

Oligocene and Miocene TEX₈₆-derived seawater temperatures from offshore Wilkes Land (East Antarctica)

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Abstract. The volume of the Antarctic continental ice sheet(s) varied substantially during the Oligocene and

Miocene (~34-5 Ma) from smaller to substantially larger than today, both on million-year and on orbital timescales.

However, reproduction through physical modeling of a dynamic response of the ice sheets to climate forcing remains problematic, suggesting the existence of complex feedbacks between cryosphere, the ocean and the

atmosphere systems. There is therefore an urgent need to improve the models for better predictions of these systems, including resulting potential future sea level change. To assess the interactions between cryosphere, ocean and

atmosphere, knowledge of ancient sea surface conditions close to the Antarctic margin is essential. Here, we present

a new TEX₈₆-based sea surface water paleotemperature record measured on Oligocene sediments from Integrated

Ocean Drilling Program (IODP) Site U1356, offshore Wilkes Land, East Antarctica. The new data is presented

along with previously published Miocene temperatures from the same site. Together the data covers the interval

between ~34 to ~11 Ma and encompasses 2 hiatuses. This record allows us to accurately reconstruct the magnitude

of sea surface temperature (SST) variability and trends on both million-year and on glacial-interglacial timescales.

On average, TEX₈₆ values indicate SSTs ranging between 10 and 21°C during the Oligocene and Miocene, which is

on the upper end of the few existing reconstructions from other high-latitude Southern Ocean Sites. SST maxima

occur around 30.5, 25 and 17 Ma. Our record suggests generally warm to temperate ocean offshore Wilkes Land.

Based on lithological alternations detected in the sedimentary record, which are assigned to glacial-interglacial

deposits, a SST variability of 1.5–3.1°C at glacial-interglacial timescales can be established. This variability is

slightly larger than that of deep-sea temperatures recorded in Mg/Ca data. Our reconstructed Oligocene temperature

variability has implications for Oligocene ice-volume estimates based on benthic $\delta^{18}\text{O}$ records. If the long-term and

orbital-scale SST variability at Site U1356 mirrors that of the nearby region of deep-water formation, we argue that

a substantial portion of the variability and trends contained in long-term $\delta^{18}\text{O}$ records can be explained by variability

in Southern high-latitude temperature and that the Antarctic ice volume may have been less dynamic than previously

thought. Importantly, our temperature record suggests that Oligocene-Miocene Antarctic ice sheets were generally

of smaller size compared to today.

1 Introduction

Numerical paleoclimate models predict that with the current rate of ice volume loss (up to 109 ± 56 Gt/yr, The IMBRIE Team, 2018) several sectors of the West Antarctic marine-based ice sheet will disappear within the coming few centuries (e.g., Joughin et al. 2014; The IMBRIE Team, 2018) favored by ocean warming-induced collapse. Observations show that glaciers on East Antarctica are also vulnerable to basal melt through warming of the ocean waters when they are grounded below sea level (Greenbaum et al., 2015; Miles et al., 2016; Shen et al., 2018; The IMBRIE Team, 2018), making the East Antarctic ice sheet (EAIS) not as stable as previously thought (McMillan et al., 2014). Recent numerical modelling studies have improved on reproducing the observed ice-sheet volume decrease, as they incorporate positive feedbacks (e.g., bedrock topography) to global warming and more complicated physics (e.g., hydrofracturing and ice-cliff failure) into these models (Austermann et al., 2015; Deconto and Pollard, 2016; Fogwill et al., 2014; Golledge et al., 2017; Pollard et al., 2015). They indeed show that sensitivity to global warming is particularly high where the ice sheet is grounded below sea level (Fretwell et al., 2013), such as in the Wilkes Land basin (Golledge et al., 2017; Shen et al., 2018).

Both on glacial-interglacial (Parrenin et al., 2013) and longer term Cenozoic timescales (Pagani et al., 2011; Zachos et al., 2008), Antarctic ice-volume changes have been mostly linked to changes in atmospheric CO_2 concentrations ($p\text{CO}_2$, see e.g., Foster & Rohling 2013; Crampton et al. 2016), modulated by astronomically forced changes in insolation (e.g., Holbourn et al., 2013; Liebrand et al., 2017; Miller et al., 2017; Pälike et al., 2006b; Westerhold et al., 2005). Foster & Rohling (2013) compiled $p\text{CO}_2$ proxy data and associated sea level reconstructions for the last 40 million years (Myr). These data suggest that if the past is projected to the future all ice on West Antarctica and Greenland may be lost under current and near future atmospheric CO_2 conditions (400–450 ppmv) in equilibrium state. Projections of $p\text{CO}_2$ for future emission scenarios of the latest IPCC Report (2014) show a range from 500 to 1000 ppmv for the year 2100, which could lead to additional ice-sheet volume loss from East Antarctica. This range in atmospheric $p\text{CO}_2$ is similar to that reconstructed for the warmest intervals of the Oligocene and Miocene epochs (full range: 200–1000 ppmv; e.g., Zhang et al. 2013). Given that observations clearly link the recent instability of marine-based ice sheets to ocean warming, it becomes important to better constrain near-field sea surface temperatures (SSTs) from the Antarctic margin during the Oligocene and Miocene to improve our understanding of past ice sheet dynamics and the projections for the future.

EAIS volume changes have been suggested for the Oligocene and Miocene based on a number of deep-sea $\delta^{18}\text{O}$ records, which reflect a combination of bottom-water temperature and ice volume (e.g., Liebrand et al., 2017; Miller et al., 2013; Pekar et al., 2006; Pekar and Christie-Blick, 2008; Shevenell et al., 2004; Westerhold et al., 2005), as well as sedimentary paleosealevel reconstructions (John et al., 2011; Gallagher et al., 2013; Stap et al., 2017). These records show long-term (1–3 Myr) trends punctuated by strong but transient glaciation events (Oi- and Mi-events) (Hauptvogel et al., 2017; Miller et al., 2017; Liebrand et al., 2017, 2016; Pälike et al., 2006b; Westerhold et al., 2005). Following the onset of the Oligocene, marked by the Oi-1 glaciation event, the long-term trend shows a shift towards lighter $\delta^{18}\text{O}$ values and a steady increase towards 27 Ma, then a decrease to 24 Ma and a final increase leading into the Miocene, marked by the Mi-1 glaciation event (Beddow et al., 2016; Cramer et al., 2009; Liebrand et al., 2016; Zachos, 2001). Miocene benthic $\delta^{18}\text{O}$ long-term trends show a sudden increase at 16.9 Ma, which marks

the onset of the Miocene Climatic Optimum (MCO), a plateau phase, and a subsequent stepwise decrease known as the mid-Miocene Climatic Transition (MCT) (Holbourn et al., 2015, 2013, 2007; Shevenell et al., 2004; Westerhold et al., 2005). The Oligocene and Miocene glaciations are paced by periods of strong 110-kyr eccentricity fluctuations of up to 1‰ (Liebrand et al., 2017, 2016, 2011). These $\delta^{18}\text{O}$ fluctuations may be mostly resulting from the waxing and waning of the EAIS, in which case the ice sheet was highly dynamic, or they mostly reflect large changes in deep-sea temperature, in which case large SST fluctuations in the region of deep-water formation were to be expected. Considering the former, fluctuations between 50% and 125% of the present-day EAIS have been suggested for the Oligocene (DeConto et al., 2008; Pekar et al., 2006; Pekar and Christie-Blick, 2008), but this amount of variability has not yet been entirely reproduced by numerical modeling studies (DeConto et al., 2008; Gasson et al., 2016; Pollard et al., 2015). Considering the latter, several studies have suggested that during the Oligocene the southern high latitudes were the prevalent source for cold deep-water formation (Katz et al. 2011; Goldner et al. 2014; Borelli & Katz 2015). Hence, deep-water temperature records from the southern high-latitudes, particularly those capturing temperature changes on million-year as well as orbital timescales, may provide information on the relative contribution of deep-sea temperature variability to the $\delta^{18}\text{O}$ records. However, reconstructions of deep-water temperature based on $\delta^{18}\text{O}$ and Mg/Ca ratios of benthic foraminifera are hampered by the poor preservation of carbonates on the high-latitude Southern Ocean floor, and rely on critical assumptions on past composition of seawater chemistry. Therefore, one needs to assume that the deep-sea temperature trend captured in the Oligocene and Miocene $\delta^{18}\text{O}$ records is related to surface water temperature in the Southern Ocean similarly to today (Baines, 2009; Jacobs, 1991) and in the Eocene (Bijl et al., 2009). Based on this assumption, Southern Ocean SSTs would potentially gauge deep-sea temperature variability. Only few Oligocene SST estimates are available for the Southern Ocean and they relate to the early Oligocene (Petersen and Schrag, 2015; Plancq et al., 2014). Few Southern Ocean SST records are available for the early and mid-Miocene (14–17 Ma) (Kuhnert et al., 2009; Majewski & Bohaty, 2010; Shevenell et al., 2004) and only two (Levy et al., 2016; Sangiorgi et al., 2018) are derived from south of the Polar Front (PF). Obstacles for reconstructing Oligocene and Miocene SST in the Southern Ocean are the paucity of stratigraphically well-calibrated sedimentary archives, as well as suitable indicator fossils/compounds within these sediments that can be used to reconstruct SST.

In 2010, the Integrated Ocean Drilling Program (IODP) cored a sedimentary archive at the boundary of the continental rise and the abyssal plain offshore Wilkes Land with a well-dated Oligocene and Miocene sequence: IODP Site U1356 (Fig. 1), suitable for paleoclimatological analysis. In this study we use the now well-established ratio between several isoprenoidal glycerol dialkyl glycerol tetraethers (GDGTs), the so-called TEX₈₆ proxy (Schouten et al., 2013, 2002), to reconstruct SSTs at this high-latitude Southern Ocean site. We present new SST data based on TEX₈₆, covering almost the entire Oligocene, along with published TEX₈₆ values for the mid-Miocene section (Sangiorgi et al., 2018). Detailed lithological logging of both the Oligocene and Miocene sections of Site U1356 allows for the distinction of glacial and interglacial deposits (Salabarnada et al., submitted this volume). This enables us to assess long-term evolution of SSTs in proximity of the ice-sheet as well as the temperature differences between glacials and interglacials on orbital time scales, which have implications on the dynamics of the Antarctic ice-sheet and its sensitivity to climate change. We compare our record with the few existing early Oligocene and

mid-Miocene SST data from other high latitude Southern Ocean sites as well as with deep-water $\delta^{18}\text{O}$ and Mg/Ca-based bottom water temperature (BWT) records from lower latitudes (Billups & Schrag 2002; Lear et al., 2004; Shevenell et al., 2004), and discuss the implications of our findings.

