



## Hydroclimatic variations in southeastern China during the 4.2 ka event reflected by stalagmite records

Haiwei Zhang<sup>1,2</sup>, Hai Cheng<sup>1,3</sup>, Yanjun Cai<sup>2,1</sup>, Christoph Spöhl<sup>4</sup>, Gayatri Kathayat<sup>1</sup>, Ashish Sinha<sup>5</sup>,  
5 R. Lawrence Edwards<sup>3</sup>, and Liangcheng Tan<sup>2,1,6</sup>

<sup>1</sup>Institute of Global Environmental Change, Xi'an Jiaotong University, Xi'an 710054, China

<sup>2</sup>Institute of Earth Environment, Chinese Academy of Sciences, State Key Laboratory of Loess and Quaternary Geology, Xi'an 710061, China

10 <sup>3</sup>Department of Earth Sciences, University of Minnesota, Minneapolis, Minnesota 55455, USA

<sup>4</sup>Institute of Geology, University of Innsbruck, Innsbruck 6020, Austria

<sup>5</sup>Department of Earth Science, California State University Dominguez Hills, Carson, California 90747, USA

15 <sup>6</sup>Open Studio for Oceanic-Continental Climate and Environment Changes, Pilot National Laboratory for Marine Science and Technology (Qingdao), Qingdao 266061, China

*Corresponding to:* Haiwei Zhang ([zhanghaiwei@xjtu.edu.cn](mailto:zhanghaiwei@xjtu.edu.cn))

**Abstract.** The collapses of several Neolithic cultures in China are considered to have been  
20 associated with abrupt climate change during the 4.2 ka event (4.2-3.9 ka BP). The hydroclimate of this event in southeastern China, however, is still poorly known, except for a few published records from the lower reaches of Yangtze River. In this study, a high-resolution record of monsoon precipitation between 5.3 and 3.6 ka BP based on a stalagmite from Shennong cave, Jiangxi Province, southeast China, is presented. Coherent variations in  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  reveal that  
25 the climate in this part of China was dominantly wet between 5.3 and 4.5 ka BP and mostly dry between 4.5 and 3.6 ka BP, interrupted by a wet interval (4.3-4.05 ka BP). A comparison with other records from monsoonal China suggests that monsoon precipitation decreased in northern China but increased in southern China during the 4.2 ka event. We propose that the weakened East Asian summer monsoon controlled by the reduced Atlantic Meridional Overturning Circulation  
30 resulted in this contrasting distribution of monsoon precipitation between north and south China. During the 4.2 ka event the rain belt remained longer at its southern position, giving rise to a pronounced humidity gradient between northern and southern China.

### 1 Introduction

35 The 4.2 ka event was a pronounced climate event in the Holocene which has been widely



studied in the past 20 years. It was identified as an abrupt (mega)drought and/or cooling event in a variety of natural archives including ice cores, speleothems, lake sediments, marine sediments, and loess. This climate episode was associated with the collapse of several ancient civilizations and human migrations in many sites worldwide (e.g., Egypt, Greece, the Indus Valley and the Yangtze Valley) (Weiss et al., 1993; Cullen et al., 2000; Gasse, 2000; DeMenocal, 2001; Weiss and Bradley, 2001; Thompson et al., 2002; Booth et al., 2005; Bar-Matthews and Ayalon, 2011; Berkelhammer et al., 2012; Ruan et al., 2016). Recently, the 4.2 ka event was defined as the lower boundary of the Meghalayan Stage by the International Commission on Quaternary Stratigraphy. The chronology of this geological boundary is defined at a specific level in a stalagmite from northeast India (<http://www.stratigraphy.org/>).

The climate change associated with the 4.2 ka event also significantly affected ancient civilizations in China, resulting in the collapses of Neolithic cultures (Jin and Liu, 2002; Huang et al., 2010, 2011; Wu et al., 2017). Most of these studies imply a temperature drop in continental China at about 4.2 ka BP (Yao and Thompson, 1992; Jin and Liu, 2002; Zhou et al., 2002; Xu et al., 2006; Yao et al., 2017; Zhao et al., 2017), but changes in the spatial distribution of precipitation are also discussed (Tan et al., 2008; Huang et al., 2010, 2011; Tan et al., 2018a, 2018b; Wu et al., 2017). For example, a grain-size record from Daihai Lake, north China, suggests a decrease in monsoon precipitation between 4.4 and 3.1 ka BP with a very dry interval between 4.4 and 4.2 ka BP (Peng et al., 2005). Extreme flooding during the 4.2 ka event was identified by paleoflood deposits in the middle reaches of the Yellow River (Huang et al., 2010, 2011). Wu et al. (2017) reported evidence of two extraordinary paleoflood events in the middle reaches of the Yangtze River at 4.9-4.6 ka BP and 4.1-3.8 ka BP, closely related to the expansion of the Jiangnan lakes. These extreme hydroclimate events may have accelerated the collapse of the Shijiahe Culture in the middle reaches of the Yangtze River (Wu et al., 2017). Multiple proxies in four stalagmites from Xianglong cave, south of the Qinling Mountains, indicate that the upper Hanjiang River region experienced a wet climate during the 4.2 ka event (Tan et al., 2018a). Peat records provide a broad picture of climate variations in southeast China (SEC) during the Holocene (Zhou et al., 2004; Zhong et al., 2010a, 2010b, 2010c, 2015, 2017). The resolution of these records, however, is not high enough to study the detailed structure of the 4.2 ka event. Until now, there is only one published stalagmite record from SEC (Xiangshui cave; Fig. 1), indicating a wet interval during the 4.2 ka event (Zhang et al., 2004).

The aim of this study was to obtain a high-resolution stalagmite-based record from SEC to explore the hydroclimatic variations during the 4.2 ka event and to compare them to records in northern China in order to explore the possible north-south precipitation gradient during this event.



