



- 1 Oligocene-Miocene paleoceanography off the Wilkes Land Margin
- 2 (East Antarctica) based on organic-walled dinoflagellate cysts
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## 22 Abstract

23 Next to atmospheric CO<sub>2</sub> concentrations, oceanographic conditions are a critical 24 factor determining the stability of Antarctic marine-terminating ice sheets. The 25 Oligocene and Miocene epochs (~34-5 Ma) were time intervals with 26 atmospheric CO<sub>2</sub> concentrations between those of present-day and those 27 expected for the near future. As such, these time intervals may bear 28 information to resolve the uncertainties that still exist in the projection of 29 future ice-sheet volume decline. We present organic-walled dinoflagellate cyst 30 (dinocvst) assemblages from chronostratigraphically well-constrained 31 Oligocene to mid-Miocene sediments from Integrated Ocean Drilling Program 32 Expedition (IODP) Site U1356. Situated offshore the Wilkes Land continental 33 margin, East Antarctica, the sediment core has archived past dynamics of an ice 34 sheet that is today mostly grounded below sea level. We interpret dinocyst 35 assemblages in terms of paleoceanographic change on different time scales, i.e., 36 on glacial-interglacial and long-term variability. Sea-ice indicators occur only 37 for the first 1.5 Ma following the full Antarctic continental glaciation during the 38 early Oligocene, and after the Middle Miocene Climatic Optimum. During the 39 remainder of the Oligocene and Miocene dinocysts suggest a weaker-than-40 modern sea-ice season. The assemblages generally bear strong similarity to present-day open-ocean, high-nutrient settings north of the sea ice edge, with 41 42 episodic dominance of temperate species similar to the present-day subtropical front. Oligotrophic and temperate surface waters prevailed over the site 43 44 notably during interglacial time intervals, suggesting that the position of the





45 (subpolar) oceanic frontal systems have varied in concordance with Oligocene-

46 Miocene glacial-interglacial climate variability.

47

# 48 1. Introduction

49 The proportion of the East Antarctic ice sheet that is presently grounded 50 below sea level is much larger than originally assumed (Fretwell et al., 2013). This 51 implies that much more ice is sensitive to basal melting by warm waters than 52 previously thought (Shepherd et al., 2012; Rignot et al., 2013; Wouters et al., 2015), 53 and that a much higher amplitude and faster rate of sea-level rise under future 54 climate scenarios than previously thought (IPCC, 2013). Studying the state and 55 variability of Antarctic ice volume during past episodes with high atmospheric CO<sub>2</sub> 56 concentrations  $(pCO_2)$  might provide additional understanding into ice/ocean 57 feedback processes. Foster and Rohling (2013) compared sea-level and atmospheric 58  $pCO_2$  concentrations on geological timescales and highlighted that global ice sheets 59 were rather insensitive to climate change under atmospheric  $pCO_2$  between 400 and 60 650 parts per million in volume (ppmv). During the Oligocene and Miocene 61 atmospheric pCO<sub>2</sub> ranged between 400 and 650 ppmv (Foster et al., 2012; Badger et 62 al., 2013; Greenop et al., 2014). Crucially, similar  $pCO_2$  levels are expected for the near 63 future given unabated carbon emissions (IPCC, 2013), implying that global ice volume 64 may not change much under these  $pCO_2$  scenarios.

In contrast to the invariant global ice volume inferred by Foster and Rohling (2013), a strong (up to 1 per mille;  $\infty$ ) variability is observed in deep-sea benthic foraminiferal oxygen isotope (hereafter benthic  $\delta^{18}$ O) data (Pälike et al., 2006; Beddow et al., 2016; Holbourn et al., 2007; Liebrand et al., 2011; 2017). These





69 benthic  $\delta^{18}$ O data reflect changes in continental ice volume (notably on Antarctica), in 70 combination with deep-sea temperature, with the latter strongly coupled to polar 71 surface-water temperature, as deep-water formation was predominantly located at 72 high latitudes (Herold et al., 2011). High-amplitude variations in benthic  $\delta^{18}$ O thus 73 suggest either (I) strong climate dynamics in the high latitudes with relatively minor 74 ice-volume change (which is in accordance with numerical modelling experiments 75 (Barker et al., 1999) and the inferences of Foster and Rohling (2013)), or (II) strong 76 fluctuations of the Antarctic ice-volume, with relatively subdued temperature 77 variability (which is in accordance with indications for an unstable Antarctic ice 78 sheets under warmer-than-present climates (Cook et al., 2013; Greenop et al., 2014; 79 Rovere et al., 2014). Indeed, if one assumes present-day  $\delta$ -composition (-42%) versus 80 standard mean ocean water (SMOW)) for the Oligocene-Miocene Antarctic ice-sheets 81 and modern deep water temperature  $(2.5^{\circ}C)$ , then the Oligocene-Miocene benthic 82  $\delta^{18}$ O fluctuations suggest long-term ice-sheet-variability ranging between a present-83 day size for 27-23 Ma and absence during numerous other time intervals (Liebrand 84 et al., 2017). Meanwhile, deep-sea temperatures have fluctuated considerably on 85 geologic time scales (as is evident from ice-free geologic episodes -e.g., Zachos et al., 86 2008), suggesting there is no reason to assume that it did not fluctuate during the 87 Oligocene or Miocene as well. Therefore, likely a combination of deep-sea 88 temperature and ice-volume changes is represented in these records, but it is 89 intrinsically impossible to determine the relative contribution of both factors from 90 benthic  $\delta^{18}$ O data alone. Clearly, ice-proximal reconstructions of climate, ice sheet and 91 oceanographic conditions are required to provide an independent assessment of the 92 stability of ice sheets under these *p*CO<sub>2</sub> conditions.





93 While the Oligocene–Miocene may, in terms of  $pCO_2$  conditions, bear analogy 94 to our future, any such investigation must take into account the uncertainties 95 involved in Antarctic paleotopography, which determines the proportion of marine-96 based versus land-based ice during the Oligocene. A lower Antarctic continent would 97 result in more ice sheets being potentially sensitive to basal melt, and as such a 98 higher sensitivity of the ice sheet to climate change. On top of this, one should take 99 note of the fundamentally different paleogeographic configuration of the Southern 100 Ocean during that time as compared to today (Figure 1). The development and 101 strength of the Antarctic Circumpolar Current (ACC) connecting the Atlantic, Indian 102 and Pacific Ocean basins (Barker and Thomas, 2004; Olbers et al., 2004) depend on 103 the basin configuration (width and depth of the gateways and position of continental 104 landmasses). The exact timing when the ACC reached its modern-day strength is still 105 uncertain, ranging from the Middle Eocene (41 Ma) to as young as Miocene (23 Ma, 106 Scher and Martin, 2004; Hill et al., 2013; Scher et al., 2015). Whether, and if so, how 107 the development of the ACC has influenced latitudinal heat transport, ice-ocean 108 interactions and the stability of Antarctic continental ice remains even more elusive.