2 Materials & Methods

2.1 Site description

Integrated Ocean Drilling Program (IODP) Expedition 318 Site U1356 was cored about 300 kilometers off the Wilkes Land coast (63°54.61'S, 135°59.94'E) at the boundary between the continental rise and the abyssal plain at a water depth of 3992 m (Escutia et al. 2011, see Fig. 1). Today, this site is south of the Antarctic Polar Front (PF) and is under the influence of by Antarctic Bottom Waters (AABW), Lower Component Deep Water (LCDW), Upper Component Deep Water (UCDW), and Antarctic Surface Water (AASW) (Orsi et al., 1995).

2.2 Sedimentology

At present, IODP Site U1356 receives sediments transported from the shelf and the slope as well as *in situ* pelagic component. Although we have no quantitative constraints on the water depth during the Oligocene and Miocene, the sediments as well as the biota suggest a deep-water setting at Site U1356 during these times (Houben et al., 2013; Escutia et al., 2014). Sedimentary Units of Hole U1356A have been defined in the shipboard report (Escutia et al., 2011). Detailed logging of the sediments recovered in Hole U1356A has revealed that the Oligocene and Miocene sedimentary record (between 95.40 and 894.80 meters below sea floor, mbsf) consists mostly of alternations of (diatomaceous) laminated and bioturbated sediments, gravity flow deposits, and carbonate beds (Salabarnada et al., submitted this volume; Sangiorgi et al., 2018) (Fig. 2). Gravity flow deposits include Mass Transport Deposits (MTDs) formed by the slump and debris flow sediments of the Miocene, Oligocene and Eocene-Oligocene Transition (EOT), and the late Oligocene-Miocene turbidite type facies as defined by Salabarnada et al. (submitted this volume). Samples from the MTDs contain the largest contribution of reworked older material transported from the continental shelf (Bijl et al., submitted this volume), while in the other lithologies, this component is much more reduced or absent.

Between 593.4 and 795.1 mbsf, there are clear alternations between greenish carbonate-poor laminated and grey bioturbated deposits with some carbonate-rich bioturbated intervals. These deposits are interpreted as contourite deposits recording glacial-interglacial environmental variability (Salabarnada et al., submitted this volume). Above 600 mbsf, sediments mostly consist of MTDs with low to abundant clasts (Fig. 2). However, between the MTDs greenish or grey laminated deposits and greenish or grey bioturbated deposits are preserved. Near the bottom of Unit III as defined in the shipboard report (around 433 mbsf and below), a different depositional setting is represented with alternations between pelagic clays and (ripple) cross-laminated sandstone beds (Escutia et al., 2011). These sandy (ripple) cross-laminated beds are interpreted as turbidite deposits (Salabarnada et al., submitted this volume). Above these turbidite deposits, there are diatomaceous silty clays that are characterized by an alternation of green

laminated and grey homogeneous (bioturbated) silty clays. Apart from their diatom content, these deposits are very similar to the Oligocene alternations between carbonate-poor laminated and carbonate-containing bioturbated deposits, and are therefore interpreted likewise (Salabarnada et al., submitted this volume). Upcore within the Miocene section, the alternations between laminated and homogeneous diatomaceous silty clays become more frequent. In the upper Miocene sections (95.4–110 mbsf) laminations become less clear as the sediments become less consolidated, however green and grey alternations can still be distinguished. The more diatomaceous green deposits are interpreted as interglacial stages. Samples analyzed for TEX₈₆ were chosen from all the different lithologies (Fig. 2). In particular the (diatomaceous) laminated and bioturbated deposits were sampled, so we can test whether the glacial-interglacial variability inferred from the lithology is reflected in our TEX₈₆ data.

2.3 Oligocene and Miocene paleoceanographic setting

The Oligocene and Miocene Southern Ocean paleoceanographic configuration is still obscure and controversial. Some studies suggest that most Southern Ocean surface and deep water masses were already in place by Eocene-Oligocene boundary times (Katz et al., 2011). Neodymium isotopes on opposite sides of Tasmania suggest that an eastward flowing deep-water current was present since 30 Ma (Scher et al., 2015). A westward flowing Antarctic Circumpolar Counter Current (ACCC) was already established during the middle Eocene (49 Ma; Bijl et al. 2013) (Fig. 1). Tasmanian gateway opening also allowed the proto-Leeuwin current (PLC) flowing along southern Australia continue eastward (Carter et al., 2004; Stickley et al., 2004) (Fig. 1). However, numerical modeling studies show that throughflow of the Antarctic Circumpolar Current (ACC) was still limited during the Oligocene (Hill et al., 2013), because Australia and South America were substantially closer to Antarctica (Fig. 1) than today (Markwick 2007). Moreover, tectonic reconstructions and stratigraphy of formations on Tierra del Fuego suggest that following open conditions in the middle and late Eocene, the seaways at Drake Passage underwent uplift starting at 29 Ma and definitive closure around 22 Ma (Lagabriele et al., 2009). Evidence for active spreading and transgressional deposits in the Tierra del Fuego area record the widening of Drake Passage from 15 Ma onwards. The timing of the Drake Passage opening, which allowed for significant ACC throughflow, is still heavily debated (Lawver & Gahagan 2003; Livermore et al., 2004; Scher & Martin 2006; 2008; Barker et al., 2007; Maldonado et al., 2014; Dalziel 2014). Contourite deposits suggest that strong Antarctic bottom water currents first appeared in the early Miocene (21.3 Ma) and that Weddell Sea Deep Water was able to flow westwards into the Scotia Basin since the middle Miocene (~12.1 Ma) (Maldonado et al., 2003; 2005). It has been suggested that the closure and the reopening of Drake Passage are responsible for the warmer late Oligocene and the Miocene Climatic Optimum (MCO), and the subsequent cooling during the Miocene Climate Transition (MCT), respectively, as inferred from the benthic $\delta^{18}\text{O}$ records (Lagabriele et al., 2009). If the throughflow at the Drake Passage was limited in the late Oligocene and early Miocene, the Antarctic Circumpolar Counter Current (ACCC) was more dominant than the ACC during these times according to the model study of Hill et al. (2013). Antarctica was positioned more eastward during the Oligocene and Miocene relative to today (due to true polar wander; van Hinsbergen et al., 2015), and Site U1356 was more to the north during the Oligocene and Miocene compared to today (58.5°S at 34 Ma to 61.2°S at 10 Ma). Reconstructions of the position of the PF based on the

distribution of calcareous and siliceous microfossils, place the PF at 60°S during the early Oligocene (Scher et al., 2015), which means that Site U1356 may have crossed the PF between 34 and 10 Ma. The more northerly position of Site U1356 may have facilitated the influence of warmer waters during the mid-Miocene at Site U1356 (Sangiorgi et al., 2018), and therefore bottom-water formation may have been absent or limited at Site U1356. Bottom-water formation is expected in more southerly positioned shallow basins where glaciers extended onto the Antarctic shelf, such as the nearby Ross Sea (Sorlien et al., 2007). However, neodymium isotopes obtained from Site U1356 suggest that bottom water formed offshore the Adélie and Wilkes Land coast during the Early Eocene, which seems in contrast with the globally high temperature of that time (Huck et al., 2017). Modeling studies have, however, suggested that density contrasts created by seasonal changes in SST and salinity (with or without sea ice) may have induced deep-water formation and downwelling around Antarctica (Goldner et al., 2014; Lunt et al., 2010).

2.4 Age model U1356

Oligocene sediments were recovered in the section from 894.68 mbsf (first occurrence (FO) *Malvinia escutiana*) to 432.64 mbsf (base of subchron C6Cn.2n) at IODP Hole U1356A (Bijl et al., 2018). The shipboard age model (Tauxe et al., 2012) was based on biostratigraphy with magnetostratigraphic tie points and chronostratigraphically calibrated to the Geologic Timescale of 2004 (Gradstein et al., 2004). We follow Bijl et al. (2018), who recalibrated the existing age tie points to the Geologic Timescale of 2012 (GTS2012, Gradstein et al., 2012). The FO of *Malvinia escutiana* (894.68 mbsf; 33.5 Ma; Houben et al., 2011) and the last occurrence (LO) of *Reticulofenestra bisecta* (431.99 mbsf; 22.97 Ma) and the paleomagnetic tie points were used to convert the data to the time domain (see Fig. 4). For the Oligocene-Miocene Boundary, we also follow Bijl et al. (2018) who infer a hiatus spanning ~22.5-17.0 Ma between Cores 44R and 45R (~421 mbsf). It is unknown whether additional short hiatuses exist within the Oligocene record, but this is likely considering the presence of MTDs (Salabarnada et al., submitted this volume; Fig. S1). In addition, the poor core recovery in some intervals dictates caution in making detailed stratigraphic comparisons with other records.

For the Miocene section of Hole U1356A we follow Sangiorgi et al. (2018), who applied the Constrained Optimization methodology (CONOP) of Crampton et al. (2016) on diatom and radiolarian biostratigraphic events to construct an age model. Based on the application of CONOP to the diatom and radiolarian biostratigraphic events a second hiatus was identified spanning approximately the interval between 13.4 and 11 Ma.

2.5 Glycerol dialkyl glycerol tetraether extraction and analysis

In addition to the 29 samples from the Miocene section presented in Sangiorgi et al. (2018), a total of 132 samples from the Oligocene and early Miocene part of the sedimentary record (Table S1) were processed for the analysis of glycerol dialkyl glycerol tetraethers (GDGTs) used for TEX₈₆. Spacing varies due to variability in core recovery and GDGT preservation. Furthermore, sampling of contorted strata was avoided. Sample processing involved manual powdering of freeze-dried sediments after which lipids were extracted through accelerated solvent extraction (ASE;

with dichloromethane (DCM)/methanol (MeOH) mixture, 9:1 v/v, at 100°C and 7.6×10^6 Pa). The lipid extract was separated using Al₂O₃ column chromatography and hexane/DCM (9:1, v/v), hexane/DCM (1:1, v/v) and DCM/MeOH (1:1, v/v) for separating apolar, ketone and polar fractions, respectively. Then, 99 ng of C₄₆ internal standard was added to the polar fraction, containing the GDGTs, for quantification purposes (cf. Huguet et al., 2006). The polar fraction of each sample was dried under N₂, dissolved in hexane/isopropanol (99:1, v/v) and filtered through a 0.45-µm 4-mm-diameter polytetrafluorethylene filter. After that the dissolved polar fractions were injected and analyzed by high performance liquid chromatography/mass spectrometry (HPLC/MS) at Utrecht University. Most samples were analyzed following HPLC/MS settings in Schouten et al. (2007), while some samples (see Table S1) were analyzed by ultra-high performance liquid chromatography/mass spectrometry (UHPLC/MS) according to the method described by Hopmans et al., (2016). Only a minor difference between TEX₈₆ index values generated by the different methods was recorded by Hopmans et al. (2016) (on average 0.005 TEX₈₆ units). Reruns of 5 samples with the new method show an average difference between the two methods of 0.011 TEX₈₆ units (see Table S2), which translates to a 0.6°C temperature difference based on TEX₈₆^H of Kim et al., (2010) and lies well within the calibration error of 2.5°C. GDGT peaks in the (U)HPLC chromatograms were integrated using Chemstation software. Sixteen of the 132 samples had too low concentrations of GDGTs to obtain a reliable TEX₈₆ value and have been discarded.

