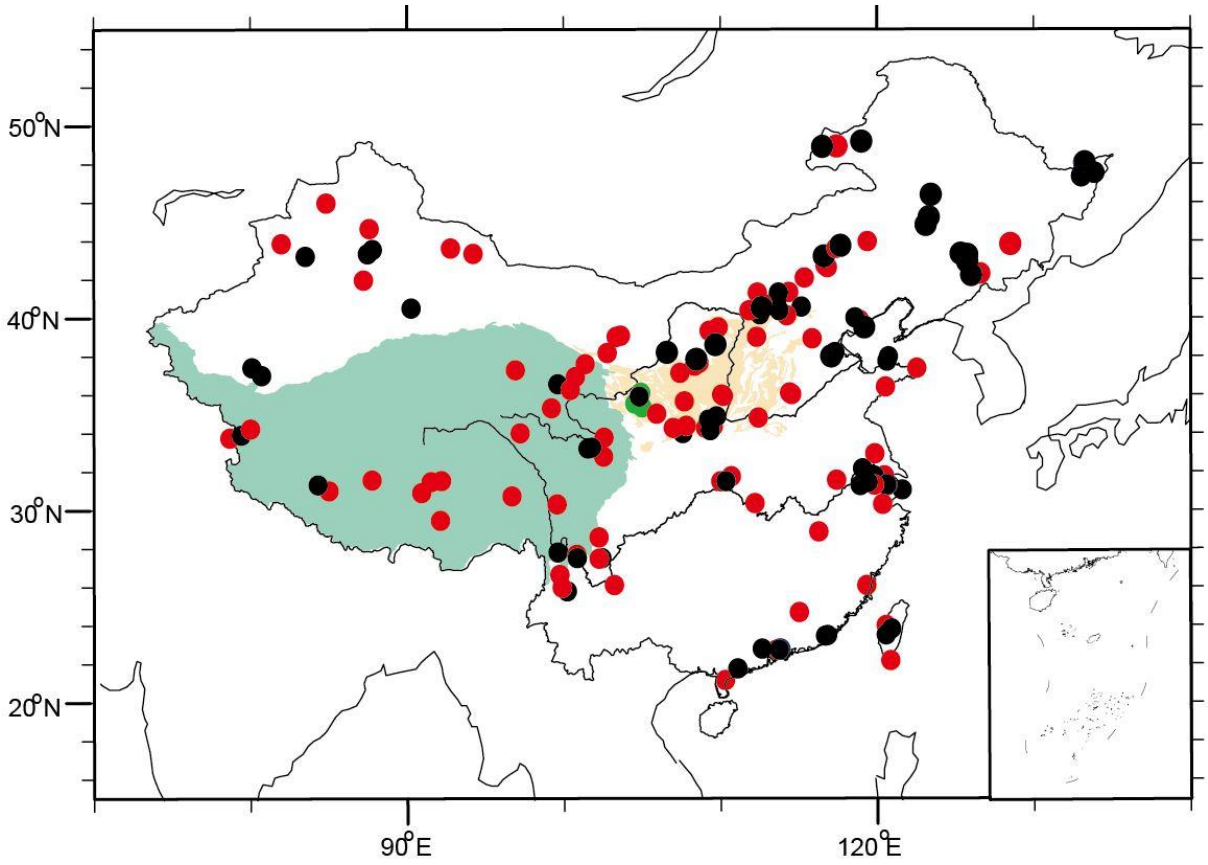

Figure 1. Distribution of pollen sites during mid-Holocene period in China. Black circle is the original China Quaternary Pollen Database, red circles are digitized ones from published papers, green circles represent the three original pollen data used in this study. The area with green color represents the Tibetan Plateau, yellow color for the Loess Plateau.