109 To directly assess the role of ice-proximal oceanography on ice-sheet stability 110 during the Oligocene-Miocene, ice-proximal proxy-records are required. Several 111 ocean drilling efforts in the past have been undertaken to provide insight in the 112 history of the Antarctic ice sheets (Cooper and O'Brien, 2004; Barker et al., 1998; 113 Wise and Schlich, 1992; Barrett, 1989; Robert et al., 1998; Wilson et al., 2000; 114 Harwood et al., 2006; Exon et al., 2004; Escutia et al., 2011a). For some of these 115 sedimentary archives, establishment of age control was particularly challenging due 116 to the paucity of useful and proper means to calibrate the record to the international





- 117 time scale. As a consequence, their full use for the generation of paleoceanographic
- 118 proxy records and ice sheet reconstructions has remained limited.

119 In 2010, Integrated Ocean Drilling Program (IODP) Expedition 318 drilled an 120 inshore-to-offshore transect off Wilkes Land (Fig. 1a), a sector of East Antarctica that 121 is assumed to be highly sensitive to continental ice-sheet melt (Escutia et al., 2011b). 122 The sediments recovered from IODP Hole U1356A are from the continental rise of 123 this margin (Escutia et al., 2011b) and hence contain a mixture of shelf-derived 124 material and pelagic sedimentation. Dinoflagellate cyst events in this record have 125 been accurately tied to the international time scale through integration with 126 calcareous nannofossil, diatom and magnetostratigraphic data (Bijl et al., in press). 127 The result is a – for Southern Ocean standards – solid stratigraphic age frame for the 128 Oligocene–Miocene part of the record of Hole U1356 (Fig. 2; Table 1). In this paper, 129 we investigate the dinocyst assemblages from this succession by utilizing the strong 130 relationships between dinocyst assemblage composition and surface-water features 131 of today's Southern Ocean (Prebble et al., 2013). We reconstruct the oceanographic 132 regimes during the Oligocene and mid-Miocene, and speculate on their implications 133 for oceanographic settings. We further compare the palynological data with detailed 134 sedimentological descriptions from Salabarnada et al. (submitted this volume). 135 Pairing the sedimentological interpretation and biomarker-derived absolute sea 136 surface temperature (SST) reconstructions from the same core (Hartman et al., 137 submitted this volume) with our dinocyst assemblage data, we assess the 138 oceanographic variability off Wilkes Land from the dinocyst assemblages both at 139 glacial-interglacial and long-term times scales.





## 141 2. Material

143 Samples were taken from IODP Hole U1356A, drilled on the continental rise of 144 the Wilkes Land Margin, East Antarctica (Figure 1a; present coordinates 63°18.6' S, 145 135°59.9' E; Escutia et al., 2011b). We use the paleolatitude calculator 146 www.paleolatitude.org of van Hinsbergen et al. (2015) to reconstruct the 147 paleolatitudinal history of the site (Figure 1, between -59.8±4.8°S and -61.5±3.3° S 148 between 34 Ma and 13 Ma, respectively). The single hole at Site U1356 reaches a 149 depth of 1006.4 m into the seabed (Escutia et al., 2011b). Oligocene to late Miocene 150 sediments were recovered between 890 and 3 mbsf (Figure 2; Tauxe et al., 2012; 151 revised according to Bijl et al., in press). The uppermost 95 meters of the hole were 152 poorly recovered; sediments consisted of unconsolidated mud strongly disturbed by 153 rotary drilling (Escutia et al., 2011b). Hence, we focused our investigation on the 154 interval between Cores 11R to 95R Section 3 (95.4 to 894 mbsf; 10.8-33.6 Ma; Figure 155 2).

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## 157 2.2 Lithology in IODP Hole U1356A

In the studied interval between 95.4 and 894 mbsf, nine lithologic units have been recognized during shipboard analysis (Figure 2; et al., 2011b). Salabarnada et al. (submitted this volume) presents a detailed lithologic study of the Oligocene sediments. For the grouping of our results, we use the lithologic facies from Salabarnada et al. (submitted this volume), as outlined in Table 2. For the Miocene interval of Site U1356, such a detailed lithologic description is not yet available; therefore we treat the Miocene sediments as one separate lithologic unit in this





- paper. For the Miocene, we here give a brief summary of the observations published
  in the IODP Expedition 318 post-cruise report (Escutia et al., 2011b). Miocene
  sediments between 95 and 400 mbsf reflect increasing consolidation down-core, and
  comprise diatom ooze and diatom-rich silty clays. The more consolidated bedding has
  caused better preservation of original bedding structures. From 278.4 to 459.4 mbsf,
  the lithology lacks gravel-sized clasts, but is otherwise similar to up-core.
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172 2.3 Bio-magnetostratigraphic age model for IODP Hole U1356A

173 Stratigraphic constraints for the Oligocene-Miocene succession from IODP 174 Hole U1356A are provided through calcareous nannoplankton, radiolarian, diatom 175 and sparse palynological biostratigraphy, complemented with magnetostratigraphy 176 (Tauxe et al., 2012). Bijl et al. (in press) and Crampton et al. (2016) have updated the 177 existing age model for Site U1356 for the Oligocene and Miocene part of the 178 succession, respectively. Thereby, they recalibrated to the international time scale of 179 Gradstein et al., 2012. We here follow these new insights of the age model (Table 1). 180 We infer ages by linear interpolation between tie points (Figure 2; Table 1).

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# 182 2.4 Depositional setting IODP Site U1356

The depositional setting of Site U1356 changed from a shallow midcontinental shelf in the early Eocene (Bijl et al., 2013a) to a deep continental rise setting in the Oligocene (Houben et al., 2013) due to subsidence of the Wilkes Land Margin (e.g., Close et al., 2009). Regional extrapolation of the lithology at U1356A via seismic profiles suggests a mix of distal-fan and hemipelagic sedimentation during the early Oligocene, grading into channel-levee deposits towards the later Oligocene





- (Escutia et al., 2011b). The boundary between these two different depositional
  settings occurs at ~650 mbsf; there, sedimentation rates increase, and the
  documentation of mass-transport deposits from this depth upwards suggest shelfderived erosion events on the Wilkes Land continental slope (Escutia et al., 2011b).
- 193
- 194 **3. Methods**

195 3.1 Palynological sample processing

We refer to Bijl et al. (in press) for sample processing and analytical procedures used. Both were according to standard procedures (e.g., Bijl et al., 2013b). The 25 species of dinocysts new to science, which are formally (2 species) and informally (23 species) described in Bijl et al. (in press) fit into known and extant genera and therefore could be confidently included in the ecological groups as described below.

