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7 **GROUND SURFACE TEMPERATURE RECONSTRUCTION FOR THE LAST 500 YEARS**  
8 **OBTAINED FROM PERMAFROST TEMPERATURES OBSERVED IN THE STELVIO SHARE**  
9 **BOREHOLE, ITALIAN ALPS.**

10  
11 **ABSTRACT**

12 Here we present the results of the inversion of a multiannual temperature profile (2013, 2014, 2015) of the  
13 deepest borehole (235 m) in the mountain permafrost of the world located close to Stelvio Pass in the  
14 Central Italian Alps. The Stelvio Share Borehole (SSB) is monitored since 2010 with 13 thermistors placed at  
15 different depths between 20 and 235 m. The negligible porosity of the rock (dolostone, < 5%) allows to  
16 assume the latent heat effects also negligible. The inversion model here proposed is based on the Tikhonov  
17 regularization applied to a discretized heat equation, accompanied by a novel regularizing penalty operator.  
18 The general pattern of ground surface temperatures (GST) reconstructed from SSB for the last 500 years  
19 are similar to the mean annual air temperature (MAAT) reconstructions for the European Alps. The main  
20 difference with respect to MAAT reconstructions relates to post Little Ice Age (LIA) events. Between 1940  
21 and 1989, SSB data indicate a 0.9°C cooling. Subsequently, a rapid and abrupt GST warming (more than  
22 0.8°C per decade) was recorded between 1990 and 2011. This warming is of the same magnitude as the  
23 increase of MAAT between 1990 and 2000 recorded in central Europe and roughly doubling the increase  
24 of MAAT in the Alps.

25  
26 **1 INTRODUCTION**

27 The thermal regime of the uppermost ground is determined by the geothermal heat flow and by the  
28 fluctuations of temperature at the surface. If rock was homogeneous and no temperature change were to  
29 occur at the surface, the temperature would increase linearly with depth, unless spontaneous heat  
30 production is present on the vicinity of the well.. The gradient of this temperature increase would be  
31 governed solely by the magnitude of the terrestrial heat flow and by the thermal conductivity of the rock.  
32 However, variations of ground surface temperature (GST) propagate downwards into the rock as  
33 attenuating thermal waves, superimposed on the aforementioned linear temperature profile. The depth to  
34 which disturbances can be recorded is determined mainly by the amplitude and duration of the  
35 temperature change at the surface. Generally, propagation of climate signals is slow and it can take more  
36 than 1,000 years to reach the depth of 500m (Huang et al., 2000). For a better conservation of the climate  
37 signal in the thermal profile, no lateral heat advection (due for example to ground water flow) should be  
38 present (Lewis and Wang, 1992). Since normally no groundwater circulation is present within continuous

39 permafrost in the polar areas but also in rocky areas within mountain permafrost, boreholes drilled in these  
40 areas are particularly suited for GST reconstructions.

41 Lachenbruch and Marshall (1986) were among the first to demonstrate that thermal profiles obtained  
42 from boreholes drilled in permafrost can be used to reconstruct ground surface temperature changes.  
43 These do not require calibration because the heat conduction equation is directly used to infer  
44 temperature changes at the ground surface. Today, the majority of permafrost boreholes used to  
45 reconstruct ground surface temperatures are located in the Polar regions of North America and Eurasia  
46 where the boreholes can be drilled on flat terrain, with negligible topographical effects, and with a  
47 permafrost thicknesses typically exceed 100 m, thereby providing deep temperature logs and long ground  
48 surface temperature reconstructions. On the other hand several factors like porosity, water/ice and latent  
49 heat flows can influence significantly the thermal properties and the thermal signal especially measured in  
50 frozen sediments boreholes as well discussed in Mottaghy and Rath (2006).

51 The Share Stelvio borehole (SSB) in the Italian Alps is the deepest drilled within permafrost in the mid-  
52 latitude mountains of Europe. Because the permafrost thickness exceeds 200 m at this site it allows  
53 reconstruction of GST for some centuries and much more than in the other mountain permafrost  
54 boreholes.. In addition, the Stelvio borehole is located on a rounded summit with gentle side slopes.  
55 Therefore, site-specific topographic influences are largely eliminated. As such, it is different to the other  
56 boreholes drilled in permafrost in the Alps (e.g. PACE boreholes at Schilthorn or Stockhorn; see Harris et al.,  
57 2003; Gruber et al., 2004; Hilbich et al., 2008; Harris et al., 2009).

58 Recent atmospheric warming (over the last century) in the European Alps has been roughly twice the global  
59 average (Böhm et al., 2001; Auer et al., 2007). Despite its high sensitivity, no GST reconstruction based on  
60 borehole thermal profiles is available for this part of the world. Instead, reconstructions of summer air  
61 temperatures have been based on either tree-rings (e.g. Büntgen et al., 2006; Corona et al., 2010) or lake  
62 sediments (e.g. Larocque-Tobler et al., 2010; Trachsel et al., 2010) for the last 500-1000 years, or both  
63 (Trachsel et al., 2012). With rare exceptions (e.g. ice cores; Barbante et al., 2004), the other proxy data are  
64 from sites at elevations that rarely exceed 2000m a.s.l. and all the other monitored permafrost boreholes in  
65 Europe do not exceed 100 m of depth (see Harris et al., 2003). However, several papers describe GST  
66 reconstructions for the last 500-1000 years using boreholes data at hemispheric or global scales (e.g.  
67 Huang et al., 2000; Beltrami and Boulron, 2004).

68 The SSB data provides GST history from a high elevation site (3000 m a.s.l.). Such locations are important  
69 because snow cover can affect significantly the GST (Zhang, 2005; Ling and Zhang, 2006; Cook et al., 2008).  
70 They are also relevant with respect to glacier dynamics and their feedbacks with the global atmospheric  
71 system (IPCC, 2013).

72 This paper reconstructs the ground surface temperatures inferred from this borehole and compares the  
73 results with existing multiproxy reconstructions for the European Alps and elsewhere.

74

## 75 **2 STUDY AREA**

76 The Stelvio–Livrio area is a summer ski location, located between the Stelvio Pass (2758 m a.s.l.) and Mt  
77 Livrio (3174 m a.s.l.), within the Stelvio National Park. The area is characterized by bedrock outcrops  
78 (mainly dolostone), apart from some Holocene moraines (Figure 1a). The SSB borehole was drilled in 2009

79 and is only 10m from the PACE borehole, drilled in 1998 (46°30'59''N; 10°28'35''E, 3000 m a.s.l., Figure 1b).  
80 Both boreholes are located on a flat barren summit surface oriented NNW-SSE. The side slopes (SSW and  
81 NNE exposed) are gentle, the northern being only slightly steeper (14.1° vs 12.5° vs from the top down to  
82 2900 m a.s.l.; Fig. 2, solid line). Despite their lithological homogeneity and their low porosity (< 5%, Berra,  
83 personal communication), the two boreholes differ because in the PACE borehole two small karst filled by  
84 ice were encountered at 42 and 90 m depth (Guglielmin et al., 2001) but no evidence of ice was observed  
85 during the SSB drilling. Using PACE temperature profile and typical thermal conductivity and heat flow  
86 values cited in literature (4.0 W<sup>-1</sup>K<sup>-1</sup>, Clauser and Huenges, 1995; 85 mW m<sup>-2</sup>, Cermak et al., 1992),  
87 permafrost thickness in the SSSB borehole was estimated to be around 220 m.