## 2 Study area and sample

Shennong Cave (117°15' N, 28°42' E, 383 m a.s.l.) is located in the northeast of Jiangxi Province, SEC (Fig. 1), a region in the mid-subtropical zone that is strongly influenced by the East Asian summer monsoon (EASM). Mean annual precipitation and temperature at the nearest meteorological station (Guixi; 1951-2010 AD) are 1857 mm and 18.5 °C, respectively (Fig. 2a). The rainy season includes both summertime monsoon rainfall and spring persistent rain (Tian and Yasunari, 1998; Wan et al., 2008; Zhang et al., 2018). The latter is a unique synoptic and climatic phenomenon that occurs from March until mid-May, mostly south of the Yangtze River (about 24°N to 30°N, 110°E to 120°E - Tian and Yasunari, 1998; Wan and Wu, 2007, 2009). EASM precipitation lasts from mid-May to September (Wang and Lin, 2002). Shennong cave is located in the region of the spring persistent rain. Data from the nearest GNIP station in Changsha, also located in the region of the spring persistent rain, indicate that the EASM (May to September) precipitation with lower  $\delta^{18}\text{O}$  values (Figs. 2a, b) accounts for 54% of the annual precipitation and the non-summer monsoon (NSM) (October to next April) precipitation with higher  $\delta^{18}\text{O}$  values (Figs. 2a, b) accounts for 46% (Zhang et al., 2018).

The cave was discovered by local people in 1998 after several days of continuous heavy rain. The cave developed in Carboniferous limestone of the Chuan-shan and Huang-long Groups, which are mainly composed of limestone and interbedded dolostone. The thickness of the cave roof ranges from about 20 to about 80 m, with an average of ~50 m. The overlying vegetation consists mainly of secondary forest tree species such as *Pinus*, *Taxodiaceae* and bamboo and shrub-like *Camelliaoleifera* and *Ilex bitorisensis* which are  $\text{C}_3$  plants (Zhang et al., 2015). According to two years' monitoring (2011-2013), the mean temperature in the cave is 19.1 °C with a standard deviation of 2.5 °C (Fig. 2c), consistent with mean annual air temperature outside the cave (Fig. 2a). The relative humidity in the interior of the cave approaches 100% during the most of the year (Fig. 2c). Abundant aragonite and calcite speleothems are present in the cave. Their mineralogy is likely controlled by the Mg/Ca ratio of the drip water reflecting the variable dolomite content of the limestone (De Choudens-Sanchez and Gonzalez, 2009; Zhang et al., 2014, 2015). All aragonite stalagmites were deposited within ~1.5 km of the cave entrance where the bedrock is dolomite and all calcite stalagmites were deposited in more distal parts of the cave where limestone constitutes the bedrock.

In November 2009 stalagmite SN17 (Fig. 3), 320 mm in length, was collected 200 m behind the cave entrance where the bedrock is dolomite. X-ray diffraction (XRD) analyses suggest that the stalagmite is composed of aragonite, except for the bottom section below 318 mm which is composed of calcite (Fig. 3). The calcite section was not included in the present study.



### 3 Methods

#### 3.1 $^{230}\text{Th}$ dating

Eleven subsamples for  $^{230}\text{Th}$  dating were drilled along the growth axis of SN17 (Fig. 3) with a hand-held carbide dental drill, and were dated on a multi-collector inductively coupled plasma mass spectrometer (MC-ICP-MS, Neptune-Plus) at the Department of Earth Sciences, University of Minnesota and the Institute of Global Environmental Change, Xi'an Jiaotong University (Cheng et al., 2000, 2013). The chemical procedure used to separate uranium and thorium followed those described by Edwards et al. (1987).

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#### 3.2 Stable isotope analyses

120 sub-samples for stable isotope analyses were drilled along the central axis of SN17 at intervals of 1 mm between 0 and 70 mm and 5 mm between 70 and 320 mm distance from top, respectively. The samples were analysed using a gas-source stable isotope ratio mass spectrometer (Isoprime100), equipped with a MultiPrep system at the Institute of Earth Environment, Chinese Academy of Sciences (IEECAS). The international standard NBS19 and the laboratory standard HN were analysed after every 10 sub-samples to monitor data reproducibility. All oxygen and carbon isotope compositions are reported in per mil relative to the Vienna Pee Dee Belemnite (VPDB). Reproducibility of  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  values was better than 0.1‰ and 0.08‰ ( $2\sigma$ ), respectively.

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### 4 Results

#### 4.1 Chronology

The  $^{230}\text{Th}$  dates are all in stratigraphic order and no hiatus was observed (Table 1). Because of the high uranium concentrations (1120-6380 ppb) and relative low thorium concentrations (56-195 ppt), most of the dating errors are less than 6%. We used a linear interpolation method to establish a depth-age model of stalagmite SN17 which grew from 3643 to 5299 a BP (Fig. 3).

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#### 4.2 $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ records

The temporal resolution of the  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  records ranges from 6 to 21 years. The  $\delta^{13}\text{C}$  values fluctuate around -9.18‰ (mean value) during the period 5.3 to 4.5 ka BP, and increase to -8.69‰ between 4.5 and 3.6 ka BP (Fig. 4a).  $\delta^{18}\text{O}$  fluctuates around -6.75‰ (mean value) during the period 5.3 to 3.6 ka BP, without a significant long-term trend (Fig. 4c). The  $\delta^{18}\text{O}$  record is broadly similar to the  $\delta^{13}\text{C}$  record between 5.3 and 3.6 ka BP, with a significantly positive correlation (Fig. 5a,  $r=0.29$ ,  $p<0.01$ ).  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  values show a statistically significant

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covariance along the growth axis suggesting that the speleothem was not deposited under isotopic equilibrium (Hendy, 1971; Dorale and Liu, 2009). Several studies, however, demonstrated that stalagmites showing a significant correlation between  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  can also have formed under isotopic equilibrium, indicating they are controlled by the common influencing factors (Dorale et al., 1998; Dorale and Liu, 2009; Tan et al., 2018a). Another, more robust test is the replication of  $\delta^{18}\text{O}$  records from different caves (Dorale et al., 1998; Wang et al., 2001; Dorale and Liu, 2009; Cai et al., 2010). The  $\delta^{18}\text{O}$  records of SN17 and of stalagmites from Dongge (Wang et al., 2005) and Xiangshui (Zhang et al., 2004) caves, located southwest of Shennong cave (Fig. 1), show remarkable similarities during the overlapping interval (Fig. 6). The replication of these records further confirms that aragonite of stalagmite SN17 was most likely deposited close to isotopic equilibrium, i.e., the  $\delta^{18}\text{O}$  variation primarily reflects climatic changes. The growth rate of SN17 shows a persistently decreasing trend from 0.62 to 0.034 mm/yr between 5.2 and 4.5 ka BP, followed by low values during the period 4.5 to 3.6 ka BP with relatively higher values between 4.25 and 4.0 ka BP (Fig. 4e).