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# 203 3.2 Ecological grouping of dinocyst taxa

204 Bijl et al. (in press) provided additional statistical evidence to distinguish in 205 situ dinocysts from those that are reworked from older strata. In this paper, we follow 206 the interpretations of Bijl et al. (in press) and divide the dinocyst species into a 207 reworked and an in situ part (Table 3). To use the in situ dinocyst assemblages for 208 oceanographic reconstructions, we rely on the observation that many taxa in the 209 fossil assemblages have morphologically closely related modern counterparts. This 210 approach takes advantage of studies on present-day relationships between Southern 211 Ocean microplankton in general and dinoflagellates in particular and their surface-212 water characteristics (e.g., Eynaud et al., 1999; Esper and Zonneveld, 2002, 2007;





213 Prebble et al., 2013). We assign Oligocene-Miocene dinocyst taxa to present-day eco-214 groups interpreted from the clusters identified by Prebble et al. (2013), which seem 215 to be closely related to the oceanic frontal systems in the Southern Ocean (Figure 3). 216 Supporting evidence for the ecologic affinities for the dinocyst groups comes from 217 empirical data (Sluijs et al., 2005), for instance when it comes to the oceanic affinities 218 of Nematosphaeropsis labyrinthus, Operculodinium spp., Pyxidinopsis spp. and 219 *Impagidinium* spp. There is further abundant evidence, both empirically (e.g., Sluijs et 220 al., 2003; Houben et al., 2013) and from modern observations (Zonneveld et al., 2013; 221 Prebble et al., 2013; Eynaud et al., 1999), which link the abundance of 222 protoperidinioid dinocysts to high surface-water primary productivity. The arguably 223 most important inference from the surface-sample study of Prebble et al. (2013) is 224 that *Selenopemphix antarctica* is common to dominant (10-90%) in proximal sea-ice 225 settings south of the Antarctic polar front (AAPF). Notably, none of the surface 226 samples outside of the AAPF have dominant Selenopemphix antarctica (Prebble et al., 227 2013). Another important observation is that the surface samples south of the AAPF 228 are devoid of gonyaulacean dinocysts, with the exception of two species of 229 Impagidinium (i.e., I. pallidum and I. sphaericum) which can occur, although neither 230 abundantly (Prebble et al., 2013) nor exclusively (e.g., Zevenboom, 1995; Zonneveld 231 et al., 2013), in ice-proximal locations. Another important observation is the 232 occurrence of abundant Nematosphaeropsis labyrinthus exclusively in regions outside 233 of the Subantarctic Front, and particularly close to the Subtropical Front. In summary, 234 from proximal Antarctic to outside the frontal systems, Prebble et al. (2013) 235 documents dominance of S. antarctica south of the AAPF, dominance of other 236 protoperidinioid dinocysts at and N of the AAPF, mixed protoperidinioid and





- gonyaulacoid dinocysts (with a notable common occurrence of *Nematosphaeropsis labyrinthus* at the SAF and mixed gonyaulacoid dinocysts at and outside of the STF.
  These trends represents the transition from sea-ice influenced to cold upwelling/high
  nutrient to warm-temperate/lower nutrient conditions, respectively. We use the
  affinities obtained by Prebble et al. (2013) to reconstruct past oceanographic
  conditions at the Wilkes Land continental margin.
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## 244 **4. Results**

## 245 4.1 Palynological groups

246 In our palynological analysis we separated palynomorph groups into four 247 categories: In situ dinocysts, reworked dinocysts (following Bijl et al. (in press); Table 248 3), acritarchs and terrestrial palynomorphs. Our palynological slides further contain a 249 varying amount of pyritized diatoms and a minor component of amorphous 250 palynofacies, which is not further considered in this study. The relative abundance of 251 the four palynomorph groups varies considerably throughout the record, as do their 252 absolute abundances (Figure 4). Reworked dinocysts are present to common 253 throughout the record, but are particularly abundant in the lowermost 40 meters of 254 the Oligocene and in the Upper Oligocene. In situ dinocysts dominate the 255 palynomorph assemblage during the mid-Oligocene and mid-Miocene. Chorate, 256 spheromorph and Cymatiosphaera-type acritarchs (which are not further 257 taxonomically subdivided in this study) dominate the assemblage during the late 258 Oligocene and into the mid-Miocene, while terrestrial palynomorphs (which are 259 considered in situ and not reworked from older strata (Strother et al., 2017)) are a 260 constant minor component of the total palynomorph assemblage (Fig. 4).







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#### 262 4.2 In situ dinocyst assemblages

263 Throughout the Oligocene, in situ dinocyst assemblages are dominated by 264 protoperidinioid dinocysts, notably Brigantedinium spp., Lejeunecysta spp., Malvinia 265 escutiana, and Selenopemphix spp. (Figure 4), all of which are considered associated 266 with heterotrophic dinoflagellates. Among these protoperidinioid cysts, S. antarctica 267 is common to abundant only in the first 1.5 million years of the Oligocene 268 represented in the core material (33.6-32.1 Ma), and during and after the mid-269 Miocene climatic transition (<14.2 Ma; Fig. 5). The remainder of the record is 270 generally devoid of S. antarctica. This is much in contrast to the dinocyst assemblages 271 at Site U1356 today, which are dominated by this taxon (Prebble et al., 2013). Instead, 272 other protoperidinioid dinocysts dominate, such as Brigantedinium spp., several 273 Lejeunecysta species and Selenopemphix nephroides, which have close affinities to 274 high-nutrient conditions in general (e.g., Harland et al., 1999; Zonneveld et al., 2013) 275 but are not specifically restricted to sea-ice-proximity or the Southern Ocean. Today, 276 these three genera dominate dinocyst assemblages in high-nutrient regions at or 277 outside of the AAPF (Prebble et al., 2013). We also encountered a varying abundance 278 of protoperidinioid dinocysts, which could not be placed with confidence into 279 established protoperidinioid dinocyst genera. These are grouped under 280 protoperidinioid spp. pars (Figure 4), and are here assumed to exhibit the same 281 heterotrophic life-style as the other protoperidinioid dinocyst genera.

282 Next to peridinioid dinocysts, also gonyaulacoid dinocysts occur commonly to 283 abundantly throughout the record from Site U1356. They comprise both known and 284 previously unknown (Bijl et al., in press) species of Batiacashaera, Pyxidinopsis,





285 Nematosphaeropsis, Impagidinium, and Operculodinium (Fig. 4; 5). Except for the 286 extinct genus *Batiacasphaera*, all the other genera are still extant and are formed by 287 phototrophic dinoflagellates. The abundance of these presumably mostly autotrophic 288 taxa (Zonneveld et al., 2013) goes at the expense of the assumed heterotrophic 289 protoperidinioid dinocysts. A remarkable increase is noted associated with the mid-290 Miocene Climate Optimum (between  $\sim$ 17 and 15 Ma; Fig. 4, 5; Sangiorgi et al., in 291 review). Of these taxa, Nematosphaeropsis is thought to be associated with frontal 292 systems of the present-day Southern Ocean (Prebble et al., 2013) and also in the 293 North Atlantic Ocean (Boessenkool et al., 2001; Zonneveld et al., 2013).