88

## 89 **3 METHODS**

### 90 **3.1 Field data**

91 The SSB borehole was drilled in early July, 2010, using refrigerated compressed-air-flush drilling technology.  
92 The stratigraphy was obtained by analyses of the cuttings (sampled every 10 m) and, for the first 100 m,  
93 through analysis of TV logging. Since September 2010, the thermal regime of the SSB borehole was  
94 monitored with thermometers placed according to the PACE protocol (Harris et al., 2001). The accuracy of  
95 the thermometers is 0.1°C and the resolution is 0.01°C. The thermistors recorded the daily ground  
96 temperature (minimum, maximum and average) at 20, 25, 35, 40, 60, 85,105,125,145,165,205,215 and 235  
97 m of depth. Since 1998, the main climatic parameters at the site (air temperature, snow cover, incoming  
98 radiation) have been monitored. Below the 20m depth, no significant seasonal variations in temperature  
99 are recorded.

### 100 **3.2 Laboratory data**

101 The thermal properties of the three main facies observed in the stratigraphy were measured in the  
102 laboratory at three different temperatures (0°C, -1°C; -3°C). Thermal diffusivity and specific heat were  
103 measured by NETZSCH Gerätebau GmbH (Selb, Germany) using a NETZSCH model 457 MicroFlash<sup>TM</sup> laser  
104 flash diffusivity apparatus. Thermal diffusivity measurements were conducted in a dynamic helium  
105 atmosphere at a flow rate of c. 100 ml/min between -3 °C and 0 °C. Specific heat capacity was measured  
106 using the ratio method of ASTM-E 1461 (ASTM, 2003) with an accuracy of more than 5%. Density of the  
107 rock at room temperature was determined using the buoyancy flotation method with an accuracy better  
108 than 5%. Thermal conductivity was calculated following Carslaw and Jaeger (1959):

$$109 \quad \lambda = \rho * c_p * \kappa$$

110 where  $\lambda$  is the thermal conductivity (W m<sup>-1</sup> K<sup>-1</sup>),  $\rho$  is the bulk density (gcm<sup>-3</sup>),  $c_p$  is the specific heat  
111 capacity (Jg<sup>-1</sup> K<sup>-1</sup>), and  $\kappa$  is the thermal diffusivity (m<sup>2</sup> s<sup>-1</sup>).

### 112 **3.3 Theory**

113 The temperature anomaly in the borehole at time t at depth z is modeled by the solution of the heat  
114 equation

$$\frac{\partial A}{\partial t} - \frac{\partial}{\partial z} \left( \kappa \frac{\partial A}{\partial z} \right) = 0(1)$$

115 for the domain  $(t, z) \in (-t_{max}, 0) \times (0, z_{max})$ . Note that equation (1) can be derived from the classical  
 116 formulation of Carslaw and Jaeger (1959) under the hypothesis that the density and the specific heat  
 117 capacity are constant with respect to the depth  $z$  (see also Liu and Zhang, 2014), which is a good  
 118 approximation for the SSB (see Section 4.1 and appendix). Further, we have indicated with  $t_{max}$  the earliest  
 119 time for which we will reconstruct the GST and with  $z_{max}$  the depth of the borehole. Equation (1) can be  
 120 solved to compute the temperature anomaly at any given past time  $t$  and depth  $z$  from the boundary values  
 121  $A(t; 0)$  which represent the GST history. If the GST data  $A(t, 0)$  are piece-wise constant, the solution of the  
 122 direct problem for equation (1) can be found explicitly (see Carslaw and Jaeger, 1959). In our case, we need  
 123 to solve the inverse problem of finding the GST from the borehole data, which provide the anomaly  
 124 measured at present ( $t=0$ ) or past times ( $t>0$ ) at some depth  $z$  in the borehole.

125 In order to exploit the abovementioned explicit solution, it is customary to approximate the GST with a  
 126 piece-wise constant function (see Figure 3)

$$GST(t) = \begin{cases} \tau_k, & t \in [-t_k, -t_{k-1}] \\ \tau_\infty, & t \leftarrow t_N \end{cases} (2)$$

127 where  $t_k$ , for  $k = 1, \dots, N$ , is the sequence of times in the past where we want to compute the value of the  
 128 GST, and the  $\tau_k$ 's are the unknown values to be computed. The diffusive nature of the heat equation has  
 129 the effect that fine details of GST signals are averaged away as time progresses. Therefore, in the field data,  
 130 one can find signals coming only from long wavelength GST variations occurred in the distant past,  
 131 whereas short wavelength signals are observable only if produced in the more recent history. In order to  
 132 take into account long and short wavelengths variations of GST where each of them makes sense, contrary  
 133 to the common use of choosing uniformly spaced time points, we choose

$$t_k = (1 + 0.2k)^2$$

134 so that the reconstruction points are closer to each other in the recent past and more separated for distant  
 135 ages. The choice of the parameter 0.2 is such that the reconstructed GST can contain signals of wavelength  
 136 of at least 33 years from 1600 onwards, 23 years from 1800 onwards, 16 years from 1915 onwards, 9 years  
 137 from 1985 onwards.

138 Once the sequence  $t_k$  is chosen, the relation between the borehole temperature at depth  $z_j$  predicted by  
 139 the model and the unknown values  $\tau_k$  of the GST anomaly is linear. When comparing the anomaly  $A(z, t)$   
 140 described by the above equation with the measured data in the borehole, one has to take into account that  
 141 measured data represent the superposition of the anomaly with a background signal (linearly increasing  
 142 with depth) coming from the heat flow and past climatic changes since the Last Glacial Maximum as found  
 143 for deeper boreholes by Safanda and Rajver (2001) or by Rath et al., (2012). This linear trend can be  
 144 identified by linearly fitting the data from the deepest part of the borehole (below 60m in our case).  
 145 Following (3), imposing that the borehole temperatures measured  $T_j$  years ago at depth  $z_j$  leads to a linear  
 146 system

$$L\vec{\tau} = \vec{m}, (4)$$

147 where the column vector  $\vec{\tau} = [\tau_1, \tau_2, \dots, \tau_N, \tau_\infty]$  collects the unknown GST values,  $\vec{m}$  is the column vector  
 148 of detrended measured data and  $L$  is a matrix with  $M \times (J + 1)$  entries (see the appendix). Each row in  $L$  (and  
 149 entry in the vector  $m$ ), corresponds to a measured temperature in the well at present or at some time in  
 150 the past. In this fashion, the GST reconstruction can be based not only on a single temperature profile but  
 151 also on the variation of the temperature profile between the present and some years ago. To the best of

152 our knowledge, this possibility, which enhances the robustness of the reconstruction, has never been  
 153 exploited before in the literature. Given the detrended measures  $\vec{m}$ , we must compute the vector  $\vec{\tau}$  solving  
 154 the linear system (4). However it is well known that the inverse problem for the heat equation (1) is severely  
 155 ill-posed and thus solving directly the linear system (4) would lead to a computed GST that would be highly  
 156 oscillating and very far from the true physical values for  $\vec{\tau}$ . It is then necessary to introduce a regularization  
 157 process by modifying the original problem (4), in order to obtain an approximation that is well posed and  
 158 less sensitive to errors in the right-hand-side of (4). Classical regularization techniques include the  
 159 truncated singular value decomposition (TSVD) and the Tikhonov regularization in standard form (Hansen,  
 160 1998), applied in Beltrami and Boulron, (2004) and Liu and Zhang, (2014), respectively. In this paper, we  
 161 propose the use of the generalized Tikhonov regularization, where the damping term is measured by a  
 162 proper seminorm. In practice, instead of dealing with the linear system (4), we solve the minimization  
 163 problem

$$\min_{\vec{\tau}} \|L\vec{\tau} - \vec{m}\| + \alpha \|R\vec{\tau}\| \quad (5)$$