We have used the branched and isoprenoid tetraether (BIT) index (Hopmans et al., 2004) to verify the relative contribution of terrestrial GDGTs in our samples, compared to marine GDGTs. As isoprenoid GDGTs (isoGDGTs), used for the TEX₈₆ proxy are also produced in terrestrial soils, albeit in minor amounts, they can alter the marine signal when there is a large contribution of soil organic matter to marine sediments. This contribution can be identified by determining the relative amount of branched GDGTs (brGDGTs), which are primarily soil-derived (Weijers et al., 2006), to that of the isoGDGT crenarchaeol (Hopmans et al., 2004). Samples with BIT index values above 0.3 indicate that the TEX₈₆-based temperature may be affected by a contribution of soil-derived isoGDGTs and thus should be discarded (cf. Weijers et al. 2006), although, a high BIT value can sometimes also result from production of brGDGTs in marine sediments and the water column (Peterse et al., 2009; Sinninghe Damsté, 2016). The composition of the brGDGTs can be used to distinguish between marine and soil-derived GDGT input, in particular by using the #ring_{tetra} index (Sinninghe Damsté, 2016). The #rings_{tetra} index can discriminate between marine and soil-derived brGDGTs as the composition of soil-derived GDGTs typically show high amounts of the acyclic tetramethylated GDGT-Ia, while a dominance of cyclic tetramethylated (Ib and Ic) brGDGTs has been attributed to *in situ* production within the sediments (Sinninghe Damsté, 2016).

Oxic degradation of GDGTs does not affect the relative amounts of individual isoGDGTs (Huguet et al., 2009; Kim et al., 2009). However, oxic degradation may lead to an increased relative influence of soil-derived isoGDGTs, which could bias the TEX₈₆ in different ways depending on the composition of the soil-derived isoGDGTs (Huguet et al., 2009). Enhanced BIT index values are expected in samples with enhanced amounts of soil-derived isoGDGTs due to oxic degradation, and will be discarded. In addition, we calculated the Methane Index (MI) (Zhang et al., 2011), GDGT-0/crenarchaeol (Blaga et al., 2009; Sinninghe Damsté et al., 2009), GDGT-2/crenarchaeol ratios

(Weijers et al., 2011), and Ring Index (Zhang et al., 2016) to check for input of methanogenic or methanotrophic archaea, or any other non-temperature related biases to TEX₈₆.

2.6 TEX₈₆ calibrations

265 The TEX₈₆ proxy is based on the distribution of isoGDGTs preserved in sediments (Schouten et al., 2013, 2002). In marine sediments these lipids are assumed to originate from cell membranes of marine Thaumarchaeota, which are one of the dominant prokaryotes in today's ocean and occur throughout the entire water column (e.g. Karner et al. 2001; Church et al. 2010, 2003). Applying TEX₈₆ in polar oceans has been challenged by the observation that high scatter in the cold end of the core top dataset for TEX₈₆ is present (Ho et al., 2014; Kim et al., 2010). To overcome
270 some of the scatter as well as the non-linearity of the TEX₈₆-SST relationship, Kim et al. (2010) proposed two isoGDGT-based proxies and calibrations: TEX₈₆^L and TEX₈₆^H. The latter is not considered here as it was particularly developed for low-latitude high-temperature surface waters, and high-latitude core-top values were left out of the calibration (Kim et al., 2010). The former was particularly developed for high-latitude low-temperature surface waters. However, it has been shown that TEX₈₆^L is sensitive to changes in the GDGT-2/GDGT-3 ratio ([2]/[3]),
275 which are unrelated to SST (Taylor et al., 2013; Hernández-Sánchez et al., 2014). Instead these [2]/[3] changes result from changes in the Thaumarchaeotal community structure in the water column, because the community that thrives in deeper (>1000 mbsl) nutrient and ammonia-rich waters, produces significantly more GDGT-2 and thereby introduces a water-depth dependency into the calibration (Taylor et al., 2013; Hernández-Sánchez et al., 2014; Villanueva et al., 2015). Close to the Antarctic margin, the abundance of 'shallow' versus 'deep water'
280 Thaumarchaeotal communities at deep-water sites, like Site U1356 during the Oligocene-Miocene, could be affected by the presence of sea ice and the relative influence of (proto-)UCDW and (proto-)LCDW upwelling. For this reason, also TEX₈₆^L-based calibrations are not the focus of our study. Instead, we focus on TEX₈₆-based calibrations only. All existing TEX₈₆^(H) and TEX₈₆^L calibrations have, however, been applied to our data and are presented as a supplementary figure (Figure S1).

285 The latest global calibration set that includes also the high-latitude core-top values is the linear SST calibration of Kim et al. (2010). Despite the scatter at the cold end of the calibration that results from the inclusion of Arctic surface sediment samples with deviating TEX₈₆-SST relations, this calibration ($SST = 81.5 \cdot \text{TEX}_{86} - 26.6$ with a calibration error of $\pm 5.2^\circ\text{C}$) has been shown to plot onto the annual mean sea surface temperatures of the World Ocean Atlas 2009 (WOA2009; Locarnini et al. 2010) for the surface-sample TEX₈₆ values obtained in the Pacific
290 sector of the Southern Ocean (Ho et al., 2014). However, this calibration is likely to be influenced by regional differences in water depth, oceanographic setting and archaeal communities (Kim et al., 2015; 2016; Tierney and Tingley, 2014; Trommer et al., 2009; Villanueva et al., 2015). In addition, this calibration suffers from regression dilution bias caused by the uncertainty in the measured TEX₈₆ values plotted on the x-axis (Tierney & Tingley 2014). Regression dilution bias causes flattening of the slope (Hutcheon et al., 2010) and therefore affects
295 reconstructed TEX₈₆-based temperatures at the lower and upper end of the calibration range. Modern-analogue calibration methods exist today to overcome this regression dilution bias as well as some of the regional variability of TEX₈₆-SST relationships (Tierney and Tingley, 2015, 2014). These calibrations are based on a Bayesian spatially

varying regression model (BAYSPAR), which infers a best estimate for intersection and slope of the calibration based on an assembly of 20° by 20° spatial grid boxes that statistically fit best with an estimate of the prior distribution of temperature (i.e. the prior) (Tierney and Tingley, 2014). As for deep-time temperature reconstructions this prior cannot be based on modern-day annual mean sea surface temperatures, the BAYSPAR method requires a user-specified mean and variance for this prior (Tierney and Tingley, 2014). The prior for Site U1356 is obtained from recent clumped isotope measurements (Δ_{47}) on planktonic foraminifers from Maud Rise (ODP Site 689) (Petersen and Schrag, 2015), which show early Oligocene temperatures of 12°C. The BAYSPAR approach (Tierney & Tingley 2014; 2015) selects only those TEX₈₆ values from the calibration set of Kim et al. (2010) and an additional 155 core-tops from regional core-top TEX₈₆ studies, that are relevant for the study site, thereby generating a more regional calibration. Application of BAYSPAR on Site U1356 using a prior mean of 12°C does, however, result in the exclusion of the high-latitude core-top values. For this reason we find it useful to compare the BAYSPAR results to the results obtained by using the linear calibration of Kim et al. (2010). The BAYSPAR calibration method provides an estimate for SST and an upper and lower 90% confidence interval. For comparison to the linear calibration of Kim et al. (2010) a standard error (SE) has been calculated from these confidence intervals by assuming a normal distribution around the mean, in which case the 90% confidence interval boundaries can be calculated as the mean plus or minus 1.645 times SE.

Despite these recent efforts in improving the TEX₈₆-SST relationship, TEX₈₆ is known to overestimate temperatures at high latitudes due to multiple possible biases, such as seasonality (Ho et al., 2014; Schouten et al., 2013) and the incorporation of a subsurface signal (0-200 mbsl) at deep-ocean sites (>1000 mbsl) (Hernández-Sánchez et al., 2014; Huguet et al. 2007; Rodrigo-Gámiz et al., 2015; Yamamoto et al., 2012). There is, however, general consensus that TEX₈₆ is able to capture decadal and longer-term temperature trends (Richey and Tierney, 2016), which is why the main focus of this work is on relative SST changes. Indeed, subsurface export of GDGTs is implicitly incorporated into the global TEX₈₆-SST calibration and has therefore no implications for reconstructing SST (Hernández-Sánchez et al., 2014). Highest GDGT fluxes are closely linked to highest organic matter, opal (diatom frustules) and lithogenic particle fluxes (Mollenhauer et al., 2015; Yamamoto et al., 2012) and the lack of production of sinking particles that can incorporate GDGTs formed in deeper waters prevents biasing of surface-sediment TEX₈₆ values towards deep-water temperatures (Basse et al., 2014; Mollenhauer et al., 2015; Yamamoto et al., 2012). Still, particular environmental settings (e.g., upwelling regions, regions with oxygen-depleted deep waters, fresh-water surface waters) might favor the transport of a subsurface temperature (subT) signal to the sediments (Kim et al., 2012a, 2012b; Lopes dos Santos et al., 2010; Mollenhauer et al., 2015). Also for polar oceans it has been suggested that reconstructed temperatures reflect subT, since today Thaumarchaeota are virtually absent in the upper 0-45 m of Antarctic low-salinity surface waters formed by seasonal retreat of sea ice (Kalanetra et al., 2009). Surface water conditions over Site U1356 during the Oligocene and the MCO were much like present-day regions south of the Subtropical Front (STF) and likely not under the influence of a seasonal sea ice system (see Fig. 1) (Sangiorgi et al., 2018; Bijl et al., submitted this volume). For these time intervals, there is no reason to believe that surface waters were devoid of Thaumarchaeota due to the presence of sea ice and that TEX₈₆ values are influenced by an increased subsurface signal. For the earliest Oligocene and the MCT, the presence of *Selenopemphix antarctica* suggests that

Site U1356 was under the influence of a seasonal sea-ice system (Bijl et al., submitted this volume). For these periods, a reconstruction of subT values may be more appropriate, but this would still imply that SSTs are warmer. We limit our discussion to the TEX₈₆-based reconstructions to SST, notably, because it has been shown that TEX₈₆-based SST estimates based on the linear calibration of Kim et al. (2010) obtained from core-top samples from today's sea ice-influenced Southern Ocean, are in accordance with WOA2009 mean annual SST (Ho et al., 2014). This suggests that the effect of sea ice on surface and subsurface isoGDGT production is incorporated into the linear calibration of Kim et al. (2010). We therefore consider that despite the potential absence of Thaumarchaeota in the surface waters during the early Oligocene and the MCT, the calibration of Kim et al. (2010) does provide a reliable estimate of SST for these time intervals. Moreover, [2]/[3] ratios for the earliest Oligocene and the MCT are relatively low and show much less variability compared to the rest of the record (Fig. 2), which is opposite to what is expected when the relative influence of deep-water Thaumarchaeota increases (Hernández-Sánchez et al., 2014; Villanueva et al., 2015). Calibrations to subT (Kim et al., 2012a; Kim et al., 2012b; Tierney & Tingley, 2015) are therefore not considered here, but are included in supplementary Figure S1.