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## 4 Discussion

### 4.1 Interpretation of $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$

The climatic significance of the  $\delta^{18}\text{O}$  parameter in speleothems from the monsoon region of China has been intensively debated in recent years, because it is influenced by several, partly competing factors including precipitation amount, moisture source and transport pathway, upstream depletion and changes in the ratio of the amount of summer to winter precipitation, as well as the cave temperature (Maher, 2008; Clemens et al., 2010; Dayem et al., 2010; Pausata et al., 2011; Caley et al., 2014; Tan, 2014). Most scientists agree that speleothem  $\delta^{18}\text{O}$  values in monsoonal China represent variations in the EASM intensity and/or changes in spatially-integrated precipitation between different moisture sources and the cave site on orbital to millennial timescales rather than local precipitation amount (Cheng et al., 2016). Some researchers suggest that the speleothem  $\delta^{18}\text{O}$  value in south China is not influenced by precipitation amount or EASM intensity but by moisture circulation on interannual to interdecadal (He et al., 2009; Tan, 2009, 2014) or even on centennial to millennial timescales (Tan, 2016). According to the specific seasonal distribution of precipitation amount and  $\delta^{18}\text{O}$  values in the region of spring persistent rain, we suggest that the speleothem  $\delta^{18}\text{O}$  from E'mei cave, located at 160 km northwest of Shennong cave, is primarily controlled by the ratio of EASM precipitation amount to NSM precipitation amount (EASM/NSM) on interannual timescales (Zhang et al., 2018). In addition, the speleothem  $\delta^{18}\text{O}$  record from E'mei cave also shows a significant correlation with the EASM precipitation amount during 1951-2009 AD, and exhibits a coherent variation with the drought/flood index on

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interannual to centennial timescales for the interval 1810-2000 AD (Zhang et al., 2018). This indicates that the speleothem  $\delta^{18}\text{O}$  values in Shennong cave, similar to that in E'mei cave, can be also influenced by the EASM precipitation amount on interannual to centennial timescales.

180 Speleothem  $\delta^{13}\text{C}$  is influenced by many processes from the soil via the vadose zone to cave environment (Genty et al., 2003; McDermott, 2004; Fairchild et al., 2006 and references therein). Many studies suggest that changes in speleothem  $\delta^{13}\text{C}$  are generally controlled by vegetation density and composition in the catchment which vary according to the hydroclimate (Genty et al., 2001, 2006; Baldini et al., 2005; Cruz Jr et al., 2006; Fleitmann et al., 2009). Soil  $\text{CO}_2$ , which is produced by root respiration and organic matter decomposition, dissolved in the drip water drives  
185 speleothem  $\delta^{13}\text{C}$ . In regions where the vegetation type is predominantly  $\text{C}_3$  or  $\text{C}_4$  plants, a dry climate will lead to a reduction of the vegetation cover, density and soil microbial activity as well as an increase in the groundwater residence time allowing more  $\delta^{13}\text{C}$ -enriched bedrock to be dissolved. In addition, Prior calcite precipitation (PCP) in the vadose zone will result in higher  $\delta^{13}\text{C}$  values accompanied by increased Mg/Ca ratios in speleothems (Baker et al., 1997). Slow drip  
190 rates and increased evaporation and/or ventilation inside the cave will lead to higher  $\delta^{13}\text{C}$  values, usually accompanied by kinetic isotopic fractionation (Fairchild et al., 2000; Frisia et al., 2011; Li et al., 2011; Tremaine et al., 2011).

In Jiangxi Province, a region presently occupied by mostly  $\text{C}_3$  plants, no evidence has been found for a general pattern of replacement of  $\text{C}_3$  plants by  $\text{C}_4$  plants during the late Holocene  
195 (Zhou et al., 2004; Zhong et al., 2010b). There is no significant positive correlation between  $\delta^{13}\text{C}$  values and Mg/Ca ratios between 5.3 and 3.6 ka BP (Fig. 5b). In Shennong cave ventilation is weak and relative humidity remains close to 100% throughout the year. Rapid  $\text{CO}_2$  degassing is inhibited under these conditions. Stalagmite SN17 was likely deposited close to isotopic equilibrium, as confirmed by the replication test (section 4.2). The  $\delta^{13}\text{C}$  variations in this  
200 stalagmite were therefore not controlled by PCP or rapid  $\text{CO}_2$  degassing. Rather, changes in  $\delta^{13}\text{C}$  were driven by vegetation density and soil bioproductivity associated with hydroclimatic variations, with lower  $\delta^{13}\text{C}$  values corresponding to a dense vegetation cover associated with a wet climate and vice versa (Zhang et al., 2015).

#### 205 **4.2 Hydroclimate between 5.3 and 3.6 ka BP**

Previous speleothem studies from monsoonal China suggested a coherent trend of decreasing precipitation from the early to the late Holocene (Dykoski et al., 2005; Wang et al., 2005; Hu et al., 2008; Cai et al., 2010, 2012; Dong et al., 2010, 2015; Jiang et al., 2013; Bai et al., 2017; Tan et al., 2018a), which follows the gradually decreasing Northern Hemisphere summer insolation. Our  
210  $\delta^{13}\text{C}$  record exhibits a similarly increasing trend (Figs. 4a, b), indicating that the climate in our