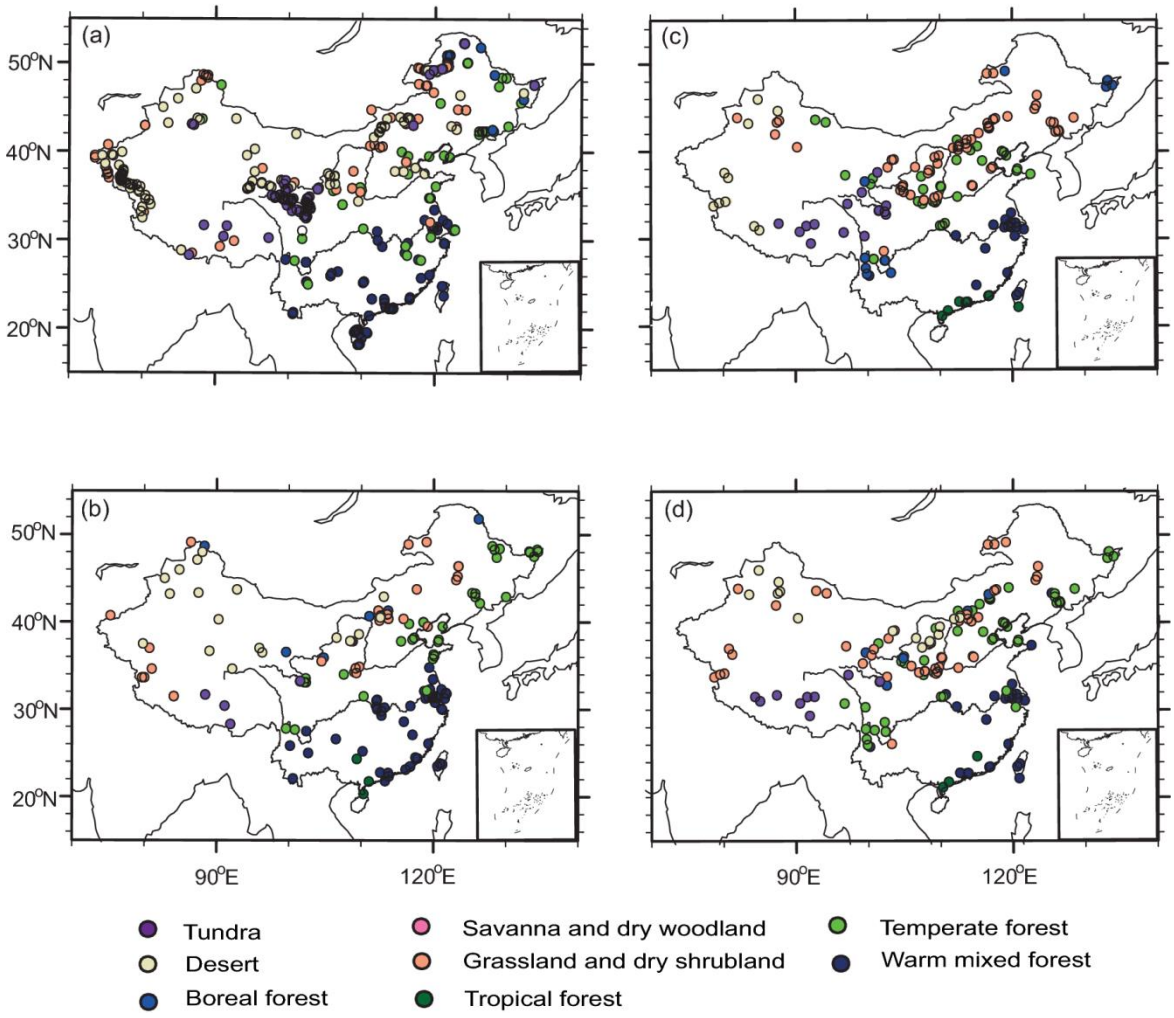

Figure 2. Comparison of megabiomes for PI (first row) and the MH (second row): (a,b) BIOME6000, (c,d) pollen data collected in this study.