To get an estimate for the long-term average SST trends and confidence levels a Local polynomial regression model (LOESS) has been applied using R, which is based on the local regression model *cloess* of Cleveland et al. (1992). This method of estimating the long-term average trend is preferred over a running average, because it accounts for the variable sample resolution. For the parameter *span*, which controls the degree of smoothing a value was automatically selected through generalized cross-validation (R-package fANCOVA; Wang 2010).

3 Results

3.1 Discarding potentially biased TEX₈₆ values

A total of 113 samples spanning the Oligocene were analyzed for TEX₈₆ in this study. When the Miocene samples of Sangiorgi et al. (2018) are included, the total number of samples with sufficiently high GDGT concentrations is 145. However, only 77 of these 145 TEX₈₆ values could be used for SST reconstruction for reasons discussed below. Although sampling of contorted strata was avoided, a total number of 46 Oligocene and Miocene samples proved to be obtained from MTDs after detailed logging by Salabarnada et al. (submitted this volume). Hence, samples from these beds may not reflect *in situ* material exclusively. For the EOT slumps, this is supported by a high degree of reworked Eocene specimens within the dinoflagellate cyst assemblage below 880.08 mbsf (Houben et al., 2013). In addition, the clast-bearing deposits of Unit II and IV and decimeter-thick granule-rich interbeds of Unit VIII (Fig. 2) are interpreted as ice-rafted debris (IRD) deposits (Escutia et al., 2011; Sangiorgi et al., 2018), and thus indicate the presence of icebergs above the site during deposition of these intervals. To avoid potential bias due to allochthonous input and reworking of older sediments, all samples from MTDs are excluded from the SST reconstructions.

A contribution of terrestrial isoGDGTs can also bias the marine pelagic TEX₈₆ signal, and can be verified by the BIT index (Hopmans et al., 2004; Weijers et al., 2006). In seventeen samples – none of which were derived from MTDs – the BIT index value was >0.3, which indicates that the reconstructed TEX₈₆ temperatures are likely affected by a contribution of soil-derived isoGDGTs (Weijers et al., 2006; Hopmans et al. 2004). For selected samples, the

composition of brGDGTs was analyzed by UHPLC/MS (Hopmans et al., 2016), which showed that #rings_{tetra} were above 0.7 (Sinninghe Damsté, 2016) meaning that a significant portion of the brGDGTs was likely soil-derived. Furthermore, the TEX₈₆ signal may be influenced by a potential input of isoGDGTs from methanogenic archaea. Since methanogenic Euryarchaeota are known to produce GDGT-0 (Koga et al., 1998), but not crenarchaeol such a contribution may be recognized by GDGT-0/crenarchaeol values >2 (Blaga et al., 2009; Sinninghe Damsté et al., 2009). Similarly, methanotrophic Euryarchaeota may contribute significant amounts of GDGT-1, GDGT-2 and GDGT-3 that can be identified by values >0.3 for the Methane Index (MI) (Zhang et al., 2011) and/or GDGT-2/crenarchaeol values >0.4 (Weijers et al., 2011). In total nineteen non-MTD-derived samples have too high GDGT-0/crenarchaeol ratios, too high Methane Index values, or too high GDGT-2/crenarchaeol values. Fourteen of these nineteen samples also have too high BIT values, meaning that in total 22 samples are discarded because of a potential contribution of soil-derived and methanogenic or methanotrophic archaeal isoGDGT input. As a final exercise, the Ring Index ($|\Delta RI|$) was calculated for our dataset to identify all other non-temperature related influences on the distribution of isoGDGTs in the samples (Zhang et al., 2016). In addition to the non-temperature related influences discussed above, these could include oxygen concentrations (Qin et al., 2015), archaeal growth phase (Elling et al., 2014), ammonia oxidation rates (Hurley et al., 2016) and ecological factors (Elling et al., 2015). Using $|\Delta RI| > 0.6$ as a cutoff, no additional samples were discarded. All GDGT data and TEX₈₆ values, including that of the discarded samples, are presented in the supplementary material (Figure S2, Table S1).

3.2 Relation between TEX₈₆ values and lithology

After excluding samples with potentially biased TEX₈₆ values, the remaining record shows short-term variability that is strongly linked to the lithology (see Fig. 2). Sediments from the greenish laminated, carbonate-poor (glacial) facies produce statistically significant (t-test p-value < 0.005) lower TEX₈₆ values than values obtained from the grey carbonate-rich bioturbated (interglacial) facies. For the entire record, TEX₈₆ values are on average 0.50 and 0.53 for the glacial (laminated) and interglacial (bioturbated) lithologies, respectively. Paleocceanographic changes between glacial and interglacial periods may have affected the community structure of the Thaumarchaeota living over Site U1356, which may have introduced a non-thermal component to the TEX₈₆ record that could contribute to the observed difference between TEX₈₆ values from laminated and bioturbated facies. To test if changes in the composition of the Thaumarchaeotal community have contributed significantly to the observed difference between TEX₈₆ values from laminated facies and bioturbated facies, a t-test was also performed on the [2]/[3] ratios of laminated and bioturbated lithologies for the entire record (Fig. 2). No significant difference was observed between the laminated and bioturbated facies (t-test p-value > 0.2).

3.3 Oligocene and Miocene long-term sea surface temperature trend

Based on the linear temperature calibration of Kim et al. (2010) (black curve in Fig. 3A), our TEX₈₆ values yield highest temperatures around 30.5 Ma (up to 22.6±5.2°C), 25.5 Ma (up to 25.1°C±5.2°C) and around 17 Ma (up to 19.2±5.2°C), whereas lowest temperatures are recorded between 22 and 23.5 Ma (minimum temperatures are

8.3°C±5.2°C), and around 13 and 10.5 Ma (minima around 7.6±5.2°C). On average SSTs based on the linear calibration of Kim et al. (2010) are 16.6°C, 16.7°C and 10.6°C for the Oligocene, MCO and MCT, respectively. Oligocene SST variability increases significantly (p-value<0.001 in F-test) after 26.5 Ma (see Fig. 3B). Before 26.5 Ma, the variation in the record (2σ) is of 3.6°C, while the 2σ is 6.8°C after 26.5 Ma. We note a strong (9.5°C) SST drop at the lower boundary of what is interpreted to represent subchron C6Cn.2n (23.03 Ma) (see Fig. 2 and 4); at the stratigraphic position of maximum δ¹⁸O values related to Mi-1 in the deep-sea records (Beddow et al., 2016; Liebrand et al., 2011; Pälike et al., 2006b). Unfortunately, due to core recovery issues, limited high-resolution chronobiostratigraphic control in this interval, the age model generally lacks the resolution to identify some of the other known transient temperature drops in our record (~30 Ma, ~24 Ma) to Oligocene glaciation-related Oi-events (Fig. 4).

The SST record for Site U1356 based on the BAYSPAR model shows the same trend as the SST record generated with the linear calibration, but for SST values below 20.5°C it is offset towards slightly warmer values for SSTs based on the linear calibration below 20.5°C. Above 20.5°C, BAYSPAR-based SSTs are slightly offset towards cooler values. On average the BAYSPAR-based SSTs are 0.8°C warmer than the SSTs based on the linear calibration of Kim et al. (2010), and they have a smaller calibration SE (±4.0°C) (red curve in Fig. 3A). This offset and the smaller calibration error result primarily from the fact that BAYSPAR-calibration does not take the polar TEX₈₆ core-top values into account. Instead, it bases its calibration mostly on the modern 30-50° northern and southern latitudinal bands (see map in Fig. 3). Nevertheless, the BAYSPAR-based SSTs lie well within the ±5.2°C SE of the transfer function from Kim et al. (2010), as well as within the SE of about ±4.0°C for the BAYSPAR SST calibration. Average SSTs for the Oligocene, MCO and MCT based on the BAYSPAR SST calibration are 17.2°C, 17.3°C and 12°C, respectively.

4 Discussion

4.1 Oligocene and Miocene Southern Ocean sea surface temperatures

Our TEX₈₆-derived SST record is the first for the Southern Ocean that covers almost the entire Oligocene. Absolute temperature values are relatively high considering the high-latitude position of Site U1356 (~59°S, van Hinsbergen et al., 2015), but confidence can be obtained from the observation that TEX₈₆-based reconstructed SSTs from glacial lithologies are generally lower than those from interglacial lithologies (Fig. 4). Several lines of evidence support the relatively high Oligocene and mid-Miocene temperatures reconstructed for Site U1356. Dinoflagellate cyst assemblages from the same site (Sangiorgi et al., 2018; Bijl et al., submitted this volume) mostly contain taxa related to those found between the Polar (PF) and the Subtropical Front (STF) today, where mean annual sea surface temperature is between 8 and 16°C (Prebble et al. 2013). This is on the low end of our reconstructed SSTs for the Oligocene and the MCO, but very comparable to SSTs for the MCT. Furthermore, the abundance of *in situ* pollen of temperate vegetation in these sediments (Sangiorgi et al., 2018; Strother et al., 2017), which are most likely derived from the Antarctic shores, also suggests a relatively mild climate. Finally, the abundance of pelagic carbonaceous

facies in some of the Oligocene interglacial intervals of these high-latitude strata is interpreted to occur under the influence of warmer northern-sourced surface waters at Site U1356 (Salabarnada et al., submitted this volume).

440 In general, Oligocene SST estimates are higher than the SST estimates reconstructed with other proxies in other high-latitude Southern-Ocean Sites 511 and 689 (Fig. 3A). However, the reconstructed $\sim 12^{\circ}\text{C}$ (standard error: ± 1.1 - 3.5°C) based on clumped isotopes from Site 689 is derived from thermocline-dwelling foraminifera, whereas the temperature of the surface waters was likely higher than that at the thermocline (Petersen and Schrag, 2015). In addition, when the newest calibration for clumped isotope data is applied (Kelson et al., 2017) also higher
445 temperature estimates, 12.8 - 14.5°C , are obtained. Temperature estimates between 6 and 10°C have been obtained from ODP Site 511 (see Fig. 1) based on U^{K}_{37} (Plancq et al., 2014) and TEX_{86} values (Liu et al., 2009), the latter recalculated with the linear calibration of Kim et al. (2010) and the BAYSPAR calibration used here (Fig. 3A). The influence of the cold Antarctic-derived surface current at Site 511 (Bijl et al., 2011; Douglas et al., 2014) (Fig. 1) might be the reason of these colder estimates. Similar to the Eocene, Site U1356 probably was one of the warmest
450 regions around Antarctica during the early Oligocene (Pross et al., 2012), situated at a relatively northerly latitude (van Hinsbergen et al., 2015) and still under influence of relatively warm proto-Leeuwin current (PLC, Fig. 1) (Bijl et al. 2011; submitted this volume).