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295 4.5 Comparison between palynological data and lithological interpretations

296 The Oligocene sediments from Site U1356 comprise distinctive alternations of 297 lithologic facies throughout the section (Salabarnada et al., submitted this volume; 298 Figure 2). They are interpreted to reflect changes in the oceanographic regime, with 299 relations to glacial-interglacial changes (Salabarnada et al., submitted this volume). 300 Carbonate deposits, pelagic claystones and bioturbated, carbonate-bearing silty 301 claystones were interpreted as interglacial deposits, while the laminated lithologies 302 reflect glacial deposits (Salabarnada et al., submitted this volume). Mass-transport 303 deposits reflect times of major sediment transport from the continental shelf. The 304 lower Oligocene glauconitic sandstones were interpreted to reflect episodes of 305 redeposition of winnowed upper Eocene shelf sediments (Sluijs et al., 2003; Houben, 306 2012). We here evaluate and compare the palynological content of each of these 307 lithologies, both in terms of absolute and relative abundance of the main





- 308 palynomorph groups: reworked dinocysts, *in situ* dinocysts, acritarchs and terrestrial
- 309 palynomorphs and relative abundance of *in situ* dinocyst eco-groups.
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- 311 4.5.1 Palynomorph groups and lithology

312 There are distinct differences in the relative and absolute abundances of 313 palynomorph groups between the different lithologies (Figure 6). The highest relative 314 and absolute abundances of reworked dinocysts occur in the lower Oligocene 315 reworked glauconitic sandstones, which is in line with previous inferences of Houben 316 et al. (2013). The mass-transport deposits contain abundant reworked dinocysts. The 317 relative and absolute abundance of *in-situ* dinocysts does not vary much between the 318 different lithologies, with the exception of the pelagic clays, in which *in situ* dinocysts 319 are much lower in relative and absolute abundance (Figure 6). The opposite pattern 320 emerges for acritarchs, which reach highest relative and absolute abundances in the 321 pelagic clays (Figure 6). Terrestrial palynomorphs are most abundant in the 322 glauconitic contorted sandstones (Figure 6).

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324 4.5.2 *In situ* dinocyst eco-groups and lithology

We also compared the *in situ* dinocyst eco-groups with predominant lithological facies (Figure 7). The abundance of *Selenopemphix antarctica* is low throughout the record (0-5%), with the exception of the interval post-dating the Miocene Climatic Optimum (MCO) interval and the lowermost Oligocene. We note that in the lower Oligocene, high abundances of *S. antarctica* and *Malvinia escutiana* are mostly connected to glauconitic sandstones and the mass-transport deposits, and rarely occur in the other lithologies (Figure 7). We however think that these species





332 represent part of the in situ assemblage in an otherwise dominantly reworked 333 dinocyst assemblage, because these were never found in Eocene sediment in the 334 region before. Lejeunecysta spp. shows significantly higher relative abundances in the 335 mass-transport and glacial deposits, and substantially lower abundance in the pelagic 336 clays, interglacial deposits and in the Miocene. Brigantedinium spp. shows invariable 337 relative abundances in the different lithologies, and the *Protoperidinium* spp. pars 338 group shows highest abundance in the pelagic clays (Figure 7). Overall, the relative 339 abundances of all (proto)peridinioid dinocysts in the in situ assemblage is highest in 340 the glacial deposits and pelagic clays, and substantially lower in interglacial deposits 341 and in the Miocene. Indeed, several gonyaulacoid dinocyst taxa (such as 342 Nematosphaeropsis spp., Pyxidinopsis cpx, Operculodinium spp., and Impagidinium 343 spp.) show higher relative abundances in interglacial than in glacial deposits. We thus 344 observe a marked difference in the relative abundances of gonyaulacoid dinocysts 345 over peridinioid dinocysts between glacial and interglacial deposits.

346

### 347 **5. Discussion**

348 5.1 Paleoceanographic interpretation of the dinocyst assemblages

349 5.1.1 Surface-ocean nutrient conditions

The dominance of heterotrophic dinoflagellate cysts in the Oligocene-Miocene dinocyst assemblages indicate overall high nutrient levels in the surface waters. We infer therefore that in general, surface-waters overlying Site U1356 experienced upwelling associated to the AAPF during most of the Oligocene and Miocene. However, and surprisingly, the occasionally abundant oligotrophic cyst taxa encountered in our record suggest that at times, surface waters were much less





356 nutrient-rich, supporting an oligotrophic dinoflagellate assemblage. These dinocysts 357 are outer shelf to oceanic or outer neritic taxa (e.g., Sluijs et al., 2005; Zonneveld et al., 358 2013; Prebble et al., 2013), which makes it unlikely they were reworked from the 359 continental shelf. Indeed, these taxa show low relative abundances in the mass-360 transport deposits (Figure 6); hence, we interpret that these taxa are part of the *in* 361 situ pelagic assemblage and reflect warming of surface waters rather than them being 362 reworked. Although species within these genera have relatively long stratigraphic 363 ranges extending back into the Eocene, most of the species encountered at U1356 364 have never been found in Eocene continental shelf sediments in the region (e.g., Bijl et 365 al., 2011; 2013a, b; Brinkhuis et al., 2003a, b; Levy and Harwood, 2000; Wrenn and 366 Hart, 1988). This lends further support against them being reworked from Eocene 367 shelf material, in addition, the statistical approach also interprets these species to be 368 part of the in situ assemblage (Bijl et al., in press). Now that we have abundant 369 evidence that these autotrophic taxa are part of the *in situ* pelagic assemblage, we can 370 interpret these assemblages in terms of their paleoceanographic affinities. The 371 occasional abundance of oligotrophic taxa suggests nutrient levels must have been 372 low compared to the same region today. The absence of these taxa in modern surface 373 waters south of the AAPF is probably caused by a combination of factors: low sea 374 surface temperatures, isolation by strong eastward currents, but also the abundance 375 and seasonal concentration of nutrients, which make the Antarctic proximal surface waters a very specialistic niche. Apparently, surface water conditions during the 376 377 Oligocene and Miocene were such that these oligotrophic species could at times 378 proliferate so close to the Antarctic margin.