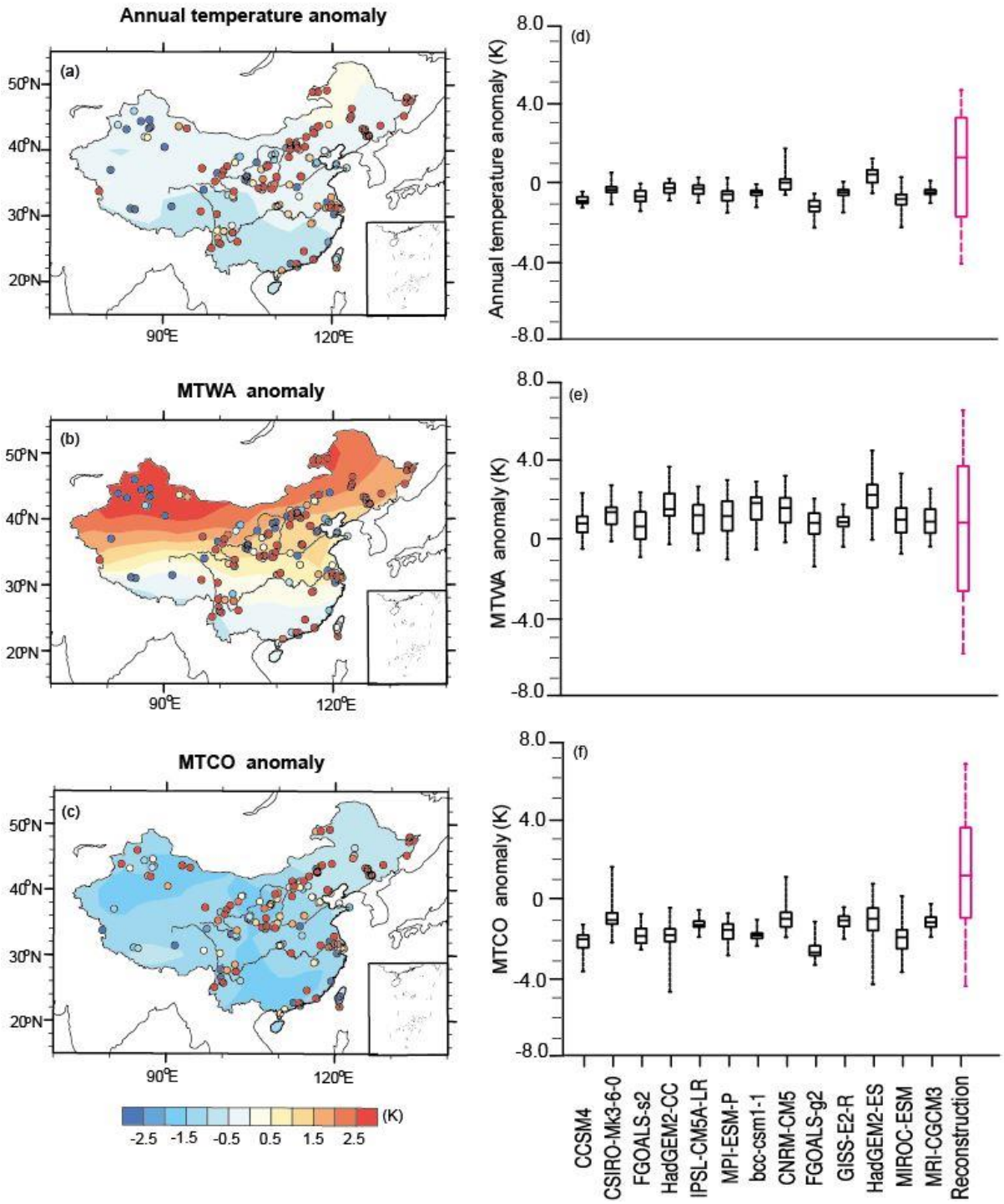

Figure 3. Model-data comparison for annual and seasonal (MTWA and MTCO) temperature (K). For the left panel (a-c), points represent the reconstruction from IVM, shades show the last 30-year means simulation results of multi-model ensemble (MME) for 13 PMIP3 models. The box-and-whisker plots (d-f) show the changes as shown by each PMIP3 model and the reconstruction. (d) considers changes in annual temperature, (e) indicates changes in MTWA, and (f) shows changes in MTCO. The lines in each box shows the median value from each set of measurements, the box shows the 25%-75% range, and the whiskers show the 90% interval (5th to 95th percentile).

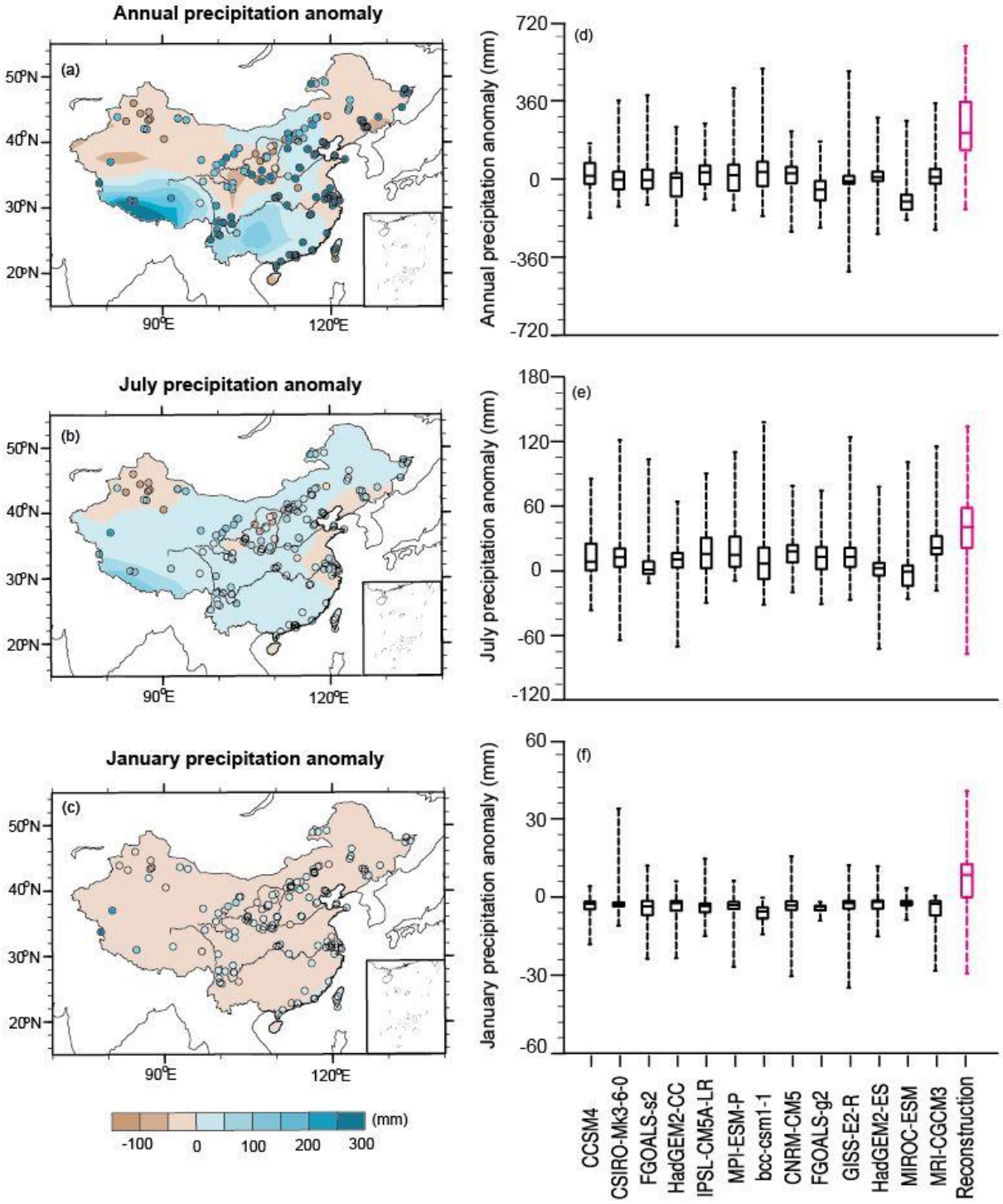

Figure 4. Model-data comparison for annual, July and January precipitation (mm). For the left panel (a,b), points represent the reconstruction from IVM, shades show the last 30-year means simulation results of multi-model ensemble (MME) for 13 PMIP3 models. The box-and-whisker plots (d-f) show the changes as shown by each PMIP3 model and the reconstruction. (d) considers changes in annual precipitation, (e) indicates changes in July precipitation, and (f) shows changes in January precipitation. The lines in each box shows the median value from each set of measurements, the box shows the 25%-75% range, and the whiskers show the 90% interval (5th to 95th percentile).