Sangiorgi et al. (2018) compared $\text{TEX}_{86}^{\text{L}}$ -based reconstructed temperatures (based on the 0 - 200 depth-integrated calibration of Kim et al., 2012a) from the Miocene section of Site U1356 with Mg/Ca -based SST values from
455 planktic foraminifera from ODP Site 1171, South Tasman Rise (Shevenell et al., 2004), and $\text{TEX}_{86}^{\text{L}}$ -based seawater temperatures from the ANDRILL (AND)-2A core, Ross Sea (Levy et al., 2016). Based on these temperature reconstructions it was established that temperatures at Site U1356 during the MCO are very comparable to the Mg/Ca -based SSTs from the South Tasman Rise are and a few degrees cooler during the MCT, which was further supported by pollen and dinocyst assemblages (Sangiorgi et al., 2018). We reach the same conclusion based on the
460 reconstructed SSTs using the linear calibration of Kim et al. (2010) and the BAYSPAR calibration for the Miocene TEX_{86} values from Site U1356 as well as site AND-2A (Fig. 3). Based on these recalibrated SST values, we conclude, like Sangiorgi et al. (2018), that there was a much reduced SST gradient between Site U1356A and Site 1171, which were at that time positioned at approximately 60.0°S and 53.6°S , respectively (van Hinsbergen et al., 2015). Notably, however, the latitudinal difference between the Site U1356 and Site 1171 increased as well and may
465 be partly responsible for the increased temperature gradient between the two sites at 14 Ma (Site U1356 lay at 60.5°S and Site 1171 lay at 52.7°S ; van Hinsbergen et al., 2015).

Biota-based temperature reconstructions at such high latitudes are likely skewed towards summer conditions, as has also been suggested for Site 689 and Site 511 (Petersen and Schrag, 2015; Plancq et al., 2014). An important reason for this could be the light limitation at high latitudes during winter (e.g., Spilling et al. 2015), which is unfavorable
470 for the growth and bloom of phytoplankton, and organisms feeding on phytoplankton. The potential summer bias in high latitude TEX_{86} -based SST reconstructions has been discussed extensively for other past warm periods (e.g., Sluijs et al., 2008; Bijl et al., 2009; 2010; 2013). Like in these past warm climates, we expect that primary productivity in the Oligocene Southern Ocean was in sync with seasonal availability of light, irrespective of the presence of sea ice or overall climate conditions. Indeed, isoGDGTs likely require pelleting to sink effectively

475 through the water column to the ocean floor (e.g., Schouten et al. 2013), and therefore depend on the presence of
larger zooplankton that feed on the phytoplankton. As phytoplankton blooms mostly occur during Antarctic
summer/autumn, as do their predators (Schnack-Schiel, 2001), we expect the highest isoGDGT fluxes to the
sediment during the summer in the Southern Ocean, despite their highest production during a different season
(Church et al., 2003; Murray et al., 1998; Richey and Tierney, 2016; Rodrigo-Gámiz et al., 2015). A bias towards
480 summer temperatures is confirmed by the presence of sea-ice dinoflagellate cysts in some parts of the record, which
would suggest SSTs near freezing point during winter.

4.2 Long-term Oligocene and Miocene sea surface temperature trends

We aim to explore the implications of the long-term trends in our TEX₈₆-based SST record, by placing it in context
of the global benthic $\delta^{18}\text{O}$ and benthic foraminiferal Mg/Ca-based bottom-water temperature (BWT) records, in
485 order to infer oceanographic changes or changes in ice volume. Due to the relatively low sample resolution, and
discontinuous sampling due to core gaps, in comparison to the complete and quasi-continuous $\delta^{18}\text{O}$ records
(Beddow et al., 2016; Billups et al. 2004; Hauptvogel et al., 2017; Holbourn et al., 2015; Liebrand et al., 2017, 2016;
Pälike et al., 2006a; 2006b), we will here focus on the long-term temperature trends. However, we can use the
glacial-interglacial alternations in the lithology, which cover the period between 32 and 10 Ma, to differentiate
490 between glacial and interglacial SST and assess amplitudes (see Fig. 2). As was mentioned, SSTs derived from the
glacial facies show a significantly lower mean than SSTs derived from interglacial facies. Separating glacial and
interglacial signals allows us to interpret the long-term SST trend, as this removes a potential sampling bias caused
by irregularly spaced glacial or more interglacial samples. To obtain both long-term glacial and interglacial SST
trends, LOESS curves are plotted through SST estimates from the glacial and interglacial subsets of the Oligocene
495 (Fig. 4). For the Miocene we averaged SSTs from glacial and interglacial samples for the three-sample ‘cluster’ (at
~17, ~13.5 and ~10.5 Ma). Glacial and interglacial LOESS curves plotted through the SST estimates based on the
linear calibration of Kim et al. (2010) show the same trend, lying slightly below the BAYSPAR SST LOESS curves
(Fig. 4).

A global benthic foraminiferal stacked $\delta^{18}\text{O}$ curve has been constructed by combining the benthic $\delta^{18}\text{O}$ records of
500 the far-field Site 1218, eastern equatorial Pacific (Pälike et al., 2006b); Sites 1264/1265, Walvis Ridge, southeast
Atlantic (Liebrand et al., 2017, 2016); Sites 926/929, Ceara Rise, equatorial Atlantic (Pälike et al., 2006a ; Zachos et
al., 2001); Site U1334, eastern equatorial Pacific (Beddow et al., 2016); Site 1090, Agulhas Ridge, Atlantic sector of
the Southern Ocean (Billups et al., 2004); Site U1337, eastern equatorial Pacific (Holbourn et al., 2015); and Site
588, southwest Pacific (Flower & Kennett, 1993). To obtain a global benthic $\delta^{18}\text{O}$ stack in which the global long-
505 term trends are best represented, we have normalized the data to the Site 1264/1265 record of Liebrand et al. (2017,
2016), on which all records now overlap (Fig.4). Mg/Ca-based BWT records are obtained from Site 1218, eastern
equatorial Pacific (Lear et al., 2004); Site 747, Kerguelen Plateau, Southern Ocean (Billups & Schrag, 2002); and
Site 1171, Tasman Rise, Southern Ocean (Shevenell et al., 2004). LOESS curves have been plotted through the
benthic $\delta^{18}\text{O}$ stack as well as the individual Mg/Ca-based BWT records (Fig. 4).

The LOESS curves through the glacial and interglacial data show similar trends and show a striking resemblance to the global benthic $\delta^{18}\text{O}$ stack (Fig. 4), particularly when considering the compromised sample resolution of our record. The temperature optima and minima in the LOESS curves can be directly linked to periods of relatively low and high benthic $\delta^{18}\text{O}$ values (maximum and minimum ice volume/BWT), respectively. Temperatures increase from the earliest Oligocene towards 30.5 Ma, while benthic $\delta^{18}\text{O}$ values show a decrease in the same interval, but reach a minimum earlier, around 32 Ma. It is difficult to determine whether SSTs are truly lagging the benthic $\delta^{18}\text{O}$ values in this interval or whether this is an artifact caused by the age model in this part of the record. The recorded post-Oi-1 SST warming coincides with the disappearance of IRD (Escutia et al., 2011) and sea-ice related dinoflagellate cysts (Houben et al., 2013) in the same record (Fig. 4). Following this temperature optimum, there is a cooling trend until a minimum is reached around 28–27 Ma, which coincides with relatively high benthic $\delta^{18}\text{O}$ values and the Oi-2a and Oi-2b glacials. Subsequently, there is a warming towards a long-term temperature optimum around 25 Ma, which coincides with a minimum in the benthic $\delta^{18}\text{O}$ record known as the late Oligocene warming. This temperature optimum around 25 Ma is characterized by the influx of the temperate dinocyst species *Nematosphaeropsis labyrinthus* (Bijl et al., submitted this volume). This seems to indicate a strong influence of northern-sourced surface waters at Site U1356, as this species is currently associated with the Subtropical Front and winter and summer temperatures of 6–13°C and 8–17°C, respectively (Esper and Zonneveld, 2007; Marret and De Vernal, 1997; Prebble et al., 2013). Finally, the Oligocene LOESS temperature curves show a cooling towards the Oligocene-Miocene transition at 23 Ma. In comparison to the benthic $\delta^{18}\text{O}$ record this cooling trend is rather gradual and starts 1 Myr earlier than the steeper benthic $\delta^{18}\text{O}$ record increase that starts at 24 Ma and continues towards the Mi-1 glaciation. We consider this to represent a realistic climate signal, notably so since the age model is sufficiently well-constrained in this part of the record. Glacial and interglacial averages for the Miocene data clustered around 17, 13.5 and 10.5 Ma show a declining trend and follow the increasing benthic $\delta^{18}\text{O}$ trend that characterizes the MCT. High amounts of *N. labyrinthus* within the MCO interval support warm surface water conditions (Sangiorgi et al., 2018). After the MCO, increased amounts of sea-ice dinoflagellates and IRD indicate that Site U1356 came under the influence of seasonal sea ice, and therefore cooler conditions. However, increases of *N. labyrinthus* after the MCT indicate that warmer northern-sourced waters still periodically influenced Site U1356 (Sangiorgi et al., 2018).

The fact that the LOESS temperature trends mirror the benthic $\delta^{18}\text{O}$ record may suggest that (1) changes in the Wilkes Land SST correspond to SST changes in the region of deep-water formation, which is reflected in the benthic $\delta^{18}\text{O}$ records, (2) changes in the Wilkes Land SST reflect long-term changes in paleoceanography that simultaneously affect or are related to the size of the Antarctic Ice Sheet (AIS) and therefore the deep-sea $\delta^{18}\text{O}$ of the sea water, or a combination of both.

Considering the first, in the modern-day Southern Ocean, bottom water forms through mixing along the Antarctic Slope Front (ASF) of Circumpolar Deep Water (CDW) and High Salinity Shelf Water (HSSW), which forms in consequence of sea-ice formation (Gill, 1973; Jacobs, 1991). Associated with the ASF is the westward flowing Antarctic Slope Current (ASC), which contributes to the bottom-water formation and results from the geostrophic adjustment of Ekman transport to the south, which is driven by the predominantly easterly winds around Antarctica

(Gill, 1973). It has been suggested that, after the establishment of its shallower westward flowing counterpart, the Antarctic Circumpolar Countercurrent (ACCC), around 49 Ma (Bijl et al., 2013), an ASC was established near Site U1356 in the early Oligocene (Scher et al., 2015). In areas where sea ice was formed during the Oligocene and

550 Miocene the ASC could have enhanced mixing between HSSW and CDW similarly to today. For the Wilkes Land margin this might have been the case for the earliest Oligocene and MCT where we find sea-ice indicators in the dinoflagellate cyst assemblages (Bijl et al., 2018; Houben et al., 2013). These sea-ice dinoflagellate cysts seem to indicate that winter temperatures at the Wilkes Land margin were cold enough to allow sea-ice formation and therefore maybe formation of deep waters along the Wilkes Land coast during the earliest Oligocene and MCT.