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# 380 5.1.2 sea-surface temperature

381 The average dinocyst assemblages in our record point to the Southern margin of New 382 Zealand and Tasmania as the best modern analogue (inferred from Prebble et al., 383 2013; Figure 2). Those regions today feature a mix between protoperidinioid 384 dinocysts and gonyaulacoid dinocyst genera such as Nematosphaeropsis, 385 Operculodinium and Impagidinium. These assemblages occur at present in surface-386 waters with mean annual temperatures of 8-17°C (Prebble et al., 2013). A bayesian 387 approach on the TEX<sub>86</sub> index values at U1356 (presented in Sangiorgi et al., 388 submitted; Hartman et al., submitted this volume) indicates exactly the same region 389 as modern analogues for the  $TEX_{86}$  index values found (Hartman et al., submitted this 390 volume) as for the dinocysts (Prebble et al., 2013); both approaches indicate the same 391 paleotemperature range for the Oligocene-Miocene at U1356. These two proxies thus 392 independently point to a temperate, much warmer paleoceanographic regime close to 393 Antarctica during the Oligocene and Miocene with the nearest modern analogue 394 being offshore Southern New Zealand and Tasmania. Supporting evidence for 395 temperate Oligocene-Miocene surface waters comes from the abundance of 396 nannofossils encountered in the same Oligocene-Miocene sediments (Escutia et al., 397 2011b). Today, carbonate-producing plankton is not abundant in high-latitude 398 surface waters south of the AAPF (Eynaud et al., 1999). Moreover, the remains of the 399 few carbonate-producing organisms living at high latitudes rarely reach the ocean 400 floor because strong upwelling of relatively CO<sub>2</sub>-rich, corrosive waters (e.g., Olbers et 401 al., 2004). Hence, the presence of carbonate-rich intervals during the Oligocene-402 Miocene at Site U1356, along with the encountered oligotrophic, temperate dinocysts, 403 suggests fundamentally warmer surface-water conditions than at present.





# 404

# 405 5.1.3 Paleoceanography

406 The strong similarity of Oligocene-Miocene dinocyst assemblages at Site 407 U1356 to those today occurring much further north (e.g., around Tasmania and 408 Southern New Zealand (Prebble et al., 2013) suggests a fundamentally different 409 modus operandi of Southern Ocean oceanography. The strict latitudinal separation of 410 dinocyst assemblages throughout the Southern Ocean today (Prebble et al., 2013) is 411 likely due to the different water masses present across the oceanic fronts where 412 strong wind-driven divergence around 60° S (known as the Antarctic Divergence; e.g., 413 Olbers et al., 2004), strong sea-ice season and/or the vigorous Antarctic Circumpolar 414 Current are in place. The strength and position of the AAPF during the Oligocene-415 Miocene is not well understood. GCM experiments under Miocene boundary 416 conditions suggest that west and east wind drifts prevailed south and north of 60°S, 417 respectively (Herold et al., 2011). This position of the winds determines the average 418 position of the Antarctic Divergence at 60°S during the Oligocene and Miocene, like 419 today. This would mean that Site U1356 likely was directly overlain by the AAPF. 420 However, the significantly warmer, more oligotrophic character of the dinocyst 421 assemblages offshore Wilkes Land throughout the Oligocene-Miocene argues against 422 a close position to the AAPF. The position of the AAPF relative to the position of Site 423 U1356 strongly determines the likelihood of southward transport of low-latitude 424 waters towards the site. A southward position of the AAPF relative to Site U1356 425 would greatly enhance the possibility for southward migration of temperate water 426 masses towards the site. A northward position of the AAPF relative to the site, would 427 make such much more difficult. The presence of carbonate in these deep marine





428 sediments also suggests that upwelling of corrosive waters through the (proto-) 429 Antarctic Divergence was either much reduced or located elsewhere. Therefore, we 430 deduce that the occurrence of the oligotrophic, temperate dinocysts is evidence for a 431 southward position of the AAPF relative to the position of Site U1356.

432 The separate averaging of dinocyst assemblages for glacial and interglacial 433 deposits (Figure 7) allows us to reconstruct the glacial-interglacial surface 434 oceanographic changes throughout the Oligocene. This approach suggests that 435 substantial paleoceanographic dynamics were associated with Oligocene glacial-436 interglacial cycles. Alongside the 2-3 °C SST variability during glacial-interglacial 437 cycles at this same site (Hartman et al., submitted this volume), dinocyst assemblages 438 contain more oligotrophic, temperate dinocysts during interglacial time intervals 439 compared to glacial intervals when more eutrophic, colder dinocysts proliferated. 440 This could be the result of a slight latitudinal movement of oceanic frontal systems 441 (notably the AAPF), as has been reconstructed for the Southern Ocean fronts during 442 the most recent glacial to interglacial transition (e.g., Kohfeld, et al. 2013). The 443 difference in dinocyst assemblages between glacial and interglacial deposits might be 444 explained by a south position of the AAPF during interglacials, allowing for temperate oligotrophic surface waters to reach the Site, while during glacials the AAPF migrated 445 446 northward over Site U1356, causing cold, high-nutrient conditions.

447

448 5.2 Implications for Oligocene-Miocene ocean circulation

Only in the lowermost Oligocene and in strata representing the mid-Miocene
climatic transition and later (14.4 Ma and younger), the dinocyst assemblages bear
similarities to modern proximal-Antarctic assemblages (Prebble et al., 2013), with





452 high abundances of Selenopemphix antarctica. Even in those intervals, however, the 453 relative abundances of S. antarctica does not reach present-day values at the same 454 site. The absence of a strong shift towards modern-day-like assemblages in our 455 record can be interpreted to reflect a weaker-than-present ACC, in line with 456 numerical models (Herold et al., 2012; Hill et al., 2013). The ACC itself represents an 457 important barrier for latitudinal surface-water transport towards the Antarctic 458 margin, in addition to the Antarctic Divergence (Olbers et al., 2004). Our data suggest 459 an increase in the influence of oligotrophic dinocysts at the Antarctic margin during 460 the late Oligocene and during the MMCO, which argues against the installation of a 461 vigorous ACC at 30 Ma (Scher et al., 2015): No profound changes in surface 462 paleoceanography emerge from our dinoflagellate cyst data around 30 Ma, and there 463 is no major change in the benthic  $\delta^{18}$ O (Figure 5). Instead, if the Tasmanian Gateway 464 had opened to an extent that allowed ACC development (Scher et al., 2015), the ACC 465 must have been much weaker than at present throughout the Oligocene and Miocene. 466 The strongly different dinocyst assemblages compared to present-day at Site U1356 467 throughout our record implies to us that a strong coherent ACC was not installed until 468 after the MMCT (11 Ma). This is consistent with inferences from the lithology at the 469 same site (Salabarnada et al., submitted this volume), suggesting a proto-ACC much 470 weaker than at present and, likewise, weaker Southern Ocean frontal systems. An 471 alternative explanation is that the ACC increased in strength during the Oligocene-472 Miocene, but that this strengthening had no influence on the dinocyst assemblages at 473 Site U1356. However, the vigorous nature of the ACC influencing surface as well as 474 bottom waters and governing eddy water circulation in the Southern Ocean (Olbers et 475 al., 2004) makes such a scenario very unlikely. Nevertheless, to firmly clarify whether