study area changed from wet to dry condition between 5.3 and 3.6 ka BP. The long-term trend in  $\delta^{18}\text{O}$  is less significant than  $\delta^{13}\text{C}$  (Figs. 4c, d) and might be caused by changes in seasonality of the precipitation amount and  $\delta^{18}\text{O}$  (see section 2). Because speleothem  $\delta^{18}\text{O}$  values reflect the amount-weighted annual precipitation  $\delta^{18}\text{O}$  value, in our study area, the EASM precipitation with lower  $\delta^{18}\text{O}$  values accounts for 54% of the annual precipitation and the NSM precipitation with higher  $\delta^{18}\text{O}$  values (Figs. 2a, b) accounts for 46% (Zhang et al., 2018). Therefore, we found that speleothem  $\delta^{18}\text{O}$  in our study area are significantly influenced by the changes in the EASM/NSM ratio on interannual timescales (Zhang et al., 2018). A decreasing intensity of EASM might lead to changes in the EASM/NSM ratio and in turn result in variations in speleothem  $\delta^{18}\text{O}$ , however, it remains unclear how the EASM and NSM precipitation amount varied on centennial to millennial timescale, respectively. Therefore, the long-term trend in  $\delta^{18}\text{O}$  is less significant than that in  $\delta^{13}\text{C}$ . But we still find that there were more wet intervals (z-scored  $\delta^{18}\text{O}$  values  $> 0$ ) between 5.3 and 4.5 ka BP than between 4.5 and 3.6 ka BP on centennial timescales (Fig. 4d). The  $\delta^{18}\text{O}$  record shows similar variations as the  $\delta^{13}\text{C}$  record (Figs. 4b, d), which are not related to seasonal variations of  $\delta^{18}\text{O}$  values in precipitation on centennial to millennial timescales. In addition, the SN17  $\delta^{18}\text{O}$  record is remarkably similar to the  $\delta^{18}\text{O}$  record from Dongge cave (Fig. 6), which is primarily controlled by summer monsoon precipitation (Dykoski et al., 2005; Wang et al., 2005). These observations indicate that variations in SN17  $\delta^{18}\text{O}$  might reflect changes in summer monsoon precipitation on decadal to millennial timescales, with higher (lower)  $\delta^{18}\text{O}$  values representing decreased (increased) summer monsoon precipitation. The conspicuously decreasing trend in growth rate further confirms that the monsoon precipitation gradually decreased from 5.3 to 3.6 ka BP (Fig. 4e). During 4.5-3.6 ka BP, a wet interval between 4.3 and 4.05 ka BP can be identified in our  $\delta^{18}\text{O}$  record (Fig. 4d) on centennial timescales, consistent with high values of growth rate between 4.25 and 4.0 ka BP. The low values of  $\delta^{13}\text{C}$  record between 4.15 and 3.95 ka BP (Fig. 4b), however, lags the decreased  $\delta^{18}\text{O}$  record by 150 years. The asynchronously decreased variations between the  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  may be ascribed to the delayed response of enhanced vegetation density to increased monsoon precipitation. Two decadal intervals with decreased  $\delta^{18}\text{O}$  values during the period 3.95 to 3.8 ka BP cannot be found in the  $\delta^{13}\text{C}$  record and the growth rate of SN17 (Fig. 4), indicating they are two insignificantly wet events. Therefore, we suggest that the climate in our study area between 5.3 and 4.5 ka BP was dominantly wet, and changed to a rather dry climate between 4.5 and 3.6 ka BP with one wet interval between 4.3 and 4.05 ka BP (Fig. 4). Our  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  records exhibit a two-stage structure during the 4.2 ka event, characterized by a wet phase with high variability between 4.3 and 4.05 ka BP and a distinctly dry phase from 4.05 to 3.6 ka BP.

A record of total organic carbon (TOC) from Dahu swamp, Jiangxi Province, located 450 km



south of Shennong cave, indicates a dry climate between 6.0 and 4.0 ka BP, with an short-lived wet event at 4.1 ka BP (Zhou et al., 2004). Subsequent multi-proxy records of several new cores from this site also revealed a prevailing dry climate between 6.0 and 3.0 ka BP with a wet interval at 4.2-3.9 ka BP (Zhong et al., 2010a, 2010b, 2010c) Speleothem  $\delta^{18}\text{O}$  record from  
250 Xiangshui cave also exhibits a remarkable similarity to the SN17  $\delta^{18}\text{O}$  record, showing a wet interval between 4.2 and 4.0 ka BP (Fig. 6). These published records from SEC are consistent with our isotope records within error, indicating a wet climate in SEC during 4.2 ka event.

#### 4.3 Comparison with other records in monsoonal China covering the 4.2 ka event

255 The remarkable drought during 4.2 ka event was recorded by various archives from different sites in northern and southwestern China (Tan et al., 2008; 2018; Zhao et al., 2010; Chen et al., 2015; Goldsmith et al., 2017 and references therein). In contrast, the extraordinary flood during the 4.2 ka event was identified in the middle reaches of the Yellow River in north-central China (Huang et al., 2010, 2011) and the middle reaches of the Yangtze River in south-central China  
260 (Wu et al., 2017). For SEC,  $\delta^{15}\text{N}$  and  $\delta^{13}\text{C}$  records from the Daping swamp (Fig. 1) reveal a wet interval of 4.5-4.0 ka BP (Zhong et al., 2017), however, other proxies from the same site indicate cool and dry climate between 4.5 and 3.5 ka BP (Zhong et al., 2015). Pollen data of two sediment profiles from the Daiyunshan Mountain (Fig. 1), SEC, indicate a centennial-scale wet event at 4.4 ka BP (Zhao et al., 2017). A dry and cold period during 4.2 ka event was identified in a sediment  
265 profile near Taihu Lake (Fig. 1), East China (Yao et al., 2017). To sum up, records from lacustrine, peat and paleoflood sediments suggest that the climate during the 4.2 ka event was dry in northern and southwestern China and wet in central and southern China (Fig. 8), although there are discrepancies in some records from southern China, which might be caused by the large dating uncertainties and the low resolution. Tan et al. (2018) has already proposed the same “north-dry  
270 and south-wet” pattern by reviewing records from the monsoon region of China; however, more speleothem records from SEC are needed to further confirm this pattern.

In the following discussion only high-precision and high-resolution speleothem records are used. Two stalagmite  $\delta^{18}\text{O}$  records from Nuanhe and Lianhuadong caves in northern China indicate that the climate between 4.0 and 3.5 ka BP was very dry (Tan and Cai, 2005; Dong et al.,  
275 2015). Another two stalagmite  $\delta^{18}\text{O}$  records from Jiuxian and Xianglong caves south of the Qinling Mountains revealed increased monsoon precipitation in central China during the 4.2 ka event (Fig. 7a, b; Cai et al., 2010; Tan et al., 2018a). Stalagmite  $\delta^{18}\text{O}$  records from Sanbao (Fig. 7c; Dong et al., 2010), Heshang (Fig. 7d; Hu et al., 2008) and Lianhua (Zhang et al., 2013) caves in the middle reaches of Yangtze River, south-central China, also indicate a wet interval between  
280 4.15 and 3.9 ka BP, which is consistent with a  $\delta^{13}\text{C}$  record of peat from the Dajiuhe basin (Figs. 7e;



Ma et al., 2008) in the same region. The SN17  $\delta^{18}\text{O}$  record also reveals a wet interval between 4.3 and 4.05 ka BP on centennial timescales (Fig. 7g). These records reveal a coherently dry period in northern China but a wet climate in south-central and southeastern China during 4.2 ka event (Fig. 7).