555 However, most of the record is devoid of sea-ice indicators, suggesting that modern-day process of deep-water formation, is unlikely to have occurred at the Wilkes Land Margin. Still, neodymium isotopes of fossil fish teeth from Site U1356 have suggested that deep-water formation took place at the Adélie and Wilkes Land Margin during the Eocene (Huck et al., 2017), when pollen indicate near-tropical warmth (Pross et al., 2012). Model studies have suggested that during such warm periods seasonal density differences may still induce deep-water formation or

560 downwelling of waters around Antarctica (Goldner et al., 2014; Lunt et al., 2010). Alternatively and more likely, sea ice may have formed in the cooler Ross Sea and transported along the Wilkes Land coast similarly to today during the Oligocene and Miocene, meaning that deep water formed in the Ross Sea where glaciers extended onto the Antarctic shelf (cf. Sorlien et al., 2007). In that case, the absence of sea-ice dinoflagellate cysts during most of the Oligocene and the MCO at Site U1356 would mean that, in contrast to the earliest Oligocene and MCT, sea ice

565 coming from the Ross Sea was prevented from reaching Site U1356 by too warm winter SSTs. This is in accordance with the relatively warmer (summer) SST values for Site U1356 during most of the Oligocene and the MCO. If the reconstructed SST trends of Site U1356 are representative for the climatic trends of a larger region (i.e. including the Ross Sea as a potential region for deep water formation), this climatic signal may have been relayed to the deep ocean and recorded in the stable oxygen isotope composition of benthic foraminifera in far-field sites. In fact,

570 Southern Ocean-sourced deep waters may have reached as far as the north Pacific during the Oligocene (Borelli & Katz 2015). If this is the case, the consistent long-term trends between the SST of Site U1356 and the benthic $\delta^{18}\text{O}$ record would imply that the size of the AIS is less variable on these long-term timescales than the benthic $\delta^{18}\text{O}$ record would suggest under the assumption of constant BWT (e.g., Liebrand et al., 2017; see Figure 4): much of the variation will be due to deep-sea temperature variation. The small AIS may have been relatively stable during the

575 Oligocene and Miocene, most likely because there was less marine-based ice in comparison to land-based ice as topographic reconstructions of Antarctica would suggest (Gasson et al., 2016; Wilson et al., 2012).

Only one BWT record is available for the Oligocene, which is based on Mg/Ca ratios from Site 1218 (equatorial Pacific). Mg/Ca was obtained from the benthic foraminifer *Oridorsalis umbonatus* (Lear et al. 2004; Fig. 4), an infaunal species that is to some extent insulated from long-term changes in carbonate ion concentrations (Ford et al.,

580 2016; Lear et al., 2015). Although absolute temperatures may depend on local factors, such as pore water chemistry, the long-term trends should reflect the trends in BWT (Lear et al., 2015). The BWT record of Site 1218 shows a long-term deep-sea warming between 27 and 25 Ma, similar to our SST record. The temperature optimum at 30.5 Ma in our TEX_{86} -based SST record cannot be recognized in the BWT record of Site 1218. Similarly to the benthic

$\delta^{18}\text{O}$ record, an optimum is reached earlier (~32 Ma) and this mismatch could be due to uncertainties in the age model of the lower part of the Oligocene section of Hole U1356A. The continued temperature rise after 25 Ma in the BWT record of Site 1218 is also not observed in our TEX_{86} -based temperature trend. This could be because the equatorial Pacific mainly receives bottom water from a warmer Pacific sector of the Southern Ocean, east of the Tasmanian Gateway, and not from the Wilkes Land margin, and the Pacific sector is influenced by warming. Alternatively, there is an increasing influence of a warmer deep-water mass from elsewhere. Notably, Mg/Ca-based BWTs from Kerguelen Plateau (Site 747) show a temperature optimum around 25 Ma preceding the $\delta^{18}\text{O}$ minimum at 24 Ma, similar to the SST trend at Site U1356. For the mid-Miocene, BWT records of both Site 747 (Kerguelen Plateau) and Site 1171 (South Tasman Rise) show slowly decreasing trends consistent with decreasing TEX_{86} -based SSTs of Site U1356. The similarities of the three Mg/Ca records to our TEX_{86} -based SST record support the transfer of a regional SST signal towards the deep ocean through deep-water formation. However, the temperature differences between temperature optima (e.g., the late Oligocene and MCO) and minima (e.g., the mid-Oligocene and MCT) are much larger for the TEX_{86} -based SSTs than for the Mg/Ca-based BWTs. This difference in the degree of change could be explained by the fact that the formation of deep waters during winter is constrained at the lower end by the freezing point of water, which would limit the degree of change during relatively cold intervals. The degree of change could also be reduced by a shift in the location of deep-water formation to higher latitudes during warmer intervals.

Alternatively, long-term SST trends as well as Southern Ocean BWT trends (Sites 747 and 1171) are governed by large-scale tectonic processes, such as the opening and closure of the Drake Passage, as was suggested by Lagabrielle et al. (2009). Opening of the Drake Passage could result in increased isolation of the Antarctic continent through the establishment of a (proto-)ACC. In turn, this would result in effective blocking of northerly sourced warmer waters as well as ice sheet expansion thereby resulting in a simultaneous benthic $\delta^{18}\text{O}$ increase and SST decrease.

As an alternative hypothesis, reconstructed SSTs at Wilkes Land may depend on the volume of the ice sheet in the hinterland. In that scenario most of the long-term trends in the $\delta^{18}\text{O}$ record is due to ice volume growth and decline. A more expanded ice sheet will lower SSTs and enhance the formation of sea ice around Antarctica (Goldner et al., 2014). Expansion of this cool (proto-)AASW and the ocean frontal systems to lower latitudes during glacials may have cooled SSTs at Site U1356, while ice-volume decrease and the retreat of the ocean frontal systems during interglacials may have resulted in warmer SSTs at Site U1356. However, the warmth of even the glacial SSTs in our SST record, as well as the overall absence of sea-ice indicators during most of the Oligocene in these glacial intervals, strongly argues against this alternative. Only during the MCT, where dinoflagellate cysts and IRD suggest an increased influence of icebergs or sea ice (Sangiorgi et al., 2018), the ice sheet may have been large enough during the glacial periods to allow the influence of cool (proto-)AASW at Site U1356.

4.3 Sea surface temperature variability at glacial–interglacial time scales

For the Oligocene, the offset between the glacial and interglacial LOESS curves is constant over time (Fig. 4). Irrespective of the chosen calibration (i.e. TEX_{86} or BAYSPAR), SSTs are on average 1.5–3.1°C higher during

620 interglacial intervals than during adjacent glacial times. This glacial-interglacial SST difference is smaller than the observed amplitude of the variability in our temperature record ($2\sigma = 3.6^{\circ}\text{C}$ before 27 Ma), because it takes relatively warm glacial and cool interglacial SST values into account. Also considering that part of the 2σ variability is due to the relatively large calibration error of the BAYSPAR calibration ($\pm 4.0^{\circ}\text{C}$), the difference of $1.5\text{--}3.1^{\circ}\text{C}$ may be a better representation of average glacial-interglacial SST variation than the 2σ .

625 The average consistent offset between glacial and interglacial values seems to disappear for each of the Miocene data clusters at ~ 17 , ~ 13.5 , and ~ 10.5 Ma. Several causes could explain this. It could be the result of a less variable climate during the Miocene, which causes both subsets to overlap more. Indeed, for the MCO this may be the case, because the MCO is a time interval of exceptional warmth, with retreated ice sheets and vegetated coastlines of Wilkes Land (Sangiorgi et al., 2018). In such a climate, the glacial intervals may not have been fundamentally
630 colder than the interglacials. We cannot, however, explain the apparent absence of glacial interglacial-temperature variability around 14 and 10.5 Ma, where dinoflagellate cysts suggest profound variability in sea-ice extent, upwelling and temperature (Sangiorgi et al., 2018). It could be that the samples taken by Sangiorgi et al. (2018) do not capture the true glacial and interglacial extremes, but this cannot be verified at this stage. Because a detailed lithological log was not available to Sangiorgi et al. (2018), there is also an uneven distribution between glacial and
635 interglacial samples.

If the recorded glacial-interglacial SST variability in the Oligocene is representative for the SST variability at the region of deep-water formation, it should be considered when interpreting benthic foraminiferal $\delta^{18}\text{O}$ records in terms of ice-volume variability. As such, a larger part of the variability of $\delta^{18}\text{O}$ than so far assumed (Hauptvogel et al., 2017; Liebrand et al., 2017;) should be ascribed to deep-sea temperature rather than ice-volume changes. If the
640 region of deep-water formation experienced the same SST variability, 40-70% of the 1‰ deep-sea $\delta^{18}\text{O}$ variability over Oligocene glacial-interglacial cycles can be related to deep-sea temperature. However, it is plausible that not the entire range of SST variability is relayed to the deep-sea, and that in the more southerly positioned Ross Sea, the most likely region of Oligocene deep-water formation, temperatures were not as variable as in the Wilkes Land sector. Indeed, Mg/Ca-based reconstructed bottom-water temperatures from Site 1218 show much less glacial-interglacial variation (1.1°C , Fig. 5) (Lear et al., 2004) than our record. Still, our record provides evidence that polar
645 SST experienced considerable variability, both on the short-term glacial-interglacial cycles as well as on the long-term.

A considerable influence of deep-sea temperature on benthic $\delta^{18}\text{O}$ could explain the level of symmetry in glacial-interglacial cycles in the Oligocene (Liebrand et al., 2017), as the temperature would vary in a sinusoidal fashion, whereas ice sheets would respond non-linearly to climate forcing. The sedimentary record of Site U1356 lacks the potential of obtaining a resolution comparable to those of deep-sea $\delta^{18}\text{O}$ records, in order to verify these claims. However, ice-volume reconstructions from $\delta^{18}\text{O}$ records on both long-term and short-term time scales should consider that an important component of the signal could potentially be ascribed to temperature variability.