- the strength of the ACC changed to its present-day force only after the MMCT (assuggested by our data), ocean-circulation modelling of time slices younger than the
- 478 Oligocene will be required.
- 479
- 480 5.3 Implications for ice sheet and sea-ice variability

481 The abundance of our sea-ice indicator Selenopemphix antarctica throughout 482 the record is consistently lower than that in present-day dinocyst assemblages at Site 483 U1356 (Prebble et al., 2013; Figure 3). This suggests that sea-ice conditions were 484 never as severe as today throughout the studied time interval. Only during two time 485 intervals sea ice indicators suggest some sea ice near the Site: the first 1.5 million 486 years following the 0i-1 glaciation (33.6–32.1 Ma; Figure 5), and during and after the 487 mid-Miocene climatic Transition (14–11 Ma; Figure 5). Numerical ice-sheet/sea-ice 488 modelling (DeConto et al., 2007) suggests sea-ice to develop only if the continental ice 489 sheets reach the coastline. Our lack of sea-ice indicators during most of the Oligocene 490 and Miocene could thus suggest that the Antarctic continental ice sheet was much 491 reduced during this time. The finding of a weaker sea-ice season throughout most of 492 the Oligocene-Miocene at Site U1356 has major implications for regional 493 paleoceanography because it suggests a decrease in the potential formation of 494 Antarctic bottom waters at this site.

The abundance of our oligotrophic taxa broadly co-varied with long-term Oligocene-Miocene benthic  $\delta^{18}$ O: During times of low  $\delta^{18}$ O values in deep-sea benthic foraminifera (and thus high deep-sea temperatures and less ice volume; e.g., at 32 Ma, 24 Ma and 15 Ma; Figure 5), the abundance of oligotrophic temperate dinocysts was large (Figure 5). At times of higher  $\delta^{18}$ O values, lower deep-sea temperatures and





higher ice volume (e.g. at 33.5 Ma, 27 Ma, 23 Ma and 13 Ma; Figure 5) temperate dinocysts were reduced in abundance and high-nutrient, sea-ice indicators (re)appeared. Altogether, this suggests on long time scales, that there was stronger influence of warm surface waters at the Wilkes Land Margin at times when ice sheets were smaller and climate was warmer, and less influence of warm surface waters during times of larger ice sheets, hence a connection between ice sheet and oceanographic variability.

507 Oxygen-isotope mass-balance calculations suggest that a modern-day-sized 508 Antarctic ice sheet appeared at the Eocene/Oligocene boundary (DeConto et al., 509 2008). Benthic  $\delta^{18}$ O records suggest that ice sheets fluctuated considerably in size 510 during the subsequent Oligocene and Miocene (Liebrand et al., 2017). Based on the 511 heavy  $\delta^{18}$ O values for Oligocene benthic foraminifera from Maud Rise, it was inferred 512 that Antarctic ice sheets were near-present-day size throughout the Oligocene 513 (Hauptvogel et al., 2017). Both isotope studies of Liebrand et al (2017) and 514 Hauptvogel et al. (2017) assume constant temperatures of the deep sea and similar-515 to-present-day  $\delta^{18}$ O of the continental ice. Our data instead show that the regional 516 paleoceanography, together with surface-ocean temperature (Hartman et al., 517 submitted this volume), can vary considerably both on the long term as on orbital 518 time scales. It remains to be seen whether the variability in paleoceanography found 519 here can be extrapolated to larger parts of the Antarctic margin, including to those 520 regions of deep-water formation. Given the high temperatures and absence of strong 521 sea ice influence, the Wilkes Land margin was likely not the primary sector of deep-522 water formation, although there is ample evidence for bottom-current activity at the 523 site (Salabarnada et al., submitted this volume). However, if the oceanographic and





524 climate variability we reconstruct offshore Wilkes Land characterises also regions of 525 deep-water formation, some (if not much) of the variability both on long and on 526 orbital time scales in benthic  $\delta^{18}$ O records is related to deep-sea temperature rather 527 than Antarctic ice volume (see also Hartman et al., submitted this volume). 528 Meanwhile, we find little support in our study for the large continental ice sheets 529 during the Oligocene as concluded by Hauptvogel et al. (2017), given the absence of 530 dominance of sea-ice dinoflagellate cysts and in situ terrestrial palynomorphs 531 (Strother et al., 2017). As an alternative explanation to the difference in  $\delta^{18}$ O values 532 between Maud Rise and Equatorial Pacific during the Oligocene (Hauptvogel et al., 533 2017), we suggest that these two records have recorded the characteristics of two 534 fundamentally different deep water masses, with those at Maud Rise being much 535 colder and saltier than those at Shatsky Rise.

536

### 537 6. Conclusions

538 The dinocyst assemblage changes in the Oligocene-Miocene (33.6-10 Ma) of Site 539 U1356 were interpreted in terms of surface paleoceanography based on a 540 comparison of these assemblages to present-day dinocyst assemblages. This 541 approach allows us to hypothesize that the Southern Ocean paleoceanography during 542 the Oligocene-Miocene was fundamentally different from that of today. A strong sea-543 ice signal (yet still weaker than that of today) emerges for the Wilkes Land Margin 544 only for the first 1.5 million years of the Oligocene (33.6-32.1 Ma) and the mid-545 Miocene climatic transition (14-10 Ma). The remainder of the Oligocene-Miocene 546 record of surface waters off Wilkes Land were warm, relatively oligotrophic and lack 547 indications of a prominent sea-ice season. Upwelling at the Antarctic Divergence must





548 have been profoundly weaker during Oligocene and Miocene times, compared to 549 today. Furthermore, the continental ice sheet must have been much reduced at the 550 Wilkes Land sub-glacial basin for most of the Oligocene-Miocene compared to today, 551 and continental ice sheets were retreated inland. The strength of the influence of 552 warm oligotrophic surface water was strongly coupled to deep-sea  $\delta^{18}$ O values: With 553 enhanced low-latitude influence of surface water during times of light  $\delta^{18}$ O in the 554 deep sea and vice versa. The absence of (a trend towards more) oceanographic 555 isolation of the Wilkes Land margin throughout the Oligocene to mid-Miocene 556 suggests that the ACC did not obtain its full, present-day strength until at least the 557 mid-Miocene Climatic transition. Moreover, we note considerable glacial-interglacial 558 variability in this oceanographic setting, with stronger influence of oligotrophic, low-559 latitude surface waters over Site U1356 during interglacial times and more eutrophic, 560 colder influence during glacial times. This may suggest considerable latitudinal 561 migration of the AAPF over Oligocene and Miocene glacial-interglacial cycles.