285 This south-north distribution of monsoon precipitation might have been caused by weakened EASM intensity, which could have resulted from a reduced Atlantic Meridional Overturning Circulation (AMOC) recorded by higher amounts of ice-rafted debris (IRD) in the North Atlantic (Figs. 7g-i). Strong freshwater input into the North Atlantic derived from melting icebergs periodically reduced the AMOC (Bond et al., 2001), causing a southward migration of the  
290 Intertropical Convergence Zone and a weakened EASM (Wang et al., 2001). During these intervals, the rain belt migrated southward and remained longer at this position, which reduced rainfall in northern China but enhanced rainfall in central and southern China (Tan et al., 2011, 2018a; Zhang et al., 2014; Chiang et al., 2015).

The SN17  $\delta^{18}\text{O}$  record exhibits a coherent variation with the  $\delta^{18}\text{O}$  record from Dongge cave  
295 within error, showing four wet intervals between 4.6 and 3.8 ka BP on centennial timescales (Figs. 7f, g). These four wet intervals were also identified in other stalagmite  $\delta^{18}\text{O}$  records, although there are some differences in the amplitude (Fig. 7). For example, the first wet interval in the SN17  $\delta^{18}\text{O}$  record corresponds to the dry interval in the  $\delta^{18}\text{O}$  records from Jiuxian and Xianglong caves. A reason for this discrepancy might be chronology offsets, because these records are  
300 constrained by two dates only. Alternatively, monsoon precipitation in our study area during this period was out of phase with those in the region around Jiuxian and Xianglong caves. Because at 4.55 ka BP the AMOC was in a very weak stage, this could have resulted in a weakened EASM and a further southward shift of the monsoonal rain belt, possibly causing a dry climate in south-central China and a wet climate in SEC. The different amplitudes of the other three wet  
305 intervals in these speleothem records from central to southern China might have been caused by the spatial distribution of monsoon precipitation, reflecting the position and residence time of the rain belt associated with variations in EASM intensity.

## 5 Conclusions

310 We reconstructed monsoon precipitation variations in the lower Yangtze River region, SEC, between 5.3 and 3.6 ka BP based on  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  records of a precisely dated, high-resolution stalagmite from Shennong cave in the northern part of Jiangxi Province. The long-term trend of increasing  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  values together a decreasing growth rate is consistent with other stalagmite and peat records from monsoonal China, showing increased monsoon rainfall between  
315 5.3 and 4.5 ka BP and decreased monsoon rainfall between 4.5 and 3.6 ka BP in the study area. A



wet episode at 4.3-4.05 ka BP in our record is in agreement with other records from southern China. A dry climate in northern China and a coeval wet climate in southern China during the 4.2 ka event may have been caused by a weakened summer monsoon, which itself may have been related to a reduced Atlantic Meridional Overturning Circulation. During a weak summer monsoon, the rain belt remains longer in its southern position, resulting in reduced precipitation in northern China and enhanced precipitation in southern China. Four wet intervals between 4.6 and 3.8 ka BP were identified in our  $\delta^{18}\text{O}$  record and agree within dating error with other stalagmite  $\delta^{18}\text{O}$  records from monsoonal China. Small discrepancies in the individual  $\delta^{18}\text{O}$  records during these four intervals may reflect chronology offsets or a spatial distribution of monsoon precipitation associated with variations in summer monsoon intensity.

#### 6 Author Contributions

H.W.Z. designed the research and wrote the first draft of the manuscript. H.C. Y.J.C. C.S. and A.S. revised the manuscript. H.W.Z. and Y.J.C. did the field work and collected the samples. H.W.Z. Y.J.C. and L.C.T. conducted the oxygen isotope measurements. H.W.Z. H.C. G.K. and R.L.E. conducted the  $^{230}\text{Th}$  dating. All authors discussed the results and provided input on the manuscript.

#### 7 Competing interests

The authors declare no competing financial interests.