164 where  $\alpha > 0$  is the regularization parameter and R is the regularization matrix. The use of a regularization  
 165 matrix R for this application is a novelty although several other regularization smoothing parameters were  
 166 already used (i.e. Shen et al., 1992; Rath and Mottaghy, 2007) If R is simply the identity matrix, then the  
 167 problem (5) reduces to the standard Tikhonov method used in Liu and Zhang, (2014). When  $\alpha$  is large the  
 168 restored GST is very smooth but the differences between the measured data and the temperatures in the  
 169 well that would be computed by (4) from the recovered GST are large. On the contrary, when  $\alpha$  is too small  
 170 the data fitting is good but the GST becomes highly oscillating due to the ill-posedness. A good tradeoff is  
 171 not trivial and several strategies can be explored for estimating an optimal value of  $\alpha$ : as an example, the  
 172 generalized cross validation (Golub et al., 1979) often provides good results.

173 A common choice for R is a finite difference discretization of a differential operator (Hansen, 1998]. In this  
 174 paper, we consider a standard discretization of the Laplacian so that the constant and linear components of  
 175 the solution are not damped in the Tikhonov regularization, (5) while we have a penalization of high  
 176 oscillations. The details of the chosen regularization and of the GST inversion employed are described in the  
 177 appendix.

### 178 3.4 Validation on synthetic data

179 In order to validate our GST inversion method we have generated a synthetic data set as follows. An ideal  
 180 GST was chosen (dashed curve in Fig. 4) and equation (1) was solved by a finite difference method with a  
 181 spatial grid spacing of 1 m. Homogeneous Neumann boundary conditions were imposed at the well bottom  
 182 and the ideal GST as Dirichlet data at  $z=0$ , thus obtaining synthetic data for the measurements of  
 183 temperature in the well. The computed temperatures were saved for the depth at which the real  
 184 thermometers in SBB are located (see Section 3.1), for the present time, as well as for 1, 2 and 3 years  
 185 before present. We then used the generated data as input to the inversion algorithm described in the  
 186 previous section and compared the reconstructed GST with the ideal one used to generate the synthetic  
 187 data.

188 In the first experiment we fed our inversion algorithms only with the synthetic data for the present time.  
 189 The value of alpha that best fits the exact GST is  $\alpha=0.15$ , but in Figure 4 one can see that also varying  
 190 this value by 33% the reconstructed GST does not vary significantly.

191 Next we fed the inversion algorithm also with the synthetic data for the past years. First, the inversion is  
192 expected to be more accurate since the algorithm can average not only on the temperature at a given  
193 depth but also on the variation of the temperature in the last years at that depth. Moreover, the algorithm  
194 should also be more robust, since it relies on a larger data set. Both these effects can be appreciated in Fig.  
195 5, where it can be seen that the inversion in the last 50 years is more accurate than the inversion of Fig. 4  
196 and that a wider variation in the value of alpha is possible without affecting very much the quality of the  
197 reconstruction.

198

## 199 **4 RESULTS**

### 200 **4.1 Permafrost temperature, thermal properties and GST reconstruction**

201 The SSB stratigraphy is characterized by four different facies of dolostone (Figure 6): a massive dolostone  
202 (from grey to pinky grey) comprises more than 90% of the profile; three other facies (white dolostone,  
203 black stratified limestone, brownish dolostone) are thin intercalations (maximum 3.5 meters of thickness  
204 and located mainly in the first 42 m). In particular facies d, was not analysed for thermal analyses because is  
205 very limited and it does not have any lateral continuity.

206 The mean annual thermal profiles of the last three years (2013-14-15) show a negative gradient between  
207 20 m (a depth corresponding approximately to the depth of zero annual amplitude, ZAA) and 60 m that  
208 does not vary ( $-0.8^{\circ}\text{C}/100\text{ m}$  in all the three years). At greater depth, the gradient is positive with slightly  
209 different slopes between 60-105; 105-125; 125-205; 205-215 and 215-235 (Figure 7 and Table 1).

210 Table 2 shows the thermal properties of the three main stratigraphic facies encountered in the borehole.  
211 Facies a and c show similar density and thermal properties while facies b has higher density and higher  
212 conductivity. All facies have heat capacity values that increase with a decrease of temperature. In facies a,  
213 this behavior occurs also for thermal conductivity and diffusivity values. In contrast, facies b and c show a  
214 reversed bell shape behavior, with the minimum value recorded at  $-1^{\circ}\text{C}$  and an absolute maximum at  $-3^{\circ}\text{C}$ .  
215 Therefore, from a thermal point of view, only facies b is different. Moreover, at depths below the level of  
216 zero annual amplitude, this facies occurs only at depths of 34.5m and 90 m with a negligible thickness (2  
217 and 1 m respectively) and at 142.5 m and 205 m where it reaches 3-3.5 m in thickness. Clearly, the thermal  
218 influence of this facies is negligible (see also figure 8): indeed, the gradient between 60 and 235 m is  
219 approximately the same as that between 60 and 105 m and between 125 and 205 m.

220 According to the model proposed in the Methods, we found the best fitting with the thermal profiles  
221 (Figure 7) using an heat flow of  $70\text{ mWm}^{-2}$  (Della Vedova et al., 1995), a thermal diffusivity value equal to  
222 the mean between the value obtained for  $0^{\circ}\text{C}$  and  $-1^{\circ}\text{C}$  for facies a, which is the more widespread in the  
223 borehole and an alpha value of 0.95 as shown in figure 8. Moreover, figure 8 shows as the influence of the  
224 different thermal properties of facies b and c occurring in the SSB are practically negligible respect the  
225 same inversion model calculated with the average of thermal diffusivity of facies a measured in laboratory  
226 between  $0^{\circ}$  and  $-1^{\circ}\text{C}$ .

227 The linear system (4) was assembled including the detrended data measured at SSB in 2015 ( $T_j = 0$ ), in  
228 2014 ( $T_j = 1$ ) and 2013 ( $T_j = 2$ ), at the 13 depths listed in Section 3.1, resulting in 39 equations. The  
229 anomalies of the GST reconstruction obtained with respect to the reference period between 1880 and 1960  
230 has been computed using the value of  $\alpha = 0.95$  for the regularization parameter (Figure 10).

231

## 232 **5 DISCUSSION**

### 233 **5.2.1 GST and current air temperatures**

234 In cryotic environments, snow cover can influence GST variability both in space and in time (e.g. Zhang,  
235 2005; Schmidt et al., 2009; Morse et al., 2012; Rodder and Kneisel, 2012; Schmid et al., 2012; Guglielmin et  
236 al., 2014). This is especially the case for alpine areas where topography influences both the re-distribution  
237 of the snow by wind-drift and actual snow cover evolution (e.g. melting date and duration). Nevertheless,  
238 GST and air temperature are well correlated ( $R^2 = 0.8027$ ) and present a very similar pattern over the last  
239 15 years with only a slight warming (Figure 11). This relatively slight effect of snow at this site is probably  
240 due to the high wind velocities during winter that, on average, prevent buildup of a thick snowpack. Figure  
241 12 illustrates the temporal variability of snow cover on the GST. In general, the highest ( $>\pm 5^\circ\text{C}$ ) differences  
242 between mean daily GST and mean daily air temperature occur when there are large drops of air  
243 temperature during the winter. Sometimes, large differences occur also when there are large drops of air  
244 temperature during the summer where there is little or no snow cover, because of high solar radiation that  
245 heats the ground surface. Correlation is even better between monthly mean air temperature, mean annual  
246 air temperature (MAAT) and mean annual ground surface temperature (MAGST) ( $R^2 = 0.8712$  for this  
247 latter). This agrees with the results of Zhang and Stamnes, (1998) who found that, in a flat area in northern  
248 Alaska, changes in seasonal snow cover had a smaller effect than MAAT on the ground thermal regime.