5 Conclusions

655 We reconstruct (summer-biased) SSTs of around 17°C on average for the Wilkes Land Margin during the
Oligocene, albeit with a high degree of variability (up to a 6.8°C double standard deviation during the late
Oligocene). The reconstructed temperatures are a few degrees higher than previously published high-latitude early
Oligocene Southern Ocean estimates. Because alternations in the lithology reflect glacial-interglacial cycles, an
estimated temperature difference of 1.5 to 3.1°C between glacials and interglacials could be interpreted for the
660 Oligocene. The long-term trends of both glacial and interglacial records show a temperature increase towards 30.5
Ma, followed by a minimum around 27 Ma, an optimum around 25 Ma and finally a decrease towards the end of the
Oligocene, generally following the long-term trends in the global benthic $\delta^{18}\text{O}$ record as well as parts of the
available Mg/Ca-based BWT records for the Oligocene. Recalibrated SSTs based on previously published TEX_{86}
data for the mid-Miocene decrease from around 17°C to 11°C between ~17 and ~10.5 Ma. A distinct glacial-
665 interglacial SST difference was not observed for the mid-Miocene. Nevertheless, the recorded temperature decline
also follows the trend observed in benthic $\delta^{18}\text{O}$ and Mg/Ca-based BWT records. Our results suggest that
considerable SST variability prevailed during the Oligocene and Miocene. This may have implications for the
dynamics of marine-based ice sheets, if present, and the extent of the Antarctic ice sheet in general. Assuming that
the reconstructed SST trends and glacial-interglacial variability have been relayed to the deep water at nearby
670 bottom-water formation sites, our results indicate that the long-term $\delta^{18}\text{O}$ trend may be controlled for a considerable
part by bottom-water temperature. This implies that the Antarctic Ice Sheet was less dynamic during the Oligocene
and Miocene, which could be due to the presence of relatively more land-based versus marine-based ice.

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7 Author contributions

FS, PKB, HB and SS designed the research. JDH, PKB and AJPH carried out Oligocene GDGT analyses. CE and
AS incorporated the lithological data. PKB, FP and SS assisted in GDGT analytical procedures and interpretation.
JDH wrote the paper with input from all authors.

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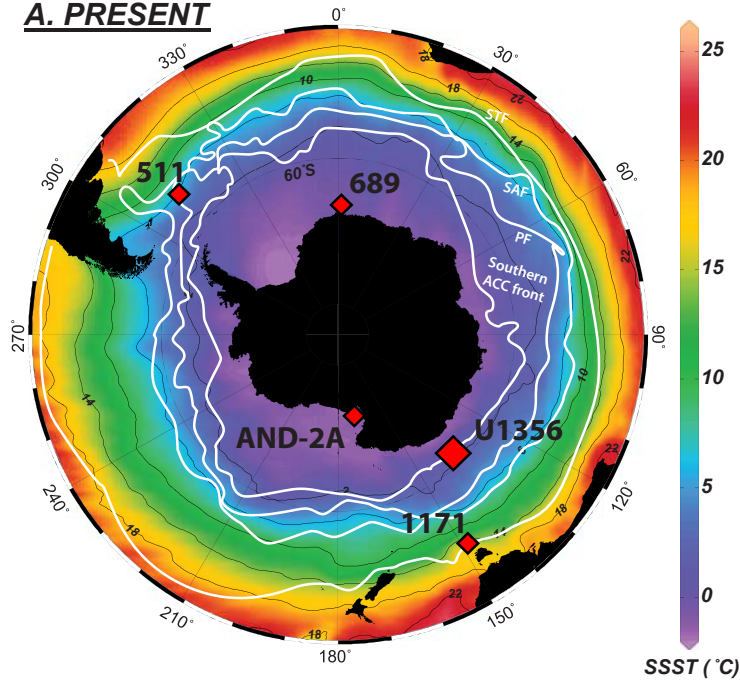
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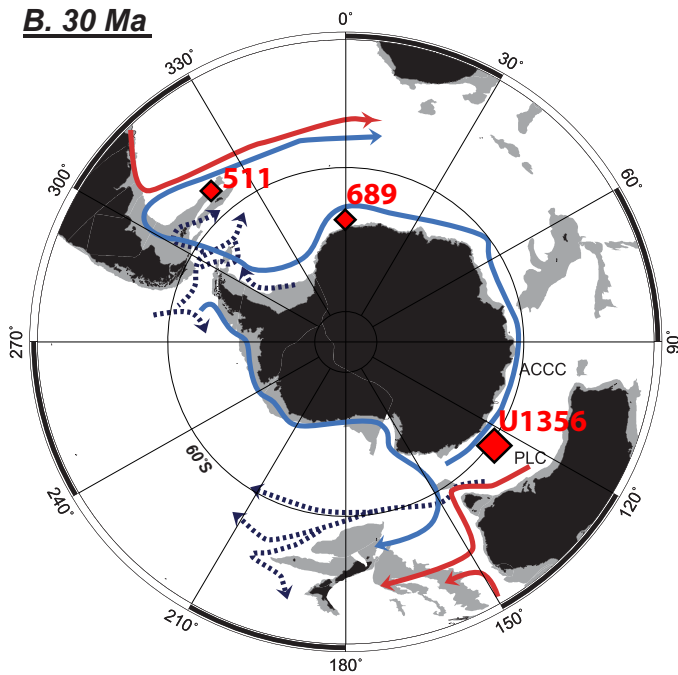
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A. PRESENT



B. 30 Ma



C. 17 Ma

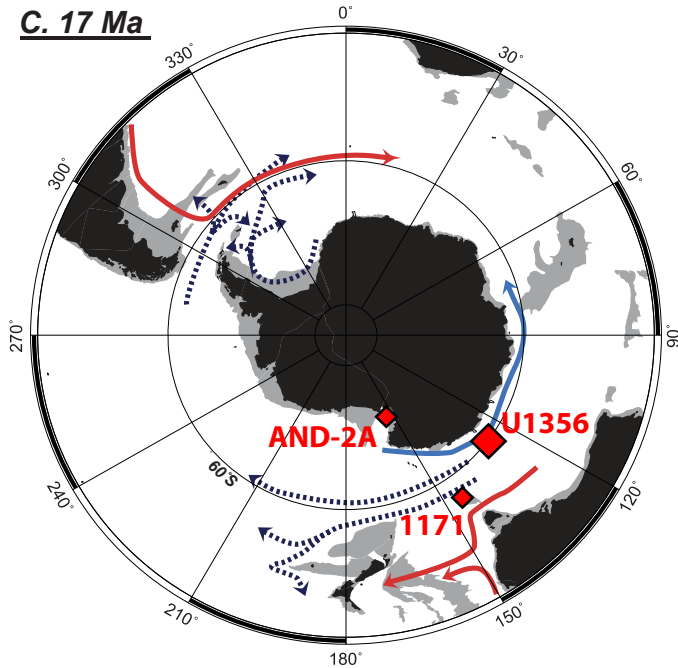


Figure 1: (A) Present-day Southern Ocean summer temperatures and geography obtained from the World Ocean Atlas (Locarnini et al., 2010) using Ocean Data View, and Southern Ocean Fronts obtained from Orsi et al. (1995). PF = Polar Front, SAF = Sub-Antarctic Front, STF = Sub-Tropical Front. Red diamonds indicate DSDP/ODP/IODP Site locations. (B) Modified ODSN-generated map of Antarctica around 30 Ma (continents in black, shelf areas in grey). Paleolatitudes calculated with paleolatitude.org Hinsbergen et al., 2015). Reconstructed cold (light blue) and warm (red) surface currents are based on publications by Stickley et al. (2004), Warnaar (2006), Bijl et al. (2011), Bijl et al., (2013), and Douglas et al. (2014). Reconstructed bottom-water currents (dotted dark blue) are based on publications by Carter et al. (2004), Livermore et al. (2007), Maldonado et al. (2014) and Scher et al. (2015). ACCC = Antarctic Circumpolar Current, PLC = proto-Leeuwin Current. (C) The same as the middle figure, but then for the period around 17 Ma.

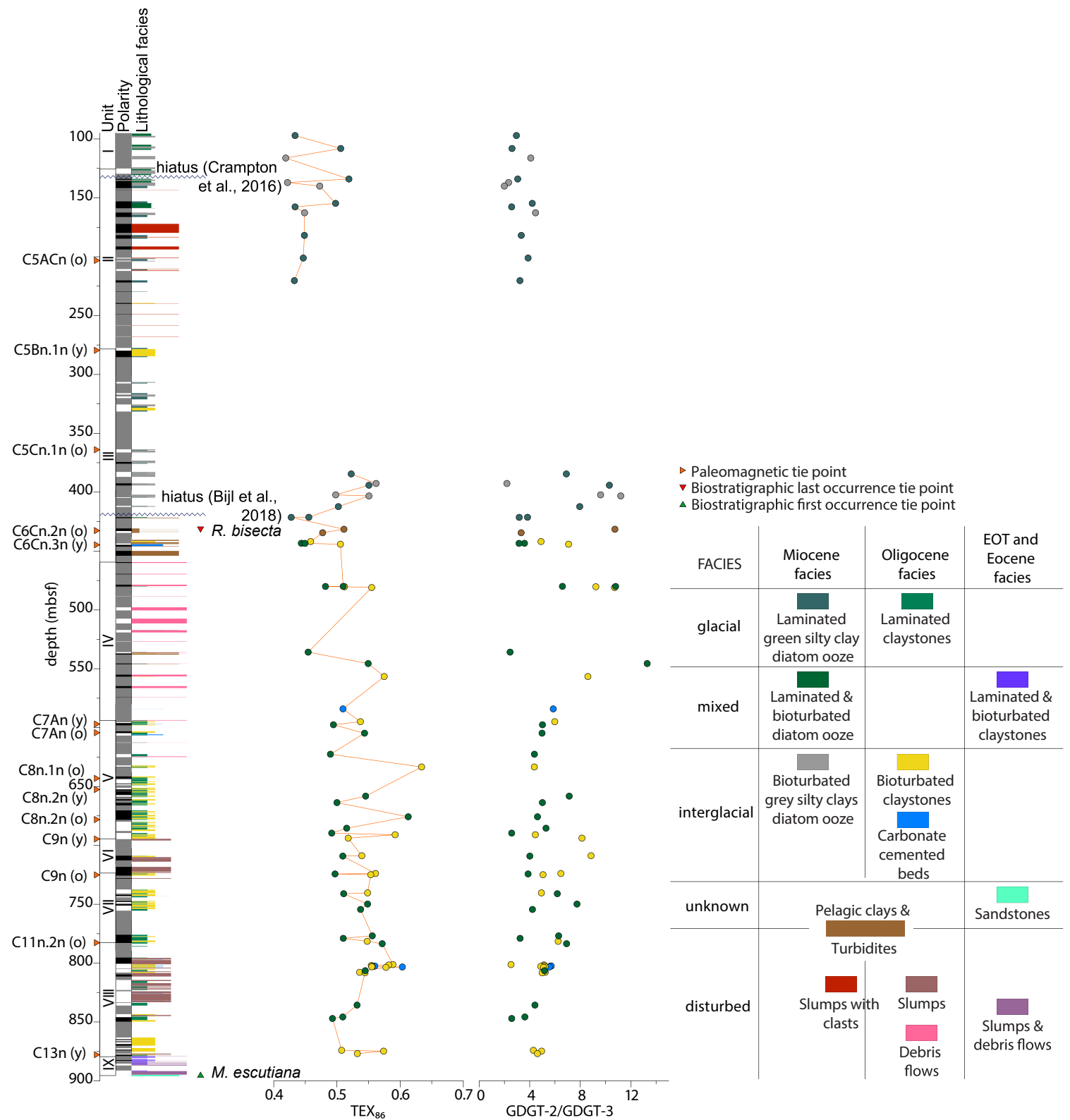


Figure 2: Lithology, TEX₈₆ values and GDGT-2/GDGT-3 ratios of Hole U1356A plotted against depth (mbsf) with units according to Escutia et al., 2011 and chronostratigraphic tie points and paleomagnetic polarities obtained from Tauxe et al. (2012), adjusted by Crampton et al. (2016) and Bijl et al. (2018). Depositional facies and interpretation are indicated with colors following Salabarnada et al. (submitted, this volume), see legend to the right. Colors of the TEX₈₆ and GDGT-2/GDGT-3 values reflect the lithology they have been sampled from.