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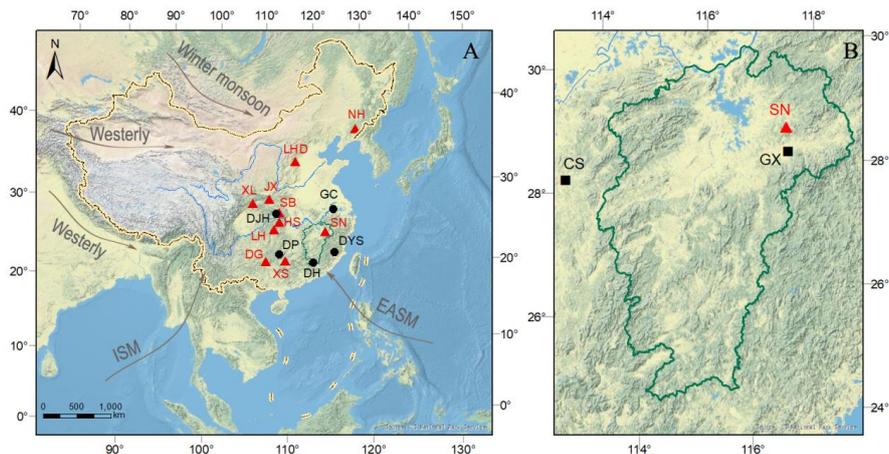
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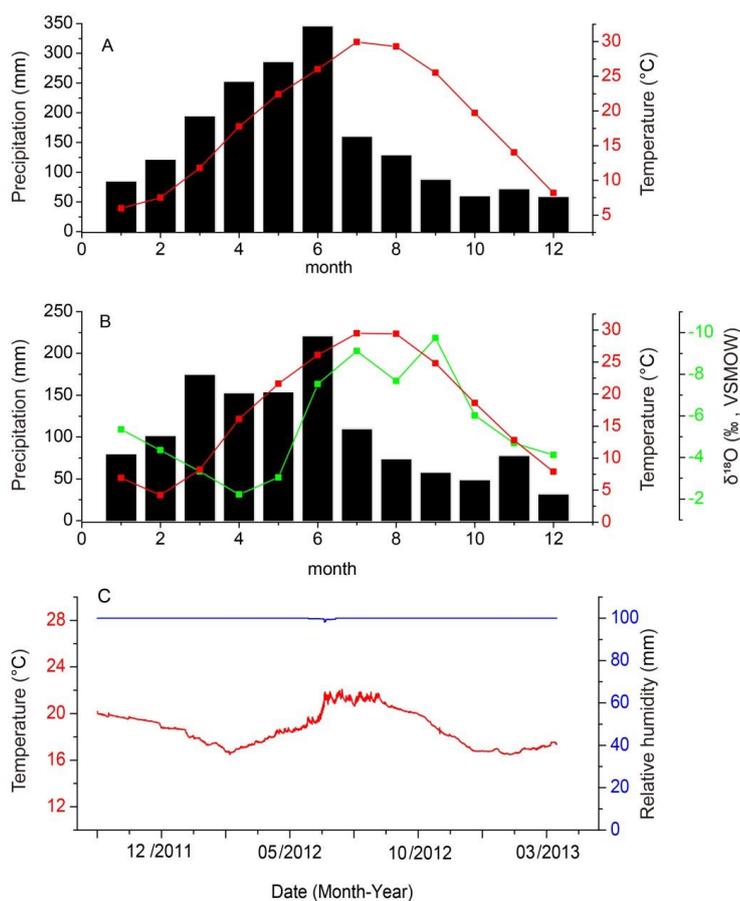


### Figures and Table

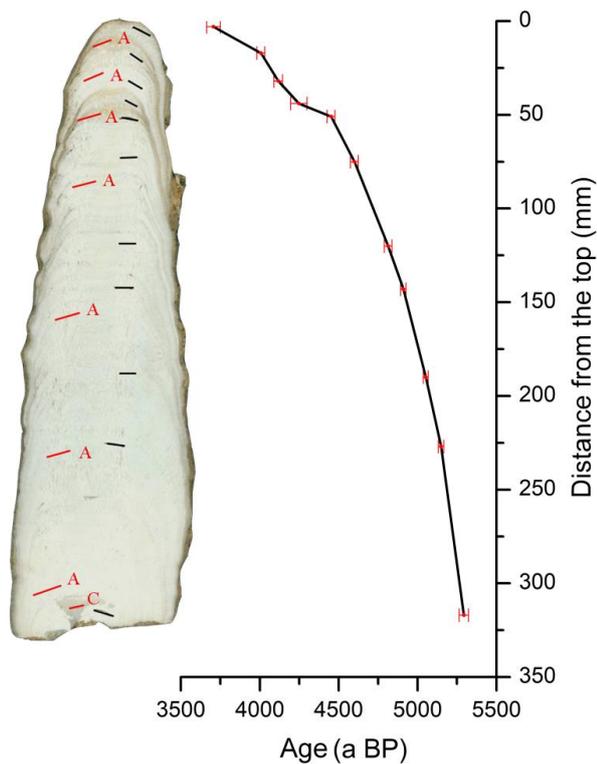


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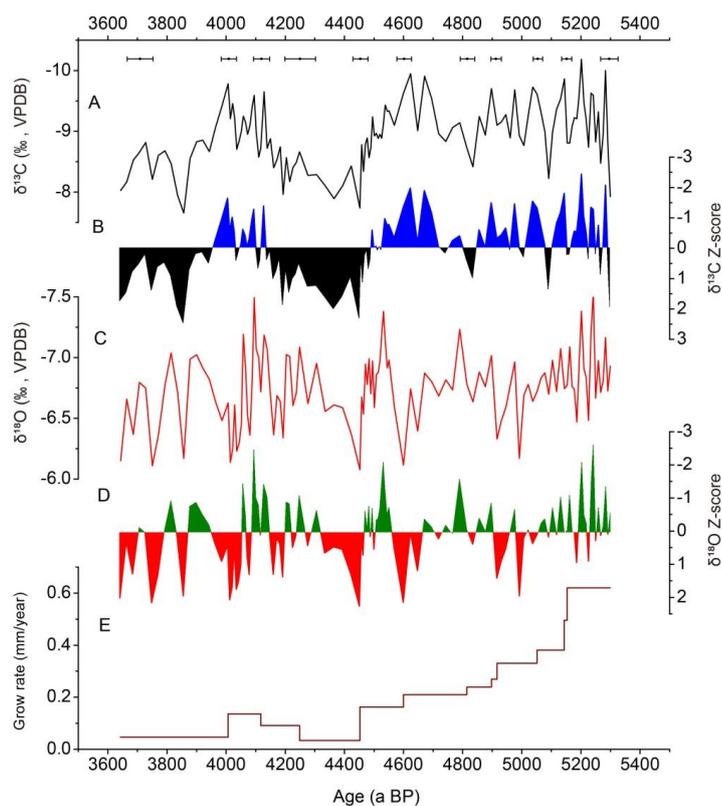
**Figure 1.** Location of Shennong Cave (SN) and other sites mentioned in the paper. Panel A is an overview topographic map and Jiangxi Province is framed by the green line. Black dots indicate the locations of published peat records: GC-Gaochun (Yao et al., 2017), DHS-Daiyunshan (Zhao et al., 2017), DH-Dahu (Zhou et al., 4), DP-Daping (Zhong et al., 2010a) and DJH-Dajiuhu (Ma et al., 2008), and the red triangles show the locations of published stalagmite records: NH-Nuanhe cave (Tan, 2005), LHD-Lianhuadong cave (Dong et al., 2015), JX-Jiuxian cave (Cai et al., 2010), XL-Xianglong cave (Tan et al., 2018), SB-Sanbao cave (Dong et al., 2010), HS-Heshang cave (Hu et al., 2008), LH-Lianhua cave (Zhang et al., 2013), XS-Xiangshui cave (Zhang et al., 2004) and DG-Dongge cave (Wang et al., 2005). Grey arrows denote the directions of East Asian summer monsoon (EASM), Indian summer monsoon (ISM), Westerly and winter monsoon, which affect the climate in China. Panel B is an enlarged map showing the locations of Shennong cave, the Guixi meteorological station (GX) and the GNIP station in Changsha (CS).  
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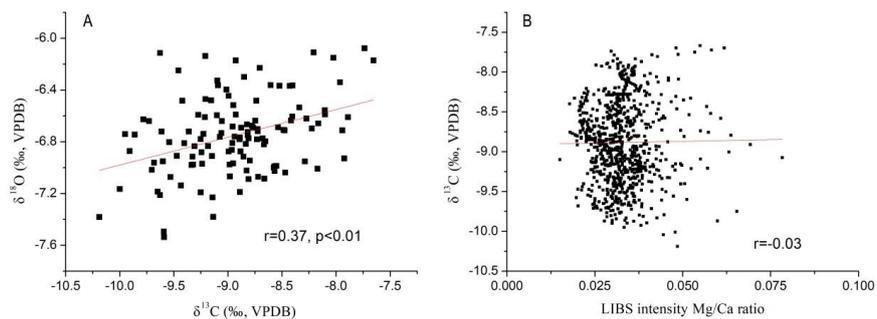
615 **Figure 2.** Mean monthly temperature, precipitation and  $\delta^{18}\text{O}$  value from two meteorological  
 stations close to the study area and environmental monitoring in Shennong cave. (A) Mean  
 monthly air temperature (red line) and precipitation (black column) from the Guixi meteorological  
 station for 1951-2010. (B) Mean monthly air temperature (red line), precipitation (black column)  
 and  $\delta^{18}\text{O}$  value (green line) from the Changsha GNIP station for 1988-1992. (C) Air temperature  
 620 (red line) and relative humidity (blue line) in Shennong cave from October 2011 to May 2013.