562

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# 572 Author contributions

- 573 PKB, FS, CE and JP designed the research. AJPH, FS and PKB carried out dinoflagellate
- 574 cyst analyses for the earliest Oligocene, the middle Miocene, and the Oligocene-
- 575 Miocene boundary interval, respectively. AS and CE provided the lithological data.
- 576 PKB integrated, cross-validated and compiled the data, and wrote the paper with
- 577 input from all co-authors.
- 578





580	Figure captions
581	Figure 1 Paleogeography of the Southwest Pacific Ocean and position of IODP Site
582	U1356 (Red star) at (a) 0 Ma, (b) 10 Ma, (c) 20 Ma, and (d) 30 Ma. Figures were
583	modified from Bijl et al. (in press). Reconstructions were adapted from G-plates, with
584	plate circuit from Seton et al. (2012) and absolute plate positions of Torsvik et al.
585	(2012).
586	
587	Figure 2. Age model for the Oligocene–Miocene interval of Hole U1356A. Core
588	recovery, lithostratigraphic units and log, age-depth plot (from Tauxe et al., 2012, but
589	recalibrated to GTS2012 of Gradstein et al., 2012; see Table 1 and modified based on
590	Crampton et al., 2016), and samples taken for palynology. Figure modified from Bijl et
591	al. (in press).
592	
593	Figure 3. Generic representation of present-day distributions of dinocysts in surface
594	sediments in the Southern Ocean. The dinocyst pie charts represent average
595	dinoflagellate cyst assemblage compositions for surface sediments underneath
596	oceanic frontal zones in the Southern Ocean. Figure modified from Sangiorgi et al. (in
597	review), data replotted from Prebble et al. (2013).
598	
599	Figure 4. Core recovery, lithostratigraphic log (after Salabarnada et al., this volume),
600	chronostratigraphic epochs (E = Eocene) and stages (L = Lutetian, Burd. =
601	Burdigalian, Ser. = Serravallian, T. = Tortonian), absolute palynomorph (grey) and <i>in</i>
602	<i>situ</i> dinocyst (black) concentrations (# per gram of dry sediment, presented on a
603	logarithmic scale), palynomorph content (reworked dinocysts, in situ dinocysts,





- 604 acritarchs, and terrestrial palynomorphs; given in percentages of total
- 605 palynomorphs), and relative abundance of *in situ* dinocyst assemblages (in
- 606 percentage of *in situ* dinocysts) for the Oligocene–Miocene of Hole U1356A.
- 607
- 608 Figure 5. Benthic foraminiferal oxygen isotope data from Site 588 (Zachos et al.,
- 609 2008), Site 1090 (Zachos et al., 2008) Site 1218 (recalibrated from (Pälike et al.,
- 610 2006), Site U1334 (Holbourn et al., 2015), Site U1337 (Beddow et al., 2016), with
- 611 Dinocyst assemblage data from Site U1356. We used the paleomagnetic tie points of
- 612 Tauxe et al. (2012) (with the exception of the Oligocene–Miocene boundary interval,
- 613 see text) recalibrated to Gradstein et al. (2012) for calibrating our data to age,
- 614 following the age-depth model specified in Figure 2 and Table 1.
- 615
- Figure 6. Comparison of relative (left bar; in % of total palynomorphs) and absolute
  (right bar, in # \* gr <sup>-1</sup> dry weight) abundances of palynomorph groups per lithology.
- 618 Average (black lines) and standard deviation (coloured bars) of absolute and relative
- 619 abundances of total palynomorphs, reworked dinocysts, *in situ* dinocysts, acritarchs
- and terrestrial palynomorphs grouped in the different lithologies: Miocene
- 621 sediments, carbonate deposits, bioturbated sediments, pelagic clays, laminated silty
- 622 claystones, laminated sand stones, mass-transport deposits and glauconitic sand
- 623 stones.
- 624
- 625 Figure 7. Comparison of *in situ* eco-groups with lithology. Average (black line) and
- 626 standard deviation (coulored bar) of relative abundances of grouped taxa from
- 627 samples from the different lithologies: Miocene sediments, carbonate deposits,





- 628 bioturbated sediments, pelagic clays, laminated silty claystones, laminated sand
- 629 stones, mass-transport deposits and glauconitic sand stones.

630

- 631 **Table captions**
- Table 1. Age constraints for the Oligocene–Miocene of Hole U1356A.
- 633 Table 2. Lithologic facies described in Salabarnada et al. (submitted this volume), and
- 634 in this paper.
- 635 Table 3. List of assumed *in situ* and reworked dinoflagellate cyst taxa encountered in
- this study. See Bijl et al. (in press) for informal species descriptions, and discussion
- 637 about which species are considered reworked and *in situ*.