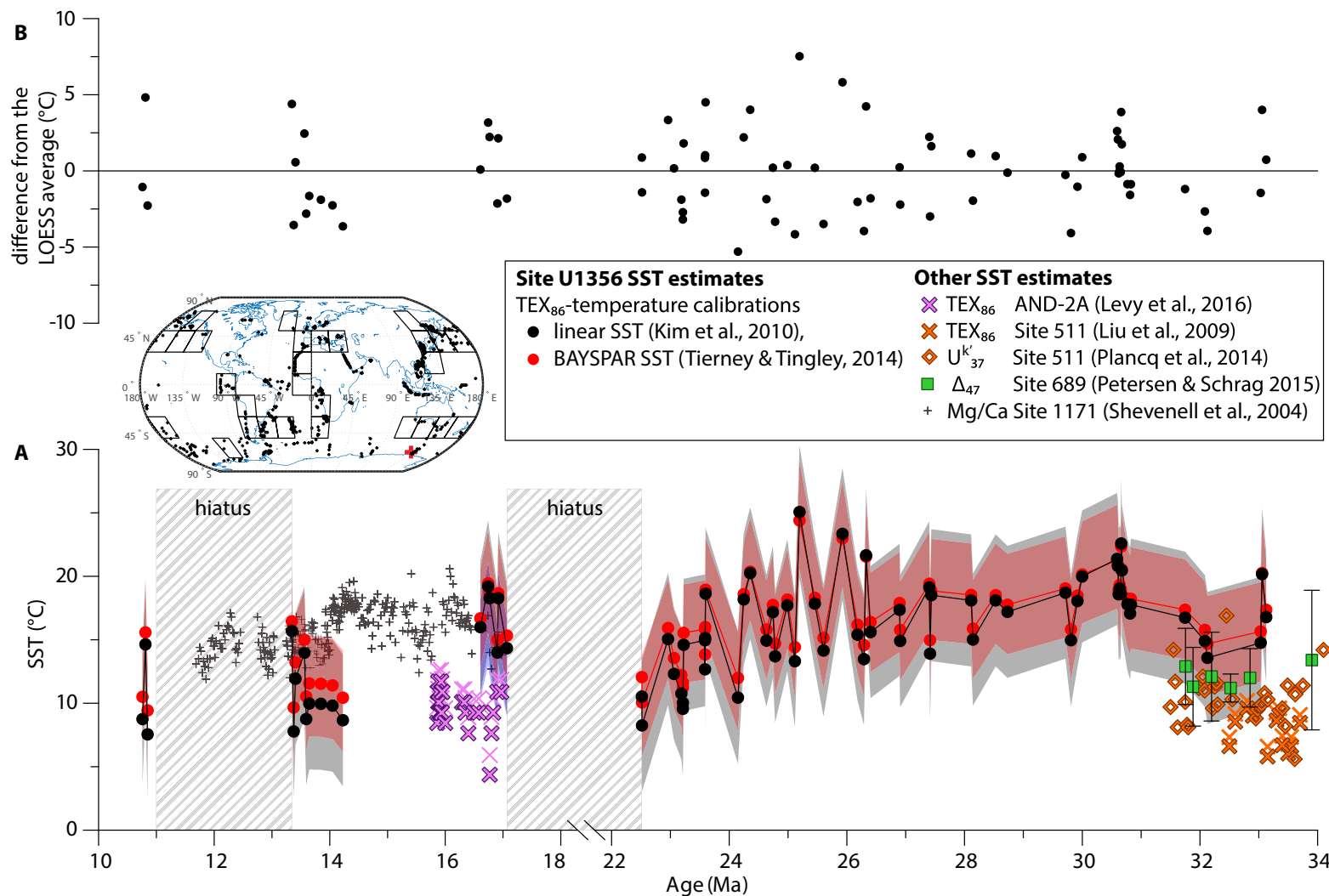


Figure 3: (A) TEX86-based SSTs plotted against age (Ma), using the linear calibration of Kim et al. (2010) and the BAYSPAR method (see text). The map (center left) indicates the 20°x20° grid cells from which core-top values (black dots) were obtained for the BAYSPAR calibration. The red + in the map indicates the location of Site U1356. Other SST estimates are plotted for comparison. For the TEX86-based temperature estimates (purple and orange crosses), the symbols with both fill and outline are based on the linear calibration of Kim et al. (2010), those with the fill color only are based on the BAYSPAR SST calibration (Tierney & Tingley, 2014). (B) The SST difference from the LOESS average based on the linear calibration of Kim et al. (2010) plotted against age.

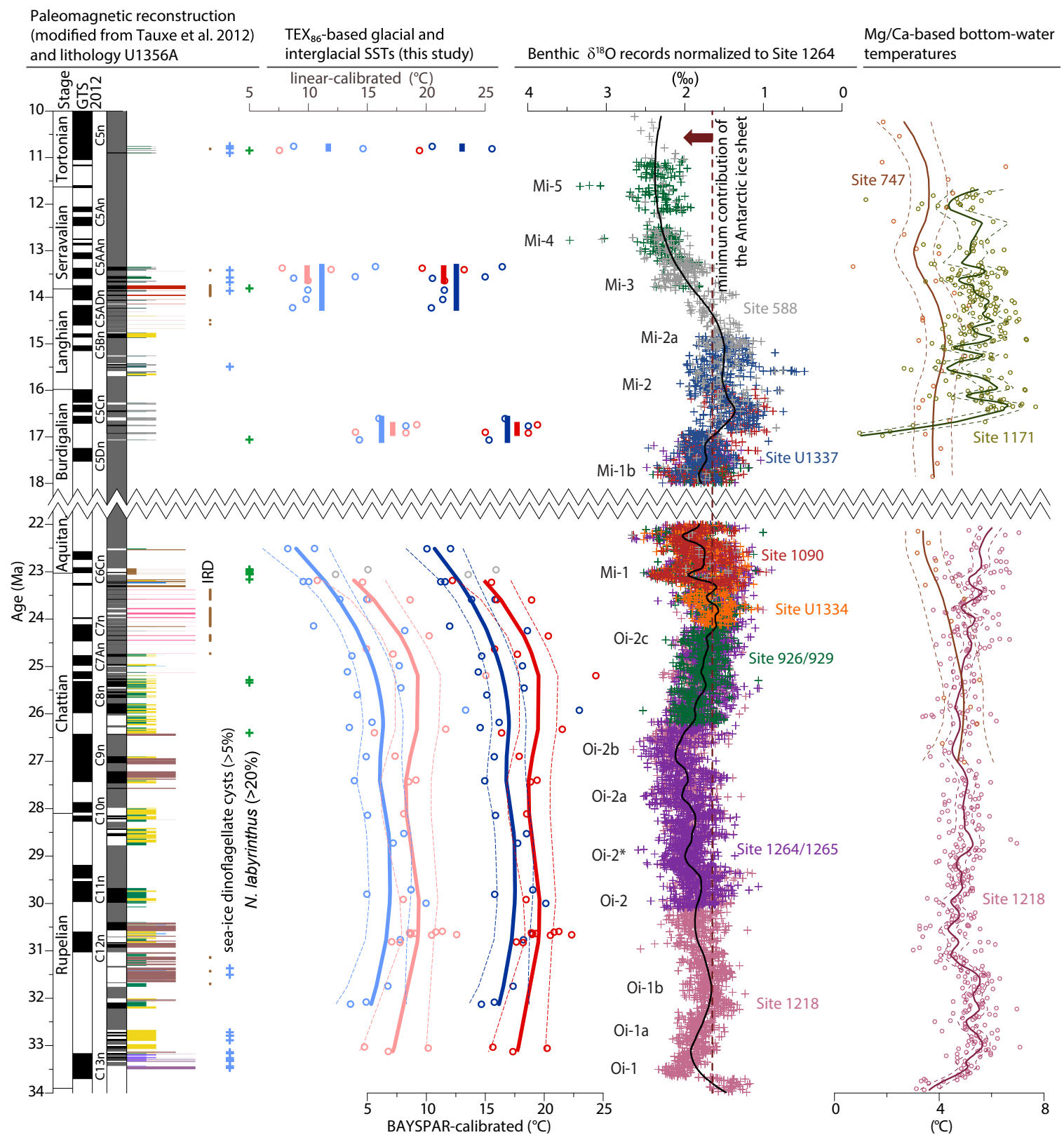


Figure 4: Lithology and paleomagnetic polarities as in Fig. 2, but plotted against age (Ma), updated to the GTS2012 timescale (Gradstein et al., 2012). Sections with IRD, and samples with sea-ice and *Nematosphaeropsis labyrinthus* dinoflagellate cysts are indicated. Dark colored and light colored SST values are based on the BAYSPAR calibration and linear calibration of Kim et al. (2010), respectively: (light) blue and (light) red open circles indicate glacial and interglacial temperature estimates, respectively, based on the lithology (see text). Thick (light) blue and (light) red lines are the LOESS average glacial and interglacial trends with their 95%-confidence interval (dotted lines). Benthic $\delta^{18}\text{O}$ records are normalized to the $\delta^{18}\text{O}$ record of Site 1264 (in purple). Colored + signs indicate the different DSDP/ODP/IODP Sites (refs. in text) and the black line is the LOESS average. Oi- and Mi-glaciation events are indicated. The area below the benthic $\delta^{18}\text{O}$ record on the left side of the dark red dotted line (1.65‰) indicates the minimum contribution (in ‰) of the Antarctic Ice Sheet to the benthic $\delta^{18}\text{O}$ record, assuming BTW at Site 1264 did not drop below its modern-day value (see Liebrand et al. (2017)). Mg/Ca-based BWT records of three ODP/IODP Sites with LOESS averages (solid lines) and 95% confidence intervals (dotted lines) on the far right (refs. in text).