**Figure 3.** Polished section (left) and age-depth model (right) of stalagmite SN17. Sampling positions for XRD analyses (red lines; A aragonite, C calcite) and  $^{230}\text{Th}$  datings (black lines) are shown on the slab. The  $^{230}\text{Th}$  dating errors indicated in the right panel are  $2\sigma$  errors.

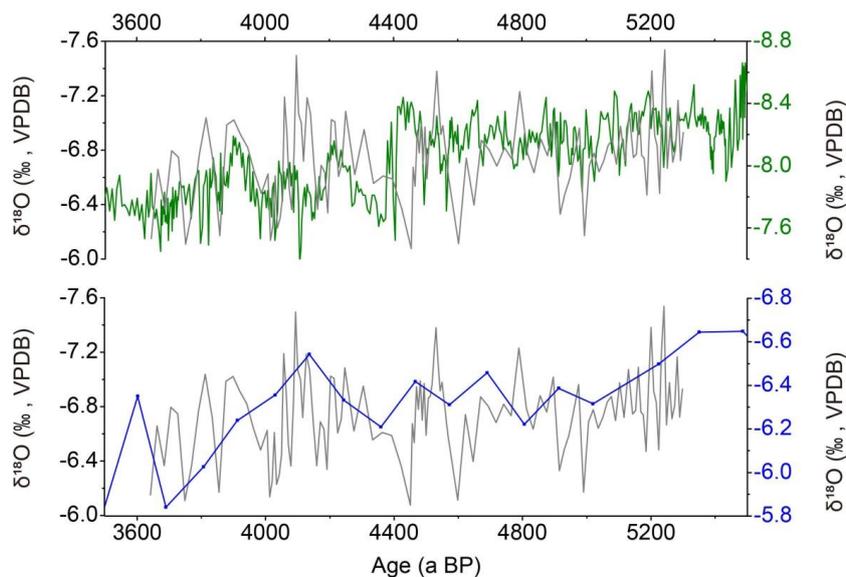


**Figure 4.**  $\delta^{13}\text{C}$  (A) and  $\delta^{18}\text{O}$  (C) records and growth rate (E) of stalagmite SN17. The  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  records were normalized to standard records of z-scored  $\delta^{13}\text{C}$  (B) and z-scored  $\delta^{18}\text{O}$  (D) for comparison, respectively.  $^{230}\text{Th}$  dates and error bars are shown on the top of panel A.



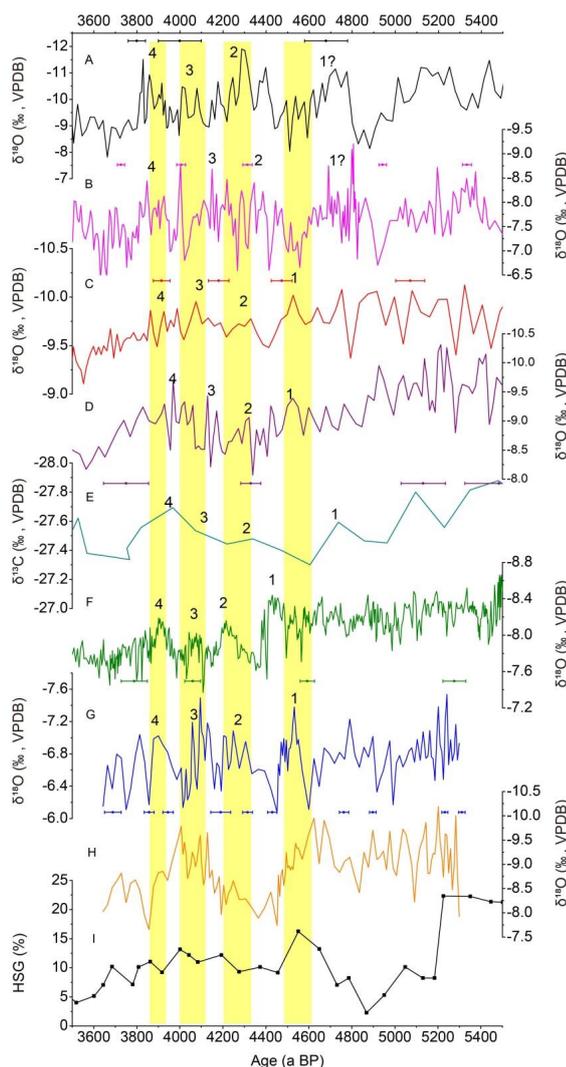
**Figure 5.** Correlation between  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  values (A) and between  $\delta^{13}\text{C}$  values and Mg/Ca ratios (B) measured along the stalagmite growth axis.