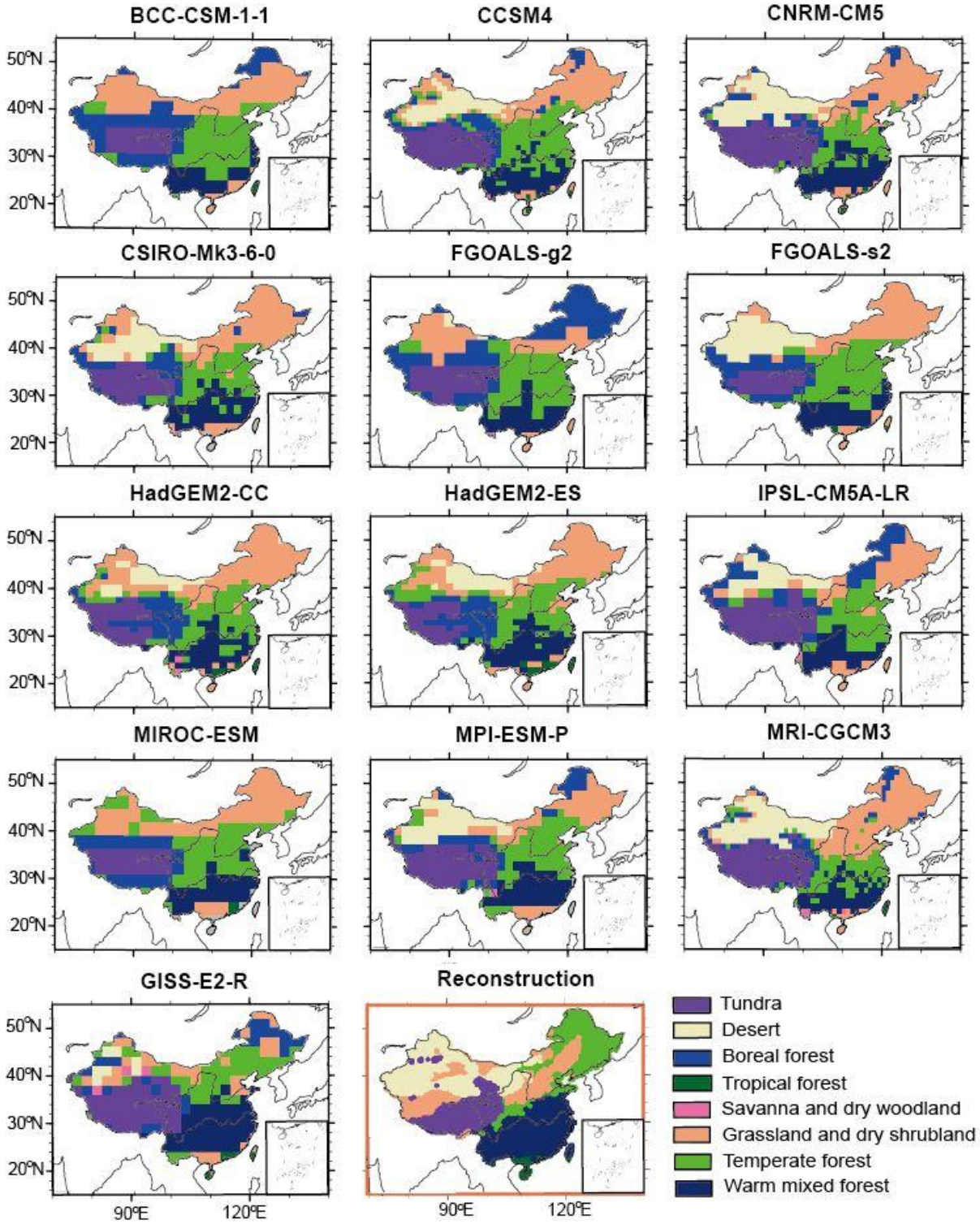

Figure 5. Comparison of interpolated megabiomes distribution (plot in red rectangle) with the simulated spatial pattern from BIOME4 for each model during mid-Holocene.