### 249 **5.2.2. GST Fluctuations between 1950 and today**

250 Our reconstruction after the cold GST anomaly, between 1906 and 1941 AD, shows a slightly positive peak  
251 ( $0.15^\circ\text{C}$ ) in 1930 and afterwards a very unstable period with a first sharp decrease of temperature until  
252 1989 ( $-0.8^\circ\text{C}$ ) and a second even sharper increase, reaching in 2011 the uppermost GST anomaly value of  
253 the last 500 years ( $0.96^\circ\text{C}$ ).

254 On a regional scale, the Stelvio data can be compared with the MAAT obtained for the Alps by Christiansen  
255 and Ljungqvist, (2011) (Figure 10) and Trachsel et al., (2010). The maximum of the slight temperature  
256 increase during the first half of the XX century in the Stelvio data (1930) falls exactly in the middle of the  
257 relative warming period between 1925 and 1935 in the Alps found by Trachsel et al., (2010) and is in good  
258 agreement with the date (1928) indicated by Christiansen and Ljungqvist, (2011). Later, the sharp GST  
259 anomaly decrease was delayed in the Stelvio data (1989) with respect to 1950-1965 period found by  
260 Trachsel et al., (2010) and 1965-1975 period found by Christiansen and Ljungqvist, (2011). Finally, the most  
261 recent increase of temperature culminated in the Alps in 1994 (Christiansen and Ljungqvist, 2011) while in  
262 the Stelvio data at 2011.

### 263 **5.2.3 The Little Ice Age (LIA)**

264 The Stelvio reconstruction shows a long period of negative anomaly between 1560 and 1860 AD with the  
265 colder conditions ( $< -2 \cdot \text{S.D.}$ ) between 1683 and 1784 AD with a peak of  $-1.5^\circ\text{C}$  around 1730 AD. This period  
266 of negative anomaly falls within this well-known cooling period (LIA). It is recognized in several kinds of  
267 proxy data although there are differences both in magnitude and in timing across the world. According to  
268 Neukom et al., (2014), synchronous cold temperature anomalies occurred at decadal scale in both  
269 hemispheres between 1594 and 1677 AD. They also found two phases of extreme cold temperature in the  
270 Northern Hemisphere with the first between 1570 and 1720 AD and the second between 1810 and 1855.

271 Syntheses of the LIA in the European Alps have been presented by Trachsel et al., (2012) and Christiansen  
272 and Ljungqvist, (2011). Considering the common colder periods in these two Alpine syntheses, the LIA has  
273 three main negative peaks at 1570-1600; 1685-1700 and 1790-1820 AD.

274 The LIA period has been also characterized by a widespread worldwide glacier advance, although the  
275 comparison between glacial evidences and temperature fluctuations are problematic because glaciers  
276 respond with different time scales (mainly depending on their size) and reflect also the precipitation  
277 regime, which is even more variable in space and time. According to Holzhauser et al., (2005), the LIA  
278 advance of the main Swiss Glaciers has three peaks around respectively 1350, 1640 and 1820-50 AD with  
279 the two later phases almost synchronous also in the Eastern Alps (Nicolussi and Patzelt, 2000).

280 Close to the location of the Stelvio borehole, the maximum LIA advance was diachronous. Nearby glaciers  
281 show a maximum LIA advance in 1580 AD (Trafoi Valley glacier; Cardassi, 1995), around 1770 AD (Solda  
282 Glacier; Arzuffi and Pelfini, 2001) and in 1600 AD (La Mare Glacier; Carturan et al., 2014).

283 The borehole area was presumably overcapped by the Vedretta Piana Glacier until 1868. Due to the  
284 geomorphological position (on a watershed divide) the possible glacier should have been very thin and  
285 possibly cold based, as already stressed by Guglielmin et al., (2001). On the other hand, considering figure  
286 10, the glacier should have been present in the borehole area with a buffering effect only between 1711  
287 and 1834 AD, with a peak at 1760, when the difference between the GST anomaly and the MAAT anomaly  
288 was maximum. This peak is pretty similar to the peak of the LIA in the Solda Glacier (1770 AD) but not to  
289 the peak in the Trafoi glacier (1580 AD); this could be related to Vedretta Piana having a more similar  
290 glacier size and aspect (NE-N) to the Solda Glacier than to the Trafoi Glacier, although this latter is the  
291 closest to the Vedretta Piana.

#### 292 **5.2.4 Other permafrost borehole temperature reconstructions**

293 Several deep Alaskan boreholes have been used to demonstrate the XX century warming (e.g. Lachenbruch  
294 and Marshall, 1986; Lachenbruch et al., 1988) but only a few studies in Europe illustrate GST  
295 reconstructions that span a time period greater than 100-150 years (e.g, Isaksen et al., 2001, Guglielmin,  
296 2004). In North America, only Chouinard et al., (2013) shows GST pattern of the last 300 years in the  
297 context of the permafrost of Northern Quebec. There, after the LIA (1500-1800 AD), it was found an almost  
298 constant and marked warming of ca 1.4 °C until 1940, followed by a cooling episode ( $\approx 0.4$  °C) which lasted  
299 40–50 yr, and finally a sharp  $\approx 1.7$  °C warming over the past 15 yr.

300 There is a some similarity between the Stelvio reconstruction and the pattern of Canadian permafrost GST  
301 reported by Chouinard et al., (2013) after the LIA. Indeed, also in our site there was an almost simultaneous  
302 but greater cooling (0.9°C) in the period between 1941 and 1989, followed by a sharp warming of ca 1.7°C.  
303 On the other hand, GST reconstructions can be obtained with different models and it is interesting to  
304 compare our data with, for example, the PMIP3/CMIP5 simulations that include the effect of aerosol  
305 forcing by Garcia-Garcia et al., (2016): there, in the last 500 years, the GST shows a cold anomaly (LIA)  
306 between 1582 and 1840, with the most negative peaks between 1798 and 1840, slightly delayed with  
307 respect to our data.

308

## 309 **5 CONCLUSIONS**

310 The general climatic pattern of the last 500 years recorded by this mountain permafrost borehole is similar  
311 to the majority of other studies in the European Alps and Central Europe. The main difference concerns  
312 post LIA events. In fact, the different multidisciplinary proxies considered (see Figure 13) do not indicate  
313 cooling between 1940 and 1989, with the exceptions of the shorter and less severe cooling found for the  
314 Alps. It is also relevant to stress that the rapid and abrupt GST warming (more than 0.8°C per decade)  
315 recorded between 1990 and 2011 in the Stelvio borehole data is similar to the warming recorded in  
316 permafrost in northern Quebec. This warming trend is of the same magnitude as the increase of MAAT  
317 between 1990 and 2000 in Central Europe (Dobrovlny et al., (2010), and is approximately double that found  
318 for the MAAT in the Alps and for Europe as a the whole (Luterbacher et al., 2004).