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a; 0 Ma





b; 10 Ma

Fig. 1

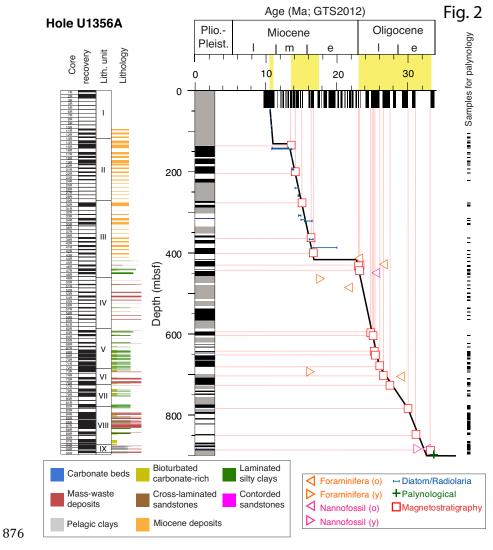


d; 30 Ma



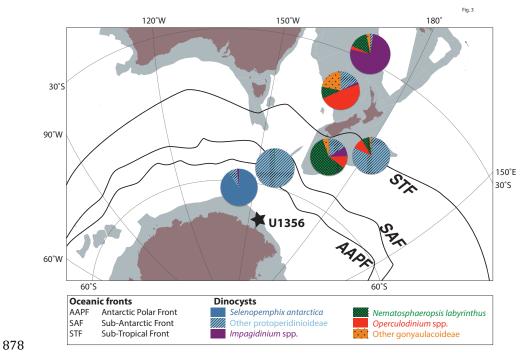






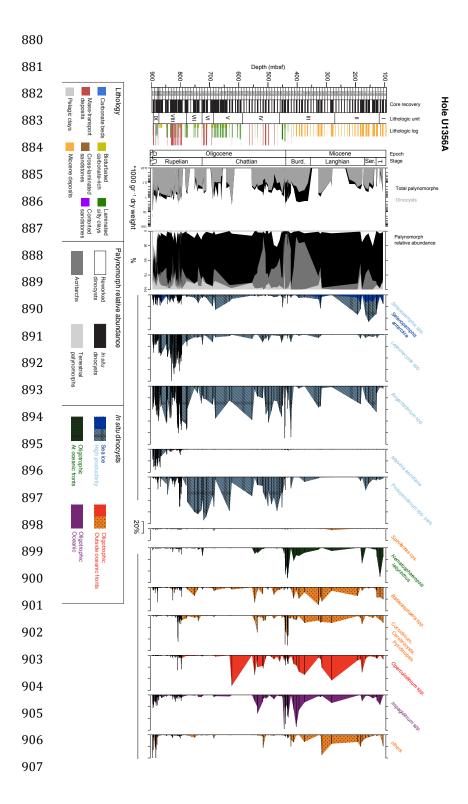






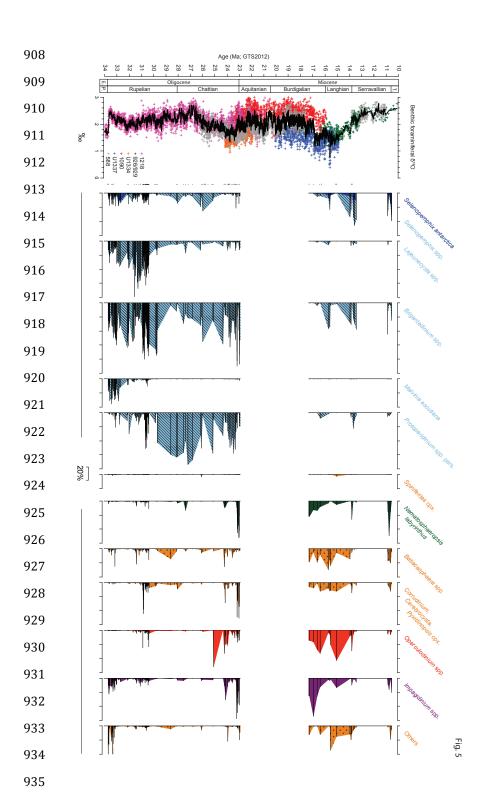






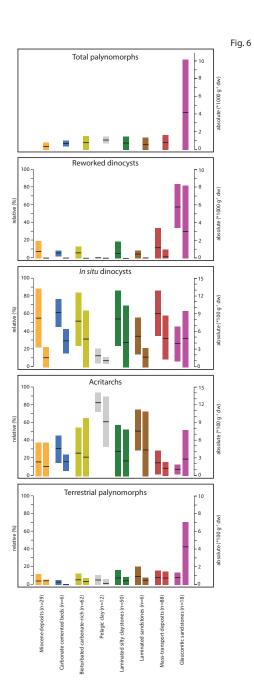
















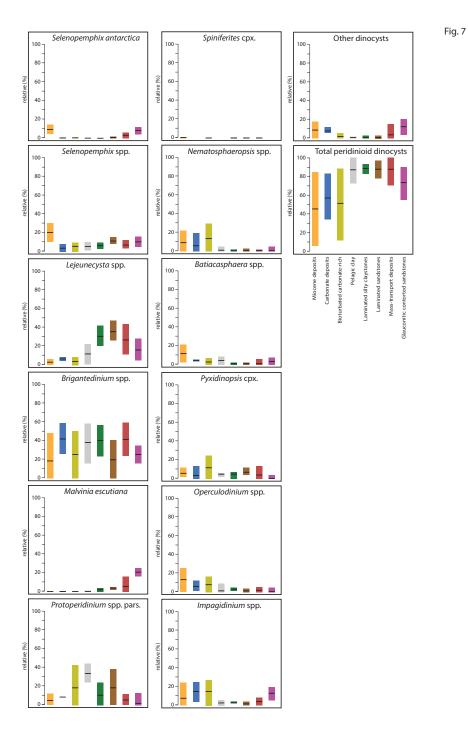






Table 1

type	FO/LO	Genus, chror	(Gradstein 20	top core	top interval	bottom core	bottom inter	depth average	error
CONOP			10.76					98.66	
CONOP			10.92					133.80	
CONOP			13.41					133.81	
PM	(o)	C5ACn	14.07	22R-2,	75	22R-2,	90	203.23	0.07
PM	(y)	C5Bn.2n	15.03	30R-2,	50	30R-2,	75	279.63	0.13
PM	(o)	C5Cn.1n	16.27	39R-1,	35	39R-1,	65	364.10	0.15
PM	(o)	C5Cn.3n	16.72	42R-2,	59	43R-1,	25	398.28	3.98
			17.50	44R-CC		45R-CC		416.90	
			23.00	44R-CC		45R-CC		416.91	
PM	(o)	C6Cn.2n	23.03	45R-CC	40	46R-1	65	426.78	5.00
PM	(o)	C6Cn.3n	23.30	50R-1,	0			469.00	9.00
PM	(y)	C7An	24.76	63R-3,	85	63R-3,	120	597.12	0.17
PM	(o)	C7An	24.98	64R-1,	130	64R-1,	135	604.33	0.02
PM	(o)	C8n.1n	25.26	68R-2,	20	68R-2,	75	643.38	0.27
PM	(y)	C8n.2n	25.30	69R-2,	20	69R-2,	25	652.58	0.02
PM	(o)	C8n.2n	25.99	71R-6,	115	72R-1,	10	678.98	0.92
PM	(y)	C9n	26.42	73R-4,	90	75R-1,	15	701.66	7.09
PM	(o)	C9n	27.44	76R-6,	35	76R-6,	40	725.09	0.02
PM	(o)	C11n.2n	29.97	82R-6,	35	82R-6,	40	782.68	0.03
PM	(y)	C13n	33.16	93R-1,117		93R-2,	28	878.00	0.23

940

in Salabarnada et al. (submitted this volume)	in this paper			
Laminated facies "F1"	Laminated siltstones			
	Laminated sandstones			
Bioturbated facies "F2"	Bioturbated carbonate-rich			
Bioturbateu facies F2	Pelagic clays			
Carbonate cemented beds	Carbonate cemented beds			
Turbidites and hemipelagites	Miocene deposits			
Slumps facies				
Debris flows facies	Mass-waste deposits			
EOT facies				
Eocene sands facies	Glauconite sandstones			

Table 2

941







Table 3

Protoperidinioid indet Protoperidinium sp. B Protoperidinium sp. A Protoperidinium sp. C Protoperidinium sp. D