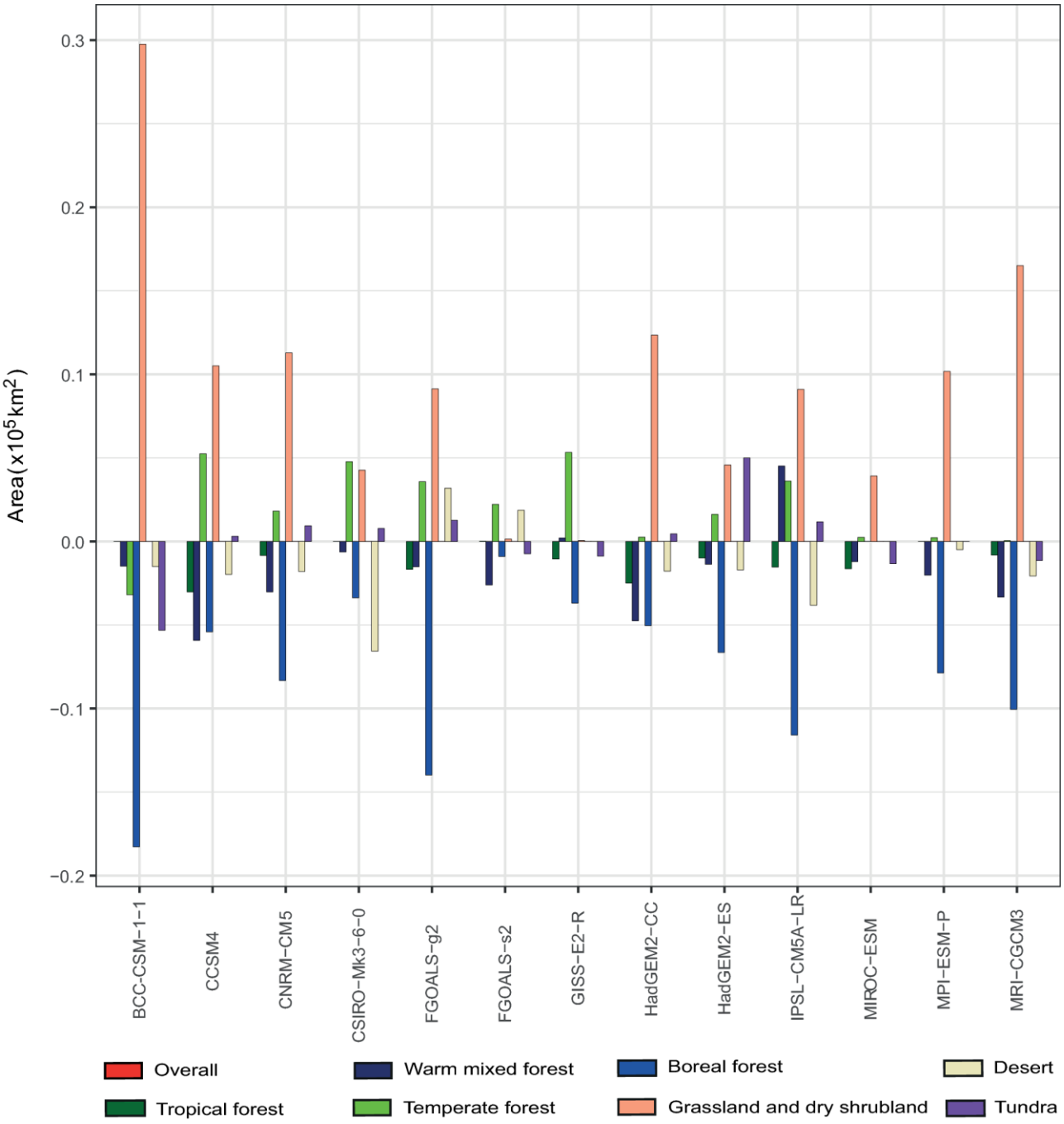

Figure 6. Changes in the extent of each megabiome as a consequence of simulated climate changes for each model, both expressed as change relative to the PI extent of same megabiome.