319 The Stelvio borehole ground surface temperature reconstruction also allows one to estimate changes in the  
320 Vedretta Piana glacier. This glacier presumably buried the site of the Stelvio borehole with an ice thickness  
321 sufficient to exert a significant buffering effect upon the ground thermal regime between 1711 and 1834  
322 AD. This was a time when the difference between the Stelvio GST anomaly and the MAAT anomaly was  
323 greatest.

324

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471

## 472 **Figure and Table Captions**

473 Figure 1. Study area: (a) Location of the study area with the surrounding glaciers and the reconstructed  
474 glaciers limits of the area (VPG = Vedretta Piana Glacier; TFG = Trafoi Glacier; SG = Solda Glacier; LMG = La  
475 Mare Glacier; PACE = Pace Borehole; SSB = Share Stelvio Borehole); (b) View of the drilling equipment during  
476 the realization of the SSB borehole in summer 2009.

477 Figure 2. Topography of the SSB site: a) Digital Elevation Model (5 m resolution) of the SSB site and b) SSW-  
478 NNE (solid line) and N-S (dashed line) transects through the Stelvio summit. Horizontal and vertical scales  
479 as well as thermistor chain position and depths are plotted to the same scale.

480 Figure 3. Example of a GST history parametrized by equation (2)-

481 Figure 4. Synthetic data for the present time. It is remarkable that also varying the alpha value by 33% the  
482 reconstructed GST does not vary significantly.

483 Figure 5. Synthetic data for three past years (2013, 2014 and 2015). It can be seen that the inversion in the  
484 last 50 years is more accurate than the inversion of Fig 4.

485 Figure 6. Share Stelvio Borehole (SSB) Stratigraphy. Legend: (A) facies a (massive dolostone from grey to  
486 pinky grey); (B) facies b (white dolostone); (C) facies c (black stratified limestone); (D) facies d (light brown  
487 dolostone).

488 Figure 7. SSB mean annual ground temperature profiles on 2013, 2014 and 2015.

489 Figure 8 Example of different GST history with different alpha with the extreme of heat flow values known  
490 for the region.

491 Fig. 9 Comparison of the predicted temperature anomalies of 2015 obtained in the case of a constant  
 492 thermal diffusivity (facies a; red dots) and B) with a multilayers thermal diffusivities according the different  
 493 facies and different temperature ranges in the borehole (blu dots) for the reconstructed GST history.

494 Figure 10. Comparison between the anomaly of the mean annual GST reconstructed by SSB borehole and  
 495 MAAT anomaly reconstructed for the European Alps by Christiansen and Ljungqvist (2011) (data available  
 496 online at: <https://www.ncdc.noaa.gov/paleo/study/12355>) both respect the same reference period (1880-  
 497 1960).

498 Figure 11. Trend of monthly mean of GST and Air temperature at SSB since 1998. The red and blue dashes  
 499 lines are respectively the linear regression of the GST and Air temperature.

500 Figure 12. Effect of the snow cover at SSB. The winter 2010/11 is representative of the average conditions  
 501 of the snow cover at SSB while the following season 2011/12 was the snowiest of the whole monitoring  
 502 period. The difference between the daily mean GST and air temperature ( $\Delta GST_{air}$ ) shows the greater values  
 503 during the greater drop of the air temperature (green line) during the winter due to the insulating effect of  
 504 the snow cover whereas the few episodes of high  $\Delta GST_{air}$  in the summer are may due to the solar radiation  
 505 that warms up the ground surface.

506 Figure 13. Main climatic events enhanced by anomalies of MAAT through different proxy in all Europe: A,  
 507 (modified from Luterbacher et al., 2004); Central Europe: B, (rielaborated from Dobrovolný et al., 2010;  
 508 Alps: C, (modified from the same data of Figure 5, Christiansen and Ljungqvist, 2011) and SSB: D, (this  
 509 paper).

510 Figure 14. Comparison of predictions of the forward model for the same GST and different geometrical  
 511 setups. (Appendix).

512 Table 1. Thermal gradients ( $^{\circ}Cm^{-1}$ ) on 2013; 2014 and 2015 in the different depth intervals of the profile  
 513 below the zero-annual amplitude that is approximately at 20 m of depth.

514 Table 2. Thermal properties of the three different facies occurred in SSB borehole measured in the  
 515 Laboratory at three different steps of temperature (0; -1 and -2 $^{\circ}C$ ).

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520 Table 1

	20-60 m ( $^{\circ}Cm^{-1}$ )	60-105 m ( $^{\circ}Cm^{-1}$ )	105-125 m ( $^{\circ}Cm^{-1}$ )	125-205 m ( $^{\circ}Cm^{-1}$ )	205-215 m ( $^{\circ}Cm^{-1}$ )	215-235 m ( $^{\circ}Cm^{-1}$ )	60-235 m ( $^{\circ}Cm^{-1}$ )
2013	0,0088	-0,0072	-0,0048	-0,0075	-0,0128	-0,0058	-0,0072
2014			-0,0046	-0,0074	-0,0128	-0,0056	
2015	0,0086	-0,0077	-0,0045	-0,0073	-0,0128	-0,0055	-0,0072

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523 Table 2

	Density (gcm <sup>-3</sup> )	Diffusivity (10 <sup>-6</sup> m <sup>2</sup> s <sup>-1</sup> )	Heat capacity (Jg <sup>-1</sup> K <sup>-1</sup> )	Conductivity (WmK <sup>-1</sup> )
<b>Facies a</b>	2.7			
0°C		2.2	0.7	4.5
-1°C		2.1	0.8	4.4
-3°C		2.1	0.8	4.4
<b>Facies b</b>	2.8			
0°C		2.8	0.8	6.2
-1°C		2.8	0.8	6.2
-3°C		2.8	0.8	6.2
<b>Facies c</b>	2.7			
0°C		2.0	0.8	4.0
-1°C		1.9	0.8	3.9
-3°C		1.9	0.8	4.0

524

525 **Appendix 1: Details of the regularization and inversion technique**

526 The temperature anomaly in the borehole at time t at depth z is modeled by the solution of the heat  
527 equation

$$\frac{\partial A}{\partial t} - \frac{\partial}{\partial z} \left( \kappa \frac{\partial A}{\partial z} \right) = 0(1)$$

528 for the domain  $(t, z) \in (-t_{max}, 0) \times (0, z_{max})$ . If the boundary data A(t,0) is piece-wise constant, then  
529 the solution of the direct problem for equation (1) can be found explicitly (see Carslaw and Jaeger, 1959). In  
530 fact, the anomaly observed in the borehole t years ago, originating from a GST that has been constant  
531 except for an increase of  $\delta$  °C between  $t_2$  and  $t_1$  years ago is:

$$A(t, z) = \delta \left[ \operatorname{erfc} \left( \frac{z}{\sqrt{4\kappa(t_2 - t)}} \right) - \operatorname{erfc} \left( \frac{z}{\sqrt{4\kappa(t_1 - t)}} \right) \right]$$

532 The above formula of course makes sense only for  $t < t_1$  and the value  $t = 0$  corresponds to present time.  
533 For the purpose of reconstructing the GST history, it is customary to approximate it with a piece-wise  
534 constant function (see Figure 3)

$$GST(t) = \begin{cases} \tau_k, & t \in [-t_k, -t_{k-1}] \\ \tau_\infty, & t \leftarrow t_N \end{cases} (2)$$