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**Figure 6.** Replication of the  $\delta^{18}\text{O}$  records from Shennong (grey line), Dongge (green line) and Xiangshui (blue line) caves during the overlapping growth period of the three stalagmites.

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**Figure 7.** Comparison of  $\delta^{18}\text{O}$  records from (A) Jiuxian cave (Cai et al., 2010), (B) Xianglong cave (Tan et al., 2018a), (C) Sanbao cave (Dong et al., 2010), (D) Heshang cave (Hu et al., 2008), (F) Dongge cave (Wang et al., 2005) and (G) Shennong cave (this study), with  $\delta^{13}\text{C}$  records from Dajiuhu peat (E, Ma et al., 2008) and Shennong cave (H, this study), and the ice-rafted hematite-stained grains (HSG) record from the North Atlantic (Bond et al., 2001).  $^{230}\text{Th}$  dates and error bars are illustrated with different colors for each stalagmite. The four yellow bars mark four wet intervals between 4.6 and 3.8 ka BP identified in the  $\delta^{18}\text{O}$  record of stalagmite SN17 corresponding within error to wet intervals in the other records.



**Table 1.**  $^{230}\text{Th}$  results of stalagmite SN17 from Shennong cave. The errors are  $2\sigma$ .

Sample	$^{238}\text{U}$	$^{232}\text{Th}$	$^{230}\text{Th} / ^{232}\text{Th}$	$\delta^{234}\text{U}^*$	$^{230}\text{Th} / ^{238}\text{U}$	$^{230}\text{Th}$ Age (yr)	$^{230}\text{Th}$ Age (yr)	$\delta^{234}\text{U}_{\text{initial}}^{**}$
Number	(ppb)	(ppt)	(atomic $\times 10^{-6}$ )	(measured)	(activity)	(uncorrected)	BP)***	(corrected)
							(corrected)	
SN17-3	1146 $\pm$ 1.6	2511 $\pm$ 51	320 $\pm$ 7	235.3 $\pm$ 2.1	0.0426 $\pm$ 0.0003	3820 $\pm$ 24	3707 $\pm$ 43	238 $\pm$ 2
SN17-17	2617 $\pm$ 5.7	132 $\pm$ 10	14960 $\pm$ 1115	243.2 $\pm$ 2.4	0.0457 $\pm$ 0.0003	4076 $\pm$ 26	4007 $\pm$ 26	246 $\pm$ 2
SN17-32	2535 $\pm$ 4.9	195 $\pm$ 11	10103 $\pm$ 565	252.1 $\pm$ 2.2	0.0472 $\pm$ 0.0003	4187 $\pm$ 27	4117 $\pm$ 27	255 $\pm$ 2
SN17-44	1122 $\pm$ 1.2	151 $\pm$ 10	6034 $\pm$ 420	265.5 $\pm$ 1.9	0.0492 $\pm$ 0.0006	4319 $\pm$ 52	4248 $\pm$ 52	269 $\pm$ 2
SN17-51	1035 $\pm$ 1.7	1169 $\pm$ 24	763 $\pm$ 16	279.4 $\pm$ 1.9	0.0522 $\pm$ 0.0002	4540 $\pm$ 18	4452 $\pm$ 25	283 $\pm$ 2
SN17-75	4619 $\pm$ 7.3	66 $\pm$ 14	61740 $\pm$ 12772	268.8 $\pm$ 1.9	0.0532 $\pm$ 0.0003	4668 $\pm$ 25	4600 $\pm$ 25	272 $\pm$ 2
SN17-120	4640 $\pm$ 8.5	225 $\pm$ 14	19262 $\pm$ 1186	290.3 $\pm$ 2.2	0.0566 $\pm$ 0.0003	4883 $\pm$ 24	4814 $\pm$ 24	294 $\pm$ 2
SN17-143	2993 $\pm$ 5.2	1031 $\pm$ 21	2810 $\pm$ 58	312.9 $\pm$ 2.2	0.0587 $\pm$ 0.0002	4980 $\pm$ 17	4910 $\pm$ 17	317 $\pm$ 2
SN17-190	6380 $\pm$ 9.5	56 $\pm$ 10	114548 $\pm$ 20151	322.2 $\pm$ 1.4	0.0608 $\pm$ 0.0002	5120 $\pm$ 16	5052 $\pm$ 16	327 $\pm$ 1
SN17-227	4436 $\pm$ 10.2	873 $\pm$ 18	5189 $\pm$ 106	323.7 $\pm$ 2.2	0.0619 $\pm$ 0.0002	5216 $\pm$ 17	5149 $\pm$ 17	329 $\pm$ 2
SN17-317	6886 $\pm$ 31.0	2221 $\pm$ 45	3273 $\pm$ 67	331.7 $\pm$ 3.0	0.0640 $\pm$ 0.0003	5363 $\pm$ 29	5294 $\pm$ 29	337 $\pm$ 3

U decay constants:  $\lambda_{238} = 1.55125 \times 10^{-10}$  and  $\lambda_{234} = 2.82206 \times 10^{-6}$ . Th decay constant:  $\lambda_{230} = 9.1705 \times 10^{-6}$  (ref. 56).  $^*\delta^{234}\text{U} = ([^{234}\text{U}/^{238}\text{U}]_{\text{activity}} - 1) \times 1000$ .  $^{**}\delta^{234}\text{U}_{\text{initial}}$  was calculated based on  $^{230}\text{Th}$  age (T), i.e.,  $\delta^{234}\text{U}_{\text{initial}} = \delta^{234}\text{U}_{\text{measured}} \times e^{\lambda_{234} \times T}$ . Corrected  $^{230}\text{Th}$  ages assume the initial  $^{230}\text{Th}/^{232}\text{Th}$  atomic ratio of  $4.4 \pm 2.2 \times 10^{-6}$ . Those are the values for a material at secular equilibrium, with the bulk earth  $^{232}\text{Th}/^{238}\text{U}$  value of 3.8. The errors are arbitrarily assumed to be 50%.