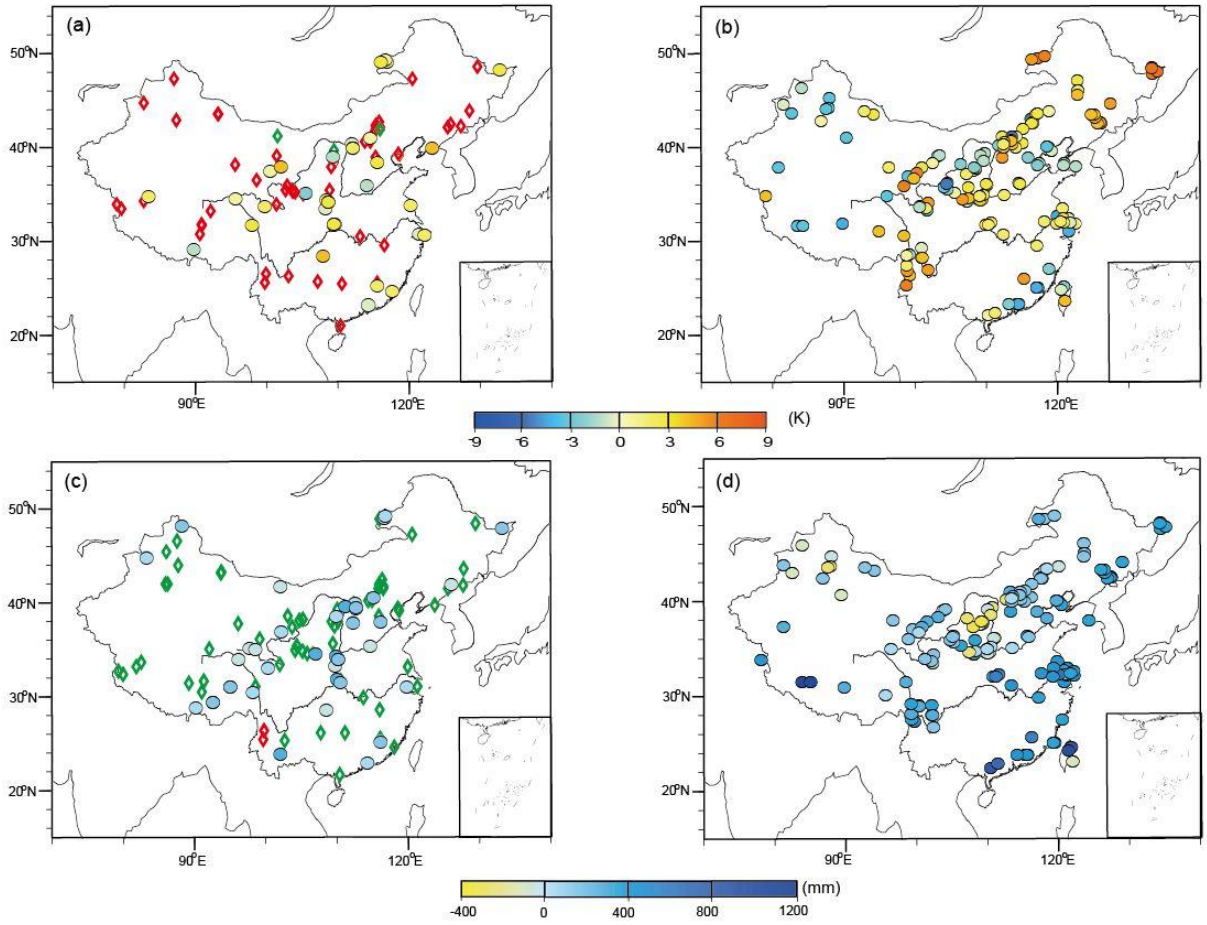

Figure 7. Comparison between the climate reconstruction and previous reconstruction over China. (a) Previous temperature results. Diamond is the qualitative reconstruction, red is the temperature increase and green is the temperature decrease; Circle is quantitative reconstruction; (b) Mean annual temperature reconstruction in this study; (c) Previous precipitation results, diamond is the qualitative reconstruction, red is the precipitation decrease and green is the precipitation increase; Circle is the quantitative reconstruction; (d) Mean annual precipitation reconstruction in this study.