535 where  $t_k$ , for  $k = 1, \dots, N$ , is the sequence of times in the past where we want to compute the value of the  
536 GST, and the  $\tau_k$ 's are the unknown values to be computed. The prediction of model (1) for the borehole  
537 temperature t years ago, originating from the GST (2) is

$$A(z, t) = \tau_1 \varphi(z, t_1 - t) + \sum_{k=1}^N \tau_k [\varphi(z, t_{k+1} - t) - \varphi(z, t_k - t)] - \tau_\infty \varphi(z, t_N - t), (3)$$

538 where  $\varphi(z, t) = \text{erfc}\left(\frac{z}{\sqrt{4\kappa t}}\right)$ . Note that, once the sequence  $t_k$  is chosen, the relation between the borehole  
 539 temperature at depth  $z_j$  predicted by the model and the unknown values  $\tau_k$  of the GST anomaly is thus  
 540 linear. The matrix L of the linear system (4) in the main text is thus

$$\begin{aligned} L_{j,1} &= \varphi(Z_j, t_1 - T_j) \\ L_{j,k} &= \varphi(Z_j, t_{k+1} - T_j) - \varphi(Z_j, t_k - T_j) \\ L_{j,N+1} &= \varphi(Z_j, t_N - T_j). \end{aligned}$$

541 We point out that each row of the matrix L can have a different value of  $T_j$ , so that the GST reconstruction  
 542 can be based not only on a single temperature profile, but also on the variation of the temperature profile  
 543 between the present and some years ago. Further, it is not needed that the reconstruction times  $t_k$  are  
 544 equally spaced in the past.

545 Given the detrended measures  $\vec{m}$ , we must compute the vector  $\vec{\tau}$  solving the linear system (4). Note that  
 546 the inverse problem for the heat equation (1) is well-known to be severely ill-posed, the matrix L is strongly  
 547 ill-conditioned and its singular values decay exponentially to zero, with related singular vectors largely  
 548 intersecting the subspace of high frequencies (Serra-Capizzano, 2004). Therefore, since the right-hand side  
 549  $\vec{m}$  is affected by error measurements, solving directly the linear system (4) would lead to a computed GST  
 550 that would be highly oscillating and very far from the true physical values for  $\vec{\tau}$ . It is then necessary to  
 551 introduce a regularization process by modifying the original problem (4), in order to obtain an  
 552 approximation that is well posed and less sensitive to errors in the right-hand-side of (4). The Tikhonov  
 553 regularization usually provides better restorations than the truncated SVD, because it is characterized by a  
 554 smooth transition in the filtering of the frequencies and the smoothness of the transition can be somehow  
 555 chosen by manipulating the regularization parameter of the method (Hansen, 1998). In this paper, we thus  
 556 propose the use of the generalized Tikhonov regularization, where the damping term is measured by a  
 557 proper seminorm. In practice, instead of dealing with the linear system (4), we solve the minimization  
 558 problem

$$\min_{\vec{\tau}} \|L\vec{\tau} - \vec{m}\| + \alpha \|R\vec{\tau}\| \quad (5)$$

559 where  $\alpha > 0$  is the regularization parameter and R is the regularization matrix. **The presence of the matrix**  
 560 **R in (5) allows to impose some a-priori information on the true solution. Indeed, when minimizing (5), the**  
 561 **components of the solution belonging to  $\ker(R) = \{\vec{x} \text{ s.t. } R\vec{x} = \vec{0}\}$  are perfectly reconstructed. In fact, if a**  
 562 **vector x belongs to  $\ker(R)$  then  $\|R\vec{x}\| = 0$  and hence the penalization term disappears in the minimization**  
 563 **problem (5) and consequently the data are perfectly fitted.** Note that in order to guarantee the uniqueness  
 564 of the solution (5), the condition  $\ker(L) \cap \ker(R) = \vec{0}$  has to hold.

565 In this paper, we use as regularizer a standard discretization of the Laplacian

566

$$R = \begin{bmatrix} -1 & 2 & -1 & & & \\ & -1 & 2 & -1 & & \\ & & \ddots & \ddots & \ddots & \\ & & & -1 & 2 & -1 \end{bmatrix}$$

567 of size  $(N - 2) \times N$  and hence the constant and linear components of the solution are not damped in the  
 568 Tikhonov regularization (5).

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## Supplementary material 2: comparison of 1D and higher dimensional models for SSB

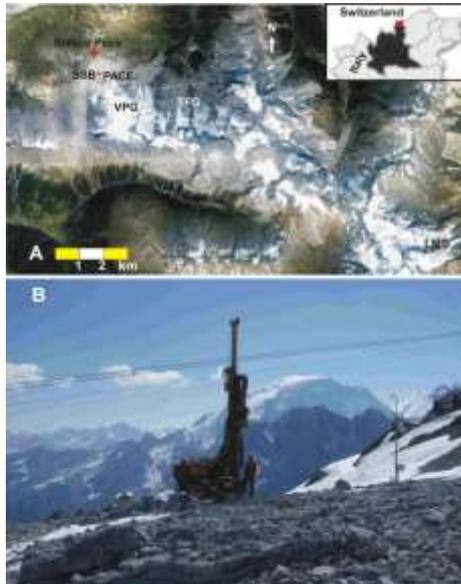
In order to ascertain the effect of the terrain geometry we conducted a number of forward simulations with the model (1) using as boundary data the synthetic GST shown in Fig 4 (dashed line) and already employed for the sensitivity analysis. First we computed the solution of the one-dimensional model (1). Next we computed the solution of the corresponding two-dimensional model, in two vertical slabs corresponding to the two sections shown in Fig 2. The two-dimensional domains were meshed with the GMSH program and the heat equation was solved using linear Lagrange finite elements in space and backward Euler in time.

Figure 14 compares the temperature anomalies that each of the models would predict in the well at present time. The dots are the predicted well anomalies at the depth of the thermometers in SSB and the error bars correspond to their accuracy of 0.1°C. One can see that the predictions of the two-dimensional model with flat terrain coincide with those of the one-dimensional one. Furthermore, the two-dimensional model applied to the section with the steeper sides (the SSW-NNE one, blue line) gives rise to predictions that are within the instrumental error. Finally, the other section (the N-S one, red line), which has a flatter terrain, gives rise to predictions that are quite close to those of the one-dimensional model. A 3D model would of course give rise to predictions that would be in between the red and blue line in the figure and that would not be distinguished from the ones of the one-dimensional model by the thermometers in SSB.

Finally, let us remark that for the forward model, a numerical 2D simulation takes minutes to complete on a pc equipped with a Corei7 quad-core. Using a numerical multi-dimensional simulator in the inverse problem would of course require to compute several times the forward model and would thus take a lot longer than the few seconds in which our proposed method can compute the reconstructed GST depicted in Fig. 10.