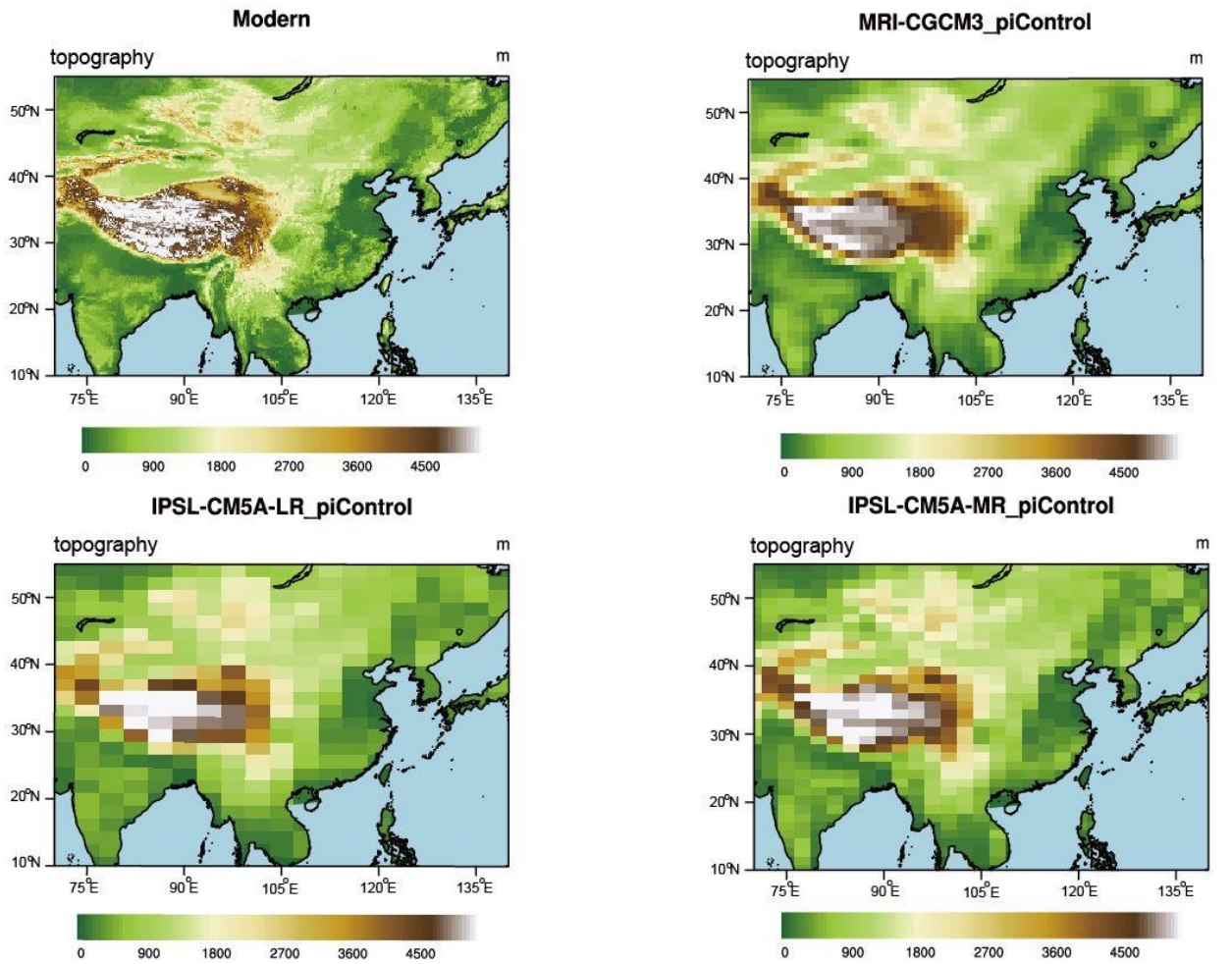

Figure 8. The topography comparison between models and observation

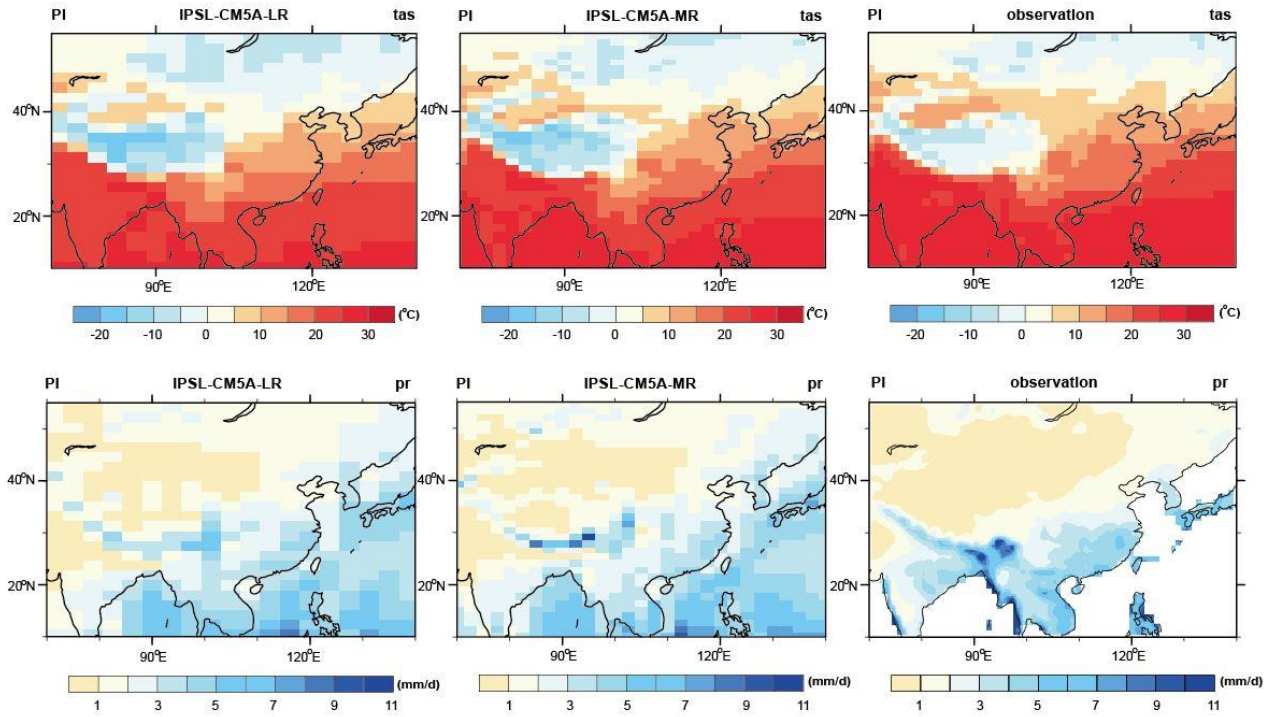

Figure 9. The preindustrial climate comparison between simulation and observation. Tas means temperature above 2m surface, pr means precipitation.

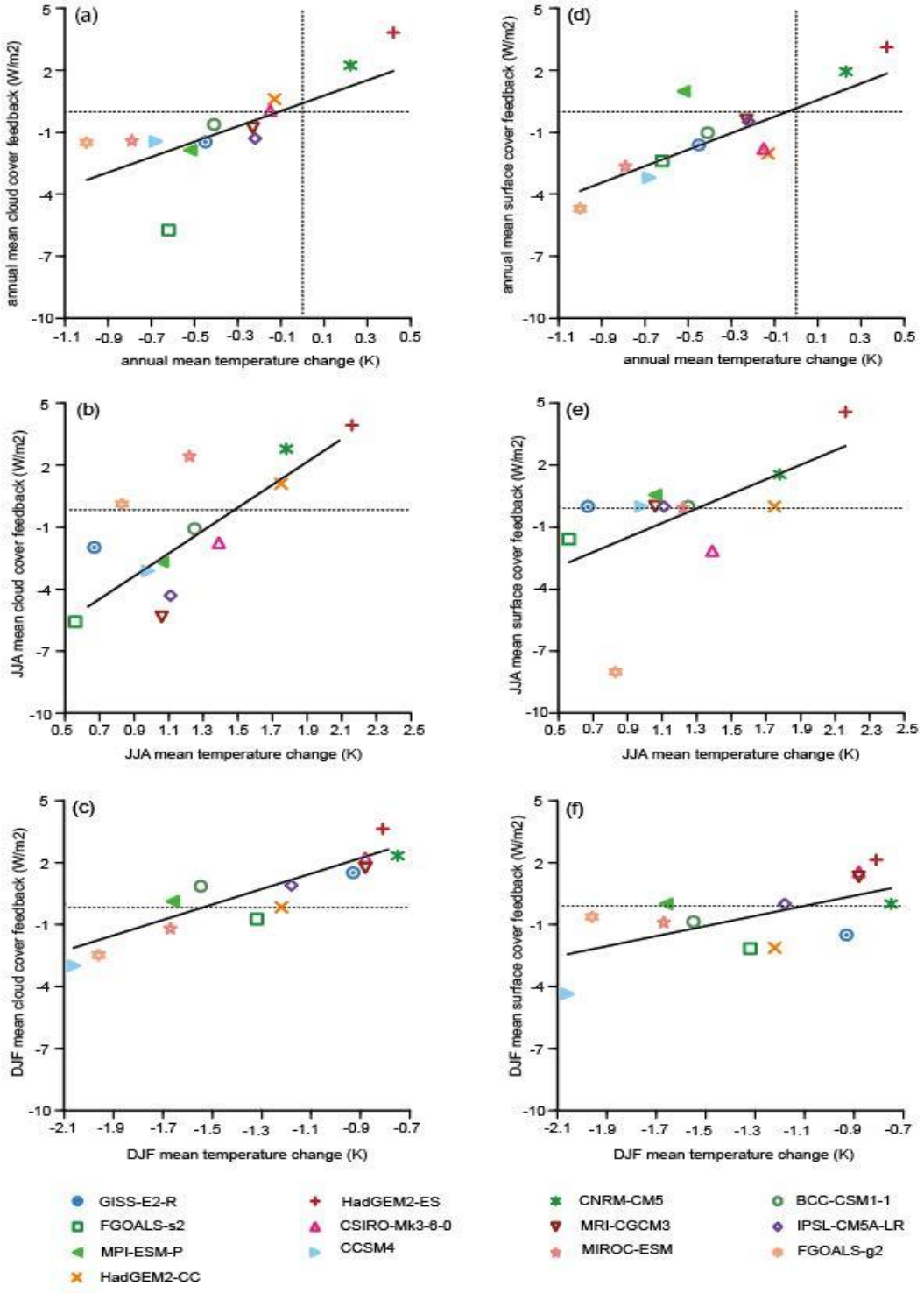

Figure ~~8~~10. Scatter plots showing temperature, cloud cover feedback and surface albedo feedback changes during the MH. The values shown are the simulated 30-year mean anomaly (MH-PI) for the 13 models. **a**, annual mean temperature relative to the annual mean cloud cover feedback and d, annual surface albedo feedback. b, Summer (JJA) mean temperature relative to the summer mean cloud cover feedback and **e**, Summer surface albedo feedback. **c**, Winter (DJF) mean temperature relative to the summer mean cloud cover feedback and **f**, Winter surface albedo feedback. The horizontal and vertical lines in plots represent the value of 0.

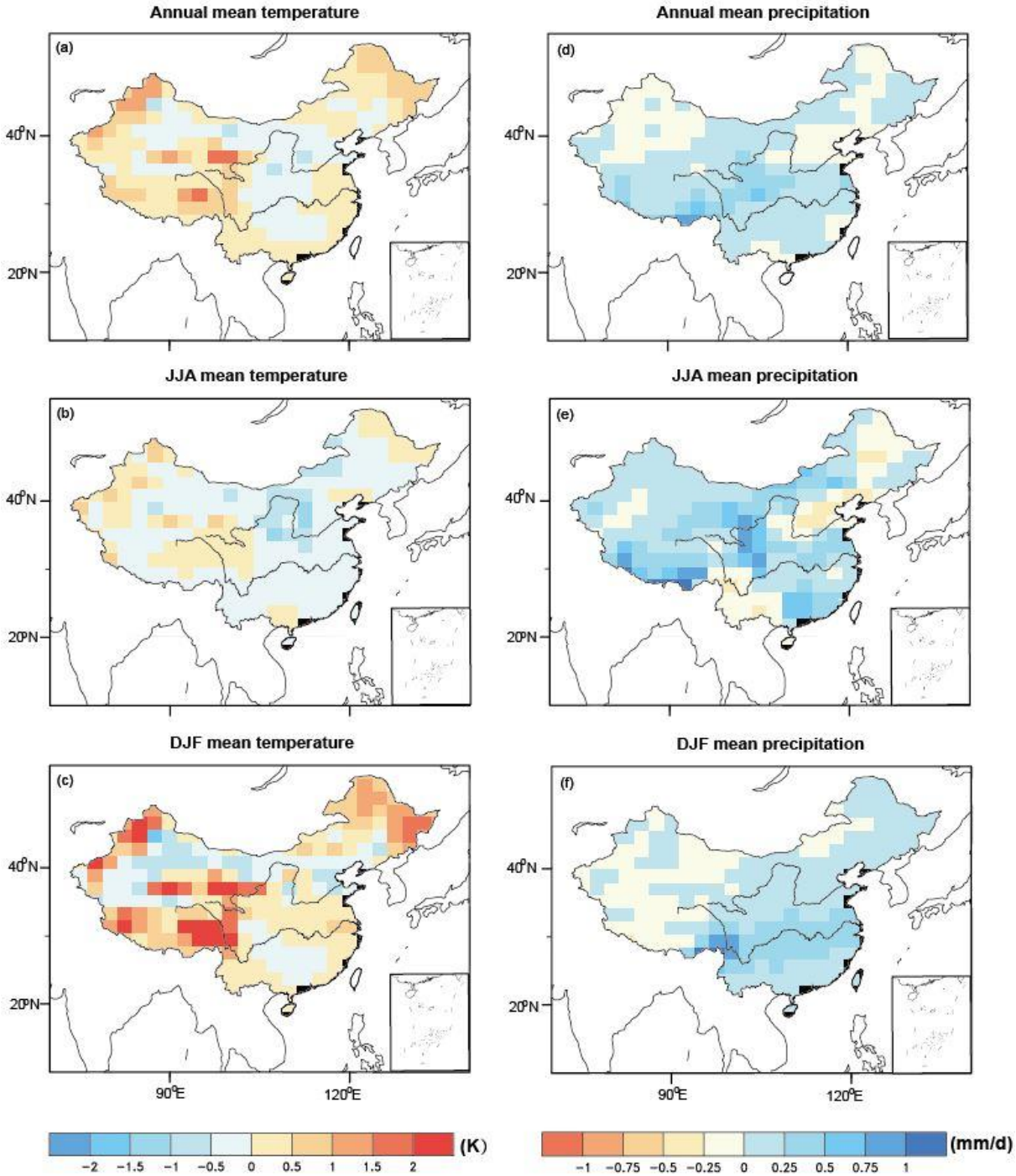

Figure ~~9~~11. Climate anomalies between the two experiments (6 ka and 6 ka_VEG) conducted in CESM version 1.0.5. The anomalies (6 ka_VEG-6 ka) of temperature and precipitation at both annual and seasonal scale are presented, and all these climate variables are calculated as the last 50-year means from two simulations.