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605 Fig. 1

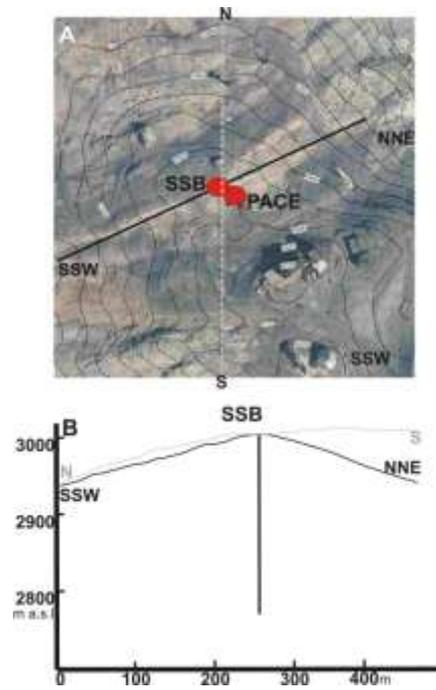


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Fig. 2



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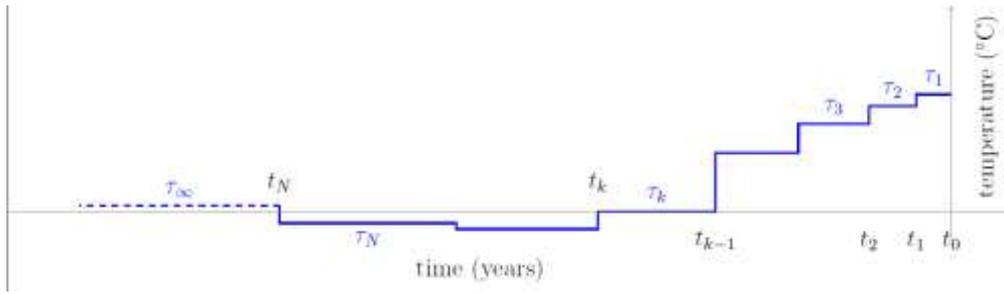
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Fig.3

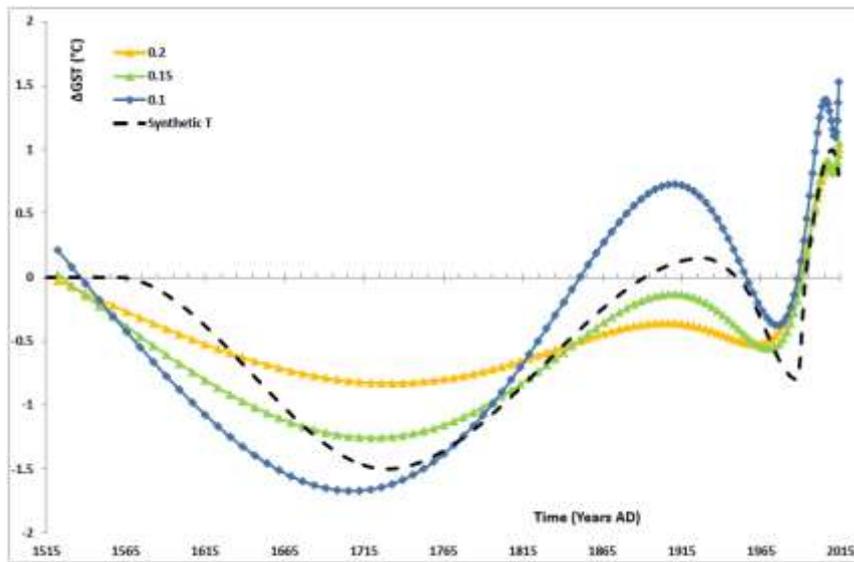


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Fig.4

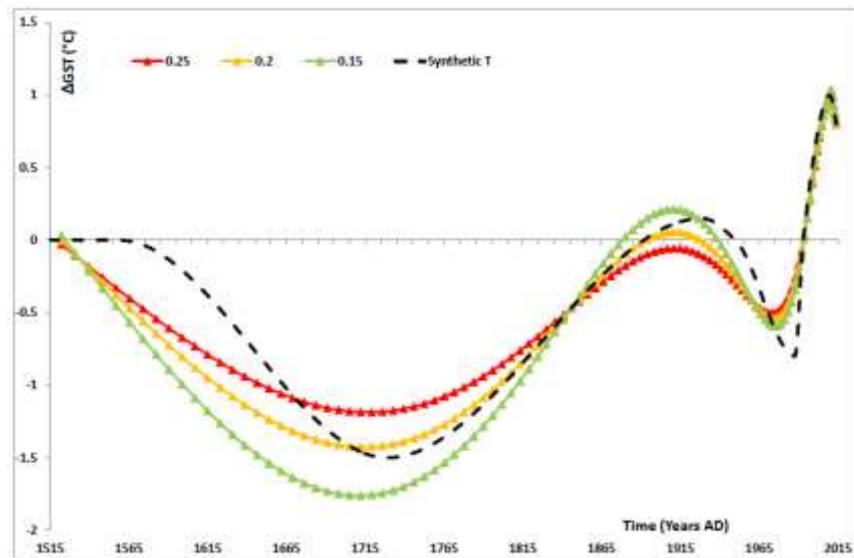


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Fig. 5

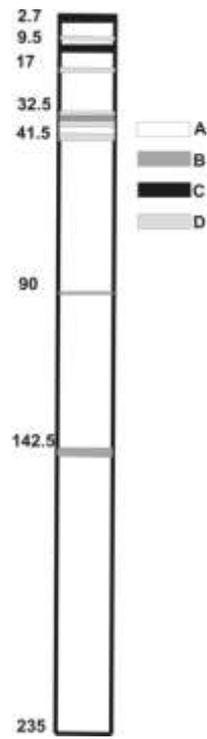


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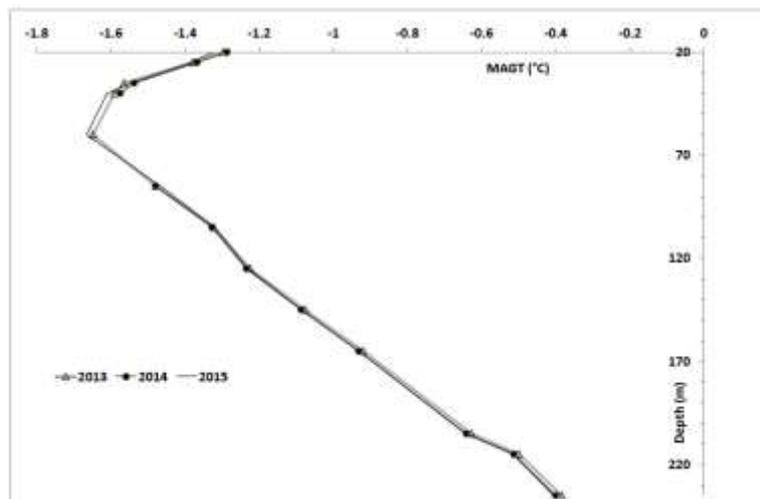
Fig. 6



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Fig. 7



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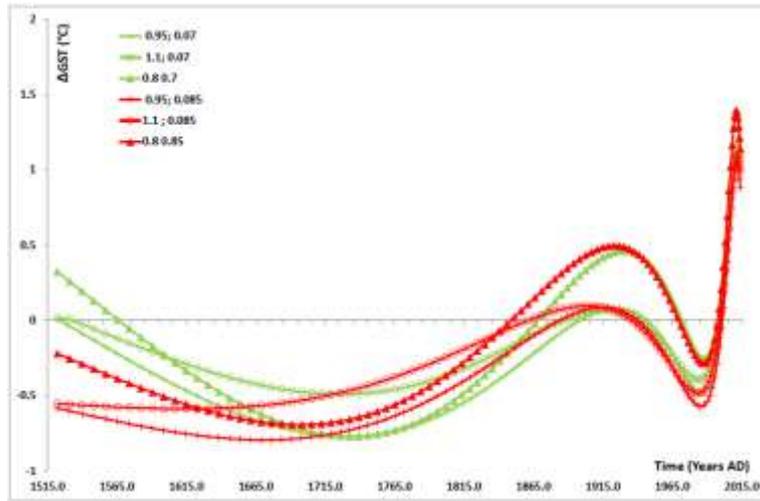
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Fig. 8

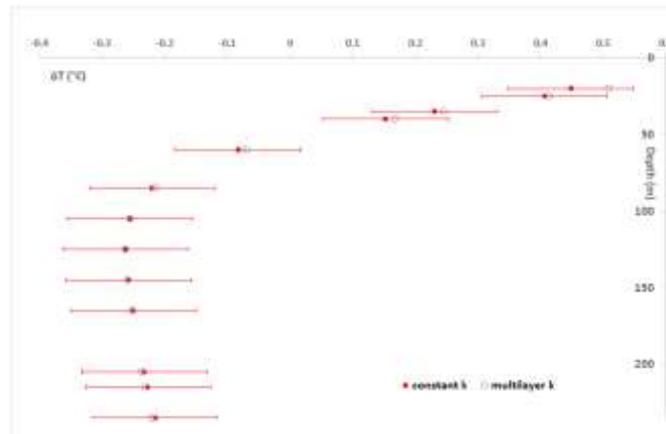


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Fig. 9



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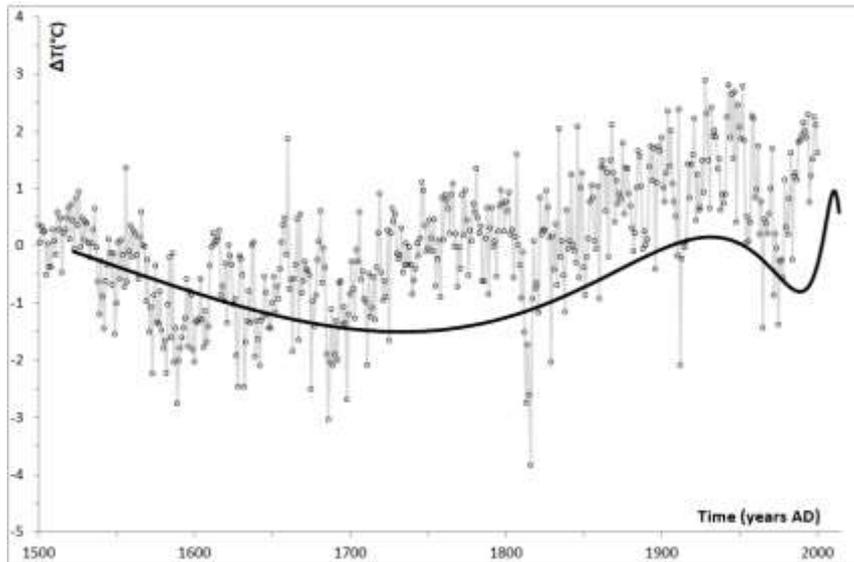
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Fig. 10



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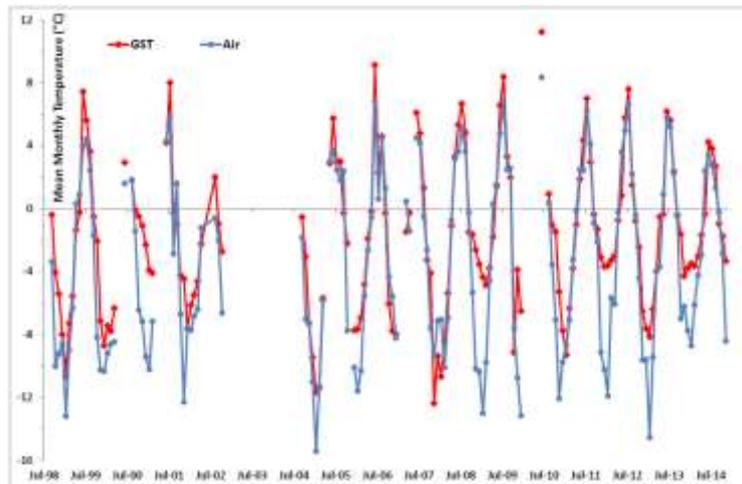
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Fig. 11

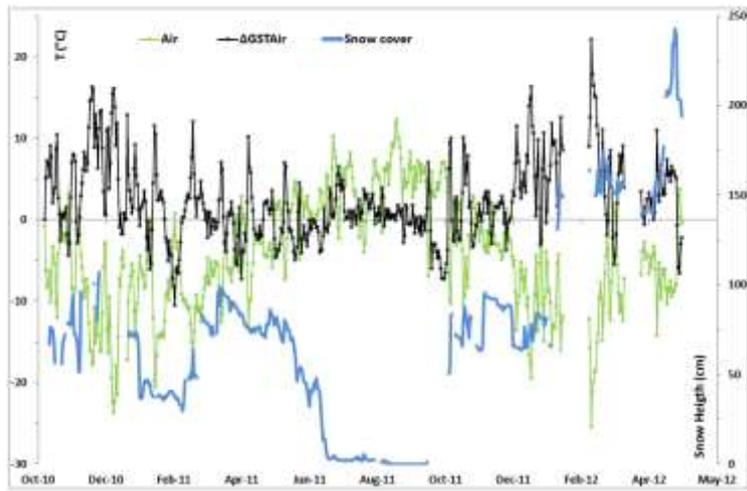


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Fig. 12

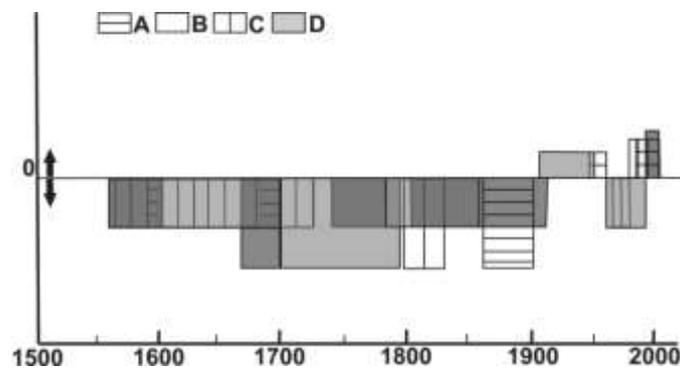
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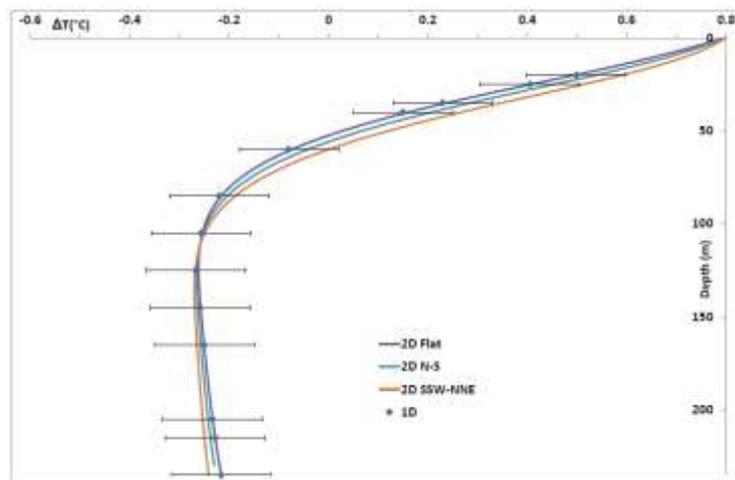
Fig. 13



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Fig. 14



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